

1 **Title: Quantifying memory and persistence in the atmosphere–land/ocean carbon**  
2 **system**

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13 emissions, stress-strain model, Maxwell body, memory, persistence

14

1 **Abstract**

2 Here we intend to further the understanding of the planetary burden (and its dynamics)  
3 caused by the effect of the continued increase of carbon dioxide (CO<sub>2</sub>) emissions from fossil  
4 fuel burning and land use and by global warming from a new, a rheological (stress-strain)  
5 perspective. That is, we perceive the emission of anthropogenic CO<sub>2</sub> into the atmosphere as  
6 stressor and survey the condition of Earth in stress-strain units (stress in units of Pa, strain in  
7 units of 1)—allowing access to and insight into previously unknown characteristics reflecting  
8 Earth’s rheological status. We use the idea of a Maxwell body consisting of elastic and  
9 damping (viscous) elements to reflect the overall behaviour of the atmosphere–land/ocean  
10 system in response to the continued increase of CO<sub>2</sub> emissions between 1850 and 2015. Thus,  
11 from the standpoint of a global observer, we see that the CO<sub>2</sub> concentration in the atmosphere  
12 increases (rather quickly). Concomitantly, the atmosphere warms and expands, while part of  
13 the carbon is locked away (rather slowly) in land and oceans, likewise under the influence of  
14 global warming.

15  
16 It is not known how reversible and how much out of sync the latter process (uptake of carbon  
17 by sinks) is in relation to the former (expansion of the atmosphere). All we know is that the

18 slower process remembers the influence of the faster one which runs ahead. ~~Three~~  
19 ~~(nontrivial) questions arise: (1) Can this global-scale memory—Earth’s memory—be~~  
20 ~~quantified? (2) Can Earth’s memory be compared with a buffer which is limited and~~  
21 ~~negligently exploited; that is, what is the degree of depletion? And (3) does Earth’s memory~~  
22 ~~allow its persistence (path dependency) to be quantified, speculating that the two are not~~  
23 ~~independent of each other? Our paper intends to answer these questions. Important questions~~  
24 ~~arise as to whether this global-scale memory—Earth’s memory—can be identified and~~

1 quantified, how it behaves dynamically and, last but not least, how it interlinks with  
2 persistence by which we understand Earth's path dependency.

3  
4 We go beyond textbook knowledge by introducing three parameters that characterise the  
5 system: delay time, memory, and persistence. The three parameters depend, ceteris paribus,  
6 solely on the system's characteristic viscoelastic behaviour and allow deeper and novel  
7 insights into that system. The parameters come with their own limits which govern the  
8 behaviour of the atmosphere–land/ocean carbon system, independently from any external  
9 target values (such as temperature targets justified by means of global change research). We  
10 find that since 1850, the atmosphere–land/ocean system has been trapped progressively in  
11 terms of persistence (i.e., it will become progressively more difficult to relax the system),  
12 while its ability to build up memory has been reduced. The ability of a system to build up  
13 memory effectively can be understood as its ability to respond still within its natural regime;  
14 or, if the build-up of memory is limited, as a measure for system failures globally in the  
15 future. Approximately 60% of Earth's memory had already been exploited by humankind  
16 prior to 1959. Based on these stress-strain insights we expect that the atmosphere–land/ocean  
17 carbon system is forced outside its natural regime well before 2050 if the current trend in  
18 emissions is not reversed immediately and sustainably.

19

## 1 **Acronyms and Nomenclature**

2 If terms or symbols are used in more than one way, we make them unambiguous by  
3 specifying (in parentheses) how they are used in the paper (e.g., CO<sub>2</sub> as chemical formula in  
4 the text or as physical parameter in units of ppmv in mathematical equations). As a basic rule,  
5 physical parameters are always specified by their units.

6 ad      adiabatic

7 C      carbon

8 comb   combined

9 CO<sub>2</sub>   carbon dioxide (chemical formula)

10 CO<sub>2</sub>   atmospheric CO<sub>2</sub> concentration (in ppmv; parameter)

11 D      damping constant (in Pa y)

12 DIC    dissolved inorganic carbon (in  $\mu\text{mol kg}^{-1}$ )

13 E      Young's modulus (in Pa)

14 GHG    greenhouse gas

15 h      altitude (in m)

16 it      isothermal

17 K      compression modulus (in Pa)

18 L      land (index)

19 L      leaf-level factor (in  $\text{ppmv}^{-1}$ ; parameter)

20 M      memory (in units of 1)

21 MB     Maxwell body

22 n.a.    not assessable

23 NPP    net primary productivity/production (in  $\text{PgC y}^{-1}$ )

24 O      oceans

25 p      atmospheric pressure (in hPa)

1	$p\text{CO}_2$	partial pressure of atmospheric $\text{CO}_2$ (in $\mu\text{atm}$ )
2	$P$	persistence (in units of 1)
3	$\text{Ph}$	global photosynthetic carbon influx (in $\text{PgC y}^{-1}$ )
4	$q$	auxiliary quantity (in units of 1)
5	$R$	Revelle (buffer) factor (in units of 1)
6	$\text{SD}$	supplementary data
7	$\text{SE}$	sensitivity experiment
8	$\text{SI}$	supplementary information
9	$t$	time (in $y$ )
10	$T$	delay time (in units of 1)
11	$\text{TOA}$	top of the atmosphere
12	$w$	weight(ed)
13		
14	$\alpha$	exponential growth factor of the strain (in $y^{-1}$ )
15	$\alpha_{ppm}$	exponential growth factor of the atmospheric $\text{CO}_2$ concentration (in $y^{-1}$ )
16	$\beta$	auxiliary quantity (in units of 1)
17	$\beta_b$	biotic growth factor (in units of 1)
18	$\beta_{ph}$	photosynthetic beta factor (in units of 1)
19	$\varepsilon$	strain (referring to atmospheric expansion by volume and $\text{CO}_2$ uptake by sinks; in
20		units of 1)
21	$\gamma$	isentropic coefficient of expansion (in units of 1)
22	$\kappa$	compressibility (in $\text{Pa}^{-1}$ )
23	$\sigma$	stress (atmospheric $\text{CO}_2$ emissions from fossil fuel burning and land use; in $\text{Pa}$ )
24		

## 1 **1. Motivation**

2 Over the last century anthropogenic pressure on Earth became increasingly noticeable.

3 Human activities turned out to be so pervasive and profound that the very life support system  
4 upon which humans depend is threatened (Steffen et al., 2004, 2015). The increase of  
5 emissions of greenhouse gases (GHGs) into the atmosphere is only one of several serious  
6 global threats and their reduction is in the center of international agreements (Steffen et al.,  
7 2015; [United Nations, 2015a;b](#); [UN Climate Change, 2022](#); [UN Sustainable Development](#)  
8 [Goals, 2022](#)).

9

10 Here we intend to further the understanding of the planetary burden (and its dynamics)  
11 caused by the effect of the continued increase of GHG emissions and by global warming  
12 from a new, a rheological (stress-strain) perspective. That is, we perceive the emission of  
13 anthropogenic GHGs, notably carbon (CO<sub>2</sub>), into the atmosphere as stressor. This perspective  
14 goes beyond the global carbon mass-balance perspective applied by the carbon community,  
15 which is widely referred to as the gold standard in assessing whether Earth remains  
16 hospitable for life (Global Carbon Project, 2019). There, the condition of Earth is surveyed in  
17 units of PgC y<sup>-1</sup>, while we survey its condition in stress-strain units (stress in units of Pa,  
18 strain in units of 1)—allowing access to and insight into previously unknown characteristics  
19 reflecting Earth's rheological status.

20

21 We note that—although the focus is on the atmosphere–land/ocean carbon system—the  
22 stress-strain approach described herein should not be considered as an appendix to a mass-  
23 balance based carbon cycle model. Instead, it leads to a self-standing model belonging to the  
24 suite of reduced but still insightful models (such as radiation transfer, energy balance or box-  
25 type carbon cycle models), which offer great benefits in safeguarding complex three-

1 dimensional climate/global change models. A stress-strain model is missing in that suite of  
2 support models. Here we demonstrate the applicability and efficacy of such a model in an  
3 Earth systems context.

4

5 To develop a stress-strain systems perspective, we begin with the stress given by the CO<sub>2</sub>  
6 emissions from fossil fuel burning and land use between 1959 and 2015 (with the increase  
7 between 1850 and 1958 serving as antecedent or upstream emissions). Thus, from the  
8 standpoint of a global observer, we see that the CO<sub>2</sub> concentration in the atmosphere  
9 increases (rather quickly). Concomitantly, the atmosphere warms (here combining the effect  
10 of tropospheric warming and stratospheric cooling) and expands (by approximately 15–20 m  
11 in the troposphere per decade since 1990), while part of the carbon is locked away (rather  
12 slowly) in land and oceans, likewise under the influence of global warming (Global Carbon  
13 Project, 2019; Lackner et al., 2011; Philipona et al., 2018; Steiner et al., 2011; Steiner et al.,  
14 2020). We refer to these two processes together, the expansion of the atmosphere and the  
15 uptake of carbon by sinks, as the overall strain response of the atmosphere–land/ocean carbon  
16 system.

17

18 It is not known how reversible and how much out of sync the latter process (uptake of carbon  
19 by sinks) is in relation to the former (expansion of the atmosphere) (Boucher et al., 2012;  
20 Dusza et al., 2020; Garbe et al., 2020; Schwinger and Tjiputra, 2018; Smith, 2012). All we  
21 know is that the slower process remembers the influence of the faster one which runs ahead.  
22 Three (nontrivial) questions arise: (1) Can this global-scale memory—Earth’s memory—be  
23 quantified? (2) Can Earth’s memory be compared with a buffer which is limited and  
24 negligently exploited; that is, what is the degree of depletion? And (3) does Earth’s memory

1 allow its persistence (path dependency) to be quantified, speculating that the two are not  
2 independent of each other? We answer these questions in the course of our paper.

3

4 This suggests, as the next step in developing a stress-strain systems perspective, getting a grip  
5 on Earth's memory. To this end, we focus on the slow-to-fast temporal offset inherent in the  
6 atmosphere–land/ocean system, while preferring an approach which is reduced to the highest  
7 possible extent; however, without compromising complexity in principle. To this end, it is  
8 sufficient to resolve subsystems as a whole and to perceive their physical reaction in response  
9 to the increase in atmospheric CO<sub>2</sub> concentrations as a combined one (i.e., including effects  
10 such as that of global warming). From a temporal perspective, the subsystems' reactions, the  
11 expansion of the atmosphere by volume and the sequestration of carbon by sinks, can be  
12 considered sufficiently disjunct. Under optimal conditions (referring to the long-term stability  
13 of the temporal offset), the temporal-offset view even suggests that we can refrain from  
14 disentangling the exchange of both thermal energy and carbon throughout the atmosphere–  
15 land/ocean system, as it is done in climate-carbon models ranging from reduced to complex  
16 (Flato et al., 2013; Harman and Trudinger, 2014). The additional degree of reductionism,  
17 whilst preserving complexity, will prove an advantage in advancing our understanding of the  
18 temporal offset in terms of memory and persistence.

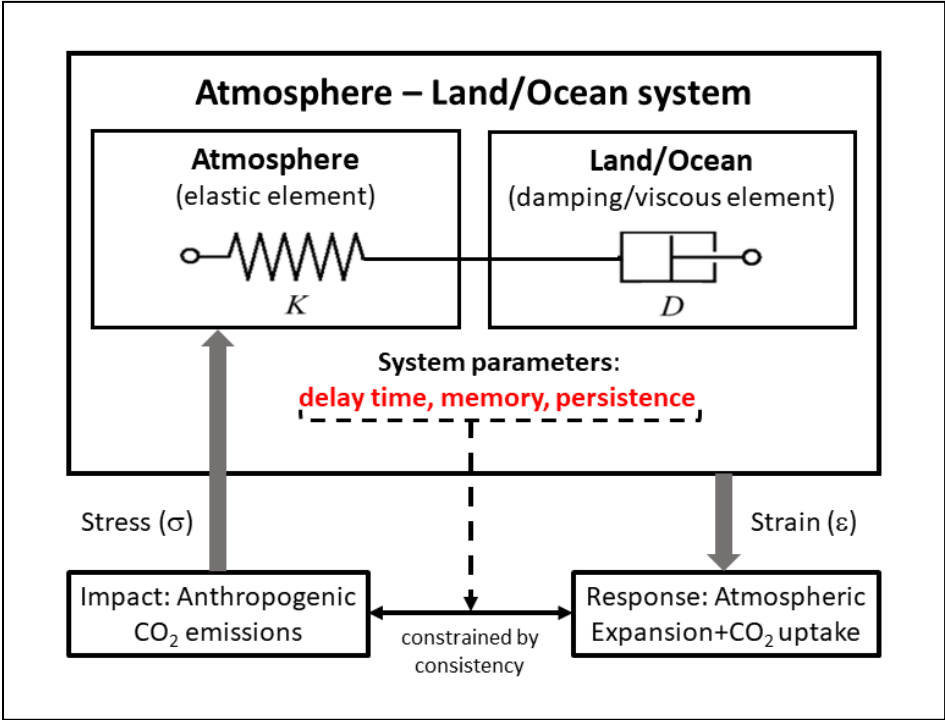
19

20 In view of the aforementioned questions, we chose a rheological stress-strain ( $\sigma$ - $\varepsilon$ ) model  
21 (Roylance, 2001; TU Delft, 2021); here a Maxwell body (MB) consisting of an elastic  
22 element (its constant, traditionally denoted  $E$  [Young's modulus], is replaced by the  
23 compression modulus  $K$ ) and a damping (viscous) element (the damping constant is denoted  
24  $D$ ), to capture the stress-strain behaviour of the global atmosphere–land/ocean system (Fig. 1)  
25 and to simulate how humankind propelled that global-scale experiment historically. We note



1 that the MB is a logical choice of model given the uninterrupted increase in atmospheric CO<sub>2</sub>  
 2 concentrations since 1850 (Global Carbon Project, 2019).

3



4

5 **Fig. 1:** Rheological model to capture the stress–strain behavior of the global atmosphere–  
 6 land/ocean system as a Maxwell body, consisting of elastic (atmosphere) and  
 7 damping/viscous (land/ocean) elements. The stress (in units of Pa; known) is given by the  
 8 carbon (CO<sub>2</sub>) emissions from fossil fuel burning and land use, while the strain (in units of 1;  
 9 assumed exponential, otherwise unknown) is given by the expansion of the atmosphere by  
 10 volume and uptake of CO<sub>2</sub> by sinks. Independent estimates of  $K$  and  $D$ , the compression and  
 11 damping characteristics of the MB, allow its stress–strain behaviour to be captured and  
 12 adjusted until consistency is achieved (see text).

13

14 In practice, rheology is principally concerned with extending continuum mechanics to  
 15 characterise the flow of materials that exhibit a combination of elastic, viscous, and plastic  
 16 behaviour (that is, including hereditary behaviour) by properly combining elasticity and

1 (Newtonian) fluid mechanics. Limits (e.g., viscosity limits) exist beyond which basic  
 2 rheological models are recommended to be refined. However, these limits are fluent, and  
 3 basic rheological models also produce useful results beyond these limits (Malkin and Isayev,  
 4 2017; Mezger, 2006; TU Delft, 2021).

5  
 6 The mathematical treatment of a MB is standard. Depending on whether the strain ( $\varepsilon$ ) or the  
 7 stress ( $\sigma$ ) is known (in addition to the compression and damping characteristics  $K$  and  $D$ ), the  
 8 stress-strain equation describing the MB between 0 and  $t$  can be applied in a stress-explicit  
 9 form

$$10 \quad \sigma(t) = \sigma(0) \exp\left(-\frac{K}{D}t\right) + K \int_0^t \dot{\varepsilon}(\tau) \exp\left(\frac{K}{D}(\tau - t)\right) d\tau \quad (1a)$$

11 or in a strain-explicit form

$$12 \quad \varepsilon(t) = \varepsilon(0) + \frac{1}{K}[\sigma(t) - \sigma(0)] + \frac{1}{D} \int_0^t \sigma(\tau) d\tau, \quad (1b)$$

13 with  $\sigma(0)$  and  $\varepsilon(0)$  denoting initial conditions and a dot the derivative by time (Roylance,  
 14 2001; Bertram and Glüge, 2015).

15

16 Here, we focus on the application of these equations in an atmosphere–land/ocean carbon  
 17 context. For an observer it is the overall strain response of that system (expansion of the  
 18 atmosphere by volume and uptake of CO<sub>2</sub> by sinks) that is unknown. However, since  
 19 atmospheric CO<sub>2</sub> concentrations have been observed to increase exponentially (quasi  
 20 continuously), the strain can be expected to be exponential or close to exponential. In  
 21 addition, we provide independent estimates of the likewise unknown compression and  
 22 damping characteristics of the MB. This a priori knowledge allows equations (1a) and (1b) to  
 23 be used stepwise in combination to narrow down our initial estimate of the  $K/D$  ratio, in  
 24 particular. More accurate knowledge of this ratio is needed when we go beyond textbook  
 25 knowledge by distilling three parameters—delay time (reflecting the temporal offset

1 mentioned above), memory, and persistence—from the stress-explicit equation. The three  
2 parameters depend, *ceteris paribus*, solely on the system's characteristic  $K/D$  ratio and allow  
3 deeper and novel insights into that system. We see the atmosphere–land/ocean system as  
4 being trapped progressively over time in terms of persistence. Given its reduced ability to  
5 build up memory, we expect system failures globally well before 2050 if the current trend in  
6 emissions is not reversed immediately and sustainably. Put differently, the stress-strain  
7 approach comes with its own internal limits which govern the behaviour of the atmosphere–  
8 land/ocean carbon system, independently from any external target values (such as  
9 temperature targets justified by means of global change research).

10

11 There exists a wide range of other approaches which aim at exploring memory and  
12 persistence in Earth systems data, typically with the focus on individual Earth subsystems or  
13 processes (e.g., atmospheric temperature or carbon dioxide emissions). So far, applied  
14 approaches are mainly based on classical time-series and time-space analyses to uncover the  
15 memory or causal patterns contained in observational data (Barros et al., 2016; Belbute and  
16 Pereira, 2017; Caballero et al., 2002; Franzke, 2010; Lüdecke et al., 2013). However, these  
17 approaches come with well-known limitations which can all be attributed, directly or  
18 indirectly, to the issue of forecasting (more precisely, the conditions placed on the data to  
19 enable forecasting) or are not based on physics (Aghabozorgi et al., 2015; Darlington, 1996;  
20 Darlington and Hayes, 2016). By way of contrast, we do not forecast. We perpetuate long-  
21 term historical conditions which, in turn, allows the delay time in the atmosphere–land/ocean  
22 system to be expressed analytically in terms of memory and persistence. We are not aware of  
23 any scientific discipline or research area where memory and persistence are defined other  
24 than statistically and are interlinked, if at all, other than via correlation.

25

1 Rheological approaches are common in Earth systems modelling as well. Typically, they are  
2 applied to mimic the long(er)-term behaviour of Earth subsystems, e.g. its mantle viscosity  
3 which is crucial for interpreting glacial uplift resulting from changes in planetary ice sheet  
4 loads (Müller, 1986; Whitehouse et al. (2019); Yuen et al., 1986). Yet, to the best of our  
5 knowledge, a rheological approach to unravel the memory-persistence behaviour of the  
6 global atmosphere–land/ocean system in response to the long-lasting increase in atmospheric  
7 CO<sub>2</sub> emissions had not been applied before.

8

9 We describe our rheological model (MB) approach in detail in Section 2, while we provide an  
10 overview of the applied data and conversion factors in Section 3. In Section 4 we describe  
11 how we derive first-order estimates of the main characteristics of the atmosphere–land/ocean  
12 system (in terms of the MB’s  $K$  and  $D$  characteristics) by using available knowledge.

13 Although uncertain, these estimates come useful in Section 5 where we apply the  
14 aforementioned stress and strain explicit equations to quantify delay time, memory, and  
15 persistence of the atmosphere–land/ocean system. We conclude by taking account of our  
16 main findings in Section 6.

17

## 18 **2. Method**

19 This section provides an overview of how we process equation (1a), and how we distil delay  
20 time, memory, and persistence from this equation. To familiarise oneself with the details, the  
21 reader is referred to the Supplementary Information.

22

23 To start with, we assume that we know the order of magnitude of both the  $K/D$  ratio  
24 characteristic of the atmosphere–land/ocean system and the rate of change in the strain  $\varepsilon$

1 given by  $\dot{\varepsilon}(t) = \alpha \exp(\alpha t)$  with the exponential growth factor  $\alpha > 0$ . These first-order  
2 estimates permit equations (1a) and (1b) to be used stepwise in combination:

3 Equation (1a): We vary both  $K/D$  and  $\alpha$  to reproduce the known stress  $\sigma$  given by the CO<sub>2</sub>  
4 emissions from fossil fuel burning (fairly well known) and land use (less known)  
5 (Global Carbon Project, 2019).

6 Equation (1b): We insert both the fine-tuned  $K/D$  ratio and the known stress  $\sigma$  to compute  
7 the strain  $\varepsilon$  and check its derivative by time.

8 We consider this procedure a check of consistency, not a proof of concept.

9

10 Delay time, memory, and persistence are characteristic (functions) of the MB. They are  
11 contained in the integral on the right side of equation (1a) and are defined independently of  
12 initial conditions. These appear only in the lower boundary of that integral which allows  
13 initial conditions other than zero to be considered by taking advantage of the integral's  
14 additivity. Thus, without loss of generality, we rewrite equation (1a) for  $\sigma(0) = 0$ , which  
15 results in

$$16 \quad \sigma(t) = \frac{D}{\beta} \dot{\varepsilon}(t) (1 - q_{\beta}^t) \quad (2a)$$

17 (see Supplementary Information 1), where  $\beta = 1 + \frac{D}{K} \alpha$  and  $q_{\beta}^t = \exp\left(-\frac{K}{D} \beta t\right)$ . The term  $\frac{D}{K\beta}$   
18 represents a time characteristic of the MB under (here) exponential strain (i.e., of the MB that  
19 responds to the stress acting upon it), whereas  $\frac{D}{K}$  is the relaxation time of the MB (i.e., of the  
20 MB that relaxes unhindered after the stress causing that strain has vanished, or that responds  
21 to strain held constant over time; also known as the relaxation test (Bertram and Glüge,  
22 2015). However, to ensure that exponents still come in units of 1 after we split them up, we  
23 introduce the dimensionless time  $n = \frac{t}{\Delta t}$  globally (which will be discretised in the sequel

1 when we refer to a temporal resolution of 1 year and set  $\Delta t = 1y$ ), such that, for example,

$$2 \quad q^t = \exp\left(-\frac{K}{D}\Delta t\right)^n.$$

3

4 To understand the systemic nature of the MB, we explore its stress dependence on

$$5 \quad q = \exp\left(-\frac{K}{D}\Delta t\right),$$

which contains the ratio of  $K$  and  $D$ , the two characteristic parameters of

6 the MB, by way of derivation by  $q$  (while  $\alpha$  is held constant). To this end, we transform

7 equation (2a) further to

$$8 \quad \sigma_D(q, t) := \frac{1}{D}\sigma(t) = \frac{1}{D}\sigma(n) =: \sigma_D(q, n) \quad (2b)$$

9 and execute  $\frac{\partial}{\partial q}\sigma_D(q, n)$ , the derivation by  $q$  of the system's rate of change  $\sigma_D$  (which is given

10 in units of  $y^{-1}$ ). Doing so allows (what we call) delay time  $T$  to be distilled (see

11 Supplementary Information 2). It is defined as

$$12 \quad T(q, n) := \frac{q_\beta}{S_n} \frac{\partial S_n}{\partial q_\beta} = -\frac{q_\beta^n}{1-q_\beta^n} n + \frac{q_\beta}{1-q_\beta}, \quad (3)$$

13 where  $q_\beta = q_\alpha q$ ,  $q_\alpha = \exp(-\alpha\Delta t)$ , and  $S_n = S(q, n) = \frac{1-q_\beta^n}{1-q_\beta}$ . The delay time behaves

14 asymptotically for increasing  $n$  and approaches  $T_\infty = \lim_{n \rightarrow \infty} T = \frac{q_\beta}{1-q_\beta}$ . We further define

$$15 \quad M := S(q, n) \quad (4)$$

16 with  $M_\infty := \frac{1}{1-q_\beta}$  and

$$17 \quad P := T(q, n)^{-1} \quad (5)$$

18 with  $P_\infty := \frac{1}{T_\infty} = \frac{1-q_\beta}{q_\beta}$  as the MB's characteristic memory and persistence, respectively. As is

19 commonly done, we keep the list of independent parameters minimal. (We only allow  $K$  and

20  $D$  [i.e.,  $q$ ] in addition to  $n$ ; see equations [2b] and [3]–[5], in particular.)

21

1  $T$  as given by equation (3) is not simply characteristic of the MB described by equation (2); it  
2 can be shown to appear as delay time in the argument of any function dependent on current  
3 and previous times, with a weighting decreasing exponentially backward in time (see  
4 Supplementary Information 3). Equation (4) reflects the history the MB was exposed to  
5 systemically prior to current time  $n$  (during which  $\alpha$  was constant; see Supplementary  
6 Information 4). Put simply,  $M$  can be understood as the depreciated ( $q$ -weighted) strain  
7 summed up backward in time. Equation (5) can be shortened to  $T \cdot P = 1$ . If we assume that  
8  $q$  can be changed in retrospect at  $n = 0$ , this equation tells us that if  $T$ —that is,  $\Delta M$  per  $\Delta q$   
9 (or, likewise,  $\Delta M/M$  per  $\Delta q/q$ ; see the first part of equation [3])—is small,  $P$  is great  
10 because the change in the system’s characteristics (contained in  $q$ ) hardly influences the  
11 MB’s past, with the consequence that the past exhibits a great path dependency, and vice  
12 versa. We therefore perceive persistence and path dependency as synonymous.

13  
14 An additional quantity to monitor is  $\ln(M \cdot P)$ , which approaches  $\lambda_\beta = \lambda \cdot \beta$  for increasing  $n$   
15 with  $\lambda = \frac{K}{D} \Delta t$  the characteristic rate of change in the MB. The ratio  $\lambda / \ln(M \cdot P)$  - allows  
16 monitoring of how much the system’s natural rate of change is exceeded as a consequence of  
17 the continued increase in stress (see Supplementary Information 5).

### 18 19 **3. Data and Conversion Factors**

20 A detailed overview of the carbon data and conversion factors used in this paper (and also by  
21 the carbon community) is given in Supplementary Information 6. The data pertain to  
22 atmosphere, land, and oceans,

- 23 - atmospheric CO<sub>2</sub> concentration (in ppm)
- 24 - CO<sub>2</sub> emissions from fossil-fuel combustion and cement production (in PgC y<sup>-1</sup>)
- 25 - land-use change emissions in (PgC y<sup>-1</sup>)

1 - net primary production (in PgC y<sup>-1</sup>)

2 - dissolved organic carbon (in μmol kg<sup>-1</sup>);

3 and are given by source and time range and are also described briefly. The context within

4 which they are used is revealed in each of the following sections. The conversion factors are

5 standard; they are needed to convert C to CO<sub>2</sub>, and ppmv CO<sub>2</sub> to PgC or Pa.

6

#### 7 **4. Independent Estimates of $D$ and $K$**

8 In this section we provide independent estimates of the damping and compression

9 characteristics of the atmosphere–land/ocean system, with  $D_L$  and  $D_O$  denoting the damping

10 constants assigned to land and oceans, respectively, and  $K$  denoting the compression modulus

11 assigned to the atmosphere. We capture the characteristics' right order of magnitude

12 only—which can be done on physical grounds by evaluating the combined (net) strain

13 response of each subsystem on grounds of increasing CO<sub>2</sub> concentrations in the atmosphere.

14 These first-order estimates are adequate as they allow sufficient flexibility for Section 5,

15 where we narrow down our initial estimates by using equations (1a) and (1b) stepwise in

16 combination to achieve consistency.

17

#### 18 **4.1 Estimating the Damping Constant $D_L$**

19 Increasing concentrations of CO<sub>2</sub> in the atmosphere trigger the uptake of carbon by the

20 terrestrial biosphere. The intricacies of this process, including potential (positive and

21 negative) feedback processes, are widely discussed (Dusza et al., 2020; Heimann and

22 Reichstein, 2008; Smith, 2012). The crucial question is how we have observed the process of

23 carbon uptake by the terrestrial biosphere taking place in the past. Compared to the reaction

24 of the atmosphere to global warming (an expansion of the atmosphere by volume), we



1 consider this process to be long(er) term in nature and perceive it as a Newton-like (damping)  
2 element.

3

4 Biospheric carbon uptake is described by the biotic growth factor

$$5 \quad \beta_b = \frac{\Delta NPP/NPP}{\Delta CO_2/CO_2}, \quad (6)$$

6 which is used to approximate the fractional increase in net primary productivity (*NPP*) per  
7 unit increase in atmospheric CO<sub>2</sub> concentration (Wullschleger et al., 1995; Amthor and Koch,  
8 1996; Luo and Mooney, 1996). Here we make use of the model-derived *NPP* time series  
9 (1900–2016) provided by O’Sullivan et al. (2019) to calculate  $\beta_b$  (O’Sullivan et al., 2019).

10 To understand the uncertainty range underlying  $\beta_b$  for 1959–2018, we use the photosynthetic  
11 beta factor

$$12 \quad \beta_{Ph} = CO_2 L = \left( \frac{dPh}{Ph} \right) \left( \frac{CO_2}{dCO_2} \right), \quad (7)$$

13 where *L* is the so-called leaf-level factor denoting the relative leaf photosynthetic response to  
14 a 1 ppmv change in the atmospheric concentration of CO<sub>2</sub>, bounded by

$$15 \quad L_1 \leq L = f(CO_2) \leq L_2 \quad (8)$$

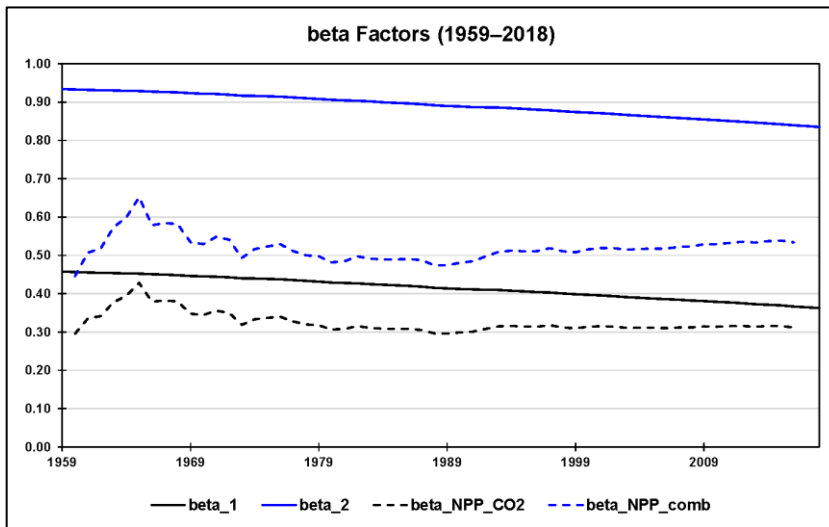
16 (see below); and *Ph* is the global photosynthetic carbon influx (i.e., gross primary  
17 productivity). Equation (7) is similar to equation (6). In equation (6)  $\beta_b$  represents biomass  
18 production changes in response to CO<sub>2</sub> changes, whereas in equation (7)  $\beta_{Ph}$  describes  
19 photosynthesis changes in response to CO<sub>2</sub> changes (Luo and Mooney, 1996).

20

21 *L* can be shown to be independent of plant characteristics, light, and the nutrient environment  
22 and to vary little by geographic location or canopy position. Thus, *L* is virtually a constant  
23 across ecosystems and a function of time-associated changes in atmospheric CO<sub>2</sub> only (Luo  
24 and Mooney, 1996).

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We use equation (7) to test whether  $\beta_b$  falls within the range of  $\beta_{Ph}$  given by the quantifiable photosynthetic limits  $L_1$  (photosynthesis limited by electron transport) and  $L_2$  (photosynthesis limited by rubisco activity). Fig. 2 shows the biotic growth factors from O’Sullivan et al. that consider changes in  $NPP$  due to the combined effect of  $CO_2$  fertilisation, nitrogen deposition, climate change, and carbon–nitrogen synergy ( $\beta_{NPP\_comb}$ ) and due to  $CO_2$  fertilisation ( $\beta_{NPP\_CO2}$ ) only. For 1960–2016,  $\beta_{NPP\_comb}$  falls in between  $\beta_1 := \beta_{Ph}(L_1)$  and  $\beta_2 := \beta_{Ph}(L_2)$ , closer to  $\beta_1$  than to  $\beta_2$ , whereas  $\beta_{NPP\_CO2}$  falls even below the lower  $\beta_1$  limit.



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**Fig. 2:** Using the lower ( $\beta_1$ ) and upper ( $\beta_2$ ) limits of the photosynthetic beta factor to test the range of the biotic growth factor ( $\beta_b$ ) for 1960–2016. The biotic growth factor is derived with the help of modelled net primary production ( $NPP$ ) values accounting for  $CO_2$  fertilisation, nitrogen deposition, climate change, and carbon–nitrogen synergy.  $\beta_{NPP\_CO2}$  refers to O’Sullivan et al. (2019), who consider the change in  $NPP$  due to  $CO_2$  fertilisation only, and  $\beta_{NPP\_comb}$  refers to the change in  $NPP$  due to the combined effect. All beta factors are in units of 1.

1 Rewriting equation (7) in the form

$$2 \frac{\Delta Ph_i}{Ph} = L_i \Delta CO_2 \quad (i = 1,2) \quad (9)$$

3 with  $Ph = 120PgCy^{-1}$  indicates that the additional amount of annual relative photosynthetic  
4 carbon influx, stimulated by a yearly increase in atmospheric  $CO_2$  concentration, can be  
5 estimated by  $L_i$ , or the sequence of  $L_i$  if  $\Delta CO_2$  spans multiple years (see Supplementary  
6 Information 7 and Supplementary Data 1). Plotting  $\Delta Ph_i/Ph$  against time allows lower and  
7 upper slopes (rates of strain)

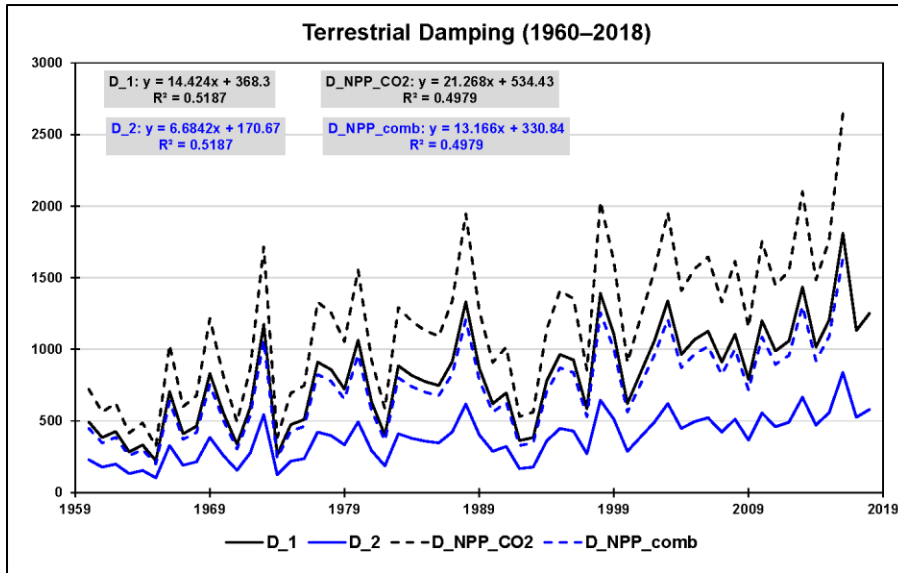
$$8 \frac{d}{dt} \left( \frac{\Delta Ph_1}{Ph} \right) \approx 0.0019y^{-1} \text{ and } \frac{d}{dt} \left( \frac{\Delta Ph_2}{Ph} \right) = 0.0041y^{-1} \quad (10a,b)$$

9 to be derived for 1959–2018. A linear fit works well in either case. The cumulative increase  
10 in atmospheric  $CO_2$  concentration since 1959,  $\Delta CO_2 = CO_2(t) - CO_2(1959)$ , exhibits a  
11 moderate exponential (close to linear) trend. Thus, plotting annual changes in  $CO_2$ ,  
12 normalised on the aforementioned rates of strain, versus time allows the remaining  
13 (moderate) trends to be interpreted alternatively, namely, as average photosynthetic damping  
14 constants with appropriate uncertainty given by half the maximal range (see Fig. 3 and  
15 Supplementary Data 1)

$$16 D_1 \approx (815 \pm 433)ppmvy = (83 \pm 44)Pay = (2606 \pm 1383)10^6Pas \quad (11a)$$

$$17 D_2 \approx (378 \pm 201)ppmvy = (38 \pm 20)Pay = (1207 \pm 641)10^6Pas \quad (11b)$$

18



**Fig. 3:** Terrestrial carbon uptake perceived as damping (in ppmv y) based on the limits of leaf photosynthesis (1960–2018:  $D_1$  and  $D_2$ ) and on model-derived changes in net primary production ( $NPP$ ; 1960–2016) due to both the combined effect of  $CO_2$  fertilisation, nitrogen deposition, climate change, and carbon–nitrogen synergy ( $D_{NPP\_comb}$ ) and  $CO_2$  fertilisation only ( $D_{NPP\_CO2}$ ). The linear trends of the four damping series are shown at the top. These are used to interpret damping as constants with appropriate uncertainty (given by half the maximal range).

Repeating the same procedure for 1959–2016 with O’Sullivan et al.’s model-derived  $NPP$  values considering the change in  $NPP$  due to  $CO_2$  fertilisation as well as the total change in  $NPP$ , we find

$$\frac{d}{dt} \left( \frac{\Delta NPP}{NPP} \right)_{CO_2} \approx 0.0013y^{-1} \text{ and } \frac{d}{dt} \left( \frac{\Delta NPP}{NPP} \right)_{comb} = 0.0021y^{-1} \quad (12a,b)$$

(linear fits still work well); and consequently

$$D_{CO_2} \approx (1172 \pm 617)ppmvy = (119 \pm 62)Pay = (3746 \pm 1971)10^6Pas. \quad (13a)$$

$$D_{comb} \approx (726 \pm 382)ppmvy = (74 \pm 39)Pay = (2319 \pm 1220)10^6Pas. \quad (13b)$$

1 As before, these estimates are closer to the lower leaf-level factor (higher photosynthetic  $D$ )  
2 than to the higher leaf-level factor (lower photosynthetic  $D$ ; Fig. 3).

3

4 Here we interpret O’Sullivan et al.’s Earth systems model as a typical one, which means that  
5 the  $NPP$  changes it produces are common. We therefore (and sufficient for our purposes)  
6 choose the damping constant  $D_1$  as a good estimator in light of the total change in  $NPP$  of the  
7 terrestrial biosphere since 1960. Hence

$$8 \quad D_L \approx (815 \pm 433)ppmvy = (83 \pm 44)Pay = (2606 \pm 1383)10^6Pas. \quad (14)$$

9  $D_L$  is on the order of viscosity indicated for bitumen/asphalt (Mezger, 2006).

10

#### 11 **4.2 Estimating the Damping Constant $D_0$**

12 Increasing concentrations of  $CO_2$  in the atmosphere trigger the uptake of carbon by the  
13 oceans (National Oceanic and Atmospheric Administration, 2015~~7~~). Like the uptake of  
14 carbon by the terrestrial biosphere, we consider this process to behave like a Newton  
15 (damping) element in our MB because of the de-facto irreversibility on the shorter time scale  
16 we are interested in (Schwinger and Tjiputra, 2018).

17

18 The Revelle (buffer) factor ( $R$ ) quantifies how much atmospheric  $CO_2$  can be absorbed by  
19 homogeneous reaction with seawater.  $R$  is defined as the fractional change in  $CO_2$  relative to  
20 the fractional change in dissolved inorganic carbon ( $DIC$ ):

$$21 \quad R = \frac{\Delta pCO_2/pCO_2}{\Delta DIC/DIC}. \quad (15)$$

22 (Here, in contrast to before, atmospheric  $CO_2$  is referred to in units of  $\mu atm$  and therefore  
23 indicated by  $pCO_2$ .) An  $R$  value of 10 indicates that a 10% change in atmospheric  $CO_2$  is  
24 required to produce a 1% change in the total  $CO_2$  content of seawater (Bates et al. 2014;  
25 Egleston et al., 2010; Emerson and Hedges, 2008).

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*DIC* and *R* have been observed at seven ocean carbon time-series sites for periods from 15 to 30 years (between 1983 and 2012) to change slowly and linearly with time (Bates et al. 2014):

$$\frac{\Delta DIC}{\Delta t} \approx [0.8; 1.9] \mu\text{mol kg}^{-1} \text{y}^{-1} \quad (16)$$

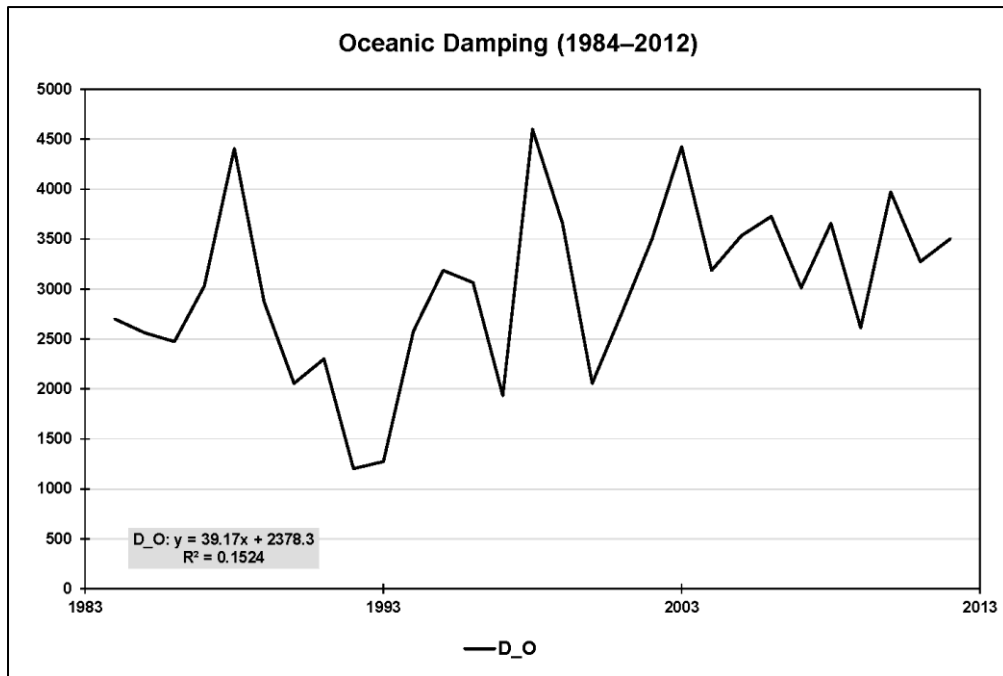
$$\frac{\Delta R}{\Delta t} \approx [0.01; 0.03] \text{y}^{-1} \quad (17)$$

(see also Supplementary Data 2). Here it is sufficient to proceed with spatiotemporal averages. As before, the cumulative increase in atmospheric CO<sub>2</sub> concentration since 1983,  $\Delta pCO_2 = pCO_2(t) - pCO_2(1983)$ , exhibits a moderate exponential (close to linear) trend.

Thus, plotting annual changes in  $pCO_2$ , normalised on the rates of strain  $\frac{(\Delta DIC/DIC)}{\Delta t}$ , versus time allows the remaining (moderate) trend to be interpreted alternatively, namely, as an average oceanic damping constant with appropriate uncertainty given by half the maximal range (see Fig. 4 and Supplementary Data 2):

$$D_o \approx (3005 \pm 588) \text{ppmvy} = (304 \pm 60) \text{Pay} = (9602 \pm 1877) 10^6 \text{Pas}. \quad (18)$$

$D_o$  is on the order of viscosity indicated for bitumen/asphalt, yet approximately 3.7 times greater than  $D_L$ .



1

2 **Fig. 4:** Oceanic carbon uptake perceived as damping (in ppmv y) based on observations at  
 3 seven ocean carbon time-series sites for periods from 15 to 30 years (between 1983  
 4 and 2012). The linear trend in oceanic damping, shown at the bottom, is used to  
 5 interpret damping as a constant with appropriate uncertainty (given by half the  
 6 maximal range).

7

### 8 **4.3 Estimating the Compression Modulus $K$**

9 The long-lasting increase in GHG emissions has caused the  $\text{CO}_2$  concentration in the  
 10 atmosphere to increase and the atmosphere as a whole to warm (with tropospheric warming  
 11 outstripping stratospheric cooling) and to expand (in the troposphere by approximately  
 12 15–20 m per decade since 1990) (Global Carbon Project, 2019; Lackner et al., 2011;  
 13 Philipona et al., 2018; Steiner et al., 2011, 2020). Our whole-subsystem (net-warming) view  
 14 does not invalidate the known facts that  $\text{CO}_2$  in the atmosphere is well-mixed (except for very  
 15 low altitudes where deviations from uniform  $\text{CO}_2$  concentrations are caused by the dynamics  
 16 of carbon sources and sinks) and that the volume percentage of  $\text{CO}_2$  in the atmosphere stays  
 17 almost constant up to high altitudes (Abshire et al., 2010; Emmert et al., 2012).

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Compared to the slow uptake of carbon by land and oceans, we assume the atmosphere to be represented well by a Hooke element in the MB and this to serve as a (sufficiently stable) surrogate physical descriptor for the reaction of the atmosphere as a whole (Sakazaki and Hamilton, 2020). However, in the case of a gas, Young’s modulus  $E$  must be replaced by the compression modulus  $K$ , the reciprocal of which is compressibility  $\kappa$ . Both  $K$  and  $\kappa$  scale with altitude which we get to grips with in the following. Compressibility is defined by

$$\kappa = \frac{1}{K} = -\frac{1}{V} \frac{dV}{dp} \tag{19}$$

( $\kappa > 0$ ) (OpenStax, 2020). Depending on whether the compression happens under isothermal or adiabatic conditions, the compressibility is distinguished accordingly. It is defined by

$$\kappa_{it} = \frac{1}{p} \tag{20a}$$

in the isothermal case and

$$\kappa_{ad} = \frac{1}{\gamma p} \tag{20b}$$

in the dry adiabatic case, where  $\gamma$  is the isentropic coefficient of expansion. Its value is 1.403 for dry air (1.310 for CO<sub>2</sub>) under standard temperature (273.15 K) and pressure (1 atm; 101.325 kPa) (Wark, 1983). We consider a carbon-enriched atmosphere also as air.

However, the observed expansion of the troposphere happens neither isothermally nor dry-adiabatically but polytropically. Moreover, our ignorance of the exact value of  $\kappa$  is overshadowed by the uncertainty in altitude—or top of the atmosphere (TOA)—which we need as a reference for  $\kappa$  (thus  $K$ ). As a matter of fact, there exists considerable confusion as to which altitude the TOA refers in climate models (CarbonBrief, 2018; NASA Earth Observatory, 2006).



1 To advance, we ~~make~~ ~~reference~~ to the (dry adiabatic) standard atmosphere, which assigns a  
2 temperature gradient of  $-6.5^{\circ}\text{C}/1000\text{ m}$  up to the tropopause at 11 km, a constant value of  
3  $-56.5^{\circ}\text{C}$  (216.65 K) above 11 km and up to 20 km, and other gradients and constant values  
4 above 20 km (Cavcar, 2000; Mohanakumar, 2008). Guided by the distribution of atmospheric  
5 mass by altitude, we choose the stratopause as our TOA (at about 48 km altitude and 1 hPa),  
6 with uncertainty ranging from mid-to-higher stratosphere (at about 43 km altitude and 1.9  
7 hPa) to mid-mesosphere (at about 65 km altitude and 0.1 hPa) (Digital Dutch, 1999;  
8 International Organization for Standardization, 1975; Mohanakumar, 2008; Zellner, 2011).

9 We assign the resulting uncertainty of 90% in relative terms to

$$10 \quad K = (1 \pm 0.9)hPa = (100 \pm 90)Pa, \quad (21)$$

11 which we consider sufficiently large to compensate for the unknown isentropic coefficient in  
12 the first place; that is,  $[K_{ad,min}; K_{ad,max}] \in [K_{it,min}; K_{ad,max}] \in [K_{min}; K_{max}]$ . For  
13 comparison,  $K_{ad}$  ranges from 400 to 412 hPa were the TOA allocated within the troposphere  
14 (exhibiting, the reference used here, an expansion of 20 m; see Supplementary Information  
15 8).

16

## 17 **5. Main Findings**

18 Equation (1a) (or [2a], respectively) and equation (1b) are used stepwise in combination to  
19 conduct three sets of stress-strain experiments including sensitivity experiments (SEs):

20 **A.** for the period 1959–2015 assuming zero stress and strain in 1959,

21 **B.** for the period 1959–2015 assuming zero stress and strain in 1900, and

22 **C.** for the period 1959–2015 assuming zero stress and strain in 1850

23 and, ultimately, also before 1850 (i.e., zero anthropogenic stress before that date).

24

1 The logic of the experiments is determined by both the availability of data (see  
2 Supplementary Information 6) and the increasing complementarity from A to C (see below).  
3 The basic procedure is always the same: We insert into equation (1a) our first-order estimates  
4 of  $D_L \approx (83 \pm 44)Pa$ ;  $D_O \approx (304 \pm 60)Pa$ , that is,  $D = D_L + D_O \approx (387 \pm 74)Pa$ ;  
5 and  $K \approx (100 \pm 90)Pa$ . At the same time, we use the growth factor  $\alpha_{ppm} = 0.0043y^{-1}$ ,  
6 which reflects the exponential increase in the CO<sub>2</sub> concentration in the atmosphere between  
7 1959 and 2018 (see Supplementary Data 1) as our first-order estimate for  $\alpha$  in  
8  $\dot{\varepsilon} = \alpha \exp(\alpha t)$ , the rate of change in strain  $\varepsilon$ . We apply equation (1a) by varying both  $K/D$   
9 and  $\alpha$  to reproduce the known stress  $\sigma$  on the left, given by the CO<sub>2</sub> emissions from fossil  
10 fuel burning and land use. To restrict the number of variation parameters to two, we let  $K$  and  
11  $D$  deviate from their respective mean values equally in relative terms (i.e., we assume that our  
12 first-order estimates exhibit equal inaccuracy in relative terms) and express  $\alpha$  as a multiple of  
13  $\alpha_{ppm}$ . This is easily possible with the introduction of suitable factors (see Supplementary  
14 Data 3) that allow  $\sigma$  to be reproduced quickly and with sufficient accuracy. The main reason  
15 this works well is that the two factors pull the two exponential functions on the right side of  
16 equation (2a)— $\dot{\varepsilon}(t)$  and  $(1 - q_\beta^t)$ , which determine the quality of the fit—in different  
17 directions.

18

## 19 **To A**

20 This is our set of reference experiments, all for the period 1959–2015. This set comprises  
21 **A.1)** a stress-explicit experiment, **A.2)** three strain-explicit experiments, and **A.3)** SEs  
22 expanding the strain-explicit experiments. The parameters  $\alpha$ ,  $\lambda$ , and  $\lambda_\beta$  are reported in  $y^{-1}$ , as  
23 is commonly done.

24

1 **To A.1:** In this experiment we vary the ratio  $K/D$  ( $\lambda$  in Table 1) and  $\alpha$  to reproduce the  
2 monitored stress  $\sigma(t)$  on the left side of equation (2a) (see Supplementary Data 3). This  
3 tuning process (hereafter referred to as “Case 0”) allows us to test whether  $K$  and  $D$ , in  
4 particular, stay within their estimated limits, namely,  $K \in [10; 190]Pa$  and  
5  $D \in [313; 461]Pay$  or, equivalently,  $\lambda \in [0.0217; 0.6078]y^{-1}$ . Column “Case 0” in Table 1  
6 indicates that this case is practically identical to choosing  $\lambda = (10/461)y^{-1} = 0.0217y^{-1}$ ,  
7 the smallest ratio  $K/D$  deemed possible. For Case 0 we find  $K = 9.9Pa$  and  $D = 461.5Pay$   
8 (thus,  $\lambda = K/D = 0.0214y^{-1}$ ) and, concomitantly,  $\alpha = 0.0247y^{-1}$  (thus,  
9  $\lambda_\beta = (K/D)\beta = (K/D) + \alpha = 0.0461y^{-1}$ ).

10

11 **Table 1:** Overview of parameters in experiments A.1–A.3.

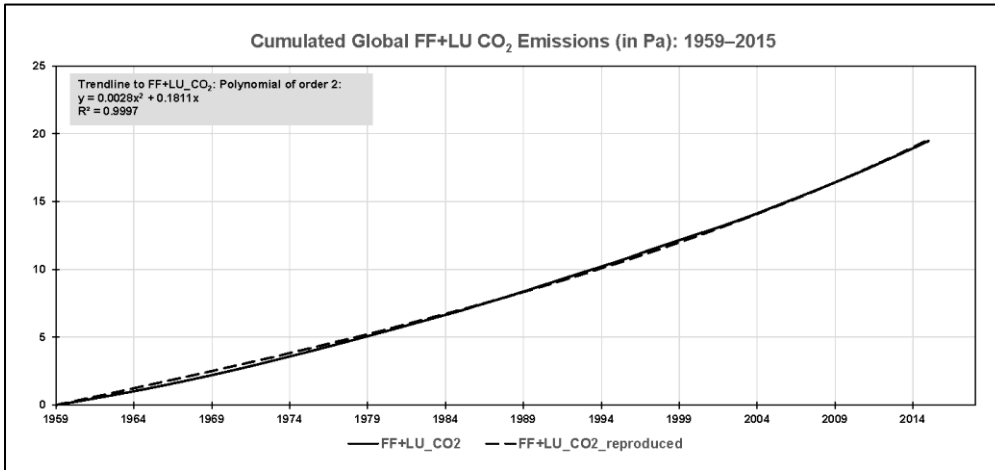
Parameter		Case 0	Case 1	Case 12	Case 13	Case 2	Case 21	Case 23	Case 3	Case 31	Case 32
		stress explicit	strain explicit	sensitivity experiments Case 1		strain explicit	sensitivity experiments Case 2		strain explicit	sensitivity experiments Case 3	
<b>K</b>	Pa	9.9	10	10	10	100	100	100	190	190	190
<b>D</b>	Pa y	461.5	461	461	461	387	387	387	313	313	313
$\lambda^{a,b}$	$y^{-1}$	0.0214	0.0217	0.0217	0.0217	0.2584	0.2584	0.2584	0.6078	0.6078	0.6078
$\lambda^{-1}$	y	46.8	46.1	46.1	46.1	3.87	3.87	3.87	1.65	1.65	1.65
$\alpha^a$	$y^{-1}$	0.0247	0.0248	0.0158	0.0174	0.0158	0.0248	0.0174	0.0174	0.0248	0.0158
$\beta$	1	2.158	2.144	1.729	1.803	1.061	1.096	1.067	1.029	1.041	1.026
$\lambda_\beta^a$	$y^{-1}$	0.0461	0.0465	0.0375	0.0391	0.2742	0.2832	0.2758	0.6252	0.6236	0.6236
$\lambda_\beta^{-1}$	y	21.7	21.5	26.7	25.6	3.65	3.53	3.63	1.60	1.58	1.60
$q_\beta$	1	0.9549	0.9546	0.9632	0.9617	0.7602	0.7534	0.7590	0.5351	0.5312	0.5360
$T_\infty$	1	21.19	21.02	26.19	25.10	3.17	3.05	3.15	1.15	1.13	1.16
$M_\infty = T_\infty/q_\beta$	1	22.19	22.02	27.19	26.10	4.17	4.05	4.15	2.15	2.13	2.16
$P_\infty = 1/T_\infty$	1	0.0472	0.0476	0.0382	0.0398	0.3155	0.3274	0.3176	0.8686	0.8825	0.8657
$\lambda/\lambda_\beta = 1/\beta$	%	46.3	46.6	57.8	55.5	94.2	91.2	93.7	97.2	96.1	97.5
n at $T/T_\infty=0.5$	1	---	28	34	33	5	5	5	3	3	3
$\lambda / LN(M \cdot P)$	%	---	5	5	5	36	36	36	54	53	54
n at $M/M_\infty=0.5$	1	---	15	19	18	3	2	3	1	1	1
$\lambda/\ln(M \cdot P)$	%	---	4	4	4	22	21	22	n.a.	n.a.	n.a.
n at $T/T_\infty=0.95$	1	---	98	121	116	17	17	17	8	8	8

$\lambda / \text{LN}(\mathbf{M} \cdot \mathbf{P})$	%	---	25	28	27	82	79	81	91	90	91
<b>n at</b> $\mathbf{M}/\mathbf{M}_0=0.95$	1	---	64	80	77	11	11	11	5	5	5
$\lambda / \text{LN}(\mathbf{M} \cdot \mathbf{P})$	%	---	13	13	13	61	60	61	74	74	74

1 <sup>a</sup> Given in  $y^{-1}$ .

2 <sup>b</sup> Derived for  $K$  and  $D$  deviating from their respective mean values equally in relative terms.

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5 **Fig. 5:** Case 0:  $K/D$  and  $\alpha$  on the right side of equation (2a) are tuned to reproduce the stress  
6  $\sigma(t)$  on the left side of that equation, given by the monitored (but cumulated)  $\text{CO}_2$   
7 emissions from fossil fuel burning and land use activities (in Pa). The value resulting  
8 for  $K/D$  complies with its lower limit deemed possible based on the uncertainties  
9 derived for  $K$  and  $D$  in Section 4.

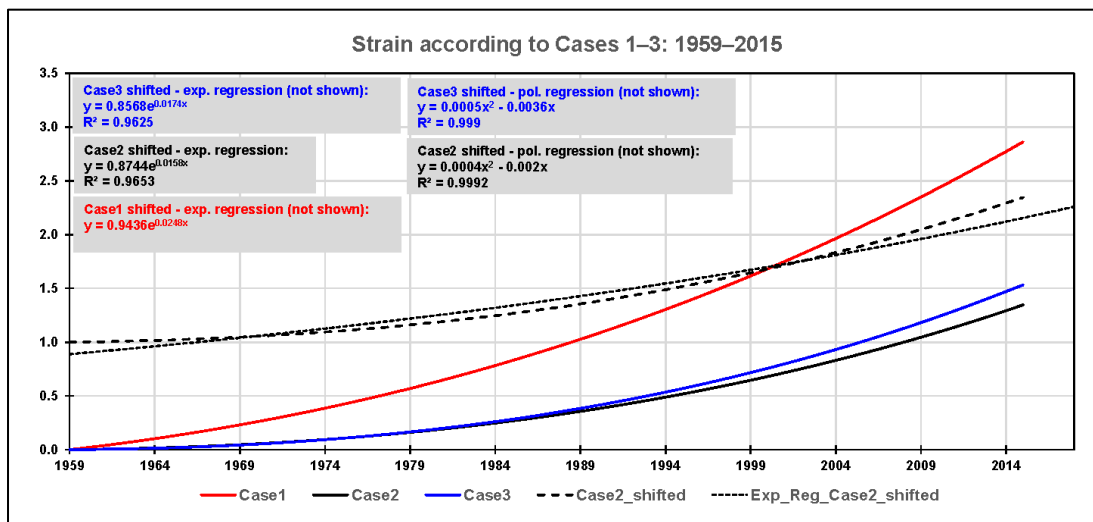
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11 Fig. 5 reflects the result of the tuning process graphically. It shows how well the monitored  
12 stress, given by the cumulated  $\text{CO}_2$  emissions from fossil fuel burning and land use activities  
13 since 1959, can be reproduced by equation (2a). The quality of the tuning is observed by  
14 summing the squares of differences between monitored and reproduced stress from 1959 to  
15 2015 using the SUMXMY2 command in Excel. (We stopped the tuning process with the sum  
16 at about  $1.400 \text{ Pa}^2$ , when changes in  $K$  and  $D$  became negligible, resulting in a correlation  
17 coefficient of 0.9998; see Supplementary Data 3.)

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Fig. 5 also shows the parameters needed to describe the monitored stress by a second-order polynomial regression (see the grey box in the upper left corner of the figure). We have not yet used this regression but will do so in the strain-explicit experiments described next.

**To A.2:** We use equation (1b) with  $\sigma(0) = \varepsilon(0) = 0$  and  $\sigma(t) = 0.0028t^2 + 0.1811t$ , the second-order polynomial regression of the monitored stress (cf. Fig. 5), to conduct three experiments (hereafter referred to as “Cases 1–3”) to explore the spread in the strain  $\varepsilon$ . To this end, we let the ratio  $K/D$  vary from minimum (Case 1) to mean (Case 2) to maximum (Case 3; see Table 1 and Supplementary Data 4) irrespective of the outcome of the Case 0 experiment, which suggests that compared to Cases 2 and 3, Case 1 ( $K$  minimal: the atmosphere is rather compressible,  $D$  maximal: the uptake of carbon by land and oceans is rather viscous) appears to be more in conformity with reality than Cases 2 and 3.



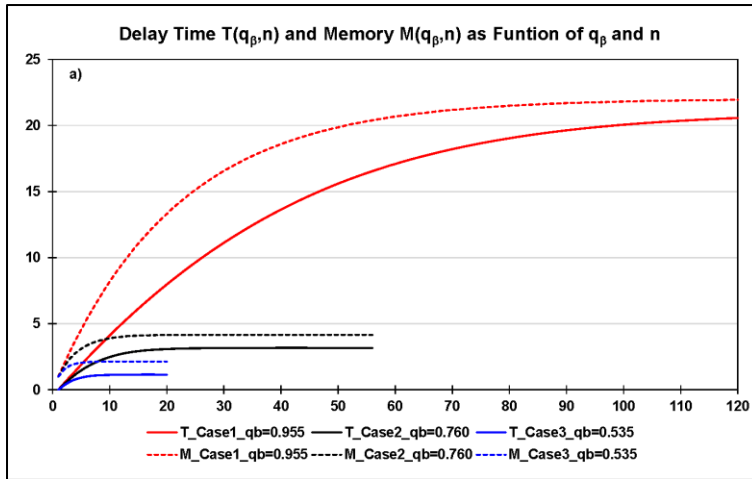
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**Fig. 6:** Cases 1–3: The ratio  $K/D$  is varied from minimum (Case 1: solid red) to mean (Case 2: solid black) to maximum (Case 3: solid blue) to explore the spread in the strain  $\varepsilon$  (in units of 1) on the left side of equation (1b), while the monitored stress is described by a second-order polynomial (see the text). These strain responses have to be shifted

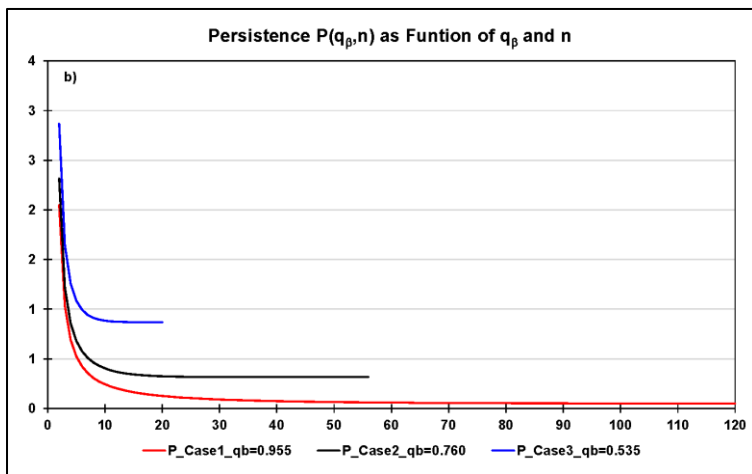
1 upward (so that they pass through 1 in 1959) to derive their rates of change, if  
2 described by an exponential regression (here only demonstrated for Case 2). As is  
3 already illustrated in Case 0, the exponential regression in Case 1 is excellent (see the  
4 text), whereas second-order polynomial regressions provide better fits in Cases 2 and  
5 3 (see the boxes in the figure; the polynomial regressions are not shown).

6  
7 Fig. 6 reflects these experiments graphically. It shows that the range of strain responses is  
8 encompassed by Case 1 ( $K/D = (10/461)y^{-1}$ ) and Case 2 ( $K/D = (100/387)y^{-1}$ ), not  
9 by Case 1 and Case 3 ( $K/D = (190/313)y^{-1}$ )—the solid blue line (Case 3) falls in between  
10 the solid red (Case 1) and solid black (Case 2) lines—resulting from how  $K$  and  $D$  dominate  
11 the individual parts of equation (1b). These strain responses have to be shifted upward (so  
12 that they pass through 1 in 1959) to describe them by an exponential regression and to derive  
13 their rates of change. The exponential fit is excellent only in Case 1, as already illustrated in  
14 Case 0 (Case 0:  $\lambda = 0.0214y^{-1}$ , Case 1:  $\lambda = 0.0217y^{-1}$ ), but inferior to the polynomial  
15 regressions, here of the second order, in Cases 2 and 3. However, a second-order polynomial  
16 approach to the strain has to be discarded because the stress derived with the help of equation  
17 (1a) would exhibit a linear behaviour with increasing time and not be a polynomial of the  
18 second order as in Fig. 6 (see Supplementary Information 9).

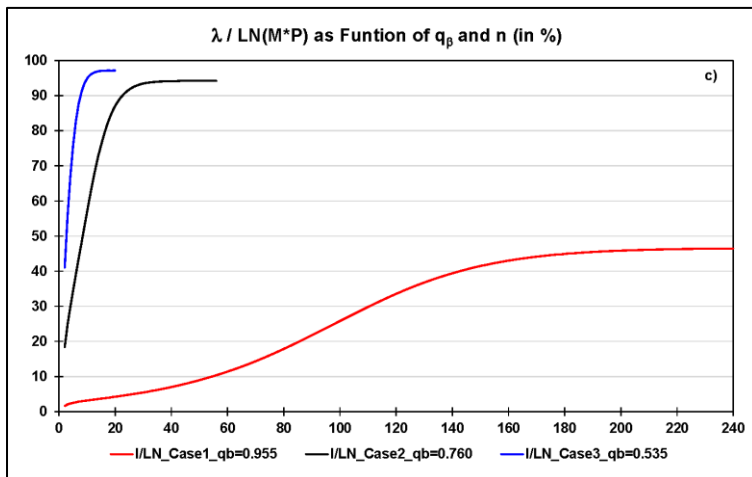
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4 **Fig. 7:** Cases 1–3: **a)** delay time  $T$  and memory  $M$  (in units of 1), **b)** persistence  $P$  (in units of  
 5 1), and **c)** the ratio  $\lambda/\ln(M \cdot P)$  (in %); all are versus time (in units of 1) as of  $n = 0$   
 6 (1959).

7

1 In this regard we note that a more targeted way forward would be to use a piecemeal  
2 approach. This approach requires the data series to be sliced into shorter time intervals,  
3 during which an exponential fit for the strain (which we assume to hold in principle in  
4 deriving equation [2a] here) is sufficiently appropriate. Fortunately, as the SEs in A.3  
5 indicate, we can hazard the consequences of using suboptimal growth factors resulting from  
6 suboptimal exponential regressions for the strain.

7

8 Equations (3) to (5) are used to determine delay time  $T$ , memory  $M$ , and persistence  $P$  (in  
9 units of 1) for Cases 1–3 as well as their characteristic limiting values  $T_\infty$ ,  $M_\infty$ , and  $P_\infty$  (see  
10 Table 1 and Supplementary Data 5 to 8). We recall that  $T$ ,  $M$ , and  $P$  are characteristic  
11 functions of the MB and are defined independently of initial conditions; these only specify  
12 the reference time for  $n = 0$  (here 1959). Fig. 7a and 7b reflect the behaviour of  $T$ ,  $M$ , and  $P$   
13 over time (in units of 1). For a better overview, Table 1 lists the times when these parameters  
14 exceed 50% or 95%, respectively, of their limiting values (without indicating whether these  
15 levels go hand in hand with, e.g., global-scale ecosystem changes of equal magnitude). In the  
16 table we also specify the ratio  $\lambda/\ln(M \cdot P)$  for each of these times (see also Fig. 7c). The  
17 ratio approaches  $\lambda/\lambda_\beta$  for  $n \rightarrow \infty$  and indicates (as a percentage) how much smaller the  
18 system's natural rate of change in the numerator turns out compared to the system's rate of  
19 change in the denominator under the continued increase in stress. As is illustrated, in  
20 particular, by Case 1 in the figure, the ratio does not increase at a constant pace as  $n$   
21 increases, which shows the nonlinear strain response of the atmosphere–land/ocean system.

22

23 **To A.3:** Three sets of SEs serve to assess the influence of the exponential growth factor on  
24 the strain-explicit experiments described above:



1 **SE1:**  $\alpha_1 = 0.0248y^{-1}$  as in Case 1 (cf. Fig. 6) is also used in Cases 2 and 3 (hereafter  
 2 referred to as “Cases 21 and 31”).

3 **SE2:**  $\alpha_2 = 0.0158y^{-1}$  as in Case 2 (cf. Fig. 6) is also used in Cases 1 and 3 (hereafter  
 4 referred to as “Cases 12 and 32”).

5 **SE3:**  $\alpha_3 = 0.0174y^{-1}$  as in Case 3 (cf. Fig. 6) is also used in Cases 1 and 2 (hereafter  
 6 referred to as “Cases 13 and 23”).

7

8 Table 1 shows that the influence of a change in the exponential growth factor is small vis-à-  
 9 vis the dominating influence of  $K$  and  $D$  and the quality in the estimates of  $T$ ,  $M$ , and  $P$ . For  
 10 instance, the dimensionless time  $n$  at  $M/M_\infty = 0.5$  ranges from 15 to 19 in Case 1 and  
 11 Case 1–related experiments (small persistency) and from 2 to 3 in Case 2 and Case 2–related  
 12 experiments (great persistency); in Case 3 and Case 3–related experiments, it does not exhibit  
 13 a range at all ( $n \approx 1$ ; very great persistency). These ranges for  $n$  tell us how long it takes to  
 14 build up 50% of the memory with time running as of  $n = 0$  (1959).

15

16 **Table 2:** Cases 1–3 and related experiments: Build-up of memory (%) as of  $n = 0$  (1959).

Time		Increase in memory as of $n=0$ (1959)		
		Cases 1, 12, 13	Cases 2, 21, 23	Cases 3, 31, 32
y	1	%	%	%
1959 <sup>a</sup>	0	0.0	0.0	0.0
1964	5	17–21	75–76	96
1970	11	34–40	95–96	100
2015	56	88–93	100	---

17 <sup>a</sup> Start year:  $\sigma_0 = \varepsilon_0 = 0$ .

18

19 Alternatively, we can ask how much memory has been build up until a given year. Table 2  
 20 tells us that after 56 years (i.e., in 2015) memory is still building up only in Case 1 and Case  
 21 1–related experiments, which means that the system still responds in its own characteristic  
 22 way (as a result of a small  $K$  and a great  $D$ ) to the continuously increasing stress; this is not

1 so in Cases 2 and 3 (and related experiments). In the latter two cases today's uptake of carbon  
2 by land and oceans happens de facto outside the system's natural regime and solely in  
3 response to the sheer, continuously increasing stress imposed on it, whereas in Case 1 and  
4 Case 1-related experiments the limits of the natural regime are not yet reached. This  
5 interpretation of Cases 1–3 (and related experiments) does not depend on how much carbon  
6 the system already took up before 1959.  $M$  is additive and defined independently of initial  
7 conditions; these only specify 1959 as reference time for  $n = 0$ . This means by implication  
8 that the current  $M$  value (or its perpetuation) is contained in the  $M$  value (or is part of that  
9 value's perpetuation) which starts accruing from an earlier point in time (see also  
10 experiments B and C below).

11

12 Finally, it is important to note that it is prudent to expect that natural elements (like land and  
13 oceans) will not continue to maintain their damping (i.e., carbon uptake) capacity—or their  
14 capacity to embark on a, most likely, hysteretic downward path in the case of a sustained  
15 decrease in emissions—even well before they reach the limits of their natural regimes. They  
16 may simply collapse globally when reaching a critical threshold. We note that our choice of  
17 model binds us to the global scale and also does not allow “failure” to be specified further;  
18 e.g. with respect to when exactly a critical threshold will occur and in terms of whether  
19 carbon uptake decreases only or even ceases upon reaching the threshold.

20

## 21 **To B and C**

22 We report on the sets of stress-strain experiments B and C in combination. They can be  
23 understood as a repetition of the 1959–2015 Case 0 experiment (see A.1) but with the  
24 difference that now upstream emissions as of 1900 (B) or 1850 (C), respectively, are  
25 considered. This allows initial conditions for 1959 other than zero, as in the Case 0

1 experiment, to be ~~taken into account~~considered (see Supplementary Information 10 and  
2 Supplementary Data 9 to 16):

3 Case 0: 1959–2015

4 B: 1900–1958 (upstream emissions), 1959–2015

5 C: 1850–1958 (upstream emissions), 1959–2015

6

7 The experiments can be ordered consecutively in terms of time with the three 1959–2015  
8 periods comprising a min–max interval to facilitate the drawing of a number of robust results  
9 in spite of the uncertainty underlying these stress-strain experiments (see Supplementary  
10 Information 10). Between 1850 and 1959–2015 (i) the compression modulus  $K$  increased  
11 from  $\sim 2$  to 10–13 Pa (the atmosphere became less compressible) while (ii) the damping  
12 constant  $D$  decreased from  $\sim 468$  to 459–462 Pa y (the uptake of carbon by land and oceans  
13 became less viscous), with the consequence that (iii) the ratio  $\lambda = K/D$  increased from  
14  $\sim 0.004$ – $0.005 \text{ y}^{-1}$  to  $0.021$ – $0.028 \text{ y}^{-1}$  (i.e., by a factor of 4–6). Likewise, (iv) delay time  $T_\infty$   
15 decreased (hence persistence  $P_\infty$  increased) from  $\sim 51$  ( $\sim 0.02$ ) to 18–21 (0.047–0.055) while  
16 (v) memory  $M_\infty$  decreased from  $\sim 52$  to 19–22 on the dimensionless time scale.

17

## 18 **6. Account of the Findings**

19 Here we discuss our main findings in greater depth, recollect the assumptions underlying our  
20 global stress-strain approach, and conclude by returning to the three questions posed in the  
21 beginning.

22

23 We make use of a MB to model the stress-strain behaviour of the global atmosphere–  
24 land/ocean carbon system and to simulate how humankind propelled that global-scale  
25 experiment historically, here as of 1850. The stress is given by the CO<sub>2</sub> emissions from fossil

1 fuel burning and land use, while the strain is given by the expansion of the atmosphere by  
2 volume and uptake of CO<sub>2</sub> by sinks. The MB is a logical choice of stress-strain model given  
3 the uninterrupted increase in atmospheric CO<sub>2</sub> concentrations since 1850.

4

5 The stress-strain model is unique and a valuable addendum to the suite of models (such as  
6 radiation transfer, energy balance or box-type carbon cycle models), which are highly  
7 reduced but do not compromise complexity in principle. These models offer great benefits in  
8 safeguarding complex three-dimensional global change models. Here too, the proposed  
9 stress-strain approach allows three system-characteristic parameters to be distilled from the  
10 stress-explicit equation—delay time, memory, and persistence—and new insights to be  
11 gained. What we consider most important is that these parameters come with their own  
12 internal limits, which govern the behaviour of the atmosphere–land/ocean carbon system.  
13 These limits are independent from any external target values (such as temperature targets  
14 justified by means of global change research).

15

16 Knowing these limits is precisely the reason why we can advance the discussion and draw  
17 some preliminary conclusions. To start with, we look at the Case 0 experiment and the stress-  
18 strain experiments B and C in combination. The values of the Case 0 parameters  $T_{\infty}$  and  $M_{\infty}$ ,  
19 in particular, are at the upper end of the respective 1959–2015 min–max intervals (see  
20 Supplementary Information 10). That is, the respective characteristic ratios  $T/T_{\infty}$  and  $M/M_{\infty}$   
21 reach specified levels (e.g., 0.5 or 0.95; see Fig. 7a) slightly sooner than when  $T_{\infty}$  and  $M_{\infty}$   
22 take on values at the lower end of the 1959–2015 min–max intervals. Given that Case 0 is  
23 well represented by Case 1, we can use the parameter values of the latter. According to  
24 column “Case 1” in Table 1,  $M/M_{\infty}$  and  $T/T_{\infty}$  reached their 0.5 levels after about 15 and 28  
25 year-equivalent units on the dimensionless time scale (which was in 1974 and 1987), whereas

1 they will reach their 0.95 levels after about 64 and 98 year-equivalent units (which will be in  
2 2023 and 2057)—if the exponential growth factor  $\alpha$  remains unchanged in the future.

3

4 This not unthinkable worst case provides a reference, as follows: We understand, in  
5 particular, the ability of a system to build up memory effectively as its ability to respond to  
6 stress still in its own characteristic way (i.e., within its natural regime). Therefore, it appears  
7 precautionary to prefer memory over delay time in avoiding potential system failures globally  
8 in the future. These we expect to happen well before 2050 if the current trend in emissions is  
9 not reversed immediately and sustainably. However, we reiterate that our choice of model  
10 binds us to the global scale and also does not allow “failure” to be specified further.

11

12 We consider our precautionary statement robust given both the uncertainties we dealt with in  
13 the course of our evaluation and the restriction of our variation parameters to two. One of the  
14 two variation parameters ( $\lambda$ ) presupposes knowing  $K$  and  $D$  with equal inaccuracy in relative  
15 terms. This procedural measure in treating  $\lambda$ , in particular, offers a great applicational benefit,  
16 but no serious restriction given that (while, ideally,  $\alpha$  is constant) it is the  $K/D$  ratio that  
17 matters and whose ultimate value is controlled by consistency—which comes in as a  
18 powerful rectifier. As a matter of fact, fulfilling consistency results in a  $K/D$  ratio that ranges  
19 close to the lower uncertainty boundary which we deem adequate based on our preceding  
20 assessment. That is, a smaller  $K$ : the atmosphere is more compressible than previously  
21 thought; and a greater  $D$ : the uptake of carbon by land and oceans is more viscous than  
22 previously thought (see Cases 1–3 in Tab. 1). However, the overall effect of the continued  
23 release of CO<sub>2</sub> emissions since 1850 on the  $K/D$  ratio is unambiguous—the ratio increased  
24 (see  $\lambda$  in Table SI10-2) by a factor 4–6 ( $K$  increased: the atmosphere became less  
25 compressible;  $D$  decreased: the uptake of carbon by land and oceans became less viscous).

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By way of contrast, persistence is less intelligible. Equation (5) allows persistence (as well as its systemic limit) to be followed quantitatively. However, it is conducive to understand persistence as path dependency and in qualitative terms, i.e. whether it increased or decreased. Thus, we see that  $P_{\infty}$  increased since 1850 by a factor of 2–3 (see  $P_{\infty}$  in Table SI10-2), which indicates that the atmosphere–land/ocean system is progressively trapped from a path dependency perspective. This, in turn, means that it will become progressively more difficult to (strain-) relax the entire system (i.e., the atmosphere including land and oceans)—a mere 1-year decrease of a few percentage points in CO<sub>2</sub> emissions, as reported recently for 2020, will have virtually no impact (Global Carbon Project, 2020).

To conclude, we return to the three questions posed in the beginning. These can be answered unambiguously:

Memory, just as persistence, is a characteristic (function) of the MB. Mathematically spoken, it is contained in the integral on the right side of equation (1a) and is defined independently of initial conditions. These appear only in the lower boundary of that integral which allows initial conditions other than zero to be considered by taking advantage of the integral’s additivity.

The memory of the atmosphere–land/ocean carbon system—Earth’s memory—can be quantified. It can be understood as the depreciated strain summed up backward in time. We let memory extend backward in time to 1850, assuming zero anthropogenic stress before that date. Memory is measured in units of 1 and accrues continually over time (here as the result of the uninterrupted increase in stress).

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Memory is constrained. It can be compared with a limited buffer, approximately 60% of which humankind had already exploited prior to 1959 (see  $M_{\infty}$  in Tab. SI10-2). We understand the effective build-up of memory as Earth’s ability to respond still within its own natural stress-strain regime. However, this ability declines considerably with memory reaching high levels of exploitation (see  $M/M_{\infty} \geq 0.95$  in Table 1)—which we anticipate happening in the foreseeable future if CO<sub>2</sub> emissions continue to increase globally as before.

Finally, we can also quantify the persistence of the atmosphere–land/ocean carbon system. It is also measured in units of 1. Persistence can be understood intuitively as path dependency and in qualitative terms. Concomitantly with the exploitation of memory, we see that  $P_{\infty}$  increased since 1850 by approximately a factor 2–3—and can be expected to increase further if the release of CO<sub>2</sub> emissions globally continues as before.

Based on these stress-strain insights we expect that the atmosphere–land/ocean carbon system is forced outside its natural regime well before 2050 if the current trend in emissions is not reversed immediately and sustainably.

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10 **Data Availability**

11 Supplementary Material (Supplementary Information and Supplementary Data):  
12 <https://doi.org/10.22022/em/06-2021.123>

13

14 **Author Contributions**

15 M.J. set up the physical model of the atmosphere–land/ocean system; derived its delay time,  
16 memory, and persistence; and provided the initial estimates of its compression and damping  
17 characteristics. R. B. contributed to the physical and mathematical improvement of the  
18 method and the physical consistency of results. I. R. and P. Z. contributed to the inspection of  
19 mathematical relations globally and their generalizations. P.Z. contributed to the  
20 strengthening of the method by evaluating alternative memory concepts known in  
21 mathematics.

22



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## Supplementary Information (SI)

### SI1: To equation (2)

With  $\dot{\varepsilon}(t) = \alpha \exp(\alpha t)$  and  $\alpha > 0$ ,  $\sigma(0) = 0$  and  $\beta = 1 + \alpha \frac{D}{K}$ :

$$\begin{aligned}\sigma(t) &= K \int_0^t \alpha \exp(\alpha \tau) \exp\left(\frac{K}{D}(\tau - t)\right) d\tau = K \alpha \exp\left(-\frac{K}{D}t\right) \int_0^t \exp\left(\frac{K}{D}\beta \tau\right) d\tau \\ &= \frac{D}{\beta} \alpha \exp\left(-\frac{K}{D}t\right) \left(\exp\left(\frac{K}{D}\beta t\right) - 1\right) = \frac{D}{\beta} \alpha \exp(\alpha t) \left(1 - \exp\left(-\frac{K}{D}\beta t\right)\right) \\ &= \frac{D}{\beta} \dot{\varepsilon}(t) (1 - q_\beta^t)\end{aligned}$$

where  $q_\beta^t = \exp\left(-\frac{K}{D}\beta t\right)$ . Introducing the dimensionless time  $n = \frac{t}{\Delta t}$  (where  $\Delta t = 1y$ ), equation (2) takes the form

$$\sigma(n) = \frac{D}{\beta} \frac{\alpha_n}{\Delta t} \exp(\alpha_n n) (1 - q_\beta^n) = \frac{D}{\beta} \dot{\varepsilon}(n) (1 - q_\beta^n) \quad (\text{SI1-1})$$

where  $\alpha_n := \alpha \Delta t$ ,  $q_\beta = q_\alpha q$ ,  $q_\alpha = \exp(-\alpha \Delta t)$ ,  $q = \exp\left(-\frac{K}{D}\Delta t\right)$ , and  $q_\beta^t = \left(\exp\left(-\frac{K}{D}\beta \Delta t\right)\right)^n$ .

### SI2: To equation (3)

We start from equation (2b) in the form  $\sigma_D(q, n) := \frac{1}{D} \sigma(n) = \dot{\varepsilon}(n) \frac{\ln q}{\ln q - \alpha_n} (1 - q_\alpha^n q^n)$  with

$$\frac{1}{\beta} = \frac{\ln q}{\ln q - \alpha_n}:$$

$$\begin{aligned}q \frac{\partial}{\partial q} \sigma_D(q, n) &= \dot{\varepsilon}(n) q \left\{ \frac{\partial}{\partial q} \left( \frac{\ln q}{\ln q - \alpha_n} \right) (1 - q_\beta^n) - \frac{\ln q}{\ln q - \alpha_n} q_\alpha^n \frac{\partial}{\partial q} q^n \right\} \\ &= \dot{\varepsilon}(n) \left\{ q \frac{\partial}{\partial q} \left( \frac{\ln q}{\ln q - \alpha_n} \right) (1 - q_\beta^n) - \frac{1}{\beta} q_\beta^n n \right\}\end{aligned} \quad (\text{SI2-1a})$$

where we can avoid the effort of writing out the 1<sup>st</sup> derivative on the right side; and

$$q q_\alpha^n \frac{\partial}{\partial q} q^n = q q_\alpha^n q^{n-1} n = q_\beta^n n.$$

On the other hand, with  $S_n = \frac{1-q_\beta^n}{1-q_\beta}$  and the help of equation (SI3-4a):

$$\begin{aligned}
 q \frac{\partial}{\partial q} \sigma_D(q, n) &= \dot{\varepsilon}(n) q \frac{\partial}{\partial q} \left( \frac{\ln q}{\ln q - \alpha_n} (1 - q_\beta) S_n \right) \\
 &= \dot{\varepsilon}(n) q \left\{ \frac{\partial}{\partial q} \left( \frac{\ln q}{\ln q - \alpha_n} \right) (1 - q_\beta) S_n - \frac{1}{\beta} \frac{\partial q_\beta}{\partial q} S_n + \frac{1}{\beta} (1 - q_\beta) \frac{\partial q_\beta}{\partial q} \frac{\partial S_n}{\partial q_\beta} \right\} \\
 &= \dot{\varepsilon}(n) \left\{ q \frac{\partial}{\partial q} \left( \frac{\ln q}{\ln q - \alpha_n} \right) (1 - q_\beta) S_n - \frac{1}{\beta} q_\beta S_n + \frac{1}{\beta} (1 - q_\beta) S_n \frac{q_\beta}{S_n} \frac{\partial S_n}{\partial q_\beta} \right\} \\
 &= \dot{\varepsilon}(n) \left\{ q \frac{\partial}{\partial q} \left( \frac{\ln q}{\ln q - \alpha_n} \right) (1 - q_\beta^n) - \frac{1}{\beta} q_\beta S_n + \frac{1}{\beta} (1 - q_\beta^n) T \right\}
 \end{aligned} \tag{SI2-1b}$$

Balancing equations (SI2-1a) and (SI2-1b) yields equation (3):

$$T = -\frac{q_\beta^n}{1 - q_\beta^n} n + \frac{q_\beta}{1 - q_\beta}. \tag{SI2-2}$$

$T = T(q, n)$  is a characteristic function of the Maxwell body (MB).

### SI3: Justifying T as delay time

We can let any function  $f$  of time  $t$  (dimensionless throughout SI3 and  $\in \mathbb{N}_0$  without restricting generality) depend increasingly on previous times by applying the approach of a simple weighted average and a weighting fading away exponentially backward in time ( $q < 1$ ):

$$\begin{aligned}
 y_1(t) &= f\left(\frac{q^0 t}{q^0}\right) \\
 y_2(t) &= f\left(\frac{q^0 t + q^1(t-1)}{q^0 + q^1}\right) \\
 &\dots \\
 y_k(t) &= f\left(\frac{q^0 t + q^1(t-1) + q^2(t-2) + \dots + q^{k-1}(t-(k-1))}{\sum_{i=0}^{k-1} q^i}\right) = f(t - T)
 \end{aligned} \tag{SI3-1}$$

( $t \geq k \in \mathbb{N}_0$ ) with  $T$  appearing as delay time in the argument of the function  $f$ . The denominator of the argument in the middle is given by

$$S_k = \sum_{i=0}^{k-1} q^i = \frac{1-q^k}{1-q}; \quad (\text{SI3-2})$$

while the numerator can be transformed with the help of<sup>1</sup>

$$\sum_{k=a}^{b-1} k^m z^k = \left( z \frac{d}{dz} \right)^m \frac{z^b - z^a}{z-1} \quad (z \neq 1),$$

here with  $i$  instead of  $k$ , and  $q$  instead of  $z$ , and  $a = 0$ ,  $b = k$ , and  $m = 1$

$$\sum_{i=0}^{k-1} i q^i = q \frac{d}{dq} \frac{q^k - q^0}{q-1} = q \frac{d}{dq} \frac{1-q^k}{1-q} = q \frac{d}{dq} S_k \quad (q \neq 1) \quad (\text{SI3-3})$$

to derive  $T$ :

$$T = \frac{q}{S_k} \frac{d}{dq} S_k. \quad (\text{SI3-4a})$$

Similar to and in accordance with equation (3), carrying out the derivation by  $q$  on the right side yields

$$T = \frac{q}{S_k} \frac{1}{1-q} \left( -q^{k-1} k + S_k \right) = -\frac{q^k}{1-q^k} k + \frac{q}{1-q}. \quad (\text{SI3-4b})$$

It is straightforward to show by applying l'Hospital that  $\lim_{k \rightarrow \infty} (q^k k) = 0$ . Thus:

$$T_{k \rightarrow \infty} (= T_\infty) = \frac{q}{1-q} = q \frac{1}{1-q} = q S_{k \rightarrow \infty}. \quad (\text{SI3-5})$$

To strengthen the justification of  $T$  as delay time for the exponential function  $y(t) = 1 - \exp(-ct) = 1 - q^t$  with  $\ln(q) = -c$  and  $c > 0$ , it is useful to consider the power-

law case  $y(t) = ct^a$ . Here, the ratio  $\frac{y}{\dot{y}}$  with  $T = q$  functions as a linearizer such that

$$\frac{y - \dot{y} b}{\dot{y}} = a(t - T) \Leftrightarrow \frac{y - \dot{y} b}{\dot{y}} = \frac{1}{T}(t - T); \text{ where } b = aT \text{ is the intercept, } T = \frac{\dot{y}}{y} t \text{ is the}$$

intersection with the time axis, and the difference  $t - T$  can be expressed as well as weighted

(w) (or moving weighted) average  $(t - T) = \frac{\sum_{i=0}^{k-1} w_{k-i} (t - i)}{\sum_{i=0}^{k-1} w_{k-i}}$ .  $T$  being constant is in

line with the finding (not shown here) that the change in memory can be considered Gaussian backward in time.

Similar for the exponential function  $y(t) = 1 - q^t$ . Here,  $q$  and  $t$  appear mirrored to the power-law case. Nonetheless,  $T$  (reduced by  $T_\infty$ ) in equation (SI3-5) can also be expressed, in principle (i.e., apart from additional factors), by the operation

$$T_{\text{red}} = T - T_{\infty} = \frac{1}{\ln(q)} \frac{\dot{y}}{y} t. \quad (\text{SI3-6})$$

However, despite this agreement, the change in memory here is exponential backward in time.

Equation (SI3-6) generalizes to  $T - T_{\infty} = \frac{1 - \beta}{\beta} \frac{\dot{\sigma} - \alpha \sigma}{\alpha \sigma} t$  in the case of equation (2a).

#### SI4: To equation (4) reflecting the history of the MB

Rewriting equation (4) shows that it reflects the history of the MB:

$$\begin{aligned} S_n &= \frac{q_{\beta}^n - 1}{q_{\beta} - 1} = \frac{1}{q_{\beta} - 1} \left\{ (q_{\beta}^n - q_{\beta}^{n-1}) + (q_{\beta}^{n-1} - q_{\beta}^{n-2}) + q_{\beta}^{n-2} + \dots + q_{\beta} + (q_{\beta} - 1) \right\} \\ &= \frac{1}{q_{\beta} - 1} \left\{ q_{\beta}^{n-1} (q_{\beta} - 1) + q_{\beta}^{n-2} (q_{\beta} - 1) + \dots + q_{\beta}^0 (q_{\beta} - 1) \right\} = \sum_{i=0}^{n-1} q_{\beta}^i = \text{Past} \end{aligned} \quad (\text{SI4-1})$$

#### SI5: To monitoring $\ln(M \cdot P)$

According to equations (3)–(5):

$$M_{\infty} = \frac{1}{1 - q_{\beta}} = \frac{T_{\infty}}{q_{\beta}} \quad \text{and} \quad P_{\infty} = \frac{1}{T_{\infty}}. \quad (\text{SI5-1,2})$$

Hence:

$$\frac{1}{M_{\infty} P_{\infty}} = q_{\beta} = \exp\left(-\left(\frac{K}{D} + \alpha\right)\Delta t\right) = \exp\left(-\frac{K}{D}\beta\Delta t\right) \Leftrightarrow \ln(M_{\infty} P_{\infty}) = \frac{K}{D}\beta\Delta t = \lambda_{\beta} = \lambda\beta$$

with  $q_{\beta}$  and  $q$  as defined under Methods, and  $\lambda_{\beta} = \lambda\beta$  with  $\lambda = \frac{K}{D}\Delta t$ . Thus, the ratio  $\frac{\lambda}{\ln(MP)}$

allows indicating how much smaller the system's natural rate of change in the numerator turns out compared to the system's rate of change in the denominator under continued increase in stress. This gradual build-up relative to  $\lambda$  (with  $K/D$  constant) is limited by  $\beta^{-1}$ .

## SI6: Overview of data and conversion factors

**Tab. SI6-1:** Overview of the data used in the paper. All data refer to the global scale (or are assumed to be globally representative).

Data	Source	Time range	Brief description
Atmospheric CO <sub>2</sub> concentration (in ppm)	2 Degrees Institute, Canada <sup>2</sup>	1750–1955	Ice core data (75-year smoothed); Law Dome, Antarctica
		1959–1979	Atmospheric measurements (annual means); Mouna Loa, Hawaii
	Global Monitoring Laboratory, NOAA, USA <sup>3</sup>	1980–2018	
CO <sub>2</sub> emissions from fossil-fuel combustion and cement production (in PgC y <sup>-1</sup> )	Global Carbon Project <sup>4</sup>	1751–1958	Global estimates derived from energy statistics by nation and year
Land-use change emissions (in PgC y <sup>-1</sup> )		1959–2015	
		Net primary production (in PgC y <sup>-1</sup> )	1850–1958
1959–2015			
Dissolved organic carbon (in μmol kg <sup>-1</sup> )	O'Sullivan et al. (2019) <sup>5</sup>	1900–2016	Model-based global mean values (Community Land Model; CLIM4.5-BGC)
	Bates et. al. (2014) <sup>6</sup>	1983–2012 (max. range)	Shipboard observations (annual means); from 7 sites (2 in the subpolar North Atlantic and 5 in the tropical/subtropical/temperate waters of the North Atlantic and Pacific)

**Tab. SI6-2:** Overview of the conversion factors used in the paper.

From	To	Value	Unit	Source
C	CO <sub>2</sub>	3.664	gCO <sub>2</sub> (gC) <sup>-1</sup>	CDIAC (2012: Tab. 3) <sup>7</sup>
ppmv CO <sub>2</sub>	PgC	2.120	PgC ppmv <sup>-1</sup>	Ciais et al. (2014: Tab. 6.1) <sup>8</sup>
ppmv CO <sub>2</sub>	Pa	0.101325	Pa (10 <sup>6</sup> ppmv) <sup>-1</sup>	CDIAC (2012: Tab. 3) <sup>7</sup> and Dalton's law <sup>9</sup>

## SI7: Use of equation (9) to estimate the photosynthetic carbon flux ratio $\Delta\text{Ph}_i/\text{Ph}$

The leaf-level factor  $L$  denotes the relative leaf photosynthetic response to a 1 ppmv change in the atmospheric concentration of CO<sub>2</sub>. The photosynthetic limits  $L_1$  (photosynthesis limited by electron transport) and  $L_2$  (photosynthesis limited by rubisco activity) are determined by using equations (7) and (9) in Luo et al. (1996).<sup>10</sup>

We follow equation (9) to derive the photosynthetic carbon flux ratio  $\Delta\text{Ph}_i/\text{Ph}$  by the change in  $L_i$ , which we describe by means of a geometric sequence (with the common ratio  $1 - q_{L_i}$ ). We demonstrate the quality of this approximation by comparing our results (to the extent possible) with those cited by Luo et al. (1996). Dropping index  $i$ :

$$\begin{aligned}
 &L_{\text{high}} - L_{\text{low}} \\
 &= \Delta L = L_{\text{high}} + L_{\text{high}}(1 - q_L) + \dots + L_{\text{high}}(1 - q_L)^{(\Delta\text{CO}_2 - 1)} \quad (\text{SI7-1}) \\
 &= L_{\text{high}} \sum_{k=0}^{\Delta\text{CO}_2 - 1} (1 - q_L)^k = L_{\text{high}} \frac{1 - (1 - q_L)^{\Delta\text{CO}_2}}{1 - (1 - q_L)}
 \end{aligned}$$

where  $q_L = \Delta L / (L_{\text{high}} \Delta\text{CO}_2)$ . (We follow the authors and express  $L_i$  in units of % [and not in % ppmv<sup>-1</sup>]. To express  $q_L$  in units of 1, we consider  $\Delta\text{CO}_2$  dimensionless [equivalent to multiplying  $\Delta\text{CO}_2$  with ppmv<sup>-1</sup>].) The term  $L_{\text{high}}$  has to be replaced by the term  $L_{\text{high}} f_{\text{ppm}}$  if  $\Delta L$  is not calculated per 1-ppmv step but per 1-year step (when the change in ppmv is not necessarily 1 ppmv; see also SD1). With the values in Table SI7-1, equation (SI7-1) allows accumulated  $\Delta L_i$  values to be derived which can be compared with the  $\Delta\text{Ph}_i/\text{Ph}$  values reported by Luo et al. (1996) in their Table 1.<sup>10</sup> The agreement is sufficient for our purposes (Tab. SI7-2).

**Tab. SI7-1:** Limits of the relative leaf photosynthetic response to a 1 ppm change in the atmospheric concentration of CO<sub>2</sub> using equations (7) and (9) in Luo et al. (1996).

Time	CO <sub>2</sub>	L <sub>1</sub>	L <sub>2</sub>
y	ppmv	%	%
preindustrial	280	0.1827	0.3520
1958	315	0.1457	0.2969
1992	355.5	0.1155	0.2495
1993	357	0.1146	0.2479

**Tab. SI7-2:** Comparison of  $\Delta L_i$  (accumulated) derived with equation (SI7-1) with  $\Delta\text{Ph}_i/\text{Ph}$  as listed in Table 1 in Luo et al. (1996).

Period	$\Delta\text{CO}_2$	$q_{L1}$	$q_{L2}$	$\Delta L_1$	$\Delta L_2$	$\Delta\text{Ph}_i/\text{Ph}$
y	ppmv	1	1	%	%	%
1992–1993	1.5	0.005358	0.004031	0.17	0.37	0.17–0.37
1958–1993	42	0.005080	0.003929	5.6	11.5	5.6–12.1
preindustrial –1993	77	0.004839	0.003840	11.8	23.5	11.8–25.5

### SI8: The compression module referring to a tropospheric expansion of 20 m (standard atmosphere)

The standard atmosphere assigns a temperature gradient of -6.5 °C/1000 m up to the tropopause at 11 km. The isentropic coefficient of expansion  $\gamma$  varies with temperature and atmospheric CO<sub>2</sub> concentration:  $\gamma$  increases with decreasing T and decreases with increasing atmospheric CO<sub>2</sub>.<sup>11</sup> However, in the case of dry air and no change in its chemical composition, the compression module  $K_{\text{ad}}$  can be expected to stay constant. Here we provide an overview of

the altitudes different isentropic coefficients of expansion refer to assuming a tropospheric expansion of 20 m;<sup>12,13</sup> and, thereupon, determine  $K_{ad}$ .

Combining equations (19) and (20b):

$$K_{ad} = \gamma p = -\frac{\Delta p}{\Delta V/V} \quad (\text{SI8-1})$$

where the difference in pressure for a difference in altitude  $\Delta h = h_2 - h_1$  is given by

$$\Delta p = p_2 - p_1 = p_0 \left[ (1 - a(h_1 + \Delta h))^b - (1 - a h_1)^b \right]$$

according to equation (7) in Cavcar (2000)<sup>14</sup> with  $p_0 = 1013.25 \text{ hPa}$ ,  $a = 0.0065/T_0$ ,  $T_0 = 288.15 \text{ K}$ ,  $b = 5.2561$ , and  $h$  the altitude in units of meter;

and the difference in volume by

$$\frac{\Delta V}{V} = \frac{V_2 - V_1}{V_1 - V_{\text{Earth}}} = \frac{(r_{\text{Earth}} + (h_1 + \Delta h)/1000)^3 - (r_{\text{Earth}} + h_1/1000)^3}{(r_{\text{Earth}} + h_1/1000)^3 - r_{\text{Earth}}^3}$$

with  $r_{\text{Earth}} = 6371 \text{ km}$ .

Letting  $p$  refer to  $p_1$  in equation (SI8-1) and solving for  $\gamma$ :

$$\gamma = \frac{1 - \left\{ (1 - a(h_1 + \Delta h)) / (1 - a h_1) \right\}^b}{\Delta V/V} \quad (\text{SI8-2})$$

Setting  $\Delta h = 20 \text{ m}$  in agreement with observations, equation (SI8-2) allows calculating  $\gamma$  in dependence of  $h_1$  (see Tab. SI8-1). As can also be seen from the table, the value of  $K_{ad}$  ranges between 400 and 412 hPa.

**Tab. SI8-1:** Standard atmosphere: isentropic coefficient of expansion  $\gamma$  and compression module  $K_{ad}$  for a tropospheric expansion of 20 m at different altitudes.

$h_1$	$\gamma$	$p_1$	$K_{ad}$
m	1	hPa	hPa
Input	Eq. (SI8-2)	Eq. (7) in Cavcar (2000)	Eq. (SI8-1)
7,100	1.000	404.8	404.8
7,685	1.100	372.5	409.6
8,255	1.200	343.0	411.6
8,810	1.300	316.2	411.1
8,865	1.310	313.6	411.0
9,345	1.400	292	408.8
9,360	1.403	291.3	408.7
9,865	1.500	269.9	404.9
10,370	1.600	249.7	399.6

### SI9: Equation (1a) with strain given by a second-order polynomial

We start from  $\varepsilon(t) = c_2 t^2 + c_1 t$ . Inserting  $\dot{\varepsilon}(t) = 2c_2 t$  into equation (1a) with  $\sigma(0) = 0$  and

$$\int x e^{cx} dx = e^{cx} \frac{cx-1}{c^2} :^{15}$$

$$\begin{aligned} \sigma(t) &= K \int_0^t \dot{\varepsilon}(\tau) \exp\left(\frac{K}{D}(\tau-t)\right) d\tau = 2c_2 K \exp\left(-\frac{K}{D}t\right) \int_0^t \tau \exp\left(\frac{K}{D}\tau\right) d\tau \\ &= 2c_2 K \exp\left(-\frac{K}{D}t\right) \left\{ \exp\left(\frac{K}{D}\tau\right) \frac{\frac{K}{D}\tau-1}{\left(\frac{K}{D}\right)^2} \right\}_0^t = 2c_2 K \exp\left(-\frac{K}{D}t\right) \left\{ \exp\left(\frac{K}{D}t\right) \frac{\frac{K}{D}t-1}{\left(\frac{K}{D}\right)^2} + \frac{1}{\left(\frac{K}{D}\right)^2} \right\} \\ &= 2c_2 \frac{D^2}{K} \left\{ \frac{K}{D}t-1 + \exp\left(-\frac{K}{D}t\right) \right\} \xrightarrow{t \rightarrow \infty} 2c_2 D \left( t - \frac{D}{K} \right) \end{aligned}$$

### SI10: Overview of parameters in experiments B and C

Table SI10-1 provides an overview of the parameters which result from the set of stress and strain explicit experiments B and C. They can be understood as a repetition of the 1959–2015 Case 0 experiment (see A.1 in the Results section), but with the difference that now upstream emissions as of 1900 (B) or 1850 (C), respectively, are considered; thus allowing initial conditions for 1959 other than zero as in the Case 0 experiment to be taken into account:

Case 0: 1959–2015

B: 1900–1958 (upstream emissions), 1959–2015

C: 1850–1958 (upstream emissions), 1959–2015.

The experiments are ordered consecutively in term of time. By way of contrast, Table SI10-2 comprises the parameters of the three 1959–2015 periods in the form of min–max intervals. Except for the exponential growth factor  $\alpha$ , these intervals are dominated by Case 0 and B (1959–2015) parameters (as shown by the background color of the cells); mirroring the fact that we had difficulties with describing the entire upstream period 1850–1958 by means of a single exponential growth factor ( $0.0151 \text{ y}^{-1}$ ).

Nonetheless, Table SI10-2 allows drawing a number of robust results:

- The compression modulus  $K$  increased between 1850 and 1959–2015 from  $\sim 2$  to 10–13 Pa (the atmosphere became less compressible);
- while the damping constant  $D$  decreased between 1850 and 1959–2015 from  $\sim 468$  to 459–462 Pa y (the uptake of carbon by land and oceans became less viscous);
- with the consequence that the ratio  $\lambda = K/D$  increased between 1850 and 1959–2015 from  $\sim 0.004$ – $0.005 \text{ y}^{-1}$  to  $0.021$ – $0.028 \text{ y}^{-1}$  (i.e., by a factor of 4 to 6).



- Delay time  $T_\infty$  decreased (hence persistence  $P_\infty$  increased) between 1850 and 1959–2015 from ~51 (~0.02) to 18–21 (0.047–0.055) on the dimensionless timescale;
- while memory  $M_\infty$  decreased between 1850 and 1959–2015 from ~52 to 19–22 on the dimensionless timescale.

**Tab. SI10-1:** Overview of parameters in experiments B and C.

Parameters		Case 0	B		C	
		1959–2015	1900–1958	1959–2015	1850–1958	1959–2015
stress explicit						
$\sigma(0)$	Pa	0	0	5.8	0	7.8
<b>K</b>	Pa	9.9	2.4	12.7	2.1	11.6
<b>D</b>	Pa y	461.5	467.7	459.2	467.9	460.1
$\lambda^{a,b}$	$y^{-1}$	0.0214	0.0051	0.0276	0.0045	0.0253
$\lambda^{-1}$	y	46.8	196.3	36.3	223.5	39.6
$\alpha^a$	$y^{-1}$	0.0247	0.0228	0.0262	0.0151	0.0281
$\beta$	1	2.158	5.475	1.951	4.371	2.112
$\lambda_\beta^a$	$y^{-1}$	0.0461	0.0279	0.0538	0.0196	0.0533
$\lambda_\beta^{-1}$	y	21.7	35.9	18.6	51.1	18.7
$q_\beta$	1	0.9549	0.9725	0.9476	0.9806	0.9481
$T_\infty$	1	21.2	35.4	18.1	50.6	18.3
$M_\infty$ = $T_\infty/q_\beta$	1	22.2	36.4	19.1	51.6	19.3
$P_\infty$ = $1/T_\infty$	1	0.0472	0.0283	0.0553	0.0197	0.0548
$\lambda/\lambda_\beta = 1/\beta$	%	46.3	18.3	51.3	22.9	47.3
<b>SUMXMY2</b>	Pa <sup>2</sup>	1.400	1.399	21.000	1.100	60.902
strain explicit						
$\epsilon(0)$	1	0	0	2.5	0	4.3
$\alpha^a$	$y^{-1}$	0.0247	0.0214	0.0257	0.0162	0.0270

<sup>a</sup> Given in  $y^{-1}$ .

<sup>b</sup> Derived for **K** and **D** deviating from their respective mean values equally in relative terms.

**Tab. SI10-2:** Like Table SI10-1; with the difference that Table SI10-2 comprises the parameters of the three 1959–2015 periods in terms of min-max intervals. The background colors of the cells in Table SI10-1 are preserved.

Parameters		C	B	Min–Max: Case 0 and B and C	
		1850–1958	1900–1958	1959–2015	
stress explicit					
$\sigma(0)$	Pa	0	0	---	---
<b>K</b>	Pa	2.1	2.4	9.9	12.7
<b>D</b>	Pa y	467.9	467.7	459.2	461.5
$\lambda^{a,b}$	$y^{-1}$	0.0045	0.0051	0.0214	0.0276
$\lambda^{-1}$	y	223.5	196.3	36.3	46.8
$\alpha^a$	$y^{-1}$	0.0151	0.0228	0.0247	0.0281
$\beta$	1	4.371	5.475	1.951	2.158
$\lambda_\beta^a$	$y^{-1}$	0.0196	0.0279	0.0461	0.0538
$\lambda_\beta^{-1}$	y	51.1	35.9	18.6	21.7
$q_\beta$	1	0.9806	0.9725	0.9476	0.9549
$T_\infty$	1	50.6	35.4	18.1	21.2
$M_\infty$ $=T_\infty/q_\beta$	1	51.6	36.4	19.1	22.2
$P_\infty$ $=1/T_\infty$	1	0.0197	0.0283	0.0472	0.0553
$\lambda/\lambda_\beta = 1/\beta$	%	22.9	18.3	46.3	51.3
<b>SUMXMY2</b>	Pa <sup>2</sup>	1.100	1.399	---	---
strain explicit					
$\varepsilon(0)$	1	0	0	---	---
$\alpha^a$	$y^{-1}$	0.0162	0.0214	0.0247	0.0270

## Acronyms and Nomenclature (used in Ms No. esd-2021-27 and in this SI)

ad	adiabatic
C	carbon
comb	combined
CO <sub>2</sub>	carbon dioxide (chemical formula)
CO <sub>2</sub>	atmospheric CO <sub>2</sub> concentration (in ppmv; parameter)
D	damping constant (in Pa y)
DIC	dissolved inorganic carbon (in $\mu\text{mol kg}^{-1}$ )
E	Young's modulus (in Pa)
GHG	greenhouse gas
h	altitude (in m)
it	isothermal
K	compression modulus (in Pa)
L	land (index)
L	leaf-level factor (in ppmv <sup>-1</sup> ; parameter)
M	memory (in units of 1)
MB	Maxwell body
n.a.	not assessable
NPP	net primary productivity/ <u>production</u> (in PgC y <sup>-1</sup> )
O	oceans
p	atmospheric pressure (in hPa)
pCO <sub>2</sub>	partial pressure of atmospheric CO <sub>2</sub> (in $\mu\text{atm}$ )
P	persistence (in units of 1)
Ph	global photosynthetic carbon influx (in PgC y <sup>-1</sup> )
q	auxiliary quantity (in units of 1)
red	reduced
R	Revelle (buffer) factor (in units of 1)
SD	supplementary data
SE	sensitivity experiment
SI	supplementary information
t	time (in y)
T	delay time (in units of 1)
TOA	top of the atmosphere
w	weight(ed)
$\alpha$	exponential growth factor of the strain (in y <sup>-1</sup> )
$\alpha_{\text{ppm}}$	exponential growth factor of the atmospheric CO <sub>2</sub> concentration (in y <sup>-1</sup> )
$\beta$	auxiliary quantity (in units of 1)
$\beta_{\text{b}}$	biotic growth factor (in units of 1)
$\beta_{\text{Ph}}$	photosynthetic beta factor (in units of 1)
$\varepsilon$	strain (referring to atmospheric expansion by volume and CO <sub>2</sub> uptake by sinks; in units of 1)
$\gamma$	isentropic coefficient of expansion (in units of 1)

- $\kappa$  compressibility (in Pa<sup>-1</sup>)  
 $\sigma$  stress (atmospheric CO<sub>2</sub> emissions from fossil fuel burning and land use; in Pa)

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