Ocean biogeochemical reconstructions to estimate historical ocean CO$_2$ uptake

Raffaele Bernardello[1], Valentina Sicardi[1], Vladimir Lapin[1], Pablo Ortega[1], Yohan Ruprich-Robert[1], Etienne Tourigny[1], and Eric Ferrer[1]

[1]Barcelona Supercomputing Center

Correspondence: Raffaele Bernardello (raffaele.bernardello@bsc.es)

Abstract. Given the role of the ocean in mitigating climate change through CO$_2$ absorption, it is important to improve our ability to quantify the historical ocean CO$_2$ uptake, including its natural variability, for carbon budgeting purposes. In this study we present an exhaustive intercomparison between two ocean modelling practices that can be used to reconstruct the historical ocean CO$_2$ uptake. By comparing the simulations to a wide array of ocean physical and biogeochemical observational datasets,

5   we show how constraining the ocean physics towards observed temperature and salinity results in a better representation of global biogeochemistry. We identify the main driver of this improvement to be a more ~~realistic representation of~~ vigorous large scale meridional overturning circulation together with improvements in mixed layer depth and sea surface temperature. Nevertheless, surface chlorophyll was rather insensitive to these changes, and, in some regions, its representation worsened. We identified the causes of this response to be a combination of a lack of robust parameter optimization and limited changes in

10   environmental conditions for phytoplankton. We conclude that although the direct validation of CO$_2$ fluxes is challenging, the pervasive improvement observed in most aspects of biogeochemistry when applying data assimilation of observed temperature and salinity is encouraging; therefore, data assimilation should be included in multi-method international efforts aimed at reconstructing the ocean CO$_2$ uptake.

1   Introduction

15   The ocean is responsible for absorbing approximately 25% of CO$_2$ emissions derived from human activities (Gruber et al., 2023). However, a growing body of evidence highlights the need to understand better the links between natural climate variability and ocean carbon cycle dynamics, pointing to the ocean carbon sink being more variable than previously assumed (DeVries et al., 2023; Gruber et al., 2019; McKinley et al., 2017). Understanding the mechanisms behind this variability can lead to better estimates of the ocean carbon sink. ~~This becomes particularly important in the context of a future decline of~~

20   ~~global~~ In a context of declining CO$_2$ emissions, the relative importance of variability in air-sea CO$_2$ ~~emissions and the UN Framework Convention on Climate Change stocktaking~~ fluxes (driven by natural climate variability) increases with respect to the net uptake of the anthropogenic fraction of CO$_2$. This means that being able to quantify the natural variability becomes paramount for the detection and attribution of a changing trend in ocean CO$_2$ uptake, which can have significant implications for stocktacking activities. For this reason, the global carbon cycle scientific community has devoted ~~significant~~ increasing ef-

forts over the past few decades to refine our model-based estimates of past ocean carbon uptake. These estimates are hindered by the scarcity of year-round observations in vast global ocean regions and by natural variability in air-sea CO_2 fluxes. The natural variability is superimposed on a long-term trend driven by the increase of atmospheric CO_2 concentration. Moreover, since climate change is affecting the ocean's physical state, it is reasonable to expect that this will, in turn, also affect the ocean's ability to absorb carbon. However, since the observational record spans only 3 decades, detecting trends in air-sea CO_2 fluxes that are driven by climate change is challenging. As an example, large variability in the Southern Ocean was in the past interpreted to possibly be an effect of climate change (Le Quéré et al., 2007; Lovenduski et al., 2007), while a decade later, these variations are being explained as a result of natural variability in regional atmospheric circulation (Landschützer et al., 2015; Keppler and Landschützer, 2019).

Coordinated international efforts, like the global carbon project (Friedlingstein et al., 2022) try to quantify and possibly predict the global carbon budget (GCB) by estimating the amount of CO_2 emitted each year and the fractions being absorbed by the ocean and land vegetation. Because of the scarcity of observations, these efforts rely heavily on modelling work. For the oceans, traditionally, the evolution of the air-sea CO_2 flux has been estimated using Ocean Biogeochemical General Circulation Models (OBGCMs). These are forced with atmospheric reanalysis (based on observations of physical atmospheric variables) for a given period, usually spanning around 60 years. In these simulations the ocean physics and biogeochemistry are left free to evolve in response to the atmospheric forcing and the prescribed atmospheric CO_2 concentrations.

In parallel, over the last two decades, climate models have been increasingly used to predict climatic conditions from a few months up to a decade ahead (Merryfield et al., 2020; Bilbao et al., 2021), with experiments commonly referred to as decadal climate predictions. This field of research lies in between weather forecasts and climate projections because it relies on both available observations to initialise the models to leverage the predictability from internal variability sources and future emissions, prescribed as boundary conditions, to faithfully capture the expected human-driven trends. Moreover, even more recently, decadal climate prediction has been extended to global biogeochemical properties, including the ocean carbon cycle (Séférian et al., 2018; Li et al., 2019; Lovenduski et al., 2019). In climate predictions, available observations are assimilated in both the atmosphere and the ocean to drive the model to an initial state consistent with the observed climate. This is done for the historical period up to present, to provide initial conditions also for predictions of the past, known as retrospective predictions, which are needed to verify the skill of the predictions, as well as to diagnose the forecast drift, which is needed to correct the future predictions. These climate simulations of the historical period in which available observations are assimilated are known as reconstructions.

When reconstructions are performed with Earth System Models (ESMs), the ocean biogeochemistry is also expected to evolve according to the observed variability. In this paper, we use the EC-Earth3 Earth System Model (Döscher et al., 2021) to explore whether and how the methodology used to perform reconstructions impacts the simulated representation of ocean biogeochemistry. In particular, we explore the differences between the standard GCB approach, which exclusively relies on

prescribing boundary conditions from atmospheric reanalyses, and the additional assimilation of observed ocean physical variables. Recent work has already highlighted the advantage of using climate reconstructions to complement the GCB (Li et al., 2023). Moreover, past work has investigated the impact of assimilating biogeochemical observations to ocean simulations with uncertain results, mostly due to the scarcity of such observations (Valsala and Maksyutov, 2010; While et al., 2012). However, few efforts have been focused on investigating the impact on biogeochemistry of assimilating only physical variables, for which we have a far more complete dataset than for biogeochemical variables (Visinelli et al., 2016; Raghukumar et al., 2015). Here, we provide a detailed evaluation of the improvement of the representation of biogeochemical variables when observations of temperature and salinity are assimilated. We make use of several observation-based products that encompass surface pCO_2 air-sea CO_2 fluxes, nutrients, and surface chlorophyll to quantify the improvement of the biogeochemistry simulated by the model when observed physical fields are assimilated.

2   Methodology

We used the ocean component of the Earth System Model EC-Earth3-CC (Döscher et al., 2021). This is composed by the NEMO ocean general circulation model v3.6, (Madec et al., 2017), coupled with the ocean biogeochemical model PISCESv2 (Aumont et al., 2015). We designed two types of simulations in which we apply atmospheric forcing from reanalysis products. In the first type, in line with the usual GCB practice, we apply the omip protocol, (Griffies et al., 2016), where only sea surface salinity (SSS) restoring towards observed climatological values is applied, besides the atmospheric forcing (hereafter, omip). The second type is a reconstruction, where we also apply surface restoring of sea surface temperature (SST) and three-dimensional nudging of temperature and salinity towards time varying observations (hereafter, Data Assimilation or DA). This two-tier approach is then duplicated using two different combinations of atmospheric reanalysis to assess the impact of observational uncertainty. Details of the simulations and references for the data products used are given in Table 1.

All simulations were first equilibrated by repeating 4 times the historical period encompassed by the respective atmospheric forcing. This procedure allows the equilibration of the thermohaline circulation for the two omip simulations (Tsujino et al., 2020). In the case of the data assimilated (DA) reconstructions, a steady-state of the circulation is already achieved at the first cycle due to the 3D nudging of temperature and salinity towards observations. For all simulations, the ocean biogeochemistry is left free to evolve responding to the ocean physics evolution. Ocean physical fields (temperature and salinity) were initialised from EN4.2.2 (Good et al., 2013) in all cases, while dissolved inorganic carbon (DIC) and total alkalinity (TALK) were initialised from GLODAPv2 (Olsen et al., 2016; Lauvset et al., 2016), macronutrients (nitrate, phosphate, silicate) and oxygen were initialised from the World Ocean Atlas 2013 (Garcia et al., 2013b, a). Moreover, dissolved organic carbon (DOC) was initialised from the fields provided by an adjoint model (Hansell et al., 2009) while dissolved iron (Fe) was initialised using the median model results from the Iron Model Intercomparison Project (Tagliabue et al., 2016). The rest of biogeochemical tracers were initialised using low uniform values.

Since this first spinup period was not enough to fully equilibrate the ocean biogeochemical fields, an extension of the spinup was performed by repeating cyclically the physics of the 4th cycle but letting the ocean biogeochemical fields free to evolve. The total spinup time was 525 years for JRA55 simulations and 513 years for the ERA5 simulations. To be consistent with the simulation protocol designed for the Global Carbon Budget 2022 (Friedlingstein et al., 2022), during the spinup phase, atmospheric CO_2 concentration was held constant at 278 ppm, corresponding to the value in the year 1777. The spinup phase was enough to bring the air-sea CO_2 flux drift in all simulations to within 0.1 PgC yr^{-1} on a long-term average (Jones et al., 2016). At the end of the spinup, the historical period (1778-2021) was simulated by repeating the atmospheric forcing and by prescribing the observed atmospheric CO_2 time-series used in the GCB2022.

In DA simulations, the procedure includes restoring of SST and SSS as well as 3D temperature and salinity Newtonian dumping below the mixed layer. We modified the restoring timescale distribution of Sanchez-Gomez et al. (2016) below the mixed layer, to provide a smooth vertical variation between 10 days (above 800m) and 360 days (below 800m). This relaxation is applied everywhere except for the equatorial band between 15^oS-15^oN (where we leave a 10-times weaker nudging) due to the highly dynamical nature of this region which makes nudging problematic, resulting in spurious vertical velocities that introduce unrealistic injections of nutrients into the surface layers (Sanchez-Gomez et al., 2016; Park et al., 2018). At the surface, SST is restored using a feedback coefficient between flux and temperature of -200 W/m$_2$/K while the feedback parameter for freshwater fluxes is set at -750 mm/day.

In all model simulations, river nutrient input was prescribed as a climatology based on the GLOBAL-NEWS2 dataset (Mayorga et al., 2010), while DIC and alkalinity river input are based on the output of the Global Erosion Model (Ludwig et al., 1996). We note here that this procedure is in contrast with the GCB protocol, which recommends river fluxes of nutrients and carbon to be switched off. However, in agreement with the GCB procedure (Hauck et al., 2020), for every simulation, we also performed a control simulation, where atmospheric CO_2 concentration was kept constant at the preindustrial value. When calculating global air-sea CO_2 fluxes, we fit a linear trend to the global air-sea CO_2 flux time-series of the control simulation and then subtract this linear trend from the respective historical simulation. With this approach, we do not remove the interannual variability of the historical but we remove the drift (assuming it's the same in control and historical), any long-term trend in the natural carbon flux due to climate variability and change, as well as the outflux caused by the imbalance between river flux of carbon and sediment burial. The latter is slightly higher in the two omip simulations ($0.26 - 0.28$ Pg C yr^{-1}) than in the two DA simulations (0.21-0.23 Pg C yr^{-1}).

The control simulations were also used to estimate the distribution of anthropogenic DIC (DIC$_{ant}$) by taking the difference in DIC distribution between each of the four simulations and their respective control.

We use several observational datasets to evaluate the performance of our simulations. Details of the datasets used are given in Table 2. For SOCAT and GLODAP variables we used the point-values (i.e. not interpolated) and matched the model's output

in space and time to calculate evaluation metrics. For DIC_{ant} we used the estimate that was distributed with the first release of GLODAPv2.2016, which is representative of accumulated DIC_{ant} in 2002. GCB2022 provides a central estimate of the global

130   ocean CO_2 flux which is an average of 7 observation-based products and 10 OBGCM's estimates. The latter are produced with a suite of ocean biogeochemical models and using omip-like simulations (i.e. no data assimilation). For NOAA ERSST, IAPv4, EN4.2.2 and SEANOE-MLD climatology, we used the gridded versions to calculate evaluation metrics. For OC-CCIv6.0 we used the level-3 gridded monthly data and subsampled model's output to match only valid points in the satellite images, before calculating differences. Finally, we used the most recent RAPID-MOCHA-WBTS (RAPID – Meridional Overturning Circula-

135   tion and Heatflux Array- West Boundary time series, hereafter RAPID array) monitoring time series and compared it with our modelled vertically integrated transport at 26^oN. References for these datasets are reported in Table 2.

To better characterise the differences in large scale global circulation between omip and DA simulations, we also consider the meridional volume streamfunction as a representation of the meridional overturning circulation (MOC) for the Atlantic, Pacific and Southern oceans. Moreover, we use the idealised age tracer to describe differences in ocean ventilation patterns

140   across simulations. This tracer represents the time passed since a given parcel of water was last in contact with the atmosphere and it is particularly useful to highlight changes in the rate and position of water masses formation.

3   Results

We compare the total carbon uptake in our simulations with the estimate from the GCB2022 (Table 3). The average uptake per decade is in general, lower in our simulations than for the GCB2022 estimate, however, when comparing the omip simulations

145   with their respective DA counterparts, the uptake is generally increased in the latter, bringing it closer to the GCB estimate. This is confirmed by the time series of yearly integrated ocean uptake showing that data assimilation moves both the JRA55 and the ERA5 estimates upward, closer to the GCB2022 estimate (Fig. 1). In particular, it is worth noticing how the omip simulations are very close to the multi-model mean of the GCB2022 while the DA simulations separate from this, moving upward and getting closer to the GCB2022 estimate that also includes observation-based products. To further compare our simulations

150   with the GCB2022, we provide a correlation matrix where all our simulations and all the individual GCB2022 models are correlated with the GCB2022 central estimate as well as with the observation-based products that contributed to it. We have ranked the models from high to low depending on their correlation with the central GCB2022 estimate and we notice that our simulations are overall comparable with the rest of models but, more importantly, the DA simulations have a higher correlation with GCB2022 than the omip simulations (Fig. 2). These results indicate that data assimilation is beneficial to improving the

155   trajectory of the yearly globally integrated time-series, when assuming as benchmark the central GCB2022 estimate. However, this estimate is also dependent on models that share similar characteristics to our model and thus, likely, the same biases.

To provide an independent evaluation of the effect data assimilation has on the representation of the ocean carbon cycle we turn to the most comprehensive observational dataset of surface pCO_2. We sample the model's output in time and space to

160   match available observations in SOCAT. These are averaged globally and then in time to give annual averages values (Hauck

et al., 2020). From these time series we calculate the root mean square error (RMSE) and correlation coefficients between each simulation and SOCAT (Fig. 3). The differences among the model time series are small and barely discernible. Nevertheless, everywhere except in the tropics we see an increase of the correlation coefficient and a decrease of the RMSE when moving from the omip to the DA simulations, confirming the beneficial effect of data assimilation on the representation of the carbon

165 cycle.

In a similar effort, we compared our simulations to other available observations, besides surface pCO_2. We used the GLO-DAPv2 database and repeated the same method we used for SOCAT to calculate the RMSE between each simulation and the observations, for six biogeochemical variables. In Fig. 4 we show the relative reduction of RMSE for every variable, when

170 moving from omip to DA. Depending on the variable, the reduction of RMSE ranges from approximately 40% for DIC to close to 10% for nitrate, phosphate and oxygen. Despite this variability, the representation of all variables is systematically improved when using DA with respect to omip. Such a pervasive and consistent improvement is likely related to a better representation of the three-dimensional large scale circulation. Although the 500 years biogeochemical spinup of our simulations (cf. methodology) may not be enough to equilibrate ocean biogeochemical tracers completely, we consider it sufficient for

175 the ocean dynamic to influence their large-scale distribution. As a further confirmation, we separate the ocean volume in two layers, the upper layer (0-1000m) and the deep layer (1000m-bottom) and repeat the same procedure to calculate again the RMSE between the available observations and the model's output (Table 4). Even when considering the two portions of the ocean's volume separately, the error reduction is generalised to all variables and has similar values to those observed for the global assessment done in Fig. 4.

180

To verify that indeed large-scale circulation is improved when using data assimilation, we compare the maximum transport at 26 ^{o} N in the Atlantic Ocean with the measurements taken by the RAPID array as a proxy for the strength of the Atlantic Meridional Overturning Circulation (AMOC; Fig.5). Again, we observe how data assimilation is associated with a reduced distance with respect to the observational reference. The omip simulations are characterised by low AMOC values

185 that are strengthened when using data assimilation. ~~Finally, we have evaluated the impact of data assimilation on Sea Surface Temperature (SST), using The Extended Reconstructed Sea Surface Temperature (ERSSTv5) dataset (Huang et al., 2017), a product different from those used for the data assimilation itself (Good et al., 2013). Although we notice that all SST products must share the majority of the observations on which they are based, and therefore cannot be considered independent from each other, we use this exercise to assess once more whether the data assimilation is pushing the model's solution towards the~~

190 ~~observed state in a consistent way between the two different atmospheric forcings. In Fig. 14 we see how JRA55-omip and ERA5-omip are on opposite sides of the observed values and how data assimilation brings both simulations' estimates closer to it~~This is confirmed by looking at the meridional volume streamfunction for different ocean sectors (Fig.6 and 7). We see an increased and deeper transport in the Atlantic Ocean in both simulations when applying data assimilation. Also, in the Southern Ocean, transport is increased along the pathway of mode and intermediate water formations, between 50°-45^oS, pointing to

195 an increased ventilation up to 1000m depth. In the North Pacific intermediate depth ventilation seems also to increase with a

peak at around 40°N. In the deep ocean, below 2000m depth, the lower limb of the meridional overturning circulation is also strengthened in the Southern Ocean, in the whole Pacific Ocean and, although less markedly, also in the Atlantic ocean.

As a result of these changes in global circulation the ideal age tracer also shows consistent changes, where younger water penetrates deeper in the North Atlantic, Southern Ocean and North Pacific (Fig. 8). At the same time, water age increases in the upper 1500m of the subtropical gyres while there is a general increased ventilation in the deep ocean, below 2000m, particularly in the Pacific Ocean. Important differences can be observed in the Atlantic ocean between ERA5 and JRA55, as the penetration of younger waters in ERA5 is restricted mainly between 1000-2000m depth, while for JRA55, the increased ventilation seems to extend deeper, below 3000m depth.

We also evaluated the effect data assimilation has on Mixed Layer Depth (MLD), an important metric for mass and energy exchanges between the atmosphere and the ocean. In this case, we use the climatology from de Boyer Montégut et al. (2004) as a reference. In Fig. 9 we can see how the bias is reduced in DA simulations with respect to the omip ones. This is particularly true in regions that are important hot-spots of CO_2 exchange between the ocean and the atmosphere, ~~like the Southern Ocean, the~~ . For example, a deep bias is reduced in the North Atlantic for ERA5 while for JRA55 the bias reduction goes in the opposite direction, towards correcting a shallow bias. In the Southern Ocean a shallow bias is clearly reduced in the Pacific and Atlantic sectors for ERA5, while the changes for JRA55 are less evident.

To better understand the reasons for the differences in CO_2 uptake between omip and DA simulations, we have looked at the distribution of DIC_{ant} and the respective differences in the accumulation within the ocean interior (Fig. 10). Overall, when applying DA, we can see how DIC_{ant} decreases in the shallow water of the subtropical gyres while there is a generalised increase of DIC_{ant} at high latitudes, even in shallow waters. In particular, the regions that show the largest increases in DIC_{ant} are the North Atlantic and the ~~North-West Pacific~~ .Southern Ocean, where the increase is also visible at 2000m depth. In the meridional sections in Figure 11, we can observe the increase in DIC_{ant} in the formation region of intermediate and mode waters in the Southern Ocean, particularly in the Pacific and Atlantic sectors, and the increase in the deep North Atlantic associated with an increase in AMOC. Here, consistently with the behaviour of the age tracer, there is an important difference between ERA5 and JRA55, with the former showing a decrease in DIC_{ant} located below 2500m depth while the latter shows a marked increase.

We have also compared the distribution of DIC_{ant} with the estimate from GLODAP (Fig. 12 and 13). Here we can see how the negative bias with respect to the observation-based reference is reduced when applying data assimilation in both ERA5 and JRA55. This is particularly true for the Southern Ocean where an increased ventilation allows for a deeper penetration of DIC_{ant}. Overall, there is a reduction in RMSE when applying data assimilation (-10.2% for ERA5 and -7% for JRA55).

For completeness, we have evaluated the impact of data assimilation on Sea Surface Temperature (SST), using observation-based

products different from those used for the data assimilation itself (see Table 2). Although we notice that all SST products must share the majority of the observations on which they are based, and therefore cannot be considered completely independent from each other, we use this exercise to assess once more whether the data assimilation is pushing the model's solution towards the observed state in a consistent way between the two different atmospheric forcings. We consider here three products and in

235   all cases data assimilation is closer to these estimates than the omip simulations, in particular after 1990 (Fig. 14).

To complete our evaluation, we also compared surface chlorophyll produced by our simulations with the OC-CCIv6.0 dataset (Fig. 15). Similarly to what was done for the Mixed Layer Depth, we show the difference between model and observations, using a yearly climatology. In this case, we can observe how the effect of data assimilation is overall negligible except in a

240   narrow band between 30° N-40° N in both the North Atlantic and the North Pacific, where the bias is actually slightly increased.

4 Discussion

We have compared two pairs of simulations performed with different atmospheric forcing reanalysis to evaluate the impact of assimilating observations of temperature and salinity on the overall representation of ocean biogeochemistry. The evaluation

245   of CO_2 fluxes is problematic because there is no accepted observation-based product to be used as a single benchmark. All the existing estimates begin from the same surface pCO_2 dataset and each uses its own method to fill the blanks. We have shown that data assimilation consistently produces estimates of CO_2 fluxes that are better aligned with the central estimate of the Global Carbon Budget 2022 than their omip counterpart. However, the estimate of the GCB2022 is a combination of observation-based products and omip-type simulations performed with a suite of ocean biogeochemical models. That is, only

250   atmospheric forcing is provided as a surface boundary condition to the ocean model and no data assimilation is done. For this reason, showing that our estimates produced with data assimilation correlate better with the GCB22 estimate is informative but not enough to determine with confidence whether one practice (DA) is better than the other (omip).

For the above reasons, we decided to evaluate the performance of our simulations using the most comprehensive obser-

255   vational datasets available for several biogeochemical variables. When evaluating our simulations directly against the in-situ observations of pCO_2 we observed a consistent improvement when applying data assimilation. Similarly, for other biogeochemical variables the evaluation gives consistent results going in the same direction. It is important to remember that no direct data assimilation is provided for these variables and the degree to which they are impacted by the representation of the physical state of the ocean varies depending on the variable. Given this, it is hard to pinpoint a single cause for the improvements we see

260   in biogeochemical variables when we apply data assimilation of temperature and salinity.

The distribution of macronutrients (nitrate, phosphate and silicate) is controlled by large-scale three-dimensional circulation (e.g. AMOCMOC), vertical mixing (e.g. MLD) as well as by primary productivity at the surface (e.g. chlorophyll here is used

as a proxy for phytoplankton biomass). The same considerations apply to surface pCO_2 and related CO_2 fluxes because these are impacted by both the large scale distribution of DIC and Alk but also by vertical mixing and, in some regions by primary productivity. The same is true for oxygen as intermediate and deep water ventilation, together with vertical mixing, represent the main input of this gas into the interior of the ocean. However, the solubility of both O_2 and CO_2 is strongly dependent on temperature, and thus, the data assimilation of this variable is likely to have a positive direct impact in their representation.

Based on these considerations, it is reasonable to assume that an improvement in the representation of the large-scale circulation is the main responsible for an improved distribution between the upper and lower layers of all the tracers considered here. We have shown how data assimilation led to AMOC values that are closer to observations with respect to the omip simulations. This result is in line with Karspeck et al. (2017), who also found that subsurface constraining resulted in a greater AMOC mean strength and enhanced variance with respect to reference simulations with no data assimilation. ~~Such improvement is also backed up by the reduced bias in MLD in the subpolar North Atlantic , a key region for the formation of North Atlantic deep water, which, in turn , is directly linked to the strength of the AMOC~~ The changes observed in the meridional volume streamfunction in the Southern ocean, North Atlantic and North Pacific together with the changes observed in the distribution of the ideal age tracer, all point to a more ventilated ocean which in turn have direct consequences in the distribution of biogeochemical tracers like oxygen and nutrients.

The improvement observed in the representation of the MLD is due to the ameliorated density profile obtained with data assimilation as the wind stress doesn't change between omip and DA simulations. The MLD can have a significant impact on the flux of CO_2, especially in those regions where we observed a bias reduction in the MLD itself. ~~However, with no accepted~~ This impact becomes evident in the North Atlantic, where we observe marked changes in the penetration of DIC_{ant} when applying data assimilation. Since the target observation dataset used for assimilating temperature and salinity in the ocean interior is the same between ERA5 and JRA55 (see Table 1), the resulting AMOC is rather similar in the two DA simulations due to the dominance of the resulting thermohaline structure (see Fig. 6 and 7, upper row), over other factors (e.g. atmospheric forcing). Yet, the changes in DIC_{ant} distribution caused by DA are different between ERA5 and JRA55 (see Fig. 11). This is due to a different response of the MLD over this region, where data assimilation causes MLD to deepen in the case of JRA55 and to shoal in the case of ERA5. This difference results in a deeper penetration of DIC_{ant} for JRA55-DA than for ERA5-DA with respect to their omip counterparts. However, it's important to notice that the resulting DIC_{ant} distribution in this region is rather similar between ERA5-DA and JRA55-DA because these simulations share a very similar AMOC and a MLD that gets closer to observations from opposite ends.

We acknowledge that the global thermohaline structure resulting from assimilating temperature and salinity in the interior of the ocean strongly depends on the observation-based ~~product as a reference for CO~~ product used. Each product comes with its own problems and advantages. The product used here, EN4.2.2, displays for some regions a higher error variance than climatology while, at depth, there is evidence that the climatological error variance is underestimated (Good et al., 2013). The

decision to use this specific product was the result of a thorough analysis and trial/error attempts, testing also other products, to

300 obtain a robust reconstruction that could provide initial conditions for near-term climate predictions (Bilbao et al., 2021). The same reasoning applies to our choices of dataset for surface restoring of temperature and salinity which were guided by the necessity to make sure that both SST and SSS fields were physically consistent with each other and with the atmospheric forcing applied. This exercise is repeated periodically with the objective to continuously improve the seasonal-to-decadal predictive system and thus, in the future, using a different or improved dataset could lead to further improvement in the representation of

305 ocean biogeochemistry.

Overall, data assimilation results in a more ventilated ocean and, consequently, a deeper penetration of DIC_{ant} in the interior of the ocean, below the thermocline. Considering the comparison with the estimate of DIC_{ant} based on observations, this increase of DIC_{ant} in the deep ocean seems to be a change in the right direction. However, similarly to what occurs for CO_2

310 ~~fluxes, a deeper analysis on the impact of MLD on the CO_2 fluxes in those regions would be somewhat speculative. The same consideration applies to most of the variables considered, as the direct influence of an improved representation of the MLD on any specific variable is difficult to disentangle.~~ fluxes, estimates of DIC_{ant} suffer from great uncertainty because the concentration of DIC_{ant} cannot be measured directly and must be derived using a variety of methods (Khatiwala et al., 2013) . Still, for all variables considered so far, there is a strong indication of a general improvement in their representation when

315 applying data assimilation of temperature and salinity.

Certainly more difficult to explain is the limited response of surface chlorophyll, despite an overall better representation of nutrients distribution and MLD. In fact, both nutrients availability and MLD have a direct impact on primary production and therefore on surface chlorophyll concentration. It is often the case that the default parameter set in an ocean biogeochemical

320 model is chosen to reasonably reproduce both the large scale distribution of nutrients and that of surface chlorophyll. In this study, the default configuration of PISCESv2 (Aumont et al., 2015) was used without any further adjustment of parameters. Because of the improvement in the large-scale distribution of nutrients and in their input into the productive layer, related to more realistic MLD, the model is presented with a different nutrient availability, when applying data assimilation, with respect to the omip simulations. Similarly, the average light exposure of phytoplankton changes with changes in MLD. In some regions,

325 the bias in the chlorophyll surface fields is actually increased with data assimilation. This is the case for the North Atlantic and North Pacific regions where a shallower MLD seems to coincide with an increase in surface chlorophyll, between 30° N-40° N. In these regions, the model responds by increasing the distance with respect to the reference chlorophyll observations because the parameter set used was somehow selected to reproduce the same chlorophyll fields under different nutrient and light availability conditions. In the rest of the ocean, the chlorophyll field seems rather insensitive to the changes brought by

330 data assimilation. For some regions, this is likely due to upper oligotrophic waters experiencing changes in nutrient input that are too little to significantly impact primary production. For regions with higher surface chlorophyll, like the equatorial Pacific and the Southern Ocean, the reason for the weak response probably resides in the availability of iron not changing significantly

with the changes in circulation and MLD. In fact, a significant part of iron input in these regions is from atmospheric deposition that is left unchanged in all simulations.

5 Conclusions

We conclude that the assimilation of observations for temperature and salinity has a beneficial effect in the representation of large-scale circulation and mixed layer depth, and this, in turn, translates into an improved representation of most of the ocean biogeochemical variables evaluated. Additionally, in the case of CO_2 and O_2, the improvements are most likely driven also by the direct beneficial effect that an ameliorated temperature field has on the solubility of these gases. In general, data assimilation drives a more vigorous overturning circulation, resulting in a more ventilated deep ocean. Ventilation increases in regions that are important hot-spots for CO_2 fluxes, like the Southern Ocean, the North Atlantic and the North Pacific. The higher CO_2 uptake with data assimilation determines an increase in DIC_{ant} in the interior of the ocean, below the thermocline and a decrease in shallow waters, particularly in the subtropical gyres. This deeper distribution of DIC_{ant}, with respect to omip simulations, is in better agreement with an observation-based product for DIC_{ant}. Because of this overall beneficial effect on the representation of ocean biogeochemistry, we conclude that CO_2 fluxes are most likely improved as well, although their direct validation is not straightforward. We have shown how not all aspects of biogeochemistry are improved as the surface chlorophyll field's representation is actually rather insensitive or even degraded when using data assimilation. We impute this result to the choice of parameters for the biogeochemical model that was based also on a realistic representation of surface chlorophyll as a reference. Because of this, we suggest that whenever possible, ocean biogeochemical models be fine-tuned using simulations that include some degree of data assimilation of the physical fields. Finally, since simple data assimilation practices, like the one presented here, can be included in simulations at negligible computational cost, we recommend that efforts like the Global Carbon Budget take into account this type of simulations in the future.

Figures
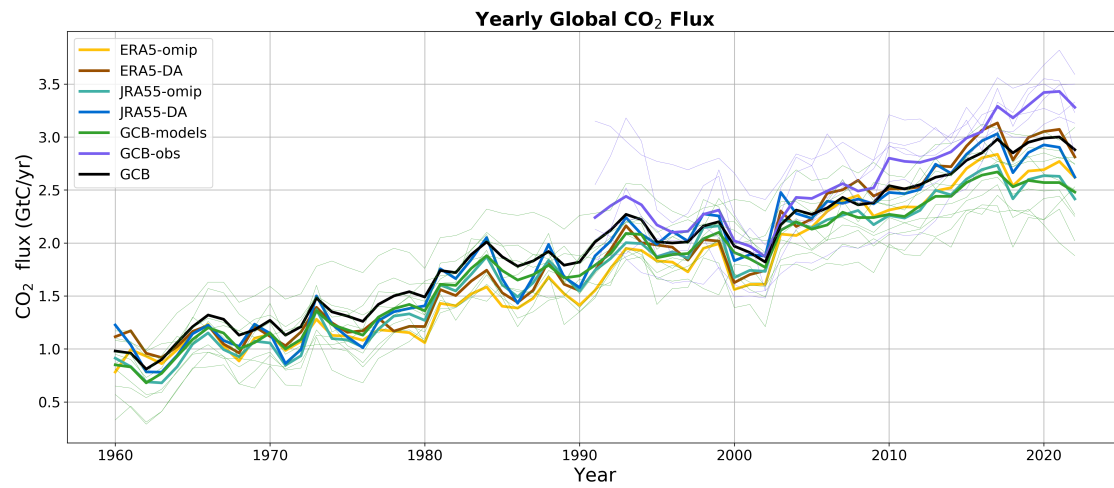
Figure 1. Globally integrated ocean CO_2 flux estimates for omip (orange and light blue) and DA (brown and dark blue) simulations, together with the central estimate of the GCB2022 (black) and the average estimate of both models (green) and observation-based products (purple) from the GCB2022. For the last two, individual estimates are also shown along the average estimates (thin lines of with same color code)
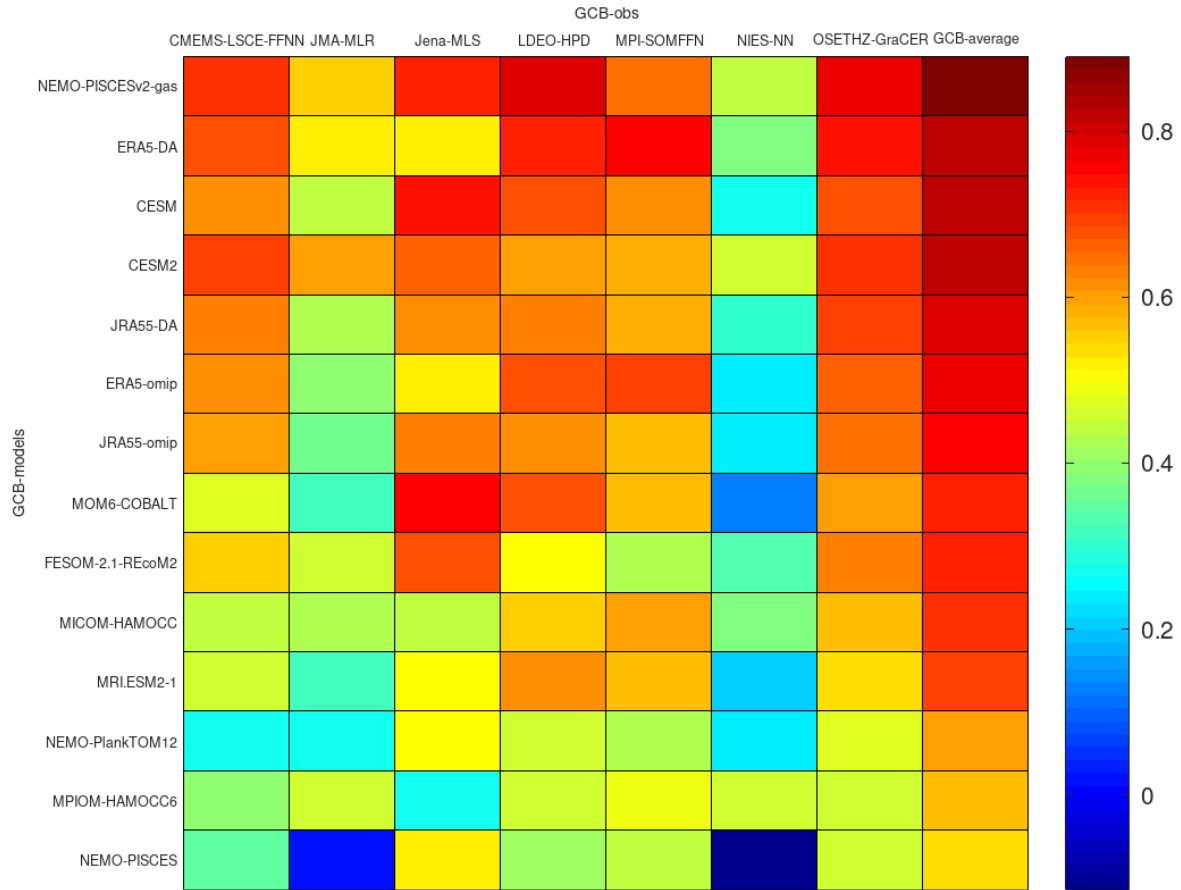
Figure 2. Correlation matrix between GCB2022 model's estimates of global CO_2 flux and observation-based estimates from the same exercise. Models are ranked from high to low based on their correlation with the central GCB2022 estimate (last column). Both DA and omip simulations are also ranked among the GCB models.
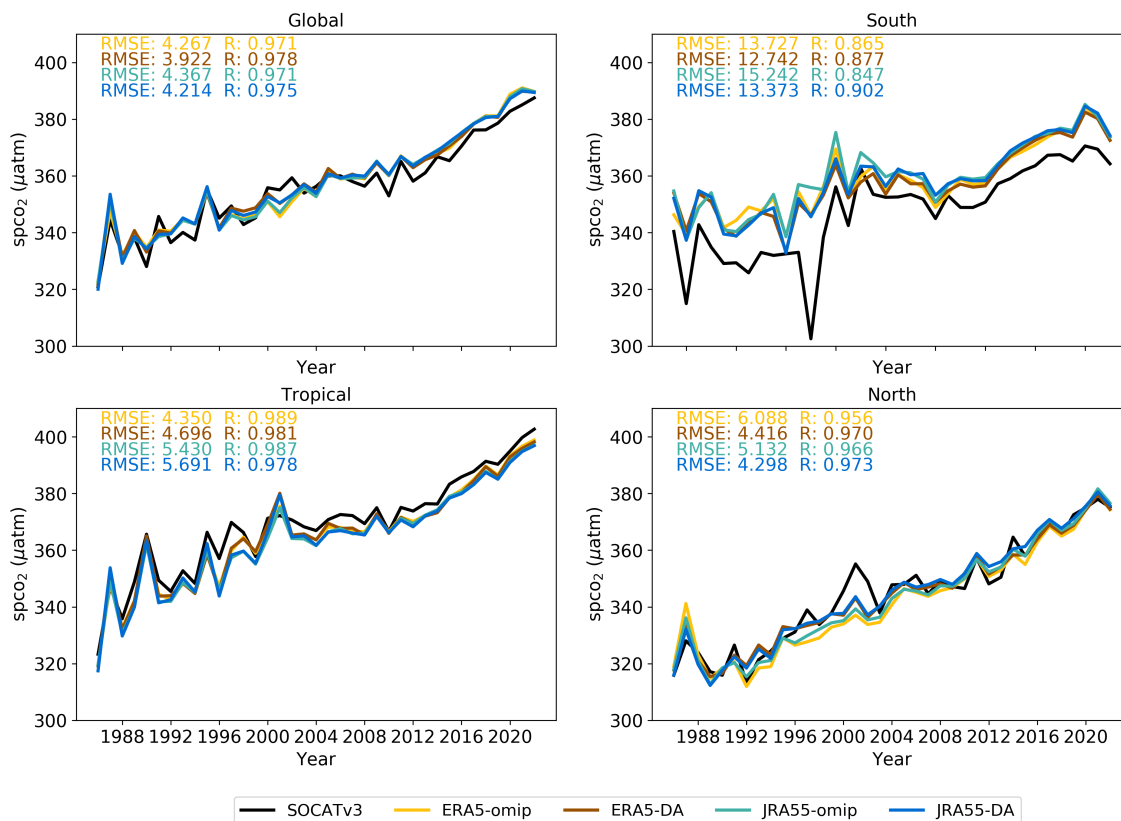
Figure 3. Yearly averages of surface pCO₂ values from the SOCATv3 database, compared to the four model's estimates of spCO₂ sampled to match in space and time the SOCATv3 values. The global ocean has been divided in three regions with boundaries at 20º N and 20º S.
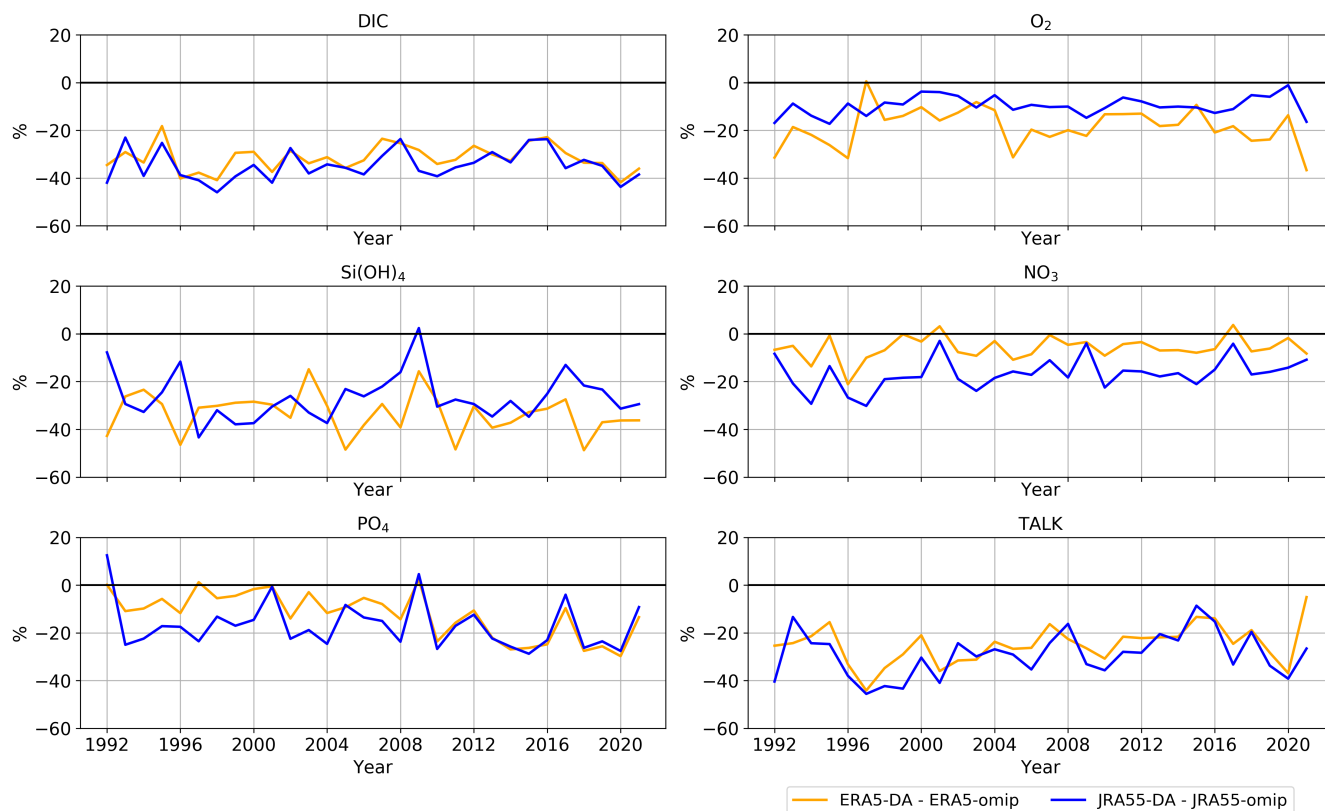
Figure 4. Relative change of RMSE when applying DA with respect to omip. For every variable, the available GLODAP observations were matched in time and space with the corresponding model's estimates to calculate RMSE.
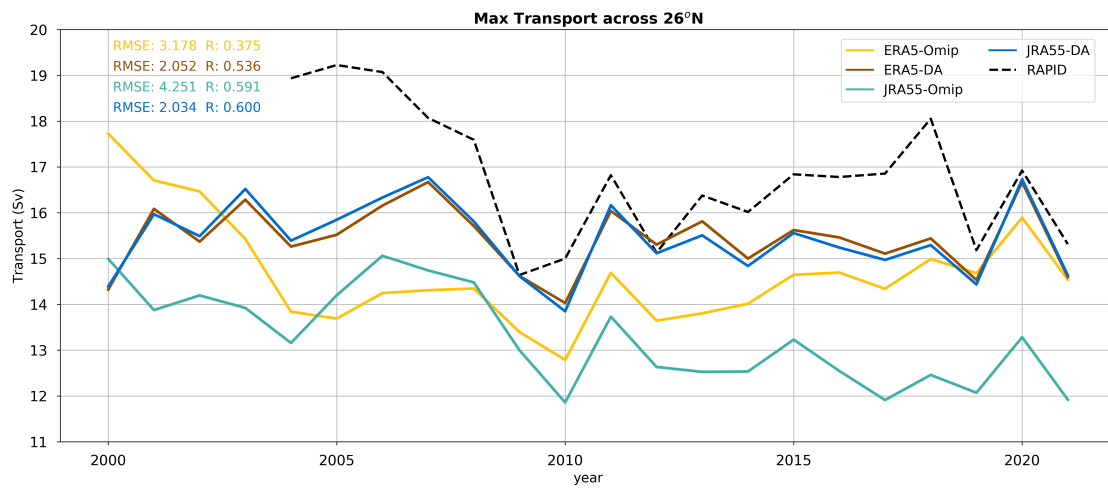
Figure 5. Maximum transport at 26.5 ^{o}N from model's output, compared to the observations from the RAPID array.

Climatology 2000-2021 Meridional Overturning Circulation for ERA5
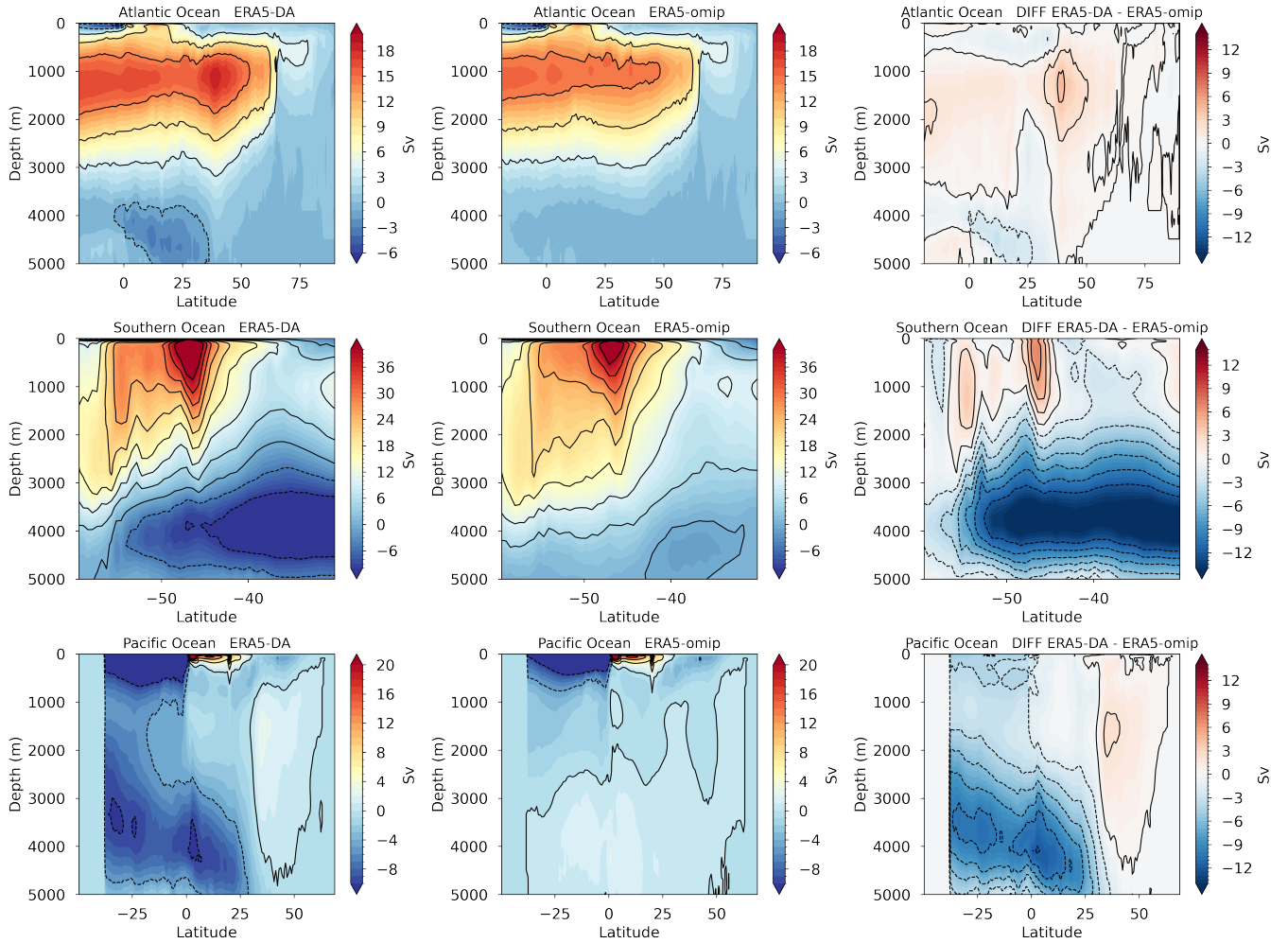
Figure 6. ~~Globally averaged SST from~~ Meridional volume streamfunction for the ~~four simulations~~Atlantic, ~~compared with NOAA ERSSTv5~~Pacific and Southern oceans for ERA5 simulations. The third column shows the differences between DA and omip.

Climatology 2000-2021 Meridional Overturning Circulation for JRA55
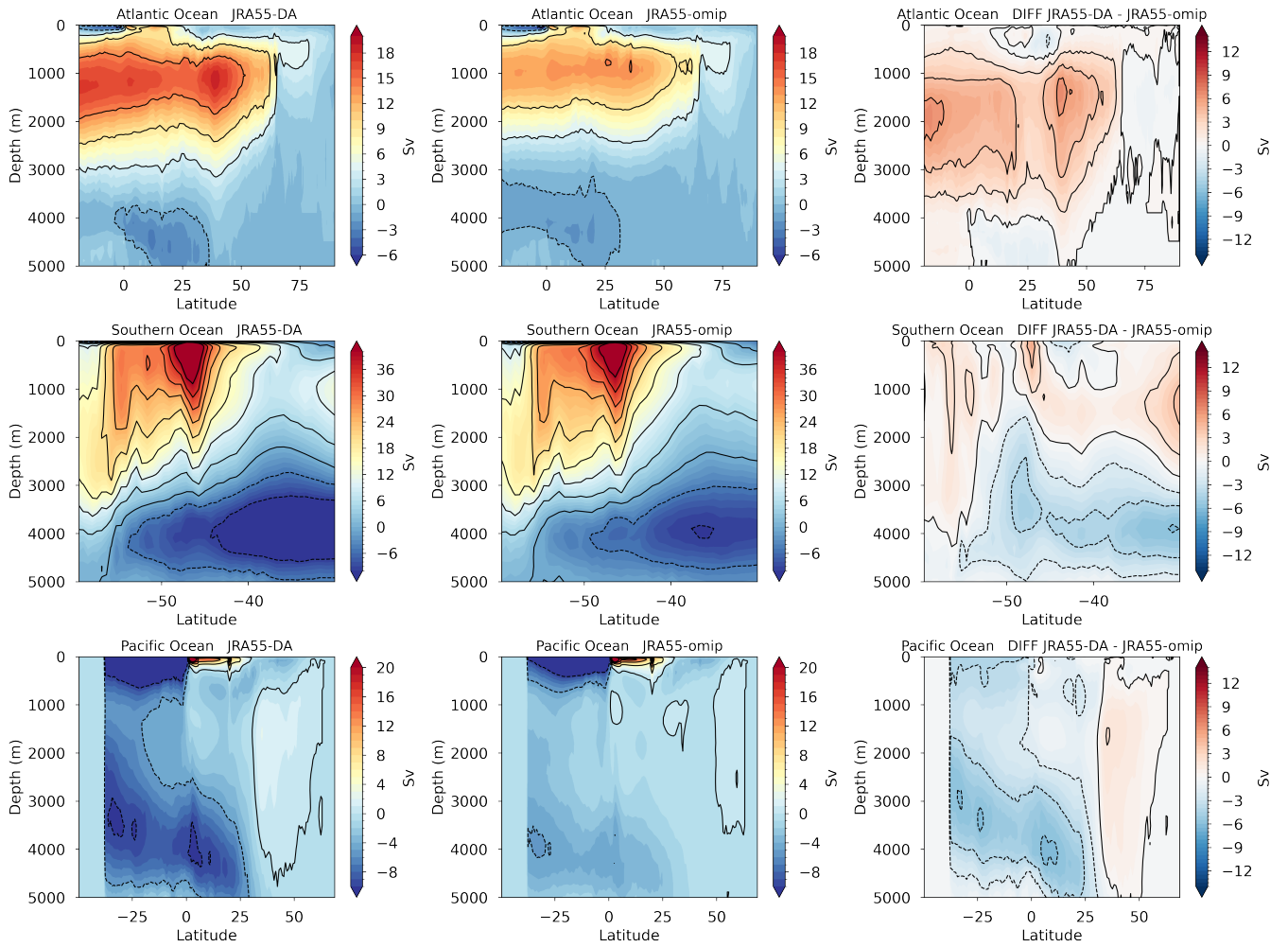
Figure 7. Same as Fig. 6 but for JRA55.

Sea Water Age Since Surface Contact in the Oceans (2000-2021)
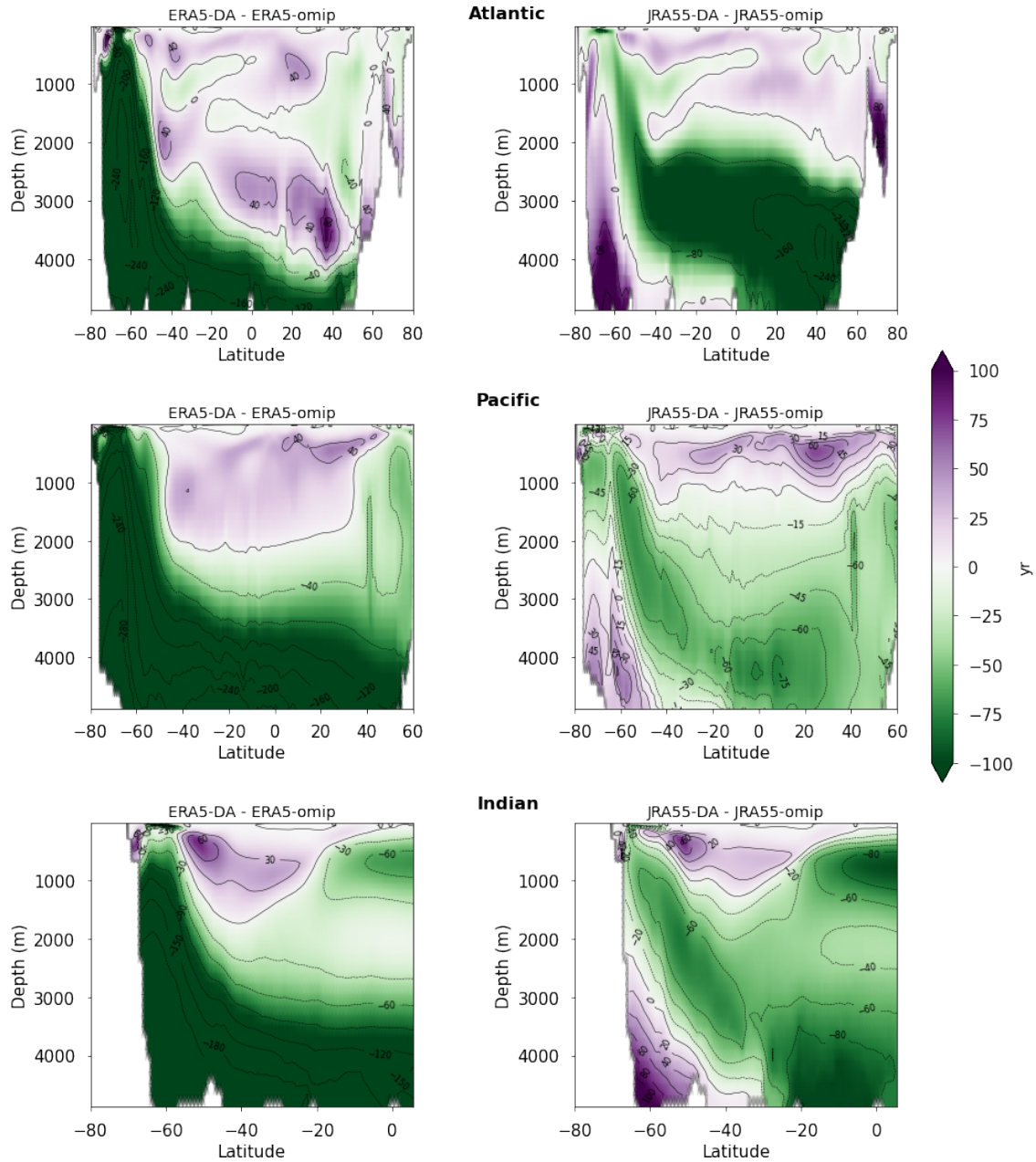
Figure 8. Zonal averages of the idealised age tracer for the Atlantic, Pacific and Indian oceans. The figure shows the differences of the last 20 years average between DA and omip simulations for ERA5 (left) and JRA55 (right).
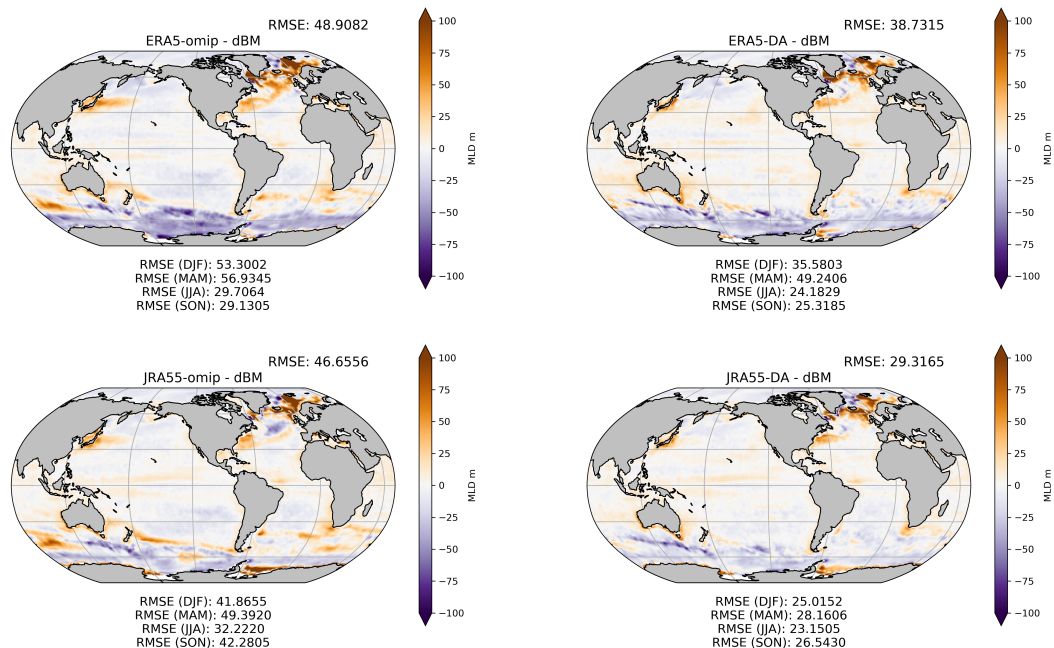
Figure 9. Yearly climatological (1970-2021) mean of mixed layer depth. The four maps show the difference between each simulation and the observation-based gridded product from de Boyer Montégut et al. (2004). RMSE for the year average as well as for each season are reported on top and below of each map, respectively.

Difference Anthropogenic Dissolved Inorganic Carbon DA - omip (2021)
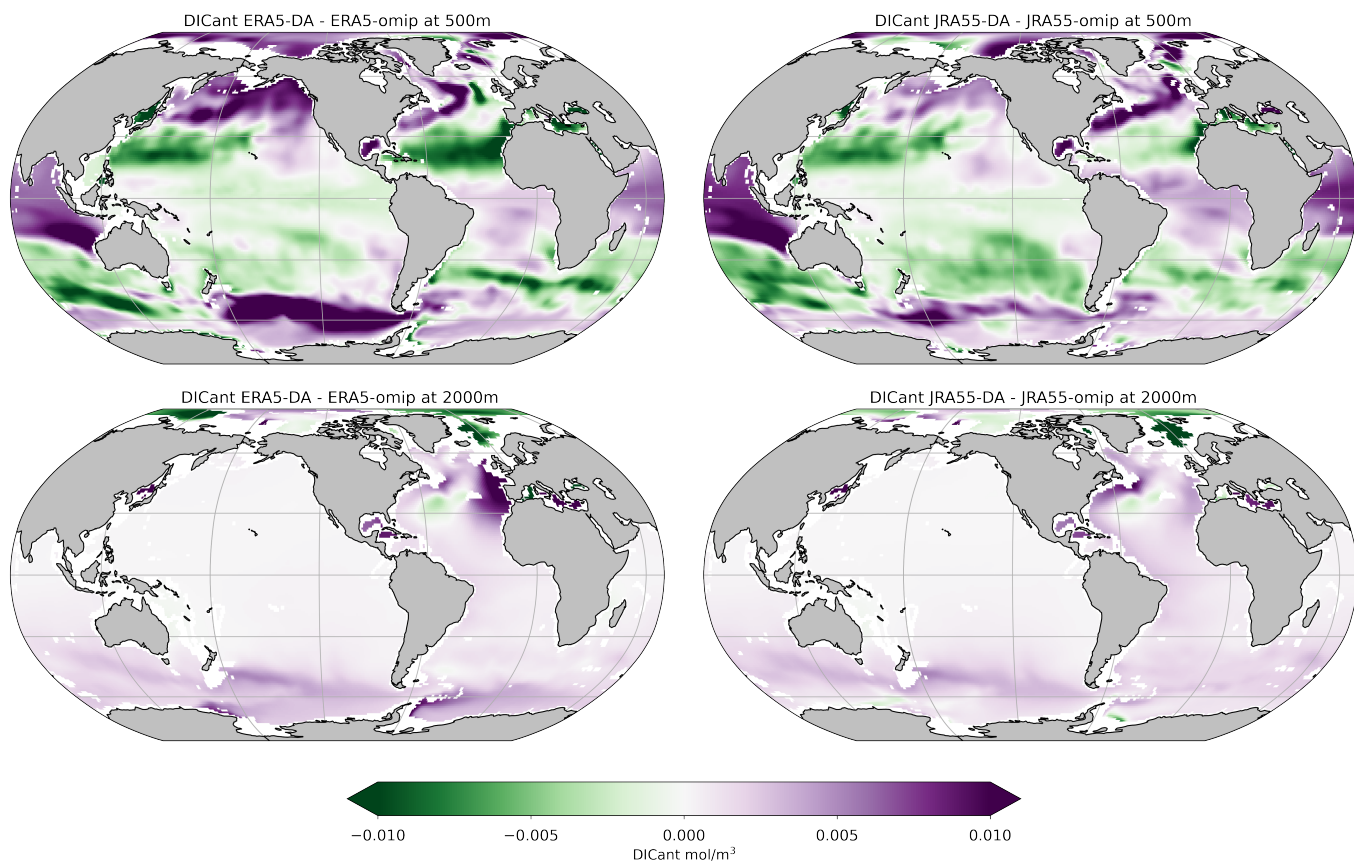
Figure 10. Differences between DA and omip simulations for DICant in year 2021 for ERA5 (left) and JRA55 (right) at 500m depth (upper row) and 2000m depth (bottom row).
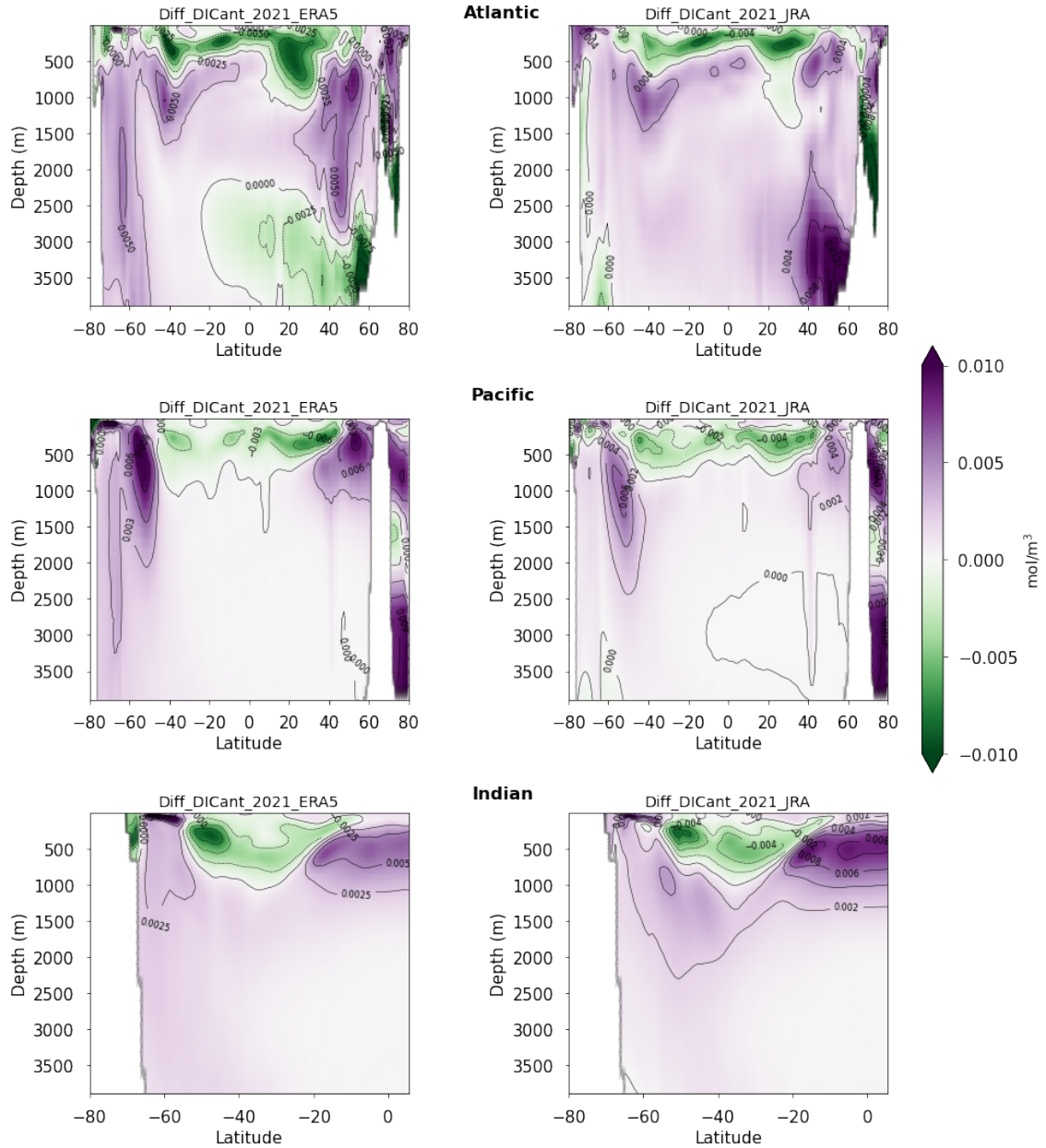
Figure 11. Differences between DA and omip simulations for DICant in year 2021 for ERA5 (left) and JRA55 (right). The figure shows zonal averages for the Atlantic, Pacific and Indian oceans.

Figure 12. Differences between ERA5 simulations and GLODAP estimate for DICant in year 2002. Zonal averages are shown for the Atlantic, Pacific and Indian oceans.

Difference DICant JRA55 - GLODAP (2002)
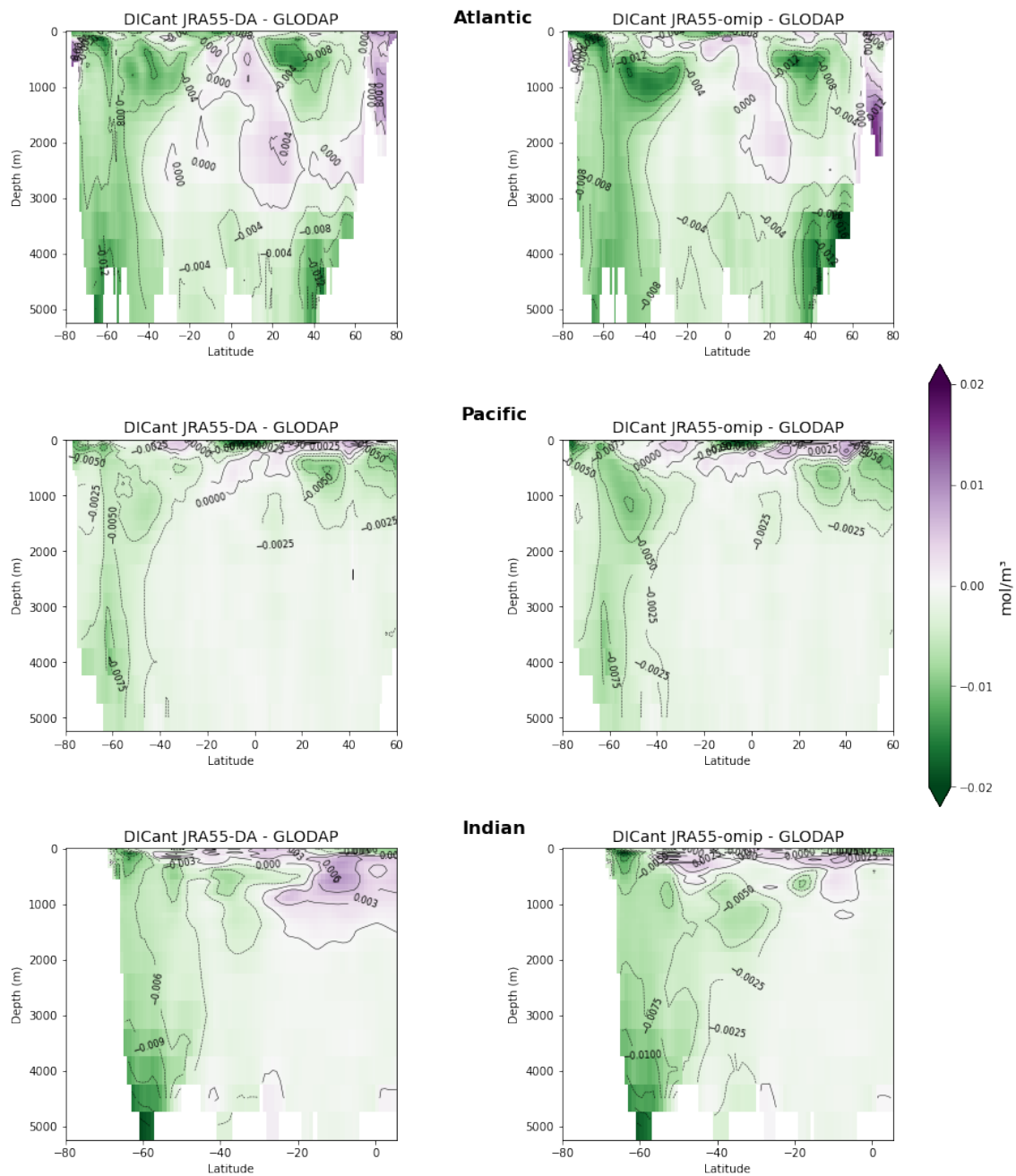
Figure 13. Differences between JRA55 simulations and GLODAP estimate for DICant in year 2002. Zonal averages are shown for the Atlantic, PAcific and Indian oceans.
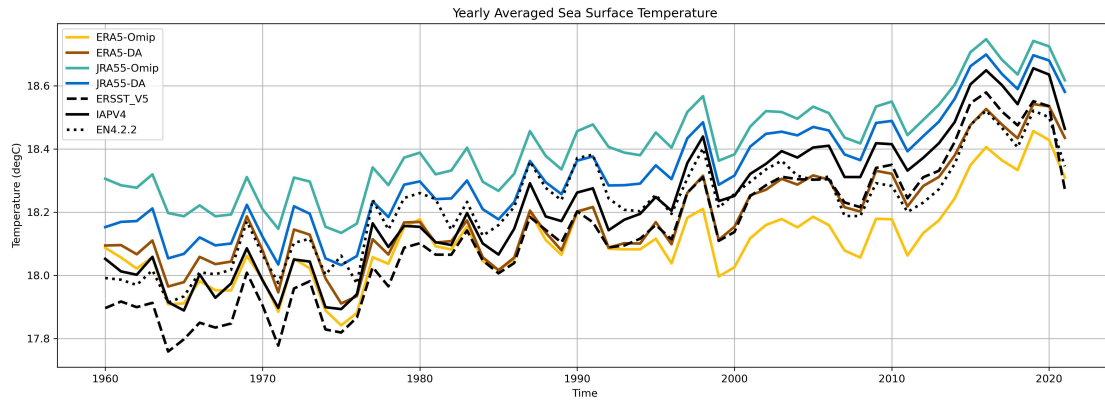
Figure 14. Globally averaged SST from the four simulations, compared with
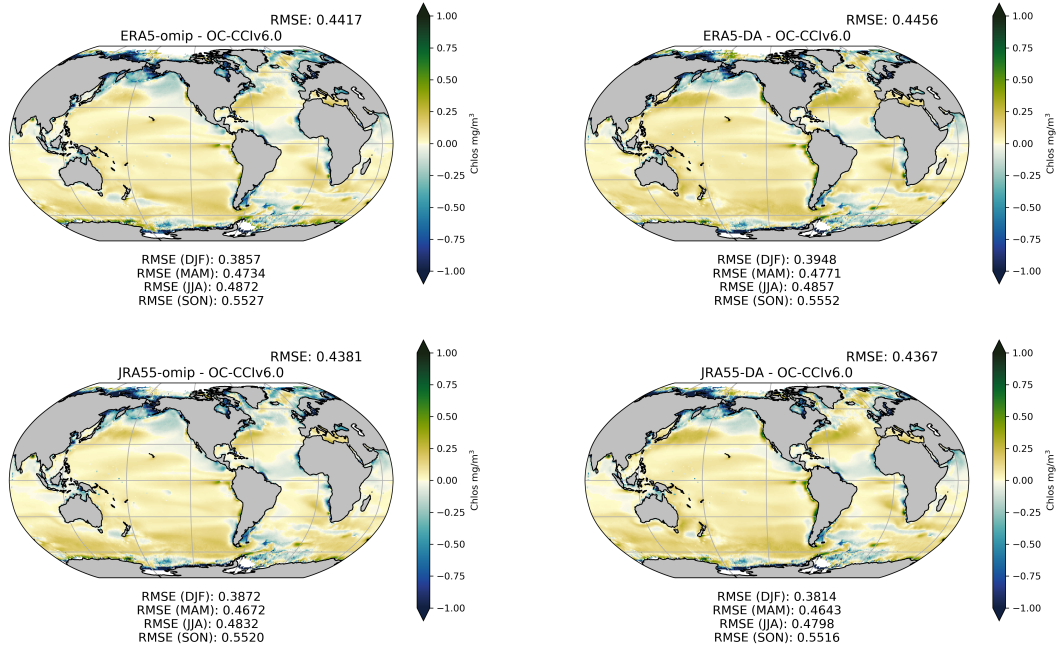
Figure 15. Yearly climatological (1998-2021) mean of surface chlorophyll. The four maps show the difference between each simulation and the OC-CCIv6.0 product. RMSE for the year average as well as for each season are reported on top and below of each map, respectively.

Tables

Simulation	SST restoring	T&S 3D nudging	SSS restoring	Atmospheric forcing	Period
JRA55-omip	None	None	JRA55-do-v1.5 (Tsujino et al., 2018)	JRA55-do-v1.5 (Tsujino et al., 2018)	1958-2021
JRA55-DA	COBE-SST (Ishii et al., 2005)	EN4.2.2 (Good et al., 2013)			
ERA5-omip	None	None	ORAS5 (Zuo et al., 2019)	ERA5 (Hersbach et al., 2020)	1959-2021
ERA5-DA	ORAS5 (Zuo et al., 2019)	EN4.2.2 (Good et al., 2013)			

Table 1. Re-analysis and observation-based products used in the two kinds of simulations here performed: omip-like (omip) and Data Assimilation (DA)

Dataset	Version	Variables	Period and frequency	Reference
SOCAT	v3-v2022	surface pCO2	1970-2021 (grouped by month)	Bakker et al. (2016)
GCB2022	v2022	~~CO2~~ CO_2 flux	1959-2021 (models) 1990-2021 (obs.-based) Yearly global integral	Friedlingstein et al. (2022)
GLODAP	v2.2022	NO_3, PO_4, DIC, TAlk, $Si(OH)_4$, O_2	1972-2021 (grouped by month)	Lauvset et al. (2016)
GLODAP	v2.2016	DIC_{ant}	2002 (cumulated)	Lauvset et al. (2016)
NOAA-ERSST	v5.2023	SST	1960-2021 (monthly)	Huang et al. (2017)
IAP	v4.2024	SST	1960-2021 (monthly)	Cheng et al. (2024)
EN4	v2.2	SST	1960-2021 (monthly)	Good et al. (2013)
SEANOE-MLD	v2023	MLD	1970-2021 (monthly climatology)	de Boyer Montégut et al. (2004)
RAPID AMOC	v2022.1	Transport at 26.5 ^{o} N	2004-2021 (yearly average)	Moat et al. (2022)
OC-CCI	v6.0	Surface chlorophyll	1997-2021 (monthly)	Sathyendranath et al. (2019)

Table 2. Observation products used for the validation of simulations results

	1960s	1970s	1980s	1990s	2000s	2012-2021	2021
GCB2022	1.1 ± 0.4	1.4 ± 0.4	1.8 ± 0.4	2.1 ± 0.4	2.3 ± 0.4	2.9 ± 0.4	2.9 ± 0.4
ERA5-omip	1.0	1.1	1.5	1.8	2.1	2.7	2.6
ERA5-DA	1.1	1.2	1.6	1.9	2.3	2.9	2.8
JRA55-omip	0.9	1.1	1.7	1.9	2.1	2.6	2.4
JRA55-DA	1.0	1.2	1.7	2.1	2.3	2.8	2.6

Table 3. Global carbon uptake (Pg C yr^{-1}) averaged over each decade from the 1960s to 2021 for the four simulations and the estimate of the GCB2022.

	ERA5-omip	ERA5-DA	Rel. Change (%)	JRA55-omip	JRA55-DA	Rel. Change (%)
TAlk	50.87	38.10	-25.11%	54.15	39.12	-27.76%
	50.77	38.01	-25.12%	53.81	38.92	-27.68%
DIC	62.93	43.69	-30.58%	66.29	43.71	-34.06%
	62.95	43.45	-30.98%	65.44	43.30	-33.84%
O_2	41.80	33.67	-19.47%	34.55	30.89	-10.58%
	42.27	33.69	-20.31%	33.81	30.51	-9.77%
NO_3	04.08	3.77	-7.01%	4.54	3.68	-19.00%
	04.06	3.79	-6.68%	4.50	3.65	-18.82%
PO_4	0.36	0.31	-14.21%	0.38	0.30	-19.45%
	0.35	0.31	-12.61%	0.37	0.30	-17.78%
$Si(OH)_4$	21.98	14.58	-33.67%	20.10	14.40	-28.34%
	22.67	14.49	-36.05%	19.90	14.27	-28.31%

Table 4. RMSE calculated between each simulation and GLODAP. For each variable the RMSE is calculated for the upper 1000m (upper row of each variable) and below (lower row of each variable). The 4th and 7th columns show the relative change in RMSE between omip and DA, where a negative percentage value means a reduction of the error in DA with respect to omip.

Code and data availability. The data used in this study have been made publicly available on Zenodo: 10.5281/zenodo.10233501. Further information on the data or extra files will be available upon request. All the simulations have been run with EC-Eeath3-CC: https://ec-earth.org/ (Döscher et al., 2021), using the workflow management Autosubmit (https://autosubmit.readthedocs.io/en/master/introduction/index.html, (Manubens-Gil et al., 2016; Uruchi et al., 2021)). The codes used for the analysis and plots, including jupyter notebooks, will be available upon request to the author. They will be put in the following repository: https://earth.bsc.es/gitlab/es/bsc-ocean-reconstructions. All the analysis and plots have been realized with open source codes: Octave (octave.org/), Python3 (python.org/), Xarray (xarray.dev), CDO (code.mpimet.mpg.de/projects/cdo) and Earthdiagnostics, in house tool for EC-EARTH model postprocessing (https://earthdiagnostics.readthedocs.io/en/latest/). All the observational data are publicly available on their corresponding websites.EN.4.2.2 data were obtained from https://www.metoffice.gov.uk/hadobs/en4/ and are © British Crown Copyright, Met Office, 2013, provided under a Non-Commercial Government Licence http://www.nationalarchives.gov.uk/doc/non-commercial-government-licence/version/2/.

Competing interests. The authors declare that they have no conflict of interest.

Author contributions. R.B. and V.S. conceived the study and designed the experiments. R.B., V.S. and V.L. performed the experiments. R.B., V.S., P.O., V.L. and Y.R. performed sensitivity analysis and validation of ocean reconstructions that led to the configuration used in this study. E.T., V.L. and E.F. provided support for all computing aspects of this study. R.B and V.S. performed the analysis. All authors contributed to the writing of the manuscript.

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