

Soil organic carbon dynamics from ~~SOC sequestration~~ ~~potentials for~~ agricultural management practices under climate change

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Abstract. Sequestration of soil organic carbon (SOC) on cropland has been proposed as a climate change mitigation strategy to reduce global greenhouse gas (GHG) concentrations in the atmosphere, which is in particular needed to achieve the targets proposed in the Paris Agreement to limit the increase in atmospheric temperature to well below 2 °C. We here analyze the historical evolution and future development of cropland SOC using the global process-based biophysical model LPJmL, which was recently extended by a detailed representation of tillage practices and residues management (version 5.0–tillage2). We find that model results for historical global estimates for SOC stocks are at the upper end of available literature, with ~2650 Pg C of SOC stored globally in the year 2018, of which ~170 Pg C are stored in cropland soils. In future projections, assuming no further changes in current cropland patterns and under four different management assumptions with two different climate forcings, RCP2.6, and RCP8.5, results suggest that agricultural SOC stocks decline in all scenarios, as the decomposition of SOC outweighs the increase of carbon inputs into the soil from altered management practices. Different climate-change scenarios, as well as assumptions on tillage management, play a minor role in explaining differences in SOC stocks. The choice of tillage practice explains between 0.2% and 1.3% of total cropland SOC stock change in the year 2100. Future dynamics in cropland SOC are most strongly controlled by residue management, whether residues are left on the field or harvested. We find that on current cropland, global cropland SOC stocks decline until the end of the century by only 1.0% to 1.4% if residue-retention management systems are generally applied and by 26.7% to 27.3% in case of residues harvest. For different climatic regions, increases in cropland SOC can only be found for tropical dry, warm temperate moist, and warm temperate dry regions in management systems that retain residues.

1 Introduction

To meet the targets of the Paris Agreement of 2015 to keep the increase in global mean temperature well below 2°C, and especially for the ambitious target of below 1.5°C, several negative emission technologies which remove carbon dioxide (CO₂) from the atmosphere have been proposed (Minx et al., 2018; Rogelj et al., 2018, 2016). At the same time as the climate is warming, the global human population is expected to increase to 9.7

32 billion people in 2050 and 10.9 billion by 2100 (United Nations et al., 2019), putting additional pressure on
33 future food production systems. Food production alone has to increase by at least 50% (FAO, 2019) or even
34 double by the year 2050, depending on dietary preferences, demographical trends, and climate projections, when
35 global food demand is to be met (Bodirsky et al., 2015). Different agricultural management practices have been
36 proposed as carbon (C) sequestration strategies to mitigate climate change and increase the quality and health of
37 the soil by increasing soil organic carbon (SOC) content of cropland soils (Lal, 2004), which also decreases the
38 risk of soil erosion and soil degradation (Lal, 2009).

39 Tillage influences many biophysical properties, such as soil temperature or soil hydraulic properties (Snyder
40 et al., 2009), and can increase different forms of soil degradation (Lal, 1993; Kurothe et al., 2014; Cerdà et al.,
41 2009). The potential of SOC sequestration for agricultural management practices, e.g., the effect of no-till, is
42 debated in the scientific community (Baker et al., 2007; Powlson et al., 2014). Because tillage management is
43 closely interrelated with residues management (Guérif et al., 2001; Snyder et al., 2009), these two practices
44 should always be investigated simultaneously. Residue management can affect SOC stocks and soil water
45 properties, as residues left on the soil surface can increase soil infiltration, reduce evaporation (Guérif et al.,
46 2001; Ranaivoson et al., 2017), and add soil organic matter into the soil (Maharjan et al., 2018). Soil moisture
47 and therefore plant productivity is also influenced by irrigation. While irrigated systems generally tend to have
48 higher SOC stocks due to positive feedbacks on plant productivity, the feedbacks and mechanisms on SOC
49 development are still not well understood (Humphrey et al., 2021; Emde et al., 2021). The effectiveness of
50 irrigation systems on SOC development is influenced by climate and initial SOC stock and tends to be more
51 effective in semiarid regions and less effective in humid regions (Trost et al., 2013).

52 ~~The potential of SOC sequestration for agricultural management practices, e.g. the effect of no-till, is debated~~
53 ~~in the scientific community (Baker et al., 2007; Powlson et al., 2014).~~ Minasny et al. (2017) have proposed the ‘4
54 per 1000 Soils for Food Security and Climate’ initiative, which targets to increase global SOC sequestration by
55 0.4% per year. They argue that under best-management practices, this target rate could be even higher. This
56 approach would translate into a 2-3 Pg C a⁻¹ SOC increase in the first 1 m of the soil, which is equivalent to
57 about 20-35% of global greenhouse gas (GHG) emissions (Minasny et al., 2017). This proposal has been
58 criticized, as it overestimates the possible effect of SOC sequestration potential through agricultural management
59 (de Vries, 2018; White et al., 2018). Field trials on SOC sequestration potentials show results with higher, as
60 well as lower sequestration rates, but only represent the local soil and climatic conditions for the time of the
61 experiment (Fuss et al., 2018; Minx et al., 2018), which reduces the likelihood for their validity on larger scales
62 or longer time periods.

63 Global total SOC stocks are estimated between 1500 Pg C (excluding permafrost regions) (Hiederer and
64 Köchy, 2011) to up to 2456 Pg C for the upper 200 cm (Batjes, 2014) and agricultural SOC stocks alone, which
65 are subject to agricultural management, are estimated to be between 140 and 327 Pg C depending on soil depth
66 (Jobbágy and Jackson, 2000; Zomer et al., 2017). Since the beginning of cultivation by humans approximately
67 12000 years ago, global SOC stocks for the top 200 cm of soil have declined by 116 Pg C because of agriculture

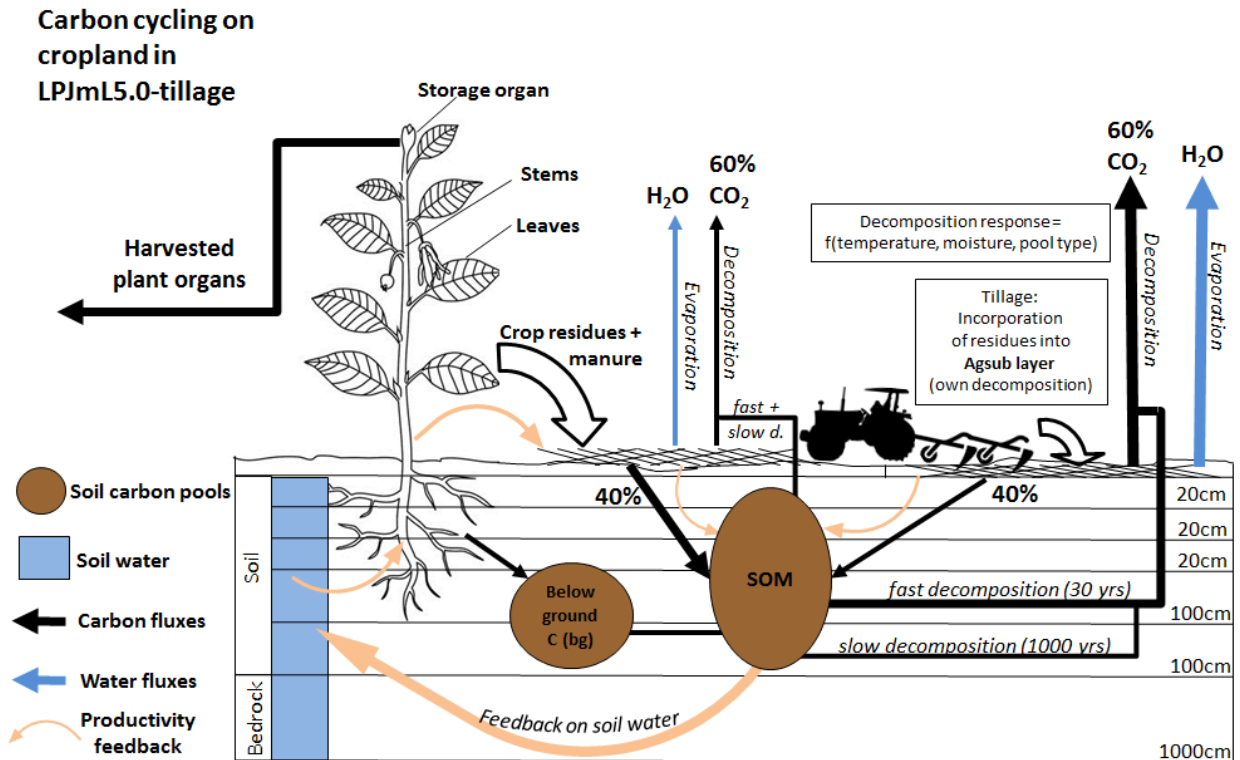
68 by one estimate (Sanderman et al., 2017). Management assumptions play an important role in these estimates,
69 e.g. Pugh et al. (2015) found that residue removal and tillage effects contribute to 6% and 8% of total land-use
70 change (LUC) emissions between the year 1850 and 2012 alone, which translates into biomass and soil C losses
71 of approx. 13.5 Pg C and 16 Pg C, respectively.

72 In this study, we use a modeling approach to quantify the historical development of global cropland SOC
73 stocks using new data for agricultural management such as manure and residues management, as well as a new
74 data set of the spatial distribution of tillage practices. In addition, we investigate the potential for SOC
75 sequestration under different climate-change scenarios on current cropland.

76 **2 Materials and methods**

77 **2.1 The LPJmL5.0-tillage2 model**

78 The LPJmL5.0-tillage2 model combines the dynamic phenology scheme of the natural vegetation (Forkel et al.,
79 2014), with version 5.0-tillage, which covers the terrestrial nitrogen cycle (von Bloh et al., 2018) and the
80 representation of tillage practices and residue management (Lutz et al., 2019b). The model code is available at:
81 <https://doi.org/10.5281/zenodo.4625868> (Herzfeld et al., 2021). All organic matter pools in vegetation, litter, and
82 soil in LPJmL5.0-tillage2 are represented by C pools and the corresponding N pools with variable C:N ratios.
83 For soil carbon, the slow and fast soil pools are explicitly distributed over five soil layers (Schaphoff et al.,
84 2013). With the term ‘SOC’ we refer to the sum of all soil and litter C pools. After the harvest of crops, root
85 carbon is transferred to the below-ground litter pool. The incorporation of above-ground residues into the soil is
86 dependent on the chosen management practices. Different tillage and residue management schemes and the
87 accounting for direct effects of SOC on soil hydraulic properties and thus on soil organic matter (SOM)
88 decomposition and plant productivity have been introduced in the implementation of tillage practices in version
89 5.0-tillage (Lutz et al., 2019b), and are thus explicitly considered here (Fig. 1). [The model accounts for an](#)
90 [irrigation scheme for green and blue water consumption \(Rost et al., 2008\) and the effects of different irrigation](#)
91 [systems \(Jägermeyr et al., 2015\). Irrigation water is dynamically calculated and coupled with the overall water](#)
92 [balance between soil, vegetation, and climate properties \(Schaphoff et al., 2018\).](#)



93

94 **Figure 1: Carbon cycling on cropland and productivity feedbacks from plants to residues and soil stocks and soil**
 95 **water, as modeled in LPJmL5.0-tillage. Arrows indicate fluxes, boxes, and circles are stocks.**

96 In LPJmL5.0-tillage2, the amount of carbon in biomass, which is either harvested or can be left as
 97 crop residue is dependent on productivity (plant growth). Litter pool sizes are determined by the amount of
 98 biomass that is left on the field (i.e. not harvested) and the rate at which the litter is decomposed. At
 99 decomposition, the model assumes a fixed ratio of 40% of C that is transferred from litter to the soil carbon
 100 pools; the other 60% of C are emitted to the atmosphere as CO₂ as in von Bloh et al. (2018). N cycling is
 101 included in the model, explained in detail in von Bloh et al. (2018), and follows similar principles as SOC
 102 decomposition, reflecting the actual C:N ratios of the decomposing material. Applied N from manure, which is
 103 now explicitly considered in contrast to the previous model version LPJmL5.0-tillage, is assumed to consist of
 104 equal shares of mineral and organic N so that 50% is added to the ammonium pool of the first soil layer and the
 105 rest is added to the above-ground leaf litter nitrogen pool. While manure composition is highly variable across
 106 animal type, feed, and treatment, a general ratio of 1:2 of ammonium to total N in manure is in principle
 107 supported by the ranges reported by Van Kessel and Reeves (2002). The organic leaf litter nitrogen is quickly
 108 decomposed and added to the ammonium pool of the soil. The C part of the organic manure is allocated to the
 109 leaf litter C pool (i.e. an easily degradable organic pool that can be left on the soil surface or incorporated into
 110 the soil column by tillage), with a fixed C:N ratio of 14.5 (IPCC, 2019). Total fertilizer amounts (i.e. mineral
 111 fertilizer and manure) are applied either completely at sowing or split into two applications per growing season.
 112 Manure is always applied at the first application event at sowing. Only when total combined fertilizer inputs

113 (manure and mineral N) exceed 5 gN m^{-2} , half of the total fertilizer is applied in a second application as mineral
114 fertilizer, which is applied after 40% of the necessary phenological heat sums to reach maturity have been
115 accumulated.

116 2.2 Simulation protocol

117 A list of the simulations carried out for this study is summarized in Table 1. An initial spinup simulation per
118 general circulation model (GCM) and Climate Research Unit gridded Time Series (CRU TS) climate data of
119 7000 years is conducted to bring SOC stocks into a dynamic pre-historic equilibrium (SP-GCM/SP-CRU), in
120 which the first 30 years of weather data are cyclically recycled, mimicking stable climate conditions. A second
121 GCM-specific spinup simulation to introduce land use dynamics starts in 1510 so that cropland older than that
122 has reached a new dynamic equilibrium by 1901 when the actual simulations start and land-use history is
123 accounted for otherwise. Simulations were run for three groups: a) historical runs from 1901-2018 using CRU
124 TS Version 4.03 climate input (Harris et al., 2020) and inputs on historical management time series (which is
125 subject to the same spinup procedures as the GCM-specific simulations), b) historical simulations from 1901-
126 2005 with climate inputs from the four GCMs and historical management time series, c) future simulations using
127 projections of the four GCMs for the representative concentration pathways RCP2.6 (low radiative forcing) and
128 RCP8.5 (high radiative forcing) and four different stylized management settings: conventional tillage and
129 residues retained (T_R), conventional tillage and residues removed (T_NR), no-till and residues retained (NT_R)
130 and no-till and residues removed (NT_NR) and d) simulations as in c) but with $[\text{CO}_2]$ held constant at the level
131 of the year 2005 (379.8 ppmv) that are used to quantify the CO_2 effect. All other inputs (land-use, N-fertilizer,
132 manure) for all future simulations were also held constant at the year 2005 values. In future simulations, we
133 accounted for unlimited water supply from resources available for irrigation. Additionally, the rainfed to
134 irrigated cropland pattern was held constant at the year 2005 pattern. An additional simulation per GCM was
135 conducted where all inputs, as well as management assumptions, are static after 2005. These are used to analyze
136 the business-as-usual case under constant land use (h_cLU). To compare the results to literature values on the
137 maximum potential of global SOC stocks without land use, an additional simulation with potential natural
138 vegetation (PNV) was conducted, where all land is assumed to be natural vegetation with internally computed
139 vegetation composition and dynamics.

Table 1: Overview of the different simulations conducted for this study. For more details and purposes of the simulation see text. No LU – no land use, PNV – potential natural vegetation.

Name	Nr. of sim.	Years	Climate input	Tillage	Residues treatment	Fertilizer	Manure	LU data-set	Description
SP_CRU SP_GCM	5	7000	CRU TS 4.03 / HadGEM2_ES, GFDL-ESM2M, IPSL-CM5A-LR, MIROC5 Repeated 1901-1930	No LU	No LU	No LU	No LU	No LU	7000 years PNV spin-up until 1509 to compute a pre-historic dynamic SOC equilibrium
SPLU_CRU SPLU_GCM	5	390	CRU TS 4.03 / HadGEM2_ES, GFDL-ESM2M, IPSL-CM5A-LR, MIROC5 Repeated 1901-1930	First-year values of Porwollik et al. 2019	First-year values of MADRaT	First-year values of LUH2v2	First-year values of Zhang et al. (2017)	LUH2v2 (Hurtt et al., 2020)	390 years spin-up until 1900 to compute the effects of LU history, which is used as the starting point for all simulations
h_PNV	1	1901-2018	CRU TS 4.03 1901-2018	No LU	No LU	No LU	No LU	No LU	PNV run until 2018 (with 390 years spin-up for better comparability to LU runs), starting from SP_CRU
h_dLU	2	1700-2018	CRU TS 4.03 From 1700-1900 repeated 1901-1930, 1901-2018 afterward	Porwollik et al. 2019	MADRaT (Dietrich et al., 2020)	LUH2v2 (Hurtt et al., 2020)	Zhang et al. (2017)	LUH2v2 (Hurtt et al., 2020)	Historical run with dynamic LU, starting from SPLU_CRU
h_cLU	2	1700-2018	CRU TS 4.03 From 1700-1900 repeated 1901-1930, 1901-2018 afterward	Porwollik et al. 2019 Static at 2005 level	MADRaT (Dietrich et al., 2020) Static at 2005 level	LUH2v2 (Hurtt et al., 2020) Static at 2005 level	Zhang et al. (2017) Static at 2005 level	LUH2v2 (Hurtt et al., 2020) Static at 2005 level	Historical run with constant land use (with 390 years spin-up as in SPLU_CRU, but with the land use pattern of 2005), starting from SP_CRU
h_GCM	4	1901-2005	HadGEM2_ES, GFDL-ESM2M, IPSL-CM5A-LR, MIROC5	Porwollik et al. 2019	MADRaT (Dietrich et al., 2020)	LUH2v2 (Hurtt et al., 2020)	Zhang et al. (2017)	Hurtt 2017 LUH2v2 (Hurtt et al., 2020)	CMIP5 historical scenario runs used, starting from SPLU_GCM
T_R_26/85 NT_R_26/85 T_NR_26/85 NT_NR_26/85	64	2006-2099	RCP2.6/RCP8.5 HadGEM2_ES, GFDL-ESM2M, IPSL-CM5A-LR, MIROC5	tillage / no-till	Residues retained / residues removed	LUH2v2 (Hurtt et al., 2020) Static at 2005 level	Zhang et al. (2017) Static at 2005 level	Hurtt 2017 LUH2v2 (Hurtt et al., 2020) Static at 2005 level	CMIP5 future runs with different management options, starting from h_GCM
TRc05_26 TRc05_85	16	2006-2099	RCP2.6/RCP8.5 HadGEM2_ES, GFDL-ESM2M, IPSL-CM5A-LR, MIROC5	Porwollik et al. 2019 Static at 2005 level	MADRaT (Dietrich et al., 2020) Static at 2005 level	LUH2v2 (Hurtt et al., 2020) Static at 2005 level	Zhang et al. (2017) Static at 2005 level	Hurtt 2017 LUH2v2 (Hurtt et al., 2020) Constant Static at 2005 level	CMIP5 future runs with tillage and residue management constant at 2005 level, starting from h_GCM

143 2.3 Model inputs

144 We created input data sets for an explicit representation of land use, fertilizer, manure, and residue management,
145 using the MADRaT tool (Dietrich et al., 2020). Historic land-use patterns of shares of physical cropland, also
146 separated into an irrigated and rainfed area, as well as mineral fertilizer data (application rate per crop in gN m^{-2}
147 a^{-1}) for the period of the year 1900 to 2015, are based on the Land-Use Harmonization – LUH2v2 data (Hurt et
148 al., 2020), which provides fractional land-use patterns for the period of 850-2015 as part of the Coupled Model
149 Intercomparison Project – CMIP6 (Eyring et al., 2016). Manure application rates for the period 1860-2014 are
150 based on Zhang et al. (2017) and account for organic N. With MADRaT, we were also able to produce data on
151 crop functional type (CFT) specific fractions of residue rates left on the field (recycling shares) for the period
152 1850-2015. We generated data on residue-recycling shares in 5-year time steps for the period 1965-2015 and
153 interpolate linearly between time steps to get an annual time series. Between 1850 and 1965, default recycling
154 shares for cereals of 0.25, for fibrous of 0.3, for non-fibrous of 0.3, and no-use of 0.8 were assigned to 1850 and
155 linearly interpolated to the values of 1965. Cereals include temperate cereals, rice, maize, and tropical cereals;
156 fibrous crops include pulses, soybean, groundnut, rapeseed, and sugarcane; non-fibrous crops include temperate
157 roots, tropical roots, and no-use crops include sunflower, others, pastures, bioenergy grasses, and bioenergy
158 trees. Information on conventional tillage and conservation agriculture (no-till) management was based on
159 Porwollik et al. (2019) for the period 1974-2010. Before 1973, conventional tillage was assumed as the default
160 management on all cropland. We assume one tillage event after initial cultivation of natural land, independent of
161 the tillage scenario. This assumption does not affect the results of future projections as we constrain our analysis
162 to cropland that is already cultivated in 2005.

163 Historical simulations were driven using the CRU TS Version 4.03 climate input (Harris et al., 2020) from
164 1901 to 2018. Since this data set does not provide data before 1901, the 30-year climate from 1901 to 1930 was
165 used repeatedly for spin-up simulations covering the period before 1901. Data on $[\text{CO}_2]$ were taken from ice-
166 core measurements (Le Quéré et al., 2015) and the Mauna Loa station (Earth System Research Laboratories
167 (ESRL) Global Monitoring Laboratory - Carbon Cycle Greenhouse Gases, National Oceanic and Atmospheric
168 Administration (NOAA), US Department of Commerce, 2021). Future simulations from 2006-2099 used climate
169 scenarios from four GCMs taken from Coupled Model Intercomparison Project Phase 5 (CMIP5) in bias-
170 adjusted as provided by the ISIMIP2b project (Frieler et al., 2017; Hempel et al., 2013): HadGEM2-ES, GFDL-
171 ESM2M, IPSL-CM5A-LR and MIROC5 for both a weak climate forcing (Representative Concentration
172 Pathway (RCP) 2.6) and a strong climate forcing (RCP8.5) with corresponding $[\text{CO}_2]$ levels. The GCM data sets
173 provide inputs for air temperature, precipitation, radiation, and $[\text{CO}_2]$. The historic period for these GCM-
174 specific simulations was based on bias-adjusted data from the GCMs rather than on CRU data, to avoid
175 inconsistencies at the transition between historic and future periods. Land-use change in the future was not
176 analyzed in this context, as the SOC potential of the current agricultural area was the focus of this investigation
177 so that land-use patterns after 2005 were held constant after 2005. All results are presented as averages across the
178 ensemble of climate models per RCP, unless stated otherwise. Additional simulations with constant $[\text{CO}_2]$ for

179 both RCP2.6 and RCP8.5 allow for the isolation of CO₂ fertilization effects. Conventional tillage starts in 1700.
 180 For the period 1700-1850, the residue extraction rate of the year 1850 is assumed. The degree to which tillage
 181 affects soil properties and processes depends on the tillage intensity, which is a combination of tillage efficiency
 182 and mixing efficiency. The fraction of residues submerged (tillage efficiency) by tillage is set to 0.95. The
 183 mixing efficiency for tillage management is set to 0.9, representing a full inversion tillage practice, also known
 184 as conventional tillage (White et al., 2010). The effects of both mixing and tillage efficiency are described in
 185 detail in Lutz et al. (2019). ~~For conventional tillage, the default value of tillage intensity is set to 0.9 and the~~
 186 ~~fraction of residues submerged by tillage to 0.95.~~ The fraction of residues that are harvested in case of residue
 187 extraction is 70% of all above-ground residues (with the remaining 30% of above-ground residues and all roots
 188 left on the field). In the case without residue harvest, 100% are left on the field and only the harvested organs
 189 (e.g. grains) are removed.

190 2.4 Data analysis and metrics

191 Our analysis is based on simulated changes in cropland SOC stocks as well as the contributing processes,
 192 including the turnover rate, heterotrophic respiration, litterfall, and the net primary production (NPP) of cropland
 193 areas. NPP is calculated following Schaphoff et al. (2018).

194 The turnover rate for cropland is calculated as:

$$195 \quad mtr_{SOC,agr} = \frac{rh_{agr}}{SOC_{agr}} * 100, \quad (1)$$

196 with $mtr_{SOC,agr}$ as the mean turnover rate for cropland SOC (% a⁻¹), SOC_{agr} is the SOC content for cropland (g)
 197 and rh_{agr} is the heterotrophic respiration for cropland (g a⁻¹).

198 Decomposition of organic matter pools is following the first-order kinetics described in Sitch et al. (2003). Total
 199 heterotrophic respiration (R_h) accounts for 60% of directly decomposed litter ($R_{h,litter}$) and respiration of the fast
 200 and slow soil pools (decomposition rate of 0.03 a⁻¹ and 0.001 a⁻¹, respectively). From the 40% remaining litter
 201 pool, 98.5% are transferred to the fast soil C pool and 1.5% to the slow soil C pool:

$$202 \quad R_{h,agr} = R_{h,litter,agr} + R_{h,fastSoil,agr} + R_{h,slowSoil,agr}, \quad (2)$$

203 Cropland litterfall ($C_{litterfall,agr}$) in g C a⁻¹ is calculated by considering root, stem, and leaf carbon in dependency of
 204 residue recycling shares:

$$205 \quad C_{litterfall,agr} = (C_{root,CFT} + ((C_{leaf,CFT} + C_{stem,CFT}) \cdot f_{res,CFT})) \cdot f_{cell,agr}, \quad (3)$$

206 with $C_{root,CFT}$ being the C pools of crop roots per CFT, $C_{leaf,PFT}$ the C pool of crop leaves per CFT, $C_{stem,PFT}$ the
 207 stems and mobile reserves per CFT, $f_{res,CFT}$ the residue fraction which is returned to the soil per CFT and $f_{cell,agr}$
 208 the fraction of agricultural area of the cell. The h_dLU cropland scenario uses the results from the h_dLU
 209 simulation and accounts for the cropland SOC only, by taking the cropland area at the specific point time into
 210 account. The h_dLU area05 scenario, on the other hand, also uses the results from the h_dLU simulation as
 211 described in Table 1 but accounts for all the area which is either already cropland or will become cropland at any
 212 point in time until 2005. To calculate the historical losses of SOC from land-use change in the h_dLU area05
 213 scenario, the fraction of SOC under PNV, which will become cropland ~~in the future~~ is combined with the
 214 historical cropland SOC parts and ~~are~~ calculated as:

$$215 \quad SOC_{LUC,t} = d_{SOC,pnv,t} \cdot (area_{agr,2005} - area_{agr,t}) + d_{SOC,agr,t} \cdot area_{agr,t}, \quad (4)$$

216 where $d_{SOC,pnv,t}$ is the SOC density ($g\ m^{-2}$) for PNV area at time step t, which will become cropland in the
 217 future, calculated as:

$$218 \quad d_{SOC,pnv,t} = \frac{d_{SOC,cell,t} \cdot area_{cell} - d_{SOC,agr,t} \cdot area_{agr,t}}{area_{pnv,t}}, \quad (5)$$

219 where $d_{SOC,pnv,t}$, $d_{SOC,cell,t}$, $d_{SOC,agr,t}$ are the SOC densities ($g\ m^{-2}$) for the PNV part within the cell, the density
 220 for the entire cell, and the agricultural part within the cell, respectively, at time step t (year), $area_{pnv,t}$ and
 221 $area_{agr,t}$ are the corresponding areas of PNV and agriculture (m^2) at time step t and $area_{cell}$ is the area of the
 222 entire cell, which does not change over time. We considered different climatic regions such as tropical wet,
 223 tropical moist, tropical dry, warm temperate moist, warm temperate dry, cold temperate moist, cold temperate
 224 dry, boreal moist, and boreal dry regions, following the IPCC climate zone classification (IPCC (2006), Fig. S1
 225 in the appendix), using averaged climate inputs for the period between the year 2000 and 2009. Polar dry, polar
 226 moist, and tropical montane regions were excluded from this analysis, as these regions do not include any
 227 cropland.

228 3 Model performance

229 Modeled global average SOC stocks (period 2000-2009 and year 2018) are compared with previous model
 230 versions and literature estimates (Table 2). Simulated SOC stocks in LPJmL5.0-tillage2 exhibit higher SOC
 231 content compared to the LPJmL5.0 (von Bloh et al., 2018) model version and LPJ-GUESS (Olin et al., 2015),
 232 with total average global SOC stocks of 2640 Pg C for simulations with land use (h_dLU) and 2940 Pg C for
 233 simulation with PNV only and no land use (h_PNV). The simulated stocks correspond well to estimates by
 234 Carvalhais et al. (2014) for global averages but are lower for cropland SOC stocks. Total SOC stocks simulated
 235 by LPJmL5.0-tillage2 are 2640 Pg for the entire soil column of 3 m, which are 300 Pg higher than estimates
 236 provided by Jobbágy and Jackson (2000). Global SOC for PNV is 2580 Pg for the upper 2 m, which compares
 237 well with estimates between 2376 Pg to 2476 Pg provided by Batjes (1996), who reported SOC stocks for the

238 upper 2 m of soil. Global average cropland SOC stocks between the year 2000 and 2009 as well as for the year
 239 2018 for the entire soil column are estimated to be 170 Pg C, which is higher than estimates of 148-151 Pg C by
 240 Olin et al. (2015). Zomer et al. (2017) reported cropland SOC stocks of 140 Pg C for the upper 0.3 m of soil,
 241 which are higher than the cropland SOC stocks of 75 Pg C simulated for the upper 0.3 m in LPJmL. Ren et al.
 242 (2020) reported cropland SOC stocks for the first 0.5 m of soil to be 115 Pg C for the period 2000-2010, which is
 243 higher than cropland SOC of 95 Pg C for the upper 0.5 m in LPJmL. Scharlemann et al. (2014) conducted a
 244 literature review on global SOC stock and found a wide range of estimates (504-3000 Pg C) and variability
 245 across time and space and a high dependency on soil depth, with a median global SOC stock of 1460 Pg C.
 246 Generally simulated SOC stocks by LPJmL5.0-tillage2 correspond well with literature and other model
 247 estimates.

248 **Table 2: Global SOC pools (Pg C) for the LPJmL5.1-tillage2, LPJmL5.0, and LPJ-GUESS model compared to**
 249 **literature estimates. Values are averages for the period 2000-2009, for the year 2018, and the upper 0.3, 1, and 2 m of**
 250 **soil. PNV values are simulations with potential natural vegetation only (no land use), global SOC average includes**
 251 **PNV and land use.**

	Model estimates			Literature estimates				
	LPJmL5.0- tillage2 (this study)	LPJmL5.0 (von Bloh et al., 2018)	LPJ- GUESS (Olin et al., 2015)	Carvalhais et al., 2014	Batjes, 1996	Jobbágy and Jackson, 2000	Zomer et al., 2017	Scharlemann et al., 2014
Global SOC PNV only	2940 ^{1,a} 2960 ^{2,a} 2580 ^{b,1} , 2185 ^{c,1} , 1555 ^{d,1}	2344 ^{1,a}	1671 ³	-	2376 ^{b,4} – 2476 ^{b,4}	-	-	-
Global SOC average	2640 ^{1,a} 2645 ^{2,a} 2295 ^{b,1} , 1910 ^{c,1} , 1300 ^{d,1}	2049 ^{1,a}	1668 ³	2397 ⁴ (1837 ^x - 3257 ^y)	-	1933 ^b , 2344 ^a	-	1460 (504 ^d – 3000 ^c)
Cropland SOC	170 ^{1,a} 170 ^{2,a} 145 ^{b,1} , 115 ^{c,1} , 75 ^{d,1} ,	-	148 ³	327 ⁴ (242 ^x - 460 ^y)	-	210 ^b , 248 ^a	140 ^d	-

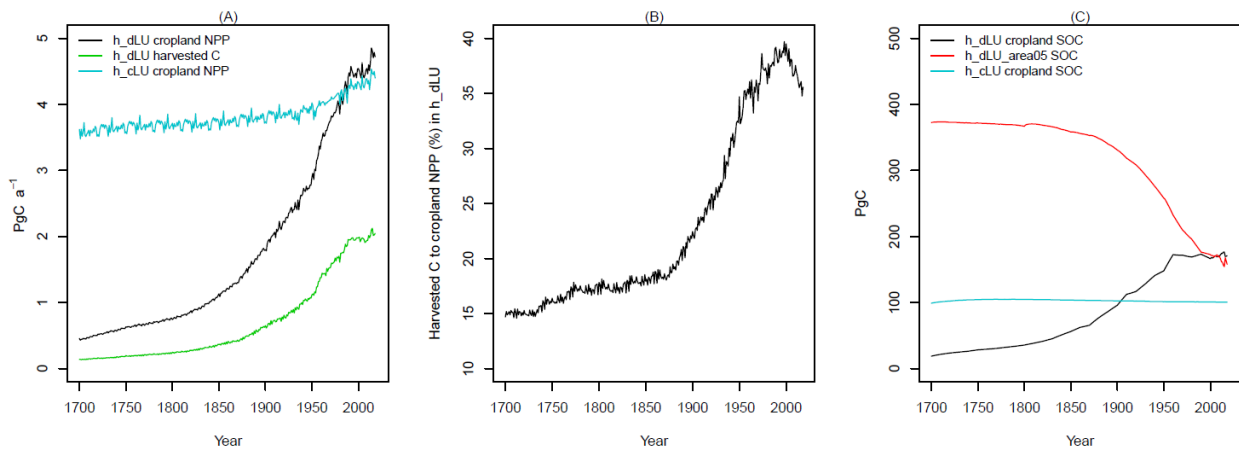
252 Values are estimates for: ^a entire soil column, ^b upper 2m of soil, ^c upper 1m of soil, ^d upper 0.3m of soil, ^e not indicated.

253 Year of estimate value: ¹ 2000-2009, ² 2018, ³ 1996-2005, ⁴ not indicated. ^x 2.5th percentile, ^y 97.5th percent

254 4 Results

255 4.1 Historical development of cropland NPP and SOC stocks

256 During the simulation period, cropland NPP increases in the dynamic LU simulation (h_dLU) from 0.7 Pg C a⁻¹
 257 in 1700 to 4.7 Pg C a⁻¹ in 2018, while cropland SOC increases from 18 Pg C to a total of 171 Pg C (Fig. 2A and
 258 2C) in the year 2018. The increase in cropland SOC can be explained by an increase in cropland area (Fig. S2B
 259 in the appendix). During the same time, harvested C increases from 0.1 Pg C a⁻¹ to 2.0 Pg C a⁻¹. The ratio of
 260 harvested C to cropland NPP increases with time, especially after the year 1900 (Fig. 2B), as more material is
 261 harvested compared to cropland NPP. The aggregated SOC stock on all land that is cropland in the year 2005
 262 declines substantially, especially after the year 1900 (red line in Fig. 2C), which reflects the decline in cropland
 263 SOC density (Fig. S2A in the appendix). We also find that cropland SOC density steadily increases between
 264 1700 and 1950, and decreases since 1950 (Fig. S2A in the appendix). Simulations with a constant land use
 265 pattern of 2005 (h_cLU) for cropland NPP and cropland SOC show no substantial dynamics (Fig. 2A and C).
 266 These simulations are not entirely insightful, because they do not account for the historical increase in inputs,
 267 e.g. fertilizer.



268

269 **Figure 2: Plots for cropland NPP and harvested C (A), percentage of harvested C to cropland NPP in h_dLU (B) and**
 270 **SOC for cropland stocks, and historical SOC losses from LUC (C) for the years 1700-2018 for simulations with**
 271 **transient land use (h_dLU), constant land use of 2005 (h_cLU), transient land use and SOC development from land-**
 272 **use change including cropland area and historical PNV area which will be converted until the year 2005**
 273 **(h_dLU_area05).**

274 In contrast to the scenario with dynamic land use and the ones with constant land use, the h_dLU_area05
 275 scenario describes a combination of historical cropland SOC and historical SOC of natural vegetation (calculated
 276 as described in Eq. (4) and (5)), which is or has been cropland until the year 2005. This describes the SOC
 277 dynamics of all land that is subject to the historical land-use change (LUC) (Fig. 2C). Loss of historical SOC is
 278 calculated as the difference between the years 1700 and 2018 on the land area that was cropland at any point in
 279 time (Fig. 2C, red line). Through this approach, we calculate a total historical SOC loss of 215 Pg C. Cropland
 280 SOC stocks are increasing over time (Fig. 2C, black line), reflecting the increase of cropland area. PNV has a
 281 higher SOC density, and therefore SOC stock, before the conversion to cropland (Fig. S2A in the appendix). For
 282 the calculation of SOC loss, we here only considered the area that is converted from PNV to cropland at any

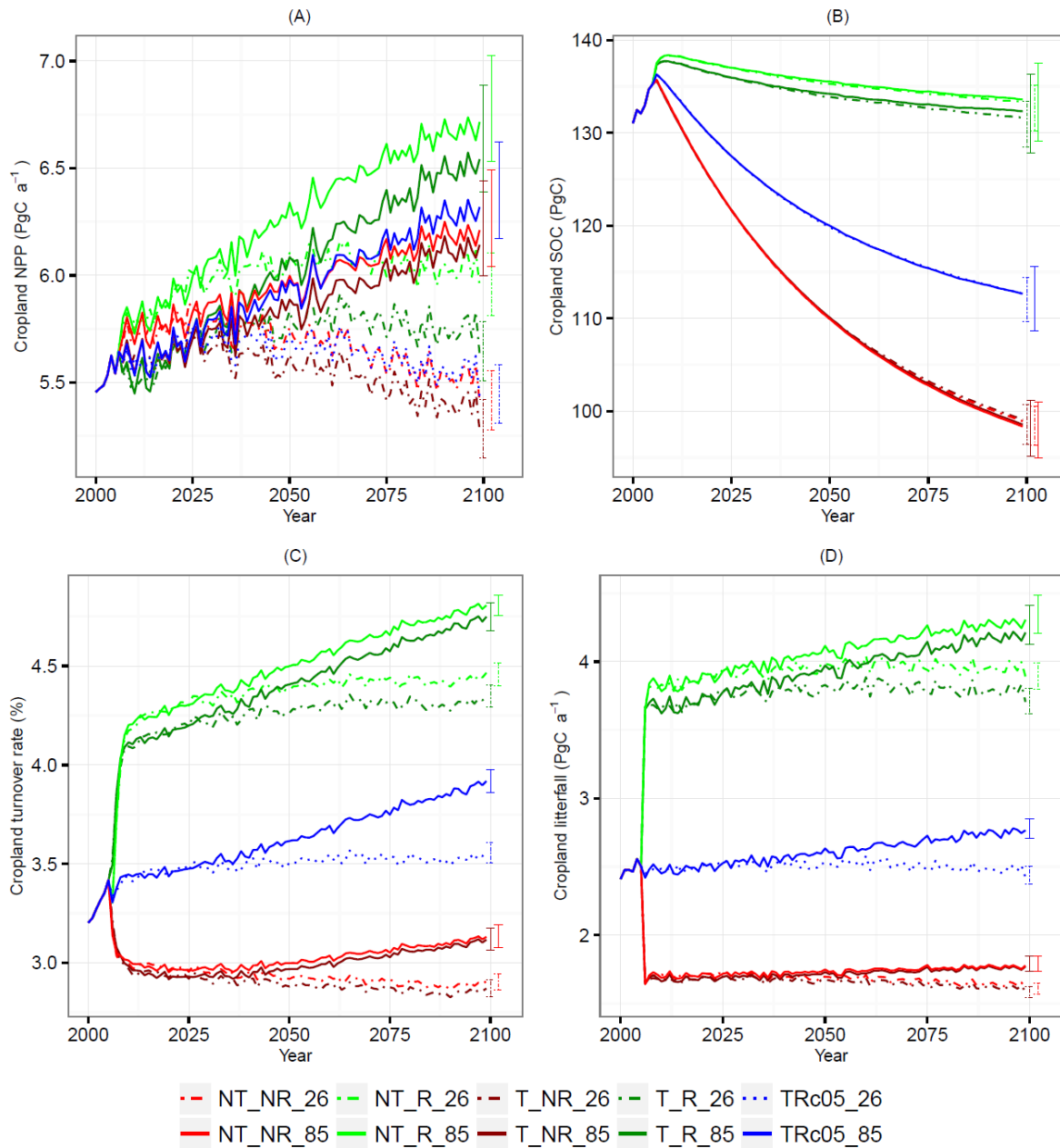
283 point in time between 1700 and 2018 in post-processing according to Eq. (4) and (5). Because SOC density is
284 generally lower in cropland compared to PNV (Fig. S2A in the appendix), SOC is lost after conversion (Fig. 2C,
285 red line). Only when the area which is converted at any time to cropland is considered over the entire period, the
286 calculation of the actual decrease in SOC stocks from LUC is possible (Fig. 2C, red line).

287 **4.2 Future soil carbon development with idealized management under climate change**

288 Future cropland SOC stock development was analyzed considering two different radiative forcing pathways
289 (RCPs) with four different climate scenarios (GCMs) per RCP and four idealized management assumptions
290 (Table 2). To estimate the SOC sequestration potential on current cropland and to exclude the influence from
291 LUC, the cropland area was kept constant at the year 2005 pattern. Results for future SOC development show
292 that the maximum decrease in SOC stocks on current global cropland area between the year 2005 until the end of
293 the century occurs in the scenario with no-till applied on global cropland, no residues retained, and RCP8.5
294 climate (NT_NR_85). Total cropland SOC loss for this scenario is evaluated as 38.4 Pg C, or 28.1% in relative
295 terms compared to the SOC stocks in the year 2005. All management systems, which extract residue from the
296 field, show a strong decrease in cropland SOC stocks, independent of the climate scenario (Fig. 3B). Differences
297 for cropland SOC development between different tillage systems as well as between the two radiative forcing
298 pathways RCP2.6 and RCP8.5 are small. Management systems, which retain residue on the field after harvest,
299 show the smallest reduction in cropland SOC stocks, with a maximum reduction of 5.1 Pg C (equivalent to 3.8%
300 decline) in the T_R_26 management system. Differences between GCM-specific climate scenarios or radiative
301 forcing pathways (RCPs) were small in comparison to differences in residue management assumptions for SOC,
302 turnover rates, and litterfall rates (Fig. 3) but larger than differences in assumptions on tillage systems. Only for
303 agricultural NPP (Fig. 3A), differences in radiative forcing pathways were the main determinant of NPP
304 dynamics, followed by GCM-specific climate scenarios.

305 **Table 3: Summary of absolute and relative global cropland SOC stock change between the years 2006 and 2099 for**
 306 **different management systems for RCP2.5 and RCP8.5 as averages across all four GCMs.**

Management	Absolute cropland SOC change		Relative cropland SOC change	
	2006 – 2099 (Pg C)		2006 – 2099 (%)	
	RCP2.6	RCP8.5	RCP2.6	RCP8.5
<u>Tillage and residues (T_R)</u>	-5.1	-4.4	-3.8	-3.2
<u>Tillage and no residues (T_NR)</u>	-37.6	-38.1	-27.5	-27.8
<u>No-till and residues (NT_R)</u>	-3.6	-3.2	-2.6	-2.3
<u>No-till and no residues (NT_NR)</u>	-37.8	-38.4	-27.7	-28.1
<u>Tillage and residue constant as in year</u> <u>2005 (TRc05)</u>	-24.1	-24.0	-17.6	-17.6



307

308

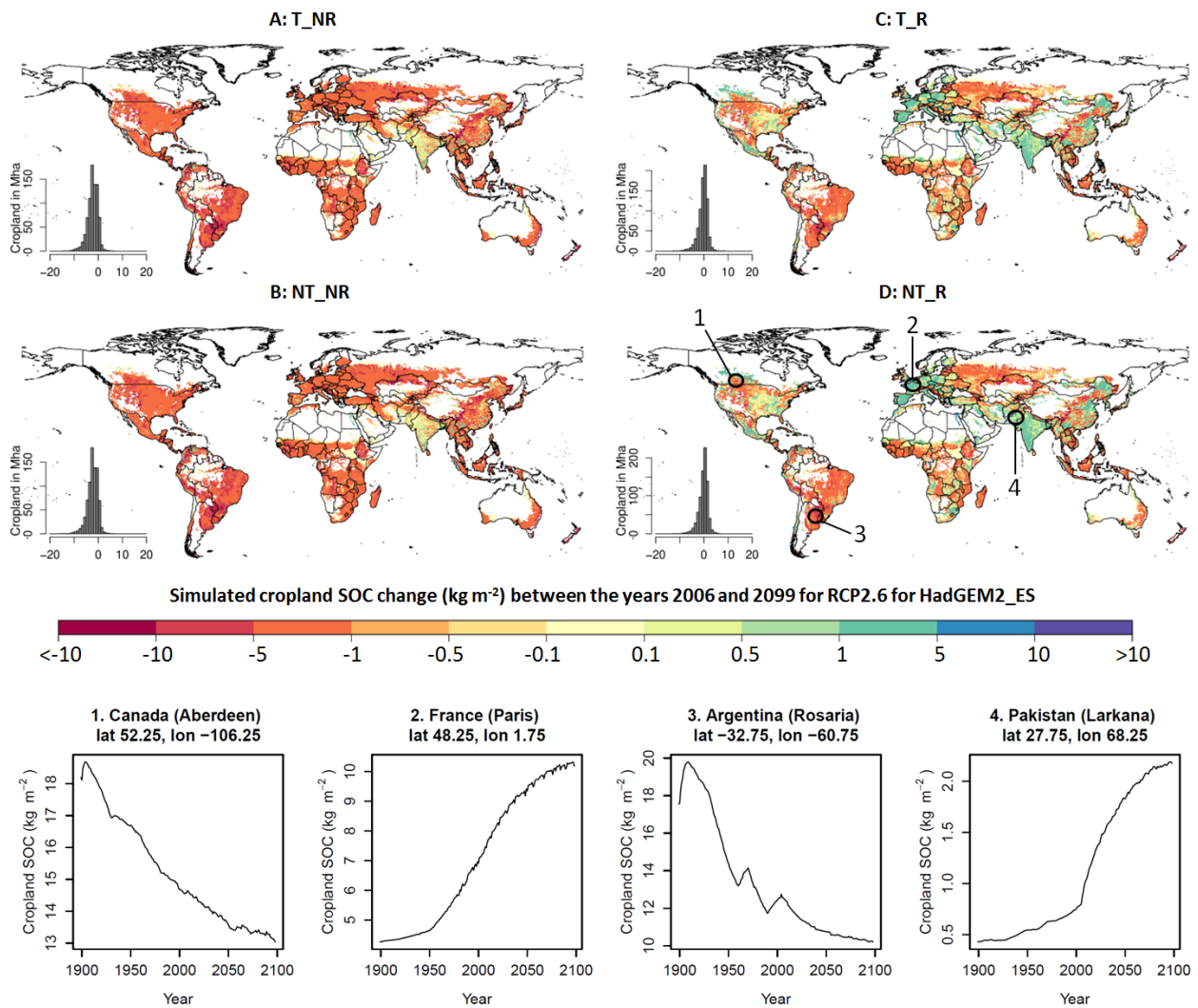
309 **Figure 3: Global sums for cropland for NPP (A), SOC (B), turnover rate (C), and litterfall (D) from 2000-2005 for**
 310 **default management inputs and from 2006-2099 under constant cropland area of 2005 for five different management**
 311 **scenarios and two RCPs. Presented are the mean values across all four GCMs as lines. The spread across all GCMs is**
 312 **depicted as bars in the year 2100. The numbers _26 and _85 describe the climate forcing RCP2.6 (e.g. TRc05_26) and**
 313 **RCP8.5 (e.g. TRc05_85). Green – residues retained (R), red – residues removed (NR), dashed – RCP2.6, solid –**
 314 **RCP8.5, light color – no-till (NT), dark color – tillage (T). Tillage and residue management held constant at 2005 level**
 315 **in TRc05; tillage and residues left on the field (T_R), tillage and residues removed (T_NR), no-till plus residues left on**
 316 **the field (NT_R) and no-till and residues removed (NT_NR). Dynamics prior to 2005 (all scenarios equal) mostly show**
 317 **the expansion of cropland until 2005 so that total SOC increases because the area increases. Turnover rates between**
 318 **2000 and 2005 increase because decomposition rates are high on freshly deforested land.**

319 Stocks of cropland SOC and turnover rates (Fig. 3C) initially increase in systems that retain residues, such as
320 T_R and NT_R, after the change in management after the year 2005 (Fig. 3B and C), as more residual C is added
321 to the soil column in comparison to the historic residue removal rates (Fig. 3D).

322 Turnover rates are higher for the high radiative forcing pathway RCP8.5 in comparison to RCP2.6. The
323 simulated cropland NPP (Fig. 3A) is sensitive to the radiative forcing, as the level of NPP is higher in the high-
324 end RCP8.5 scenario, and lower in the lower-end RCP2.6 scenario. This is because of the strong response of
325 NPP to CO₂ fertilization, which overcompensates the climate-driven reduction in NPP (compare Fig. S3 in the
326 appendix). NPP is less sensitive to the assumptions on tillage practices in comparison to the effects of
327 assumptions on residue management. The no-till and residue system (NT_R) results in the highest NPP mainly
328 due to water-saving effects, which are caused by the surface litter cover, which reduces evaporation from the soil
329 surface and at the same time increase infiltration of water into the soil. NPP increases steadily until 2099 in
330 RCP8.5 scenarios, because of the CO₂ fertilization effects (compare Fig. S3 in the appendix). In RCP2.6, NPP
331 first slightly increases and then decreases until the end of the century in all tillage and residue scenarios.
332 However, the ranking of management effects is insensitive to the radiative forcing pathway: no-till and residues
333 (NT_R) results in the highest NPP, tillage and no residues (T_NR) in the lowest values.

334 **4.3 Regional cropland SOC analysis**

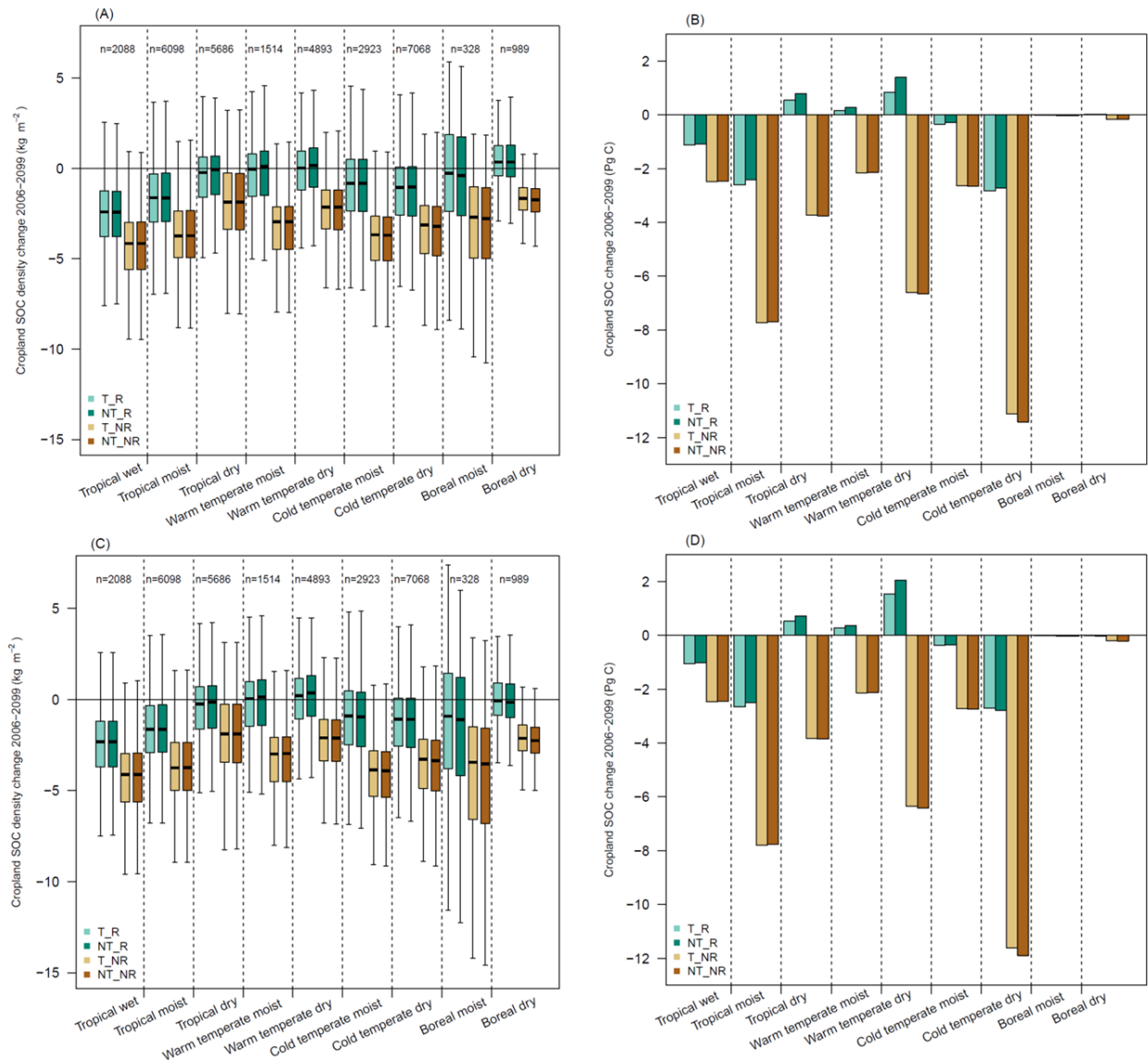
335 Simulation results show that globally aggregated SOC stocks on current cropland decline until the end of the
336 century for all management systems, but there are regional differences (Fig. 4). We find that in some regions,
337 cropland SOC can increase until the end of the century, even though global sums indicate a total decline. For
338 cropland SOC density, increases between the years 2006 and 2099 can be found for T_R and NT_R management
339 systems for more than a third^{1/3} of the global cropland area, most clearly in regions in Europe, India, Pakistan,
340 Afghanistan, southern Chile, southern Mexico, eastern China and south-eastern USA (Fig. 4C and D).
341 Historically, regions which already showed an increase in cropland SOC density since 1900 until today, such as
342 in France or Pakistan, or a decrease, such as Canada and Argentina, tend to continue this development also in the
343 future (see plots in Fig. 4 for exemplary cells). In systems in which residues are not returned to the soil (T_NR
344 and NT_NR), global cropland SOC density change is dominated by a decline.



346 **Figure 4: Simulated cropland SOC change (kg m^{-2}) between the years 2006 and 2099 (kg m^{-2}) for RCP2.6 for GCM**
 347 **HadGEM2-ES for the four different management options (T_R, NT_R, T_NR, and NT_NR). The plots 1-4. show**
 348 **examples of SOC development (kg m^{-2}) from the year 1900 to 2099 for different explanatory regions as shown on map**
 349 **D (NT_R). The difference maps of affected change categories between RCP2.6 and RCP8.5 are shown in Fig. 5. Maps**
 350 **for GFDL-ESM2M, IPSL-CM5A-LR and MIROC5, and RCP8.5 are in the appendix (Fig. S7 to S13).**

351 Results for different climatic regions suggest that the difference between RCP2.6 and RCP8.5 radiative
 352 forcing only plays a minor role for cropland SOC stock development (Fig. 5). Findings suggested that a positive
 353 median increase in cropland SOC density between the years 2006 and 2099 can be found in warm temperate
 354 moist, warm temperate dry, and boreal regions for RCP2.6 (GCM average) for the tillage and residue (T_R) and
 355 the no-till and residue (NT_R) T_R and NT_R management systems (Fig. 5B). The total aggregated cropland
 356 SOC change for each climate region depends on the cropland extent of the region. The smallest amounts of
 357 cropland are found in boreal moist and dry regions, which results in a total cropland SOC stock change of
 358 negligible size (Fig. 5B and D). Total increases in cropland SOC stocks can be found for both RCP2.6 (Fig. 5A
 359 and B) and RCP8.5 (GCM average) (Fig. 5C and D) for tropical dry, warm temperate moist, and warm temperate

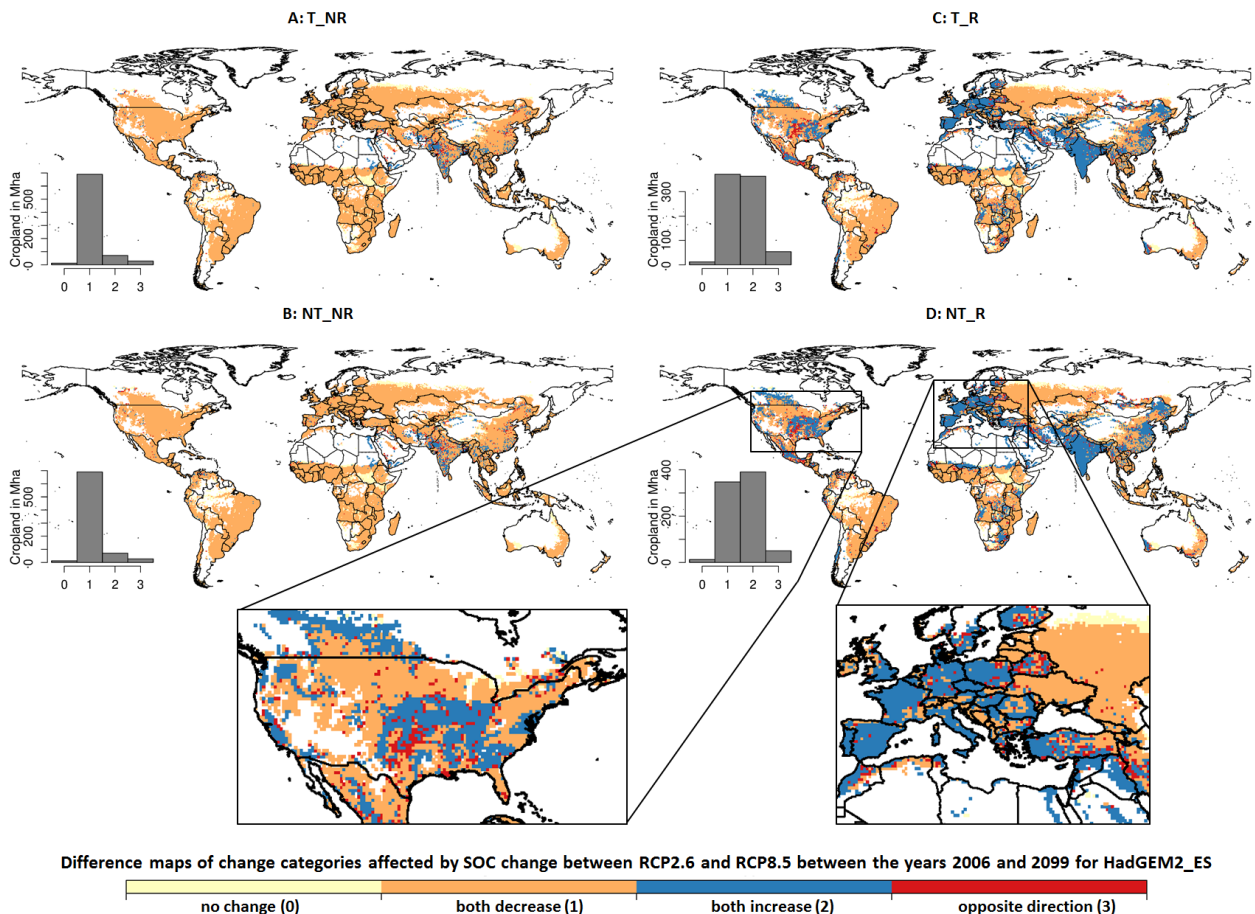
360 | dry regions in the tillage and residue (T_R) and the no-till and residue (NT_R) T_R and NT_R management
 361 systems. For all regions across all simulations, management systems in which residues are not returned to the
 362 soil, cropland SOC stocks decrease. The highest absolute losses of total cropland SOC stocks for these systems
 363 (T_NR and NT_NR) can be found in cold temperate dry climates, followed by tropical moist and warm
 364 temperate dry regions, which are the regions with major cropland shares.



365

366 **Figure 5: Boxplots of cropland SOC density change (kg m⁻²) and bar plots of total cropland SOC change (Pg C)**
 367 **between the years 2006 and 2099, averaged across the four GCMs (HadGEM2_ES, GFDL-ESM2M, IPSL-CM5A-LR,**
 368 **MIROC5) in RCP2.6 (A and B) and RCP8.5 (C and D) for the climatic regions classified by the IPCC (2006) and the**
 369 **four management systems T_R, NT_R, T_NR, and NT_NR. The same plots for each GCM can be found in Fig. S5 and**
 370 **S6 in the appendix, n is the number of cropland cells included in each climate region.**

371 Regional results also indicate stronger differences between GCM-specific climate scenarios within the same
 372 radiative forcing pathway (RCP). The highest positive cropland SOC stock response can be found for GCM
 373 GFDL-ESM2M in both RCP2.6 and RCP8.5 for the tillage and residue (T_R) and the no-till and residue (NT_R)
 374 systems T_R and NT_R for warm temperate dry climates, while the positive response for tropical dry and warm
 375 temperate moist climates is lower compared to the other three GCMs (compare Fig. S5D and S6D in the
 376 appendix). Results for the IPSL-CM5A-LR climate scenarios for both RCP2.6 and RCP8.5 generally show the
 377 most negative response for cropland SOC density change and cropland SOC stock change, followed by
 378 HadGEM2_ES.



379

380 **Figure 6: Difference maps of change categories for cropland SOC density change between both RCP2.6 and RCP8.5**
 381 **from the year 2006 until 2009 for GCM HadGEM_ES in each management system. Orange areas indicate a reduction**
 382 **in cropland SOC density between the years 2006 and 2009 in both RCPs, blue areas show an increase in SOC density,**
 383 **in light yellow areas no change occurs, and for red, SOC density change occurs in opposite directions in RCP2.6 and**
 384 **RCP8.5. The numbers in brackets (0) to (3) correspond to the categories in the histogram.**

385 The comparison of cropland affected in RCP2.6 and RCP8.5 indicates that most regions show effects with
 386 the same direction of response in SOC density, so either it decreases or increases in both RCP2.6 and RCP8.5,
 387 which is highlighted by the blue and orange regions in Fig. 6. Red cells, which indicate that the effects in both
 388 RCPs go in the opposite direction can only be found in a few regions, e.g. the United States and Turkey. In total,

389 between 50 and 53 million hectares (Mha) of cropland shows the opposite directions globally for the tillage and
390 residue (T_R) and the no-till and residue (NT_R) systems~~NT_R and T_R~~, while this is halved (between 27 and
391 29 Mha) for the tillage and no residue (T_NR) and no-till and no residue (NT_NR)~~T_NR and the NT_NR~~
392 management system.

393 5 Discussion

394 5.1 SOC development in the past and losses due to land-use change

395 Historical simulations show that the conversion of natural land to cropland has caused SOC losses of 215 Pg C
396 between the year 1700 and 2018 (Fig. 2C). Soil C density and NPP in natural vegetation are higher compared to
397 those found in croplands, which results in C losses after conversion of natural land to cropland. NPP in croplands
398 is often lower compared to NPP in natural vegetation, as the cultivated period is typically shorter than the
399 vegetative period in which natural vegetation is productive so that cultivated plants have less time to accumulate
400 C. Further, cropland is cultivated and crops are harvested, which results in the extraction of NPP in form of
401 harvested material, which leads to a further decline of SOC stocks. Cropland expansion is the main driver for
402 increases in total cropland SOC stocks, as cropland SOC density steadily increased since the year 1700 starting
403 at 7 kg m⁻² and reaching its maximum in the year 1960 at 13 kg m⁻², but since then cropland SOC density
404 decreased, down to 11 kg m⁻² today (Fig. S2A in the appendix). SOC density on cropland showed this trend,
405 even though fertilizer use increased since the 1960s, which was found to be able to promote SOC sequestration,
406 especially in temperate regions (Alvarez, 2005). Since the 1960s, cropland expansion has slowed down, but
407 global yields have, on average, more than doubled (Pingali, 2012; Ray et al., 2012; Wik et al., 2008). Ren et al.
408 (2020) show that historical cropland SOC increase was mainly attributed to cropland expansion, which is in
409 agreement with the findings here. The ratio of harvested C to cropland NPP increases with time (Fig. 2B) so that
410 the increase in yields does not have a positive effect on cropland SOC, as more and more C is extracted from the
411 soil in the form of harvested material.

412 It was estimated that conversion of natural land to cultivated land can result in SOC loss of up to 30 to 50%
413 (Lal, 2001). Sanderman et al. (2017) estimated historical global SOC losses of natural land to cropland
414 conversion by 133 Pg C, of which most of the losses occurred in the last 200 years. Pugh et al. (2015) modeled
415 C emissions from LUC accounting for agricultural management, such as harvesting and tillage, and found
416 maximum C losses in vegetation and SOC by 225 Pg C since the year 1850. Le Quéré et al. (2018) also
417 estimated the C flux to the atmosphere due to LUC, including deforestation, to be 235 Pg C (± 95) since the year
418 1750.

419 5.2 Future cropland SOC development on current global cropland

420 Future SOC stocks on current cropland depend on climate and management. We find that current cropland
421 remains to be a source of C, even though the decline of SOC on current cropland can be reduced through
422 management. The most efficient measure to reduce SOC losses on cropland is residue management. In the
423 model, SOC is formed by C transfer from litter to the soil through decomposition fluxes (Schaphoff et al., 2018),

424 bioturbation, or tillage practices (Lutz et al. 2019). Residues left on the field are added to the litter C pool, where
425 they are subject to decomposition. Root C is added to the belowground litter pool, with a specific decomposition
426 according to soil temperature and moisture conditions. Stubbles and root biomass enter the litter pool after
427 harvest, while ~~t~~The amount of residues extracted or that can be retained ~~on cropland~~ depends on crop

428 productivity. ~~At the same time, t~~The addition of fresh material from crop residues increases the turnover rate in

429 the soil, as this material is more easily decomposed than the remaining SOC stocks from the historical natural

430 ecosystems. In the model, SOC decomposition is only driven by the temperature and moisture of the litter and

431 soil layers, whereas the chemical composition of the residues is not taken into account. While the N content of

432 the available material can strongly influence the decomposition and humification of residues and the formation

433 of SOM (Hatton et al., 2015; Averill and Waring, 2018), this effect is not considered here and should be included

434 in future model development.

435 The different management aspects show the same ranking in importance under both radiative forcing

436 pathways and the changes on cropland SOC only differ slightly. Cropland SOC stocks at the end of the century

437 vary only between those two RCPs between -0.6% and +0.6% for all four management systems. This is caused

438 by a compensating effect of higher productivity by elevated CO₂ under RCP8.5, which counteracts the increase

439 in turnover rates at higher temperatures (see Fig. S3 in the appendix for comparison with constant [CO₂]

440 simulations).

441 Even though experiments have shown that tillage can reduce SOC stocks significantly compared to no-till

442 (Abdalla et al., 2016; Kurothe et al., 2014), tillage management only has small effects on aggregated global

443 cropland SOC in our simulations. Tillage practices account for differences in cropland SOC stocks of 0.9% and

444 1.3% between T_R vs. NT_R in 2099 for RCP8.5 and RCP2.6, respectively, and less than 0.2% between T_NR

445 vs. NT_NR for both RCPs. Differences in SOC stocks on cropland between the tillage systems decrease if

446 residues are not retained on the field. NPP responds more strongly to the tillage system, which is likely to be

447 driven by secondary effects (e.g. no-till increases soil moisture and nutrient availability from mineralization), but

448 shows no long-term effect on SOC stock development.

449 With the given complexity in responses to tillage, the application of no-tillage has been discussed

450 ambiguously in the literature (Chi et al., 2016; Derpsch et al., 2014, 2010; Dignac et al., 2017; Powlson et al.,

451 2014). The LPJmL5.0-tillage model is well capable of reproducing these process interactions and diversity in

452 results (Lutz et al. 2019). Tillage systems thus need to be selected based on local conditions, but we find these to

453 be less important than residue management. Given this dependency of the SOC accumulation potential on

454 climatic and management conditions, there are strong regional differences in the response of SOC to changes in

455 management. In line with Stella et al. (2019), who investigated the contribution of crop residues to cropland

456 SOC conservation in Germany and found a decrease in SOC stocks until 2050, if residues are not returned to the

457 soil, we find that large parts of western Europe can indeed increase the SOC stocks under management systems

458 in which residues are retained on the field. Zomer et al. (2017) analyzed the global sequestration potential for

459 SOC increase in cropland soils and found the highest potentials in India, Europe, and mid-west USA, results

460 which correspond well with our findings. Also, the duration of the historical cultivation of the cropland is an
461 important aspect in the ability to sequester C in current cropland soils. Stella et al. (2019) find the highest SOC
462 sequestration potentials in soils with low SOC stocks (i.e. in highly degraded soils).

463 **5.3 Potential for SOC sequestration on cropland and recommendations for future analysis**

464 For the past years, there has been an ongoing debate on how much SOC can be stored in agricultural soils
465 through adequate management as a climate change mitigation strategy (Baker et al., 2007; Batjes, 1998; Lal,
466 2004; Luo et al., 2010; Stockmann et al., 2013). For example, globally applied no-till management on cropland
467 was estimated to have a SOC sequestration potential of 0.4-0.6 Gt CO₂ a⁻¹ (Powlson et al., 2014). Additionally,
468 the sequestration of SOC can be beneficial to soil quality and productivity and minimize soil degradation (Lal,
469 2009, 2004). An increase in cropland irrigation can effectively influence SOC development (Trost et al., 2013;
470 Bondeau et al., 2007). In our simulations with LPJmL5.0-tillage2, we find that on current cropland, these
471 sequestration potentials cannot be achieved by varying tillage practices and residue removal rates, even though
472 the residue management system is important for cropland SOC dynamics. At the same time, we account for an
473 unlimited supply of water resources available for irrigation, reducing the constrain on SOC development by
474 limitations from irrigation water. As such, our estimates of SOC development should tend to be optimistic in all
475 regions where irrigation is applied, but where water resources are limiting.

476 There is a general uncertainty in how experimental findings can be scaled up, as e.g. demonstrated by a
477 review conducted by Fuss et al. (2018). While process-based modeling as applied here can take environmental
478 conditions into account and can compare different management aspects, it is still subject to various uncertainties.
479 One crucial aspect is the history of land-use systems, including the trend in land productivity. Karstens et al.
480 (2020, under review) show that global historical cropland SOC stocks are declining even though cropland inputs
481 are increasing at the same time. ~~Karstens et al. (2020, under review) show that the historical intensification of
482 cropland could already have converted the cropland to a net carbon sink, but rely on an assumption that residue
483 amounts scale linearly with productivity, which is not always true, e.g. when breeding of dwarf varieties that
484 lead to changing allometries and yield formation (Subira et al., 2016).~~ Depending on the agricultural
485 management option, it is argued that the maximum sequestration potential is reached after the soil has a new
486 higher equilibrium state, which can be reached after 10-100 years, depending on climate, soil type, and SOC
487 sequestration option (Smith, 2016). The IPCC suggests a default saturation time of the soil sink of 20 years, after
488 which the equilibrium is reached, which then has to be maintained to avoid additional release of CO₂ (IPCC,
489 2006). Increasing cropland SOC in a first step can be achieved by adding more C to the soil than is lost by
490 respiration, decomposition and harvest, and soil disturbance. Maintaining SOC levels on cropland after the soil
491 has reached a new equilibrium will require the application of management strategies that do not deplete SOC.
492 The '4 per 1000' initiative requires annual SOC sequestration on croplands of approximately 2 to 3 Pg C a⁻¹ in
493 the top 1m of cropland soils, which was criticized to be unrealistic (de Vries, 2018; White et al., 2018). In this
494 analysis, only two management options affecting SOC, tillage treatment and residues management, are
495 considered. High SOC sequestration potentials on cropland are argued to be only achieved by applying a variety

496 of management options, e.g. additional restoration of degraded land (Griscom et al., 2017; Lal, 2003),
497 agroforestry (Lorenz and Lal, 2014; Torres et al., 2010), biochar (Smith, 2016), bio-waste compost (Mekki et al.,
498 2019), which add forms of organic material which increase turnover times of SOC. A combination of these
499 different practices is more likely to achieve higher SOC sequestration rates on cropland (Fuss et al., 2018).
500 Management options that aim at increasing SOC may also affect yields, as they can maintain productivity and
501 ensure yield stability (Pan et al., 2009), but reductions in SOC can also reduce yields substantially (Basso et al.,
502 2018). ~~Similarly, the intensification of cropland productivity can have substantial effects on cropland SOC if the~~
503 ~~additional productivity also increases litterfall rates (Karstens et al., 2020 – under review).~~ Yet Additionally, the
504 productivity increase can come with an even stronger increase in harvested material, as here demonstrated,
505 which can lead to a reduction in total cropland SOC. The conversion from natural land to cropland typically
506 causes substantial SOC losses, which stresses the need to further limit land-use expansion and thus requires an
507 intensification of land productivity on current cropland. In our analysis, we did not account for the effects of
508 future LUC, but projections show an increase in total cropland area in the future (Stehfest et al., 2019) so that
509 global SOC is expected to further decline.

510 Further research of agricultural management practices that influence SOC development at the global scale
511 should investigate the impact of cover crops, rotations, irrigation systems, and optimal cultivar choice per region
512 and location (e.g. Minoli et al., 2019) and different options for cropland intensification (e.g. Gerten et al., 2020)
513 in a more explicit manner. SOC stabilization mechanisms, such as clay mineral protection and forming of
514 macroaggregates in no-till managed soils (Luo et al., 2016), effects of microorganisms, such as N-fixation and
515 phosphorous acquisition from fungi and bacteria, which also regulate plant productivity and community
516 dynamics (Heijden et al., 2008), as well as effects of soil structure (Bronick and Lal, 2005) on SOC dynamics
517 have not been considered here or in other global process-based assessments and should be taken into account.
518 Plants and associated root systems can reduce surface erosion and water runoff (Gyssels et al., 2005), but losses
519 of SOC from runoff and increased erosion (Kurothe et al., 2014; Naipal et al., 2018) are not considered here
520 either. Residues from plants can influence labile, intermediate, and stable SOC pools through the C:N ratio.
521 Residues with high C:N ratios (e.g. straw) decomposed relatively slow and can increase SOC, but reduce N
522 availability to the plants, while residues with low C:N decompose relatively fast and can release N to the soil
523 through mineralization (Macdonald et al., 2018). The speed of residue decomposition can also influence the
524 effectiveness of residues as a soil cover, with effects on soil moisture through infiltration. Impacts of biodiversity
525 and living fauna such as microorganisms on SOC sequestration are not modeled in this analysis, even though
526 they are recognized to have a substantial influence on the dynamics of SOC (Chevallier et al., 2001).

527 The implementation of such effects is desirable but needs to be assessed with respect to the process
528 understanding, the availability of input data at the global scale, and the availability of modeling approaches (Lutz
529 et al., 2019a). Global-scale modeling approaches, in comparison to local or regional studies, allow for the
530 possibility to identify regional patterns related to SOC sequestration responses with the potential to foster
531 experimental studies in areas so far not investigated, but relevant for global assessments (Luo et al., 2016;

532 Nishina et al., 2014). They are needed to upscale findings from experimental sites so that the potential of such
533 measures for climate change mitigation can be better understood and climate protection plans are made with
534 better estimates.

535 **6 Conclusion**

536 In conclusion, the here analyzed agricultural management systems are not sufficient to increase global SOC
537 stocks on current cropland until the end of the 21st century. The interaction of SOC sequestration and cropland
538 productivity needs to be better disentangled. Additional C inputs from e.g. manure, cover crops, and rotations are
539 needed and could offset further SOC losses, but additional research on the potentials of these cropland
540 management options and available amounts that could be applied is needed. We find that the potential for SOC
541 sequestration on current global cropland is too small to fulfill expectations as a negative emission technology,
542 which stresses the importance to reduce GHG emissions more strictly by other means, to reach climate
543 protection targets as outlined in the 2015 Paris Agreement.

544 **Code and data availability**

545 The source code is available under GNU APGL version 3 license. The exact version of the code described here
546 and the R script used for postprocessing the data from the simulations conducted are archived under
547 <https://doi.org/10.5281/zenodo.4625868> (Herzfeld et al., 2021).

548 **Author contributions**

549 TH and CM designed the study in discussion with JH and SR. TH conducted all the model simulations and wrote
550 the paper with support from CM. TH conducted the analysis and prepared all the figures with input from CM and
551 JH. All authors edited the paper.

552 **Competing interests**

553 The authors declare that they have no conflict of interest.

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