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Dear Editor,

Thanks for your satisfaction with our response, and your time to review it. We would also like to thank both reviewers for their helpful and useful comments on the manuscript.

Per your request, we have acknowledged both reviewers in our acknowledgement section in the revised manuscript. A point-by-point reply to the comments, and a marked-up manuscript version are also provided at the end of this letter.

Thank you in advance for reviewing the manuscript again, we look forward to your further advice.

Best regards
Minchao Wu (on behalf of all authors)

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Below is our response to the reviewers' comments, following the structure:

- (1) comments from Referees
- (2) author's response,
- (3) author's changes in manuscript are in ""

Comments from anonymous Referee #1

39 **Recommendation: Accepted with major revisions** General Comments:

41 This paper investigates the impacts of the model formulation and resolution on the ability of two
 42 Swedish RCMs to simulate precipitation in Africa. The two RCMs were used at 200, 100, 50 and
 43 25 km resolutions and one of them has two different formulations. This experimental setup
 44 allows disentangling the improvements related to either the resolution or the model formulation.
 45 The topic is of interest and relevant for the RCM community and deserve to be considered for
 46 publication. However, I am not sure that the journal Earth System Dynamics is the best journal
 47 to convey this study since I very rarely read RCM papers from that journal. I let the editor to
 48 decide whether the topic of this paper is suitable for this journal or not. The paper is very well
 49 written and the literature review is very good although the introduction could include more
 50 papers related to the topic. Few papers suggested below could be added in the literature review
 51 of the introduction. The abstract is generally fine, but few sentences are not clear and should be
 52 improved. The introduction is generally clear and interesting, but it should be improved to
 53 emphasize the full motivation of the analysis. The methodology is appropriate to address the
 54 objectives of the study, but I am concern about the relevancy to run an RCM at 200 and 100 km
 55 and the utility of those simulations in the paper. The results are interesting and address the
 56 objectives raised at the beginning of the paper. The figures are clear and support the analysis.
 57 The conclusions are in line with the analysis and are of interest for the community. Thus, I
 58 recommend this paper to be accepted with major revisions.

60 **Major Comments:**

62 1. Introduction: The introduction is interesting and fully explain the motivation of the study.
 63 However, it is a bit short and it lacks a more complete literature review of the challenges to
 64 simulate precipitation over Africa. Thus, I recommend to extend the paragraph from the line 98
 65 to 117 in 2 or 3 paragraphs to include more RCM studies that paid attention to the challenges to
 66 simulate precipitation in Africa with RCMs.

68 Here is a short list giving examples of papers that could be added to the literature review in the
 69 introduction: ...

71 [Response: We completely agree with this comment and extended Introduction by including
 72 more RCM studies. There are really many RCM-based evaluation studies for Africa and we
 73 focus mostly on studies with large RCM ensembles.](#)

75 2. Methodology: Even with the warnings at lines 183-184 and 488-490, I really wonder if it is
 76 relevant to use an RCM at 200 and 100 km resolutions and I also wonder if the use of those
 77 simulations adds substantial information to the paper. I think that 200 and 100 km are

78 excessively far from the RCM range of resolution or comfort zone for which it is configured and
79 calibrated and I think that little is gained from those simulations in this paper. Thus, either the
80 authors should be really convincing that those resolutions are relevant and add substantial
81 content to the paper or either they should remove at least the 200 km resolution simulations
82 from the paper. In some sense, the 100-km resolution simulations may be relevant since they
83 are at a resolution close to ERAInterim and can be used as a “no added-value experiment”.
84 Additionally, by removing the 200 km resolution simulation, only an aggregation to 100 km would
85 be necessary for the analysis, leading to more details of the simulated precipitation in the results
86 section.

87
88 Response: From the beginning, our experiment was developed to include simulations at coarse
89 resolution outside of a RCM comfortable zone following experiment setup in Moufouma-Okia et
90 al. (2015) with the coarsest resolution - 150km for their RCM (HadGEM3-RA). Our point of view
91 is that such coarse-resolution simulations are a useful supplement to simulations at RCM
92 comfortable resolution and help us to understand RCM behaviour without additional,
93 resolution-dependent tuning. Our results show that performance of the RCA4 and ALADIN
94 RCMs at 200km is, in general, consistent and fits well to what can be expected for moving from
95 the highest (25km) to coarsest (200km) resolution. This, for example, includes among others i) a
96 common tendency to precipitate less at coarser resolution for both RCA4 and ALADIN in JAS
97 (Fig. 2) and ii) the deterioration of simulated daily rainfall intensities with decreasing resolution
98 (Fig. 6). We also found different behaviour of RCA4 and ALADIN with decreasing resolution in
99 DJF (Fig. 3). This shows that the impact of coarser resolution on the simulated precipitation
100 climatology is not the same in different seasons and regions and depends on RCM formulation.
101 We would prefer to keep the coarse resolution simulations as the study becomes less complete
102 if the 200km simulations are excluded.

103 We also need to note that running a RCM at resolution outside of its comfortable resolution
104 range can sometimes bring unexpected results, different from what was previously thought.
105 Vergara-Temprado et al. (2020) found that an explicit representation of convection in a RCM
106 (CCLM) may be beneficial in representing some aspects of climate over Europe at 12-25km
107 resolution that is far away from a few km resolution typical for convection permitting RCMs.
108 Running a hydrostatic RCM (RCA3) in the grey zone (6.5 km), Güttler et al. (2015) showed that
109 many aspects of precipitation climatology over Europe are improved at 6.5km resolution
110 compared to coarser resolutions (50, 25 and 12,5km).

111 Vergara-Temprado et al. (2020) <https://journals.ametsoc.org/doi/pdf/10.1175/JCLI-D-19-0286.1>

112 Güttler et al. (2015) <https://journals.ametsoc.org/doi/full/10.1175/MWR-D-14-00302.1>

113

114 We added a short explanation to “2.2 Experiment design”:

115

116 “We note that in general, both regional models - RCA and HCLIM-ALADIN were developed to
117 operate at a range of tens of km resolution and their performance at 100 and especially at

118 200km may not be optimal. A potential caveat here is that very few RCM physical
119 parameterisations are automatically scaled at very coarse resolution. Thus, results at the
120 coarser resolutions may be partly related to the lack of model retuning. We think that such
121 coarse-resolution simulations are a useful supplement to simulations at a RCM comfortable
122 resolution zone and help us to understand RCM behaviour without additional,
123 resolution-dependent tuning. “

124
125 **3.** Due to the large African domain and that no spectral nudging was used, I am wondering if the
126 internal variability as mentioned in the line 175 would be large enough to produce large
127 differences between simulations from the same model ? Thus, I would suggest the authors to
128 rerun the 50-km resolution simulation of one of the two RCMs with different initial conditions or
129 different starting time and repeat the analysis to see if the IV could affect the simulated
130 precipitation.

131
132 Response: To respond to this and to other experiment-related comments from both reviewers
133 we extended section “2.2 Experiment design” by providing more details on our experiment
134 setup, potential caveats and additional sensitivity experiments. We also performed two
135 additional simulations (RCA4-v1 and ALADIN) at 50km resolution but starting them on 1st
136 January 1980 instead of 1st January 1979 as for all other simulations in the study (see updated
137 “2.2 Experiment design”).

138 **4. Conclusion:** The discussion of the results in the conclusion is a bit thin and the opening
139 towards additional studies that could follow that one is missing. I would suggest the authors to
140 add some discussions about the results and provide few ideas towards additional studies that
141 could follow that one.

142
143 Response: We agree with this comment. In context of more discussions, we should note that
144 there are almost no studies focusing on multi-resolution RCM experiments over Africa, including
145 an analog of the no added value experiment (NAVE). We’ve already proposed that the NAVÉ
146 can be used as an additional experiment within the CORDEX framework. In the revised
147 manuscript we also added that the next step is to focus on i) other variables and especially on
148 processes and ii) on applications of the NAVÉ for RCM-based future climate projections (many
149 thanks to John Scinocca for providing an detailed description of the NAVÉ in the climate
150 projection context).

151
152 “In our study, as the first step, we focus only on precipitation that has large relevance for climate
153 change impact studies. As the next step, we foresee similar studies looking also at other variables
154 and especially at processes and drivers relevant for regional climate.

155 Moreover, the same NAVÉ framework can be used for quantifying the added value in
156 RCM-based future climate projections. For this, one needs to downscale GCMs at their native
157 resolution in addition to the standard CORDEX resolutions (25 or 50km). The RCM projections
158 at the native GCM resolution serve as the NAVÉ in the climate change context. A potential

159 caveat, already mentioned in our study, is that RCMs are generally developed and tuned to
160 operate at resolution of tens of km. “Downscaling” a GCM at its native resolution, for example
161 150 or 200km, may lead to artefacts related to a lack of RCM retuning for coarser resolution.
162 Nevertheless, more and more GCMs, for example in CMIP6, have resolution finer than 100km that
163 allows application of the NAVE. “
164

Minor Comments:

165 1. Title: I think that abbreviations should not be used in titles in general. Thus, I suggest to
166 replace “RCM” by “regional climate model” in the title.

167
168 [Response:](#) We changed the title accordingly.

169
170 2. Lines 25 and 183: Please add a “–” after ALADIN or use parentheses to name the two
171 models.

172
173 [Response:](#) changed to (SMHI-RCA4 and HCLIM38-ALADIN)

174
175 3. Line 27-29 and 42-43: Something is wrong with these sentences. Please correct them.

176
177 [Response:](#) We reformulated these sentences:

178
179 l. 27-29 is now “By contrasting different downscaling experiments, it is found that model
180 formulation has the primary control over many aspects of the precipitation climatology in
181 Africa.”

182
183 l. 42-43 is now “Such model formulation related improvements are strongly model dependent
184 and can, in general, not be considered as an added value of downscaling.”

185
186 4. Line 32-34 and 35-39: The sentences are not clear and some points are repeated. Please
187 improve all the sentences of those lines and simplify the message conveyed.

188
189 [Response:](#) We reformulated these sentences:

190
191 “However, the impact of higher resolution on the time mean climate is mixed. An improvement
192 in one region/season (e.g. reduction of dry biases) often corresponds to a deterioration in another
193 region/season (e.g. amplification of wet biases). At the same time, higher resolution leads to a
194 more realistic distribution of daily precipitation. Consequently, even if the time-mean climate is
195 not always greatly sensitive to resolution, the realism of the simulated precipitation increases as
196 resolution increases.”

197

198 5. Lines 66, 72, 88, 94, 97, 122, 173 etc: The word “results” is used too many times, is too
199 vague and sometimes inappropriate. Sometimes it means the outcome of downscaling. In
200 another context, it refers to the outcome of the analysis. I would suggest to use other words to
201 avoid confusion. As instance, the word “simulation” could be used at lines 66, 72, 173. Please
202 pay attention to every time the word “results” is used and consider using another word or
203 changing the sentence to be more specific.

204
205 [Response](#): This comment has been taken into account. We made a number of changes and
206 tried to use “results” for describing the outcome of the analysis.

207
208 6. Line 92 and 464. Torma et al. 2015 a and b are the same paper. In addition, I believe that the
209 paper of Giorgi et al. (2016) is more appropriate giving the context. Giorgi, F., Torma, C.,
210 Coppola, E., Ban, N., Schar, C., and Somot, S.: Enhanced summer convective rainfall at Alpine
211 high elevations in response to climate warming, Nat. Geosci., 9, 584–589, 2016.

212
213 [Response](#): We think that both studies are relevant in the given context and added Giorgi et al.
214 (2016) as well.

215

216 7. Lines 113 and 143: Remove “e.g.”

217

218 [Response](#): removed

219

220 8. Line 146 and the rest of the paper: About the use of RCA4-v1 and RCA4-v4 to distinguish the
221 two RCA model formulations. I think that v4 is not the best way to name the reduced turbulent
222 mixing simulation since v2 and v3 are existing and that they are not used
223 in this paper. I would suggest to use RCA4 and RCA4-RTB for Reduced Turbulent Mixing to
224 name the two RCA simulations.

225

226 [Response](#): At the moment there are three RCA4 configurations (small domain-related retuning)
227 used in CORDEX and available through ESGF, namely: v1, v2 and v3. RCA4-v4 is a new
228 configuration developed to deal with a large dry bias in Central Africa. New simulations
229 generated by the RCA4-v4 for the Africa-CORDEX domain will be also available on ESGF and
230 we would prefer to keep RCA-v1 and RCA-v4 for consistency. We also added necessary
231 explanations.

232

233 [“RCA4 has three configurations used for CORDEX simulations that are available through ESGF.
234 They are named \(so called RCM version\) as v1 \(Europe, Arctic, Africa, Southeast Asia, Central
235 and North America\), v2 \(South Asia\) and v3 \(South America\) and differ in some domain-specific
236 re-tuning. In this study we also include a new configuration - v4. The RCA-v4 is based on
237 RCA4-v1 but with a change in one parameter leading to reduced turbulent mixing in stable
238 situations \(especially momentum mixing\). Such change in the parameter was applied to reduce
239 a prominent dry bias found in the RCA4-v1 CORDEX Africa simulations over Central Africa](#)

240 (Tamoffo et al., 2019; Wu et al., 2016). Using two parameter settings of RCA4 allows us to
241 examine how sensitive our results are to such small tuning of the same RCM.”

242 9. Lines 171-178: I am confuse here about the size of the domains at different resolutions. Is the
243 size of the free domain or full domain including the nudging zone the same between the
244 simulations? Moreover, at line 175, it is mentioned that an additional experiment at 0.88° was
245 performed, but this experiment is never mentioned later on in the analysis. Maybe the sentence
246 of the line 176-178 refer to the two 0.88° simulations. Please pay attention to all the sentences
247 of those lines and specify clearly, which simulation are referred.
248

249 [Response](#): Table 1 shows the size of the full domain including the 8 grid point relaxation zone in
250 all directions that is actually explained in I. 169-173. We also updated the title for Table 1.

251 “Table 1. The full domain configuration and time step for the RCA4 and HCLIM-ALADIN
252 simulations. The full domain includes the 8 grid point relaxation zone.”

253 [I. 175](#): We extended 2.2 Experimental design adding necessary explanations.
254

255 10. Line 180-181: For these simulations . . . Please specify which simulations?
256

257 [Response](#): changed to “for these NAVE simulations”
258

259 11. Table 1. What the small “a” after 222x222 means?
260

261 [Response](#): It’s a typo, deleted.
262

263 12. Line 203: Please specify the time period covered by TRMM and be more specific on the
264 time period used for the analysis of Figures 5-6. I think that TRMM starts in 1997 or 1998.
265 Moreover, considering the little amount of weather stations in Africa that are used to create
266 CRU, UDEL and GPCC, I think that TRMM figures covering a subset of the full 1981-2010 could
267 be used in Figures 2, 3 and 4 as it is done in Nikulin et al. (2012).
268

269 [Response](#): The TRMM 3B42 (v7) precipitation dataset provides satellite-based precipitation
270 estimates adjusted by large-scale monthly precipitation from gauge networks that is, in our
271 case, the GPCC product. This means that monthly mean TRMM and GPCC7 precipitation in
272 general do not differ too much and are basically almost the same if remapped to the same
273 resolution or averaged over a region. The TRMM data set is used in the study only because of
274 availability of daily and 3-hr precipitation for evaluation of the simulated daily and 3-hr
275 precipitation but not to evaluate seasonal means and annual cycle. We added a few lines to 2.3
276 “Observations and reanalysis” to explain this issue.

277 “The TRMM product starts in 1998 and for evaluation of precipitation extremes and diurnal
278 cycle we use a shorter period (1998-2010) in contrast to 1981-2010 used for evaluation of

279 seasonal means and annual cycle. We also need to note that the TRMM 3B42-v7 precipitation
280 product provides satellite-based precipitation estimates adjusted by the GPCC gauge-based
281 precipitation. This means that monthly mean TRMM 3B42 and GPCC precipitation are almost
282 the same if remapped to the same resolution or averaged over a region.”

283
284 13. Line 229: Replace “most northern” by “northernmost”.

285
286 [Response: replaced](#)

287
288 14. Lines 237-239: Please improve the sentence that is not clear.

289
290 [Response: reformulated](#)

291
292 “RCA4-v4 shows a similar pattern compared to RCA4-v1 but substantially reduces the dry bias
293 over Central Africa at all four resolutions (Fig. 2i-l). For both configurations of RCA4, the
294 smallest dry bias is found at the highest 25km resolution. At the same time, an overestimation of
295 precipitation north of the region with the dry bias becomes more pronounced, especially for
296 RCA4-v4.”

297
298
299 15. Figure 2 and 3: Color scale on the left: The values above 15 mm/day could be removed as
300 in Nikulin et al. (2012)

301
302 [Response: We limited the color scale by 18 mm/day as there are a few grid boxes with values](#)
303 [slightly above 17 mm/day.](#)

304
305 16. Figure 2 and 3: Color scale at the bottom: I would suggest to use a white color between -0.5
306 and 0.5. This would prevent the color change at 0 that is misleading. As example, the Sahara
307 desert is sometimes yellow or blue because there is almost no precipitation falling there.

308
309 [Response: We agree and use white color between -0.5 and 0.5 mm/day.](#)

310
311 17. Figure 2 caption: Please emphasize that the values are aggregated at 200 km.

312
313 [Response: added](#)

314
315
316 18. Line 284-286: Please give more details about the statement here.

317
318 [Response: We added a sentence after.](#)

319

320 “This indicates that HCLIM-ALADIN parameterisations may be better suited to work also at
321 coarser resolution.”

322

323 19. Lines 333-335: Please clarify what is meant by “completely opposite behavior”.

324

325 [Response](#): These lines were reformulated:

326

327 “HCLIM-ALADIN maintains similar behavior to that in Eastern Africa, although the difference
328 in precipitation across the resolutions is small (Fig. 4l). On the other hand, for both
329 configurations of RCA4 in Central Africa, increasing resolution leads to decreasing precipitation
330 during the rainy seasons, especially in January.”

331

332 20. Lines 458-460: It is not clear to me that the 50 km HCLIM simulation shows higher
333 frequency than the 25 km HCLIM simulation.

334

335 [Response](#): We checked once more and saw the same result: the 50km PDF (yellow) is above
336 the 25km one (blue) and even for a wider range of intensities (50 to about 200 mm/day) than we
337 noted first. We changed these lines accordingly:

338

339 “An interesting detail is that the 50km HCLIM-ALADIN simulation shows higher frequency for
340 intensities in the range of 50 to about 200 mm/day than the 25km simulation.”

341

342 21. Figure 6: Please emphasize in the caption that the season is different for the different
343 regions.

344

345 [Response](#): added

346

347 22. Lines 540-541: There are mistakes about the Figure numbers.

348

349 [Response](#): fixed

350

351 23. Reference: Please remove the capital letters of the title of Sylla et al. (2013).

352

353 [Response](#): removed

354

355

Comments from John Scinocca

356

357

Major Comments:

358

359 In this study the authors introduce a procedure to separate the impact of model formulation from
360 the impact of resolution on the dynamical downscaling results of regional climate models
361 (RCMs) driven by observations (reanalyses). The procedure involves performing the
362 downscaling at several horizontal resolutions. The coarsest RCM resolution is set to match the
363 resolution of the reanalysis model that provides the driving data. This is referred to as the "no
364 added-value experiment", which I will refer to as the NAVE. The authors make the point that the
365 NAVE biases vs the reanalysis biases (relative to an independent observational dataset) result
366 from "model formulation" differences and so are independent of added value. Once NAVE
367 biases are defined, higher resolution RCM simulations are employed to document the evolution
368 of NAVE biases with resolution. It is argued that a reduction of NAVE biases with increasing
369 resolution indicates added value in the RCM. The authors employ this procedure to precipitation
370 biases in RCM downscaling results over the African CORDEX domain from two regional
371 models. The results of the authors' analysis of model formulation vs resolution is often mixed
372 with few clear results. But this is overshadowed by the introduction of the NAVE procedure itself,
373 which is highly publishable as it provides a tool to the RCM community to make progress on the
374 complex issue of added value in RCM studies. In fact, the NAVE approach would seem to have
375 a logical extension to the much more important issue of value added by RCMs in
376 climate-change experiments. In my detailed comments, I suggest a generalization of the NAVE
377 approach to the issue of value added by RCMs in climate-change experiments. It is my
378 recommendation that this manuscript be accepted for publication with only minor revision.

379
380

General Minor Comments :

381 1) NAVE procedure applied to Climate-Change experiments:
382 The NAVE procedure would seem to be equally applicable to climate change problems to help
383 distinguish the impact of model formulation from the impact of resolution on RCM
384 climate-change responses relative to those of its driving GCM. In the climate change context,
385 two sets of RCM runs would need to be performed - NAVE runs at the resolution of the driving
386 global climate model (GCM) and the usual high-resolution runs used for downscaling GCM
387 climate-change results. Consider a typical time-slice experiment over a CORDEX domain
388 performed at the end of the 20th and 21st centuries. For a given climate index (eg screen-level
389 temperature, precipitation, extremes etc.), one could construct the three climate-change
390 responses:

$$391 \quad R_GCM(X) = GCM_21st(X) - GCM_20th(X)$$

$$392 \quad R_NAVE(X) = NAVE_21st(X) - NAVE_20th(X)$$

$$393 \quad R_RCM(X) = RCM_21st(X) - RCM_20th(X),$$

394 where each term on the right is a time (and/or ensemble) average at a given spatial location "X".
395 In the above, $R_NAVE(X)$ represents the climate-change signal associated with model
396 formulation differences between the RCM and GCM. As for the authors' present-day analysis,
397 the potential for value added due to the response associated with resolution changes may be
398 expressed as:

$$399 \quad R_RES(X) = R_RCM(X) - R_NAVE(X).$$

400

401 The NAVE analysis allows the decomposition:

$$402 \quad R_RCM = R_NAVE(X) + R_RES(X)$$

403

404 Given $R_RES(X)$, and R_NAVE one can ask interesting questions like:

405 - Where is $R_RES(X)$ significant in the RCM domain?

406 - Do these locations correlate well with where the authors found downscaling improvement in their NAVE analysis of reanalysis driven RCMs?

407 - Where is R_RCM appreciably different from R_NAVE ?

408
409 The appreciable difference analysis presented in Section 5 of Scinocca et al. 2015 (JCLim p. 17-35) would seem like an ideal approach to address this question. In locations where there exists an appreciable difference, there exists the potential for added value. However, where there is no appreciable difference, there can be no added value - irrespective of how one chooses to define added value. This is in line with the authors' stated goals (II.116-118). Clearly such climate-change questions are outside the authors' present study but, they may want to discuss this potential application of the NAVE approach for future investigation.

416

417 [Response](#): We really appreciate such detailed description on how the NAVE approach can be used for climate change projections and completely agree. Now, we also use the abbreviation "NAVE" in the study. Actually, we've already completed downscaling of two global models (RCP8.5) over Africa at their native resolution, in addition to the standard 0.44deg CORDEX resolution. The first results were presented at EGU2019

422 (<https://meetingorganizer.copernicus.org/EGU2019/EGU2019-7631.pdf>) and at

423 ICRC-CORDEX2019

424 (http://icrc-cordex2019.cordex.org/wp-content/uploads/sites/2/2019/11/AbstractBook_20191114.pdf, A1-P-38). A paper is in preparation. We added a paragraph in "Summary and Conclusion"

426 **"Moreover, the same NAVE framework can be used for quantifying the added value in RCM-based future climate projections. For this, one needs to downscale GCMs at their native resolution in addition to the standard CORDEX resolutions (25 or 50km). The RCM projections at the native GCM resolution serve as the NAVE in the climate change context. A potential caveat, already mentioned in our study, is that RCMs are generally developed and tuned to operate at resolution of tens of km. "Downscaling" a GCM at its native resolution, for example 150 or 200km, may lead to artefacts related to a lack of RCM retuning for coarser resolution. Nevertheless, more and more GCMs, for example in CMIP6, have resolution finer than 100km that allows application of the NAVE."**

435

436 2) Interpretation of the NAVE:

437 It is assumed here that differences in the NAVE and driving model results arise from differences the RCM and GCM model formulation. This would be strictly true only if the RCM were also run in a global mode. The one-way nesting approach introduces a number of potential artifacts which are most acute for large RCM domains and applications that do not use interior (or

441 spectral) nudging - both of which are the case for the authors' present study (eg Section 2 of
442 Scinocca et al. 2015 JCLim p. 17-35). The authors should acknowledge this issue when
443 introducing the NAVE.

444
445 Response: It's a very relevant comment as we missed this point. We agree that the one-way
446 nesting approach is also a source of the difference between a RCM and its driving GCM. From
447 our point of view, without spectral nudging, this source is mostly related to RCM domain
448 configuration. If spectral nudging is not used, as in our NAVE simulations, and a RCM develops
449 its own climatology, the difference between the RCM and GCM is basically defined by RCM
450 physical formulation and domain configuration. If spectral nudging is used, technical aspects of
451 the nudging (e.g. which wavelengths should be nudged and at what altitudes) also contribute to
452 the difference by reducing it. We added explanations in 2.2 Experiment design.

453 “The difference between a RCM and its driving GCM can, in general, be attributed to three
454 sources, namely: i) different resolution, ii) different physical formulation and iii) artifacts of the
455 one-way nesting approach including size of the RCM domain and application of spectral nudging
456 (e.g. Scinocca et al., 2016). The RCA4 0.88° simulations and the HCLIM-ALADIN 100km one
457 represent a slight upscaling of ERAINT (about 0.7° or about 77km at the Equator) and we refer
458 to them as “no added value experiment” (NAVE). No resolution-dependent added value of the
459 RCMs is expected for these simulations and all differences between the RCMs and their driving
460 ERAINT are attributed to different physical formulations and to the artifacts of the one way
461 nesting. Spectral nudging is not used in our experiment and the one way nesting term is basically
462 reduced to domain configuration. In contrast, if spectral nudging is used, technical aspects of the
463 nudging (e.g. which wavelengths should be nudged and at what altitudes) also contribute to the
464 one way nesting term. In practice, it is not straightforward (if possible at all) to separate the
465 impact of different physical formulation and artifacts of the one-way nesting approach. Hereafter,
466 we use “RCM formulation” as a term that includes both RCM physical formulation and
467 domain-dependent RCM configuration (e.g. size of the full domain).”

468 3) RCM model tuning:

469 II.183-185 "We note that in general, both regional models - RCA and HCLIM-ALADIN were
470 developed to operate at a range of 10-50km resolution and their performance at 100 and 200km
471 may not be optimal." This is a non-trivial point, given the philosophy of the authors' NAVE
472 approach. Where there is systematic improvement of NAVE biases with increased resolution,
473 the authors interpret this as a systematic increase in added value. However, The poorer results
474 at the coarser resolutions may also be related to a lack of model retuning at these non-standard
475 resolutions. Very few physical parameterizations are automatically scale dependent and an
476 adjustment of their free parameters with changing spatial resolution should in principle be
477 performed. Retuning the RCMs at each spatial resolution would represent a significant
478 undertaking and these added degrees of freedom would complicate the main point made in this
479 study. Consequently, I would recommend that this issue be addressed by simply having it raised
480 as a caveat.

481

482 [Response](#): We completely agree with this comment and added some explanation on this
483 potential caveat (see also response to Comment 3, 1st reviewer)

484 “We note that in general, both regional models - RCA and HCLIM-ALADIN were developed to
485 operate at a range of tens of km resolution and their performance at 100 and especially at 200km
486 may not be optimal. A potential caveat here is that very few RCM physical parameterisations are
487 automatically scaled at very coarse resolution. Thus, results at the coarser resolutions may be
488 partly related to the lack of model retuning. However, such coarse-resolution simulations are a
489 useful supplement to simulations at a RCM comfortable resolution zone and help us to
490 understand RCM behaviour without additional, resolution-dependent tuning.”

491 4) Interior nudging:

492 In downscaling reanalysis products, the authors chose not to employ any constraints on the
493 interior RCM solution such as spectral nudging (II.185-186). In focusing on such evaluation
494 experiments, one could argue that it is more appropriate to use spectral nudging to constrain the
495 large scales to obtain the best downscaled results in their study. Any upscale influence
496 produced by the RCM would serve only to degrade the large scale flow as it is well observed
497 and represented in reanalysis products. By not constraining the RCM in this way, the authors
498 leave open the possibility that locations of large biases in their high-resolution RCM results are
499 due to the downscaling of the wrong large-scale flow rather than a lack of intrinsic added value.
500 For more detail see Section 2 of Scinocca et al. 2015 (JCLim p. 7-35).

501

502 [Response](#): This is a reasonable comment. The CORDEX-Africa RCMs do not use the spectral
503 nudging (see e.g. Nikulin et al. 2012) and here we follow the same approach for downscaling
504 over Africa. A potential caveat for applying spectral nudging in the tropics was also shortly
505 touched in Nikulin et al. 2012.

506 “With respect to spectral nudging of an RCM solution toward the driving data at large
507 wavelengths (von Storch et al. 2000), this technique is well established for midlatitude regions,
508 with some theoretical understanding of which wavelengths should be nudged and at what
509 altitudes (Alexandru et al. 2009). This is not the case in the tropics, and it may be more difficult
510 to formulate given the stronger role of surface forcing and multiscale convection in driving
511 large-scale circulations. We therefore chose to preclude spectral nudging from the experimental
512 design, pending further work in this area.”

513 We reformulated a bit:

514 “All simulations are conducted without spectral nudging similar to the CORDEX-Africa RCMs
515 (Nikulin et al., 2012) allowing the RCMs to develop its own climatology as much as possible.”

516 **Detailed Minor Comments:**

517

518 I.26 "Additionally to the two RCMs" perhaps change to "In addition to the two RCMs"

519

520 [Response: changed](#)

521

522 I.31 "the phase of the diurnal cycle is" perhaps change to "the phase of the diurnal
523 cycle in precipitation is"

524

525 [Response: changed](#)

526

527 I.71 "However, added value from RCMs" should be changed to "However, perceived
528 added value from RCMs" for the context of the sentence.

529

530 [Response: changed](#)

531

532 II.141-147. It was unclear whether the difference between v1 and v4 was simply a change in a
533 free parameter for an existing scheme or whether the difference was associated with a change
534 in the equations of the scheme. The former might be considered "tuning" while the latter
535 considered a "formulation" difference.

536

537 [Response:](#) The difference between v1 and v4 is indeed simply a change in a parameter and we
538 completely agree that such change can not be considered as a formulation difference but a new
539 parameter setting or a new configuration. We added additional explanations on the difference
540 between v1 and v4 in "2.1.1 RCA4" and also made a number of changes in the manuscript
541 (using "two different parameter settings" for example).

542

543 "RCA4 has three configurations used for CORDEX simulations that are available through ESGF.
544 They are named (so called RCM version) as v1 (Europe, Arctic, Africa, Southeast Asia, Central
545 and North America, ref), v2 (South Asia, ref) and v3 (South America, ref) and differ in some
546 domain-specific re-tuning. In this study we also include a new configuration - v4. The RCA-v4 is
547 based on RCA4-v1 but with a change in one parameter leading to reduced turbulent mixing in
548 stable situations (especially momentum mixing)."

549

550 II.176-178 It would be helpful to show these plots to see if the differences have any correlation
551 with later results (perhaps in an appendix) - particularly the distribution of temperature
552 differences.

553

554 [Response:](#) We've again looked at this additional sensitivity experiment and found that actually
555 there are also some differences in precipitation, not only in temperature. We reformulated our
556 findings accordingly. At the same time, it's only one simulation at one resolution by one RCM.

557 We would prefer not focus on this single simulation in detail. A full set of simulations with the
558 same full domain for all RCMs and resolutions is necessary for robust conclusions and we leave
559 more in-depth detailed analysis to forthcoming studies.

560
561 We reformulated the respective paragraph:

562
563 “As mentioned above, larger size of the computational domain at coarser resolution in our
564 experiment setup may have a potential impact on the results leading to larger IV developed by
565 the RCMs and weaker constraints on the ERAINT forcing. As a simple test for
566 domain-dependant RCM IV we perform an additional experiment with RCA4 at 0.88° resolution
567 taking the full computational domain from the 1.76° RCA4 simulation. Indeed, for the
568 1981-2010 climatology, seasonal mean precipitation differences between the two experiments
569 can reach up to 1.25 mm/day (up to 25%) at a few individual grid boxes, often at the edges of the
570 tropical rain belt, although in general stay below 0.5 mm/day (not shown). Seasonal mean
571 temperature also differs with up to 1.25°C regionally (not shown). We do not focus on this single
572 additional sensitivity experiment in the study. A full set of simulations with the same full domain
573 for all RCMs and resolutions is necessary for robust conclusions. “

574 ll.260-262 Fig 2b-p. It was often hard to associate the location of a particular bias with the full
575 field in panel a. Expressing the bias as a percentage difference from the full field would be
576 helpful in the West and central regions. However, where there is weak precipitation in the
577 reference/obs data this may be problematic.

578
579 Response: It is a common problem for showing relative precipitation biases in the tropics when
580 small reference values at the edge of the tropical rain belt or in dry regions lead to artificially
581 excessive relative bias. One solution is to apply a filter, for example, to show only regions where
582 reference precipitation is more than 1 mm/day. However, based on our experience such an
583 approach does not always lead to better visualisation. Showing absolute biases is pretty
584 common for model evaluation studies in Africa and we prefer to keep the absolute bias in Figs.
585 2 and 3. Additionally, following a comment of the 1st reviewer we mask by white all biases less
586 than 0.5 mm/day and hope the visibility is better now.

587
588
589 ll.350-352 Fig 4. It would be better to use the colour red for the reference GPCC7 curves in this
590 figure. I had difficulty seeing the GPCC7 curves in a number of the model result panels in
591 columns 2-4.

592
593 Response: We changed the colour to red for the reference GPCC7 curves in Fig. 4. We also
594 deleted the CRU and UDEL datasets from Fig. 4 as they simply coincide with GPCC7 and do
595 not provide any useful information.

596

597 II.558-560 "In general, model formulation related improvements cannot be considered as an
598 added value of downscaling as such improvements are strongly model dependent and cannot
599 be generalised." Also, such formulations could in principle be used in global models and so
600 obviate the need for the RCM.

601

602 [Response: We agree and added:](#)

603

604 "However, such formulation-related and region-specific improvements from RCMs could in
605 principle be also used in GCMs."

606

1 The impact of ~~RCM~~ regional climate model formulation
2 and resolution on simulated precipitation in Africa

3
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24

Abstract

25 We investigate the impact of model formulation and horizontal resolution on the ability of
26 Regional Climate Models (RCMs) to simulate precipitation in Africa. Two RCMs—
27 (SMHI-RCA4 and HCLIM38-ALADIN) are utilized for downscaling the ERA-Interim
28 reanalysis over Africa at four different resolutions: 25, 50, 100 and 200 km. ~~Additionally~~
29 ~~addition~~ to the two RCMs, two different ~~configurations~~ ~~parameter settings (configurations)~~ of the
30 same RCA4 are used. ~~Contrasting different RCMs, configurations and resolutions~~ ~~By contrasting~~
31 ~~different downscaling experiments~~, it is found that model formulation has the primary control
32 over many aspects of the precipitation climatology in Africa. Patterns of spatial biases in
33 seasonal mean precipitation are mostly defined by model formulation while the magnitude of the
34 biases is controlled by resolution. In a similar way, the phase of the diurnal cycle ~~in precipitation~~
35 is completely controlled by model formulation (convection scheme) while its amplitude is a
36 function of resolution. ~~Although higher resolution in many cases leads to smaller biases~~
37 ~~in~~ ~~However, the impact of higher resolution on the time-~~ ~~mean climate, the impact of higher~~
38 ~~resolution~~ is mixed. An improvement in one region/season (e.g. reduction of dry biases) often
39 corresponds to a deterioration in another region/season (e.g. amplification of wet biases). ~~The~~
40 ~~experiments confirm a pronounced and well known impact of~~ ~~At the same time~~, higher resolution
41 ~~—leads to~~ a more realistic distribution of daily precipitation. ~~Even~~ ~~Consequently, even~~ if the
42 time-mean climate is not always greatly sensitive to resolution, ~~what the time-mean climate is~~
43 ~~made up of, higher order statistics, is sensitive. Therefore,~~ the realism of the simulated
44 precipitation increases as resolution increases. ¶

45 Our results show that improvements in the ability of RCMs to simulate precipitation in Africa
46 compared to their driving reanalysis in many cases are simply related to model formulation and
47 not necessarily to higher resolution. Such model formulation related improvements are strongly
48 model dependent and ~~in general cannot~~ can, in general, not be considered as an added value of
49 downscaling.

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51

52 Keywords: RCA4, HCLIM, Resolution dependency, Added value, CORDEX-Africa

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1 Introduction

68 Regional climate modeling is a dynamical downscaling method widely used for downscaling
69 coarse-scale global climate models (GCMs) to provide richer regional spatial information for
70 climate assessments and for impact and adaptation studies (Giorgi and Gao, 2018; Giorgi and
71 Mearns, 1991; Laprise, 2008; Rummukainen, 2010). It is well-established that regional climate
72 models (RCMs) are able to provide added value (understood as improved **resultsclimatology**)
73 compared to their driving GCMs. This includes better representation of regional and local
74 weather and climate features as a result of better capturing small-scale processes, including those
75 influenced by topography, coast lines and meso-scale atmospheric phenomena (Flato et al., 2013;
76 Prein et al., 2016). However, **perceived** added value from RCMs may have different causes and it
77 may not always be for the right reason where “right reason” would result from an improved
78 representation of regional process at smaller scales. Such improvement leads to more accurate
79 **resultsimulations** on local scales, and can, to some extent, also reduce large-scale GCM biases
80 (Caron et al., 2011; Diaconescu and Laprise, 2013; Sørland et al., 2018). Contrastingly, added
81 value may be attributed to the “wrong reason”, not directly related to higher resolution in RCMs
82 but to different model formulation in the RCMs and their driving GCMs. It is possible that the
83 physics of a RCM has been targeted for processes specific to the region it is being run for, giving
84 it a local advantage over GCMs that may have had their physics developed for global
85 application. However, it is questionable if improvements of such “downscaling” via physics can
86 be considered as an added value. In general, RCMs can either reduce or amplify GCM biases
87 sometimes even changing their signs (Chan et al., 2013).

88 Issues as those mentioned above, have raised substantial concerns among regional climate
89 modelers (e.g., Castro, 2005; Xue et al., 2014). It has been pointed out that understanding of the
90 added value remains challenging. It would become even more complicated taking into account
91 the effects of different realizations, such as the size of domain, lateral boundary conditions,
92 geographical location, model resolution and its internal variability (Di Luca et al., 2015; Hong
93 and Kanamitsu, 2014; Rummukainen, 2016). All the above factors potentially influence
94 ~~downscaled results~~ RCM simulations leading to different ~~interpretation~~ interpretations of the
95 downscaling effects, thus the robustness of added value. For example, it was shown that over the
96 Alps, downscaling with multiple RCMs at increasing resolutions in general is able to provide a
97 more realistic precipitation pattern than the forcing GCMs, and it is regarded as added values
98 from RCMs (Giorgi et al., 2016; Torma et al., 2015b2015). Similarly, Lucas-Picher et al (2017)
99 found added value over the Rocky Mountains, another region with strong topographic influence
100 on hydrological processes. However, the results are not unambiguous and sometimes limited
101 added value is found when comparing to the forcing data, (e.g. Wang and Kotamarthi, 2014) over
102 North America. This implies that the understanding of downscaling effects is context-dependent
103 and one should carefully interpret ~~the downscaled results~~ GCM and RCM simulations in order to
104 detect robust added value.

105 Africa is foreseen to be vulnerable to future climate change, which early on inspired efforts to
106 employ RCMs for impact and adaptation studies (e.g. Challinor et al., 2007). Further to previous
107 coordinated downscaling activities over Africa as for example the African Monsoon
108 Multidisciplinary Analyses (AMMA) (Van der Linden and Mitchell, 2009), the Coordinated
109 Regional climate Downscaling Experiment (CORDEX) provides a large ensemble of RCM

110 projections for Africa (Giorgi et al., 2009; Jones et al., 2011). All CORDEX RCMs follow a
111 common experiment protocol including a predefined domain at 50km resolution and common
112 output variables and format that facilitates assessment of projected climate changes in Africa.
113 Under this framework, RCMs at 50-km horizontal resolution are found to have the capability of
114 providing added value in representing African climatological features compared to their forcing
115 GCMs, which generally have the resolution coarser than 100 km (Dosio et al., 2015;
116 Moufouma-Okia and Jones, 2015; Nikulin et al., 2012).

117 However, a number of common ~~problems with the RCMs are identified, which include, for~~
118 ~~example, dry biases over convection dominated regions like the Congo basin, too early onset of~~
119 ~~the rainy season for the West African Monsoon region and biases in representing the diurnal~~
120 ~~cycle of precipitation (Kim et al., 2014; Laprise et al., 2013; e.g. Nikulin et al., 2012). So far, it is~~
121 ~~still not~~ challenges to accurately simulate precipitation climatology in Africa have also been
122 identified for the RCMs. Individual RCMs may exhibit substantial biases in different aspects of
123 the precipitation climatology as seasonal mean (Endris et al., 2013; Kalognomou et al., 2013;
124 Kim et al., 2014; Shongwe et al., 2015; Tamoffo et al., 2019), annual cycle (Favre et al., 2016;
125 Kisémbé et al., 2019), onset and cessation of the rainy season (Akínsánolá and Ogunjobí, 2017;
126 Gbóbaniyí et al., 2014), number of wet days and their intensity (Klutse et al., 2016). At the same
127 time, most of these studies found that such biases often strongly depend on region and season. A
128 RCM with a substantial bias in one region and/or season may accurately simulate precipitation in
129 other regions and seasons. It was also found that the multi-model ensemble usually outperforms
130 individual RCMs but it is a result of the cancelation of opposite-signed biases in different RCMs.

131 A number of possible explanations for such RCM precipitation-related biases in Africa were
132 suggested as for example: different convection schemes (see discussion in Kalognomou et al.,
133 2013), land-atmosphere coupling (e.g. Sylla et al., 2013b) and biases in moisture transport
134 (Tamoffo et al., 2019). However, most of the CORDEX-Africa studies are still descriptive and
135 process-based evaluation studies like Tamoffo et al. (2019) are mostly lacking. An additional
136 barrier for more process-based evaluation studies is that the CORDEX variable list only defines
137 three pressure levels (850, 500 and 200mb) to be provided that seriously limits evaluation of
138 large-scale and regional circulation (e.g. jet streams) and moisture transport in the troposphere.

139 Another common problem for almost all RCMs in Africa is the phase of the diurnal cycle of
140 precipitation. The majority of RCMs simulate maximum precipitation intensity around local
141 noon that is too early compared to late afternoon or even late evening maximum evident in
142 observations (Nikulin et al., 2012). This deficiency of the RCMs is related to the convective
143 parameterization used and a specific convection scheme, as for example the Kain–Fritsch (KF),
144 may outperform others, producing a more realistic diurnal cycle (Nikulin et al., 2012).

145 All the above deficiencies of the RCMs show that higher resolution does not necessarily lead to a
146 better performance of the RCMs in terms of precipitation climatology in Africa. It is also not
147 always clear if differences between the CORDEX Africa RCMs and their driving GCMs ~~are~~
148 ~~related~~ are related to higher RCM resolution or to RCM internal formulation, or to the
149 combination of both. A thorough understanding of such differences and of added value of the
150 CORDEX-Africa RCMs is necessary for robust regional assessments of future climate change
151 and its impacts in Africa.▪

152 In this study, we aim to separate the impact of model formulation and resolution on the ability of
153 RCMs to simulate precipitation in Africa. We conduct a series of sensitivity, reanalysis-driven
154 experiments by applying two different RCMs, one of them in two different configurations, at
155 four horizontal resolutions. Contrasting the different experiments allow us to separate the impact
156 of model formulation and resolution. We present an overview and the first results of the
157 experiments conducted and leave in-depth detailed process studies for different regions to
158 forthcoming papers.

159 2 Methods and Data

160 2.1 The Regional Climate Models

161 2.1.1 RCA4

162 The Rossby Centre Atmosphere regional climate model - RCA (Jones et al., 2004; Kjellström et
163 al., 2005; Räisänen et al., 2004; Rummukainen et al., 2001; Samuelsson et al., 2011) is based on
164 the numerical weather prediction model HIRLAM (Undén et al. 2002). To improve model
165 transferability, the latest fourth generation of RCA, RCA4, has a number of modifications for
166 specific physical parameterizations. This includes the modification of convective scheme based
167 on Bechtold-Kain-Fritsch scheme (Bechtold et al., 2001) with revised calculation of convective
168 available potential energy (CAPE) profile according to Jiao and Jones (2008), and the
169 introduction of turbulent kinetic energy (TKE) scheme (Lenderink and Holtslag, 2004). The
170 RCA4 model has been applied in many regions worldwide, among them Europe (Kjellström et
171 al., 2016, 2018; Kotlarski et al., 2015), the Arctic (Berg et al., 2013; Koenigk et al., 2015; Zhang

172 et al., 2014), Africa (Nikulin et al., 2018; Wu et al., 2016), South America (Collazo et al., 2018;
173 Wu et al., 2017), South-East (Tangang et al., 2018) and South Asia (Iqbal et al., 2017).
174 ~~In addition to the standard RCA4 configuration, used in CORDEX, in; Rana et al., 2020).~~
175 RCA4 has three configurations used for CORDEX simulations that are available through ESGF.
176 They are named (so called RCM version) as v1 (Europe, Arctic, Africa, Southeast Asia, Central
177 and North America), v2 (South Asia) and v3 (South America) and differ in some domain-specific
178 re-tuning. In this study we also include a ~~RCA~~new configuration with v4. The RCA-v4 is based
179 on RCA4-v1 but with a change in one parameter leading to reduced turbulent mixing in stable
180 situations (especially momentum mixing). Such change in ~~model formulation~~the parameter was
181 applied to reduce a prominent dry bias found in ~~RCA4~~the RCA4-v1 CORDEX Africa
182 simulations over Central Africa (Tamoffo et al., 2019; Wu et al., 2016). Using two
183 configurationsparameter settings of RCA4 allows us to examine how sensitive our results are to
184 different formulationssuch small tuning of the same model. We hereafter denote the original
185 ~~RCA4 configuration as RCA4 v1 and the new one as RCA4 v4~~RCM.

186 2.1.2 HCLIM

187 HARMONIE-Climate (HCLIM) is a regional climate modelling system designed for a range of
188 horizontal resolutions from tens of kilometers to convection permitting scales of 1-3km
189 (Belušić et al., 2019; Lindstedt et al., 2015). It is based on the ALADIN-HIRLAM numerical
190 weather prediction system (Belušić et al., 2019; Bengtsson et al., 2017; Termonia et al., 2018).
191 The HCLIM system includes three atmospheric physics packages AROME, ALARO and
192 ALADIN, which are designed for different horizontal resolutions. The ALADIN model

193 configuration used in this study employs the hydrostatic ARPEGE-ALADIN dynamical core
194 (Temperton et al., 2001), a mass-flux scheme based on moisture convergence closure for
195 parameterizing deep convection (Bougeault, 1985) and SURFEX as the surface scheme (Masson
196 et al., 2013). All details about the version of HCLIM used in this study (HCLIM38), and its
197 applications over different regions can be found in (Belušić et al., 2019). We need to note that
198 HCLIM38-ALADIN used in the study is not the same model as ALADIN-Climate used in
199 CORDEX (Daniel et al., 2019). We refer to HCLIM38-ALADIN as HCLIM-ALADIN hereafter.

200

2.2 Experimental design

201 To investigate the response of both RCA4 and HCLIM-ALADIN to horizontal resolution, we
202 conduct a set of sensitivity experiments driven by the ERA-Interim reanalysis (denoted as
203 ERAINT hereafter; Dee et al., 2011) at four different resolutions. These resolutions are 1.76,
204 0.88, 0.44 and 0.22° for RCA4 with the rotated coordinate system and 200, 100, 50 and 25km for
205 HCLIM-ALADIN with the Lambert Conformal projection. The 0.44° or 50km resolution is
206 recommended by the CORDEX experiment design and used in the CORDEX-Africa ensemble.
207 Hereafter, the resolution in kilometers is used unless otherwise specified.

208 There are two approaches to setup a RCM experiment with simulations at different resolutions.
209 The first approach is to use the same full domain (including the relaxation zone) for all
210 simulations at different resolutions. Size of the full domain is defined by the coarsest resolution
211 in the experiment (200km in our case). A benefit of such experiment setup is a consistent lateral
212 boundary forcing for all simulations, given the same full domain. However, an unnecessary large
213 full domain for higher resolution simulations is a caveat leading to larger RCM internal

214 variability (IV) and a higher computational demand at finer resolutions. The second approach is
215 to use different (minimum) full domains for different resolutions defined only by size of the
216 active domain (the same for all resolution) and a necessary relaxation zone (smaller in km for
217 higher resolution). An advantage of this approach is less IV and less computational demand for
218 high resolution simulations while a shortcoming is inconsistent lateral boundary forcing
219 (different size of the full domain). We decided to use the second approach with the minimum size
220 of the full domain (less IV and computational demand), although we should note that a perfect
221 experiment has to include both approaches, if resources allow. The setup of the simulations at the
222 four resolutions is identical apart from the timestep that is adjusted to ensure numerical
223 simulation stability and the size of the full computational domain with the relaxation zone (see
224 Table 1). The relaxation zone has 8 grid-points in all directions and increases (in km) at coarser
225 resolution while the interior CORDEX-Africa domain is the same. ~~Larger~~
226 ~~As mentioned above, larger~~ size of the computational domain at coarser resolution ~~in our~~
227 ~~experiment setup~~ may have a potential impact on the ~~results~~ ~~simulated climatology~~ leading to
228 larger ~~internal variability~~ ~~IV~~ developed by the RCMs and weaker constraints on the ERAINT
229 forcing. ~~We~~ ~~As a simple test for domain-dependant RCM IV we~~ perform an additional
230 experiment with RCA4 at 0.88° resolution taking the full computational domain from the 1.76°
231 ~~RCA~~ ~~RCA4~~ simulation. ~~For precipitation differences between the two experiments are at the~~
232 ~~noise level while for seasonal mean temperature it can be up to 1°C.~~ ~~Indeed, for the 1981-2010~~
233 ~~climatology, seasonal mean precipitation differences between the two experiments can reach up~~
234 ~~to 1.25 mm/day (up to 25%) at a few individual grid boxes, often at the edges of the tropical rain~~
235 ~~belt, although in general stay below 0.5 mm/day (not shown). Seasonal mean temperature also~~

236 differs with up to 1.25°C regionally (not shown). We do not focus on this single additional
237 sensitivity experiment in the study. A full set of simulations with the same full domain for all
238 RCMs and resolutions is necessary for robust conclusions.

239 Another source of IV in RCMs is related to different initialisation or starting time (e.g.
240 Lucas-Picher et al., 2008; Sanchez-Gomez and Somot, 2018). We perform two additional
241 experiments in order to see how different initialisation time impacts the IV in the RCMs. Both
242 RCA4-v1 and ALADIN at 50km were initialised on 1st January 1980 instead of 1st January
243 1979 as for all other simulations in the study. It was found that the impact of the different starting
244 time is much smaller than the impact of the larger domain. For both seasonal mean precipitation
245 and temperature, differences between the experiments are small over the African continent, in
246 general, less than 0.5 mm/day for precipitation and 0.25°C for temperature (not shown). Similar
247 to the domain-dependent sensitivity experiment above, we do not focus on these two additional
248 initialisation sensitivity experiments in the study. A full investigation of the initialisation-related
249 RCM IV needs generation of a larger (up to 10 members) ensemble for all RCMs and
250 resolutions.

251 We note that in general, both regional models - RCA and HCLIM-ALADIN were developed to
252 operate at a range of tens of km resolution and their performance at 100 and especially at 200km
253 may not be optimal. A potential caveat here is that very few RCM physical parameterisations are
254 automatically scaled at very coarse resolution. Thus, RCM deficiencies at the coarser resolutions
255 may be partly related to the lack of model retuning. We think that such coarse-resolution
256 simulations are a useful supplement to simulations at a RCM comfortable resolution zone and

257 help us to understand RCM behaviour without additional, resolution-dependent tuning. All
258 simulations are conducted without spectral nudging similar to the CORDEX-Africa RCMs
259 ((Nikulin et al., 2012)) allowing the RCMs to develop its own climatology as much as possible.
260 Analysis is done for the CORDEX-Africa domain shown in Fig. 1.

261 The difference between a RCM and its driving GCM can, in general, be attributed to three
262 sources, namely: i) different resolution, ii) different physical formulation and iii) artifacts of the
263 one-way nesting approach including size of the RCM domain and application of spectral nudging
264 (e.g. Scinocca et al., 2016). The RCA4 0.88° simulations and the HCLIM-ALADIN 100km one
265 represent a slight upscaling of ERAINT (about 0.7° or about 77km at the Equator) and we refer
266 to them as “no added value experiment”- (NAVE). No resolution-dependent added value of the
267 RCMs is expected for these NAVE simulations and all differences between the RCMs and their
268 driving ERAINT are attributed to different model physical formulations. We note that in general,
269 both regional models – RCA and HCLIM-ALADIN – were developed to operate at a range of
270 10-50km resolution and their performance at 100 and 200km may not be optimal. All
271 simulations are conducted without spectral nudging and analysis is done for the
272 CORDEX Africa domain shown in Fig. 1. ¶

273 ¶

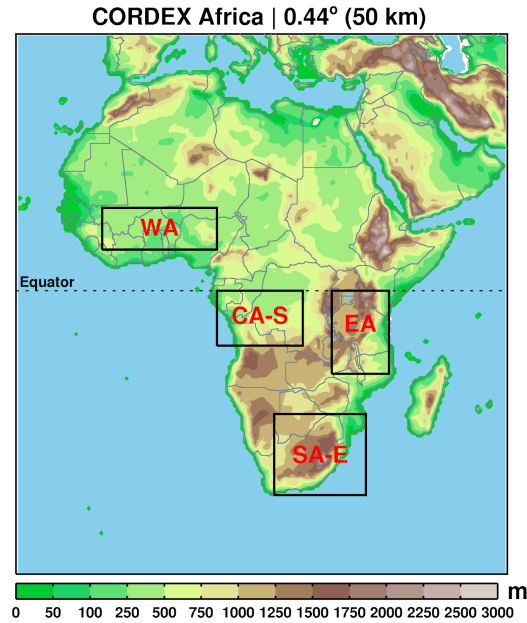
274 Table 1. Details of the RCA4 and HCLIM-ALADIN experiments and to the artifacts of the one way
275 nesting. Spectral nudging is not used in our experiment and the one way nesting term is basically
276 reduced to domain configuration. In contrast, if spectral nudging is used, technical aspects of the
277 nudging (e.g. which wavelengths should be nudged and at what altitudes) also contribute to the

278 one way nesting term. In practice, it is not straightforward (if possible at all) to separate the
 279 impact of different physical formulation and artifacts of the one-way nesting approach. Hereafter,
 280 we use “RCM formulation” as a term that includes both RCM physical formulation and
 281 domain-dependent RCM configuration (e.g. size of the full domain).

282 Table 1. The full domain configuration and time step for the RCA4 and HCLIM-ALADIN
 283 simulations. The full domain includes the 8 grid point relaxation zone.

Experiment name	Horizontal resolution (deg. / km)	Domain size (lon × lat)	Geographical area (deg.)		Time step (sec)
			South, North	West, East	
RCA4-v* 1.76°	1.76°	66 × 67	-60.5, 55.66	-38.06, 76.34	1200
RCA4-v* 0.88°	0.88°	126 × 121	-54.78, 50.82	-33.22, 76.78	1200
RCA4-v* 0.44°	0.44°	222 × 222 ^a 222	-50.16, 47.08	-29.04, 68.20	1200
RCA4-v* 0.22°	0.22°	406 × 422	-48.07, 44.55	-26.95, 62.15	600
HCLIM-ALADIN 200km	200 km	80 × 90	-58.34, 56.71	-46.98, 82.98	1800
HCLIM-ALADIN 100km	100 km	128 × 150	-53.89, 51.70	-37.01, 73.01	1800
HCLIM-ALADIN 50km	50 km	240 × 270	-51.56, 48.98	-35.85, 71.85	1200
HCLIM-ALADIN 25km	25 km	450 × 512	-50.43, 47.73	-33.64, 69.64	600

284



286 **Figure 1** Topography (m) for the the CORDEX-Africa domain in RCA4 at 50km resolution. Boxes
 287 indicate the four subregions used for spatially averaged analysis: West Africa (WA), East Africa (EA), the
 288 southern Central Africa (CA-S), and eastern southern Africa (SA-E).

289

2.3 Observations and reanalysis

290 Observational datasets in Africa, in general, agree well for large-scale climate features but can
 291 deviate substantially at regional and local scales (Fekete et al., 2004; Gruber et al., 2000; Nikulin
 292 et al., 2012). To take into account the observational uncertainties, we utilize a number of gridded
 293 precipitation datasets. They include three gauged-based datasets: the Global Precipitation
 294 Climatology Centre, GPCC, version 7 (Schneider et al., 2014), the Climate Research Unit
 295 Time-Series, CRU TS, version 3.23 (Harris et al., 2014), and University of Delaware, UDEL,
 296 version 4.01 (Legates and Willmott, 1990). All these three datasets are at 0.5° horizontal
 297 resolution. For the evaluation of precipitation extremes and diurnal cycle simulated by RCMs,
 298 we utilize a satellite-based precipitation dataset from the Tropical Rainfall Measuring Mission,
 299 TRMM 3B42 version 7 (Huffman et al., 2007), which is at 0.25° horizontal resolution and

300 3-hourly temporal resolution. The TRMM product starts in 1998 and for evaluation of
301 precipitation extremes and diurnal cycle we use a shorter period (1998-2010) in contrast to
302 1981-2010 used for evaluation of seasonal means and annual cycle. We also need to note that the
303 TRMM 3B42-v7 precipitation product provides satellite-based precipitation estimates adjusted
304 by the GPCP gauge-based precipitation. This means that monthly mean TRMM 3B42 and GPCP
305 precipitation are almost the same if remapped to the same resolution or averaged over a region.
306 ERA-Interim as the driving reanalysis is also used for analysis. In contrast to climate models,
307 ERA-Interim precipitation is a short term forecast product and there are several ways to derive
308 ERA-Interim precipitation (e.g. different spin-up, base time and forecast steps) that can lead to
309 different precipitation estimates (Dee et al. 2011). ERA-Interim precipitation is derived by the
310 simplest method, without spinup as in some of the previous studies (Dosio et al., 2015;
311 Moufouma-Okia and Jones, 2015; Nikulin et al., 2012): 3-hourly precipitation uses the base
312 times 00/12 and forecast steps 3/6/9/12 hours, while daily precipitation uses base times 00/12
313 and forecast steps of 12 hours. The RCMs and ERA-Interim represent 3-hourly mean precipitation
314 for the 00:00-03:00, 03:00-06:00, ... 21:00-00:00 intervals while TRMM precipitation averages
315 represent approximately the 22:30–01:30, 01:30–04:30, ... 19:30–22:30 UTC intervals.

316

2.4 Methods

317 The coarsest resolution 200 km is used as a reference resolution for spatial maps. The
318 higher-resolution simulations are aggregated to the 200 km grid by the first-order conservative
319 remapping method (Jones, 1999). In this way we expect that the difference among the aggregated
320 results at common resolution should mainly be caused by the different treatment for
321 fine-scale processes (Di Luca et al., 2012). For the regional analysis, such as the analysis of

322 annual cycle, diurnal cycle and daily precipitation intensity, we focus on four subregions,
323 presenting different climate zones in Africa: West Africa (10°W~10°E, 7.5°N~15°N), East
324 Africa (30°E~40°E, 15°S~0°S), the southern Central Africa (10°E~25°E, 10°S~0°S), and the
325 eastern South Africa (20°E~36°E, 35°S~22°S) as defined in Fig. 1. The period 1981-2010 is
326 used for the analysis in this study, unless otherwise specified.

327

3 Results and Discussion

328

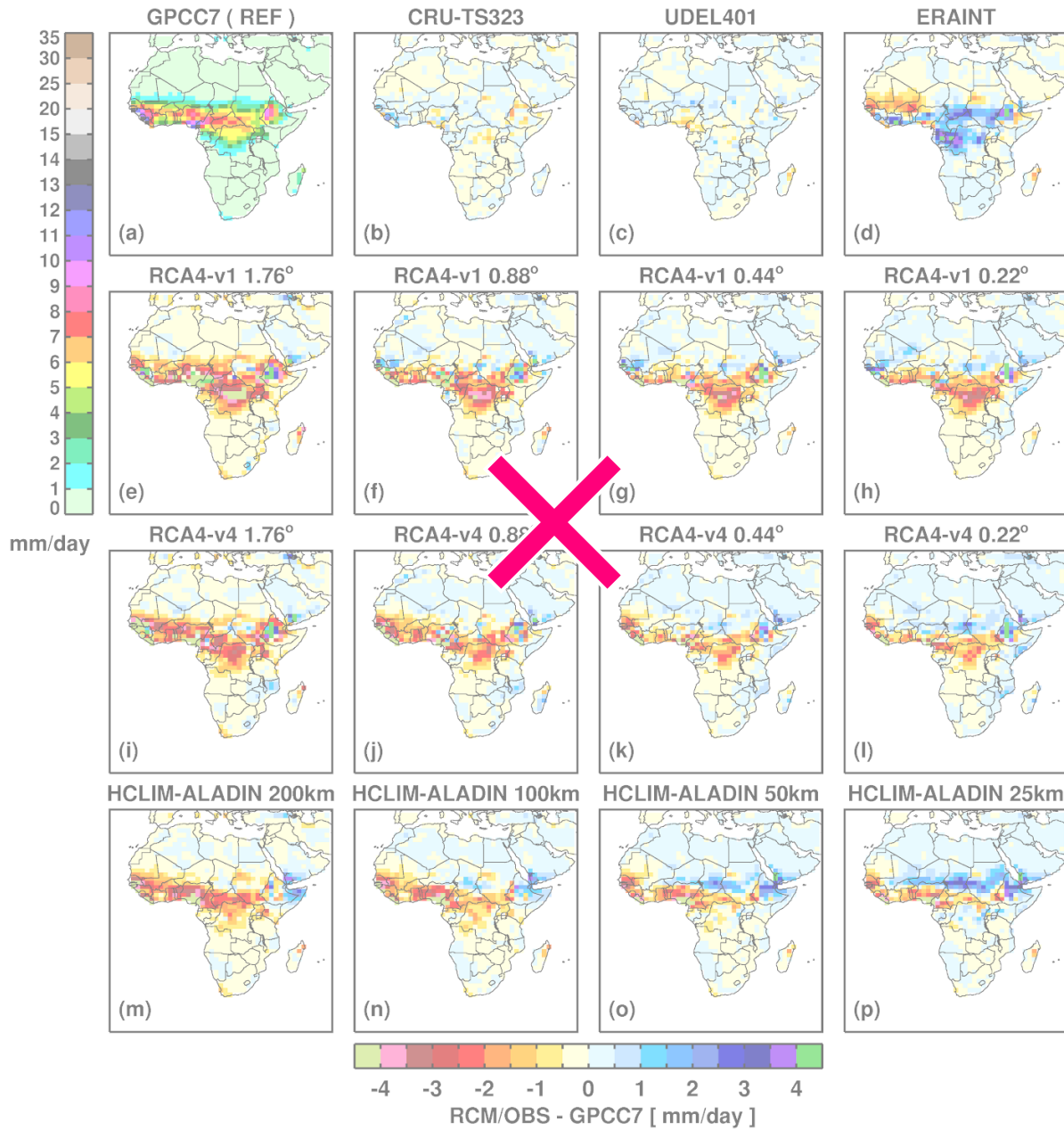
3.1 Seasonal mean

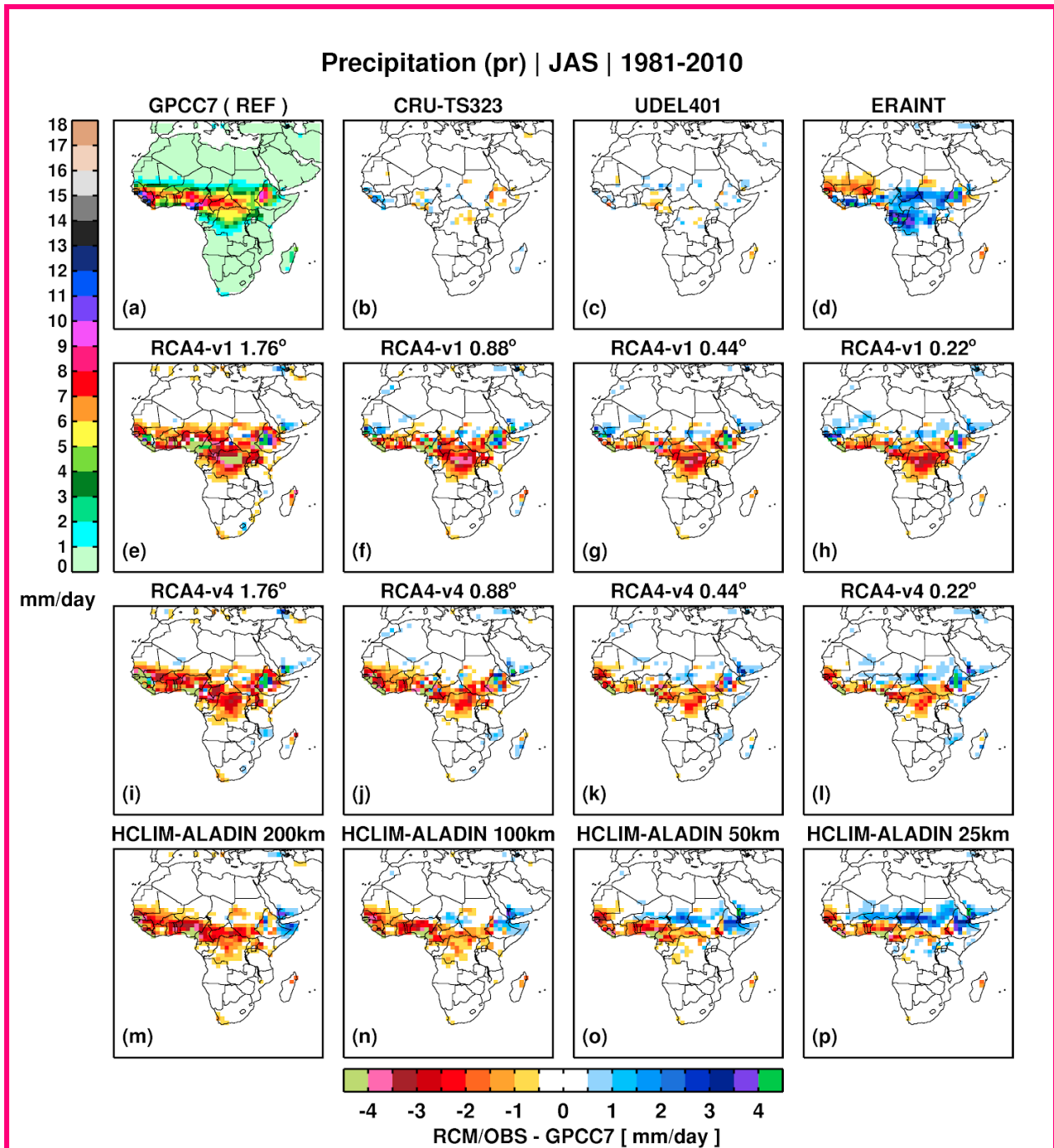
329 In the boreal summer defined here as July-September (JAS), the tropical rain belt (TRB)
330 associated with the intertropical convergence zone (ITCZ) is positioned to its ~~most~~
331 ~~northern~~ **northernmost** location with the maximum precipitation north of the Equator (Fig. 2a).
332 CRU, UDEL and GPCC aggregated to the 200km resolution, generally agree well with each
333 other, with only slight local differences (Fig. 2a-c). ERAINT overestimates precipitation over
334 Central Africa and along the Guinea Coast while underestimates it over West Africa, north of the
335 Guinea Coast (Fig. 2d). All RCA4-v1 simulations have a pronounced dry bias (Fig. 2e-h) that
336 spatially almost coincides with the wet bias in ERAINT and increases at coarser resolution
337 (Fig1e-f). RCA4-v4 shows a similar ~~bias~~ pattern compared to RCA4-v1 but substantially
338 reduces the dry bias over Central Africa at all four resolutions (Fig. 2i-l). For both configurations
339 of RCA4, the smallest dry bias is found at the highest 25km resolution, ~~although~~. **At the same**
340 **time**, an overestimation of precipitation north of the **region with the** dry bias becomes more
341 pronounced, especially for RCA4-v4. HCLIM-ALADIN, in general, shows some similarities to
342 RCA4 with a pronounced dry bias in West and Central Africa at 200km that is strongly reduced

343 with increasing resolution. However, a wet bias emerges on the northern flank of the rain belt at
344 50 and 25km. For JAS there is a common tendency for both RCMs to generate more
345 precipitation at higher resolution leading to a reduction of the dry biases over Central Africa.
346 Such bias reduction may be considered as an resolution-related improvement. However, the
347 RCM simulations clearly show that the added value of higher resolution can be region
348 dependent. An improvement of the simulated precipitation climatology over one region
349 corresponds to deterioration of the climatology over another region. Moufouma-Okia and Jones
350 (2015) found a mixed response to resolution in simulated seasonal mean precipitation over West
351 Africa. Their RCM simulations at 50 and 12km bear a great deal of similarity with each other
352 while a simulation at 25km shows wetter conditions in the Sahel and drier ones near the coastal
353 area in the south (see their Fig. 8). In contrast, Panitz et al. (2014) found almost no difference in
354 seasonal rainfall over West Africa between two RCM simulations at 50 and 25km. We conclude
355 that for both RCA4 and HCLIM-ALADIN, spatial bias patterns are similar and more related to
356 model formulation while magnitude of biases are more sensitive to resolution. For example, the
357 sign of the bias pattern in our no added value RCM simulations at 100km in JAS (Fig. 2f, j, n) is
358 almost opposite to the sign of the bias pattern in the driving ERAINT (Fig. 2d).

359

Precipitation (pr) | JAS | 1981-2010





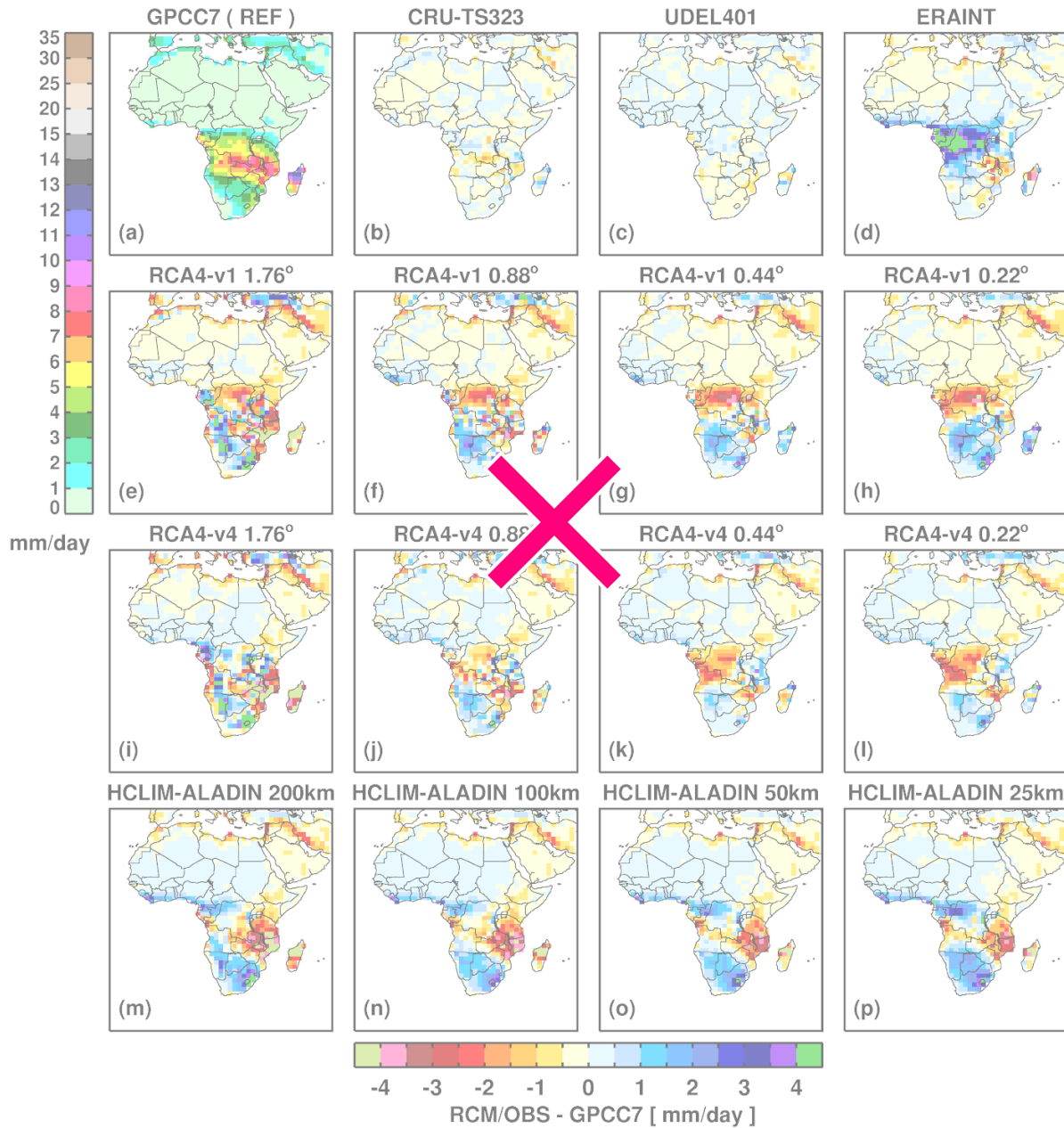
361 **Figure 2.** GPCCC7 mean JAS precipitation for 1981–2010 and differences compared to GPCCC7 in (b-d) the
 362 other gridded observations, (e-h) the RCA4-v1, (i-l) RCA4-v4 and (m-p) HCLIM-ALADIN simulations.
 363 All data sets are aggregated to the coarsest 200km grid.
 364

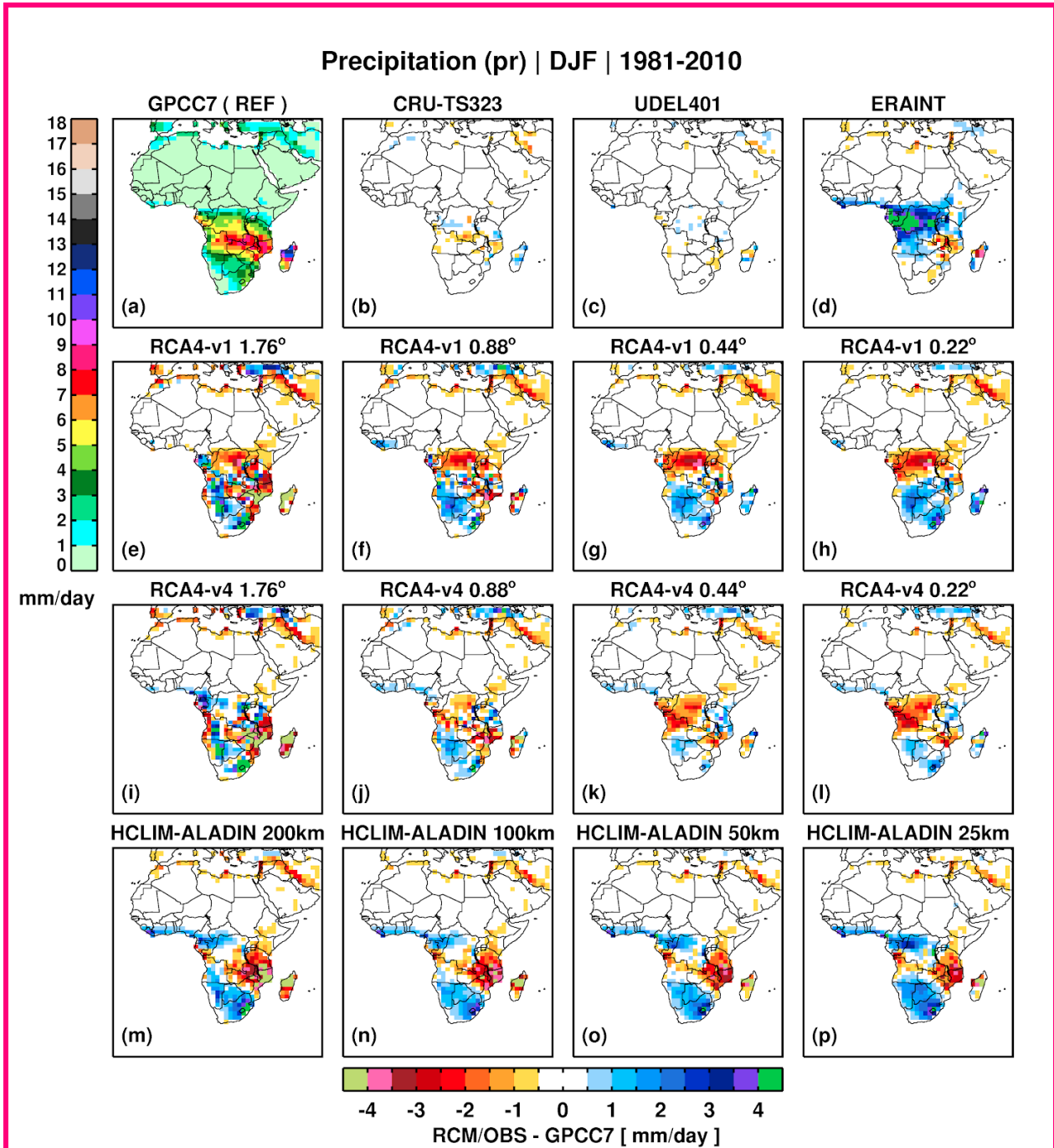
365 In boreal winter (December-February, DJF), the TRB migrates to its most southerly position
366 covering the latitudes from southern to Central Africa, with the maximum over southern tropical
367 Africa and Madagascar (Fig. 3a). Similar to JAS, observational uncertainties are generally small
368 in DJF and there is a pronounced wet bias in ERAINT over Central Africa (Fig. 3d). At 25 and
369 50km RCA4-v1 has a dipole bias pattern with an underestimation of rainfall over Central Africa
370 and an overestimation over southern Africa. At 200km there is a pronounced deterioration in the
371 simulated rainfall: a strong dry bias appears along the eastern coast and Madagascar while the
372 wet bias is amplified over large parts of southwestern Africa. At 25 and 50km RCA4-v4 shows a
373 large-scale dipole bias pattern similar in some degree to RCA4-v1. The RCA4-v4 biases are
374 smaller than the RCA4-v1 ones showing an impact of the re-tuning (reducing mixing in the
375 boundary layer). The behaviour of RCA4-v4 at coarser resolution is also similar to RCA4-v1. A
376 similar strong dry bias is emerging along the eastern coast at 200km. However, in contrast to
377 RCA4-v1, the dry bias over the Democratic Republic of Congo almost completely disappears at
378 both 100 and 200km. HCLIM-ALADIN simulates almost the same bias pattern at all resolutions,
379 strongly underestimating rainfall over southeastern Africa and overestimating it over the Guinea
380 Coast, parts of central Africa and southern Africa. There is a tendency to an increase in
381 precipitation with higher resolution in HCLIM-ALADIN: the wet biases are amplified and the
382 dry biases are reduced. Both RCA4 and HCLIM-ALADIN show a common feature -
383 intensification of the dry bias along the eastern coast of Africa at 200km. Even, if both RCMs
384 have this dry bias in common, there are also differences showing the importance of model
385 formulation. HCLIM-ALADIN has about the same bias pattern at all four resolutions while the
386 RCA4 bias pattern substantially changes across the resolutions. Such resolution dependency in

387 RCA4 may be related to the fact that RCA4 is based on a limited area model and not developed
388 to operate at 100-200km resolution. – Contrastingly, HCLIM-ALADIN that is based on a global
389 model shows more consistent results even at 100-200km resolution. This indicates that
390 HCLIM-ALADIN parameterisations may be better suited to work also at coarser resolution.
391 Although, we also note that the resolution dependency of the RCA4 bias pattern over southern
392 Africa is similar to that found for the CMIP5 GCMs (Munday and Washington, 2018). They
393 show that the GCMs with the coarsest resolution and respectively the lowest topography have the
394 wettest bias over the Kalahari basin and the driest bias over the southeast Africa coast, the
395 Mozambique Channel and Madagascar. Such a bias pattern is related to a smoother barrier to
396 northeasterly moisture transport from the Indian Ocean that penetrates across the high
397 topography of Tanzania and Malawi into subtropical southern Africa. However, in our analysis,
398 HCLIM-ALADIN does not show such resolution-related dependency. In general, similar to JAS,
399 the added value of higher resolution in DJF is region dependent: with higher resolution biases are
400 reduced over one region but amplified over another.

401

Precipitation (pr) | DJF | 1981-2010





403 **Figure 3.** As Fig. 2, but for DJF.

404

3.2 Annual cycle

405 The annual cycle of precipitation over the four subregions is shown in Fig. 4. The observed

406 annual cycle of precipitation over West Africa depicts the West African Monsoon (WAM)

407 rainfall, with maximum precipitation in August (Fig. 4a). All observational datasets (CRU and
408 UDEL are not shown) and ERAINT agree well with each other with only a small
409 underestimation of rainfall by ERAINT in June-August. In contrast to the observations,
410 RCA4-v1 has a bimodal annual cycle with a too early onset of the rainy season (Fig. 4b). The
411 simulated rainfall is overestimated in March-May, underestimated in July-August during the
412 active WAM period and is well in line with the observations during the cessation of the WAM
413 rainfall in September-November. RCA4-v4 shows a similar behaviour but the first rainfall peak
414 in May is reduced and the annual cycle has a more unimodal shape (Fig. 4c). HCLIM-ALADIN,
415 in general, shows similar features as both configurations of RCA4, although has more
416 similarities with RCA4-v4 (Fig. 4d). The too early onset of the rainy season is a common
417 problem for many RCMs reported by Nikulin et al., (2012). Our results show that this is not
418 dependent on resolution but instead related to model formulation. Higher resolution reduces the
419 wet bias during the onset of the rainy season for RCA-v1, has no impact for RCA-v4 and
420 amplifies the wet bias in HCLIM-ALADIN. Nevertheless, the impact of higher resolution is
421 more consistent during the rainy season. Increasing resolution tends to increase monsoon rainfall
422 for both RCMs, resulting in smaller dry biases and a pattern closer to the unimodal one in the
423 observations. Eastern and Central Africa have a bimodal annual cycle of rainfall with two peaks
424 around November and May (Fig. 4e,i). GPCP, CRU and UDEL (both not shown) agree well on
425 the phase and magnitude of the annual cycle for both subregions. ERAINT has a weaker
426 bimodality overestimating precipitation in December-February over Eastern Africa and all year
427 round over Central Africa with the largest wet bias during October-April. Both configurations of
428 RCA4 fail to reproduce the bimodal annual cycle in Eastern Africa at 200km underestimating

429 precipitation all year round and showing a single rainfall peak in December (Fig. 4j,k).

430 Increasing resolution reduces the dry bias and leads to an improvement in the shape of the annual

431 cycle. The bimodal shape begins to appear at 100km and becomes much closer to the observation

432 at 50 and 25km. Despite some mixed dry and wet biases in different seasons, the 25 and 50km

433 RCA4 simulations show the best agreement with the observations. In contrast to RCA4,

434 HCLIM-ALADIN simulates the unimodal annual cycle at all four resolutions and some sign of

435 bimodality only appears at 25km (Fig. 4h). Similar to RCA4, increasing resolution leads to an

436 increase in precipitation in HCLIM-ALADIN, although a dry bias is a prominent feature from

437 November to May in all HCLIM-ALADIN simulations. For Central Africa, the bimodality of the

438 annual cycle is well reproduced by both RCMs at all resolutions (Fig. 4j-l). ~~An interesting~~

439 ~~feature is that RCA4 shows completely opposite behavior in Central Africa compared to Eastern~~

440 ~~Africa. Increasing resolution leads to decreasing precipitation for both configurations of RCA4~~

441 ~~during the rainy seasons and especially in January. HCLIM-ALADIN maintains similar behavior~~

442 ~~to that in Eastern Africa, although difference in precipitation across the resolutions is small (Fig.~~

443 ~~4l)HCLIM-ALADIN maintains similar behavior to that in Eastern Africa, although the~~

444 ~~difference in precipitation across the resolutions is small (Fig. 4l). On the other hand, for both~~

445 ~~configurations of RCA4 in Central Africa, increasing resolution leads to decreasing precipitation~~

446 ~~during the rainy seasons, especially in January.~~ Both RCMs strongly reduce the ERAINT wet

447 bias even in the ~~no-added-value experiment~~NAVE at 100km. Such improvement indicates that

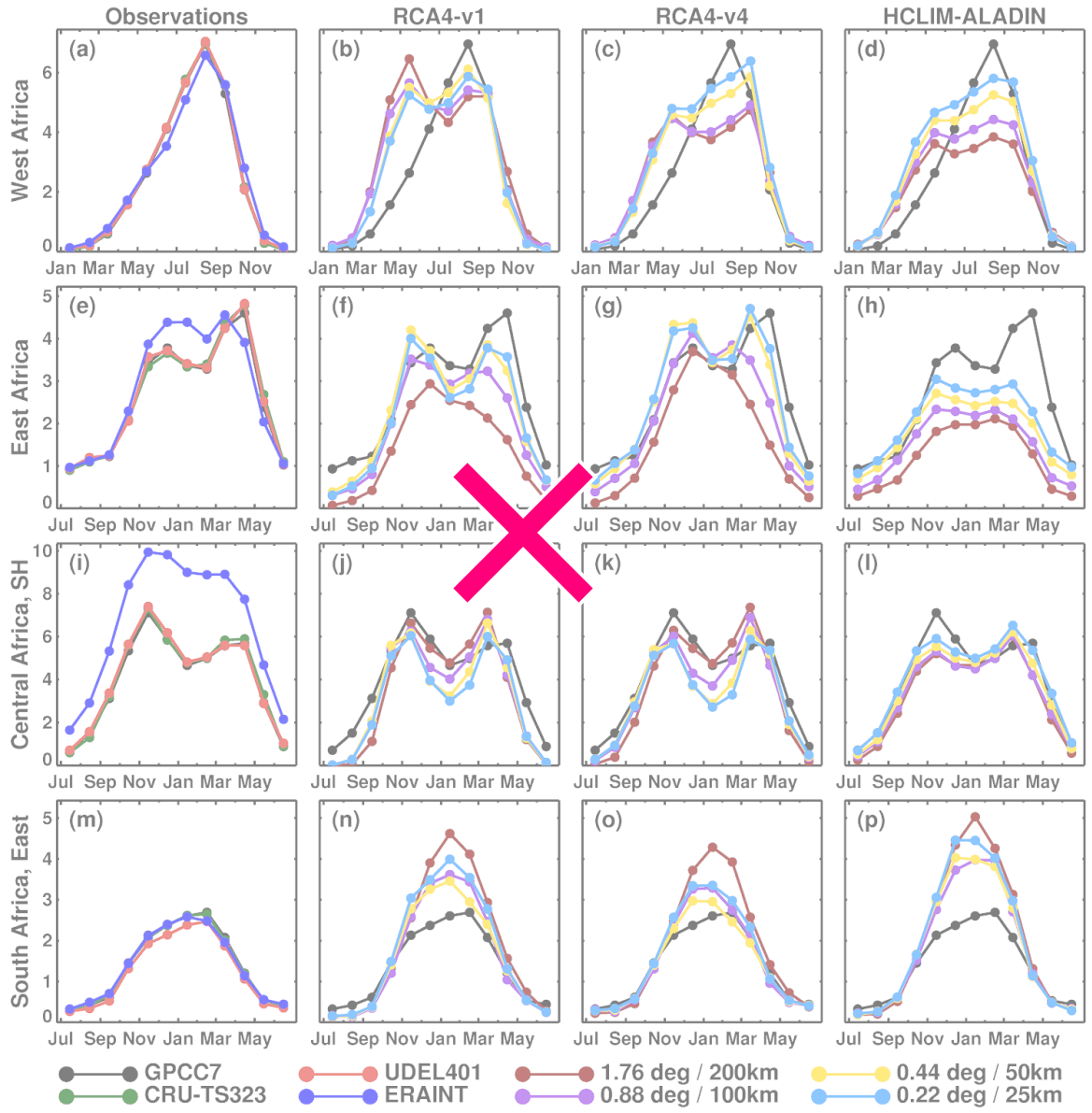
448 model formulation plays a more important role than resolution over Central Africa. For the

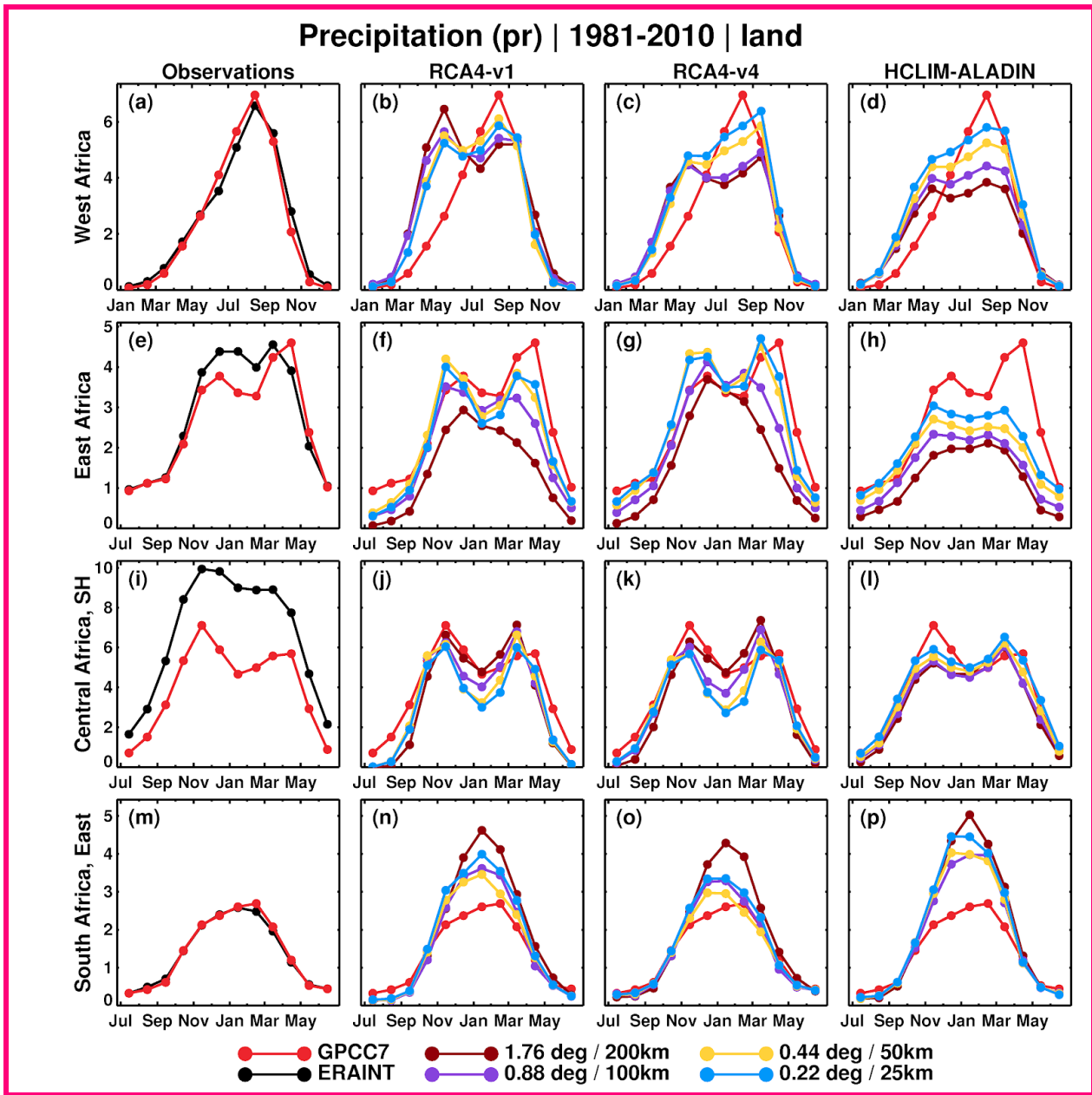
449 eastern Southern Africa, the annual cycle of precipitation is unimodal with its maximum in

450 austral summer (Fig. 4m). Similar to West Africa, uncertainties between observational datasets

451 and reanalysis are small. RCA4 in general overestimates rainfall during the rainy season with the
452 largest wet bias at 200km. Surprisingly, the simulated rainfall is almost the same at 25 and
453 100km while the smallest bias is found at 50km for both RCA4 configurations.
454 HCLIM-ALADIN also overestimates precipitation during the rainy season at all four
455 ~~resolution~~resolutions (Fig. 4p). However, the smallest wet bias in the HCLIM-ALADIN
456 simulations is found at 50 and 100km.
457

Precipitation (pr) | 1981-2010 | land





459 **Figure 4.** Annual cycle of precipitation over the four subregions for 1981-2010 in observations/ERAINT
 460 and as simulated by RCA4 and HCLIM-ALADIN at the four different resolutions. Only land grid boxes
 461 are used for averaging over the subregions. Units are mm/day.
 462
 463
 464

465

3.3 Diurnal cycle

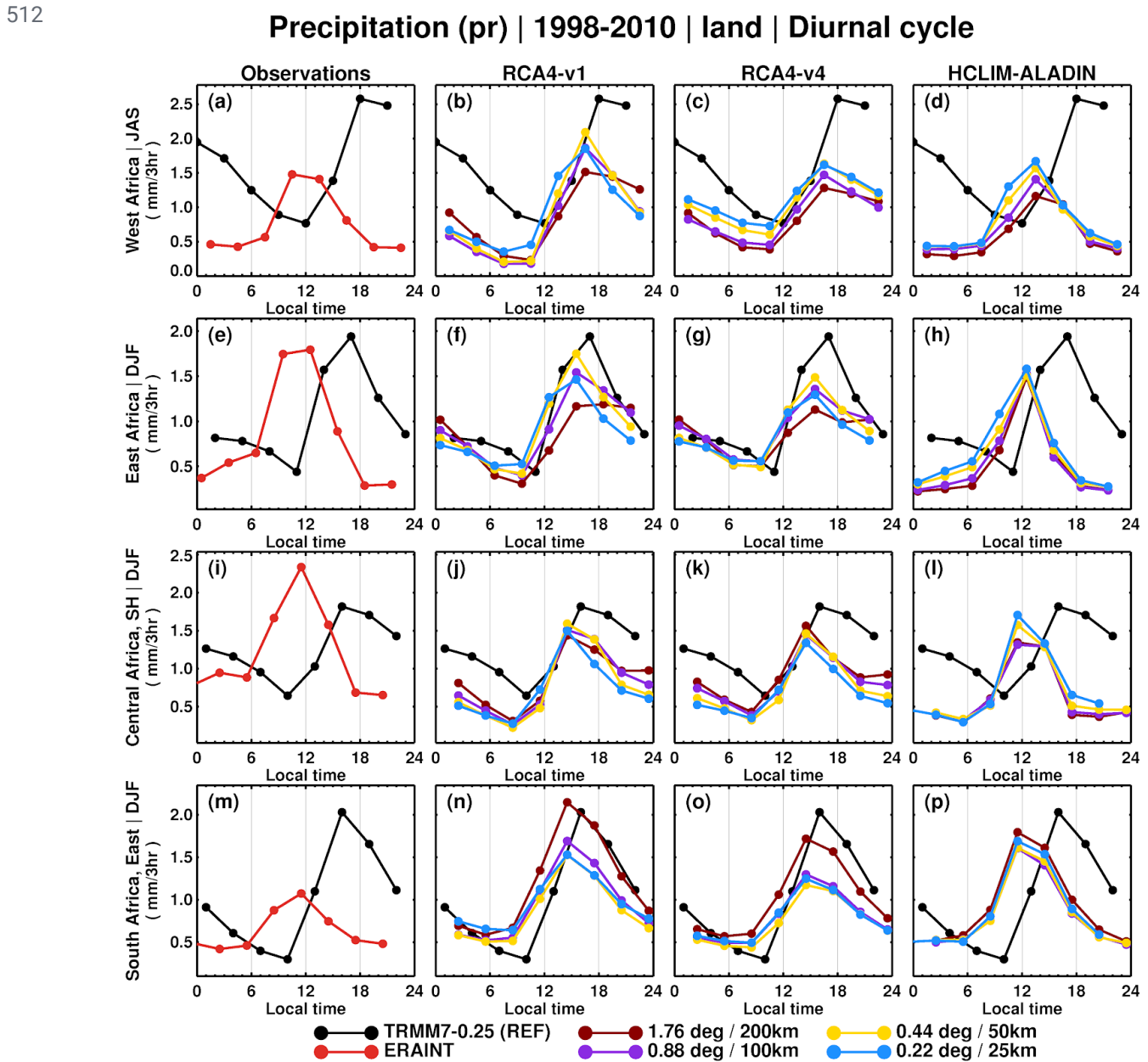
466 The diurnal cycle is a prominent feature of forced atmospheric variability with a strong impact
467 on regional- and local-scale thermal and hydrological regimes. The diurnal cycle of precipitation
468 in the tropics is well documented and includes a late afternoon/evening maximum over land (Dai
469 et al., 2007). However, it is still a common challenge for GCMs (Dai, 2006; e.g. Dai and
470 Trenberth, 2004; Dirmeyer et al., 2012), RCMs (e.g. Da Rocha et al., 2009; Jeong et al., 2011;
471 Nikulin et al., 2012) and reanalyses (Nikulin et al., 2012) to accurately represent the diurnal
472 cycle of precipitation.

473 The TRMM diurnal cycle of precipitation generally shows an increase of rainfall starting around
474 ~~the~~ noon with maximum reached at around 18:00 local solar time (LST) (Fig. 5). The ERAINT
475 diurnal cycle is completely out of ~~the~~ phase over all subregions with the occurrence of maximum
476 precipitation intensity around local noon. A common feature of ERAINT is an overestimation of
477 precipitation around local noon and an underestimation during the rest of the day.

478 HCLIM-ALADIN shows exactly the same behaviour as ERAINT. Both configurations of RCA4
479 simulate the diurnal cycle of precipitation more accurately compared to ERAINT and
480 HCLIM-ALADIN. The phase of the diurnal cycle, in general, is pretty well captured over all
481 four subregions. In terms of precipitation intensity RCA4 underestimates rainfall from afternoon
482 to morning over West (Fig. 5b,c) and Central Africa (Fig. 5j,k). Reducing mixing in the
483 boundary layer results in flattening of the diurnal cycle over West Africa (Fig. 5b, c) while there
484 are almost no changes over Central Africa (Fig. 5j, k). RCA4-v1 very well simulates the diurnal
485 cycle over Eastern Africa with only some underestimation in early morning and afternoon (Fig.
486 5f). RCA4-v4 improves rainfall intensity in early morning but at the same time shows a slightly

487 larger underestimation in afternoon than RCA4-v1 (Fig. 5g). Over Southern Africa the RCA4
488 simulations at 200km are the closest to the observation (Fig. 5n,o) while the simulations at
489 higher resolutions underestimate the amplitude of the diurnal cycle in the afternoon.
490 Figure 5 clearly shows that the phase of the diurnal cycle of precipitation in Africa does not
491 depend on resolution but instead depends on model formulation. Both ERAINT, with the Tiedtke
492 convection scheme (Tiedtke, 1989), and HCLIM-ALADIN with the Bougeault scheme
493 (Bougeault, 1985) trigger precipitation too early during the diurnal cycle while both
494 configurations of RCA4 with the same Kain–Fritsch (KF) scheme (Bechtold et al., 2001)
495 simulate much more realistic diurnal cycle. It has previously been shown that the KF scheme is
496 able to reproduce late afternoon rainfall peaks for the regions where moist convection is
497 governed by the local forcing, for example in the southeast US (Liang, 2004) and in the tropical
498 South America and Africa (e.g. Bechtold et al., 2004; Da Rocha et al., 2009). Nikulin et al.,
499 (2012) also found that a subset of RCMs that employ the KF scheme show an improved
500 representation of the phase of the diurnal cycle in Africa. Our results indicate that the impact of
501 resolution is only seen in the amplitude of the diurnal cycle. However, such impact is not
502 homogeneous across the subregions and the RCMs. For HCLIM-ALADIN, increasing resolution
503 ~~lead~~ **leads** to increasing rainfall intensity in all regions but southern Africa. RCA4 shows a similar
504 behaviour over West Africa, while there is a mixed response over Eastern and Central Africa.
505 These findings are in line with previous studies investigating resolution effects for GCMs (Covey
506 et al., 2016; Dirmeyer et al., 2012) and for RCMs (Walther et al., 2013). In coarser-scale models
507 (e.g >10km), increasing resolution only leads to changes in the magnitude, but not in the phase
508 of the diurnal cycle of precipitation over land.

509 Nevertheless, studies conducting sensitivity experiments using resolutions finer than 10 km do
 510 find improvements in the representation of the phase (Dirmeyer et al., 2012; Sato et al., 2009;
 511 Walther et al., 2013).



513 **Figure 5.** Diurnal cycle of 3-hourly mean precipitation over the four subregions for 1998-2010 in
 514 observations/ERAINT and as simulated by RCA4 and HCLIM-ALADIN at the four different resolutions.
 515 Only land grid boxes are used for averaging over the subregions and only wet days with more than
 516 1mm/day are taken for estimations of the diurnal cycle.

517

518

3.4 Frequency and intensity of daily precipitation

519 Figure 6 shows the empirical probability density function (PDF) of daily precipitation intensities
520 over the four subregions. The TRMM7-0.25 dataset, aggregated to the common 1.76° resolution
521 (TRMM7-1.76), as expected has a shorter right tail with no precipitation intensities larger than
522 100 mm day-1 and higher frequency for lower intensities less than 25 mm day-1 (Fig. 6a,e,i,m).
523 The two TRMM7 PDFs provide reference bounds for datasets with resolution between 0.25° and
524 1.76°. However, uncertainties in gridded daily precipitation products in Africa are large (Sylla et
525 al., 2013, 2013a) and we take the TRMM bounds as an observational approximation focusing
526 more on differences in the simulated PDFs across the four resolutions. Over West, East and
527 central Africa ERAINT overestimates the frequency of low (< 10 mm day-1) and extremely high
528 (>150 mm day-1) intensities while it underestimates the frequency of precipitation intensities in
529 between (Fig. 6a,e,i), especially over West Africa (Fig. 6a). In southern Africa (Fig. 6m)
530 ERAINT represents the frequency of daily mean precipitation more accurately compared to the
531 other three regions but shows almost no events with more than 150 mm day-1 in contrast to the
532 observations. Both RCMs, in general, have the same tendency to generate more higher-intensity
533 precipitation events with increasing resolution over all four subregions. In West Africa RCA4-v1
534 strongly underestimates the frequency of intensities with more than 20 mm day -1 at 200, 100
535 and 50km (Fig. 6b). A substantial improvement appears only at 25km where the right tail of the
536 PDF extends up to 250 mm day-1, although the frequency of precipitation events from about 50
537 to 150 mm day-1 is still underestimated.

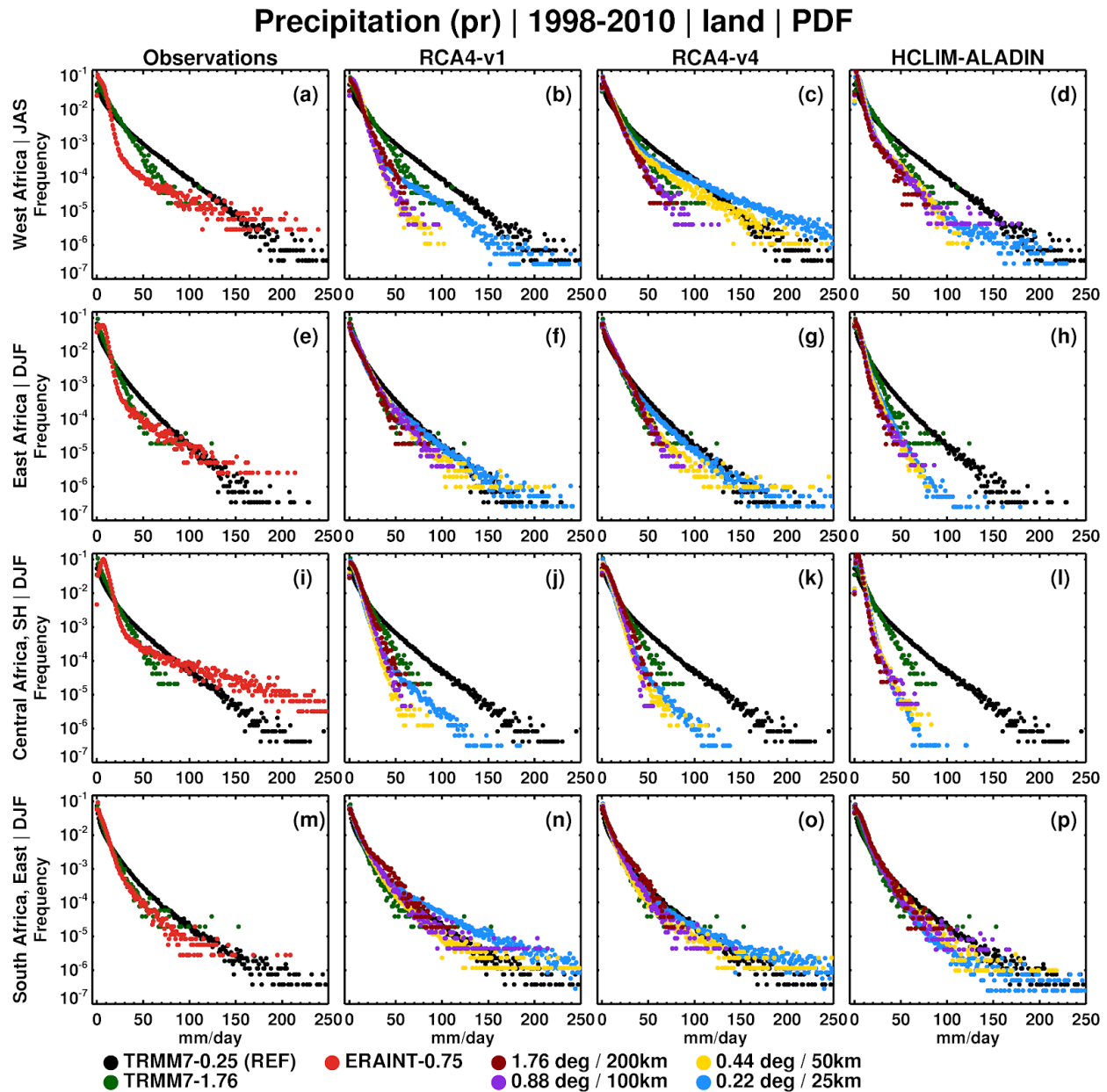
538 ¶

539 The RCA4-v4 configuration markedly reduces the RCA4-v1 biases and shows more realistic
540 PDFs at all four resolutions (Fig. 6c). The RCA4-v4 50km simulation generates precipitation
541 events up to 250 mm day⁻¹ strongly contrasting to the RCA4-v1 simulation at the same
542 resolution (no events more than 100 mm day⁻¹). However, RCA4-v4 overestimates frequencies
543 of high intensities at 25km. Such sharp difference between two configurations of RCA4 at the
544 same resolution shows that model formulation also plays an important role for accurately
545 reproducing daily precipitation. Over West Africa all HCLIM-ALADIN simulations
546 overestimates the frequency of low precipitation intensities (less than 10 mm day⁻¹) and
547 underestimates the frequency of intensities in the range of 10-150 mm day⁻¹ (Fig. 6d). Similar to
548 RCA4, higher resolution leads to more high-intensity precipitation events in the
549 HCLIM-ALADIN simulations.

550 However, RCA4 and HCLIM-ALADIN behave in a different way with increasing resolution.
551 Both RCMs change the PDFs by adding more higher-intensity precipitation events extending the
552 right-hand tail towards higher intensities. In addition, RCA4 also increases the frequency of
553 medium- and high-intensity events especially going from 50 to 25km. In eastern Africa both
554 RCA4 configurations reproduce the observed PDFs almost perfectly (Fig. 6f, g). All four
555 resolutions are located within the TRMM-1.76 and TRMM-0.25 boundaries and the coarsest and
556 finest resolutions coincides with the respective TRMM PDFs. Contrastingly, HCLIM-ALADIN
557 strongly underestimates the frequency of precipitation events with more than 20 mm day⁻¹ (Fig.
558 6h) over eastern Africa and even the highest 25km resolution is located below the coarse
559 TRMM-1.76 dataset. In central Africa both RCMs overestimate the occurrence of intensities less
560 than 20 mm day⁻¹ (Fig. 6j,k,l), especially HCLIM-ALADIN (Fig. 6l) and strongly underestimate

561 the frequency of higher-intensity events. The PDFs at all four resolutions for both RCMs are
562 located below the coarsest TRMM-1.76 PDF. We note that observational uncertainties in
563 precipitation are very large over central Africa and we should be careful in the interpretation of
564 Fig. 6j-l. Seasonal mean precipitation, for example, can differ by more than 50% across different
565 observational datasets (Washington et al., 2013). Additionally, the TRMM dataset is scaled by
566 the gauge-based GPCP precipitation product while almost no long-term gauges are available in
567 the region (Nikulin et al., 2012). In southern Africa RCA4 and HCLIM-ALADIN simulate the
568 precipitation PDFs ~~pretty accurate~~ quite accurately (Fig. 6n-p). An interesting detail is that the
569 50km HCLIM-ALADIN ~~simulations~~ simulation shows higher frequency for intensities ~~with more~~
570 ~~than 150 mm day⁻¹~~ in the range of 50 to about 200 mm/day than the 25km simulation. In
571 general, we see the improvement of simulated daily rainfall intensities with increasing
572 resolution across the African continent. There are many studies showing a similar resolution-
573 dependent improvement over both complex terrains and flat regions (e.g. Chan et al., 2013;
574 Huang et al., 2016; Lindstedt et al., 2015; Olsson et al., 2015; Prein et al., 2016; Torma et al.,
575 ~~2015a~~ 2015; Walther et al., 2013). Our results are in agreement with the above studies and
576 confirm increasing fidelity of simulated daily rainfall intensities with increasing resolution.

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583 **Figure 6.** Probability distribution function of daily precipitation intensities pooled over the four subregions
 584 for 1998-2010 in observations/ERAINT and as simulated by RCA4 and HCLIM-ALADIN at the four
 585 different resolutions. TRMM7-1.76 represents TRMM7-0.25 aggregated from its native 0.25° resolution
 586 to 1.76°. A base-10 log scale is used for the frequency axis and the first bin (0-1 mm day⁻¹) is divided by
 587 10. Only land grid boxes are used for pooling over the subregions and the season is different for the
 588 different regions.

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592

54 Summary and Conclusion

593 In this study we have investigated the impact of model formulation and spatial resolution on
594 simulated precipitation in Africa. A series of sensitivity, ERA-Interim reanalysis-driven
595 experiments, were conducted by applying two different RCMs (RCA4 and HCLIM-ALADIN) at
596 four resolutions (about 25, 50, 100 and 200 km). The 100km experiment, at resolution a bit
597 coarser than the driving ERA-Interim reanalysis, by default does not provide any
598 resolution-dependent added value while such added value is expected for the 50 and 25km
599 experiments. The 200km experiment is about 3 times upscaling of ERAINT to resolution of
600 many CMIP5 GCMs and should only be considered as a supplementary experiment since RCMs
601 do not aim to operate at such coarse resolution. In addition, to the two different RCMs, the
602 standard CORDEX configuration of RCA4 is supplemented by another configuration with
603 reduced mixing in the boundary layer. Such configuration was developed to deal with a strong
604 dry bias of RCA4 in Central Africa. Contrasting the two different RCMs and the two different
605 configurations of the same RCM at the four different resolutions allow us to separate the impact
606 of model formulation and resolution on simulated rainfall in Africa.

607 Even if the results often depend on region and season and a clear separation of the impact of
608 model formulation and resolution is not always straightforward, we found that model
609 formulation has the primary control over many aspects of the precipitation climatology in Africa.

610 The 100km ~~no-added-value-experiment~~NAVE shows that patterns of spatial biases in seasonal
611 mean precipitation are mostly defined by model formulation. These patterns are very different
612 between the driving ERAINT and RCMs, sometimes even with opposite ~~sign~~signs, exemplified

613 by the two configurations of RCA4 in JAS (Fig. 1e-l). Resolution in general controls the
614 magnitude of biases and for both RCA4 and HCLIM-ALADIN higher resolution usually leads to
615 an increase in precipitation amount while preserving large-scale bias patterns. A side effect of
616 such an increase in precipitation amount is that an improvement in one region (e.g. reduction of
617 dry biases) often corresponds to a deterioration in another region (amplification of wet biases) as
618 for HCLIM-ALADIN in JAS (Fig. 1m-p). Nevertheless, on average the smallest biases in
619 seasonal means are found for the simulations at 50 and 25km resolution.

620 The impact of model formulation and resolution on the annual cycle of precipitation is mixed
621 and strongly depends on region and season. For example, in both West and Central Africa the
622 shape of the annual cycle for the 100km ~~no-added-value-experiment~~NAVE is different from
623 ERAINT. However, the impact of model formulation is opposite between these two regions. In
624 West Africa both RCMs deteriorate the ERAINT annual cycle by simulating a too early onset of
625 the rainy season. In contrast, over Central Africa, both models improve the ERAINT annual
626 cycle by reducing a strong wet bias and changing the unimodal annual cycle to a bimodal one
627 similar to the observations. The impact of resolution can also be different. In West and East
628 Africa, higher resolution (50 and 25km) leads to an improvement in the annual cycle (more
629 realistic shape and smaller biases). In contrast, over Central Africa, the 25km RCA4 simulations
630 show the largest biases while the HCLIM-ALADIN simulations at all four resolutions are almost
631 similar. In general, it is difficult to conclude on a common impact of model formulation and
632 resolution on the annual cycle.

633 The phase of the diurnal cycle in Africa is completely controlled by model formulation
634 (convection scheme) while its amplitude is a function of resolution. Both ERAINT and

635 HCLIM-ALADIN ~~shows~~ show a too early precipitation maximum around noon while RCA4
636 simulates a much more realistic diurnal cycle with an evening maximum. Higher resolution does
637 not change the phase of the diurnal cycle but its amplitude, although the impact of resolution on
638 the amplitude is mixed across the four subregions and time of the day.

639 A pronounced and well known impact of higher resolution on daily precipitation intensities is a
640 more realistic distribution of daily precipitation. Our results also show that higher resolution, in
641 general, improves the distribution of daily precipitation. This includes reduced overestimation of
642 the number of days with low precipitation intensities and reduced underestimation of the number
643 of days with high intensities. The latter results in extending the right-hand tail of the distribution
644 towards higher intensities similar to observations. This also means that at higher resolutions the
645 time--mean climate (e.g. seasonal mean and annual cycle) is made up of more realistic
646 underpinning daily precipitation than at lower resolutions. It is also worth emphasizing that if
647 low resolution models are not able to simulate high rainfall days then it will be difficult for them
648 to say anything robust about projected climate changes in high rainfall events. However,
649 regionally, model formulation can also play an important role in the distribution of daily
650 precipitation. For example, in West Africa the 50km RCA4-v4 configuration with reduced
651 mixing in the boundary layer shows a remarkable improvement in the shape of the PDF (Fig. ~~1b6b~~
652 ~~1c6c~~) compared to the standard RCA4-v1 configuration at the same resolution (Fig ~~1b6b~~).
653 Moreover, the RCA4-v4 configuration at 50 km shows almost the same PDF as RCA4-v1 at
654 25km. Such contrast indicates that for daily precipitation intensities model formulation can have
655 the same impact as doubled resolution.

656 Improvements in simulated precipitation in high resolution RCMs relative to coarse-scale GCMs
657 are often attributed as being an resolution-dependent added value of downscaling. Our results
658 show that for Africa improvements are not always related to higher resolution but also to
659 different model formulation between the RCMs and their driving reanalysis. A common
660 framework for quantifying added value of downscaling is to evaluate some aspect of the climate
661 in high-resolution RCM simulations and in their coarse-resolution driving reanalysis or GCMs
662 over a historical period (Di Luca et al., 2015; e.g. Hong and Kanamitsu, 2014; Rummukainen,
663 2016). If the RCM simulations show smaller biases compared to reference observations than the
664 driving GCMs, one can conclude that RCMs provide an added value and vice versa. However,
665 such a framework does not separate the impact of different model formulation between RCMs
666 and their driving GCMs and higher resolution in the RCM simulations. Our results indicate that
667 improvements in RCM simulations may simply be related to different model formulation and not
668 necessarily to higher resolution. In general, model formulation related improvements cannot be
669 considered as an added value of downscaling as such improvements are strongly model
670 dependent and cannot be generalised.¶

671 However, such formulation-related and region-specific improvements from RCMs could in
672 principle be also used in GCMs.

673 Within commonly used RCM evaluation framework, e.g. the CORDEX evaluation experiment, it
674 is not straightforward, if possible at all, to isolate the impact of model formulation and resolution
675 in RCM simulations. We show that running RCMs at about the same resolution as a driving
676 reanalysis (e.g. ERAINT at about 80km or ERA5 at about 30km) helps to separate the impacts of
677 model formulation and higher resolution in dynamical downscaling. We propose that such a

678 simple additional experiment can be an integral part of the RCM evaluation framework in order
679 to elucidate the added value of downscaling. In our study, as the first step, we focus only on
680 precipitation that has large relevance for climate change impact studies. As the next step, we
681 foresee similar studies looking also at other variables and especially at processes and drivers
682 relevant for regional climate.

683 Moreover, the same NAVE framework can be used for quantifying the added value in
684 RCM-based future climate projections. For this, one needs to downscale GCMs at their native
685 resolution in addition to the standard CORDEX resolutions (25 or 50km). The RCM projections
686 at the native GCM resolution serve as the NAVE in the climate change context. A potential
687 caveat, already mentioned in our study, is that RCMs are generally developed and tuned to
688 operate at resolution of tens of km. “Downscaling” a GCM at its native resolution, for example
689 150 or 200km, may lead to artefacts related to a lack of RCM retuning for coarser resolution.
690 Nevertheless, more and more GCMs, for example in CMIP6, have resolution finer than 100km that
691 allows application of the NAVE.

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Code availability

700 The analysis is done in MATLAB and IDL and codes can be provided by request as they are but
701 without support on implementing them in another computing environment.

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Data availability

703 The ERA-Interim reanalysis is available at <https://apps.ecmwf.int/datasets/>, the GPCP dataset is
704 available at <https://www.dwd.de/EN/ourservices/gpcp/gpcp.html>, the CRU dataset is available at
705 <https://catalogue.ceda.ac.uk/uuid/5dca9487dc614711a3a933e44a933ad3> , the UDEL dataset is
706 available at http://climate.geog.udel.edu/~climate/html_pages/download.html, the TRMM
707 dataset is available at <https://pmm.nasa.gov/data-access/downloads/trmm>. The RCA4 and
708 HCLIM-ALADIN data can be provided by request.

709

Author contribution

710 MW performed RCA4 simulations and all the analysis and wrote the initial draft. GN developed
711 the experiment design and provided guidance for the analysis. EK and GN revised the initial
712 draft. CJ is responsible for setting up the new RCA4 configuration (v4). DB and DL are
713 responsible for performing the HCLIM-ALADIN simulations over Africa. All the authors
714 contributed with discussions and revisions.

715

Conflict of interest

716 There is no conflict of interest in this study.

717

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