

Reviewer comments are in black and our reply is in blue.

McBride et al. present a physics informed statistical model of temperature change induced by anthropogenic and natural forcing. They use a comprehensive set of observational datasets to inform the nine parameters of their model. They conclude that the observed attributable anthropogenic warming rate, and climate sensitivity, is not only significantly lower than the model mean of CMIP6, but also, for climate sensitivity, significantly lower than our recent assessment of multiple lines of evidence on climate sensitivity.

I believe the stark contrast between the current study and the recent assessment by Sherwood et al. (2020) is partially an artefact of data choices, most significantly the choice of the HadCRUT dataset for global temperature, which has poor coverage of quickly rising Arctic temperatures. The inclusion of older estimates of ocean heat uptake has a secondary effect. I think that the paper will be a useful contribution to debate around climate sensitivity after major revisions.

We thank the reviewer for taking the time to carefully read our manuscript and suggest useful changes. Upon revision, we will make changes to address all of these comments, as detailed below each comment.

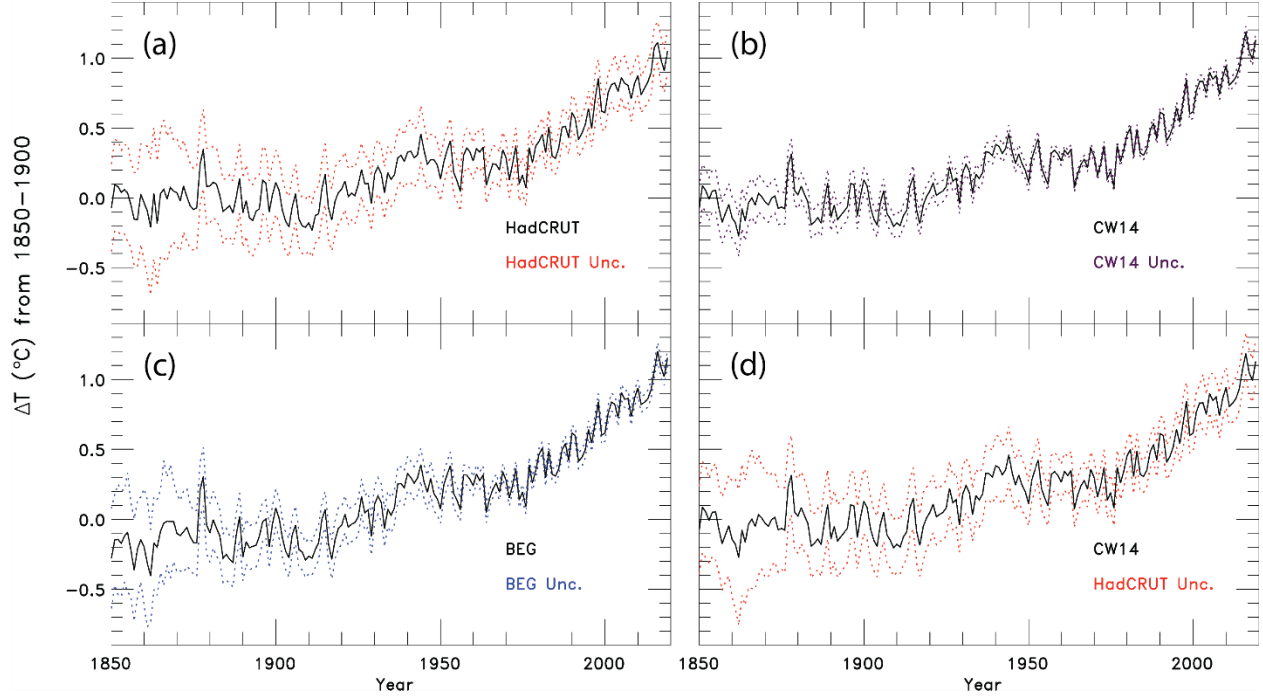
1) The HadCRUT dataset is known to underestimate recent warming. The model mean is computed without compensating for the fact that Arctic temperatures are underrepresented, leading to an underestimate of warming (Cowtan and Way, 2014, Cowtan, 2017). Even with corrected sea surface temperature, this still leads to under-reported warming compared to a record with global coverage (Cowtan, 2017). The HadCRUT data should be replaced by the Cowtan record.

We will address comment 1 and comment 2 together just below, since they are both related to the data records used in the EM-GC framework.

2) According to the IPCC's SROCC report, older estimates of ocean heat uptake have biases that may lead to an underestimate of ocean heat uptake (Bindoff, 2019, p.457). Carton et al (2018), whose record was derived with data assimilation, indicated that previous estimates with data assimilation (possibly like Balmaseda's record), may have contained errors that have prevented them from being sufficiently. Similarly, Cheng et al (2017) and Ishii et al (2017) can be considered superior to the old standard of Levitus (2012).

We plan, upon revision, to show model results for the use of Cowtan and Way (2014) (hereafter CW14) data record for global mean near-surface temperature together with the Cheng et al. (2017) record for ocean heat content (OHC).

When we ran the EM-GC using the CW14 temperature record, one issue that arose is the relatively small published uncertainties between 1850-1900 associated with this record. The EM-GC was not able to calculate any good fits as defined by the computation of a value of the reduced chi-squared parameter for GMST,  $\chi^2_{\text{ATM}}$ , being less than 2 upon using the CW14 temperature time series and the published uncertainties. The New Fig. S4 below compares the uncertainties associated with the Hadley Centre Climate Research Unit (HadCRUT), Berkeley Earth Group (BEG), and CW14.

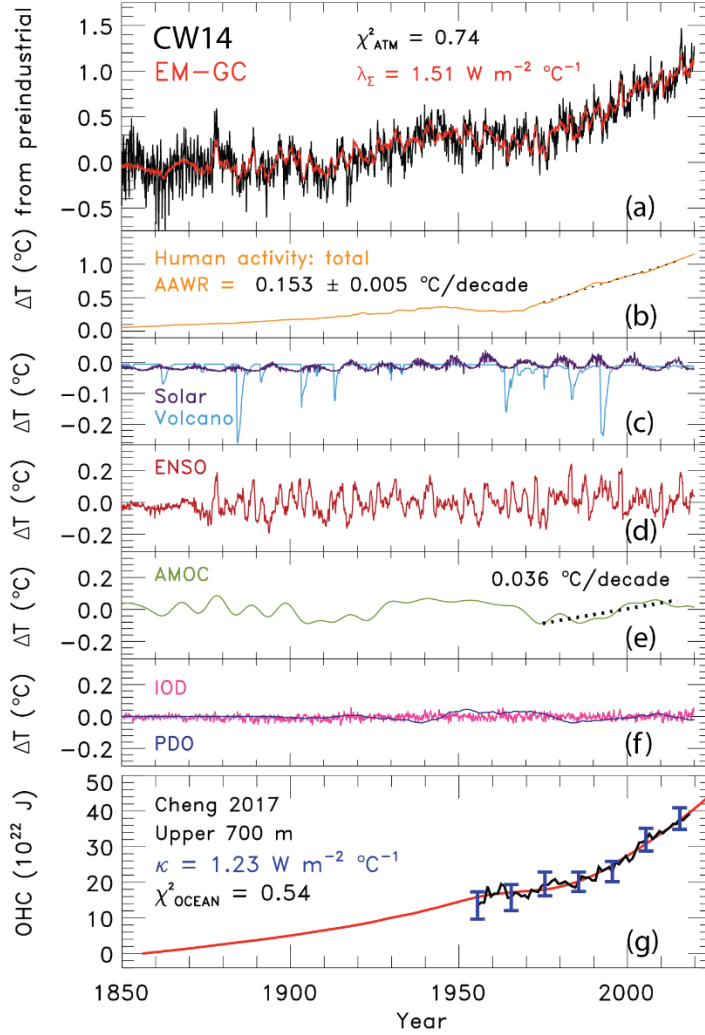


**New Figure S4.** GMST anomaly relative to pre-industrial over time. (a) HadCRUT with the HadCRUT uncertainties. (b) CW14 with the CW14 uncertainties. (c) BEG with the BEG uncertainties. (d) CW14 with the HadCRUT uncertainties.

The uncertainties for CW14 (New Fig. S4b) are much smaller than those for the HadCRUT (New Fig. S4a) and BEG (New Fig. S4c) temperature records. The small values of CW14 uncertainties, especially from 1850-1900, cause the EM-GC to not be able to achieve good fits to this temperature record. We have two choices for use of the CW14 record; either relax the constraint for  $\chi^2_{\text{ATM}}$  (i.e., run with  $\chi^2_{\text{ATM}} \leq 4$ ), or modify the CW14 uncertainties.

Upon revision, we propose to show model results for which we combine the uncertainties from HadCRUT with the data values for GMST from the CW14 record (New Fig. S4d), since CW14 is based upon the HadCRUT temperature record. Upon use of this combination of data and uncertainty, we are able to find good fits to the CW14 temperature record that look reasonable.

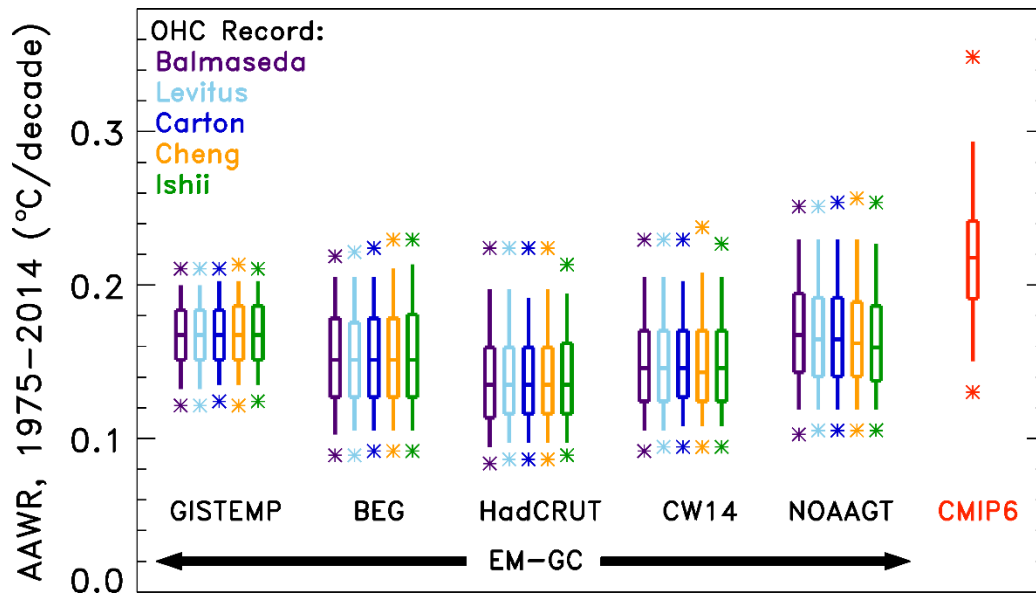
When the CW14 temperature record is used instead of HadCRUT, we see modest changes in the climate feedback parameter,  $\lambda_{\Sigma}$ , the attributable anthropogenic warming rate, AAWR, equilibrium climate sensitivity, ECS, and our projected global mean surface temperature (GMST) in 2100,  $\Delta T_{2100}$ , as detailed below. The New Fig. S3 below shows a plot similar to Fig. 1 of the submitted paper, for which we use the CW14 temperature record along with the Cheng et al. (2017) record for OHC.



**New Figure S3.** Measured and modeled GMST anomaly ( $\Delta T$ ) relative to a pre-industrial (1850-1900) baseline. (a) Observed (black) and modeled (red)  $\Delta T$  from 1850-2019. This panel also displays the values of  $\lambda_{\Sigma}$  and  $\chi^2_{\text{ATM}}$  (see text) for this best-fit simulation. (b) Contributions from total human activity. This panel also denotes the numerical value of the attributable anthropogenic warming rate from 1975-2014 (black dashed) as well as the  $2\sigma$  uncertainty in the slope. (c) Solar irradiance (light blue) and major volcanoes (purple). (d) Influences from ENSO on  $\Delta T$ . (e) Contributions from AMOC to  $\Delta T$  and to observed warming from 1975-2014. (f) Influences from PDO (blue) and IOD (pink) on  $\Delta T$ . (g) Measured (black) and modeled (red) ocean heat content (OHC) as a function of time for the Cheng 2017 OHC record, the value of  $\chi^2_{\text{OCEAN}}$  for this run, as well as the ocean heat uptake efficiency,  $\kappa$ , needed to provide the best-fit to the OHC record. The error bars (blue) denote the uncertainty in OHC used in this analysis (see Sect. 2.2.8).

Our estimate of  $\lambda_{\Sigma}$  upon use of the best estimate of aerosol radiative forcing in 2011 (AER RF<sub>2011</sub> of  $-0.9 \text{ W m}^{-2}$ ) increases from  $1.38 \text{ W m}^{-2} \text{ }^{\circ}\text{C}^{-1}$  (submitted paper) to  $1.51 \text{ W m}^{-2} \text{ }^{\circ}\text{C}^{-1}$  (New Fig S3) upon use of CW14 for GMST and the Cheng et al. (2017) record for OHC. The estimate of the value of AAWR increases from  $0.144 \text{ }^{\circ}\text{C/decade}$  to  $0.153 \text{ }^{\circ}\text{C/decade}$ . This sensitivity of  $\lambda_{\Sigma}$  and AAWR to data choice for GMST and OHC will be highlighted in the revised paper. We propose to add New Figure S3 to the supplement to document the effect on  $\lambda_{\Sigma}$  of data choice, and to add model results for the CW14/Cheng et al. (2017) data combination to three additional figures in the Main paper, as detailed below.

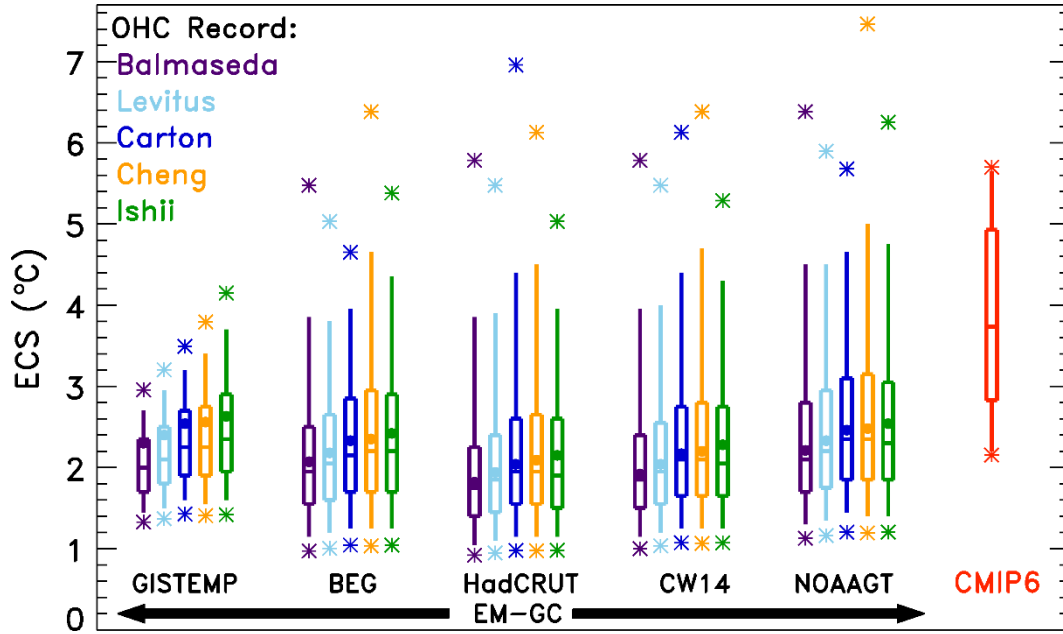
Proposed update to Fig. 6 contains the estimates of AAWR for the four original GMST data records and the CW14 record. The use of the CW14 GMST results in slightly higher values of AAWR than HadCRUT, more in line with the values of AAWR from BEG. This result shows that utilizing a global temperature record does result in a modest increase in AAWR.



**Proposed update to Figure 6.** AAWR from the EM-GC and CMIP6 multi-model ensemble for 1975-2014. Five temperature data sets and five ocean heat content records are used to compare values of AAWR computed from the EM-GC. The box represents the 25<sup>th</sup>, 50<sup>th</sup>, and 75<sup>th</sup> percentiles, the whiskers denote the 5<sup>th</sup> and 95<sup>th</sup> percentiles, and the stars show the minimum and maximum values of AAWR from the EM-GC based upon the aerosol weighting method described in Sect. 2.5. The red box labeled “CMIP6” shows the 25<sup>th</sup>, 50<sup>th</sup>, and 75<sup>th</sup> percentiles, the whiskers represent the 5<sup>th</sup> and 95<sup>th</sup> percentiles, and the stars denote the minimum and maximum values of AAWR from the 50 member CMIP6 multi-model ensemble.

Proposed update to Fig. 7 contains the values of ECS utilizing the EM-GC for the four original GMST data sets as well as the CW14 record. The estimates of ECS using the CW14 GMST data set are larger than the estimates of ECS using the HadCRUT record. Similar to the values of AAWR, the values of ECS using the CW14 record are more in line with the BEG record than the HadCRUT record.



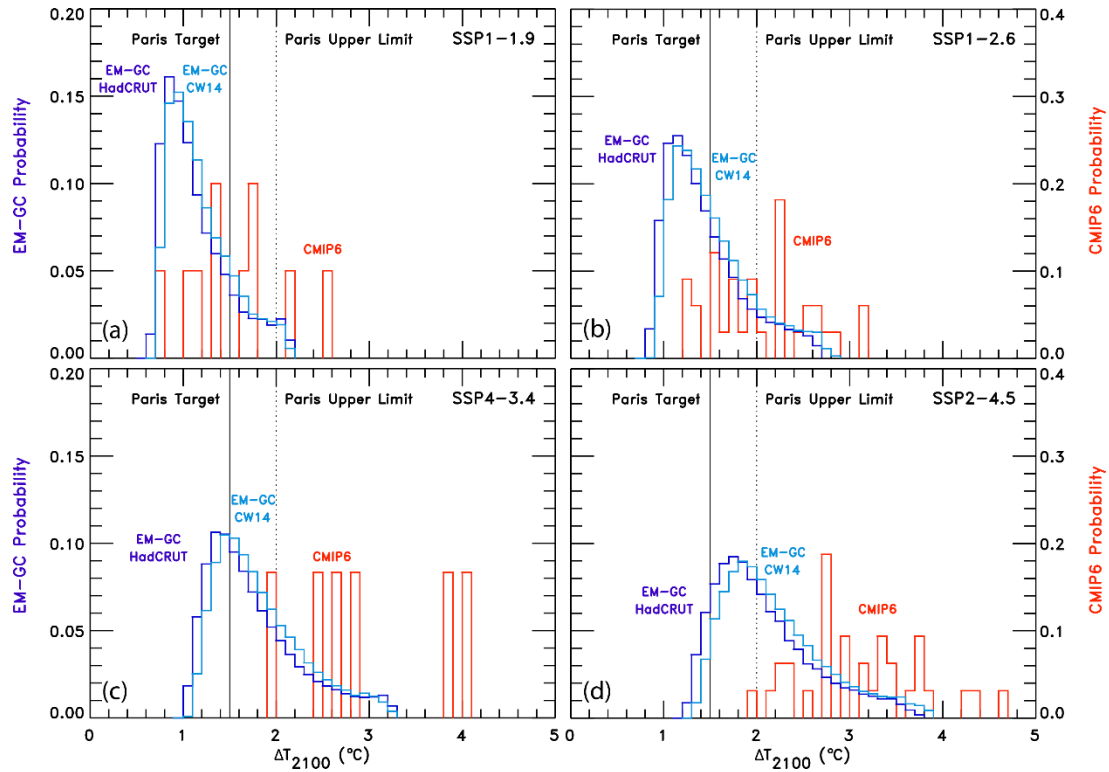


**Proposed update to Figure 7.** ECS from the EM-GC and the CMIP6 multi-model ensemble. Five GMST data sets and five ocean heat content records are used to compare values of ECS computed from the EM-GC. The box represents the 25<sup>th</sup>, 50<sup>th</sup>, and 75<sup>th</sup> percentiles, the whiskers denote the 5<sup>th</sup> and 95<sup>th</sup> percentiles, and the stars indicate the minimum and maximum values of ECS using the EM-GC based upon the weighting method described in Sect. 2.5. The circles denote the value of ECS associated with the best estimate of AER  $RF_{2011}$  of  $-0.9 \text{ W m}^{-2}$ . The red box labeled “CMIP6” represents the 25<sup>th</sup>, 50<sup>th</sup>, and 75<sup>th</sup> percentiles, the whiskers denote the 5<sup>th</sup> and 95<sup>th</sup> percentiles, and the stars indicate the minimum and maximum values of ECS from the 28 member CMIP6 multi-model ensemble.

Proposed update to Fig. 12 contains the probability density function (PDF) for estimates of  $\Delta T_{2100}$  using both the HadCRUT/Average OHC record and the CW14/Cheng record. The use of the CW14/Cheng record results in a PDF that is shifted towards higher values of  $\Delta T_{2100}$ , which results in lower probabilities of achieving the Paris Agreement target ( $1.5^\circ\text{C}$ ) and upper limit ( $2.0^\circ\text{C}$ ), as shown in Proposed Update to Table 1.

The addition of the CW14 GMST data set combined with the Cheng 2017 OHC record makes our results more robust and shows the modest changes in AAWR, ECS, and  $\Delta T_{2100}$  that occur upon changing the GMST record.

To address the second comment made by the reviewer, current Fig. S4 shows the five OHC records we use in our analysis normalized to 1986. This figure shows how the various OHC records relate to each other. All of the records follow the same overall trend, of increasing OHC from the beginning of the data record to the end. We would like to include all five data records for completeness. Our Proposed update to Fig. 6 and Fig. 7 illustrates that the choice of OHC record does have a slight impact on the values of AAWR and ECS but are not as important as the uncertainty in AER  $RF_{2011}$ . Upon revision, we include the combination of the CW14 GMST data set and the Cheng OHC record to show the effect of the OHC record that results in one of our highest kappa values, which leads to the most warming of all the OHC data records.



**Proposed update to Figure 12.** Probability density functions (PDF) for  $\Delta T_{2100}$  found using the EM-GC with the HadCRUT temperature record (dark blue), the EM-GC with the CW14 temperature record (light blue) and Cheng 2017 OHC data set, and CMIP6 multi-model results. (a) PDF for EM-GC (blue) results and CMIP6 multi-model (red) results for SSP1-1.9. The left-hand y-axis is for EM-GC probabilities and the righthand y-axis is for the CMIP6 multi-model ensemble probabilities. (b) PDF for SSP1-2.6. (c) PDF for SSP4-3.4. (d) PDF for SSP2-4.5.

**Proposed Update to Table 1.** List of SSP scenarios analyzed in this study and the probabilities of achieving the Paris Agreement target or upper limit based on the EM-GC using the HadCRUT4 temperature record and average of the five OHC records and the CMIP6 multi-model ensemble. The second half of the table shows the probabilities of achieving the Paris Agreement target or upper limit based on the EM-GC using the CW14 temperature record and Cheng 2017 OHC record. The probabilities using the EM-GC are computed using the aerosol weighting method. The probabilities using the CMIP6 models are computed by calculating how many of the models for that scenario are below the temperature limits compared to the total number of models.

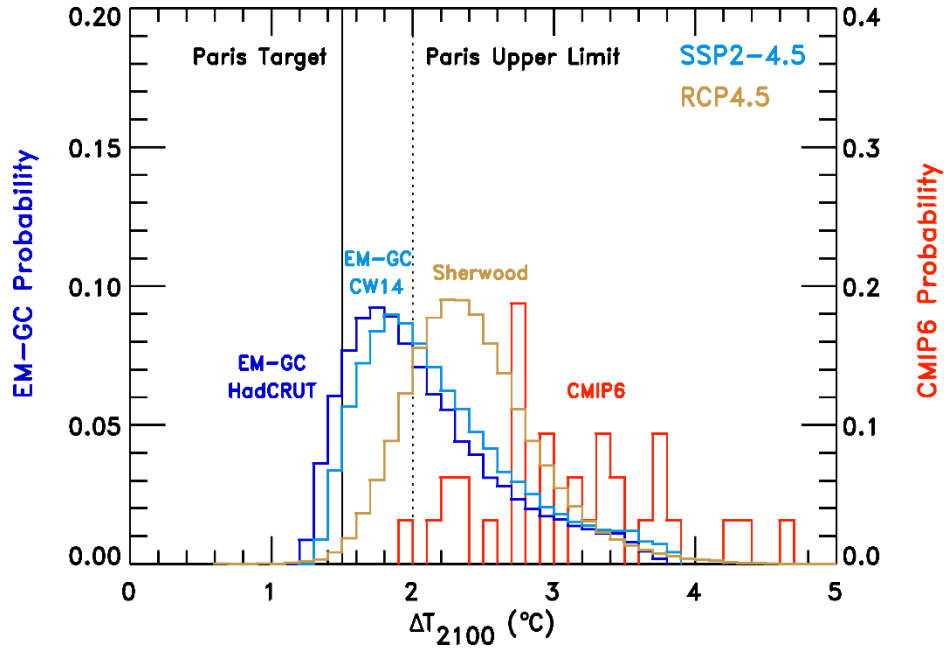
	Probability of Staying at or Below 1.5°C		Probability of Staying at or Below 2.0°C	
	EM-GC	CMIP6	EM-GC	CMIP6
SSP1-1.9	84.1%	50.0%	96.7%	80.0%
SSP1-2.6	64.8%	15.2%	88.4%	51.5%
SSP4-3.4	37.6%	0.0%	74.0%	16.7%
SSP2-4.5	10.5%	0.0%	53.1%	3.1%
SSP4-6.0	0.6%	0.0%	26.6%	0.0%
SSP3-7.0	0.0%	0.0%	1.3%	0.0%
SSP5-8.5	0.0%	0.0%	0.0%	0.0%
<b>Using CW14 and Cheng OHC Record</b>				
SSP1-1.9	82.4%		97.5%	

<b>SSP1-2.6</b>	57.0%	85.5%
<b>SSP4-3.4</b>	28.1%	69.6%
<b>SSP2-4.5</b>	4.2%	43.2%
<b>SSP4-6.0</b>	0.0%	17.4%
<b>SSP3-7.0</b>	0.0%	0.0%
<b>SSP5-8.5</b>	0.0%	0.0%

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We conclude this section by addressing the statement made by the reviewer, in the second (introductory) paragraph, that the stark contrast between our results and the recent assessment by Sherwood et al. (2020) may partially be an artefact of our data choices. The figure below, Response Fig. 1, shows the probability density function (PDF) for the rise in GMST in year 2100 ( $\Delta T_{2100}$ ) found using our model trained by GMST from HadCRUT (dark blue) and CW14 and OHC from Cheng et al. (2017) (light blue), and from the CMIP6 GCMs (red lines). All three of these lines are for SSP2-4.5. We also show a PDF for RCP-4.5 from Fig. 23 of Sherwood et al. (2020) (brown). Since projections found using our EM-GC are based on SSP2-4.5 and the Sherwood projection is based on RCP4.5, the comparison is not exactly “like to like”, but all of these  $\Delta T_{2100}$  projections are for GHG scenarios designated to reach a rise of about  $4.5 \text{ W m}^{-2}$  by end of century (relative to pre-industrial). Note the large region of overlap between our projections of  $\Delta T_{2100}$  and those of Sherwood et al. shown in this figure. The results in Response Fig. 1 show that differences between our projections of  $\Delta T_{2100}$  and those from Sherwood et al. (2020) are not, in fact, primarily due to our use of data for GMST from HadCRUT rather than CW14. However, our use of data from CW14 does move our projections closer to those of Sherwood et al. (2020), so the reviewer is correct that data choice does in fact contribute *partially* to this difference. Projections of  $\Delta T_{2100}$  found using our approach, and those given by Sherwood et al. (2020), both fall far short of the CMIP6 projections. The Sherwood et al. (2020) analysis lies in between our projections and those of the CMIP6 GCMs because, as noted starting on line 721 of our submitted paper, “they rely on a determination that the feedback due to clouds is moderately to strongly positive ...”. Text on lines 721 to 740 of our submitted paper provides further explanation of the fundamental difference between results found using our approach and that of Sherwood et al., which is primarily due to uncertainty in cloud feedback.

We will be happy to include Response Fig. 1 as a figure in the supplement of our revised paper and add the text in the preceding paragraph to the paper (Main and Supplement) to highlight the comparison between our results and those from Sherwood et al. (2020), if so directed either by the reviewer or editor.



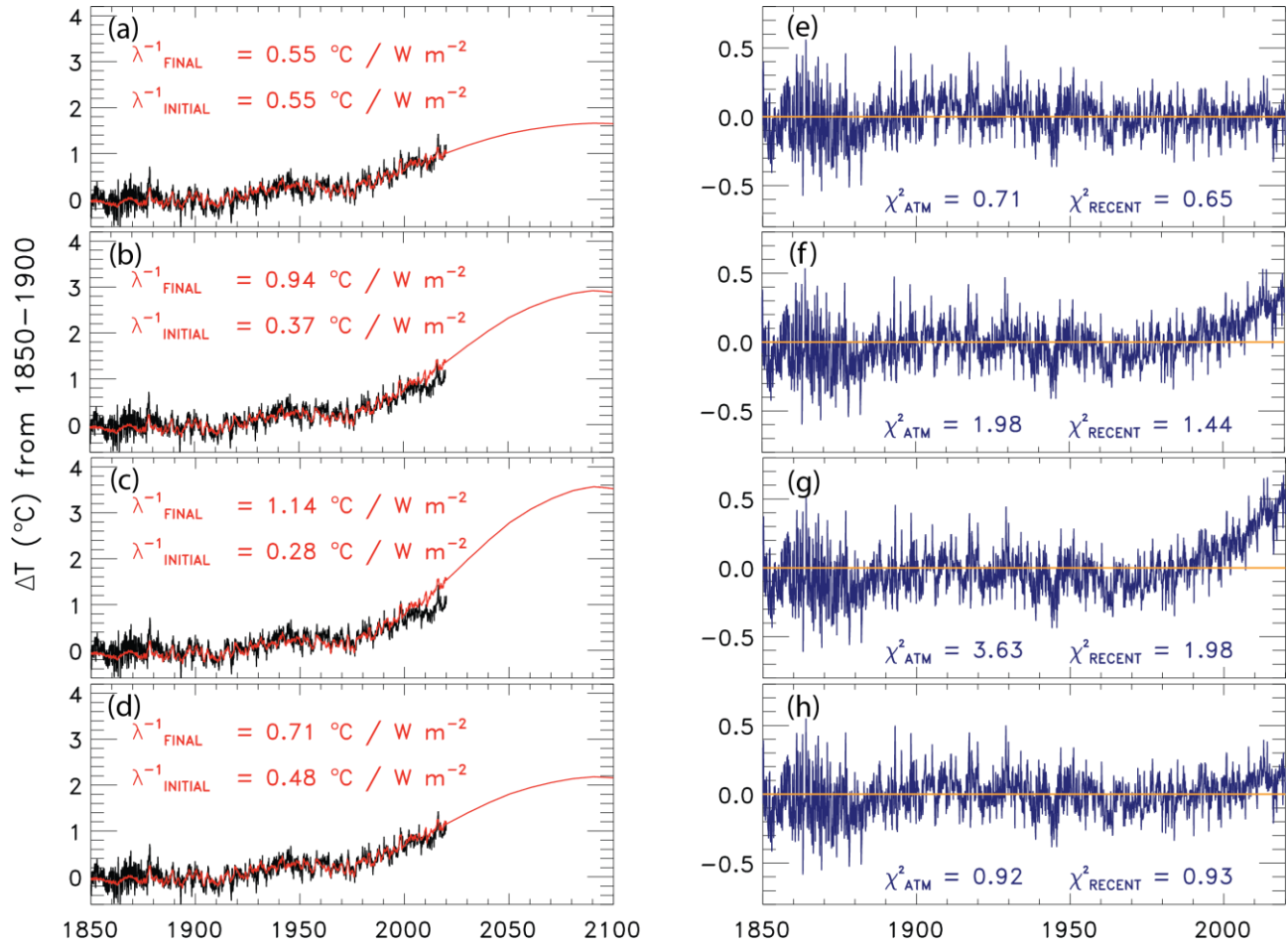
**Response Figure 1.** Probability density functions (PDF) for  $\Delta T_{2100}$  found using the EM-GC with the HadCRUT temperature record (dark blue), the EM-GC with the CW14 temperature record (light blue), the CMIP6 multi-model results (red), and results for RCP4.5 from Fig. 23 from Sherwood et al. (2020).

3) Armour (2017) showed that climate sensitivity estimates from energy budgets can be reconciled with climate models by treating models as observations: if you estimate climate sensitivity of models using only data up to the present, your climate model sensitivity will be underestimated. The reason is that most models show increased sensitivity over time. The climate feedback parameter in McBride et al is assumed to be time-constant without justification. On timescales longer than the 150 years of the Gregory method, positive feedbacks are set to increase even further (Rugenstein, 2020).

Excellent point that we plan to address upon revision, as detailed below.

The assumption of the time-constant  $\lambda_{\Sigma}$  is the simplest assumption one can make. The fact the climate record can be fit so well based upon this conjecture does provide support for the validity of this assumption. Nonetheless, as stated by the reviewer, many GCMs indicate that climate feedback varies over time. In our EM-GC framework, we are able to conduct calculations allowing the value of  $\lambda_{\Sigma}$  to vary over time, and to project future temperature with such an assumption. We therefore propose to add a new figure, which would be New Fig. 15, to address this concern raised and to add a new Section 3.3.6 where we describe model results for both constant climate feedback, and time varying climate feedback. In this new section, we will assess the effect of time varying climate feedback on our projections of global warming in terms of  $\lambda^{-1}$ , because this quantity scales proportionally with  $\Delta T$  and the use of the inverse  $\lambda$  allows for direct comparison to other studies. Recall from Sect. 2.1 that  $\lambda_{\Sigma} = \lambda_P - \lambda$ .

Our proposed New Fig. 15 shows the change in observed and modeled GMST under several assumptions. The first assumption is that the value of  $\lambda^{-1}$  is constant over time. Of course, as noted in the submitted paper, we are able to fit the climate record over the past 170 years ( $\chi^2_{\text{ATM}}$ ) and past 80 years ( $\chi^2_{\text{RECENT}}$ ) quite well using this assumption. If we allow the value of  $\lambda^{-1}$  to rise over time so that the value of  $\chi^2_{\text{ATM}}$  is always less than or equal to 2, we obtain the result shown in New Fig. 15b. The modeled change in GMST starts to deviate from the observations around year 2000. This deviation is seen in the residual between modeled and observed GMST in New Fig. 15f. If we allow the value of  $\lambda^{-1}$  to vary over time so that the value of  $\chi^2_{\text{RECENT}}$  is less than or equal to 2, we get the result shown in New Fig. 15c. The modeled change in GMST starts to deviate dramatically from observations around year 1990. This stark deviation is seen in the residual between modeled and observed GMST in New Fig. 15g. The  $\chi^2_{\text{ATM}}$  value in New Fig. 15g is 3.63, which does not satisfy our reduced chi-squared constraints, and interestingly appears to resemble the behavior of some CMIP6 GCMs. New Figure 15d has  $\lambda^{-1}$  vary by 50% over two and a half centuries, which is comparable to estimates from Marvel et al. (2018) and Rugenstein et al. (2020). Upon revision, we would show New Fig. 15 and highlight the sensitivity of our projections of the rise in GMST by year 2100, for the SSP4-3.4 scenario, to the assumption of whether or not climate feedback is constant over time, as well as compare to estimates of  $\lambda^{-1}$  from previous studies. Appropriate words would be added to the abstract and conclusions, such that the reader will be well aware that our baseline projections are based on time-invariant climate feedback, and that if the true climate feedback actually rises over time as suggested by some of the CMIP6 GCMs, our projections of global warming would be strongly affected.



**New Figure 15.** Change in GMST from 1850-2019 for observations from HadCRUT (black) and 1850-2100 for modeled (red) using SSP4-3.4 and the residual between modeled and observations. (a) Rise in GMST assuming a constant value of  $\lambda^{-1}$ . (b) Rise in GMST allowing  $\lambda^{-1}$  to vary while the value of  $\chi^2_{\text{ATM}}$  is kept below 2. (c) Rise in GMST allowing  $\lambda^{-1}$  to vary while the value of  $\chi^2_{\text{RECENT}}$  is kept below 2. (d) Rise in GMST allowing  $\lambda^{-1}$  to increase by 50%. (e) Residual between modeled and observed rise in GMST from 1850-2019 for constant  $\lambda^{-1}$ . (f) Same as (e) but for varying  $\lambda^{-1}$  while the value of  $\chi^2_{\text{ATM}}$  is kept below 2. (g) Same as (f) but for varying  $\lambda^{-1}$  while the value of  $\chi^2_{\text{RECENT}}$  is kept below 2. (h) same as (g) but for increasing  $\lambda^{-1}$  by 50%.

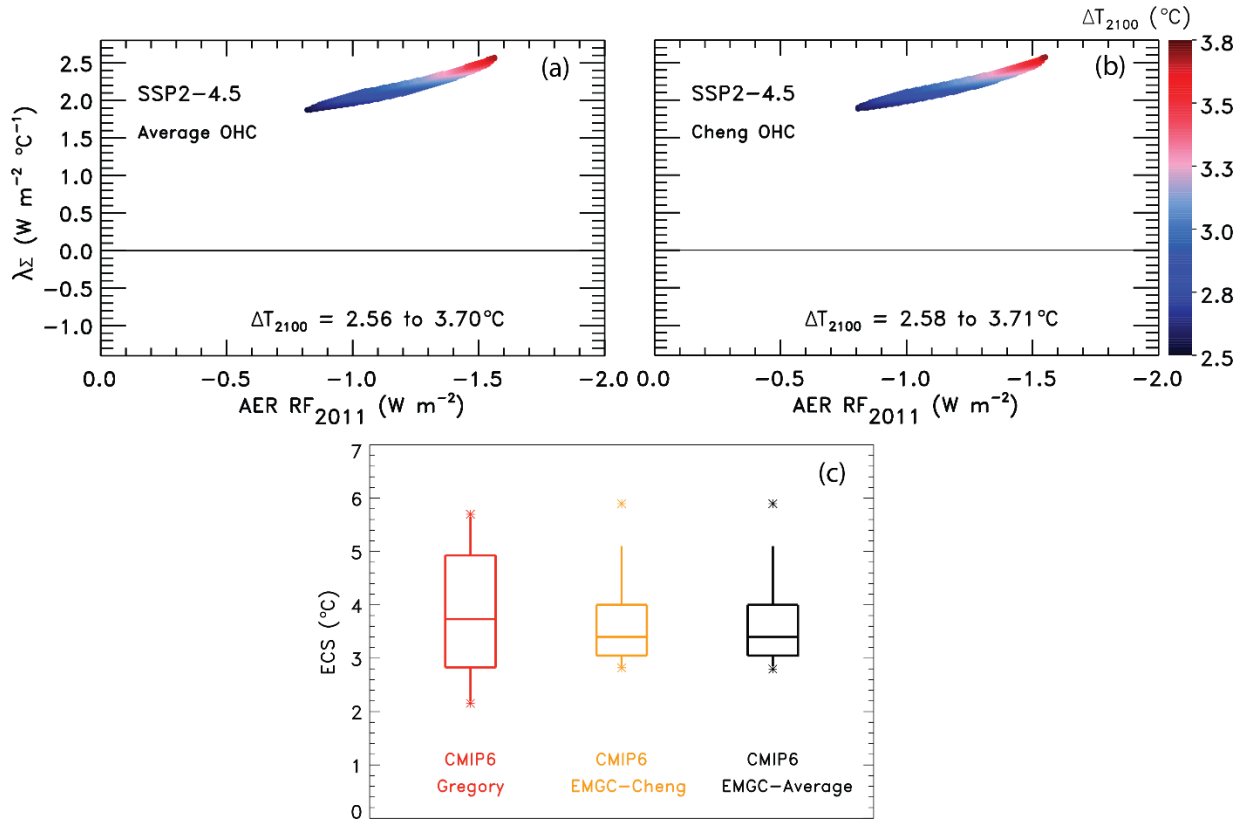
To address the reviewer's second point, we utilize the Gregory et al. (2004) method to calculate ECS from the CMIP6 GCMs because this procedure is preferred by Eyring et al. (2016) for the use in CMIP6. Our use of this method results in our calculated values of ECS being consistent with what GCM groups utilize for ECS, as has been published in numerous recent papers and will almost certainly be shown in the upcoming IPCC report. We agree with the reviewer that the Gregory et al. (2004) method may underestimate ECS, as shown in Rugenstein et al. (2020). Nonetheless, our paper will have greater value to the community if we use calculations for ECS that are consistent with the primary method employed by CMIP6. Upon revision, we will add more clarification to Sect. 2.4 about why we have chosen to use the Gregory et al. (2004) method, and we will note that this method may underestimate the value of ECS as suggested by the reviewer, with a citation to the Rugenstein et al. (2020) paper.

4) Considering the previous comment, I would like to be convinced the simple method can be used to estimate climate sensitivity when applied to climate models. Is this method able to give accurate predictions of climate sensitivity of climate models in contrast to previous energy balance methods?

Thanks for another excellent point that we plan to address upon revision, as detailed below.

Based on this comment, we have for the first time used our approach in the EM-GC framework to calculate ECS for the CMIP6 models. To use the EM-GC with the CMIP6 output, we calculated the CMIP6 multi-model mean change in GMST from 1850-2100 using the SSP2-4.5 scenario, because it reaches a doubling of preindustrial CO<sub>2</sub> by the end of the century. We used the standard deviation of the CMIP6-multi model mean to represent the uncertainty in the rise in GMST for our reduced chi-squared calculations. We trained the EM-GC from 1850-2100, included the CMIP6 prescribed values of SAOD and TSI, and did not include any natural variability. Our results are shown below in the proposed New Figure S13.





**New Figure S13.** GMST anomaly in 2100 relative to pre-industrial ( $\Delta T_{2100}$ ) as a function of climate feedback parameter and  $\text{AER RF}_{2011}$  and the values of ECS from the CMIP6 GCMs using three methods. (a)  $\Delta T_{2100}$  for SSP2-4.5 using the CMIP6 multi-model mean and the average of the five OHC records. (b)  $\Delta T_{2100}$  for SSP2-4.5 using the CMIP6 multi-model mean and the Cheng 2017 OHC record. (c) Values of ECS found using the Gregory et al. (2004) method (red), CMIP6 multi-model mean using the Cheng 2017 OHC (orange), and the CMIP6 multi-model mean using the average of the five OHC records. The box represents the 25<sup>th</sup>, 50<sup>th</sup>, and 75<sup>th</sup> percentiles of the values of ECS and the whiskers denote the 5<sup>th</sup> and 95<sup>th</sup> percentiles. The stars indicate the minimum and maximum values of ECS.

The New Fig. S13 shows the values of  $\lambda_{\Sigma}$  and  $\text{AER RF}_{2011}$  for which the EM-GC calculates good fits to the CMIP6 multi-model mean for SSP2-4.5 from 1850-2100, using the average of five OHC records to represent the amount of heat going into the ocean (New Fig. S13a) or the Cheng 2017 OHC record (New Fig. S13b). Use of either the average of five OHC records or the Cheng 2017 OHC record results in similar values of  $\lambda_{\Sigma}$ ,  $\text{AER RF}_{2011}$ , and  $\Delta T_{2100}$ . We use the aerosol weighting method described in Sect. 2.5 of the submitted paper to calculate the box and whiskers shown in New Fig. S13c.

The box and whisker plots in New Fig. S13c using the EM-GC approach are similar to the box and whisker plot using the Gregory et al. (2004) method. The EM-GC approach does not yield values of ECS as low as some of the CMIP6 GCMs exhibit upon use of the Gregory et al. (2004) method, but the median values are relatively similar. The EM-GC approach is able to obtain values of ECS around the maximum value reported using the Gregory et al. (2004) method. The reviewer has raised an excellent point, and we contend

that the inclusion of New Fig. S13 in supplement, complemented by a new paragraph in Section 3.2 of the Main paper, constitutes an important revision to our paper that will be of great interest to the community.

5) I could not quite understand the computations behind the TCRE: how are uncertainties in the carbon cycle taken into account? This is important for the 66% and 95% likelihood estimates.

Thanks for yet another excellent point that we also plan to address upon revision, as detailed below.

We had not taken the carbon cycle into account. To do so, we examined Friedlingstein et al. (2014) and Murphy et al. (2014) and found that the uncertainty in their estimates of atmospheric CO<sub>2</sub> concentration from emissions driven runs from the CMIP5 coupled carbon cycle models is about 10% (1-sigma). Upon revision, we propose to use this 10% value that relates uncertainty in CO<sub>2</sub> to carbon emissions, to represent uncertainty in the global carbon cycle for our estimates of the remaining carbon budget, before certain temperature thresholds are passed. We propose to update Table 2 as shown below and update the corresponding text in Sect. 3.3.4 and the conclusions to reflect this addition of uncertainty in the global carbon cycle to our estimates of total cumulative carbon emissions.

**Proposed Update to Table 2.** Total cumulative and future carbon emissions that will lead to crossing the Paris temperature thresholds based on the EM-GC. Estimates of  $\Sigma\text{CO}_2^{\text{EMISSIONS}}$  that would cause global warming to stay below indicated thresholds for 95%, 66%, and 50% probabilities. The values in the top half of the table are the estimates of total cumulative carbon emissions that will lead to crossing the Paris Agreement thresholds with the 10% uncertainty. The values in the bottom half of the table are the estimates of future cumulative carbon emissions after 2019 that will lead to crossing the Paris Agreement thresholds. The range of years given represents when the Paris Agreement thresholds will be passed based upon the rate of emissions from SSP5-8.5 or continuing the current rate of emissions of 11.7 Gt C yr<sup>-1</sup>.

<b>Total <math>\Sigma\text{CO}_2^{\text{EMISSIONS}}</math> since 1870 from the EM-GC</b>			
	<b>95%</b>	<b>66%</b>	<b>50%</b>
<b>1.5°C</b>	746 ± 75 Gt C	906 ± 91 Gt C	974 ± 97 Gt C
<b>2.0°C</b>	933 ± 93 Gt C	1203 ± 120 Gt C	1323 ± 132 Gt C
<b>Future <math>\Sigma\text{CO}_2^{\text{EMISSIONS}}</math> (assuming 638 Gt C released between 1870-2019)</b>			
	<b>95%</b>	<b>66%</b>	<b>50%</b>
<b>1.5°C</b>	108 ± 75 Gt C	268 ± 91 Gt C	336 ± 97 Gt C
	(2022 <sup>a</sup> -2032 <sup>a</sup> )	(2032-2042)	(2036-2045)
	(2021 <sup>b</sup> -2034 <sup>b</sup> )	(2034-2049)	(2039-2056)
<b>2.0°C</b>	295 ± 93 Gt C	565 ± 120 Gt C	685 ± 132 Gt C
	(2033 <sup>a</sup> -2043 <sup>a</sup> )	(2046-2056)	(2051-2061)
	(2036 <sup>b</sup> -2052 <sup>b</sup> )	(2057-2077)	(2066-2088)

<sup>a</sup> Year the 1.5°C target or 2.0°C upper limit will be exceeded assuming the rate of emission inferred from SSP5-8.5 and the 1-sigma uncertainty

<sup>b</sup> Year the 1.5°C target or 2.0°C upper limit will be exceeded assuming current rate of emission of 11.7 Gt C yr<sup>-1</sup> and the 1-sigma uncertainty

6) The paper is quite long, and I think that it will become more convincing after a good look at the prose. My minor comments will give further suggestions.

We thank the reviewer for this helpful suggestion, and we will take a careful look at the prose upon revision.

Minor comments:

76. Remove the word “active”, as that implies a dynamic ocean, which is not what the model has

Thank you, we will fix

82. The paper uses many capitalised abbreviations, which is inevitable. However, words like months and obs can be written in lowercase to make reading more pleasant.

For the sake of conforming to past precedent (Canty et al., 2013; Hope et al., 2017), we would like to keep the use of capitalized abbreviations. We will be happy to make the change, if directed by the reviewer or editor. If directed to make this change, we will need to redo several figures that use the capitalized abbreviations in subscripts.

101. Maybe repeat what delta TMDL means

Thank you, we will add

132. I’m not sure whether your definition of lambda can also be called a climate feedback parameter. It would be confusing to have two different parameters with the same name

The literature is littered with numerous definitions of “climate feedback”, and various names for our lambda. We have called this quantity the “climate feedback parameter” in our prior papers (Canty et al., 2013; Hope et al., 2017), so for the sake of conforming to past precedent, we prefer to retain this description of lambda in the current paper. The only other time we talk about another climate feedback parameter is when we describe that our quantity uses a different formalism from Bony et al., 2006 and Gregory, 2000, on page six of the submitted paper, and in our new Sect. 3.3.6. We had hoped this text would illuminate, rather than confuse. This is a minor point and we will be happy to remove the sentence in question, if so directed.

136. This sentence can be removed, as it’s not providing any information relevant to the study.

We would like to keep this sentence because it gives support to the relationship of  $\lambda_{\Sigma}$  and  $\gamma$  used in our analysis. Again, this is a minor point and we will be happy to remove the sentence in question, if so directed.

188. Normally the reduced chi-squared parameter is denoted  $\chi^2_{\nu}$  to differentiate from normal chi-squared.

The reviewer is correct; a superscript of “nu” is used in formal mathematics literature, for reduced chi-squared. Again, we have omitted this subscript in our prior papers (Canty et al., 2013; Hope et al., 2017) and for the sake of conforming to past precedent as well as for readability (i.e., less clutter), we prefer to not add this subscript. However, we will be delighted to add the subscript, if so directed by either the reviewer or editor. We will need to change several figures that currently do not have the superscript “nu”.

Figure 1: The AAWR in panel b is different from the lead, which one is correct?

Thanks for this great catch! The value of AAWR shown in panel b is specifically for an aerosol radiative forcing pathway with a value of radiative forcing equal to  $-0.9 \text{ W m}^{-2}$ . The value of AAWR reported in the abstract is the median value. We had used the wrong wording in the abstract and referred to the median value as the best estimate; upon revision, we will fix this mistake.

239-243. In this study, the datasets are referred to by the name of the institutions responsible for them, but they have specific names. Could you replace CRU with Had-CRUT, GISS with GISTEMP and so forth.

Great suggestion. We will replace CRU with HadCRUT, GISS with GISTEMP, NCEI with NOAAAGT, and add CW14 for Cowtan and Way, 2014.

242: Typo. Berkley=Berkeley

Great catch; much thanks. We will fix.

247. Transformation usually means adjusting the mean and variance, where you’re only adjusting the mean

Thank you we will replace “transformation” with “adjust”.

257. The baseline is defined as no mitigation, so this sentence would be corrected if you remove that word

We are referring to Figure 1 of O’Neill et al. (2014) which describes the “challenges” space spanned by the SSPs. These are socioeconomic challenges for adaptation and socioeconomic challenges for mitigation. O’Neill et al. (2014) defines the challenges to mitigation as “socioeconomic factors that would make the mitigation task easier or harder for any given target and mitigation policy”. We would like to edit the sentence to read “The baseline pathways follow specific narratives for factors such as population, education, economic growth, and technological developments of sources of renewable energy (Calvin et al., 2017; Fricko et al., 2017; Fujimori et al., 2017; Krieglner et al., 2017; van Vuuren et al., 2017) to represent several possible futures spanning different challenges for adaptation and mitigation to climate change as illustrated in Fig. 1 of O’Neill et al. (2014).” to draw the reader to this important paper that describes the creation of the SSPs.

263-269. Description of the tiers is unnecessary for this study, consider dropping it.

We would like to keep a short description of the tiers in this study, because the two different tiers were the basis for choosing which SSPs we highlighted in the main paper.

We propose eliminating the detailed description of the two tiers and will just describe which SSP scenarios are part of tier 1 and tier 2.

272. Add “the” (“in the supplement”)

Thank you, we will fix.

279-281. Which equation comes from which source?

All of the equations used to calculate radiative forcing come from Myhre et al. (1998). We use the updated values of preindustrial concentrations from Myhre et al. (2013) and the updated radiative efficiencies from WMO (2018). Upon revision, we will update the text to better describe from which source we obtain information for the radiative forcing calculations.

289. Remove brackets around Myhre

Thank you, we will fix.

295. Upon -> on?

Thank you, we will fix.

320. Are you sure it’s not perfectly identical?

The values of aerosol radiative forcing provided by the SSP Database are the same in 2005 and are slightly different in 2010, hence our wording of nearly identical. We would like to change the text has written to, “The database provides AER RF from 2005-2100, with values for all SSPs nearly identical until about 2010”. Upon examination of the aerosol radiative forcing time series on the SSP database, the time series start to deviate around 2015.

323. Remove “described above”, it’s unnecessary

Thank you we will fix.

363-369. If I understand it correctly, three different time series are appended. Would it not be easier to derive the entire time series yourself? That would also be easier to describe.

Yes, we did append three time series to create the MEI.v2 time series for ENSO in our model framework. While it may be easier to derive the entire time series ourselves, we want to train to data whenever possible. Hence our decision to append the two MEI time series together. We created the MEI time series ourselves from 1860-1871 because the MEI.ext did not extend past 1871. We propose to rework the text in the first paragraph of Sect. 2.2.6 to clarify our method for arriving at the full MEI.v2 time series.

415-416. Normalization involves both the mean and standard deviation, offsets are always additive. Maybe rewrite as “the five datasets are all set to zero in 1986 by applying an offset”

Thank you, we will change as suggested.

433. I didn’t understand which standard deviation of the mean was taken

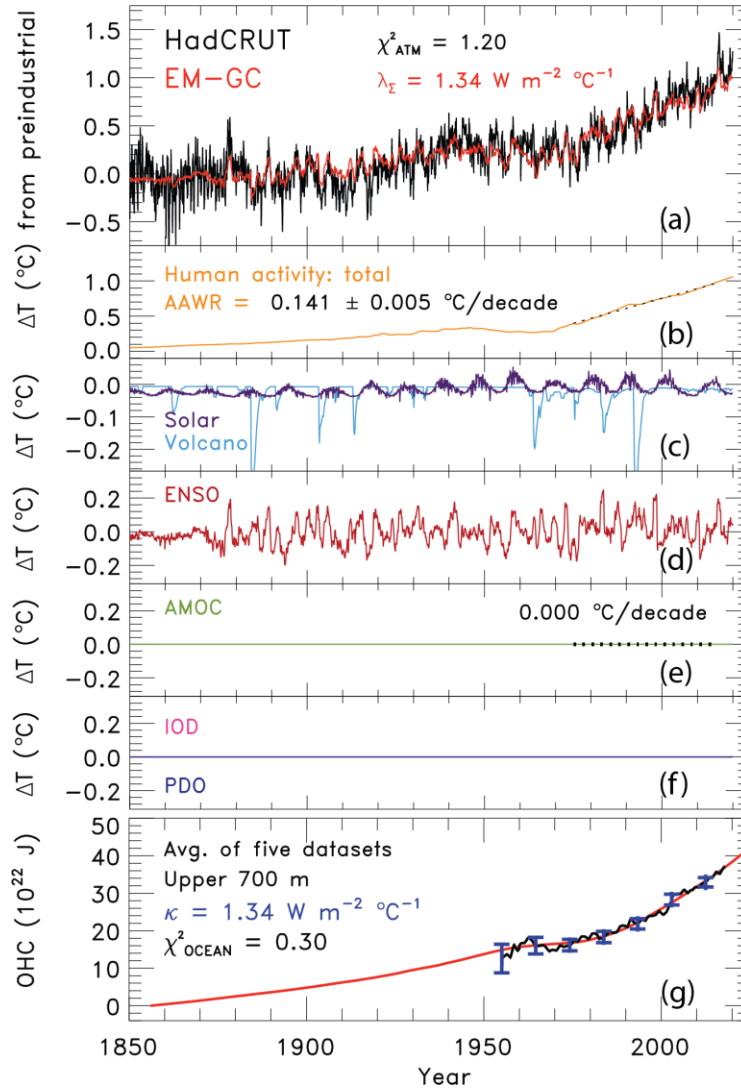
We are referring to the 1 sigma standard deviation of the average of the five OHC records.  
We will clarify this in the text.

463. Replace “based upon” by “using”, remove “shown below”?

Thank you, we will fix.

477-480. I didn’t understand why AAWR is not affected at all, as regression variables, such as lambda, are surely influenced by the inclusion of AMOC.

The value of AAWR and  $\lambda_{\Sigma}$  are only slightly affected by not including AMOC. We get a worse fit to the climate record when not including AMOC. Response Fig. 2 shows a plot similar to Fig. 1 from the paper, found upon not including AMOC, IOD, or PDO in the regression. As shown in Response Fig. 2a, the value of  $\lambda_{\Sigma}$  has decreased from  $1.38 \text{ W m}^{-2} \text{ }^{\circ}\text{C}^{-1}$  (submitted paper) to  $1.34 \text{ W m}^{-2} \text{ }^{\circ}\text{C}^{-1}$ . The value of AAWR declines from  $0.144^{\circ}\text{C/decade}$  to  $0.141^{\circ}\text{C/decade}$ . The decrease in the value of AAWR is well within the  $2\sigma$  uncertainty of AAWR. Our estimate of  $\lambda_{\Sigma}$  and AAWR are much more sensitive to the value of AER RF<sub>2011</sub>, as shown in Fig. 3 and Fig. 6. Our value of  $\chi^2_{\text{ATM}}$  increases from 0.71 to 1.20. The inclusion of AMOC, as well as PDO and IOD, is important to achieve a better fit to the climate record, allowing more of the parameter space for climate feedback and aerosol RF of climate to be considered upon use reduced of our chi-squared  $\leq 2$  filtering.



**Response Figure 2.** Measured and modeled GMST ( $\Delta T$ ) relative to pre-industrial (1850-1900) baseline. (a) Observed (black) and modeled (red)  $\Delta T$  from 1850-2019. (b) Contributions from total human activity. This panel also denotes the numerical value of AAWR from 195-2014 (black dashed) as well as the  $2\sigma$  uncertainty in the slope. (c) Solar irradiance (light blue) and major volcanoes (purple). (d) Influences from ENSO on  $\Delta T$ . (e) Influence from AMOC is set to zero. (f) Influences from PDO (blue) and IOD (pink) are set to zero. (g) Measured (black) and modeled (red) OHC as a function of time for the average of five data sets.

519. if I understand it correctly, these equations assume there is no uncertainty at all in the radiative forcing at the doubling of CO<sub>2</sub>, which is inconsistent with definitions of radiative forcing and with CMIP6 models.

We do not include uncertainty in the RF of climate due to a doubling of CO<sub>2</sub>, and prefer to retain this formality, because this uncertainty is so small compared to so many other uncertainties. We are co-authors of a paper entitled “Reduced Complexity Model Intercomparison Project Phase 2: Synthesising Earth system knowledge for probabilistic climate projections”, led by Zebedee Nicholls of the University of Melbourne, that was



submitted to the AGU journal Earth's Future on 12 Nov 2020. About half of the Reduced Complexity Climate Models used in this paper considered this uncertainty for projections of global warming out to 2300; our model along with about the other half did not consider this uncertainty. The author team of this Nicholls et al. paper decided to proceed without restricting uniformity for the treatment of this uncertainty, since it has such a small impact. That said, if so directed by either the reviewer or editor, we will gladly consider this uncertainty, but we note it will add a considerable computational burden for completion of our proposed revision, and we are certain it will not change our results in any meaningful manner.

550-552. I did not understand what an asymmetric Gaussian was, could you explain?

In our study, we use the term asymmetric Gaussian to refer to the fact that the distribution of the likely range and 5<sup>th</sup> and 95<sup>th</sup> percentiles of the values of AER RF<sub>2011</sub> from Myhre et al. (2013) are not distributed symmetrically from the best estimate of AER RF<sub>2011</sub> = -0.9 W m<sup>-2</sup>. The likely range of AER RF<sub>2011</sub> is given as -0.4 W m<sup>-2</sup> and -1.5 W m<sup>-2</sup>. The -0.4 W m<sup>-2</sup> value is 0.5 W m<sup>-2</sup> from the best estimate, while the value of -1.5 W m<sup>-2</sup> is 0.6 W m<sup>-2</sup> from the best estimate. The 5<sup>th</sup> and 95<sup>th</sup> percentiles of AER RF<sub>2011</sub> are given as -0.1 and -1.9 W m<sup>-2</sup>, which are 0.8 W m<sup>-2</sup> and 1.0 W m<sup>-2</sup> from the best estimate, respectively. We fit a Gaussian to the best estimate, likely range, and 5<sup>th</sup> and 95<sup>th</sup> percentiles. Because the likely range and 5<sup>th</sup> and 95<sup>th</sup> percentiles are not arranged symmetrically around the best estimate, the Gaussian is asymmetric.

Upon revision, we propose to add clarifying text to help readers understand this concept, to our method Section 2.5.

649. Remove “as indicated”

Thank you we will fix.

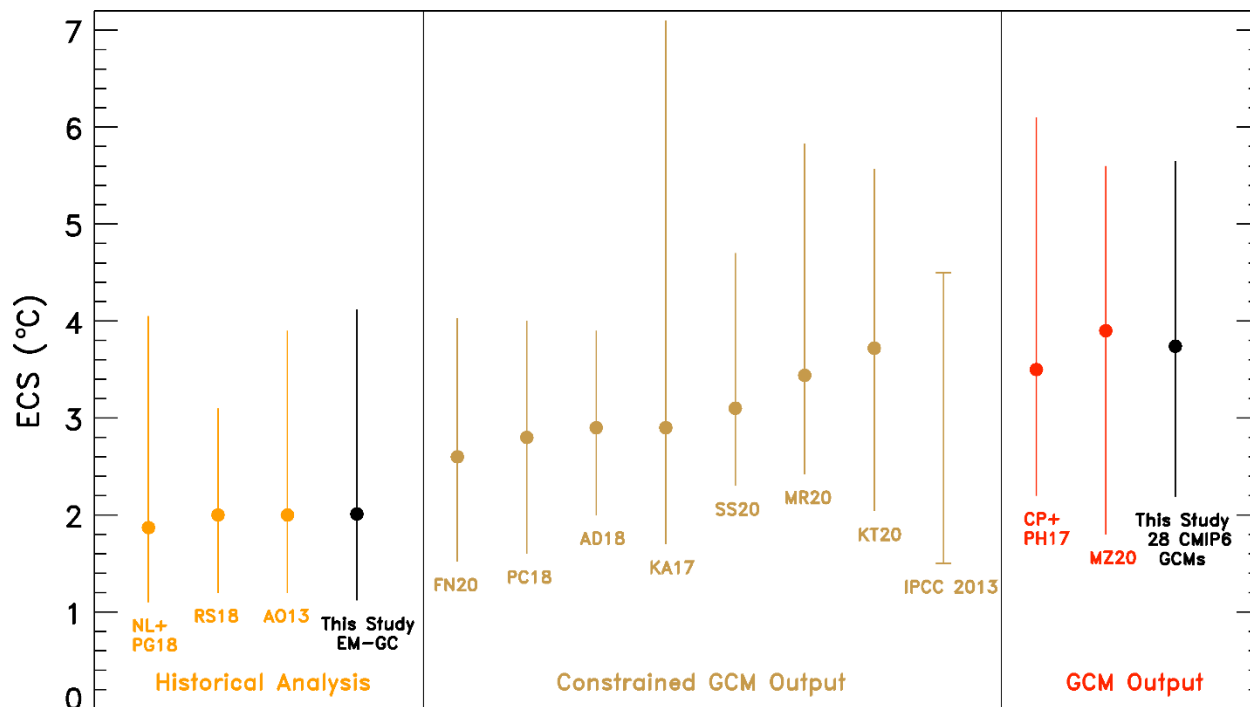
675. The value of 1.85 contradicts the value in the next paragraph of 2.01. Which one is correct?

Thanks for noting this contradiction. Both values are correct. The value of 1.85°C is the median value of ECS found using the HadCRUT temperature record. The value of 2.01°C is the best estimate value of ECS found using the HadCRUT temperature record. The best estimate corresponds to an AER RF<sub>2011</sub> value of -0.9 W m<sup>-2</sup>, whereas the median does not. We will add a sentence that describes the best estimate of ECS refers to the specific value of AER RF<sub>2011</sub> of -0.9 W m<sup>-2</sup>.

Figure 8: This figure only uses studies with low climate sensitivity and compares them to assessments of climate sensitivity (Sherwood/IPCC). Either explain the selection criteria, or add some studies to make this figure more balanced (the carbon brief provides an excellent overview: <https://www.carbonbrief.org/explainer-how-scientists-estimateclimate-sensitivity>)

We propose to update this figure to include more estimates of ECS, as shown below. The new figure is now divided into three categories: studies that used a historical analysis,

studies that examined output from the GCMs and constrained it in some way, and studies that examined output from the GCMs and did not use any constraints. The studies that are included are from manuscripts published in the last few years. We will update the modified text, accordingly.



**Proposed Update to Figure 8.** Values of ECS from the EM-GC (black), CMIP6 multi-model ensemble (black), and 13 other studies grouped by type of study. The studies are listed by first author first initial of their first name and first initial of their last name and the year of publication, unless there are only two others, in which case both authors are listed. Historical analysis includes Lewis and Grünwald (2018) as NL+PG18, Otto et al. (2013) as AO13, and Skeie et al. (2018) as RS18. Constrained GCM output includes Armour (2017) as KA17, Cox et al. (2018) as PC18, Dessler et al. (2018) as AD18, Nijse et al. (2020) as FN20, Rugenstein et al. (2020) as MR20, Sherwood et al. (2020) as SS20, Stocker et al. (2013) as IPCC 2013, Tokarska et al. (2020) as KT20. GCM output includes Proistosescu and Huybers (2017) as CP+PH17 and Zelinka et al. (2020) as MZ20.

689. The word “yet” implies a contradiction. However, with the very wide uncertainty specified by the IPCC, these probably overheating models are still within range.

Thank you for pointing out this apparent contradiction. We will replace the word “yet” with “and” and add “analyzed in this study” after CMIP6 GCMs to remove the implied contradiction.

698. rm the word “actual”?

Thank you, we will remove “actual”.

704-705. Consider deleting “ninety-five...multi-model ensemble” as I think it is an unnecessary detail. Presenter 713. Remove “then”?

Thank you we will remove this sentence and the “then” later on in the paragraph.

739. Remove information between brackets, repetition of information within paragraph.

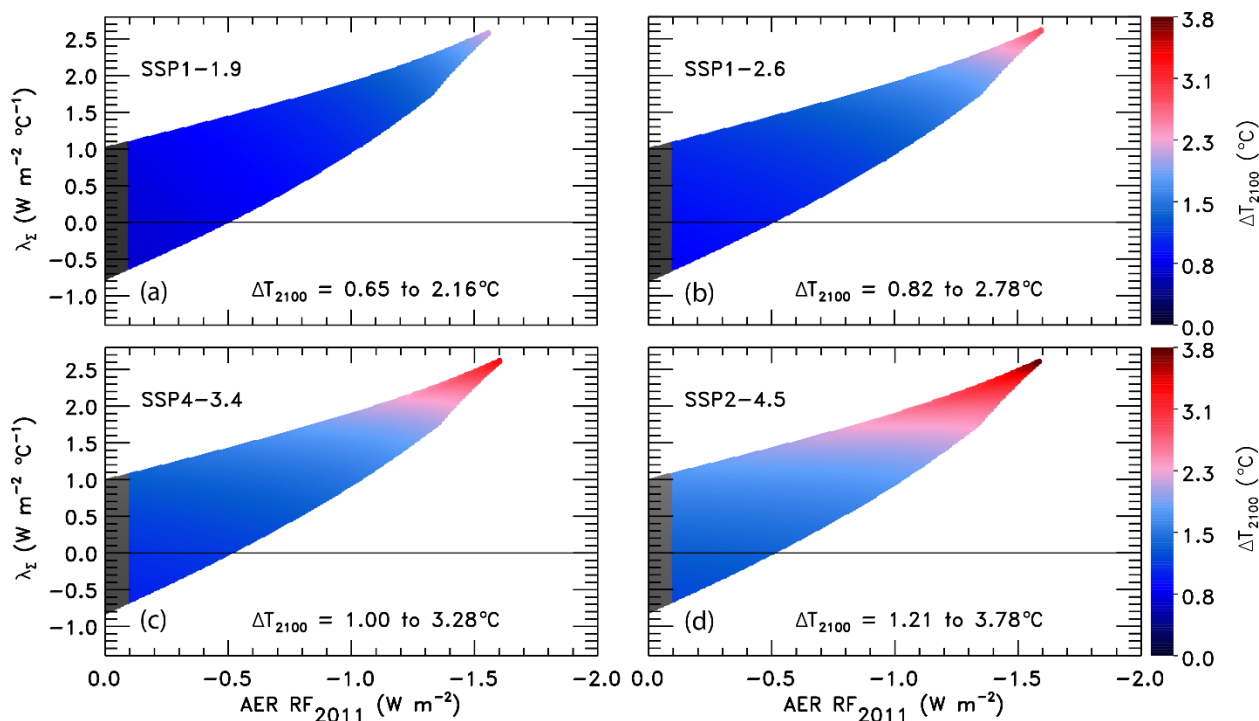
Thank you we will remove the 5<sup>th</sup> percent confidence interval that is written between the brackets.

782. Bifurcation has a specific meaning within mathematics, consider replacing by bimodality. If more models are added, check whether it’s still true.

Thank you, we will change “bifurcation” to “bimodality”.

Figure 10: Use different colour scheme. The rainbow colour scheme has false perceptual thresholds or hides real ones: <https://www.nature.com/articles/519291d>.

Thank you for pointing out this issue with the rainbow color scheme. We will replace the color scheme in Fig. 5, Fig. 10, Fig. S1, and Fig. S8 with a blue-red color scheme. Below is an example of the revised color scheme for Fig. 10.



811. Replace “will” with “is set”, we don’t know the future.

Excellent point, we will change as suggested.

931. Replace “since” with “after”.

Thank you, we will make this change.

669. Insert dioxide after carbon

We think the reviewer is referring to line 969; “carbon” will be changed to “carbon dioxide”.

1002. Unnecessary to show all these percentiles, remove 25 and 75.

Thank you, we will change as suggested.

1009. 2017 was not an El Niño-year and non-El Niño-years 2018 and 2019 were comparable in temperature.

Thank you we will fix by clarifying the El Niño event ended in 2016.

1012. Similar, summarise, so do not show all percentiles

Thank you, we will fix.

1056. Similar, summarise.

Thanks again, we will fix.

1061. Replace “will” with “will not”

Great catch; thanks! We’ll of course fix.

1071. Repetition of the information in 1061

We prefer to retain this sentence, to emphasize a key result from our analysis, but upon revision will gladly remove if so directed by the reviewer or editor.

1073. What is a literal interpretation? The model democracy interpretation?

We would like to remove the phrase “a literal interpretation of” so the sentence reads “We suggest there is slightly more time to achieve these steep reductions than indicated by the CMIP6 multi-model mean”.

1074. Modeling is not the only source of information on warming of 1.5 degrees, many studies extrapolate current trends.

The purpose of this concluding paragraph is to mention the value of the CMIP6 GCMs. We are unsure exactly what studies the reviewer is referring to in their comment and would ask the reviewer to provide some examples if they believe it is important for us to mention these studies in this paragraph.

We would like to propose rewording the sentence to “The incredibly valuable output of the CMIP6 GCMs is important for determining the consequences for society of 1.5°C, 2.0°C, or even larger rises in GMST”. This rewording removes the phrase “rely entirely on” that may have been the cause of the issue for the reviewer.

Figure S1: Replace the rainbow colour scheme.

Thank you, we will address by no longer using the rainbow color scheme, using instead the color scheme shown below:

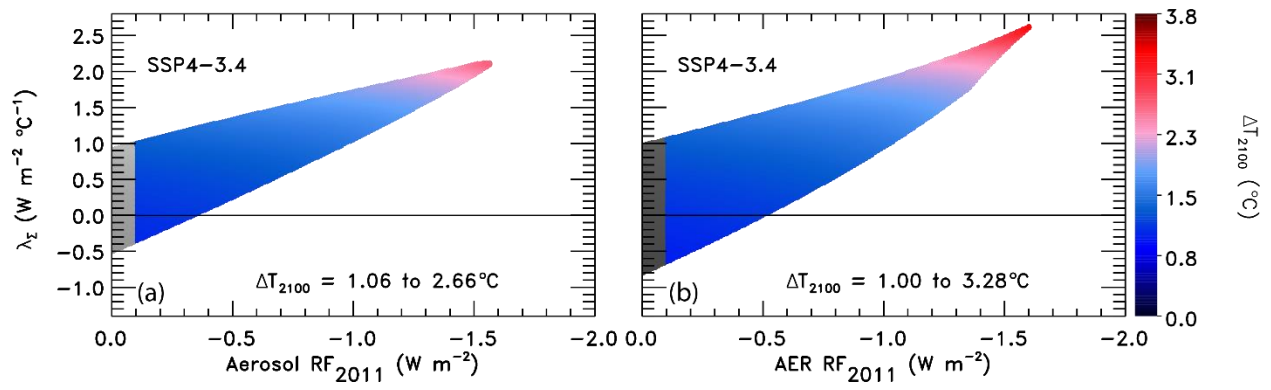


Figure S7: Caption should indicate that it's the unweighted one.

Thank you, we will fix.

Reviewer comments are in black and our reply is in blue.

This manuscript used a multiple linear regression energy balance model, EM-GC, to estimate the attributable anthropogenic warming rate (AAWR), the equilibrium climate sensitivity (ECS), and the future projections. The authors compared the results from EM-GC with those obtained from CMIP6. They found that the CMIP6 GCMs tend to exhibit a faster rate of warming, which induced larger AAWR, larger ECS, and smaller remaining budgets of carbon emissions. One highlight of this work is the use of Aerosol Weighting Method, which allowed a probabilistic estimation. This work is very interesting and the authors have done many detailed analyses. However, before I can recommend accepting this manuscript, I have several concerns that need to be addressed.

We thank the reviewer for taking the time to carefully read our manuscript and suggest many useful changes. Upon revision, we will make changes to the paper to address all of these comments, as detailed below.

1. To run the EM-GC model, it seems that one needs to determine nine regression coefficients and parameters. Constrained by the observed GMST and the OHC, one can obtain a set of the nine coefficients/parameters to ensure a good fit to the historical observations. However, I am not sure if the selected set of coefficients/parameters is unique, or one can use a totally different set of coefficients/parameters to achieve a similar fitting skill? I also have concerns that whether the coefficients/parameters are still useful for the future projections? I would like to suggest the author to perform a test to prove the validity of the model and the stability of the coefficients/parameters. For example, the authors may consider to divide the historical period into two halves, use the first half to determine the coefficients/parameters, and use the second half to test the stability.

Great suggestion, which we plan to address upon revision.

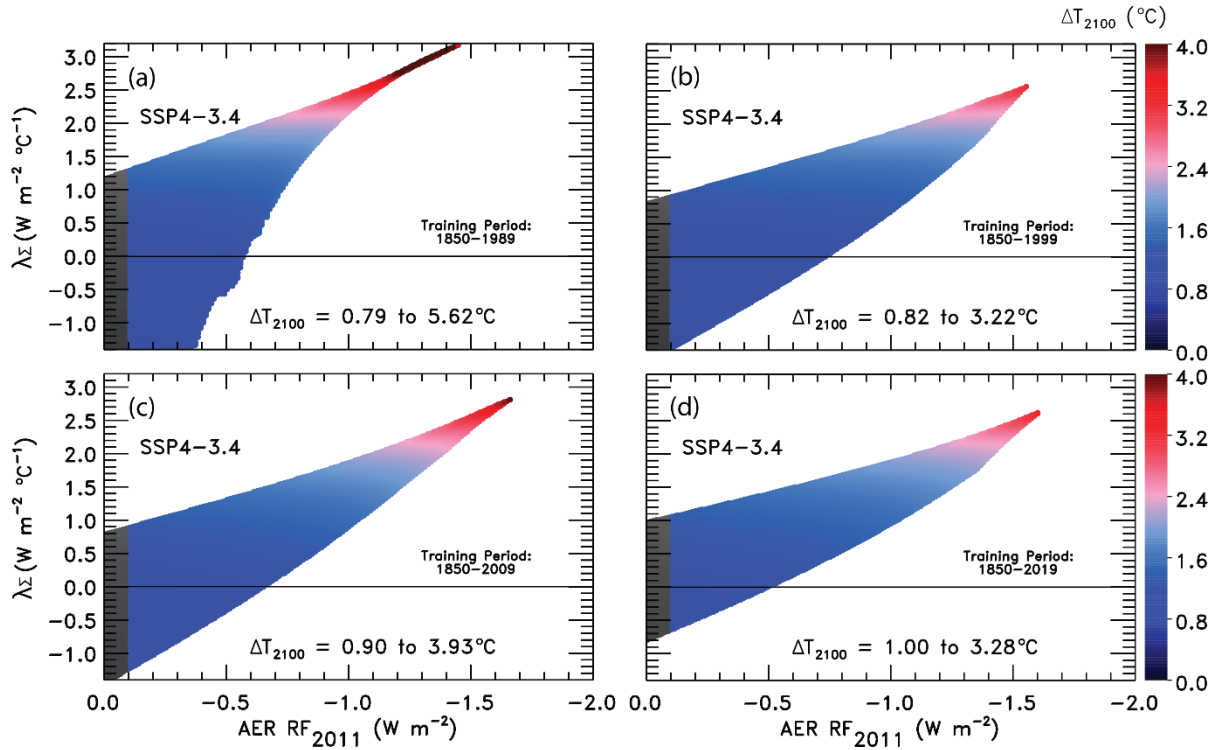
The only parameters important for the future projections of GMST are the climate sensitivity parameter,  $\gamma$ , and the ocean heat uptake efficiency,  $\kappa$ . The regression coefficients  $C_0$ - $C_6$  in Eq. (2), which modify natural drivers of climate variability, are only used to simulate the observed change in GMST from 1850-2019. However, future temperature projections consider only the anthropogenic components governed by RF due to GHGs, aerosols, as well as climate feedback (related to  $\gamma$ ) and ocean heat uptake (related to  $\kappa$ ). We are able to obtain much better fits to the actual climate record upon consideration of the full range of natural drivers of climate variability; hence, the inclusion of  $C_0$ - $C_6$  allows for a more realistic evaluation of the range of model parameter space for  $\gamma$  and  $\kappa$  under which “good fits” to the prior climate record can be obtained.

We have taken the suggestion from the reviewer to alter the training period of our model to test the stability and propose to show these results in a new Supplemental Figure. New Fig. S2 shows the projections of the change in GMST in 2100,  $\Delta T_{2100}$ , as a function of the climate feedback parameter,  $\lambda_{\Sigma}$ , and the value of aerosol radiative forcing in 2011, AER RF<sub>2011</sub>, for 4 different training periods: 1850-1989 (New Fig. S2a), 1850-1999 (New Fig. S2b), 1850-2009 (New Fig. S2c), and 1850-2019 (New Fig. S2d), which is the normal training period used in our analysis. Values of  $\Delta T_{2100}$  are shown only for combinations of

$\lambda_{\Sigma}$  and AER RF<sub>2011</sub> (value of aerosol radiative forcing in 2011) that lead to good fits to the climate record, which means values of the three reduced chi-squared ( $\chi^2$ ) parameters are all less than or equal to 2. We project relatively similar results for end of century warming for the training periods that end in 2019, 2009, and 1999. The training period that ends in 1989 (New Fig. S2a) yields a different “shape” of model parameter space for which good fits to the climate record can be obtained, compared to the other training periods. The different shape for this shorter training period is due to the formulation of the ocean component of our model. In training to 1989, we are only considering 35 years of the observed OHC record. We are able to calculate good fits to the OHC record over this shorter time period that diverge from the OHC record after 1989. The highest values of  $\Delta T_{2100}$  in New Fig. S2a are associated with the largest values of  $\lambda_{\Sigma}$ , which in our model corresponds to excessively high values of  $\kappa$  that we can rule out, based on OHC data collected during 1990 to 2020.

We propose upon revision to add a paragraph to Sect. 2.1 to the paper noting the stability of the forecasts of end-of-century warming for the training periods of 1850-1999, 1850-2009, and 1850-2019, with most of the words supporting this finding appearing in the revised Supplement along with New Fig. S2.





**New Figure S2.**  $\Delta T_{2100}$  as a function of climate feedback parameter and tropospheric aerosol radiative forcing in 2011 using the EM-GC for SSP4-3.4. (a) Training period of 1850-1989. The region outside of the AER  $RF_{2011}$  range provided by IPCC 2013 is shaded (grey). Colors denote the GMST change in year 2100 relative to pre-industrial. The color bar is the same across all four panels for comparison. (b) Training period of 1850-1999. (c) Training period of 1850-2009. (d) Training period of 1850-2019, which is the normal training period used in our analysis.

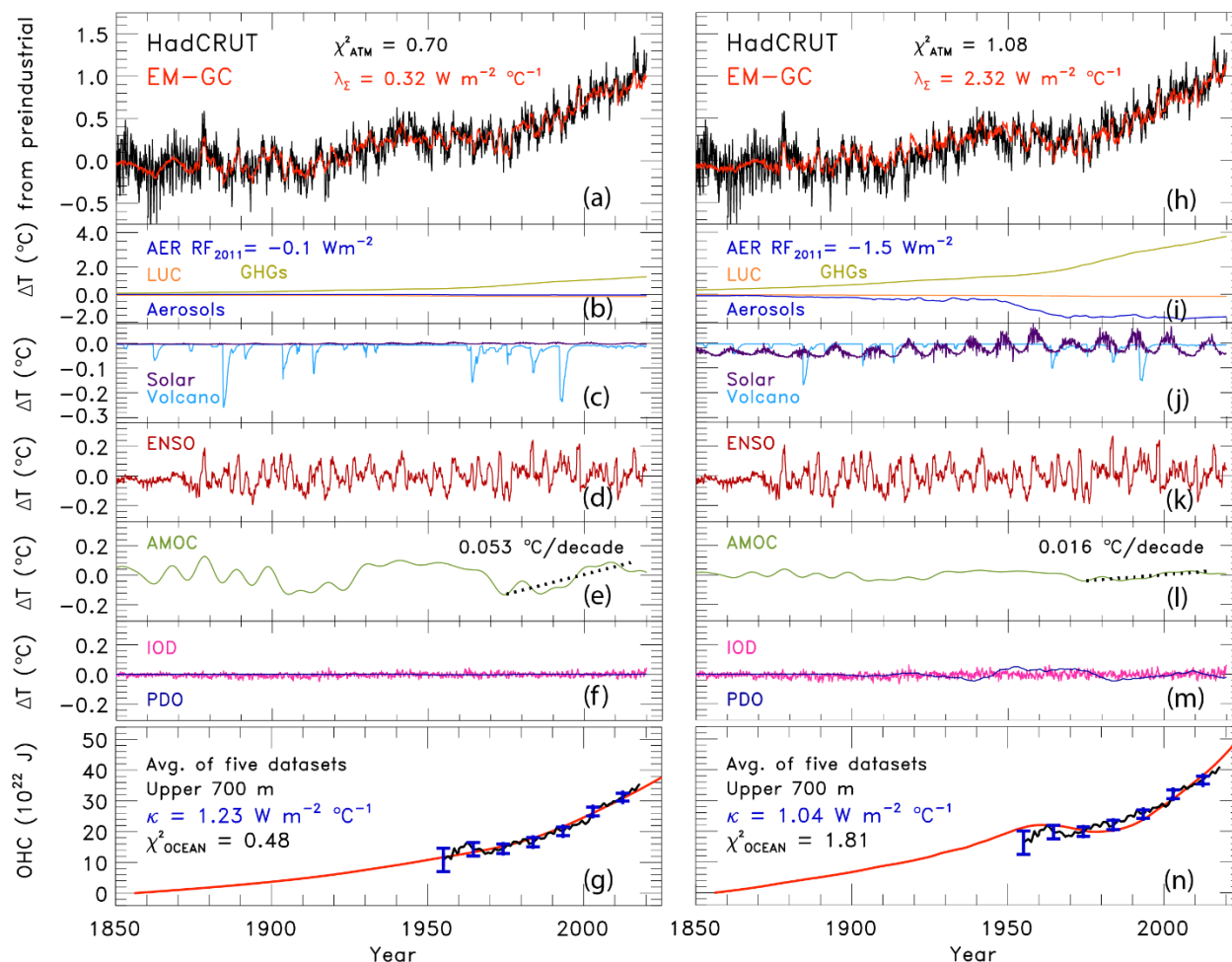
2. From Fig. 1f, the authors found that the PDO has very limited contributions to the GMST. I don't understand this finding, as to my knowledge, the different phases of the PDO play an important role in modulating the GMST. For example, the recently well discussed warming hiatus in the beginning of this century has been found to be closely related to the PDO. An explanation about the findings in Fig. 1f is needed.

Another great suggestion, which we also plan to address upon revision.

In Fig. 1f of the submitted paper, we had shown the model run for the best estimate of AER  $RF_{2011} = -0.9 \text{ W m}^{-2}$ . In this case, the PDO exhibits less influence on GMST than we find for AMOC. If we vary the value of AER  $RF_{2011}$ , which impacts the time series of aerosol RF of climate over the entire simulation, we find there are some model runs for which the PDO has the same or even larger influence on GMST compared to AMOC.

Upon revision, we propose to include the figure shown below as New Fig. S6, and modify the text in the paper to make clear that the expression of the PDO on GMST in our model framework is dependent on model specification of the aerosol RF of climate time series. At low values of AER  $RF_{2011}$ , the effect of PDO on GMST (New Fig. S6f) is negligible

and the contribution from AMOC dominates over PDO or IOD. At high values of AER  $RF_{2011}$ , the effect of PDO on GMST (New Fig. S6m) is equal to the contribution from AMOC. Upon revision, we will add a new paragraph to Sect. 2.2.6 discussing the importance of PDO at higher values of AER  $RF_{2011}$  and include New Fig. S6 in the supplement with citations to England et al. (2014) and Trenberth and Fasullo (2015). The figure below is a robust result: the larger the scaling factor for aerosol RF, the greater the influence of PDO.

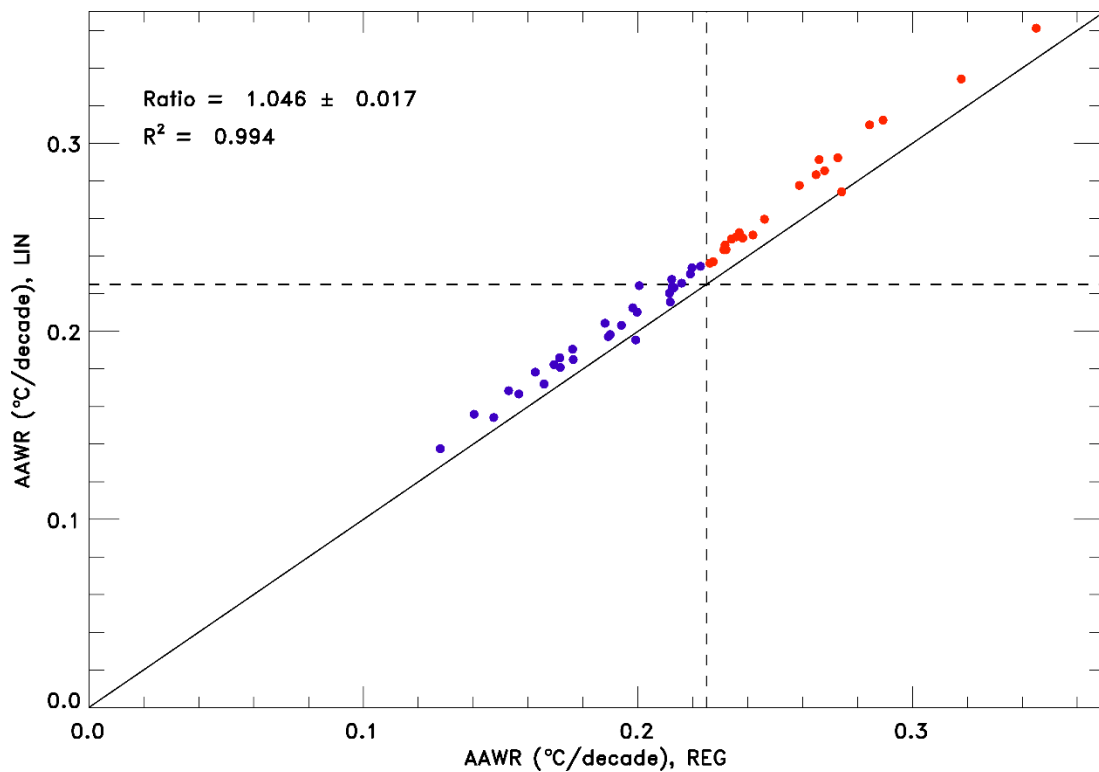


**New Figure S6.** Measured and modeled GMST anomaly ( $\Delta T$ ) relative to a pre-industrial (1850-1900) baseline for an AER  $RF_{2011} = -0.1 \text{ W m}^{-2}$  and  $-1.5 \text{ W m}^{-2}$ . (a) Observed (black) and modeled (red)  $\Delta T$  from 1850-2019. This panel also displays the values of  $\lambda_{\Sigma}$  and  $\chi^2_{ATM}$  (see text) for this best-fit simulation. (b) Contributions from total human activity. This panel also denotes the numerical value of the attributable anthropogenic warming rate from 1975-2014 (black dashed) as well as the  $2\sigma$  uncertainty in the slope. (c) Solar irradiance (light blue) and major volcanoes (purple). (d) Influences from ENSO on  $\Delta T$ . (e) Contributions from AMOC to  $\Delta T$  and to observed warming from 1975-2014. (f) Influences from PDO (blue) and IOD (pink) on  $\Delta T$ . (g) Measured (black) and modeled (red) ocean heat content (OHC) as a function of time for the average of five data sets (see text), the value of  $\chi^2_{OCEAN}$  for this run, as well as the ocean heat uptake efficiency,  $\kappa$ , needed to provide the best-fit to the OHC record. The error bars (blue) denote the uncertainty in OHC used in this analysis (see Sect. 2.2.8). (h)-(n) Same as (a)-(g), except for AER  $RF_{2011} = -1.5 \text{ W m}^{-2}$ .

3. Another concern is about the comparison of the AAWR that obtained from EM-GC and CMIP6 models. Since different methods are used to calculate the AAWR, I am not sure if the results are comparable. Especially for the CMIP6 models, the REG method seems to be too simple to calculate the AAWR. I am not sure if the AAWR values obtained from the CMIP6 models are as pure as those obtained from EM-GC.

We plan, upon revision, to add much more detail regarding how the attributable anthropogenic warming rate, AAWR, is estimated from CMIP6 GCM output.

In Sect. 2.3 of the submitted paper, we discuss two methods to determine the AAWR from the CMIP6 models, REG and LIN. REG is a regression-based approach and LIN is a linear fit method. For the GCM-based estimates of AAWR that appeared in the submitted paper, the LIN method tends to result in very slightly higher values than REG, as shown in Response Fig. 1.



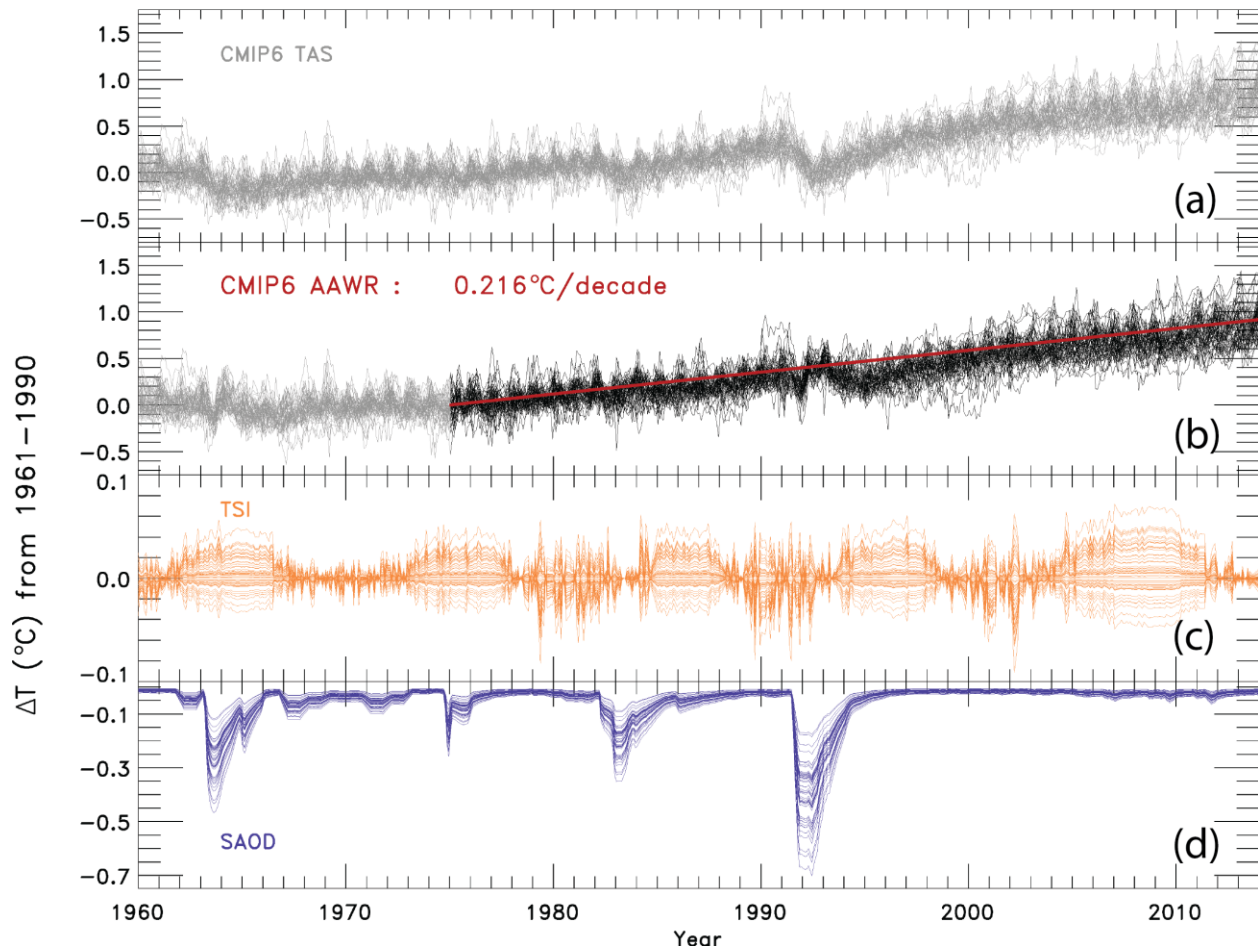
**Response Figure 1.** Values of AAWR for 50 CMIP6 GCMs using the LIN and REG methods. The solid black line is the 1:1 line and the vertical and horizontal dashed lines are the maximum value of AAWR determined using the EM-GC and the HadCRUT temperature record. The CMIP6 GCMs that have values of AAWR less than the maximum value from the EM-GC are blue, and the CMIP6 GCMs that have values of AAWR greater than the maximum value from the EM-GC are red. The slope,  $1\sigma$  standard deviation, and  $R^2$  of the values of AAWR from the CMIP6 GCMs are shown.

The values of AAWR determined by the LIN method are about 4% higher than the values of AAWR determined by the REG method. The close agreement of AAWR found using

both methods provides strong evidence that we have correctly extracted this important quantity from the CMIP6 archive.

We have further examined our calculation of AAWR using the REG method in response to the reviewer's comment and have a few proposed changes that lead to a more robust estimate that we will implement upon revision.

As detailed below, close examination of the CMIP6 GCM output, shows that the representation of the effect of variations in total solar irradiance, TSI, on global mean surface temperature (GMST) in the GCMs leads to a regression coefficient that seems to be randomly distributed (see Response Fig. 2).

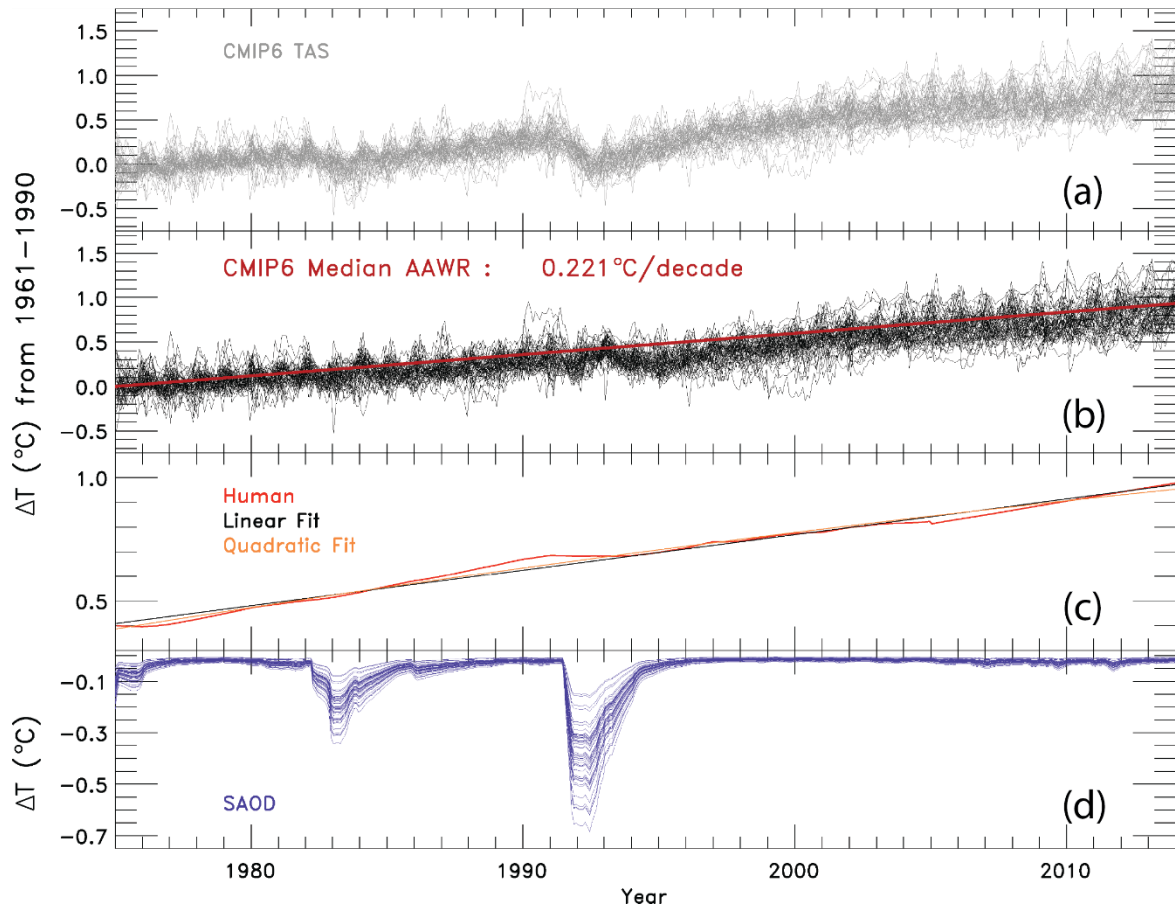


**Response Figure 2.** The change in GMST relative to 1961-1990 from the CMIP6 GCMs and the contribution from TSI and SAOD from 1960-2014. (a) The change in GMST from the 50 CMIP6 GCMs. (b) The residual in the change of GMST from the 50 CMIP6 GCMs after subtracting the contribution of TSI and SAOD determined by the REG method. The median value of AAWR is written on this panel and plotted in red. (c) The contribution of TSI in the 50 CMIP6 GCMs. (d) The contribution of SAOD in the 50 CMIP6 GCMs.

Response Fig. 2c shows the random representation of TSI in the CMIP6 GCMs. Upon the implementation of the REG method, some CMIP6 GCMs obtained negative coefficients for TSI, and others obtained positive coefficients. For some reason, many GCMs do not

represent the impact of variations in solar output on GMST in a manner that mimics the actual, observed relation. There is extensive literature on possible reasons TSI affects GMST, implicating causal factors such as cosmic-ray influence on cloud nucleation, that is nicely summarized at <https://skepticalscience.com/cosmic-rays-and-global-warming-advanced.htm>. If the true causal factor involves something like cosmic rays, this process will likely not be present in most GCMs. Because of the varying nature of TSI in the GCMs, we propose to update our calculation of REG to not include TSI in the regression.

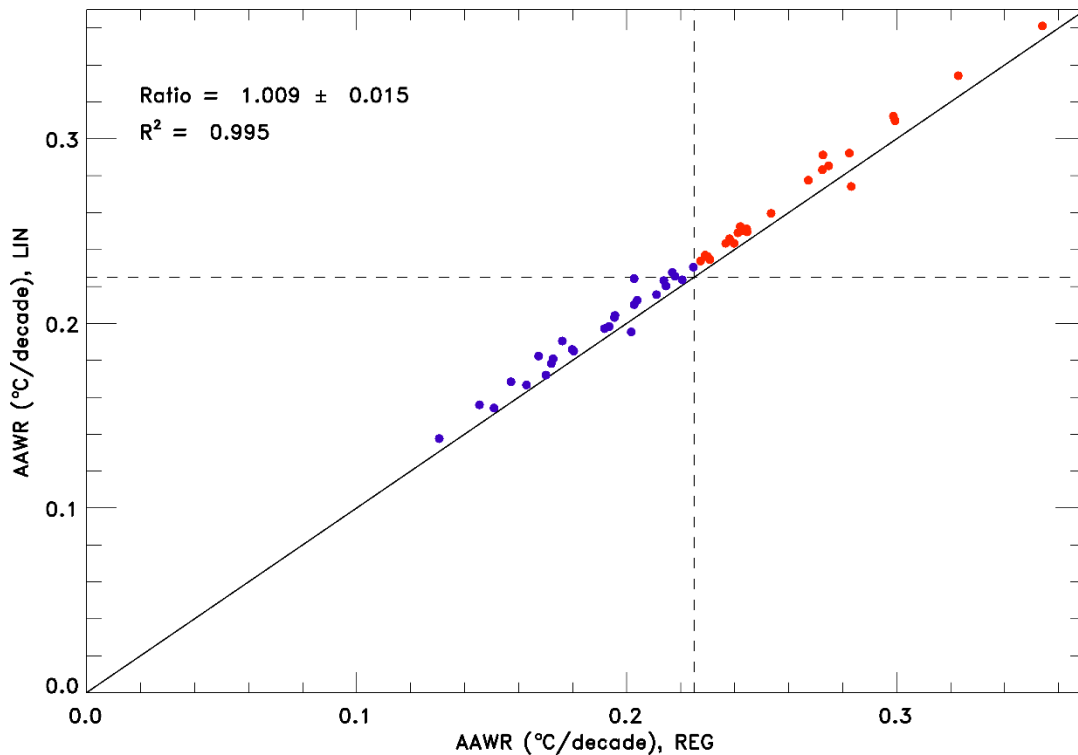
We propose to alter the REG method in the following way. We will conduct one regression from 1975-2014, instead of two regressions as had been explained in Sect. 2.3 of the submitted paper. We will exclude TSI as a regressor and only include stratospheric aerosol optical depth (SAOD) and a linear function to represent the contribution of humans to the change in GMST. For SAOD, we will determine the appropriate lag for each model that results in the largest coefficient, to accurately represent how long it takes for the effect of enhanced SAOD to have on GMST within each model. Using this new REG method results in very slightly different values of AAWR compared to those in the submitted paper, as shown in New Fig. S10 and New Fig. S11 below.



**New Figure S10.** The change in GMST relative to 1961-1990 from the CMIP6 GCMs and the contribution and SAOD from 1975-2014. (a) The change in GMST from the 50 CMIP6 GCMs. (b) The residual in the change of GMST from the 50 CMIP6 GCMs after subtracting the contribution of SAOD determined by the updated REG method. The median value of AAWR is written on this panel and plotted in red. (c) The human component of global warming,  $\Delta T_{\text{ATM,HUMAN}}$ , from the EM-GC. A linear fit (black) and quadratic fit (red) are plotted on top to show that  $\Delta T_{\text{ATM,HUMAN}}$  is almost exactly linear. (d) The contribution of SAOD in the 50 CMIP6 GCMs using a lag month calculated for each model.

A comparison of New Figure S10 to Response Figure 2 shows that AAWR found using the REG method is not much affected by removing TSI as a regressor. The values of AAWR determined from the CMIP6 GCMs are more similar to the values determined by the LIN method, under this new approach. New Figure S11 shows that there is now a 0.9% difference between the values of REG and LIN. New Figure S11c shows the human component of global warming,  $\Delta T_{\text{ATM,HUMAN}}$ , from the EM-GC. A linear fit and quadratic fit were taken of  $\Delta T_{\text{ATM,HUMAN}}$ . The linear fit and quadratic fit are very similar, indicating that  $\Delta T_{\text{ATM,HUMAN}}$  is in fact nearly linear over this period of time. This result justifies our approach of approximating a linear function to represent  $\Delta T_{\text{ATM,HUMAN}}$  in the AAWR calculation.

Upon revision, these figures will be noted in Main, and detail will be added to the Supplement to document our procedure for finding AAWR from the GCMs, allowing the reader to better assess the procedure and, in our view, accurate rendering of this quantity from the CMIP6 archive.



**New Figure S11.** Values of AAWR for 50 CMIP6 GCMs using the LIN and REG methods. The solid black line is the 1:1 line and the vertical and horizontal dashed lines are the maximum value of AAWR determined using the EM-GC and the HadCRUT temperature record. The CMIP6 GCMs that have values of AAWR less than the maximum value from the EM-GC are blue, and the CMIP6 GCMs that have values of AAWR greater than the maximum value from the EM-GC are red. The slope,  $1\sigma$  standard deviation, and  $R^2$  of the values of AAWR from the CMIP6 GCMs are shown.

4. In line 228, “...also specified on Fig. 1f”, “Fig. 1f” should be “Fig. 1e”.

Thank you, we will fix.

5. In line 975, “then” should be “than”.

Thank you, we will fix.

6. In line 1061, “...of the Paris Agreement will be achieved”, “will be” should be “will not be”.

Thank you, we will fix.



# Comparison of CMIP6 Historical Climate Simulations and Future Projected Warming to an Empirical Model of Global Climate

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## Abstract.

10 The sixth phase of the Coupled Model Intercomparison Project (CMIP6) is the latest modeling effort for general circulation models to simulate and project various aspects of climate change. Many of the general circulation models (GCMs) participating in CMIP6 provide archived output that can be used to calculate equilibrium climate sensitivity (ECS) and forecast future temperature change based on emissions scenarios from several Shared Socioeconomic Pathways (SSPs). Here we use our multiple linear  
15 regression energy balance model, the Empirical Model of Global Climate (EM-GC), to simulate and project changes in global mean surface temperature (GMST), calculate ECS, and compare to results from the CMIP6 multi-model ensemble. An important aspect of our study is comprehensive analysis of uncertainties due to radiative forcing of climate from tropospheric aerosols (AER RF) in the EM-GC framework. We quantify the attributable anthropogenic warming rate (AAWR) from the climate record  
20 using the EM-GC and use AAWR as a metric to determine how well CMIP6 GCMs replicate human-driven global warming over the last forty years. The CMIP6 multi-model ensemble indicates a median value of AAWR over 1975-2014 of 0.~~217~~221°C/decade (range of 0.~~150~~151 to 0.~~294~~299°C/decade; all ranges given here are for 5<sup>th</sup> and 95<sup>th</sup> confidence intervals), which is notably faster warming than our ~~best estimate~~median estimate for AAWR of 0.135°C/decade (range of 0.097 to 0.195°C/decade) inferred from  
25 analysis of the Hadley Center Climatic Research Unit data record for GMST. Estimates of ECS found using the EM-GC (best estimate 2.01°C; range of 1.12 to 4.12°C) are generally consistent with the range of ECS of 1.5 to 4.5°C given by IPCC's Fifth Assessment Report. The CMIP6 multi-model ensemble

exhibits considerably larger values of ECS (median 3.74°C; range of 2.19-5.65°C). The dominant factor in the uncertainty for our empirical determinations of AAWR and ECS is imprecise knowledge of AER  
30 RF for the contemporary atmosphere. We calculate the likelihood of achieving the Paris Agreement target (1.5°C) and upper limit (2.0°C) of global warming relative to pre-industrial for seven of the SSPs using both the EM-GC and the CMIP6 multi-model ensemble. In our model framework, SSP1-2.6 is the 1.5°C pathway with a 64.8% probability of limiting warming at this level by the end of century and SSP4-3.4 is the 2.0°C pathway, with a 74.0% probability of achieving the Paris upper limit. These estimates are based  
35 on the assumptions that climate feedback has been and will remain constant over time since the prior temperature record can be fit so well assuming constant climate feedback. In addition, we quantify the sensitivity of future warming to the curbing of the current rapid growth of atmospheric methane and show major near-term limits on the future growth of methane are especially important for achievement of the 1.5°C goal of future warming. We also quantify warming scenarios assuming climate feedback will rise  
40 over time, a feature common among many CMIP6 GCMs; under this assumption, it becomes more difficult to achieve any specific warming target. Finally, we assess warming projections in terms of future anthropogenic emissions of atmospheric carbon. In our model framework, humans can emit only another 268  $\pm$  91 -Gt C after 2019 to have a 66% likelihood of limiting warming to 1.5°C, and another 565  $\pm$  120 Gt C to have the same probability of limiting warming to 2.0°C. Given the ~~current-estimated~~ emission of  
45 11.7 Gt C per year for 2019 due to combustion of fossil fuels and deforestation, our EM-GC simulations suggest the 1.5°C warming target of the Paris Agreement will not be achieved unless carbon and methane emissions are severely curtailed in the next two decades.

## 1 Introduction

The goals of the Paris Agreement, negotiated in December of 2015, are to keep global warming below  
50 2.0°C relative to the start of the Industrial Era and pursue efforts to limit global warming to 1.5°C. General circulation models (GCMs) project future temperature change using various evolutions of greenhouse gases and determine the likelihood of achieving the goals of the agreement. Many GCMs are participating in the sixth phase of the Coupled Model Intercomparison Project (CMIP6) to quantify how the models

represent different aspects of climate change (Eyring et al., 2016). Having accurate projections of future  
55 temperature is critical for achieving the goals of the Paris Agreement. Chapter 11 of IPCC's Fifth  
Assessment Report shows that some of the previous generations of these models participating in phase 5  
of the Coupled Model Intercomparison Project (CMIP5) (Taylor et al., 2012) tended to overestimate the  
increase in global mean surface temperature (GMST) for the 21<sup>st</sup> century (Kirtman et al., 2013). In this  
analysis we use a multiple linear regression energy balance model to quantify the change in GMST from  
60 1850-2019, project future changes in GMST, compare to the CMIP6 multi-model ensemble, and  
determine the likelihood of achieving the goals of the Paris Agreement.

Several prior studies have used a multiple linear regression approach to model the GMST anomaly  
in order to quantify the impact of anthropogenic and natural factors on climate (Foster and Rahmstorf,  
2011; Lean and Rind, 2008, 2009; Zhou and Tung, 2013). Typically, total solar irradiance, volcanoes,  
65 and El Niño southern oscillation (ENSO) are the natural components represented in the multiple linear  
regression, and greenhouse gases and aerosols are the anthropogenic factors. We use multiple linear  
regression, in connection with a dynamic ocean module that accounts for the export of heat from the  
atmosphere to the ocean, to represent the natural and anthropogenic components of the climate system.  
In addition to the typical natural factors listed above, we include the Atlantic meridional overturning  
70 circulation (AMOC), Pacific decadal oscillation (PDO), and Indian Ocean dipole (IOD) to provide a  
robust representation of the natural climate system (Canty et al., 2013; Hope et al., 2017). Our  
anthropogenic components also include the effect of land use change (i.e., deforestation) on Earth's  
albedo and the export of heat from the atmosphere to the ocean as the atmosphere warms.

Our analysis builds on the work of Canty et al. (2013) and Hope et al. (2017) and includes several  
75 key updates. One is the extension back in time of our analysis to 1850. The Hadley Center Climatic  
Research Unit (Morice et al., 2012) ~~and~~, Berkley Earth Group (Rohde et al., 2013), and Cowtan and Way  
(2014) provide GMST records starting in 1850, which now allows for a simulation of GMST that covers  
170 years. The second update is the use of the Shared Socioeconomic Pathways (SSPs) (O'Neill et al.,  
2017) as our climate scenarios to designate future evolution of greenhouse gas and aerosol abundances.  
80 The third is the adoption of an ~~active~~-upper ocean to our model, formulated in a manner that matches the  
equations of Bony et al. (2006) and Schwartz (2012). A description of the model, the various input

parameters used, and the updates listed above is given in Sect. 2. Section 3 provides results of CMIP6 comparing to the historical climate record, equilibrium climate sensitivity (ECS), as well as comparisons of our model and CMIP6 projections of future GMST change. Discussion of these results is provided in  
85 Sect. 4, along with concluding remarks.

## 2 Data and Methodology

### 2.1 Empirical model of global climate

In this analysis we use the empirical model of global climate (EM-GC), which provides a multiple linear  
90 regression, energy balance simulation of GMST. As detailed in the following paragraphs, the EM-GC solves for ocean heat uptake efficiency ( $\kappa$ ) and six regression coefficients to minimize the cost function in Eq. (1).

$$Cost\ Function = \sum_{i=1}^{N_{MONTHS}} \frac{1}{\sigma_{OBSi}^2} (\Delta T_{OBSi} - \Delta T_{MDLi})^2 \quad (1)$$

In this equation,  $\Delta T_{OBS}$  represents a time series of observed monthly GMST anomalies,  $\Delta T_{MDL}$  is the  
95 modeled monthly change in GMST,  $\sigma_{OBS}$  is the 1-sigma uncertainty associated with each temperature observation,  $i$  is the index for each month, and  $N_{MONTHS}$  is the total number of months used in the analysis.  
For this analysis, we trained the model from 1850-2019. The observed GMST anomalies ~~in this analysis~~ are blended near surface air and sea surface temperature differences relative to the GMST anomaly over 1850-1900, which is assumed to represent pre-industrial conditions.

100 We consider several anthropogenic and natural factors as components of  $\Delta T_{MDL}$ . The radiative forcing (RF) due to greenhouse gases (GHGs), anthropogenic aerosols (AER), land use change (LUC), and the export of heat from the atmosphere to the world's oceans are the anthropogenic components of  $\Delta T_{MDL}$ . The influence on GMST from total solar irradiance (TSI), El Niño southern oscillation (ENSO), the Atlantic meridional overturning circulation (AMOC), volcanic eruptions that reach the stratosphere  
105 and enhance stratospheric aerosol optical depth (SAOD), the Pacific decadal oscillation, (PDO) and the Indian Ocean dipole (IOD) are the natural components of  $\Delta T_{MDL}$ . Equation (2) shows how we calculate  $\Delta T_{MDL}$ , the modeled monthly change in GMST.

$$\Delta T_{MDLi} = \frac{1 + \gamma}{\lambda_p} \{GHG \Delta RF_i + AER \Delta RF_i + LUC \Delta RF_i - Q_{OCEAN\ i}\} + C_0 + C_1 \times SAOD_{i-6} +$$

$$C_2 \times TSI_{i-1} + C_3 \times ENSO_{i-2} + C_4 \times AMOC_i + C_5 \times PDO_i + C_6 \times IOD_i \quad (2)$$

110 In Eq. (2), GHG  $\Delta RF_i$ , AER  $\Delta RF_i$ , and LUC  $\Delta RF_i$  represent monthly time series of the increase in the stratospheric adjusted values of the RF of climate (Solomon, 2007) since 1750. The parameter  $\lambda_p$  represents the response of a blackbody to a perturbation in the absence of climate feedback ( $3.2 \text{ W m}^{-2}$ , (Bony et al., 2006)). The SAOD, TSI, and ENSO are lagged by 6, 1, and 2 months respectively. The lag of 6 months for SAOD is representative of the time needed for the atmosphere-surface temperature to  
 115 respond to a change in the aerosol loading due to a volcanic eruption (Douglass and Knox, 2005). This lag is the same as used by Lean and Rind (2008) and Foster and Rahmstorf (2011). The 1 month delay for TSI yields the maximum value of  $C_2$ , the solar irradiance regression coefficient. Lean and Rind (2008) and Foster and Rahmstorf (2011) also use a 1 month lag for TSI in their analyses. The 2 month delay for the response of GMST to ENSO is the lag needed to obtain the largest value of the correlation coefficient  
 120 of the Multivariate ENSO Index version 2 (MEI.v2) (Wolter and Timlin, 1993; Zhang et al., 2019) versus the value of  $T_{\text{ENSO}}$  calculated by Thompson et al. (2009). In Thompson et al. (2009),  $T_{\text{ENSO}}$  is the simulated response of GMST to variability induced by ENSO, taking into consideration the effective heat capacity of the atmospheric-ocean mixed layer. Lean and Rind (2008) used a 4-month lag for ENSO.

The term  $AMOC_i$  represents the influence of the change in the strength of the thermohaline  
 125 circulation on GMST (Knight et al., 2005; Medhaug and Furevik, 2011; Stouffer et al., 2006; Zhang and Delworth, 2007). We use the Atlantic multidecadal variability, based on the area weighted monthly mean sea surface temperature (SST) in the Atlantic Ocean between the equator and  $60^\circ\text{N}$  (Schlesinger and Ramankutty, 1994), as a proxy for the strength of AMOC. A strong AMOC is characterized by northward  
 130 flow of energy that would otherwise be radiated to space, which occurs in both the ocean and atmosphere and leads to particularly warm summers in Europe (Kavvada et al., 2013) as well as a number of other well documented influences in other climatic regions (Nigam et al., 2011). The total anthropogenic RF of climate is used to detrend the AMOC signal because this method provides a more realistic approach to infer the changes in the strength of AMOC and its effect on GMST than other detrending options (Canty et al., 2013).

The dimensionless parameter  $\gamma$  represents the sensitivity of the global climate to feedbacks that occur due to a change in the RF of GHGs, AER, and LUC. We relate  $\gamma$  to the climate feedback parameter,  $\lambda_\Sigma$ , as shown in Eq. (3).

$$1 + \gamma = \frac{1}{1 - \left(\frac{\lambda_\Sigma}{\lambda_P}\right)}$$

$$\text{where } \lambda_\Sigma = \Sigma \text{ all climate feedbacks} \quad (3)$$

$$\text{i.e., } \lambda_\Sigma = \lambda_{\text{Water Vapor}} + \lambda_{\text{Lapse Rate}} + \lambda_{\text{Clouds}} + \lambda_{\text{Surface Albedo}}$$

The relation between  $\lambda_\Sigma$  and  $\gamma$  in Eq. (3) is commonly used in the climate modeling community (Sect. 8.6 of Solomon (2007)). Bony et al. (2006) and Gregory (2000) use a different formalism to define their climate feedback parameter. Our value of  $\lambda_\Sigma$  is related to the IPCC's Fifth Assessment Report ((Stocker et al., 2013), hereafter IPCC 2013) definition of  $\lambda$  ( ~~$\lambda_{\text{IPCC,2013}}$~~ ) via  $\lambda_\Sigma = \lambda_P - \lambda_{\text{IPCC,2013}}$ .

Our model explicitly accounts for the export of heat from the atmosphere to the world's oceans (i.e., ocean heat export or OHE). The quantity  $Q_{\text{OCEAN}}$  in Eq. (2) represents OHE. In our previous analyses (Canty et al., 2013; Hope et al., 2017),  $Q_{\text{OCEAN}}$  was subtracted outside of the climate feedback multiplicative term  $(1+\gamma)/\lambda_P$ . We have rewritten Eq. (2) to be comparable to the formulation for this term used by Bony et al. (2006) and Schwartz (2012). The effect of this update results in our model being able to fit the historical climate record with higher values of climate feedback, especially for strong aerosol cooling (see Fig. S1 and supplement for more information). We calculate  $Q_{\text{OCEAN}}$  by simulating the long-term trend in observed ocean heat content (OHC) as shown in Eq. (4) and Eq. (5).

$$Q_{\text{OCEAN}i} = \kappa (\Delta T_{\text{ATM,HUMAN}i} - \Delta T_{\text{OCEAN,HUMAN}i}) \quad (4)$$

$$\kappa = \frac{\text{OHE} \times \Delta t}{\int_{t_{\text{START}}}^{t_{\text{END}}} \left( \left[ \frac{1+\gamma}{\lambda_P} \{ \text{GHG RF}_{i-72} + \text{AER RF}_{i-72} + \text{LUC RF}_{i-72} \} \right] - [f_0 \sum_0^{i-72} Q_{\text{OCEAN}}] \right) dt} \quad (5)$$

The  $\kappa$  term is the ocean heat uptake efficiency ( $\text{W m}^{-2} \text{ } ^\circ\text{C}^{-1}$ ) and is based on the definition used in Raper et al. (2002), where  $\kappa$  is the ratio between the atmosphere and ocean temperature difference that best fits observed OHC data (Sect. 2.2.8 describes the OHC data records used in our analysis). The value of  $\kappa$  is determined based upon the best fit (described below) between  $Q_{\text{OCEAN}}$  and the observed OHC record. The term  $\Delta T_{\text{OCEAN,HUMAN}}$  represents the temperature response of the well-mixed, top 100 m of the ocean due

to the total anthropogenically driven rise in OHC. This formulation of  $\Delta T_{\text{OCEAN,HUMAN}}$  allows the model ocean to warm in response to an atmospheric warming. We use a 6 year lag (72 months) for  $Q_{\text{OCEAN}}$  to account for the time needed for the energy leaving the atmosphere to heat the upper ocean and penetrate to depth, based on Schwartz (2012). Our analysis of modeled GMST is insensitive to whether this 6 year lag or the 10 year lag from Lean and Rind (2009) is used. The  $t_{\text{START}}$  and  $t_{\text{END}}$  limits on the integral in Eq. (5) are the start and end years, associated with each OHC record. The start and end years vary between the 5 OHC records (see supplement for the different start and end years). The constant  $f_0$  term in Eq. (5) is a combination of the heat capacity of ocean water, the fraction of total ocean volume in the surface layer, and the fraction of total  $Q_{\text{OCEAN}}$  that warms the surface layer, and is equal to  $8.76 \times 10^{-5} \text{ } ^\circ\text{C m}^2 \text{ W}^{-1}$ .

We represent the global ocean as being 1 km deep for 10% of the ocean area (representing the continental shelves) and 4 km deep for the remaining area, which approximates the average depth of the actual world's oceans to within 3%; 3.7 km compared to 3.682-3.814 km from Charette and Smith (2010). Based upon our analysis of decadal ocean warming as a function of depth extracted from CMIP5 GCMs, we have determined that 13.7% of the rise in total OHC occurs in the well mixed, upper 100 m of the ocean, the term represented by  $\Delta T_{\text{OCEAN,HUMAN}}$  in equation (4). The bottom rung of Fig. 1 compares our modeled OHC to the observed OHC record based upon the average of five data sets; the value of  $\kappa$  resulting in the best simulation of observed OHC is shown.

We use the reduced chi-squared ( $\chi^2$ ) metric to define the goodness of fit between the modeled and measured GMST anomaly for the atmosphere and also between simulated and observed OHC. Equation (6) and Eq. (7) show the calculations for  $\chi^2$  for the atmosphere, and Eq. (8) shows the calculation for  $\chi^2$  for the ocean. As noted above, minimization of the difference between the measured and modeled GMST anomaly results in the EM-GC being able to replicate the observed rise in temperature over the past 170 years quite well, as shown in Fig. 1. We have added two additional new features to the model to assure accurate representation of the rise in OHC as well as the rise in GMST since 1940. The first new feature, Eq. (7), was added because of a change in the specification of the uncertainty of the GMST anomaly ( $\sigma_{\text{OBS}i}$  in Eq. (2)) given by the Hadley Center Climatic Research Unit (~~CRU~~HadCRUT). A recent update resulted in much larger uncertainties being ascribed to the GMST anomaly for the entire data record, which caused some solutions to yield visually poor simulations of the rise in GMST over the past 4 to 5



decades. The second new feature, Eq. (8), was added because for some selections of the radiative forcing  
 190 due to tropospheric aerosols (AER  $\Delta RF_i$  in Eq. (2)), the original model formulation was converging but  
 producing simulations of OHC that seemed physically improper, based on visual inspection of observed  
 and modeled OHC. As a result of these two issues, all calculations shown here are subject to three  
 goodness-of-fit constraints, described by Eq. (6) to (8):

$$\chi_{ATM}^2 = \frac{1}{N_{YEARS} - N_{FITTING\ PARAMETERS} - 1} * \sum_{j=1}^{N_{YEARS}} \frac{1}{\langle \sigma_{OBSj} \rangle^2} (\langle \Delta T_{OBSj} \rangle - \langle \Delta T_{MDLj} \rangle)^2 \quad (6)$$

$$195 \quad \chi_{RECENT}^2 = \frac{1}{N_{YEARS,REC} - N_{FITTING\ PARAMETERS} - 1} * \sum_{j=1}^{N_{YEARS,REC}} \frac{1}{\langle \sigma_{OBSj} \rangle^2} (\langle \Delta T_{OBSj} \rangle - \langle \Delta T_{MDLj} \rangle)^2 \quad (7)$$

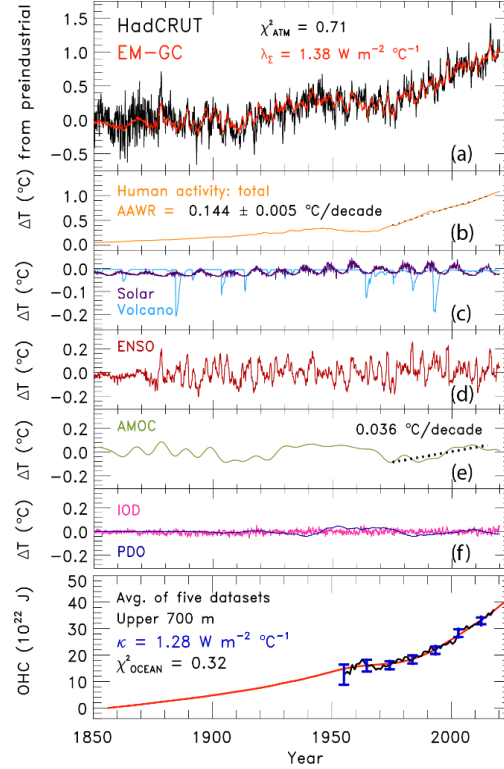
$$\chi_{OCEAN}^2 = \frac{1}{N_{YEARS} - N_{FITTING\ PARAMETERS} - 1} * \sum_{j=1}^{N_{YEARS,OHC}} \frac{1}{\langle \sigma_{OBSj} \rangle^2} (\langle OHC_{OBSj} \rangle - \langle OHC_{MDLj} \rangle)^2 \quad (8)$$

Here,  $\langle \Delta T_{OBS} \rangle$ ,  $\langle \Delta T_{MDL} \rangle$ , and  $\langle \sigma_{OBS} \rangle$  in Eq. (6) and Eq. (7) represent the annually averaged observed,  
 modeled, and uncertainty in the GMST anomaly, respectively. The variable  $N_{FITTING\ PARAMETERS}$  is equal  
 to 9 for typical simulations, the sum of 7 (the number of regression coefficients) plus 2 (model output  
 200 parameters  $\gamma$  and  $\kappa$ ). In Eq. (8),  $\langle OHC_{OBS} \rangle$  and  $\langle OHC_{MDL} \rangle$  represent the annual averaged observed and  
 modeled OHC. The  $\sigma_{OBS}$  term in Eq. (8) is the uncertainty in the OHC record (see Sect. 2.2.8 for more  
 information). The equation for all three formulations of  $\chi^2$  is based on annual averages, rather than  
 monthly time series, because the autocorrelation functions of  $\Delta T_{OBS}$  and  $\Delta T_{MDL}$  display similar shapes  
 using annual averages, and do not match utilizing monthly averages (see supplement of Canty et al. (2013)  
 205 for further explanation). For Eq. (6) to (8), we define an acceptable fit to the climate record as  $\chi^2 \leq 2$ . The  
 number of years ( $N_{YEARS}$ ) varies across the three equations. Equation (6) uses the total number of years  
 in the GMST record, which for [CRU-HadCRUT](#) is 170 years. The number of years in Eq. (8),  $N_{YEARS,OHC}$ ,  
 depends on the OHC data set used, as each data set spans a different range. The average of five OHC data  
 sets that is our primary data source extends from 1955-2017, a total of 63 years. The value of  $\chi_{OCEAN}^2$   
 210 found using Eq. (8) is displayed on the bottom rung of Fig. 1. All model simulations shown throughout  
 this paper have  $\chi_{OCEAN}^2 \leq 2$ , representing a good fit to the observed rise in OHC over the time of the data  
 record.

The calculation of  $\chi_{RECENT}^2$  shown in Eq. (7) is used to constrain the model to match the observed  
 changes in GMST over the time frame 1940-2019, a total of 80 years ( $N_{YEARS,REC}$  equals 80). This time



215 frame was chosen to include a full cycle of AMOC, as the strength of the thermohaline circulation tends  
to vary on a period of 60-80 years (Chen and Tung, 2018; Kushnir, 1994; Schlesinger and Ramankutty,  
1994). As noted above, the  $\chi^2_{\text{RECENT}}$  constraint was added to our model framework because the large  
temperature uncertainties associated with v4.6 of the [CRU HadCRUT](#) data set allowed the original model  
to provide numerically good fits but poor visual fits to GMST changes in the recent time period (i.e. the  
220 red line in the top rung of Fig. 1 starts to strongly deviate from the black line beginning in about 2000  
under certain conditions). All model simulations shown below have  $\chi^2_{\text{RECENT}} \leq 2$  representing a good fit



**Figure 1.** Measured and modeled GMST anomaly ( $\Delta T$ ) relative to a pre-industrial (1850-1900) baseline. (a) Observed (black) and modeled (red)  $\Delta T$  from 1850-2019. This panel also displays the values of  $\lambda_{\Sigma}$  and  $\chi^2_{\text{ATM}}$  (see text) for this best-fit simulation. (b) Contributions from total human activity. This panel also denotes the [best estimate](#) numerical value of the attributable anthropogenic warming rate from 1975-2014 (black dashed) as well as the  $2\sigma$  uncertainty in the slope [for a model run that uses the best estimate of AER RF<sub>2011</sub> of  \$-0.9 \text{ W m}^{-2}\$](#) . (c) Solar irradiance (light blue) and major volcanoes (purple). (d) Influences from ENSO on  $\Delta T$ . (e) Contributions from AMOC to  $\Delta T$  and to observed warming from 1975-2014. (f) Influences from PDO (blue) and IOD (pink) on  $\Delta T$ . (g) Measured (black) and modeled (red) ocean heat content (OHC) as a function of time for the average of five data sets (see text), the value of  $\chi^2_{\text{OCEAN}}$  for this run, as well as the ocean heat uptake efficiency,  $\kappa$ , needed to provide the best-fit to the OHC record. The error bars (blue) denote the uncertainty in OHC used in this analysis (see Sect. 2.2.8).

to the observed rise in GMST over the past 80 years, which results in modeled GMST that replicates observed GMST for the entire time series.

Figure 1 shows the observed ([HadCRUT](#)) and modeled GMST anomaly from 1850-2019, and the various anthropogenic and natural components that constitute modeled GMST ([see Fig. S3 for results using Cowtan and Way \(2014\) GMST record and the Cheng et al. \(2017\) OHC record \(hereafter Cheng 2017\)](#)). Figure 1a shows the value of climate feedback,  $1.38 \text{ W m}^{-2} \text{ }^{\circ}\text{C}^{-1}$ , that is needed to achieve a best fit to the climate record for this simulation, resulting in values of  $\chi^2_{\text{ATM}} = 0.71$  and  $\chi^2_{\text{OCEAN}} = 0.32$ . Figure 1b is the total contribution of human activity to variations in GMST, which includes GHGs, AER, LUC, and the export of heat from the atmosphere to the ocean. For the simulation shown, the aerosol radiative forcing is  $-0.9 \text{ W m}^{-2}$ , the best estimate given by IPCC 2013 (Myhre et al., 2013). This panel also notes the [best estimate of the](#) time rate of change of GMST attributed to humans from 1975-2014, or the attributable anthropogenic warming rate (AAWR (see Sect. 2.3)). Figure 1c illustrates the contribution to the GMST anomaly from TSI (Solar) and SAOD (Volcano) over the ~~170-year~~[170-year](#) period. The influences of ENSO and AMOC are indicated in Figs. 1d and 1e, respectively. The contribution of AMOC to the rise in GMST over 1975-2014 (the same time period used to define AAWR) is also specified on Fig. ~~4f~~[1e](#). Figure 1f indicates the small effect of IOD and PDO on GMST in our model framework. The last panel, Fig. 1g, shows the time series of observed OHC based upon the average of five data sets for the upper 700 m of the ocean (black points and blue error bars; see Sect. 2.2.8) and the modeled value of OHC (red line). For this simulation, the OHC data is best fit for a value of  $\kappa$  equal to  $1.28 \text{ W m}^{-2} \text{ }^{\circ}\text{C}^{-1}$ , which falls within the range of empirical estimates for this parameter given by Raper et al. (2002). The sum of the contributions of human activity, TSI, SAOD, ENSO, AMOC, ~~as well as the~~ PDO and the IOD to temporal variations in the GMST anomaly shown in Fig. 1b to 1f plus the value of  $C_0$  equals the modeled GMST anomaly, shown by the red line in Fig. 1a.

[Altering the training period of our model has a slight effect on our results \(see Fig. S2 and the supplement for information on various training periods\). We project relatively similar results for end of century warming for training periods that start in 1850 and end in either 2009 or 1999, compared to results shown throughout the paper for a training period of 1850 to 2019, indicating the stability of our approach. As detailed in the supplement, we do find some differences from the results shown in the paper upon use](#)

of a training period of 1850 to 1989 due to the reduction in the number of years considered from the available OHC records.

## 2.2 Model Inputs

### 2.2.1 Temperature Data

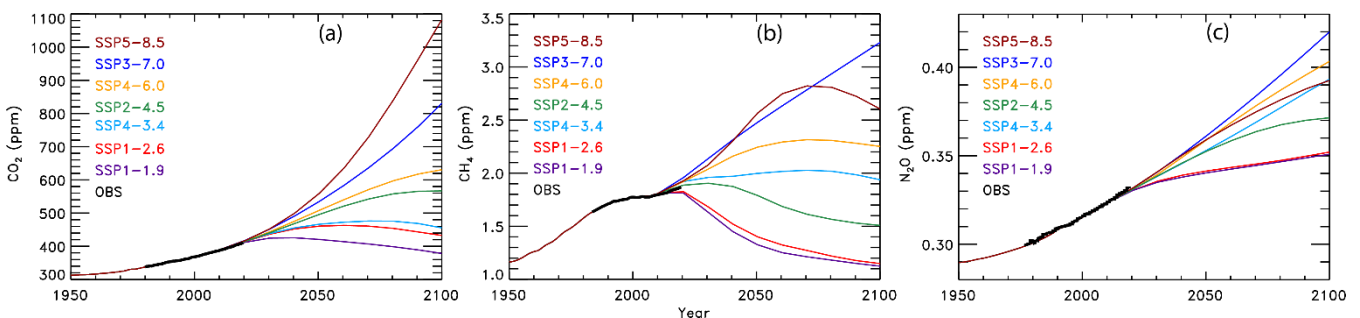
We use five global mean surface temperature anomalies from ~~four data centers~~, the Hadley Centre Climatic Research Unit (~~CRU~~HadCRUT, (Morice et al., 2012)) from 1850-2019, National Centers for Environmental Information NOAAGlobalTemp v5 (~~NCEI~~NOAAGT, (Smith et al., 2008; Zhang et al., 2019)) from 1880-2019, NASA Goddard Institute of Space Studies Surface Temperature Analysis v4 (~~GISS~~GISTEMP, (Hansen et al., 2010)) from 1880-2019, ~~and~~ Berkeley Earth Group (BEG, (Rohde et al., 2013)) from 1850-2019, ~~and~~ (Cowtan and Way, (2014) CW14; see Fig. S4 and the supplement for information on CW14 GMST record) from 1850-2019. Our analysis primarily uses the ~~CRU~~HadCRUT GMST data set, because this GMST record is the central focus of some contemporary studies (Liang et al., 2020; Nicholls et al., 2020a, 2020b), but in some sections, results are shown for all ~~four~~ five data sets. All temperature anomalies are with respect to a pre-industrial baseline (1850-1900). To ~~transform~~ alter each data record so that the temperature anomaly is relative to ~~a~~ the same pre-industrial baseline, we adjust all data sets relative to the ~~CRU~~HadCRUT baseline of 1961-1990 because we primarily use the ~~CRU~~HadCRUT data record in this analysis. We then ~~transform~~ adjust each data set to the pre-industrial baseline, as described in the methods section of Hope et al. (2017).

### 2.2.2 Shared Socioeconomic Pathways

For this analysis, we use the estimates of the future abundances of greenhouse gases and aerosols provided by the SSPs. There are twenty-six scenarios, five baseline pathways and twenty-one mitigation scenarios. The baseline pathways follow specific narratives for factors such as population, education, economic growth, and technological developments of sources of renewable energy (Calvin et al., 2017; Fricko et al., 2017; Fujimori et al., 2017; Kriegler et al., 2017; van Vuuren et al., 2017) to represent several possible futures spanning different challenges for adaptation and mitigation to climate change as illustrated in Fig.

1 of (O'Neill et al., 2014). The twenty-one mitigation scenarios follow one of the baseline pathways but include specific climate policy to reach a designated radiative forcing at the end of the century.

As part of CMIP6, the ScenarioMIP experiment (O'Neill et al., 2016) includes eight SSPs (SSP1-  
 280 1.9, SSP1-2.6, SSP4-3.4, SSP2-4.5, SSP4-6.0, SSP3-7.0, SSP5-8.5, and SSP5-3.4-OS) that GCMs use to project future GMST. The first number is the reference pathway that the scenario follows (i.e. SSP1 follows the first SSP narrative) and the numbers after the dash are the target radiative forcing at the end of the century (i.e. SSP1-2.6 reaches around  $2.6 \text{ W m}^{-2}$  in 2100). The ScenarioMIP experiment designates Tier 1 and Tier 2 scenarios. The Tier 1 scenarios are, SSP1-2.6, SSP2-4.5, SSP3-7.0, and SSP5-8.5 are  
 285 considered high priority and required for all of the GCMs participating in ScenarioMIP (O'Neill et al., 2016)., and tThe Tier 2 scenarios are SSP1-1.9, SSP4-3.4, SSP4-6.0, and SSP5-3.4-OS (an overshoot pathway that follows SSP5-8.5 until around 2040, where carbon dioxide emissions drastically decrease and become negative in 2065). The Tier 2 scenarios are not required for modeling groups to run in order to participate in ScenarioMIP (O'Neill et al., 2016). Our analysis includes seven of the eight ScenarioMIP  
 290 SSPs: all but the overshoot pathway. We highlight four in the main paper: two Tier 1 (SSP1-2.6 and SSP2-4.5) and two Tier 2 (SSP1-1.9 and SSP4-3.4) scenarios. Analysis of the other three SSPs is included in the supplement. Figure 2 shows the time evolution of the atmospheric abundance of the three major anthropogenic GHGs (carbon dioxide, methane, and nitrous oxide) for each of the seven SSPs we consider as well as observations of the global mean atmospheric abundance for these gases to the end of 2019  
 295 (Dlugokencky, 2020; Dlugokencky and Tans, 2020).



**Figure 2.** Observed and projected greenhouse gas mixing ratios. (a) Carbon dioxide abundances from observations (black) and seven of the ScenarioMIP SSPs (colors, as indicated). (b) Methane abundances from observations and ScenarioMIP SSPs. (c) Nitrous oxide abundances from observations and ScenarioMIP SSPs.

### 2.2.3 Greenhouse gases

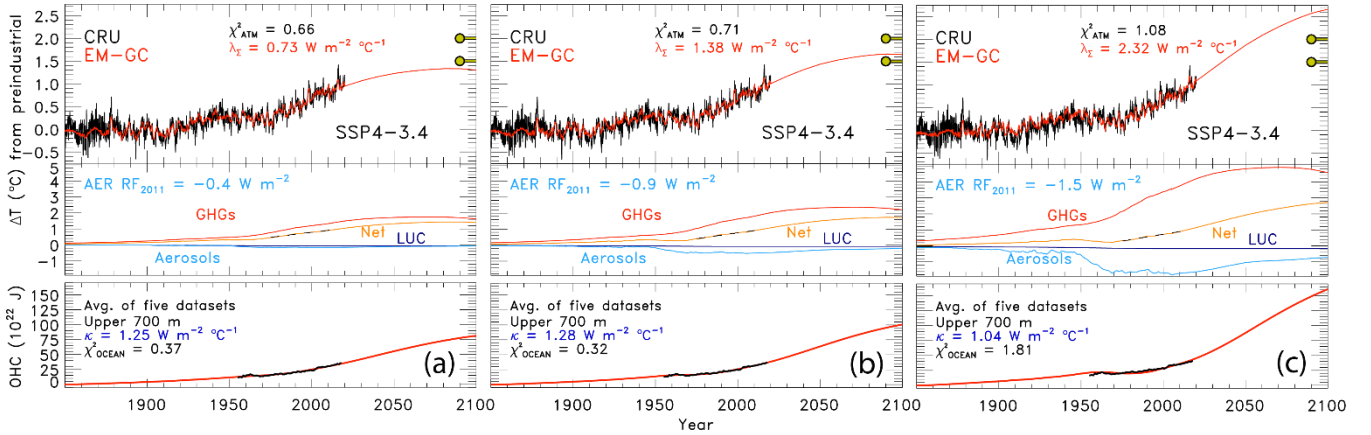
The historical values of GHG mixing ratios were provided by Meinshausen et al. (2017) from 1850-2014. We used the equations from Myhre (1998) ~~and IPCC 2013~~ to calculate the change in RF due to carbon dioxide (CO<sub>2</sub>), methane (CH<sub>4</sub>), nitrous oxide (N<sub>2</sub>O), ozone depleting substances (ODS), hydrofluorocarbons, perfluorocarbons, and sulfur hexafluoride relative to RF in year 1850. We also used the updated pre-industrial values of CH<sub>4</sub> and N<sub>2</sub>O from IPCC 2013 and the radiative efficiencies from WMO; (2018) The radiative forcing of CH<sub>4</sub> also includes the 15% enhancement from the increase in stratospheric water vapor due to rising atmospheric CH<sub>4</sub> (Myhre et al., 2007). Values of GHG mixing ratios, other than ODSs, from 2015-2100 ~~were~~ are from the SSP Database (Calvin et al., 2017; Fricko et al., 2017; Fujimori et al., 2017; Kriegler et al., 2017; Rogelj et al., 2018; van Vuuren et al., 2017) and are provided on a decadal basis. These mixing ratios were interpolated onto a monthly time scale. We used the estimates of future ODS abundances provided in Table 6-4 of the 2018 Ozone Assessment Report (Carpenter et al., 2018), because the SSP database did not provide these estimates. We also include tropospheric ozone (O<sub>3</sub><sup>TROP</sup>) as a GHG, because tropospheric ozone rivals N<sub>2</sub>O as the third most important anthropogenic GHG (Fig 8.15 of ~~(Myhre et al., (2013))~~). The RF due to O<sub>3</sub><sup>TROP</sup> from the RCPs provided by the Potsdam Institute for Climate Impact Research (Meinshausen et al., 2011) is used, because the SSP database does not provide estimates. Values of RF due to O<sub>3</sub><sup>TROP</sup> from RCP2.6, RCP4.5, RCP6.0, and RCP8.5 are substituted in for SSP1-2.6, SSP2-4.5, SSP4-6.0, and SSP5-8.5, respectively. We created new time series for the RF due to O<sub>3</sub><sup>TROP</sup> for SSP4-3.4 and SSP3-7.0 using linear combinations of RF time series from RCP2.6 and RCP8.5, with weights based ~~upon~~ on the end of century total RF value due to all GHGs of the respective time series. Finally, the RF time series for O<sub>3</sub><sup>TROP</sup> from RCP2.6 was also used for SSP1-1.9. Figure S2-S5 shows the ozone RF time series used in this analysis and the supplement provides more information about the creation of the time series for the RF due to O<sub>3</sub><sup>TROP</sup>.

### 2.2.4 Aerosol radiative forcing

The value of the change in total aerosol radiative forcing in 2011 relative to pre-industrial (AER RF<sub>2011</sub>) is highly uncertain. Chapter 8 of the IPCC 2013 report gives a best estimate of AER RF<sub>2011</sub> as -0.9 W m<sup>-2</sup>, a likely range between -0.4 and -1.5 W m<sup>-2</sup>, and a 5<sup>th</sup> to 95<sup>th</sup> percent confidence interval between

325  $-0.1$  and  $-1.9 \text{ W m}^{-2}$  (Myhre et al., 2013). This substantial range in AER RF<sub>2011</sub> results in a large spread in future projections of global GMST. Figure 3 shows the effect of varying the value of AER RF<sub>2011</sub> on projections of GMST in our EM-GC framework, for the same SSP4-3.4 GHG scenario. The middle panel on Figs. 3a, 3b, and 3c shows the contribution to GMST of GHGs, LUC, AER, as well as net human activities. As the value of AER RF<sub>2011</sub> decreases and aerosols cool more strongly, the value of climate feedback (model parameter  $\lambda_\Sigma$ ) rises, and the net contribution of human impact on GMST by the end of the century increases. Depending on which value of AER RF<sub>2011</sub> is used, the rise in GMST by year 2100 for the SSP4-3.4 pathway could range from  $1.3^\circ\text{C}$  (Fig. 3a) to  $2.6^\circ\text{C}$  (Fig. 3c) relative to pre-industrial.

330 Strong aerosol cooling offsets a substantial fraction of GHG-induced warming, and a large value of climate feedback ( $\lambda_\Sigma = 2.32 \text{ W m}^{-2} \text{ }^\circ\text{C}^{-1}$ ) is needed to fit the historical climate record (Fig. 3c). In this case, future warming is large, well above the goals of the Paris Agreement by the end of the century. Conversely, weak aerosol cooling offsets only a small fraction of GHG-induced warming, resulting in a small value of climate feedback ( $\lambda_\Sigma = 0.73 \text{ W m}^{-2} \text{ }^\circ\text{C}^{-1}$ ) needed to fit the observed GMST record (Fig.



**Figure 3.** Measured and EM-GC simulated GMST anomaly ( $\Delta T$ ) relative to a pre-industrial (1850-1900) baseline, as well as projected  $\Delta T$  to end of century for SSP4-3.4. Top panel of each plot displays observed (black) and simulated (red)  $\Delta T$ , as well as the values of  $\lambda_\Sigma$  and  $\chi^2_{\text{ATM}}$  for each model run. The Paris Agreement target ( $1.5^\circ\text{C}$ ) and upper limit ( $2.0^\circ\text{C}$ ) are shown (gold circles). The second panel shows the contribution of GHGs, aerosols, and land use change to  $\Delta T$ , as well as the net human component. The bottom panel compares observed (black) and modeled (red) values of OHC for simulations constrained by the average of five data sets (see text) and also provides the numerical values of  $\kappa$  needed to provide-obtain best-fits to the OHC record as well as best-fit values of  $\chi^2_{\text{OCEAN}}$ . The only difference between (a), (b), and (c) is the time series for RF due to tropospheric aerosols used to constrain the EM-GC; values of AER RF<sub>2011</sub> for each time series are (a)  $-0.4 \text{ W m}^{-2}$ , (b)  $-0.9 \text{ W m}^{-2}$ , (c)  $-1.5 \text{ W m}^{-2}$ .

3a). The use of any of the values of AER RF<sub>2011</sub> in Fig. 3 can result in a very good fit to the climate record (i.e.,  $\chi^2_{\text{ATM}} \leq 2$ ,  $\chi^2_{\text{RECENT}} \leq 2$ , and  $\chi^2_{\text{OCEAN}} \leq 2$ ).

340 We use the total aerosol RF time series provided by the SSP database for each SSP scenario. The database provides AER RF from 2005-2100, with values for all SSPs nearly identical until about 2015 2010 (Riahi et al., 2017; Rogelj et al., 2018). In the EM-GC, we calculate temperature projections over the entire observational period, beginning in 1850. Consequently, we create AER RF time series that begin in 1850 and span the range of uncertainty given by Chapter 8 of IPCC 2013 (described above). We 345 use historical estimates of AER RF from 1850-2014 for the four RCPs provided by the Potsdam Institute for Climate Research (Meinshausen et al., 2011). The AER RF value in 2014 from the appropriate historical estimate (i.e. RCP 4.5 is used for SSP2-4.5) is scaled by a constant multiplicative factor, such that the historical RCP value at the end of 2014 matches the SSP time series at the start of 2015, yielding a continuous time series for the RF of climate due to tropospheric aerosols. This scaled time series has 350 AER RF<sub>2011</sub> nearly equal to  $-1.0 \text{ W m}^{-2}$ , which we take as the SSP-based best estimate of the change in total aerosol radiative forcing in 2011 relative to pre-industrial. Next, the single continuous time series is scaled, again by a constant multiplicative factor, to match the IPCC 2013 best estimate and range of uncertainty for AER RF<sub>2011</sub> (Myhre et al., 2013). This procedure results in five additional time series of AER RF. Six time series of AER RF are thus created for each SSP, having values of AER RF<sub>2011</sub> equal 355 to  $-0.1$ ,  $-0.4$ ,  $-0.9$ ,  $-1.0$ ,  $-1.5$ , and  $-1.9 \text{ W m}^{-2}$ . Figure S3-S7 shows these six AER RF time series for SSP1-2.6 and SSP4-3.4. In the EM-GC framework, we further scale these six time series to create a total of 400 AER RF time series to fully analyze the range of AER RF<sub>2011</sub> given by Myhre et al. (2013).

### 2.2.5 Total solar irradiance and stratospheric aerosol optical depth

360 We use the TSI time series provided for the CMIP6 models from 1850-2014 (Matthes et al., 2017) and append values from the Solar Radiation and Climate Experiment (SORCE) (Dudok de Wit et al., 2017) for 2015 to the end of 2019. The values of TSI<sub>i</sub> used in Eq. (2) are differences of monthly mean values minus the long-term average (i.e., TSI anomalies). Consistent with prior studies (e.g., Lean and Rind (2008) and Foster and Rahmstorf (2011)) variations in solar irradiance due to the 11-year solar cycle have 365 a small but noticeable effect on the EM-GC simulation of the GMST anomaly (Fig. 1c). For projections



of future warming, we set the term  $TSI_i$  in Eq. (2) equal to zero from the start of 2020 until 2100 (i.e., we do not propagate 11-year variations of TSI forward in time).

The time series for SAOD is a combination of values computed from extinction coefficients for the CMIP6 GCMs (Arfeuille et al., 2014) from 1850-1978 and the Global Space-based Stratospheric  
370 Aerosol Climatology (GloSSAC v2.0) (Thomason et al., 2018) from 1979-2018. Extinction coefficients at 550 nm were integrated from the tropopause to 39.5 km and averaged over the globe using a cosine of latitude weighting. The CMIP6 and GloSSAC extinction coefficients span 80°S to 80°N. To extend the SAOD time series to the end of 2019, we use the level 3, gridded SAOD product from the Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observations (CALIPSO) (Vaughan et al., 2004). Time series of  
375 globally averaged SAOD from CALIPSO have a very similar shape to the GloSSAC time series over the period of overlap (2006-2018), with a slight offset. To append the CALIPSO SAOD for 2018-2019, we took the average difference between the two time series for the overlapping months and then adjusted the CALIPSO time series by this offset. This slight adjustment to the CALIPSO record has no bearing on our scientific results, since the effect of volcanic activity on GMST has been small over the past 2 decades  
380 (Fig. 1c). We set the term  $SAOD_i$  in Eq. (2) equal to the value in December 2019 from the start of 2020 until 2100.

### 2.2.6 El Niño southern oscillation, Pacific decadal oscillation, and Indian Ocean dipole

We use the MEI.v2 (Wolter and Timlin, 1993; Zhang et al., 2019) to characterize the influence of ENSO  
385 on GMST. In order to obtain a time series that spans the entire training period of our model, 1850-2019, we append three time series to create an MEI.v2 index over the full time extent of our model training period. –The MEI.v2 provides two month averages of empirical orthogonal functions of five different climatic variables from 1979 to present (Zhang et al., 2019). To have the ENSO index extend back to 1850, we compute differences in SST anomalies over the tropical Pacific basin as defined by the MEI.v2  
390 from 1850-1870 using HadSST3 (Kennedy et al., 2011). Our internal computation of this surrogate for the MEI index is then appended to the MEI.ext of Wolter and Timlin (2011), which extends from 1871-1978, and the MEI.v2 index of (Zhang et al., 2019) (1979-2019). This full time series provides a representation of ENSO that covers from 1850 to present. Consistent with prior regression-based



approaches (Foster and Rahmstorf, 2011; Lean and Rind, 2008), we find a significant portion of the  
395 monthly and at times annual variation in GMST is well explained by ENSO (Fig. 1d). As for the other  
natural terms, we assume  $ENSO_i$  in Eq. (2) is zero for 2020-2100.

The Pacific decadal oscillation is the leading principal component of North Pacific monthly SST  
variability poleward of 20°N (Barnett et al., 1999). The PDO index maintained by the University of  
Washington provides monthly values from 1900-2018. The PDO varies on a multidecadal time scale and  
400 affects climate in the North Pacific and North America, and has secondary effects in the tropics (Barnett  
et al., 1999). In our model framework, the expression of PDO on GMST is dependent on the model  
specification of the AER RF time series, as shown in Fig. S6. At low values of AER RF<sub>2011</sub>, such as -0.1  
W m<sup>-2</sup>, the effect of PDO on GMST is negligible and the contribution from AMOC dominates. At high  
values of AER RF<sub>2011</sub> (-1.5 W m<sup>-2</sup>), the effect of PDO on GMST is equal to the contribution from AMOC.  
405 At high values of AER RF<sub>2011</sub>, we obtain results similar to findings from England et al. (2014) and  
Trenberth and Fasullo (2013) that shows the PDO exhibits an appreciable influence on GMST, especially  
for the 2000-2010 time period.

The Indian Ocean dipole is based upon the difference in the anomalous sea surface temperatures  
(SST) between the western equatorial Indian Ocean (50°-70° E and 10° S-10° N) and the south eastern  
410 equatorial Indian Ocean (90° E-110° E & 10° S-0° N) as defined in Saji et al. (1999). We use 1° × 1° SSTs  
from the Centennial in situ Observation-Based Estimate (COBE) (Ishii et al., 2005) to create an IOD  
index from 1850-2019. As noted above and shown on Fig. 1f, the regression coefficients for PDO and  
IOD are quite small. We find little influence of either PDO or IOD in the CRU-HadCRUT time series of  
GMST, but these terms are retained for completeness. We assume  $PDO_i$  and  $IOD_i$  in Eq. (2) are zero after  
415 the start of 2019 and 2020, respectively.

### 2.2.7 Atlantic meridional overturning circulation

We use the Atlantic multidecadal variability (AMV) index as the area weighted, monthly mean SST from  
HadSST3 (Kennedy et al., 2011), between the equator and 60° N in the Atlantic Ocean (Schlesinger and  
420 Ramankutty, 1994) to characterize the influence of variations in the strength of the AMOC on GMST.  
The AMV index is detrended using the RF anomaly due to anthropogenic activity over the historical time

frame of the analysis, as discussed in Sect. 3.2.3 of Canty et al. (2013), because this detrending option removes the influence of long-term global warming on the AMV index. The detrended AMV index serves as a proxy for variations in the strength of the AMOC (Knight et al., 2005; Medhaug and Furevik, 2011; Zhang and Delworth, 2007), which has particularly noticeable effects on climate in the Northern Hemisphere (Jackson et al., 2015; Kavvada et al., 2013; Nigam et al., 2011). For this analysis, the index has been Fourier filtered to remove frequencies above  $9 \text{ yr}^{-1}$  to retain only the low frequency, high amplitude component of the thermohaline circulation (Canty et al., 2013). As noted above and shown in Fig. 1, a considerable portion of the long-term variability in GMST is attributed to variations in the strength of AMOC, including about  $0.036^{\circ}\text{C}/\text{decade}$  over the 1975-2014 time period. There is considerable debate about the validity of the use of a proxy such as the AMV index as a surrogate for the climatic effects of AMOC that is centered mainly around how much of the variability of the index is either internal (i.e., natural variability) or externally forced (i.e., driven by anthropogenic factors) (Haustein et al., 2019; Knight et al., 2005; Medhaug and Furevik, 2011; Stouffer et al., 2006). We stress, as explained below, none of our scientific conclusions are altered if we neglect AMV as a regression variable.

### 2.2.8 Ocean heat content records

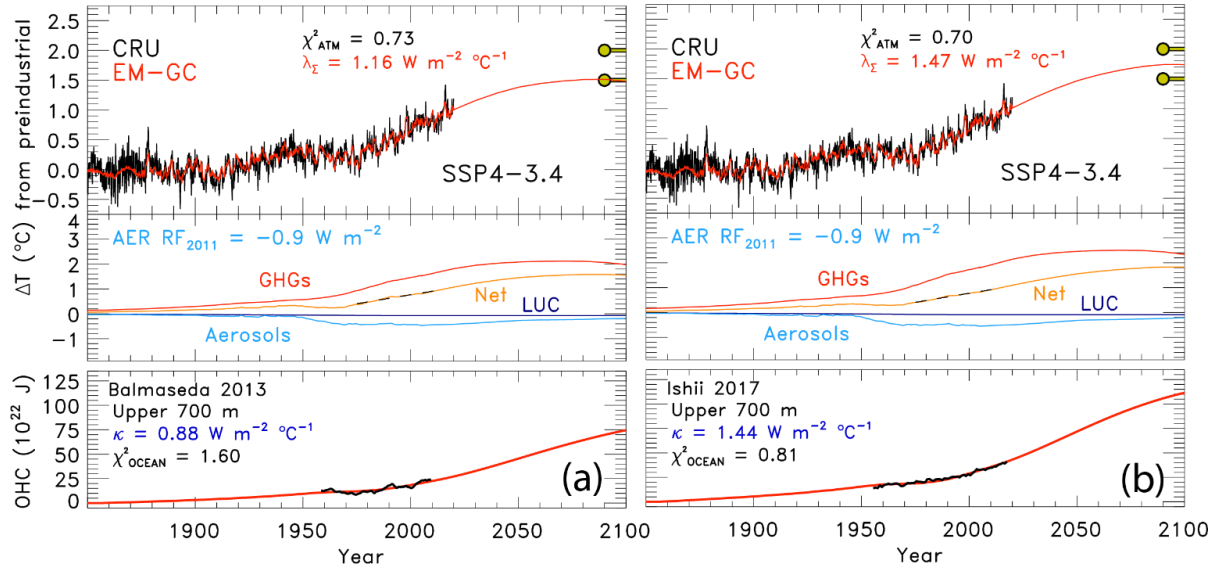
Ocean heat content data records from five recent and independent papers are used in this study. We utilize OHC data from Balmaseda et al. (2013), Carton et al. (2018), Cheng et al. (2017), Ishii et al. (2017), and Levitus et al. (2012), as well as the average of the records to model the export of heat (OHE) from the atmosphere to the ocean. Figure S4-S8 shows these five OHC records as well as the multi-measurement average. While most of these data sets have a common origin, they differ in how extensive temporal and spatial gaps in the coverage of ocean temperatures have been handled, ranging from data assimilation (Carton et al., 2018) to an iterative radius of influence mapping method (Cheng et al., 2017). ~~The five data sets have been normalized (by applying a constant additive offset) to the same value of zero in 1986~~ The five data sets are all set to zero in 1986, which is the midpoint of the multi-measurement time series, by applying an offset, which is the midpoint of the multi-measurement time series, for visual comparison. Since OHE, in units of  $\text{W m}^{-2}$ , is based upon the slope of each OHC data set, this offset has no impact on the computation of OHE from OHC that is central to our study. For the computation of OHE

450 from OHC, we use a value of the surface area of the world's oceans equal to  $3.3 \times 10^{14} \text{ m}^2$  (Domingues et al., 2008). The OHC records we analyze are for the upper 700 m of the ocean. To calculate the OHE for the whole ocean, we multiply the OHE by  $1/0.7$  to account for the fact that the upper 700 m of the ocean holds 70% of the heat (Sect. 5.2.2.1 (Solomon, 2007)). When we subtract the amount of heat going into the ocean in Eq. 2 ( $Q_{\text{OCEAN}}$ ), we also must account for the difference in surface area between the  
 455 global atmosphere and the world's oceans. Since the  $Q_{\text{OCEAN}}$  term is computed for the surface area of the ocean, but the forcing is applied to the whole atmosphere, we multiply the  $Q_{\text{OCEAN}}$  term by the ratio of the surface area of the ocean to the surface area of the atmosphere, which is 0.67.

As noted above, the calculation of  $\chi^2_{\text{OCEAN}}$  shown in Eq. (8) is used to constrain our model representation of the temporal rise in OHC. Only model runs that provide a good fit to the observed OHC  
 460 record are shown below. For these five OHC data sets, uncertainty estimates are not always provided. Furthermore, some studies that do provide uncertainties give estimates that seem unreasonably small (see Fig. S5-S9 and the supplement). Because of the discrepancy in uncertainties between OHC records, we create a new uncertainty time series using both the 1-sigma standard deviation of the mean-average of the five OHC records and the uncertainties from the Cheng et al. (2017) OHC record. We create this new  
 465 uncertainty from 1955-2019 by a monthly time step and use either the 1-sigma standard deviation of the mean-average of the five OHC records or the uncertainties from the Cheng et al. (2017) OHC record, whichever is larger, for that month. We use the Cheng et al. (2017) OHC uncertainties because these estimates are the largest of the five data sets. Additionally, the standard deviation from the mean of the five OHC records is very low in the 1980s, which is an artifact of our normalization treatment, not inherent  
 470 to any of the records. This combined uncertainty estimate is substituted in for each individual data set and the average, resulting in our use of the same time varying uncertainty in OHC for all data sets. Figure S5 S9 and the supplement provide more detail on the creation of this time dependent uncertainty estimate for OHC.

The choice of OHC record has only a small effect on future projections of GMST using the EM-  
 475 GC. Figure 4 illustrates the effect of varying OHC record on future temperature. The bottom panels show the observed ~~OHC~~ and modeled OHC, the value of  $\kappa$  needed to best fit the OHC data record, as well as the resulting value of  $\chi^2_{\text{OCEAN}}$ . Of the two OHC records shown, Balmaseda et al. (2013) (Fig. 4a) yields

the lowest value of  $\kappa$  and Ishii et al. (2017) (Fig. 4b) results in the highest estimate of  $\kappa$ . For the same value of AER RF<sub>2011</sub> (i.e.,  $-0.9 \text{ W m}^{-2}$ ) and GHG scenario (SSP4-3.4), we find a difference of  $0.25^\circ\text{C}$  in the modeled rise in GMST in year 2100 for these two simulations (red lines on top panels). For most of the remaining analysis, we use the multi-measurement average of the five OHC data records. However, in Sects. 3.1 and 3.2 we quantify the effect of OHC data record on both attributable anthropogenic warming rate and equilibrium climate sensitivity.



**Figure 4.** Measured and EM-GC simulated GMST change ( $\Delta T$ ) from 1850-2019, as well as projected  $\Delta T$  to year 2100 for SSP4-3.4. Top panel of each plot shows observed (black) and simulated (red)  $\Delta T$ , the  $\lambda_\Sigma$  and  $\chi^2_{\text{ATM}}$  values, and the Paris Agreement target and upper limit. The second panel displays the contribution of GHGs, aerosols, and land use change on  $\Delta T$ . The bottom panel compares the observed (black) and modeled (red) OHC for two different OHC records and displays the value of  $\kappa$  needed to provide best-fits to the OHC record, as well as best-fit values of  $\chi^2_{\text{OCEAN}}$ . Both use an aerosol RF in 2011 of  $-0.9 \text{ W m}^{-2}$ . (a) OHC record from Balmaseda et al. (2013). (b) OHC record from Ishii et al. (2017).

### 2.3 Attributable anthropogenic warming rate

The attributable anthropogenic warming rate, or AAWR, is the time rate of change of GMST due to humans from 1975-2014. We use AAWR as a metric in the EM-GC framework to quantify the human influence on global warming over the past few decades, and most importantly to also assess how well the CMIP6 GCMs can replicate this quantity. This analysis is motivated by the study of Foster and Rahmstorf (2011), who examined the human influence on the time rate of change of GMST from 1979-2010 using

a residual method. We extend the end year of our analysis to 2014 because this is the last year of the CMIP6 Historical simulation. We pushed the start year back to 1975 so that our analysis covers a forty-year period, over which the effect of human activity on GMST rose nearly linear with respect to time (Fig. 1b [and Fig. S10c](#)).

We calculate AAWR ~~using~~ utilizing the EM-GC ~~based upon~~ by computing a linear fit to the  $\Delta T_{\text{HUMAN,ATM}}$  term ~~shown below~~:

$$\Delta T_{\text{ATM,HUMAN}i} = \frac{1+\gamma}{\lambda_p} \{GHG \Delta RF_i + AER \Delta RF_i + LUC \Delta RF_i - Q_{\text{OCEAN}}\} \quad (9)$$

for a regression that spans 1850-2019. The  $\Delta T_{\text{HUMAN,ATM}}$  term represents the net impact of the change in GMST due to RF of climate by anthropogenic GHGs, tropospheric aerosols, as well as the [changevariation](#) in surface reflectivity due to land use change (deforestation), taking into account that for each model time step, a portion of the human-induced climate forcing is exported to the world's oceans. For each simulation, the slope of the linear least squares fit to the 480 monthly values of  $\Delta T_{\text{HUMAN,ATM}}$  is used to determine AAWR. For the time period 1975-2014, a value for AAWR of  $0.144 \pm 0.005$  °C/decade is found using a value of AER  $RF_{2011}$  equal to  $-0.9 \text{ W m}^{-2}$ , where the uncertainty corresponds to the ~~1σ~~ 2-sigma standard error of a linear least squares fit. The computation of AAWR found by fitting monthly values of  $\Delta T_{\text{HUMAN,ATM}}$  is insensitive to modest changes in start and end year for the AAWR calculation (see Table S1), as well as whether or not the AMOC, PDO, or IOD terms are included in the regression framework (Canty et al., 2013; Hope et al., 2017). We are able to fit the climate record better (i.e. smaller values of  $\chi^2$  in Eqs. (6), (7), and (8)) upon consideration of the AMOC term. However, computed values of AAWR are insensitive to whether this term is used in the regression because whatever contributions the variation in the strength of the thermohaline circulation may have had on GMST are not considered in Eq. (9) (see Sect. 2.3 of Hope et al. (2017) for further explanation).

The determination of AAWR from historical CMIP6 near surface air temperature output involves conducting ~~two regressions~~ a regression of deseasonalized, globally averaged, monthly  $\Delta T$  ( $\Delta T^{\text{DES,GLB}}$ ) from each GCM (Hope et al., 2017), termed the REG method. The archived CMIP6 Historical runs are constrained by observed variations in SAOD ~~and TSI~~ and influenced by other factors such as internal model generated ENSOs. The  $\Delta T^{\text{DES,GLB}}$  time series for all of the runs from each CMIP6 GCM are

averaged together to obtain one time series of  $\Delta T^{\text{DES, GLB}}$  for each GCM. This average  $\Delta T^{\text{DES, GLB}}$  time series is used to compute AAWR. The ~~two~~-regression approach is used to compute the influence of ~~TSI and~~ SAOD on GMST from CMIP6 GCMs. The time needed for GMST to respond to a change in the aerosol loading in the stratosphere due to a volcanic eruption in each GCM can exhibit a significant difference compared to the empirically determined response time of 6 months discussed in Sect. 2.1., because the large volcanic eruptions of Mount Agung, El Chichón, and Mount Pinatubo all tended to  
~~occur around the time of solar minimum~~ A lag was determined for each GCM by calculating the value of the monthly delay between volcanic eruptions and the surface temperature response that resulted in the largest regression coefficient for SAOD. ~~First, we~~ We regress the  $\Delta T^{\text{DES, GLB}}$  against SAOD, ~~TSI,~~ and the anthropogenic effect on temperature ~~that,~~ which is approximated as a linear function from ~~1960~~ 1975-2014. ~~The first regression starts in 1960 because the human component of temperature change is nearly linear over this time frame and to allow coverage of enough solar cycles to determine the TSI contribution of GMST. A second regression is then conducted from 1975-2014 using the TSI regressor coefficient from the first regression to determine the contribution of SAOD and humans on~~  $\Delta T^{\text{DES, GLB}}$ . ~~The value of AAWR is the slope of the human component~~ anthropogenic effect on temperature. Figure S10 illustrates the REG method used to determine AAWR from the CMIP6 GCMs. Table S2 depicts the slight effect on values of AAWR for the CMIP6 GCMs of changing the start or end year for the ~~second~~-regression. At the time of analysis, there are 50 CMIP6 GCMs with the necessary archived output to calculate AAWR, with the values of AAWR found using REG shown in Table S3.

We also use a second method to extract the value of AAWR from the CMIP6 multi-model ensemble. This method, termed LIN, involves the computation of a linear regression of global, annual average values of GMST from the CMIP6 multi-model ensemble (Hope et al., 2017). For LIN, we exclude the years of obvious volcanic influence on the rise in GMST from the CMIP6 multi-model ensemble Historical simulations: i.e. data for 1982 and 1983 (following the eruption of El Chichón) and 1991 and 1992 (following the eruption of Mount Pinatubo) are excluded. Archived global, annual average values of GMST covering 1975-2014, excluding these four years, are fit using linear regression, with the AAWR set equal to the slope of the fit. Values of AAWR for 1975-2014 found using LIN are also shown in Table S3 for each GCM. Analysis of AAWR for these 50 GCMs of LIN versus REG (see Fig. S11) results in a

correlation coefficient ( $r^2$ ) of 0.97-995 and a mean ratio of  $1.040-009 \pm 0.048015$ , with LIN-based AAWR exceeding REG-based AAWR by about 41%. The close agreement of AAWR found using both methods provides strong evidence for the accurate determination of AAWR from the CMIP6 GCMs. We use the REG method in this analysis because it provides a more rigorous technique to remove the influence of SAOD ~~and TSI~~ on GMST from the CMIP6 multi-model ensemble compared to the LIN method. All of our scientific conclusions are unchanged had we used LIN-based values of AAWR from the CMIP6 multi-model ensemble.

## 2.4 Equilibrium ~~Climate-climate~~ Sensitivity~~sensitivity~~

The equilibrium climate sensitivity (ECS), which represents the warming that would occur after climate has equilibrated with atmospheric CO<sub>2</sub> at the 2×pre-industrial level (Kiehl, 2007; Otto et al., 2013; Schwartz, 2012) is also used to compare results of our EM-GC to CMIP6 multi-model output. To calculate ECS from the EM-GC, we use the following equation:

$$ECS = \frac{1+\gamma}{\lambda_p} \times 5.35 \text{ W m}^{-2} \times \ln(2) \quad (10)$$

That represents the rise in GMST for a doubling of CO<sub>2</sub>, assuming no other perturbations as well as equilibrium in other components of the climate system (i.e., Q<sub>OCEAN</sub> = 0) (Mascioli et al., 2013). The expression for the radiative forcing of CO<sub>2</sub> is from Myhre (1998). The quantity  $\gamma$  in Eq. (10), which represents the sensitivity of the GMST to feedbacks within the climate system, is the only variable component of ECS. We only use values of  $\gamma$  that result in good fits ( $\chi^2 \leq 2$  for Eq. (6) to (8)) between modeled and observed GMST and modeled and observed OHC.

For the estimate of ECS from the CMIP6 multi-model ensemble, we use the method described by Gregory et al. (2004). We use the Gregory method to calculate ECS from the CMIP6 GCMs because this procedure is preferred by Eyring et al. (2016) for the use by CMIP6. There have been some recent analyses that suggest the Gregory method may underestimate ECS (Rugenstein et al., 2020). However, we use the Gregory method to be consistent with the approach for CMIP6 recommended by Eyring et al. (2016).

To use the Gregory method, ~~N~~near surface air temperature output from the Abrupt 4×CO<sub>2</sub> and piControl simulations, as well as net downward radiative flux output from the Abrupt 4×CO<sub>2</sub> simulation



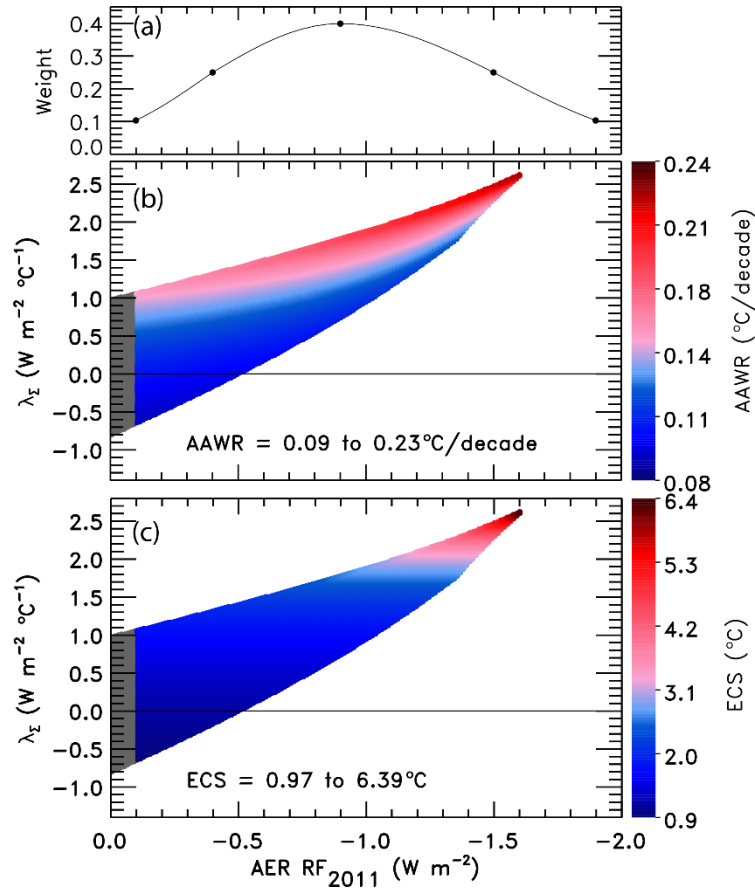
is used to calculate ECS. At the time of this analysis, 28 models released the necessary output to the  
575 CMIP6 archive (see Table S4 for the list of models and individual values of ECS). The near surface air  
temperature and net downward radiative flux was converted from monthly gridded output to annual global  
averages. We calculate the temperature change for the Abrupt 4×CO<sub>2</sub> simulation by subtracting the  
piControl near surface air temperature (Chen et al., 2019) (Fig. S6S12). This computed temperature  
anomaly is then regressed against the net downward radiative flux, with the x-intercept yielding the  
580 equilibrium response of ΔT to a quadrupling of CO<sub>2</sub>. This equilibrium response is then divided by two  
(Jones et al., 2019) to arrive at the equilibrium climate sensitivity (Fig. S6S12).

## 2.5 Aerosol ~~Weighting-weighting~~ Methodmethod

Probabilistic forecasts of the future rise in GMST for various SSPs are an important part of our analysis.  
585 Probabilities of AAWR and ECS are computed by considering the uncertainty in AER RF<sub>2011</sub>. We also  
provide probabilistic estimates of AAWR and ECS. All of these quantities are computed by incorporating  
the uncertainty in the radiative forcing of climate due to tropospheric aerosols within results of our EM-  
GC simulations. We use an asymmetric Gaussian to assign weights to the value of GMST, AAWR or  
ECS found for various time series of radiative forcing by aerosols associated with particular values of  
590 AER RF<sub>2011</sub>. Figure 5a shows the asymmetric Gaussian function we use to maximize the values of AAWR  
or ECS at the best estimate of AER RF<sub>2011</sub> of -0.9 W m<sup>-2</sup>, accomplished by giving these values the highest  
weighting. The IPCC 2013 “likely” range limits of AER RF<sub>2011</sub> of -0.4 and -1.5 W m<sup>-2</sup> (Myhre et al.,  
2013) are assigned to the one sigma values of the Gaussian, and the AAWR or ECS estimates occurring  
at the “likely” range AER RF<sub>2011</sub> limits are given the same weighting. The -0.1 and -1.9 W m<sup>-2</sup> limits of  
595 the AER RF<sub>2011</sub> range are assigned as the two sigma values of the asymmetric Gaussian, based upon the  
IPCC 2013 description of these two values as being 5 and 95% uncertainty limits (Myhre et al., 2013).  
The Gaussian we use is asymmetric due to the fact that the distribution of the likely range and 5<sup>th</sup> and 95<sup>th</sup>  
percentiles of the values of AER RF<sub>2011</sub> are not distributed symmetrically from the best estimate of -0.9  
W m<sup>-2</sup>. For example, the likely ranges of AER RF<sub>2011</sub> are given as -0.4 W m<sup>-2</sup> and -1.5 W m<sup>-2</sup>; the -0.4  
600 W m<sup>-2</sup> value is 0.5 W m<sup>-2</sup> from the best estimate whereas -1.5 W m<sup>-2</sup> is 0.6 W m<sup>-2</sup> from the best estimate.



We fit a Gaussian to the likely range and 5<sup>th</sup> and 95<sup>th</sup> percentiles that has slightly different shape on either side of the best estimate, as shown in Fig. 5a.



**Figure 5.** Aerosol weighting method. (a) The weights assigned to an asymmetric Gaussian distribution of AER RF<sub>2011</sub> based on values provided by chapter 8 of IPCC 2013. The five black circles indicate the assigned weights for the AER RF<sub>2011</sub> best estimate of  $-0.9 \text{ W m}^{-2}$ , likely range of  $-0.4$  and  $-1.5 \text{ W m}^{-2}$ , and the 5<sup>th</sup> and 95<sup>th</sup> confidence intervals of  $-0.1$  and  $-1.9 \text{ W m}^{-2}$ . (b) Values of AAWR in °C/decade as a function of climate feedback parameter,  $\lambda_\Sigma$ , and the value of AER RF<sub>2011</sub> associated with various time series for the RF of climate due to tropospheric aerosols. The colors denote the various values of AAWR calculated from 1975-2014 using the EM-GC. (c) ECS in °C as a function of  $\lambda_\Sigma$  and the value of AER RF<sub>2011</sub>. The colors denote various values of ECS found using the EM-GC. For panels (b) and (c), model results are shown only for combinations of  $\lambda_\Sigma$  and RF due to tropospheric aerosols for which good fits to the climate record could be achieved.

Figure 5b shows the value of AAWR in °C/decade as a function of the climate feedback parameter,  $\lambda_\Sigma$ , and AER RF<sub>2011</sub>. We are able to find more good fits to the observed GMST for small values of AER RF<sub>2011</sub> than at larger values of AER RF<sub>2011</sub>. Therefore, we bin values of AAWR (Fig. 5b), ECS (Fig. 5c),

or [future](#) GMST (described in Sect. 3.3) by AER RF<sub>2011</sub> and find the probability distribution for values of AAWR, ECS, or [future](#) GMST within each bin. The resulting probability distributions are assigned the weights associated with each value of AER RF<sub>2011</sub> in the bins to arrive at the probabilistic estimates of AAWR or ECS shown in Sect. 3. If we did not use this procedure and instead simply averaged all of the values for AAWR and ECS shown in Fig. 5, undue emphasis would be given to model results that occur at small AER RF<sub>2011</sub> (see Fig. [S7-S14](#) for unweighted ECS values). This aerosol weighting method allows the expert assessment of the likely range of RF due to tropospheric aerosols given in Chapter 8 of IPCC 2013 (Myhre et al., 2013) to be quantitatively incorporated into our computations of AAWR, ECS, and GMST.

### 3 Results

#### 3.1 AAWR, comparison to CMIP6 multi-model ensemble

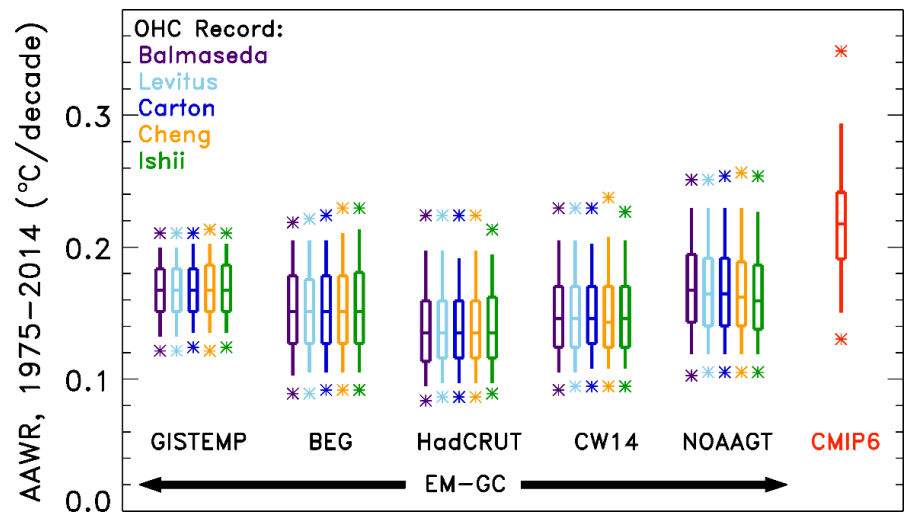
An important measure of any climate model is the ability to accurately simulate the human influence on the global mean surface temperature (GMST) anomaly. We use the attributable anthropogenic warming rate (AAWR) found by our highly constrained Empirical Model of Global Climate (EM-GC) to quantify how well the CMIP6 multi-model ensemble (see Table S5 for a list of CMIP6 GCMs analyzed in this study) is able to simulate the human influence on global warming over the past several decades. The EM-GC results in Fig. 6 have been constrained by blended near surface air temperature (TAS) and the temperature at the interface of the atmosphere and the upper boundary of the ocean (TOS) (Griffies et al., 2016). The CMIP6 multi-model output contains archived fields of TAS and TOS, whereas only a subset of GCM groups provide the archived land fraction needed to calculate blended near surface air temperature. Cowtan et al. (2015) compare the modeled and measured trend in global temperature over 1975-2014 and found ~~that a 4.0%-38% of the~~ difference in the trend ~~could be accounted for upon~~ by the use of blended temperature from CMIP5 GCMs, rather than global modeled TAS. Their analysis focused on a comparison of modeled and measured temperature, not just the anthropogenic component. We have used the method of Cowtan et al. (2015) to create blended CMIP6 temperature output, for the CMIP6 GCMs that provide TAS, TOS, and the land fraction. Upon our use of blended CMIP6 temperature output for these GCMs, and calculation of AAWR for 1975-2014 as described in Sect. 2.3, we find that AAWR

based upon blended CMIP6 temperature is ~~only~~ 3.5% lower than AAWR found when using only TAS.

635 Tokarska et al. (2020) ~~similarly report estimate a much smaller influence~~ an effect of 0.013°C/decade in the trend of CMIP6 temperature output upon the use of blended CMIP6 temperature instead of TAS, while output than suggested by Cowtan et al. (2015) report a difference of 0.030°C/decade between the trend in observations and modeled output. Since ~~this the~~ difference between values of AAWR found using blended CMIP6 temperature output and TAS is so small and does not affect any of our conclusions, 640 we use TAS output from the CMIP6 multi-model archive because this choice allows the behavior of many more GCMs to be examined.

Figure 6 compares values of AAWR from 1975-2014 computed using our EM-GC with AAWR found utilizing archived output from the CMIP6 multi-model ensemble. ~~Four~~ Five GMST data sets and five OHC records can be used to estimate AAWR with the EM-GC; for each choice, AAWR exhibits 645 sensitivity to the variation of the time series of radiative forcing due to tropospheric aerosols. Each box and whisker plot found using our EM-GC shows, for a particular choice of GMST and OHC data record, the 25<sup>th</sup>, 50<sup>th</sup>, and 75<sup>th</sup> percentiles of AAWR (box), and 5<sup>th</sup> and 95<sup>th</sup> percentiles (whiskers) found using the aerosol weighting method described in Sect. 2.5. The star symbol indicates the minimum and maximum values of AAWR for each value of GMST data set and OHC record. The choice of OHC record ~~has a slight effect on AAWR, as shown by the colored EM-GC symbols in Fig. 6. The choice of~~ and GMST data set has a ~~greater effect on AAWR, changing the median by about 0.03°C/decade depending on which data set is utilized~~ slight effect on AAWR, as shown by the colored EM-GC symbols in Fig. 6. For example, switching from using the HadCRUT record to the CW14 record increases the values of  $\lambda_{\Sigma}$  that result in good fits to the climate record, which in turn affects the values of AAWR. The estimate of the 655 value of AAWR increases from 0.143°C/decade to 0.153°C/decade when using the Cheng OHC record and changing the GMST record from HadCRUT to CW14. The averages of the five 25<sup>th</sup>, 50<sup>th</sup>, and 75<sup>th</sup> percentiles of AAWR found using the ~~CRU-HadCRUT~~ data set for GMST are 0.115, 0.135, and

0.160°C/decade, respectively. The 5<sup>th</sup> and 95<sup>th</sup> percentile values of AAWR from CRU-HadCRUT are 0.097 and 0.195°C/decade.



**Figure 6.** AAWR from the EM-GC and CMIP6 multi-model ensemble for 1975-2014. Four-Five temperature data sets and five ocean heat content records are used to compare values of AAWR computed from the EM-GC. The box represents the 25<sup>th</sup>, 50<sup>th</sup>, and 75<sup>th</sup> percentiles, the whiskers denote the 5<sup>th</sup> and 95<sup>th</sup> percentiles, and the stars show the minimum and maximum values of AAWR from the EM-GC based upon the aerosol weighting method described in Sect. 2.5. The red box labeled “CMIP6” shows the 25<sup>th</sup>, 50<sup>th</sup>, and 75<sup>th</sup> percentiles, the whiskers represent the 5<sup>th</sup> and 95<sup>th</sup> percentiles, and the stars denote the minimum and maximum values of AAWR from the 50 member CMIP6 multi-model ensemble.

660        The box and whisker symbol labeled CMIP6 in Fig. 6 shows the 5<sup>th</sup>, 25<sup>th</sup>, 50<sup>th</sup>, 75<sup>th</sup>, and 95<sup>th</sup> percentiles of AAWR calculated from 50 GCMs, also from 1975-2014, as described in Sect. 2.3. The stars denote the minimum and maximum values of AAWR from the GCMs. Two CMIP6 models exhibit values of AAWR similar to the median values we infer from the CRU-HadCRUT, BEG, GISS-GISTEMP, and NCEI-NOAAGT, and CW14 data records using the EM-GC, such-as-in-particular INM-CM5-0 (Volodin and Gritsun, 2018) at-yields 0.147°C/decade and MIROC6 (Tatebe et al., 2019) at-results in 0.156157°C/decade (Table S3 provides values of AAWR for the-all individual CMIP6 GCMs). The median value of AAWR from the CMIP6 multi-model ensemble is 0.217221°C/decade, about 60% larger than the 50<sup>th</sup> percentile value of AAWR found using the CRU-HadCRUT data set for GMST noted above. The 5<sup>th</sup>, 25<sup>th</sup>, 75<sup>th</sup>, and 95<sup>th</sup> percentiles of AAWR from the CMIP6 multi-model ensemble are 0.150151, 0.191192, 0.242245, and 0.294299°C/decade, respectively. Some CMIP6 GCMs exhibit values of AAWR

670

that are almost 0.1°C/decade larger than our largest empirical estimates for 1975-2014; the maximum value of AAWR from the GCMs is 0.349-354 °C/decade. The maximum value of AAWR based off the historical climate record using the EM-GC is 0.257°C/decade (~~NCEI~~NOAAAGT data set using the Cheng OHC record and a time series for RF due to tropospheric aerosols consistent with AER RF<sub>2011</sub> equal to 675 -1.6 W m<sup>-2</sup>). The 95<sup>th</sup> percentiles of all EM-GC based values of AAWR in Fig. 6 are below the 75<sup>th</sup> percentile of AAWR from the CMIP6 multi-model ensemble of 0.242245°C/decade, supporting the notion that CMIP6 GCMs tend to exhibit a faster rate of anthropogenic warming over the past four decades than the actual atmosphere.

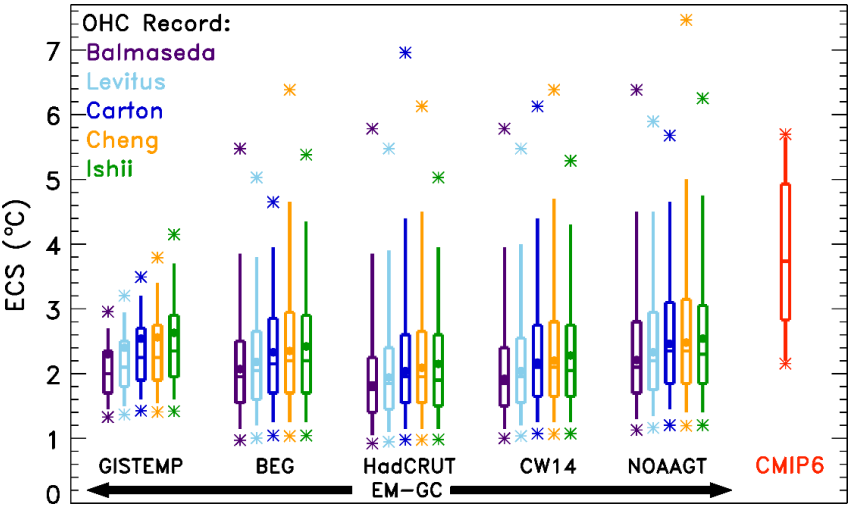
Our determination that the rate of global warming from the CMIP6 multi-model ensemble over 680 the time period 1975-2014 significantly exceeds the rise in GMST attributed to human activity is aligned with a similar finding highlighted in Figure 11.25b of chapter 11 of the IPCC 2013 report that CMIP5 models tend to warm too quickly compared to the actual climate system over the time period 1975-2014 (Kirtman et al., 2013). The values of AAWR from the CMIP6 multi-model ensemble from our analysis present a similar finding as Tokarska et al. (2020), that some of the CMIP6 models over estimate recent 685 warming trends, with Tokarska et al. (2020) examining the trend in the human component of GMST from 1981-2014. We arrive at a similar conclusion that CMIP6 models overestimate the rate of global warming for the 1982-2014 time period of AAWR as shown in Table S2. Our results, the finding by the IPCC 2013 report, and Tokarska et al. (2020) appear to be quite different than the conclusion of Hausfather et al. (2020) that past climate models have matched recent temperature observations quite well. The Hausfather 690 et al. (2020) study does not examine CMIP5 GCMs, let alone CMIP6 GCMs, and the last two rows of their Table 1 indicate that the skill of climate models forecasting the change in GMST over time decreased considerably between the Third Assessment Report (TAR) and the Fourth Assessment Report (AR4). The change in temperature over time for the TAR and AR4 only span 17 and 10 years, respectively (Hausfather et al., 2020). In Fig. 6, we examine the ability of the GCMs to simulate the rise in GMST attributed to 695 humans over a 40 year time period, which provides a better measure of how well the models simulate the observations than when using a shorter time period. The temperature change over time for the TAR and AR4 examined by Hausfather et al. (2020) ends in 2017, which was right after~~during~~ a very strong ENSO, so their analysis may be influenced by a-the 2015 to 2016 ~~major~~-ENSO event. In contrast, our analysis of

AAWR is not influenced by natural variability such as ENSO because we examine the human component of global warming after explicitly accounting for and removing the influence of ENSO on GMST. Consequently, our determination of AAWR from observations (Table S1) and GCMs (Table S2) depends only to a small extent on the specification of start year (for values ranging from 1970 to 1984) and end year (2004 to 2018). Our analysis shows that upon quantification of the human driver to global warming within both the data record and climate models, the CMIP6 GCMs warm faster than observed GMST over the past four decades, regardless of precise specification of start and end year.

### 3.2 ECS

Equilibrium climate sensitivity (ECS) is a metric often used to compare the sensitivity of warming among GCMs, as well as with warming inferred from the historical climate record. Figure 7 shows values of ECS inferred from the climate record using our EM-GC, ~~four~~five GMST data sets, and five OHC records (~~as indicated~~). As for AAWR, the largest variation in ECS is driven by uncertainty in AER RF<sub>2011</sub>. The colored circles represent the ECS values found using the IPCC 2013 best estimate of AER RF<sub>2011</sub> of  $-0.9 \text{ W m}^{-2}$  (Myhre et al., 2013). The ECS values found utilizing the EM-GC are displayed using a box and whisker symbol. The middle line represents the median values of ECS, and the box is bounded by the 25<sup>th</sup> and 75<sup>th</sup> percentiles. The whiskers connect to the 5<sup>th</sup> and 95<sup>th</sup> percentiles, and the stars denote the minimum and maximum values. We use the aerosol weighting method described in Sect. 2.5 to calculate the percentiles for ECS; values of ECS found without aerosol weighting are shown in Fig. S714. Varying the choice of GMST data record has a slight effect on the value of ECS, whereas the choice of OHC record has a larger effect, as indicated by the various heights of the box and whiskers and the maximum values of ECS. In the EM-GC framework, the ocean heat export term ( $Q_{\text{OCEAN}}$ ) represents disequilibrium in the climate system. We compute values of  $Q_{\text{OCEAN}}$  from various records of OHC. If the current value of  $Q_{\text{OCEAN}}$  is as large as suggested by the Cheng ~~et al.~~ (2017) and Ishii et al. (2017) OHC records, then Earth's climate will exhibit a larger rise in GMST to reach equilibrium than if the value of  $Q_{\text{OCEAN}}$  inferred from the OHC record of Balmaseda et al. (2013) is correct. The averages of the 25<sup>th</sup>, 50<sup>th</sup>, and 75<sup>th</sup> percentiles of ECS found using the ~~CRU~~HadCRUT data set for GMST are 1.49, 1.85, and 2.50°C,

respectively. The average best estimate of ECS using the HadCRUT data set and an AER RF<sub>2011</sub> value of  $-0.9 \text{ W m}^{-2}$  is  $2.01^\circ\text{C}$ .

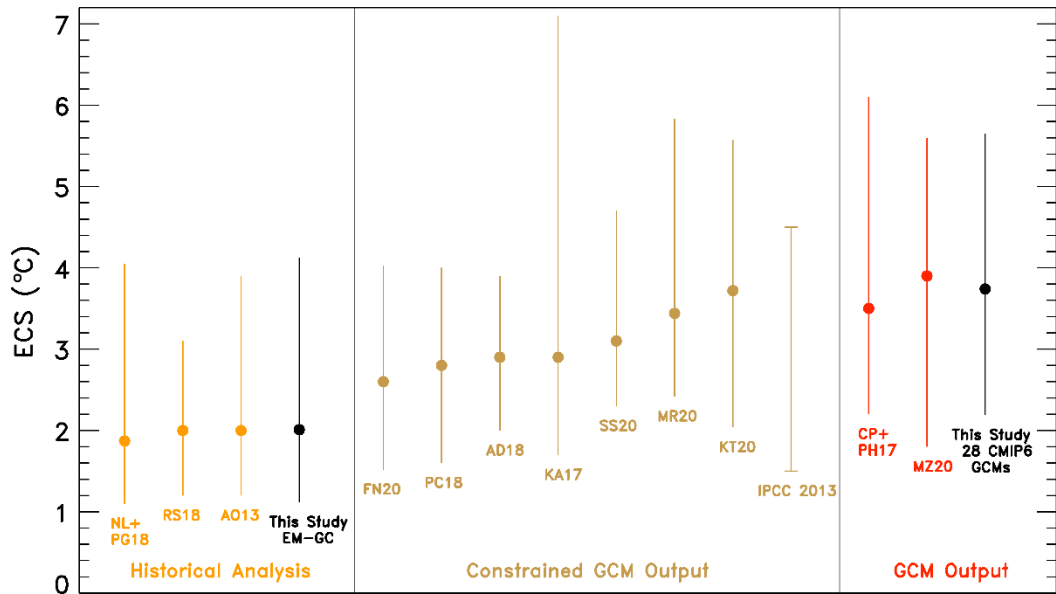


**Figure 7.** ECS from the EM-GC and the CMIP6 multi-model ensemble. ~~Four~~Five GMST data sets and five ocean heat content records are used to compare values of ECS computed from the EM-GC. The box represents the 25<sup>th</sup>, 50<sup>th</sup>, and 75<sup>th</sup> percentiles, the whiskers denote the 5<sup>th</sup> and 95<sup>th</sup> percentiles, and the stars indicate the minimum and maximum values of ECS using the EM-GC based upon the weighting method described in Sect. 2.5. The circles denote the value of ECS associated with the best estimate of AER RF<sub>2011</sub> of  $-0.9 \text{ W m}^{-2}$ . The red box labeled “CMIP6” represents the 25<sup>th</sup>, 50<sup>th</sup>, and 75<sup>th</sup> percentiles, the whiskers denote the 5<sup>th</sup> and 95<sup>th</sup> percentiles, and the stars indicate the minimum and maximum values of ECS from the 28 member CMIP6 multi-model ensemble.

The box and whisker symbol labeled CMIP6 in Fig. 7 shows the 25<sup>th</sup>, 50<sup>th</sup>, 75<sup>th</sup>, and 5<sup>th</sup> and 95<sup>th</sup> percentiles of ECS calculated from output of 28 CMIP6 models, as described in Sect. 2.4. Minimum and maximum values are represented by the stars. The values of ECS from the CMIP6 multi-model ensemble are larger than the majority of values inferred from the climate record using the EM-GC. The height of the box for the CMIP6 multi-model ensemble estimate of ECS is larger than the height of the boxes for ECS inferred from the climate record using the EM-GC, indicating that the GCMs exhibit a wide range of ECS values. The 25<sup>th</sup> and 75<sup>th</sup> percentiles of ECS from the CMIP6 multi-model ensemble are  $2.84^\circ\text{C}$  and  $4.93^\circ\text{C}$ , respectively. The 5<sup>th</sup> percentile of ECS from the CMIP6 multi-model ensemble is  $2.19^\circ\text{C}$ , and the 95<sup>th</sup> percentile is  $5.65^\circ\text{C}$  (see Table S4 for ECS values for specific models). In contrast, the average 5<sup>th</sup> and 95<sup>th</sup> percentiles from the EM-GC are  $1.12^\circ\text{C}$  and  $4.12^\circ\text{C}$ , respectively. The median value of ECS



from the CMIP6 multi-model ensemble is 3.74°C, more than double the median value of 1.85°C found using the [CRU-HadCRUT](#) temperature record.



**Figure 8.** Values of ECS from the EM-GC (black), our analysis of the CMIP6 multi-model ensemble (black), and 13 other studies grouped by type of analysis. The studies are listed by lead author (first initial of their first name and first initial of their last name) and the year of publication, unless there are only two authors, in which case initials of both authors are listed. Historical analysis includes Lewis and Grünwald (2018) NL+PG18, Otto et al. (2013) AO13, and Skeie et al. (2018) RS18. Constrained GCM output includes Armour (2017) KA17, Cox et al. (2018) PC18, Dessler et al. (2018) AD18, Nijse et al. (2020) FN20, Rugenstein et al. (2020) MR20, Sherwood et al. (2020) SS20, Stocker et al. (2013) IPCC 2013, and Tokarska et al. (2020) KT20. GCM output includes Proistosescu and Huybers (2017) CP+PH17 and Zelinka et al. (2020) MZ20. Values of ECS from the EM-GC (dark blue), CMIP6 multi-model ensemble (red), and six other studies (Lewis and Grunwald (2018) (gold), Skeie et al. (2018) (green), Otto et al. (2013) (light blue), Nijse et al. (2020) (orange), Sherwood et al. (2020) (tan), IPCC 2013 (pink). The circle represents the median value and the whiskers are the 5<sup>th</sup> and 95<sup>th</sup> percentiles, except for IPCC 2013. The whiskers for IPCC 2013 represent the likely range, or 17<sup>th</sup> and 83<sup>rd</sup> percentiles.

We tested our approach of calculating ECS utilizing the EM-GC to CMIP6 GCMs by altering the EM-GC framework to include CMIP6 output (see the supplement for details). Our results in Fig. S13 show the validity of our approach. We obtain similar values of ECS for the CMIP6 GCMs using the EM-GC framework as the Gregory method. The EM-GC method is insensitive to which OHC record is used, as indicated in Fig. S13a and S13b.



745 Figure 8 summarizes values of ECS found utilizing the analysis of the century and a half long climate record using our EM-GC, our examination of a 28 member CMIP6 GCM ensemble, and ~~a few~~ 13 other recent studies. The studies are divided into three categories: those that estimated ECS based on observations (Historical Analysis), others that used GCM output but constrained the output in some way (Constrained GCM Output), and studies that examined raw GCM output (GCM Output). We obtain a ~~Our~~ best estimate for ECS of 2.01°C using the HadCRUT data record and a value of AER RF<sub>2011</sub> = -0.9 W m<sup>-2</sup> of with a range of ECS using the EM-GC and CRU data record is 2.01°C, with a range of 1.12-4.12°C (5<sup>th</sup> and 95<sup>th</sup> percent confidence interval). ~~Our~~ This estimate of ECS largely falls within the range provided by IPCC 2013 of 1.5°C to 4.5°C for ECS, ~~and Our best estimate and range of ECS~~ is supported by three other derivations of ECS from the empirical climate record: 2.0°C (range of 1.2-3.9°C) given by Otto et al. (2013), 1.87°C (range of 1.1-4.05°C) given by Lewis and Grünwald (2018), and 2.0°C (range of 1.2-3.1°C) given by Skeie et al. (2018) (all range values are for the 5<sup>th</sup> and 95<sup>th</sup> percent confidence interval). Our estimate of ECS covers the same range of values given by Cox et al. (2018), Dessler et al. (2018), and Nijse et al. (2020), as illustrated in Fig. 8. Our determination of ECS from the CMIP6 GCMs resembles that from Proistosescu and Huybers (2017) and Zelinka et al. (2020) as indicated in the GCM Output category of Fig. 8.

Recent studies have shown that the CMIP6 multi-model ensemble exhibits higher values of ECS than the CMIP5 models because of larger, positive cloud feedbacks within the latest models (Gettelman et al., 2019; Meehl et al., 2020; Sherwood et al., 2020; Zelinka et al., 2020). The IPCC 2013 report gives a likely range of 1.5°C to 4.5°C for ECS (Stocker et al., 2013), ~~yet and~~ some of the CMIP6 GCMs analyzed in this study have values of ECS more than 1°C above this range. However, some in the climate community seem to currently doubt whether the very large values of ECS are representative of the real world (Forster et al., 2020; Lewis and Curry, 2018; Tokarska et al., 2020). Gettelman et al. (2019) found that the newest version of the Community Earth System Model (CESM2) has a higher value of ECS than CESM1 (5.3°C ~~K~~ versus 4.0°C ~~K~~) and urge the climate community to work together to determine the plausibility of such high values of ECS. Zhu et al. (2020) found that the high values of ECS in CESM2 and other GCMs is not supported by the paleoclimate record and are biased too warm. An analysis by Nijse et al. (2020) obtains a median value of ECS from the CMIP6 multi-model ensemble of 2.6°C and

range of 1.52-4.03°C (5<sup>th</sup> and 95<sup>th</sup> percentiles) coupled to a two-box energy balance model and the ~~actual~~ climate record. Similarly, Sherwood et al. (2020) conclude cooling during the Last Glacial Maximum provides strong evidence against ECS being greater than 4.5°C ~~K~~ and conclude ECS lies within the range of 2.3 to 4.7°C at the 5<sup>th</sup> to 95<sup>th</sup> percent confidence intervals.

We obtain a wide range of ECS values from our EM-GC simulations of the climate record due to consideration of the uncertainty in the radiative forcing of climate due to tropospheric aerosols (Figs. 5c and 78). ~~Ninety-five percent of the estimates of ECS for the five different OHC records based off the EM-GC are below the 75<sup>th</sup> percentile of ECS of 4.93°C from the CMIP6 multi-model ensemble.~~ However, under one circumstance, we find values of ECS using the EM-GC that are similar to the maximum value of ECS from the CMIP6 multi-model ensemble. Our very large estimate of ECS occurs if we assume that anthropogenic aerosols have exhibited very strong cooling and offset a large amount of greenhouse gas warming, such that the observed GMST record can only be well simulated under the condition of large climate feedback (i.e., values of  $\lambda_{\Sigma}$  in Eq. (3) greater or equal to 2.5 W m<sup>-2</sup> °C<sup>-1</sup>). If aerosols have truly strongly cooled the climate, offsetting the vast majority of the rise in RF due to greenhouse gases as suggested by Shen et al. (2020), ~~then~~ the actual value of ECS may lie close to 5°C or larger. Under the more likely scenario that aerosols have not cooled this strongly as suggested by Bond et al. (2013), then it is more feasible that ECS lies well below 5°C. The highest values of ECS found using our analysis (red portion of Fig 5c) are assigned low weights due to the assessment by Myhre et al. (2013) that the large AER RF<sub>2011</sub> associated with these ECS values is unlikely.

Four empirical determinations of ECS (our study plus Lewis and Grünwald (2018), Otto et al. (2013), and Skeie et al. (2018)) and the CMIP6 ~~based-constrained~~ estimates of Cox et al. (2018), Dessler et al. (2018), and Nijssse et al. (2020) ~~Nijssse et al. (2020)~~ are in slight contrast with the 2.3-4.7°C range for ECS (5<sup>th</sup> and 95<sup>th</sup> confidence interval) published recently by Sherwood et al. (2020) (Fig 8). As noted above, Sherwood et al. (2020) use paleoclimate data to rule out the high range of ECS. They rely on a determination that the feedback due to clouds is moderately to strongly positive to rule out the low range of ECS found by our analysis and the ~~four~~ studies noted above. We caution that knowledge of the cloud feedback from observations is generally limited to databases such as the International Satellite Cloud Climatology Project (ISCCP) (Schiffer and Rossow, 1983) and Pathfinder Atmospheres Extended

(PATMOS-x) (Foster and Heidinger, 2013) that, while monumental in terms of complexity and scope, cover only a fairly short (i.e., about 36 years) part of the century and a half climate record (Klein et al., 2017; Sherwood et al., 2020). Most assessments of total cloud feedback rely on some combination of observations such as ISCCP, PATMOS-x, or other satellite records together with the results of regression analysis, GCM projections, and large eddy simulations that are able to resolve some of the convective processes involved in cloud formation (Klein et al., 2017; Sherwood et al., 2020). The most important component of the global cloud feedback is tropical low clouds, which Sherwood et al. (2020) consider to exert a positive feedback on climate based largely on the results of Klein et al. (2017). The determination by Klein et al. (2017) of a likely positive feedback for tropical low altitude clouds is based on the mean and standard deviation of the central value of this feedback determined by five studies, even though four of these studies exhibit uncertainties that encompass zero feedback and the fifth nearly reaches zero (their Fig. 3). This fact, combined with the recent study by Weaver et al. (2020) who report no long term statistically significant trend in global cloud reflectivity at 340 nm averaged between 45° S and 45° N based on analysis of data collected by a variety of NOAA and NASA satellite instruments, causes us to suggest the true value of ECS may lie below the 2.3°C lower limit (~~5<sup>th</sup> percent confidence interval~~) given by Sherwood et al. (2020).

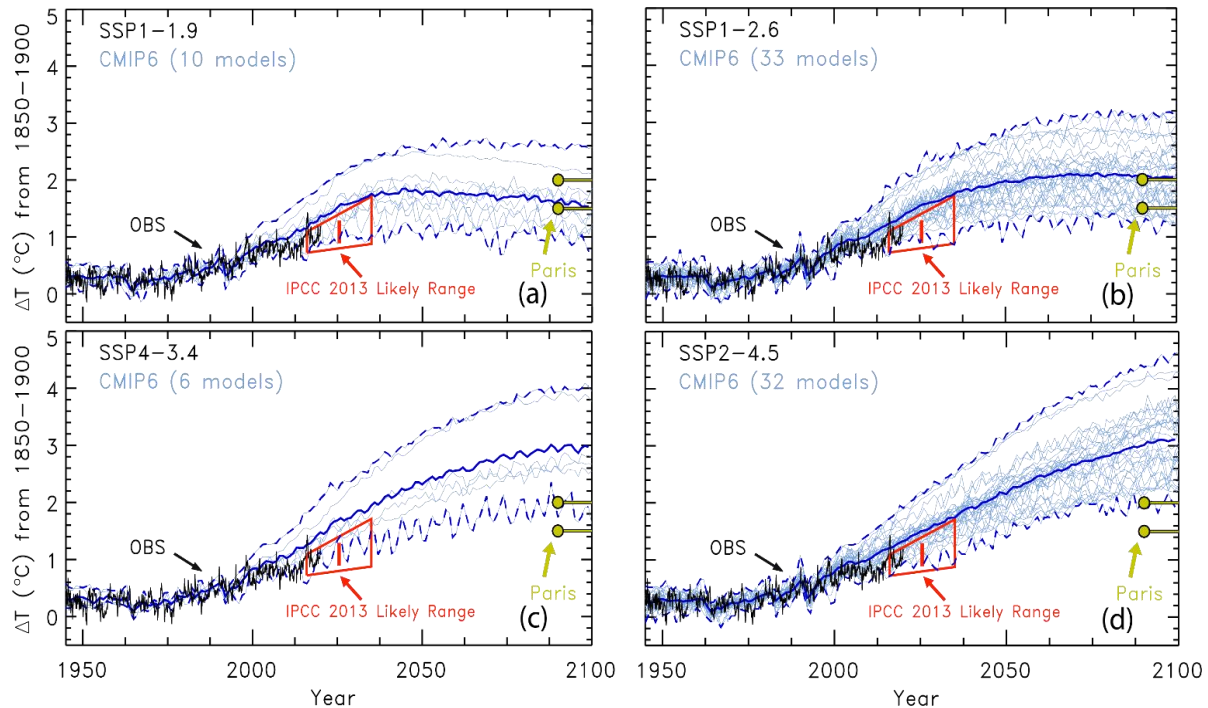
In our model framework, the largest uncertainty in ECS is driven by imprecise knowledge of the radiative forcing of climate by tropospheric aerosols. As shown in Fig. 5c, a wide range of ECS values can be inferred from the century and a half long climate record. We stress that each value of ECS shown in Fig. 5c is based upon a simulation for which  $\chi^2_{\text{ATM}}$ ,  $\chi^2_{\text{RECENT}}$ , and  $\chi^2_{\text{OCEAN}}$  are all less than or equal to 2. Better knowledge of AER RF for the contemporary atmosphere would lead to a reduction in the uncertainty of ECS. Numerous studies of the climate record, including our century and a half simulations, infer the possibility of lower values of ECS than was given by a recent analysis of studies that involve examination of data from compendiums such as ISCCP and PATMOS-x (Sherwood et al., 2020). However, Sherwood et al. (2020) did not examine consistency of the inferred value of ECS with the ability of models to accurately simulate the GMST anomaly between 1850 and present.

### 3.3 Future projections

### 3.3.1 CMIP6

830 The CMIP6 multi-model archive provides future projections of the GMST anomaly relative to pre-industrial ( $\Delta T$ ) using the ScenarioMIP Shared Socioeconomic Pathways (SSPs). Figure 9 shows the CMIP6 multi-model ensemble projections of  $\Delta T$  for the four SSPs (SSP1-1.9, SSP1-2.6, SSP4-3.4, and SSP2-4.5) highlighted in our analysis. Each SSP scenario has varying amounts of gridded, monthly mean TAS projections submitted to the CMIP6 archive by GCMs (indicated on each plot). Global, monthly  $\Delta T$  835 was created by averaging the TAS output over the globe with a cosine latitude weighting. The global, monthly  $\Delta T$  time series for all of the runs for each CMIP6 GCM were averaged together to obtain one time series of  $\Delta T$ . The varying amount of GCM output available for each SSP scenario is due to the fact that: a) SSP1-2.6 and SSP2-4.5 are Tier 1 scenarios (O'Neill et al., 2016) and are designated as priority over the other SSPs (as described in Sect. 2.2.2), and b) not all GCMs have provided results to the CMIP6 840 archive at the time of the analysis. More CMIP6 multi-model output will likely become available as modeling groups who have not submitted output to the CMIP6 archive finalize their results. However, we do not expect additional GCM simulations will affect our conclusions unless the GCM output is significantly different than that currently available.

The red trapezoid in Fig. 9 labeled as the IPCC 2013 likely range is the same trapezoid as that 845 displayed on Figure 11.25b from chapter 11 of the IPCC 2013 report (Kirtman et al., 2013). All of the recent observations of  $\Delta T$  from [CRU-HadCRUT](#) lie within the likely range of warming designated by this trapezoid. Many of the projections of the rise in  $\Delta T$  from the CMIP6 multi-model ensemble lie above the IPCC 2013 likely range of warming. The Paris Agreement target of 1.5°C and upper limit of 2.0°C are shown as yellow circles, included to allow for comparison of the future projections of  $\Delta T$  from the CMIP6 850 multi-model ensemble with the goals of the agreement. The thick blue line on each plot is the CMIP6 multi-model mean of  $\Delta T$ , and the dashed blue lines are the minimum and maximum  $\Delta T$  projections from the CMIP6 multi-model ensemble. For SSP1-1.9, the multi-model mean projection of  $\Delta T$  in 2100 from the CMIP6 GCMs lies just above the Paris Agreement target at 1.6°C, whereas for SSP1-2.6 the CMIP6 multi-model mean reaches the Paris Agreement upper limit of 2.0°C at the end of this century. For both 855 SSP4-3.4 and SSP2-4.5, the end of century CMIP6 multi-model mean lies above the Paris Agreement upper limit at 3.0°C and 3.1°C, respectively.

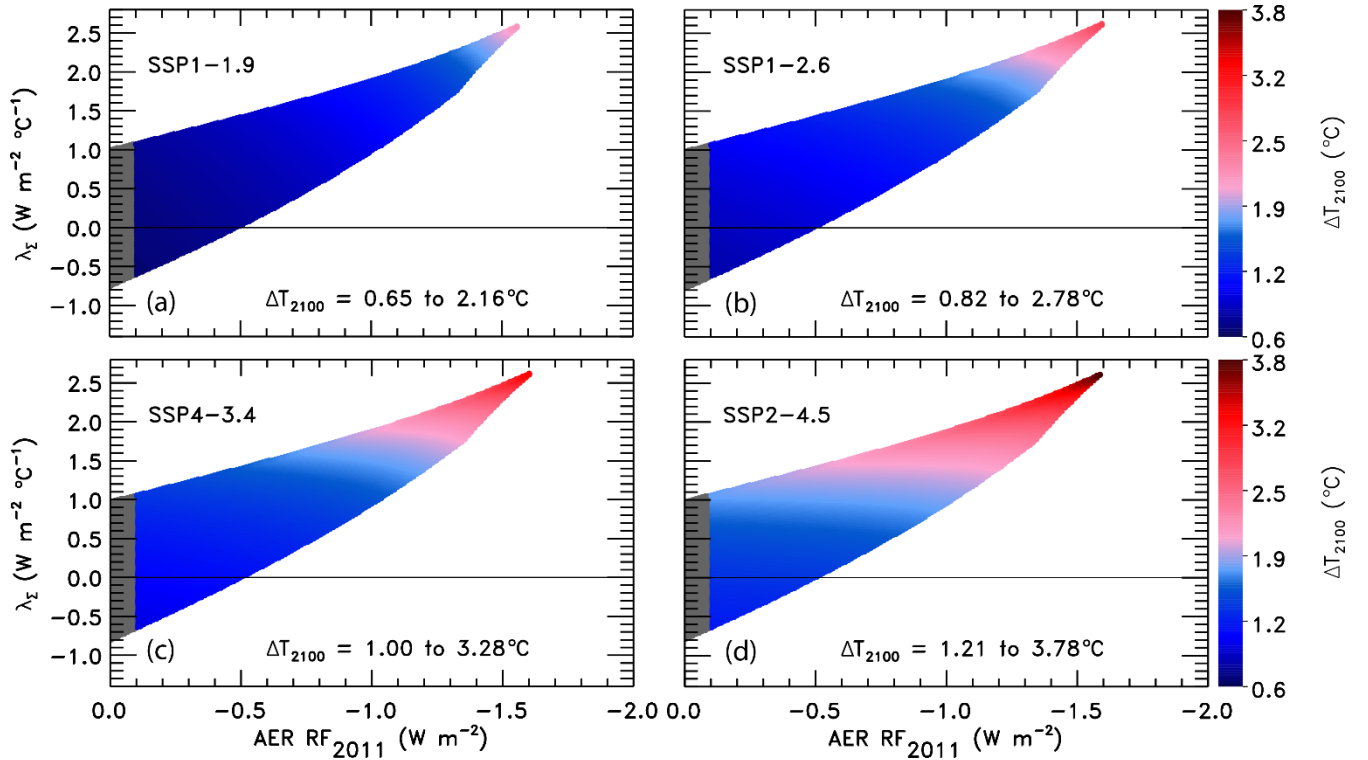


**Figure 9.** Historical simulations and future projections of GMST from the CMIP6 multi-model ensemble for several SSP scenarios. (a) GCM simulations from the Historical experiment, and future model projections from SSP1-1.9. Observations (black) are from [CRU-HadCRUT](#) to the end of 2019. The IPCC 2013 likely range of warming (red) is from Figure 12.11.25b from chapter 11 of the IPCC 2013 report. The CMIP6 multi-model mean (thick, blue) and minimum and maximum (dashed, blue) lines are shown. The Paris Agreement target of 1.5°C and upper limit (yellow) of 2.0°C are included to demonstrate how the GCM ~~model~~ projections compare. (b) Future GMST projections from SSP1-2.6. (c) Future GMST projections from SSP4-3.4. (d) Future GMST projections from SSP2-4.5.

Figure 9 illustrates there is a ~~bifurcation~~ bimodality of CMIP6 multi-model projections of  $\Delta T$ , with a few GCMs having future values of  $\Delta T$  that are considerably higher than others. This divergence for GCM projections of  $\Delta T$  is especially evident in Fig. 9a, c, and d. The two CMIP6 GCMs that have the highest values of  $\Delta T$  across the four SSPs are CanESM5 (Swart et al., 2019) and UKESM1 (Sellar et al., 2020). The CanESM5 and UKESM1 GCMs have the highest values of AAWR (0.~~349~~354°C/decade and 0.~~294~~299°C/decade, respectively), large values of ECS (5.~~727~~70°C and 5.~~444~~40°C, respectively), and exceed observed  $\Delta T$  reported by [CRU-HadCRUT](#) for the past few decades (apparent in Fig. 9).

### 3.3.2 EM-GC

The EM-GC is also used to project future changes in  $\Delta T$  using the SSPs. Figure 10 shows the GMST anomaly in 2100 from pre-industrial ( $\Delta T_{2100}$ ) as a function of the climate feedback parameter and AER RF<sub>2011</sub>, for the four SSPs highlighted throughout. Only model runs from the EM-GC that achieved a good fit to the climate record ( $\chi^2_{\text{ATM}} \leq 2$ ,  $\chi^2_{\text{RECENT}} \leq 2$ ,  $\chi^2_{\text{OCEAN}} \leq 2$ ) are shown. The EM-GC runs that satisfy these three  $\chi^2$  constraints but fall outside of the IPCC 2013 range for AER RF<sub>2011</sub> (Myhre et al., 2013) are shaded grey (left hand side of each panel). We do not consider the EM-GC projections that lie outside of the IPCC 2013 range for AER RF<sub>2011</sub> in our projections of  $\Delta T$ , yet these results are shown to illustrate that the EM-GC can fit the climate record with estimates of the RF due to tropospheric aerosols that lie below (i.e., less cooling) of the 5<sup>th</sup> and 95<sup>th</sup>-confidence interval of  $-0.1$  to  $-1.9$   $\text{W m}^{-2}$  for AER RF<sub>2011</sub> given by IPCC 2013. We cannot establish any good fits of the climate record for AER RF<sub>2011</sub> with a



**Figure 10.**  $\Delta T_{2100}$  as a function of climate feedback parameter and tropospheric aerosol radiative forcing in 2011 using the EM-GC. (a) Future GMST change for SSP1-1.9. The region outside of the AER RF<sub>2011</sub> range provided by IPCC 2013 is shaded (grey). Colors denote the GMST change in year 2100 relative to pre-industrial. The color bar is the same across all four panels for comparison. (b) GMST anomaly for SSP1-2.6. (c) Future temperature change for SSP4-3.4. (d) GMST anomaly for SSP2-4.5.



cooling stronger than about  $-1.6 \text{ W m}^{-2}$ . The range of  $\Delta T_{2100}$  we compute using the EM-GC for SSP1-1.9, SSP1-2.6, SSP4-3.4, and SSP2-4.5 are 0.65-2.16°C, 0.82-2.78°C, 1.00-3.28°C, and 1.21-3.78°C, respectively. Results for SSP4-6.0, SSP3-7.0, and SSP5-8.5 are shown in Fig. [S8S15](#):  $\Delta T_{2100}$  ranges are 1.41-4.47°C, 1.84-5.56°C, and 2.13-6.75°C for these three scenarios.

880 The large range of  $\Delta T_{2100}$  found for any given SSP scenario (i.e., a factor of 3.1 difference between the smallest and largest end of century warming for SSP2-4.5) is caused by the fact that the climate record can be fit nearly equally well by a considerably large combination of the climate feedback parameter (our  $\lambda_{\Sigma}$ ) and scenarios for radiative forcing due to tropospheric aerosols. The more aerosols have cooled, offsetting the relatively well-known warming due to GHGs, the larger  $\lambda_{\Sigma}$  must be to fit the climate record.

885 Since the RF of aerosols ~~will~~is set to diminish in the future due largely to public health concerns (Lelieveld et al., 2015; Shindell et al., 2016; Smith and Bond, 2014), the part of our model ensemble requiring relatively large values of  $\lambda_{\Sigma}$  to achieve a good fit to the climate record will result in higher values of  $\Delta T_{2100}$  than other members of our model ensemble with small values of  $\lambda_{\Sigma}$ . Most GCMs sample only a small portion of the possible combinations of  $\lambda_{\Sigma}$  and AER RF<sub>2011</sub> shown in Figs. [44-10](#) and S8.

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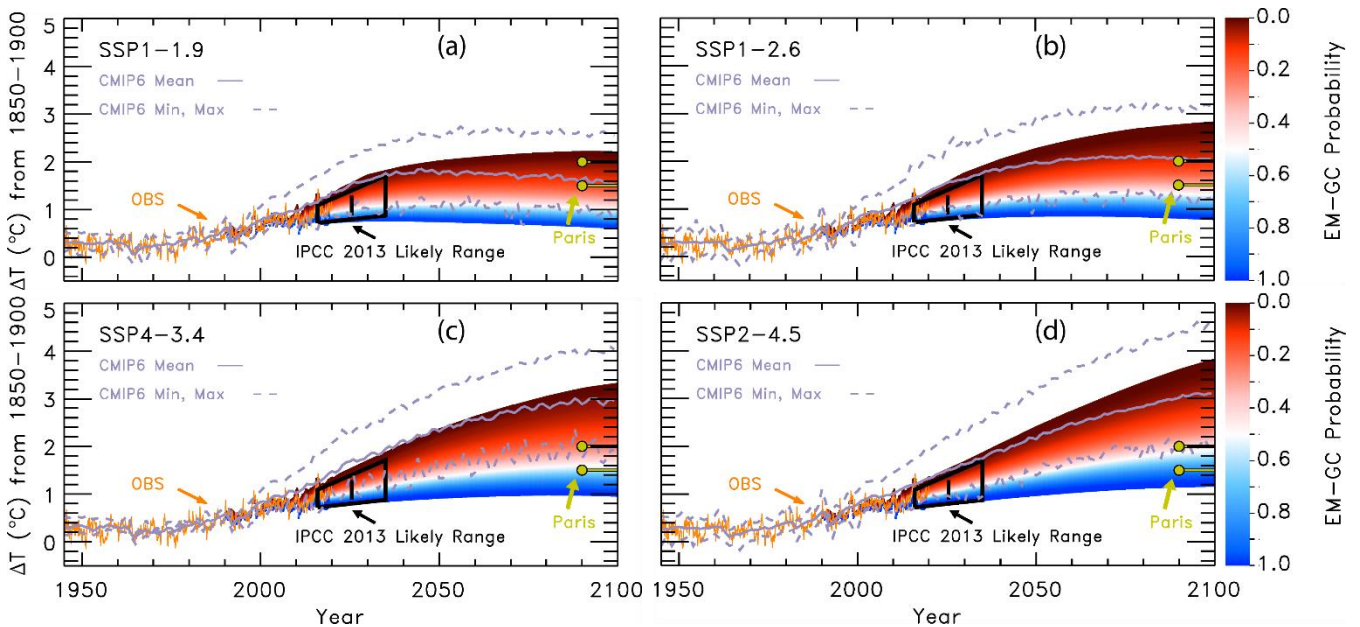
### 3.3.3 Comparing CMIP6 and EM-GC

Time series of future projections of  $\Delta T$  from the EM-GC can be illustrated as probabilistic forecasts. Figure 11 shows the change in future  $\Delta T$  for SSP1-1.9, SSP1-2.6, SSP4-3.4, and SSP2-4.5 colored by the probability of reaching at least that rise in  $\Delta T$  by the end of the century. The EM-GC probabilities are

895 computed from ensemble members for model runs constrained by the [CRU-HadCRUT](#) data records for GMST and the average of 5 OHC data records (Fig. [S4S8](#)) based upon the aerosol weighting method, described in Sect. 2.5. The trapezoid from chapter 11 of IPCC 2013 (Kirtman et al., 2013) is shown on Fig. 11 in black to highlight that the EM-GC projections of the future rise in  $\Delta T$  lie within the IPCC 2013 likely range of warming. The Paris Agreement target and upper limit are included to compare the EM-GC

900 projections of future  $\Delta T$  to the Paris Agreement goals. The white shaded region is the EM-GC's median estimate of future  $\Delta T$  for each SSP scenario. The median estimate for  $\Delta T_{2100}$  for simulations using SSP1-1.9 and SSP1-2.6 falls below the Paris Agreement target at 1.0°C and 1.3°C, respectively. The median

estimate of  $\Delta T_{2100}$  from the EM-GC for SSP4-3.4 is between the Paris Agreement target and upper limit at 1.6°C. For SSP2-4.5 the median estimate of  $\Delta T_{2100}$  is just below the Paris Agreement upper limit at 1.9°C. The CMIP6 minimum, multi-model mean, and maximum projections of  $\Delta T$ , identical to those in Fig. 9, are also shown in Fig. 11. The CMIP6 minimum projection of the rise in  $\Delta T$  falls near the EM-GC median estimate of  $\Delta T$  for each SSP scenario. The CMIP6 multi-model mean value of the future change in  $\Delta T$  falls below the EM-GC maximum value of  $\Delta T$ , while the CMIP6 maximum value is far above the maximum projections of the future rise in  $\Delta T$  using the EM-GC. Results for SSP4-6.0, SSP3-7.0, and SSP5-8.5 are provided in Fig. [S9S16](#).



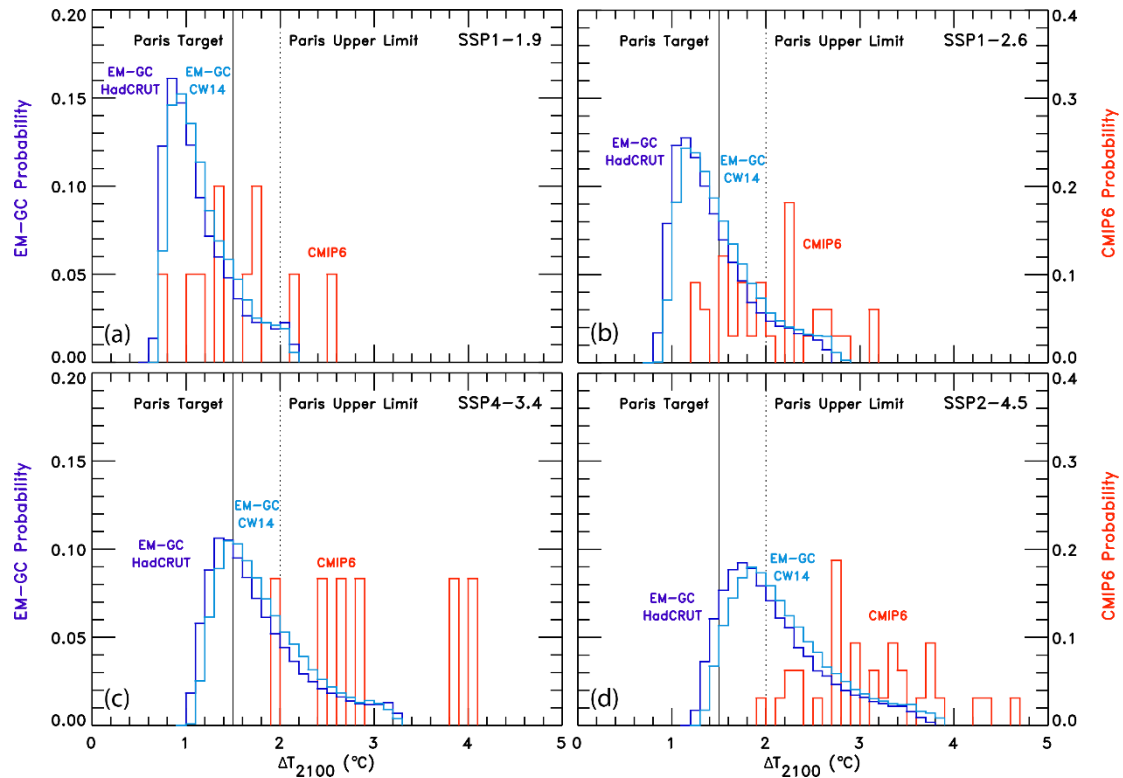
**Figure 11.** Probabilistic forecasts of the future rise in  $\Delta T$  from the EM-GC for several SSPs. (a) Future projections of  $\Delta T$  for SSP1-1.9. Observations (orange) are from [CRUHadCRUT](#). The IPCC 2013 likely range of warming (black) is from Figure [4211.25b](#) of chapter 11 of IPCC 2013. The Paris Agreement target and upper limit (yellow) are shown for comparison to EM-GC projections. The CMIP6 minimum, multi-model mean, and maximum values of  $\Delta T$  are shown to compare to EM-GC projections. Colors denote the probability of reaching at least that temperature by the end of the century. (b) Future projections of  $\Delta T$  for SSP1-2.6. (c) Future projections of  $\Delta T$  for SSP4-3.4. (d) Future projections of  $\Delta T$  for SSP2-4.5.

Figure 12 compares probability distribution functions (PDFs) for the projection of  $\Delta T_{2100}$  ~~for~~ utilizing the EM-GC with the HadCRUT GMST record and average of the five OHC data set and the CW14 GMST record combined with the Cheng 2017 OHC record, and the CMIP6 multi-model ensemble. The CW14 PDF is shown to illustrate the slight sensitivity of our projections of  $\Delta T_{2100}$  to the choice of



915 GMST and OHC records. For the CMIP6 multi-model results, we compute the probabilities of achieving the Paris Agreement target of 1.5°C and upper limit of 2.0°C (by-at the end of the century) by calculating how many of the GCMs participating in each scenario have projections of  $\Delta T_{2100}$  below the target or upper limit. In contrast, the probabilities for the projections of  $\Delta T_{2100}$  using our EM-GC are computed using the aerosol weighting method, described in Sect. 2.5. The height of each histogram represents the probability that a particular range of  $\Delta T_{2100}$ , defined by the width of each line segment, will occur. The left-hand y-axis displays the probability of  $\Delta T_{2100}$  using the EM-GC, while the right-hand y-axis represents the probability of  $\Delta T_{2100}$  using the CMIP6 multi-model simulations. The values on the CMIP6 multi-model ensemble y-axis are double the values on the EM-GC y-axis, for visual comparison. The

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**Figure 12.** Probability density functions (PDF) for  $\Delta T_{2100}$  found using the EM-GC with the HadCRUT temperature record (dark blue), the EM-GC with the CW14 temperature record (light blue), and CMIP6 multi-model results (red). (a) PDF for EM-GC (blue) results and CMIP6 multi-model (red) results for SSP1-1.9. The left-hand y-axis is for EM-GC probabilities and the righthand y-axis is for the CMIP6 multi-model ensemble probabilities. (b) PDF for SSP1-2.6. (c) PDF for SSP4-3.4. (d) PDF for SSP2-4.5.

solid black line denotes the Paris Agreement target and the dotted black line signifies the upper limit on each panel. The PDFs for SSP4-6.0, SSP3-7.0, and SSP5-8.5 are shown in Fig. [S10S17](#).

Numerical values of probabilities for staying at or below the Paris Agreement target or upper limit for all seven SSP scenarios are given in Table 1. [Results utilizing the EM-GC and HadCRUT GMST combined with the average OHC are shown in the top half of the table, and probabilities using the CW14 GMST and Cheng 2017 OHC records are shown in the bottom half.](#) The CMIP6 multi-model projections exhibit lower probabilities of achieving the goals of the Paris Agreement than the projections using the EM-GC. In the creation of ScenarioMIP, SSP1-2.6 was designed to be a scenario that achieved the Paris Agreement goals and likely (greater than 66% probability (Stocker et al., 2013)) limited warming below 2.0°C, and was expected to produce a future rise in  $\Delta T_{2100}$  of 1.7°C (O'Neill et al., 2016). The CMIP6 multi-model probability of SSP1-2.6 to stay at or below 2.0°C is 51.5%, as shown in Table 1. Based on our analysis, the CMIP6 multi-model ensemble does not indicate SSP1-2.6 as being a 2.0°C pathway, because it will only provide about a 50:50 likelihood of limiting warming below 2.0°C.

**Table 1.** List of SSP scenarios analyzed in this study and the probabilities of achieving the Paris Agreement target or upper limit based on the EM-GC [using the HadCRUT4 GMST data set and average of the five OHC records](#) and the CMIP6 multi-model ensemble. [The second half of the table shows the probabilities of achieving the Paris Agreement target or upper limit based on the EM-GC using the CW14 GMST record and Cheng 2017 OHC data set.](#) The probabilities using the EM-GC are computed using the aerosol weighting method. The probabilities using the CMIP6 models are computed by calculating how many of the models for that scenario are below the temperature limits compared to the total number of models.

	<u>Probability of Staying at or</u>		<u>Probability of Staying at or</u>	
	<u>Below 1.5°C</u>		<u>Below 2.0°C</u>	
	<u>EM-GC</u>	<u>CMIP6</u>	<u>EM-GC</u>	<u>CMIP6</u>
<u>SSP1-1.9</u>	<u>84.1%</u>	<u>50.0%</u>	<u>96.7%</u>	<u>80.0%</u>
<u>SSP1-2.6</u>	<u>64.8%</u>	<u>15.2%</u>	<u>88.4%</u>	<u>51.5%</u>
<u>SSP4-3.4</u>	<u>37.6%</u>	<u>0.0%</u>	<u>74.0%</u>	<u>16.7%</u>
<u>SSP2-4.5</u>	<u>10.5%</u>	<u>0.0%</u>	<u>53.1%</u>	<u>3.1%</u>
<u>SSP4-6.0</u>	<u>0.6%</u>	<u>0.0%</u>	<u>26.6%</u>	<u>0.0%</u>
<u>SSP3-7.0</u>	<u>0.0%</u>	<u>0.0%</u>	<u>1.3%</u>	<u>0.0%</u>
<u>SSP5-8.5</u>	<u>0.0%</u>	<u>0.0%</u>	<u>0.0%</u>	<u>0.0%</u>

Using CW14 and Cheng OHC Record				
<u>SSP1-1.9</u>	<u>82.4%</u>		<u>97.5%</u>	
<u>SSP1-2.6</u>	<u>57.0%</u>		<u>85.5%</u>	
<u>SSP4-3.4</u>	<u>28.1%</u>		<u>69.6%</u>	
<u>SSP2-4.5</u>	<u>4.2%</u>		<u>43.2%</u>	
<u>SSP4-6.0</u>	<u>0.0%</u>		<u>17.4%</u>	
<u>SSP3-7.0</u>	<u>0.0%</u>		<u>0.0%</u>	
<u>SSP5-8.5</u>	<u>0.0%</u>		<u>0.0%</u>	

	Probability of staying at or below 1.5°C		Probability of staying at or below 2.0°C	
	EM-GC	CMIP6	EM-GC	CMIP6
<b>SSP1-1.9</b>	84.1%	50.0%	96.7%	80.0%
<b>SSP1-2.6</b>	64.8%	15.2%	88.4%	51.5%
<b>SSP4-3.4</b>	37.6%	0.0%	74.0%	16.7%
<b>SSP2-4.5</b>	10.5%	0.0%	53.1%	3.1%
<b>SSP4-6.0</b>	0.6%	0.0%	26.6%	0.0%
<b>SSP3-7.0</b>	0.0%	0.0%	1.3%	0.0%
<b>SSP5-8.5</b>	0.0%	0.0%	0.0%	0.0%

945 Projections of  $\Delta T_{2100}$  based on the EM-GC provide more optimism for achieving the Paris Agreement goals than the CMIP6 multi-model ensemble. The SSP1-1.9 scenario results in an 84.1% probability of  $\Delta T_{2100}$  staying at or below 1.5°C, while SSP1-2.6 gives a 64.8% likelihood of global warming staying at or below 1.5°C by end of century (Table 1). using the HadCRUT temperature record.

950 The probabilities decrease to 82.4% and 57.0% upon the use of the CW14 temperature record and OHC from Cheng 2017. The SSP1-1.9 scenario involves extreme climate mitigation that is unlikely to happen in the next few years with atmospheric CO<sub>2</sub> peaking close to present day values (Fig. 2a). The SSP1-2.6 scenario requires less climate mitigation than SSP1-1.9 (though still requires net negative emissions

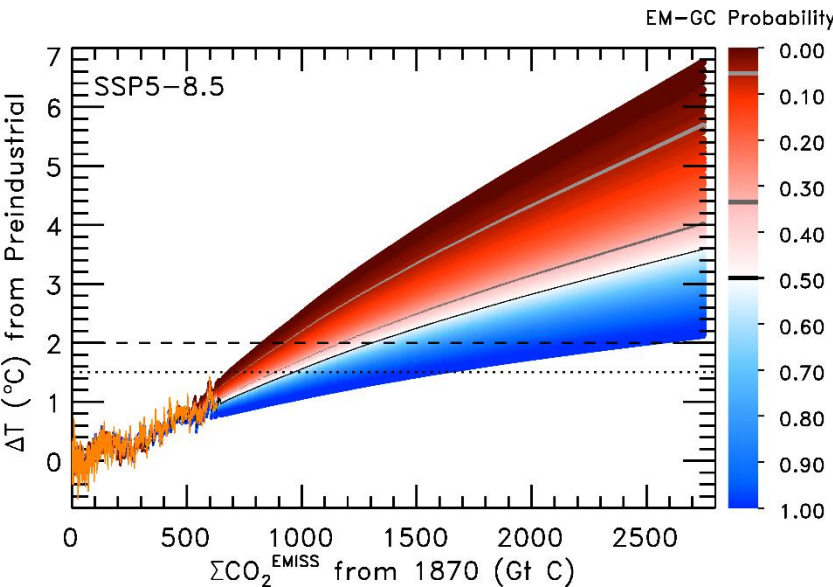
towards the end of the century) and provides greater than a 50% likelihood of staying at or below the Paris Agreement target, thus we designate SSP1-2.6 as the 1.5°C pathway in our model framework instead of SSP1-1.9. This result is supported by Tokarska et al. (2020), who show that the SSP1-2.6 scenario has a likely range of warming at 1.33-1.99°C above preindustrial by end of century, based upon filtering CMIP6 GCM output on the level of agreement with the observed climate record. Previous studies suggested that a 2.6 W m<sup>-2</sup> scenario was in line with the 2.0°C goal (Kriegler et al., 2014, 2015; O'Neill et al., 2016; Riahi et al., 2015). However, our analysis suggests the 2.6 W m<sup>-2</sup> scenario provides ~~about a~~ 66% between a 57-65% probability of achieving the more stringent 1.5°C target depending on the choice of GMST and OHC data records, and that a 3.4 W m<sup>-2</sup> scenario (i.e., SSP4-3.4) is in line with the 2.0°C goal and has about a 70-74% probability of limiting warming to 2.0°C (Table 1) depending on the choice of the same data records. We therefore designate SSP4-3.4 as the 2.0°C pathway. Significant climate mitigation efforts will be required to keep the growth of CO<sub>2</sub>, CH<sub>4</sub>, and N<sub>2</sub>O below the trajectories shown for SSP1-2.6 (1.5°C pathway in our model framework) and SSP4-3.4 (2.0°C pathway) (Fig. 2).

### 3.3.4 Transient climate response and carbon budgets

The transient climate response to cumulative emissions (TCRE) relates the rise in  $\Delta T$  to the cumulative amount of carbon released to the atmosphere by human activities. We illustrate TCRE from the EM-GC as probabilistic forecasts, as shown in Fig. 13, to analyze future projections of  $\Delta T$ . Figure 13 displays the GMST anomaly from pre-industrial versus the cumulative emissions of CO<sub>2</sub>, in Gt C, since 1870. The orange line represents observations of  $\Delta T$  from CRU-HadCRUT plotted against cumulative carbon emissions from the Global Carbon Budget project (Friedlingstein et al., 2019). The colors represent the probability that  $\Delta T$  will rise to the indicated level, considering only acceptable fits to the climate record, for the EM-GC ensemble run constrained to match GMST from CRU-HadCRUT and the mean OHC record from the 5-five OHC data records (Fig. S4S8.) and using the aerosol weighting method. The dotted and dashed horizontal lines are placed at  $\Delta T$  values of 1.5°C and 2.0°C, the target and upper limit of the Paris Agreement. The intersections of the light grey curve with the dotted horizontal line represent the 95% probability of the Paris Agreement target being achieved, and the intersections of the light grey curve

980 with the dashed horizontal line represent the 95% probability of the Paris Agreement upper limit being achieved. The intersection of these horizontal lines with the dark grey and the black curves are the 66% and 50% probabilities, respectively of the Paris Agreement target or upper limit being attained. The SSP5-8.5 scenario was used to calculate TCRE because this scenario has the highest cumulative carbon emissions needed to provide the most complete relation between  $\Delta T$  and future emissions.

985 Table 2 contains estimated carbon budgets from our analysis in the form of the total CO<sub>2</sub> emissions (Gt C) since 1870 that result in a 95%, 66%, and 50% probability of the future rise in  $\Delta T$  staying below



**Figure 13.** Transient climate response to cumulative CO<sub>2</sub> emissions for SSP5-8.5 using the EM-GC. Simulations of the rise in  $\Delta T$  versus cumulative CO<sub>2</sub> emissions in units of Gt C. The orange line is observations of  $\Delta T$  from [CRU–HadCRUT](#) plotted against cumulative carbon emissions from the Global Carbon Budget project (Friedlingstein et al., 2019). The dotted and dashed lines denote the Paris Agreement target and upper limit, respectively. The EM-GC projections represent the probability that the future value of  $\Delta T$  will rise to the indicated level, considering only acceptable fits to the climate record. The light grey, dark grey, and black curves denote the 95, 66, and 50% probabilities of either the Paris target (intersection of dotted horizontal lines) or upper limit (intersection of dashed lines with curves) being achieved.

the Paris Agreement target and upper limit and the future CO<sub>2</sub> emissions since 2019. [Examination of \(Friedlingstein et al., \(2014\); and Murphy et al., \(2014\) led to our determination that the uncertainty in estimates of atmospheric CO<sub>2</sub> from emissions driven runs of CMIP5 coupled atmospheric / carbon cycle models is about 10% \(1-sigma\). We therefore use 10% as the uncertainty in how atmospheric CO<sub>2</sub> will respond to the prescribed carbon emissions. We apply the 10% uncertainty estimate to the future](#)

remaining carbon budget. To obtain a 95% likelihood of limiting the rise in future  $\Delta T$  below 1.5°C, only 746  $\pm$  75 Gt C since 1870 can be released into the atmosphere. For a 66% likelihood, 906  $\pm$  91 Gt C can be released, while for a 50% probability 974  $\pm$  97 Gt C in total can be emitted. To have a 95% probability of  $\Delta T$  staying below the 2.0°C upper limit, 933  $\pm$  93 Gt C since 1870 can be released into the atmosphere. For a 66% likelihood of the rise in  $\Delta T$  staying below the upper limit, 1,203  $\pm$  120 Gt C can be emitted, whereas for a 50% likelihood 1,323  $\pm$  132 Gt C can be released. To place these numbers in their proper perspective, about 638  $\pm$  Gt C have been released from 1870 through the end of 2019 due to land use change, fossil fuel emissions, gas flaring, and cement production according to the Global Carbon Budget project (Friedlingstein et al., 2019). In our model framework, after 2019 society can therefore only emit another 108  $\pm$  75, 268  $\pm$  91, or 336  $\pm$  97 Gt C to have either a 95%, 66%, or 50% chance of limiting warming to 1.5°C. These future emissions estimates rise to 295  $\pm$  93, 565  $\pm$  120, and 685  $\pm$  132 Gt C to have a 95%, 66%, or 50% chance of limiting warming to 2.0°C.

**Table 2.** Total cumulative and future carbon emissions that will lead to crossing the Paris temperature thresholds based on the EM-GC. Estimates of  $\Sigma CO_2^{EMISSIONS}$  that would cause global warming to stay below indicated thresholds for 95%, 66%, and 50% probabilities. The values in the top half of the table are the estimates of total cumulative carbon emissions that will lead to crossing the Paris Agreement thresholds with the 10% uncertainty included. The values in the bottom half of the table are the estimates of future cumulative carbon emissions after 2019 that will lead to crossing the Paris Agreement thresholds, with the same 10% uncertainty. The range of years given represents when the Paris Agreement thresholds will be passed based upon the rate of emissions from SSP5-8.5 or continuing the current-2019 rate of emissions of 42-11.7 Gt C yr<sup>-1</sup>.

Total $\Sigma CO_2^{EMISSIONS}$ since 1870 from the EM-GC			
	95%	66%	50%
<u>1.5°C</u>	<u>746 <math>\pm</math> 75 Gt C</u>	<u>906 <math>\pm</math> 91 Gt C</u>	<u>974 <math>\pm</math> 97 Gt C</u>
<u>2.0°C</u>	<u>933 <math>\pm</math> 93 Gt C</u>	<u>1203 <math>\pm</math> 120 Gt C</u>	<u>1323 <math>\pm</math> 132 Gt C</u>
Future $\Sigma CO_2^{EMISSIONS}$ (assuming 638 Gt C released between 1870-2019)			
	95%	66%	50%
<u>1.5°C</u>	<u>108 <math>\pm</math> 75 Gt C</u> <u>(2022<sup>a</sup>-2032<sup>a</sup>)</u> <u>(2021<sup>b</sup>-2034<sup>b</sup>)</u>	<u>268 <math>\pm</math> 91 Gt C</u> <u>(2032-2042)</u> <u>(2034-2049)</u>	<u>336 <math>\pm</math> 97 Gt C</u> <u>(2036-2045)</u> <u>(2039-2056)</u>
<u>2.0°C</u>	<u>295 <math>\pm</math> 93 Gt C</u> <u>(2033<sup>a</sup>-2043<sup>a</sup>)</u> <u>(2036<sup>b</sup>-2052<sup>b</sup>)</u>	<u>565 <math>\pm</math> 120 Gt C</u> <u>(2046-2056)</u> <u>(2057-2077)</u>	<u>685 <math>\pm</math> 132 Gt C</u> <u>(2051-2061)</u> <u>(2066-2088)</u>

<sup>a</sup> Year the 1.5°C target or 2.0°C upper limit will be exceeded assuming the rate of emission inferred from SSP5-8.5 and the 1-sigma uncertainty

<sup>b</sup> Year the 1.5°C target or 2.0°C upper limit will be exceeded assuming the 2019 rate of emission of 11.7 Gt C yr<sup>-1</sup> and the 1-sigma uncertainty

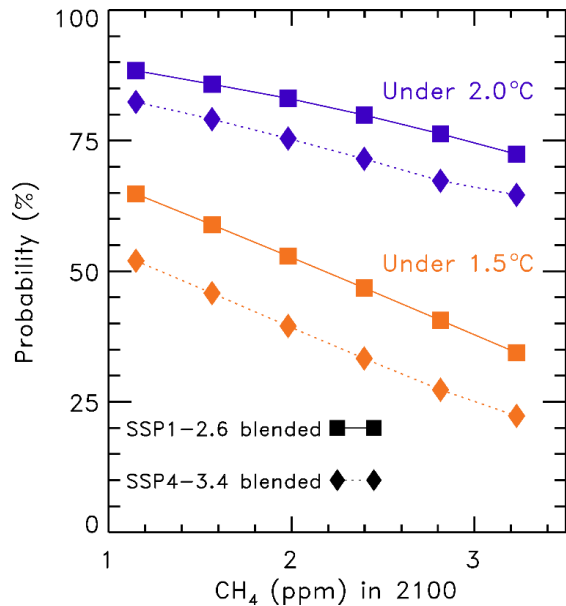
An analysis by van Vuuren et al. (2020) assesses TCRE based on cumulative emissions ~~since~~<sup>after</sup> 2010. Their analysis indicates only 228 Gt C can be released since 2010 to have a 66% probability of achieving the Paris Agreement target of limiting the rise in  $\Delta T$  below 1.5°C in 2100. They base this estimate on an analysis of climate sensitivity and carbon cycle components, including an adjustment to TCRE for the tendency of CMIP5 GCMs to warm too quickly that had been suggested by Millar et al. (2017). In our model framework, we find a 66% probability of limiting warming to 1.5°C upon the release of 369  $\pm 91$  Gt C between 2010 and 2100. It is not surprising our analysis provides somewhat more latitude for the probabilistic forecasts of limiting warming to 1.5°C compared to estimates based on analyses of GCM output, given the tendency of CMIP5 GCMs (Hope et al., 2017) and CMIP6 GCMs (Sect. 3.1) to warm so much faster than the observed climate system. Regardless, between 2010 and 2019, about 101 Gt C has been released to the atmosphere (Friedlingstein et al., 2019), so the remaining budget after 2019 for limiting warming to 1.5°C is about 127 Gt C according to van Vuuren et al. (2020). At the ~~present~~ pace of emissions in 2019 of 11.7 Gt C yr<sup>-1</sup>, society will cross this threshold in about a decade. Our model framework suggests a remaining budget of 268  $\pm 91$  Gt C (Table 2). Society has at most about 20 years, or 15-29 years based on the 10% uncertainty, to severely limit carbon emissions to have a 66% probability to achieve the target of the Paris Agreement.

### 3.3.5 Blended methane

Atmospheric abundances of methane will likely continue to increase as society expands natural gas production and agriculture, making it important to analyze the impact of various methane scenarios on the rise of GMST. It is unlikely future atmospheric methane abundances will progress as indicated by SSP1-2.6 (see Fig. 2), a low radiative forcing scenario. Current observations shown in Fig. 2 illustrate that the methane mixing ratio is following SSP2-4.5 and has missed the initial decline needed to follow



the SSP1-2.6 pathway. To analyze the effect varying future methane abundance pathways will have on GMST, we have generated linear interpolations of the SSP1-2.6 and SSP3-7.0 methane future abundances and created four alternate scenarios (see Fig. S44S18), which we call blended methane scenarios. We can substitute one of the blended methane scenarios into the EM-GC instead of using the projection of



**Figure 14.** Probability of staying at or below the Paris Agreement target and upper limit for SSP1-2.6 and SSP4-3.4 as a function of varying methane scenarios using the EM-GC. The atmospheric methane scenarios are calculated using linear combinations of methane abundances from SSP1-2.6 and SSP3-7.0 to span the range of future methane abundances.

methane specified by the SSP database to quantify the sensitivity of future warming to various evolutions of methane on the rise in GMST.

Figure 14 shows the probability of staying at or below the Paris Agreement target (gold colors) or upper limit (purple colors) for SSP1-2.6 (solid) and SSP4-3.4 (dotted) as a function of the methane mixing ratio in 2100. The lowest atmospheric methane mixing ratio value in 2100 of 1.15 ppm is from the SSP1-2.6 methane pathway, the highest mixing ratio in 2100 of 3.20 ppm is from the SSP3-7.0 methane pathway, and the four in between are the blended methane scenarios. As the atmospheric methane abundance increases, the likelihood of achieving the goals in the Paris Agreement decreases. For SSP1-2.6, the probability of limiting the rise in GMST below the 1.5°C target begins at 65% using the SSP1-2.6 designated methane pathway and decreases as the blended scenarios are considered. The probability

of achieving the Paris Agreement target declines to just under 50% if methane reaches 2.4 ppm in 2100 and to 34% if methane increases to 3.2 ppm in 2100. Even though we have labeled SSP1-2.6 the 1.5°C pathway in our model framework, limiting future warming to this challenging amount can likely only be achieved by strict limits on both emissions of carbon dioxide and methane.

1055 In Sect. 3.3.3, we showed that if all GHGs follow the SSP4-3.4 scenario there would be a 74% probability of limiting warming to 2.0°C. If the methane pathway instead follows SSP1-2.6, which has an end of century mixing ratio of only 1.15 ppm, then the probability of achieving the Paris Agreement goal rises to 82%. However, if the methane pathway follows SSP3-7.0 and the end of century mixing ratio increases to 3.2 ppm, then the probability of achieving the Paris Agreement goal declines to 65%.

1060 Reducing the future anthropogenic emissions of methane might be more challenging than controlling future emissions of carbon dioxide, simply because methane has such a wide variety of sources related to energy, agriculture, and ruminants (Kirschke et al., 2013). Given the current widespread use of methane as a source of energy in the United States and parts of Europe (Saunio et al., 2020), combined with the continued growth in the global number of ruminants (Wolf et al., 2017), it seems unrealistic for atmospheric methane to follow the peak and sharp decline starting in 2025 of the SSP1-2.6 pathway (Fig. 3b). Our analysis suggests failure to limit methane to the SSP1-2.6 trajectory will have a larger impact on the achievement of the 1.5°C Paris goal compared to the 2.0°C upper limit. Figure 14 is designed to provide some perspective on the importance of future controls on limiting the growth of methane on projections of end of century warming.

### 1070 3.3.6 Climate feedback

1075 In our analysis above, we have assumed the value of  $\lambda_{\Sigma}$  (and thus  $\lambda$ , see Eq (3) and corresponding text in Sect. 2.1) is constant over time. Time-constant  $\lambda_{\Sigma}$  is the simplest assumption one can make. The climate record can be fit very well based on this conjecture, as shown in Fig. 1a and Fig. S3a. However, many GCMs suggest that climate feedback may vary over time (Marvel et al., 2018; Rugenstein et al., 2020). In our EM-GC framework, we are able to conduct calculations allowing the value of  $\lambda_{\Sigma}$  to vary over time, and to project future temperature with such an assumption. Up until this point, our simulations have used  $\lambda_{\Sigma}$  to be consistent with how our model results had been presented in prior publications (Canty et al.,

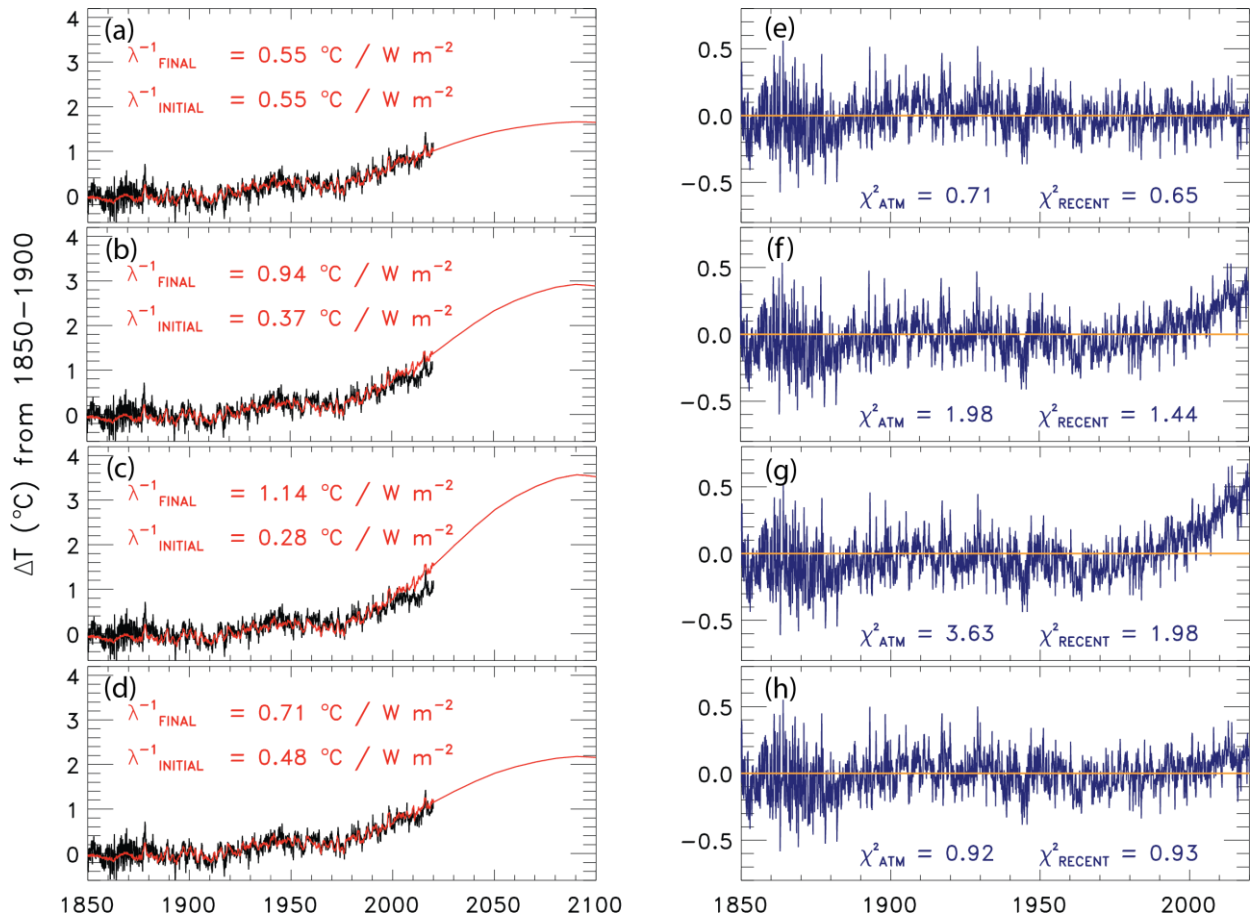
2013; Hope et al., 2017). Recall from Sect. 2.1 that  $\lambda_{\Sigma} = \lambda_p - \lambda$ . To assess the effect of time varying climate feedback on our projections of global warming, we examine the sensitivity in terms of  $\lambda^{-1}$ , because this quantity scales proportionally with  $\Delta T$  and also our use of the inverse  $\lambda$  allows for direct comparison to the results of Marvel et al. (2018) and Rugenstein et al. (2020).

Figure 15 shows the change in observed and modeled GMST under several assumptions regarding  $\lambda^{-1}$ . The first assumption is that the value of  $\lambda^{-1}$  is constant over time (Figs. 15a, e). We are able to fit the climate record over the past 170 years ( $\chi^2_{\text{ATM}}$ ) and past 80 years ( $\chi^2_{\text{RECENT}}$ ) extremely well for constant  $\lambda^{-1}$ . To simulate variations of  $\lambda^{-1}$  over time, we alter runs from the EM-GC that were conducted with constant  $\lambda^{-1}$ , by modifying the  $\Delta T_{\text{ATM,HUMAN}}$  component of these original EM-GC simulations. The value of  $\lambda^{-1}$  takes the same shape as the SSP4-3.4 RF time series, scaled, and shifted so that the new time series maintains an average value of  $\lambda^{-1}$  over the observational record that is identical to the constant  $\lambda^{-1}$  value. In simulations described below, the value of  $\lambda^{-1}$  is assumed to continue to rise into the future, at the same proportionality to  $\Delta T_{\text{ATM,HUMAN}}$  as the prior increase.

If we allow the value of  $\lambda^{-1}$  to scale with anthropogenic forcing such that the maximum value of  $\chi^2_{\text{ATM}}$  is always less than or equal to 2, we obtain the result shown in Fig. 15b. This scaling of  $\lambda^{-1}$  results in a value of  $\Delta T_{2100}$  about 50% higher than when a constant value of  $\lambda^{-1}$  is used and an increase in  $\lambda^{-1}$  by nearly a factor of 2.5 at the end of century. The modeled change in GMST starts to deviate from the observations around year 2000. This deviation is seen in the residual between modeled and observed GMST in Fig. 15f. If we allow the value of  $\lambda^{-1}$  to scale with anthropogenic forcing so that the maximum value of  $\chi^2_{\text{RECENT}}$  is less than or equal to 2, we arrive at the result shown in Fig. 15c, yielding a value of  $\Delta T_{2100}$  that nearly doubles over two and a half centuries and a rise in  $\lambda^{-1}$  by a factor of 4. The modeled change in GMST starts to deviate dramatically from observations around year 1990. This stark deviation is seen in the residual between modeled and observed GMST in Fig. 15g. The  $\chi^2_{\text{ATM}}$  value in Fig. 15g is 3.63, which does not satisfy our reduced chi-squared constraints, and interestingly appears to resemble the behavior of some CMIP6 GCMs (see Fig. 9 as well as Tokarska et al. (2020)).

Several other studies have investigated the degree of change in  $\lambda^{-1}$ . Marvel et al. (2018) suggest that the median value of ECS from the CMIP5 GCMs may increase from 1.8 to 2.3°C or 1.8 to 3.1°C due

to time varying  $\lambda^{-1}$ , which corresponds to an increase in  $\lambda^{-1}$  from 1850-2100 of 28 to 72%, respectively. Rugenstein et al. (2020) estimates a median increase of 17% for values of ECS from CMIP6 GCMs when examining millennial length simulations compared to the 150-year Gregory et al. (2004) method, which is consistent with about an 11% rise in  $\lambda^{-1}$  (Fig. 2b of Rugenstein et al. (2020)). A doubling (Fig. 15b) or quadrupling of  $\lambda^{-1}$  (Fig. 15c) over two and a half centuries is faster than the increase indicated by Marvel et al. (2018) and the millennia order timescale in Sect. 12.5.3 of IPCC 2013 and Rugenstein et al. (2020). A 50% increase or lower in  $\lambda^{-1}$  (Fig. 15d) is in line with the estimate of the change in ECS due to time-variant  $\lambda^{-1}$  indicated by Marvel et al. (2018) and Rugenstein et al. (2020). The assumption of constant feedback within the EM-GC framework is reasonable because there is no strong evidence from the climate record for a noticeable increase in  $\lambda^{-1}$  on the multidecadal simulations shown in Fig. 15. If the true value of  $\lambda^{-1}$  actually rises over time as suggested by some of the CMIP6 (Rugenstein et al., 2020) and CMIP5 GCMs (Marvel et al., 2018), our projections of global warming would be a few tenths of a degree warmer than our current best estimates assuming constant  $\lambda^{-1}$ , as shown in Fig. 15d. Interestingly, increasing  $\lambda^{-1}$  by 50% results in a similar value of  $\Delta T_{2100}$  as when utilizing a higher value of AER  $RF_{2011}$  (i.e. AER  $RF_{2011}$  less than  $-0.9 \text{ W m}^{-2}$ ) in the EM-GC framework (see Fig. 3).



**Figure 15.** Change in GMST from 1850-2019 for observations from HadCRUT (black) and 1850-2100 for modeled (red) using SSP4-3.4 and the residual between modeled and observations. (a) Rise in GMST assuming a constant value of  $\lambda^{-1}$ . (b) Rise in GMST allowing  $\lambda^{-1}$  to vary while the value of  $\chi^2_{\text{ATM}}$  is kept below 2. (c) Rise in GMST allowing  $\lambda^{-1}$  to vary while the value of  $\chi^2_{\text{RECENT}}$  is kept below 2. (d) Rise in GMST allowing  $\lambda^{-1}$  to increase by 50%. (e) Residual between modeled and observed rise in GMST from 1850-2019 for constant  $\lambda^{-1}$ . (f) Same as (e) but for varying  $\lambda^{-1}$  while the value of  $\chi^2_{\text{ATM}}$  is kept below 2. (g) Same as (f) but for varying  $\lambda^{-1}$  while the value of  $\chi^2_{\text{RECENT}}$  is kept below 2. (h) same as (g) but for increasing  $\lambda^{-1}$  by 50%.

## 4 Conclusions

In this paper we use a multiple linear regression energy balance model (EM-GC), to analyze and project changes in the future rise in global mean surface temperature (GMST), calculate the attributable anthropogenic warming rate (AAWR, the component of the rise in GMST caused by human activities) over the past four decades, and compute the equilibrium climate sensitivity (ECS, the rise in GMST that

would occur after climate has equilibrated with atmospheric CO<sub>2</sub> at the 2×pre-industrial level). Projections of the rise in GMST ( $\Delta T$ ) are conducted for seven of the Shared Socioeconomic Pathway (SSP) projections of GHGs (O'Neill et al., 2017). We compare computations of AAWR, ECS, and  
1130 projections of  $\Delta T$  to values for each quantity computed from archived output provided by GCMs as part of CMIP6 (Eyring et al., 2016). A critical component of our study is comprehensive analysis of uncertainties in AAWR, ECS, and projections of  $\Delta T$  in our EM-GC framework, due to the rather large uncertainty in radiative forcing of climate from tropospheric aerosols (AER RF).

The best estimate of values of AAWR from 1975-2014 computed using our EM-GC constrained  
1135 by the century and a half long record for GMST provided by the [CRU-HadCRUT](#) data record (Morice et al., 2012) is 0.135°C/decade and the 5<sup>th</sup>, ~~25<sup>th</sup>~~, ~~75<sup>th</sup>~~, and 95<sup>th</sup> percentiles are 0.097, ~~0.115~~, ~~0.160~~, and 0.195°C/decade, respectively. The median value of AAWR from the CMIP6 multi-model ensemble is ~~0.217~~[0.221](#)°C/decade and the 5<sup>th</sup>, ~~25<sup>th</sup>~~, ~~75<sup>th</sup>~~, and 95<sup>th</sup> percentiles are ~~0.150~~[0.151](#), ~~0.191~~, ~~0.242~~, and ~~0.294~~[0.299](#)°C/decade, respectively. We show that the component of GMST attributed to human activity  
1140 within the CMIP6 multi-model ensemble warms ~~considerable~~[considerably](#) faster than observations over the past four decades, a result that is consistent with a recent analysis of output from the CMIP6 multi-model ensemble (Tokarska et al., 2020) as well as output from CMIP5 GCMs assessed in AR5 (i.e, Fig. 11.25b of Kirtman et al. (2013)). This finding differs from the conclusion of Hausfather et al. (2020), who showed fairly good agreement between projections of global warming from GCMs and observed  $\Delta T$ . As  
1145 detailed in Sect. 3.1, this paper examined GCMs that proceeded CMIP5 and examined  $\Delta T$  for a time period that ends in 2017, a time when global temperature was ~~temporarily warming~~[influenced](#) by a strong ENSO event ~~that ended in 2016~~. The majority of the uncertainty in our EM-GC based estimate of AAWR is due to imprecise knowledge of the true value of AER RF.

In our model framework the best estimate of ECS is 2.01°C and the 5<sup>th</sup>, ~~25<sup>th</sup>~~, ~~75<sup>th</sup>~~, and 95<sup>th</sup>  
1150 percentiles are 1.12, ~~1.49~~, ~~2.50~~, and 4.12°C, respectively. The median value of ECS from the CMIP6 multi-model ensemble is 3.74°C, which is almost double the value of ECS inferred from the observed climate record. The 5<sup>th</sup>, ~~25<sup>th</sup>~~, ~~75<sup>th</sup>~~, and 95<sup>th</sup> percentiles of ECS from the CMIP6 multi-model ensemble are 2.19, ~~2.83~~, ~~4.93~~, and 5.65°C, respectively. We obtain a wide range of ECS values using the EM-GC

because of the uncertainty in AER RF. With an AER RF<sub>2011</sub> equal to  $-1.6 \text{ W m}^{-2}$ , the EM-GC calculates  
1155 a value of ECS similar to the maximum value of ECS from the CMIP6 multi-model mean. We cannot  
rule out the very high value of ECS, but we assign a low probability based on the IPCC 2013 low  
likelihood for the needed value of AER RF<sub>2011</sub>. Our empirically based determination of ECS is in good  
overall agreement with the recent empirical determinations of Lewis and Grünwald (2018) (1.87°C, range  
of 1.1-4.05°C) and Skeie et al. (2018) (2.0°C, range of 1.2-3.1°C) and the slightly older empirically  
1160 determination reported by Otto et al. (2013) (2.0°C, range of 1.2-3.9°C) (all range values are for the 5<sup>th</sup>  
and 95<sup>th</sup> percent confidence interval). ~~Nijssse et al. (2020) reported a median value of ECS of 2.6°C (range  
of 1.52-4.05°C) based upon analysis of CMIP6 output tied to the actual climate record.~~ A recent review  
of climate feedback and climate sensitivity published by Sherwood et al. (2020) reported ECS lies within  
the range of 2.3 to 4.7°C at the 5<sup>th</sup> to 95<sup>th</sup> percent confidence intervals; their lower bound for ECS is quite  
1165 a bit higher than the lower bound found in our analysis, as well as by Cox et al. (2018), Dessler et al.  
(2018), Lewis and Grünwald (2018), Nijssse et al. (2020), Otto et al. (2013), and Skeie et al. (2018), and  
Tokarska et al. (2020).

We also examined the probability of limiting the future rise in GMST below the Paris Agreement  
target of 1.5°C and upper limit of 2.0°C. Our probabilistic forecasts of projections of  $\Delta T$  include a  
1170 comprehensive treatment of the uncertainty in AER RF, a capability outside the scope of the GCM  
intercomparisons conducted for CMIP6. Our analysis indicates that the SSP1-2.6 scenario is the 1.5°C  
pathway, providing ~~a 64.8%~~between a 57.0-64.8% likelihood of keeping the end of century rise in  $\Delta T$  below  
the Paris Agreement target of 1.5°C (relative to pre-industrial) depending on the choice of GMST and  
OHC record. We find that the SSP4-3.4 scenario is the 2.0°C pathway, as this scenario provides a 69.6-  
1175 74.0% likelihood of limiting global warming to below the Paris Agreement upper limit of 2.0°C by end  
of century. In contrast, the CMIP6 multi-model mean only suggests a 15.2% probability of achieving the  
Paris Agreement target for SSP1-2.6 and a 16.7% probability of attaining the Paris Agreement goal for  
SSP4-3.4. This result is not surprising, given the tendency of most CMIP6 GCMs to warm faster than has  
been observed over the past four decades. Our projections of  $\Delta T$  using a physically based model tied to  
1180 observations of ocean heat content, quantification of natural as well as anthropogenic drivers of variations



in GMST, and consideration of uncertainty in AER RF are shown to be remarkably similar to the expert assessment of the future rise in GMST that was sketched out in Fig. 11.25b of AR5 (Kirtman et al., 2013), and the empirically-based filtering of CMIP6 model output recently published by Tokarska et al. (2020). Finally and most importantly, our estimates are based on the assumption that climate feedback has been and will continue to remain constant over time, since the prior temperature record can be fit so well under this assumption. As described in Section 3.3.6, if climate feedback rises over time, larger warming will be realized than that found under the assumption of temporally invariant feedback.

We also quantify the sensitivity of the probability of achieving the Paris Agreement target (1.5°C) or upper limit (2.0°C) to future atmospheric abundances of methane. The end of century mixing ratio of methane in the SSP1-2.6 scenario is 1.15 ppm, considerably less than the contemporary abundance of 1.88 ppm. The likelihood of attaining the 1.5°C target for SSP1-2.6 decreases as future methane emissions increase, declines to just under 50% if methane reaches 2.4 ppm in 2100 and to 34% if methane increases to 3.2 ppm at end of century. Our analysis described in Sect. 3.3.5 demonstrates that major near-term limits on the future growth of methane are especially important for achievement of the 1.5°C limit to future warming that constitutes the goal of the Paris Agreement.

Finally, we have also quantified in the EM-GC framework the remaining budgets of carbon (~~i.e.~~, CO<sub>2</sub>) emissions that can occur while attaining either the goal or upper limit of the Paris Agreement. We find that after 2019, society can only emit another 108  $\pm$  75, 268  $\pm$  91, or 336  $\pm$  97 Gt C to have either a 95%, 66%, or 50% chance of limiting warming to 1.5°C. These future emissions estimates rise to 295  $\pm$  93, 565  $\pm$  120, and 685  $\pm$  132 Gt C to have a 95%, 66%, or 50% chance of limiting warming to 2.0°C. Given ~~that the current~~ anthropogenic emissions of carbon due to combustion of fossil fuels, cement production, gas flaring, and land use change are about 11.7 Gt per year in 2019 (Friedlingstein et al., 2019), our study indicates that the target (1.5°C warming) of the Paris Agreement will not be achieved unless carbon emissions are severely curtailed in the next two decades.

We ~~conclud~~concluded by noting that the CMIP6 multi-model ensemble provides many useful parameters such as sea level rise, sea ice decline, and precipitation changes, that provide a great societal understanding of the impact of climate change. We do not mean to undermine the importance of the CMIP6 GCMs by this analysis. Rather, we hope that studies such as this, along with other recent

evaluations of CMIP6 multi-model output such as Nijse et al. (2020) and Tokarska et al. (2020) will provide improved use of the CMIP6 multi-model ensemble for policy decisions. Our EM-GC was built to specifically simulate and project changes in GMST; we do not examine numerous other components of the climate system that affect society. Our study indicates that unless society can implement steep reductions in the emissions of carbon (CO<sub>2</sub>) and methane (CH<sub>4</sub>) rather soon, the Paris Agreement will fail to be achieved. We suggest there is slightly more time to achieve these steep reductions than indicated by a literal interpretation of the CMIP6 multi-model mean. The incredibly valuable output of the CMIP6 GCMs is important for determining the consequences for society of 1.5°C, 2.0°C, or even larger rises in GMST. The consequences for society of 1.5°C warming, 2.0°C warming, or even larger rises in GMST rely entirely on the incredibly valuable output of the CMIP6 GCMs.

## 5 Acronyms

AAWR – Attributable anthropogenic warming rate  
AR4 – Fourth Assessment Report  
AER – Anthropogenic aerosols  
AER RF<sub>2011</sub> – Radiative forcing due to anthropogenic aerosols in 2011  
AMOC – Atlantic meridional overturning circulation  
AMV – Atlantic multidecadal variability  
BEG – Berkley Earth Group  
CALIPSO – Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observations  
CMIP5 – Coupled Model Intercomparison Project Phase 5  
CMIP6 – Coupled Model Intercomparison Project Phase 6  
COBE - Centennial in situ Observation-Based Estimate  
CW14 – Cowtan and Way (2014) temperature record  
~~CRU – Hadley Center Climatic Research Unit~~  
ECS – Equilibrium climate sensitivity  
EM-GC – Empirical Model of Global climate  
ENSO – El Niño southern oscillation

GCM – General Circulation Model

GHG – Greenhouse gas

~~GISS~~[GISTEMP](#) – Goddard Institute for Space Studies [Surface Temperature Analysis v4](#)

1240 GloSSAC – Global Space-based Stratospheric Aerosol Climatology

GMST – Global mean surface temperature

[HadCRUT – Hadley Center Climatic Research Unit](#)

IPCC – Intergovernmental Panel on Climate Change

ISCCP – International Satellite Cloud Climatology Project

1245 IOD – Indian Ocean dipole

LIN – Linear method

LUC – Land use change

MEI – Multivariate ENSO index

~~NCEI~~[NOAAGT](#) – National Center for Environmental Information [NOAAGlobalTemp v5](#)

1250 ODS – Ozone depleting substances

OHC – Ocean heat content

OHE – Ocean heat export

PATMOS-X - Pathfinder Atmospheres Extended

PDO – Pacific decadal oscillation

1255 RCP – Representative concentration pathway

REG – Regression method

RF – Radiative forcing

SAOD – Stratospheric aerosol optical depth

SORCE – Solar Radiation and Climate Experiment

1260 SSP – Shared Socioeconomic Pathway

SST – Sea surface temperature

TAR – Third Assessment Report

TAS – Near surface air temperature

TCRE – Transient climate response to cumulative emissions

1265 TOS – Temperature at the interface of the atmosphere and the upper boundary of the ocean

TSI – Total solar irradiance

## 6 Author Contribution

LAM, APH, and TPC developed the model code used in this analysis, LAM, APH, and BFB  
1270 collected data, RJS supervised, administrated, and developed the project, LAM wrote the original draft,  
and RJS, APH, BFB, TPC, and WRT participated in the review and editing of the manuscript.

## 7 Competing Interests

The authors declare that they have no conflict of interest.

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1290 of creating blended near surface air temperature that had appeared in the submitted paper.

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## 10 Data availability

All data used as inputs into the EM-GC are available from resources on the web. We have provided the  
 1680 links to the resources below. The data are also available along with the EM-GC output data used in this analysis at 10.5281/zenodo.3908407 (McBride et al., 2020) on Zenodo.org.

IOD: The COBE SST data is provided by the NOAA ESRL physical sciences division from their web site <https://www.esrl.noaa.gov/psd/>.

1685

Tropospheric ozone RF: <http://www.pik-potsdam.de/~mmalte/rcps/> .

MEI.v2 and MEI.ext: <https://psl.noaa.gov/enso/mei/data/meiv2.data> and  
<https://psl.noaa.gov/enso/mei.ext/table.ext.html>

1690

PDO: <http://research.jisao.washington.edu/pdo/PDO.latest.txt>

SAOD: <https://eosweb.larc.nasa.gov/project/glossac/glossac>

1695 TSI: <http://lasp.colorado.edu/home/sorce/data/tsi-data/>

OHC Records:

Balmaseda: <http://www.cgd.ucar.edu/cas/catalog/ocean/OHC700m.tar.gz>

Carton: [https://www.atmos.umd.edu/~ocean/index\\_files/soda3\\_readme.htm](https://www.atmos.umd.edu/~ocean/index_files/soda3_readme.htm)

1700

Cheng: <http://159.226.119.60/cheng/>

Ishii: <http://159.226.119.60/cheng/>

Levitus: [https://www.nodc.noaa.gov/OC5/3M\\_HEAT\\_CONTENT/](https://www.nodc.noaa.gov/OC5/3M_HEAT_CONTENT/)

1705 SSP Database: All information for the SSPs obtained from the SSP database is at  
<https://tntcat.iiasa.ac.at/SspDb/dsd?Action=htmlpage&page=about> .

CMIP6 Input Data:

[https://docs.google.com/document/d/1pU9IiJvPJwRvIgVaSDdJ4O0Jeorv\\_2ekEttd34K9cA/edit#head](https://docs.google.com/document/d/1pU9IiJvPJwRvIgVaSDdJ4O0Jeorv_2ekEttd34K9cA/edit#heading=h.jdoykiw7tpen)  
1710 [i](https://docs.google.com/document/d/1pU9IiJvPJwRvIgVaSDdJ4O0Jeorv_2ekEttd34K9cA/edit#heading=h.jdoykiw7tpen)

CMIP6 Model Output Archive: <https://esgf-node.llnl.gov/search/cmip6/>

## Supplement

Section 2.1 states “The effect of this update results in our model being able to fit the historical climate record with higher values of climate feedback, especially for strong aerosol cooling (see Fig. S1 and supplement for more information)”. Figure S1 illustrates the impact of updating Eq. (2) in our model to be comparable to the formulation in Bony et al. (2006) and Schwartz (2012). This figure displays the change in GMST anomaly in 2100 relative to pre-industrial ( $\Delta T_{2100}$ ) as a function of  $\lambda_{\Sigma}$  and AER RF<sub>2011</sub> for the two formulations of Eq. (2). Figure S1a uses the previous version of the EM-GC, where  $Q_{\text{OCEAN}}$  was subtracted outside of the climate feedback multiplicative term, and Fig. 1b uses the new version of the EM-GC where  $Q_{\text{OCEAN}}$  is subtracted within the climate feedback multiplicative term.

In the EM-GC framework, we calculate our value of  $Q_{\text{OCEAN}}$  by finding the  $\kappa$  needed to multiply the temperature difference between the atmosphere and the ocean to fit the observed OHC record. The model iterates over the ocean module, specifically the value of  $\Delta T_{\text{OCEAN,HUMAN}}$  in Eq. (4), until the EM-GC converges on an estimate of  $\kappa$  for a single OHC record and value of AER RF<sub>2011</sub>. Figure S1 illustrates that the effect of changing Eq. (2) in the EM-GC impacts our estimates of the rise in  $\Delta T_{2100}$  at high values of AER RF<sub>2011</sub>. Strong aerosol cooling results in the ocean taking up more heat from the atmosphere than in the previous version of the EM-GC. The larger value of  $Q_{\text{OCEAN}}$  results in a higher value of climate feedback needed to fit the historical climate record, because both AER RF<sub>2011</sub> and  $Q_{\text{OCEAN}}$  are acting to cool the climate system. The higher values of climate feedback increase our maximum value of  $\Delta T_{2100}$ . This change brings some of the projections of  $\Delta T_{2100}$  from the EM-GC closer to values of  $\Delta T_{2100}$  from the CMIP6 multi-model ensemble.

Section 2.1 states “Altering the training period of our model has a slight effect on our results (see Fig. S2 and the supplement for information on various training periods).” Figure S2 shows the end of century projected warming as a function of  $\lambda_{\Sigma}$  and AER RF<sub>2011</sub>, for four different training periods: 1850-1989 (Fig. S2a), 1850-1999 (Fig. S2b), 1850-2009 (Fig. S2c) and 1850-2019 (Fig. S2d), which is the normal training period used in our analysis. Values of  $\Delta T_{2100}$  are shown only for combinations of  $\lambda_{\Sigma}$  and AER RF<sub>2011</sub> that lead to good fits to the climate record. We project relatively similar results for end of century warming for the training periods that end in 2019, 2009, and 1999. Our results using the training period from 1850-1999 are similar to observations and other reduced complexity models (Nicholls et al., 2020). The training period that ends in 1989 (Fig. S2a) yields a different “shape” of model parameter space for which good fits to the climate record can be obtained, compared to the other training periods. The different shape for this shorter training period is due to the formulation of the ocean component of our model. In training to 1989, we are only considering 35 years of the observed OHC record. We are able to calculate good fits to the OHC record over this shorter



35 time period that diverge from the OHC record after 1989. Also, for this shorter time period, aerosol radiative forcing of climate cools in a manner that nearly mirrors the warming due to rising GHGs, resulting in a wider range of model parameters that lead to a “good fit” of the climate record, compared to model simulations constrained by data that extend closer to present-day. The highest values of  $\Delta T_{2100}$  in Fig. S2a are associated with the largest values of  $\lambda_{\Sigma}$ , which in our model corresponds to excessively high values of  $\kappa$  that we can rule out, based on OHC data collected during 1990 to 2020.

40 Section 2.2.1 states “see Fig. S4 and the supplement for information on CW14 GMST record”. Figure S4 shows the GMST anomaly relative to pre-industrial over time for (a) HadCRUT record with the HadCRUT uncertainties, (b) CW14 record with the CW14 uncertainties, (c) BEG record with the BEG uncertainties, and (d) CW14 record with HadCRUT uncertainties. The uncertainties for CW14 are much smaller than those for the HadCRUT and BEG records. The small values of CW14 uncertainties, especially from 1850-1900,  
45 cause the EM-GC to not be able to achieve good fits to this temperature record. We have two choices for use of the CW14 GMST record; either relax the constraint for  $\chi^2_{\text{ATM}}$  (i.e., run with  $\chi^2_{\text{ATM}} \leq 4$ ), or modify the CW14 uncertainties. We chose to combine the uncertainties from HadCRUT with the values of GMST from the CW14 record since CW14 is based upon the HadCRUT GMST record. Upon use of this combination of data and uncertainty, we are able to find good fits to the CW14 temperature record that look similar to the  
50 fits obtained using the other GMST data sets.

Section 2.2.3 states “Figure [S2-S5](#) shows the ozone RF time series used in this analysis and the supplement provides more information about the creation of the time series for the RF due to  $\text{O}_3^{\text{TROP}}$ ”. Figure [S2-S5](#) displays the time series of tropospheric ozone RF used in our analysis for the various SSPs. Tropospheric ozone is an important GHG that rivals nitrous oxide as the third most important anthropogenic GHG. We  
55 include the RF due to tropospheric ozone ( $\text{O}_3^{\text{TROP}}$ ) in our model for completion, even though the SSP database does not provide RF estimates for the various SSPs. We use values from the RCP scenarios provided by the Potsdam Institute for Climate Impact Research (Meinshausen et al., 2011). The values of the RF due to  $\text{O}_3^{\text{TROP}}$  for SSP1-1.9 and SSP1-2.6 are from the RCP2.6 pathway. The RCP4.5 time series of  $\text{O}_3^{\text{TROP}}$  is used for SSP2-4.5, the RCP6.0 time series is used for SSP4-6.0, and the RCP8.5 time series is used for SSP5-8.5.  
60 We create linear combinations of RCP2.6 and RCP8.5 to generate two new time series of the RF due to  $\text{O}_3^{\text{TROP}}$  for SSP4-3.4 and SSP3-7.0. There is a large gap between the time series of the RF due to  $\text{O}_3^{\text{TROP}}$  for RCP6.0 (shown as SSP4-6.0) and RCP8.5 (shown as SSP5-8.5) in Fig. [S2S5](#). We created a time series that would split the difference between the two RCPs to represent the RF due to  $\text{O}_3^{\text{TROP}}$  for SSP3-7.0. The SSP4-3.4 time series of the RF due to  $\text{O}_3^{\text{TROP}}$  that was created lies in between the RCP2.6 (shown as SSP1-2.6) and  
65 RCP4.5 (shown as SSP2-4.5) time series in Fig. [S2S5](#).

Section 2.2.8 states “Figure [S4-S8](#) shows the five OHC records as well as the multi-measurement average”. Figure [S4-S8](#) displays the five OHC content data sets, as well as the multi-measurement average, plotted as a function of time and normalized to year 1986. This figure illustrates how the shapes of the different OHC records compare. Each of the time series represents the amount of heat stored in the top 700 m of the world’s oceans for that specific data set. Carton et al. (2018) is the shortest data set, and only spans 36 years (1982-2017). The second shortest record is Balmaseda et al. (2013a), which spans 52 years (1958.5-2009.5). Ishii et al. (2017) is the record in the middle with a range of 63 years (1955-2017). Both Cheng et al. (2017) and Levitus et al. (2012) have records that span 65 years (1955-2019). The length of the data set and the shape of the curve affect the estimate of ocean heat export (OHE), because we calculate OHE by taking a linear fit to the full OHC time series. Balmaseda et al. (2013a) has the lowest estimate of OHE because the slope of the curve is relatively shallow, due to the fact that it slightly rises, then decreases at the start of the record. Carton et al. (2018) has the highest estimate of OHE because the slope of the curve is the steepest of the five records.

Section 2.2.8 also says “For these five OHC data sets, uncertainty estimates are not always provided. Furthermore, some studies that do provide uncertainties give estimates that seem unreasonably small (see Fig [S5-S9](#) and [the supplement](#))” and “Figure [S5-S9](#) and the supplement provide more detail on the creation of this time dependent uncertainty estimate for OHC”. Figure [S5-S9](#) shows the multi-measurement average as well as the five OHC data records as a function of time, the uncertainty for each corresponding data set, and the combined uncertainty used in this analysis. Panel (a) shows the multi-measurement OHC average with the standard deviation of the mean plotted around the average time series. The standard deviation is large at the beginning of the time series, due to the spread in the estimates of OHC between the different records (illustrated in Fig. [S4-S8](#)). The standard deviation decreases as the various OHC records converge near a similar estimate. The standard deviation is zero in 1986 because we normalized all of the time series to zero in this year to create the multi-measurement average. Because of this normalization, the standard deviation of the mean is not a realistic measure of uncertainty for the five OHC time series.

Panels (b), (c), (d), (e), and (f) display the uncertainty estimates for the five OHC data records. We use the standard deviation of the mean of five ensemble members of the European Centre for Medium-Range Weather Forecasts Ocean ReAnalysis System 4 (ORSA) (Balmaseda et al., 2013b) for the Balmaseda et al. (2013a) record. The standard deviation is plotted in panel (b) as the dotted blue line. The standard deviation is small at the beginning of the record, because the five ensemble members started at similar values of OHC in 1958 and diverged over time. The combined uncertainty of the standard deviation of the [mean-average of the five OHC records](#) and the Cheng et al. (2017) estimate is plotted as a dashed blue line. Panel (c) shows the Levitus et al. (2012) time series for the top 700 m updated to the end of 2019. The Levitus time series

100 utilizes the standard error over the whole ocean for their uncertainty estimate and is plotted as the dotted light blue line. The standard error is a very small uncertainty estimate compared to the other OHC data records, which is unreasonable considering the large variations in OHC between the different records. We use the standard deviation of eight reanalysis experiments to represent the uncertainty associated with the Carton et al. (2018) OHC record and is plotted as a dotted orange line in panel (e). The standard deviation of the mean eight reanalysis experiments is rather small, which also is unrealistic. Panel (f) displays the Cheng et al. (2017) OHC record updated through the end of 2019 with the 1-1-sigma uncertainty. This uncertainty does not vary much throughout the data record, making it more realistic as an estimate for such an uncertain quantity as OHC. We created the combined uncertainty estimate of the standard deviation of the mean average of the five OHC records and the Cheng et al. (2017) 1-1-sigma uncertainty to have the largest  
110 uncertainty possible due to the fact that OHC varies between the different records. The EM-GC cannot achieve  $\chi^2_{\text{OCEAN}} \leq 2$  for Balmaseda et al. (2013a), Levitus et al (2012), and Carton et al. (2018) using their own respective estimates of uncertainty. Creating one uncertainty estimate to be used for all of the OHC records provides consistency and allows the EM-GC to achieve good fits between the observed and modeled OHC.

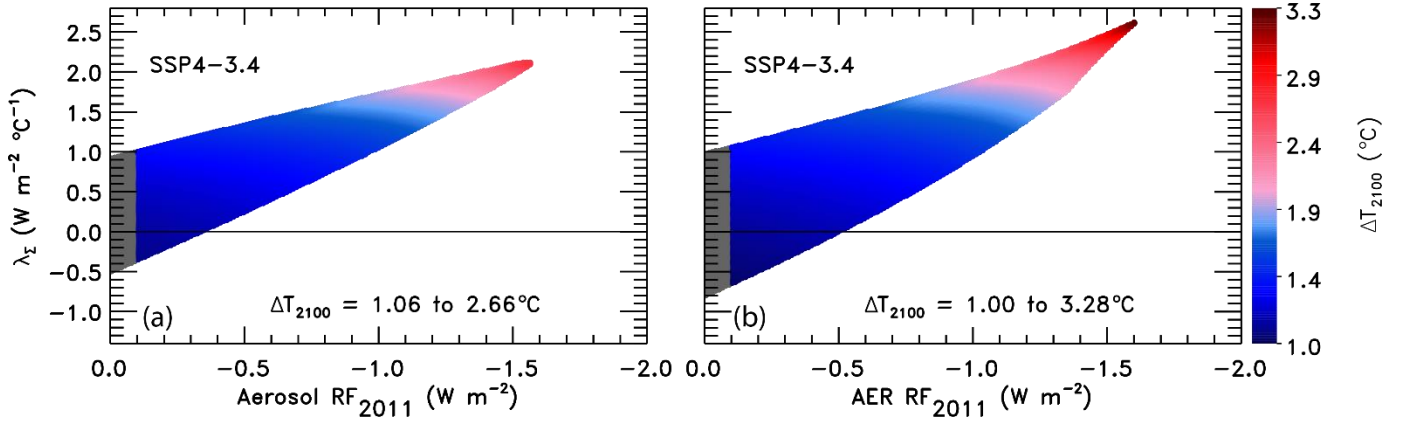
115 Section 2.3 states “Figure S10 illustrates the REG method used to determine AAWR from the CMIP6 GCMs” and “Analysis of AAWR for these 50 GCMs of LIN versus REG (see Fig. S11)...”. Figure S10 shows the change in GMST from 1975-2014 from the CMIP6 GCMs and the contribution of SAOD from 1975-2014. There was about a 6 month lag between the response of GMST and enhancements of SAOD following the eruption of Mount Pinatubo in June 1991 (Douglass and Knox, 2005; Thompson et al., 2009); a 6 month delay for the response of GMST to SAOD is commonly used in regression analyses of the actual temperature record (Foster and Rahmstorf, 2011; Lean and Rind, 2008). The time needed for GMST to respond to a change in the aerosol loading in the stratosphere due to a volcanic eruption in each GCM can exhibit a significant difference compared to this empirically determined response time. Therefore, a lag was determined for each GCM by calculating the value of the monthly delay that resulted in the largest regression coefficient for SAOD (versus GMST). Due to the difference in model physics between the various GCMs, the value of the delay between the volcanic forcing and surface temperature response ranged from 0 to 11 months. The effect of SAOD on GMST for the 50 GCMs is shown in Fig. S10d. Figure S10b shows the residual in GMST after removing the influence of SOAD, and the median value of AAWR from the CMIP6 multi-model ensemble is plotted as a linear line. Figure S10c shows the human component of global warming,  $\Delta T_{\text{ATM,HUMAN}}$ , from the EM-GC. A linear fit and quadratic fit are shown to illustrate that  $\Delta T_{\text{ATM,HUMAN}}$  is almost nearly linear from 1975-2014, supporting the approximation of  $\Delta T_{\text{ATM,HUMAN}}$  as a linear function from 1975-2014 for the REG calculation.

Figure S11 shows the similarity between the values of AAWR determined using the LIN and REG methods. The ratio between the values of AAWR determined utilizing LIN and REG is 1.009, indicating there is only about a 0.9% difference in the values of AAWR using the two methods. Figure S11 also shows the values of AAWR that are below the maximum value of AAWR determined by the EM-GC utilizing the HadCRUT temperature record (blue) and the values that are above the maximum (red). About half of the GCMs result in values of AAWR less than the maximum value from the EM-GC and half of the GCMs result in values of AAWR greater than the maximum value from the EM-GC utilizing the HadCRUT GMST record.

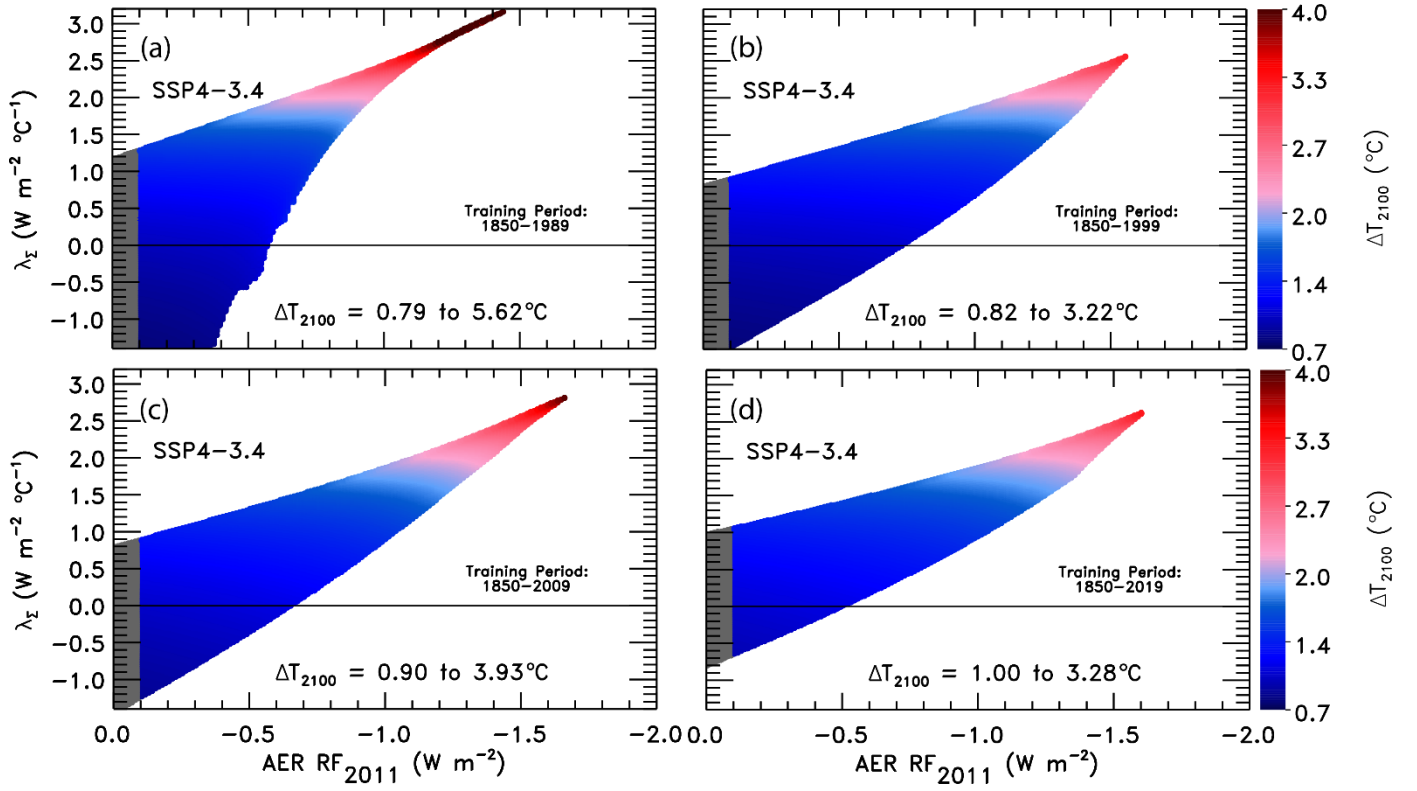
Section 2.5 states “See Fig. S7-S14 for unweighted ECS values and Section 3.2 states “See Fig S7-S14 for results without aerosol weighting”. Figure S7-S14 displays the values of ECS using the EM-GC and the CMIP6 multi-model ensemble. The EM-GC box contains the 25<sup>th</sup>, 50<sup>th</sup>, and 75<sup>th</sup> percentiles, the whiskers denote the 5<sup>th</sup> and 95<sup>th</sup> percentiles, and the stars represent the minimum and maximum values of ECS. The box labeled CMIP6 is unchanged from Fig. 78. The values of ECS are not treated with the aerosol weighting described in Sect. 2.5. This figure shows that most of the estimates of ECS found using the EM-GC are concentrated towards small values of ECS, due to the fact that the majority of the EM-GC model runs with good fits to the climate record ( $\chi^2_{\text{ATM}}$ ,  $\chi^2_{\text{RECENT}}$ , and  $\chi^2_{\text{OCEAN}}$ ) have weak aerosol cooling and low values of  $\lambda_{\Sigma}$  (Fig. 5b). We use the aerosol weighting method to assign the same weights for the IPCC 2013 “likely” range limits of AER RF<sub>2011</sub> of  $-0.4$  and  $-1.5 \text{ W m}^{-2}$  at the one sigma values of a Gaussian, and the  $-0.1$  and  $-1.9 \text{ W m}^{-2}$  are at the two sigma values of a Gaussian. Using the aerosol weighting method adjusts our estimates of ECS so that the calculated percentiles occur at higher values.

Section 3.2 states “We tested our approach of calculating ECS utilizing the EM-GC to CMIP6 GCMs by altering the EM-GC framework to include CMIP6 output (see the supplement for details)”. To use the EM-GC framework with the CMIP6 output, we calculated the CMIP6 multi-model mean change in GMST from 1850-2100 using the SSP2-4.5 scenario. We used the standard deviation of the CMIP6 multi-model mean to represent the uncertainty in the rise in GMST for our reduced chi-squared calculations. We trained the EM-GC from 1850-2100, included the CMIP6 prescribed values of SAOD and TSI, and did not include any natural variability, since effects on GMST due to factors such as ENSO should be randomly distributed within the various CMIP6 GCM runs that constitute the CMIP6 multi-model mean. We used the average of the five (Fig. S13a) and the Cheng OHC (Fig. S13b) records to calculate the amount of heat exported to the world’s oceans. Our results in Fig. S13a,b show  $\Delta T_{2100}$  for the values of AER RF<sub>2011</sub> and  $\lambda_{\Sigma}$  that the EM-GC finds good fits to the CMIP6 multi-model GMST output for SSP2-4.5. Figure S13c shows the 5<sup>th</sup>, 25<sup>th</sup>, 50<sup>th</sup>, 75<sup>th</sup>, and 95<sup>th</sup> percentile values of ECS for the Gregory method and the altered EM-GC framework with the Cheng

OHC record and the average of the five OHC records. The comparison of ECS found using quite different approaches, illustrated in Figure S13, provides strong support for the veracity of ECS inferred from GCMs and from the climate record throughout our analysis.

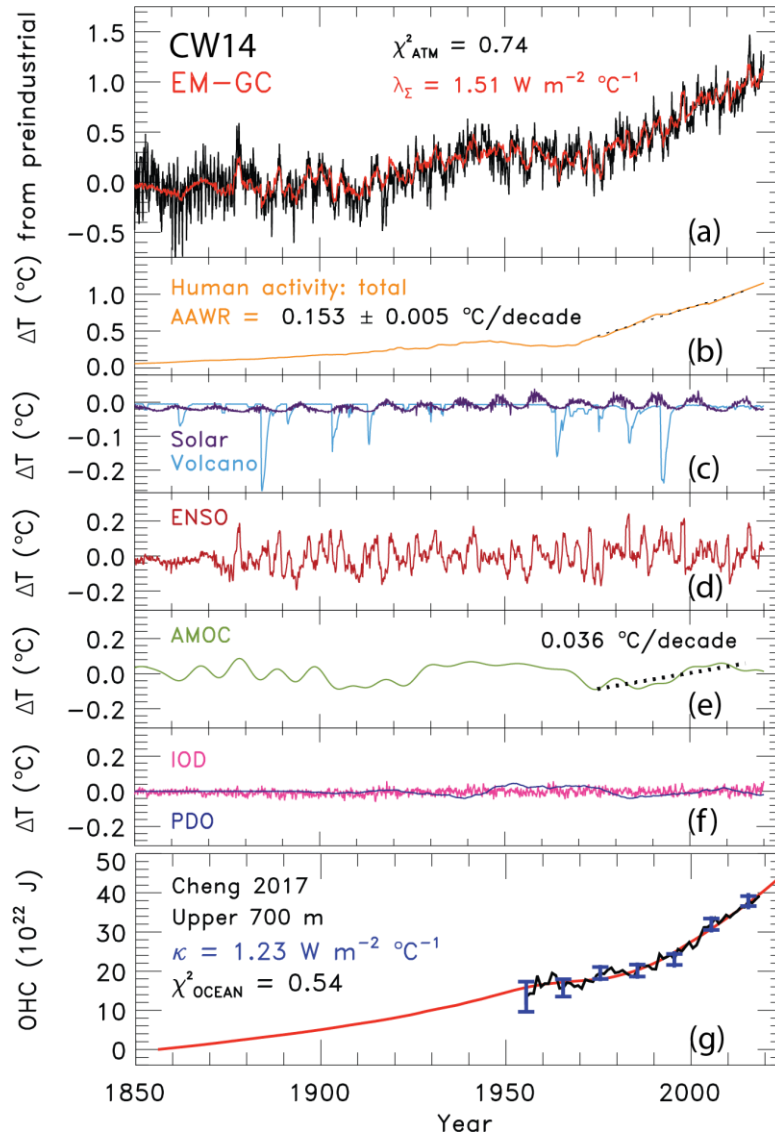


**Figure S1.** GMST anomaly in 2100 relative to pre-industrial ( $\Delta T_{2100}$ ) as a function of climate feedback parameter and  $AER\ RF_{2011}$  for two versions of the EM-GC. (a) The change in  $\Delta T_{2100}$  for SSP4-3.4 using the original formulation of Eq. (2) where  $Q_{OCEAN}$  is subtracted outside of the feedback multiplicative term. (b) The change in  $\Delta T_{2100}$  for SSP4-3.4 using the updated formulation of Eq. (2) where  $Q_{OCEAN}$  is subtracted within the feedback multiplicative term similar to Bony et al. (2006) and Schwartz (2012). The EM-GC is able to fit higher values of  $\lambda_{\Sigma}$  at strong aerosol cooling (around  $-1.5\ W\ m^{-2}$ ) for the new Eq. (2) compared to the original formulation in Canty et al. (2013) and Hope et al. (2017). The maximum value of future warming has increased due to the higher  $\lambda_{\Sigma}$  values.

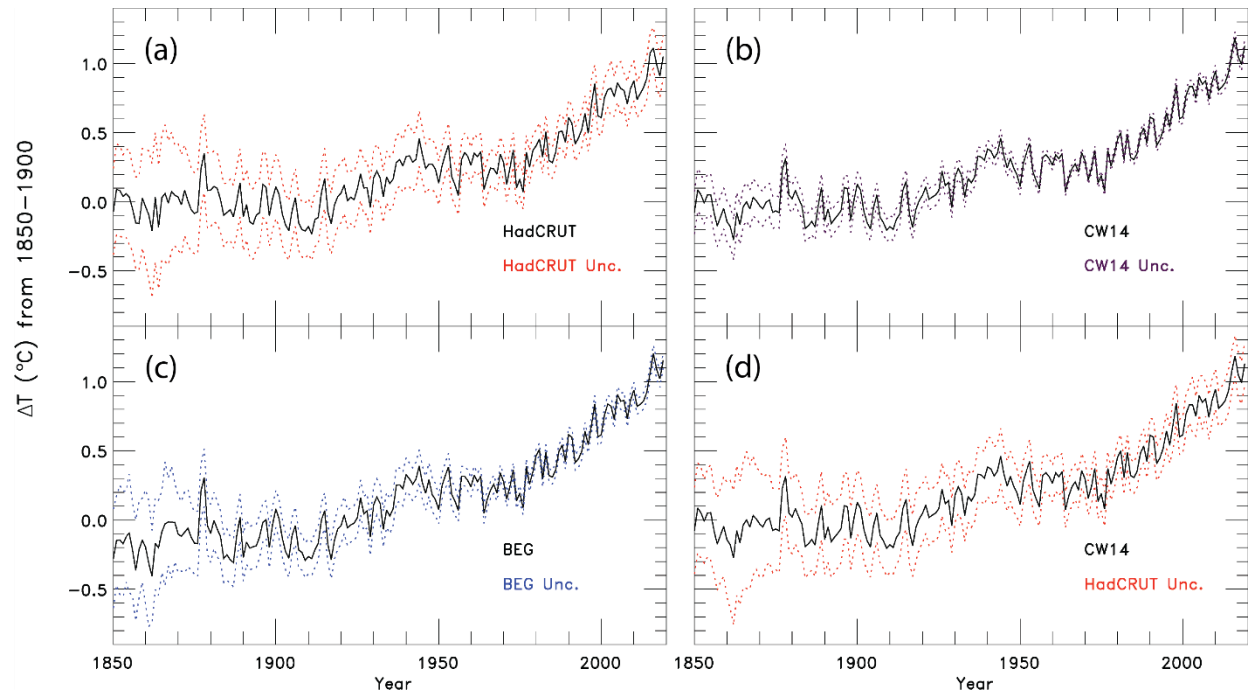


**Figure S2.**  $\Delta T_{2100}$  as a function of climate feedback parameter and tropospheric aerosol radiative forcing in 2011 using the EM-GC for SSP4-3.4. (a) Training period of 1850-1989. The region outside of the AER  $RF_{2011}$  range provided by IPCC 2013 is shaded (grey). Colors denote the GMST change in year 2100 relative to pre-industrial. The color bar is the same across all four panels for comparison. (b) Training period of 1850-1999. (c) Training period of 1850-2009. (d) Training period of 1850-2019, which is the normal training period used in our analysis.

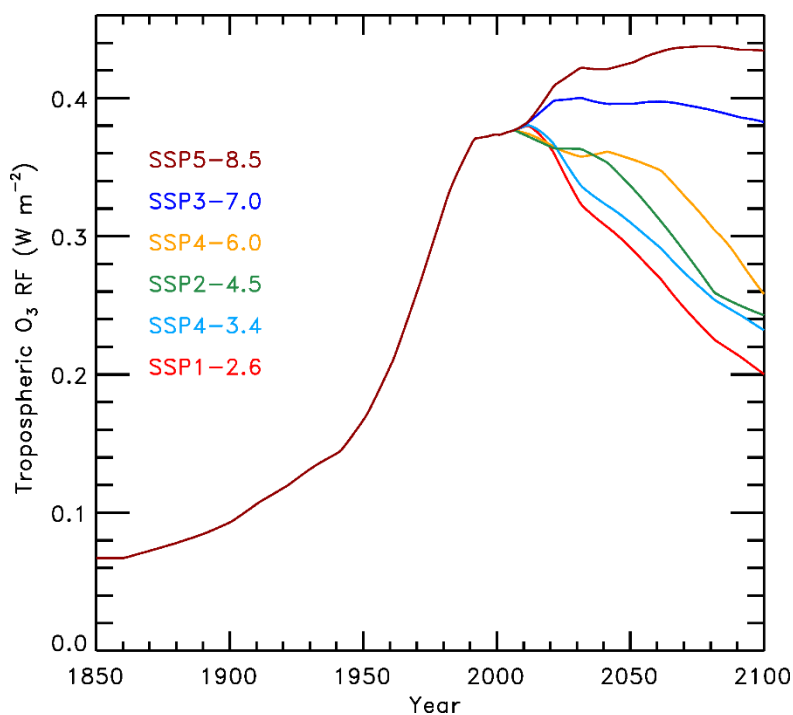




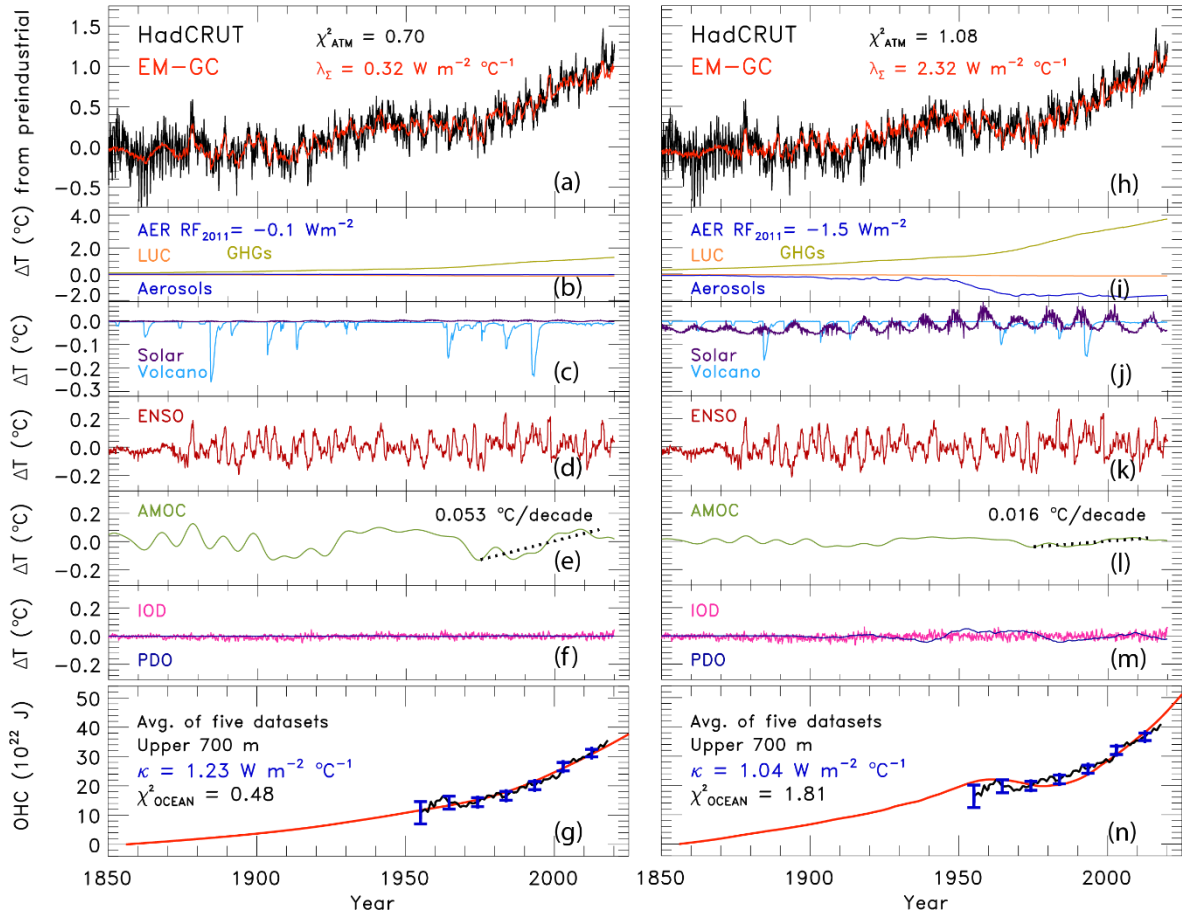
**Figure S3.** Measured and modeled GMST anomaly ( $\Delta T$ ) relative to a pre-industrial (1850-1900) baseline. (a) Observed from CW14 (black) and modeled (red)  $\Delta T$  from 1850-2019. This panel also displays the values of  $\lambda_{\Sigma}$  and  $\chi^2_{\text{ATM}}$  (see text) for this best-fit simulation. (b) Contributions from total human activity. This panel also denotes the numerical value of the attributable anthropogenic warming rate from 1975-2014 (black dashed) as well as the  $2\sigma$  uncertainty in the slope for the best estimate of AER RF<sub>2011</sub> of  $-0.9 \text{ W m}^{-2}$ . (c) Solar irradiance (light blue) and major volcanoes (purple). (d) Influences from ENSO on  $\Delta T$ . (e) Contributions from AMOC to  $\Delta T$  and to observed warming from 1975-2014. (f) Influences from PDO (blue) and IOD (pink) on  $\Delta T$ . (g) Measured (black) and modeled (red) ocean heat content (OHC) as a function of time for the Cheng et al (2017) OHC record, the value of  $\chi^2_{\text{OCEAN}}$  for this run, as well as the ocean heat uptake efficiency,  $\kappa$ , needed to provide the best-fit to the OHC record. The error bars (blue) denote the uncertainty in OHC used in this analysis (see Sect. 2.2.8).



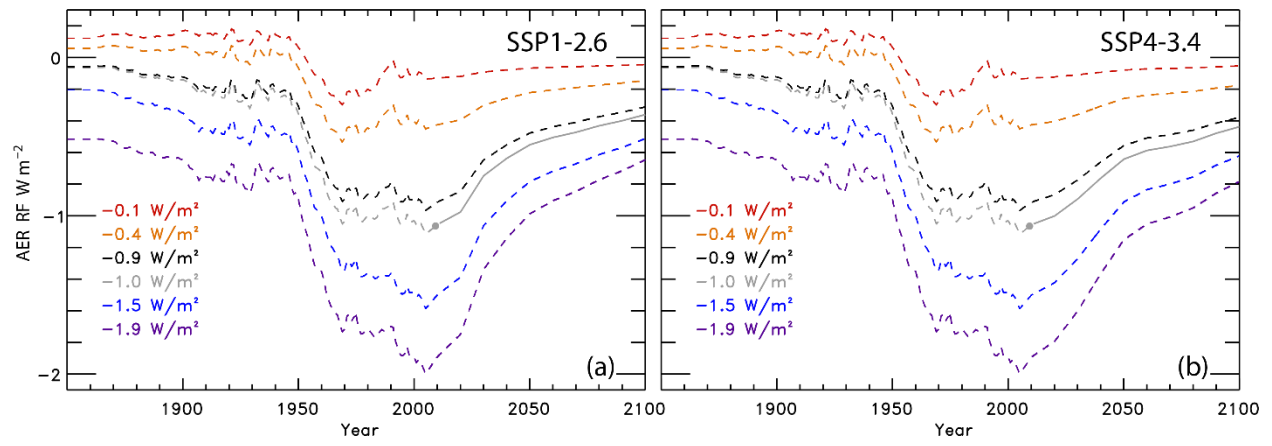
**Figure S4.** GMST anomaly relative to pre-industrial over time. (a) HadCRUT with the HadCRUT uncertainties. (b) CW14 with the CW14 uncertainties. (c) BEG with the BEG uncertainties. (d) CW14 with the HadCRUT uncertainties.



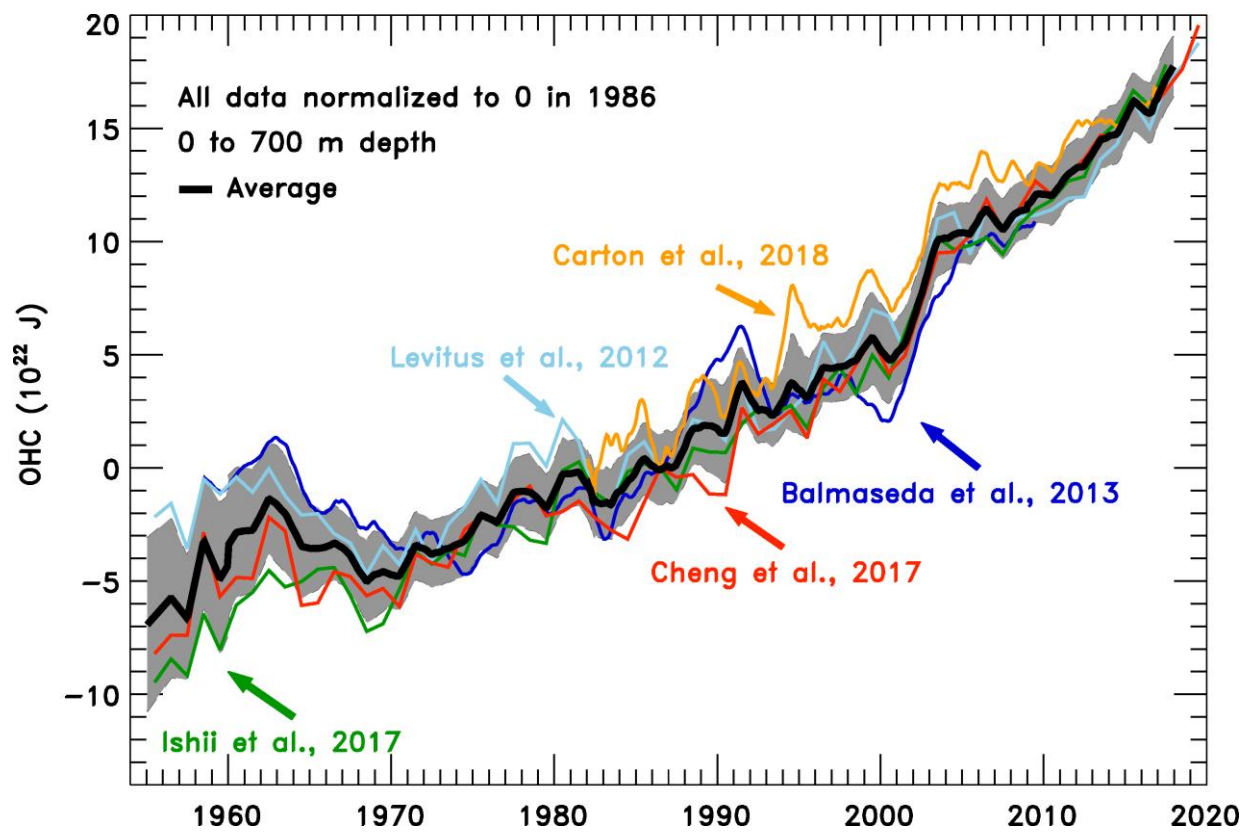
**Figure S2S5.** Radiative forcing of tropospheric ozone for the various SSPs analyzed in our study. The time series labeled SSP1-2.6, SSP2-4.5, SSP4-6.0, and SSP5-8.5 are from the corresponding RCP scenarios. We created the time series from SSP4-3.4 and SSP3-7.0 using linear combinations of the SSP1-2.6 and SSP5-8.5 time series.



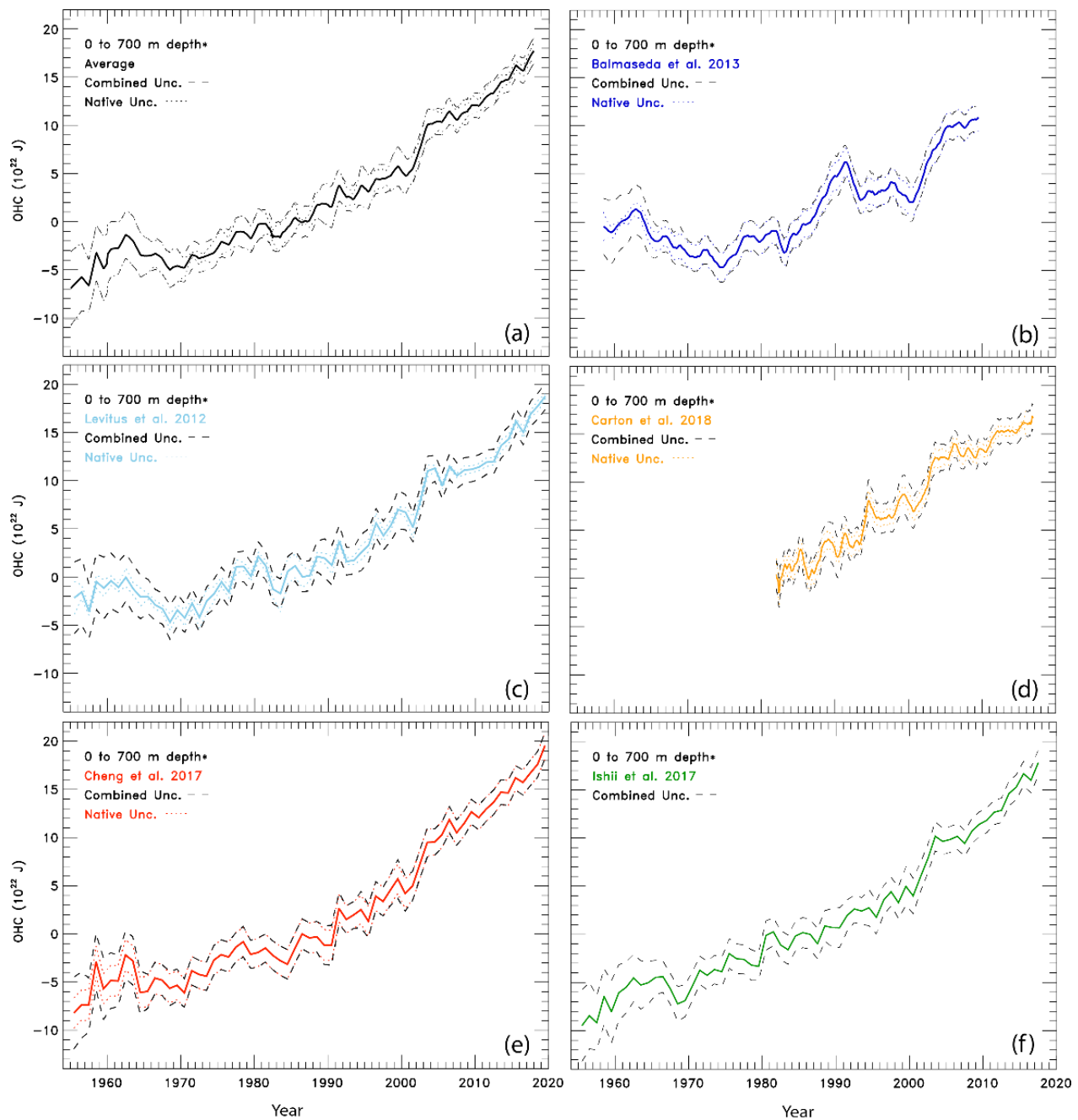
**Figure S6.** Measured and modeled GMST anomaly ( $\Delta T$ ) relative to a pre-industrial (1850-1900) baseline for an AER  $RF_{2011} = -0.1 \text{ W m}^{-2}$  and  $-1.5 \text{ W m}^{-2}$ . (a) Observed (black) and modeled (red)  $\Delta T$  from 1850-2019. This panel also displays the values of  $\lambda_{\Sigma}$  and  $\chi^2_{ATM}$  (see text) for this best-fit simulation. (b) Contributions from total human activity. This panel also denotes the numerical value of the attributable anthropogenic warming rate from 1975-2014 (black dashed) as well as the  $2\sigma$  uncertainty in the slope. (c) Solar irradiance (light blue) and major volcanoes (purple). (d) Influences from ENSO on  $\Delta T$ . (e) Contributions from AMOC to  $\Delta T$  and to observed warming from 1975-2014. (f) Influences from PDO (blue) and IOD (pink) on  $\Delta T$ . (g) Measured (black) and modeled (red) ocean heat content (OHC) as a function of time for the average of five data sets (see text), the value of  $\chi^2_{OCEAN}$  for this run, as well as the ocean heat uptake efficiency,  $\kappa$ , needed to provide the best-fit to the OHC record. The error bars (blue) denote the uncertainty in OHC used in this analysis (see Sect. 2.2.8). (h)-(n) Same as (a)-(g), except for AER  $RF_{2011} = -1.5 \text{ W m}^{-2}$ .



**Figure S3S7.** Radiative forcing time series due to tropospheric aerosols. (a) The RF time series due to tropospheric aerosols for SSP1-2.6. The solid grey circle denotes the value of  $\text{AER RF}_{2011}$  given by the SSP database. The solid grey lined labeled the  $-1.0 \text{ W m}^{-2}$  time series is the AER RF time series given by the SSP database for SSP1-2.6. We appended a historical AER RF time series from the RCP scenarios and created five additional AER RF time series as described in Sect. 2.2.4. (b) Anthropogenic aerosol radiative forcing time series for SSP4-3.4.

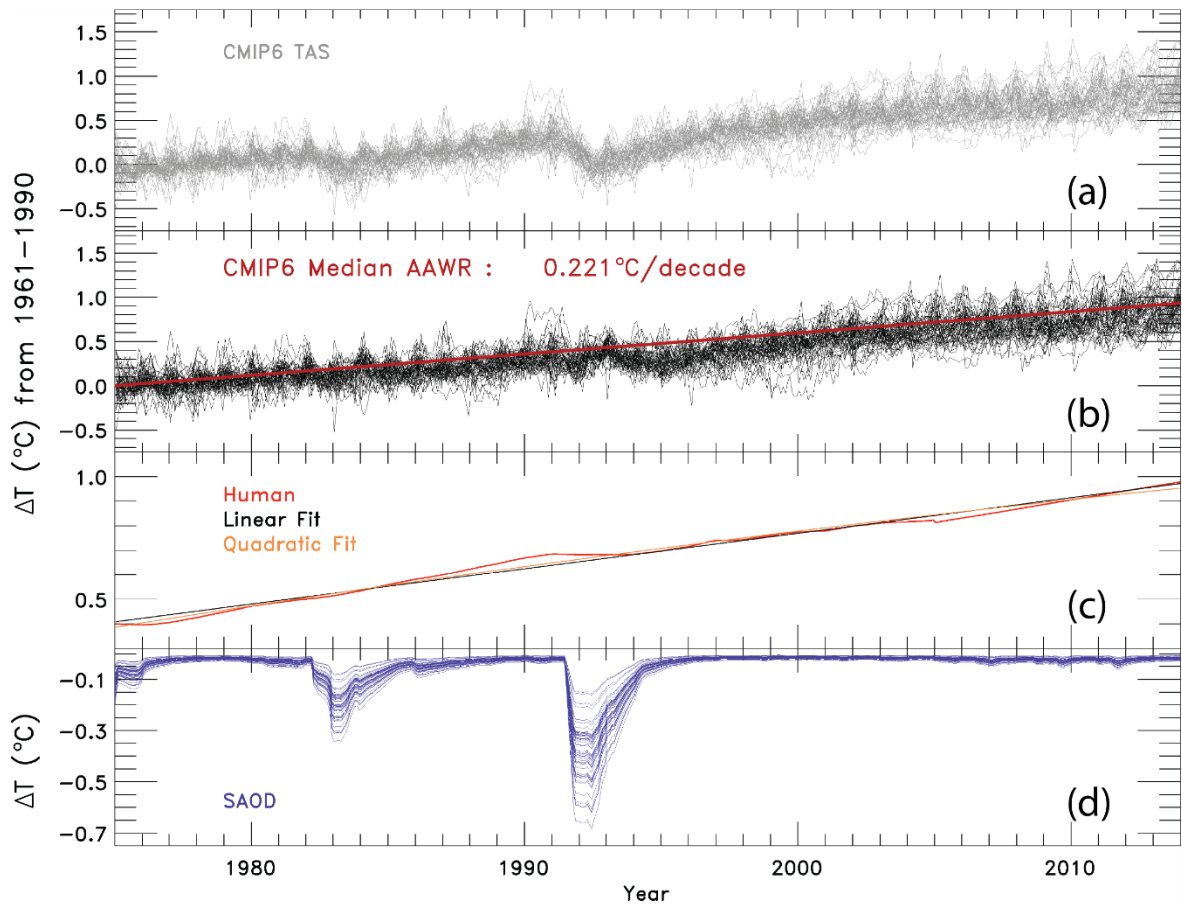


200 **Figure S4S8.** Ocean heat content time series. The five ocean heat content data records used in this analysis, normalized to the year 1986 because this year is in the middle of the average time series. The grey shaded region is the combined uncertainty estimate used in this analysis, centered around the average of the five data sets. The average of the ocean heat content records (1955 – 2017) is computed when there are three or more data sets available for a given year.

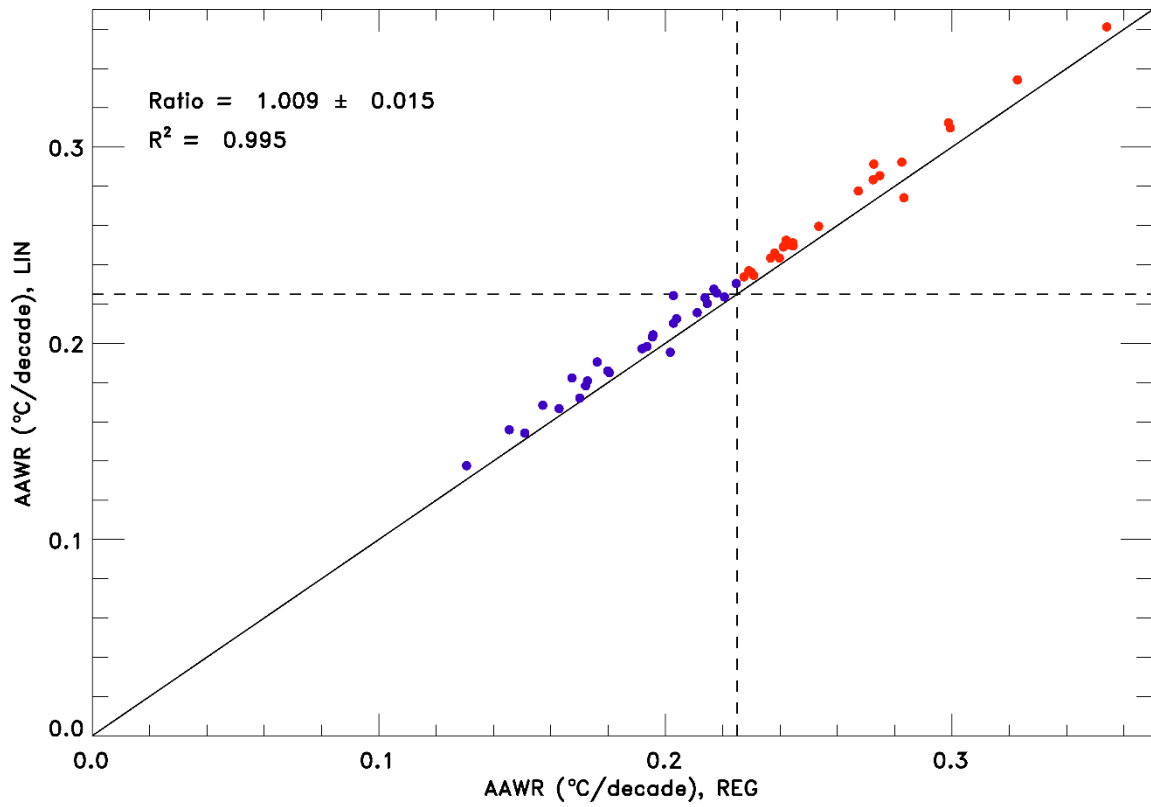


**Figure S5S9.** The ocean heat content records and uncertainty estimates analyzed in this study. (a) The average OHC record along with the standard deviation of the mean represented by the dotted black line, and the combined uncertainty of the 1-sigma standard deviation of the mean-average of the five OHC records and the Cheng et al. (2017) estimates shown as the dashed black line. (b) Balmaseda OHC record with the standard deviation of the five ORSA ensemble members as the dotted line, and the combined uncertainty as the dashed line. (c) Levitus OHC record with the standard error as the native uncertainty, and the combined uncertainty. (d) Carton OHC record with the standard deviation of the mean of multiple ensemble members, and the combined uncertainty. (e) Cheng OHC record with the  $1\sigma$  native uncertainty and the combined uncertainty. (f) Ishii OHC record with the combined uncertainty as the dashed line.





**Figure S10.** The change in GMST relative to 1961–1990 from the CMIP6 GCMs and the contribution from SAOD from 1975–2014. (a) The change in GMST from the 50 CMIP6 GCMs. (b) The residual in the change of GMST from the 50 CMIP6 GCMs after subtracting the contribution of SAOD determined by the updated REG method. The median value of AAWR is written on this panel and plotted in red. (c) The human component of global warming,  $\Delta T_{\text{ATM,HUMAN}}$ , from the EM-GC. A linear fit (black) and quadratic fit (red) are plotted on top to show that  $\Delta T_{\text{ATM,HUMAN}}$  is almost exactly linear. (d) The contribution of SAOD in the 50 CMIP6 GCMs using a lag month calculated for each model.



**Figure S11.** Values of AAWR for 50 CMIP6 GCMs using the LIN and REG methods. The solid black line is the 1:1 line and the vertical and horizontal dashed lines are the maximum value of AAWR determined using the EM-GC and the HadCRUT temperature record. The CMIP6 GCMs that have values of AAWR less than the maximum value from the EM-GC are blue, and the CMIP6 GCMs that have values of AAWR greater than the maximum value from the EM-GC are red. The slope,  $1\sigma$  standard deviation, and  $R^2$  of the values of AAWR from the CMIP6 GCMs are shown.

**Table S1.** Values of AAWR calculated using the EM-GC as a function of start and end year. The value of AAWR from 1975-2014 is shown in red. Each model run uses the best estimate of AER RF<sub>2011</sub> ( $-0.9 \text{ W m}^{-2}$ ), ~~and~~ the average of five OHC records, ~~and the HadCRUT GMST record~~. The impact on varying the start and end year on AAWR is slight, except when a short record is used (i.e. 1984-2004, a 21 year span). A two-decade time span is not long enough to calculate an accurate estimate of AAWR. The value of AAWR is more sensitive to the choice of OHC or temperature record used ~~than the chosen time span.~~

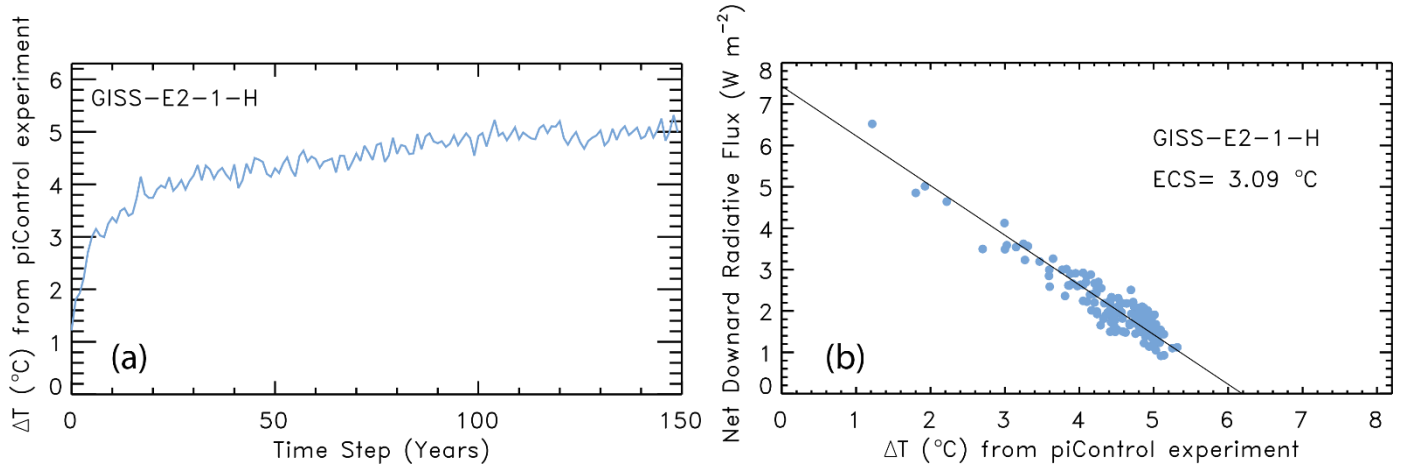
Start Year							
End Year	AAWR (°C/decade)	1970	1973	1975	1979	1982	1984
	2004	0.154 ± 0.006	0.153 ± 0.007	0.153 ± 0.008	0.145 ± 0.009	0.138 ± 0.010	0.130 ± 0.010
	2006	0.150 ± 0.006	0.149 ± 0.007	0.149 ± 0.008	0.141 ± 0.009	0.134 ± 0.009	0.126 ± 0.009
	2008	0.148 ± 0.006	0.146 ± 0.006	0.146 ± 0.007	0.138 ± 0.008	0.131 ± 0.008	0.124 ± 0.007
	2010	0.147 ± 0.005	0.145 ± 0.006	0.144 ± 0.007	0.137 ± 0.007	0.131 ± 0.007	0.125 ± 0.006
	2012	0.146 ± 0.005	0.144 ± 0.005	0.144 ± 0.006	0.137 ± 0.006	0.132 ± 0.006	0.128 ± 0.006
	2014	0.146 ± 0.004	0.145 ± 0.005	0.144 ± 0.005	0.139 ± 0.005	0.134 ± 0.006	0.130 ± 0.005
	2016	0.147 ± 0.004	0.145 ± 0.004	0.145 ± 0.005	0.140 ± 0.005	0.137 ± 0.005	0.134 ± 0.005
	2018	0.147 ± 0.003	0.146 ± 0.004	0.146 ± 0.004	0.142 ± 0.005	0.139 ± 0.005	0.137 ± 0.005

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**Table S2.** Average values of AAWR calculated from the CMIP6 multi-model results using the regression method as a function of start and end year. The uncertainty corresponds to the  $1\sigma$  standard deviation of AAWR found from the 50 GCMs. The value of AAWR from 1975-2014 is shown in red. The values of AAWR from the CMIP6 multi-model ensemble is more sensitive to the choice of start and end year than the EM-GC due to the small number of models. We use the same start and end year, 1975-2014, for the determination of AAWR for both the EM-GC and the CMIP6 multi-model ensemble for consistency.

		Start Year					
End Year	AAWR (°C/decade)	1970	1973	1975	1979	1982	1984
	2004	0. <del>175</del> <u>185</u>	0. <del>184</del> <u>196</u>	0. <del>190</del> <u>200</u>	0. <del>202</del> <u>208</u>	0. <del>204</del> <u>224</u>	0. <del>218</del> <u>230</u>
	2006	0. <del>186</del> <u>192</u>	0. <del>196</del> <u>203</u>	0. <del>202</del> <u>207</u>	0. <del>215</del> <u>216</u>	0. <del>226</del> <u>232</u>	0. <del>242</del> <u>238</u>
	2008	0. <del>193</del> <u>196</u>	0. <del>203</del> <u>207</u>	0. <del>209</del> <u>211</u>	0. <del>223</del> <u>220</u>	0. <del>237</del> <u>234</u>	0. <del>251</del> <u>241</u>
	2010	0. <del>197</del> <u>200</u>	0. <del>207</del> <u>209</u>	0. <del>213</del> <u>214</u>	0. <del>228</del> <u>222</u>	0. <del>242</del> <u>236</u>	0. <del>254</del> <u>241</u>
	2012	0. <del>202</del> <u>204</u>	0. <del>212</del> <u>213</u>	0.218	0. <del>234</del> <u>226</u>	0. <del>246</del> <u>239</u>	0. <del>257</del> <u>244</u>
	2014	0. <del>207</del> <u>208</u>	0.217	0. <del>225</del> <u>222</u>	0. <del>236</del> <u>230</u>	0. <del>248</del> <u>242</u>	0. <del>257</del> <u>247</u>

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**Figure S6S12.** Steps for the calculation of ECS using the Gregory et al. (2004) method. ~~ECS calculation to compute ECS from the CMIP6 multi-model ensemble~~ using GISS-E2-1-H (Kelley et al., 2020) as an example. (a) The change in Abrupt 4xCO<sub>2</sub> GMST (variable: tas) from the piControl experiment for 150 years. (b) Abrupt 4xCO<sub>2</sub> net downward radiative flux (variable: rtmt) versus the Abrupt 4xCO<sub>2</sub> GMST change from the piControl experiment for 150 years. The x-intercept of the orthogonal linear least squares fit of the GCM output shown in panel (b), divided by two yields the equilibrium climate sensitivity, which in this case is 3.09°C.

**Table S3.** Values of AAWR from 1975-2014 for the 50 CMIP6 multi-model Historical simulations available at time of the analysis (April 2020) for both the REG and LIN methods. The asterisk symbol (\*) indicates there is only one run used to compute the value of AAWR for that GCM. No asterisk indicates the AAWR value shown in the table is the average of the values of AAWR for all runs of that model. The average ratio of LIN to REG for all 50 models is  $1.04009 \pm 0.048015$ , shown at the bottom of the table [and in Fig. S11](#). The correlation coefficient ( $r^2$ ) of  $0.977995$  is also shown. We conclude our determination of AAWR from the CMIP6 multi-model ensemble is accurate to  $\pm 51\%$ , which is much smaller than the difference between the CMIP6 multi-model ensemble values of AAWR and those found using the EM-GC framework.

Model	AAWR, REG (°C/decade)	AAWR, LIN (°C/decade)	Model	AAWR, REG (°C/decade)	AAWR, LIN (°C/decade)
ACCESS-CM2	0.212211	0.216	GFDL-CM4*	0.238243	0.250
ACCESS-ESM1-5	0.233238	0.246	GFDL-ESM4	0.206203	0.224
AWI-CM-1-1-MR	0.214215	0.220	GISS-E2-1-G	0.192194	0.198
BCC-CSM2-MR	0.214217	0.228	GISS-E2-1-G-CC	0.200204	0.213
BCC-ESM1	0.238241	0.249	GISS-E2-1-H	0.234237	0.244
CAMS-CSM1-0	0.130131	0.138	HadGEM3-GC31-LL	0.277283	0.292
CanESM5	0.349354	0.361	HadGEM3-GC31-MM	0.225227	0.234
CanESM5-CanOE	0.322323	0.334	INM-CM4-8*	0.177173	0.181
CAS-ESM2-0	0.191196	0.204	INM-CM5-0	0.146	0.156
CESM2	0.234240	0.243	IPSL-CM6A-LR	0.229230	0.236
CESM2-FV2	0.213221	0.158224	KACE-1-0-G	0.250254	0.260
CESM2-WACCM	0.266273	0.291	MCM-UA-1-0	0.221225	0.231
CESM2-WACCM-FV2	0.224231	0.235	MIROC6	0.155157	0.168
CIesm	0.244245	0.251	MIROC-ES2L	0.161163	0.167
CNRM-CM6-1	0.200202	0.196	MPI-ESM1-2-HAM	0.173180	0.186
CNRM-CM6-1-HR*	0.165172	0.178	MPI-ESM1-2-HR	0.200195	0.203
CNRM-ESM2-1	0.167170	0.172	MPI-ESM1-2-LR	0.191192	0.197
E3SM-1-0	0.263267	0.278	MRI-ESM2-0	0.201203	0.210
E3SM-1-1*	0.277283	0.285	NESM3	0.242	0.253
E3SM-1-1-ECA*	0.272275	0.274	NorCPM1	0.178180	0.185
EC-Earth3*	0.291299	0.310	NorESM2-LM	0.170167	0.182
EC-Earth3-Veg*	0.216214	0.223	NorESM2-MM*	0.150151	0.154
FGOALS-f3-L	0.218	0.226	SAM0-UNICON*	0.241245	0.250
FGOALS-g3	0.181176	0.191	TaiESM1*	0.266273	0.283

FIO-ESM-2-0	0. <del>231</del> <u>229</u>	0.237	UKESM1-0-LL	0. <del>294</del> <u>299</u>	0.312
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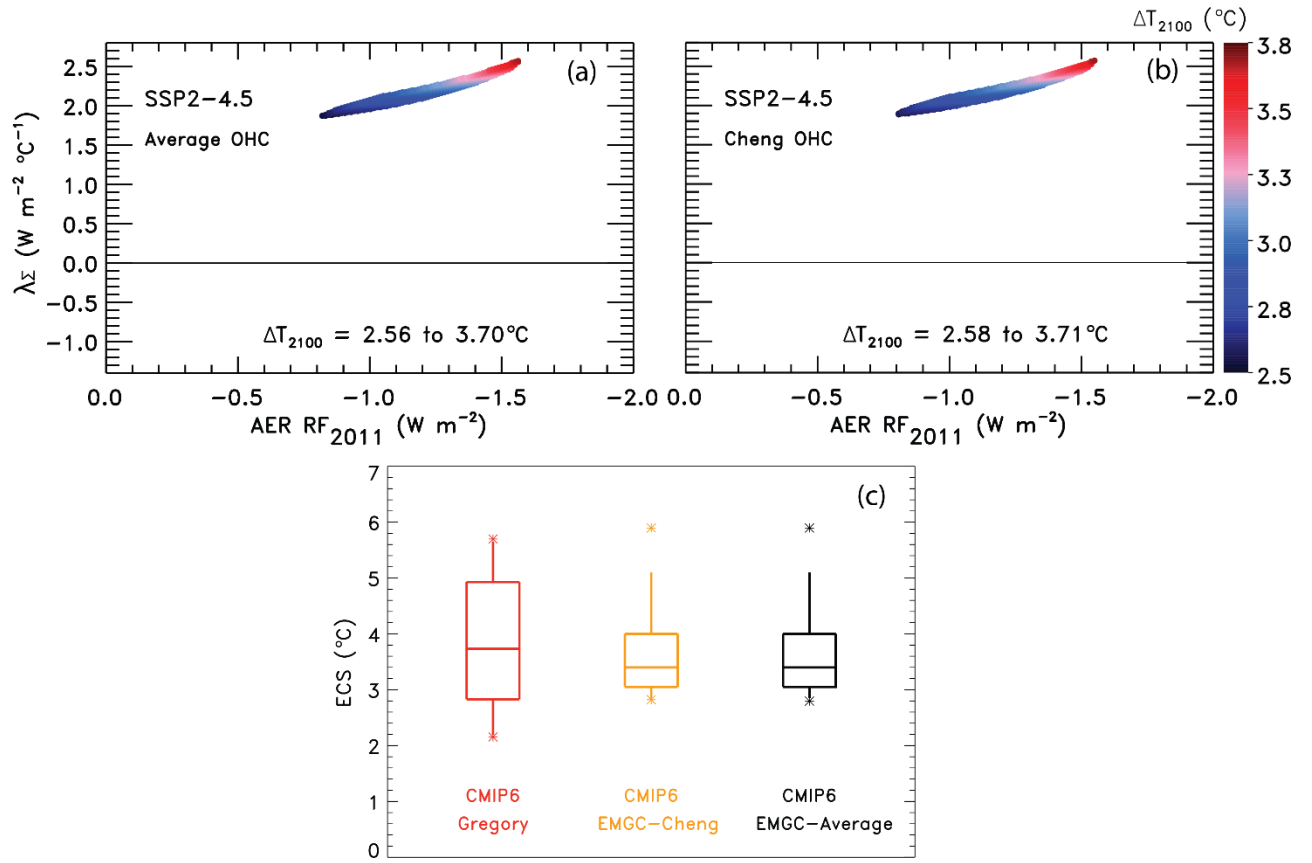
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Ratio = 1.~~04~~009 ± 0.~~048~~015

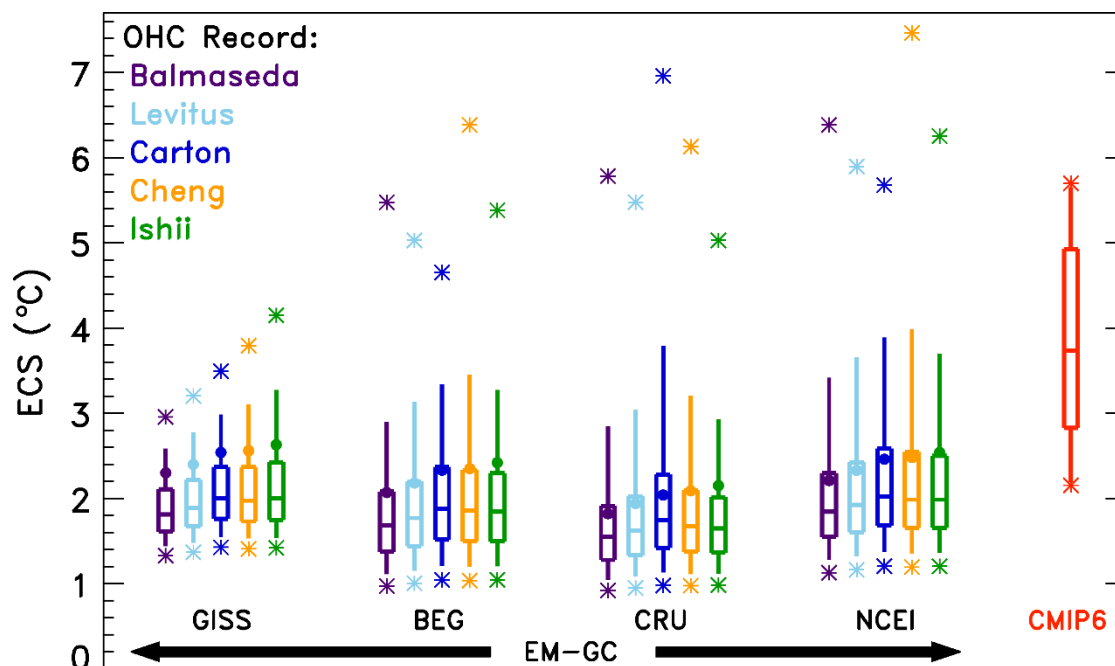
R<sup>2</sup> = 0.~~977~~995

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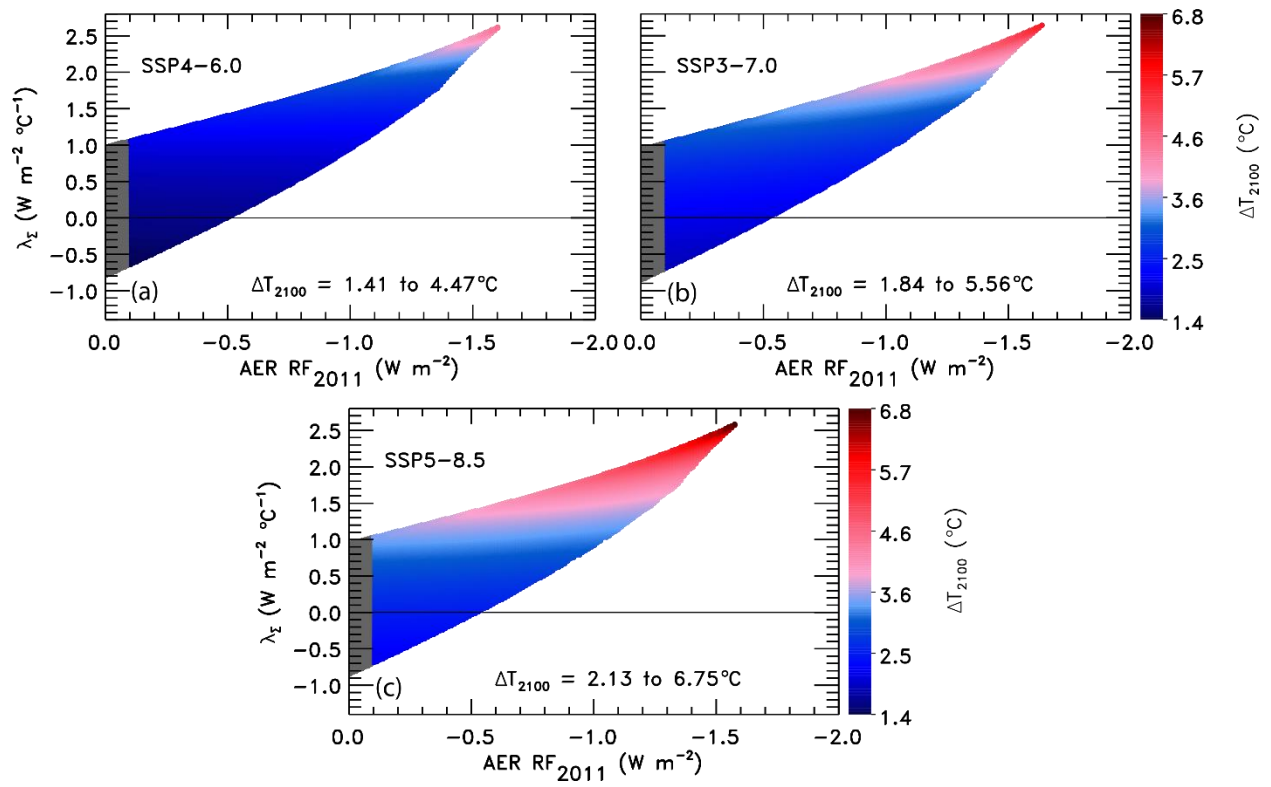
**Figure S13.** GMST anomaly in 2100 relative to pre-industrial ( $\Delta T_{2100}$ ) as a function of climate feedback parameter and AER  $\text{RF}_{2011}$  and the values of ECS from the CMIP6 GCMs using three methods. (a)  $\Delta T_{2100}$  for SSP2-4.5 using the CMIP6 multi-model mean and the average of the five OHC records. (b)  $\Delta T_{2100}$  for SSP2-4.5 using the CMIP6 multi-model mean and the Cheng et al. (2017) OHC record. (c) Values of ECS found using the Gregory et al. (2004) method (red), CMIP6 multi-model mean using the Cheng et al. (2017) OHC (orange), and the CMIP6 multi-model mean using the average of the five OHC records. The box represents the 25<sup>th</sup> 50<sup>th</sup>, and 75<sup>th</sup> percentiles of the values of ECS and the whiskers denote the 5<sup>th</sup> and 95<sup>th</sup> percentiles. The stars indicate the minimum and maximum values of ECS.



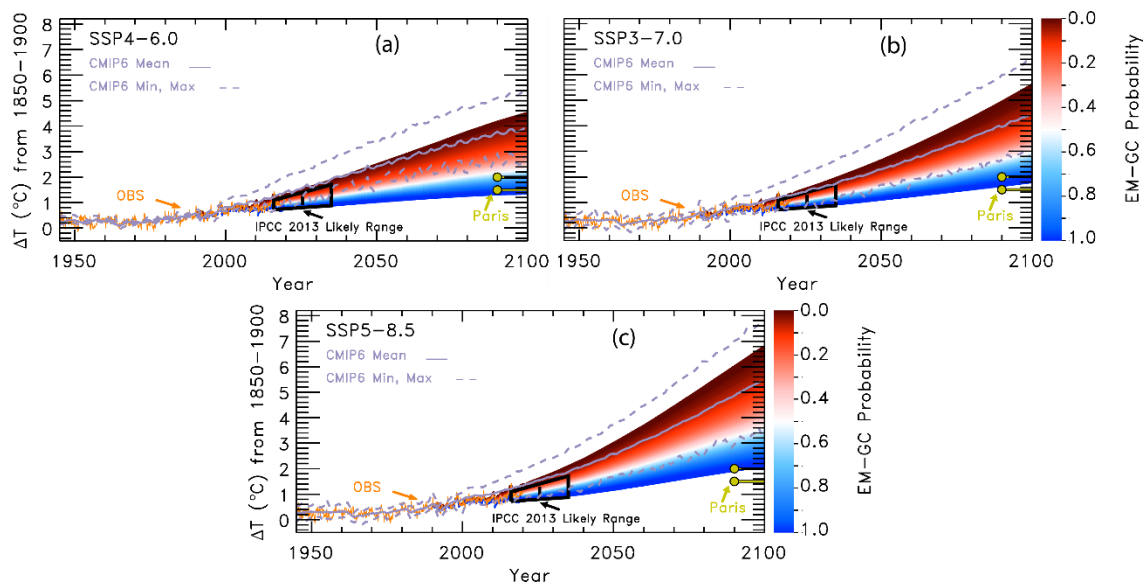
**Figure S7S14.** Values of ECS found using the EM-GC and the CMIP6 multi-model ensemble without the aerosol weighting method. Values of ECS utilizing the EM-GC are calculated using four temperature data sets and five ocean heat content records (as indicated). The box represents the 25<sup>th</sup>, 50<sup>th</sup>, and 75<sup>th</sup> percentiles of the values of ECS and the whiskers denote the 5<sup>th</sup> and 95<sup>th</sup> percentiles for the different OHC records and each temperature record without using the aerosol weighting method (unweighted). The stars indicate the minimum and maximum values of ECS. The circles are the values of ECS associated with the best estimate of AER RF<sub>2011</sub> of  $-0.9 \text{ W m}^{-2}$ . The box labeled CMIP6 is the 25<sup>th</sup>, 50<sup>th</sup>, and 75<sup>th</sup> percentiles of the values of ECS from the CMIP6 multi-model ensemble, the whiskers indicate the 5<sup>th</sup> and 95<sup>th</sup> percentiles, and the stars represent the minimum and maximum values of ECS from the CMIP6 multi-model ensemble.

**Table S4.** Equilibrium climate sensitivity (ECS) from 28 CMIP6 GCMs. We can only calculate ECS for GCMs that provide Abrupt 4×CO<sub>2</sub> near surface air temperature (output variable: tas), net downward radiative flux (output variable: rtmt), and piControl near surface air temperature (output variable: tas) to the CMIP6 archive at time of the analysis (April 2020). All estimates are for one model run except for CanESM5, which is the average of two runs.

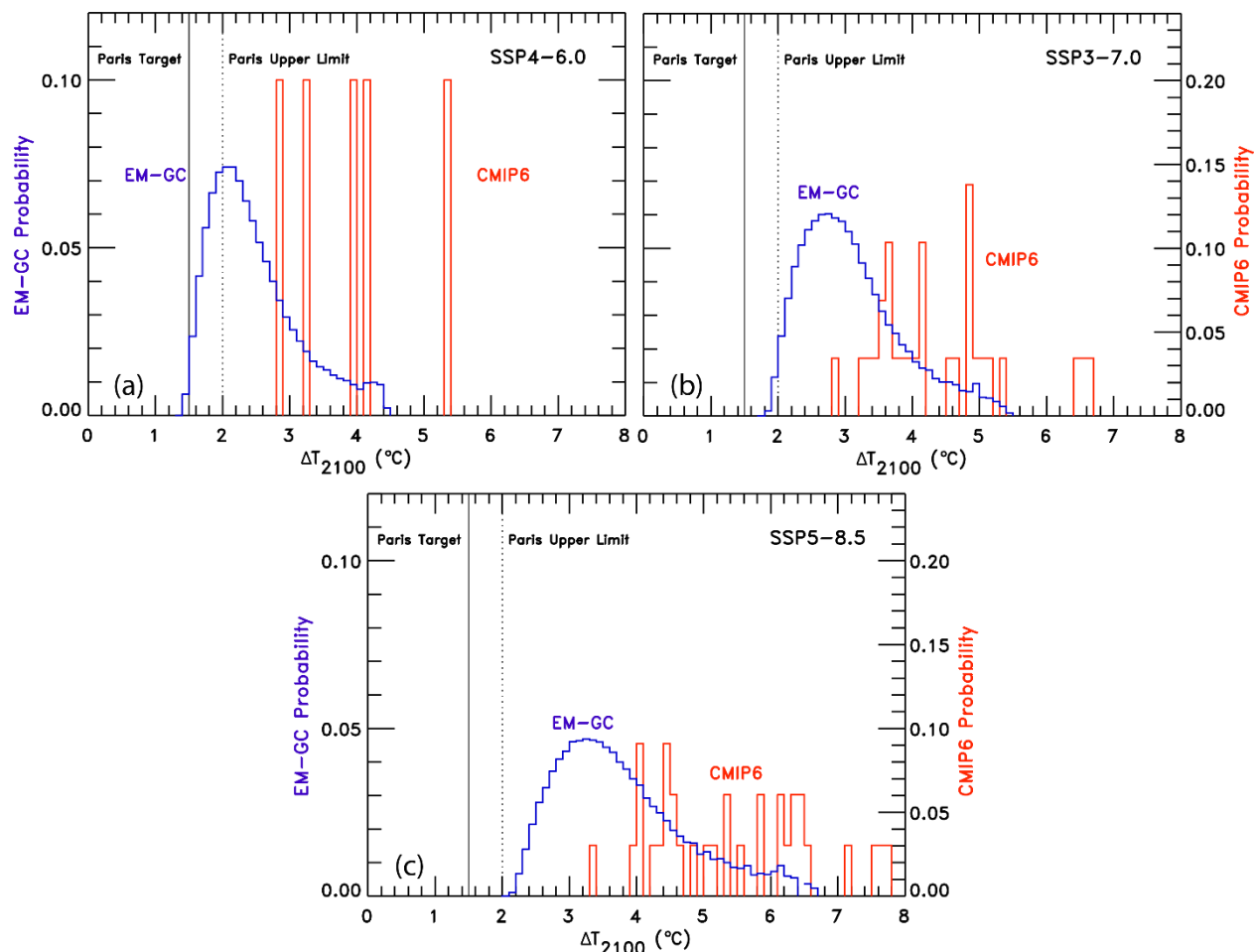
Model	ECS (K)
ACCESS-CM2	4.93
ACCESS-ESM1-5	3.63
BCC-CSM2-MR	3.16
BCC-ESM1	3.74
CanESM5	5.70
CESM2	5.32
CESM2-FV2	5.06
CESM2-WACCM	4.73
CESM2-WACCM-FV2	4.56
E3SM-1-0	5.28
EC-Earth3-Veg	4.34
GFDL-CM4	3.78
GFDL-ESM4	2.61
GISS-E2-1-G	2.71
GISS-E2-2-G	2.25
GISS-E2-1-H	3.09
HadGEM3-GC31-LL	5.65
INM-CM4-8	2.32
INM-CM5-0	2.39
IPSL-CM6A-LR	4.97
MCM-UA-1-0	3.68
MIROC6	2.84
MIROC-ES2L	2.83
NorESM2-LM	2.19
NorESM2-MM	2.15
SAM0-UNICON	3.53
TaiESM1	4.33
UKESM1-0-LL	5.40



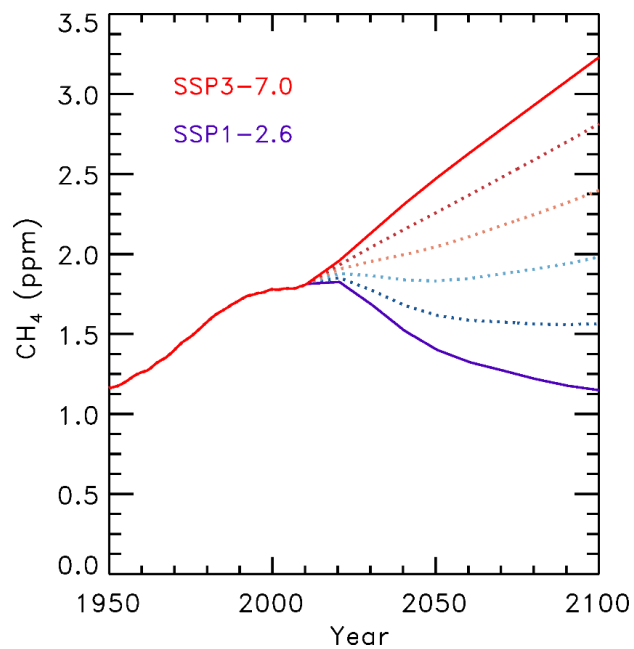
**Figure S8S15.** GMST anomaly in 2100 from pre-industrial ( $\Delta T_{2100}$ ) as a function of climate feedback parameter and  $AER\ RF_{2011}$ . (a)  $\Delta T_{2100}$  for SSP4-6.0. The region outside of the tropospheric aerosol radiative forcing range provided by IPCC 2013 (Myhre et al., 2013) is shaded grey. Colors denote the change in  $\Delta T_{2100}$ . (b)  $\Delta T_{2100}$  for SSP3-7.0. (c)  $\Delta T_{2100}$  for SSP5-8.5.



**Figure S9S16.** Probabilistic forecasts of future projections of  $\Delta T$  using the EM-GC for the SSP4-6.0, SSP3-7.0, and SSP5-8.5 scenarios. (a) Future projections of  $\Delta T$  for SSP4-6.0. Observations (orange) are from CRU. The IPCC 2013 likely range of warming (black) is from Figure 11.25b of chapter 11 of the IPCC 2013 report. The Paris Agreement target and upper limit (yellow) are shown for comparison to projections of  $\Delta T$  using the EM-GC. The CMIP6 minimum, multi-model mean, and maximum values of the rise in  $\Delta T$  are shown to compare to projections from the EM-GC. Colors denote the probability of reaching at least that temperature by the end of the century and are computed using the aerosol weighting method (see Sect. 2.5). (b) Future projections of  $\Delta T$  for SSP3-7.0. (c) Future projections of  $\Delta T$  for SSP5-8.5.



**Figure S10S17.** Probability density functions (PDF) for the increase in  $\Delta T_{2100}$  using the EM-GC and the CMIP6 multi-model ensemble. (a) PDF for EM-GC (blue) results and CMIP6 multi-model results (red) for SSP4-6.0. The left-hand y-axis is for EM-GC probabilities and the righthand y-axis is for GCM probabilities. (b) PDF for SSP3-7.0. (c) PDF for SSP5-8.5.



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**Figure S4S18.** Blended methane mixing ratios. The dotted lines are linear combinations of the time series of methane abundances using SSP1-2.6 and SSP3-7.0 to span the range of values of future methane. The solid lines are the SSP1-2.6 and SSP3-7.0 methane mixing ratio time series.



Institution	Model	Model Output
AS-RCEC	TaiESM1	No reference provided
AWI	AWI-CM-1-1-MR	(Semmler et al., 2018a, 2018b, 2018c, 2019a, 2019b)
BCC	BCC-CSM2-MR	(Wu et al., 2018a, 2018b, 2018c; Xin et al., 2019a, 2019b, 2019c, 2019d)
	BCC-ESM1	(Zhang et al., 2018a, 2018b, 2019)
CAMS	CAMS-CSM1-0	(Rong, 2019a, 2019b, 2019c, 2019d, 2019e, 2019f)
	CAS-ESM2-0	(Chai, 2019)
CAS	FGOALS-f3-L	(YU, 2019a, 2019b, 2019c, 2019d, 2019e)
	FGOALS-g3	(Li, 2019a, 2019b, 2019c, 2019d, 2019e)
CCCma	CanESM5	(Swart et al., 2019f, 2019g, 2019h, 2019i, 2019j, 2019k, 2019l, 2019m, 2019n, 2019o)
	CanESM5-CanOE	(Swart et al., 2019a, 2019b, 2019c, 2019d, 2019e)
	CNRM-CM6-1	(Voldoire, 2018, 2019c, 2019d, 2019e, 2019f)
CNRM-CERFACS	CNRM-CM6-1-HR	(Voldoire, 2019a, 2019b, 2020a, 2020b)
	CNRM-ESM2-1	(Seferian, 2018; Voldoire, 2019g, 2019h, 2019i, 2019j, 2019k, 2019l)
CSIRO	ACCESS-ESM1-5	(Ziehn et al., 2019a, 2019b, 2019c, 2019d, 2019e, 2019f, 2019g)
CSIRO-ARCCSS	ACCESS-CM2	(Dix et al., 2019a, 2019b, 2019c, 2019d, 2019e, 2019f, 2019g)
E3SM-Project	E3SM-1-0	(Bader et al., 2018, 2019a, 2019b)
	E3SM-1-1-ECA	(Bader et al., 2020)
E3SM-Project RUBISCO	E3SM-1-1	(Bader et al., 2019c)
EC-Earth-Consortium	EC-Earth3	(EC-Earth Consortium (EC-Earth), 2019i, 2019j, 2019k, 2019l, 2019m)
	EC-Earth3-Veg	(EC-Earth Consortium (EC-Earth), 2019a, 2019b, 2019c, 2019d, 2019e, 2019f, 2019g, 2019h)

FIO-QLNM	FIO-ESM-2-0	(Song et al., 2019a, 2019b, 2019c, 2019d)
HAMMOZ-Consortium	MPI-ESM1-2-HAM	(Neubauer et al., 2019)
	INM-CM4-8	(Volodin et al., 2019a, 2019b, 2019c, 2019d, 2019e, 2019f, 2019g)
	INM-CM5-0	(Volodin et al., 2019m, 2019h, 2019n, 2019i, 2019j, 2019k, 2019l)
IPSL	IPSL-CM6A-LR	(Boucher et al., 2018a, 2018b, 2018c, 2019a, 2019b, 2019c, 2019d, 2019e, 2019f, 2019g)
MIROC	MIROC6	(Shiogama et al., 2019a, 2019b, 2019c, 2019d, 2019e, 2019f, 2019g; Tatebe and Watanabe, 2018a, 2018b, 2018c)
	MIROC-ES2L	(Hajima et al., 2019; Tachiiri et al., 2019a, 2019b, 2019c, 2019d, 2019e)
MOHC	HadGEM3-GC31-MM	(Ridley et al., 2019c)
MOHC NERC	HadGEM3-GC31-LL	(Good, 2019, 2020a, 2020b; Ridley et al., 2018, 2019a, 2019b)
MOHC, NERC, NIMS-KMA, NIWA	UKESM1-0-LL	(Byun, 2020; Good et al., 2019a, 2019b, 2019c, 2019d, 2019e, 2019f; Tang et al., 2019a, 2019b, 2019c)
MPI-M AWI	MPI-ESM1-2-LR	(Wieners et al., 2019a, 2019b, 2019c, 2019d, 2019e)
MPI-M DWD DKRZ	MPI-ESM1-2-HR	(Jungclaus et al., 2019; Schupfner et al., 2019a, 2019b, 2019c, 2019d; Steger et al., 2019)
MRI	MRI-ESM2-0	(Yukimoto et al., 2019a, 2019b, 2019c, 2019d, 2019e, 2019f, 2019g, 2019h)
	GISS-E2-1-G	(NASA Goddard Institute for Space Studies (NASA/GISS), 2018a, 2018b, 2018c, 2020a, 2020b, 2020c, 2020d)
	GISS-E2-1-G-CC	No reference provided
NASA-GISS	GISS-E2-2-G	(NASA Goddard Institute for Space Studies (NASA/GISS), 2019a)
	GISS-E2-1-H	(NASA Goddard Institute for Space Studies (NASA/GISS), 2018d, 2019b, 2019c)
NCAR	CESM2-WACCM-FV2	(Danabasoglu, 2019d, 2019e, 2020a)

NCC	CESM2	(Danabasoglu, 2019c, 2019d, 2019e, 2019f, 2019g, 2019h; Danabasoglu et al., 2019)
	CESM2-FV2	(Danabasoglu, 2019b, 2019c, 2020b)
	CESM2-WACCM	(Danabasoglu, 2019f, 2019g, 2019h, 2019a, 2019i, 2019j, 2019k)
	NorCPM1	(Bethke et al., 2019a, 2019b, 2019c)
	NorESM2-LM	(Seland et al., 2019a, 2019b, 2019c, 2019d, 2019e, 2019f, 2019g)
NIMS-KMA	NorESM2-MM	(Bentsen et al., 2019a, 2019b, 2019c, 2019d, 2019e, 2019f, 2019g)
	KACE-1-0-G	(Byun et al., 2019a, 2019b, 2019c, 2019d, 2019e)
NOAA-GFDL	GFDL-CM4	(Guo et al., 2018a, 2018b, 2018c, 2018d, 2018e)
	GFDL-ESM4	(John et al., 2018a, 2018b, 2018c, 2018d, 2018e; Krasting et al., 2018a, 2018b, 2018c)
NUIST	NESM3	(Cao, 2019a, 2019b, 2019c; Cao and Wang, 2019)
SNU	SAM0-UNICON	(Park and Shin, 2019a, 2019b, 2019c)
THU	CIESM	(Huang, 2019a, 2019b, 2020a, 2020b)
UA	MCM-UA-1-0	(Stouffer, 2019a, 2019b, 2019c, 2019d, 2019e, 2019f, 2019g)

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