

Exploring how groundwater buffers the influence of heatwaves on vegetation function during multi-year droughts

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Abstract. The co-occurrence of droughts and heatwaves can have significant impacts on many socioeconomic and environmental systems. Groundwater has the potential to moderate the impact of droughts and heatwaves by moistening the soil and enabling vegetation to maintain higher evaporation, thereby cooling the canopy. We use the Community Atmosphere Biosphere Land Exchange (CABLE) land surface model, coupled to a groundwater scheme, to examine how groundwater influences ecosystems under conditions of co-occurring droughts and heatwaves. We focus specifically on South East Australia for the period 2000–2019 when two significant droughts and multiple extreme heatwave events occurred. We found groundwater plays an important role in helping vegetation maintain transpiration, particularly in the first 1–2 years of a multi-year drought. Groundwater impedes gravity-driven drainage and moistens the root zone via capillary rise. These mechanisms reduced forest canopy temperatures by up to 5°C during individual heatwaves, particularly where the water table depth is shallow. The role of groundwater diminishes as the drought lengthens beyond 2 years and soil water reserves are depleted. Further, the lack of deep roots or stomatal closure caused by high vapour pressure deficit or high temperatures can reduce the additional transpiration induced by groundwater. The capacity of groundwater to moderate both water and heat stress on ecosystems during simultaneous droughts and heatwaves is not represented in most global climate models, suggesting model projections may overestimate the risk of these events in the future.

1 Introduction

Droughts and heatwaves are important socio-economic and environmental phenomena, impacting regional food production (Kim et al., 2019; Lesk et al., 2016), water resources (Leblanc et al., 2009; Orth and Destouni, 2018) and the resilience of ecosystems (Ibáñez et al., 2019; Ruehr et al., 2019; Sandi et al., 2020). When droughts and heatwaves co-occur (a “compound event”) the consequences can be particularly severe, reducing the terrestrial carbon sink (Ciais et al., 2005), potentially accelerating tree die-off (Allen et al., 2010, 2015; Birami et al., 2018) and setting conditions conducive for wildfires (Jyoteeshkumar reddy et al., 2021). One region experiencing severe coincident heatwaves and drought is Australia (Mitchell et al., 2014). Drought in Australia is associated with large-scale modes of variability, including the El Niño-Southern Oscillation and the Indian Ocean Dipole (van Dijk et al., 2013), and periods of below average rainfall can extend for multiple years (Verdon-Kidd and Kiem, 2009). Heatwaves are commonly synoptically driven, associated with blocking events that can be sustained over many days (Perkins-Kirkpatrick et al., 2016; Perkins, 2015). Modes of variability and synoptic situations are important in setting up conditions conducive to drought and heatwave. However, once a heatwave or drought has become established, land-atmosphere interactions can intensify and prolong both heatwaves and droughts (Miralles et al., 2019), affect their intensity and influence the risk of their co-occurrence (Mukherjee et al., 2020). The role of the land surface in amplifying or dampening heatwaves and droughts is associated with the partitioning of available energy between sensible and latent heat (Fischer et al.,

41 2007; Hirsch et al., 2019) and is regulated by sub-surface water availability (Teuling et al., 2013; Zhou et al., 2019). As soil
42 moisture becomes more limiting, more of the available energy is converted into sensible heat, reducing evaporative cooling via
43 latent heat. Changes in the surface turbulent energy fluxes influence the humidity in the boundary layer, the formation of clouds,
44 incoming solar radiation and the generation of rainfall (D’Odorico and Porporato, 2004; Seneviratne et al., 2010; Zhou et al.,
45 2019). The sensible heat fluxes warm the boundary layer, leading to heat that can accumulate over several days and exacerbate
46 heat extremes (Miralles et al., 2014), which can in turn increase the atmospheric demand for water and intensify drought
47 (Miralles et al., 2019; Schumacher et al., 2019).

48

49 Vegetation access to groundwater has the potential to alter these land-atmosphere feedbacks by maintaining vegetation function
50 during extended dry periods, supporting transpiration and moderating the impact of droughts and heatwaves (Marchionni et al.,
51 2020; Miller et al., 2010). Where the water table is relatively shallow, capillarity may bring water from the groundwater towards
52 the surface root zone, increasing plant water availability. Where the water table is deeper, phreatophytic vegetation with tap
53 roots can directly access groundwater (Zencich et al., 2002). The presence of groundwater, and the access to groundwater by
54 vegetation is therefore likely to buffer vegetation drought and heatwave stress. For example, groundwater may help vegetation
55 sustain transpiration and consequently cool plant canopies via evaporation. This is particularly critical during compound events
56 where cessation of transpiration would increase the risk of impaired physiological function and the likelihood that plants would
57 exceed thermal limits and risk mortality (Geange et al., 2021; O’sullivan et al., 2017; Sandi et al., 2020).

58

59 Quantifying the influence of groundwater on vegetation function has remained challenging as concurrent observations of
60 groundwater dynamics, soil moisture, and energy and water fluxes are generally lacking over most of Australia and indeed
61 many parts of the world. Land surface models (LSMs) provide an alternative tool for studying the interactions between
62 groundwater, vegetation, and surface fluxes in the context of heatwaves and droughts (Gilbert et al., 2017; Martinez et al., 2016a;
63 Maxwell et al., 2011; Shrestha et al., 2014). However, there has been very little work focused on the influence of groundwater
64 on droughts and heatwaves occurring at the same time (Keune et al., 2016; Zipper et al., 2019). Our key goal in this paper is
65 therefore to examine the timescales and extent to which vegetation utilises groundwater during drought and heatwaves, and
66 determine the degree to which groundwater can mitigate the impacts of compound extremes. We focus on droughts and
67 heatwaves occurring over south-eastern (S.E.) Australia during 2000–2019 using the Community Atmosphere Biosphere Land
68 Exchange (CABLE) LSM. S.E. Australia is an ideal case study since its forest and woodland ecosystems are known to be
69 dependent on groundwater (Eamus and Froend, 2006; Kuginis et al., 2016; Zencich et al., 2002) and it has experienced two
70 multi-year droughts and record-breaking heatwaves over the last two decades. By examining the role of groundwater in
71 influencing droughts and heatwaves, and by understanding how well CABLE can capture the relevant processes, we aim to
72 build confidence in the simulations of land-atmosphere interactions for future droughts and heatwaves.

73 **2 Methods**

74 **2.1 Study area**

75 The climate over S.E. Australia varies from humid temperate near the coast to semi-arid in the interior. In the last 20 years, S.E.
76 Australia experienced the 9-year Millennium drought during 2001–2009 (van Dijk et al., 2013) where rainfall dropped from a
77 climatological average (1970–1999) of 542 mm yr⁻¹ to 449 mm yr⁻¹, and a 3-year intense recent drought during 2017–2019
78 where rainfall dropped to 354 mm yr⁻¹ (Figure S1). It has also suffered record-breaking summer heatwaves in 2009, 2013, 2017,
79 and 2019 (Bureau of Meteorology, 2013, 2017, 2019; National Climate Centre, 2009). Here we investigate groundwater
80 interactions during the period 2000–2019, focusing on the Millennium drought (MD, 2001–2009) and the recent drought (RD,
81 2017–2019).

82 **2.2 Overview of CABLE**

83 CABLE is a process-based LSM that simulates the interactions between climate, plant physiology and hydrology (Wang et al.,
 84 2011). Above ground, CABLE simulates the exchange of carbon, energy and water fluxes, using a single layer, two-leaf
 85 (sunlit/shaded) canopy model (Wang and Leuning, 1998), with a treatment of within-canopy turbulence (Raupach, 1994;
 86 Raupach et al., 1997). CABLE includes a 6-layer soil model (down to 4.6 m) with soil hydraulic and thermal characteristics
 87 dependent on the soil type and soil moisture content. CABLE has been extensively evaluated (e.g., Abramowitz et al., 2008;
 88 Wang et al., 2011; Zhang et al., 2013) and benchmarked (Abramowitz 2012; Best et al. 2015) at global and regional scales.
 89 Here we adopt a version of CABLE (Decker, 2015; Decker et al., 2017) which includes a dynamic groundwater component
 90 with aquifer water storage. This version, CABLE-GW, has been previously evaluated by Decker (2015), Ukkola et al. (2016b)
 91 and Mu et al. (2021) and shown to perform well for simulating water fluxes. CABLE code is freely available upon registration
 92 (<https://trac.nci.org.au/trac/cable/wiki>); here we use CABLE SVN revision 7765.

93 **2.3 Hydrology in CABLE-GW**

94 The hydrology scheme in CABLE-GW solves the vertical redistribution of soil water via a modified Richards equation (Zeng
 95 and Decker, 2009):

96
 97
$$\frac{\partial \theta}{\partial t} = - \frac{\partial}{\partial z} K \frac{\partial}{\partial z} (\Psi - \Psi_E) - F_{soil} \quad (1)$$

98
 99 where θ is the volumetric water content of the soil ($\text{mm}^3 \text{mm}^{-3}$), K is the hydraulic conductivity (mm s^{-1}), z is the soil depth
 100 (mm), Ψ and Ψ_E are the soil matric potential (mm) and the equilibrium soil matric potential (mm), and F_{soil} is a sink term
 101 related to subsurface runoff and transpiration (s^{-1}) (Zeng and Decker, 2009; Decker, 2015). To simulate groundwater dynamics,
 102 an unconfined aquifer is added to the bottom of the soil column with a simple water balance model:

103
 104
$$\frac{dW_{aq}}{dt} = q_{re} - q_{aq,sub} \quad (2)$$

105
 106 where W_{aq} is the mass of water in the aquifer (mm), $q_{aq,sub}$ is the subsurface runoff in the aquifer (mm s^{-1}), and q_{re} is the water
 107 flux between the aquifer and the bottom soil layer (mm s^{-1}) computed by the modified Darcy's law:

108
 109
$$q_{re} = \frac{(K_{aq} + K_{bot}) (\Psi_{aq} - \Psi_n) - (\Psi_{E,aq} - \Psi_{E,n})}{2 z_{wtd} - z_n} \quad (3)$$

110
 111 where K_{aq} and K_{bot} are the hydraulic conductivity in the aquifer and in the bottom soil layer (mm s^{-1}), Ψ_{aq} and $\Psi_{E,aq}$ are the
 112 soil matric potentials for the aquifer (mm), and Ψ_n and $\Psi_{E,n}$ are the soil matric potentials for the bottom soil layer (mm). z_{wtd}
 113 and z_n are the depth of the water table (mm) and the lowest soil layer (mm), respectively. The positive q_{re} refers to the
 114 downward water flow from soil column to aquifer (i.e. vertical drainage, Dr), and the negative q_{re} is the upward water
 115 movement from aquifer to soil column (i.e., recharge, Qrec). CABLE-GW assumes the groundwater aquifer sits above
 116 impermeable bedrock, giving a bottom boundary condition of:

117
 118
$$q_{out} = 0 \quad (4)$$

119
 120 CABLE-GW computes the subsurface runoff (q_{sub} , mm s^{-1}) using:

121

122
$$q_{sub} = \sin \frac{\overline{dz}}{dl} \hat{q}_{sub} e^{-\frac{z_{wtd}}{f_p}} \quad (5)$$

123

124 where $\frac{\overline{dz}}{dl}$ is the mean subgrid-scale slope, \hat{q}_{sub} is the maximum rate of subsurface drainage (mm s^{-1}) and f_p is a tunable
 125 parameter. q_{sub} is generated from the aquifer and the saturated deep soil layers (below the third soil layer).

126 2.4 Experiment design

127 To explore how groundwater influences droughts and heatwaves, we designed two experiments, with and without groundwater
 128 dynamics, driven by the same 3-hour time-evolving meteorology forcing and the same land surface properties (see section 2.5
 129 for datasets) for the period 1970-2019 at a 0.05° spatial resolution with a 3-hour time step. To correct a tendency for high soil
 130 evaporation, we implemented a parameterisation of soil evaporation resistance that has previously been shown to improve the
 131 model (Decker et al., 2017; Mu et al., 2021).

132 2.4.1 Groundwater experiment (GW)

133 This simulation uses the default CABLE-GW model, which includes the unconfined aquifer to hold the groundwater storage
 134 and simulates the water flux between the bottom soil layer and the aquifer. We first ran the default CABLE-GW with fixed CO_2
 135 concentrations at 1969 levels for 90 years by looping the time-evolving meteorology forcing over 1970–1999. At the end of the
 136 90-year spin-up, moisture in both the soil column and the groundwater aquifer reached an effective equilibrium when averaged
 137 over the study area. We then ran the model from 1970 to 2019 with time varying CO_2 . We omit the first 30 years of this period
 138 and analyse the period 2000–2019 to allow for further equilibrium with the time-evolving CO_2 .

139 2.4.2 Free drainage experiment (FD)

140 Many LSMs, including those used in the Coupled Model Intercomparison Project 5 (CMIP5), still use a free drainage
 141 assumption and neglect the parameterisation of the unconfined aquifer. To test the impact of this assumption we decoupled the
 142 aquifer from the bottom soil layer and thus removed the influence of groundwater dynamics (experiment FD). In FD, at the
 143 interface between the bottom soil layer and the aquifer, soil water can only move downwards as vertical drainage at the rate
 144 defined by the bottom soil layer’s hydraulic conductivity:

145
 146
$$q_{re} = K_{bot} \quad (6)$$

147
 148 This vertical drainage is added to the subsurface runoff flux:

149
 150
$$q_{sub} = q_{sub} + q_{re} \quad (7)$$

151
 152 The simulated water table depth (WTD, i.e. z_{wtd}) in CABLE-GW affects the water potential gradient between the soil layers
 153 via Ψ_E (Zeng and Decker, 2009) and impacts q_{sub} (Equation 5). However, in FD, decoupling the soil column from the aquifer
 154 and adding vertical drainage directly to subsurface runoff causes an artificial and unrealistic decline in WTD. To solve this
 155 problem, we assume a fixed WTD in the FD simulations at 10 m in order to remove this artefact from the simulation of Ψ_E and
 156 q_{sub} .

157
 158 The FD simulations are initialised from the near-equilibrated state at the end of the 90-year spin-up used in GW. The period
 159 1970–2019 is then simulated using varying CO_2 and the last 20 years are used for analysis.

160 2.4.3 Deep root experiment (DR)

161 The parameterisation of roots, including the prescription of root parameters in LSMs, is very uncertain (Arora and Boer, 2003;
162 Drewniak, 2019) and LSMs commonly employ root distributions that are too shallow (Wang and Dickinson, 2012). The vertical
163 distribution of roots influences the degree to which plants can utilise groundwater, and potentially the role groundwater plays
164 in influencing droughts and heatwaves. To explore the uncertainty associated with root distribution, we added a “deep root”
165 (DR) experiment by increasing the effective rooting depth in CABLE for tree areas. In common with many LSMs, CABLE-
166 GW defines the root distribution following Gale and Grigal (1987):

$$168 f_{root} = 1 - \beta_{root}^z \quad (8)$$

169 where f_{root} is the cumulative root fraction (between 0 and 1) from the soil surface to depth z (cm), and β_{root} is a fitted parameter
170 specified for each plant functional type (PFT) (Jackson et al., 1996). In CABLE, the tree areas in our study region are simulated
171 as evergreen broadleaf PFT (Figure S2a) with a $\beta_{root} = 0.962$, implying that only 8% of the simulated roots are located below
172 the soil depth of 0.64 m (Figure S2b). However, field observations (Canadell et al., 1996; Eberbach and Burrows, 2006; Fan et
173 al., 2017; Griffith et al., 2008) suggest that the local trees tend to have a far deeper root system, possibly to help cope with the
174 high climate variability. We therefore increased β_{root} for the evergreen broadleaf PFT to 0.99, which assumes 56 % of roots
175 are located in depths below 0.64 m and 21 % of roots below 1.7 m (Figure S2b). This enables the roots to extract larger quantities
176 of deep soil water moisture, which is more strongly influenced by groundwater.
177

178
179 Given we lack the detailed observations to set root distributions across S.E. Australia, we undertake the DR experiment as a
180 simple sensitivity study. We only run this experiment during January 2019, when the record-breaking heatwaves compound
181 with the severe recent drought. The DR experiment uses identical meteorology forcing and land surface properties as GW and
182 FD, and is initialised by the state of the land surface on the 31st December 2018 from the GW experiment.

183 2.5 Datasets

184 Our simulations are driven by the atmospheric forcing from the Australian Water Availability Project (AWAP), which provides
185 daily gridded data covering Australia at 0.05° spatial resolution (Jones et al., 2009). This dataset has been widely used to force
186 LSMs for analysing the water and carbon balances in Australia (Haverd et al., 2013; De Kauwe et al., 2020; Raupach et al.,
187 2013; Trudinger et al., 2016). The AWAP forcing data include observed fields of precipitation, solar radiation, minimum and
188 maximum daily temperatures and vapour pressure at 9 am and 3 pm. Since AWAP forcing does not include wind and air pressure
189 we adopted the near-surface wind speed data from McVicar et al. (2008) and assume a fixed air pressure of 1000 hPa. Due to
190 missing observations before 1990, the solar radiation input for 1970–1989 was built from the 1990–1999 daily climatology.
191 Similarly, wind speeds for 1970–1974 are built from the 30-year climatology from 1975 to 2004. We translated the daily data
192 into 3-hourly resolution using a weather generator (Haverd et al., 2013).

193
194 The land surface properties for our simulations are prescribed based on observational datasets. Land cover type is derived from
195 the National Dynamic Land Cover Data of Australia (DLCD) (<https://www.ga.gov.au/scientific-topics/earth-obs/accessing-satellite-imagery/landcover>). We classify DLCD’s land cover types to five CABLE PFTs: crop (irrigated/rainfed crop, pasture
196 and sugar DLCD classes), broadleaf evergreen forest (closed/open/scattered/sparse tree), shrub (closed/open/scattered/sparse
197 shrubs and open/scattered/sparse chenopod shrubs), grassland (open/sparse herbaceous) and barren land (bare areas). We then
198 resample the DLCD dataset from the 250 m resolution to the 0.05° resolution. The leaf area index (LAI) in CABLE is prescribed
199 using a monthly climatology derived from the Copernicus Global Land Service product
200 (<https://land.copernicus.eu/global/products/lai>). The climatology was constructed by first creating a monthly time series by
201

202 taking the maximum of the 10-daily timesteps each month and then calculating a climatology from the monthly data over the
203 period 1999–2017. The LAI data was resampled from the original 1 km resolution to the 0.05° resolution following De Kauwe
204 et al. (2020). Soil parameters are derived from the soil texture information (sand/clay/silt fraction) from SoilGrids (Hengl et al.,
205 2017) via the pedotransfer functions in Cosby et al. (1984) and resampled from 250 m to 0.05° resolution.

206
207 To evaluate the model simulations, we use monthly total water storage anomaly (TWSA) at 0.5° spatial resolution from the
208 Gravity Recovery and Climate Experiment (GRACE) and GRACE Follow On products (Landerer et al., 2020; Watkins et al.,
209 2015; Wiese et al., 2016, 2018). The RLM06M release is used for February 2002–June 2017 and for June 2018 – December
210 2019. We also use total land evapotranspiration from the 2000–2018 monthly Derived Optimal Linear Combination
211 Evapotranspiration (DOLCE version 2, Hobeichi et al., 2021) at 0.25° resolution, as well as the 2000–2019 daily Global Land
212 Evaporation Amsterdam Model (GLEAM version 3.5, <https://www.gleam.eu/>; Martens et al., 2017; Miralles et al., 2011) at 0.5°
213 spatial resolution. For daytime land surface temperature (LST) we use the Moderate Resolution Imaging Spectroradiometer
214 (MODIS) datasets from Terra and Aqua satellites (products MOD11A1 and MYD11A1, Wan and Li, 1997; Wan 2015a, 2015b)
215 at 1 km spatial resolution. We only consider pixels and time steps identified as good quality (QC flags 0). Only the day-time
216 LST values are used due to the lack of good quality night-time LST data. The Terra overpass occurs at 10 am and Aqua at 2 pm
217 local time. To analyse the compound events in January 2019, we linearly interpolate the 3-hourly model outputs to 2 pm to
218 match the overpass time of the Aqua LST. The GRACE, GLEAM and MODIS datasets were resampled to the AWAP resolution
219 using bilinear interpolation.

220
221 To evaluate model performance during heatwaves, we identify heatwave events using the excess heat factor index (EHF, Nairn
222 and Fawcett, 2014). EHF is calculated using the daily AWAP maximum temperature, as the product of the difference of the
223 previous 3 day mean to the 90th percentile of the 1970–1999 climatology and the difference of the previous 3 day mean to the
224 preceding 30 day mean. A heatwave occurs when the EHF index is greater than 0 for at least three consecutive days. We only
225 focus on summer heatwaves occurring between December and February of the following year.

226 **3 Results**

227 **3.1 Simulations for the Millennium Drought and the recent drought**

228 Previous studies have shown that simulations by LSMs diverge as the soil dries (Ukkola et al., 2016a), associated with
229 systematic biases in evaporative fluxes and soil moisture states in the models (Mu et al., 2021; Swenson and Lawrence, 2014;
230 Trugman et al., 2018). We therefore first evaluate how well CABLE-GW captures the evolution of terrestrial water variability
231 during two recent major droughts.

232
233 Figure 1a shows the total water storage anomaly during 2000–2019 observed by GRACE and simulated in GW and FD. Both
234 GW and FD accurately capture the interannual variability in total water storage for S.E. Australia ($r = 0.96$ in GW, and 0.90 in
235 FD). Both model configurations simulate a decline in TWSA through the first drought period (up to 2009, see Figure S1), the
236 rapid increase in TWSA from 2010 associated with higher rainfall, a decline from around 2012 due to the re-emergence of
237 drought conditions, and the rapid decline during the recent drought after conditions had eased in 2016 (Figure S1). FD
238 underestimates the magnitude of monthly TWSA variance (standard deviation, $SD = 37.18$ mm) compared to GRACE (47.74
239 mm) or GW (47.67 mm), particularly during the wetter periods (2000, 2011–2016) and the first ~2 years of the droughts (2001–
240 2, 2017–8) (Figure 1a). This underestimation in FD compared to GW is linked with the lack of aquifer water storage in the FD
241 simulations which provides a reservoir of water that changes slowly and has a memory of previous wet/dry climate conditions
242 (Figure 1a).

243 Figure 1b shows the accumulated precipitation (P) minus evapotranspiration (E) over the two drought periods. GW increases
244 the evapotranspiration relative to FD such that the accumulated P–E decreases from about 786 mm to 455 mm during the
245 Millennium drought, which is within the range of DOLCE (460 mm) and GLEAM (97 mm) estimates. A similar result, although
246 over a much shorter period, is also apparent for the recent drought (Figure 1b). The lower P–E in GW suggests that the presence
247 of groundwater storage can alleviate the vegetation water stress during droughts, and reduces the reliance of E on P, indicated
248 by a small reduction in the correlation (r) between E and P from 0.28 in FD to 0.24 in GW for MD, and a reduction from 0.42
249 to 0.37 for RD (Figure 1b). Although the evapotranspiration products display some differences, the GW simulations are closer
250 overall to both the DOLCE and the GLEAM, observational-constrained estimates. The better match of GW than FD to the two
251 evapotranspiration products implies that adding groundwater improves the simulations during droughts, whilst the remaining
252 mismatch would tend to suggest further biases in simulated evapotranspiration arising from multiple sources (e.g., a mis-match
253 in leaf area index, or contributions from the understorey). The difference in E is also demonstrated spatially in Figure S3. During
254 the Millennium drought, the GW simulations show a clear improvement over FD in two aspects. GW shows smaller biases in
255 E along the coast where FD underestimates E strongly (Figure S3b-c). The areas where E is underestimated are also smaller in
256 extent in GW, suggesting that GW overall reduces the dry bias. The magnitude of the bias in GW reaches around 300 mm over
257 small areas of S.E. Australia while in the FD simulations biases are larger, reaching 400 mm over a larger area. Plant
258 photosynthesis assimilation rates are associated with transpiration via stomata conductance. Figure S4 presents the spatial maps
259 of gross primary productivity (GPP) during the two droughts. GW simulations increase carbon uptake by 50–300 g C yr⁻¹,
260 particularly along the coasts (Figure S4c,f). However, since CABLE uses a prescribed LAI and does not simulate any feedback
261 between water availability and plant growth (e.g., defoliation) and its impact on GPP, we only focus on how GW influences
262 evapotranspiration and the surface energy balance in the subsequent sections.

263

264 Overall, Figure 1 and Figure S3 indicates representing groundwater in the model improves the simulation of the inter-annual
265 variability in the terrestrial water cycle and storage, particularly during droughts.

266 **3.2 The role of groundwater in sustaining evapotranspiration during droughts**

267 We next explore the mechanisms by which including groundwater modifies the simulation of evapotranspiration. Figure 2
268 displays the overall influence of groundwater on water fluxes during the recent drought. GW simulates 50–200 mm yr⁻¹ more E
269 over coastal regions where there is high tree cover (Figure 2a; see Figure S2a for land cover). Adding groundwater also increases
270 E in most other regions, although the impact is negligible in many inland and non-forested regions (i.e., west of 145°E). We
271 identified a clear connection between E (Figure 2a) and the simulated WTD in the GW simulations (Figure S5). GW simulates
272 110 mm yr⁻¹ more E when the WTD is shallower than 5 m deep, 22 mm yr⁻¹ when the WTD is 5–10 m deep, but only 3 mm yr⁻¹
273 more when the WTD is below 10 m. Higher transpiration (E_t) in GW explains 78% of the evapotranspiration difference
274 between GW and FD where WTD is shallower than 5 m (Figure 2b). This is confirmed by the change in the soil evaporation
275 (ΔE_s) (Figure 2c) where adding groundwater increases E_s by negligible amounts over most of S.E. Australia, but by up to 25
276 mm y⁻¹ in regions underlain by shallow groundwater (Figure S5), which is consistent with field observations that indicate that
277 E_s can be substantial under conditions of a very shallow water table (Thorburn et al., 1992). In the very shallow WTD areas,
278 the excess E_s in GW results from the capillary rise of moisture from the shallow groundwater to the surface.

279

280 A significant factor in explaining how groundwater influences E is through changes in vertical drainage and recharge from the
281 aquifer to the soil column. Figure 2d shows that the vertical drainage (D_r) both increases and decreases depending on the location.
282 The addition of groundwater reduces vertical drainage by 74 mm yr⁻¹ where WTD is shallower than 5 m. In some regions, the
283 drainage increases with the inclusion of groundwater by up to 100 mm yr⁻¹, especially in the areas where WTD is ~ 5 m. This
284 is associated with the WTD being slightly below the bottom of the soil column (4.6 m). When the groundwater aquifer is nearly

285 full in GW, the wetter soil in the bottom layer leads to a much higher hydraulic conductivity in GW than in FD, leading to
286 higher vertical drainage in GW and a positive ΔD_r . Inland, where the WTD tends to be much deeper there is no significant
287 difference in D_r between GW and FD.

288

289 Figure 2e shows the difference in recharge into the upper soil column (ΔQ_{rec}) between GW and FD. The recharge from the
290 aquifer into the bottom soil layer provides 17 mm yr^{-1} extra moisture in GW where has a WTD between 5–10 m and 10 mm yr^{-1}
291 ¹ where the WTD is deeper than 10 m, helping to explain the changes in E and E_t in areas with deep WTD. However, there is
292 no significant ΔQ_{rec} in regions with a shallow WTD ($\sim 5 \text{ mm yr}^{-1}$), suggesting the influence of groundwater is mainly via
293 reduced drainage in these locations. Recharge from the aquifer to the soil column can only occur when WTD is below the soil
294 column (bottom boundary at 4.6m depth). If WTD is shallow and within the soil column, the interface is saturated and no
295 recharge from the aquifer to the soil column can occur and water only moves downwards by gravity.

296

297 The combined impact of reduced drainage in GW (Figure 2d) and recharge from the aquifer into the root-zone (Figure 2e) is an
298 increased water potential gradient between the drier top soil layers and the wetter deep soil layers, encouraging overnight
299 capillary rise. Taking the hot and dry January 2019 as an example, when the compound events occurred, Figure 2f shows the
300 maximum water stress factor difference ($\Delta\beta$) overnight (between 9 pm and 3 am, i.e. predawn when soil is relatively moist
301 following capillary lift overnight). We only consider rainless nights to exclude the impact of drainage induced by precipitation.
302 The water stress factor (β) is based on the root distribution and moisture availability in each soil layer and represents the soil
303 water stress on transpiration as water becomes limiting. Figure 2f implies that while the redistribution of moisture is small
304 overall, in some locations it can reduce moisture stress by up to 4–6%.

305 3.3 The impact of groundwater during heatwaves

306 We next explore whether the higher available moisture due to the inclusion of groundwater enables the canopy to cool itself via
307 evapotranspiration during heatwaves by examining the temperature difference between the simulated canopy temperature
308 (T_{canopy} , °C) and the forced air temperature (T_{air} , °C). We focus on the forested regions (Figure S2a) as the role of groundwater
309 in enhancing plant water availability was shown to be largest in these regions (Figure 2).

310

311 Figure 3a shows the average $T_{canopy} - T_{air}$ (ΔT , °C) over the forested regions for summer heatwaves from the GW and FD
312 simulations, with the grey line indicating the median ΔT difference. During heatwaves, the inclusion of groundwater moistens
313 the soil and supports higher transpiration, cooling the canopy and reducing ΔT relative to FD by up to 0.76°C (e.g. summer
314 heatwaves in 2013). As the drought lengthens in time, the depletion of moisture gradually reduces this effect, from an average
315 reduction of 0.52°C of the first 3 years to 0.16°C of the last 3 years in Millennium Drought (Figure 3a). The impact of
316 groundwater is clear in the evaporative fraction (Figure 3b) where in periods of higher rainfall (e.g. 2010–2011; Figure S1), and
317 at the beginning of a drought (2001, 2017), the EF is higher (0.03 to 0.18). This implies more of the available energy is
318 exchanged with the atmosphere in the form of latent, rather than sensible heat. However, the strength of the cooling effect
319 decreases as the droughts extends and the transpiration difference (ΔE_t , mm d^{-1}) diminishes quickly (Figure 3c) because the
320 vegetation becomes increasingly water-stressed (Figure 3d) which consequently limits transpiration. For all variables (ΔT , EF,
321 E_t and β), the difference between GW and FD is greatest during the wetter periods (e.g., 2013) and in the first 1–2 years of the
322 multi-year drought (2001–2002 for the Millennium Drought or 2017–2018 for the recent drought). After the drought becomes
323 well established, the FD and GW simulations converge as depleting soil moisture reservoirs reduce the impact of groundwater
324 on canopy cooling and evaporative fluxes.

325

326 Figure 4a shows the spatial map of ΔT simulated in GW during heatwaves in the 2017–2019 drought. It indicates both land
327 cover type (Figure S2a) and WTD (Figure S5) contribute to the ΔT pattern. The evaporative cooling via transpiration is stronger
328 over the forested areas compared to cropland or grassland, and stronger in the regions with a wetter soil associated with a
329 shallower WTD. However, EF is mainly determined by WTD (compare Figure 4b and Figure S5). Inland, where the WTD is
330 deeper and the soil is drier, most of the net radiation absorbed by the land surface is partitioned into sensible rather than latent
331 heat (Figure 4b). However, in the coastal regions with a shallow WTD, the wetter soil reduces the water stress (Figure 4c),
332 enables a higher EF (Figure 4b), and alleviates heat stress on the leaves (Figure 4a). Along the coast where WTD is shallow,
333 GW simulates a cooler canopy temperature due to the higher evaporative cooling (Figure 4e) which is the consequence of a
334 lower soil water stress (Figure 4f) linked to the influence of groundwater (Figure S5).

335

336 Figure 5 shows the density scatter plot of ΔT versus WTD in S.E. Australia forested areas during heatwaves in 2000–2019. A
337 shallow WTD moderates the temperature difference between the canopy and the ambient air during heatwaves leading to a
338 smaller temperature difference. Meanwhile, as the WTD increases, due to the limited rooting depth in the model, the ability of
339 the groundwater to support transpiration and offset the impact of high air temperatures is reduced. Figure 5 shows a large amount
340 of variations, but nonetheless implies a threshold of ~ 6 m whereafter there is a decoupling and little influence from groundwater
341 during heatwaves. However, the absolute value of the threshold is likely CABLE-specific and associated with the assumption
342 of a 4.6 m soil depth, which also sets the maximum rooting depth (roots can only extend to the bottom of the soil and cannot
343 directly access the groundwater aquifer in CABLE). The CABLE soil depth comes from observational evidence of most roots
344 being situated within the top 4.6 m (Canadell et al. 1996). Since the model assumes no roots exist in the groundwater aquifer,
345 when the water table is below this depth, the water fluxes become largely uncoupled between the soil column and the
346 groundwater aquifer, leading to a negligible impact of GW below ~ 6 m depth.

347 **3.4 The impact of groundwater during the drought and heatwave compound events**

348 To examine the influence of groundwater on heatwaves occurring simultaneously with drought, we focus on a case study of the
349 record-breaking heatwaves in January 2019, which is the hottest month on record for the study region (Bureau of Meteorology,
350 2019). The unprecedented prolonged heatwave period started in early December 2018 and continued through January 2019 with
351 three peaks. We select two days (15th and 25th January 2019), when heatwaves spread across the study region, from the second
352 and third heatwave phases (Figure S6).

353

354 We evaluate CABLE T_{canopy} against MODIS LST observations, concentrating on forested areas where MODIS LST should
355 more closely reflect vegetation canopy temperatures, but note that this comparison is not direct as the satellite estimate will
356 contain contributions from the understorey and soil. Figures 6a-b show the good quality MODIS LST minus T_{air} at 2 pm
357 ($\Delta T_{\text{MOD}_2\text{pm}}$) over forested regions on the 15th and 25th January 2019, and Figures 6c-d display the matching GW-simulated ΔT
358 at 2 pm ($\Delta T_{\text{GW}_2\text{pm}}$). Overall, $\Delta T_{\text{GW}_2\text{pm}}$ increases from the coast to the interior in both heatwaves, consistent with the $\Delta T_{\text{MOD}_2\text{pm}}$
359 pattern in both heatwaves, albeit that $\Delta T_{\text{GW}_2\text{pm}}$ appears to be biased high relative to $\Delta T_{\text{MOD}_2\text{pm}}$ along the coastal forests (Figure
360 S7a-b).

361

362 Figure 6e-f shows the $\Delta T_{2\text{pm}}$ difference between GW and FD. Access to groundwater can reduce canopy temperature by up to
363 5°C, in particular where the WTD is shallow. While reductions of 5°C are clearly limited in spatial extent, the overall pattern of
364 cooling is quite widespread, and coincident with the groundwater-induced Et increase (Figure S8a-b), implying a reduction in
365 heat stress along coastal regions with a shallow WTD during heatwaves. Generally, GW matches MODIS LST better than FD
366 despite the bias in both simulations (compare Figure S7a-b and Figure S7c-d). Nevertheless, the temperature reduction between
367 GW and FD is still modest ($< 1^\circ\text{C}$) for most of the forested regions. This may be related to the shallow root distribution assumed

368 in many LSMs, which prevents roots from directly accessing the moisture stored in the deeper soil (note, CABLE assumes 92%
369 of forest's roots are in the top 0.64 m, Figure S2b). To examine this possibility, we performed the deep root (DR) sensitivity
370 experiment which prescribed more roots in the deeper soil for forests (56% below 0.64 m depth). Figure 6g-h illustrates the
371 difference between $\Delta T_{2\text{pm}}$ in DR and $\Delta T_{\text{GW}_2\text{pm}}$. By enabling access to moisture in the deeper soil, the LSM simulates further
372 cooling by 0.5–5°C across the forests associated with an E_t increase of 25–250 W m^{-2} (Figure S8c-d). The prescribed deeper
373 roots also lead to an overall better simulation of ΔT at 2 pm relative to the MODIS LST (Figure S7e-f vs Figure S7a-b).

374

375 Figure 7 shows the diurnal cycles of ΔT for the two selected regions (red boxes in Figure 6) compared with the MODIS LST
376 estimates. The region highlighted for the 15th January (Figure 7a) has a WTD of 4–7 m, while the region highlighted for the 25th
377 January (Figure 7b) has a WTD < 4m (Figure S5). In both regions, the simulated ΔT is highest in FD, lower in GW and lowest
378 in DR. Where the WTD is 4–7m (Figure 7a), the three simulated ΔT are slightly lower than ΔT calculated by MODIS LST (red
379 squares). However, in the shallower WTD region (Figure 7b), the simulated ΔT between experiments is more dispersed across
380 experiments and exceeds the MODIS ΔT at both time points, implying that neglecting groundwater dynamics and deep roots is
381 more likely to cause an overestimation of heat stress in the shallower WTD region. The shallower WTD region (Figure 7b)
382 tends to have a high LAI coverage, implying that the MODIS LST represents a good approximation of the canopy temperature
383 over this region. Consequently, the lower MODIS ΔT implies that CABLE is likely underestimating transpiration, leading to an
384 overestimation of ΔT in all three simulations.

385 3.5 Constraints on groundwater mediation during the compound events

386 We finally probe the reasons for the apparent contradiction between the large impact of groundwater on E during drought
387 (Figure 2a) but a smaller impact on ΔT during the compound events (Figure 7). Figure 8 shows three factors (β , vapour pressure
388 deficit (D) and T_{air}) that constrain the impact of groundwater on ΔT in CABLE during heatwaves in January 2019. Figure 8a
389 shows the difference in ΔT between GW and FD as a function of $\Delta\beta$, suggesting that the inclusion of groundwater has a large
390 impact on ΔT when there is a coincidental and large difference in β between the GW and FD simulations. Figure 8b indicates a
391 clear threshold at $D = 3$ kPa where GW and FD converge, while Figure 8c shows a convergence threshold when the T_{air} exceeds
392 32°C. Above these two thresholds, access to groundwater seemingly becomes less important in mitigating plant heat stress.
393 There are two mechanisms in CABLE that explain this behaviour. First, as D increases, CABLE predicts that stomata begin to
394 close following a square root dependence (De Kauwe et al., 2015; Medlyn et al., 2011). Second, as T_{air} increases, photosynthesis
395 becomes inhibited as the temperature exceeds the optimum for photosynthesis. In both instances, evaporative cooling is reduced,
396 regardless of the root zone moisture state dictated by groundwater access. That is to say, access to groundwater has limited
397 capacity to directly mediate the heat stress on plants during a compound event when the air is very dry, or very hot.

398 4 Discussion

399 In the absence of direct measurements, we used the CABLE-GW LSM, constrained by satellite observations to investigate how
400 groundwater influences ecosystems under conditions of co-occurring droughts and heatwaves. We found that the influence of
401 groundwater was most important during the wetter periods and the first ~ two years of a multi-year drought (~2001–2002 and
402 2017–2018; Figure 1 and 3). This primarily occurred via impeding gravity-driven drainage (Figure 2d) but also via capillary
403 rise from the groundwater aquifer (Figure 2e). This moistening enabled the vegetation to sustain higher E for at least a year
404 (Figure S9). As the droughts progressed into multi-year events, the impact of groundwater diminished due to a depletion of soil
405 moisture stores regardless of whether groundwater dynamics were simulated.

406

407 When a heatwave occurs during a drought, and in particular early in a drought, the extra transpiration enabled by representing
408 groundwater dynamics helps reduce the heat stress on vegetation (e.g. the reduction of 0.64°C of ΔT over the forests in 2002,
409 Figure 3a). This effect is particularly pronounced in regions with a shallower WTD (e.g. where the groundwater was within the
410 first 5 m, there was a 0.5°C mean reduction in ΔT in the recent drought, Figure 4d). Importantly, the role played by groundwater
411 diminishes as the drought lengthens beyond two years (Figure 3). Additionally, either the lack of deep roots or stomatal closure
412 caused by high D/T_{air} can reduce the additional transpiration induced by groundwater. The latter plant physiology feedback
413 dominates during heatwaves co-occurring with drought, even if the groundwater's influence has increased root-zone water
414 availability.

415

416 Our results highlight the impact of groundwater on both land surface states (e.g. soil moisture) and on surface fluxes and how
417 this impact varies with the length and intensity of droughts and heatwaves. The results imply that the dominant mechanism by
418 which groundwater buffered transpiration was through impeding gravity-driven drainage. We found a limited role for upward
419 water movement from aquifer due to simulated shallow WTD (which was broadly consistent with the observations in Fan et al.,
420 2013). Further work will be necessary to understand how groundwater interacts with droughts and heatwaves and what these
421 interactions mean for terrestrial ecosystems and the occurrence of the compound extreme events, particularly under the
422 projection of intensifying droughts (Ukkola et al., 2020) and heatwaves (Cowan et al., 2014).

423 **4.1 Changes in the role of groundwater in multi-year droughts**

424 Groundwater is the slowest part of the terrestrial water cycle to change (Condon et al., 2020) and can have a memory of multi-
425 year variations in rainfall (Martínez-de la Torre and Miguez-Macho, 2019; Martinez et al., 2016a). Our results show that the
426 effect of groundwater on the partitioning of available energy between latent and sensible heat fluxes is influenced by the length
427 of drought. As the drought extends in time, the extra E sustained by groundwater decreases (e.g. during the Millennium drought,
428 Figure S9). The role of a drying landscape in modifying the partitioning of available energy between latent and sensible heat
429 fluxes is well known and has been extensively studied (Fan, 2015; Miralles et al., 2019; Seneviratne et al., 2010). Our results
430 add to the knowledge by quantifying the extent of the groundwater control, and eliciting the timescales of influence and the
431 mechanisms at play. The importance of vegetation-groundwater interactions on multi-year timescales has been identified
432 previously. Humphrey et al. (2018) hypothesised that climate models may underestimate the amplitude of global net ecosystem
433 exchange because of a lack of deep-water access. Our regional based results support this hypothesis and in particular highlight
434 the importance of groundwater for explaining the amplitude of fluxes in wet periods, as well as sustaining evapotranspiration
435 during drought (Figure 1).

436 **4.2 Implications for land-atmosphere feedbacks during compound events**

437 Our results show that during drought-heatwave compound events, the existence of groundwater eases the heat stress on the
438 forest canopy and reduces the sensible heat flux to atmosphere. This has the potential to reduce heat accumulating in the
439 boundary layer and help ameliorate the intensity of a heatwave (Keune et al., 2016; Zipper et al., 2019). The presence of
440 groundwater helps dampen a positive feedback loop whereby during drought-heatwave compound events, the high exchange of
441 sensible and low exchange of latent heat can heat the atmosphere and increase the atmospheric demand for water (De Boeck et
442 al., 2010; Massmann et al., 2019), intensifying drying (Miralles et al., 2014). The lack of groundwater in many LSMs suggests
443 a lack of this moderating process and consequently a risk of overestimating the positive feedback on the boundary layer in
444 coupled climate simulations. Our results show that neglecting groundwater leads to an average overestimate in canopy
445 temperature by 0.2–1°C where the WTD is shallow (Figure 4d), but as much as 5°C in single heatwave events (Figure 6e-f),
446 leading to an increase in the sensible heat flux (Figure 4e).

447

448 The capacity of groundwater to moderate this positive land-atmosphere feedback is via modifying soil water availability. Firstly,
449 soil water availability influenced by WTD affects how much water is available for E. In the shallow WTD regions, the higher
450 soil water is likely to suppress the mutual enhancement of droughts and heatwaves (Keune et al., 2016; Zipper et al., 2019),
451 particularly early in a drought. However, this suppression becomes weaker as the WTD deepens, in particular at depths beneath
452 the root zone (e.g. 4.6 m in CABLE-GW) or as a drought lengthens. Our results imply the land-amplification of heatwaves is
453 likely stronger in the inland regions (Hirsch et al., 2019) where the WTD is lower than 5 m and the influence of groundwater
454 diminishes (Figure S5), and once a drought has intensified significantly.

455

456 On a dry and hot heatwave afternoon, plant physiology feedbacks to high D and high T_{air} dominate transpiration and reduce the
457 influence of groundwater in moderating heatwaves. In CABLE, stomatal closure occurs either directly due to high D (>3 kPa)
458 (De Kauwe et al. 2015) or indirectly due to biochemical feedbacks on photosynthesis at high T_{air} (>32°C) (Kowalczyk et al.,
459 2006); both processes reduce transpiration to near zero, eliminating the buffering effect of groundwater on canopy temperatures.
460 While the timing of the onset of these physiology feedbacks varies across LSMs due to different parameterised sensitivities of
461 stomatal conductance to atmospheric demand (Ball et al., 1987; Leuning et al., 1995) and different temperature dependence
462 parameterisations (Badger and Collatz, 1977; Bernacchi et al., 2001; Crous et al., 2013), importantly, stomatal closure during
463 heat extremes would be model invariant.

464 4.3 Uncertainties and future directions

465 Our study uses a single LSM and consequently the parameterisations included in CABLE-GW influence the quantification of
466 the role of groundwater on droughts and heatwaves. We note CABLE-GW has been extensively evaluated for water cycle
467 processes (Decker, 2015; Decker et al., 2017; Mu et al., 2021; Ukkola et al., 2016b), but evaluation for groundwater interactions
468 remains limited due to the lack of suitable observations (e.g. regional WTD monitoring or detailed knowledge of the distribution
469 of root depths). Figure 1 gives us confidence that CABLE-GW is performing well, based on the evaluation against the GRACE,
470 DOLCE and GLEAM products, as well as previous work that showed the capacity of CABLE-GW to simulate E well (Decker,
471 2015; Decker et al., 2017). However, we also note that key model parameterisations that may influence the role of groundwater
472 are particularly uncertain.

473

474 We need to be cautious about the “small” groundwater impact on the canopy temperature and associated turbulent energy fluxes
475 during high D or high T_{air} (Figure 3, 4, 6 and 7). The thresholds of D and T_{air} currently assumed by LSMs are in fact likely to
476 be species specific. Australian trees in particular have evolved a series of physiological adaptations to reduce the negative
477 impact of heat extremes. It is important to note that most LSMs parameterise their stomatal response to D for moderate ranges
478 (< 2 kPa), which leads to significant biases at high D (Yang et al., 2019), a feature common in Australia and during heatwaves
479 in general. New theory is needed to ensure that models adequately capture the full range of stomatal response to variability in
480 D (low and high ranges). Similarly, while there is strong evidence to suggest that the optimum temperature for photosynthesis
481 does not vary predictably with the climate of species origin (Kumarathunge et al., 2019) (implying model parameterisations do
482 not need to vary with species), findings from studies do vary (Cunningham and Reed 2002; Reich et al. 2015). Moreover,
483 evidence that plants acclimate their photosynthetic temperature response is strong (Kattge and Knorr, 2007; Kumarathunge et
484 al., 2019; Mercado et al., 2018; Smith et al., 2016; Smith and Dukes, 2013). As a result, it is likely that LSMs currently
485 underestimate groundwater influence during heatwaves due to the interaction with plant physiology feedbacks. This is a key
486 area requiring further investigation. For example, Drake et al. (2018) demonstrated that during a 4-day heatwave > 43°C,
487 Australian *Eucalyptus parramattensis* trees did not reduce transpiration to zero as models would commonly predict, allowing
488 the trees to persist unharmed in a whole-tree chamber experiment. Although De Kauwe et al. (2019) did not find strong support
489 for this phenomenon across eddy covariance sites, if this physiological response is common across Australian woodlands, it

490 would change our view on the importance of soil water availability (therefore groundwater) on the evolution of heatwave or
491 even compound events. Coupled model sensitivity experiments may be important to determine the magnitude that such a
492 physiological feedback would present and could guide the direction of future field/manipulation experiments.
493

494 Root distribution and root function and thereby how roots utilise groundwater are uncertain in models (Arora and Boer, 2003;
495 Drewniak, 2019; Wang et al., 2018; Warren et al., 2015) and indeed in observations (Fan et al., 2017; Jackson et al., 1996;
496 Schenk and Jackson, 2002). Models often ignore how roots forage for water and respond to moisture heterogeneity, limiting the
497 model's ability to accurately reflect the plant usage of groundwater (Warren et al., 2015). In LSMs, roots are typically
498 parameterised using a fixed distribution and normally ignore water uptake from deep roots. This assumption neglects any
499 climatological impact of root distribution and the differentiation in root morphology and function (fine roots vs tap roots),
500 leading to a potential underestimation of groundwater utilization in LSMs (see our deep root experiment, Figure 6g-h). This
501 assumption may be particularly problematic in Australia where vegetation has developed significant adaptation strategies to
502 cope with both extreme heat and drought, including deeply rooted vegetation that can access groundwater (Bartle et al., 1980;
503 Dawson and Pate, 1996; Eamus et al., 2015; Eberbach and Burrows, 2006; Fan et al., 2017). We also note that CABLE does
504 not directly consider hydraulic redistribution, defined as the passive water movement via plant roots from moister to drier soil
505 layers (Burgess et al., 1998; Richards and Caldwell, 1987). Neglecting hydraulic redistribution has the potential to underestimate
506 the groundwater transported upwards and understate the importance of groundwater on ecosystems.
507

508 On the atmosphere side, the existence of groundwater increases the water flux from the land to atmosphere, particularly in
509 regions of shallow WTD, during the first 1–2 years of a drought. This has the potential to moisten the lower atmosphere and
510 may encourage precipitation (Anyah et al., 2008; Jiang et al., 2009; Martinez et al., 2016b; Maxwell et al., 2011). However, our
511 experiments are uncoupled from the atmosphere so while there is the potential for the higher E to affect the boundary layer
512 moisture (Bonetti et al., 2015; Gilbert et al., 2017; Maxwell et al., 2007), clouds and precipitation, we cannot conclude that it
513 would until we undertake future coupled simulations.
514

515 Finally, we note we have focused on the role of groundwater in a natural environment. Humans extract large quantities of
516 groundwater in many regions (Döll et al., 2014; Wada, 2016). Adding human management of groundwater into LSMs enables
517 an examination of how this affects the vulnerability of ecosystems to heatwaves and drought, and may ultimately identify those
518 vulnerable ecosystems close to tipping points that are priorities for protection.

519 **5 Conclusions**

520 In conclusion, we used the CABLE LSM, constrained by satellite observations, to explore the timescales and extent to which
521 groundwater influences vegetation function and turbulent energy fluxes during multi-year droughts. We showed that
522 groundwater moistened the soil during the first ~two years of a multi-year drought which enabled the vegetation to sustain
523 higher evaporation (50–200 mm yr⁻¹ over the coastal forest regions) during drought onset. This cooled the forest canopy on
524 average by 0.03–0.76°C in heatwaves during 2001–2019 and as much as 5°C in regions of shallow water table depths in the
525 heatwave in January 2019, helping to moderate the heat stress on vegetation during heatwaves. However, the ability of
526 groundwater to buffer vegetation function varied with the length and intensity of droughts and heatwaves, with its influence
527 decreasing with prolonged drought conditions. Importantly, we also demonstrated that the capacity of the groundwater to buffer
528 evaporative fluxes during heatwaves is constrained by plant physiology feedbacks which regulate stomatal control, irrespective
529 of soil water status. Given increased risk of regional heatwaves and droughts in the future, the role of groundwater on land-
530 atmosphere feedbacks and on terrestrial ecosystems needs to be better understood in order to constrain future projections.

531 **Code and data availability**

532 The CABLE code is available at <https://trac.nci.org.au/trac/cable> (last access: 4 August 2021) (NCI, 2021) after registration.
533 Here, we use CABLE revision r7765. Scripts for plotting and processing model outputs are available at
534 <https://doi.org/10.5281/zenodo.5158498> (Mu, 2021). DOLCE version 2 dataset is available from the NCI data catalogue at
535 <http://dx.doi.org/10.25914/5f1664837ef06> (Hobeichi, 2020). GRACE land is available at <http://grace.jpl.nasa.gov>, supported
536 by the NASA MEaSUREs Program. GLEAM dataset is available at <https://www.gleam.eu/>. MOD11A1 MODIS/Terra Land
537 Surface Temperature and the Emissivity Daily L3 Global 1km and MYD11A1 MODIS/Aqua Land Surface Temperature and
538 the Emissivity Daily L3 Global 1km datasets were acquired from the NASA Land Processed Distributed Active Archive Center
539 (LP DAAC), located in the USGS Earth Resources Observation and Science (EROS) Center in Sioux Falls, South Dakota, USA
540 (<https://lpdaacsvc.cr.usgs.gov/appeears/>).

541

542 **Author contributions**

543 MM, MGDK, AJP and AMU conceived the study, designed the model experiments, investigated the simulations and drafted
544 the manuscript. SH and PRB provided the evaluation and the meteorology forcing datasets. All authors participated in the
545 discussion and revision of the manuscript.

546

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556

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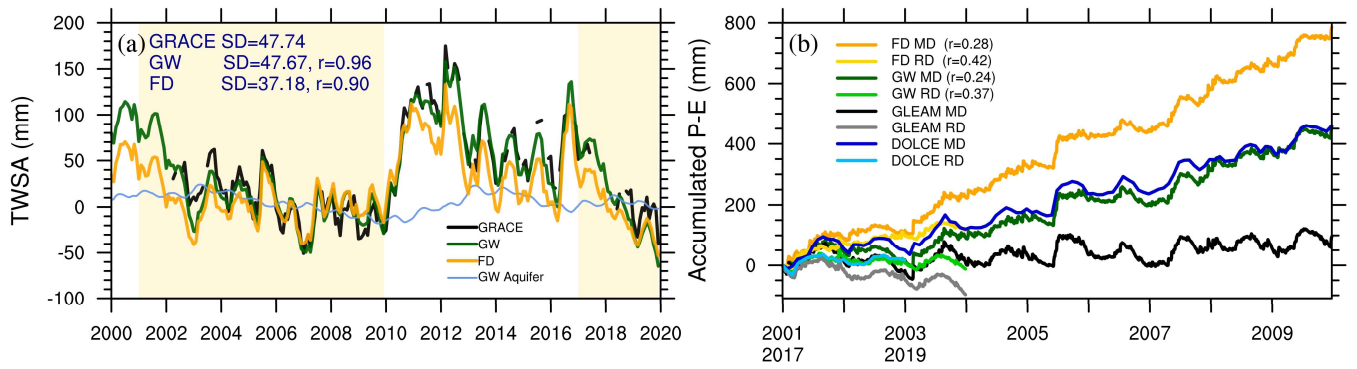
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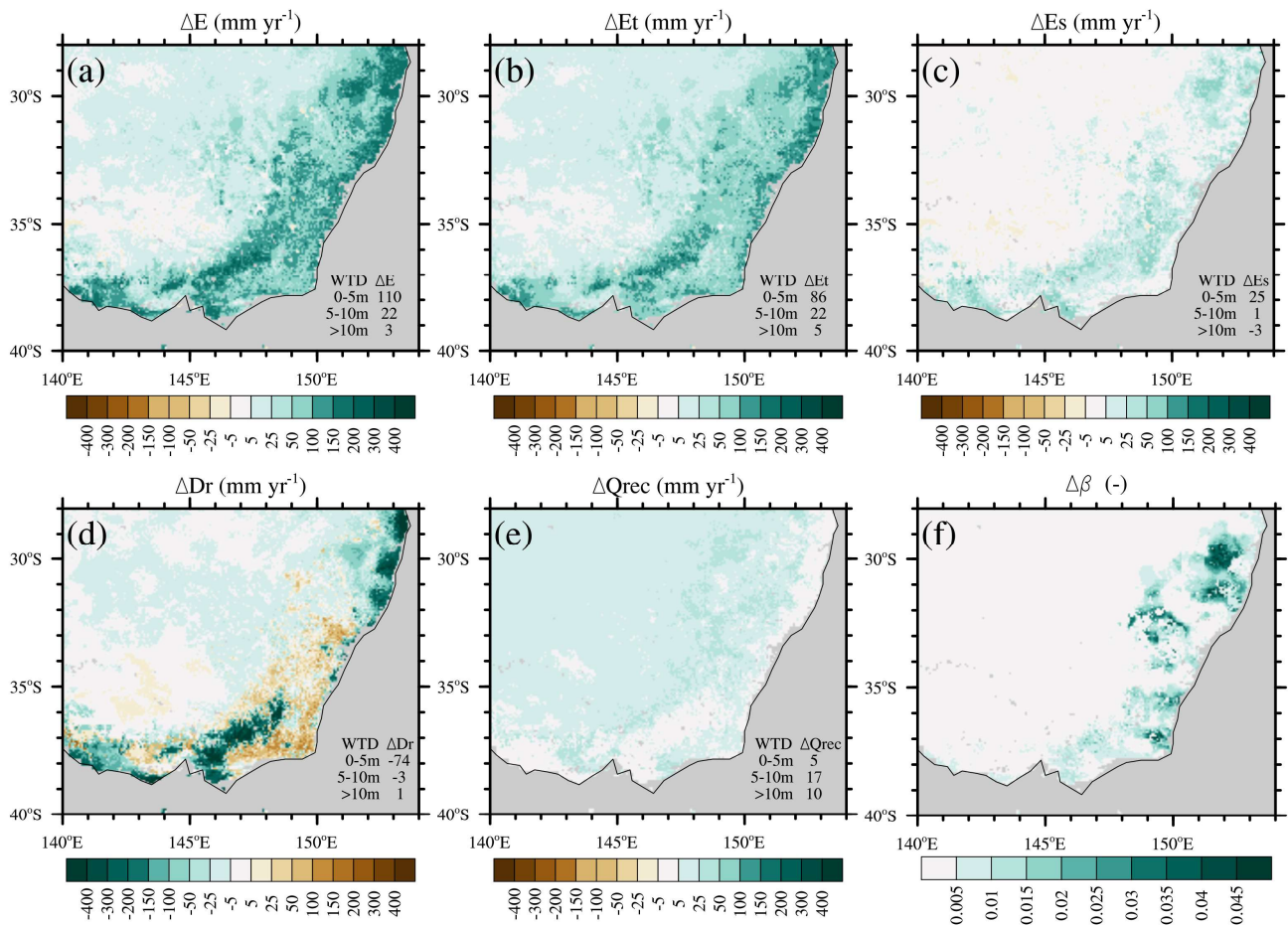
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890 **Figure 1** (a) Total water storage anomaly (TWSA) during 2000–2019 and (b) accumulated P–E for the two droughts over S.E. Australia. In
891 panel (a), observations from GRACE are shown in black, the GW simulation in green, FD in orange and the aquifer water storage anomaly in
892 GW in blue. The shading in panel (a) highlights the two drought periods. The left top corner of panel (a) displays the correlation (r) between
893 GRACE and GW/FD, as well as the standard deviation (SD, mm) of GRACE, GW and FD over the periods when GRACE and the simulations
894 coincide. Panel (b) shows the accumulated P–E for two periods; the dark lines show the 2001–2009 Millennium drought (MD) and the light
895 lines show the 2017–2019 recent drought (RD). The correlation (r) between the P and E is shown in the legend of panel (b).

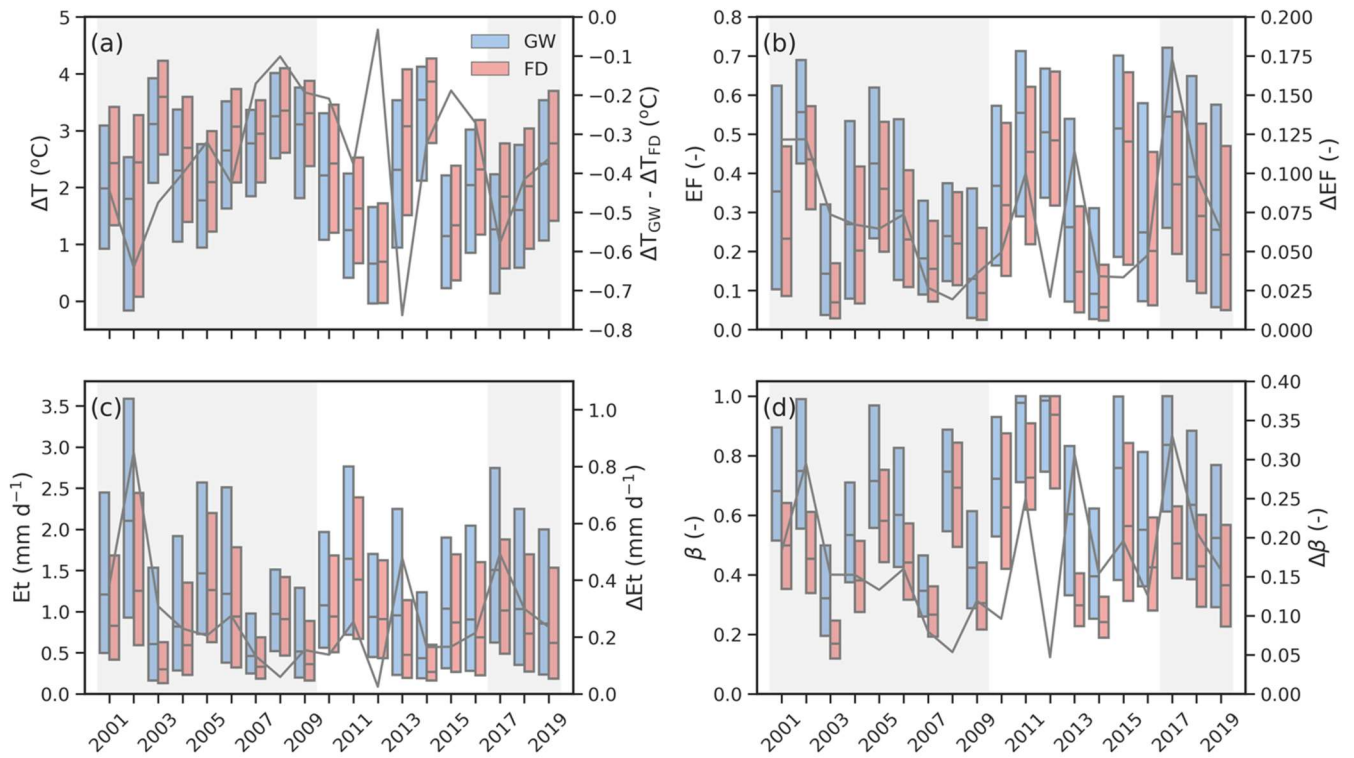
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899 **Figure 2.** The overall influence of groundwater during the recent drought. (a)–(e) are the difference (GW–FD) in evapotranspiration (ΔE),
900 transpiration (ΔE_t), soil evaporation (ΔE_s), vertical drainage (ΔD_r) and recharge from the aquifer to soil column (ΔQ_{rec}), respectively. In the
901 bottom right of panels (a)–(e), the average of each variable over selected water table depths (WTD) is provided. (f) is the maximum night-
902 time water stress factor difference ($\Delta\beta$) between 3 am (i.e. predawn when the soil is relatively moist following capillary lift overnight) and 9
903 pm the previous day. We only include rainless nights in January 2019 to calculate $\Delta\beta$ to remove any influence of overnight rainfall.

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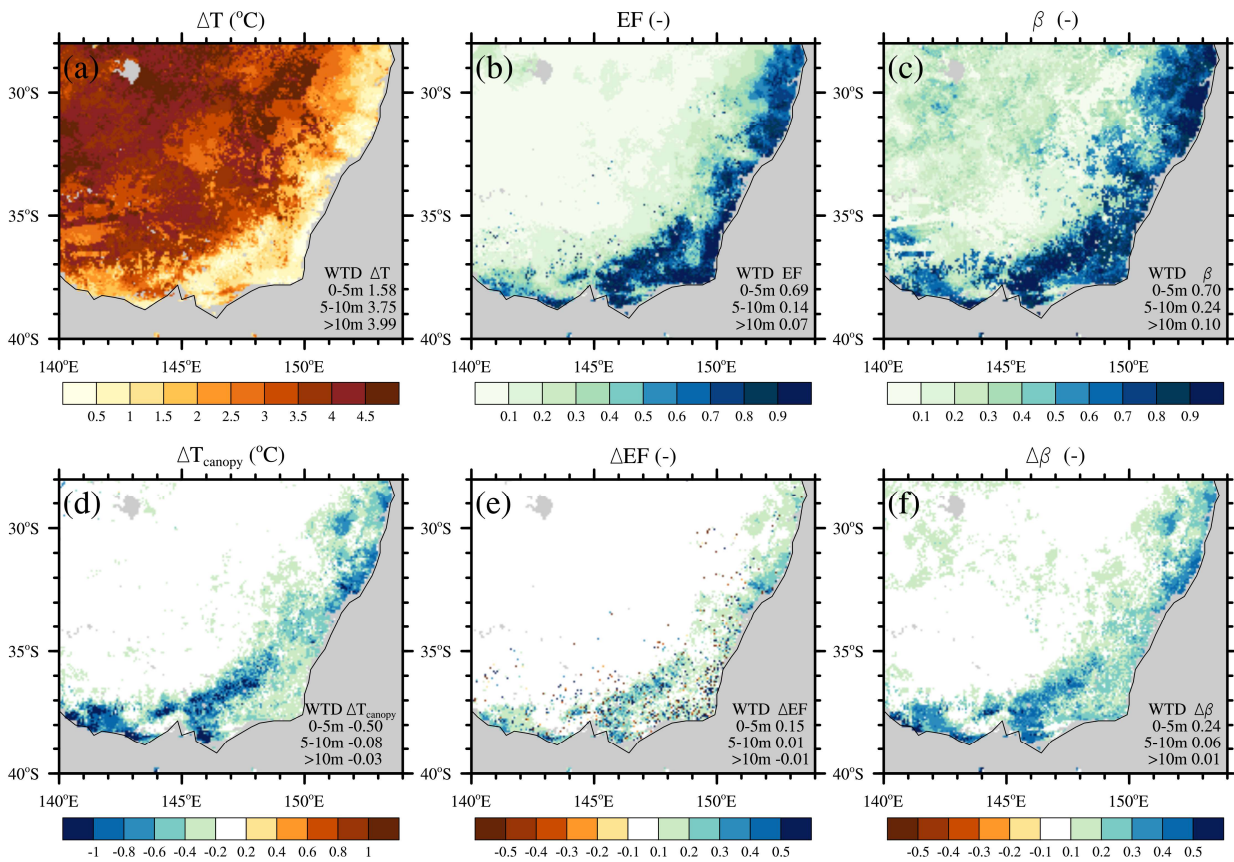
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Figure 3. Groundwater-induced differences in (a) $T_{\text{canopy}}-T_{\text{air}}$ (ΔT), (b) evaporative fraction (EF), (c) transpiration (Et), and (d) water stress factor (β) during 2000-2019 summer heatwaves over forested areas (the green region in Figure S2a). The left y-axis is the scale for boxes. The blue boxes refer to the GW experiment and the red boxes to FD. For each box, the middle line is the median, the upper border is the 75th percentile, and the lower border is 25th percentile. The right y-axis is the scale for the grey lines which display the difference in the medians (GW-FD). The shadings highlight the two drought periods.

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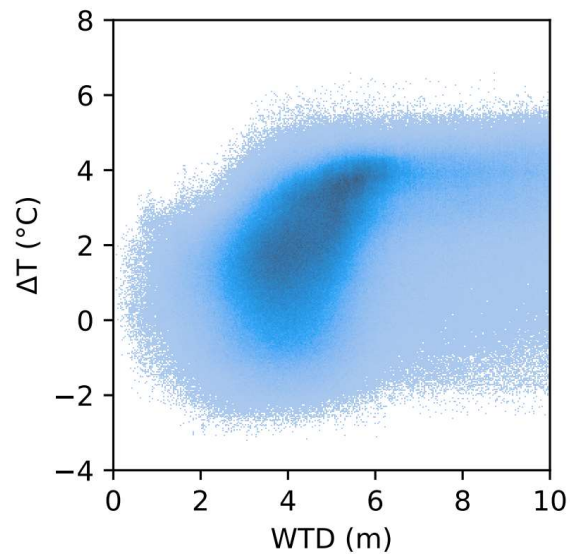
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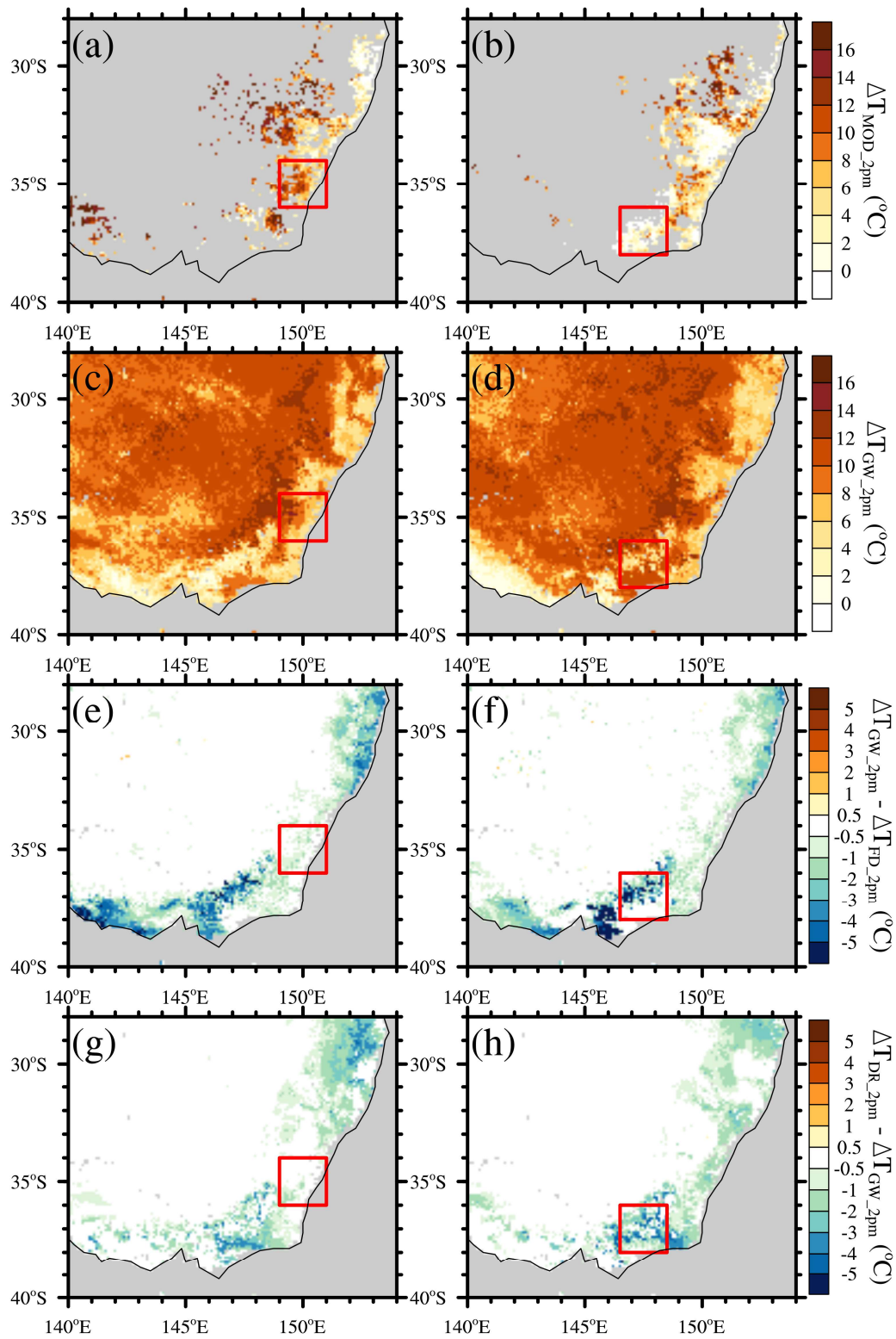
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Figure 4. Land response to heatwaves during the recent drought. Panels (a)-(c) are the mean $T_{\text{canopy}}-T_{\text{air}}$ (ΔT), evaporative fraction (EF), and soil water stress factor (β) in GW, respectively, during 2017-2019 summer heatwaves. Panel (d)-(f) are the difference (GW-FD) of T_{canopy} , EF and β . In the bottom right of each plot, the average of each variable over selected water table depths (WTD) is provided. Note that the colour bar is switched between (d) and (e)-(f).



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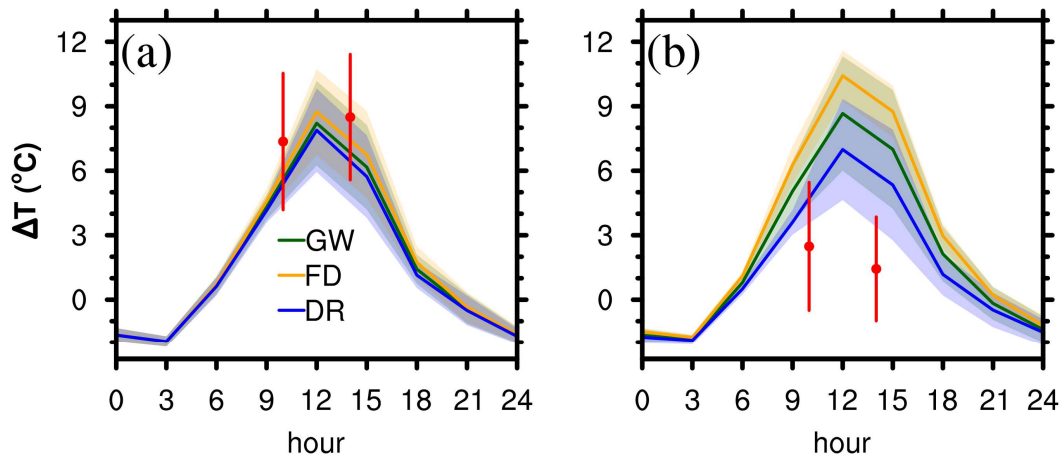
919 **Figure 5.** A density scatter plot of $T_{\text{canopy}} - T_{\text{air}}$ (ΔT) versus water table depth (WTD) in GW simulations over forested areas in all heatwaves
920 during 2000–2019. Every tree pixel on each heatwave day accounts for one record and the darker colours show higher recorded densities.



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923 **Figure 6.** The simulation of two heatwaves on 15th (left column) and 25th January 2019 (right column). The first row shows the difference
924 between MODIS land surface temperature (LST) and T_{air} at 2 pm (ΔT_{MOD_2pm}) (only forested areas with good LST quality data are displayed).
925 The second row is the GW simulation of ΔT at 2 pm (ΔT_{GW_2pm}). The third row is the difference of ΔT at 2 pm between GW and FD simulations
926 ($\Delta T_{GW_2pm} - \Delta T_{FD_2pm}$). The last row is the same as the third row but for the difference between the DR and GW simulations ($\Delta T_{DR_2pm} -$
927 ΔT_{GW_2pm}). Note that the comparison between GW/FD/DR and MODIS LST is shown in Figure S7.

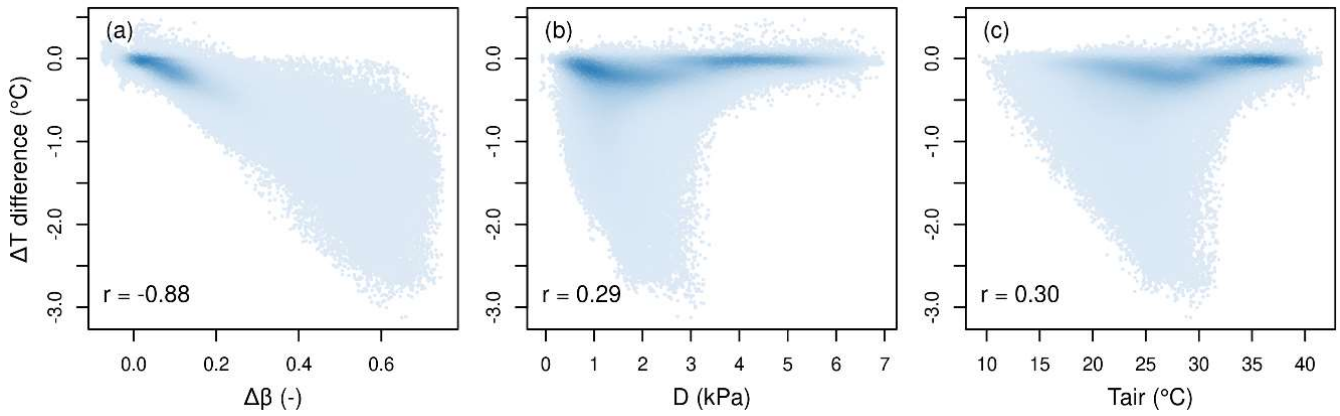
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932 **Figure 7.** Diurnal cycle of ΔT on 15th (left column) and 25th January 2019 (right column) over the selected regions shown in Figure 6. The
933 shadings show the uncertainty in every simulation defined as one standard deviation (SD) among the selected pixels. The red dots are MODIS
934 LST minus T_{air} with the uncertainty shown by the red error bars. For both regions, only pixels available in MODIS are shown.

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940 **Figure 8.** Density scatter plots showing the three factors that influence the difference in $T_{canopy}-T_{air}$ between GW and FD (ΔT , expressed as
941 GW-FD difference). (a) is ΔT difference against the β difference (GW-FD) ($\Delta\beta$), (b) is ΔT difference against vapour pressure deficit (D), and
942 (c) is ΔT difference against T_{air} . Each point corresponds to a tree pixel on a heatwave day in January 2019. The darker colours illustrate where
943 the records are more dense. The correlation (r) between the x- and y-axis is shown in the bottom left of each panel.

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