



Evaluating Dynamic Global Vegetation Models in China: Challenges in capturing trends in Leaf Area and Gross Primary Productivity, but effective seasonal variation representation

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- 15 Abstract. Terrestrial ecosystems are crucial in mitigating global climate change, and Dynamic Global Vegetation Models (DGVMs) have become essential tools for simulating these ecosystems. However, uncertainties remain in DGVM simulations for China, highlighting the need to systematic evaluations of their dynamics across various time scales to enhance model performance. As such, we utilize reprocessed monthly MODIS Leaf Area Index (LAI) and Contiguous Solarinduced Fluorescence (CSIF) data as observational references to assess the long-term trends and seasonal variations of LAI
- 20 and Gross Primary Production (GPP) simulated by 14 models (CABLE-POP, CLASSIC, CLM5.0, DLEM, IBIS, ISAM, ISBA-CTRIP, JULES, LPJ-GUESS, LPX, OCN, ORCHIDEEv3, SDGVM, and VISIT) in China from 2003 to 2019. Additionally, we evaluate the trends and seasonal variations of simulated LAI and GPP in response to environmental and climatic factors. Our findings indicate that: (1) While the overall trend of simulated LAI is captured, the spatial performance of simulated LAI and GPP is poor, with underestimation in forested areas, overestimation in grasslands, and misestimation in
- 25 croplands; (2) The models misestimate the simulated LAI and GPP responses to changes in environmental factors, and their inaccuracy in capturing anthropogenic impacts on vegetation dynamics. We indicate that the main reason for the model's misestimation is that the model's understanding of the CO₂ fertilization effect is inadequate, and thus fails to simulate the vegetation response to CO₂ concentration. (3) Despite these issues, the models can effectively capture the seasonality of LAI and GPP in China, largely due to their robust representation of seasonal responses to climate factors.





30 1 Introduction

Terrestrial ecosystems sequester approximately 30% of anthropogenic carbon emissions, playing a significant role in global climate stabilization (Le Quéré et al., 2015). Although China covers only 6.5% of Earth's land area, it is estimated to contribute between 10% and 31% of the global carbon sink in terrestrial ecosystems, highlighting its crucial role in climate mitigation (Piao et al., 2022). However, significant uncertainties remain in estimating China's carbon budget due to limited

- 35 understanding of carbon cycle mechanisms (Wang et al., 2023; Zhong et al., 2023). Developing measurable and verifiable methods for carbon sink accounting is essential for informing China's emission reduction and sink enhancement policies. Currently, although China's terrestrial ecosystems have effectively offset a portion of the country's fossil fuel emissions, the growth rate of carbon sinks is significantly slowed by the increase in fossil fuel emissions (Piao et al., 2022). Additionally, China's forest ecosystems, dominated by young and middle-aged planted forests, offer substantial potential for future carbon
- 40 sequestration (Zhang et al., 2017). Accurate estimation and prediction of carbon sinks in China's terrestrial ecosystems remain critical and challenging tasks for the scientific community.

To date, the primary methods for assessing terrestrial ecosystem carbon sinks include the inventory method, atmospheric inversion method, Eddy covariance method, and ecosystem process modeling method (Piao et al., 2022). However, estimating China's terrestrial ecosystems presents significant challenges due to strong heterogeneity of terrestrial ecosystems.

- 45 The use of different estimation methods in China often yields widely varying results (Piao et al., 2022). Even with the atmospheric inversion method, estimates of carbon sinks in China's terrestrial ecosystems show considerable variation, largely due to the complex distribution of diverse ecosystem types and the uneven pattern of atmospheric CO_2 observation stations across the country (Wang et al., 2020; Chen et al., 2021). Meanwhile, the inventory and Eddy covariance methods are significantly constrained by limited spatial resolution and the availability of ground stations (Jiang et al., 2016; Piao et al.,
- 50 2022). As a subset of ecosystem process models and a crucial component of the Earth System Model (ESM), the Dynamic Global Vegetation Model (DGVM) provided gridded carbon flux estimates and simulated the complex processes, such as ecosystem carbon and nutrient cycling, vegetation growth-death, and natural or anthropogenic disturbance, as well as mechanisms within the terrestrial ecosystem carbon cycle. DGVMs have therefore become essential tools for evaluating carbon sequestration in terrestrial ecosystems on both global and regional scales. Despite their ability to overcome
- 55 limitations related to spatial resolution and predict future changes in carbon sinks (Friedlingstein et al., 2020), modeling regional carbon sequestration capacity in China remains particularly challenging due to significant uncertainties in model structure, parameters, and driving factors such as climate and land-use change data (Houghton, 2020). For example, Wang et al. (2022a) found that the model's sensitivity to temperature contradicted actual observations when predicting carbon sinks in the Chinese region. Additionally, Piao et al. (2018) noted that models often overlook or simplify the impacts of ecosystem
- 60 management practices, such as forest management and irrigated agriculture, on the carbon cycle. The ability of the DGVMs to accurately simulate the response of vegetation and ecosystem dynamics in response to historical climatic and ecological processes, as well as carbon and biogeochemical cycling, is crucial for predicting future





with relevant observations (Prentice and Cowling, 2013). DGVMs have been tested against various metrics, including carbon and water fluxes, photosynthetic capacity, and carbon storage at sites around the world. For instance, Kucharik et al. (2006) 65 assessed the plant phenology using data from three eddy covariance sites to evaluate forest CO_2 and water vapor exchange. Similarly, Macbean et al. (2021) found that DGVMs underestimated net ecosystem exchange (NEE) based on their analysis of NEE data from 12 dryland flux sites in the southwestern United States. Medlyn et al. (2015) utilized used data from the Duke FACE and ORNL FACE experiments to improve DGVMs' ability to predict the impact of elevated CO₂ (eCO₂) on

ecosystem changes. There is significant potential for improving these models by systematically comparing model outputs

- forests. Additionally, remotely sensed observations are widely used to evaluate model outputs on a regional scale due to the 70 limited number of ground-based observation sites (Piao et al., 2020; Zou et al., 2023; Zeng et al., 2018). For instance, Song et al. (2021) observed that the peak of Leaf Area Index (LAI) occurs 1-2 months earlier than predicted by the ESM. Anav et al. (2015) reported significant global variability in model-estimated gross primary production (GPP). Similarly, Winkler et al. (2021) found that models failed to effectively capture the slowdown in global greening, contrasting with remotely sensed
- 75 observations. Zou et al. (2023) evaluated the annual and seasonal trend of LAI, and the effect of El Niño on the greenness of DGVMs in tropical rainforest regions from 2003 to 2019. These discrepancies indicate that the models struggle to accurately represent spatially diverse characteristics due to their narrow focus on processes that govern carbon flux, primarily involving only CO₂ and climate factors.

Meanwhile, accurately assessing the various responses of DGVMs and observations to both environmental and

- anthropogenic factors could provide insights to improve DGVM. Wang et al. (2022a) discovered that, compared to an 80 upscaled flux tower dataset using satellite, DGVMs did not accurately capture the temperature and precipitation responses in certain tropical regions. Jiao et al. (2024) determined that the DGVMs generally overestimated the sensitivity of temperature and precipitation in China when compared with multi-source data. Yuan et al. (2019) found that models underestimated the limiting effect of vapor pressure deficit (VPD) on vegetation productivity. Furthermore, the models exhibit considerable
- ambiguity in their simulations of vegetation responses to human activities. Many models lack observational constraints (Li et 85 al., 2017), and some even fail to account for land-use changes (Séférian et al., 2019). For example, Zeng et al. (2018) found that ESMs typically underestimated shifts in land-use types due to agricultural deforestation in Southeast Asia. Song et al. (2021) suggested that errors in the land-use and land-cover change (LULCC) dataset used as input data for many DGVMs likely led to inaccurate estimations of vegetation biomass changes in China.
- LAI and GPP are critical parameters in the carbon cycle of terrestrial ecosystems, representing vegetation canopy structure 90 and photosynthetic activity, respectively. These parameters are also key outputs of DGVMs (Piao et al., 2022; Zou et al., 2023). However, the accuracy of LAI and GPP simulations by these models in China, and their responses to environmental and anthropogenic factors, remain unclear. This uncertainty significantly hampers our systematic understanding of carbon cycling mechanisms in China's terrestrial ecosystems. Advanced reanalyzed LAI remotely sensed datasets are available to
- 95 validate the LAI dynamics simulated by DGVMs (Zou et al., 2023; Yuan et al., 2011). However, due to substantial uncertainties in GPP inversion models derived directly from remotely sensed data in China (Wang et al., 2024), it has been





proposed that SIF (Solar-Induced Chlorophyll Fluorescence) remotely sensed data, which directly reflects vegetative photosynthesis, could be a more reliable substitute for large-scale GPP estimation (Li et al., 2018). However, it remains poorly documented what the comparison between observations and models simulations, leading to significant uncertainty about the applicability of DGVM in China.

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In this context, we systematically assessed the performance of 14 DGVM models (CABLE-POP, CLASSIC, CLM5.0, DLEM, IBIS, ISAM, ISBA-CTRIP, JULES, LPJ-GUESS, LPX, OCN, ORCHIDEEv3, SDGVM, and VISIT) in simulating the interannual trends and seasonality of LAI and GPP across China's landmass from 2003 to 2019. Additionally, to identify model deficiencies and provide insights for improvement, we evaluated the impacts of environmental and anthropogenic

105 factors on LAI and GPP simulations at both interannual and seasonal scales. The specific objective of this study is to offer guidance for future enhancements in the simulation of vegetation LAI and GPP, ultimately reducing uncertainties in the study of carbon sinks in China's terrestrial ecosystems.

2 Materials and methods

2.1 Study area

- 110 China, located at the intersection of East and Central Asia, spans approximately 18° to 53° north latitude and 73° to 135° east longitude. The country features a diverse topography, ranging from expansive plains and rolling hills in the east to towering plateaus and mountain ranges in the west, with a general west-to-east elevation gradient. China's climate is complex, exhibiting considerable spatial variability in both annual precipitation and temperature. The eastern monsoon region, characterized by abundant rainfall and a pronounced north-south temperature gradient, supports a wide variety of plant
- 115 species. In contrast, the arid regions of western China experience minimal precipitation and sparse vegetation cover. This climatic diversity has given rise to a wide range of vegetation types, from moist evergreen broad-leaved forests to arid desert steppes. Additionally, there are significant regional differences in the seasonal and interannual fluctuations of these vegetation patterns.
- Since the late 20th century, China has undertaken several large-scale ecological projects. These include the Three-North Shelterbelt Project (initiated in 1978), which aims to improve the ecological conditions of northern China's arid and semiarid regions; the Grain for Green Program (launched in 1999), which focuses on converting farmland back to forest and grassland in ecologically fragile areas of western and northern China; and the Natural Forest Protection Program (started in 1998), which primarily targets the Yangtze River Basin and the upper reaches of the Yellow River. These initiatives have significantly influenced vegetation cover and ecosystem functions across the country.
- 125 For the purposes of this study, China's vegetation is classified into four major categories: forest, grassland, cropland, and non-vegetated areas (Fig. S1).





2.2 Dynamic Global Vegetation Model (DGVM)

DGVMs offer a wide range of capabilities, serving as essential tools for systematizing our understanding of the functionality and universality of vegetation and ecosystems. The development of DGVMs has enhanced our comprehension of the 130 empirical relationships among functional plant traits and their interactions with the environment. These models also allow for predictions of the impacts of climate change on ecosystems and the resulting biogeochemical feedbacks. Specifically, DGVMs can simulate surface fluxes of CO₂, water, as well as the dynamics of water and carbon reservoirs and their responses to factors such as climate change, atmospheric composition, and land-use changes.

- In this study, we employed14 DGVM models (CABLE-POP, CLASSIC, CLM5.0, DLEM, IBIS, ISAM, ISBA-CTRIP, JULES, LPJ-GUESS, LPX, OCN, ORCHIDEEv3, SDGVM, and VISIT), selected for their superior performance in simulating gridded monthly LAI and GPP, to explore vegetation dynamics. These models were coordinated by the Comparative Productivity in Net Biota project (Trends in the Land Carbon Cycle, TRENDY), which is part of a broader initiative. All models were subjected to scenarios: S1, S2, and S3. Additionally, they were driven by historical climate fields and land use data from the Climate Research Unit's National Center for Environmental Prediction (CRUNCEP) dataset. In
- 140 S1 simulations, the models were influenced solely by increasing atmospheric CO_2 concentrations, with a constant climate based on cyclic climate averages and variability from the early 20th century. The S2 scenario varied both atmospheric CO_2 concentrations and climate, while the S3 scenario included variations in CO_2 concentrations, climate, and land use. For this study, the S2 scenario, which involved varying atmospheric CO_2 concentrations and climate, was used to obtain simulated LAI and GPP. The difference between the S3 and S2 simulations (S3-S2) was utilized to evaluate the models' representation
- 145 of the impacts of land use changes on LAI and GPP. All model data were sampled on a $0.5^{\circ} \times 0.5^{\circ}$ grid.

2.3 Benchmark and environmental data

This study utilizes the reprocessed MODIS LAI in China from 2003 to 2019 (Yuan et al., 2011) to validate the simulated LAI of the 14 DGVMs. The reprocessing of the observed LAI data involves filling gaps in the original MODIS LAI (MOD15A2H and MYD15A2H) datasets, which are identified as low-quality, using a modified spatio-temporal filtering

150 (mTSF) technique. This is followed by a second round of processing using the TIMESAT SG filtering technique to generate the final products. For this study, the observed LAI data were resampled into monthly gridded data with a resolution of $0.5^{\circ} \times 0.5^{\circ}$.

To validate the simulated GPP of DGVM, we used the CSIF dataset, which is processed by a neural network as a proxy for remotely sensed observations (Zhang et al., 2018). The CSIF dataset is derived from MODIS surface reflectance and OCO-2

155 SIF inversion data, trained using machine learning algorithms on daily SIF observations to produce a cloud-independent CSIF dataset. This dataset accurately captures the seasonal dynamics of satellite-observed SIF and shows a high degree of consistency with ecosystem GPP, making it a suitable proxy for GPP. In this study, the CSIF data were aggregated from a 4day period to a monthly scale, and the spatial resolution was sampled to a $0.5^{\circ} \times 0.5^{\circ}$ grid using mean aggregation.



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Meanwhile, to enable a direct comparison of SIF and GPP values, both SIF and GPP data were normalized at both the annual and monthly scales.

FLUXNET, established with the aim of offering a high-quality, shared dataset for land-surface model validation and development, is a global network of micrometeorological flux measurement sites. We used the FLUXNET dataset to analyze the seasonal response of LAI and GPP. In this study, we used monthly-scale GPP data from FLUXNET to validate the seasonal correlation of GPP at the site scale. Due to the limited duration of consistently observed GPP at the sites in China, they are not suitable for long-term trend validation of GPP, but can be used for seasonal validation.

We utilize the monthly CO₂ concentration data from the Mauna Loa Observatory in Hawaii (<u>https://gml.noaa.gov/ccgg/trends/data.html https://gml.noaa.gov/ccgg/trends/data.html</u>) as a representative regional indicator for CO₂ concentrations within China.

To reduce uncertainty in analyzing LAI responses to environmental factors, we employed the same dataset that drives the DGVM. Specifically, historical temperature and precipitation data were sourced from the reanalyzed CRUNCEP dataset. We utilized monthly data from the atmospheric stress component of CRUNCEP (https://rda.ucar.edu/datasets/ds) and standardized the spatial resolution to $0.5^{\circ} \times 0.5^{\circ}$.

Given that the CRUNCEP dataset does not include a variable for light radiation, this study utilizes monthly-scale net solar radiation data sourced from ERA5 (<u>https://cds.climate.copernicus.eu/cdsapp#! /search?type=dataset</u>). The spatial resolution of this data is sampled at $0.5^{\circ} \times 0.5^{\circ}$.

2.4 Auxiliary data

The IGBP classification scheme in MCD12Q1 of MODIS was used to categorize China into four types: forest (Tree Cover), grassland, cropland (Crop), and non-vegetated areas (Non vegetable) (Fig. S1). The image resolution was initially resampled to $0.5^{\circ} \times 0.5^{\circ}$ using the majority rule, Evergreen coniferous forest, evergreen broadleaf forest, deciduous coniferous forest,

- 180 deciduous broadleaf forest, mixed forest, woody savanna, and savanna were then combined into a single category termed forested land. Closed shrubland, open shrubland, grassland, and permanent wetland were collectively classified as grassland. Farmland and agricultural land (natural vegetation) were grouped together as cropland. Urban and built-up land, permanent snow and ice, and unutilized land were also categorized as non-vegetation. Finally, urban and built-up land, permanent snow and ice, unused land, and water bodies were combined and classified as non-vegetated areas.
- 185 We used the percent tree cover data from the Terra MODIS Vegetation Continuum Field (VCF) product dataset, which provides subpixel-level estimates of global surface vegetation, as an indicator of actual tree cover validate the accuracy of models for land use change in forested land. The spatial resolution of the tree cover data was aggregated to a $0.5^{\circ} \times 0.5^{\circ}$ annual scale through mean aggregation.

This study also utilized the statistical yearbooks of each Chinese province to assess the influence of human activities on

190 vegetation in selected regions. For provinces predominantly characterized by grassland land-use types (Tibet, Sichuan, Qinghai, and Inner Mongolia), the intensity of grazing was determined by counting the number of livestock enclosures





reported in the respective province's statistical yearbooks. In contrast, for provinces primarily featuring cropland land-use types (Henan, Heilongjiang, and Jiangsu), the extent of crop cultivation was assessed by calculating the area dedicated to farming practices as reported in the statistical yearbooks.

195 **2.5 Analysis**

The Theil-Sen Median method was employed to determine interannual trends in extensive time series, with significance testing conducted via the Mann-Kendall (MK) test. The primary metric for evaluating model performance focused on the interannual trends of LAI and GPP, as simulated by the DGVM model at both regional and pixel scales. To spatially evaluate the DGVM model's performance, a t-test was executed using a running sample size of nine, based on a 3×3 sliding window

200 of remotely sensed observation images.

To elucidate the mechanisms underlying the trends in annual LAI and GPP changes, and to address the limitations of DGVMs, we analyzed the impacts on vegetation dynamics from two perspectives: human activities and environmental drivers This study assessed the influence of human activities on vegetation dynamics by examining variations in grazing intensity and crop cultivation area, both sourced directly from statistical yearbooks. Grazing intensity was calculated by

205 tallying the number of cattle and sheep confined, then multiplying this by an empirical estimate of pasture consumption per animal. To evaluate the response of annually observed and simulated LAI to environmental factors (atmospheric CO₂ concentration, precipitation, temperature, and radiation) from 2003 to 2019, the multiple linear regression equations were primarily employed to determine the sensitivity of these observed and simulated LAI values to environmental variables:

$$LAI = a \times CO_2 + b \times pre + c \times tem + d \times rad + \varepsilon$$
⁽¹⁾

$$\varepsilon = X_{\text{anthropogenic}} + \varepsilon_0 \tag{2}$$

where LAI and CO_2 are annual average LAI and Carbon dioxide concentration; the *pre*, *tem* and *rad* are the annual average precipitation, temperature, and radiation, respectively; *a*, *b*, *c* and *d* are regression coefficients, and ε is the residual error term. $X_{\text{anthropogenic}}$ is the amount of influence of anthropogenic on vegetation dynamics, ε_0 is the influence of other possible factors on vegetation dynamics without anthropogenic. The dependent and independent variables were normalized.

- 215 Pearson correlation coefficients were used to assess the seasonal performance of LAI and GPP for each model at both regional and pixel scales. Multi-year averages of observed and simulated LAI and GPP, calculated from January to December, were determined. Subsequently, their respective correlation coefficients (*r*) and significance (*p*) were independently calculated. Positive correlation coefficient with a *p*-value less than 0.5 suggests that the model demonstrates strong seasonal cycle performance. Additionally, the site GPP data from FLUXNET was utilized to evaluate the seasonal
- 220 performance of DGVMs at various sites within China.

Meanwhile, the cross-correlation function (CCF) was used to analyze the correlation between monthly-scale LAI and GPP data across all years, alongside climate factors—specifically precipitation, temperature, and radiation—that may influence the seasonal dynamics of vegetation. CCF is a statistical tool employed to quantify the correlation between two time series,





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which reflecting the correlation of one series with another at varying time lags. In the context of climate data analysis, CCF can detect seasonal variations by identifying cyclical patterns and analyse seasonal lags. Our objective is to determine the seasonal correlation between LAI and GPP in relation to these environmental factors and to identify any temporal delay in the vegetation's response to seasonal climate changes. Additionally, we aimed to quantify the extent to which these environmental factors impact vegetation growth and the subsequent lag effects they produce.

3 Result

230 3.1 Long-term trends in LAI and GPP in China

In general, the LAI and GPP of all models exhibit an upward trend, aligning with the direction of remotely sensed observation (Fig. 1). Regarding the spatial distribution of the observed LAI (Fig. S2), most of area experienced an increase in LAI from 2003 to 2019, with 60.305% of the area showing a significant upward trend and a total of 88.106% of the area exhibiting some level of increase. The regions with significant increases were primarily located in areas dominated by

- forested and cultivated land use types. The increasing trend of observed LAI is $0.0122 \ m^2 m^2 \text{yr}^{-1}$, which aligns with the trend 235 simulated by the MME (0.00935 \pm 0.0058 $m^2 m^2 y r^{-1}$), suggesting that the simulated LAI effectively captures the overall interannual trend of LAI. However, the normalized interannual trend of the observed CSIF is 0.115, which exceeds the normalized GPP trend simulated by the MME (0.0863 ± 0.0214), indicating that the models may underestimate the actual GPP interannual trend. The spatial distribution pattern of the observed CSIF data closely mirrors that of the observed LAI
- (Fig. S3), with most areas displaying an increasing trend—66.395% of the area showing a significant increase, and a total of 240 93.224% of the area showing some increase

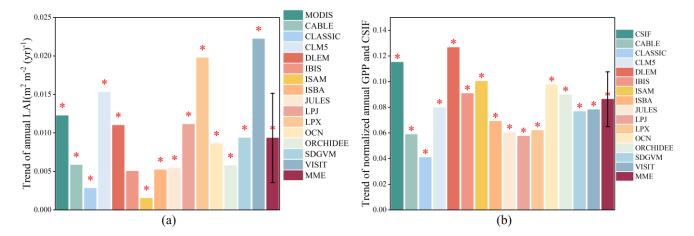


Fig.1. Overall annual average LAI and GPP trends in China during 2003-2019. (a) Annual trends in observed and simulated LAI; (b) Annual trends in observed and simulated GPP. The asterisk (*) indicated the significant trend (p<0.05).





245 Spatially, the comparison between simulated and observed LAI reveals that all models exhibit both overestimations and underestimations across most regions of China (Fig. 2). In the MME (Fig. 2a), only about one-third of the area demonstrates strong performance in simulating LAI trends. The normalized trend comparisons between simulated GPP and observed CSIF mirrors the performance observed with LAI (Fig. 3). However, most models tend to underestimate GPP over a larger area than they do LAI. Notably, the MME underestimates GPP in more than 50% of the area (Fig. 3a). While The DLEM and 250 IBIS models simulate GPP trends more accurately than other models, their accuracy still does not exceed 50% (Fig. 3e-f).





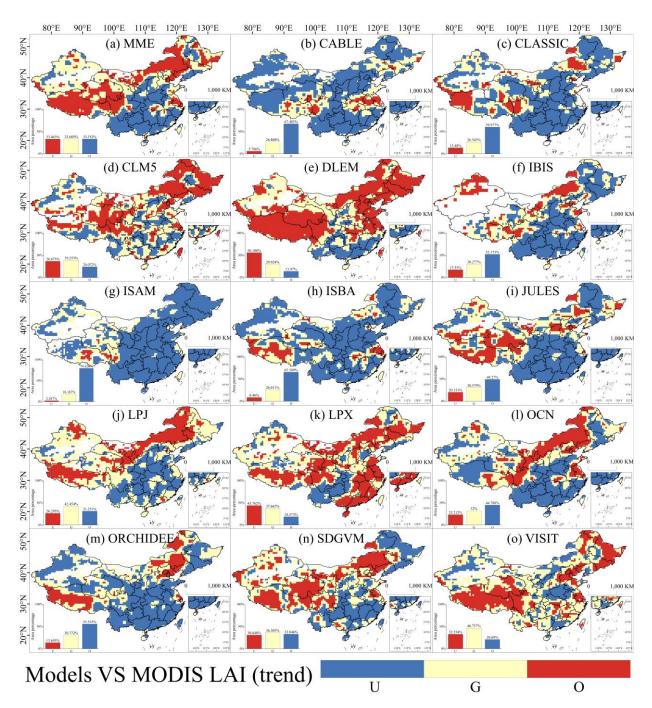


Fig.2. Evaluation of the LAI trend performance of the DGVMs from 2003 to 2019 in China. A paired t-test with a sample size of 9 was conducted using a 3×3 sliding window to determine whether the model simulated a trend that was not significantly different from the observed data (Good), significantly smaller than the observed data (Underestimate), or significantly larger than the observed data (Overestimate). U, G, and O represent 'Underestimate', 'Good', and 'Overestimate', respectively.





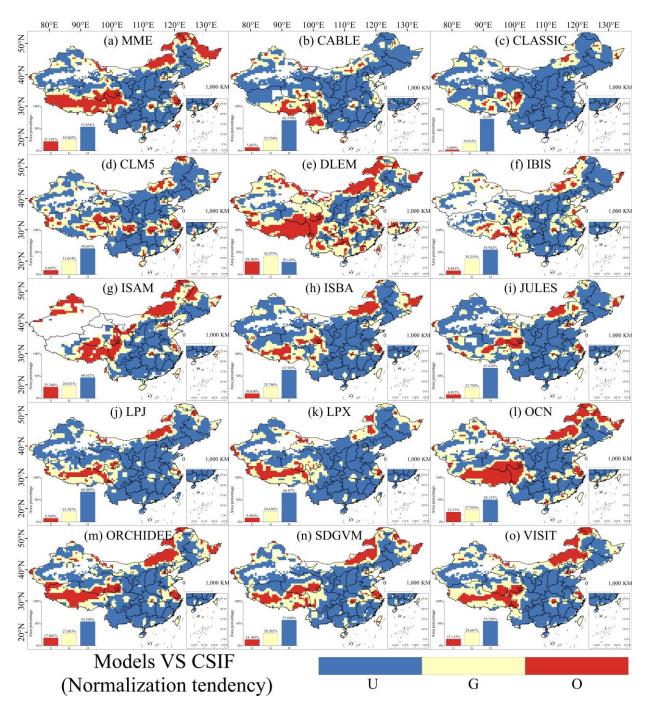


Fig.3. Evaluation of the normalized GPP trend performance of the DGVMs from 2003 to 2019 in China. A paired t-test with a sample size of 9 was conducted using a 3×3 sliding window to determine whether the model simulated a trend that was not significantly different from the observed data (Good), significantly smaller than the observed data (Underestimate), or significantly larger than the observed data (Overestimate). U, G, and O represent 'Underestimate ', 'Good', and 'Overestimate', respectively.

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Combining the results from Figures 2 and 3, we observed that the regions where the models overestimate or underestimate LAI and GPP display similar overall distribution patterns. These patterns closely correspond with the spatial distribution of vegetation and land use types in China. To enhance the accuracy and relevance of our analysis, we classified the study area
based on these land use types. As shown in Fig. S4, the overall simulated LAI and GPP trends in forested land were generally underestimated. The LAI trend for MME in forested land was 0.0153± 0.0105 m²m⁻²yr⁻¹, and the normalized trend of GPP was 0.131± 0.0249. These values are significantly lower than the trend value of observed LAI in forested land (0.0272 m²m²yr⁻¹), and the normalized trend of observed CSIF (0.158). In contrast, the trends of observed LAI in grassland and cropland were 0.00466 m²m⁻²yr⁻¹ and 0.0863 m²m⁻²yr⁻¹, respectively, which falls within the margin of error of the multi-model trend. The LAI trend values for the models in grassland and cropland were 0.00862 m²m⁻²yr⁻¹ and 0.01131 ± 0.02724 m²m⁻²yr⁻¹, respectively. The normalized trend of observed CSIF in grassland and cropland were 0.08634 and 0.12752, respectively. In grassland, the normalized MME's GPP was 0.09148 ± 0.02566, which includes the trend of observed CSIF within its error margin. However, the normalized post-trend value of GPP for MME in cropland (0.09271 ± 0.03029) was relatively low.

Upon calculating the percentage of area misestimated for forest land, grassland, and cropland respectively (Fig. S5), distinct patterns of misestimation became evident across different land use types. The models underestimated the LAI trend in forest land by up to 71.26% of the area, with overestimation occurring in less than 10% of the area. Conversely, for grassland, the models overestimated the LAI trend in 60.8% of the area, with underestimation occurring in less than 10%. In cropland, the models overestimated the LAI trend for 20.91% of the area and underestimated it for 41.8%. Overall, the models predominantly underestimated the LAI trend in forest land, displayed varying degrees of misestimation in cropland.

3.2 Long-term responses of LAI and GPP to environmental factors

The variation in the impact of environmental factors on vegetation LAI can be understood as a combination of the trends in these factors and their sensitivity to LAI (Fig. 4). Among these factors, the sensitivity of LAI to CO₂ concentrations—both observed and simulated data—was significantly higher compared to other environmental variables. The annual normalized trend increase in CO₂ concentration was 0.197, markedly surpassing that of precipitation (0.0346), temperature (0.0475), and light radiation (-0.0359) (Figs. 4, S6-S8). Thus, when considering both trends and sensitivities, CO₂ concentration stands out as the most dominant environmental factor driving changes in both observed and most simulated LAI.





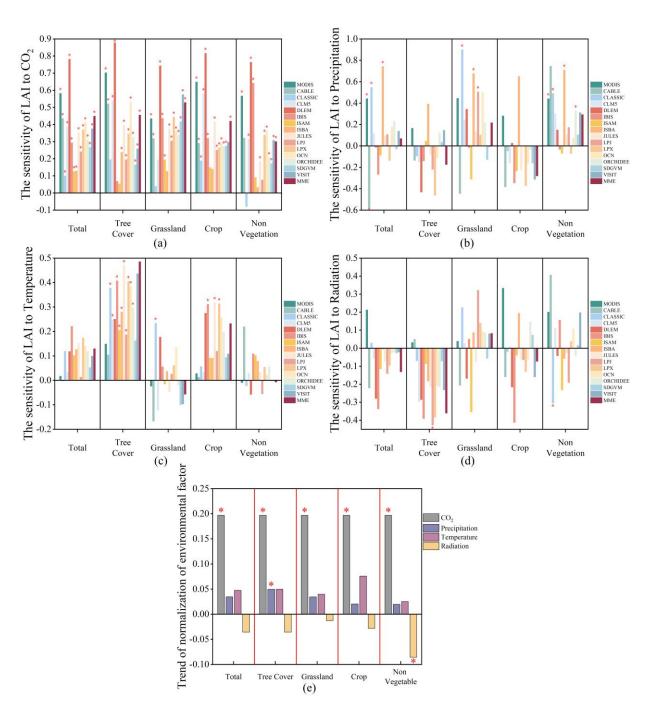


Fig. 4. The long-term response of observed and simulated annual mean LAI to CO₂, precipitation, temperature, and radiation over different land use types during 2003-2019 in China. (a-d) The sensitivity of response of annual mean observed and simulated LAI to the four environmental factors, respectively. The asterisk (*) indicated the significant sensitivity (p<0.05) for environmental factors; (e) The annual mean normalized trends of the four environmental factors. The asterisk (*) indicated the significant trend(p<0.05) for environmental factors.





The study identified CO₂ concentration as the most significant environmental factor influencing changes in both observed and simulated LAI. A spatial comparison was conducted to assess the impact of CO₂ concentration on simulated LAI changes versus those observed in observed LAI (Fig. 5). The results indicated that in most models, the influence of CO₂ concentration on LAI changes deviated significantly from actual observations. Even the best-performing model, CLM5, accurately accounted for less than 40% of the area with accurately observed LAI changes, with large areas showing either overestimation or underestimation. These misestimated areas displayed a consistent pattern in their spatial distribution, mirroring the spatial trends observed in the model's overall LAI trend performance (Fig. 2), where significant overestimation occurred in grasslands. Regarding spatial differences in the sensitivity of observed and simulated LAI to precipitation, temperature, and radiation effects (Figs. S9-S11), the models still exhibited substantial areas of underestimated and overestimated misestimation for these three environmental factors. However, the proportion of well-performing models increased compared to the influenced by CO₂ concentration. Notably, the spatial distribution of simulated LAI changes in

response to CO₂ concentration closely resembled the spatial distribution of actual LAI changes (Fig. 2 and Fig. 5).





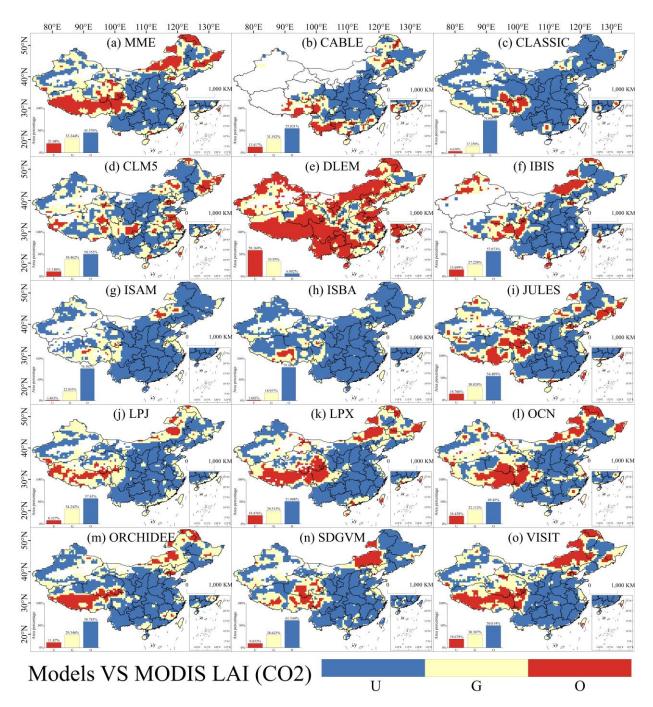


Fig. 5. Spatial distribution of sensitivity differences between the effects of CO_2 concentration on observed and simulated LAI in China. A paired t-tests with a sample size of 9 were conducted using a 3 \times 3 sliding window to determine whether the effect of CO_2 concentration on the amount of simulated LAI change was not significantly different from the effect on the amount of observed LAI change (Good), was significantly smaller than the observed data (Underestimate), or was significantly larger than the observed data (Overestimate). U, G, and O represent 'Underestimate', 'Good', and 'Overestimate', respectively.



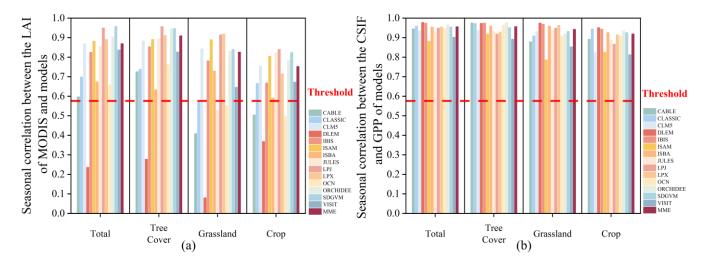


3.3 Seasonal variations in LAI and GPP in China

Site-scale seasonal performance was validated using data from five FLUXNET sites in China, comparing simulated GPP with observed CSIF (Fig. S12). the results in Fig. S12 demonstrate that a significant portion of the simulated GPP and CSIF data exhibits strong seasonality across the different sites, closely aligning with the seasonal patterns observed in the GPP data from the FLUXNET sites. The seasonal correlations between the FLUXNET sites and the simulated GPP and CSIF exceeded the significance threshold.

The overall seasonal correlations between simulated LAI and GPP indicates that most models performed well in terms of seasonal accuracy in vegetated areas (Fig. 6). With a few exceptions, such as the DLEM model, most models demonstrated

320 that seasonal correlations with observed LAI exceeded the threshold, indicating significant seasonal performance. Additionally, all models showed exceptionally high seasonal correlations between GPP and CSIF, surpassing the threshold. In summary, the models effectively capture the seasonal performance of both LAI and GPP, whether considered globally or across different land use types.

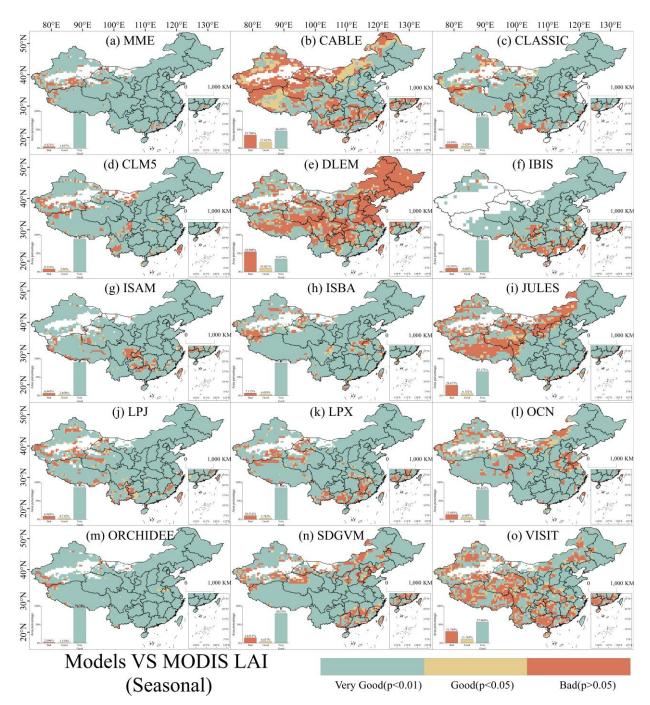


325 Fig. 6. The overall seasonal correlation between observed and simulated and LAI in China. (a) The seasonal correlation of simulated LAI with observed LAI over different land use types; (b) The seasonal correlation of simulated GPP with observed CSIF over different land use types. The red line indicates the correlation coefficient corresponding to the significance level (p = 0.05). The values over the red line indicated that the model can capture the seasonality of MODIS LAI or CSIF in phase.

Spatially, most areas exhibited good to very good seasonal performance for LAI and GPP across most models (Fig. 7 and 8).
Specifically, the percentage of areas with good seasonal performance for LAI in MME was 95.6%, while for GPP it was 88.58%. When analyzing land use type classification in China, regions exhibiting weak seasonal performance (i.e., p > 0.05) in LAI and GPP were predominantly found in non-vegetated areas. Therefore, among vegetated regions, the seasonal accuracy of simulated LAI and GPP across China is remarkably high.



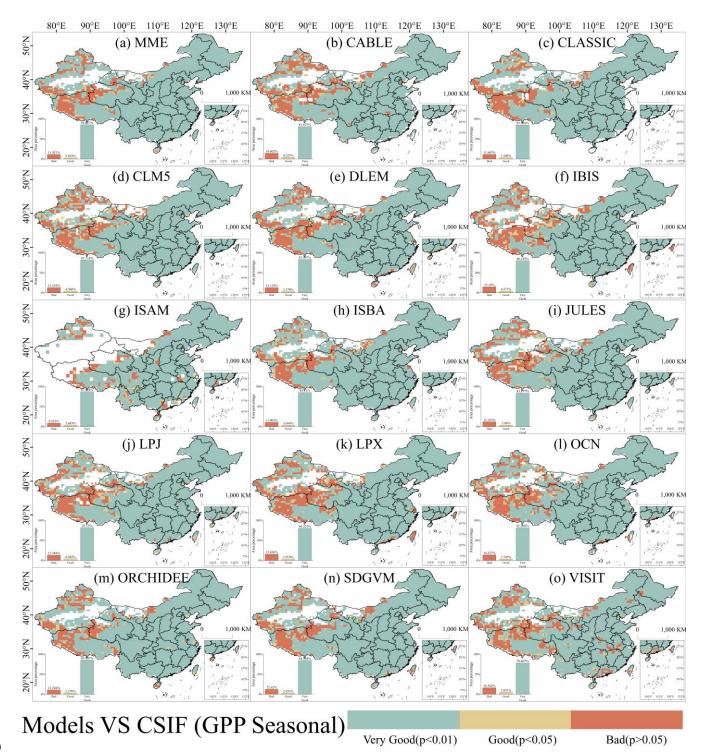




335 Fig. 7. Spatial distribution pearson correlations of the seasonality of observed and simulated LAI during 2003-2019 in China. Pearson correlations were using a 3 × 3 sliding window to determine whether simulated seasonal LAI was highly significantly correlated (p<0.01) with observed data (Very Good), significantly correlated (p<0.05) with observed data (Good), or not significantly correlated (p>0.05) with observed data (Bad). B, G, and VG represent 'Bad', 'Good', and 'Very Good', respectively.







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Fig. 8. Spatial distribution pearson correlations of the seasonality of observed and simulated GPP during 2003-2019 in China. Pearson correlations were using a 3×3 sliding window to determine whether simulated seasonal GPP was highly significantly



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correlated (p<0.01) with observed data (Very Good), significantly correlated (p<0.05) with observed data (Good), or not significantly correlated (p>0.05) with observed data (Bad). B, G, and VG represent 'Bad', 'Good', and 'Very Good', respectively.

345 **3.4 Seasonal responses of LAI and GPP to climate factors**

The CCF analysis revealed notably strong correlations between he observed and simulated LAI and climate factors (precipitation, temperature, and radiation) (Fig. 9-11). Similarly, the CCF correlations with all simulated GPP are also very high, except for the DLEM. The correlation coefficients of these models with climate factors all surpass the threshold of significance. Specifically, the CCF seasonal correlations of observed and simulated LAI and GPP with precipitation and temperature slightly surpassed those with radiation. Additionally, the lagged effects of precipitation and temperature were not as pronounced as radiation on LAI and GPP growth promotion. Specifically, the CCF correlations of observed LAI with

- precipitation and temperature were 0.959, and 0.952, as the correlations of observed CSIF were 0.954 and 0.946, respectively. These values were marginally higher than the CCF correlations of observed LAI and CSIF with radiation, at 0.899 and 0.893. In contrast, the CCF correlations of simulated LAI for MME with precipitation, temperature, and radiation
- 355 were 0.935, 0.97, and 0.942, which of simulated GPP with the three climate factors were 0.951, 0.993, and 0.962, respectively. This implies that the simulated LAI and GPP may overestimate the seasonal response to climatic factors. However, in non-vegetated areas, the CCF correlations of observed CSIF with all three environmental factors were lower, with coefficients of 0.764, 0.696, and 0.645 for precipitation, radiation, and temperature, respectively. Nonetheless the CCF correlations of the simulated GPP with the three climate factors remained very high for the MME, showing coefficients of
- 360 0.878, 0.94, and 0.953 for precipitation, radiation, and temperature respectively. Although the high CCF intercorrelations between seasonal simulated LAI and climate factors are evident, the models generally captured the lagged effects of these factors on LAI for periods 1-2 months longer than observed in remotely sensed data, except for radiation. This time lag is more pronounced in the simulated LAI response compared to actual observations, particularly when compared to the simulated GPP response.

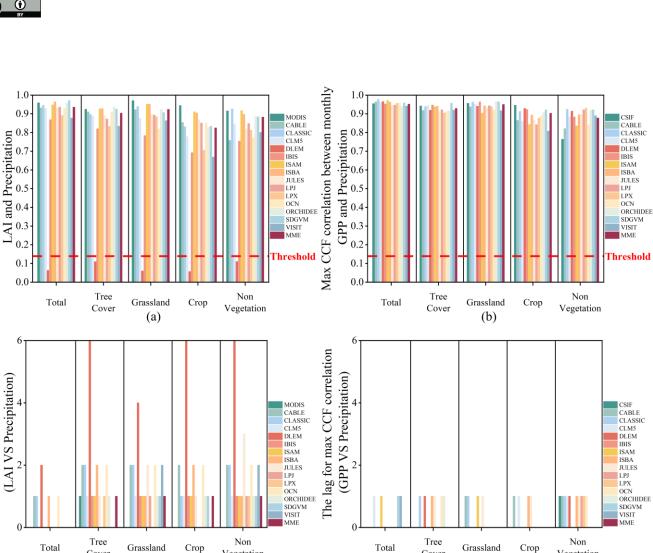
Cover

(c)



Max CCF correlation between monthly

The lag for max CCF correlation



Earth System

Dynamics

Discussions

365

Fig. 9. The overall CCF of observed and simulated data with precipitation. (a-b) The maximum CCF correlation between observed and simulated LAI and GPP and precipitation; (c-d) The lags between observed and simulated data corresponding to precipitation. The red line indicates the correlation coefficient corresponding to the significance level (p = 0.05). The values over the red line indicated that the LAI or GPP can capture the seasonality of the climate factor in phase.

Vegetation

Cover

(d)

Vegetation



Max CCF correlation between monthly

The lag for max CCF correlation

(LAI VS Radiation)

Tree

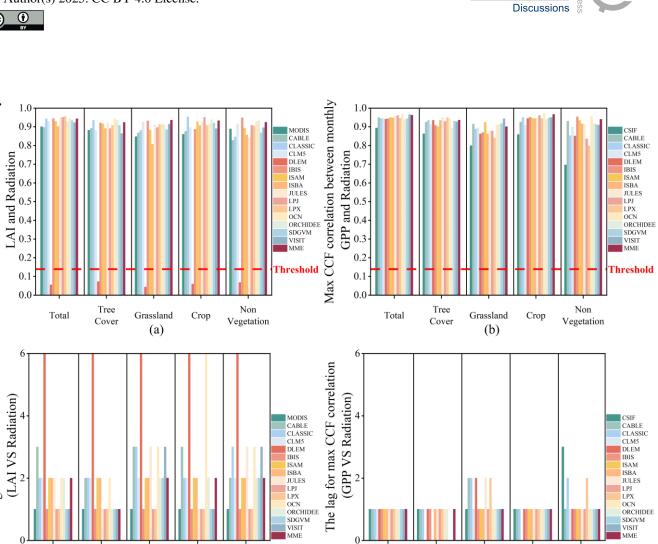
Cover

Grassland

(c)

Crop

Total



Earth System

Dynamics

370

Fig. 10. The overall CCF of observed and simulated data with radiation. (a-b) The maximum CCF correlation between observed and simulated LAI and GPP and radiation; (c-d) The lags between observed and simulated data corresponding to radiation. The red line indicates the correlation coefficient corresponding to the significance level (p = 0.05). The values over the red line indicated that the LAI or GPP can capture the seasonality of the climate factor in phase.

Tree

Cover

Grassland

(d)

Crop

Total

Non

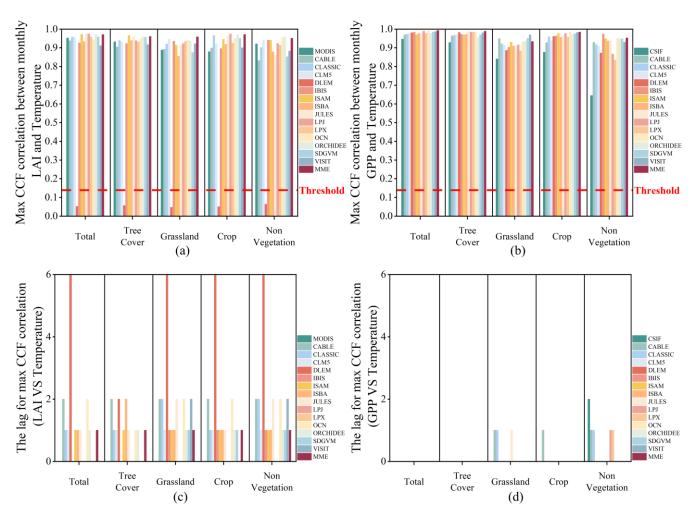
Vegetation

Non

Vegetation







375

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Fig. 11. The overall CCF of observed and simulated data with temperature. (a-b) The maximum CCF correlation between observed and simulated LAI and GPP and temperature; (c-d) The lags between observed and simulated data corresponding to temperature. The red line indicates the correlation coefficient corresponding to the significance level (p = 0.05). The values over the red line indicated that the LAI or GPP can capture the seasonality of the climate factor in phase.

380 4 Discussion

In this study, our findings revealed discrepancies in simulating trends in LAI and GPP, highlighting the limitations of DGVMs in China. Specifically, the models tended to underestimate LAI and GPP in forested areas, overestimate them in grassland regions, and exhibit inaccuracies in agricultural zones. A likely contributing factor to these discrepancies is the models' overestimation of the sensitivity of LAI and GPP to elevated CO₂ concentrations in China. Despite these challenges, the models demonstrated a solid capacity to capture the seasonal variations of LAI and GPP. This ability is likely due to their

effective representation of vegetation responses to seasonal climatic factors. Numerous studies have shown that vegetation





dynamics are shaped by a complex interaction of factors, with human activities and climate change playing significant roles (Li et al., 2021; Liu et al., 2022; Ge et al., 2021). The capacity of these models to accurately simulate the effects of both natural and human influences on vegetation dynamics is critical to their overall effectiveness.

4.1 The environmental drivers of long-term changes on vegetation dynamics and photosynthetic capacity

- Increasing concentrations of CO₂, climate change, nitrogen deposition, and land use collectively shape long-term vegetation greening trends (Piao et al., 2013; Li et al., 2024). Our study indicates a significant misestimation of trends in simulated LAI and GPP at both pixel and regional scales in China (Fig.1-3). This apparent accuracy in capturing overall LAI may arise from the spatial heterogeneity in overestimation and underestimation of LAI trends across regions, which offsets errors in the calculation of overall simulated LAI (Fig.1a, Fig 2). The primary reason for these inaccuracies in simulated LAI and GPP trends at pixel scale appears to be the limited ability of DGVMs to capture vegetation responses to elevated CO₂ concentrations (Fig.4-5). This aligns with previous findings that underscore the significant role of CO₂ fertilization in global vegetation changes (Zhu et al., 2016; Piao et al., 2020). Differences between observed and simulated LAI trends reveal a spatial pattern similar to those seen in the disparities between observed and simulated LAI responses to CO₂ concentration,
- 400 indicating that misestimation of simulated LAI trends likely stems from the model's inadequate representation of LAI response to CO₂ variations (Fig.2, Fig.5). Besides, the model's representation of LAI response to climate change appears to have a minor effect on trend accuracy, which is less critical than the response to CO₂ (Fig. 4-5, Fig. S9-S11). One the other hand, the spatial patterns of dominant environmental and anthropogenic drivers affecting observed LAI responses differ markedly from those affecting simulated responses (Fig. S13). In particular, the areas where dominant drivers in the model
- 405 diverge from actual drivers are prominent in southeastern and south-central forested regions, northern and western grasslands, and northern crop areas in China (Fig. S13 a, b). For example, in south-central and southeastern forests, the model suggests that temperature is the primary driver of LAI trends, whereas CO₂ and anthropogenic factors are the actual dominant influences. Conversely, in northern and western grasslands, the model emphasizes CO₂ dominance, while precipitation is, in reality, the primary driver. These discrepancies partly explain the model's underestimation of LAI response to CO₂ in
- 410 southern forested areas and its overestimation in northern grasslands (Fig. 5). Parameterization and model structure are fundamental for ecosystem models to generate realistic projections, playing a critical role in their accuracy (Luo et al., 2016). On the one hand, due to the complexity of physiological processes and environmental interactions in ecosystems, model accuracy is highly sensitive to parameterization. However, DGVMs often simplify these interactions, using empirical functions to model leaf-level photosynthetic processes—such as CO₂ partial pressure, carboxylation, and electron transport
- 415 (Reich et al., 2014; Wang et al., 2017). This approach can result in modeled stomatal conductance responses to environmental factors that deviate from observed reality, particularly during the light reaction phase (Buckley, 2017; Mcdermid et al., 2021). Simulating stomatal conductance under varying conditions (temperature, moisture, vapor pressure, and light radiation) on a global scale is challenging, as models typically rely on a single temperature response curve, which fails to capture ecosystem variability (Powell et al., 2013; Trugman et al., 2018; Lin et al., 2015). Furthermore, the species-





- 420 specific sensitivity of stomatal conductance to CO₂ is often overlooked, limiting the model's ability to reflect diverse physiological responses (Buckley, 2017). DGVMs generally employ Plant Functional Types (PFTs) to simulate vegetation responses to environmental changes (Rogers et al., 2017), but PFTs simplify plant functional and adaptive traits, reducing the accuracy of physiological responses under climate change (Rogers et al., 2014). Given substantial interspecies differences in photosynthetic and water-use efficiencies, a single PFT approach is insufficient to represent the varied responses of diverse plant types to environmental shifts (Rezende et al., 2016; Rogers et al., 2014).
- On the other hand, model behavior is also shaped by equations representing real-world processes, which affect the model's capacity to simulate system functions accurately (Luo et al., 2016). Studies show that current models fail to capture nitrogen and phosphorus limitations on CO₂ fertilization effects (Terrer et al., 2019). Nutrient limitations, particularly of nitrogen and phosphorus, can lead to reduced Rubisco—an essential, nitrogen-rich enzyme in photosynthesis—which, in turn, down-
- 430 regulates photosynthetic capacity and decreases CO₂ assimilation by vegetation (Ainsworth and Rogers, 2007; Long et al., 2004; Terrer et al., 2016; 2018). DGVM assumptions of C-N and C-P coupling are thus inadequate. While plants employ various symbiotic strategies to mitigate nitrogen limitation, DGVMs often overlook these mechanisms (Sulman et al., 2019). Additionally, evidence from phosphorus-limited ecosystems suggests that elevated CO₂ may aid plants in acquiring or mineralizing phosphorus (Nazeri et al., 2013). However, models generally assume a relatively closed phosphorus cycle, and
- 435 the fundamental dynamics of vegetation under phosphorus limitation remain poorly understood, introducing uncertainty in C-P coupling (Medlyn et al., 2016).

4.2 Impacts of human activities on vegetation dynamics

While linear models are useful for analyzing the contribution of environmental factors to long-term vegetation trends, determining the impact of human activities on vegetation dynamics remains a subject of debate in previous studies (Ge et al.,

- 440 2021; Liu et al., 2022). This uncertainty arises from the complexity of human activities and the diverse conditions across different study areas (Liang et al., 2023). In China, specific human activities, such as enhancing the productivity of cropland and implementing reforestation policies, have been shown to significantly enhance vegetation growth (Zhang et al., 2000). Conversely, urbanization and other similar human activities have been found to have a considerable negative effect on vegetation growth (Wang et al., 2022b; Lin et al., 2019; Jiang et al., 2022). To quantify the effects of land use changes
- 445 driven by human activities, two sets of scenarios were applied in DGVMs, which the S3 scenario applies historical changes in land use, and the other S2 scenario a time-invariant pre-industrial land cover distribution. By difference of the two scenarios, the dynamic evolution of vegetation in response to land use change can be quantified (Friedlingstein et al., 2022). In this study, we analyzed the trends of grazing intensity and crop acreage in provinces predominantly characterized by grassland and cropland. This analysis was conducted by examining the number of livestock and crop acreage from the
- 450 respective provinces' statistical yearbooks. Additionally, tree cover data are utilized to represent actual regional trends in forested land, allowing us to assess the model's ability to capture the effects of human activities on LAI. The S3-S2 scenario was employed to evaluate the influence of land use change on vegetation LAI and GPP as perceived by the DGVM model





(Fig. S14). Overall, the model did not show a significant effect of land use change on LAI in forested land and grassland areas within the MME. Spatially, most simulated LAI and GPP trends exhibited similar patterns under the S3-S2 scenario 455 (Fig. S15 and Fig. S16), with most of the area showing insignificant changes (72.473% and 87.308% of the area showed insignificant changes in LAI and GPP, respectively). In terms of tree cover change data (Fig. S17), there was a significant increase in tree cover in the southern and northeastern regions of China, with 80.603% of the area experiencing growth, and 31.544% showing significant growth. Across different land use types all land use types showed an increase in tree cover, with particularly growth observed in overall, forested land, and cropland, particularly in forested land where the annual 460 growth rate reached 0.417(Fig. S17). Data on grazing intensity and cropped area were obtained from statistical yearbook for grassland and cropland, respectively, and trends in grazing intensity or cropped area were compared with trends in normalized LAI from the model's S3-S2 scenario (Fig. S18). The results indicated that the model's representation of LAI changes due to land use change was inadequate in several provinces dominated by cropland and grassland. For instance, the model's normalized LAI trend does not accurately reflect the actual anthropogenic changes on vegetation LAI in IM 465 province, where the changes were insignificant. In Qinghai (QH), Tibet (TI), Sichuan (SC), Heilongjiang (HLJ), and Hunan

(HN), the direction of the LAI trend in the model's S3-S2 scenario contradicts the direction of anthropogenic effects on vegetation LAI. Consequently, the model fails to adequately capture the intensity or direction of anthropogenic impacts on vegetation LAI.

Although DGVMs can dynamically adjust to imposed land use change, there are substantial limitations for models to

- 470 response to land-use changes, which struggle to ensure the quality of input data and incorporate land management practices and accurately simulate ecosystem responses to change. Most of DGVMs use the HYDE and LUH2 database for land use change data input variables (Klein Goldewijk et al., 2017; Hurtt et al., 2020). The historical land use estimates within the datasets exhibit regional variations and limitations of spatial resolution accuracy, which may result in inaccurate simulations of responses to land use changes due to discrepancies in the data (Prestele et al., 2016). The globally applicable gridded
- 475 dataset necessitates simplifications of patterns and historical trends in deforestation, cropland, and pasture management, yet these simplifications inherently introduce uncertainties (Prestele et al., 2016; Arneth et al., 2017). These limitations may contribute to the model's inadequate representation of anthropogenic factors affecting regional vegetation LAI and GPP trends in China, as the models struggle to accurately capture the impacts of human activities on forested land, grassland, and cropland.

480 4.3 Climatic factor influencing the seasonal performance of the DGVM

In contrast to the vegetation trend, the models simulate the seasonal cycle of LAI and GPP excellently both overall and spatially (Fig. 6-8). The simulated GPP also demonstrates high seasonal cycle correlations with observed GPP in sites (Fig. S12). Seasonal variations in precipitation, radiation, and temperature play a crucial role in influencing the seasonal dynamics of vegetation (Hou et al., 2022; Duan et al., 2022; Wu et al., 2015). While temperature is often considered the primary factor

485 controlling plant phenology, the complex interactions between temperature and other climatic factors cannot be overlooked





(Flynn and Wolkovich, 2018). Radiation works in concert with temperature to regulate the timing of defoliation, meet vegetation growth thresholds, and influence phenological events (Flynn and Wolkovich, 2018; Chuine, 2010), while precipitation affects plant phenology primarily through its indirect influence on the thermal requirements of these events (Fu et al., 2014). The findings that observed LAI and CSIF exhibit similar seasonal fluctuations in response to the three key 490 climate factors suggest that the model may effectively capture the response to seasonality of vegetation in China (Fig. S19-Fig. S20). In this paper, we verified the seasonal cyclic correlation of simulated LAI and GPP with climate factors through CCF (Fig. 9-11), and demonstrated that the simulated LAI and GPP equipped with realistic seasonal cycles due to the simulated vegetation's ability to respond to cyclic climatic variations affecting its seasonality. Given that the Farquhar model and its variants, which underpin the photosynthesis module of the DGVM, provide a detailed and unified understanding of 495 the photosynthesis (Farquhar et al., 1980), DGVMs are capable of reasonably simulate dynamics of photosynthesis and vegetation change (Zhao et al., 2022). To further improve simulation accuracy, researchers have developed more mechanistic models that account for vegetation growth influenced by seasonal climate variations (Piao et al., 2019). Moreover, we observed that the simulated LAI response to seasonal climate factors typically lags behind simulated GPP response, which aligns with the analysis by Zhao et al. (2022). This discrepancy arises because the model simulates carbon allocation to 500 canopy structure in response to seasonal climate changes more slowly than what occurs in reality (Zhao et al., 2022; Du et al., 2020).

4.4 Uncertainties of the observed LAI in China

Remotely sensed observation used in the study might be compromised by various factors such as atmospheric disturbances and sensor errors, leading to noise, blurring, and distortion. Even with the use of the latest reprocessed MODIS LAI data and

- 505 advanced spatio-temporal filtering techniques, it is impossible to completely eliminate the potential impacts of cloud cover, aerosol pollution, and sensor degradation (Yuan et al., 2011; Zou et al., 2023). These limitations mean that satellite-based estimates may inherently underpredict values, requiring careful consideration when comparing model data to ensure appropriate metrics and accurate site-based comparisons for model evaluation (De Kauwe et al., 2016). The quality of the MODIS LAI data used in this study is particularly low in non-vegetated areas, but significantly better in vegetated regions
- 510 (Fig. S21). Additionally, in northern China, pixel quality is severely affected from December to February due to snow accumulation and other factors. Consequently, the pixel quality of the remotely sensed observation themselves represents the primary source of uncertainty in the experiments conducted in this study.

5. Conclusion

This study evaluated the long-term trends and seasonality performance in LAI and GPP in DGVMs in China from 2003 to

515 2019. Overall, DGVMs struggled to accurately capture the trends of simulated LAI and GPP in the region, though they effectively captured their seasonality. While the overall trend of simulated LAI was reasonably represented, the trend of



520



simulated GPP was not. Spatially, both simulated LAI and GPP trends exhibited similar inaccuracies, with notable misestimations. The distribution of these over- and underestimations in simulated LAI trends was closely linked to land use type: the models tended to underestimate LAI and GPP trends in forested areas, overestimate them in grasslands, and misestimate them in croplands. Despite these issues, the seasonal variations of simulated LAI and GPP were consistent with

- both measurements and remotely sensed observations, demonstrating a strong alignment in capturing seasonal fluctuations. To elucidate the reasons for the inability of DGVMs to simulate LAI trends accurately and the good performance in seasonal variations of simulated LAI and GPP, we analyzed the model's shortcomings in responding to environmental and anthropogenic changes, as well as its correlation with seasonal climate factors and lagged effects. The findings indicate that:
- 525 (1) With regard to environmental factors, the simulated LAI and GPP exhibited an inadequate sensitivity in response to changes in environmental conditions, particularly to CO₂ concentrations. Furthermore, the failure to capture the impacts of human activities on vegetation dynamics is likely due to limitations in input land-use data and the oversimplified representation of land management and land-use change in DGVMs. Overall, the insufficient response of the simulated LAI and GPP to CO₂ fertilization effects is the primary factor contributing to the model's misestimation of LAI and GPP trends in
- 530 China. (2) The accurate capture of seasonal variations in simulated LAI and GPP in response to climate factors strongly supports the reliability of DGVMs in modeling seasonal fluctuations in China.

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Competing interests:

The authors declare that they have no conflict of interest.

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