



Comparison of CMIP6 Historical Climate Simulations and Future Projected Warming to an Empirical Model of Global Climate

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Abstract.

10 The sixth phase of the Coupled Model Intercomparison Project (CMIP6) is the latest modeling effort for general circulation models to simulate and project various aspects of climate change. Many of the general circulation models (GCMs) participating in CMIP6 provide archived output that can be used to calculate equilibrium climate sensitivity (ECS) and forecast future temperature change based on emissions scenarios from several Shared Socioeconomic Pathways (SSPs). Here we use our multiple linear regression energy balance model, the Empirical Model of Global Climate (EM-GC), to simulate and project changes in global mean surface temperature (GMST), calculate ECS, and compare to results from the CMIP6 multi-model ensemble. An important aspect of our study is comprehensive analysis of uncertainties due to radiative forcing of climate from tropospheric aerosols (AER RF) in the EM-GC framework. We quantify the attributable anthropogenic warming rate (AAWR) from the climate record using the EM-GC and use AAWR as a metric to determine how well CMIP6 GCMs replicate human-driven global warming over the last forty years. The CMIP6 multi-model ensemble indicates a median value of AAWR over 1975-2014 of 0.217°C/decade (range of 0.150 to 0.294°C/decade; all ranges given here are for 5th and 95th confidence intervals), which is notably faster warming than our best estimate for AAWR of 0.135°C/decade (range of 0.097 to 0.195°C/decade) inferred from analysis of the Hadley Center Climatic Research Unit data record for GMST. Estimates of ECS found using the EM-GC (best estimate 2.01°C; range of 1.12 to 4.12°C) are generally consistent with the range of ECS of 1.5 to 4.5°C given by IPCC's Fifth Assessment Report. The CMIP6 multi-model ensemble exhibits considerably

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larger values of ECS (median 3.74°C; range of 2.19-5.65°C). The dominant factor in the uncertainty for our empirical determinations of AAWR and ECS is imprecise knowledge of AER RF for the contemporary atmosphere. We calculate the likelihood of achieving the Paris Agreement target (1.5°C) and upper limit (2.0°C) of global warming relative to pre-industrial for seven of the SSPs using both the EM-GC and the CMIP6 multi-model ensemble. In our model framework, SSP1-2.6 is the 1.5°C pathway with a 64.8% probability of limiting warming at this level by the end of century and SSP4-3.4 is the 2.0°C pathway, with a 74.0% probability of achieving the Paris upper limit. In addition, we quantify the sensitivity of future warming to the curbing of the current rapid growth of atmospheric methane and show major near-term limits on the future growth of methane are especially important for achievement of the 1.5°C goal of future warming. Finally, we assess warming projections in terms of future anthropogenic emissions of atmospheric carbon. In our model framework, humans can emit only another 268 Gt C after 2019 to have a 66% likelihood of limiting warming to 1.5°C, and another 565 Gt C to have the same probability of limiting warming to 2.0°C. Given the current emission of 11.7 Gt C per year due to combustion of fossil fuels and deforestation, our EM-GC simulations suggest the 1.5°C warming target of the Paris Agreement will not be achieved unless carbon and methane emissions are severely curtailed in the next two decades.

1 Introduction

The goals of the Paris Agreement, negotiated in December of 2015, are to keep global warming below 2.0°C relative to the start of the Industrial Era and pursue efforts to limit global warming to 1.5°C. General circulation models (GCMs) project future temperature change using various evolutions of greenhouse gases and determine the likelihood of achieving the goals of the agreement. Many GCMs are participating in the sixth phase of the Coupled Model Intercomparison Project (CMIP6) to quantify how the models represent different aspects of climate change (Eyring et al., 2016). Having accurate projections of future temperature is critical for achieving the goals of the Paris Agreement. Chapter 11 of IPCC's Fifth Assessment Report shows that some of the previous generations of these models participating in phase 5 of the Coupled Model Intercomparison Project (CMIP5) (Taylor et al., 2012) tended to overestimate the



55 increase in global mean surface temperature (GMST) for the 21st century (Kirtman et al., 2013). In this analysis we use a multiple linear regression energy balance model to quantify the change in GMST from 1850-2019, project future changes in GMST, compare to the CMIP6 multi-model ensemble, and determine the likelihood of achieving the goals of the Paris Agreement.

Several prior studies have used a multiple linear regression approach to model the GMST anomaly in order to quantify the impact of anthropogenic and natural factors on climate (Foster and Rahmstorf, 60 2011; Lean and Rind, 2008, 2009; Zhou and Tung, 2013). Typically, total solar irradiance, volcanoes, and El Niño southern oscillation (ENSO) are the natural components represented in the multiple linear regression, and greenhouse gases and aerosols are the anthropogenic factors. We use multiple linear regression, in connection with a dynamic ocean module that accounts for the export of heat from the atmosphere to the ocean, to represent the natural and anthropogenic components of the climate system. 65 In addition to the typical natural factors listed above, we include the Atlantic meridional overturning circulation (AMOC), Pacific decadal oscillation (PDO), and Indian Ocean dipole (IOD) to provide a robust representation of the natural climate system (Canty et al., 2013; Hope et al., 2017). Our anthropogenic components also include the effect of land use change (i.e., deforestation) on Earth's albedo and the export of heat from the atmosphere to the ocean as the atmosphere warms.

70 Our analysis builds on the work of Canty et al. (2013) and Hope et al. (2017) and includes several key updates. One is the extension back in time of our analysis to 1850. The Hadley Center Climatic Research Unit (Morice et al., 2012) and Berkley Earth Group (Rohde et al., 2013) provide GMST records starting in 1850, which now allows for a simulation of GMST that covers 170 years. The second update is the use of the Shared Socioeconomic Pathways (SSPs) (O'Neill et al., 2017) as our climate scenarios 75 to designate future evolution of greenhouse gas and aerosol abundances. The third is the adoption of an active upper ocean to our model, formulated in a manner that matches the equations of Bony et al. (2006) and Schwartz (2012). A description of the model, the various input parameters used, and the updates listed above is given in Sect. 2. Section 3 provides results of CMIP6 comparing to the historical climate record, equilibrium climate sensitivity (ECS), as well as comparisons of our model and CMIP6 projections of 80 future GMST change. Discussion of these results is provided in Sect. 4, along with concluding remarks.



2 Data and Methodology

2.1 Empirical model of global climate

In this analysis we use the empirical model of global climate (EM-GC), which provides a multiple linear regression, energy balance simulation of GMST. As detailed in the following paragraphs, the EM-GC solves for ocean heat uptake efficiency (κ) and six regression coefficients to minimize the cost function in Eq. (1).

$$\text{Cost Function} = \sum_{i=1}^{N_{MONTHS}} \frac{1}{\sigma_{OBSi}^2} (\Delta T_{OBSi} - \Delta T_{MDLi})^2 \quad (1)$$

In this equation, ΔT_{OBS} represents a time series of observed monthly GMST anomalies, ΔT_{MDL} is the modeled monthly change in GMST, σ_{OBS} is the 1-sigma uncertainty associated with each temperature observation, i is the index for each month, and N_{MONTHS} is the total number of months used in the analysis. The observed GMST anomalies in this analysis are blended near surface air and sea surface temperature differences relative to the GMST anomaly over 1850-1900, which is assumed to represent pre-industrial conditions.

We consider several anthropogenic and natural factors as components of ΔT_{MDL} . The radiative forcing (RF) due to greenhouse gases (GHGs), anthropogenic aerosols (AER), land use change (LUC), and the export of heat from the atmosphere to the world's oceans are the anthropogenic components of ΔT_{MDL} . The influence on GMST from total solar irradiance (TSI), El Niño southern oscillation (ENSO), the Atlantic meridional overturning circulation (AMOC), volcanic eruptions that reach the stratosphere and enhance stratospheric aerosol optical depth (SAOD), the Pacific decadal oscillation, (PDO) and the Indian Ocean dipole (IOD) are the natural components of ΔT_{MDL} . Equation (2) shows how we calculate ΔT_{MDL} .

$$\Delta T_{MDLi} = \frac{1 + \gamma}{\lambda_P} \{GHG \Delta RF_i + AER \Delta RF_i + LUC \Delta RF_i - Q_{OCEAN i}\} + C_0 + C_1 \times SAOD_{i-6} + C_2 \times TSI_{i-1} + C_3 \times ENSO_{i-2} + C_4 \times AMOC_i + C_5 \times PDO_i + C_6 \times IOD_i \quad (2)$$

In Eq. (2), $GHG \Delta RF_i$, $AER \Delta RF_i$, and $LUC \Delta RF_i$ represent monthly time series of the increase in the stratospheric adjusted values of the RF of climate (Solomon, 2007) since 1750. The parameter λ_P represents the response of a blackbody to a perturbation in the absence of climate feedback (3.2 W m^{-2} , (Bony et al., 2006)). The SAOD, TSI, and ENSO are lagged by 6, 1, and 2 months respectively. The lag



of 6 months for SAOD is representative of the time needed for the atmosphere to respond to a change in
110 the aerosol loading due to a volcanic eruption (Douglass and Knox, 2005). This lag is the same as used
by Lean and Rind (2008) and Foster and Rahmstorf (2011). The 1 month delay for TSI yields the
maximum value of C_2 , the solar irradiance regression coefficient. Lean and Rind (2008) and Foster and
Rahmstorf (2011) also use a 1 month lag for TSI in their analyses. The 2 month delay for the response of
GMST to ENSO is the lag needed to obtain the largest value of the correlation coefficient of the
115 Multivariate ENSO Index version 2 (MEI.v2) (Wolter and Timlin, 1993; Zhang et al., 2019) versus the
value of T_{ENSO} calculated by Thompson et al. (2009). In Thompson et al. (2009), T_{ENSO} is the simulated
response of GMST to variability induced by ENSO, taking into consideration the effective heat capacity
of the atmospheric-ocean mixed layer. Lean and Rind (2008) used a 4-month lag for ENSO.

The term AMOC_i represents the influence of the change in the strength of the thermohaline
120 circulation on GMST (Knight et al., 2005; Medhaug and Furevik, 2011; Stouffer et al., 2006; Zhang and
Delworth, 2007). We use the Atlantic multidecadal variability, based on the area weighted monthly mean
sea surface temperature (SST) in the Atlantic Ocean between the equator and 60°N (Schlesinger and
Ramankutty, 1994), as a proxy for the strength of AMOC. A strong AMOC is characterized by northward
flow of energy that would otherwise be radiated to space, which occurs in both the ocean and atmosphere
125 and leads to particularly warm summers in Europe (Kavvada et al., 2013) as well as a number of other
well documented influences in other climatic regions (Nigam et al., 2011). The total anthropogenic RF of
climate is used to detrend the AMOC signal because this method provides a more realistic approach to
infer the changes in the strength of AMOC and its effect on GMST than other detrending options (Canty
et al., 2013).

130 The dimensionless parameter γ represents the sensitivity of the global climate to feedbacks that
occur due to a change in the RF of GHGs, AER, and LUC. We relate γ to the climate feedback
parameter, λ_Σ , as shown in Eq. (3).

$$1 + \gamma = \frac{1}{1 - \left(\frac{\lambda_\Sigma}{\lambda_p}\right)}$$

where $\lambda_\Sigma = \Sigma$ all climate feedbacks (3)

135 i.e., $\lambda_\Sigma = \lambda_{\text{Water Vapor}} + \lambda_{\text{Lapse Rate}} + \lambda_{\text{Clouds}} + \lambda_{\text{Surface Albedo}}$



The relation between λ_{Σ} and γ in Eq. (3) is commonly used in the climate modeling community (Sect. 8.6 of Solomon (2007)). Bony et al. (2006) and Gregory (2000) use a different formalism to define their climate feedback parameter. Our value of λ_{Σ} is related to the IPCC's Fifth Assessment Report ((Stocker et al., 2013), hereafter IPCC 2013) definition of λ ($\lambda_{\text{IPCC},2013}$) via $\lambda_{\Sigma} = \lambda_P - \lambda_{\text{IPCC},2013}$.

140 Our model explicitly accounts for the export of heat from the atmosphere to the world's oceans (i.e., ocean heat export or OHE). The quantity Q_{OCEAN} in Eq. (2) represents OHE. In our previous analyses (Canty et al., 2013; Hope et al., 2017), Q_{OCEAN} was subtracted outside of the climate feedback multiplicative term $(1+\gamma)/\lambda_P$. We have rewritten Eq. (2) to be comparable to the formulation for this term used by Bony et al. (2006) and Schwartz (2012). The effect of this update results in our model being able
 145 to fit the historical climate record with higher values of climate feedback, especially for strong aerosol cooling (see Fig. S1 and supplement for more information). We calculate Q_{OCEAN} by simulating the long-term trend in observed ocean heat content (OHC) as shown in Eq. (4) and Eq. (5).

$$Q_{\text{OCEAN}i} = \kappa(\Delta T_{\text{ATM},\text{HUMAN}i} - \Delta T_{\text{OCEAN},\text{HUMAN}i}) \quad (4)$$

$$\kappa = \frac{\text{OHE} \times \Delta t}{\int_{t_{\text{START}}}^{t_{\text{END}}} \left(\frac{1+\gamma}{\lambda_P} \{ \text{GHG RF}_{i-72} + \text{AER RF}_{i-72} + \text{LUC RF}_{i-72} \} - [f_0 \sum_0^{i-72} Q_{\text{OCEAN}}] \right) dt} \quad (5)$$

150 The κ term is the ocean heat uptake efficiency ($\text{W m}^{-2} \text{ } ^\circ\text{C}^{-1}$) and is based on the definition used in Raper et al. (2002), where κ is the ratio between the atmosphere and ocean temperature difference that best fits observed OHC data (Sect. 2.2.8 describes the OHC data records used in our analysis). The value of κ is determined based upon the best fit (described below) between Q_{OCEAN} and the observed OHC record. The term $\Delta T_{\text{OCEAN},\text{HUMAN}}$ represents the temperature response of the well-mixed, top 100 m of the ocean due
 155 to the total anthropogenically driven rise in OHC. This formulation of $\Delta T_{\text{OCEAN},\text{HUMAN}}$ allows the model ocean to warm in response to an atmospheric warming. We use a 6 year lag (72 months) for Q_{OCEAN} to account for the time needed for the energy leaving the atmosphere to heat the upper ocean and penetrate to depth, based on Schwartz (2012). Our analysis of modeled GMST is insensitive to whether this 6 year lag or the 10 year lag from Lean and Rind (2009) is used. The t_{START} and t_{END} limits on the integral in Eq.
 160 (5) are the start and end years, associated with each OHC record. The start and end years vary between the 5 OHC records (see supplement for the different start and end years). The constant f_0 term in Eq. (5) is a combination of the heat capacity of ocean water, the fraction of total ocean volume in the surface



layer, and the fraction of total Q_{OCEAN} that warms the surface layer, and is equal to $8.76 \times 10^{-5} \text{ }^\circ\text{C m}^2 \text{ W}^{-1}$. We represent the global ocean as being 1 km deep for 10% of the ocean area (representing the continental shelves) and 4 km deep for the remaining area, which approximates the average depth of the actual world's oceans to within 3%; 3.7 km compared to 3.682-3.814 km from Charette and Smith (2010). Based upon our analysis of decadal ocean warming as a function of depth extracted from CMIP5 GCMs, we have determined that 13.7% of the rise in total OHC occurs in the well mixed, upper 100 m of the ocean, the term represented by $\Delta T_{\text{OCEAN,HUMAN}}$ in equation (4). The bottom rung of Fig. 1 compares our modeled OHC to the observed OHC record based upon the average of five data sets; the value of κ resulting in the best simulation of observed OHC is shown.

We use the reduced chi-squared (χ^2) metric to define the goodness of fit between the modeled and measured GMST anomaly for the atmosphere and also between simulated and observed OHC. Equation (6) and Eq. (7) show the calculations for χ^2 for the atmosphere, and Eq. (8) shows the calculation for χ^2 for the ocean. As noted above, minimization of the difference between the measured and modeled GMST anomaly results in the EM-GC being able to replicate the observed rise in temperature over the past 170 years quite well, as shown in Fig. 1. We have added two additional new features to the model to assure accurate representation of the rise in OHC as well as the rise in GMST since 1940. The first new feature, Eq. (7), was added because of a change in the specification of the uncertainty of the GMST anomaly ($\sigma_{\text{OBS}i}$ in Eq. (2)) given by the Hadley Center Climatic Research Unit (CRU). A recent update resulted in much larger uncertainties being ascribed to the GMST anomaly for the entire data record, which caused some solutions to yield visually poor simulations of the rise in GMST over the past 4 to 5 decades. The second new feature, Eq. (8), was added because for some selections of the radiative forcing due to tropospheric aerosols (AER ΔRF_i in Eq. (2)), the original model formulation was converging but producing simulations of OHC that seemed physically improper, based on visual inspection of observed and modeled OHC. As a result of these two issues, all calculations shown here are subject to three goodness-of-fit constraints, described by Eq. (6) to (8):

$$\chi_{\text{ATM}}^2 = \frac{1}{N_{\text{YEARS}} - N_{\text{FITTING PARAMETERS}} - 1} * \sum_{j=1}^{N_{\text{YEARS}}} \frac{1}{\langle \sigma_{\text{OBS}j} \rangle^2} (\langle \Delta T_{\text{OBS}j} \rangle - \langle \Delta T_{\text{MDL}j} \rangle)^2 \quad (6)$$

$$\chi_{\text{RECENT}}^2 = \frac{1}{N_{\text{YEARS,REC}} - N_{\text{FITTING PARAMETERS}} - 1} * \sum_{j=1}^{N_{\text{YEARS,REC}}} \frac{1}{\langle \sigma_{\text{OBS}j} \rangle^2} (\langle \Delta T_{\text{OBS}j} \rangle - \langle \Delta T_{\text{MDL}j} \rangle)^2 \quad (7)$$



$$190 \quad \chi_{OCEAN}^2 = \frac{1}{N_{YEARS} - N_{FITTING\ PARAMETERS} - 1} * \sum_{j=1}^{N_{YEARS,OHC}} \frac{1}{\langle \sigma_{OBSj} \rangle^2} (\langle OHC_{OBSj} \rangle - \langle OHC_{MDLj} \rangle)^2 \quad (8)$$

Here, $\langle \Delta T_{OBS} \rangle$, $\langle \Delta T_{MDL} \rangle$, and $\langle \sigma_{OBS} \rangle$ in Eq. (6) and Eq. (7) represent the annually averaged observed, modeled, and uncertainty in the GMST anomaly, respectively. The variable $N_{FITTING\ PARAMETERS}$ is equal to 9 for typical simulations, the sum of 7 (the number of regression coefficients) plus 2 (model output parameters γ and κ). In Eq. (8), $\langle OHC_{OBS} \rangle$ and $\langle OHC_{MDL} \rangle$ represent the annual averaged observed and modeled OHC. The σ_{OBS} term in Eq. (8) is the uncertainty in the OHC record (see Sect. 2.2.8 for more information). The equation for all three formulations of χ^2 is based on annual averages, rather than monthly time series, because the autocorrelation functions of ΔT_{OBS} and ΔT_{MDL} display similar shapes using annual averages, and do not match utilizing monthly averages (see supplement of Canty et al. (2013) for further explanation). For Eq. (6) to (8), we define an acceptable fit to the climate record as $\chi^2 \leq 2$. The number of years (N_{YEARS}) varies across the three equations. Equation (6) uses the total number of years in the GMST record, which for CRU is 170 years. The number of years in Eq. (8), $N_{YEARS,OHC}$, depends on the OHC data set used, as each data set spans a different range. The average of five OHC data sets that is our primary data source extends from 1955-2017, a total of 63 years. The value of χ_{OCEAN}^2 found using Eq. (8) is displayed on the bottom rung of Fig. 1. All model simulations shown throughout this paper have $\chi_{OCEAN}^2 \leq 2$, representing a good fit to the observed rise in OHC over the time of the data record.

The calculation of χ_{RECENT}^2 shown in Eq. (7) is used to constrain the model to match the observed changes in GMST over the time frame 1940-2019, a total of 80 years ($N_{YEARS,REC}$ equals 80). This time frame was chosen to include a full cycle of AMOC, as the strength of the thermohaline circulation tends to vary on a period of 60-80 years (Chen and Tung, 2018; Kushnir, 1994; Schlesinger and Ramankutty, 1994). As noted above, the χ_{RECENT}^2 constraint was added to our model framework because the large temperature uncertainties associated with v4.6 of the CRU data set allowed the original model to provide numerically good fits but poor visual fits to GMST changes in the recent time period (i.e. the red line in the top rung of Fig. 1 starts to strongly deviate from the black line beginning in about 2000 under certain conditions). All model simulations shown below have $\chi_{RECENT}^2 \leq 2$ representing a good fit to the observed



215 rise in GMST over the past 80 years, which results in modeled GMST that replicates observed GMST for the entire time series.

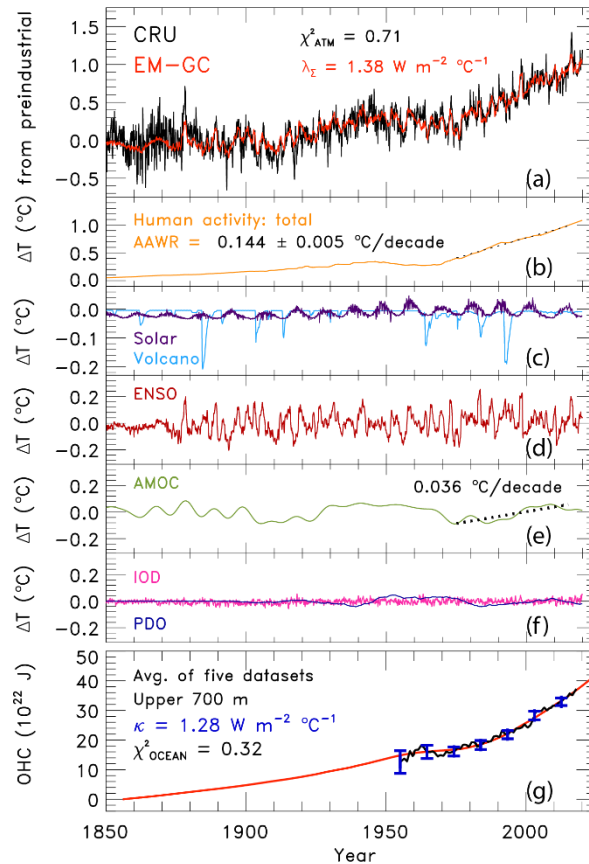


Figure 1. Measured and modeled GMST anomaly (ΔT) relative to a pre-industrial (1850-1900) baseline. (a) Observed (black) and modeled (red) ΔT from 1850-2019. This panel also displays the values of λ_{Σ} and χ^2_{ATM} (see text) for this best-fit simulation. (b) Contributions from total human activity. This panel also denotes the numerical value of the attributable anthropogenic warming rate from 1975-2014 (black dashed) as well as the 2σ uncertainty in the slope. (c) Solar irradiance (light blue) and major volcanoes (purple). (d) Influences from ENSO on ΔT . (e) Contributions from AMOC to ΔT and to observed warming from 1975-2014. (f) Influences from PDO (blue) and IOD (pink) on ΔT . (g) Measured (black) and modeled (red) ocean heat content (OHC) as a function of time for the average of five data sets (see text), the value of χ^2_{OCEAN} for this run, as well as the ocean heat uptake efficiency, κ , needed to provide the best-fit to the OHC record. The error bars (blue) denote the uncertainty in OHC used in this analysis (see Sect. 2.2.8).

Figure 1 shows the observed and modeled GMST anomaly from 1850-2019, and the various anthropogenic and natural components that constitute modeled GMST. Figure 1a shows the value of climate feedback, $1.38 \text{ W m}^{-2} \text{ }^{\circ}\text{C}^{-1}$, that is needed to achieve a best fit to the climate record for this simulation, resulting in values of $\chi^2_{ATM} = 0.71$ and $\chi^2_{OCEAN} = 0.32$. Figure 1b is the total contribution of



human activity to variations in GMST, which includes GHGs, AER, LUC, and the export of heat from the atmosphere to the ocean. For the simulation shown, the aerosol radiative forcing is -0.9 W m^{-2} , the best estimate given by IPCC 2013 (Myhre et al., 2013). This panel also notes the time rate of change of GMST attributed to humans from 1975-2014, or the attributable anthropogenic warming rate (AAWR
225 (see Sect. 2.3)). Figure 1c illustrates the contribution to the GMST anomaly from TSI (Solar) and SAOD (Volcano) over the 170 year period. The influences of ENSO and AMOC are indicated in Figs. 1d and 1e, respectively. The contribution of AMOC to the rise in GMST over 1975-2014 (the same time period used to define AAWR) is also specified on Fig. 1f. Figure 1f indicates the small effect of IOD and PDO on GMST in our model framework. The last panel, Fig. 1g, shows the time series of observed OHC based
230 upon the average of five data sets for the upper 700 m of the ocean (black points and blue error bars; see Sect. 2.2.8) and the modeled value of OHC (red line). For this simulation, the OHC data is best fit for a value of κ equal to $1.28 \text{ W m}^{-2} \text{ }^{\circ}\text{C}^{-1}$, which falls within the range of empirical estimates for this parameter given by Raper et al. (2002). The sum of the contributions of human activity, TSI, SAOD, ENSO, AMOC, as well as the PDO and the IOD to temporal variations in the GMST anomaly shown in Fig. 1b to 1f plus
235 the value of C_0 equals the modeled GMST anomaly, shown by the red line in Fig. 1a.

2.2 Model Inputs

2.2.1 Temperature Data

We use global mean surface temperature anomalies from four data centers, the Hadley Centre Climatic
240 Research Unit (CRU, (Morice et al., 2012)) from 1850-2019, National Centers for Environmental Information (NCEI, (Smith et al., 2008; Zhang et al., 2019)) from 1880-2019, NASA Goddard Institute of Space Studies (GISS, (Hansen et al., 2010)) from 1880-2019, and Berkley Earth Group (BEG, (Rohde et al., 2013)) from 1850-2019. Our analysis primarily uses the CRU GMST data set, but in some sections, results are shown for all four data sets. All temperature anomalies are with respect to a pre-industrial
245 baseline (1850-1900). To transform each data record so that the temperature anomaly is relative to a pre-industrial baseline, we adjust all data sets relative to the CRU baseline of 1961-1990 because we primarily use the CRU data record in this analysis. We then transform each data set to the pre-industrial baseline, as described in the methods section of Hope et al. (2017).



250 2.2.2 Shared Socioeconomic Pathways

For this analysis, we use the estimates of the future abundances of greenhouse gases and aerosols provided by the SSPs. There are twenty-six scenarios, five baseline pathways and twenty-one mitigation scenarios. The baseline pathways follow specific narratives for factors such as population, education, economic growth, and technological developments of sources of renewable energy (Calvin et al., 2017; Fricko et al., 2017; Fujimori et al., 2017; Krieglner et al., 2017; van Vuuren et al., 2017) to represent several possible futures spanning different challenges for adaptation and mitigation to climate change (O'Neill et al., 2014). The twenty-one mitigation scenarios follow one of the baseline pathways but include specific climate policy to reach a designated radiative forcing at the end of the century.

As part of CMIP6, the ScenarioMIP experiment (O'Neill et al., 2016) includes eight SSPs (SSP1-1.9, SSP1-2.6, SSP4-3.4, SSP2-4.5, SSP4-6.0, SSP3-7.0, SSP5-8.5, and SSP5-3.4-OS) that GCMs use to project future GMST. The first number is the reference pathway that the scenario follows (i.e. SSP1 follows the first SSP narrative) and the numbers after the dash are the target radiative forcing at the end of the century (i.e. SSP1-2.6 reaches around 2.6 W m^{-2} in 2100). The ScenarioMIP experiment designates Tier 1 and Tier 2 scenarios. The Tier 1 scenarios, SSP1-2.6, SSP2-4.5, SSP3-7.0, and SSP5-8.5 are considered high priority and required for all of the GCMs participating in ScenarioMIP (O'Neill et al., 2016). The Tier 2 scenarios are SSP1-1.9, SSP4-3.4, SSP4-6.0, and SSP5-3.4-OS (an overshoot pathway that follows SSP5-8.5 until around 2040, where carbon dioxide emissions drastically decrease and become negative in 2065). The Tier 2 scenarios are not required for modeling groups to run in order to participate in ScenarioMIP (O'Neill et al., 2016). Our analysis includes seven of the eight ScenarioMIP SSPs: all but the overshoot pathway. We highlight four in the main paper: two Tier 1 (SSP1-2.6 and SSP2-4.5) and two Tier 2 (SSP1-1.9 and SSP4-3.4) scenarios. Analysis of the other three SSPs is included in supplement. Figure 2 shows the time evolution of the atmospheric abundance of the three major anthropogenic GHGs (carbon dioxide, methane, and nitrous oxide) for each of the seven SSPs we consider



as well as observations of the global mean atmospheric abundance for these gases to the end of 1919
275 (Dlugokencky, 2020; Dlugokencky and Tans, 2020).

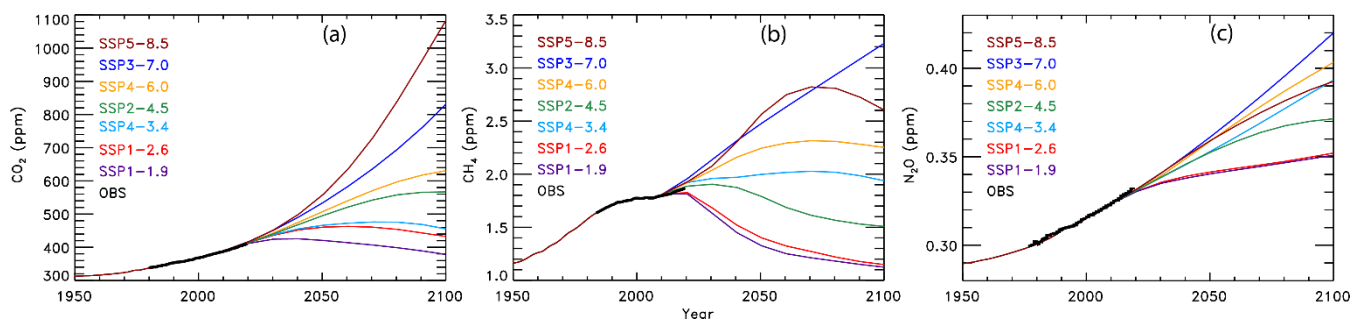


Figure 2. Observed and projected greenhouse gas mixing ratios. (a) Carbon dioxide abundances from observations (black) and seven of the ScenarioMIP SSPs (colors, as indicated). (b) Methane abundances from observations and ScenarioMIP SSPs. (c) Nitrous oxide abundances from observations and ScenarioMIP SSPs.

2.2.3 Greenhouse gases

The historical values of GHG mixing ratios were provided by Meinshausen et al. (2017) from 1850-2014. We used the equations from Myhre (1998) and IPCC 2013 to calculate the change in RF due to carbon
280 dioxide (CO_2), methane (CH_4), nitrous oxide (N_2O), ozone depleting substances (ODS), hydrofluorocarbons, perfluorocarbons, and sulfur hexafluoride relative to RF in year 1850. The radiative forcing of CH_4 also includes the 15% enhancement from the increase in stratospheric water vapor due to rising atmospheric CH_4 (Myhre et al., 2007). Values of GHG mixing ratios, other than ODSs, from 2015-2100 were from the SSP Database (Calvin et al., 2017; Fricko et al., 2017; Fujimori et al., 2017; Kriegler
285 et al., 2017; Rogelj et al., 2018; van Vuuren et al., 2017) and are provided on a decadal basis. The mixing ratios were interpolated onto a monthly time scale. We used the estimates of future ODS abundances provided in Table 6-4 of the 2018 Ozone Assessment Report (Carpenter et al., 2018), because the SSP database did not provide these estimates. We also include tropospheric ozone (O_3^{TROP}) as a GHG, because tropospheric ozone rivals N_2O as the third most important anthropogenic GHG (Fig 8.15 of (Myhre et al.,
290 2013)). The RF due to O_3^{TROP} from the RCPs provided by the Potsdam Institute for Climate Impact Research (Meinshausen et al., 2011) is used, because the SSP database does not provide estimates. Values of RF due to O_3^{TROP} from RCP2.6, RCP4.5, RCP6.0, and RCP8.5 are substituted in for SSP1-2.6, SSP2-



4.5, SSP4-6.0, and SSP5-8.5, respectively. We created new time series for the RF due to O_3^{TROP} for SSP4-3.4 and SSP3-7.0 using linear combinations of RF time series from RCP2.6 and RCP8.5, with weights based upon the end of century total RF value due to all GHGs of the respective time series. Finally, the RF time series for O_3^{TROP} from RCP2.6 was also used for SSP1-1.9. Figure S2 shows the ozone RF time series used in this analysis and the supplement provides more information about the creation of the time series for the RF due to O_3^{TROP} .

300 **2.2.4 Aerosol radiative forcing**

The value of the change in total aerosol radiative forcing in 2011 relative to pre-industrial (AER RF_{2011}) is highly uncertain. Chapter 8 of the IPCC 2013 report gives a best estimate of AER RF_{2011} as -0.9 W m^{-2} , a likely range between -0.4 and -1.5 W m^{-2} , and a 5th to 95th percent confidence interval between -0.1 and -1.9 W m^{-2} (Myhre et al., 2013). This substantial range in AER RF_{2011} results in a large spread in future projections of global GMST. Figure 3 shows the effect of varying the value of AER RF_{2011} on projections of GMST in our EM-GC framework, for the same SSP4-3.4 GHG scenario. The middle panel on Figs. 3a, 3b, and 3c shows the contribution to GMST of GHGs, LUC, AER, as well as net human activities. As the value of AER RF_{2011} decreases and aerosols cool more strongly, the value of climate feedback (model parameter λ_{Σ}) rises, and the net contribution of human impact on GMST by the end of the century increases. Depending on which value of AER RF_{2011} is used, the rise in GMST by year 2100 for the SSP4-3.4 pathway could range from 1.3°C (Fig. 3a) to 2.6°C (Fig. 3c) relative to pre-industrial. Strong aerosol cooling offsets a substantial fraction of GHG-induced warming, and a large value of climate feedback ($\lambda_{\Sigma} = 2.32 \text{ W m}^{-2} \text{ }^{\circ}\text{C}^{-1}$) is needed to fit the historical climate record (Fig. 3c). In this case, future warming is large, well above the goals of the Paris Agreement by the end of the century. Conversely, weak aerosol cooling offsets only a small fraction of GHG-induced warming, resulting in a small value of climate feedback ($\lambda_{\Sigma} = 0.73 \text{ W m}^{-2} \text{ }^{\circ}\text{C}^{-1}$) needed to fit the observed GMST record (Fig. 3a). The use of any of the values of AER RF_{2011} in Fig. 3 can result in a very good fit to the climate record (i.e., $\chi^2_{ATM} \leq 2$, $\chi^2_{RECENT} \leq 2$, and $\chi^2_{OCEAN} \leq 2$).

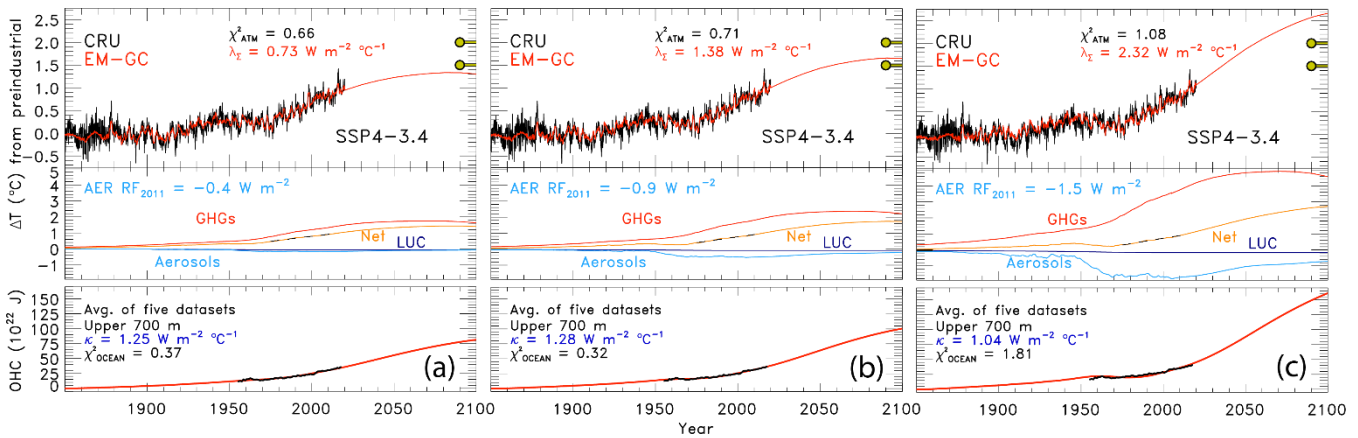


Figure 3. Measured and EM-GC simulated GMST anomaly (ΔT) relative to a pre-industrial (1850-1900) baseline, as well as projected ΔT to end of century for SSP4-3.4. Top panel of each plot displays observed (black) and simulated (red) ΔT , as well as the values of λ_{Σ} and χ^2_{ATM} for each model run. The Paris Agreement target (1.5°C) and upper limit (2.0°C) are shown (gold circles). The second panel shows the contribution of GHGs, aerosols, and land use change to ΔT , as well as the net human component. The bottom panel compares observed (black) and modeled (red) values of OHC for simulations constrained by the average of five data sets (see text) and also provides the numerical values of κ needed to provide best-fits to the OHC record as well as best-fit values of χ^2_{OCEAN} . The only difference between (a), (b), and (c) is the time series for RF due to tropospheric aerosols used to constrain the EM-GC; values of AER RF_{2011} for each time series are (a) -0.4 W m^{-2} , (b) -0.9 W m^{-2} , (c) -1.5 W m^{-2} .

We use the total aerosol RF time series provided by the SSP database for each SSP scenario. The
 320 database provides AER RF from 2005-2100, with values for all SSPs nearly identical until about 2015
 (Riahi et al., 2017; Rogelj et al., 2018). In the EM-GC, we calculate temperature projections over the
 entire observational period, beginning in 1850. Consequently, we create AER RF time series that begin
 in 1850 and span the range of uncertainty given by Chapter 8 of IPCC 2013 (described above). We use
 historical estimates of AER RF from 1850-2014 for the four RCPs provided by the Potsdam Institute for
 325 Climate Research (Meinshausen et al., 2011). The AER RF value in 2014 from the appropriate historical
 estimate (i.e. RCP 4.5 is used for SSP2-4.5) is scaled by a constant multiplicative factor, such that the
 historical RCP value at the end of 2014 matches the SSP time series at the start of 2015, yielding a
 continuous time series for the RF of climate due to tropospheric aerosols. This scaled time series has AER
 RF₂₀₁₁ nearly equal to -1.0 W m^{-2} , which we take as the SSP-based best estimate of the change in total
 330 aerosol radiative forcing in 2011 relative to pre-industrial. Next, the single continuous time series is
 scaled, again by a constant multiplicative factor, to match the IPCC 2013 best estimate and range of



uncertainty for AER RF₂₀₁₁ (Myhre et al., 2013). This procedure results in five additional time series of AER RF. Six time series of AER RF are thus created for each SSP, having values of AER RF₂₀₁₁ equal to -0.1, -0.4, -0.9, -1.0, -1.5, and -1.9 W m⁻². Figure S3 shows these six AER RF time series for SSP1-
335 2.6 and SSP4-3.4. In the EM-GC framework, we further scale these six time series to create a total of 400 AER RF time series to fully analyze the range of AER RF₂₀₁₁ given by Myhre et al. (2013).

2.2.5 Total solar irradiance and stratospheric aerosol optical depth

We use the TSI time series provided for the CMIP6 models from 1850-2014 (Matthes et al., 2017) and
340 append values from the Solar Radiation and Climate Experiment (SORCE) (Dudok de Wit et al., 2017) for 2015 to the end of 2019. The values of TSI_i used in Eq. (2) are differences of monthly mean values minus the long-term average (i.e., TSI anomalies). Consistent with prior studies (e.g., Lean and Rind (2008) and Foster and Rahmstorf (2011)) variations in solar irradiance due to the 11-year solar cycle have a small but noticeable effect on the EM-GC simulation of the GMST anomaly (Fig. 1c). For projections
345 of future warming, we set the term TSI_i in Eq. (2) equal to zero from the start of 2020 until 2100 (i.e., we do not propagate 11-year variations of TSI forward in time).

The time series for SAOD is a combination of values computed from extinction coefficients for the CMIP6 GCMs (Arfeuille et al., 2014) from 1850-1978 and the Global Space-based Stratospheric Aerosol Climatology (GloSSAC v2.0) (Thomason et al., 2018) from 1979-2018. Extinction coefficients
350 at 550 nm were integrated from the tropopause to 39.5 km and averaged over the globe using a cosine of latitude weighting. The CMIP6 and GloSSAC extinction coefficients span 80°S to 80°N. To extend the SAOD time series to the end of 2019, we use the level 3, gridded SAOD product from the Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observations (CALIPSO) (Vaughan et al., 2004). Time series of globally averaged SAOD from CALIPSO have a very similar shape to the GloSSAC time series over the
355 period of overlap (2006-2018), with a slight offset. To append the CALIPSO SAOD for 2018-2019, we took the average difference between the two time series for the overlapping months and then adjusted the CALIPSO time series by this offset. This slight adjustment to the CALIPSO record has no bearing on our scientific results, since the effect of volcanic activity on GMST has been small over the past 2 decades



(Fig. 1c). We set the term $SAOD_i$ in Eq. (2) equal to the value in December 2019 from the start of 2020
360 until 2100.

2.2.6 El Niño southern oscillation, Pacific decadal oscillation, and Indian Ocean dipole

We use the MEI.v2 (Wolter and Timlin, 1993; Zhang et al., 2019) to characterize the influence of ENSO
on GMST. The MEI.v2 provides two month averages of empirical orthogonal functions of five different
365 climatic variables from 1979 to present (Zhang et al., 2019). To have the ENSO index extend back to
1850, we compute differences in SST anomalies over the tropical Pacific basin as defined by the MEI.v2
from 1850-1870 using HadSST3 (Kennedy et al., 2011). Our internal computation of this surrogate for
the MEI index is then appended to the MEI.ext of Wolter and Timlin (2011), which extends from 1871-
1978, and the MEI.v2 index of (Zhang et al., 2019) (1979-2019). This full time series provides a
370 representation of ENSO that covers from 1850 to present. Consistent with prior regression-based
approaches (Foster and Rahmstorf, 2011; Lean and Rind, 2008), we find a significant portion of the
monthly and at times annual variation in GMST is well explained by ENSO (Fig. 1d). As for the other
natural terms, we assume $ENSO_i$ in Eq. (2) is zero for 2020-2100.

The Pacific decadal oscillation is the leading principal component of North Pacific monthly SST
375 variability poleward of 20°N (Barnett et al., 1999). The PDO index maintained by the University of
Washington provides monthly values from 1900-2018. The PDO varies on a multidecadal time scale and
affects climate in the North Pacific and North America, and has secondary effects in the tropics (Barnett
et al., 1999).

The Indian Ocean dipole is based upon the difference in the anomalous sea surface temperatures
380 (SST) between the western equatorial Indian Ocean ($50^\circ\text{-}70^\circ\text{E}$ and $10^\circ\text{S-}10^\circ\text{N}$) and the south eastern
equatorial Indian Ocean ($90^\circ\text{E-}110^\circ\text{E}$ & $10^\circ\text{S-}0^\circ\text{N}$) as defined in Saji et al. (1999). We use $1^\circ \times 1^\circ$ SSTs
from the Centennial in situ Observation-Based Estimate (COBE) (Ishii et al., 2005) to create an IOD
index from 1850-2019. As noted above and shown on Fig. 1f, the regression coefficients for PDO and
IOD are quite small. We find little influence of either PDO or IOD in the CRU time series of GMST, but
385 these terms are retained for completeness. We assume PDO_i and IOD_i in Eq. (2) are zero after the start of
2019 and 2020, respectively.



2.2.7 Atlantic meridional overturning circulation

We use the Atlantic multidecadal variability (AMV) index as the area weighted, monthly mean SST from
390 HadSST3 (Kennedy et al., 2011), between the equator and 60° N in the Atlantic Ocean (Schlesinger and
Ramankutty, 1994) to characterize the influence of variations in the strength of the AMOC on GMST.
The AMV index is detrended using the RF anomaly due to anthropogenic activity over the historical time
frame of the analysis, as discussed in Sect. 3.2.3 of Canty et al. (2013), because this detrending option
removes the influence of long-term global warming on the AMV index. The detrended AMV index serves
395 as a proxy for variations in the strength of the AMOC (Knight et al., 2005; Medhaug and Furevik, 2011;
Zhang and Delworth, 2007), which has particularly noticeable effects on climate in the Northern
Hemisphere (Jackson et al., 2015; Kavvada et al., 2013; Nigam et al., 2011). For this analysis, the index
has been Fourier filtered to remove frequencies above 9 yr⁻¹ to retain only the low frequency, high
amplitude component of the thermohaline circulation (Canty et al., 2013). As noted above and shown in
400 Fig. 1, a considerable portion of the long-term variability in GMST is attributed to variations in the
strength of AMOC, including about 0.036°C/decade over the 1975-2014 time period. There is
considerable debate about the validity of the use of a proxy such as the AMV index as a surrogate for the
climatic effects of AMOC that is centered mainly around how much of the variability of the index is either
internal (i.e., natural variability) or externally forced (i.e., driven by anthropogenic factors) (Haustein et
405 al., 2019; Knight et al., 2005; Medhaug and Furevik, 2011; Stouffer et al., 2006). We stress, as explained
below, none of our scientific conclusions are altered if we neglect AMV as a regression variable.

2.2.8 Ocean heat content records

Ocean heat content data records from five recent and independent papers are used in this study. We utilize
410 OHC data from Balmaseda et al. (2013), Carton et al. (2018), Cheng et al. (2017), Ishii et al. (2017), and
Levitus et al. (2012), as well as the average of the records to model the export of heat (OHE) from the
atmosphere to the ocean. Figure S4 shows these five OHC records as well as the multi-measurement
average. While most of these data sets have a common origin, they differ in how extensive temporal and
spatial gaps in the coverage of ocean temperatures have been handled, ranging from data assimilation



415 (Carton et al., 2018) to an iterative radius of influence mapping method (Cheng et al., 2017). The five
data sets have been normalized (by applying a constant additive offset) to the same value of zero in 1986,
which is the midpoint of the multi-measurement time series, for visual comparison. Since OHE, in units
of W m^{-2} , is based upon the slope of each OHC data set, this offset has no impact on the computation of
OHE from OHC that is central to our study. For the computation of OHE from OHC, we use a value of
420 the surface area of the world's oceans equal to $3.3 \times 10^{14} \text{ m}^2$ (Domingues et al., 2008). The OHC records
we analyze are for the upper 700 m of the ocean. To calculate the OHE for the whole ocean, we multiply
the OHE by $1/0.7$ to account for the fact that the upper 700 m of the ocean holds 70% of the heat (Sect.
5.2.2.1 (Solomon, 2007)). When we subtract the amount of heat going into the ocean in Eq. 2 (Q_{OCEAN}),
we also must account for the difference in surface area between the global atmosphere and the world's
425 oceans. Since the Q_{OCEAN} term is computed for the surface area of the ocean, but the forcing is applied to
the whole atmosphere, we multiply the Q_{OCEAN} term by the ratio of the surface area of the ocean to the
surface area of the atmosphere, which is 0.67.

As noted above, the calculation of χ^2_{OCEAN} shown in Eq. (8) is used to constrain our model
representation of the temporal rise in OHC. Only model runs that provide a good fit to the observed OHC
430 record are shown below. For these five OHC data sets, uncertainty estimates are not always provided.
Furthermore, some studies that do provide uncertainties give estimates that seem unreasonably small (see
Fig S5 and supplement). Because of the discrepancy in uncertainties between OHC records, we create a
new uncertainty time series using both the standard deviation of the mean and the uncertainties from
Cheng et al. (2017). We create this new uncertainty from 1955-2019 by a monthly time step and use either
435 the standard deviation of the mean or the uncertainties from Cheng et al. (2017), whichever is larger, for
that month. We use the Cheng et al. (2017) uncertainties because these estimates are the largest of the
five data sets. Additionally, the standard deviation from the mean is very low in the 1980s, which is an
artifact of our normalization treatment, not inherent to any of the records. This combined uncertainty
estimate is substituted in for each individual data set and the average, resulting in our use of the same
440 time varying uncertainty in OHC for all data sets. Figure S5 and the supplement provide more detail on
the creation of this time dependent uncertainty estimate for OHC.



The choice of OHC record has only a small effect on future projections of GMST using the EM-GC. Figure 4 illustrates the effect of varying OHC record on future temperature. The bottom panels show the observed OHC and modeled OHC, the value of κ needed to best fit the OHC data record, as well as the resulting value of χ^2_{OCEAN} . Of the two OHC records shown, Balmaseda et al. (2013) (Fig. 4a) yields the lowest value of κ and Ishii et al. (2017) (Fig. 4b) results in the highest estimate of κ . For the same value of AER RF₂₀₁₁ (i.e., -0.9 W m^{-2}) and GHG scenario (SSP4-3.4), we find a difference of 0.25°C in the modeled rise in GMST in year 2100 for these two simulations (red lines on top panels). For most of the remaining analysis, we use the multi-measurement average of the five OHC data records. However, in Sects. 3.1 and 3.2 we quantify the effect of OHC data record on both attributable anthropogenic warming rate and equilibrium climate sensitivity.

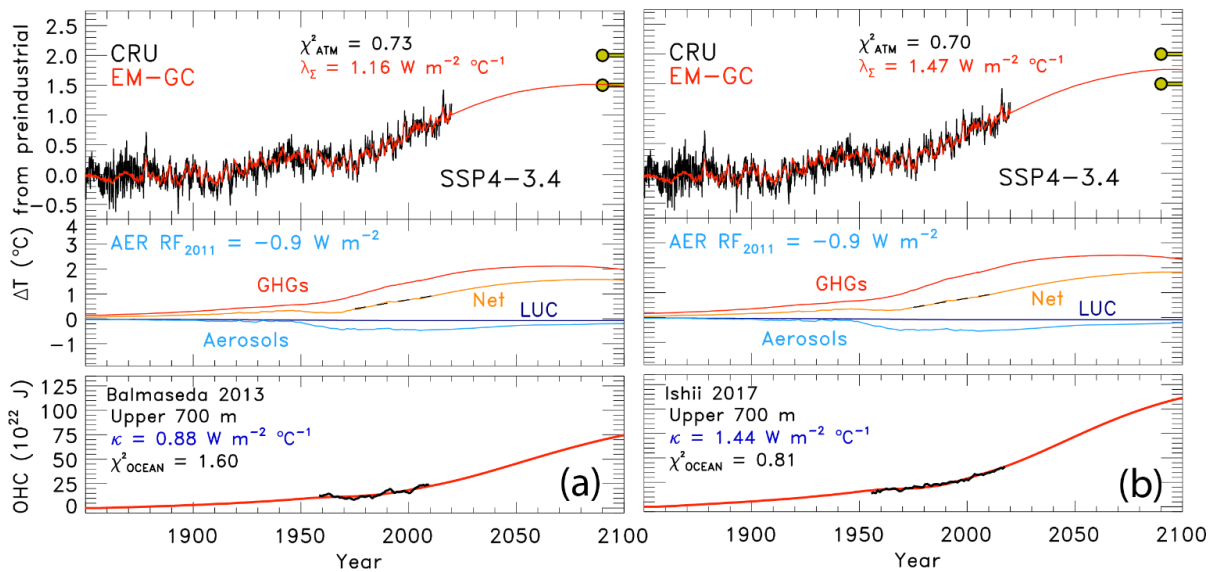


Figure 4. Measured and EM-GC simulated GMST change (ΔT) from 1850-2019, as well as projected ΔT to year 2100 for SSP4-3.4. Top panel of each plot shows observed (black) and simulated (red) ΔT , the λ_{Σ} and χ^2_{ATM} values, and the Paris Agreement target and upper limit. The second panel displays the contribution of GHGs, aerosols, and land use change on ΔT . The bottom panel compares the observed (black) and modeled (red) OHC for two different OHC records and displays the value of κ needed to provide best-fits to the OHC record, as well as best-fit values of χ^2_{OCEAN} . Both use an aerosol RF in 2011 of -0.9 W m^{-2} . (a) OHC record from Balmaseda et al., 2013. (b) OHC record from Ishii et al., 2017.

2.3 Attributable anthropogenic warming rate



The attributable anthropogenic warming rate, or AAWR, is the time rate of change of GMST due to
455 humans from 1975-2014. We use AAWR as a metric in the EM-GC framework to quantify the human
influence on global warming over the past few decades, and most importantly to also assess how well the
CMIP6 GCMs can replicate this quantity. This analysis is motivated by the study of Foster and Rahmstorf
(2011), who examined the human influence on the time rate of change of GMST from 1979-2010 using
a residual method. We extend the end year of our analysis to 2014 because this is the last year of the
460 CMIP6 Historical simulation. We pushed the start year back to 1975 so that our analysis covers a forty-
year period, over which the effect of human activity on GMST rose nearly linear with respect to time
(Fig. 1b).

We calculate AAWR using the EM-GC based upon a linear fit to the $\Delta T_{\text{HUMAN,ATM}}$ term shown
below:

$$465 \quad \Delta T_{\text{ATM,HUMAN}i} = \frac{1+\gamma}{\lambda_p} \{GHG \Delta RF_i + AER \Delta RF_i + LUC \Delta RF_i - Q_{\text{OCEAN}}\} \quad (9)$$

for a regression that spans 1850-2019. The $\Delta T_{\text{HUMAN,ATM}}$ term represents the net impact of the change in
GMST due to RF of climate by anthropogenic GHGs, tropospheric aerosols, as well as the change in
surface reflectivity due to land use change (deforestation), taking into account that for each model time
step, a portion of the human-induced climate forcing is exported to the world's oceans. For each
470 simulation, the slope of the linear least squares fit to the 480 monthly values of $\Delta T_{\text{HUMAN,ATM}}$ is used to
determine AAWR. For the time period 1975-2014, a value for AAWR of 0.144 ± 0.005 °C/decade is
found using a value of AER RF_{2011} equal to -0.9 W m^{-2} , where the uncertainty corresponds to the 1σ
standard error of a linear least squares fit. The computation of AAWR found by fitting monthly values of
 $\Delta T_{\text{HUMAN,ATM}}$ is insensitive to modest changes in start and end year for the AAWR calculation (see Table
475 S1), as well as whether or not the AMOC, PDO, or IOD terms are included in the regression framework
(Canty et al., 2013; Hope et al., 2017). We are able to fit the climate record better (i.e. smaller values of
 χ^2 in Eqs. (6), (7), and (8)) upon consideration of the AMOC term. However, computed values of AAWR
are insensitive to whether this term is used in the regression because whatever contributions the variation
in the strength of the thermohaline circulation may have had on GMST are not considered in Eq. (9) (see
480 Sect. 2.3 of Hope et al. (2017) for further explanation).



The determination of AAWR from historical CMIP6 near surface air temperature output involves conducting two regressions of deseasonalized, globally averaged, monthly ΔT ($\Delta T^{\text{DES,GLB}}$) from each GCM (Hope et al., 2017), termed the REG method. The archived CMIP6 Historical runs are constrained by observed variations in SAOD and TSI and influenced by other factors such as internal model generated ENSOs. The $\Delta T^{\text{DES,GLB}}$ time series for all of the runs from each CMIP6 GCM are averaged together to obtain one time series of $\Delta T^{\text{DES,GLB}}$ for each GCM. This average $\Delta T^{\text{DES,GLB}}$ time series is used to compute AAWR. The two regression approach is used to compute the influence of TSI and SAOD on GMST from CMIP6 GCMs, because the large volcanic eruptions of Mount Agung, El Chichón, and Mount Pinatubo all tended to occur around the time of solar minimum. First, we regress the $\Delta T^{\text{DES,GLB}}$ against SAOD, TSI, and the anthropogenic effect on temperature that is approximated as a linear function from 1960-2014. The first regression starts in 1960 because the human component of temperature change is nearly linear over this time frame and to allow coverage of enough solar cycles to determine the TSI contribution of GMST. A second regression is then conducted from 1975-2014 using the TSI regressor coefficient from the first regression to determine the contribution of SAOD and humans on $\Delta T^{\text{DES,GLB}}$. The value of AAWR is the slope of the human component. Table S2 depicts the slight effect on values of AAWR for the CMIP6 GCMs of changing the start or end year for the second regression. At the time of analysis, there are 50 CMIP6 GCMs with the necessary archived output to calculate AAWR, with the values of AAWR found using REG shown in Table S3.

We also use a second method to extract the value of AAWR from the CMIP6 multi-model ensemble. This method, termed LIN, involves the computation of a linear regression of global, annual average values of GMST from the CMIP6 multi-model ensemble (Hope et al., 2017). For LIN, we exclude the years of obvious volcanic influence on the rise in GMST from the CMIP6 multi-model ensemble Historical simulations: i.e. data for 1982 and 1983 (following the eruption of El Chichón) and 1991 and 1992 (following the eruption of Mount Pinatubo) are excluded. Archived global, annual average values of GMST covering 1975-2014, excluding these four years, are fit using linear regression, with the AAWR set equal to the slope of the fit. Values of AAWR for 1975-2014 found using LIN are also shown in Table S3 for each GCM. Analysis of AAWR for these 50 GCMs of LIN versus REG results in a correlation coefficient (r^2) of 0.97 and a mean ratio of 1.040 ± 0.048 , with LIN-based AAWR exceeding REG-based



AAWR by 4%. We use the REG method in this analysis because it provides a more rigorous technique
510 to remove the influence of SAOD and TSI on GMST from the CMIP6 multi-model ensemble compared
to the LIN method. All of our scientific conclusions are unchanged had we used LIN-based values of
AAWR from the CMIP6 multi-model ensemble.

2.4 Equilibrium Climate Sensitivity

515 The equilibrium climate sensitivity (ECS), which represents the warming that would occur after climate
has equilibrated with atmospheric CO₂ at the 2×pre-industrial level (Kiehl, 2007; Otto et al., 2013;
Schwartz, 2012) is also used to compare results of our EM-GC to CMIP6 multi-model output. To calculate
ECS from the EM-GC, we use the following equation:

$$ECS = \frac{1+\gamma}{\lambda_p} \times 5.35 \text{ W m}^{-2} \times \ln(2) \quad (10)$$

520 That represents the rise in GMST for a doubling of CO₂, assuming no other perturbations as well as
equilibrium in other components of the climate system (i.e., Q_{OCEAN} = 0) (Mascioli et al., 2013). The
expression for the radiative forcing of CO₂ is from Myhre (1998). The quantity γ in Eq. (10), which
represents the sensitivity of the GMST to feedbacks within the climate system, is the only variable
component of ECS. We only use values of γ that result in good fits ($\chi^2 \leq 2$ for Eq. (6) to (8)) between
525 modeled and observed GMST and modeled and observed OHC.

For the estimate of ECS from the CMIP6 multi-model ensemble, we use the method described by
Gregory et al. (2004). Near surface air temperature output from the Abrupt 4×CO₂ and piControl
simulations, as well as net downward radiative flux output from the Abrupt 4×CO₂ simulation is used to
calculate ECS. At the time of this analysis, 28 models released the necessary output to the CMIP6 archive
530 (see Table S4 for the list of models and individual values of ECS). The near surface air temperature and
net downward radiative flux was converted from monthly gridded output to annual global averages. We
calculate the temperature change for the Abrupt 4×CO₂ simulation by subtracting the piControl near
surface air temperature (Chen et al., 2019) (Fig. S6). This computed temperature anomaly is then
regressed against the net downward radiative flux, with the x-intercept yielding the equilibrium response



535 of ΔT to a quadrupling of CO_2 . This equilibrium response is then divided by two (Jones et al., 2019) to arrive at the equilibrium climate sensitivity (Fig. S6).

2.5 Aerosol Weighting Method

Probabilistic forecasts of the future rise in GMST for various SSPs are an important part of our analysis. Probabilities of AAWR and ECS are computed by considering the uncertainty in AER RF_{2011} . We also provide probabilistic estimates of AAWR and ECS. All of these quantities are computed by incorporating the uncertainty in the radiative forcing of climate due to tropospheric aerosols within results of our EM-GC simulations. We use an asymmetric Gaussian to assign weights to the value of GMST, AAWR or ECS found for various time series of radiative forcing by aerosols associated with particular values of AER RF_{2011} . Figure 5a shows the asymmetric Gaussian function we use to maximize the values of AAWR or ECS at the best estimate of AER RF_{2011} of -0.9 W m^{-2} , accomplished by giving these values the highest weighting. The IPCC 2013 “likely” range limits of AER RF_{2011} of -0.4 and -1.5 W m^{-2} (Myhre et al., 2013) are assigned to the one sigma values of the Gaussian, and the AAWR or ECS estimates occurring at the “likely” range AER RF_{2011} limits are given the same weighting. The -0.1 and -1.9 W m^{-2} limits of the AER RF_{2011} range are assigned as the two sigma values of the asymmetric Gaussian, based upon the IPCC 2013 description of these two values as being 5 and 95% uncertainty limits (Myhre et al., 2013). Figure 5b shows the value of AAWR in $^{\circ}\text{C}/\text{decade}$ as a function of the climate feedback parameter, λ_{Σ} , and AER RF_{2011} . We are able to find more good fits to the observed GMST for small values of AER RF_{2011} than at larger values of AER RF_{2011} . Therefore, we bin values of AAWR (Fig. 5b), ECS (Fig. 5c), or GMST (described in Sect. 3.3) by AER RF_{2011} and find the probability distribution for values of AAWR, ECS, or GMST within each bin. The resulting probability distributions are assigned the weights associated with each value of AER RF_{2011} in the bins to arrive at the probabilistic estimates of AAWR or ECS shown in Sect. 3. If we did not use this procedure and instead simply averaged all of the values for AAWR and ECS shown in Fig. 5, undue emphasis would be given to model results that occur at small AER RF_{2011} (see Fig. S7 for unweighted ECS values). This aerosol weighting method allows the expert assessment of the likely range of RF due to tropospheric aerosols given in Chapter 8 of IPCC 2013 (Myhre et al., 2013) to be quantitatively incorporated into our computations of AAWR, ECS, and GMST.

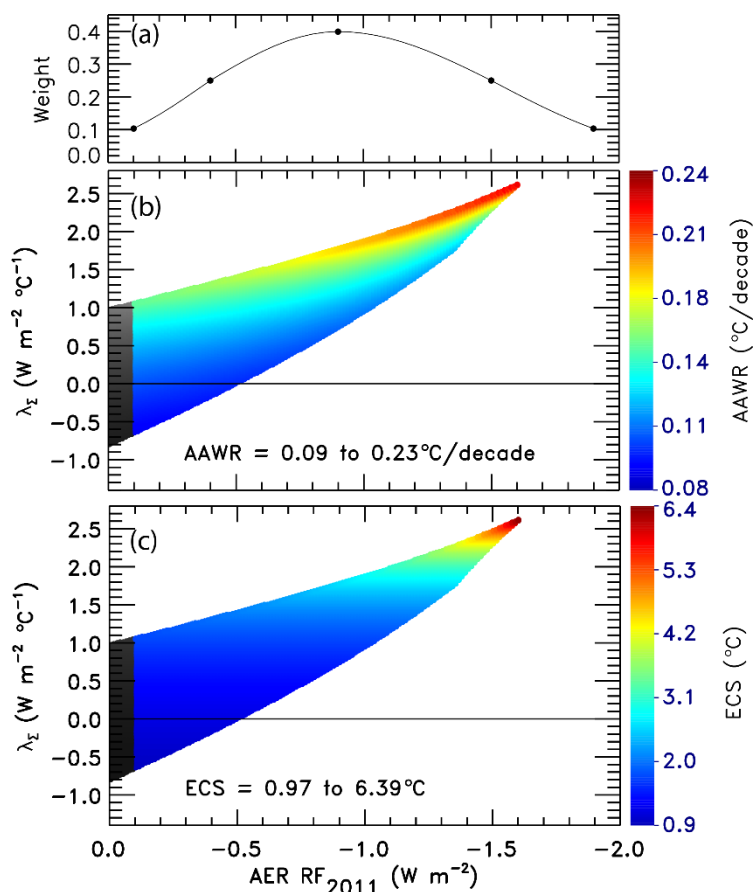


Figure 5. Aerosol weighting method. (a) The weights assigned to an asymmetric Gaussian distribution of AER RF₂₀₁₁ based on values provided by chapter 8 of IPCC 2013. The five black circles indicate the assigned weights for the AER RF₂₀₁₁ best estimate of -0.9 W m^{-2} , likely range of -0.4 and -1.5 W m^{-2} , and the 5th and 95th confidence intervals of -0.1 and -1.9 W m^{-2} . (b) Values of AAWR in $^{\circ}\text{C}/\text{decade}$ as a function of climate feedback parameter, λ_{Σ} , and the value of AER RF₂₀₁₁ associated with various time series for the RF of climate due to tropospheric aerosols. The colors denote the various values of AAWR calculated from 1975-2014 using the EM-GC. (c) ECS in $^{\circ}\text{C}$ as a function of λ_{Σ} and the value of AER RF₂₀₁₁. The colors denote various values of ECS found using the EM-GC. For panels (b) and (c), model results are shown only for combinations of λ_{Σ} and RF due to tropospheric aerosols for which good fits to the climate record could be achieved.

3 Results

565 3.1 AAWR, comparison to CMIP6 multi-model ensemble

An important measure of any climate model is the ability to accurately simulate the human influence on the global mean surface temperature (GMST) anomaly. We use the attributable anthropogenic warming rate (AAWR) found by our highly constrained Empirical Model of Global Climate (EM-GC) to quantify



570 how well the CMIP6 multi-model ensemble (see Table S5 for a list of CMIP6 GCMs analyzed in this study) is able to simulate the human influence on global warming over the past several decades. The EM-GC results in Fig. 6 have been constrained by blended near surface air temperature (TAS) and the temperature at the interface of the atmosphere and the upper boundary of the ocean (TOS) (Griffies et al., 2016). The CMIP6 multi-model output contains archived fields of TAS and TOS, whereas only a subset of GCM groups provide the archived land fraction needed to calculate blended near surface air
575 temperature. Cowtan et al. (2015) compare the modeled and measured trend in global temperature over 1975-2014 and found that 38% of the difference in the trend could be accounted for by the use of blended temperature from CMIP5 GCMs, rather than global modeled TAS. Their analysis focused on a comparison of modeled and measured temperature, not just the anthropogenic component. We have used the method of Cowtan et al. (2015) to create blended CMIP6 temperature output, for the CMIP6 GCMs
580 that provide TAS, TOS, and the land fraction. Upon our use of blended CMIP6 temperature output for these GCMs, and calculation of AAWR for 1975-2014 as described in Sect. 2.3, we find that AAWR based upon blended CMIP6 temperature is only 3.5% lower than AAWR found when using only TAS. Tokarska et al. (2020) similarly report a much smaller influence upon the use of blended CMIP6 temperature output than suggested by Cowtan et al. (2015). Since this difference is so small and does not
585 affect any of our conclusions, we use TAS output from the CMIP6 multi-model archive because this choice allows the behavior of many more GCMs to be examined.

Figure 6 compares values of AAWR from 1975-2014 computed using our EM-GC with AAWR found utilizing archived output from the CMIP6 multi-model ensemble. Four GMST data sets and five OHC records can be used to estimate AAWR with the EM-GC; for each choice, AAWR exhibits
590 sensitivity to the variation of the time series of radiative forcing due to tropospheric aerosols. Each box and whisker plot found using our EM-GC shows, for a particular choice of GMST and OHC data record, the 25th, 50th, and 75th percentiles of AAWR (box), and 5th and 95th percentiles (whiskers) found using the aerosol weighting method described in Sect. 2.5. The star symbol indicates the minimum and maximum values of AAWR for each value of GMST data set and OHC record. The choice of OHC record has a
595 slight effect on AAWR, as shown by the colored EM-GC symbols in Fig. 6. The choice of GMST data set has a greater effect on AAWR, changing the median by about 0.03°C/decade depending on which data



set is utilized. The averages of the five 25th, 50th, and 75th percentiles of AAWR found using the CRU data set for GMST are 0.115, 0.135, and 0.160°C/decade, respectively. The 5th and 95th percentile values of AAWR from CRU are 0.097 and 0.195°C/decade.

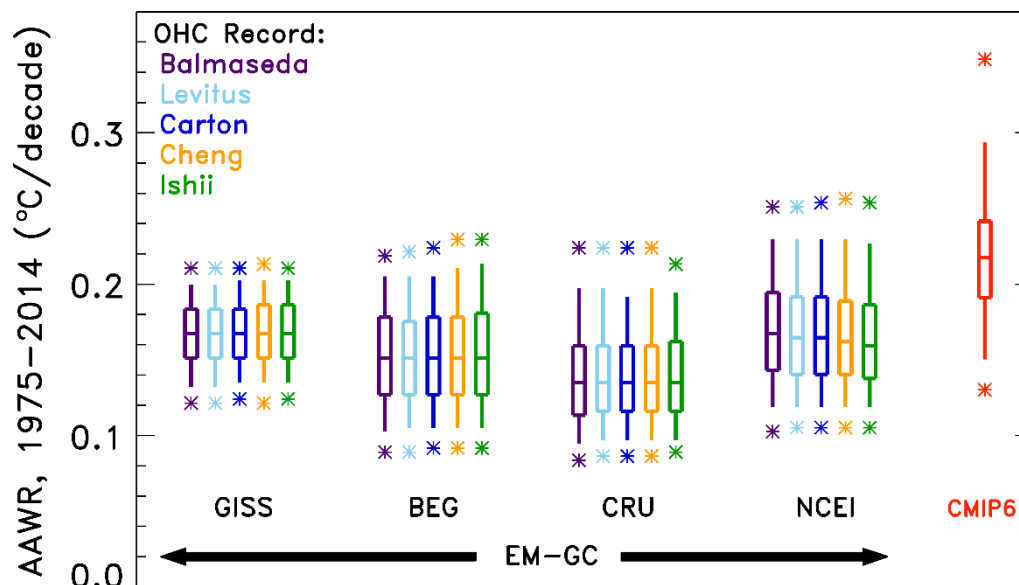


Figure 6. AAWR from the EM-GC and CMIP6 multi-model ensemble for 1975-2014. Four temperature data sets and five ocean heat content records are used to compare values of AAWR computed from the EM-GC. The box represents the 25th, 50th, and 75th percentiles, the whiskers denote the 5th and 95th percentiles, and the stars show the minimum and maximum values of AAWR from the EM-GC based upon the aerosol weighting method described in Sect. 2.5. The red box labeled “CMIP6” shows the 25th, 50th, and 75th percentiles, the whiskers represent the 5th and 95th percentiles, and the stars denote the minimum and maximum values of AAWR from the 50 member CMIP6 multi-model ensemble.

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The box and whisker symbol labeled CMIP6 in Fig. 6 shows the 5th, 25th, 50th, 75th, and 95th percentiles of AAWR calculated from 50 GCMs, also from 1975-2014, as described in Sect. 2.3. The stars denote the minimum and maximum values of AAWR from the GCMs. Two CMIP6 models exhibit values of AAWR similar to the median values we infer from the CRU, BEG, GISS and NCEI data records using the EM-GC, such as INM-CM5-0 (Volodin and Gritsun, 2018) at 0.147°C/decade and MIROC6 (Tatebe et al., 2019) at 0.156°C/decade (Table S3 provides values of AAWR for the individual CMIP6 GCMs). The median value of AAWR from the CMIP6 multi-model ensemble is 0.217°C/decade, about

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60% larger than the 50th percentile value of AAWR found using the CRU data set for GMST noted above. The 5th, 25th, 75th, and 95th percentiles of AAWR from the CMIP6 multi-model ensemble are 0.150, 0.191, 610 0.242, and 0.294°C/decade, respectively. Some CMIP6 GCMs exhibit values of AAWR that are almost 0.1°C/decade larger than our largest empirical estimates for 1975-2014; the maximum value of AAWR from the GCMs is 0.349 °C/decade. The maximum value of AAWR based off the historical climate record using the EM-GC is 0.257°C/decade (NCEI data set using the Cheng OHC record and a time series for RF due to tropospheric aerosols consistent with AER RF₂₀₁₁ equal to -1.6 W m^{-2}). The 95th percentiles 615 of all EM-GC based values of AAWR in Fig. 6 are below the 75th percentile of AAWR from the CMIP6 multi-model ensemble of 0.242°C/decade, supporting the notion that CMIP6 GCMs tend to exhibit a faster rate of anthropogenic warming over the past four decades than the actual atmosphere.

Our determination that the rate of global warming from the CMIP6 multi-model ensemble over the time period 1975-2014 significantly exceeds the rise in GMST attributed to human activity is aligned 620 with a similar finding highlighted in Figure 11.25b of chapter 11 of the IPCC 2013 report that CMIP5 models tend to warm too quickly compared to the actual climate system over the time period 1975-2014 (Kirtman et al., 2013). The values of AAWR from the CMIP6 multi-model ensemble from our analysis present a similar finding as Tokarska et al. (2020), that some of the CMIP6 models over estimate recent warming trends, with Tokarska et al. (2020) examining the trend in the human component of GMST from 625 1981-2014. We arrive at a similar conclusion that CMIP6 models overestimate the rate of global warming for the 1982-2014 time period of AAWR as shown in Table S2. Our results, the finding by the IPCC 2013 report, and Tokarska et al. (2020) appear to be quite different than the conclusion of Hausfather et al. (2020) that past climate models have matched recent temperature observations quite well. The Hausfather et al. (2020) study does not examine CMIP5 GCMs, let alone CMIP6 GCMs, and the last two rows of 630 their Table 1 indicate that the skill of climate models forecasting the change in GMST over time decreased considerably between the Third Assessment Report (TAR) and the Fourth Assessment Report (AR4). The change in temperature over time for the TAR and AR4 only span 17 and 10 years, respectively (Hausfather et al., 2020). In Fig. 6, we examine the ability of the GCMs to simulate the rise in GMST attributed to humans over a 40 year time period, which provides a better measure of how well the models simulate the 635 observations than when using a shorter time period. The temperature change over time for the TAR and



AR4 examined by Hausfather et al. (2020) ends in 2017, which was during a very strong ENSO, so their analysis may be influenced by a major ENSO event. In contrast, our analysis of AAWR is not influenced by natural variability such as ENSO because we examine the human component of global warming after explicitly accounting for and removing the influence of ENSO on GMST. Consequently, our
640 determination of AAWR from observations (Table S1) and GCMs (Table S2) depends only to a small extent on the specification of start year (for values ranging from 1970 to 1984) and end year (2004 to 2018). Our analysis shows that upon quantification of the human driver to global warming within both the data record and climate models, the CMIP6 GCMs warm faster than observed GMST over the past four decades, regardless of precise specification of start and end year.

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3.2 ECS

Equilibrium climate sensitivity (ECS) is a metric often used to compare the sensitivity of warming among GCMs, as well as with warming inferred from the historical climate record. Figure 7 shows values of ECS inferred from the climate record using our EM-GC, four GMST data sets, and five OHC records (as
650 indicated). As for AAWR, the largest variation in ECS is driven by uncertainty in AER RF₂₀₁₁. The colored circles represent the ECS values found using the IPCC 2013 best estimate of AER RF₂₀₁₁ of -0.9 W m^{-2} (Myhre et al., 2013). The ECS values found utilizing the EM-GC are displayed using a box and whisker symbol. The middle line represents the median values of ECS, and the box is bounded by the 25th and 75th percentiles. The whiskers connect to the 5th and 95th percentiles, and the stars denote the minimum and maximum values. We use the aerosol weighting method described in Sect. 2.5 to calculate the
655 percentiles for ECS; values of ECS found without aerosol weighting are shown in Fig. S7. Varying the choice of GMST data record has a slight effect on the value of ECS, whereas the choice of OHC record has a larger effect, as indicated by the various heights of the box and whiskers and the maximum values of ECS. In the EM-GC framework, the ocean heat export term (Q_{OCEAN}) represents disequilibrium in the
660 climate system. We compute values of Q_{OCEAN} from various records of OHC. If the current value of Q_{OCEAN} is as large as suggested by the Cheng et al. (2017) and Ishii et al. (2017) OHC records, then Earth's climate will exhibit a larger rise in GMST to reach equilibrium than if the value of Q_{OCEAN} inferred



from the OHC record of Balmaseda et al. (2013) is correct. The averages of the 25th, 50th, and 75th percentiles of ECS found using the CRU data set for GMST are 1.49, 1.85, and 2.50°C, respectively.

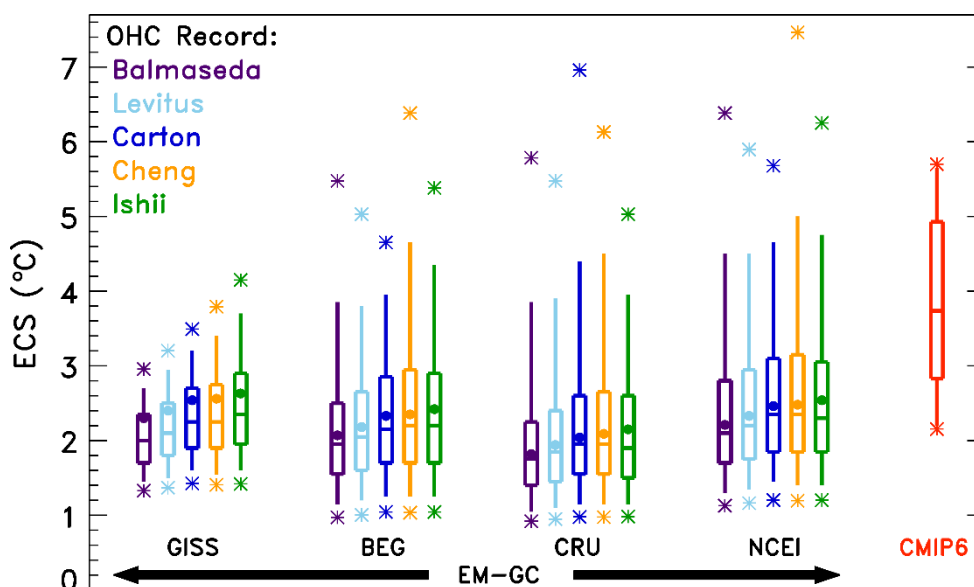


Figure 7. ECS from the EM-GC and the CMIP6 multi-model ensemble. Four GMST data sets and five ocean heat content records are used to compare values of ECS computed from the EM-GC. The box represents the 25th, 50th, and 75th percentiles, the whiskers denote the 5th and 95th percentiles, and the stars indicate the minimum and maximum values of ECS using the EM-GC based upon the weighting method described in Sect. 2.5. The circles denote the value of ECS associated with the best estimate of AER RF_{2011} of -0.9 W m^{-2} . The red box labeled “CMIP6” represents the 25th, 50th, and 75th percentiles, the whiskers denote the 5th and 95th percentiles, and the stars indicate the minimum and maximum values of ECS from the 28 member CMIP6 multi-model ensemble.

665 The box and whisker symbol labeled CMIP6 in Fig. 7 shows the 25th, 50th, 75th, and 5th and 95th percentiles of ECS calculated from output of 28 CMIP6 models, as described in Sect. 2.4. Minimum and maximum values are represented by the stars. The values of ECS from the CMIP6 multi-model ensemble are larger than the majority of values inferred from the climate record using the EM-GC. The height of the box for the CMIP6 multi-model ensemble estimate of ECS is larger than the height of the boxes for
 670 ECS inferred from the climate record using the EM-GC, indicating that the GCMs exhibit a wide range of ECS values. The 25th and 75th percentiles of ECS from the CMIP6 multi-model ensemble are 2.84°C and 4.93°C, respectively. The 5th percentile of ECS from the CMIP6 multi-model ensemble is 2.19°C, and the 95th percentile is 5.65°C (see Table S4 for ECS values for specific models). In contrast, the average



5th and 95th percentiles from the EM-GC are 1.12°C and 4.12°C, respectively. The median value of ECS
675 from the CMIP6 multi-model ensemble is 3.74°C, more than double the median value of 1.85°C found
using the CRU temperature record.

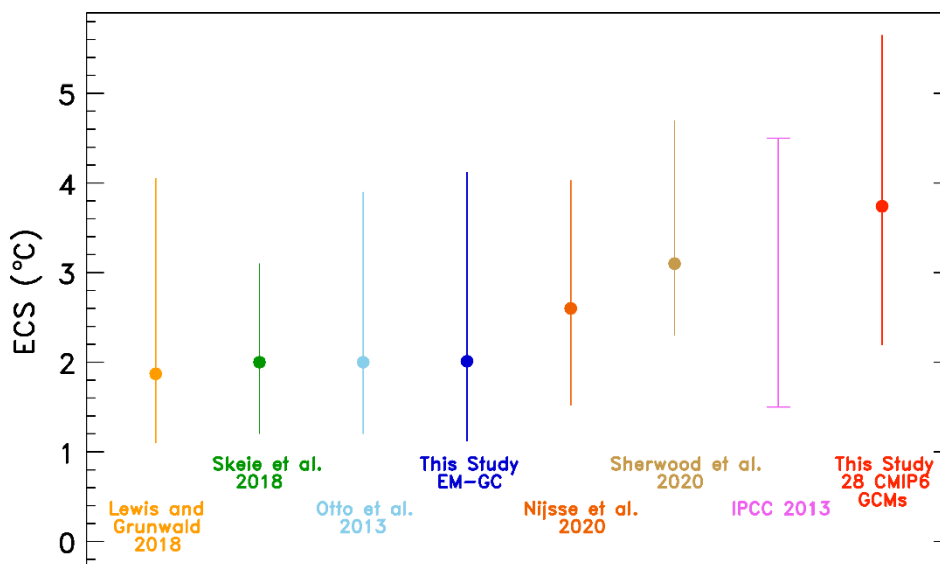


Figure 8. Values of ECS from the EM-GC (dark blue), CMIP6 multi-model ensemble (red), and six other studies (Lewis and Grunwald (2018) (gold), Skeie et al. (2018) (green), Otto et al. (2013) (light blue), Nijse et al. (2020) (orange), Sherwood et al. (2020) (tan), IPCC 2013 (pink). The circle represents the median value and the whiskers are the 5th and 95th percentiles, except for IPCC 2013. The whiskers for IPCC 2013 represent the likely range, or 17th and 83rd percentiles.

Figure 8 summarizes values of ECS found utilizing the analysis of the century and a half long
climate record using our EM-GC, our examination of a 28 member CMIP6 GCM ensemble, and a few
other recent studies. Our best estimate of ECS using the EM-GC and CRU data record is 2.01°C, with a
680 range of 1.12-4.12°C (5th and 95th percent confidence interval). Our estimate of ECS largely falls within
the range provided by IPCC 2013 of 1.5°C to 4.5°C for ECS. Our best estimate and range of ECS is
supported by three other derivations of ECS from the empirical climate record: 2.0°C (range of 1.2-3.9°C)
given by Otto et al. (2013), 1.87°C (range of 1.1-4.05°C) given by Lewis and Grünwald (2018), and 2.0°C
(range of 1.2-3.1°C) given by Skeie et al. (2018) (all range values are for the 5th and 95th percent
685 confidence interval).

Recent studies have shown that the CMIP6 multi-model ensemble exhibits higher values of ECS
than the CMIP5 models because of larger, positive cloud feedbacks within the latest models (Gettelman



et al., 2019; Meehl et al., 2020; Sherwood et al., 2020; Zelinka et al., 2020). The IPCC 2013 report gives a likely range of 1.5°C to 4.5°C for ECS (Stocker et al., 2013), yet some of the CMIP6 GCMs have values
690 of ECS more than 1°C above this range. However, some in the climate community seem to currently doubt whether the very large values of ECS are representative of the real world (Forster et al., 2020; Lewis and Curry, 2018; Tokarska et al., 2020). Gettelman et al. (2019) found that the newest version of the Community Earth System Model (CESM2) has a higher value of ECS than CESM1 (5.3 K versus 4.0 K) and urge the climate community work together to determine the plausibility of such high values of
695 ECS. Zhu et al. (2020) found that the high values of ECS in CESM2 and other GCMs is not supported by the paleoclimate record and are biased too warm. An analysis by Nijssen et al. (2020) obtain a median value of ECS from the CMIP6 multi-model ensemble of 2.6°C and range of 1.52-4.03°C (5th and 95th percentiles) coupled to a two-box energy balance model and the actual climate record. Similarly, Sherwood et al. (2020) conclude cooling during the Last Glacial Maximum provides strong evidence
700 against ECS being greater than 4.5 K and conclude ECS lies within the range of 2.3 to 4.7°C at the 5th to 95th percent confidence intervals.

We obtain a wide range of ECS values from our EM-GC simulations of the climate record due to consideration of the uncertainty in the radiative forcing of climate due to tropospheric aerosols (Figs. 5c and 7). Ninety-five percent of the estimates of ECS for the five different OHC records based off the EM-
705 GC are below the 75th percentile of ECS of 4.93°C from the CMIP6 multi-model ensemble. However, under one circumstance, we find values of ECS using the EM-GC that are similar to the maximum value of ECS from the CMIP6 multi-model ensemble. Our very large estimate of ECS occurs if we assume that anthropogenic aerosols have exhibited very strong cooling and offset a large amount of greenhouse gas warming, such that the observed GMST record can only be well simulated under the condition of large
710 climate feedback (i.e., values of λ_{Σ} in Eq. (3) greater or equal to 2.5 W m⁻² °C⁻¹). If aerosols have truly strongly cooled the climate, offsetting the vast majority of the rise in RF due to greenhouse gases as suggested by Shen et al. (2020), then the actual value of ECS may lie close to 5°C or larger. Under the more likely scenario that aerosols have not cooled this strongly as suggested by Bond et al. (2013), then it is more feasible that ECS lies well below 5°C. The highest values of ECS found using our analysis (red



715 portion of Fig 5c) are assigned low weights due to the assessment by Myhre et al. (2013) that the large
AER RF₂₀₁₁ associated with these ECS values is unlikely.

Four empirical determinations of ECS (our study plus Lewis and Grünwald (2018), Otto et al.
(2013), and Skeie et al. (2018)) and the CMIP6-based estimate of Nijssen et al. (2020) are in slight contrast
with the 2.3-4.7°C range for ECS (5th and 95th confidence interval) published recently by Sherwood et al.
720 (2020) (Fig 8). As noted above, Sherwood et al. (2020) use paleoclimate data to rule out the high range
of ECS. They rely on a determination that the feedback due to clouds is moderately to strongly positive
to rule out the low range of ECS found by our analysis and the four studies noted above. We caution that
knowledge of the cloud feedback from observations is generally limited to databases such as the
International Satellite Cloud Climatology Project (ISCCP) (Schiffer and Rossow, 1983) and Pathfinder
725 Atmospheres Extended (PATMOS-x) (Foster and Heidinger, 2013) that, while monumental in terms of
complexity and scope, cover only a fairly short (i.e., about 36 years) part of the century and a half climate
record (Klein et al., 2017; Sherwood et al., 2020). Most assessments of total cloud feedback rely on some
combination of observations such as ISCCP, PATMOS-x, or other satellite records together with the
results of regression analysis, GCM projections, and large eddy simulations that are able to resolve some
730 of the convective processes involved in cloud formation (Klein et al., 2017; Sherwood et al., 2020). The
most important component of the global cloud feedback is tropical low clouds, which Sherwood et al.
(2020) consider to exert a positive feedback on climate based largely on the results of Klein et al. (2017).
The determination by Klein et al. (2017) of a likely positive feedback for tropical low altitude clouds is
based on the mean and standard deviation of the central value of this feedback determined by five studies,
735 even though four of these studies exhibit uncertainties that encompass zero feedback and the fifth nearly
reaches zero (their Fig. 3). This fact, combined with the recent study by Weaver et al. (2020) who report
no long term statistically significant trend in global cloud reflectivity at 340 nm averaged between 45° S
and 45° N based on analysis of data collected by a variety of NOAA and NASA satellite instruments,
causes us to suggest the true value of ECS may lie below the 2.3°C lower limit (5th percent confidence
740 interval) given by Sherwood et al. (2020).

In our model framework, the largest uncertainty in ECS is driven by imprecise knowledge of the
radiative forcing of climate by tropospheric aerosols. As shown in Fig. 5c, a wide range of ECS values



can be inferred from the century and a half long climate record. We stress that each value of ECS shown in Fig. 5c is based upon a simulation for which χ^2_{ATM} , χ^2_{RECENT} , and χ^2_{OCEAN} are all less than or equal to 2. Better knowledge of AER RF for the contemporary atmosphere would lead to a reduction in the uncertainty of ECS. Numerous studies of the climate record, including our century and a half simulations, infer the possibility of lower values of ECS than was given by a recent analysis of studies that involve examination of data from compendiums such as ISCCP and PATMOS-x (Sherwood et al., 2020). However, Sherwood et al. (2020) did not examine consistency of the inferred value of ECS with the ability of models to accurately simulate the GMST anomaly between 1850 and present.

3.3 Future projections

3.3.1 CMIP6

The CMIP6 multi-model archive provides future projections of the GMST anomaly relative to pre-industrial (ΔT) using the ScenarioMIP Shared Socioeconomic Pathways (SSPs). Figure 9 shows the CMIP6 multi-model ensemble projections of ΔT for the four SSPs (SSP1-1.9, SSP1-2.6, SSP4-3.4, and SSP2-4.5) highlighted in our analysis. Each SSP scenario has varying amounts of gridded, monthly mean TAS projections submitted to the CMIP6 archive by GCMs (indicated on each plot). Global, monthly ΔT was created by averaging the TAS output over the globe with a cosine latitude weighting. The global, monthly ΔT time series for all of the runs for each CMIP6 GCM were averaged together to obtain one time series of ΔT . The varying amount of GCM output available for each SSP scenario is due to the fact that: a) SSP1-2.6 and SSP2-4.5 are Tier 1 scenarios (O'Neill et al., 2016) and are designated as priority over the other SSPs (as described in Sect. 2.2.2), and b) not all GCMs have provided results to the CMIP6 archive at the time of the analysis. More CMIP6 multi-model output will likely become available as modeling groups who have not submitted output to the CMIP6 archive finalize their results. However, we do not expect additional GCM simulations will affect our conclusions unless the GCM output is significantly different than that currently available.

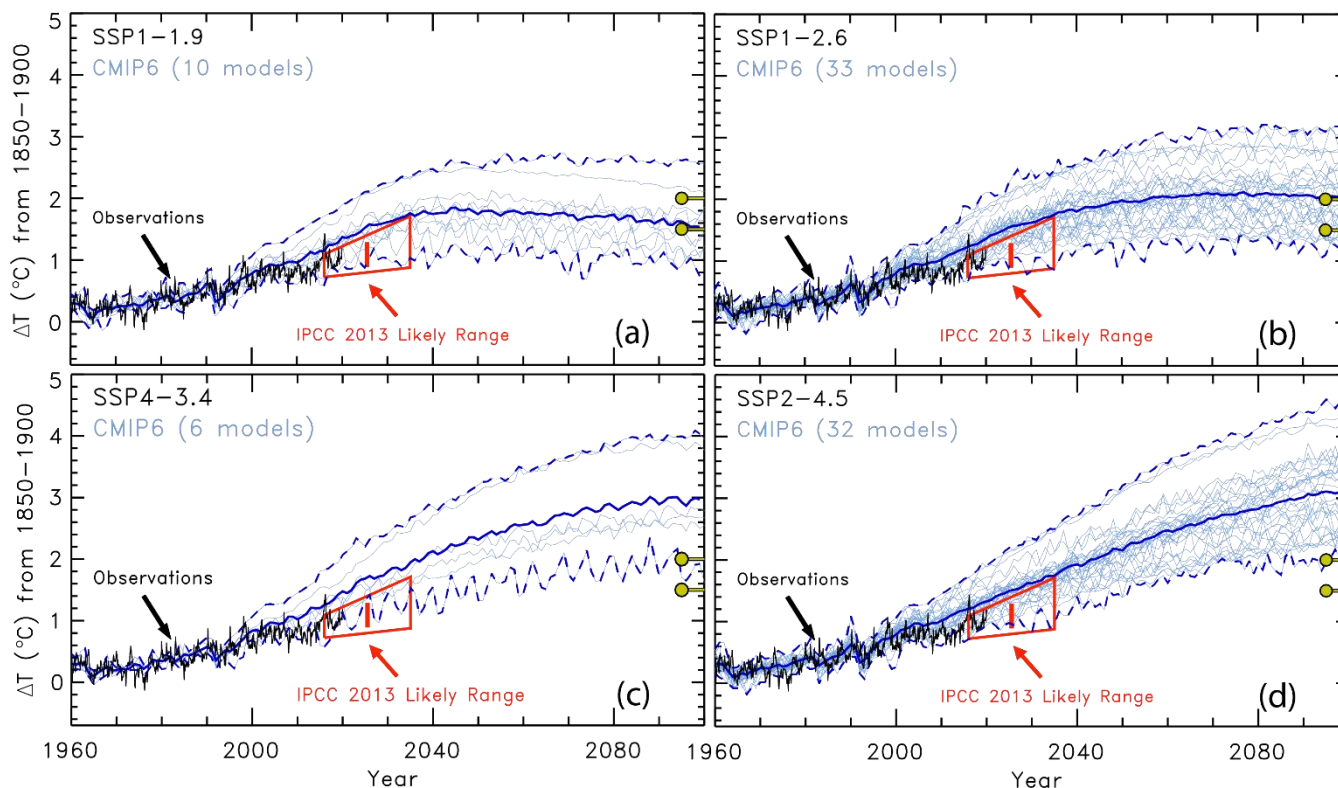


Figure 9. Historical simulations and future projections of GMST from the CMIP6 multi-model ensemble for several SSP scenarios. (a) GCM simulations from the Historical experiment, and future model projections from SSP1-1.9. Observations (black) are from CRU to the end of 2019. The IPCC 2013 likely range of warming (red) is from Figure 12.25b from chapter 11 of the IPCC 2013 report. The CMIP6 multi-model mean (thick, blue) and minimum and maximum (dashed blue) lines are shown. The Paris Agreement target of 1.5°C and upper limit (yellow) of 2.0°C are included to demonstrate how the GCM model projections compare. (b) Future GMST projections from SSP1-2.6. (c) Future GMST projections from SSP4-3.4. (d) Future GMST projections from SSP2-4.5.

The red trapezoid in Fig. 9 labeled as the IPCC 2013 likely range is the same trapezoid as that
770 displayed on Figure 11.25b from chapter 11 of the IPCC 2013 report (Kirtman et al., 2013). All of the
recent observations of ΔT from CRU lie within the likely range of warming designated by this trapezoid.
Many of the projections of the rise in ΔT from the CMIP6 multi-model ensemble lie above the IPCC 2013
likely range of warming. The Paris Agreement target of 1.5°C and upper limit of 2.0°C are shown as
yellow circles, included to allow for comparison of the future projections of ΔT from the CMIP6 multi-
775 model ensemble with the goals of the agreement. The thick blue line on each plot is the CMIP6 multi-



model mean of ΔT , and the dashed blue lines are the minimum and maximum ΔT projections from the CMIP6 multi-model ensemble. For SSP1-1.9, the multi-model mean projection of ΔT in 2100 from the CMIP6 GCMs lies just above the Paris Agreement target at 1.6°C, whereas for SSP1-2.6 the CMIP6 multi-model mean reaches the Paris Agreement upper limit of 2.0°C at the end of this century. For both
780 SSP4-3.4 and SSP2-4.5, the end of century CMIP6 multi-model mean lies above the Paris Agreement upper limit at 3.0°C and 3.1°C, respectively.

Figure 9 illustrates there is a bifurcation of CMIP6 multi-model projections of ΔT , with a few GCMs having future values of ΔT that are considerably higher than others. This divergence for GCM projections of ΔT is especially evident in Fig. 9a, c, and d. The two CMIP6 GCMs that have the highest
785 values of ΔT across the four SSPs are CanESM5 (Swart et al., 2019) and UKESM1 (Sellar et al., 2020). The CanESM5 and UKESM1 GCMs have the highest values of AAWR (0.349°C/decade and 0.294°C/decade, respectively), large values of ECS (5.72°C and 5.44°C, respectively), and exceed observed ΔT reported by CRU for the past few decades (apparent in Fig. 9).

790 3.3.2 EM-GC

The EM-GC is also used to project future changes in ΔT using the SSPs. Figure 10 shows the GMST anomaly in 2100 from pre-industrial (ΔT_{2100}) as a function of the climate feedback parameter and AER RF_{2011} , for the four SSPs highlighted throughout. Only model runs from the EM-GC that achieved a good fit to the climate record ($\chi^2_{ATM} \leq 2$, $\chi^2_{RECENT} \leq 2$, $\chi^2_{OCEAN} \leq 2$) are shown. The EM-GC runs that satisfy
795 these three χ^2 constraints but fall outside of the IPCC 2013 range for AER RF_{2011} (Myhre et al., 2013) are shaded grey (left hand side of each panel). We do not consider the EM-GC projections that lie outside of the IPCC 2013 range for AER RF_{2011} in our projections of ΔT , yet these results are shown to illustrate that the EM-GC can fit the climate record with estimates of the RF due to tropospheric aerosols that lie below (i.e., less cooling) of the 5th and 95th confidence interval of -0.1 to -1.9 W m⁻² for AER RF_{2011}
800 given by IPCC 2013. We cannot establish any good fits of the climate record for AER RF_{2011} with a cooling stronger than about -1.6 W m⁻². The range of ΔT_{2100} we compute using the EM-GC for SSP1-1.9, SSP1-2.6, SSP4-3.4, and SSP2-4.5 are 0.65-2.16°C, 0.82-2.78°C, 1.00-3.28°C, and 1.21-3.78°C,



respectively. Results for SSP4-6.0, SSP3-7.0, and SSP5-8.5 are shown in Fig. S8: ΔT_{2100} ranges are 1.41-4.47°C, 1.84-5.56°C, and 2.13-6.75°C for these three scenarios.

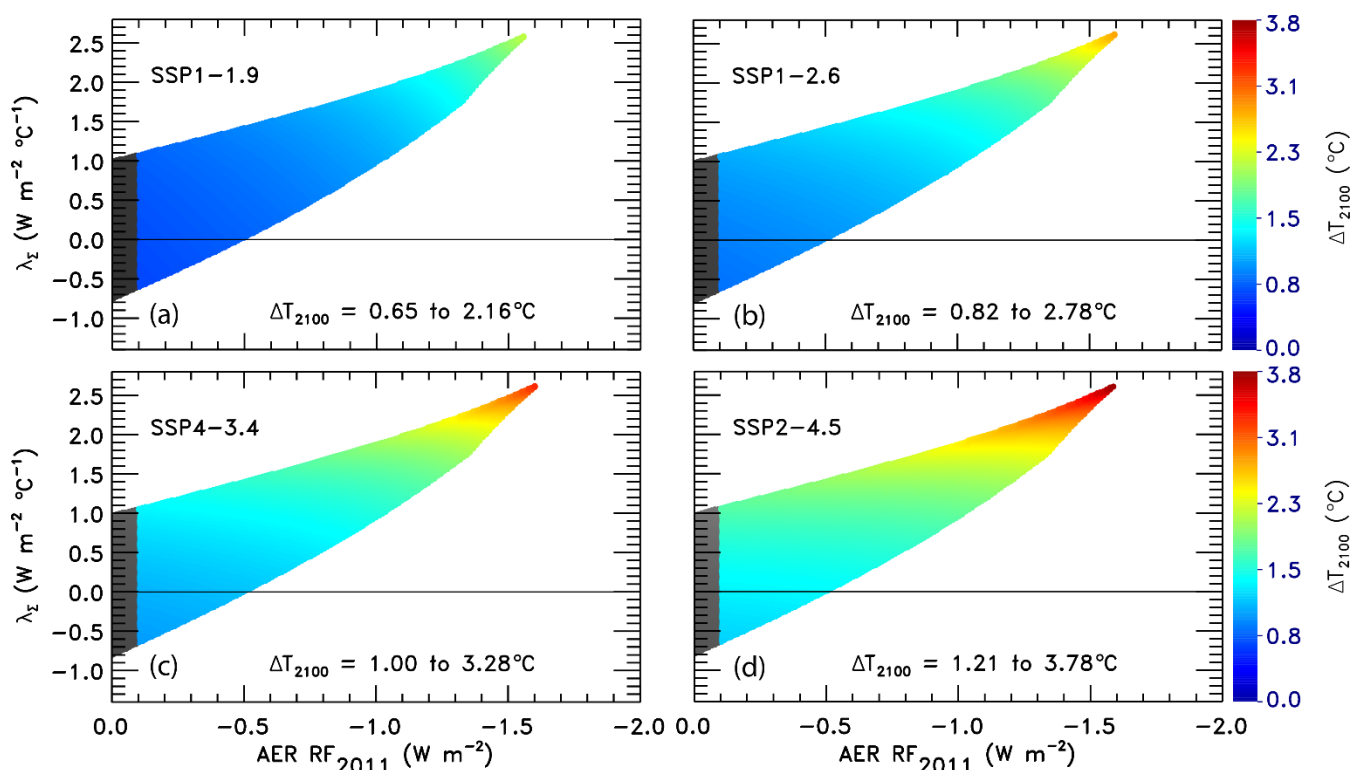


Figure 10. ΔT_{2100} as a function of climate feedback parameter and tropospheric aerosol radiative forcing in 2011 using the EM-GC. (a) Future GMST change for SSP1-1.9. The region outside of the AER RF₂₀₁₁ range provided by IPCC 2013 is shaded (grey). Colors denote the GMST change in year 2100 relative to pre-industrial. The color bar is the same across all four panels for comparison. (b) GMST anomaly for SSP1-2.6. (c) Future temperature change for SSP4-3.4. (d) GMST anomaly for SSP2-4.5.

805

The large range of ΔT_{2100} found for any given SSP scenario (i.e., a factor of 3.1 difference between the smallest and largest end of century warming for SSP2-4.5) is caused by the fact that the climate record can be fit nearly equally well by a considerably large combination of the climate feedback parameter (our λ_{Σ}) and scenarios for radiative forcing due to tropospheric aerosols. The more aerosols have cooled, 810 offsetting the relatively well-known warming due to GHGs, the larger λ_{Σ} must be to fit the climate record. Since the RF of aerosols will diminish in the future due largely to public health concerns (Lelieveld et al., 2015; Shindell et al., 2016; Smith and Bond, 2014), the part of our model ensemble requiring relatively



815 large values of λ_{Σ} to achieve a good fit to the climate record will result in higher values of ΔT_{2100} than other members of our model ensemble with small values of λ_{Σ} . Most GCMs sample only a small portion of the possible combinations of λ_{Σ} and AER RF₂₀₁₁ shown in Figs. 9 and S8.

3.3.3 Comparing CMIP6 and EM-GC

820 Time series of future projections of ΔT from the EM-GC can be illustrated as probabilistic forecasts. Figure 11 shows the change in future ΔT for SSP1-1.9, SSP1-2.6, SSP4-3.4, and SSP2-4.5 colored by the probability of reaching at least that rise in ΔT by the end of the century. The EM-GC probabilities are computed from ensemble members for model runs constrained by the CRU data records for GMST and the average of 5 OHC data records (Fig. S4) based upon the aerosol weighting method, described in Sect. 2.5. The trapezoid from chapter 11 of IPCC 2013 (Kirtman et al., 2013) is shown on Fig. 11 in black to highlight that the EM-GC projections of the future rise in ΔT lie within the IPCC 2013 likely range of warming. The Paris Agreement target and upper limit are included to compare the EM-GC projections of future ΔT to the Paris Agreement goals. The white shaded region is the EM-GC's median estimate of future ΔT for each SSP scenario. The median estimate for ΔT_{2100} for simulations using SSP1-1.9 and SSP1-2.6 falls below the Paris Agreement target at 1.0°C and 1.3°C, respectively. The median estimate of ΔT_{2100} from the EM-GC for SSP4-3.4 is between the Paris Agreement target and upper limit at 1.6°C. 825 For SSP2-4.5 the median estimate of ΔT_{2100} is just below the Paris Agreement upper limit at 1.9°C. The CMIP6 minimum, multi-model mean, and maximum projections of ΔT , identical to those in Fig. 9, are also shown in Fig. 11. The CMIP6 minimum projection of the rise in ΔT falls near the EM-GC median estimate of ΔT for each SSP scenario. The CMIP6 multi-model mean value of the future change in ΔT falls below the EM-GC maximum value of ΔT , while the CMIP6 maximum value is far above the 835 maximum projections of the future rise in ΔT using the EM-GC. Results for SSP4-6.0, SSP3-7.0, and SSP5-8.5 are provided in Fig. S9.

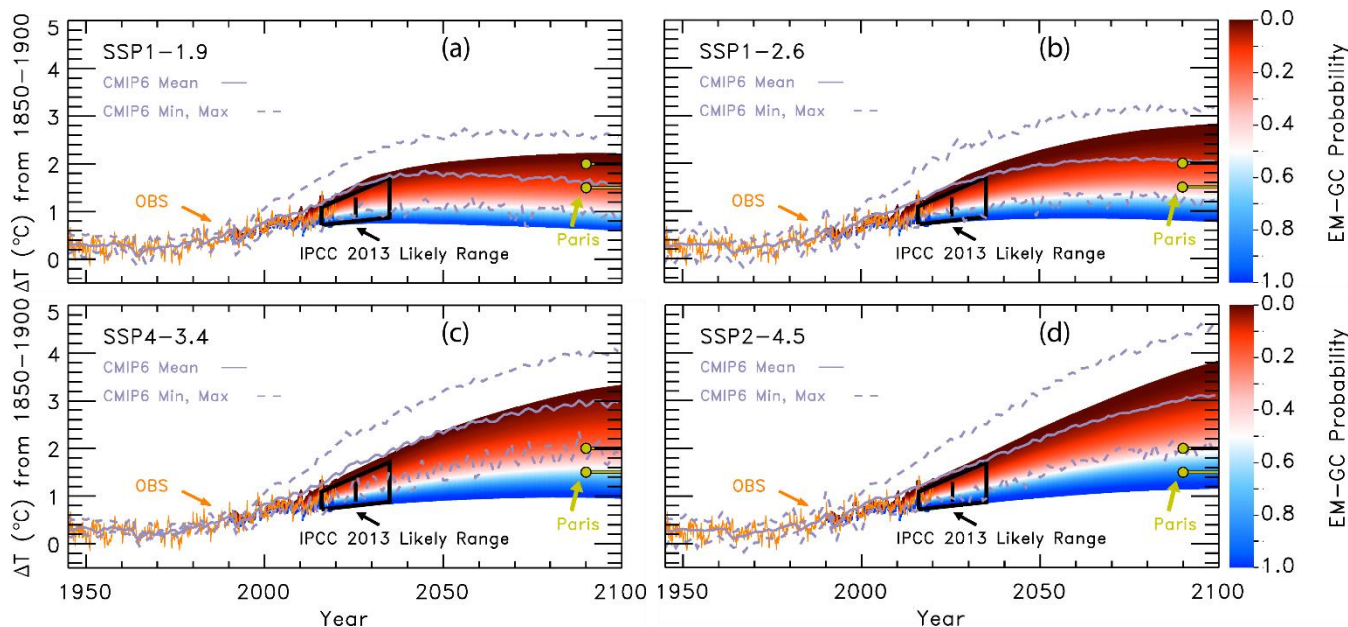


Figure 11. Probabilistic forecasts of the future rise in ΔT from the EM-GC for several SSPs. (a) Future projections of ΔT for SSP1-1.9. Observations (orange) are from CRU. The IPCC 2013 likely range of warming (black) is from Figure 12.25b of chapter 11 of IPCC 2013. The Paris Agreement target and upper limit (yellow) are shown for comparison to EM-GC projections. The CMIP6 minimum, multi-model mean, and maximum values of ΔT are shown to compare to EM-GC projections. Colors denote the probability of reaching at least that temperature by the end of the century. (b) Future projections of ΔT for SSP1-2.6. (c) Future projections of ΔT for SSP4-3.4. (d) Future projections of ΔT for SSP2-4.5.

Figure 12 compares probability distribution functions (PDFs) for the projection of ΔT_{2100} for the EM-GC and the CMIP6 multi-model ensemble. For the CMIP6 multi-model results, we compute the probabilities of achieving the Paris Agreement target of 1.5°C and upper limit of 2.0°C (by the end of the century) by calculating how many of the GCMs participating in each scenario have projections of ΔT_{2100} below the target or upper limit. In contrast, the probabilities for the projections of ΔT_{2100} using our EM-GC are computed using the aerosol weighting method, described in Sect. 2.5. The height of each histogram represents the probability that a particular range of ΔT_{2100} , defined by the width of each line segment, will occur. The left-hand y-axis displays the probability of ΔT_{2100} using the EM-GC, while the right-hand y-axis represents the probability of ΔT_{2100} using the CMIP6 multi-model simulations. The values on the CMIP6 multi-model ensemble y-axis are double the values on the EM-GC y-axis, for visual



comparison. The solid black line denotes the Paris Agreement target and the dotted black line signifies the upper limit on each panel. The PDFs for SSP4-6.0, SSP3-7.0, and SSP5-8.5 are shown in Fig. S10.

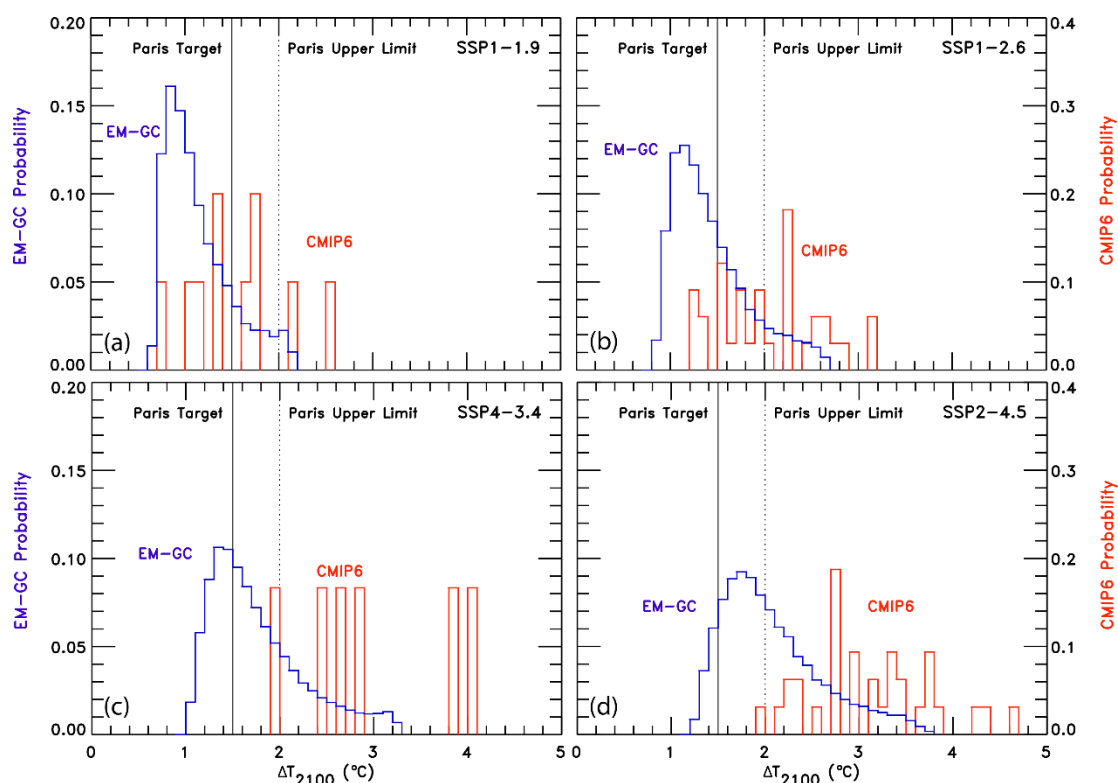


Figure 12. Probability density functions (PDF) for ΔT_{2100} found using the EM-GC and CMIP6 multi-model results. (a) PDF for EM-GC (blue) results and CMIP6 multi-model (red) results for SSP1-1.9. The left-hand y-axis is for EM-GC probabilities and the righthand y-axis is for the CMIP6 multi-model ensemble probabilities. (b) PDF for SSP1-2.6. (c) PDF for SSP4-3.4. (d) PDF for SSP2-4.5.

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Numerical values of probabilities for staying at or below the Paris Agreement target or upper limit for all seven SSP scenarios are given in Table 1. The CMIP6 multi-model projections exhibit lower probabilities of achieving the goals of the Paris Agreement than the projections using the EM-GC. In the creation of ScenarioMIP, SSP1-2.6 was designed to be a scenario that achieved the Paris Agreement goals and likely (greater than 66% probability (Stocker et al., 2013)) limited warming below 2.0°C, and was expected to produce a future rise in ΔT_{2100} of 1.7°C (O’Neill et al., 2016). The CMIP6 multi-model probability of SSP1-2.6 to stay at or below 2.0°C is 51.5%, as shown in Table 1. Based on our analysis,

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the CMIP6 multi-model ensemble does not indicate SSP1-2.6 as being a 2.0°C pathway, because it will only provide about a 50:50 likelihood of limiting warming below 2.0°C.

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Table 1. List of SSP scenarios analyzed in this study and the probabilities of achieving the Paris Agreement target or upper limit based on the EM-GC and the CMIP6 multi-model ensemble. The probabilities using the EM-GC are computed using the aerosol weighting method. The probabilities using the CMIP6 models are computed by calculating how many of the models for that scenario are below the temperature limits compared to the total number of models.

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	Probability of staying at or below 1.5°C		Probability of staying at or below 2.0°C	
	EM-GC	CMIP6	EM-GC	CMIP6
SSP1-1.9	84.1%	50.0%	96.7%	80.0%
SSP1-2.6	64.8%	15.2%	88.4%	51.5%
SSP4-3.4	37.6%	0.0%	74.0%	16.7%
SSP2-4.5	10.5%	0.0%	53.1%	3.1%
SSP4-6.0	0.6%	0.0%	26.6%	0.0%
SSP3-7.0	0.0%	0.0%	1.3%	0.0%
SSP5-8.5	0.0%	0.0%	0.0%	0.0%

Projections of ΔT_{2100} based on the EM-GC provide more optimism for achieving the Paris Agreement goals than the CMIP6 multi-model ensemble. The SSP1-1.9 scenario results in an 84.1% probability of ΔT_{2100} staying at or below 1.5°C, while SSP1-2.6 gives a 64.8% likelihood of global warming staying at or below 1.5°C by end of century (Table 1). The SSP1-1.9 scenario involves extreme climate mitigation that is unlikely to happen in the next few years with atmospheric CO₂ peaking close to present day values (Fig. 2a). The SSP1-2.6 scenario requires less climate mitigation than SSP1-1.9 (though still requires net negative emissions towards the end of the century) and provides greater than a 50% likelihood of staying at or below the Paris Agreement target, thus we designate SSP1-2.6 as the 1.5°C pathway in our model framework instead of SSP1-1.9. This result is supported by Tokarska et al. (2020), who show that the SSP1-2.6 scenario has a likely range of warming at 1.33-1.99°C above

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preindustrial by end of century, based upon filtering CMIP6 GCM output on the level of agreement with the observed climate record. Previous studies suggested that a 2.6 W m^{-2} scenario was in line with the 2.0°C goal (Kriegler et al., 2014, 2015; O'Neill et al., 2016; Riahi et al., 2015). However, our analysis suggests the 2.6 W m^{-2} scenario provides about a 66% probability of achieving the more stringent 1.5°C target, and that a 3.4 W m^{-2} scenario (i.e., SSP4-3.4) is in line with the 2.0°C goal and has about a 74% probability of limiting warming to 2.0°C (Table 1). We therefore designate SSP4-3.4 as the 2.0°C pathway. Significant climate mitigation efforts will be required to keep the growth of CO_2 , CH_4 , and N_2O below the trajectories shown for SSP1-2.6 (1.5°C pathway in our model framework) and SSP4-3.4 (2.0°C pathway) (Fig. 2).

3.3.4 Transient climate response and carbon budgets

The transient climate response to cumulative emissions (TCRE) relates the rise in ΔT to the cumulative amount of carbon released to the atmosphere by human activities. We illustrate TCRE from the EM-GC as probabilistic forecasts, as shown in Fig. 13, to analyze future projections of ΔT . Figure 13 displays the GMST anomaly from pre-industrial versus the cumulative emissions of CO_2 , in Gt C, since 1870. The orange line represents observations of ΔT from CRU plotted against cumulative carbon emissions from the Global Carbon Budget project (Friedlingstein et al., 2019). The colors represent the probability that ΔT will rise to the indicated level, considering only acceptable fits to the climate record, for the EM-GC ensemble run constrained to match GMST from CRU and the mean OHC record from the 5 OHC data records (Fig. S4.) and using the aerosol weighting method. The dotted and dashed horizontal lines are placed at ΔT values of 1.5°C and 2.0°C , the target and upper limit of the Paris Agreement. The intersections of the light grey curve with the dotted horizontal line represent the 95% probability of the Paris Agreement target being achieved, and the intersections of the light grey curve with the dashed horizontal line represent the 95% probability of the Paris Agreement upper limit being achieved. The intersection of these horizontal lines with the dark grey and the black curves are the 66% and 50% probabilities, respectively of the Paris Agreement target or upper limit being attained. The SSP5-8.5



scenario was used to calculate TCRE because this scenario has the highest cumulative carbon emissions needed to provide the most complete relation between ΔT and future emissions.

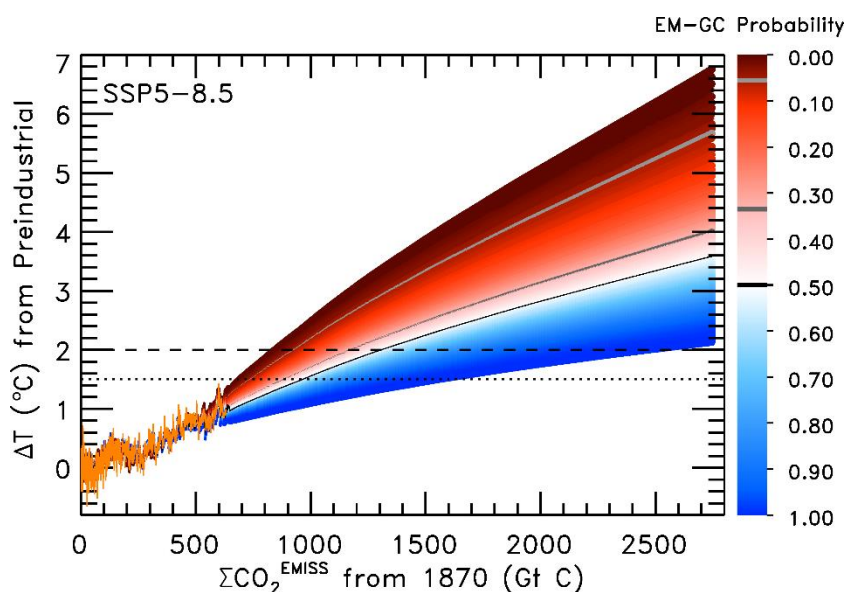


Figure 13. Transient climate response to cumulative CO₂ emissions for SSP5-8.5 using the EM-GC. Simulations of the rise in ΔT versus cumulative CO₂ emissions in units of Gt C. The orange line is observations of ΔT from CRU plotted against cumulative carbon emissions from the Global Carbon Budget project (Friedlingstein et al., 2019). The dotted and dashed lines denote the Paris Agreement target and upper limit, respectively. The EM-GC projections represent the probability that the future value of ΔT will rise to the indicated level, considering only acceptable fits to the climate record. The light grey, dark grey, and black curves denote the 95, 66, and 50% probabilities of either the Paris target (intersection of dotted horizontal lines) or upper limit (intersection of dashed lines with curves) being achieved.

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Table 2 contains estimated carbon budgets from our analysis in the form of the total CO₂ emissions (Gt C) since 1870 that result in a 95%, 66%, and 50% probability of the future rise in ΔT staying below the Paris Agreement target and upper limit and the future CO₂ emissions since 2019. To obtain a 95% likelihood of limiting the rise in future ΔT below 1.5°C, only 746 Gt C since 1870 can be released into the atmosphere. For a 66% likelihood, 906 Gt C can be released, while for a 50% probability 974 Gt C in total can be emitted. To have a 95% probability of ΔT staying below the 2.0°C upper limit, 933 Gt C since 1870 can be released into the atmosphere. For a 66% likelihood of the rise in ΔT staying below the upper limit, 1,203 Gt C can be emitted, whereas for a 50% likelihood 1,323 Gt C can be released. To place these

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915 numbers in their proper perspective, 638 Gt C have been released from 1870 through the end of 2019 due
 to land use change, fossil fuel emissions, gas flaring and cement production according to the Global
 Carbon Budget project (Friedlingstein et al., 2019). In our model framework, after 2019 society can
 therefore only emit another 108, 268, or 336 Gt C to have either a 95%, 66%, or 50% chance of limiting
 warming to 1.5°C. These future emissions estimates rise to 295, 565, and 685 Gt C to have a 95%, 66%,
 or 50% chance of limiting warming to 2.0°C.

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Table 2. Total cumulative and future carbon emissions that will lead to crossing the Paris temperature thresholds
 based on the EM-GC. Estimates of $\Sigma\text{CO}_2^{\text{EMISSIONS}}$ that would cause global warming to stay below indicated
 thresholds for 95%, 66%, and 50% probabilities. The values in the top half of the table are the estimates of total
 cumulative carbon emissions that will lead to crossing the Paris Agreement thresholds. The values in the bottom
 925 half of the table are the estimates of future cumulative carbon emissions after 2019 that will lead to crossing the
 Paris Agreement thresholds. The range of years given represents when the Paris Agreement thresholds will be
 passed based upon the rate of emissions from SSP5-8.5 or continuing the current rate of emissions of 11.7 Gt C
 yr⁻¹.

Total $\Sigma\text{CO}_2^{\text{EMISSIONS}}$ since 1870 from the EM-GC			
	95%	66%	50%
1.5°C	746 Gt C	906 Gt C	974 Gt C
2.0°C	933 Gt C	1203 Gt C	1323 Gt C
Future $\Sigma\text{CO}_2^{\text{EMISSIONS}}$ (assuming 638 Gt C released between 1870-2019)			
	95%	66%	50%
1.5°C	108 Gt C (2027 ^a -2028 ^b)	268 Gt C (2037-2041)	336 Gt C (2041-2047)
2.0°C	295 Gt C (2039 ^a -2044 ^b)	565 Gt C (2051-2067)	685 Gt C (2056-2077)

^a Year the 1.5°C target or 2.0°C upper limit will be exceeded assuming the rate of emission inferred from SSP5-8.5

^b Year the 1.5°C target or 2.0°C upper limit will be exceeded assuming current rate of emission of 11.7 G C yr⁻¹

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An analysis by van Vuuren et al. (2020) assesses TCRE based on cumulative emissions since 2010. Their analysis indicates only 228 Gt C can be released since 2010 to have a 66% probability of



achieving the Paris Agreement target of limiting the rise in ΔT below 1.5°C in 2100. They base this estimate on an analysis of climate sensitivity and carbon cycle components, including an adjustment to TCRC for the tendency of CMIP5 GCMs to warm too quickly that had been suggested by Millar et al. (2017). In our model framework, we find a 66% probability of limiting warming to 1.5°C upon the release of 369 Gt C between 2010 and 2100. It is not surprising our analysis provides somewhat more latitude for the probabilistic forecasts of limiting warming to 1.5°C compared to estimates based on analyses of GCM output, given the tendency of CMIP5 GCMs (Hope et al., 2017) and CMIP6 GCMs (Sect. 3.1) to warm so much faster than the observed climate system. Regardless, between 2010 and 2019, about 101 Gt C has been released to the atmosphere (Friedlingstein et al., 2019), so the remaining budget after 2019 for limiting warming to 1.5°C is about 127 Gt C according to van Vuuren et al. (2020). At the present pace of emissions of $11.7 \text{ Gt C yr}^{-1}$, society will cross this threshold in about a decade. Our model framework suggests a remaining budget of 268 Gt C (Table 2). Society has at most about 20 years to severely limit carbon emissions to have a 66% probability to achieve the target of the Paris Agreement.

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3.3.5 Blended methane

Atmospheric abundances of methane will likely continue to increase as society expands natural gas production and agriculture, making it important to analyze the impact of various methane scenarios on the rise of GMST. It is unlikely future atmospheric methane abundances will progress as indicated by SSP1-2.6 (see Fig. 2), a low radiative forcing scenario. Current observations shown in Fig. 2 illustrate that the methane mixing ratio is following SSP2-4.5 and has missed the initial decline needed to follow the SSP1-2.6 pathway. To analyze the effect varying future methane abundance pathways will have on GMST, we have generated linear interpolations of the SSP1-2.6 and SSP3-7.0 methane future abundances and created four alternate scenarios (see Fig. S11), which we call blended methane scenarios. We can substitute one of the blended methane scenarios into the EM-GC instead of using the projection of methane specified by the SSP database to quantify the sensitivity of future warming to various evolutions of methane on the rise in GMST.

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Figure 14 shows the probability of staying at or below the Paris Agreement target (gold colors) or upper limit (purple colors) for SSP1-2.6 (solid) and SSP4-3.4 (dotted) as a function of the methane mixing



960 ratio in 2100. The lowest atmospheric methane mixing ratio value in 2100 of 1.15 ppm is from the SSP1-
2.6 methane pathway, the highest mixing ratio in 2100 of 3.20 ppm is from the SSP3-7.0 methane

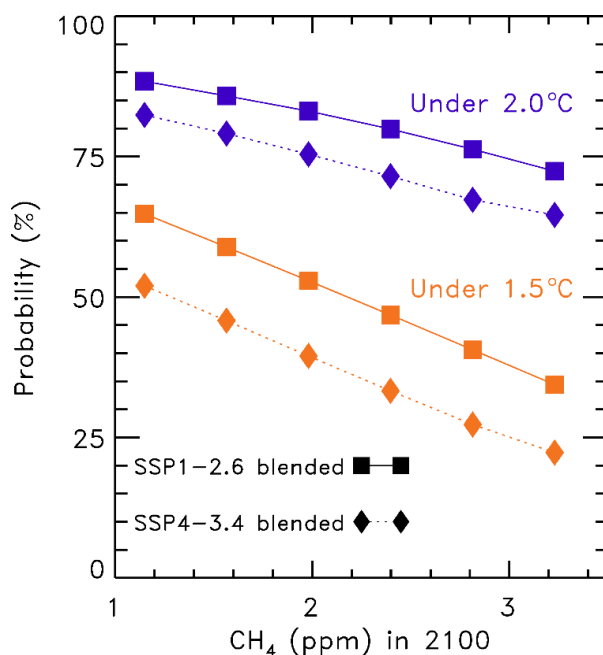


Figure 14. Probability of staying at or below the Paris Agreement target and upper limit for SSP1-2.6 and SSP4-3.4 as a function of varying methane scenarios using the EM-GC. The atmospheric methane scenarios are calculated using linear combinations of methane abundances from SSP1-2.6 and SSP3-7.0 to span the range of future methane abundances.

pathway, and the four in between are the blended methane scenarios. As the atmospheric methane
abundance increases, the likelihood of achieving the goals in the Paris Agreement decreases. For SSP1-
2.6, the probability of limiting the rise in GMST below the 1.5°C target begins at 65% using the SSP1-
965 2.6 designated methane pathway and decreases as the blended scenarios are considered. The probability
of achieving the Paris Agreement target declines to just under 50% if methane reaches 2.4 ppm in 2100
and to 34% if methane increases to 3.2 ppm in 2100. Even though we have labeled SSP1-2.6 the 1.5°C
pathway in our model framework, limiting future warming to this challenging amount can likely only be
achieved by strict limits on both emissions of carbon and methane.

970 In Sect. 3.3.3, we showed that if all GHGs follow the SSP4-3.4 scenario there would be a 74%
probability of limiting warming to 2.0°C. If the methane pathway instead follows SSP1-2.6, which has an



end of century mixing ratio of only 1.15 ppm, then the probability of achieving the Paris Agreement goal rises to 82%. However, if the methane pathway follows SSP3-7.0 and the end of century mixing ratio increases to 3.2 ppm, then the probability of achieving the Paris Agreement goal declines to 65%.

975 Reducing the future anthropogenic emissions of methane might be more challenging than
controlling future emissions of carbon dioxide, simply because methane has such a wide variety of sources
related to energy, agriculture, and ruminants (Kirschke et al., 2013). Given the current widespread use of
methane as a source of energy in the United States and parts of Europe (Saunio et al., 2020), combined
with the continued growth in the global number of ruminants (Wolf et al., 2017), it seems unrealistic for
980 atmospheric methane to follow the peak and sharp decline starting in 2025 of the SSP1-2.6 pathway (Fig.
3b). Our analysis suggests failure to limit methane to the SSP1-2.6 trajectory will have a larger impact on
the achievement of the 1.5°C Paris goal compared to the 2.0°C upper limit. Figure 14 is designed to
provide some perspective on the importance of future controls on limiting the growth of methane on
projections of end of century warming.

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4 Conclusions

In this paper we use a multiple linear regression energy balance model (EM-GC), to analyze and project
changes in the future rise in global mean surface temperature (GMST), calculate the attributable
anthropogenic warming rate (AAWR, the component of the rise in GMST caused by human activities)
990 over the past four decades, and compute the equilibrium climate sensitivity (ECS, the rise in GMST that
would occur after climate has equilibrated with atmospheric CO₂ at the 2×pre-industrial level).
Projections of the rise in GMST (ΔT) are conducted for seven of the Shared Socioeconomic Pathway
(SSP) projections of GHGs (O'Neill et al., 2017). We compare computations of AAWR, ECS, and
projections of ΔT to values for each quantity computed from archived output provided by GCMs as part
995 of CMIP6 (Eyring et al., 2016). A critical component of our study is comprehensive analysis of
uncertainties in AAWR, ECS, and projections of ΔT in our EM-GC framework, due to the rather large
uncertainty in radiative forcing of climate from tropospheric aerosols (AER RF).

The best estimate of values of AAWR from 1975-2014 computed using our EM-GC constrained
by the century and a half long record for GMST provided by the CRU data record (Morice et al., 2012)



1000 is $0.135^{\circ}\text{C}/\text{decade}$ and the 5th, 25th, 75th, and 95th percentiles are 0.097, 0.115, 0.160, and $0.195^{\circ}\text{C}/\text{decade}$, respectively. The median value of AAWR from the CMIP6 multi-model ensemble is $0.217^{\circ}\text{C}/\text{decade}$ and the 5th, 25th, 75th, and 95th percentiles are 0.150, 0.191, 0.242, and $0.294^{\circ}\text{C}/\text{decade}$, respectively. We show that the component of GMST attributed to human activity within the CMIP6 multi-model ensemble warms considerable faster than observations over the past four decades, a result that is consistent with a recent analysis of output from the CMIP6 multi-model ensemble (Tokarska et al., 2020) as well as output from CMIP5 GCMs assessed in AR5 (i.e., Fig. 11.25b of Kirtman et al. (2013)). This finding differs from the conclusion of Hausfather et al. (2020), who showed fairly good agreement between projections of global warming from GCMs and observed ΔT . As detailed in Sect. 3.1, this paper examined GCMs that proceeded CMIP5 and examined ΔT for a time period that ends in 2017, a time when global temperature was temporarily warming by a strong ENSO event. The majority of the uncertainty in our EM-GC based estimate of AAWR is due to imprecise knowledge of the true value of AER RF.

In our model framework the best estimate of ECS is 2.01°C and the 5th, 25th, 75th, and 95th percentiles are 1.12, 1.49, 2.50, and 4.12°C , respectively. The median value of ECS from the CMIP6 multi-model ensemble is 3.74°C , which is almost double the value of ECS inferred from the observed climate record. The 5th, 25th, 75th, and 95th percentiles of ECS from the CMIP6 multi-model ensemble are 2.19, 2.83, 4.93, and 5.65°C , respectively. We obtain a wide range of ECS values using the EM-GC because of the uncertainty in AER RF. With an AER RF_{2011} equal to -1.6 W m^{-2} , the EM-GC calculates a value of ECS similar to the maximum value of ECS from the CMIP6 multi-model mean. We cannot rule out the very high value of ECS, but we assign a low probability based on the IPCC 2013 low likelihood for the needed value of AER RF_{2011} . Our empirically based determination of ECS is in good overall agreement with the recent empirical determinations of Lewis and Grünwald (2018) (1.87°C , range of $1.1\text{-}4.05^{\circ}\text{C}$) and Skeie et al. (2018) (2.0°C , range of $1.2\text{-}3.1^{\circ}\text{C}$) and the slightly older empirically determination reported by Otto et al. (2013) (2.0°C , range of $1.2\text{-}3.9^{\circ}\text{C}$) (all range values are for the 5th and 95th percent confidence interval). Nijssen et al. (2020) reported a median value of ECS of 2.6°C (range of $1.52\text{-}4.05^{\circ}\text{C}$) based upon analysis of CMIP6 output tied to the actual climate record. A recent review of climate feedback and climate sensitivity published by Sherwood et al. (2020) reported ECS lies within



the range of 2.3 to 4.7°C at the 5th to 95th percent confidence intervals; their lower bound for ECS is quite a bit higher than the lower bound found in our analysis, as well as by Lewis and Grünwald (2018), Nijse et al. (2020), Otto et al. (2013), and Skeie et al. (2018).

1030 We also examined the probability of limiting the future rise in GMST below the Paris Agreement target of 1.5°C and upper limit of 2.0°C. Our probabilistic forecasts of projections of ΔT include a comprehensive treatment of the uncertainty in AER RF, a capability outside the scope of the GCM intercomparisons conducted for CMIP6. Our analysis indicates that the SSP1-2.6 scenario is the 1.5°C pathway, providing a 64.8% likelihood of keeping the end of century rise in ΔT below the Paris
1035 Agreement target of 1.5°C (relative to pre-industrial). We find that the SSP4-3.4 scenario is the 2.0°C pathway, as this scenario provides a 74.0% likelihood of limiting global warming to below the Paris Agreement upper limit of 2.0°C by end of century. In contrast, the CMIP6 multi-model mean only suggests a 15.2% probability of achieving the Paris Agreement target for SSP1-2.6 and a 16.7% probability of attaining the Paris Agreement goal for SSP4-3.4. This result is not surprising, given the
1040 tendency of most CMIP6 GCMs to warm faster than has been observed over the past four decades. Our projections of ΔT using a physically based model tied to observations of ocean heat content, quantification of natural as well as anthropogenic drivers of variations in GMST, and consideration of uncertainty in AER RF are shown to be remarkably similar to the expert assessment of the future rise in GMST that was sketched out in Fig. 11.25b of AR5 (Kirtman et al., 2013), and the empirically-based filtering of CMIP6
1045 model output recently published by Tokarska et al. (2020).

We also quantify the sensitivity of the probability of achieving the Paris Agreement target (1.5°C) or upper limit (2.0°C) to future atmospheric abundances of methane. The end of century mixing ratio of methane in the SSP1-2.6 scenario is 1.15 ppm, considerably less than the contemporary abundance of 1.88 ppm. The likelihood of attaining the 1.5°C target for SSP1-2.6 decreases as future methane emissions
1050 increase, declines to just under 50% if methane reaches 2.4 ppm in 2100 and to 34% if methane increases to 3.2 ppm at end of century. Our analysis described in Sect. 3.3.5 demonstrates that major near-term limits on the future growth of methane are especially important for achievement of the 1.5°C limit to future warming that constitutes the goal of the Paris Agreement.



1055 Finally, we have also quantified in the EM-GC framework the remaining budgets of carbon (i.e.,
CO₂) emissions that can occur while attaining either the goal or upper limit of the Paris Agreement. We
find that after 2019, society can only emit another 108, 268, or 336 Gt C to have either a 95%, 66%, or
50% chance of limiting warming to 1.5°C. These future emissions estimates rise to 295, 565, and 685 Gt
C to have a 95%, 66%, or 50% chance of limiting warming to 2.0°C. Given that current anthropogenic
emissions of carbon due to combustion of fossil fuels, cement production, gas flaring, and land use change
1060 are about 11.7 Gt per year (Friedlingstein et al., 2019), our study indicates that the target (1.5°C warming)
of the Paris Agreement will be achieved unless carbon emissions are severely curtailed in the next two
decades.

We concluded by noting that the CMIP6 multi-model ensemble provides many useful parameters
such as sea level rise, sea ice decline, and precipitation changes, that provide a great societal
1065 understanding of the impact of climate change. We do not mean to undermine the importance of the
CMIP6 GCMs by this analysis. Rather, we hope that studies such as this, along with other recent
evaluations of CMIP6 multi-model output such as Nijssen et al. (2020) and Tokarska et al. (2020) will
provide improved use of the CMIP6 multi-model ensemble for policy decisions. Our EM-GC was built
to specifically simulate and project changes in GMST; we do not examine numerous other components
1070 of the climate system that affect society. Our study indicates that unless society can implement steep
reductions in the emissions of carbon (CO₂) and methane (CH₄) rather soon, the Paris Agreement will fail
to be achieved. We suggest there is slightly more time to achieve these steep reductions than indicated by
a literal interpretation of the CMIP6 multi-model mean. The consequences for society of 1.5°C warming,
2.0°C warming, or even larger rises in GMST rely entirely on the incredibly valuable output of the CMIP6
1075 GCMs.

5 Acronyms

AAWR – Attributable anthropogenic warming rate

AR4 – Fourth Assessment Report

1080 AER – Anthropogenic aerosols

AER RF₂₀₁₁ – Radiative forcing due to anthropogenic aerosols in 2011



- AMOC – Atlantic meridional overturning circulation
- AMV – Atlantic multidecadal variability
- BEG – Berkley Earth Group
- 1085 CALIPSO – Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observations
- CMIP5 – Coupled Model Intercomparison Project Phase 5
- CMIP6 – Coupled Model Intercomparison Project Phase 6
- COBE - Centennial in situ Observation-Based Estimate
- CRU – Hadley Center Climatic Research Unit
- 1090 ECS – Equilibrium climate sensitivity
- EM-GC – Empirical Model of Global climate
- ENSO – El Niño southern oscillation
- GCM – General Circulation Model
- GHG – Greenhouse gas
- 1095 GISS – Goddard Institute for Space Studies
- GloSSAC – Global Space-based Stratospheric Aerosol Climatology
- GMST – Global mean surface temperature
- IPCC – Intergovernmental Panel on Climate Change
- ISCCP – International Satellite Cloud Climatology Project
- 1100 IOD – Indian Ocean dipole
- LIN – Linear method
- LUC – Land use change
- MEI – Multivariate ENSO index
- NCEI – National Center for Environmental Information
- 1105 ODS – Ozone depleting substances
- OHC – Ocean heat content
- OHE – Ocean heat export
- PATMOS-X - Pathfinder Atmospheres Extended
- PDO – Pacific decadal oscillation



- 1110 RCP – Representative concentration pathway
REG – Regression method
RF – Radiative forcing
SAOD – Stratospheric aerosol optical depth
SORCE – Solar Radiation and Climate Experiment
- 1115 SSP – Shared Socioeconomic Pathway
SST – Sea surface temperature
TAR – Third Assessment Report
TAS – Near surface air temperature
TCRE – Transient climate response to cumulative emissions
- 1120 TOS – Temperature at the interface of the atmosphere and the upper boundary of the ocean
TSI – Total solar irradiance

6 Author Contribution

LAM, APH, and TPC developed the model code used in this analysis, LAM, APH, and BFB
1125 collected data, RJS supervised, administrated, and developed the project, LAM wrote the original draft,
and RJS, APH, BFB, TPC, and WRT participated in the review and editing of the manuscript.

7 Competing Interests

The authors declare that they have no conflict of interest.

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10 Data availability

All data used as inputs into the EM-GC are available from resources on the web. We have provided the links to the resources below. The data are also available along with the EM-GC output data used in this
1490 analysis at 10.5281/zenodo.3908407 (McBride et al., 2020) on Zenodo.org.

IOD: The COBE SST data is provided by the NOAA ESRL physical sciences division from their web site <https://www.esrl.noaa.gov/psd/>.

1495 Tropospheric ozone RF: <http://www.pik-potsdam.de/~mmalte/rcps/> .

MEI.v2 and MEI.ext: <https://psl.noaa.gov/enso/mei/data/meiv2.data> and
<https://psl.noaa.gov/enso/mei.ext/table.ext.html>

1500 PDO: <http://research.jisao.washington.edu/pdo/PDO.latest.txt>

SAOD: <https://eosweb.larc.nasa.gov/project/glossac/glossac>

1505 TSI: <http://lasp.colorado.edu/home/sorce/data/tsi-data/>

OHC Records:

Balmaseda: <http://www.cgd.ucar.edu/cas/catalog/ocean/OHC700m.tar.gz>

Carton: https://www.atmos.umd.edu/~ocean/index_files/soda3_readme.htm

Cheng: <http://159.226.119.60/cheng/>

1510 Ishii: <http://159.226.119.60/cheng/>

Levitus: https://www.nodc.noaa.gov/OC5/3M_HEAT_CONTENT/

1515 SSP Database: All information for the SSPs obtained from the SSP database is at
<https://tntcat.iiasa.ac.at/SspDb/dsd?Action=htmlpage&page=about> .

CMIP6 Input Data:

https://docs.google.com/document/d/1pU9LiJvPJwRvIgVaSDdJ4O0Jeorv_2ekEtted34K9cA/edit#heading=h.jdoykiw7tpen

1520 CMIP6 Model Output Archive: <https://esgf-node.llnl.gov/search/cmip6/>