

# 1 Soil organic carbon dynamics from agricultural management 2 practices under climate change

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7 **Abstract.** Sequestration of soil organic carbon (SOC) on cropland has been proposed as a climate change  
8 mitigation strategy to reduce global greenhouse gas (GHG) concentrations in the atmosphere, which is in  
9 particular needed to achieve the targets proposed in the Paris Agreement to limit the increase in atmospheric  
10 temperature to well below 2 °C. We here analyze the historical evolution and future development of cropland  
11 SOC using the global process-based biophysical model LPJmL, which was recently extended by a detailed  
12 representation of tillage practices and residues management (version 5.0–tillage2). We find that model results for  
13 historical global estimates for SOC stocks are at the upper end of available literature, with ~2650 Pg C of SOC  
14 stored globally in the year 2018, of which ~170 Pg C are stored in cropland soils. In future projections, assuming  
15 no further changes in current cropland patterns and under four different management assumptions with two  
16 different climate forcings, RCP2.6, and RCP8.5, results suggest that agricultural SOC stocks decline in all  
17 scenarios, as the decomposition of SOC outweighs the increase of carbon inputs into the soil from altered  
18 management practices. Different climate-change scenarios, as well as assumptions on tillage management, play a  
19 minor role in explaining differences in SOC stocks. The choice of tillage practice explains between 0.2 % and  
20 1.3 % of total cropland SOC stock change in the year 2100. Future dynamics in cropland SOC are most strongly  
21 controlled by residue management, whether residues are left on the field or harvested. We find that on current  
22 cropland, global cropland SOC stocks decline until the end of the century by only 1.0 % to 1.4 % if residue-  
23 retention management systems are generally applied and by 26.7 % to 27.3 % in case of residues harvest. For  
24 different climatic regions, increases in cropland SOC can only be found for tropical dry, warm temperate moist,  
25 and warm temperate dry regions in management systems that retain residues.

## 26 1 Introduction

27 To meet the targets of the Paris Agreement of 2015 to keep the increase in global mean temperature well below  
28 2°C, and especially for the ambitious target of below 1.5°C, several negative emission technologies which  
29 remove carbon dioxide (CO<sub>2</sub>) from the atmosphere have been proposed (Minx et al., 2018; Rogelj et al., 2018,  
30 2016). At the same time as the climate is warming, the global human population is expected to increase to 9.7  
31 billion people in 2050 and 10.9 billion by 2100 (United Nations et al., 2019), putting additional pressure on  
32 future food production systems. Food production alone has to increase by at least 50 % (FAO, 2019) or even

33 double by the year 2050, depending on dietary preferences, demographical trends, and climate projections, when  
34 global food demand is to be met (Bodirsky et al., 2015). Different agricultural management practices have been  
35 proposed as carbon (C) sequestration strategies to mitigate climate change and increase the quality and health of  
36 the soil by increasing soil organic carbon (SOC) content of cropland soils (Lal, 2004), which also decreases the  
37 risk of soil erosion and soil degradation (Lal, 2009).

38 Tillage influences many biophysical properties, such as soil temperature or soil hydraulic properties (Snyder  
39 et al., 2009), and can increase different forms of soil degradation (Lal, 1993; Kurothe et al., 2014; Cerdà et al.,  
40 2009). The potential of SOC sequestration for agricultural management practices, e.g., the effect of no-till, is  
41 debated in the scientific community (Baker et al., 2007; Powlson et al., 2014). Because tillage management is  
42 closely interrelated with residues management (Guérif et al., 2001; Snyder et al., 2009), these two practices  
43 should always be investigated simultaneously. Residue management can affect SOC stocks and soil water  
44 properties, as residues left on the soil surface can increase soil infiltration, reduce evaporation (Guérif et al.,  
45 2001; Ranaivoson et al., 2017), and add soil organic matter into the soil (Maharjan et al., 2018). Soil moisture  
46 and therefore plant productivity is also influenced by irrigation. While irrigated systems generally tend to have  
47 higher SOC stocks due to positive feedbacks on plant productivity, the feedbacks and mechanisms on SOC  
48 development are still not well understood (Humphrey et al., 2021; Emde et al., 2021). The effectiveness of  
49 irrigation systems on SOC development is influenced by climate and initial SOC stock and tends to be more  
50 effective in semiarid regions and less effective in humid regions (Trost et al., 2013).

51 Minasny et al. (2017) have proposed the '4 per 1000 Soils for Food Security and Climate' initiative, which  
52 targets to increase global SOC sequestration by 0.4 % per year. They argue that under best-management  
53 practices, this target rate could be even higher. This approach would translate into a 2-3 Pg C a<sup>-1</sup> SOC increase in  
54 the first 1 m of the soil, which is equivalent to about 20-35 % of global greenhouse gas (GHG) emissions  
55 (Minasny et al., 2017). This proposal has been criticized, as it overestimates the possible effect of SOC  
56 sequestration potential through agricultural management (de Vries, 2018; White et al., 2018). Field trials on SOC  
57 sequestration potentials show results with higher, as well as lower sequestration rates, but only represent the  
58 local soil and climatic conditions for the time of the experiment (Fuss et al., 2018; Minx et al., 2018), which  
59 reduces the likelihood for their validity on larger scales or longer time periods.

60 Global total SOC stocks are estimated between 1500 Pg C (excluding permafrost regions) (Hiederer and  
61 Köchy, 2011) to up to 2456 Pg C for the upper 200 cm (Batjes, 1996) and agricultural SOC stocks alone, which  
62 are subject to agricultural management, are estimated to be between 140 and 327 Pg C depending on soil depth  
63 (Jobbágy and Jackson, 2000; Zomer et al., 2017). Since the beginning of cultivation by humans approximately  
64 12000 years ago, global SOC stocks for the top 200 cm of soil have declined by 116 Pg C because of agriculture  
65 by one estimate (Sanderman et al., 2017). Management assumptions play an important role in these estimates,  
66 e.g. Pugh et al. (2015) found that residue removal and tillage effects contribute to 6 % and 8 % of total land-use  
67 change (LUC) emissions between the year 1850 and 2012 alone, which translates into biomass and soil C losses  
68 of approx. 13.5 Pg C and 16 Pg C, respectively.

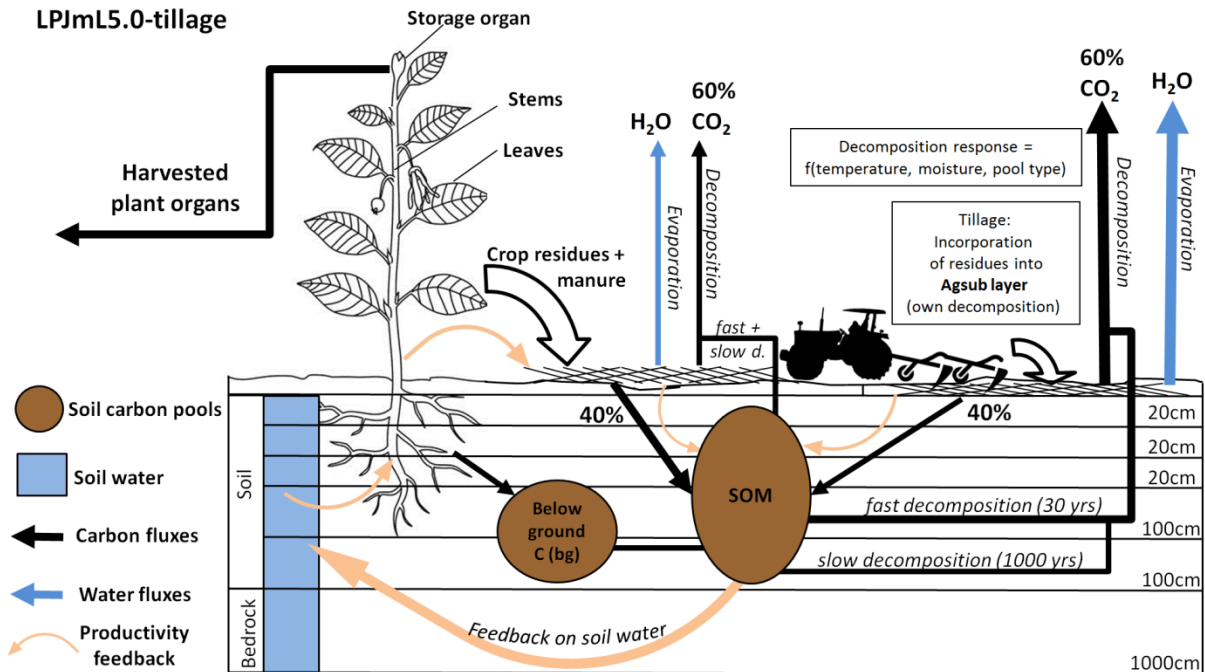
69 In this study, we use a modeling approach to quantify the historical development of global cropland SOC  
70 stocks using new data for agricultural management such as manure and residues management, as well as a new  
71 data set of the spatial distribution of tillage practices. In addition, we investigate the potential for SOC  
72 sequestration under different climate-change scenarios on current cropland.

## 73 **2 Materials and methods**

### 74 **2.1 The LPJmL5.0-tillage2 model**

75 The LPJmL5.0-tillage2 model combines the dynamic phenology scheme of the natural vegetation (Forkel et al.,  
76 2014), with version 5.0-tillage, which covers the terrestrial nitrogen cycle (von Bloh et al., 2018) and the  
77 representation of tillage practices and residue management (Lutz et al., 2019b). The model code is available at:  
78 <https://doi.org/10.5281/zenodo.4625868> (Herzfeld et al., 2021). All organic matter pools in vegetation, litter, and  
79 soil in LPJmL5.0-tillage2 are represented by C pools and the corresponding N pools with variable C:N ratios.  
80 For soil carbon, the slow and fast soil pools are explicitly distributed over five soil layers (Schaphoff et al.,  
81 2013). With the term ‘SOC’ we refer to the sum of all soil and litter C pools. After the harvest of crops, root  
82 carbon is transferred to the below-ground litter pool. The incorporation of above-ground residues into the soil is  
83 dependent on the chosen management practices. Different tillage and residue management schemes and the  
84 accounting for direct effects of SOC on soil hydraulic properties and thus on soil organic matter (SOM)  
85 decomposition and plant productivity have been introduced in the implementation of tillage practices in version  
86 5.0-tillage (Lutz et al., 2019b), and are thus explicitly considered here (Fig. 1). The model accounts for an  
87 irrigation scheme for green and blue water consumption (Rost et al., 2008) and the effects of different irrigation  
88 systems (Jägermeyr et al., 2015). Irrigation water is dynamically calculated and coupled with the overall water  
89 balance between soil, vegetation, and climate properties (Schaphoff et al., 2018).

**Carbon cycling on cropland in LPJmL5.0-tillage**



90

91 **Figure 1: Carbon cycling on cropland and productivity feedbacks from plants to residues and soil stocks and soil**  
 92 **water, as modeled in LPJmL5.0-tillage. Arrows indicate fluxes, boxes, and circles are stocks.**

93 In LPJmL5.0-tillage2, the amount of carbon in biomass, which is either harvested or can be left as  
 94 crop residue is dependent on productivity (plant growth). Litter pool sizes are determined by the amount of  
 95 biomass that is left on the field (i.e. not harvested) and the rate at which the litter is decomposed. At  
 96 decomposition, the model assumes a fixed ratio of 40 % of C that is transferred from litter to the soil carbon  
 97 pools; the other 60 % of C are emitted to the atmosphere as CO<sub>2</sub>, as in von Bloh et al. (2018). N cycling is  
 98 included in the model, explained in detail in von Bloh et al. (2018), and follows similar principles as SOC  
 99 decomposition, reflecting the actual C:N ratios of the decomposing material. Applied N from manure, which is  
 100 now explicitly considered in contrast to the previous model version LPJmL5.0-tillage, is assumed to consist of  
 101 equal shares of mineral and organic N so that 50 % is added to the ammonium pool of the first soil layer and the  
 102 rest is added to the above-ground leaf litter nitrogen pool. While manure composition is highly variable across  
 103 animal type, feed, and treatment, a general ratio of 1:2 of ammonium to total N in manure is in principle  
 104 supported by the ranges reported by Van Kessel and Reeves (2002). The organic leaf litter nitrogen is quickly  
 105 decomposed and added to the ammonium pool of the soil. The C part of the organic manure is allocated to the  
 106 leaf litter C pool (i.e. an easily degradable organic pool that can be left on the soil surface or incorporated into  
 107 the soil column by tillage), with a fixed C:N ratio of 14.5 (IPCC, 2019). Total fertilizer amounts (i.e. mineral  
 108 fertilizer and manure) are applied either completely at sowing or split into two applications per growing season.  
 109 Manure is always applied at the first application event at sowing. Only when total combined fertilizer inputs  
 110 (manure and mineral N) exceed 5 gN m<sup>-2</sup>, half of the total fertilizer is applied in a second application as mineral

111 fertilizer, which is applied after 40 % of the necessary phenological heat sums to reach maturity have been  
112 accumulated.

## 113 **2.2 Simulation protocol**

114 A list of the simulations carried out for this study is summarized in Table 1. An initial spinup simulation per  
115 general circulation model (GCM) and Climate Research Unit gridded Time Series (CRU TS) climate data of  
116 7000 years is conducted to bring SOC stocks into a dynamic pre-historic equilibrium (SP-GCM/SP-CRU), in  
117 which the first 30 years of weather data are cyclically recycled, mimicking stable climate conditions. A second  
118 GCM-specific spinup simulation to introduce land use dynamics starts in 1510 so that cropland older than that  
119 has reached a new dynamic equilibrium by 1901 when the actual simulations start and land-use history is  
120 accounted for otherwise. Simulations were run for three groups: a) historical runs from 1901-2018 using CRU  
121 TS Version 4.03 climate input (Harris et al., 2020) and inputs on historical management time series (which is  
122 subject to the same spinup procedures as the GCM-specific simulations), b) historical simulations from 1901-  
123 2005 with climate inputs from the four GCMs and historical management time series, c) future simulations using  
124 projections of the four GCMs for the representative concentration pathways RCP2.6 (low radiative forcing) and  
125 RCP8.5 (high radiative forcing) and four different stylized management settings: conventional tillage and  
126 residues retained (T\_R), conventional tillage and residues removed (T\_NR), no-till and residues retained (NT\_R)  
127 and no-till and residues removed (NT\_NR) and d) simulations as in c) but with [CO<sub>2</sub>] held constant at the level  
128 of the year 2005 (379.8 ppmv) that are used to quantify the CO<sub>2</sub> effect. All other inputs (land-use, N-fertilizer,  
129 manure) for all future simulations were also held constant at the year 2005 values. In future simulations, we  
130 accounted for unlimited water supply from resources available for irrigation. Additionally, the rainfed to  
131 irrigated cropland pattern was held constant at the year 2005 pattern. An additional simulation per GCM was  
132 conducted where all inputs, as well as management assumptions, are static after 2005. These are used to analyze  
133 the business-as-usual case under constant land use (h\_cLU). To compare the results to literature values on the  
134 maximum potential of global SOC stocks without land use, an additional simulation with potential natural  
135 vegetation (PNV) was conducted, where all land is assumed to be natural vegetation with internally computed  
136 vegetation composition and dynamics.

**Table 1: Overview of the different simulations conducted for this study. For more details and purposes of the simulation see text. No LU – no land use, PNV – potential natural vegetation.**

Name	Nr. of sim.	Years	Climate input	Tillage	Residues treatment	Fertilizer	Manure	LU data-set	Description
SP_CRU SP_GCM	5	7000	CRU TS 4.03 / HadGEM2_ES, GFDL-ESM2M, IPSL-CM5A-LR, MIROC5 Repeated 1901-1930	No LU	No LU	No LU	No LU	No LU	7000 years PNV spin-up until 1509 to compute a pre-historic dynamic SOC equilibrium
SPLU_CRU SPLU_GCM	5	390	CRU TS 4.03 / HadGEM2_ES, GFDL-ESM2M, IPSL-CM5A-LR, MIROC5 Repeated 1901-1930	First-year values of Porwollik et al. 2019	First-year values of MADRaT	First-year values of LUH2v2	First-year values of Zhang et al. (2017)	LUH2v2 (Hurtt et al., 2020)	390 years spin-up until 1900 to compute the effects of LU history, which is used as the starting point for all simulations
h_PNV	1	1901-2018	CRU TS 4.03 1901-2018	No LU	No LU	No LU	No LU	No LU	PNV run until 2018 (with 390 years spin-up for better comparability to LU runs), starting from SP_CRU
h_dLU	2	1700-2018	CRU TS 4.03 From 1700-1900 repeated 1901-1930, 1901-2018 afterward	Porwollik et al. 2019	MADRaT (Dietrich et al., 2020)	LUH2v2 (Hurtt et al., 2020)	Zhang et al. (2017)	LUH2v2 (Hurtt et al., 2020)	Historical run with dynamic LU, starting from SPLU_CRU
h_cLU	2	1700-2018	CRU TS 4.03 From 1700-1900 repeated 1901-1930, 1901-2018 afterward	Porwollik et al. 2019 Static at 2005 level	MADRaT (Dietrich et al., 2020) Static at 2005 level	LUH2v2 (Hurtt et al., 2020) Static at 2005 level	Zhang et al. (2017) Static at 2005 level	LUH2v2 (Hurtt et al., 2020) Static at 2005 level	Historical run with constant land use (with 390 years spin-up as in SPLU_CRU, but with the land use pattern of 2005), starting from SP_CRU
h_GCM	4	1901-2005	HadGEM2_ES, GFDL-ESM2M, IPSL-CM5A-LR, MIROC5	Porwollik et al. 2019	MADRaT (Dietrich et al., 2020)	LUH2v2 (Hurtt et al., 2020)	Zhang et al. (2017)	LUH2v2 (Hurtt et al., 2020)	CMIP5 historical scenario runs used, starting from SPLU_GCM
T_R_26/85 NT_R_26/85 T_NR_26/85 NT_NR_26/85	64	2006-2099	RCP2.6/RCP8.5 HadGEM2_ES, GFDL-ESM2M, IPSL-CM5A-LR, MIROC5	tillage / no-till	Residues retained / residues removed	LUH2v2 (Hurtt et al., 2020) Static at 2005 level	Zhang et al. (2017) Static at 2005 level	LUH2v2 (Hurtt et al., 2020) Static at 2005 level	CMIP5 future runs with different management options, starting from h_GCM
TRc05_26 TRc05_85	16	2006-2099	RCP2.6/RCP8.5 HadGEM2_ES, GFDL-ESM2M, IPSL-CM5A-LR, MIROC5	Porwollik et al. 2019 Static at 2005 level	MADRaT (Dietrich et al., 2020) Static at 2005 level	LUH2v2 (Hurtt et al., 2020) Static at 2005 level	Zhang et al. (2017) Static at 2005 level	LUH2v2 (Hurtt et al., 2020) Static at 2005 level	CMIP5 future runs with tillage and residue management constant at 2005 level, starting from h_GCM

### 140 2.3 Model inputs

141 We created input data sets for an explicit representation of land use, fertilizer, manure, and residue management,  
142 using the MADRaT tool (Dietrich et al., 2020). Historic land-use patterns of shares of physical cropland, also  
143 separated into an irrigated and rainfed area, as well as mineral fertilizer data (application rate per crop in  $\text{gN m}^{-2}$   
144  $\text{a}^{-1}$ ) for the period of the year 1900 to 2015, are based on the Land-Use Harmonization – LUH2v2 data (Hurtt et  
145 al., 2020), which provides fractional land-use patterns for the period of 850-2015 as part of the Coupled Model  
146 Intercomparison Project – CMIP6 (Eyring et al., 2016). Manure application rates for the period 1860-2014 are  
147 based on Zhang et al. (2017) and account for organic N. With MADRaT, we were also able to produce data on  
148 crop functional type (CFT) specific fractions of residue rates left on the field (recycling shares) for the period  
149 1850-2015. We generated data on residue-recycling shares in 5-year time steps for the period 1965-2015 and  
150 interpolate linearly between time steps to get an annual time series. Between 1850 and 1965, default recycling  
151 shares for cereals of 0.25, for fibrous of 0.3, for non-fibrous of 0.3, and no-use of 0.8 were assigned to 1850 and  
152 linearly interpolated to the values of 1965. Cereals include temperate cereals, rice, maize, and tropical cereals;  
153 fibrous crops include pulses, soybean, groundnut, rapeseed, and sugarcane; non-fibrous crops include temperate  
154 roots, tropical roots, and no-use crops include sunflower, others, pastures, bioenergy grasses, and bioenergy  
155 trees. Information on conventional tillage and conservation agriculture (no-till) management was based on  
156 Porwollik et al. (2019) for the period 1974-2010. Before 1973, conventional tillage was assumed as the default  
157 management on all cropland. We assume one tillage event after initial cultivation of natural land, independent of  
158 the tillage scenario. This assumption does not affect the results of future projections as we constrain our analysis  
159 to cropland that is already cultivated in 2005.

160 Historical simulations were driven using the CRU TS Version 4.03 climate input (Harris et al., 2020) from  
161 1901 to 2018. Since this data set does not provide data before 1901, the 30-year climate from 1901 to 1930 was  
162 used repeatedly for spin-up simulations covering the period before 1901. Data on  $[\text{CO}_2]$  were taken from ice-  
163 core measurements (Le Quéré et al., 2015) and the Mauna Loa station (Tans and Keeling, 2021). Future  
164 simulations from 2006-2099 used climate scenarios from four GCMs taken from Coupled Model  
165 Intercomparison Project Phase 5 (CMIP5) in bias-adjusted as provided by the ISIMIP2b project (Frieler et al.,  
166 2017; Hempel et al., 2013): HadGEM2-ES, GFDL-ESM2M, IPSL-CM5A-LR and MIROC5 for both a weak  
167 climate forcing (Representative Concentration Pathway (RCP) 2.6) and a strong climate forcing (RCP8.5) with  
168 corresponding  $[\text{CO}_2]$  levels. The GCM data sets provide inputs for air temperature, precipitation, radiation, and  
169  $[\text{CO}_2]$ . The historic period for these GCM-specific simulations was based on bias-adjusted data from the GCMs  
170 rather than on CRU data, to avoid inconsistencies at the transition between historic and future periods. Land-use  
171 change in the future was not analyzed in this context, as the SOC potential of the current agricultural area was  
172 the focus of this investigation so that land-use patterns after 2005 were held constant after 2005. All results are  
173 presented as averages across the ensemble of climate models per RCP, unless stated otherwise. Additional  
174 simulations with constant  $[\text{CO}_2]$  for both RCP2.6 and RCP8.5 allow for the isolation of  $\text{CO}_2$  fertilization effects.  
175 Conventional tillage starts in 1700. For the period 1700-1850, the residue extraction rate of the year 1850 is

176 assumed. The degree to which tillage affects soil properties and processes depends on the tillage intensity, which  
 177 is a combination of tillage efficiency and mixing efficiency. The fraction of residues submerged (tillage  
 178 efficiency) by tillage is set to 0.95. The mixing efficiency for tillage management is set to 0.9, representing a full  
 179 inversion tillage practice, also known as conventional tillage (White et al., 2010). The effects of both mixing and  
 180 tillage efficiency are described in detail in Lutz et al. (2019). The fraction of residues that are harvested in case  
 181 of residue extraction is 70 % of all above-ground residues (with the remaining 30 % of above-ground residues  
 182 and all roots left on the field). In the case without residue harvest, 100 % are left on the field and only the  
 183 harvested organs (e.g. grains) are removed.

## 184 2.4 Data analysis and metrics

185 Our analysis is based on simulated changes in cropland SOC stocks as well as the contributing processes,  
 186 including the turnover rate, heterotrophic respiration, litterfall, and the net primary production (NPP) of cropland  
 187 areas. NPP is calculated following Schaphoff et al. (2018).

188 The turnover rate for cropland is calculated as:

$$189 \quad mtr_{SOC,agr} = \frac{rh_{agr}}{SOC_{agr}} * 100, \quad (1)$$

190 with  $mtr_{SOC,agr}$  as the mean turnover rate for cropland SOC (% a<sup>-1</sup>),  $SOC_{agr}$  is the SOC content for cropland (g)  
 191 and  $rh_{agr}$  is the heterotrophic respiration for cropland (g a<sup>-1</sup>).

192 Decomposition of organic matter pools is following the first-order kinetics described in Sitch et al. (2003). Total  
 193 heterotrophic respiration ( $R_h$ ) accounts for 60 % of directly decomposed litter ( $R_{h,litter}$ ) and respiration of the fast  
 194 and slow soil pools (decomposition rate of 0.03 a<sup>-1</sup> and 0.001 a<sup>-1</sup>, respectively). From the 40 % remaining litter  
 195 pool, 98.5 % are transferred to the fast soil C pool and 1.5 % to the slow soil C pool:

$$196 \quad R_{h,agr} = R_{h,litter,agr} + R_{h,fastSoil,agr} + R_{h,slowSoil,agr}, \quad (2)$$

197 Cropland litterfall ( $C_{litterfall,agr}$ ) in g C a<sup>-1</sup> is calculated by considering root, stem, and leaf carbon in dependency of  
 198 residue recycling shares:

$$199 \quad C_{litterfall,agr} = (C_{root,CFT} + ((C_{leaf,CFT} + C_{stem,CFT}) \cdot f_{res,CFT})) \cdot f_{cell,agr}, \quad (3)$$

200 with  $C_{root,CFT}$  being the C pools of crop roots per CFT,  $C_{leaf,CFT}$  the C pool of crop leaves per CFT,  $C_{stem,CFT}$  the  
 201 stems and mobile reserves per CFT,  $f_{res,CFT}$  the residue fraction which is returned to the soil per CFT and  $f_{cell,agr}$   
 202 the fraction of agricultural area of the cell. The h\_dLU\_cropland scenario uses the results from the h\_dLU  
 203 simulation and accounts for the cropland SOC only, by taking the cropland area at the specific point time into



204 account. The h\_dLU\_area05 scenario, on the other hand, also uses the results from the h\_dLU simulation as  
 205 described in Table 1 but accounts for all the area which is either already cropland or will become cropland at any  
 206 point in time until 2005. To calculate the historical losses of SOC from land-use change in the h\_dLU\_area05  
 207 scenario, the fraction of SOC under PNV, which will become cropland is combined with the historical cropland  
 208 SOC parts and calculated as:

$$209 \quad SOC_{LUC,t} = d_{SOC,pnv,t} \cdot (area_{agr,2005} - area_{agr,t}) + d_{SOC,agr,t} \cdot area_{agr,t}, \quad (4)$$

210 where  $d_{SOC,pnv,t}$  is the SOC density ( $g\ m^{-2}$ ) for PNV area at time step t, which will become cropland in the  
 211 future, calculated as:

$$212 \quad d_{SOC,pnv,t} = \frac{d_{SOC,cell,t} \cdot area_{cell} - d_{SOC,agr,t} \cdot area_{agr,t}}{area_{pnv,t}}, \quad (5)$$

213 where  $d_{SOC,pnv,t}$ ,  $d_{SOC,cell,t}$ ,  $d_{SOC,agr,t}$  are the SOC densities ( $g\ m^{-2}$ ) for the PNV part within the cell, the density  
 214 for the entire cell, and the agricultural part within the cell, respectively, at time step t (year),  $area_{pnv,t}$  and  
 215  $area_{agr,t}$  are the corresponding areas of PNV and agriculture ( $m^2$ ) at time step t and  $area_{cell}$  is the area of the  
 216 entire cell, which does not change over time. We considered different climatic regions such as tropical wet,  
 217 tropical moist, tropical dry, warm temperate moist, warm temperate dry, cold temperate moist, cold temperate  
 218 dry, boreal moist, and boreal dry regions, following the IPCC climate zone classification (IPCC (2006), Fig. S1  
 219 in the appendix), using averaged climate inputs for the period between the year 2000 and 2009. Polar dry, polar  
 220 moist, and tropical montane regions were excluded from this analysis, as these regions do not include any  
 221 cropland.

### 222 3 Model performance

223 Modeled global average SOC stocks (period 2000-2009 and year 2018) are compared with previous model  
 224 versions and literature estimates (Table 2). Simulated SOC stocks in LPJmL5.0-tillage2 exhibit higher SOC  
 225 content compared to the LPJmL5.0 (von Bloh et al., 2018) model version and LPJ-GUESS (Olin et al., 2015),  
 226 with total average global SOC stocks of 2640 Pg C for simulations with land use (h\_dLU) and 2940 Pg C for  
 227 simulation with PNV only and no land use (h\_PNV). The simulated stocks correspond well to estimates by  
 228 Carvalhais et al. (2014) for global averages but are lower for cropland SOC stocks. Total SOC stocks simulated  
 229 by LPJmL5.0-tillage2 are 2640 Pg for the entire soil column of 3 m, which are 300 Pg higher than estimates  
 230 provided by Jobbágy and Jackson (2000). Global SOC for PNV is 2580 Pg for the upper 2 m, which compares  
 231 well with estimates between 2376 Pg to 2476 Pg provided by Batjes (1996), who reported SOC stocks for the  
 232 upper 2 m of soil. Global average cropland SOC stocks between the year 2000 and 2009 as well as for the year  
 233 2018 for the entire soil column are estimated to be 170 Pg C, which is higher than estimates of 148-151 Pg C by  
 234 Olin et al. (2015). Zomer et al. (2017) reported cropland SOC stocks of 140 Pg C for the upper 0.3 m of soil,  
 235 which are higher than the cropland SOC stocks of 75 Pg C simulated for the upper 0.3 m in LPJmL. Ren et al.

236 (2020) reported cropland SOC stocks for the first 0.5 m of soil to be 115 Pg C for the period 2000-2010, which is  
 237 higher than cropland SOC of 95 Pg C for the upper 0.5 m in LPJmL. Scharlemann et al. (2014) conducted a  
 238 literature review on global SOC stock and found a wide range of estimates (504-3000 Pg C) and variability  
 239 across time and space and a high dependency on soil depth, with a median global SOC stock of 1460 Pg C.  
 240 Generally simulated SOC stocks by LPJmL5.0-tillage2 correspond well with literature and other model  
 241 estimates.

242 **Table 2: Global SOC pools (Pg C) for the LPJmL5.1-tillage2, LPJmL5.0, and LPJ-GUESS model compared to**  
 243 **literature estimates. Values are averages for the period 2000-2009, for the year 2018, and the upper 0.3, 1, and 2 m of**  
 244 **soil. PNV values are simulations with potential natural vegetation only (no land use), global SOC average includes**  
 245 **PNV and land use.**

	Model estimates			Literature estimates				
	LPJmL5.0- tillage2 (this study)	LPJmL5.0 (von Bloh et al., 2018)	LPJ- GUESS (Olin et al., 2015)	Carvalhais et al., 2014	Batjes, 1996	Jobbágy and Jackson, 2000	Zomer et al., 2017	Scharlemann et al., 2014
Global SOC PNV only	2940 <sup>1,a</sup> 2960 <sup>2,a</sup> 2580 <sup>b,1</sup> , 2185 <sup>c,1</sup> , 1555 <sup>d,1</sup>	2344 <sup>1,a</sup>	1671 <sup>3</sup>	-	2376 <sup>b,4</sup> – 2476 <sup>b,4</sup>	-	-	-
Global SOC average	2640 <sup>1,a</sup> 2645 <sup>2,a</sup> 2295 <sup>b,1</sup> , 1910 <sup>c,1</sup> , 1300 <sup>d,1</sup>	2049 <sup>1,a</sup>	1668 <sup>3</sup>	2397 <sup>4</sup> (1837 <sup>x</sup> - 3257 <sup>y</sup> )	-	1933 <sup>b</sup> , 2344 <sup>a</sup>	-	1460 (504 <sup>d</sup> – 3000 <sup>e</sup> )
Cropland SOC	170 <sup>1,a</sup> 170 <sup>2,a</sup> 145 <sup>b,1</sup> , 115 <sup>c,1</sup> , 75 <sup>d,1</sup>	-	148 <sup>3</sup>	327 <sup>4</sup> (242 <sup>x</sup> - 460 <sup>y</sup> )	-	210 <sup>b</sup> , 248 <sup>a</sup>	140 <sup>d</sup>	-

246 Values are estimates for: <sup>a</sup> entire soil column, <sup>b</sup> upper 2m of soil, <sup>c</sup> upper 1m of soil, <sup>d</sup> upper 0.3m of soil, <sup>e</sup> not indicated.

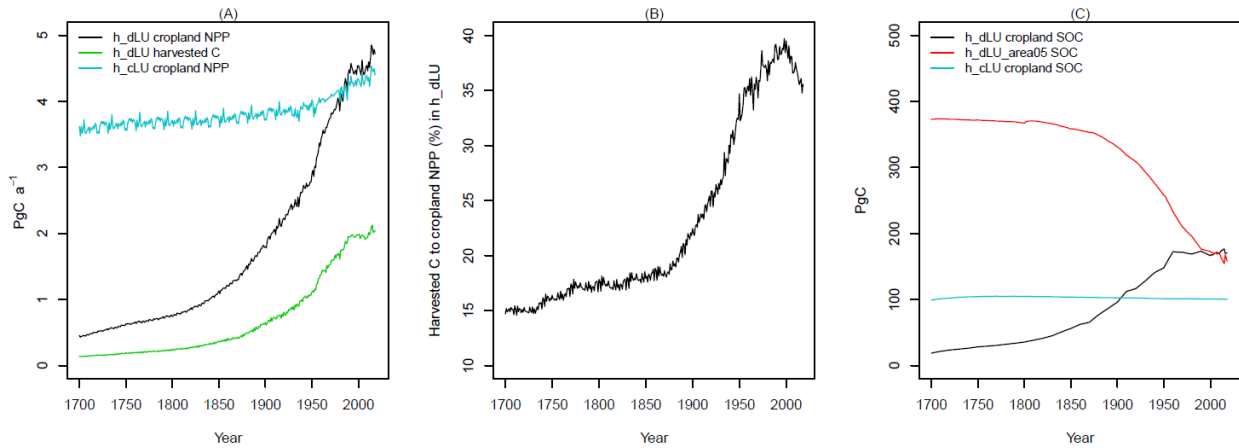
247 Year of estimate value: <sup>1</sup> 2000-2009, <sup>2</sup> 2018, <sup>3</sup> 1996-2005, <sup>4</sup> not indicated. <sup>x</sup> 2.5<sup>th</sup> percentile, <sup>y</sup> 97.5<sup>th</sup> percent

## 248 4 Results

### 249 4.1 Historical development of cropland NPP and SOC stocks

250 During the simulation period, cropland NPP increases in the dynamic LU simulation (h\_dLU) from 0.7 Pg C a<sup>-1</sup>  
 251 in 1700 to 4.7 Pg C a<sup>-1</sup> in 2018, while cropland SOC increases from 18 Pg C to a total of 171 Pg C (Fig. 2A and  
 252 2C) in the year 2018. The increase in cropland SOC can be explained by an increase in cropland area (Fig. S2B  
 253 in the appendix). During the same time, harvested C increases from 0.1 Pg C a<sup>-1</sup> to 2.0 Pg C a<sup>-1</sup>. The ratio of  
 254 harvested C to cropland NPP increases with time, especially after the year 1900 (Fig. 2B), as more material is

255 harvested compared to cropland NPP. The aggregated SOC stock on all land that is cropland in the year 2005  
 256 declines substantially, especially after the year 1900 (red line in Fig. 2C), which reflects the decline in cropland  
 257 SOC density (Fig. S2A in the appendix). We also find that cropland SOC density steadily increases between  
 258 1700 and 1950, and decreases since 1950 (Fig. S2A in the appendix). Simulations with a constant land use  
 259 pattern of 2005 (h\_cLU) for cropland NPP and cropland SOC show no substantial dynamics (Fig. 2A and C).  
 260 These simulations are not entirely insightful, because they do not account for the historical increase in inputs,  
 261 e.g. fertilizer.



262

263 **Figure 2: Plots for cropland NPP and harvested C (A), percentage of harvested C to cropland NPP in h\_dLU (B) and**  
 264 **SOC for cropland stocks, and historical SOC losses from LUC (C) for the years 1700-2018 for simulations with**  
 265 **transient land use (h\_dLU), constant land use of 2005 (h\_cLU), transient land use and SOC development from land-**  
 266 **use change including cropland area and historical PNV area which will be converted until the year 2005**  
 267 **(h\_dLU\_area05).**

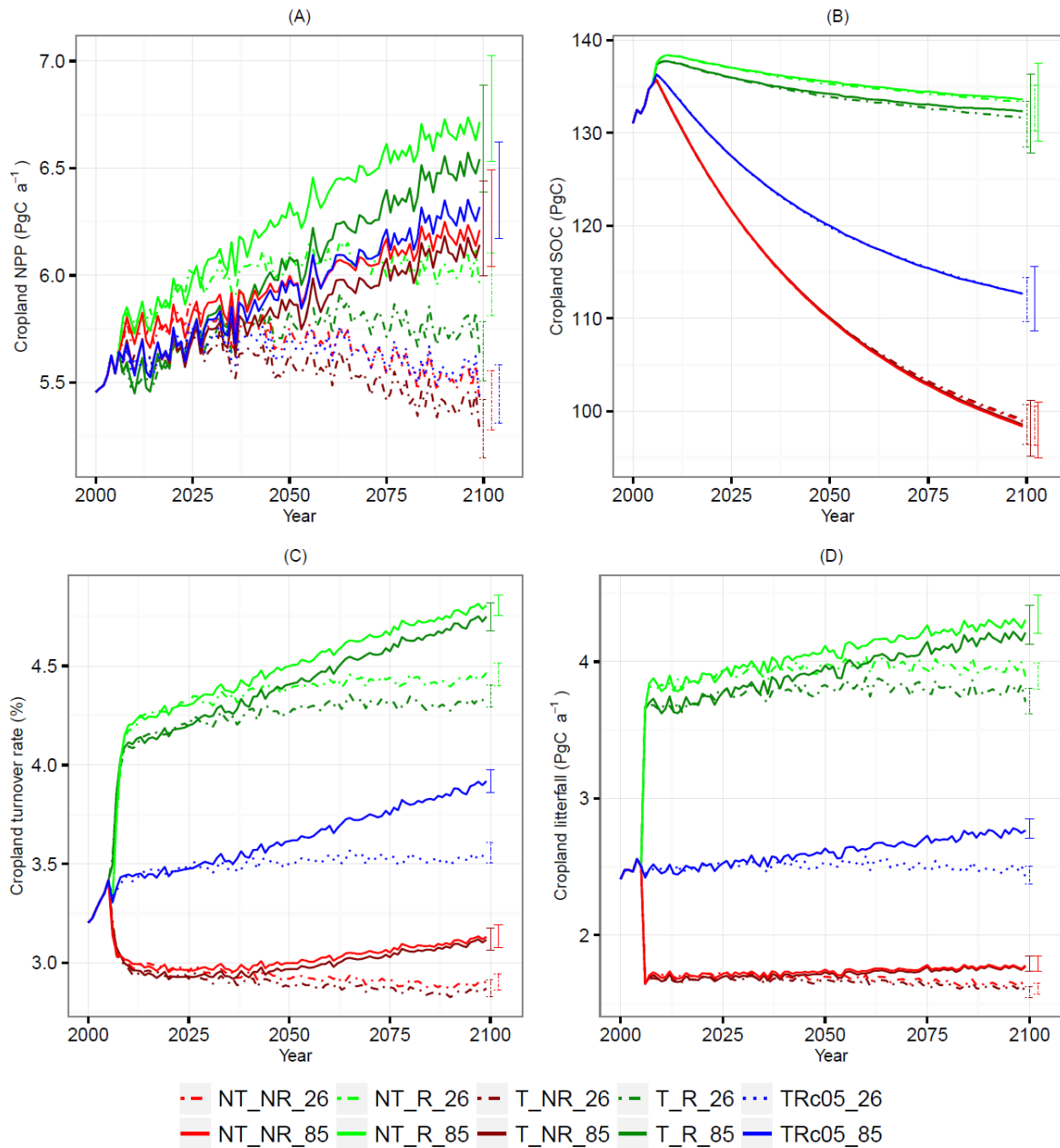
268 In contrast to the scenario with dynamic land use and the ones with constant land use, the h\_dLU\_area05  
 269 scenario describes a combination of historical cropland SOC and historical SOC of natural vegetation (calculated  
 270 as described in Eq. (4) and (5)), which is or has been cropland until the year 2005. This describes the SOC  
 271 dynamics of all land that is subject to the historical land-use change (LUC) (Fig. 2C). Loss of historical SOC is  
 272 calculated as the difference between the years 1700 and 2018 on the land area that was cropland at any point in  
 273 time (Fig. 2C, red line). Through this approach, we calculate a total historical SOC loss of 215 Pg C. Cropland  
 274 SOC stocks are increasing over time (Fig. 2C, black line), reflecting the increase of cropland area. PNV has a  
 275 higher SOC density, and therefore SOC stock, before the conversion to cropland (Fig. S2A in the appendix). For  
 276 the calculation of SOC loss, we here only considered the area that is converted from PNV to cropland at any  
 277 point in time between 1700 and 2018 in post-processing according to Eq. (4) and (5). Because SOC density is  
 278 generally lower in cropland compared to PNV (Fig. S2A in the appendix), SOC is lost after conversion (Fig. 2C,  
 279 red line).

280 **4.2 Future soil carbon development with idealized management under climate change**

281 Future cropland SOC stock development was analyzed considering two different radiative forcing pathways  
 282 (RCPs) with four different climate scenarios (GCMs) per RCP and four idealized management assumptions  
 283 (Table 2). To estimate the SOC sequestration potential on current cropland and to exclude the influence from  
 284 LUC, the cropland area was kept constant at the year 2005 pattern. Results for future SOC development show  
 285 that the maximum decrease in SOC stocks on current global cropland area between the year 2005 until the end of  
 286 the century occurs in the scenario with no-till applied on global cropland, no residues retained, and RCP8.5  
 287 climate (NT\_NR\_85). Total cropland SOC loss for this scenario is evaluated as 38.4 Pg C, or 28.1 % in relative  
 288 terms compared to the SOC stocks in the year 2005. All management systems, which extract residue from the  
 289 field, show a strong decrease in cropland SOC stocks, independent of the climate scenario (Fig. 3B). Differences  
 290 for cropland SOC development between different tillage systems as well as between the two radiative forcing  
 291 pathways RCP2.6 and RCP8.5 are small. Management systems, which retain residue on the field after harvest,  
 292 show the smallest reduction in cropland SOC stocks, with a maximum reduction of 5.1 Pg C (equivalent to 3.8 %  
 293 decline) in the T\_R\_26 management system. Differences between GCM-specific climate scenarios or radiative  
 294 forcing pathways (RCPs) were small in comparison to differences in residue management assumptions for SOC,  
 295 turnover rates, and litterfall rates (Fig. 3) but larger than differences in assumptions on tillage systems. Only for  
 296 agricultural NPP (Fig. 3A), differences in radiative forcing pathways were the main determinant of NPP  
 297 dynamics, followed by GCM-specific climate scenarios.

298 **Table 3: Summary of absolute and relative global cropland SOC stock change between the years 2006 and 2099 for**  
 299 **different management systems for RCP2.5 and RCP8.5 as averages across all four GCMs.**

Management	Absolute cropland SOC change		Relative cropland SOC change	
	2006 – 2099 (Pg C)		2006 – 2099 (%)	
	RCP2.6	RCP8.5	RCP2.6	RCP8.5
Tillage and residues (T_R)	-5.1	-4.4	-3.8	-3.2
Tillage and no residues (T_NR)	-37.6	-38.1	-27.5	-27.8
No-till and residues (NT_R)	-3.6	-3.2	-2.6	-2.3
No-till and no residues (NT_NR)	-37.8	-38.4	-27.7	-28.1
Tillage and residue constant as in year 2005 (TRc05)	-24.1	-24.0	-17.6	-17.6



300

301

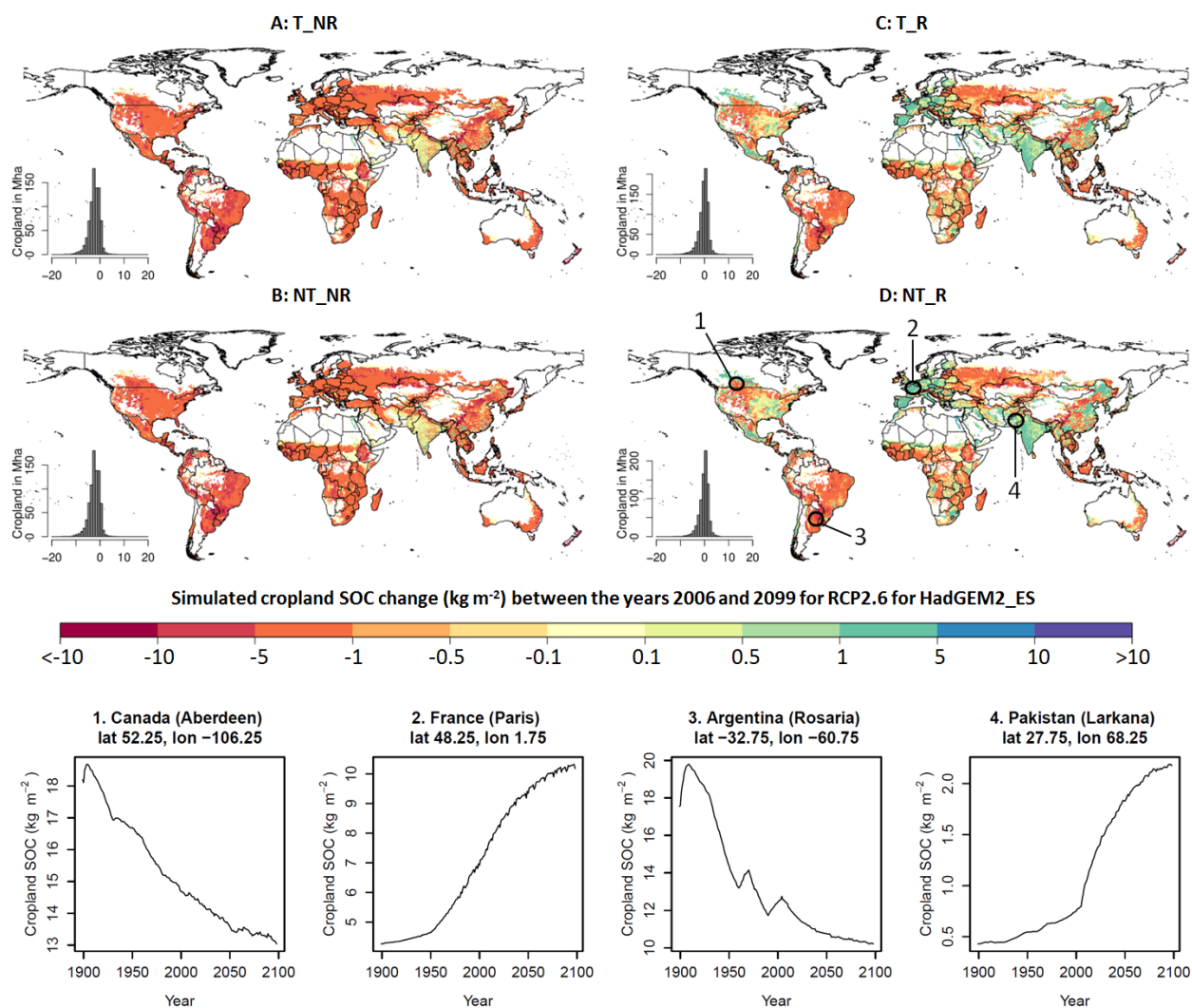
302 **Figure 3: Global sums for cropland for NPP (A), SOC (B), turnover rate (C), and litterfall (D) from 2000-2005 for**  
 303 **default management inputs and from 2006-2099 under constant cropland area of 2005 for five different management**  
 304 **scenarios and two RCPs. Presented are the mean values across all four GCMs as lines. The spread across all GCMs is**  
 305 **depicted as bars in the year 2100. The numbers `_26` and `_85` describe the climate forcing RCP2.6 (e.g. TRc05\_26) and**  
 306 **RCP8.5 (e.g. TRc05\_85). Green – residues retained (R), red – residues removed (NR), dashed – RCP2.6, solid –**  
 307 **RCP8.5, light color – no-till (NT), dark color – tillage (T). Tillage and residue management held constant at 2005 level**  
 308 **in TRc05; tillage and residues left on the field (T\_R), tillage and residues removed (T\_NR), no-till plus residues left on**  
 309 **the field (NT\_R) and no-till and residues removed (NT\_NR). Dynamics prior to 2005 (all scenarios equal) mostly show**  
 310 **the expansion of cropland until 2005 so that total SOC increases because the area increases. Turnover rates between**  
 311 **2000 and 2005 increase because decomposition rates are high on freshly deforested land.**

312 Stocks of cropland SOC and turnover rates (Fig. 3C) initially increase in systems that retain residues, such as  
 313 T\_R and NT\_R, after the change in management after the year 2005 (Fig. 3B and C), as more residual C is added

314 to the soil column in comparison to the historic residue removal rates (Fig. 3D). Turnover rates are higher for the  
315 high radiative forcing pathway RCP8.5 in comparison to RCP2.6. The simulated cropland NPP (Fig. 3A) is  
316 sensitive to the radiative forcing, as the level of NPP is higher in the high-end RCP8.5 scenario, and lower in the  
317 lower-end RCP2.6 scenario. This is because of the strong response of NPP to CO<sub>2</sub> fertilization, which  
318 overcompensates the climate-driven reduction in NPP (compare Fig. S3 in the appendix). NPP is less sensitive to  
319 the assumptions on tillage practices in comparison to the effects of assumptions on residue management. The no-  
320 till and residue system (NT\_R) results in the highest NPP mainly due to water-saving effects, which are caused  
321 by the surface litter cover, which reduces evaporation from the soil surface and at the same time increase  
322 infiltration of water into the soil. NPP increases steadily until 2099 in RCP8.5 scenarios, because of the CO<sub>2</sub>  
323 fertilization effects (compare Fig. S3 in the appendix). In RCP2.6, NPP first slightly increases and then decreases  
324 until the end of the century in all tillage and residue scenarios. However, the ranking of management effects is  
325 insensitive to the radiative forcing pathway: no-till and residues (NT\_R) results in the highest NPP, tillage and  
326 no residues (T\_NR) in the lowest values.

### 327 **4.3 Regional cropland SOC analysis**

328 Simulation results show that globally aggregated SOC stocks on current cropland decline until the end of the  
329 century for all management systems, but there are regional differences (Fig. 4). We find that in some regions,  
330 cropland SOC can increase until the end of the century, even though global sums indicate a total decline. For  
331 cropland SOC density, increases between the years 2006 and 2099 can be found for T\_R and NT\_R management  
332 systems for more than a third of the global cropland area, most clearly in regions in Europe, India, Pakistan,  
333 Afghanistan, southern Chile, southern Mexico, eastern China and south-eastern USA (Fig. 4C and D).  
334 Historically, regions which already showed an increase in cropland SOC density since 1900 until today, such as  
335 in France or Pakistan, or a decrease, such as Canada and Argentina, tend to continue this development also in the  
336 future (see plots in Fig. 4 for exemplary cells). In systems in which residues are not returned to the soil (T\_NR  
337 and NT\_NR), global cropland SOC density change is dominated by a decline.

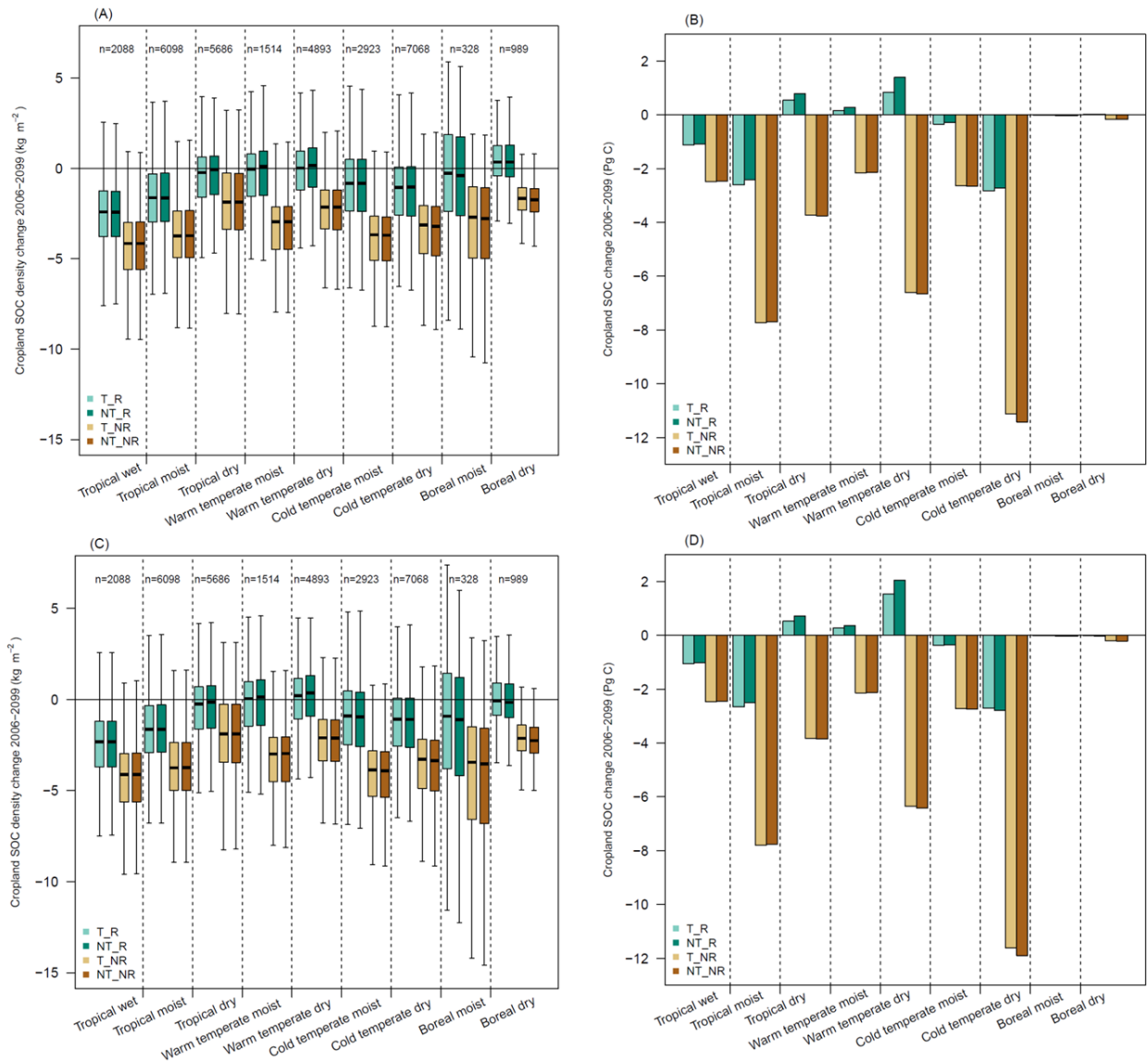


338

339 **Figure 4: Simulated cropland SOC change ( $\text{kg m}^{-2}$ ) between the years 2006 and 2099 ( $\text{kg m}^{-2}$ ) for RCP2.6 for GCM**  
 340 **HadGEM2-ES for the four different management options (T\_R, NT\_R, T\_NR, and NT\_NR). The plots 1-4. show**  
 341 **examples of SOC development ( $\text{kg m}^{-2}$ ) from the year 1900 to 2099 for different explanatory regions as shown on map**  
 342 **D (NT\_R). The difference maps of affected change categories between RCP2.6 and RCP8.5 are shown in Fig. 5. Maps**  
 343 **for GFDL-ESM2M, IPSL-CM5A-LR and MIROC5, and RCP8.5 are in the appendix (Fig. S7 to S13).**

344 Results for different climatic regions suggest that the difference between RCP2.6 and RCP8.5 radiative  
 345 forcing only plays a minor role for cropland SOC stock development (Fig. 5). Findings suggested that a positive  
 346 median increase in cropland SOC density between the years 2006 and 2099 can be found in warm temperate  
 347 moist, warm temperate dry, and boreal regions for RCP2.6 (GCM average) for the tillage and residue (T\_R) and  
 348 the no-till and residue (NT\_R) management systems (Fig. 5B). The total aggregated cropland SOC change for  
 349 each climate region depends on the cropland extent of the region. The smallest amounts of cropland are found in  
 350 boreal moist and dry regions, which results in a total cropland SOC stock change of negligible size (Fig. 5B and  
 351 D). Total increases in cropland SOC stocks can be found for both RCP2.6 (Fig. 5A and B) and RCP8.5 (GCM  
 352 average) (Fig. 5C and D) for tropical dry, warm temperate moist, and warm temperate dry regions in the tillage

353 and residue (T\_R) and the no-till and residue (NT\_R) management systems. For all regions across all  
 354 simulations, management systems in which residues are not returned to the soil, cropland SOC stocks decrease.  
 355 The highest absolute losses of total cropland SOC stocks for these systems (T\_NR and NT\_NR) can be found in  
 356 cold temperate dry climates, followed by tropical moist and warm temperate dry regions, which are the regions  
 357 with major cropland shares.

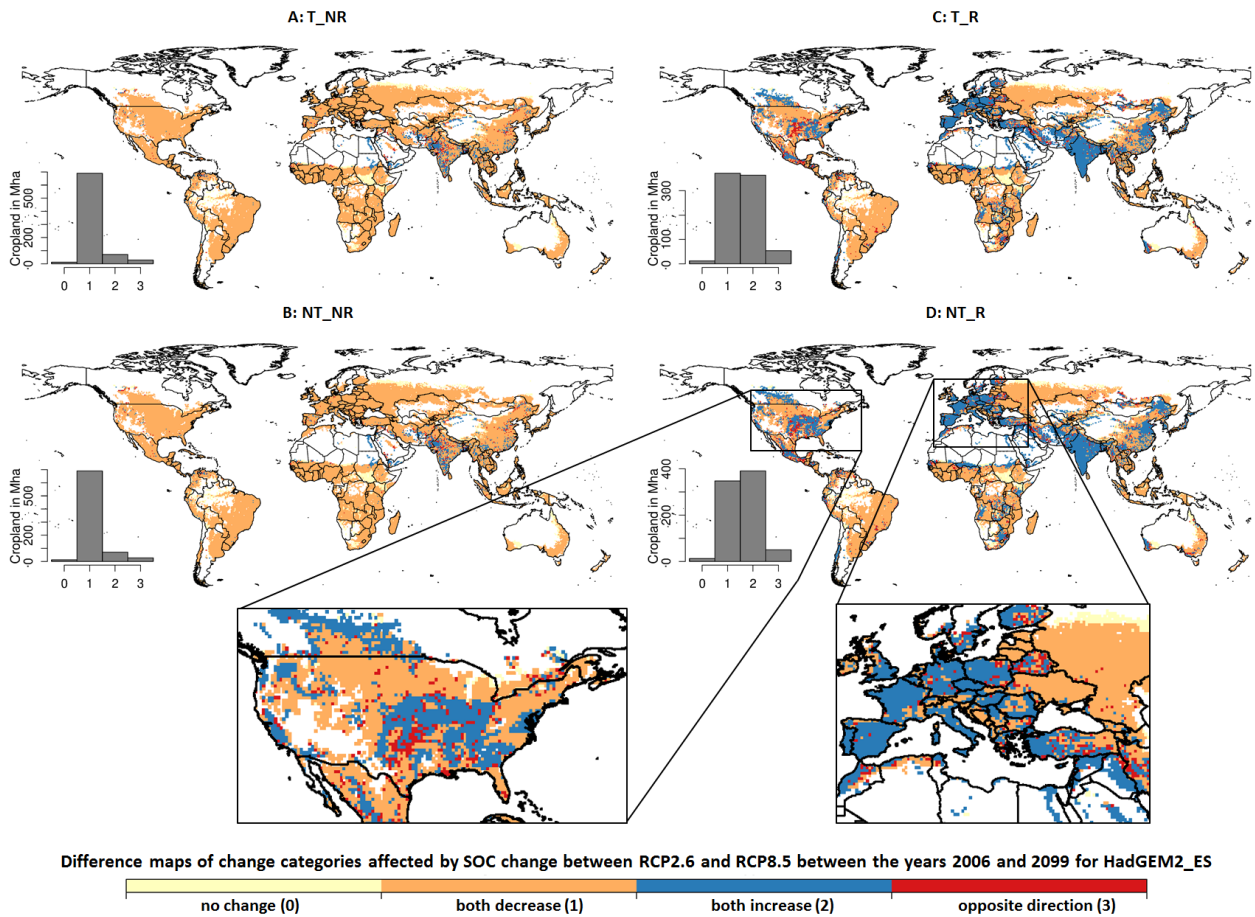


358

359 **Figure 5: Boxplots of cropland SOC density change (kg m<sup>-2</sup>) and bar plots of total cropland SOC change (Pg C)**  
 360 **between the years 2006 and 2099, averaged across the four GCMs (HadGEM2\_ES, GFDL-ESM2M, IPSL-CM5A-LR,**  
 361 **MIROC5) in RCP2.6 (A and B) and RCP8.5 (C and D) for the climatic regions classified by the IPCC (2006) and the**  
 362 **four management systems T\_R, NT\_R, T\_NR, and NT\_NR. The same plots for each GCM can be found in Fig. S5 and**  
 363 **S6 in the appendix, n is the number of cropland cells included in each climate region.**



364 Regional results also indicate stronger differences between GCM-specific climate scenarios within the same  
 365 radiative forcing pathway (RCP). The highest positive cropland SOC stock response can be found for GCM  
 366 GFDL-ESM2M in both RCP2.6 and RCP8.5 for the tillage and residue (T\_R) and the no-till and residue (NT\_R)  
 367 systems for warm temperate dry climates, while the positive response for tropical dry and warm temperate moist  
 368 climates is lower compared to the other three GCMs (compare Fig. S5D and S6D in the appendix). Results for  
 369 the IPSL-CM5A-LR climate scenarios for both RCP2.6 and RCP8.5 generally show the most negative response  
 370 for cropland SOC density change and cropland SOC stock change, followed by HadGEM2\_ES.



371  
 372 **Figure 6: Difference maps of change categories for cropland SOC density change between both RCP2.6 and RCP8.5**  
 373 **from the year 2006 until 2009 for GCM HadGEM2\_ES in each management system. Orange areas indicate a reduction**  
 374 **in cropland SOC density between the years 2006 and 2009 in both RCPs, blue areas show an increase in SOC density,**  
 375 **in light yellow areas no change occurs, and for red, SOC density change occurs in opposite directions in RCP2.6 and**  
 376 **RCP8.5. The numbers in brackets (0) to (3) correspond to the categories in the histogram.**

377 The comparison of cropland affected in RCP2.6 and RCP8.5 indicates that most regions show effects with  
 378 the same direction of response in SOC density, so either it decreases or increases in both RCP2.6 and RCP8.5,  
 379 which is highlighted by the blue and orange regions in Fig. 6. Red cells, which indicate that the effects in both  
 380 RCPs go in the opposite direction can only be found in a few regions, e.g. the United States and Turkey. In total,  
 381 between 50 and 53 million hectares (Mha) of cropland shows the opposite directions globally for the tillage and

382 residue (T\_R) and the no-till and residue (NT\_R) systems, while this is halved (between 27 and 29 Mha) for the  
383 tillage and no residue (T\_NR) and no-till and no residue (NT\_NR) management system.

## 384 **5 Discussion**

### 385 **5.1 SOC development in the past and losses due to land-use change**

386 Historical simulations show that the conversion of natural land to cropland has caused SOC losses of 215 Pg C  
387 between the year 1700 and 2018 (Fig. 2C). Soil C density and NPP in natural vegetation are higher compared to  
388 those found in croplands, which results in C losses after conversion of natural land to cropland. NPP in croplands  
389 is often lower compared to NPP in natural vegetation, as the cultivated period is typically shorter than the  
390 vegetative period in which natural vegetation is productive so that cultivated plants have less time to accumulate  
391 C. Further, cropland is cultivated and crops are harvested, which results in the extraction of NPP in form of  
392 harvested material, which leads to a further decline of SOC stocks. Cropland expansion is the main driver for  
393 increases in total cropland SOC stocks, as cropland SOC density steadily increased since the year 1700 starting  
394 at 7 kg m<sup>-2</sup> and reaching its maximum in the year 1960 at 13 kg m<sup>-2</sup>, but since then cropland SOC density  
395 decreased, down to 11 kg m<sup>-2</sup> today (Fig. S2A in the appendix). SOC density on cropland showed this trend,  
396 even though fertilizer use increased since the 1960s, which was found to be able to promote SOC sequestration,  
397 especially in temperate regions (Alvarez, 2005). Since the 1960s, cropland expansion has slowed down, but  
398 global yields have, on average, more than doubled (Pingali, 2012; Ray et al., 2012; Wik et al., 2008). Ren et al.  
399 (2020) show that historical cropland SOC increase was mainly attributed to cropland expansion, which is in  
400 agreement with the findings here. The ratio of harvested C to cropland NPP increases with time (Fig. 2B) so that  
401 the increase in yields does not have a positive effect on cropland SOC, as more and more C is extracted from the  
402 soil in the form of harvested material.

403 It was estimated that conversion of natural land to cultivated land can result in SOC loss of up to 30 to 50  
404 % (Lal, 2001). Sanderman et al. (2017) estimated historical global SOC losses of natural land to cropland  
405 conversion by 133 Pg C, of which most of the losses occurred in the last 200 years. Pugh et al. (2015) modeled  
406 C emissions from LUC accounting for agricultural management, such as harvesting and tillage, and found  
407 maximum C losses in vegetation and SOC by 225 Pg C since the year 1850. Le Quéré et al. (2018) also  
408 estimated the C flux to the atmosphere due to LUC, including deforestation, to be 235 Pg C ( $\pm$  95) since the year  
409 1750.

### 410 **5.2 Future cropland SOC development on current global cropland**

411 Future SOC stocks on current cropland depend on climate and management. We find that current cropland  
412 remains to be a source of C, even though the decline of SOC on current cropland can be reduced through  
413 management. The most efficient measure to reduce SOC losses on cropland is residue management. In the  
414 model, SOC is formed by C transfer from litter to the soil through decomposition fluxes (Schaphoff et al., 2018),  
415 bioturbation, or tillage practices (Lutz et al. 2019). Residues left on the field are added to the litter C pool, where  
416 they are subject to decomposition. Root C is added to the belowground litter pool, with a specific decomposition

417 according to soil temperature and moisture conditions. Stubbles and root biomass enter the litter pool after  
418 harvest, while the amount of residues extracted or retained depends on crop productivity. The addition of fresh  
419 material from crop residues increases the turnover rate in the soil, as this material is more easily decomposed  
420 than the remaining SOC stocks from the historical natural ecosystems. In the model, SOC decomposition is only  
421 driven by the temperature and moisture of the litter and soil layers, whereas the chemical composition of the  
422 residues is not taken into account. While the N content of the available material can strongly influence the  
423 decomposition and humification of residues and the formation of SOM (Hatton et al., 2015; Averill and Waring,  
424 2018), this effect is not considered here and should be included in future model development.

425 The different management aspects show the same ranking in importance under both radiative forcing  
426 pathways and the changes on cropland SOC only differ slightly. Cropland SOC stocks at the end of the century  
427 vary only between those two RCPs between -0.6 % and +0.6 % for all four management systems. This is caused  
428 by a compensating effect of higher productivity by elevated CO<sub>2</sub> under RCP8.5, which counteracts the increase  
429 in turnover rates at higher temperatures (see Fig. S3 in the appendix for comparison with constant [CO<sub>2</sub>]  
430 simulations).

431 Even though experiments have shown that tillage can reduce SOC stocks significantly compared to no-till  
432 (Abdalla et al., 2016; Kurothe et al., 2014), tillage management only has small effects on aggregated global  
433 cropland SOC in our simulations. Tillage practices account for differences in cropland SOC stocks of 0.9 % and  
434 1.3 % between T\_R vs. NT\_R in 2099 for RCP8.5 and RCP2.6, respectively, and less than 0.2 % between T\_NR  
435 vs. NT\_NR for both RCPs. Differences in SOC stocks on cropland between the tillage systems decrease if  
436 residues are not retained on the field. NPP responds more strongly to the tillage system, which is likely to be  
437 driven by secondary effects (e.g. no-till increases soil moisture and nutrient availability from mineralization), but  
438 shows no long-term effect on SOC stock development.

439 With the given complexity in responses to tillage, the application of no-tillage has been discussed  
440 ambiguously in the literature (Chi et al., 2016; Derpsch et al., 2014, 2010; Dignac et al., 2017; Powlson et al.,  
441 2014). The LPJmL5.0-tillage model is well capable of reproducing these process interactions and diversity in  
442 results (Lutz et al. 2019). Tillage systems thus need to be selected based on local conditions, but we find these to  
443 be less important than residue management. Given this dependency of the SOC accumulation potential on  
444 climatic and management conditions, there are strong regional differences in the response of SOC to changes in  
445 management. In line with Stella et al. (2019), who investigated the contribution of crop residues to cropland  
446 SOC conservation in Germany and found a decrease in SOC stocks until 2050, if residues are not returned to the  
447 soil, we find that large parts of western Europe can indeed increase the SOC stocks under management systems  
448 in which residues are retained on the field. Zomer et al. (2017) analyzed the global sequestration potential for  
449 SOC increase in cropland soils and found the highest potentials in India, Europe, and mid-west USA, results  
450 which correspond well with our findings. Also, the duration of the historical cultivation of the cropland is an  
451 important aspect in the ability to sequester C in current cropland soils. Stella et al. (2019) find the highest SOC  
452 sequestration potentials in soils with low SOC stocks (i.e. in highly degraded soils).

453 **5.3 Potential for SOC sequestration on cropland and recommendations for future analysis**

454 For the past years, there has been an ongoing debate on how much SOC can be stored in agricultural soils  
455 through adequate management as a climate change mitigation strategy (Baker et al., 2007; Batjes, 1998; Lal,  
456 2004; Luo et al., 2010; Stockmann et al., 2013). For example, globally applied no-till management on cropland  
457 was estimated to have a SOC sequestration potential of 0.4-0.6 Gt CO<sub>2</sub> a<sup>-1</sup> (Powlson et al., 2014). Additionally,  
458 the sequestration of SOC can be beneficial to soil quality and productivity and minimize soil degradation (Lal,  
459 2009, 2004). An increase in cropland irrigation can effectively influence SOC development (Trost et al., 2013;  
460 Bondeau et al., 2007). In our simulations with LPJmL5.0-tillage2, we find that on current cropland, these  
461 sequestration potentials cannot be achieved by varying tillage practices and residue removal rates, even though  
462 the residue management system is important for cropland SOC dynamics. At the same time, we account for an  
463 unlimited supply of water resources available for irrigation, reducing the constrain on SOC development by  
464 limitations from irrigation water. As such, our estimates of SOC development should tend to be optimistic in all  
465 regions where irrigation is applied, but where water resources are limiting.

466 There is a general uncertainty in how experimental findings can be scaled up, as e.g. demonstrated by a  
467 review conducted by Fuss et al. (2018). While process-based modeling as applied here can take environmental  
468 conditions into account and can compare different management aspects, it is still subject to various uncertainties.  
469 One crucial aspect is the history of land-use systems, including the trend in land productivity. Karstens et al.  
470 (2020, under review) show that global historical cropland SOC stocks are declining even though cropland inputs  
471 are increasing at the same time. Depending on the agricultural management option, it is argued that the  
472 maximum sequestration potential is reached after the soil has a new higher equilibrium state, which can be  
473 reached after 10-100 years, depending on climate, soil type, and SOC sequestration option (Smith, 2016). The  
474 IPCC suggests a default saturation time of the soil sink of 20 years, after which the equilibrium is reached, which  
475 then has to be maintained to avoid additional release of CO<sub>2</sub> (IPCC, 2006). Increasing cropland SOC in a first  
476 step can be achieved by adding more C to the soil than is lost by respiration, decomposition and harvest, and soil  
477 disturbance. Maintaining SOC levels on cropland after the soil has reached a new equilibrium will require the  
478 application of management strategies that do not deplete SOC. The '4 per 1000' initiative requires annual SOC  
479 sequestration on croplands of approximately 2 to 3 Pg C a<sup>-1</sup> in the top 1m of cropland soils, which was criticized  
480 to be unrealistic (de Vries, 2018; White et al., 2018). In this analysis, only two management options affecting  
481 SOC, tillage treatment and residues management, are considered. High SOC sequestration potentials on cropland  
482 are argued to be only achieved by applying a variety of management options, e.g. additional restoration of  
483 degraded land (Griscom et al., 2017; Lal, 2003), agroforestry (Lorenz and Lal, 2014; Torres et al., 2010), biochar  
484 (Smith, 2016), bio-waste compost (Mekki et al., 2019), which add forms of organic material which increase  
485 turnover times of SOC. A combination of these different practices is more likely to achieve higher SOC  
486 sequestration rates on cropland (Fuss et al., 2018). Management options that aim at increasing SOC may also  
487 affect yields, as they can maintain productivity and ensure yield stability (Pan et al., 2009), but reductions in  
488 SOC can also reduce yields substantially (Basso et al., 2018). Additionally, the productivity increase can come

489 with an even stronger increase in harvested material, as here demonstrated, which can lead to a reduction in total  
490 cropland SOC. The conversion from natural land to cropland typically causes substantial SOC losses, which  
491 stresses the need to further limit land-use expansion and thus requires an intensification of land productivity on  
492 current cropland. In our analysis, we did not account for the effects of future LUC, but projections show an  
493 increase in total cropland area in the future (Stehfest et al., 2019) so that global SOC is expected to further  
494 decline.

495 Further research of agricultural management practices that influence SOC development at the global scale  
496 should investigate the impact of cover crops, rotations, irrigation systems, and optimal cultivar choice per region  
497 and location (e.g. Minoli et al., 2019) and different options for cropland intensification (e.g. Gerten et al., 2020)  
498 in a more explicit manner. SOC stabilization mechanisms, such as clay mineral protection and forming of  
499 macroaggregates in no-till managed soils (Luo et al., 2016), effects of microorganisms, such as N-fixation and  
500 phosphorous acquisition from fungi and bacteria, which also regulate plant productivity and community  
501 dynamics (Heijden et al., 2008), as well as effects of soil structure (Bronick and Lal, 2005) on SOC dynamics  
502 have not been considered here or in other global process-based assessments and should be taken into account.  
503 Plants and associated root systems can reduce surface erosion and water runoff (Gyssels et al., 2005), but losses  
504 of SOC from runoff and increased erosion (Kurothe et al., 2014; Naipal et al., 2018) are not considered here  
505 either. Residues from plants can influence labile, intermediate, and stable SOC pools through the C:N ratio.  
506 Residues with high C:N ratios (e.g. straw) decomposed relatively slow and can increase SOC, but reduce N  
507 availability to the plants, while residues with low C:N decompose relatively fast and can release N to the soil  
508 through mineralization (Macdonald et al., 2018). The speed of residue decomposition can also influence the  
509 effectiveness of residues as a soil cover, with effects on soil moisture through infiltration. Impacts of biodiversity  
510 and living fauna such as microorganisms on SOC sequestration are not modeled in this analysis, even though  
511 they are recognized to have a substantial influence on the dynamics of SOC (Chevallier et al., 2001).

512 The implementation of such effects is desirable but needs to be assessed with respect to the process  
513 understanding, the availability of input data at the global scale, and the availability of modeling approaches (Lutz  
514 et al., 2019a). Global-scale modeling approaches, in comparison to local or regional studies, allow for the  
515 possibility to identify regional patterns related to SOC sequestration responses with the potential to foster  
516 experimental studies in areas so far not investigated, but relevant for global assessments (Luo et al., 2016;  
517 Nishina et al., 2014). They are needed to upscale findings from experimental sites so that the potential of such  
518 measures for climate change mitigation can be better understood and climate protection plans are made with  
519 better estimates.

## 520 **6 Conclusion**

521 In conclusion, the here analyzed agricultural management systems are not sufficient to increase global SOC  
522 stocks on current cropland until the end of the 21<sup>st</sup> century. The interaction of SOC sequestration and cropland  
523 productivity needs to be better disentangled. Additional C inputs from e.g. manure, cover crops, and rotations are

524 needed and could offset further SOC losses, but additional research on the potentials of these cropland  
525 management options and available amounts that could be applied is needed. We find that the potential for SOC  
526 sequestration on current global cropland is too small to fulfill expectations as a negative emission technology,  
527 which stresses the importance to reduce GHG emissions more strictly by other means, to reach climate  
528 protection targets as outlined in the 2015 Paris Agreement.

#### 529 **Code and data availability**

530 The source code is available under GNU APGL version 3 license. The exact version of the code described here  
531 and the R script used for postprocessing the data from the simulations conducted are archived under  
532 <https://doi.org/10.5281/zenodo.4625868> (Herzfeld et al., 2021).

#### 533 **Author contributions**

534 TH and CM designed the study in discussion with JH and SR. TH conducted all the model simulations and wrote  
535 the paper with support from CM. TH conducted the analysis and prepared all the figures with input from CM and  
536 JH. All authors edited the paper.

#### 537 **Competing interests**

538 The authors declare that they have no conflict of interest.

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