## "Impact of environmental changes and land-management practices on wheat production in India" by Gahlot et al. submitted to Earth System Dynamics

## **RESPONSE TO COMMENTS BY THE REVIEWERS**

Reviewers' comments are in blue, our responses are in black and the changes made in the document are in green font. The line numbers refer to the marked up document attached herewith.

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Response to Reviewer 1

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Based on a dynamic land model, the authors developed the growth processes for spring wheat using field experiments and studied the effects of different environmental factors and land management practices on the spring wheat production in India. The authors have shown that both the increase in CO2 concentration, availability of water through irrigation and additional nitrogen fertilizer enhance the annual wheat production, while the elevated temperature reduces total wheat productions. The authors also investigate the impact of the above factors on wheat production at five spring wheat environment (SWE) regions. The paper is written in a very decent way and the results contribute to our understandings of the impact of induvial environmental factors on wheat production in India.

We thank the reviewer for such encouraging comments.

I have only some minor points to make. First, in the Results section, you discussed changes in the overall wheat production. It might be interesting to also include changes in its components, like gross production and respiration in a table.

This is a very important point. The research work on the development of the spring wheat module was conducted in two parts. This paper deals with the first part where we focus on crop growth features like LAI, biomass and production. Adding GPP and respiration as a table can be done but will not do justice to such a complex issue. We are currently working on the second paper where we study carbon dynamics in spring wheat agroecosystems in a comprehensive manner. That paper will include evaluation of variables like GPP, respiration, NEP etc. against field observations, trends in these variables and how they are affected by environmental and anthropogenic forcings. Adding all these elements will make the current paper unfocussed, unwieldly and long. That is why we prefer not to add carbon fluxes so we can focus on wheat growth and production.

Second, in section 3.3, you analyzed the wheat productions in five SWE regions and their associations with different environmental factors based on the control simulation results. You can also do a similar calculation as done at the country-scale, by comparing results between the control simulation and four sensitivity simulations (that is, you set a constant value to each environmental factor one at a time). In this way, you can probably see the impact of different factors on wheat production at different regions and quantify their overall contributions to the country-scale changes.

We thank the reviewer for this suggestion. This is a valuable suggestion that will add to the understanding of SWEs. We will do the analysis for SWE-specific impact of each external driver on wheat production and add the results in the form of a new table in the paper. Details of this analysis will also be added in the Results and Discussions section. The knowledge added by this additional text will contribute to a comprehensive understanding of wheat production patterns in India.

## Text added in lines 294-305:

... to identify regions with similar growing conditions for wheat. SWE 1 (Fig. 2) represents mostly the Indo-Gangetic plains that offer good access to irrigation for wheat which is a non-monsoon crop. The growing season temperatures fall in the optimum range for wheat growth. SWE 2, which mainly comprises of the wheat growing regions in the proximity of the Himalayas, is characterized by very low growing season temperatures and high rainfall. SWE 3 represents the north-western parts of the country with moderate to high growing season temperatures, low rainfall and small values of AEI. SWE 4 represents the central parts of India and tropical wheat growing regions with high temperatures and moderate growing season precipitation. SWE 5 represents the crucial wheat growing regions of the country where the

conditions are similar to SWE 1 but irrigation facilities are lacking. Wheat production for each of the SWEs has been discussed further in the following sections.

Text added in lines 462-479:

Similar to the analysis done for country-scale impact of different factors, we quantified the impact of factors on different SWEs. The results of this analysis are summarized in Table 4. SWE1 and SWE5 are the two regions where magnitude of trends in change in wheat production with different input forcings are the highest (Table 4). The magnitudes of impacts of forcings on SWEs 2, 3 and 4 are relatively small. This is because the analysis involves production that is calculated as yield times the harvested area. The numbers in Table 4, hence, do not reflect changes per unit harvested area.

While CO<sub>2</sub> fertilization, water added through irrigation and nitrogen fertilizers are found to increase wheat production in SWE1 at 0.26 Mt per ppm [CO<sub>2</sub>], 0.35Mt per 1000 mm and 0.07 Mt per kg N ha<sup>-1</sup> respectively, production is found to decrease by 3.52 Mt for every degree Celsius rise in average growing season temperatures. It is found that water added through irrigation has small yet negative impact on production in SWE2. This can be due to excess surface runoff in SWE2 that might lead to washing away of nitrogen from the soil resulting in nutrient stress in the crop. The impact of different forcings is also found to be significant for SWE5 where [CO<sub>2</sub>], irrigation and nitrogen fertilizers have promoted wheat production at the rates of 0.07 Mt per ppm [CO2], 0.41 Mt per 1000 mm and 0.01 Mt per kg N ha<sup>-1</sup>, respectively. Irrigation is seen to have the most impact on wheat production in SWE 5 out of all the SWEs.

## Text added in lines 1109-1114

Table 4: Impacts of different e	external forcings	on annual	wheat p	roduction	in the	<b>SWEs</b>
during the study period (1980-	-2016).					

Input Forcing (i)	Change in annual wheat production per unit change in <i>i</i>				
	SWE1	SWE2	SWE3	SWE4	SWE5
Elevated	0.26 <sup>a</sup>	0.02 <sup>a</sup>	0.01 <sup>a</sup>	0.02 <sup>a</sup>	$0.07^{\mathrm{a}}$
atmospheric CO <sub>2</sub>					
level (MT ppm <sup>-1</sup> )					
Average growing	-3.52 <sup>b</sup>	-0.03	-0.12	-0.36	-1.36
season					
temperature*					
$(Mt \circ C^{-1})$					

Water demand	0.35 <sup>b</sup>	0.04 <sup>b</sup>	0.61 <sup>a</sup>	0.07	0.41
$(Mt \ 1000 \ mm^{-1})$					
Average nitrogen fertilizer per unit	0.07ª	0.01	0	0	0.01 <sup>b</sup>
area (Mt kg N <sup>-1</sup> ha <sup>-1</sup> )					

<sup>a</sup> Values are significant at 99%

<sup>b</sup> Values are significant at 90%

Response to Reviewer 2

This study uses the land surface model, ISAM, to examine the effect of different environmental factors, including atmospheric CO2, temperature, nitrogen fertilization, and irrigation on spring wheat production in India. First, the authors implemented spring wheat processes in ISAM by updating C3 crop parameterizations. After calibrating and validating the updated model against available observations, ISAM is applied to explore environmental and land management factors on Indian wheat production. It is found that during the last 30 years, increasing atmospheric CO2, addition of nitrogen fertilizer, and irrigation act to increase the production due to increased heat stress. Regional scale analysis of environmental factors and land management practice shows that Indo-Gangetic plain is the best region for growing spring wheat in India, C1 ESDD Interactive comment Printer-friendly version Discussion paper and Northwestern India is the least productive region for wheat growth. This study makes a useful contribution to boost our general understanding in the effect of environmental change and land management on crop yields and production. The manuscript is in general clearly written. I recommend its publication after the following issues are addressed.

We thank the reviewer for the encouraging comments

Lines 61-63: This sentence is hard to read. Please rephrase.

We will modify the following lines in the current paper text:61 Studies that cover the impact of land management practices of irrigation and addition of

62 nitrogen input on crop production aid in giving an overall understanding of the scope of 63 improvement in planting and managing the crop to enhance production (Tack et al. 2017). to:

Quantification of the impact of land management practices on crop production helps in understanding how the croplands can be managed to improve production (Tack et al. 2017). Text changed in lines 73-74:

Quantification of the impacts of land management practices on crop growth helps in understanding how croplands can be managed to improve production.

Line 105: How big is the wheat experimental site?

The experimental plots are approximately 650 sq m. We will add this information to the text. Text added in line 133:

 $\dots$  approximately 650 m<sup>2</sup> in area and is  $\dots$ 

## Lines 139-140: What are these major plant functional types?

There are 30 PFTs in ISAM. These include different types of forests (e.g., evergreen needleaf deciduous broadleaf, etc.), savannah, croplands, pastures and urban areas. Providing a full list of PFTs is not necessary for this paper because we are focusing only on the croplands area. For brevity, we have provided details that are directly relevant for this study. of the An interested reader can find descriptions of the PFTs and other details in Barman et al. 2014a, 2014b; Song et al. 2013, 2015, 2016; that are cited in the text.

Line 179: Where 'the climate driver' data come from? Also, the reference of Meinshausen et al., 2011 is missing.

The climate data is taken from Climate Research Unit (CRU)-National Centre for Environment Prediction reanalysis (Viovy et al. 2018). The citation for CO<sub>2</sub> data will be corrected to Le Quéré et al. 2017 in the text which was previously mentioned as Meinshausen et al., 2011. Line 179 will be changed to:

The model is spun-up by recycling the climate data (CRU data, Viovy et al. 2018),  $[CO_2]$  (Le Quéré et al. 2017) and airborne nitrogen deposition (Lamarque et al. 2011) data for 2015-16

until the soil temperature, soil moisture, the soil carbon pool and the soil nitrogen pool reaches a steady state.

Text added in lines 209-211:

... Climate Research Unit-National Centre for Environment Prediction reanalysis data (CRU-NCEP, Vivoy et al., 2018), Global Carbon Project Budget 2017 [CO<sub>2</sub>] (Le Quere et al., 2018) ...

Line 225: Section 3.2 Here the effect of a single factor (CO<sub>2</sub>, temperature, etc.) is obtained by subtracting the simulation that includes the effect of all factors (CTRL) from the simulation that excludes the effect of a certain factor. Thus, the effect includes interactions with other factors. How would it compare with the sole effect of a certain factor by keeping other factors constant? (For example, suppose a simulation in which only atmospheric CO<sub>2</sub> changes to represent the CO<sub>2</sub> effect). Some discussion on this issue would be helpful.

The current experiment design accounts for how the different input drivers have contributed to the wheat production as we see it today. Since the interactions between the input drivers are nonlinear, and process-based models allow for such interactions, the current experiment design accounts for such non-linearities and interactions. The alternate experiment design, in which only one factor is varied, does not allow such interactions since all other inputs will be kept constant. Such experiment design can be used to study how each input driver can contribute to wheat production, but the results will not be able to match with the actual production numbers in the country because of lack of representation of interactions and feedbacks in the experiment design.

Also, what are the nonlinear interactions among different factors? Does the sum of individual effects add linearly to the combined effect? The authors stated that changes in atmospheric  $CO_2$ , irrigation, fertilizers, and temperature led to 39%, 15%, 20% and -16% changes in countrywide production. So, what explains the residual change in wheat production that are not attributed to these factors? Some discussions should be added.

The Earth System is a nonlinear system where different components interact with each other. We used a process-based model that includes such interactions including interactions between different drivers. For instance, higher temperatures increase the crop water demand. Higher [CO2] increases photosynthesis that also affects nutrient and water demand. Because of these interactions, the sum of the effects will not add up to 100%. Moreover, the experiments are not

exhaustive; there are other factors like relative humidity etc. that affect production. We will add a discussion to this effect in the revised manuscript.

## Text added in lines 561-568:

The Earth System is a nonlinear system where different components interact with each other. In this study we used a process-based model that includes such interactions including interactions and feedbacks between different drivers. For instance, higher temperatures increase the crop water demand. Higher [CO<sub>2</sub>] increases photosynthesis that also affects nutrient and water demand. Because of these interactions, the sum of the effects will not add up to 100%. Moreover, the experiments conducted in this study are not exhaustive; there are other factors like relative humidity, solar radiation etc. that might affect production.

## Lines 256-257: '2' -> 'two'

## The suggested change will be implemented. Text changed in line 325: '2' changed to 'two' Text changed in line 326: '2' changed to 'two'

# Lines 431-432: This sentence lacks a context. How does this study imply that ISAM will likely provide better estimate of terrestrial carbon flux?

Currently ISAM uses a generic crop model to simulate all the agroecosystems of India. Using crop-specific models like the spring wheat model developed in this study will improve the simulation of crop phenology over wheat agroecosystems. This will likely lead to better simulations of the spatio-temporal variability in carbon dynamics. We will add this discussion to the Conclusions and Discussions section of the paper.

## Text added in lines 557-559:

... using crop-specific models like the spring wheat model developed in this study will improve the simulation of crop phenology for agro-ecosystems. This will likely lead to better estimates of carbon fluxes and their spatio-temporal variability.

Table 1: For the experiment  $S_{TEMP}$  that assumes no temperature change, I assume other climate fields such as precipitation and humidity change with time. If so, to what extent changes in other

climate fields such as precipitation and soil moisture contribute to the 'direct' heat stress effect? Some discussions should be provided.

The effects of climate variables of temperature and precipitation have been studied separately in the runs  $S_{TEMP}$  and  $S_{WATER}$  respectively. The  $S_{WATER}$  run, as described in Table 1, allows for no precipitation change and no irrigation. Other climatic variables like humidity are allowed to change with time in all simulations. Soil moisture is calculated in the model based on soil water balance with inputs of precipitation, irrigation, soil type etc.

Table 3: statistic test should be done on the trends shown here.

We will add statistical significance of the results presented in this table. Statistical significance of each trend is computed and the p value is added in Table 3.

Response to Reviewer 3

Comments on the MS The manuscript entitled "Impact of environmental changes and landmanagement practices on wheat production in India" is a very good study to quantify the role of various environmental factors and agricultural management practices on spring wheat production in India during 1980-2016 by Integrated Science Assessment Model (ISAM). Elevated atmospheric CO2 and rising temperature are considered in environmental factors while nitrogen fertilizers applications and water availability through irrigation practices are considered in land management factors. The author's effort is commendable however some minor corrections needed in the draft.

We thank the reviewer for the positive comment.

1) From 1980-2016 for every ppm rise in [CO2] level total wheat production of the country has increased by 0.37 Mt and 39% increase in production compared to the 1980-84 period (Fig. 6a; R2 0.97 while described in the draft R2 0.93) and thus a strong positive correlation has observed. While during the same period total wheat production increased at the rate of 0.10Mt for every kg nitrogen fertilizer-N/ha applied to the farm and 20% increase in production compared to the 1980-84 period (Fig. 6c; R2 0.39), a decrease of 8.38 Mt (\_10% reduction) of wheat per degree Celsius

increase in the average growing season temperature (Fig. 6b; R2 0.26) and 15% increase in production compared to the 1980-84 period due to increased irrigation. (Fig. 6d; R2 0.13). These factors have not shown a strong correlation that needs to improve.

If we understand correctly, the reviewer is asking us to improve the correlation between some of the forcings and the corresponding impact. This cannot be done because the correlations are outcomes of numerical modelling experiments. We cannot improve the values but can provide an explanation. Figure 6 plots the forcings and the impacts at the country-scale. The CO<sub>2</sub> forcing is uniform across the country but the others forcings are not. There is strong spatial variability in the temperature, fertilizer and water demand forcings and their impacts. This is why we have developed the SWE approach to explore the forcings at regional scale. These patterns will be clearer when we analyse the data further as suggested by Reviewer 1.

See response to Reviewer 1 point 2

The r2 values mentioned in Fig. 6a is correct. The text will be corrected accordingly. We apologize for this oversight.

Text changed in line 355: '0.93' changed to '0.97'

2) In the draft different equations of the models are not shown e.g. Eq. A1, A2, A3 (line no. 170), Eq. A4, A5 (197); Eq. A6, A7, A8 (205); Eq. A9 (207), Eq. 10 (233), Eq. 11 (259) or may be included in the additional/supplement materials.

All the equations are already included in the supplementary material.

3) Data for the actual amount of water used for irrigation is not available. So in the SCON simulation, every grid cell is considered 100% irrigated and crops do not undergo water stress at any point in the growing season and all the regions are 100% irrigated. Since wheat is a non-monsoon crop, is highly dependent on the availability of irrigation. The development of irrigation water use datasets could reduce the uncertainty in simulating the effect of water stress on crop production.

We agree with the reviewer's comment that irrigation water use datasets can help in reducing the uncertainty in simulating the effect of water stress on crop production. We have already mentioned

this in the Conclusions and Discussions sections (lines 438-444). Developing such a dataset is a huge task that is beyond the scope of this study.

4) Variation in wheat productivity in different regions as well as in different years of the study period (1980-2016) depends not only on environmental factors and management practices but also on the genetic factors, multiple cropping's, insect pests and diseases. Since 1980 various hybrids and high yielding wheat varieties were cultivated to increase the input use efficiency and higher economic yield. Similarly, in different climatic zones, area-specific resistant wheat varieties were also grown to enhance wheat productivity. The addition of new processes accounting for the effects of pests, multiple cropping and genotypes will make the simulations more representative of the Indian situation.

We agree with the reviewer's comment that accounting for additional effects like pests, multiple cropping and modelling more genotypes will ensure better representation of the actual scenario in the simulations. We have already discussed this in the Conclusions and Discussions sections (lines 435-438). Because the model developed and used in this study is a process-based model, implementation of every process requires data. We do not have adequate data in the public domain from Indian wheat ecosystems that can be used for this purpose.

5) The study is more generalized for different climatic zones/spring wheat environment (SWE) while there is a need for more focused regional-scale studies. However, the study is an attempt to work in the similar direction with a focus on wheat in India.

We appreciate the reviewer's comment. We decided to introduce the concept of SWEs to address the spatial variability in the forcings and impacts.

6) In the draft multiple citations should be arranged in descending order of the publication year (line no. 54, 58-59, 68-69, 76, 79-80, 83, 137, 145, 212, 270-271, 277-278, 303) and citation of line no. 76, 212, 213 needs to correct as per the formatting guidelines of the journal.

We apologize for these oversights. We will make corrections in the manuscript to ensure proper citation of the references.

All citations are now in chronologically descending order.

7) References missing for some of the citations in the draft e.g. FAO Statistic 2014 (line no. 43-44), Leaky et al. 2009 (58-59), Bondeau et al., 2007 (76, 80), Drewniak et al. 2012 (79-80), Lu et al. 2017 (80), Zhao et al. 2007 (168), Meinshausen et al. 2011 (179), Lamarque et al. 2011 (180), Ren et al. 2015 (197), Harris et al., 2014 (212), Viovy, 2016 (212), Meinshausen et al., 2011 (213), Lamarque et al. 2011 (214).

We apologize for these oversights. We will re-check and correct the reference list and the citations in the text.

The citations have been matched with the list of references. References are formatted as per ESD guidelines with journal acronyms from the Caltech library..

8) Some listed references have missing citation in the draft e.g. Ball & Berry 1987 (line no. 470-472), Chen 1992 (479-480), Drewniak et al. 2013 (488-490), Farquhar et al 1980 (500-501), Gill et al 2014 (505-507), Jonckheere et al. 2004 (508-510), Rajaram et al. 1993 (558-560), Xiaolin R. Weitzel et al. 2013 (595-598). 9) Some word formatting error needs to be corrected e.g. in line no. 110, 111, 382, and 511. 10) In the reference list prescribed journal format should be followed. As the reference of line no. 462-466, 542-545, and 569-571 seems out of the format.

We apologize for these oversights. We will re-check and correct the reference list and the citations in the text.

The citations have been matched with the list of references. References are formatted as per ESD guidelines using journal acronyms from the Caltech library.

We draw the attention of the editor to the following two issues that arose while responding to the comments of Reviewer 2.

 Reviewer 2 had asked for statistical significance in the trends of the impacts of the 4 drivers. In the earlier version, we had calculated linear trends. But in the revised version, we used Sen's slope that is a more robust measure and its statistical significance. The new method leads to small but non-trivial changes in the trend values. These changed values are reported in lines 27-33 (Abstract) and Table 3 in the revised manuscript.

Line 27: '0.68' changed to '0.67', '0.24' changed to '0.25' and '0.31 changed to '0.26' Line 32: '0.37' changed to '0.39' Table 3 Row 2 Column 3: '0.68' changed to '0.67' Table 3 Row 3 Column 3: '0.37' changed to '0.39' Table 3 Row 4 Column 2: '442.50' changed to '443.94' Table 3 Row 4 Column 3: '0.24' changed to '0.25' Table 3 Row 4 Column 4: '0.44' changed to '0.31' Table 3 Row 5 Column 2: '2.66' changed to '2.71' Table 3 Row 5 Column 3: '0.31' changed to '0.26'

 Reviewer 2 had asked for a discussion about the percentage impacts. In the earlier version of the manuscript, we had calculated percentage effects of the different forcings as requested by the editor using the following formula:

## % effect of factor X =

Change in production due to all factors – change in production due to factor X Production due to **factor X** 

× 100%

In this revised version, we use the following formula:

% effect of factor X =

Change in production due to all factors – change in production due to factor X Production due to **all factors** 

× 100%

This formulation is more appropriate because it allows for a direct comparison between the effects of different factors and help us to clarify the issue raise by Reviewer 2. However, it leads to changes in the percentage values reported earlier. The changed values are reported in lines 34 (Abstract), 339, 365, 375, 397 (Results), 495, 504, 510 and 521 (Conclusions) in the revised manuscript.

Line 34:'39%' changed to '30%', '15%' changed to '12%', '20%' changed to '15%' and '-16%' changed to '-18%'

Line 341: '39%' changed to '30%'

Line 367: '20%' changed to '15%'

- Line 377: '15%' changed to '12%'
- Line 400: '16%' changed to '18%'
- Line 498: '39%' changed to '30%'
- Line 507: '20%' changed to '15%'
- Line 513: '15%' changed to '12%'
- Line 524: '16%' changed to '18%'

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## Impact of environmental changes and land-management practices on wheat production in India

Shilpa Gahlot<sup>1</sup>, Tzu-Shun Lin<sup>2</sup>, Atul K Jain<sup>2</sup>, Somnath Baidya Roy<sup>1</sup>, Vinay K Sehgal<sup>3</sup>,
 Rajkumar Dhakar<sup>3</sup>

<sup>1</sup>Centre for Atmospheric Science, Indian Institute of Technology Delhi, New Delhi, 110016
 7 India,

<sup>8</sup> <sup>2</sup>Department of Atmospheric Science, University of Illinois, Urbana, IL, 61801 USA,

<sup>3</sup>Department of Agricultural Physics, Indian Agricultural Research Institute, New Delhi,
 110012, India

11 *Correspondence to*: Somnath Baidya Roy (drsbr@iitd.ac.in)

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12 Abstract. Spring wheat is a major food crop that is a staple for a large number of people in 13 India and the world. To address the issue of food security, it is essential to understand how 14 productivity of spring wheat changes with changes in environmental conditions and agricultural management practices. The goal of this study is to quantify the role of different 15 16 environmental factors and management practices on wheat production in India in recent years (1980 to 2016). Elevated atmospheric CO2 concentration ([CO2]) and climate change are 17 18 identified as two major factors that represent changes in the environment. The addition of 19 nitrogen fertilizers and irrigation practices are the two land-management factors considered in 20 this study. To study the effects of these factors on wheat growth and production, we developed 21 crop growth processes for spring wheat in India and implemented them in the Integrated Science Assessment Model (ISAM), a state-of-the-art land model. The model is able to capture 22 23 site-level observed crop leaf area index (LAI) and country scale production. Numerical experiments are conducted with the model to quantify the effect of each factor on wheat 24 25 production on a country scale for India. Our results show that elevated [CO<sub>2</sub>] levels, water availability through irrigation and nitrogen fertilizers have led to an increase in annual wheat 26 production at 0.67, 0.25 and 0.26 Mt yr-1, respectively, averaged over the time period 1980-27

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32 2016. However, elevated temperatures have reduced the total wheat production at a rate of 0.3933 Mt  $yr^{-1}$  during the study period. Overall, the [CO<sub>2</sub>], irrigation, fertilizers, and temperature 34 forcings have led to 22Mt (30%), 8.47 Mt (12%), 10.63 Mt (15%) and -13 Mt (-18%) changes in countrywide production, respectively. The magnitudes of these factors spatially vary across 35 the country thereby affecting production at regional scales. Results show that favourable 36 37 growing season temperatures, moderate to high fertilizer application, high availability of irrigation facilities, and moderate water demand make the Indo-Gangetic plain the most 38 productive region while the arid northwest region is the least productive due to high 39 40 temperatures and lack of irrigation facilities to meet the high water demand.

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#### 42 **1 Introduction**

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43 Wheat is a major food crop, ranked third in India and fourth in the world in terms of its production (FAOSTAT, 2019). Wheat can be of two main types: winter and spring wheat. 44 45 Winter wheat undergoes a 30-40 day long vernalization period induced by below-freezing temperatures and hence has a longer growing season of 180-250 days. In contrast, spring wheat, 46 47 which does not undergo vernalization, has a growing season of 100-130 days (FAO Crop 48 Information, 2018). In India, spring wheat is sown during October-November and harvested 49 during February-April (Sacks et al., 2010). It is grown in widely divergent climatic conditions across the country where different environmental factors like temperature, water availability, 50 51 and [CO<sub>2</sub>] may affect growth and yield. Ideally, a daily average temperature range of 20-25 °C is ideal for wheat growth (MOA, 2016). Studies have reported heat stress in wheat for 52 53 temperatures between 25 °C to 35 °C (Deryng et al., 2014) during the grain development stages. 54 Beyond the temperatures of 35 °C, wheat fails to survive. High temperatures are terminal to 55 wheat yield specifically in the flowering and grain filling stages during the second half of the 56 growing season (Farooq et al., 2011). Increasing temperature change and heat stress events in

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65 the recent decades and their impacts on wheat crop growth processes are extensively studied (Asseng et al., 2015; Lobell et al., 2012; Farooq et al., 2011; Ortiz et al. 2008). Another 66 67 environmental factor that has been widely studied is the impact of increasing [CO<sub>2</sub>]. The resulting CO<sub>2</sub> fertilization effect is found to promote crop growth (Dubey et al., 2015). Apart 68 from environmental factors, management practices including nitrogen fertilizer application and 69 70 irrigation also significantly affect wheat production (Myers et al., 2017; Leaky et al., 2009; 71 Luo et al., 2009). Because wheat is grown in the non-monsoon months, it is a high irrigation 72 crop with almost 94% of the wheat fields in India equipped for irrigation (MAFW, 2017). 73 Ouantification of the impacts of land management practices on crop growth helps in 74 understanding how croplands can be managed to improve production (Tack et al. 2017).

75 Even though India is the third largest wheat producer in the world, domestic production is 76 barely sufficient to meet the country's demand for food and livestock feed (USDA, 2018). Data 77 from different sources report a relatively poor yield of wheat in India as compared to other 78 countries (FAOSTAT, 2019). Hence, there is an urgent need to address this yield gap by developing better land-management practices under different environmental conditions 79 80 (Stratonovitch et al. 2015; Zhao et al. 2014; Luo et al., 2009). A key first step to achieve this goal is to understand the processes involved in interactions of the crop with its environment 81 82 and the factors responsible for impacting crop growth.

Dynamic Growth Vegetation Models (DGVMs) are well-established tools to study global climate-vegetation systems. Implementation of crop-specific parameterization and processes in DGVMs provides us with a better framework to assess and represent the role of agriculture in climate-vegetation systems (Song et al., 2013; Bondeau et al., 2007). This helps in better estimation of biogeochemical and biogeophysical processes, improves the representation of feedback mechanisms as well as prediction of yield and production. Multiple process-based

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models with crop-specific representations are being used recently (e.g., <u>Lu et al., 2017;</u>
Drewniak et al., 201<u>3; Song et al., 2013;</u> Lokupitiya et al., 2009; Bondaeu et al., 2007) instead

101 of standalone crop-models for this purpose.

102 This study explores the effects of environmental drivers and management practices on spring wheat in India using the land model ISAM (Song et al., 2015 and 2013). The specific objectives 103 of this study are: (1) to implement a dynamic spring wheat growth module in ISAM, and (2) to 104 study the effect of environmental factors (elevated [CO<sub>2</sub>] and climate change, including 105 temperature and precipitation change) and land-management practices (irrigation and nitrogen 106 107 fertilizers) on production of spring wheat in India for the 1980-2016 period using ISAM. To the best of our knowledge, this is the first study that evaluates the impacts of multiple 108 environmental factors and land management practices on spring wheat in India at a country 109 level by implementing spring wheat specific processes in a land-surface model. 110

#### 111 **2. Methods**

#### 112 2.1 Study design

The study is designed as follows. First, field data on crop physiology is collected at an experimental spring wheat field site. Next, the spring wheat model is developed and implemented in ISAM. The model is run at site-scale for calibration and evaluation with the site data. Next, the model is run for the entire country driven by gridded driver data and evaluated with country-scale wheat production data. Finally, numerical experiments are conducted to estimate the effects of various environmental factors and land-management practices on spring wheat production. Details of each step are described below.

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#### 121 2.2 Site Data

Field data on spring wheat growth is required to develop, calibrate and evaluate the spring wheat model. Such data is not readily available in the public domain. Hence, a field campaign

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130 is conducted during two growing seasons, 2014-15 and 2015-16. Leaf area index (LAI) is 131 measured for 2014-15 and LAI and aboveground biomass at different growth stages are 132 measured for the growing season 2015-16 at a wheat experimental site. The site is 133 approximately 650 m<sup>2</sup> in area and is located at 28°40' N, 77°12' E in the Indian Agricultural Research Institute (IARI) campus in New Delhi, which is a subtropical, semi-arid region. The 134 135 crop was sown on 18th November 2014 and 20th November 2015. It reached physiological maturity on 30th March in both years. The wheat field is irrigated with unlimited amount to 136 ensure that the water stress to the crop is minimal. Mimicking local farming practices, 137 138 whenever the soil is perceived to be dry, water is added till the top layers are near saturated. These led to 4 irrigation episodes in 2014-15 and 5 in 2015-16. Total nitrogen fertilizer of 120 139 140 kg N ha<sup>-1</sup> is being added to the crop in three batches of 60, 30 and 30 kg N ha<sup>-1</sup> in a span of 60 days from planting day. 141

The LAI is measured at the weekly interval with Li-Cor LAI-2000 plant canopy analyzer that
measures gap fraction at five zenith angles using hemispherical images from a fisheye camera.
LAI is estimated by comparing one above-canopy and three below-canopy measurements. The
observed LAI is actually an average of multiple (at least five) LAI observations at different
locations in each plot.

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For measuring above ground biomass, plant samples from 50 cm row length are cut just above the soil surface. Then, different plant organs like leaves, stem, and spike (after anthesis) portions of plant sample are separated out. These are initially dried in the shade and later dried at 65°C in an oven for 72 hours till the weight stabilizes. Finally, the weight of dried plant samples were measured using an electric balance. To measure yield, two samples of mature wheat crops are harvested from  $1 \times 1 \text{ m}^2$  area in each plot and allowed to air dry. The total weight of grains and straw in each plot is recorded with the help of a spring balance. After Formatted: Superscript

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thrashing and winnowing by mechanical thrasher, grains are weighed to estimate grain yield and thousand-grain weight.

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#### 161 **2.3 Model Description**

#### 162 2.3.1 Dynamic C3 crop model in ISAM

163 ISAM is a well-established land model that has been used for a wide range of applications (Gahlot et al. 2017; Song et al. 2016, 2015, 2013; Barman et al. 2014a, 2014b). ISAM simulates 164 water, energy, carbon, and nitrogen fluxes at a one-hour time step with 0.5°X0.5° spatial 165 resolution. ISAM has vegetation-specific growth processes for all major plant-functional types 166 implemented in the model to better capture seasonality for each. Song et al. (2013) have 167 168 developed a soybean and maize model for ISAM. Because soybean and wheat are both C3 crops, the dynamic C3 crop model framework from the soybean model is used as a foundation 169 170 to build a spring-wheat model for this study. The model structure, phenological stages, carbon 171 and nitrogen allocation processes, parameters and performance are extensively described and 172 evaluated in various studies (Song et al., 2016, 2015, 2013).

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#### 174 2.3.2 Development and implementation of spring wheat processes in ISAM

The spring wheat processes in ISAM are implemented using the C3 crop framework (Song et al., 2013). For this purpose, C3 crop specific equations and parameters are updated based on <u>literature</u>. The model equations are available in Song et al. (2013). A brief description is given in the online supplement and the revised parameters are available in Table S1. Some of the parameter values are collected from literature while the rest are estimated during model calibration.

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182 ISAM accounts for dynamical planting (Song et al., 2013). This unique feature of ISAM is 183 quite important for modelling wheat in India because in India wheat is grown in different 187 climatic conditions (Ortiz et al., 2008) and in multiple cropping systems. In the rain-dependent, 188 tropical central parts of India, wheat is planted early; in eastern parts of India where rice is 189 harvested before the wheat is planted on the same field, wheat is planted late; and it is timely sown in the northern and western parts of India (Table S2). ISAM uses different conditions 190 191 based on a 7 day average of air temperature and 30 day total precipitation to dynamically 192 calculate the planting day. Observed wheat planting and harvest dates (Sacks et al., 2010) are used to calibrate the planting time and harvest time criteria in the model along with other state-193 level and regional datasets (NFSM). This allows for correct simulation of the observed spatial 194 195 variability of the planting date.

The heat stress effect is implement<u>ed</u> to account for the observed negative effects of high temperatures on grains (Asseng et al., 2015; Farooq et al., 2011) during the reproductive stage of the phenology (Zhao et al., 2007). To include these effects, net carbon available for allocation to grains decreases as daily average temperatures increase from 25°<u>C</u> to 35°C in the flowering and grain filling stages (Table S3, Eq. A1-A3). This limits the growth of a plant. Beyond daily average temperatures of 35°-C, the grains fail to develop.

#### 204 2.4 Site-scale simulations for calibration and validation

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The spring wheat model is calibrated at site level using LAI and aboveground biomass data 205 collected at the IARI site for the 2015-16 growing season using the protocol described in Song 206 207 et al. (2013) and validated using LAI data for the 2014-15 growing season. ISAM can be 208 configured to run for a single point. Using this capability, ISAM is run at site-scale to simulate spring wheat growth observed at the IARI site. The model is spun-up by recycling the Climate 209 210 Research Unit-National Centre for Environment Prediction reanalysis data (CRU-NCEP, 211 Vivoy et al., 2018), Global Carbon Project Budget 2017 [CO2] (Le Quere et al., 2018) and airborne nitrogen deposition (Dentener, 2006) data for 2015-16 until the soil temperature, soil 212

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moisture, the soil carbon pool and the soil nitrogen pool reaches a steady state. Then, the above ground biomass carbon (leaves + stem + grain) is calibrated using aboveground biomass (Fig. 1a), nitrogen fertilizer amount added, sowing date and harvest date for the 2015-16 growing season. Next, phenology-dependent carbon allocation fractions for leaves, stem, and grain are calibrated, using the LAI data (Fig. 1b), duration, and heat unit index requirement for each growth stage. The model is evaluated by comparing simulated and observed LAI for the 2014-15 growing season.

#### 229 2.5 Gridded Data for country-scale simulations

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Driver data for environmental and anthropogenic forcings are required to conduct ISAM 230 231 simulations. ISAM is driven by 0.5°X0.5° climate data from CRU-NCEP (Viovy et al., 2018) 232 with 6 hourly mean surface air temperature, specific humidity, incoming shortwave and long-233 wave radiations, wind speed and precipitation that are interpolated to hourly values. Annual 234 [CO<sub>2</sub>] data is taken from the Global Carbon Project Budget 2017 (Le Quéré et al., 2018). 235 Spatially, explicit annual nitrogen fertilizer data for wheat from 1901-2005 is created by 236 combining nitrogen fertilizer data from Ren et al., (2018) and Mueller et al. (2012) (Table S3: Eq. A4-A5). 237

Gridded data for the wheat harvested area, <u>nitrogen fertilizer</u> application, and irrigation are required as model input to estimate actual wheat production for India in recent years (1980-2016). For this purpose, an annual spatially-explicit gridded wheat harvested area dataset for India is created <u>as a part of this study</u> by combining spatially-explicit wheat area from Monfreda et al. (2008) for the mean value over the time-period 1997-2003 (ca 2000) and nongridded state-specific annual wheat harvested area from the Directorate of Economics and Statistics, Ministry of Agriculture And Farmers Welfare, India (MAFW, 2017) (Eq. A6, A7,

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## 258 **2.6 Country-scale simulations**

decadal data from Siebert et al. (2015) (Eq. A9).

Country-scale simulations are conducted after model calibration and evaluation. First, we spin 259 260 up the model for the year 1901 by repeating the climate forcing data of CRU-NCEP (Viovy, (2018) for the period 1901-1920, and fixed year (1901) data for  $[CO_2]$  of 296.8 ppm and data 261 for airborne nitrogen deposition (Dentener, 2006), and zero amount of nitrogen fertilizer and 262 irrigation, until the soil temperature, soil moisture and the soil carbon and nitrogen pools reach 263 a steady state at approximately 1901 levels. Details of the spin-up process are described in 264 265 detail in Gahlot et al. (2017). After the model spin-up, numerical experiments are conducted as transient runs from 1901 to 2016. To estimate the effects of external forcings, country-scale 266 267 runs are conducted over wheat-growing regions in India by varying different input forcings 268 (Table 1). Control run ( $S_{CON}$ ) represents the model run from 1901 to 2016 with time-varying annual [CO2], climate data, annual grid-specific nitrogen fertilizer, and full irrigation to fulfil 269 270 the water needs of the crop. Four additional simulations are conducted by assigning a constant 271 value to each input forcing one at a time. For instance, in S<sub>CO2</sub>, all input variables (temperature, 272 nitrogen, and irrigation) are the same as in the S<sub>CON</sub> case except [CO<sub>2</sub>] that is held constant at 273 1901 level. The difference in model simulations from S<sub>CON</sub> and S<sub>CO2</sub> then gives the effect of 274 elevated [CO<sub>2</sub>] on wheat crop growth processes. Here we present the results only for the recent 275 decades. 1980 to 2016. 276

A8). Annual Area Equipped for Irrigation (AEI) dataset is created by Jinear interpolation of

Model performance at the country-scale is evaluated by comparing the model simulated total
wheat production at the country level with FAOSTAT (2019) and the Directorate of Economics
and Statistics, Ministry of Agriculture and Farmers Welfare (MAFW, 2017) data. The

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288	production for each grid cell is an area-weighted sum of production from irrigated and rainfed	
289	area fractions (Equation A10).	
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291	To study the spatial variation in production, the wheat-growing regions of India are divided	
292	into spring wheat environments (SWE) based on the mega-environment concept (Chowdhury	
293	et al., 2019). For this purpose, we divide the wheat-growing regions of India into five SWEs	Deleted: 5
294	(Fig 2) based on temperature, precipitation, and area equipped for irrigation (Table 2) <u>to</u>	
295	identify regions with similar growing conditions for wheat. SWE 1 (Fig. 2) represents mostly	
296	the Indo-Gangetic plains that offer good access to irrigation for wheat which is a non-monsoon	
297	crop. The growing season temperatures fall in the optimum range for wheat growth. SWE 2,	
298	which mainly comprises of the wheat growing regions in the proximity of the Himalayas, is	
299	characterized by very low growing season temperatures and high rainfall. SWE 3 represents	
300	the north-western parts of the country with moderate to high growing season temperatures, low	
301	rainfall and small values of AEI. SWE 4 represents the central parts of India and tropical wheat	
302	growing regions with high temperatures and moderate growing season precipitation. SWE 5	
303	represents the crucial wheat growing regions of the country where the conditions are similar to	
304	SWE 1 but irrigation facilities are lacking. Wheat production for each of the SWEs has been	
305	discussed further in the following sections,	Deleted: .
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307	3. Results	
308	3.1 Spring wheat model evaluation	
309	The simulated magnitude and intra-seasonal variability in LAI for 2014-2016 compared well	
310	with the experimental wheat site at IARI, New Delhi (Fig. 1c).	
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312	Spatial distribution of model estimated wheat production at a country scale is compared well,	
313	including the highly productive Indo-Gangetic plains, with the data from Monfreda et al. (2008)	

for the year 2000 (Fig. 3). ISAM simulated country scale wheat production for 1980-2014 also compares well with production data from FAOSTAT (2019) and MAFW (2017) datasets (Fig. 4) with correlation coefficients of 0.92 and 0.91 respectively with the two datasets. However, the model estimated production is slightly higher than both observed datasets. This may be attributed to the fact that the model is calibrated to the high-yielding wheat cultivars grown in recent years (2015-16). Hence, the model is a valid tool to study interactions of wheat with its environment for recent years.

#### 324 **3.2 Effects of environmental and anthropogenic forcings at country scale**

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325 In this study, we examine the effects of  $\frac{1}{100}$  environmental factors ([CO<sub>2</sub>] and temperature 326 change) and two land management practices (nitrogen fertilizer and water available) on the production of spring wheat. The impact of these factors is quantified as the difference between 327 328 the control and the experimental simulations (Eq. A11) described in Table 1. Results show that 329 during the 1980-2016 period, [CO<sub>2</sub>], nitrogen fertilizers and water available through irrigation 330 have a positive impact on wheat production but the impact of temperature is negative (Fig. 5) 331 due to reasons detailed below. The effects of [CO<sub>2</sub>], temperature change, addition of nitrogen fertilizers and irrigation show a trend of 0.67, -0.39, 0.26 and 0.25 Mt yr.1 over the period 1980-332 333 2016, respectively (Table 3).

CO<sub>2</sub> fertilization is the most dominant factor that has contributed to increase in wheat production over India. Annual average [CO<sub>2</sub>] worldwide has increased from 337.7 ppm in 1980 to 404.3 ppm in 2016. This increase in levels of [CO<sub>2</sub>] at the rate of 1.82 ppm yr<sup>-1</sup> has promoted growth in wheat as elevated [CO<sub>2</sub>] levels are known to enhance photosynthetic CO<sub>2</sub> fixation and have a positive impact on most C3 plants (Myers et al. 2017; Leakey et al. 2009; Allen et al., 1996). Our results show that for every ppm rise in [CO<sub>2</sub>] level total wheat production of the country has increased by 0.37 Mt (Fig. 6a; Table 3). This amounts to a 22 Mt (30%) increase

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Nitrogen fertilizers are added to the farmland to reduce nutrient stress to the crop. The use of 360 361 nitrogen fertilizers is important in the Indian context due to two reasons. First, India is a tropical country where higher temperatures and precipitation cause loss of nitrogen from the soil due 362 to denitrification. Second, crop nitrogen demand is high because multiple cropping is widely 363 364 practiced. The average amount of nitrogen fertilizer added per unit area shows a positive trend 365 of 2.71 kg N ha yr<sup>-1</sup> during 1980-2016. This implies an increase in total wheat production at 366 the rate of 0.10Mt for every kg N ha added to the farm (Fig. 6c; Table 3). This amounts to an 367 10.63 Mt (15%) increase in production compared to the 1980-84 period due to increased fertilizer application. 368

Irrigation is a key factor for spring wheat in India where 93.6% of the wheat area is equipped 370 371 for irrigation (MAFW 2017), most of irrigated area being concentrated in the Indo-Gangetic 372 Plains. Unfortunately, data on the actual amount of water used for irrigation water is not available. Hence, in the S<sub>CON</sub> simulation, we consider every grid cell is 100% irrigated so that 373 374 the crops do not undergo water stress at any point in the growing season. This is to say that irrigation water required in the model is dependent on water demand of the crop. With this 375 376 condition, our results show that with all the regions 100% irrigated, wheat production shows a positive trend during 1980-2016. Overall, there is a 8.47 Mt (12%) increase in production 377 compared to the 1980-84 period due to increased irrigation. 378

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391 The average air temperature for the wheat-growing season months (October-March) during the 392 study period (1980 to 2016) has shown an increase at the rate of 0.026  $^{\circ}C$ , yr:<sup>1</sup>. Higher 393 temperature during second half of the growing season is specifically known to produce smaller 394 grains and low grain numbers (Stratonovitch et al., 2015; Dervng et al., 2014). Our results have shown a decrease of 8.38 Mt (~10% reduction) of wheat per degree Celsius increase in average 395 396 growing season temperature (Fig. 6b). This is higher than the global estimate of 6% reduction 397 per degree Celsius rise in mean temperature (Asseng et al., 2015). Studies have reported that wheat-growing regions in low-latitudes are more susceptible to rising temperatures (Tack et 398 399 al., 2017; Rosenzweig et al., 2014) since optimum temperatures in these regions have already been reached. Overall, there is a 3 Mt (18%) reduction in production compared to the 1980-400 401 84 period due to rise in average growing season temperatures.

In the presence of all input forcings (S<sub>CON</sub>), the trend of wheat production in India remains
 positive at 1.17 Mt\_year<sup>-1</sup> from 1980 to 2016.

#### 406 **3.3 Effect of environmental and anthropogenic forcings at the regional scale**

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407 It is clear that environmental and management factors significantly affect wheat production at 408 a country scale. It is important to understand how these factors can affect production for 409 different regions. For this purpose, the results of the control simulation (S<sub>CON</sub>) with all the forcings are analysed for each of the SWEs shown in Fig. 2. A SWE is representative of similar 410 411 climatic and environmental conditions regionally in which wheat is grown. One SWE differs from the other in terms of different temperature range, precipitation received and irrigation 412 413 availability. The S<sub>CON</sub> case is analysed to ensure that the input factors are fully implemented in the model-estimated production and their effect can be studied effectively. One important thing 414 to note is that irrigation in the model is calculated as the excess water demand required by the 415 416 crop to grow in no-water-stress conditions. Hence, the S<sub>CON</sub> calculates irrigation as the ideal

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422 case scenario assuming that all the water demand of the crop is met. Overall, this analysis will
423 identify the factors (environmental conditions and land management practices) that
424 predominantly drive the wheat production range in a given SWE.

The results of this regional analysis are presented in Fig. 7 showing scatterplots of production as a function of various drivers for each wheat-growing grid cell in the model. A similar plot showing the relationship between production, AEI and wheat area is presented in Fig. 8. Together, these two figures allow us to understand how different environmental factors and management practices can affect production in different SWEs. Atmospheric [CO<sub>2</sub>] is omitted from this analysis because it is assumed to <u>be</u> spatially uniform.

The Indo-Gangetic plain region (SWE1) is the best-suited environment for growing spring 433 434 wheat in India due to favourable growing season temperatures (Fig. 7a), moderate to high 435 fertilizer application (Fig. 7b), high availability of irrigation facilities (Fig. 8b), and moderate 436 water demand (Fig. 7c). Hence, SWE1 is the major contributor to the annual total wheat 437 production of India. Low temperatures (Fig. 7a) in the Himalayan foothills region (SWE2) 438 result in the limited production of wheat in this region. High rainfall in growing season months 439 is helpful and hence, limited amount of water is required for irrigation (Fig. 7c) in this area. The arid north-western India region (SWE3) is very low in production due to the high 440 temperatures (Fig. 7a) coupled with lack of irrigation facilities (Fig. 8b) needed to mitigate the 441 442 high water demand created by low precipitation. SWE4 in the central and north-eastern India is also low in production due to high temperatures during growing season (Fig. 7a) even though 443 the water demand is low (Fig. 7c) due to moderate rainfall. SWE5 areas in the south-central 444 India have limited wheat production because of limited irrigation facilities (Fig. 8b) despite 445 favourable temperature conditions. 446

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449 Wheat production is directly proportional to area on which wheat is cultivated in a given 450 region/SWE (Fig. 8a). Fig 8b shows that wheat production is, in fact, positively correlated to 451 AEI at the grid level. Since production in this analysis is derived from the S<sub>CON</sub> case and no AEI data is used in its calculation, it is interesting to see such a strong correlation between 452 wheat production and AEI at grid level that are two independent datasets. This can be explained 453 454 by Fig. 8c that clearly indicates that availability of irrigation (high AEI) is a major factor that drives area on which wheat is cultivated in a grid cell. Wheat, being a non-monsoon crop, is 455 highly dependent on availability of irrigation in a region. For regions with high growing season 456 temperatures, additional water stress is induced in the crop along with heat stress that limits 457 crop production. Hence, availability of favourable temperatures is crucial to ideal growing 458 459 conditions for wheat. If irrigation can be made available in these regions, like in SWE 5, wheat 460 cultivation area and wheat production can significantly grow in the years to come.

Similar to the analysis done for country-scale impact of different factors, we quantified the
impact of factors on different SWEs. The results of this analysis are summarized in Table 4.
SWE1 and SWE5 are the two regions where magnitude of trends in change in wheat production
with different input forcings are the highest (Table 4). The magnitudes of impacts of forcings
on SWEs 2, 3 and 4 are relatively small. This is because the analysis involves production that
is calculated as yield times the harvested area. The numbers in Table 4, hence, do not reflect
changes per unit harvested area.

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While CO<sub>2</sub> fertilization, water added through irrigation and nitrogen fertilizers are found to
 increase wheat production in SWE1 at 0.26 Mt per ppm [CO<sub>2</sub>], 0.35Mt per 1000 mm and 0.07
 Mt per kg N ha<sup>-1</sup> respectively, production is found to decrease by 3.52 Mt for every degree
 Celsius rise in average growing season temperatures. It is found that water added through
 irrigation has small yet negative impact on production in SWE2. This can be due to excess

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475	surface runoff in SWE2 that might lead to washing away of nitrogen from the soil resulting in
476	nutrient stress in the crop. The impact of different forcings is also found to be significant for
477	SWE5 where [CO2], irrigation and nitrogen fertilizers have promoted wheat production at the
478	rates of 0.07 Mt per ppm [CO <sub>2</sub> ], 0.41 Mt per 1000 mm and 0.01 Mt per kg N ha <sup>-1</sup> , respectively.
479	Irrigation is seen to have the most impact on wheat production in SWE 5 out of all the SWEs.

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#### 4. Conclusions and Discussions

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This study explores the effects of environmental drivers and management practices on spring 482 wheat in India using the land model ISAM. For this purpose, we build a dynamic spring wheat 483 growth processes for ISAM where (i) we parameterize and calibrate the equations in the C3 484 485 crop model framework available in ISAM, (ii) develop new equations for dynamic planting time and heat stress, (iii) collect field data to calibrate and evaluate the model at site scale and 486 487 (iv) develop gridded datasets of wheat cultivated area, irrigation and nitrogen fertilizer data to 488 conduct country-scale simulations. The model is able to simulate the spatio-temporal pattern 489 of spring wheat production at the country-scale. This evaluation implies that the model can 490 serve as a simulation tool to conduct numerical experiments to understand the behaviour of 491 spring wheat.

493 In order to quantitatively study the role of environmental and anthropogenic factors, we 494 conducted a series of numerical experiments by switching off one factor at a time. Our analysis 495 focuses on the 1980-2016 period. Results show that the increase in [CO<sub>2</sub>] has a positive impact 496 on wheat production due to the CO<sub>2</sub> fertilization effect. Atmospheric CO<sub>2</sub> concentration has 497 increased at 1.82 ppm yr<sup>1</sup> and production has increased at a rate of 0.37 Mt per ppm rise in 498 [CO<sub>2</sub>] since the 1980s that translates to a 22 Mt (30%) increase in countrywide production 499 during the study period. This is consistent with observational studies such as Kimball (2016) 500 that show an increase in yield of C3 grain crops due to elevated [CO<sub>2</sub>].

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Application of nitrogen fertilizer has increased at 2.71 kg N ha yr<sup>-1</sup> leading to increased production of spring wheat at the rate of 0.10\_Mt for every kg N ha<sup>-1</sup> added that is equivalent to a 10.63 Mt (15%) increase in countrywide production during the study period. Nitrogen deficiency is very high in India because of high consumption due to multiple cropping and nitrogen loss due to denitrification of the soil aided by the tropical climate. Nitrogen fertilizer contributes to increased production by mitigating this nutrient deficiency.

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- 512 Our model results suggest irrigation increase could have led to an increase in production of 513 spring wheat at a rate of 0.31 Mt per 1000 mm of water added implying a 8.47 Mt (12%) 514 increase in countrywide production during the study period. Irrigation appears to be the most 515 important factor controlling production across all the spring wheat environments. We note here 516 that in our experiments irrigation is equivalent to 'no water stress'. This approach seems to be 517 the best option because data on actual water use in irrigation is not available. In grid cells that 518 are equipped for irrigation, we set the water stress term to zero. In reality, water stress may not 519 go to zero in some areas where water or power availability is limited. In these areas, the model 520 underestimates the simulated effect of irrigation on productivity.
- Average growing season temperatures have increased by  $0.026 \, ^{\circ}C_{e}yr_{a}^{-1}$  leading to a productivity loss of 8.38 Mt (~10%) per degree Celsius rise in temperature that is equivalent to a <u>13 Mt</u> (<u>18%</u>) decrease in countrywide production <u>during the study period</u>. Crop heat stress is a major reason behind this loss. The optimum temperature for wheat is 25\_°C in the reproductive stage. Heat stress effect triggers in the model when the canopy air temperature higher than 25\_°C and lesser than 35\_°C reduce grain filling and negatively impact the growth of storage organs. The observed 10% reduction rate in production is higher than the global average of 6% (Asseng et

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al. 2015) because the growing season temperatures in India are already near the upper limit ofthe optimal range.

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The regional-scale analysis shows that the SWE1 is the best environment for growing spring 541 wheat in India due to favorable growing season temperatures, moderate water demand, and 542 543 availability of irrigation facilities. Hence, this region is the main contributor to the annual total wheat production of India. Northwestern India (SWE3) covering the states of Rajasthan and 544 Gujarat is the least productive region due to high growing season temperatures coupled with a 545 lack of irrigation facilities needed to mitigate the high water demand created by low 546 precipitation. Studies have concluded that in order to improve and represent crop growth 547 548 processes in the models and to increase certainty in model-based assessments, there is a need 549 for more focused regional-scale studies (Maiorano et al. 2017; Koehler et al. 2013). This study 550 is an attempt to work in similar direction with focus on wheat in India.

552 Apart from advancing our understanding of spring wheat growth processes, the crop model can 553 also contribute to real-world decision-making. For example, our results show that wheat 554 production in India has steadily increased at a rate of 1.17 Mt/year from 1980 to 2016. This 555 implies that the negative effect of rising temperatures was offset by positive contributions from 556 other drivers. Our model can be used to conduct experiments to identify optimal solutions to 557 future scenarios. Furthermore, using crop-specific models like the spring wheat model 558 developed in this study will improve the simulation of crop phenology for agro-ecosystems. 559 This will likely lead to better estimates of carbon fluxes and their spatio-temporal variability.

561 The Earth System is a nonlinear system where different components interact with each other.
 562 In this study we used a process-based model that includes such interactions including
 563 interactions and feedbacks between different drivers. For instance, higher temperatures

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565	increase the crop water demand. Higher [CO2] increases photosynthesis that also affects	
566	nutrient and water demand. Because of these interactions, the sum of the effects will not add	
567	up to 100%. Moreover, the experiments conducted in this study are not exhaustive; there are	
568	other factors like relative humidity, solar radiation etc. that might affect production.	
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570 There is scope for improving the crop model and the modelling framework. The processes involved in CO<sub>2</sub> fertilization need improvement to match the FACE studies. The addition of 571 new processes accounting for the effects of pests and multiple cropping will make the 572 573 simulations more representative of the Indian situation. Better data will also improve the fidelity of the simulations. A key bottleneck in simulating crop growth at regional-to-global 574 575 scales is the lack of irrigation water use datasets. To the best of our knowledge, large-scale observation-based datasets of water used in irrigation do not exist even though there are 576 577 numerous datasets for irrigated areas and areas equipped for irrigation (e.g., Zohaib et al., 578 2019). The development of irrigation water use datasets will reduce the uncertainty in simulating the effect of water stress on crop production. Equipped with these improvements, 579 580 ISAM can become an indispensable tool for informing policy on food security and climate 581 change adaptation.

#### 583 Code and data availability

- 584 ISAM model code is available upon request.
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#### 586 Electronic supplement

- 587 Electronic supplement has been submitted separately.
- 588
- 589 Author contribution

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593	SG, AKJ and SBR conceptualized the study; SG, TSL and AKJ designed the numerical		
594	experiments and generated the input datasets; SG conducted the numerical experiments and		
595	analyzed the outputs; VKS and RD collected the field observations; SG, AKJ and SBR wrote		
596	the paper.		
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598	Competing interests		
599	The authors declare no competing interests.		
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Figure 1: Model calibration and validation plots for the experimental wheat site at IARI, New Delhi. (a) Model calibration for aboveground biomass for growing season 2015-16. (b) Model calibration for LAI for growing season 2015-16. (c) The model estimated LAI validated with site-measured data for growing season 2014-15. The red dots are site-measured values and the black lines are ISAM simulated values.



Figure 2: Classification of wheat growing areas into spring wheat environments (SWE) in India.



1040

1041 Figure 3: Wheat production (X 10<sup>4</sup> tonnes) averaged for 1997-2003 (a) simulated by ISAM and

(b) observed M3 dataset (Monfreda et al., 2008).



1045 1046

Figure 4: Scatter plots of the ISAM simulated wheat production (Mt) compared to (a) FAOSTAT (2019) and (b) the Directorate of Economics and Statistics, Ministry of Agriculture and Farmers Welfare, India (MAFW, 2017) datasets from 1980 to 2014. The Pearson's correlation coefficients are (a) 0.92 and (b) 0.91.





Figure 5: Impact ( $S_{CON}$ - $S_{<factor>}$ ) of different environmental factors (atmospheric CO<sub>2</sub> and changing temperature) and land management practices (nitrogen fertilizer and water availability) on production for 1980 to 2016.





Figure 6: Plots of change in annual wheat production from 1980 to 2016 (S<sub>CON</sub>-S<sub><factor></sub>) with annual (a) atmospheric CO<sub>2</sub>, (b) average growing season temperature, (c) average nitrogen fertilizer and (d) water demand. The black line shows Sen's slope (Sen, 1968).







1072 Figure 8: Scatter plots for gridded wheat production with the wheat area and Area Equipped

1073 for Irrigation (AEI) for different SWEs.

## 1074 Table 1: Description of numerical experiments conducted with ISAM wheat model from

## 1075 **1901 to 2016.**

Numerical	CO2	Temperature	Nitrogen fertilizers	Irrigation	Formatted: Not Superscript/ Subscript
Experiments					
Control	Annual values from	6 hourly CRU-NCEP	Grid-cell specific	Hourly values to	
(SCON)	Global Carbon Project		fertilizer amount	ensure no water stress	
	Budget 2017		(Source: this study)		
Sco2	Fixed at 1901 level	Same as in CTRL	Same as in CTRL	Same as in CTRL	
Stemp	Same as in CTRL	No temperature	Same as in CTRL	Same as in CTRL	
		change*			
Sn_fert	Same as in CTRL	Same as in CTRL	No fertilizer	Same as in CTRL	
Swater	Same as in CTRL	Same as in CTRL	Same as in CTRL	No Irrigation	
				+	
				No precipitation	
				change*	
Sirri	Same as in CTRL	Same as in CTRL	Same as in CTRL	No Irrigation	

1076

\*Data for years 1901-1930 is recycled to represent stable (no change) conditions

Spring	Description	Geographic	Average	Average	Fraction of grid
Wheat		location	growing season	Growing Season	Area Equipped
Environment			temperature	Precipitation	for Irrigation
(SWE)			(°C)	(mm)	(AEI)
SWE1	Irrigated, moderate	Indo-	17-22	30-150	>=30%
	rainfall, favourable	Gangetic			
	temperature	Plains			
SWE2	Non-irrigated, high	Himalayan	<18	>120	<30%
	rainfall, low	Belt			
	temperature				
SWE3	Non-irrigated, low	North-west	19-24	<42	<30%
	rainfall, moderate to	India			
	high temperature				
SWE4	Non-irrigated,	Central and	>21	>40	<30%
	moderate rainfall, high	southern parts			
	temperature	of India			
SWE5	Non-irrigated,	Central parts	17-22	>40	<30%
	moderate rainfall,	of India			
	favourable				
	temperature				

## 1078 Table 2: Characteristics of different spring wheat environments (SWE) in India.

#### Table 3: Temporal variations of different input forcings and their impacts on annual wheat production in India during the study period (1980-2016).

Input Forcing (i)	Rate of change of <i>i</i> in	Rate of change in annual	Change in annual wheat
	study period	wheat production	production per unit
			change in <i>i</i>
Elevated atmospheric CO <sub>2</sub>	1.82 ppm_yr_1 a	0.67. Mt.yr.	0.37 Mt.ppm-1 a
level			
Average growing season	0.026 °-C_yr <sup>-1 a</sup>	-0.39 Mt yr-1a	-8.38 Mt <sub>y</sub> -C <u>-1 a</u>
temperature*			
Average water demand	44 <u>3.94 mm yr 1 a</u>	0.25 Mt yr 1 b	0. <u>31</u> Mt 1000 mm -1 b
Average nitrogen fertilizer	2. <u>71 kg N ha yr <sup>1 a</sup> **</u>	0.26 Mt.yr	0.10 Mt.kgN.ha <u>1a</u>
per unit area			
¥			
October to March			
Setober to March			

- \*\*Data available from 1980-2005
- <sup>a</sup> Trends are significant at p<0.01
- <sup>b</sup> Trends are significant at p<0.1

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## 1109 <u>Table 4: Impacts of different external forcings on annual wheat production in the SWEs</u>

## 1110 during the study period (1980-2016).

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Input Forcing (i)	Chang	ge in annual whe	at production pe	er unit change in	<u>i</u>
	SWE1	SWE2	SWE3	SWE4	SWE5
Elevated atmospheric	<u>0.26ª</u>	<u>0.02<sup>a</sup></u>	<u>0.01<sup>a</sup></u>	<u>0.02<sup>a</sup></u>	$0.07^{a}$
CO <sub>2</sub> level					
(MT ppm <sup>-1</sup> )					
Average growing	<u>-3.52<sup>b</sup></u>	-0.03	<u>-0.12</u>	-0.36	<u>-1.36</u>
season temperature*					
<u>(Mt ° C<sup>-1</sup>)</u>					
Water demand	<u>0.35<sup>b</sup></u>	<u>0.04<sup>b</sup></u>	<u>0.61<sup>a</sup></u>	<u>0.07</u>	<u>0.41</u>
(Mt 1000 mm <sup>-1</sup> )					
Average nitrogen	_0.07ª	<u>0.01</u>	<u>0</u>	<u>0</u>	<u>0.01<sup>b</sup></u>
fertilizer per unit area					
<u>(Mt kg N<sup>-1</sup> ha<sup>-1</sup>)</u>					

1112 <sup>a</sup> Values are significant at 99%

1113 <u><sup>b</sup> Values are significant at 90%</u>

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