

1 **Historical and future contributions of inland waters to the Congo basin**

2 **carbon balance**

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20

21 **Abstract**

22 As the second largest area of contiguous tropical rainforest and second largest river basin in
23 the world, the Congo basin has a significant role to play in the global carbon (C) cycle. For the
24 present day, it has been shown that a significant proportion of global terrestrial net primary
25 productivity (NPP) is transferred laterally to the land-ocean aquatic continuum (LOAC) as
26 dissolved CO₂, dissolved organic carbon (DOC) and particulate organic carbon (POC). Whilst
27 the importance of LOAC fluxes in the Congo basin has been demonstrated for the present day,
28 it is not known to what extent these fluxes have been perturbed historically, how they are likely
29 to change under future climate change and land use scenarios, and in turn what impact these
30 changes might have on the overall C cycle of the basin. Here we apply the ORCHILEAK model
31 to the Congo basin and estimate that 4% of terrestrial NPP (NPP = 5,800 ±166 Tg C yr⁻¹) is

32 currently exported from soils and vegetation to inland waters. Further, our results suggest that
33 aquatic C fluxes may have undergone considerable perturbation since 1861 to the present day,
34 with aquatic CO₂ evasion and C export to the coast increasing by 26% (186 ±41 Tg C yr⁻¹ to
35 235 ±54 Tg C yr⁻¹) and 25% (12 ±3 Tg C yr⁻¹ to 15 ±4 Tg C yr⁻¹) respectively, largely because
36 of rising atmospheric CO₂ concentrations. Moreover, under climate scenario RCP 6.0 we
37 predict that this perturbation could continue; over the full simulation period (1861-2099), we
38 estimate that aquatic CO₂ evasion and C export to the coast could increase by 79% and 67%
39 respectively. Finally, we show that the proportion of terrestrial NPP lost to the LOAC could
40 increase from approximately 3% to 5% from 1861-2099 as a result of increasing atmospheric
41 CO₂ concentrations and climate change. However, our future projections of the Congo basin C
42 fluxes in particular need to be interpreted with some caution due to model limitations. We
43 discuss these limitations, including the wider challenges associated with applying the current
44 generation of land surface models which ignore nutrient dynamics to make future projections
45 of the tropical C cycle, along with potential next steps.

46 **1. Introduction**

47 As the world's second largest area of contiguous tropical rainforest and second largest river,
48 the Congo basin has a significant role to play in the global carbon (C) cycle. Current estimates
49 of its C stocks and fluxes are limited by a sparsity of field data and therefore have substantial
50 uncertainties, both quantified and unquantified (Williams et al., 2007; Lewis et al., 2009;
51 Dargie et al., 2017). Nevertheless, it has been estimated that there is approximately 50 Pg C
52 stored in its above ground biomass (Verhegghen et al., 2012), and up to 100 Pg C contained
53 within its soils (Williams et al., 2007). Moreover, a recent study estimated that around 30 (6.3–
54 46.8) Pg C is stored in the peats of the Congo alone (Dargie et al., 2017). Field data suggest
55 that storage in tree biomass increased by 0.34 (0.15- 0.43) Pg C yr⁻¹ in intact African tropical
56 forests between 1968-2007 (Lewis et al., 2009) due in large part to a combination of increasing

57 atmospheric CO₂ concentrations and climate change (Ciais et al., 2009; Pan et al., 2015), while
58 satellite data indicates that terrestrial net primary productivity (NPP) has increased by an
59 average of 10 g C m⁻² yr⁻¹ per year between 2001 and 2013 in tropical Africa (Yin et al., 2017).

60 At the same time, forest degradation, clearing for rotational agriculture and logging are causing
61 C losses to the atmosphere (Zhuravleva et al., 2013; Tyukavina et al., 2018) while droughts
62 have reduced vegetation greenness and water storage over the last decade (Zhou et al., 2014).

63 A recent estimate of above ground C stocks of tropical African forests, mainly in the Congo,
64 indicates a minor net C loss from 2010 to 2017 (Fan et al., 2019). Moreover, recent field data
65 suggests that the above ground C sink in tropical Africa was relatively stable from 1985 to
66 2015 (Hubau et al., 2020).

67 There are large uncertainties associated with projecting future trends in the Congo basin
68 terrestrial C cycle, firstly related to predicting which trajectories of future CO₂ levels and land
69 use changes will occur, and secondly to our ability to fully understand and simulate these
70 changes and in turn their impacts. Future model projections for the 21st century agree that
71 temperature will significantly increase under both low and high emission scenarios (Haensler
72 et al., 2013), while precipitation is only projected to substantially increase under high emission
73 scenarios, the basin mean remaining more or less unchanged under low emission scenarios
74 (Haensler et al., 2013). Uncertainties in future land-use change projections for Africa are
75 among the highest for any continent (Hurtt et al., 2011).

76 For the present day at the global scale, it has been estimated that between 1 and 5 Pg C yr⁻¹ is
77 transferred laterally to the land-ocean aquatic continuum (LOAC) as dissolved CO₂, dissolved
78 organic carbon (DOC) and particulate organic carbon (POC) (Cole et al., 2007; Battin et al.,
79 2009; Regnier et al., 2013; Drake et al., 2018; Ciais et al. 2020). This C can subsequently be
80 evaded back to the atmosphere as CO₂, undergo sedimentation in wetlands and inland waters,

81 or be transported to estuaries or the coast. The tropical region is a hotspot area for inland water
82 C cycling (Richey et al., 2002; Melack et al., 2004; Abril et al., 2014; Borges et al., 2015^a;
83 Lauerwald et al., 2015) due to high terrestrial NPP and precipitation, and a recent study used
84 an upscaling approach based on observations to estimate present day CO₂ evasion from the
85 rivers of the Congo basin at 251±46 Tg C yr⁻¹ and the lateral C (TOC +DIC) export to the coast
86 at 15.5 (13-18) Tg C yr⁻¹ (Borges et al., 2015^a; Borges et al., 2019). To put this into context,
87 their estimate of aquatic CO₂ evasion represents 39% of the global value estimated by
88 Lauerwald et al. (2015, 650 Tg C yr⁻¹) or 14% of the global estimate of Raymond et al. (2013,
89 1,800 Tg C yr⁻¹). Note that while Lauerwald et al. (2015) and Raymond et al. (2013) relied
90 largely on the same database of partial pressure of CO₂ (*p*CO₂) measurements (GloRiCh,
91 Hartmann et al., 2014) as the basis for their estimates, they took different, albeit both
92 empirically led approaches. Moreover, both approaches were limited by a relative paucity of
93 data from the tropics, which also explains the high degree of uncertainty associated with our
94 understanding of global riverine CO₂ evasion.

95 Whilst the importance of LOAC fluxes in the Congo basin has been demonstrated for the
96 present day, it is not known to what extent these fluxes have been perturbed historically, how
97 they are likely to change under future climate change and land use scenarios, and in turn what
98 impact these changes might have on the overall C balance of the Congo. In light of these
99 knowledge gaps, we address the following research questions:

- 100 • What is the relative contribution of LOAC fluxes (CO₂ evasion and C export to the
101 coast) to the present-day C balance of the basin?
- 102 • To what extent have LOAC fluxes changed from 1860 to the present day and what are
103 the primary drivers of this change?

- 104 • How will these fluxes change under future climate and land use change scenarios (RCP
105 6.0 which represents the “no mitigation scenario”) and what are the implications of this
106 change?

107

108 Understanding and quantifying these long-term changes requires a complex and integrated
109 mass-conservation modelling approach. The ORCHILEAK model (Lauerwald et al., 2017), a
110 new version of the land surface model ORCHIDEE (Krinner et al., 2005), is capable of
111 simulating observed terrestrial and aquatic C fluxes in a consistent manner for the present day
112 in the Amazon (Lauerwald et al., 2017) and Lena (Bowring et al., 2019^a; Bowring et al., 2019^b)
113 basins, albeit with limitations including a lack of explicit representation of POC fluxes and in-
114 stream autotrophic production (see Lauerwald et al., 2017; Bowring et al., 2019^a; Bowring et
115 al., 2019^b and Hastie et al., 2019 for further discussion). Moreover, it was recently demonstrated
116 that this model could recreate observed seasonal and interannual variation in Amazon aquatic
117 and terrestrial C fluxes (Hastie et al., 2019).

118 In order to accurately simulate aquatic C fluxes, it is crucial to provide a realistic representation
119 of the hydrological dynamics of the Congo River, including its wetlands. Here, we develop
120 new wetland forcing files for the ORCHILEAK model from the high-resolution dataset of
121 Gumbrecht et al. (2017) and apply the model to the Congo basin. After validating the model
122 against observations of discharge, flooded area, DOC concentrations and $p\text{CO}_2$ for the present
123 day, we then use the model to understand and quantify the long- term (1861-2099) temporal
124 trends in both the terrestrial and aquatic C fluxes of the Congo Basin.

125 **2. Methods**

126 ORCHILEAK (Lauerwald et al., 2017) is a branch of the ORCHIDEE land surface model
127 (LSM), building on past model developments such as ORCHIDEE-SOM (Camino Serrano,
128 2018), and represents one of the first LSM-based approaches which fully integrates the aquatic

129 C cycle within the terrestrial domain. ORCHILEAK simulates DOC production in the canopy
130 and soils, the leaching of dissolved CO₂ and DOC to the river from the soil, the mineralization
131 of DOC, and in turn the evasion of CO₂ to the atmosphere from the water surface. Moreover,
132 it represents the transfer of C between litter, soils and water within floodplains and swamps
133 (see section 2.2). Once within the river routing scheme, ORCHILEAK assumes that the lateral
134 transfer of CO₂ and DOC are proportional to the volume of water. DOC is divided into a
135 refractory and labile pool within the river, with half-lives of 80 and 2 days respectively. The
136 refractory pool corresponds to the combined slow and passive DOC pools of the soil C scheme,
137 and the labile pool corresponds to the active soil pool (see section 2.4.1). The concentration of
138 dissolved CO₂ and the temperature-dependent solubility of CO₂ are used to calculate $p\text{CO}_2$ in
139 the water column. In turn, CO₂ evasion is calculated based on $p\text{CO}_2$, along with a diurnally
140 variable water surface area and a gas exchange velocity. Fixed gas exchange velocities of 3.5
141 m d⁻¹ and 0.65 m d⁻¹ respectively are used for rivers (including open floodplains) and forested
142 floodplains.

143 In this study, as in previous studies (Lauerwald et al., 2017, Hastie et al. 2019, Bowring et al.,
144 2019^{a,b}), we run the model at a spatial resolution of 1° and use the default time step of 30 min
145 for all vertical transfers of water, energy and C between vegetation, soil and the atmosphere,
146 and the daily time-step for the lateral routing of water. Until now, in the Tropics, ORCHILEAK
147 has been parameterized and calibrated only for the Amazon River basin (Lauerwald et al., 2017,
148 Hastie et al. 2019). To adapt and apply ORCHILEAK to the specific characteristics of the
149 Congo River basin (2.1), we had to establish new forcing files representing the maximal
150 fraction of floodplains (MFF) and the maximal fraction of swamps (MFS) (2.2) and to
151 recalibrate the river routing module of ORCHILEAK (2.3). All of the processes represented in
152 ORCHILEAK remain identical to those previously represented for the Amazon ORCHILEAK
153 (Lauerwald et al., 2017; Hastie et al., 2019). In the following methodology sections, we

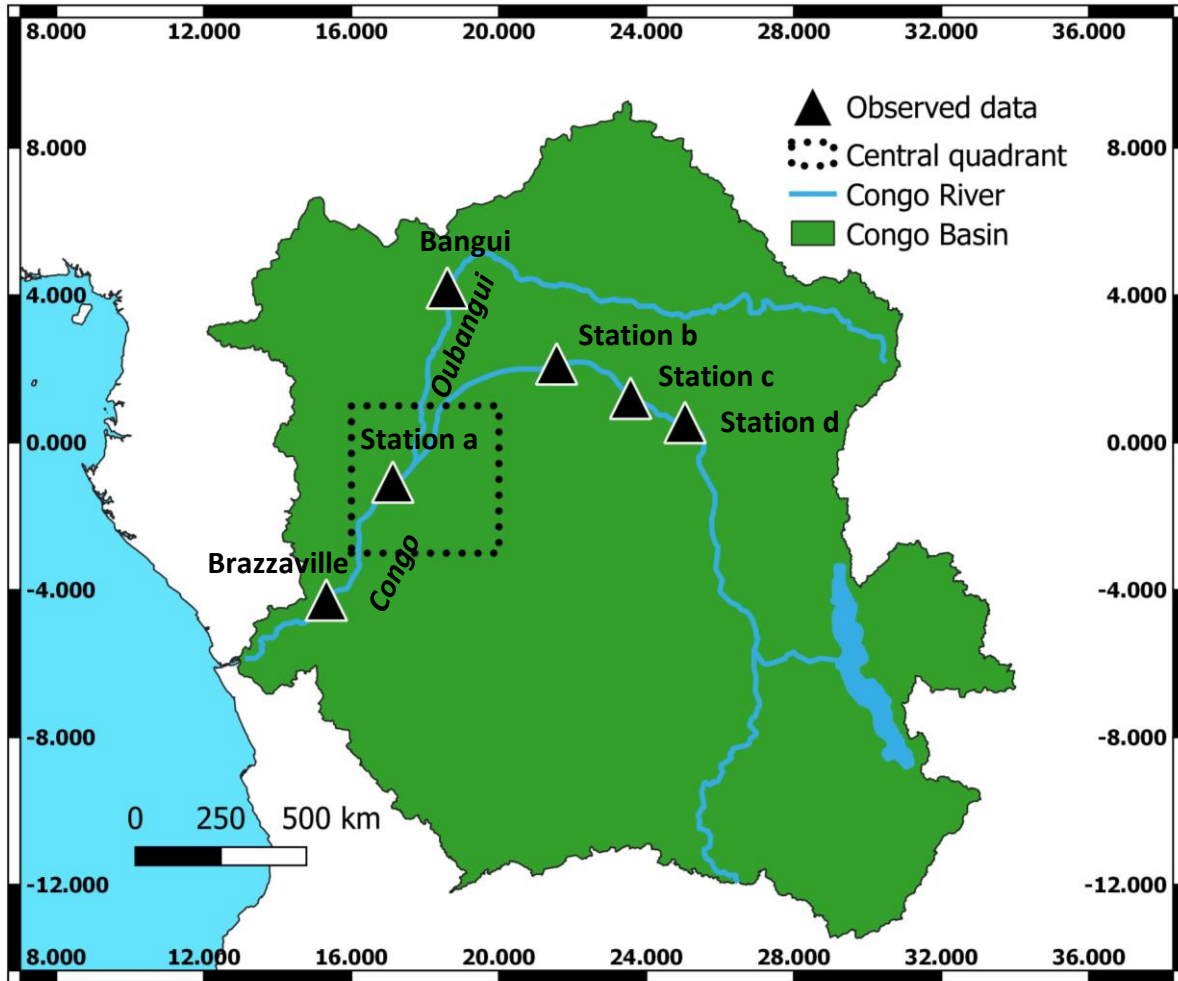
154 describe; 2.1- Congo basin description, 2.2- Development of floodplains and swamps forcing
155 files, 2.3- Calibration of hydrology, 2.4- Simulation set-up, 2.5- Evaluation and analysis of
156 simulated fluvial C fluxes, and 2.6- Calculating the net carbon balance of the Congo Basin. For
157 a full description of the ORCHILEAK model please see Lauerwald et al. (2017).

158 **2.1 Congo basin description**

159 The Congo Basin is the world's second largest area of contiguous tropical rainforest and second
160 largest river basin in the world (Fig. 1), covering an area of $3.7 \times 10^6 \text{ km}^2$, with a mean discharge
161 of around $42,000 \text{ m}^3 \text{ s}^{-1}$ (O'Loughlin et al., 2013) and a variation between $24,700\text{--}75,500 \text{ m}^3$
162 s^{-1} across months (Coynel et al., 2005).

163

164



166 **Figure 1: Extent of the Congo Basin, central quadrant of the “Cuvette Centrale” and sampling**
 167 **stations (for DOC and discharge) along the Congo and Oubangui Rivers (in italic).**

168

169 The major climate (ISMSIP2b, Frieler et al., 2017; Lang et al., 2017) and land-cover (LUH-

170 CMIP5) characteristics of the Congo Basin for the present day (1981-2010) are shown in Figure

171 2. The mean annual temperature is 25.2 °C but with considerable spatial variation from a low

172 of 18.4°C to a high of 27.2°C (Fig. 2 a), while mean annual rainfall is 1520mm, varying from

173 733 mm to 4087 mm (Fig. 2 b). ORCHILEAK prescribes 13 different plant functional types

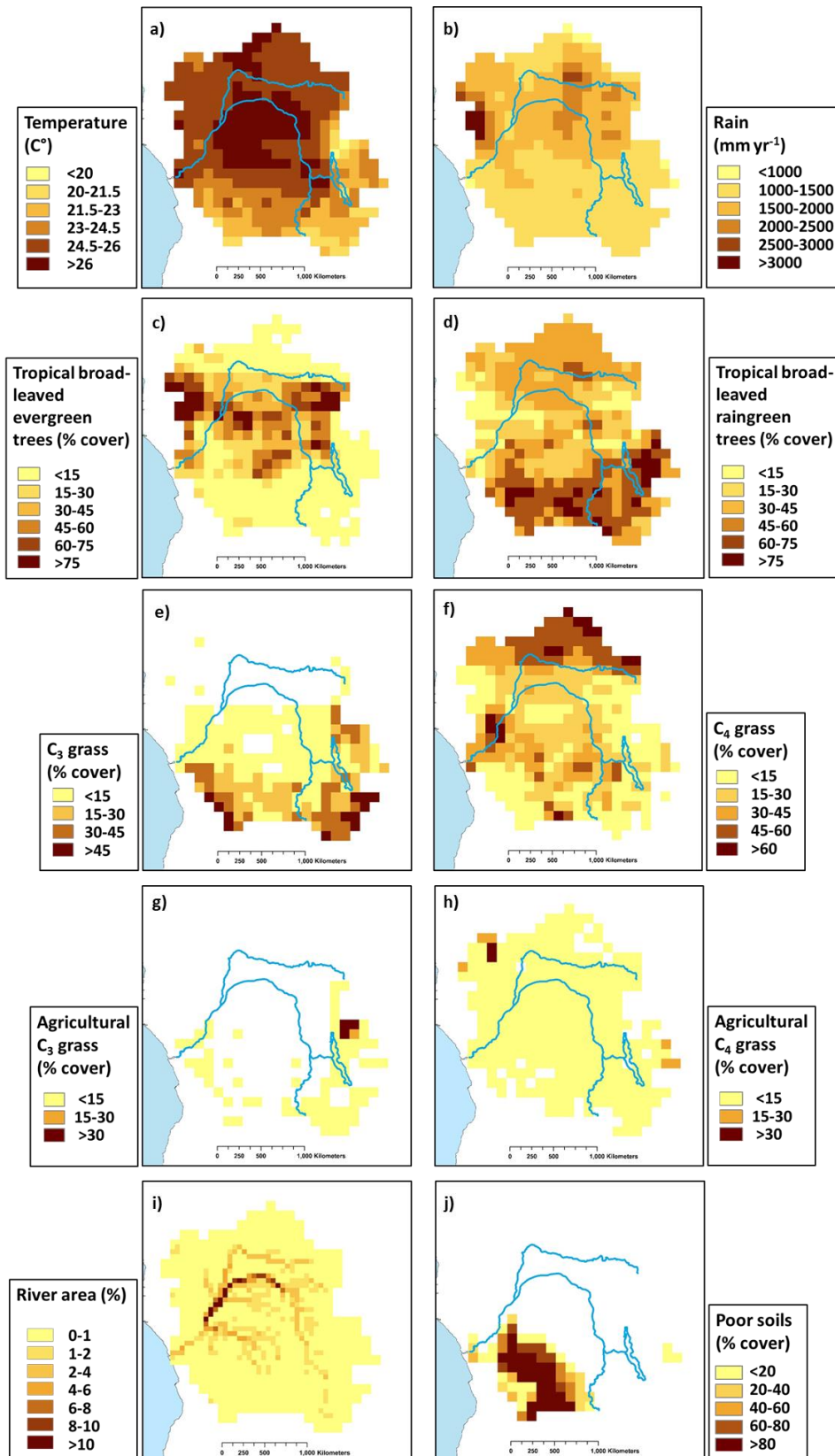
174 (PFTs). Land-use is mixed with tropical broad-leaved evergreen (PFT2, Fig. 1 c), tropical

175 broad-leaved rain green (PFT3, Fig. 1 d), C₃ grass (PFT10, Fig. 2 e) and C₄ grass (PFT11, Fig.

176 2 f) covering a maximum of 26%, 35%, 8% and 25% of the basin area respectively (Table A3).

177 Most published estimates for land-cover follow national boundaries and so we can make broad

178 comparisons with published estimates for the Democratic Republic of Congo (DRC). For
179 example, our value for total forest cover for the DRC (65%), is close to the 67% and 68%
180 values estimated by the Congo Basin Forest Partnership (CBFP, 2009), and Potapov et al.
181 (2012), respectively. Agriculture covers only a small proportion of the basin according to the
182 LUH dataset that is based on FAO cropland area statistics, with C3 (PFT12, Fig. 2 g) and C4
183 (PFT13, Fig. 2 h) agriculture making up a maximum basin area of 0.5 and 2% respectively. In
184 reality, a larger fraction of the basin is composed of small scale and rotational agriculture
185 (Tyukavina et al., 2018). The ORCHILEAK model also has a “poor soils” forcing file (Fig. 2
186 j) which prescribes reduced decomposition rates in soils with low nutrient and pH soils such as
187 Podzols and Arenosols (Lauerwald et al., 2017). This file is developed from the Harmonized
188 World Soil Database (FAO/IIASA/ISRIC/ISS-CAS/JRC, 2009).



189

190 **Figure 2: Present day (1981-2010) spatial distribution of the principal climate and land-use**
 191 **drivers used in ORCHILEAK, across the Congo Basin; a) mean annual temperature in °C, b)**
 192 **mean annual rainfall in mm yr⁻¹, c)-h) mean annual maximum vegetated fraction for PFTs 2,3,**

193 **10,11,12 and 13, i) river area, and j) Poor soils. All at a resolution of 1° except for river area**
194 **(0.5°).**

195 **2.2 Development of floodplains and swamps forcing files**

196 In ORCHILEAK, water in the river network can be diverted to two types of wetlands,
197 floodplains and swamps. In each grid where a floodplain exists, a temporary waterbody can be
198 formed adjacent to the river and is fed by the river once bank-full discharge (see section 2.3)
199 is exceeded. In grids where swamps exist, a constant proportion of river discharge is fed into
200 the base of the soil column; ORCHILEAK does not explicitly represent a groundwater reservoir
201 and so this imitates the hydrological coupling of swamps and rivers through the groundwater
202 table. The maximal proportions of each grid which can be covered by floodplains and swamps
203 are prescribed by the maximal fraction of floodplains (MFF) and the maximal fraction of
204 swamps (MFS) forcing files respectively (Guimberteau et al., 2012). See also Lauerwald et al.
205 (2017) and Hastie et al. (2019) for further details. We created an MFF forcing file for the Congo
206 basin, derived from the Global Wetlands^{v3} database; the 232 m resolution tropical wetland map
207 of Gumbricht et al. (2017) (Fig. 3 a and b). We firstly amalgamated all the categories of wetland
208 (which include floodplains and swamps) before aggregating them to a resolution of 0.5° (the
209 resolution at which the floodplain/swamp forcing files are read by ORCHILEAK), assuming
210 that this represents the maximum extent of inundation in the basin. This results in a mean MFF
211 of 10%, i.e. a maximum of 10% of the surface area of the Congo basin can be inundated with
212 water. This is identical to the mean MFF value of 10% produced with the Global Lakes and
213 Wetlands Database, GLWD (Lehner, & Döll, P.,2004; Borges et al., 2015^b). We also created
214 an MFS forcing file from the same dataset (Fig. 3 c and d), merging the ‘swamps’ and ‘fens’
215 wetland categories (although note that there are virtually no fens in the Congo basin) from
216 Global Wetlands^{v3} database (Gumbricht et al., 2017) and again aggregating them to a 0.5°
217 resolution. Please see Table 1 of Gumbricht et al. (2017) for further details.

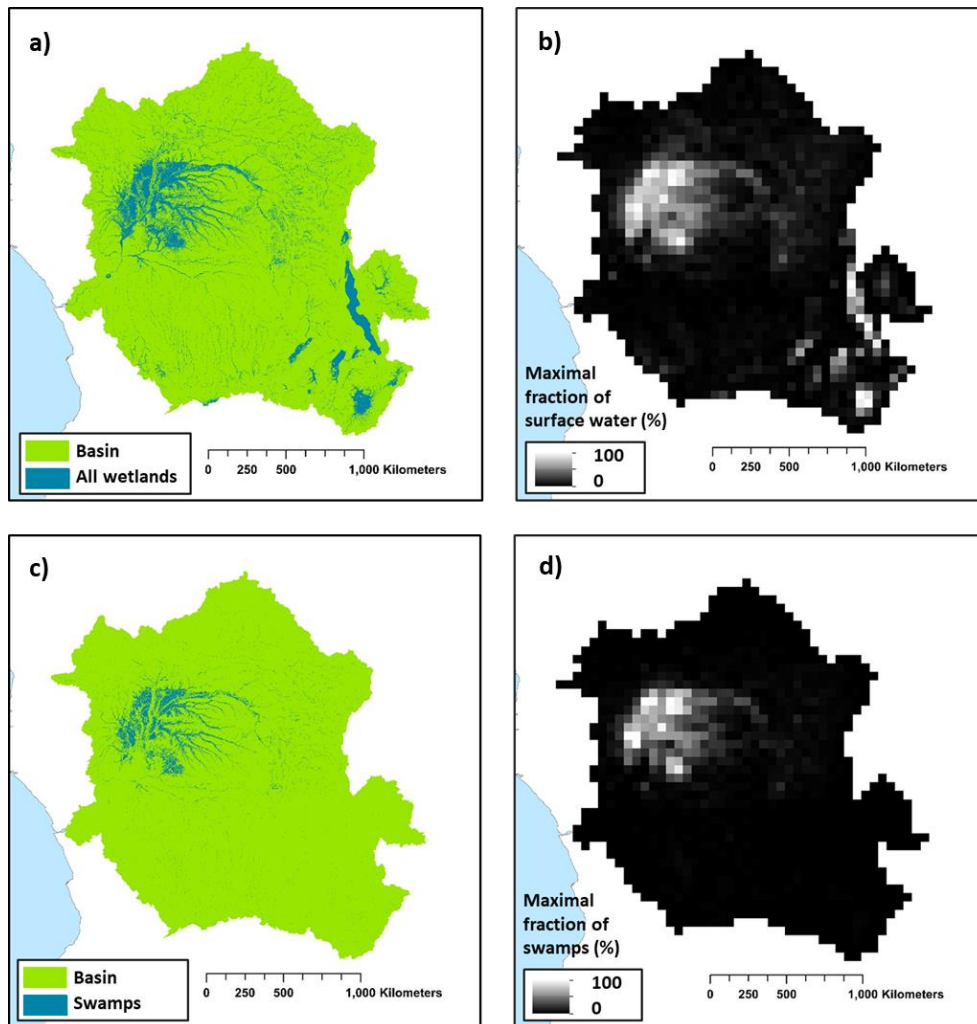


Figure 3: a) Wetland extent (from Gumbricht et al., 2017). b) The new maximal fraction of floodplain (MFF) forcing file developed from a). c) Swamps (including fens) category within Congo basin from Gumbricht et al (2017). d) the new maximal fraction of swamps (MFS) forcing file developed from c). Panels a) and b) are at the same resolution as the Gumbricht dataset (232m) while b) and d) are at a resolution of 0.5°. Note that 0.5° is the resolution of the sub unit basins in ORCHILEAK (Lauerwald et al., 2015), with each 1° grid containing four sub basins.

218

219 2.3 Calibration of hydrology

220 As the main driver of the export of C from the terrestrial to aquatic system, it is crucial that the
 221 model can represent present-day hydrological dynamics, at the very least on the main stem of
 222 the Congo. As this study is primarily concerned with decadal- centennial timescales our priority
 223 was to ensure that the model can accurately recreate observed mean annual discharge at the
 224 most downstream gauging station Brazzaville. We also tested the model's ability to simulate

225 observed discharge seasonality, as well as flood dynamics. Moreover, no data is available with
226 which to directly evaluate the simulation of DOC and CO₂ leaching from the soil to the river
227 network, and thus we tested the model's ability to recreate the spatial variation of observed
228 riverine DOC concentrations and *p*CO₂ at specific stations where measurements are available
229 (Borges et al., 2015^b; Bouillon et al., 2012 & 2014, locations shown in Fig. 1), river DOC and
230 CO₂ concentration being regarded as an integrator of the C transport at the terrestrial-aquatic
231 interface.

232 We first ran the model for the present-day period, defined as from 1990 to 2005/2010
233 depending on which climate forcing data was applied, using four climate forcing datasets;
234 namely ISIMIP2b (Frieler et al., 2017), Princeton GPCP (Sheffield et al., 2006), GSWP3 (Kim,
235 2017) and CRUNCEP (Viovy, 2018). We used ISIMIP2b for the historical and future
236 simulations as it is the only climate forcing dataset to cover the full period (1861-2099).
237 However, we compared it to other climate forcing datasets for the present day in order to gauge
238 its ability to simulate observed discharge on the Congo River at Brazzaville (Table A1).
239 Without calibration, the majority of the different climate forcing model runs performed poorly,
240 unable to accurately represent the seasonality and mean monthly discharge at Brazzaville
241 (Table A1). The best performing climate forcing dataset was ISIMIP2b followed by Princeton
242 GPCP with root mean square errors (RMSE) of 29% and 40% and Nash Sutcliffe efficiencies
243 (NSE) of 0.20 and -0.25, respectively. NSE is a statistical coefficient specifically used to test
244 the predictive skill of hydrological models (Nash & Sutcliffe, 1970).

245 For ISIMIP2b we further calibrated key hydrological model parameters, namely the constants
246 which dictate the water residence time of the groundwater (=slow reservoir), headwaters (=
247 fast reservoir) and floodplain reservoirs in order to improve the simulation of observed
248 discharge at Brazzaville (Table 2). To do so, we tested different combinations of water
249 residence times for the three reservoirs, eventually settling on 1, 0.5 and 0.5 (days) for the slow,

250 fast and floodplain reservoirs respectively, all three being reduced compared to those values
251 used in the original ORCHILEAK calibration for the Amazon (Lauerwald et al., 2017).

252 In order to calibrate the simulated discharge against observations, we first modified the flood
253 dynamics of ORCHILEAK in the Congo Basin for the present day by adjusting bank-full
254 discharge ($streamr_{50th}$, Lauerwald et al., 2017) and 95th percentile of water level heights
255 ($floodh_{95th}$). As in previous studies on the Amazon basin (Lauerwald et al. 2017, Hastie et al.,
256 2019) we defined bank-full discharge, i.e. the threshold discharge at which floodplain
257 inundation starts (i.e. overtopping of banks), as the median discharge (50th percentile i.e.
258 $streamr_{50th}$) of the present-day climate forcing period (1990 to 2005). After re-running each
259 model parametrization (different water residence times) to obtain those bank-full discharge
260 values, we calculated $floodh_{95th}$ over the simulation period for each grid cell (Table 1). This
261 value is assumed to represent the water level over the river banks at which the maximum
262 horizontal extent of floodplain inundation is reached. We then ran the model for a final time
263 and validated the outputs against discharge data at Brazzaville (Cochonneau et al., 2006, Fig.
264 1). This procedure was repeated iteratively with the ISIMIP2b climate forcing, modifying the
265 water residence times of each reservoir in order to find the best performing parametrization.

266 We firstly compared simulated versus observed discharge at Brazzaville (NSE, RMSE, Table
267 2), before using the data of Bouillon et al. (2014) to further validate discharge at Bangui (Fig.
268 1) on the main tributary Oubangui. In addition, we compared the simulated seasonality of
269 flooded area against the satellite derived dataset GIEMS (Prigent et al., 2007; Becker et al.,
270 2018), within the Cuvette Centrale wetlands (Fig. 1).

271 **2.4 Simulation set-up**

272 A list of the main forcing files used, along with data sources, is presented in Table 1. The
273 derivation of the floodplains and swamp (MFF & MFS) is described in section 2.2 while the

274 calculation of “bankfull discharge” ($\text{streamr}_{50\text{th}}$) and “95th percentile of water table height over
275 flood plain” ($\text{floodh}_{95\text{th}}$) (Table 1) is described in section 2.3.

276 **2.4.1 Soil carbon spin up**

277 ORCHILEAK includes a soil module, primarily derived from ORCHIDEE-SOM (Camino
278 Serrano, 2018). The soil module has 3 different pools of soil DOC; the passive, slow and active
279 pool and these are defined by their source material and residence times (τ_{carbon}). ORCHILEAK
280 also differentiates between flooded and non-flooded soils; decomposition rates of DOC, SOC
281 and litter being reduced (3 times lower) in flooded soils. In order for the soil C pools to reach
282 steady state, we spun-up the model for around 9,000 years, with fixed land-use representative
283 of 1861, and looping over the first 30 years of the ISMSIP2b climate forcing data (1861-1890).
284 During the first 2,000 years of spin-up, we ran the model with an atmospheric CO_2
285 concentration of $350 \mu\text{atm}$ and default soil C residence times (τ_{carbon}) halved, which allowed it
286 to approach steady-state more rapidly. Following this, we ran the model for a further 7,000
287 years reverting to the default τ_{carbon} values. At the end of this process, the soil C pools had
288 reached approximately steady state; $<0.02\%$ change in each pool over the final century of the
289 spin-up.

290 **2.4.2 Transient simulations**

291 After the spin-up, we ran a historical simulation from 1861 until the present day, 2005 in the
292 case of the ISIMIP2b climate forcing data. We then ran a future simulation until 2099, using
293 the final year of the historical simulation as a restart file. In both of these simulations, climate,
294 atmospheric CO_2 and land-cover change were prescribed as fully transient forcings according
295 to the RCP6.0 scenario. For climate variables, we used the IPSL-CM5A-LR model outputs for
296 RCP 6.0, bias corrected by the ISIMIP2b procedure (Frieler et al., 2017; Lange et al., 2017),
297 while land-use change was taken from the 5th Coupled Model Intercomparison Project
298 (CMIP5). As our aim is to investigate long-term trends, we calculated 30-years running means

299 of simulated C flux outputs in order to smooth interannual variations. RCP 6.0 is an emissions
300 pathway that leads to a “stabilization of radiative forcing at 6.0 Watts per square meter (Wm^{-2})
301 in the year 2100 without exceeding that value in prior years” (Masui et al., 2011). It is
302 characterised by intermediate energy intensity, substantial population growth, mid-high C
303 emissions, increasing cropland area to 2100 and decreasing natural grassland area (van Vuuren
304 et al., 2011). In the paper which describes the development of the future land use change
305 scenarios under RCP 6.0 (Hurtt et al., 2011), it is shown that land use change is highly sensitive
306 to land use model assumptions, such as whether or not shifting cultivation is included. The
307 LUH1 reconstruction for instance indicates shifting cultivation affecting all of the tropics with
308 a residence time of agriculture of 15 years, whereas the review from Heinemann et al. (2017)
309 revised downwards the area of this type of agriculture, with generally low values in Congo,
310 except in the North East and South East, but suggested a shorter turnover of agriculture of two
311 years only. In view of such uncertainties, we did not include shifting agriculture in the model.
312 Moreover, there is considerable uncertainty associated with the effect of future land-use change
313 in Africa (Hurtt et al., 2011). We chose RCP 6.0 as it represents a no mitigation (mid-high
314 emissions) scenario. Moreover, the ISIMIP2b data only provided two RCPs at the time we
315 performed the simulations; RCP 2.6 (low emission) and RCP 6.0.

316 With the purpose of evaluating separately the effects of land-use change, climate change, and
317 rising atmospheric CO_2 , we ran a series of factorial simulations. In each simulation, one of
318 these factors was fixed at its 1861 level (the first year of the simulation), or in the case of fixed
319 climate change, we looped over the years 1861-1890. The outputs of these simulations (also
320 30-year running means) were then subtracted from the outputs of the main simulation (original
321 run with all factors varied) so that we could determine the contribution of each driver (Fig. 10,
322 Table 1).

Table 1: Main forcing files used for simulations

Variable	Spatial resolution	Temporal resolution	Data source
Rainfall, snowfall, incoming shortwave and longwave radiation, air temperature, relative humidity and air pressure (close to surface), wind speed (10 m above surface)	1°	1 day	ISIMIP2b, IPSL-CM5A-LR model outputs for RCP6.0 (Frieler et al., 2017)
Land cover (and change)	0.5°	annual	LUH-CMIP5
Poor soils	0.5°	annual	Derived from HWSO v 1.1 (FAO/IIASA/ISRIC/ISS-CAS/JRC, 2009)
Stream flow directions	0.5°	annual	STN-30p (Vörösmarty et al., 2000)
Floodplains and swamps fraction in each grid (MFF & MFS)	0.5°	annual	derived from the wetland high resolution data of Gumbrecht et al. (2017)
River surface areas	0.5°	annual	Lauerwald et al. (2015)
Bankfull discharge (streamr _{50th})	1°	annual	derived from calibration with ORCHILEAK (see section 2,3)
95th percentile of water table height over flood plain (floodh _{95th})	1°	annual	derived from calibration with ORCHILEAK (see section 2.3)

323 2.5 Evaluation and analysis of simulated fluvial C fluxes

324 We first evaluated DOC concentrations and $p\text{CO}_2$ at several locations along the Congo
325 mainstem (Fig. 1), and on the Oubangui river against the data of Borges et al. (2015^b) and
326 Bouillon et al. (2012, 2014) We also compared the various simulated components of the net C
327 balance (e.g. NPP) of the Congo against values described in the literature (Williams et al.,
328 2007; Lewis et al., 2009; Verhegghen et al., 2012; Valentini et al., 2014; Yin et al., 2017). In
329 addition, we assessed the relationship between the interannual variation in present day (1981-
330 2010) C fluxes of the Congo basin and variation in temperature and rainfall. This was done
331 through linear regression using STATISTICATM. We found trends in several of the fluxes over
332 the 30-year period (1981-2010) and thus detrended the time series with the “Detrend” function,
333 part of the “SpecsVerification” package in R (R Core Team 2013), before undertaking the
334 statistical analysis focused on the climate drivers of inter-annual variability.

335 **2.6 Calculating the net carbon balance of the Congo basin**

336 We calculated Net Ecosystem Production (NEP) by summing the terrestrial and aquatic C
337 fluxes of the Congo basin (Eq. 1), while we incorporated disturbance fluxes (Land-use change
338 flux and harvest flux) to calculate Net Biome Production (NBP) (Eq. 2). Positive values of
339 NBP and NEP equate to a net terrestrial C sink.

340 NEP is defined as follows:

$$341 \quad \quad \quad NEP = NPP + TF - SHR - FCO_2 - LE_{Aquatic} \quad (1)$$

342 Where *NPP* is terrestrial net primary production, *TF* is the throughfall flux of DOC from the
343 canopy to the ground, *SHR* is soil heterotrophic respiration (only that evading from the *terra-*
344 *firme* soil surface); *FCO₂* is CO₂ evasion from the water surface and *LE_{Aquatic}* is the lateral
345 export flux of C (DOC + dissolved CO₂) to the coast. NBP is equal to NEP except with the
346 inclusion of the C lost (or possibly gained) via land use change (*LUC*) and crop harvest (*HAR*).
347 Wood harvest is not included for logging and forestry practices, but during deforestation LUC,
348 a fraction of the forest biomass is harvested and channelled to wood product pools with
349 different decay constants. *LUC* includes land conversion fluxes and the lateral export of wood
350 products biomass, that is, assuming that wood products from deforestation are not consumed
351 and released as CO₂ over the Congo, but in other regions:

$$352 \quad \quad \quad NBP = NEP - (LUC + HAR) \quad (2)$$

353

354 **3. Results**

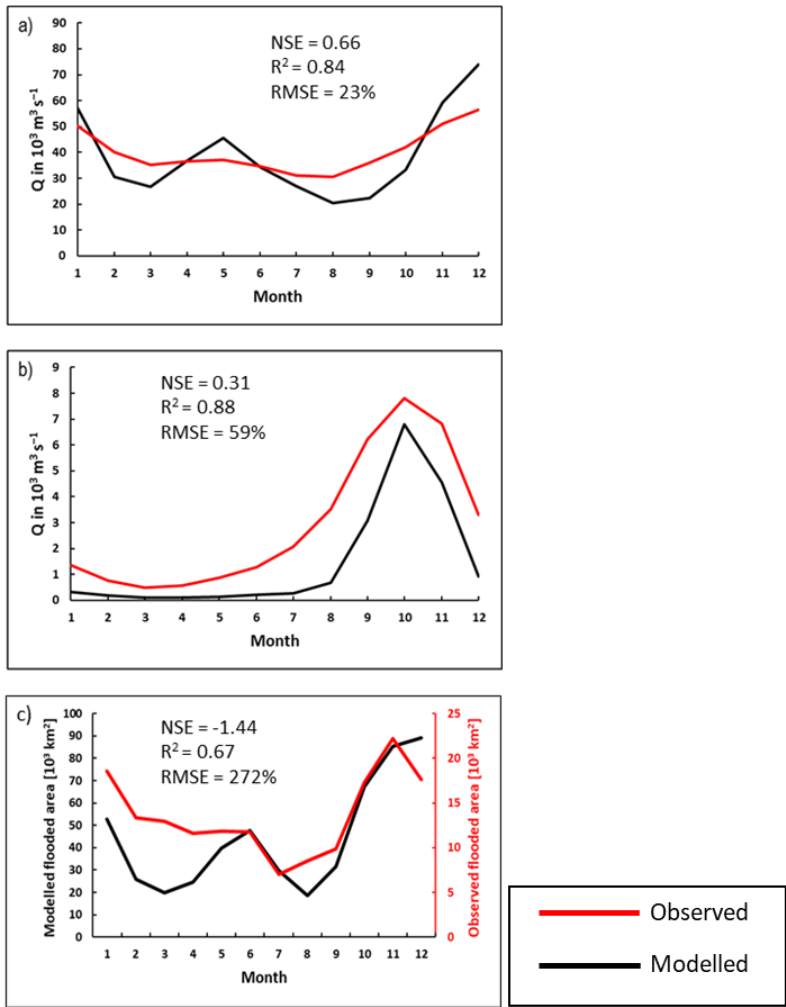
355 **3.1 Simulation of hydrology and aquatic carbon fluxes**

356 The final model configuration is able to closely reproduce the mean monthly discharge at
357 Brazzaville (Fig. 4 a), Table 2) and captures the seasonality moderately well (Fig. 4 a, Table 2,

358 RMSE =23%, $R^2 =0.84$ versus RMSE= 29% and $R^2 =0.23$ without calibration, Table A1). At
359 Bangui on the Oubangui River (Fig. 1), the model is able to closely recreate observed
360 seasonality (Fig. 4 b), RMSE =59%, $R^2 =0.88$) but substantially underestimates the mean
361 monthly discharge, our value being only 50% of the observed. We produce reasonable NSE
362 values of 0.66 and 0.31 for Brazzaville and Bangui respectively, indicating that the model is
363 moderately accurate in its simulation of seasonality.

364 We also evaluated the simulated seasonal change in flooded area in the central (approx.
365 200,000 km², Fig. 1) part of the Cuvette Centrale wetlands against the GIEMS inundation
366 dataset (1993-2007, maximum inundation minus minimum or permanent water bodies, Prigent
367 et al., 2007; Becker et al., 2018). While our model is able to represent the seasonality in flooded
368 area relatively well ($R^2 =0.75$ Fig. 4 c), it considerably overestimates the magnitude of flooded
369 area relative to GIEMS (Fig. 4 c, Table 2). However, the dataset that we used to define the
370 MFF and MFS forcing files (Gumbricht et al., 2017) is produced at a higher resolution than
371 GIEMS and will capture smaller wetlands than the GIEMS dataset, and thus the greater flooded
372 area is to be expected. GIEMS is also known to underestimate inundation under vegetated areas
373 (Prigent et al., 2007; Papa et al., 2010) and has difficulties to capture small inundated areas
374 (Prigent et al., 2007; Lauerwald et al., 2017). Indeed, with the GIEMS data we produce an
375 overall flooded area for the Congo Basin of just 3%, less than one-third of that produced with
376 the Gumbricht dataset (Gumbricht et al., 2017) or the GLWD (Lehner, & Döll, P.,2004). As
377 such, it is to be expected that there is a large RMSE (272%, Table 2) between simulated flooded
378 area and GIEMS; more importantly, the seasonality of the two is highly correlated ($R^2 = 0.67$,
379 Table 2).

380



381

382 **Figure 4: Seasonality of simulated versus observed discharge at a) Brazzaville on the**
383 **Congo (Cochonneau et al., 2006), b) Bangui on the Oubangui (Bouillon et al., 2014) 1990-**
384 **2005 monthly mean and c) flooded area in the central (approx. 200,000 km²) area of the**
Cuvette Centrale wetlands versus GIEMS (1993-2007, Becker et al., 2018). The observed
flooded area data represents the maximum minus minimum (permanent water bodies
such as rivers) GIEMS inundation. See Figure 1 for locations.

385

Table 2: Performance statistics for modelled versus observed seasonality of discharge and flooded area in Cuvette Centrale					
Station	RSME	NSE	R ²	Simulated mean monthly discharge (m ³ s ⁻¹)	Observed mean monthly discharge (m ³ s ⁻¹)
Brazzaville	23%	0.66	0.84	38,944	40,080
Bangui	59%	0.31	0.88	1,448	2,923
				Simulated mean monthly flooded area (10 ³ km ²)	Observed mean monthly flooded area (10 ³ km ²)
Flooded area (Cuvette Centrale)	272%	-1.44	0.67	44	14

386

387 In Figure 5, we compare simulated DOC concentrations at six locations (Fig. 1) along the
388 Congo River and Oubangui tributary, against the observations of Borges et al. (2015^b). We
389 show that we can recreate the spatial variation in DOC concentration within the Congo basin
390 relatively closely with an R² of 0.74 and an RMSE of 24% (Fig. 5). We are also able to
391 simulate the broad spatial pattern of *p*CO₂ measured in Borges et al. (2019). During high flow
392 season (mean of 6 consecutive months of highest flow, 2009-2019) we simulate a mean *p*CO₂
393 of 3,373 ppm and 5,095 ppm at Kisangani and Kinshasa (Brazzaville) respectively, compared
394 to the observed values of 2,424 ppm and 5,343 ppm during high water (measured in
395 December 2013, Borges et al., 2019) (Table 3). Similarly, during low flow season (mean of 6
396 consecutive months of lowest flow, 2009-2019) we simulate a mean *p*CO₂ of 1,563 ppm and
397 2,782 ppm at Kisangani and Kinshasa respectively, compared to the observed values of 1,670
398 ppm and 2,896 ppm during falling water (June 2014, Borges et al., 2019) (Table 3).

399

400 While we are able to recreate observed spatial differences in DOC and $p\text{CO}_2$, as well as broad
401 seasonal variations, we are not able to correctly predict the exact timing of the simulated
402 highs and lows, a reflection of not fully capturing the hydrological seasonality. For example,
403 our mean June $p\text{CO}_2$ at Kinshasa (Brazzaville) is 4,470 ppm, while Borges et al measured a
404 mean of 2,896 ppm (Table 3). However, our value for July of 2,621 ppm is much closer, and
405 moreover our mean value for December of 5,154 ppm is relatively close to the observed
406 value of 5,343 ppm. Similarly, we fail to predict the timing of the June falling water at
407 Kisangani (Table 3).

408 In Figure 6, we compare simulated $p\text{CO}_2$ against the observed monthly time series at Bangui
409 on the Oubangui River (Bouillon et al., 2012 & 2014), as far as we are aware the most
410 complete time series of $p\text{CO}_2$ published from the Congo basin, spanning March 2010 to
411 March 2012 (with only the single month of June 2010 missing). Again, while the model fails
412 to correctly predict the precise timing of the peak as with the Kinshasa and Kisangani
413 datasets the broad seasonal variation in $p\text{CO}_2$ is captured, with the observed and modelled
414 times series ranging from 227- 4040 ppm and 415- 2928 ppm, respectively (Fig. 6).

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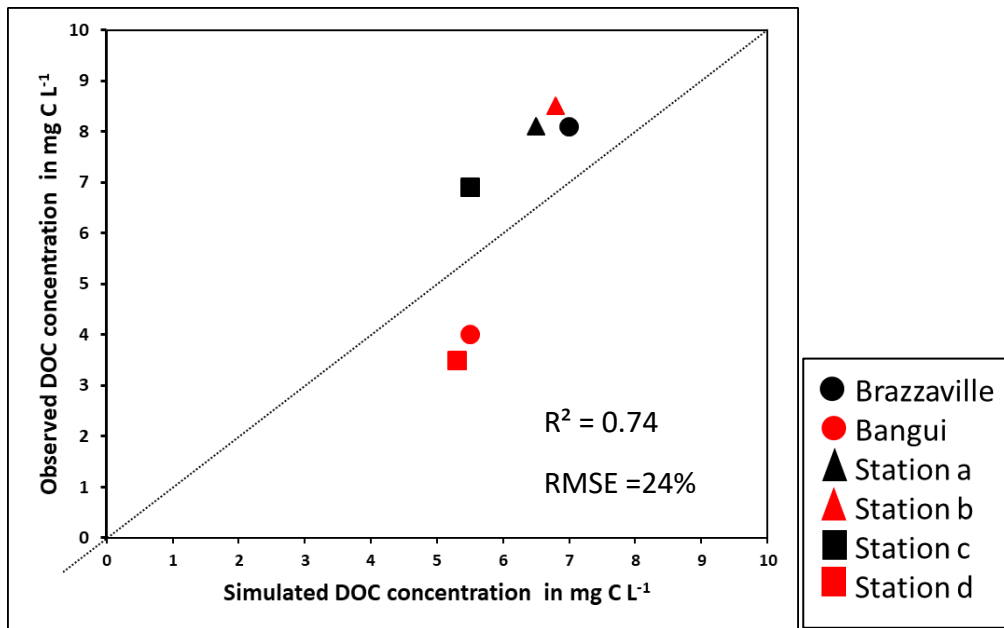


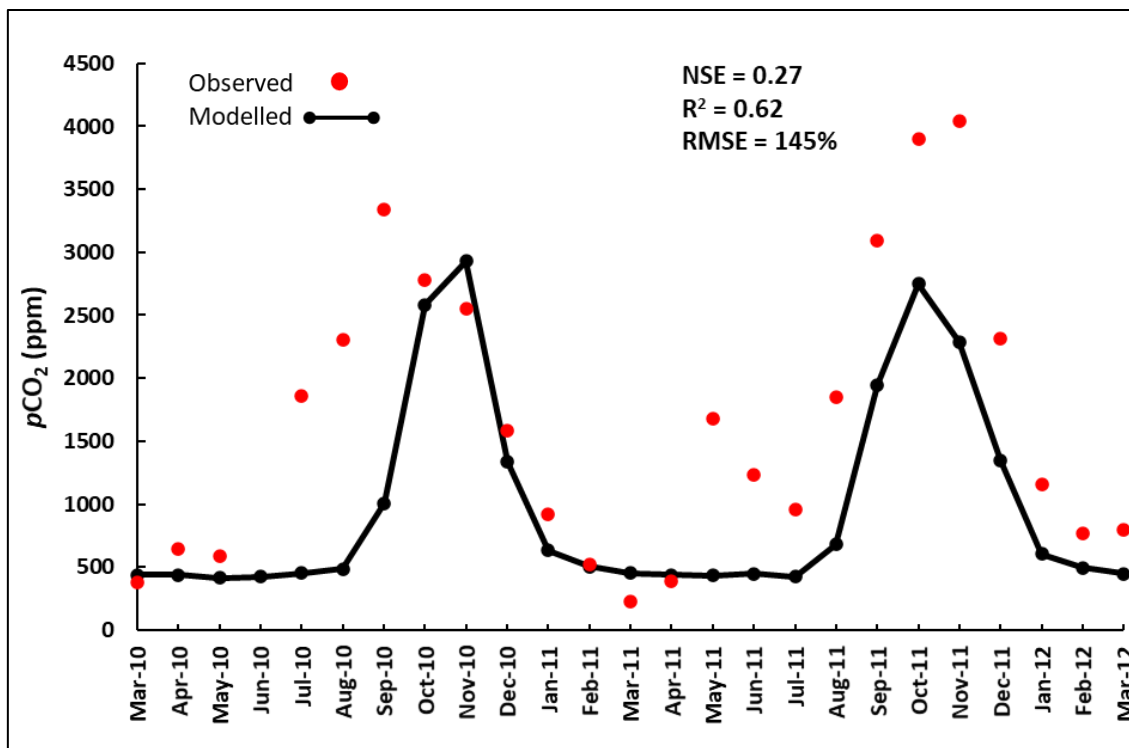
Figure 5: Observed (Borges et al., 2015^a) versus simulated DOC concentrations at several sites along the Congo and Oubangui rivers. See Fig. 1 for locations. The simulated and observed DOC concentrations represent the median values across the particular sampling period at each location detailed in Borges et al. (2015^a).

421

Table 3: Observed (Borges et al., 2019) and modelled $p\text{CO}_2$ (in ppm) at Kinshasa (Brazzaville) and Kisangani on the Congo river at various water levels.						
Location	Observed $p\text{CO}_2$ highwater (December 2013)	Modelled $p\text{CO}_2$ highwater (December Mean 2009-2019)	Modelled $p\text{CO}_2$ high flow season (mean of 6 consecutive months of highest flow 2009-2019)	Observed $p\text{CO}_2$ falling water (June 2014)	Modelled $p\text{CO}_2$ falling water (June mean 2009-2019)	Modelled $p\text{CO}_2$ low flow season (mean of 6 consecutive months of lowest flow 2009-2019)
Kinshasa (Brazzaville)	5,343	5,154	5,095	2,896	4,470	2,782
Kisangani	2,424	2,166	3,373	1,670	3,126	1,563

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Figure 6: Time series of observed *versus* simulated $p\text{CO}_2$ at Bangui on the River Oubangui. Observed data is from Bouillon et al., 2012 and Bouillon et al., 2014.

425

426

427 3.2 Carbon fluxes along the Congo basin for the present day

428 For the present day (1981-2010) we estimate a mean annual terrestrial net primary production

429 (NPP) of $5,800 \pm 166$ (standard deviation, SD) Tg C yr^{-1} (Fig. 7), corresponding to a mean areal

430 C fixation rate of approximately $1,500 \text{ g C m}^{-2} \text{ yr}^{-1}$ (Fig. 8 a). We find a significant positive

431 correlation between the interannual variation of NPP and rainfall (detrended $R^2 = 0.41$, $p < 0.001$,

432 Table A2) and a negative correlation between annual NPP and temperature (detrended $R^2 =$

433 0.32 , $p < 0.01$, Table A2). We also see considerable spatial variation in NPP across the Congo

434 Basin (Fig.8 a).

435 We simulate a mean soil heterotrophic respiration (SHR) of $5,300 \pm 99$ Tg C yr⁻¹ across the
436 Congo basin (Fig. 7). Contrary to NPP, interannual variation in annual SHR is positively
437 correlated with temperature (detrended $R^2=0.57$, $p<0.0001$, Table A2) and inversely correlated
438 with rainfall (detrended $R^2=0.10$), though the latter relationship is not significant ($p>0.05$).
439 We estimate a mean annual aquatic CO₂ evasion rate of $1,363 \pm 83$ g C m⁻² yr⁻¹, amounting to
440 a total of 235 ± 54 Tg C yr⁻¹ across the total water surfaces of the Congo basin (Fig. 7) and
441 attribute 85% of this flux to flooded areas, meaning that only 32 Tg C yr⁻¹ is evaded directly
442 from the river surface. Interannual variation in aquatic CO₂ evasion (1981-2010) shows a
443 strong positive correlation with rainfall (detrended $R^2=0.75$, $p<0.0001$, Table A2) and a weak
444 negative correlation with temperature (detrended $R^2=0.09$, not significant, $p>0.05$). Aquatic
445 CO₂ evasion also exhibits substantial spatial variation (Fig.8, d), displaying a similar pattern to
446 both terrestrial DOC leaching (DOC_{inp}) ($R^2=0.81$, $p<0.0001$, Fig.8, b) as well as terrestrial
447 CO₂ leaching (CO_{2inp}) ($R^2=0.96$, $p<0.0001$, Fig.8, c) into the aquatic system, but not terrestrial
448 NPP ($R^2=0.01$, $p<0.05$, Fig.8, a). We simulate a mean annual flux of DOC throughfall from
449 the canopy of 27 ± 1 Tg C yr⁻¹ and C (DOC + dissolved CO₂) export flux to the coast of 15 ± 4
450 Tg C yr⁻¹ (Fig. 7).

451 For the present day (1981-2010) we estimate a mean annual net ecosystem production (NEP)
452 of 277 ± 137 Tg C yr⁻¹ and a net biome production (NBP) of 107 ± 133 Tg C yr⁻¹ (Fig. 7).
453 Interannually, both NEP and NBP exhibit a strong inverse correlation with temperature
454 (detrended NEP $R^2=0.55$, $p<0.0001$, detrended NBP $R^2=0.54$, $p<0.0001$) and weak positive
455 relationship with rainfall (detrended NEP $R^2=0.16$, $p<0.05$, detrended NBP $R^2=0.14$, $p<0.05$).
456 Furthermore, we simulate a present day (1981-2010) living biomass of 41 ± 1 Pg C and a total
457 soil C stock of 109 ± 1 Pg C.

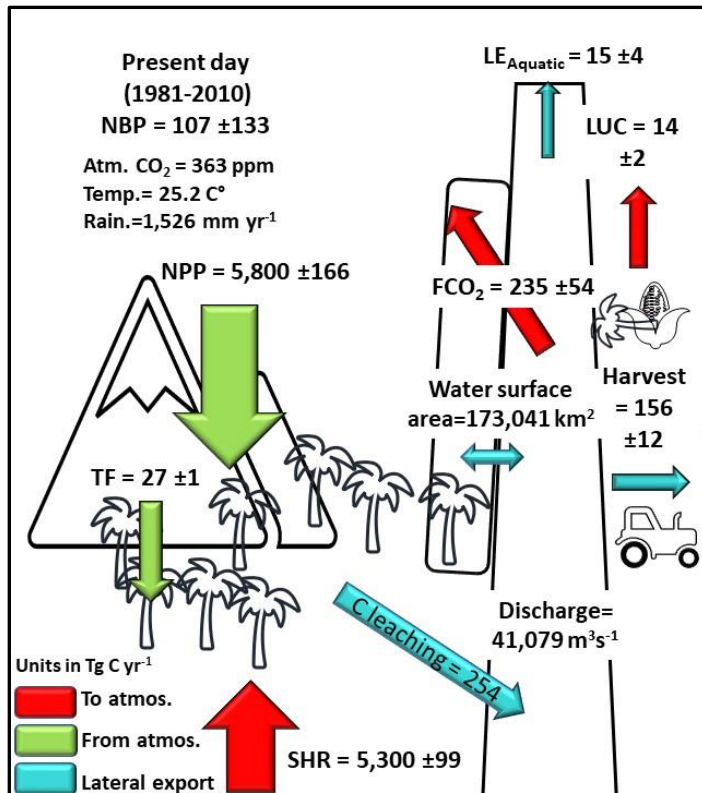
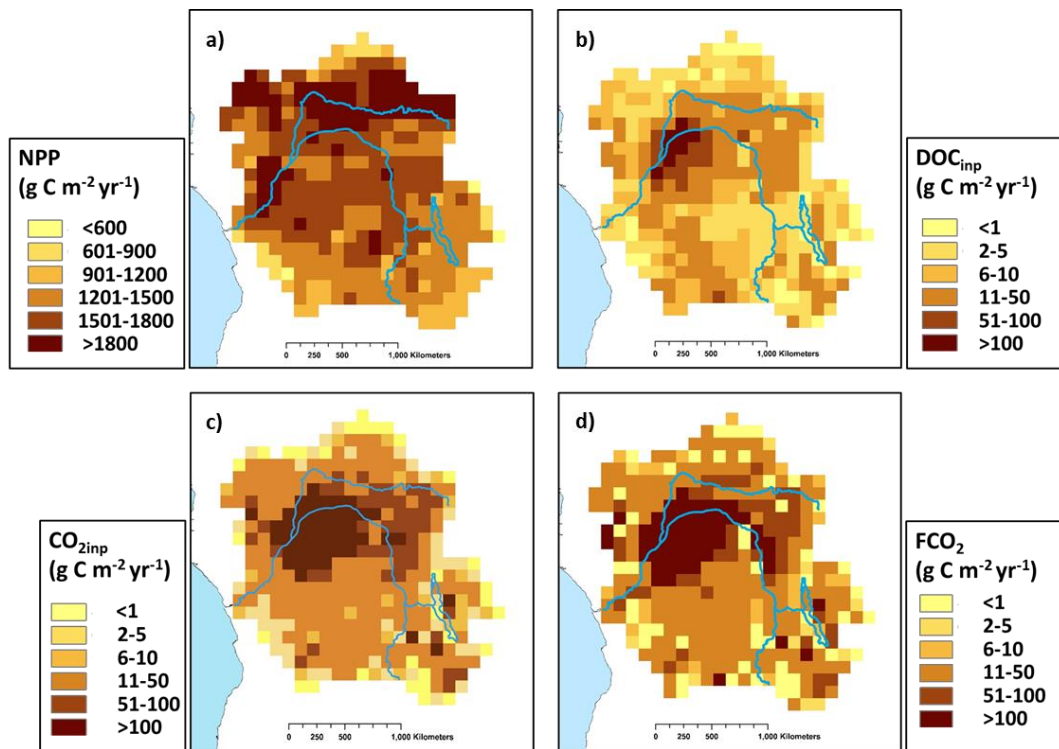


Figure 7: Annual C budget (NBP) for the Congo basin for the present day (1981-2010) simulated with ORCHILEAK, where NPP is terrestrial net primary productivity, TF is throughfall, SHR is soil heterotrophic respiration, FCO₂ is aquatic CO₂ evasion, LOAC is C leakage to the land-ocean aquatic continuum (FCO₂ + L_{Aquatic}), LUC is flux from Land-use change, and L_{Aquatic} is the export C flux to the coast. Range represents the standard deviation (SD) from 1981-2010.



460

Figure 8: Present day (1981-2010) spatial distribution of a) terrestrial net primary productivity (NPP), b) dissolved organic carbon export from soils and floodplain vegetation into the aquatic system (DOC_{inp}), c) CO_2 leaching from soils and floodplain vegetation into the aquatic system ($\text{CO}_{2\text{inp}}$) and d) aquatic CO_2 evasion (FCO_2). Main rivers in blue. All at a resolution of 1°

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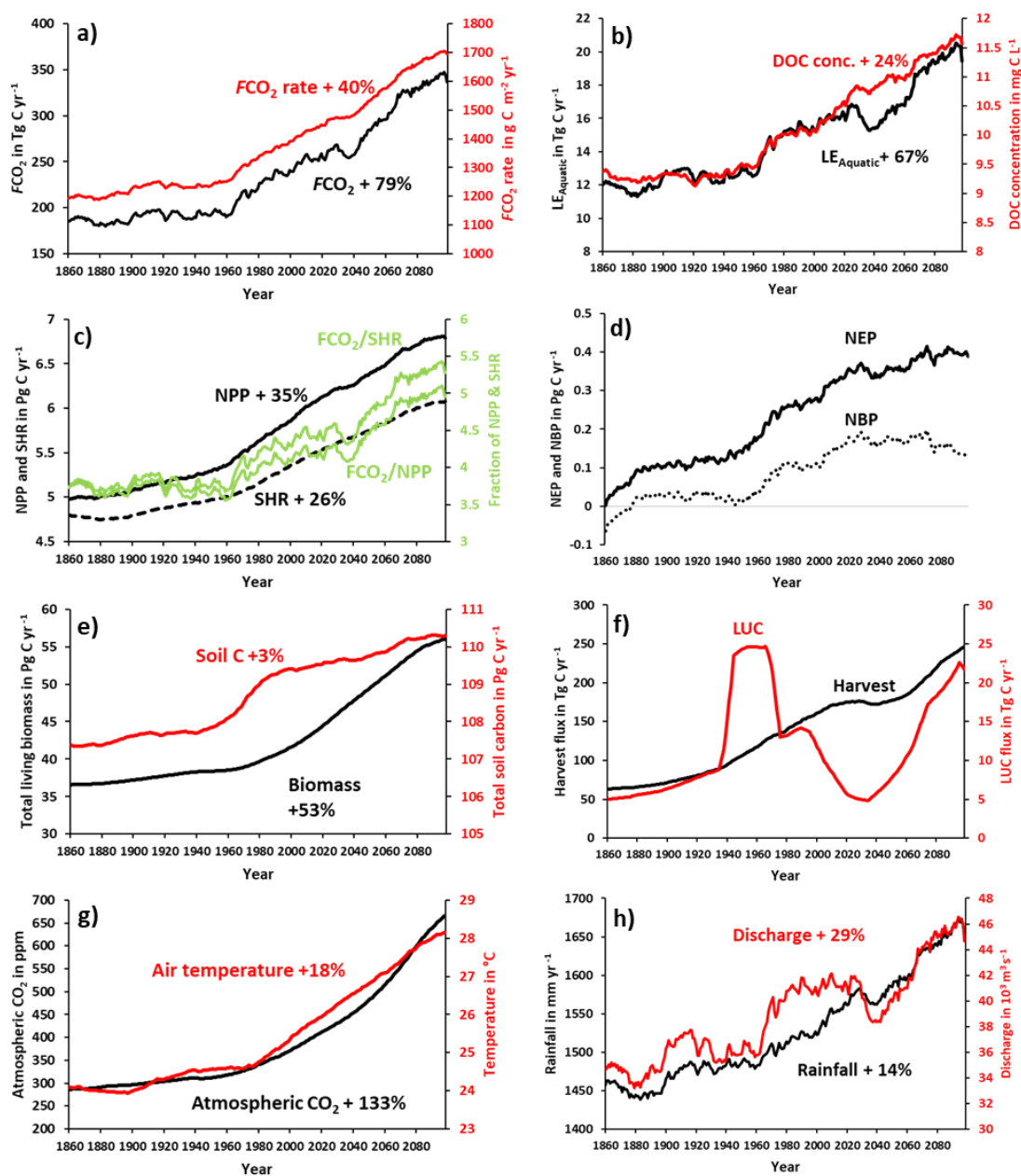
462 3.3 Long-term temporal trends in carbon fluxes

463 We find an increasing trend in aquatic CO_2 evasion (Fig. 9 a) throughout the simulation period,
 464 rising slowly at first until the 1960s when the rate of increase accelerates. In total CO_2 evasion
 465 rose by 79% from 186 Tg C yr^{-1} at the start of the simulation (1861-1890 mean) (Fig. 10) to
 466 333 Tg C yr^{-1} at the end of this century (2070-2099 mean, Fig. 10), while the increase until the
 467 present day (1981-2010 mean) is of +26 % (to 235 Tg C yr^{-1}), though these trends are not
 468 uniform across the basin (Fig A1). The lateral export flux of C to the coast (LE_{Aquatic}) follows
 469 a similar relative change (Fig. 9b), rising by 67% in total, from 12 Tg C yr^{-1} (Fig. 10) to 15 Tg C yr^{-1}
 470 C yr^{-1} for the present day, and finally to 20 Tg C yr^{-1} (2070-2099 mean, Fig. 10). This is greater
 471 than the equivalent increase in DOC concentration (24%, Fig. 9b) due to the concurrent rise in
 472 rainfall (by 14%, Fig 9h) and in turn discharge (by 29%, Fig. 9h).

473 Terrestrial NPP and SHR also exhibit substantial increases of 35% and 26% respectively across
474 the simulation period and similarly rise rapidly after 1960 (Fig. 9c). NEP, NBP (Fig. 9d) and
475 living biomass (Fig. 9 e) follow roughly the same trend as NPP, but NEP and NBP begin to
476 slow down or even level-off around 2030 and in the case of NBP, we actually simulate a
477 decreasing trend over approximately the final 50 years. Interestingly, the proportion of NPP
478 lost to the LOAC also increases from approximately 3% to 5% (Fig. 9c). We also find that
479 living biomass stock increases by a total of 53% from 1861 to 2099. Total soil C also increases
480 over the simulation but only by 3% from 107 to 110 Pg C yr⁻¹ (Fig. 9e). Emissions from land-
481 use change (LUC) show considerable decadal fluctuation increasing rapidly in the second half
482 of the 20th century and decreasing in the mid-21st century before rising again towards the end
483 of the simulation (Fig. 9f). The harvest flux (Fig. 9f) rises throughout the simulation with the
484 exception of a period in the mid-21st century during which it stalls for several decades. This is
485 reflected in the change in land-use areas from 1861- 2099 (Fig. A2, Table A3) during which
486 the natural forest and grassland PFTs marginally decrease while both C₃ and C₄ agricultural
487 grassland PFTs increase.

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496 3.4 Drivers of simulated trends in carbon fluxes

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Figure 9: Simulation results for various C fluxes and stocks from 1861-2099, using IPSL-CM5A-LR model outputs for RCP 6.0 (Frierler et al., 2017). All panels except for atmospheric CO₂, biomass and soil C correspond to 30-year running means of simulation outputs. This was done in order to suppress interannual variation, as we are interested in longer-term trends.

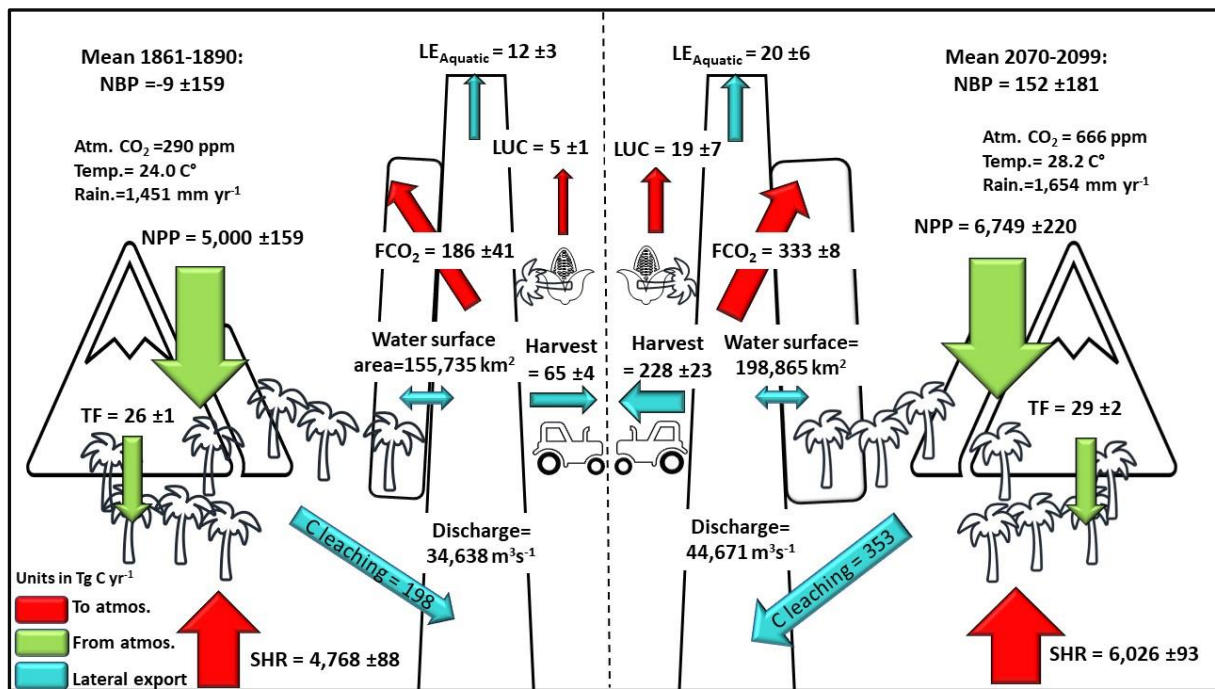
The dramatic increase in the concentration of atmospheric CO₂ (Fig. 9 g) and subsequent fertilization effect on terrestrial NPP has the greatest overall impact on all of the fluxes across

499 the simulation period (Fig. 11). It is responsible for the vast majority of the growth in NPP,
500 SHR, aquatic CO₂ evasion and flux of C to the coast (Fig. 11 a, b, c & d). The effect of LUC
501 on these four fluxes is more or less neutral, while the impact of climate change is more varied.
502 The aquatic fluxes (Fig. 11 c, d) respond positively to an acceleration in the increase of both
503 rainfall (and in turn discharge, Fig. 9 h) and temperature (Fig. 9 g) starting around 1970. From
504 around 2020, the impact of climate change on the lateral flux of C to the coast (Fig 11 d) reverts
505 to being effectively neutral, likely a response to a slowdown in the rise of rainfall and indeed a
506 decrease in discharge (Fig 9 h), as well as perhaps the effect of temperature crossing a
507 threshold. The response of the overall loss of terrestrial C to the LOAC (i.e. the ratio of
508 LOAC/NPP, Fig. 11 e) is relatively similar to the response of the individual aquatic fluxes but
509 crucially, climate change exerts a much greater impact, contributing substantially to an increase
510 in the loss of terrestrial NPP to the LOAC in the 1960s, and again in the second half of the 21st
511 century. These changes closely coincide with the pattern of rainfall and in particular with
512 changes in discharge (Fig. 9 h).

513 Overall temperature and rainfall increase by 18% and 14% from 24°C to 28°C and 1457mm to
514 1654mm respectively, but in Fig. A2 one can see that this increase is non-uniform across the
515 basin. Generally speaking, the greatest increase in temperature occurs in the south of the basin
516 while it is the east that sees the largest rise in rainfall (Fig. A2). Land-use changes are similarly
517 non-uniform (Fig. A2).

518 The response of NBP and in NEP (Fig.11 f, g) to anthropogenic drivers is more complex. The
519 simulated decrease in NBP towards the end of the run is influenced by a variety of factors;
520 LUC and climate begin to have a negative effect on NBP (contributing to a decrease in NBP)
521 at a similar time while the positive impact (contributing to an increase in NBP) of atmospheric
522 CO₂ begins to slow down and eventually level-off (Fig.11 g). LUC continues to have a positive
523 effect on NEP (Fig.11 f) due to the fact that the expanding C₄ crops have a higher NPP than

524 forests, while it has an overall negative effect on NBP at the end of the simulation due to the
 525 inclusion of emissions from crop harvest.



526

527 **Figure 10: Annual C budget (NBP) for the Congo basin for; left, the Year 1861 and right, the**
 528 **Year 2099, simulated with ORCHILEAK. NPP is terrestrial net primary productivity, TF is**
 529 **throughfall, SHR is soil heterotrophic respiration, FCO₂ is aquatic CO₂ evasion, LOAC is C**
 530 **leakage to the land-ocean aquatic continuum (FCO₂ + LE_{Aquatic}), LUC is flux from Land-use**
 531 **change, and LE_{Aquatic} is the export C flux to the coast. Range represents the standard deviation**
 532 **(SD).**

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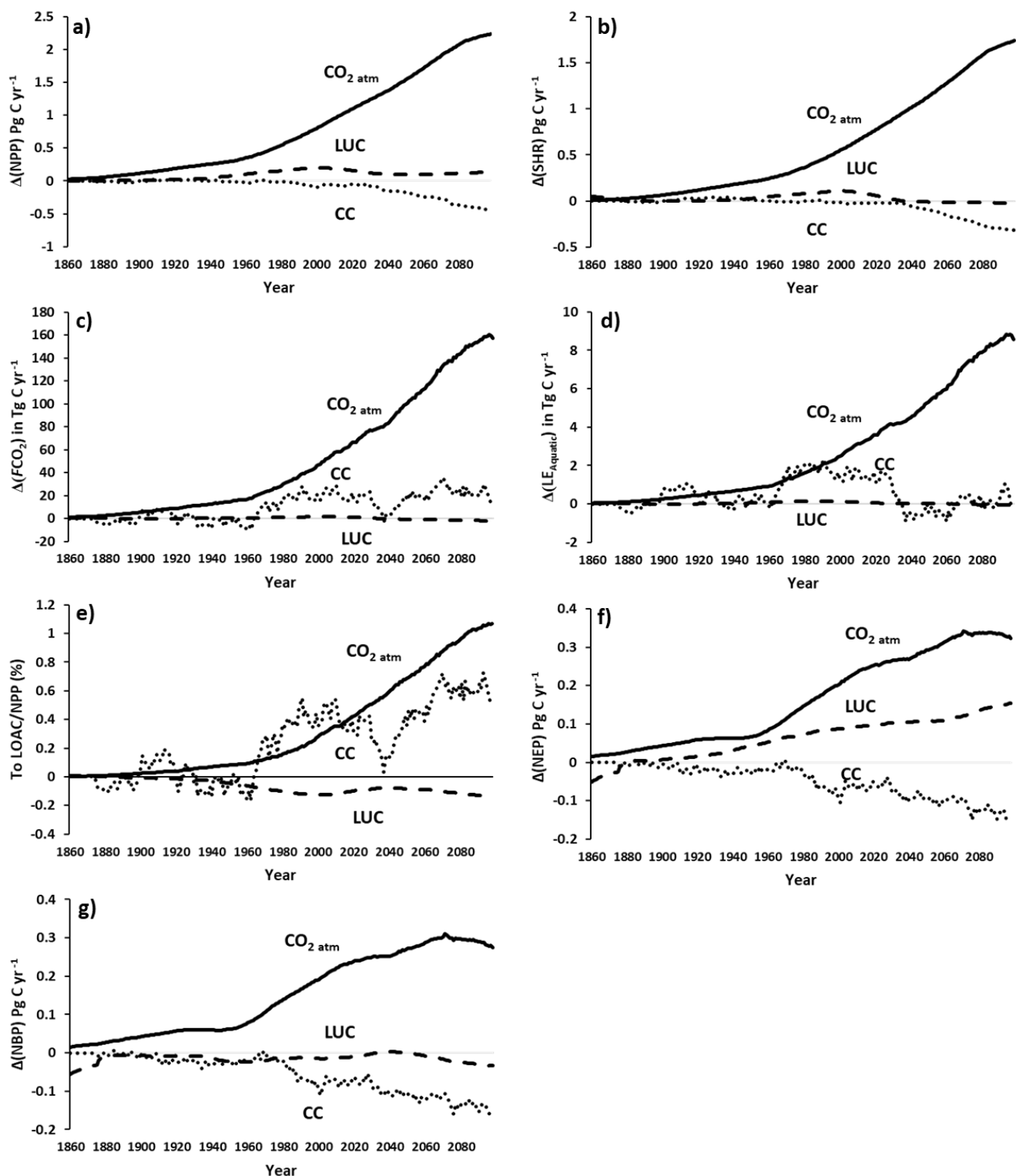


Figure 11: Contribution of anthropogenic drivers; atmospheric CO₂ concentration (CO_{2 atm}), climate change (CC) and land use change (LUC) to changes in the various carbon fluxes along the Congo Basin, under IPSL-CM5A-LR model outputs for RCP 6.0 (Frieler et al., 2017).

540 **4. Discussion**

541 **4.1 Congo basin carbon balance**

542 We simulate a mean present-day terrestrial NPP of approximately $1,500 \text{ g C m}^{-2} \text{ yr}^{-1}$ (Fig. 6),
543 substantially larger than the MODIS derived value of around $1,000 \text{ g C m}^{-2} \text{ yr}^{-1}$ from Yin et al.
544 (2017) across central Africa, though it is important to note that satellite derived estimates of
545 NPP can underestimate the impact of CO_2 fertilization, namely its positive effect on
546 photosynthesis (De Kauwe et al., 2016; Smith et al., 2019). Our stock of the present-day living
547 biomass of 41.1 Pg C is relatively close to the total Congo vegetation biomass of 49.3 Pg C
548 estimated by Verhegghen et al. (2012) based on the analysis of MERIS satellite data. Moreover,
549 our simulated Congo Basin soil C stock of $109 \pm 1.1 \text{ Pg C}$ is consistent with the approximately
550 120-130 Pg C across Africa between the latitudes 10°S to 10°N in the review of Williams et
551 al. (2007), between which the Congo represents roughly 70% of the land area. Therefore, their
552 estimate of soil C stocks across the Congo only would likely be marginally smaller than ours.
553 It is also important to note that neither estimate of soil C stocks explicitly take into account the
554 newly discovered peat store of 30 Pg C (Dargie et al., 2017) and therefore both are likely to
555 represent conservative values. In addition, Williams et al. (2007) estimate the combined fluxes
556 from conversion to agriculture and cultivation to be around 100 Tg C yr^{-1} in tropical Africa
557 (largely synonymous with the Congo Basin), which is relatively close to our present day
558 estimate of harvesting + land-use change flux of 170 Tg C yr^{-1} .

559 Our results suggest that CO_2 evasion from the water surfaces of the Congo is sustained by the
560 transfer of dissolved CO_2 and DOC with 226 Tg C and 73 Tg C, respectively, from wetland
561 soils and vegetation to the aquatic system each year (1980-2010, Fig. 8). Moreover, we find
562 that a disproportionate amount of this transfer occurs within the Cuvette Centrale wetland (Fig.
563 1, Fig. 8) in the centre of the basin, in agreement with a recent study by Borges et al. (2019).
564 In our study, this is due to the large areal proportion of inundated land, facilitating the exchange

565 between soils and aquatic systems. Borges et al. (2019) conducted measurements of DOC and
566 $p\text{CO}_2$, amongst other chemical variables, along the Congo mainstem and its tributaries from
567 Kinshasa in the West of the basin (beside Brazzaville, Fig. 1) through the Cuvette Centrale to
568 Kisangani in the East (close to station d in Fig. 1). They found that both DOC and $p\text{CO}_2$
569 approximately doubled from Kisangani downstream to Kinshasa (Table 3), and demonstrated
570 that this variation is overwhelmingly driven by fluvial-wetland connectivity, highlighting the
571 importance of the vast Cuvette Centrale wetland in the aquatic C budget of the Congo basin.

572 Our estimate of the integrated present-day aquatic CO_2 evasion from the river surface of the
573 Congo basin (32 Tg C yr^{-1}) is the same as that estimated by Raymond et al. (2013) (also 32 Tg
574 C yr^{-1}), downscaled over the same basin area, but smaller than the $59.7 \text{ Tg C yr}^{-1}$ calculated by
575 Lauerwald et al. (2015) and far smaller than that of Borges et al. (2015^a), $133\text{-}177 \text{ Tg C yr}^{-1}$ or
576 Borges et al. (2019), $251\pm 46 \text{ Tg C yr}^{-1}$. The recent study of Borges et al. (2019) is based on by
577 far and away the most extensive dataset of Congo basin $p\text{CO}_2$ measurements to date and thus
578 suggests that we substantially underestimate total riverine CO_2 evasion. As previously
579 discussed, we simulate the broad spatial and temporal variation in observed DOC and $p\text{CO}_2$
580 (2015^{a, b}, Fig. 5, Table 3) relatively well. It is therefore somewhat surprising that our basin-
581 wide estimate of riverine CO_2 evasion is so different. Below we discuss some possible
582 explanations for this discrepancy related to methodological differences and limitations.

583 One potential cause for the differences could be the river gas exchange velocity k . However,
584 we applied a mean riverine gas exchange velocity k of 3.5 m d^{-1} which is similar to the 2.9 m
585 d^{-1} used by Borges et al. (2015^a). Moreover, a sensitivity analysis was performed in Lauerwald
586 et al. (2017) which showed that in the physical approach of ORCHILEAK, CO_2 evasion is not
587 very sensitive to the k value, unlike data-driven models. Namely, Lauerwald et al (2017)
588 showed that an increase or decrease of k for rivers and swamps of 50% only led to 1% and

589 -4% change in total CO₂ evasion, respectively. Therefore, we can discount *k* as a major source
590 of the discrepancy.

591 Another potential reason for our smaller riverine CO₂ evasion could be river surface area. We
592 simulate a mean present day (1980-2010) total river surface area of 25,900 km², compared to
593 the value of 23,670 km² used in Borges et al (2019, supplementary information) and so
594 similarly we think that this can be discounted as a major source of discrepancy.

595 The difference in our simulated riverine CO₂ evasion compared to the empirically derived
596 estimate of Borges et al. (2019), could be caused by the lack of representation of aquatic plants
597 in the ORCHILEAK model. Borges et al. (2019) used the stable isotope composition of δ¹³C-
598 DIC to determine the origin of dissolved CO₂ in the Congo River system and found that the
599 values were consistent with a DIC input from the degradation of organic matter, in particular
600 from C₄ plants. Crucially, they further found that the δ¹³C-DIC values were unrelated to the
601 contribution of *terra-firme* C₄ plants, rather that they were more consistent with the degradation
602 of aquatic C₄ plants, namely macrophytes. ORCHILEAK does not represent aquatic plants, and
603 the wider LSM ORCHIDEE does not have an aquatic macrophyte PFT either (though root
604 respiration of floodplain plants for the PFTs represented, is accounted for as a C source). This
605 could at the very least partly explain our conservative estimate of river CO₂ evasion, given that
606 tropical macrophytes have relatively elevated NPPs. Rates as high as 3,500 g C m⁻² yr⁻¹ have
607 been measured on floodplains in the Amazon (Silva et al., 2009). While this value is higher
608 than the values simulated in the Cuvette Centrale by ORCHILEAK (Figure 8), they are of the
609 same order of magnitude and so this alone cannot fully explain the discrepancy compared to
610 the results of Borges et al. (2019). In the Amazon basin it has been shown that wetlands export
611 approximately half of their gross primary production (GPP) to the river network compared to
612 upland (*terra-firme*) ecosystems which only export a few percent (Abril et al. 2013). More
613 importantly, Abril et al. (2013) found that tropical aquatic macrophytes export 80% of their

614 GPP compared to just 36% for flooded forest. Therefore, the lack of a bespoke macrophyte
615 PFT is indeed likely to be one reason for the discrepancy between our results and those of
616 Borges, but largely due to their particularly high export efficiency to the river-floodplain
617 network as opposed to differences in NPP. While being a significant limitation, creating and
618 incorporating a macrophyte PFT would be a substantial undertaking given that the authors are
619 unaware of any published dataset which has systematically mapped their distribution and
620 abundance. It is important to note that while ORCHILEAK does not include the export of C
621 from aquatic macrophytes it also neglects their NPP. Moreover, most aquatic macrophytes
622 described in the literature have short (<1 year) life-cycles (Mitchel & Rogers, 1985). As such,
623 while this model limitation is likely one of the causes for our relatively low estimate of riverine
624 CO₂ evasion, it will only have a limited net effect on our estimate of the overall annual C
625 balance (NBP, NEP) of the Congo basin.

626 Finally, another cause for the difference in riverine CO₂ evasion could be that the resolution of
627 ORCHILEAK (0.5 degree river network and 1° for C fluxes) is not sufficient to fully capture
628 the dynamics of the smallest streams of the Congo Basin which have been shown to have the
629 highest DOC and CO₂ concentrations (Borges et al., 2019). Indeed, ORCHILEAK typically
630 does not simulate the highest observed *p*CO₂ measurements of the smallest tributaries (i.e. >
631 16,000 ppm). This is partly because for the fast reservoir (headwaters) in ORCHILEAK we
632 assume full *p*CO₂ equilibrium with the atmosphere over one full day, which prevents very high
633 *p*CO₂ values from building in the water column.

634 Despite these limitations, it is important to note that in our simulations, the evasion flux from
635 rivers only contributes 15% of total aquatic CO₂ evasion, and including the flux from
636 wetlands/floodplains, we produce a total of 235 Tg C yr⁻¹. Moreover, the majority of this
637 evasion occurs in the Cuvette Centrale (Fig. 8) which suggests that while ORHILEAK fails to
638 attribute a large portion of this flux to small rivers (owing to the coarse resolution of the river

639 network) we nonetheless do capture the source of carbon. In other words, in ORCHILEAK the
640 majority of this carbon evades directly from the floodplain and wetlands of the Cuvette
641 Centrale as opposed to the small rivers.

642 Our simulated export of C to the coast of 15 (15.3) Tg C yr⁻¹ is virtually identical to the
643 TOC+DIC export estimated by Borges et al. (2015^a) of 15.5 Tg C yr⁻¹, which is consistent with
644 the fact that we simulate a similar spatial variation of DOC concentrations (Fig. 8 and Fig. 1
645 for locations). It is also relatively similar to the 19 Tg C yr⁻¹ (DOC + DIC) estimated by
646 Valentini et al. (2014) in their synthesis of the African carbon budget. Valentini et al. (2014)
647 used the largely empirical based Global Nutrient Export from WaterSheds (NEWS) model
648 framework and they point out that Africa was underrepresented in the training data used to
649 develop the regression relationships which underpin the model, and thus this could explain the
650 small disagreement.

651 Of the total 15 Tg C yr⁻¹ exported to the coast, we simulate a 2.4 Tg C yr⁻¹ component of
652 dissolved CO₂, which is relatively similar to the empirically derived estimate of the total DIC
653 export of 3.3 Tg C yr⁻¹ calculated in Wang et al. (2013). According to Wang et al., dissolved
654 CO₂ accounts for the majority (1.9 Tg C yr⁻¹) with the rest being the weathering derived flux
655 of HCO₃⁻. Thus, the discrepancy between the two estimates is likely to be largely caused by
656 our lack of accounting for the weathering derived flux (HCO₃⁻) which they estimate at 1.4 Tg
657 C yr⁻¹. In summary, despite this model limitation the results of Wang et al. (2013) suggest that
658 we still capture the majority of the DIC flux.

659

660 **4.2 Trends in terrestrial and aquatic carbon fluxes**

661 There is relatively sparse observed data available on the long-term trends of terrestrial C fluxes
662 in the Congo. Yin et al. (2017) used MODIS data to estimate NPP between 2001 and 2013

663 across central Africa. They found that NPP increased on average by 10 g C m^{-2} per year, while
664 we simulate an average annual increase of $4 \text{ g C m}^{-2} \text{ yr}^{-1}$ over the same period across the Congo
665 Basin. The two values are not directly comparable as they do not cover precisely the same
666 geographic area but it is encouraging that our simulations exhibit a similar trend to remote
667 sensing data. As previously noted, MODIS derived estimates of NPP do not fully include the
668 effect of CO_2 fertilization (de Kauwe et al., 2016) whereas ORCHILEAK does. Thus, the
669 MODIS NPP product may underestimate the increasing trend in NPP, which would bring our
670 modeled trend further away from this dataset. On the other hand, forest degradation effects and
671 recent droughts have been associated with a decrease of greenness (Zhou et al., 2014) and
672 above ground biomass loss (Qie et al., 2019) in tropical forests.

673 Up to a point, our results also concur with estimates based on the upscaling of biomass
674 observations (Lewis et al., 2009; Hubau et al., 2019). Lewis et al. (2009) up-scaled forest plot
675 measurements to calculate that intact tropical African forests represented a net uptake of
676 approximately 300 Tg C yr^{-1} between 1968 and 2007 and this is consistent with our NEP
677 estimate of 275 Tg C yr^{-1} over the same period. However, more recently an analysis based on
678 an extension of the same dataset found that the above ground C sink in tropical Africa was
679 relatively stable from 1985 to 2015 (Hubau et al., 2020).

680 A major source of the uncertainty associated with future projections of NPP and NEP comes
681 from our limited understanding and representation of the CO_2 fertilization effect. Recent
682 analysis of data from some of the longest-running Free-Air CO_2 Enrichment (FACE) sites,
683 consisting of early-successional temperate ecosystems, found a $29.1 \pm 11.7\%$ stimulation of
684 biomass over a decade (Walker et al., 2019). A meta-analysis (Liu et al., 2019) of seven
685 temperate FACE experiments combined with process-based modelling also found substantial
686 sensitivity ($0.64 \pm 0.28 \text{ PgC yr}^{-1}$ per hundred ppm) of biomass accumulation to atmospheric
687 CO_2 increase, and the same study showed that ORCHIDEE model simulations were largely

688 consistent with the experiments. However, other FACE experiments on mature temperate
689 forests (Körner et al., 2005), as well as eucalyptus forests bring into question whether the
690 fertilization effects observed in temperate FACE experiments can be extrapolated to other
691 ecosystems. For example, the Swiss FACE study, a deciduous mature forest, found no
692 significant biomass increase with enhanced CO₂ (Körner et al., 2005), while a FACE
693 experiment on a mature eucalyptus forest in Australia found that while CO₂ stimulated an
694 increase in C uptake through GPP, this did not carry to the ecosystem level, largely as a result
695 of a concurrent increase in soil respiration (Jiang et al., 2020). Unfortunately, no results are yet
696 available from any tropical FACE experiments, though the Amazon FACE experiment is
697 underway and the eventual results will be crucial in developing our understanding of the CO₂
698 fertilization effect beyond the temperate zone.

699 With these limitations in our understanding of tropical forest ecosystems in mind, over the
700 entire simulation period (1861-2099) we estimate that aquatic CO₂ evasion will increase by
701 79% and the export of C to the coast by 67%. This increase is considerably higher than the
702 23% and 27% rise in outgassing and export predicted for the Amazon basin (Lauerwald et al.,
703 2020), over the same period and under the same scenario. This is largely due to the fact climate
704 change is predicted to have a substantial negative impact on the aquatic C fluxes in the Amazon,
705 something that we do not find for the Congo where rainfall is projected to substantially increase
706 over the 21st century (RCP 6.0). In the Amazon, Lauerwald et al. (2020) show that while there
707 are decadal fluctuations in precipitation and discharge, total values across the basin remain
708 unchanged in 2099 compared to 1861. However, changes in the spatial distribution of
709 precipitation mean that the total water surface area actually decreases in the Amazon. Indeed,
710 while we find an increase in the ratio of C exports to the LOAC/NPP from 3 to 5%, Lauerwald
711 et al. (2020) find a comparative decrease.

712 Our simulated increase in DOC export to the coast up to the present day is smaller than findings
713 recently published for the Mississippi River using the Dynamic Land Ecosystem Model
714 (DLEM, Ren et al., 2016). In addition, the Mississippi study identified LUC including land
715 management practices (e.g. irrigation and fertilization), followed by change in atmospheric
716 CO₂, as the biggest factors in the 40% increase in DOC export to the Gulf of Mexico (Ren et
717 al., 2016). Another recent study (Tian et al., 2015), found an increase in DIC export from
718 eastern North America to the Atlantic Ocean from 1901-2008 but no significant trend in DOC.
719 They demonstrated that climate change and increasing atmospheric CO₂ had a significant
720 positive effect on long-term C export while land-use change had a substantial negative impact.

721 **4.3 Limitations and further model developments**

722 It is important to note that we can have greater confidence in the historic trend (until present-
723 day), as the future changes are more reliant on the skill of Earth System model predictions and
724 of course on the accuracy of the RCP 6.0 scenario. As discussed above, our understanding and
725 representation of CO₂ fertilization, especially in the tropics, is a major limitation. Moreover,
726 the majority of land surface models, ORCHILEAK included in its current iteration, do not
727 represent the effect of nutrient limitation on plant growth meaning that estimates of land C
728 uptake may be too large (Goll et al., 2017). There are also considerable uncertainties associated
729 with future climate projections in the Congo basin (Haensler et al., 2013). Nutrient limitation
730 on growth and a better representation of effect of enhanced CO₂, particularly with regards to
731 soil respiration (Jiang et al., 2020) and tree mortality (Hubau et al., 2020), are two crucial
732 aspects which need to be further developed.

733 Additionally, we do not account for methane fluxes from Congo wetlands, estimated at 1.6 to
734 3.2 Tg (CH₄) per year (Tathy et al., 1992), and instead assume that all C is evaded in the form
735 of CO₂. Another limitation is the lack of accounting for bespoke peatland dynamics in the

736 ORCHILEAK model. ORCHILEAK is able to represent the general reduction in C
737 decomposition in water-logged soils and indeed Hastie et al. (2019) demonstrated that
738 increasing the maximum floodplain extent in the Amazon Basin led to an increase in NEP
739 despite fueling aquatic CO₂ evasion because of the effect of reducing soil heterotrophic
740 respiration. Furthermore, ORCHILEAK uses a “poor soils” mask forcing file (Fig. 2 j) based
741 on the Harmonized World Soil Database (FAO/IIASA/ISRIC/ISS-CAS/JRC, 2009), which
742 prescribes reduced decomposition rates in low nutrient and pH soils (e.g. Podzols and
743 Arenosols). The effect of the “poor soils” forcing can clearly be seen in the spatial distribution
744 of the soil C stock in Fig. A3, where the highest C storage coincides with the highest proportion
745 of poor soils. Interestingly, this does not include the Cuvette Centrale wetlands (Fig. 1), an area
746 which was recently identified as containing the world’s largest intact tropical peatland and a
747 stock of around 30 Pg C (Dargie et al., 2017). One potential improvement that could be made
748 to ORCHILEAK would be the development of a new tailored “poor soils” forcing file for the
749 Congo Basin which explicitly includes Histosols, perhaps informed by the Soil Grids database
750 (Hengl et al., 2014), to better represent the Cuvette Centrale. This could in turn, be validated
751 and/or calibrated against the observations of Dargie et al. (2017). A more long-term aim could
752 be the integration/ coupling of the ORCHIDEE-PEAT module with ORCHILEAK.
753 ORCHIDEE-PEAT (Qiu et al., 2019) represents peat as an independent sub-grid hydrological
754 soil unit in which peatland soils are characterized by peat-specific hydrological properties and
755 multi-layered transport of C and water. Thus far, it has only been applied to northern peatlands,
756 and calibrating it to tropical peatlands, along with integrating it within ORCHILEAK would
757 require considerable further model development, but would certainly be a valuable longer-term
758 aspiration. This could also be applied across the tropical region and would allow us to
759 comprehensively explore the implications of climate change and land-use change for tropical
760 peatlands. In addition, ORCHILEAK does not simulate the erosion and subsequent burial of

761 POC within river and floodplain sediments. Although it does not represent the lateral transfer
762 of POC, it does incorporate the decomposition of inundated litter as an important source of
763 DOC and dissolved CO₂ to the aquatic system; i.e. it is assumed that POC from submerged
764 litter decomposes locally in ORCHILEAK. Moreover, previous studies have found that DOC
765 as opposed to POC (Spencer et al., 2016; Bouillon et al., 2012) overwhelmingly dominates the
766 total load of C in the Congo.

767 The representation of the rapid C loop of aquatic macrophytes should also be made a priority
768 in terms of improving models such as ORCHILEAK, particularly in the tropics. As previously
769 discussed, ORCHILEAK also fails to account for the weathering derived flux (HCO₃⁻). Finally,
770 the issue of shifting cultivation demands further attention; at least for the present day a shifting
771 cultivation forcing file could be developed based on remote sensing data (Tyukavina et al.,
772 2018). For additional discussion of the limitations of ORCHILEAK, please also see Lauerwald
773 et al. (2017) and Hastie et al. (2019).

774 **5. Conclusions**

775 For the present day, we show that aquatic C fluxes, and in particular CO₂ evasion, are important
776 components of the Congo Basin C balance, larger than for example the combined fluxes from
777 LUC and harvesting, with around 4% of terrestrial NPP being exported to the aquatic system
778 each year. Our simulations show that these fluxes may have undergone considerable
779 perturbation since 1861 to the present day, and that under RCP 6.0 this perturbation could
780 continue; over the entire simulation period (1861-2099), we estimate that aquatic CO₂ evasion
781 will increase by 79% and the export of C to the coast by 67%. We further find that the ratio of
782 C exports to the LOAC/NPP could increase from 3 to 5%, driven by both rising atmospheric
783 CO₂ concentrations and climate change. This calls for long-term monitoring of C levels and
784 fluxes in the rivers of the Congo basin, and further investigation of the potential impacts of

785 such change. Our results also highlight the limitations of the current generation of land surface
786 models and call for investment into further model development.

787

788 *Code availability.* A description of the general ORCHIDEE code can be found here:
789 [http://forge.ipsl.jussieu.fr/orchidee/browser#tags/ORCHIDEE 1 9 6/ORCHIDEE](http://forge.ipsl.jussieu.fr/orchidee/browser#tags/ORCHIDEE%20196/ORCHIDEE).

790 The main part of the ORCHIDEE code was written by Krinner et al. (2005). See d'Orgeval et
791 al. (2008) for a general description of the river routing scheme. For the updated soil C module
792 please see Camino Serrano (2015). For the source code of ORCHILEAK see Lauerwald et al.
793 (2017)- <https://doi.org/10.5194/gmd-10-3821-2017-supplement>

794 For details on how to install ORCHIDEE and its various branches, please see the user guide:
795 <http://forge.ipsl.jussieu.fr/orchidee/wiki/Documentation/UserGuide>

796 *Author contribution.* AH, RL, PR and PC all contributed to the conceptualization of the study.
797 RL developed the model code, AH developed the novel forcing files for Congo, and AH
798 performed the simulations. FP provided the GIEMS dataset for model validation. AH prepared
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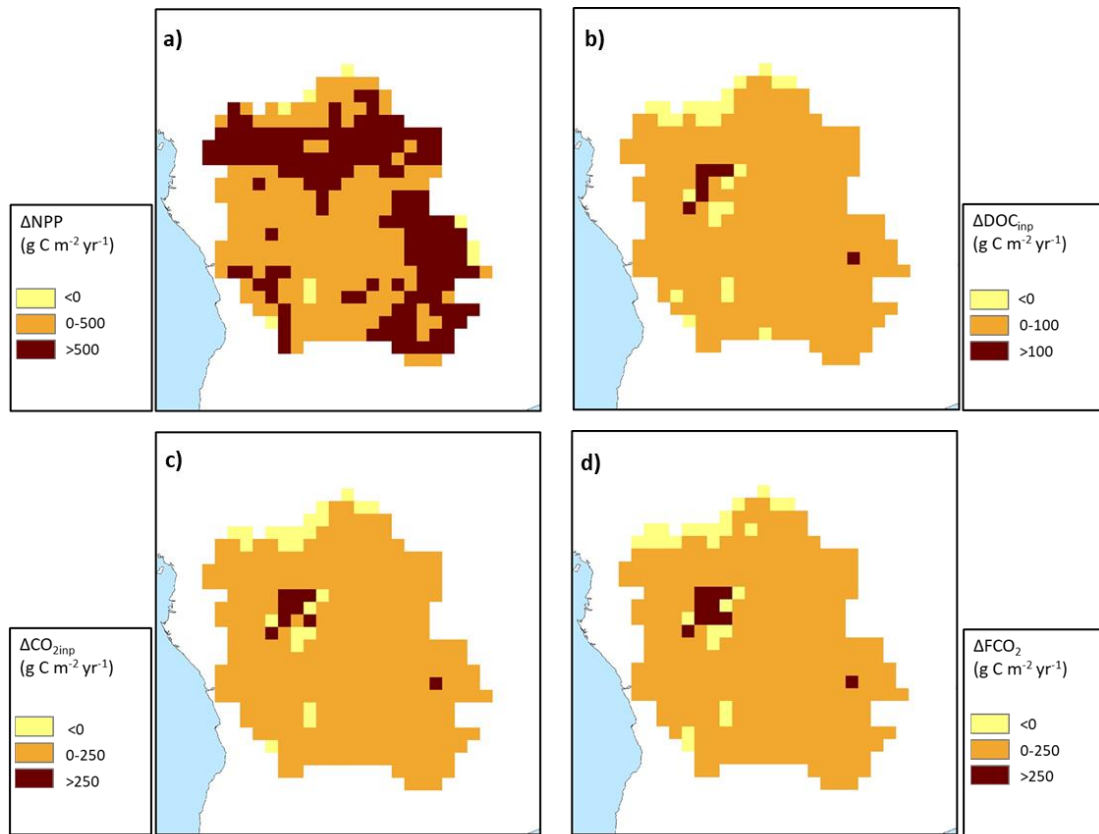
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Table A 1: Performance statistics for modelled versus observed seasonality of discharge on the Congo at Brazzaville

Climate forcing	RSME	NSE	R ²	Mean monthly discharge (m ³ s ⁻¹)
ISIMIP	29%	0.20	0.23	38,944
Princeton GPCC	40%	-0.25	0.20	49,784
GSWP3	46%	-4.13	0.04	24,880
CRUNCEP	65%	-15.94	0.01	16,394
Observed (HYBAM)				40,080

Table A 2: Pearson correlation coefficient (r) between detrended carbon fluxes and detrended climate variables

	SHR	Aquatic CO ₂ evasion	Lateral C	NEP	Rain	Temp.	MEI
NPP	-0.48	0.68	0.72	0.90	0.64	-0.57	-0.09
SHR		-0.41	-0.48	-0.71	-0.32	0.76	0.04
Aquatic CO ₂ evasion			0.92	0.41	0.87	-0.30	-0.21
Lateral C				0.52	0.81	-0.38	-0.15
NEP					0.40	-0.74	-0.01
Rain						-0.31	-0.26
Temp.							0.03



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Figure A 1: Change (Δ , 2099 minus 1861) in the spatial distribution of a) terrestrial NPP, b) DOC leaching into the aquatic system, c) CO_2 leaching into the aquatic system and d) aquatic CO_2 evasion. All at a resolution of 1°

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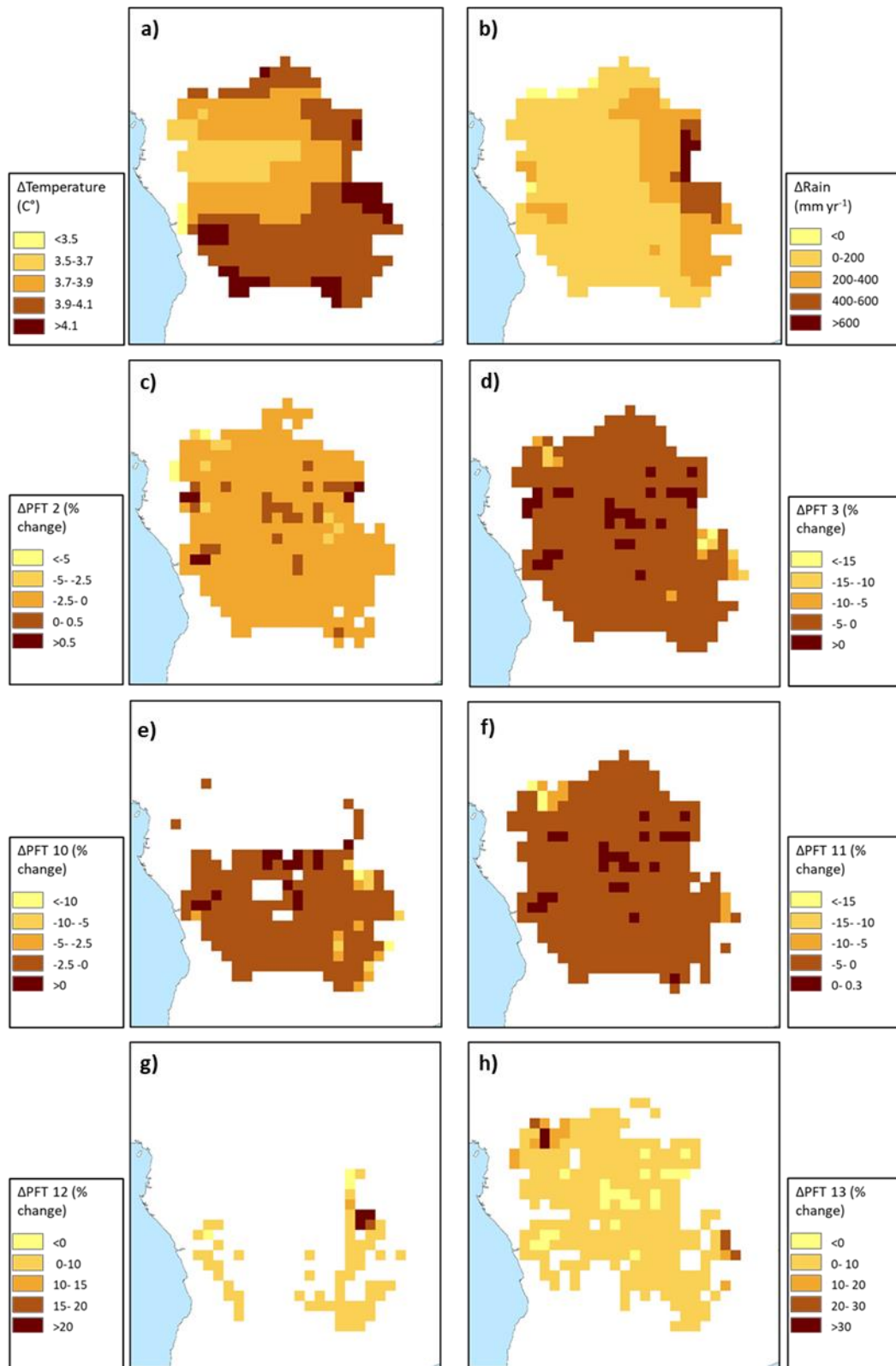


Figure A 2: Change (Δ , 2099 minus 1861) in the spatial distribution of the principal climate and land-use drivers across the Congo Basin; a) mean annual temperature in $^{\circ}\text{C}$, b) mean annual rainfall in mm yr^{-1} , c)-h) mean annual maximum vegetated fraction for PFTs 2,3, 10,11,12 and 13. All at a resolution of 1° .

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Table A 3: Past (1861-1890), present-day (1981-2010) and future (2070-2099) mean values for important climate and land-use drivers across the Congo basin								
Period	Temp.	Rain.	PFT2	PFT3	PFT10	PFT11	PFT12	PFT13
1861-1890	24.0	1451	0.263	0.375	0.154	0.254	0.015	0.014
1981-2010	25.2	1526	0.255	0.359	0.154	0.255	0.038	0.030
2070-2099	28.2	1654	0.258	0.362	0.147	0.245	0.039	0.037

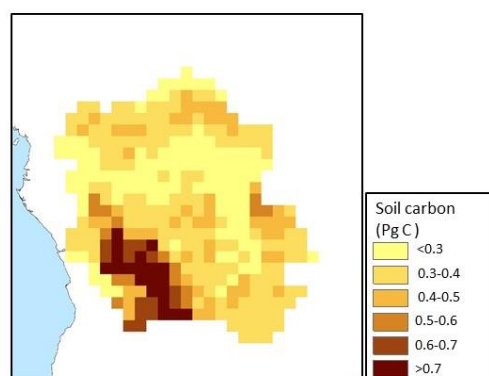


Figure A 3: Spatial distribution of simulated total carbon stored in soils for the present day (1981-2020).

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