

1     **The response of the regional longwave radiation balance and climate system**  
2                     **in Europe to an idealized afforestation experiment**

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36 **Abstract**

37 Afforestation is an important mitigation strategy to climate change due to its carbon sequestration  
38 potential. Besides this favorable biogeochemical effect on global CO<sub>2</sub> concentrations, afforestation also  
39 affects the regional climate by changing the biogeophysical land surface characteristics. In this study,  
40 we investigate the effects of an idealized global CO<sub>2</sub> reduction to pre-industrial conditions by a Europe-  
41 wide afforestation experiment on the regional longwave radiation balance, starting in the year 1986  
42 from a continent entirely covered with grassland. Results show that the impact of biogeophysical  
43 processes on the surface temperatures is much stronger than of biogeochemical processes.  
44 Furthermore, biogeophysically induced changes of the surface temperatures, atmospheric  
45 temperatures and moisture concentrations are as important for the regional longwave radiation  
46 balance as the global CO<sub>2</sub> reduction. While the outgoing longwave radiation is increased in winter, it is  
47 reduced in summer. On annual total, a Europe-wide afforestation has a regional warming effect,  
48 despite reduced CO<sub>2</sub> concentrations. Thus, even for an idealized reduction of the global CO<sub>2</sub>  
49 concentrations to pre-industrial levels, the European climate response to afforestation would still be  
50 dominated by its biogeophysical effects.

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52 **1. Introduction**

53 A highly debated strategy to achieve the Paris climate targets is afforestation (Harper et al., 2018; Roe  
54 et al., 2019). During their growth period, forests remove CO<sub>2</sub> from the atmosphere and store the  
55 carbon in their biomass (Luysaert, et al., 2010; Pan et al., 2011). CO<sub>2</sub> concentrations in the atmosphere  
56 are consequently reduced, resulting in a reduction of the downwelling longwave radiation (DLR) and  
57 an increase of the outgoing longwave radiation at the top of the atmosphere (OLR). In this way,  
58 afforestation actively reduces the greenhouse effect itself.

59 Besides this favorable biogeochemical impact on the global climate system, afforestation affects also  
60 the regional climate by changing the biogeophysical characteristics of the land surface (Pielke et al.,  
61 2011; Bright et al., 2017). In general, the albedo of forests is lower than of other natural land covers.  
62 As a result, more shortwave radiation is absorbed, counteracting the increased OLR (Bala et al, 2007;  
63 Bonan, 2008). Thus, the regional climate effect of afforestation depends on the weighting between  
64 biogeochemical changes of the longwave radiation balance and biogeophysical changes of the  
65 shortwave radiation balance (Claussen et al., 2001; Pielke et al., 2011).

66 Moreover, biogeophysical changes with afforestation have also a direct effect on the longwave  
67 radiation balance. By changing land surface characteristics like albedo, surface roughness or leaf area  
68 index, surface temperatures are altered (Lee et al., 2011; Duveiller et al., 2018). Since longwave  
69 radiation emissions from the surface are, according to the Stefan-Boltzmann law, a function of the  
70 surface temperature ( $T_s$ ), changes in the longwave radiation emissions follow (Vargas Zeppetello et al.,

71 2019). Moreover, changes in the land surface characteristics with afforestation generally lead to an  
72 increase of the turbulent heat fluxes (Burakowski et al., 2018; Breil et al., 2020). Atmospheric  
73 temperatures ( $T_a$ ) are consequently increased (Alkama & Cescatti, 2016; Breil et al., 2020), which in  
74 turn affect the longwave radiation emitted by the atmosphere. Furthermore, changes in the  
75 evapotranspiration rates alter the water vapor concentrations in the atmosphere ( $Q_a$ ) (Bonan, 2008).  
76 Since water vapor is known to be an important greenhouse gas, changes in its concentrations also  
77 affect the atmospheric longwave radiation emissions (Claussen et al., 2001; Swann et al., 2010).

78 In spite of their relevance, these complex biogeophysically induced changes in the longwave radiation  
79 balance are generally not considered in the ongoing debate on afforestation as a regional mitigation  
80 strategy. In general, studies mainly emphasize the effects of the biogeochemically induced  $CO_2$   
81 reduction and the biogeophysically induced changes in the albedo (Claussen et al., 2001; Bala et al,  
82 2007). An all-inclusive understanding of the interrelation between afforestation and the longwave  
83 radiation balance is thus missing. The arising question whether biogeophysical changes are regionally  
84 strengthening or weakening the favorable biogeochemical impact of afforestation on the longwave  
85 radiation balance is thus not yet answered. The goal of this study is to disentangle the contribution of  
86 both biogeochemical and biogeophysical processes on the emitted longwave radiation over Europe, in  
87 a step towards a physically based comprehensive assessment of afforestation as a regional mitigation  
88 strategy to climate change.

89 The study is embedded in the Land Use and Climate Across Scales (LUCAS) project (Davin et al., 2020).  
90 LUCAS aims to investigate the impact of land use changes on the European climate by performing  
91 Regional Climate Model (RCM) simulations. In the first phase of the project, idealized afforestation  
92 experiments were performed. In one experiment, the whole European continent was covered by forest  
93 (FOREST), in the other experiment the whole continent was covered by grassland (GRASS). By means  
94 of these idealized simulations, the maximum possible effect of afforestation on the European climate  
95 could be estimated (Davin et al., 2020; Breil et al., 2020). However, only biogeophysical effects of  
96 afforestation are considered in these simulations, since the carbon cycle is generally not included in  
97 RCMs. Thus, the removal of  $CO_2$  from the atmosphere was not taken into account.

98 In order to close this gap, an additional FOREST simulation which considers the reduced  $CO_2$   
99 concentrations with afforestation (CARBON) is analyzed. By comparing the results of CARBON, FOREST  
100 and GRASS with the results of an offline radiative transfer model, the respective contributions of  
101 biogeochemical and biogeophysical processes to the regional climate system, and particularly to the  
102 longwave radiation balance, can be quantified. Section 2 describes the used methodology. The main  
103 results are presented (section 3), followed by the discussion (section 4) and conclusions (section 5).

104

105 **2. Methods**

## 106 **2.1. RCM simulations**

107 All simulations (GRASS, FOREST, CARBON) are performed with the RCM CCLM-VEG3D (Breil et al., 2021)  
108 for the Coordinated Downscaling Experiment – European Domain (EURO-CORDEX; Jacob et al., 2014)  
109 on a horizontal resolution of 0.44° (~50 km). The simulations were driven by ERA-Interim reanalyses  
110 (Dee et al., 2011) at the lateral boundaries and the lower boundary over sea. The simulation period is  
111 1986–2015. A spin-up of 7 years was performed before 1986. For this spin-up, CCLM-VEG3D was again  
112 driven with ERA-Interim reanalyses for the period 1979-1985, whereby the same model setup was  
113 used as for the period 1986-2015. The simulated conditions in the soil and in the atmosphere at the  
114 end of the spin-up period were then used as initial conditions in the long-term simulation.

115 The applied land use datasets are derived from a MODIS-based present-day land cover map (Lawrence  
116 and Chase, 2007), in which the land use classes in each grid cell were set to forest (FOREST, CARBON)  
117 or grassland (GRASS), respectively, excluding deserts and glaciers (Davin et al., 2020). In CARBON, the  
118 resulting reduction in global CO<sub>2</sub> concentrations by an idealized Europe-wide afforestation (see section  
119 2.2) is implemented in CCLM-VEG3D, replacing the historic CO<sub>2</sub> concentrations used in FOREST and  
120 GRASS.

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## 122 **2.2. Carbon sequestration by an idealized Europe-wide afforestation**

123 In this idealized afforestation experiment, the whole European continent is afforested, starting from a  
124 continent entirely covered with grassland. Fig. 1 shows the respective partitioning of the afforested  
125 area in boreal and temperate forests. In this experiment, 405 million hectares of Europe are covered  
126 with boreal forests, 848 million hectares with temperate forests, thus 1.253 billion hectares in total.  
127 On the basis of recent forest inventory data and the results of long-term ecosystem studies, Pan et al.  
128 (2011) estimated the amount of carbon sequestered (biomass + soil) in boreal forests to 239 MgC per  
129 hectare, and 155 MgC per hectare in temperate forests. This yields a total amount of 228.3 PgC  
130 sequestered by a Europe-wide afforestation.

131 The arising global CO<sub>2</sub> concentrations from this idealized afforestation are calculated according to an  
132 analytical approach of Goodwin et al. (2007). Assuming a mature forest and steady-state conditions  
133 between the atmosphere and the buffering ocean-mixed-layer on a centennial timescale, changes in  
134 the atmospheric CO<sub>2</sub> partial pressure  $P_{CO_2}$  are calculated as follows:

135

$$136 \Delta P_{CO_2} = \int_{\Sigma C_1}^{\Sigma C_2} \frac{P_{CO_2}}{I_B} d\Sigma C \quad \text{Eq. (1),}$$

137

138 where  $I_B$  is the total carbon inventory of the atmosphere plus the total buffered carbon inventory of  
139 the ocean.  $d\Sigma C$  is the change in the total amount of carbon in the atmosphere-ocean system. Assuming

140 furthermore that changes in  $I_B$  are small compared to the total buffered inventory, Eq. (1) can be  
141 integrated to

$$142 \quad P_{CO_2} = P_i e^{\frac{\Delta \Sigma C}{I_B}} \quad \text{Eq. (2),}$$

143 where  $P_i$  is the initial partial pressure of carbon dioxide at pre-industrial conditions.  $\Delta \Sigma C$  is the  
144 difference between the total anthropogenic carbon emissions until the year 1986 when our simulation  
145 starts (based on Gütschow et al., 2019), and the amount of carbon that would have been removed  
146 from the atmosphere by an idealized Europe-wide afforestation. Terrestrial emissions caused by land  
147 use changes are not considered, since land emissions are balanced by the terrestrial  $CO_2$  sink of  
148 enhanced plant growth and the lengthening of the growing season (Friedlingstein et al., 2020).

149 According to Eq. (2), a resulting global  $CO_2$  concentration of 279 ppm is calculated, constituting an  
150 equilibrium on a centennial timescale. Thus, an idealized Europe-wide afforestation, starting from a  
151 continent entirely covered with grassland, would have reduced the global  $CO_2$  concentrations at the  
152 beginning of our simulation period from 347 ppm in 1986 to pre-industrial levels. This global  $CO_2$   
153 concentration is then implemented in the CARBON simulation. Differences in the  $CO_2$  concentrations  
154 between a grassland continent and historic  $CO_2$  concentrations are not considered, in order to enable  
155 a direct comparison of the CARBON simulation with the GRASS and FOREST runs, and thus, a consistent  
156 decomposition of biogeophysical and biogeochemical effects of afforestation. As a consequence, the  
157  $CO_2$  induced global climate feedbacks are not taken into account.

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### 159 **2.3. BUGSrad**

160 Longwave radiation (DLR and OLR) is an implicit function of  $T_s$ ,  $T_a$ ,  $Q_a$  and the  $CO_2$  concentrations. While  
161 the individual contribution of  $CO_2$  on changes in DLR and OLR can be derived from the difference  
162 between CARBON and FOREST, such an attribution is not possible for  $T_s$ ,  $T_a$  and  $Q_a$ . Thus, DLR and OLR  
163 are additionally recalculated with the offline radiative transfer model BUGSrad (Stephens et al., 2001).  
164 BUGSrad solves the radiative transfer equation under the assumption of a plane-parallel atmosphere  
165 as proposed by Ritter and Geleyn (1992). Thus, BUGSrad is using the same radiative transfer scheme  
166 as it is implemented in CCLM-VEG3D, enabling a direct comparison with the RCM results. However,  
167 the radiative schemes in CCLM-VEG3D and BUGSrad are not completely identical. BUGSrad is set up  
168 with 6 shortwave and 12 longwave bands, whereas CCLM-VEG3D is set up with 3 shortwave and 5  
169 longwave bands.

170 The calculations in BUGSrad are based on mean seasonal profiles of  $T$ ,  $Q$  and pressure simulated in  
171 CCLM-VEG3D. Only clear-sky situations (daily mean cloud fraction < 20%) are considered, in order to  
172 exclude interfering influences of clouds on the longwave radiation balance. Emissions from the lowest  
173 atmospheric level correspond to DLR and emission from the uppermost level correspond to OLR. The

174 calculations are performed for eight different European sub-regions, adopted from the PRUDENCE  
175 project (Christensen & Christensen, 2007), shown as red rectangles in Fig. 1.

176 The advantage of such an offline model is that numerous simulations can be performed, in which each  
177 component affecting DLR and OLR, can be individually varied. In this way, the sensitivity of DLR and  
178 OLR to changes in  $T_s$ ,  $T_a$  and  $Q_a$  can be quantified. Subsequently, the respective proportion of each  
179 component to changes in DLR and OLR can be quantified by means of a Taylor expansion, whereby the  
180 derived sensitivities from the offline simulations constitute the partial derivatives of the Taylor  
181 expansion (Shine & Sinha, 1991; Huang et al., 2007). Finally, the individual contributions of  $T_s$ ,  $T_a$  and  
182  $Q_a$  to the simulated afforestation effects on DLR and OLR with CCLM-VEG3D are derived by multiplying  
183 the changes in the temperature and humidity profiles with the partial derivatives of  $T_s$ ,  $T_a$  and  $Q_a$ .

184

### 185 **3. Results**

#### 186 **3.1. CCLM-VEG3 results**

##### 187 **3.1.1. Effects on mean annual surface temperatures**

188 Fig. 2a shows the differences in DLR between CARBON and FOREST over the whole simulation period.  
189 Differences between both RCM simulations are only caused by the regional biogeochemical effects in  
190 Europe of afforestation. DLR is reduced in CARBON across Europe, as a result of the reduced  $CO_2$   
191 concentrations. This reduced DLR leads to slightly reduced yearly mean  $T_s$  in CARBON (Fig. 2b).  
192 However, the impact of this biogeochemical effect on  $T_s$  is negligible in comparison to the  
193 biogeophysically induced changes of  $T_s$  (Fig. 2c and Fig. 2d). Fig. 2c shows the differences between  
194 FOREST and GRASS for the yearly mean  $T_s$  in Europe. Differences between these simulations are only  
195 caused by biogeophysical changes with afforestation. The magnitude of the differences between  
196 FOREST and GRASS is much higher than between CARBON and FOREST, where only biogeochemical  
197 effects are considered. For instance, the biogeochemical effects of afforestation (CARBON-FOREST)  
198 lead to a reduction of the mean annual  $T_s$  of about -0.06 K in Scandinavia and -0.03 K at the Iberian  
199 Peninsula, while the biogeophysical effects (FOREST-GRASS) result in a mean warming of 1.06 K in  
200 Scandinavia and a mean cooling of -0.77 K at the Iberian Peninsula. The differences between CARBON  
201 and GRASS (Fig. 2d), which can be considered as the total effect of afforestation, since both  
202 biogeochemical and biogeophysical processes are taken into account, are consequently mainly caused  
203 by biogeophysical processes and of the same magnitude as the differences between FOREST and  
204 GRASS (1.0 K in Scandinavia and -0.8 K at the Iberian Peninsula). Thus, even with an idealized reduction  
205 of the global  $CO_2$  concentrations to pre-industrial levels by a Europe-wide afforestation, the regional  
206 climate response to afforestation would be mainly dominated by biogeophysical effects.

207

##### 208 **3.1.2. Effects on the mean seasonal surface temperatures**

209 The mean seasonal differences in  $T_s$  between the CARBON and the GRASS simulation are shown in Fig.  
210 3. In the winter season (December to February; DJF), warmer  $T_s$  is simulated almost all over Europe  
211 except of the Iberian Peninsula (IP, Fig. 3a). In contrast to this warmer  $T_s$  in winter,  $T_s$  is reduced in  
212 summer (June to August; JJA) all over Europe with afforestation (Fig. 3b).

213 The warmer  $T_s$  in winter is caused by the masking effect of snow on trees (Essery, 2013). In the case of  
214 a snow cover, forests are only partially masked by snow due to their large vegetation height, while  
215 grasslands are completely covered with snow. As a result, forests absorb more solar radiation than  
216 grasslands in winter, and thus, more energy is available to heat up the vegetation surface. On the  
217 Iberian Peninsula, snow is generally not occurring in winter and the differences in absorbed solar  
218 radiation are consequently not that strong than for the rest of Europe. Since latent heat fluxes of  
219 forests are simultaneously increased in IP in winter (Fig. 4a), a larger part of the incoming radiative  
220 energy can be released into the atmosphere and surface temperatures are reduced.

221 In summer, forests are able to efficiently transform the radiative energy input at the surface into  
222 increased latent heat (Fig. 4b) and sensible heat fluxes, due to their higher surface roughness, higher  
223 biomass and deeper root system in comparison to grasslands. Thus, more turbulent energy is removed  
224 from the vegetation surface and transported into the atmosphere than for grasslands (Fig. 4c), with  
225 the consequence that all over Europe  $T_s$  is reduced in summer with afforestation (Fig. 3b; Burakowski  
226 et al., 2018; Breil et al., 2020).

227

### 228 **3.1.3. Effects on the longwave radiation balance**

229 Fig. 5 shows the differences between the CARBON and the GRASS simulation for DLR (a+c) and OLR  
230 (b+d) for the winter season (a+b) and the summer season (c+d). In winter, DLR is enhanced all over  
231 Europe by afforestation, except of IP. This extensive increase in DLR is counterintuitive, since one  
232 would rather expect a reduction in DLR due to the reduced atmospheric  $CO_2$  concentrations with  
233 afforestation. OLR is also increased in winter all over Europe, which is in turn in line with the reduced  
234 atmospheric  $CO_2$  concentrations. In summer, a dipole in the DLR differences between CARBON and  
235 GRASS is simulated, with a reduced DLR in central and southern Europe and an increased one in  
236 Scandinavia (SC). A similar spatial pattern is simulated for OLR in summer with slightly increased  
237 (reduced) OLR in northern Europe (southern Europe).

238 In order to be able to explain these spatial longwave radiation patterns, DLR and OLR are additionally  
239 simulated with the offline radiative transfer model BUGSrad. By means of a linearization of these  
240 BUGSrad simulations, the respective contributions of biogeophysical (changes in the surface  
241 temperatures, atmospheric temperatures and atmospheric water vapor concentrations) and  
242 biogeochemical (reduced  $CO_2$  concentrations) processes with afforestation on the longwave radiation  
243 balance can be decomposed.

244

## 245 **3.2. BUGSrad results**

### 246 **3.2.1. Effects on the longwave radiation balance**

247 Fig. 6 shows the differences in DLR (a+c) and OLR (b+d) for the winter (a+b) and the summer season  
248 (c+d) between CARBON and GRASS simulated with the BUGSrad radiative transfer model. The blue  
249 bars show the total differences in DLR or OLR calculated by the offline model. The other colored bars  
250 show the respective contributions of CO<sub>2</sub> (pink), Q<sub>a</sub> (green), T<sub>a</sub> (yellow) and T<sub>s</sub> (black) to changes in DLR  
251 and OLR between CARBON and GRASS. Thus, the black, yellow and green bars represent the  
252 biophysical effects on the longwave radiation balance with afforestation, the pink bars the  
253 biogeochemical ones. The grey bar is the residuum, which is attributed to non-linear effects.

254 The simulated differences between CARBON and GRASS with BUGSrad are in good agreement with the  
255 results of CCLM-VEG3D (see Fig. 5). The calculated tendencies of afforestation are similar for the  
256 different regions and seasons. BUGSrad is also simulating a Europe-wide increase in DLR (except of IP)  
257 and OLR in winter in accordance with CCLM-VEG3D (see Fig. 6a, 6b and Fig. 5a, 5b). The radiative dipole  
258 in summer with increased DLR and OLR in northern Europe and reduced DLR and OLR in southern  
259 Europe is also consistently simulated with both models (see Fig. 6a, 6b and Fig. 5a, 5b). However, the  
260 absolute simulated differences between CARBON and GRASS can be different in some regions or  
261 seasons. For instance, the reduction in OLR in SC in summer with afforestation is stronger pronounced  
262 in BUGSrad (Fig. 6d) than in CCLM-VEG3D (Fig. 5d), which is also the case for the reduction in DLR in  
263 winter in IP (see Fig. 6a, 5a). These differences are most likely caused by the different numbers of  
264 shortwave and longwave bands in CCLM-VEG3D and BUGSrad.

265 The linearization of the differences in longwave radiation between CARBON and GRASS with BUGSrad  
266 reveals that the increased DLR with afforestation in winter is primarily a result of biogeophysical  
267 effects, compensating the attenuating effect of reduced CO<sub>2</sub> concentrations (negative pink bars) on  
268 DLR (Fig. 6a). In this context, especially T<sub>s</sub> has a strong impact on the differences in DLR (positive black  
269 bars). Warmer T<sub>s</sub> in winter (Fig. 3a) increase the longwave radiation emitted from the surface (except  
270 of IP where T<sub>s</sub> is reduced). As a result, more longwave radiation can be absorbed by the atmosphere  
271 and reemitted as DLR to the surface. This positive feedback on the DLR is amplified by a generally  
272 warmer T<sub>a</sub>, which is caused by the increased radiative energy input in winter. In addition, Q<sub>a</sub> is  
273 increased in Europe, because of the higher evapotranspiration rates of forests in comparison to  
274 grasslands (Fig. 4a). Both, warmer T<sub>a</sub> and higher Q<sub>a</sub> have a reinforcing effect on DLR (positive yellow  
275 and green bars). Thus, DLR is enhanced in winter with afforestation although the CO<sub>2</sub> concentrations  
276 are reduced.

277 The same biogeochemical and biogeophysical changes of the longwave radiation balance lead to an  
278 increase in OLR (Fig. 6b). The increased longwave radiation emissions, caused by the increased T<sub>s</sub>,



279 provide more radiative energy that can be released into space (positive black bars). Simultaneously,  
280 more longwave radiation can escape the atmosphere, due to reduced CO<sub>2</sub> concentrations (positive  
281 pink bars). Therefore, biophysical and biochemical processes amplify each other, resulting in increased  
282 OLR given afforestation all over Europe.

283 In contrast to the increased T<sub>s</sub> in winter, T<sub>s</sub> is reduced in summer with afforestation (Fig. 3b). The  
284 longwave radiation emitted from the surface is consequently reduced and less radiative energy can be  
285 absorbed and reemitted by the atmosphere (negative black bars in Fig. 6c). In combination with the  
286 reduced CO<sub>2</sub> concentrations (negative pink bars), DLR is therefore reduced all over Europe, except of  
287 SC (Fig. 6c). There, the T<sub>s</sub> reduction with afforestation is quite small (Fig. 3b) and the reduction of  
288 longwave radiation emitted from the surface is not as clear as for other areas (slightly negative black  
289 bar), thus remaining on a comparatively high level. Additionally, evapotranspiration is strongly  
290 increased in SC in summer (Fig. 4b), leading to increased Q<sub>a</sub> in the lower troposphere (not shown).  
291 Since water vapor is an effective greenhouse gas, increased concentrations contribute to an enhanced  
292 absorption of the (just slightly reduced) longwave radiation emitted by the surface (clearly positive  
293 green bar in SC). In this way, the biogeophysically induced changes of DLR compensate the attenuating  
294 effect of reduced CO<sub>2</sub> concentrations on DLR in SC (negative pink bar).

295 Fig. 6d shows that biogeophysical and biogeochemical changes with afforestation have opposing  
296 effects on OLR during summer. However, colder T<sub>s</sub> reduce the longwave radiation emissions from the  
297 surface, and thus the radiative energy that can be released into space (negative black bars). On the  
298 other hand, reduced CO<sub>2</sub> concentrations in the atmosphere lead to a reduced absorption of longwave  
299 radiation and more radiation that can pass the atmosphere (positive pink bars). Over central Europe  
300 (ME), both processes balance each other leading to a net zero effect. In northern Europe (SC, BI),  
301 biogeochemical effects are dominating, since changes in T<sub>s</sub> and thus, the longwave radiation emissions  
302 are especially in SC quite small. This process is stronger pronounced in BUGSrad than in CCLM-VEG3D.  
303 Over southern and eastern Europe (MD, EA), the impact of the biogeophysical changes on OLR is  
304 dominating. Here, the reduced longwave radiation emissions of the colder surface are amplified by  
305 increased Q<sub>a</sub> in the mid-troposphere (not shown), counteracting the effect of the reduced CO<sub>2</sub>  
306 concentrations.

307

### 308 **3.3. TOA Energy Balance**

309 The decomposition of the BUGSrad simulations showed that biogeophysical effects of afforestation  
310 have a strong impact on the longwave radiation balance, which does consequently not only depend  
311 on the removal of CO<sub>2</sub> from the atmosphere. In considering both biogeophysical and biogeochemical  
312 effects, the question arises, whether afforestation has in general a warming or a cooling effect on the  
313 regional climate in Europe. Since the regional climate conditions in Europe depend decisively both on

314 the lateral heat transport and on the radiative energy input, the energy balance at the top of the  
315 atmosphere (TOA) is analyzed to quantify the impact of the latter. With this aim, the net longwave  
316 radiation leaving the earth system is subtracted from the net shortwave radiation input into the  
317 system. In this way, biogeophysical changes in the shortwave radiation balance with afforestation by  
318 a reduced surface albedo can be related to changes in the longwave radiation balance, which is  
319 affected by both biogeophysical and biogeochemical process, as demonstrated above.

320 Changes in the TOA energy balance between CARBON and GRASS are shown for (a) winter, (b) summer  
321 and (c) the whole year in Fig. 7. Red areas indicate regions in which afforestation leads to an increased  
322 energy input into the regional climate system in Europe, blue areas indicate regions with a reduced  
323 energy input. In winter, the TOA energy balance is increased in southern Europe, the Alpine region,  
324 eastern Europe and southern Scandinavia (Fig. 7a). In these regions, the increased longwave radiative  
325 energy loss by an increased OLR is compensated by an increased shortwave radiation input. In central  
326 Europe, the British Isles and northern Scandinavia, the opposite is the case and the TOA energy balance  
327 is decreased or close to zero.

328 In summer, the interplay between changes in OLR and changes in the shortwave radiation lead to a  
329 decreased TOA energy balance in central and north-eastern Europe and a strongly increased energy  
330 balance in southern Europe as well as parts of Scandinavia (Fig. 7b). Across seasons, the TOA energy  
331 balance is almost all over Europe increased with afforestation (Fig. 7c). The increased TOA energy  
332 balance in Scandinavia is explained by a strong increase in the net shortwave radiation in spring (Fig.  
333 8), due to differences in the snow cover. Afforestation is consequently associated with an increased  
334 TOA energy balance over Europe.

335

#### 336 **4. Discussion**

337 Prior to the CARBON simulation, a global atmospheric CO<sub>2</sub> concentration of 279 ppm was calculated  
338 as a response to a Europe-wide afforestation at the beginning of our simulation period (1986, see  
339 section 2.2). At first glance, this substantial reduction of the global CO<sub>2</sub> concentration to pre-industrial  
340 levels is astonishing. However, it has to be considered that the applied method is designed for a mature  
341 forest, under the assumption of an equilibrium in the atmosphere-ocean system, which will be  
342 achieved only on centennial timescales (Goodwin et al., 2007). An inertial short-term adjustment of  
343 the CO<sub>2</sub> concentrations is therefore not considered. In addition, the presented study is an idealized  
344 and simplified afforestation experiment, starting from a grassland continent. Thus, it is not a realistic  
345 afforestation scenario (Bastin et al., 2019) and areas are afforested, in which the environmental  
346 conditions are not actually ideal. Changes in the environmental conditions due to climate change are  
347 also not considered. Moreover, ongoing fossil fuel emissions are neglected (Jones et al., 2016) and the  
348 carbon already stored in grasslands (soil + biomass) is also not taken into account (Jackson et al., 2002).

349 The real carbon sequestration potential of afforestation should consequently be lower and the  
350 reduction in global CO<sub>2</sub> concentrations, associated with a more realistic afforestation scenario, should  
351 thus be smaller. Hence, this also means that the effect of biogeophysical processes on the longwave  
352 radiation balance is likely to be even stronger in comparison to biogeochemical processes. Thus, the  
353 regional warming effect of afforestation in Europe is expected to be even more intense in a realistic  
354 setup. This experiment should thus be considered as sensitivity study by which the maximum potential  
355 effect of afforestation on the longwave radiation balance and the regional climate was estimated.  
356 Such a quantification of the direct impacts of biogeophysical and biogeochemical processes on changes  
357 in the longwave radiation balance with afforestation is only possible within idealized RCM simulations,  
358 since the indirect effects of global climate feedbacks can be specifically excluded. Moreover, the  
359 advantage of RCM simulations is that the physical processes related to the interactions between the  
360 land surface (soil and vegetation) and the atmosphere are better resolved than in global climate  
361 simulations, whereby relevant land-atmosphere feedbacks are simulated more accurately on the  
362 regional scale.

363 However, not all effects of afforestation on the European climate can be fully described on the basis  
364 of the applied RCM approach. First, CO<sub>2</sub> dynamics are not considered in the CCLM-VEG3D simulations,  
365 since no carbon cycle (Liski et al., 2005) is implemented in the modeling framework. Furthermore, all  
366 simulations are driven by ERA-Interim reanalysis, which means present-day atmospheric conditions  
367 with recent CO<sub>2</sub> concentrations. The feedbacks of reduced CO<sub>2</sub> concentrations and biogeophysical  
368 effects on the global climate system, especially on ocean-atmosphere interactions (Davin & de Noblet-  
369 Ducoudré 2010; Swann et al., 2012) as well as on snow and sea ice cover (Donohoe et al., 2014), are  
370 consequently not considered.

371 These missing global feedbacks are most likely the reason for the small effects on simulated T<sub>s</sub> in  
372 Europe by an atmospheric CO<sub>2</sub> reduction to pre-industrial levels (Fig. 2b). This small temperature effect  
373 is apparently in contradiction to observations, documenting that increasing CO<sub>2</sub> concentrations led to  
374 a considerable warming of up to 1.5 K in Europe until the end of our simulation period in comparison  
375 to pre-industrial levels (EEA, 2017). However, the results of our simulations are in line with recent  
376 studies providing evidence that the temperature effect of changing CO<sub>2</sub> concentrations is not mainly  
377 caused by direct changes in the longwave radiation balance, but by changes in the shortwave radiation  
378 balance, which are indirectly induced by changes in global CO<sub>2</sub> climate feedbacks, e.g. ice-albedo  
379 feedback associated with changes in the snow and ice cover (e.g., Donohoe et al., 2014). Since such  
380 feedbacks are not included in our experiment, we have to conclude that the driving boundary  
381 conditions of our simulations are too warm.

382 Based on the above, we can assume that an idealized reduction of the global CO<sub>2</sub> concentrations to  
383 pre-industrial conditions by a regional afforestation would have a global cooling effect, due to the

384 global climate feedbacks described above. A consideration of such colder global climate conditions in  
385 our experiment would of course have certain implications on the biogeophysical processes in our  
386 modeling domain. For instance, driving the CARBON simulation with generally colder boundary  
387 conditions would enhance snowfall during winter in Europe. The snow masking effect would  
388 consequently be increased and more solar radiation would be absorbed than with present-day  
389 boundary conditions. As a result, the TOA energy balance would be further enhanced in winter. This  
390 process is already known to be the reason for the general warming effect of afforestation in the high  
391 latitudes (e.g. Claussen et al., 2001; Bonan, 2008). Furthermore, more snow accumulation in winter  
392 would extend the melting phase in spring and increase the differences in absorbed solar radiation  
393 between CARBON and GRASS. Since an increased net shortwave radiation in spring (Fig. 8) is already  
394 an important factor for the increased TOA energy balance with afforestation particularly in  
395 Scandinavia, the total warming would be intensified.

396 In addition, the impact of wind shear on the turbulent heat exchange is getting stronger for colder  
397 atmospheric conditions, since buoyance becomes smaller (e.g. Breil et al., 2021). That means that the  
398 impact of the surface roughness on  $T_s$  also becomes stronger. Since the surface roughness of forests is  
399 higher than of grasslands, the summertime cooling effect of afforestation on  $T_s$  (Fig. 3b) would be  
400 increased and emitted longwave radiation would be further reduced. Therefore, the consideration of  
401 global climate feedbacks in our modeling approach and thus, a forcing with colder boundary  
402 conditions, would even intensify the increased TOA energy balance and the warming effect of  
403 afforestation in Europe. An idealized reduction of the global  $CO_2$  concentrations to pre-industrial levels  
404 by afforestation would consequently not actually cool the regional climate in Europe to pre-industrial  
405 conditions, as the regionally increased TOA energy balance would counteract the global effect.

406 However, all derived results are model dependent and are therefore associated with uncertainties. For  
407 instance, the study of Davin et al., (2020) showed that the response of different RCMs to afforestation  
408 can be quite different for some climatological quantities like evapotranspiration. For  $T_s$ , conversely,  
409 afforestation effects are very consistent across the models in Europe. In winter, afforestation generally  
410 leads to warmer temperatures, due to the snow masking effect of trees (Davin et al., 2020). In summer,  
411 increased turbulent heat fluxes into the atmosphere are consistently simulated with afforestation,  
412 generally resulting in a reduction of  $T_s$  in the models (Breil et al., 2020). Thus, the presented  
413 temperature responses are in good agreement with other modeling results. This is also the case for  
414 the simulated net shortwave radiation all over the year in Europe (Davin et al., 2020). Since  $T_s$  is  
415 according to the BUGSrad analysis the most relevant biogeophysical quantity for the net longwave  
416 radiation and thus, in combination with the net shortwave radiation, also for the TOA energy balance,  
417 this gives us confidence that our model results are robust.

418

## 419 **5. Conclusions**

420 In this study, the general effects of biogeophysical and biogeochemical processes on the longwave  
421 radiation balance and the regional climate conditions in Europe are analyzed within an idealized  
422 Europe-wide afforestation RCM experiment, in which the global CO<sub>2</sub> concentrations were reduced to  
423 pre-industrial levels at the beginning of our simulation period. The respective contributions of  
424 biogeophysical and biogeochemical effects were decomposed by means of additional offline  
425 simulations with a radiative transfer model.

426 Results show that the impact of biogeochemical processes with afforestation on surface temperature  
427 ( $T_s$ ) is negligible in comparison to the biogeophysical effects (Fig. 2). Beyond that, biogeophysical  
428 processes affect the regional longwave radiation balance, which is generally thought to be positively  
429 influenced by afforestation, due to the net removal of CO<sub>2</sub> from the atmosphere. However, our results  
430 provide evidence that biogeophysically induced changes of  $T_s$ ,  $T_a$  and  $Q_a$  are at least as important for  
431 the longwave radiation balance as the atmospheric CO<sub>2</sub> reduction (Fig. 5 and 6). In particular, the  
432 changes in  $T_s$  have a considerable impact on the magnitude of the longwave radiation, in line with  
433 Vargas Zeppetello et al. (2019).

434 While results based on coarser resolved global climate studies rather indicate so far that  
435 biogeophysical and biogeochemical effects balance each other in Europe (Claussen et al., 2001, Bala  
436 et al., 2007), we provide here evidence that afforestation as implemented in our simulations has a total  
437 warming effect on the regional climate (Fig. 7). Thus, the increased shortwave radiation input due to  
438 the biogeophysical reduction of the surface albedo, is not compensated by increased longwave  
439 radiation emissions, associated with reduced CO<sub>2</sub> concentrations. Even with an idealized reduction of  
440 the global CO<sub>2</sub> concentrations to pre-industrial levels, the European climate response would still be  
441 dominated by biogeophysical processes associated with Europe-wide afforestation. A sole  
442 consideration of forests carbon sequestration potential is therefore not enough to assess the suitability  
443 of afforestation as mitigation strategy. We conclude that biogeophysical effects always need to be  
444 taken into account comprehensively, particularly as they affect the outgoing longwave radiation, which  
445 is the reason for the generally positive assessment of afforestation as mitigation strategy.

446

### 447 **Code availability**

448 The code of CCLM-VEG3D is available upon request from the corresponding author. The code of  
449 BUGSrad is available on the BUGSrad GitHub repository (<https://github.com/mattchri/BUGSrad>, last  
450 access: 16 November 2022).

451

### 452 **Data availability**

453 The data that support the findings of this study are available upon reasonable request from the  
454 corresponding author.

455

456 **Author contribution**

457 MB designed the study and wrote the paper. MB and FK performed the CCLM-VEG3D simulations and  
458 FK performed the BUGSrad simulations. FK analyzed the data and prepared the figures. All authors  
459 contributed with discussion, interpretation of results and text revisions.

460

461 **Competing interests**

462 The authors declare that they have no conflict of interest.

463

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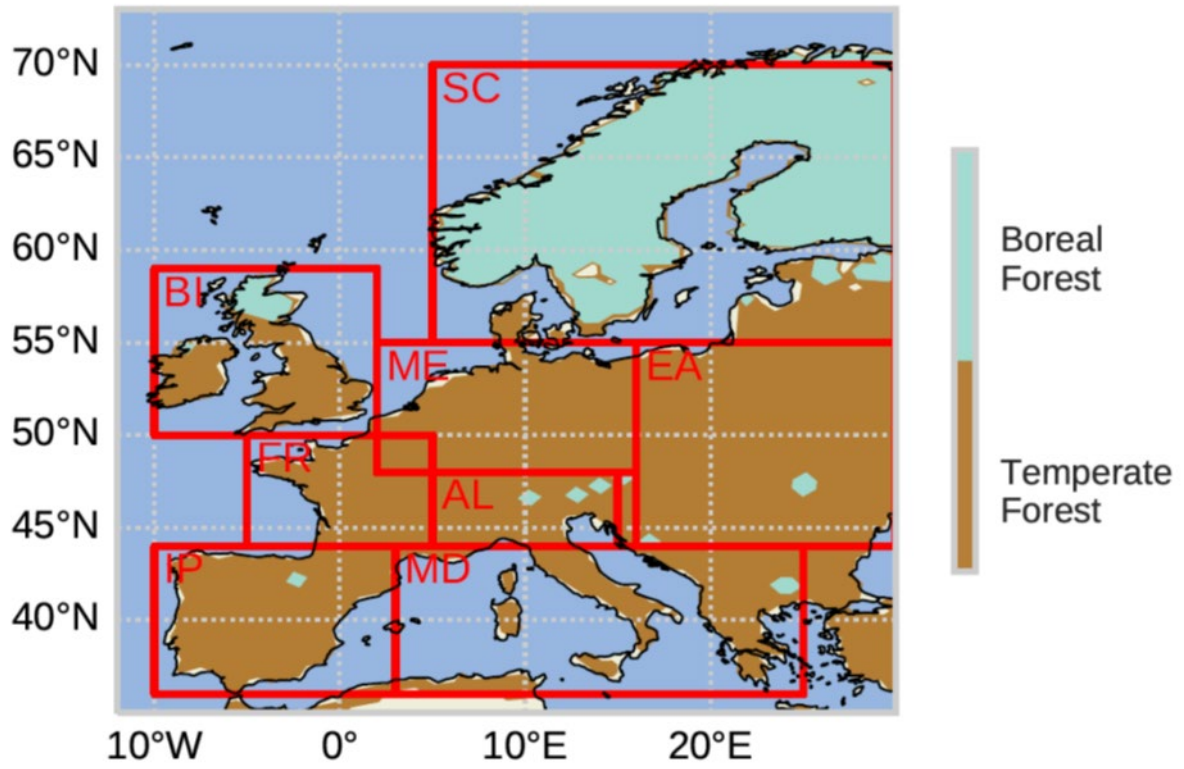
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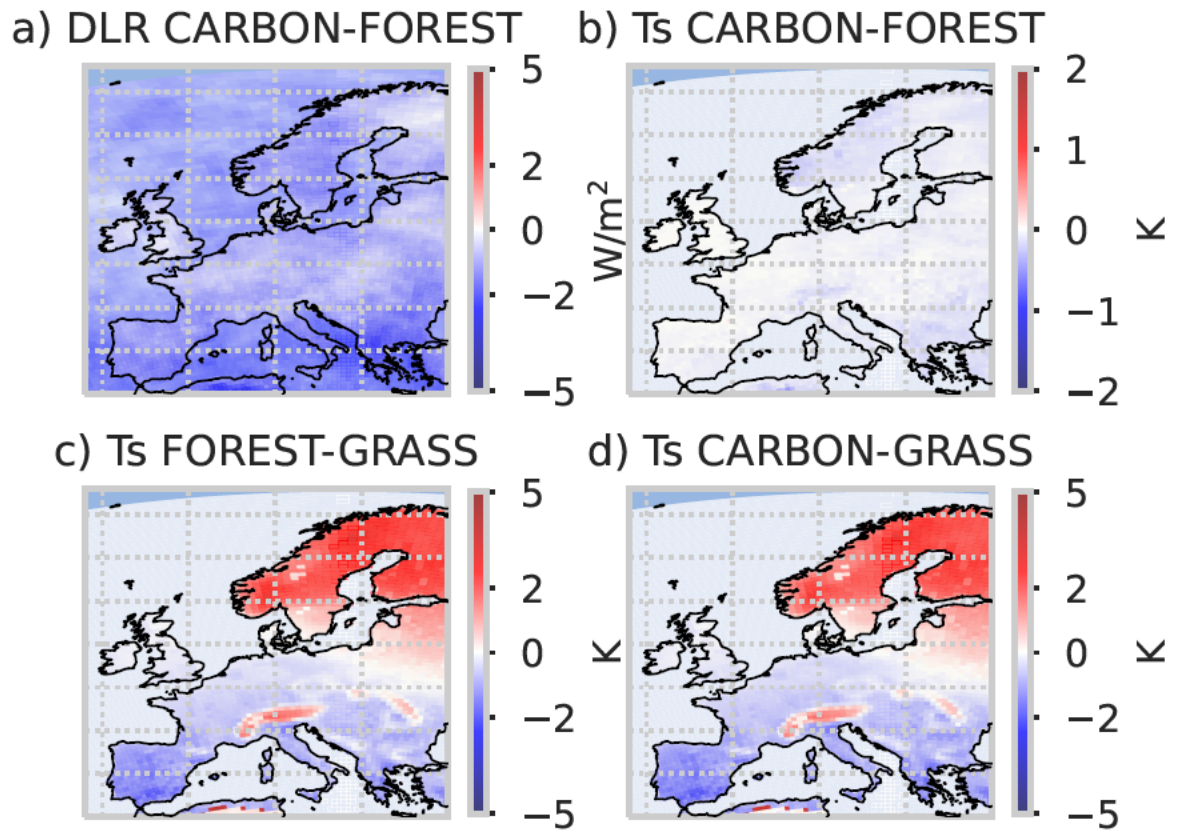


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695 Figure 1: Spatial distribution of boreal and temperate forests in the CCLM-VEG3D FOREST and CARBON  
 696 simulations.

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700 Figure 2: Yearly mean differences in (a) DLR between CARBON and FOREST, (b)  $T_s$  between CARBON  
 701 and FOREST, (c)  $T_s$  between FOREST and GRASS, and (d)  $T_s$  between CARBON and GRASS for the period  
 702 1986-2015.

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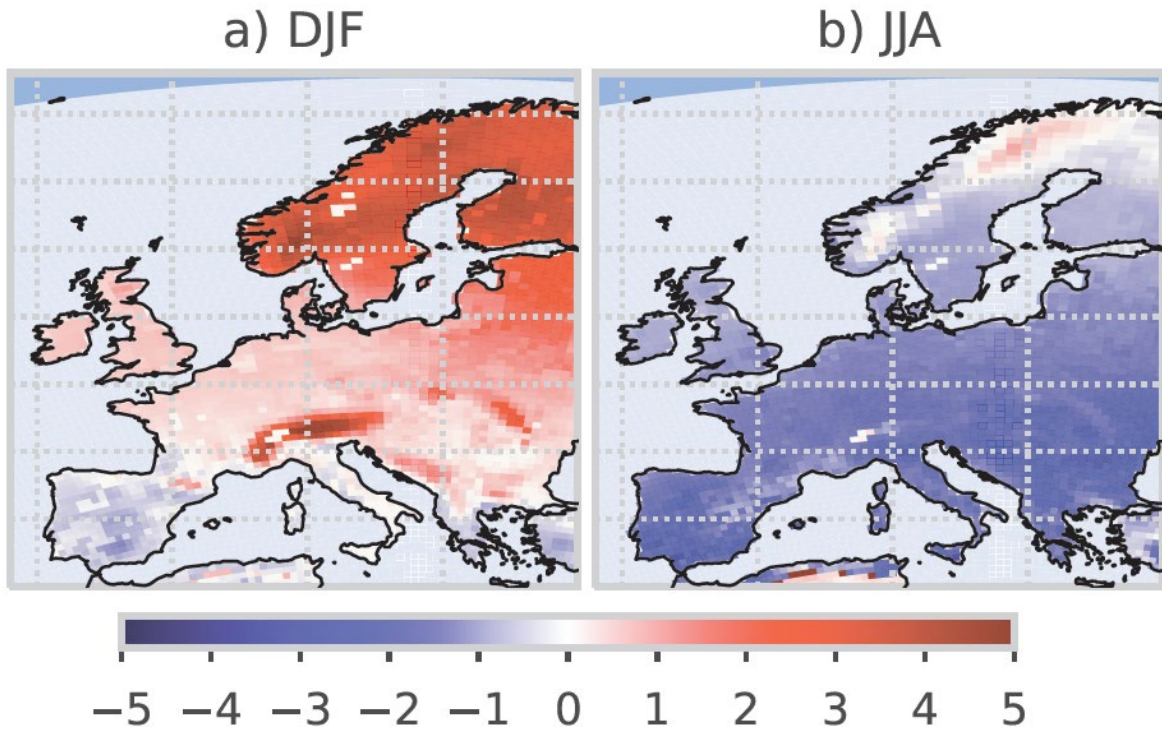
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713 Figure 3: Mean differences in  $T_s$  in [K] between CARBON and GRASS for the period 1986-2015, for the

714 (a) winter season and the (b) summer season.

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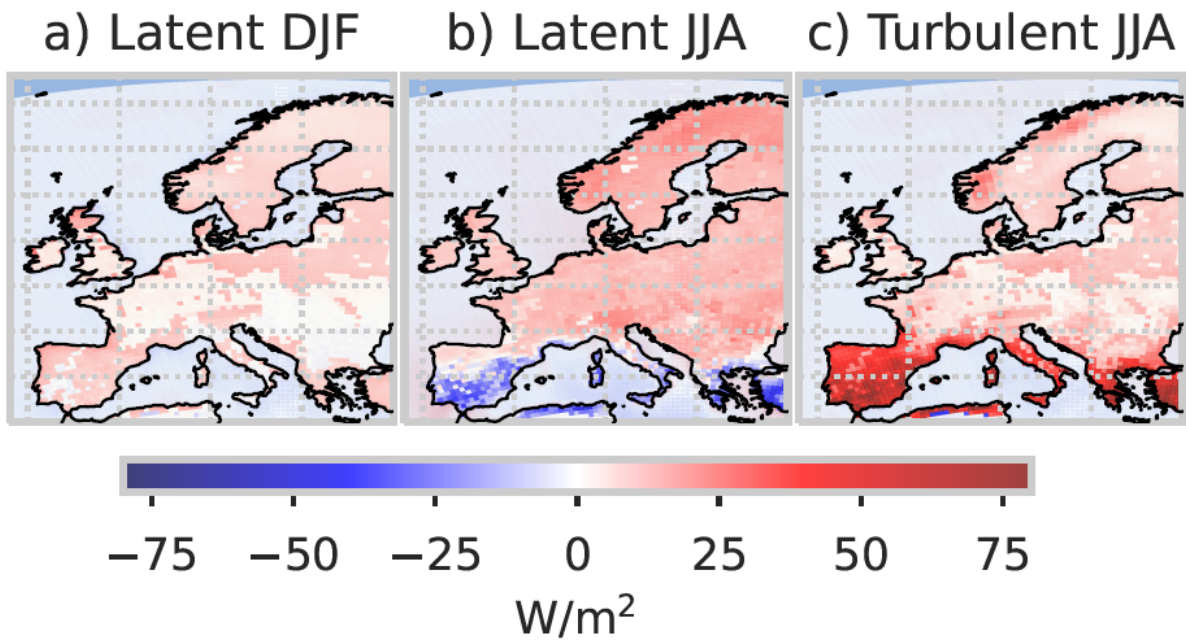
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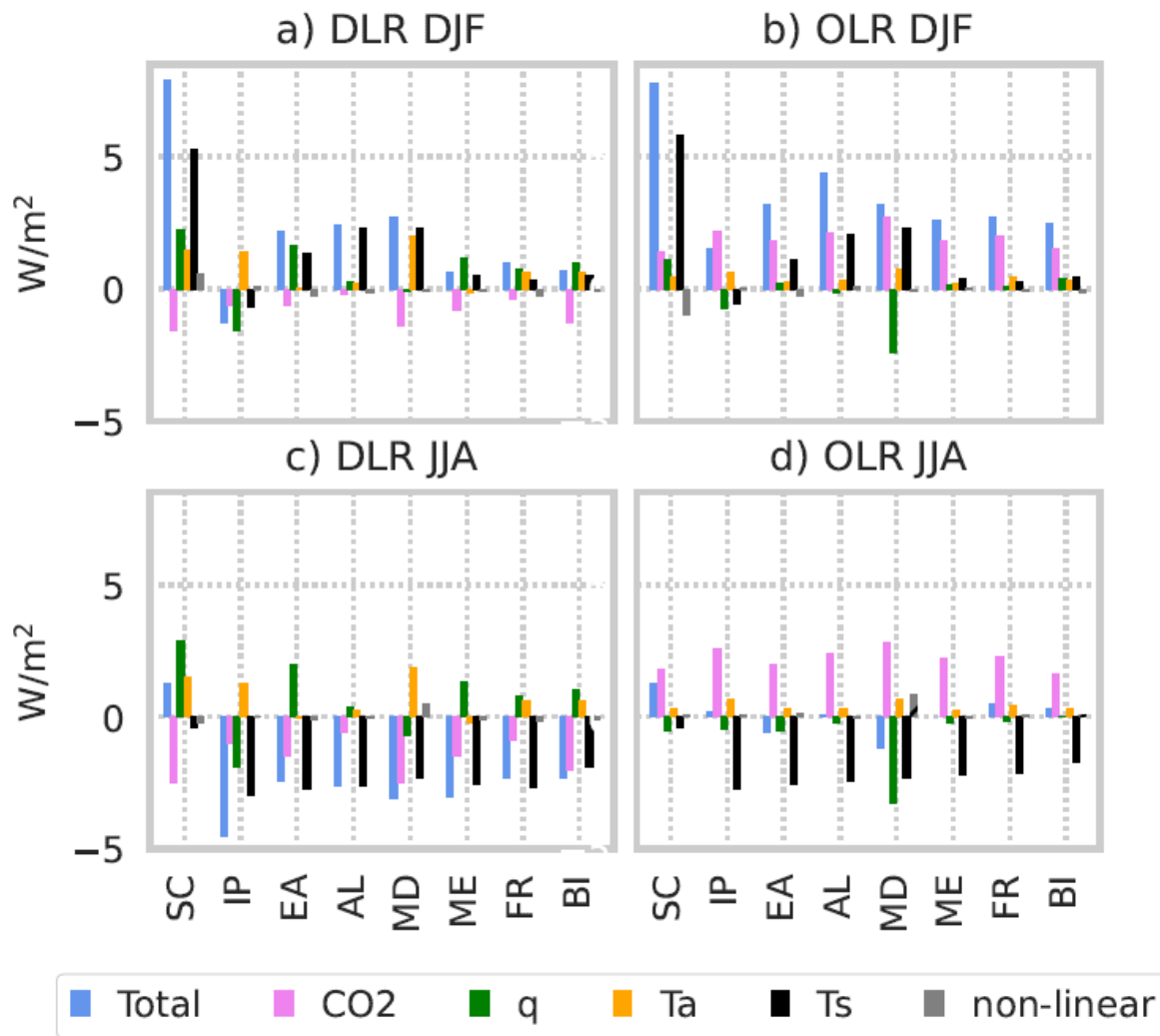
724 Figure 4: Mean differences between CARBON and GRASS for the latent heat fluxes in (a) winter and  
 725 (b) summer for the period 1986-2015. The differences in the sum of all turbulent heat fluxes (latent +  
 726 sensible) in summer is shown in (c).

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731 Figure 5: Differences in DLR (a+c) and OLR (b+d) for the winter (a+b) and the summer season (c+d)

732 between CARBON and GRASS simulated with BUGSrad. Blue bars show total differences in DLR/OLR.

733 The other bars show the respective contributions of CO<sub>2</sub> (pink), Q<sub>a</sub> (green), T<sub>a</sub> (yellow) and T<sub>s</sub> (black) to

734 changes in DLR/OLR. Black, yellow and green bars represent biogeophysical effects on the longwave

735 radiation balance with afforestation, pink bars biogeochemical effects. The grey bar is the residuum,

736 which is attributed to non-linear effects.

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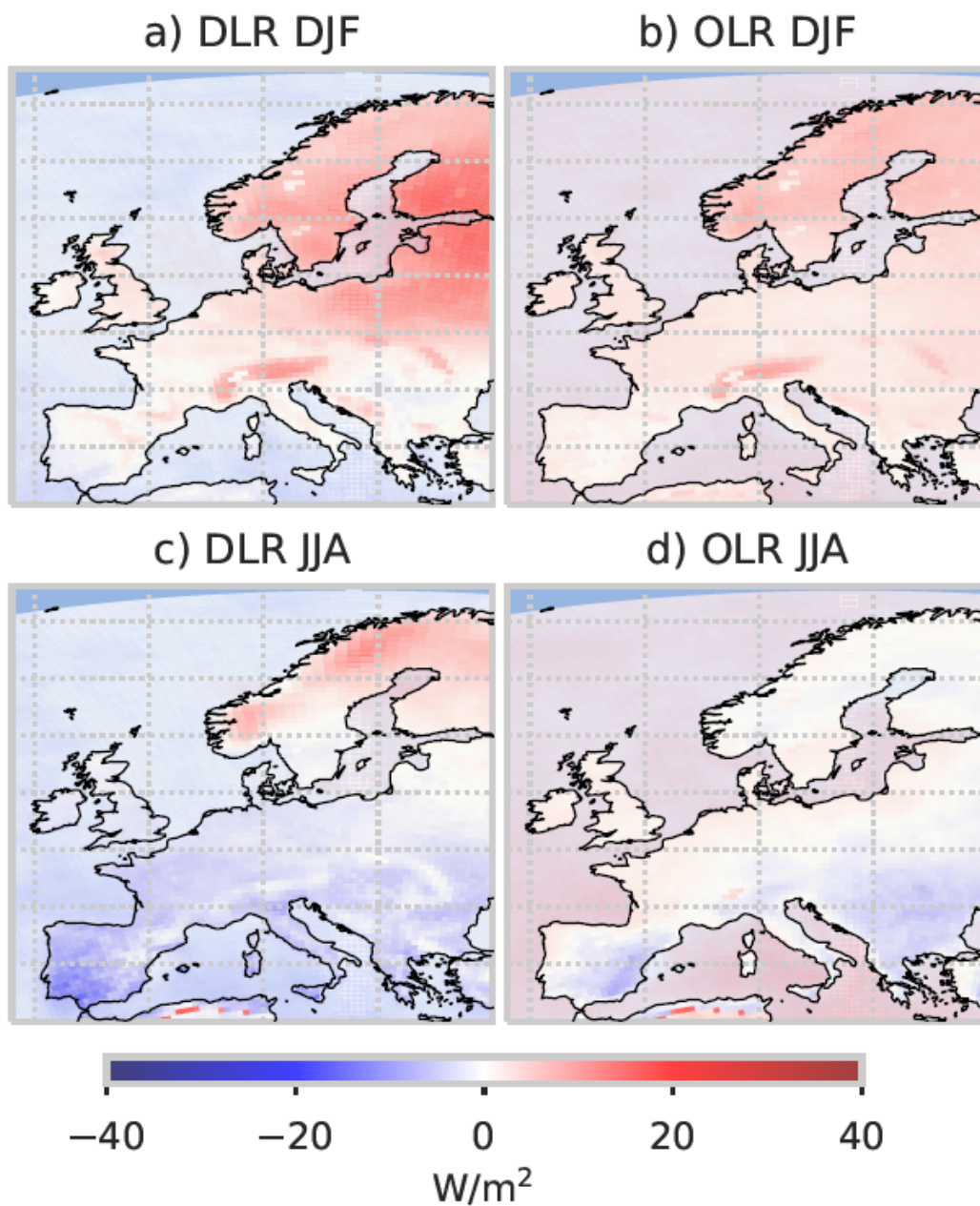
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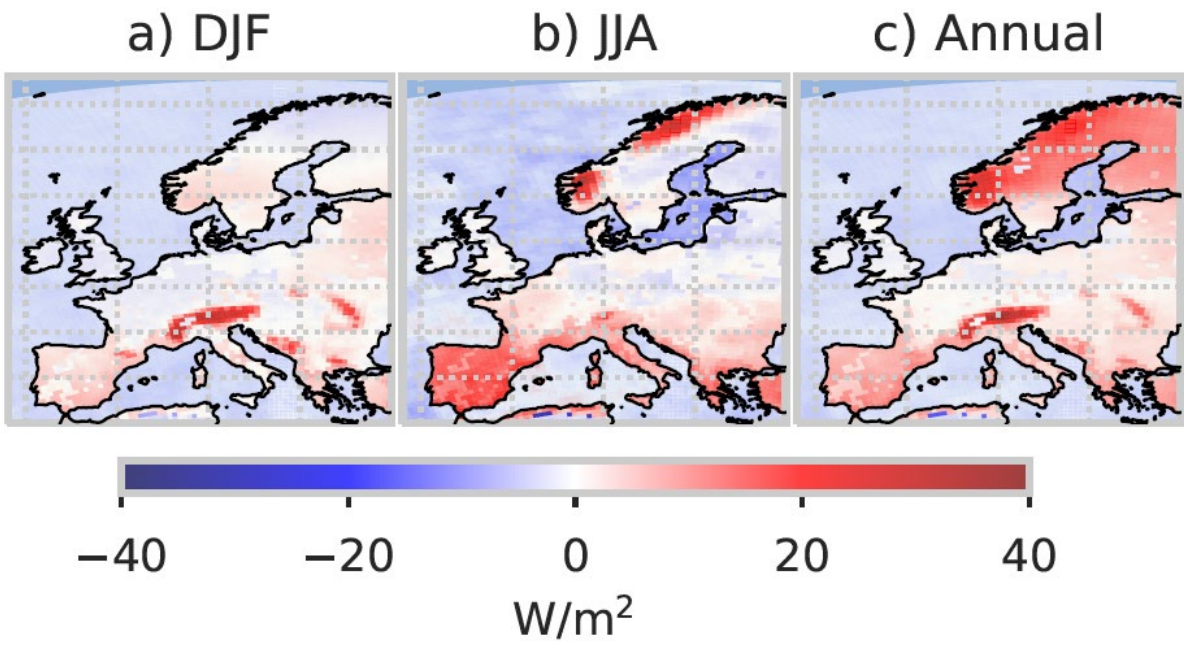
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745  
 746 Figure 6: Differences between CARBON and GRASS for DLR (a+c) and OLR (b+d) for the winter season  
 747 (a+b) and the summer season (c+d) over the period 1986-2015.

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758 Figure 7: Changes in the TOA energy balance between CARBON and GRASS for (a) winter, (b) summer  
 759 and (c) the whole year.

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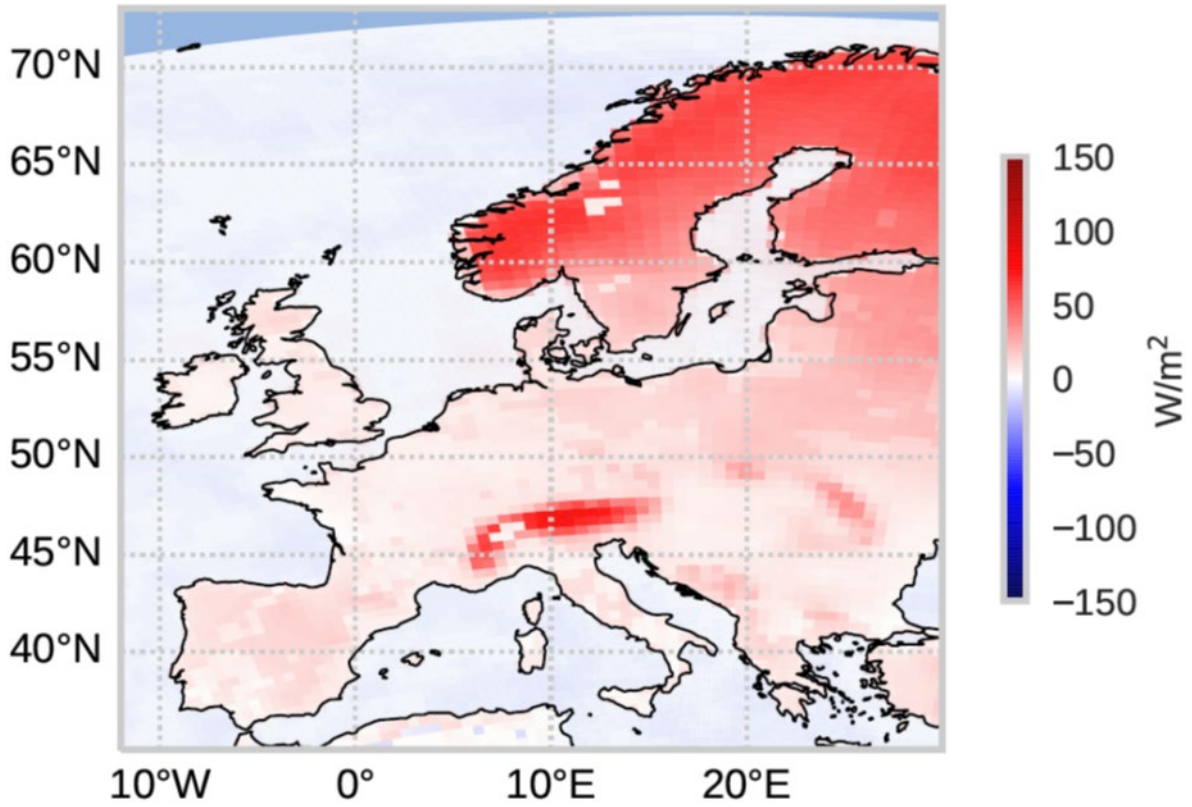
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780 Figure 8: Mean differences in net shortwave radiation in spring between CARBON and GRASS for the  
781 period 1986-2015.

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