

Dear Editor Prof. Dr. Lohmann,

We would like to thank you for the time and the effort you put on processing and reviewing our paper. We have revised our manuscript based on the final remarks from the anonymous reviewer. The main changes are to use the proper latent heat of vaporization ( $L_v = 2500 \text{ KJ/Kg}$  at  $0^\circ\text{C}$ ) and update all the results related to latent heat transport with the new constant.

Again, we would like to thank you for reviewing our work and contacting reviewers. We look forward to hearing from you about the progress of the following procedure.

With best regards

Yang Liu, Jisk Attema, Ben Moat, and Wilco Hazeleger

Response to reviewer #1,

We would like to thank the reviewer for reviewing our manuscript and providing insightful comments again. The same as last time, we have tried to address your comments point-by-point and our response is given below. The main changes are to use the proper latent heat of vaporization and update all the results related to latent heat transport with the new constant.

(the *original comments* are given by *Italic gray text*, and each follows our response in plain text.)

### Response to the major comments

*The manuscript has substantially improved compared to earlier versions. There are still a few minor issues that I recommend to address before the paper can be accepted for publication. The only major comment is that the authors still make a very uncommon choice for latent heat of vaporization (namely that at 100°C), which is more than 10% lower than more standard values. This must be corrected, possibly including recomputation of the results if this wrong value was really used and not only stated in the text.*

After a careful check, we agree with the reviewer that we can take a better choice for latent heat of vaporization. Now we use  $L_v = 2500$  KJ/Kg (0°C) instead of  $L_v = 2264.67$  KJ/Kg (100°C) and recompute the latent heat transport with the new value of  $L_v$ . Fortunately, the contribution from latent heat transport to the total energy transport is much smaller than the temperature transport and thus the major results are not changed that much. For instance, the difference of 10% changes of latent heat is not noticeable in the Figure 1, which shows the monthly mean meridional energy transport in ERA-Interim (see magenta line with  $L_v = 2500$  KJ/Kg and black line with  $L_v = 2264.67$  KJ/Kg). **We update our results for the whole paper based on the new latent heat transport (still, they are not very noticeable).**

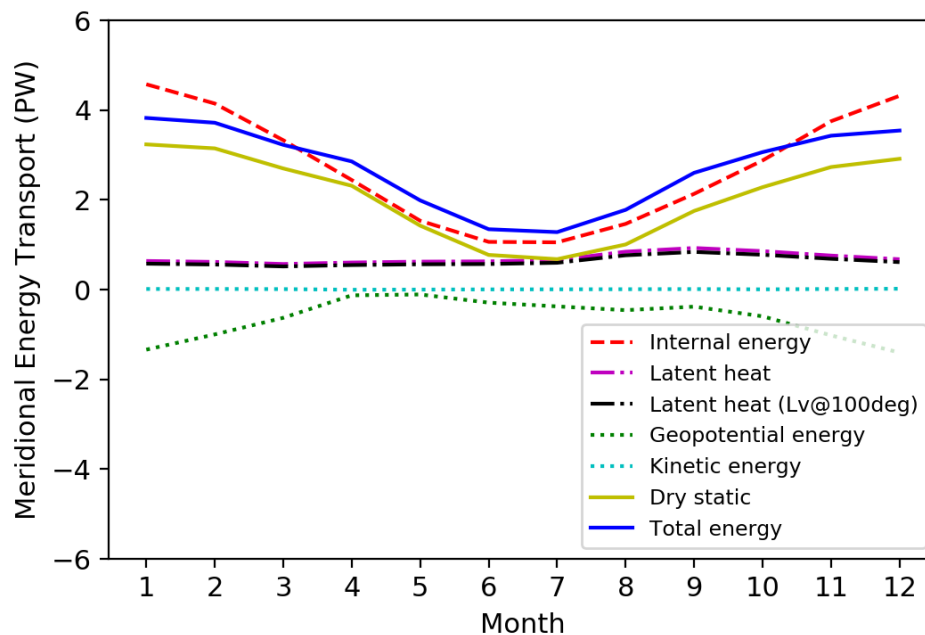


Figure 1. Monthly mean meridional energy transport in ERA-Interim.

**Lines: Page 1, 16-21 and page 18, 25-30**

**Response to the minor comments**

*P2L31: There is an additional, more recent reference for this statement: Mayer, M., Tietsche, S., Haimberger, L., Tsubouchi, T., Mayer, J., & Zuo, H. (2019). An improved estimate of the coupled Arctic energy budget. Journal of Climate, 32(22), 7915-7934.*

We added it to our reference. We thank the reviewer for the paper.

**Page 2 Lines 30-31**

*P3L1: "point" --> "point to"*

Corrected.

**Page 3 Line 1**

*P3L32: remove "as a result": your choice is not a result of the statements before.*

Corrected.

**Page 3 Line 32**

*P5L3: "old" is relative. One could view ORAS4 as "old" as well, given ORAS5 is available already. Maybe better say "predecessor"*

We thank the reviewer for the comment. We re-write the sentence with "predecessor".

**Page 5 Line 2**

*P5L6: the forcing changed to operational forcing in 2010, see Balmaseda et al. (2013)*

We thank the reviewer for the comment. We update this part with the information provided by the reviewer.

**Page 5 Lines 6-7**

*P6L14: remove "the" before "produced"*

Corrected.

**Page 6 Line 15**

*P7L13: I understand now the reason for the confusion. The authors provide  $L_v$  at the boiling point of water, where  $L_v$  is significantly lower than at lower temperatures: see graph at [https://www.engineeringtoolbox.com/water-properties-d\\_1573.html](https://www.engineeringtoolbox.com/water-properties-d_1573.html)*

*This is a very unusual choice. Usually,  $L_v$  at 0°C is used as this is a much more typical air temperature. See, for example, the description of ECMWF's IFS part IV: physical processes. See chapter 12: the choice in the IFS is 2.508e6J/kg.*

We thank the reviewer for the comment. Sorry for the confusion. Now we take  $L_v$  at 0°C and recompute all the latent heat transport in this paper. See our explanation for the major point.

**Page 7 Line 9**

*P9L14: add °C to "0"*

Corrected.

**Page 9 Line 14**

*P10L25: change sentence to something like "One possible explanation..."*

We thank the reviewer for the comment and we reformulate this sentence.

**Page 10 Line 25**

*P11L11: please state here whether you did check surface fluxes and OHC changes*

We thank the reviewer for the reminder. We did check the OHC changes and actually we found the large differences in OHC among chosen products. We updated this in the text.

**Page 11 Lines 11-12**

*P11L15: "source" --> "sources"*

Corrected.

**Page 11 Line 16**

*P11L19: remove "With linear regression,"*

Corrected.

**Page 11 Line 20**

*P12L26: The fact that OGCM does not assimilate ocean data and still agrees well with observations suggests that the surface forcing is a very important driver of OMET variability. You should explicitly state this.*

We thank the reviewer for the comment and we explicitly stated this now.

**Page 11 Line 20**

*P12L26: The sentence "To conclude, the heat transport at 26:5N is too low in these products" is right, but you could add a statement that two products appear to have reasonable variability.*

We agree with the reviewer for the comment and we added it to the text now.

**Page 12 Lines 26-29**

*P12L29: I suggest to remove "quite"*

Corrected.

**Page 12 Line 32**

*P13L6: How can the OHC of OGCM be almost twice as high as those of the other products? This would suggest twice as high column-average temperatures in OGCM. This cannot be right. There must be an inconsistency in the choice of integration depth or land-sea masking. Please check and correct.*

We thank the reviewer for the notice. We checked our computations of OHC with OGCM and found nothing abnormal. We also checked other strong signals, like AMOC or heat transport, and they show good agreement with reanalyses. We notice that concerning the OHC between 60N and 70N, the OGCM hindcast and ORAS4 compare well but the other two reanalyses are quite different (see Figure 2 below). While in Figure 8a in our paper the OGCM hindcast does seem to be the outlier, considering the OHC between 60N and 90N. This indicates that the difference comes from the Arctic (between 70N and 90N) in the OGCM hindcast. It might be associated with changes to the sea ice distribution (see Moat et. al., 2016). It's not obvious that the model is wrong since there aren't so many observations in the Arctic to constrain the reanalyses, and they may make different assumptions about sea ice and are also low resolution compared to the OGCM hindcast. Either way, it seems a bit more complex than just claiming the OGCM hindcast is bad compared to the reanalyses. We added a brief explanation in the text.

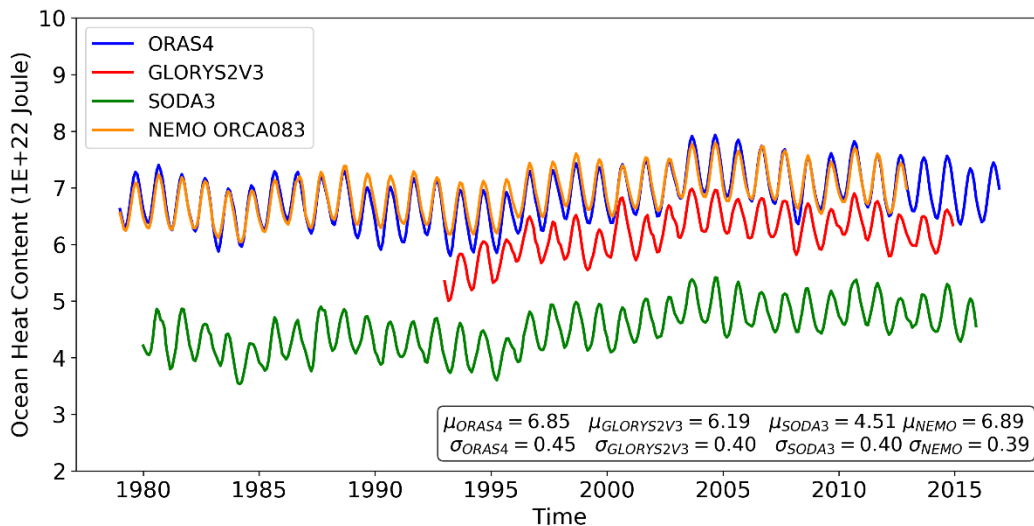


Figure 2. OHC between 60N and 70N.

### Page 13 Lines 9-13

#### Reference

Moat, B. I., Josey, S. A., Sinha, B., Blaker, A. T., Smeed, D. A., McCarthy, G. D., ... & Duchez, A. (2016). Major variations in subtropical North Atlantic heat transport at short (5 day) timescales and their causes. *Journal of Geophysical Research: Oceans*, 121(5), 3237-3249.

*P13L6ff: Figure 8 shows quite consistent OHC increases in the different products. What prevents you from computing a warming rate from this (in Wm<sup>-2</sup>) and compare the results to global mean warming rates? This could be used as a measure of AA in Arctic OHC increase.*

We thank the reviewer for the comment and we agree with the reviewer that the increases in OHC anomalies are consistent among the chosen reanalysis products, which can be taken as a sign for AA. However, we don't aim to discuss AA, but want to emphasize the difference in the temperature

transport and show our audience that the difference in OMET comes from the temperature profiles, since OHC is a function of temperature only. We agree with the reviewer that it is useful but it is beyond our scope.

*P13L30: I suggest to add “of the relationships” after variability.*

We agree with the reviewer and we added this.

#### **Page 14 Line 2**

*P14L18: the different results in MERRA2 likely stem from its shift in AMET around 2000. This should be mentioned.*

We thank the reviewer for the comment and it is added to the text now.

#### **Page 14 Line 26**

*P14L23: Does “one month lag” mean that you basically correlate NDJ OMET with DJF fields? Also, I find it hard to imagine that OMET at 60N affects Barents Sea sea ice within one month. What is the typical propagation time for a temperature anomaly from 60N to the Barents Sea? I suggest to make more careful statements.*

We thank the reviewer for the comment. Yes, in this case, “one month lag” means that we correlate NDJ OMET with DJF fields. According to the literature, it takes around 1-2 years for the OMET anomalies propagating from the North Atlantic to the Barents Sea (Årthun et. al. 2012). However, we noticed that the regression coefficients peak when the ocean leads by one month. This could be attributed to the 5-year filtering. We agree with the reviewer that we should make careful statements here. Therefore, we added our interpretation to the text.

#### **Page 14 Lines 31-34**

Reference

Årthun, M., Eldevik, T., Smedsrud, L. H., Skagseth, Ø., & Ingvaldsen, R. B. (2012). Quantifying the influence of Atlantic heat on Barents Sea ice variability and retreat. *Journal of Climate*, 25(13), 4736-4743.

*P15L12: “aspect” --> “respect”*

Corrected.

#### **Page 15 Line 23**

*P15L14: should mention that reanalyses do not assimilate direct observation of the TOA and surface energy budgets.*

We thank the reviewer for the comment and we added it.

#### **Page 15 Lines 26-27**

*P16L23: Could you provide an example of variables that should be used with care?*

For instance, temperature fields at middle stratosphere (vertical profile of temperature), surface fluxes and radiation fluxes at TOA in the atmospheric reanalyses, and OHC, sea ice extent in the oceanic

reanalyses. We thank the reviewer for the comment. It seems the sentence is a bit misleading. We wanted to stress that some literatures have already pointed out that the profile of some fields could differ among reanalyses. We reformulated the sentence to make this clear.

**Page 17 Lines 1-2**

*P16L26: add “interannual variability of” before “AMET”*

Added.

**Page 17 Line 5**

*P16L32: “large” --> “long”*

Corrected.

**Page 17 Line 11**

*P16L32-33: You find that the annual cycle of the products agrees well, but interannual (five-yearly filtered) variability not. What is the maximum time scale that can be viewed as robust? How about annual means anomalies?*

We thank the reviewer for this good question. We checked the annual means anomalies as well, but they do not agree among these reanalysis products. We learn that the annual (1yr), interannual (5yrs) and decadal (10 yrs) variability of AMET and OMET anomalies do not agree within the chosen atmospheric and oceanic reanalysis products. For the time scales beyond 10 years, the signals are too short to analyze. It is difficult to provide an answer for the maximum time scale that can be viewed as robust. An easy suggestion is, we have to wait until we have much longer historical records.

*Figures 9 and 10: Having only lines and no stippling, it is sometimes hard to see what areas are significant. For example, in the SIC regressions I cannot tell which areas are significant and which not. I recommend to improve the plots to make this clearer. A standard way would be to stipple significant areas.*

We updated those figures with stippling to indicate the significant areas and adjust the stippling color to avoid masking the shades.

**Figures: 9 and 10 and Figures in the supplementary material**

Again, we'd like to express our gratitude to the time and effort that the reviewer spent on our manuscript.

With best regards

Yang Liu, Jisk Attema, Ben Moat, and Wilco Hazeleger

# Synthesis and evaluation of historical meridional heat transport from midlatitudes towards the Arctic

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**Abstract.** Meridional Energy Transport (MET), both in the atmosphere (AMET) and ocean (OMET), has significant impact on the climate in the Arctic. In this study, we quantify AMET and OMET at subpolar latitudes from six reanalysis data sets. We investigate the differences between the data sets and we check the coherence between MET and the Arctic climate variability at interannual time scales. The results indicate that, although the mean transports in all data sets agree well, the spatial distributions and temporal variations of AMET and OMET differ substantially among the reanalysis data sets. For the ocean, only after 2007 the low frequency signals in all reanalysis products agree well. A further comparison with observed heat transports at 26.5°N and the subpolar Atlantic, and a high resolution ocean model hindcast confirms that the OMET estimated from the reanalysis data sets are consistent with the observations. For the atmosphere, the differences between ERA-Interim and JRA55 are small, while MERRA2 differs from them. An extended analysis of linkages between Arctic climate variability and AMET shows that atmospheric reanalyses differ substantially from each other. Among the chosen atmospheric products, ERA-Interim and JRA55 results are most consistent with those from coupled climate models. For the ocean, ORAS4 and SODA3 agree well on the relation between OMET and sea ice concentration (SIC), while GLORYS2V3 deviates from those data sets. The regressions of multiple fields in the Arctic on both AMET and OMET suggest that the Arctic climate is sensitive to changes of meridional energy transports at subpolar latitudes in winter. Given the good agreements on the diagnostics among assessed reanalysis products, our study suggests that the reanalysis products are useful for the evaluation of energy transports. However, assessments of products with the AMET and OMET estimated from reanalysis data sets beyond interannual time scales should be conducted with great care and the robustness of results should be evaluated through intercomparison, especially when studying variability and interactions between the Arctic and midlatitudes.

## 1 Introduction

Poleward meridional energy transport, both in the atmosphere (AMET) and ocean (OMET), is one of the most fundamental aspects of the climate system. It is closely linked to the changes of weather and climate at different latitudes. The quantifications of AMET and OMET have been studied extensively. In the 1980s, many efforts were made to reproduce the AMET and OMET with very limited observational data available (Vonder Haar and Oort, 1973; Oort and Vonder Haar, 1976). After entering



the satellite era, much progress has been made in particular during the recent two data-rich decades. Using the radiation at the top of the atmosphere from satellite data and the reanalysis data, a complete picture of AMET and OMET is given by Trenberth and Caron (2001). Following their work, rapid progress was made using similar methodologies and new data sets of observations (Ganachaud and Wunsch, 2000, 2003; Wunsch, 2005; Fasullo and Trenberth, 2008; Zheng and Giese, 2009; Mayer and Haimberger, 2012). Nevertheless, these estimations still suffered from problems like mass imbalance, unrealistic moisture budget, coarse resolution, and sparseness of observations (Trenberth, 1991; Trenberth and Solomon, 1994). Fortunately, recent improvements in numerical weather prediction and ocean models, and increased data coverage of observations provide a basis to improve the estimation of AMET and OMET. As a result of an increase of available reanalysis products, an increase in resolution and length of the covered time span, and an increase of components of the Earth system that are included in the products (Dee et al., 2011; Gelaro et al., 2017; Harada et al., 2016; Balmaseda et al., 2013; Ferry et al., 2012b; Carton et al., 2018), it is very promising to have better quantification of AMET and OMET using the latest reanalysis data sets. In this study, we will provide further insights into MET from midlatitudes towards the Arctic, with the state-of-the-art reanalysis products.

To support the examination of MET from midlatitudes towards the Arctic, it is worth investigating the AMET and OMET in relation to climate variability at different time scales in the Arctic region. In recent decades, the Arctic is warming twice as fast as the global average (Comiso and Hall, 2014; Francis et al., 2017). This phenomenon is known as Arctic Amplification (AA) and it has an impact far beyond the Arctic (Miller et al., 2010; Serreze and Barry, 2011). In order to understand the warming, the processes behind the AA, its wider consequences and to make reliable predictions of the Arctic climate, it is crucial to understand Arctic climate variability. Among all factors responsible for the variability in the processes described above, meridional energy transport, from midlatitudes toward the Arctic, plays a significant role (Graversen et al., 2008; Kapsch et al., 2013; Zhang, 2015). There is a large volume of published studies describing the impacts of AMET and OMET on the variation of sea ice and the warming in the Arctic. Using reanalysis data, Yang et al. (2010) showed that poleward AMET is linked with the evolution of temperature in the free troposphere at decadal time scales. By separating the planetary and synoptic-scale waves, Graversen and Burtu (2016) showed that latent heat transport, as a component of AMET, influences the Arctic warming with reanalysis data. Gimeno-Sotelo et al. (2019) studied moisture transport with reanalysis data and observations, and showed that the moisture sources in the Arctic region are linked with interannual fluctuations of Arctic sea ice. Nummelin et al. (2017) analyzed the linkages between OMET, Ocean Heat Content (OHC) and AA through climate model simulations within the Coupled Model Intercomparison Project Phase 5 (CMIP5). They reported an enhancement of OMET as a result of heat loss in the sub-polar ocean and the contribution of OMET to the AA through increasing OHC in the Arctic ocean. Also by analyzing CMIP5 simulations, Sandø et al. (2014) showed a large impact of heat transport in the Barents Sea on sea ice loss. However, ocean reanalyses do not show a clear sign of AA in the Arctic OHC increases (~~Mayer et al., 2016; von Schuckmann et al., 2018~~) ([Mayer et al., 2016](#); [von Schuckmann et al., 2018](#); [Mayer et al., 2019](#)). Consequently, knowledge on poleward AMET and OMET at subpolar and polar latitudes will aid in the understanding of AA.

Global climate models show compensations between variations in atmospheric and oceanic heat transports at subpolar and midlatitudes (Outten et al., 2018). This is indicative of positive feedbacks between the ocean and atmosphere, and it has been associated with variations in sea ice by some studies (Van der Swaluw et al., 2007; Jungclaus and Koenigk, 2010; van der

Linden et al., 2016). These studies all point to connection between energy transports and variations of the Arctic climate. However, these results are mostly based on numerical model simulations and they tend to differ among these models. In contrast to numerical modeling studies, here we intend to examine AMET and OMET variability and their relation with the Arctic using reanalysis data sets, which are regarded as the best estimates of the historical variability.

5 In this paper, we quantify AMET and OMET using multiple state-of-the-art reanalysis products. These are representations of the historical state of the atmosphere and ocean optimally combining available observations and numerical simulations using data assimilation techniques. Emphasis is placed on the variation of AMET and OMET from midlatitudes to the Arctic at interannual time scales ( $\sim 5$  yr). Different from earlier studies, we include multiple reanalysis data sets for intercomparison. Independent observations in the Atlantic from the Rapid Climate Change-Meridional Overturning Circulation and Heatflux  
10 Array (RAPID array) and the Overturning in the Subpolar North Atlantic Program (OSNAP) are included in the comparison. The RAPID array is a trans-basin observing array along  $26.5^\circ$  N in the Atlantic (Johns et al., 2011; McCarthy et al., 2015). It operates since 2004 and provides the volume and heat transport in the Atlantic basin. OSNAP is an ocean observation program designed to provide a continuous record of the trans-basin fluxes of heat, mass and freshwater in the subpolar North Atlantic (Susan Lozier et al., 2017; Lozier et al., 2019). Moreover, a state-of-the-art NEMO-LIM2  $1/12^\circ$  ocean circulation/sea  
15 ice model simulation forced by Drakkar Surface Forcing data set version 5.2 (Moat et al., 2016) is also employed in the comparison. Based on the intercomparison of reanalysis data, especially with the independent observation data, we will be able to identify the sources of uncertainty. To support our comparison of AMET and OMET, we also investigate the interactions between oceanic and atmospheric variations and remote responses. The correlations between the variability of AMET and OMET, and the changes in the Arctic climate are compared to literature. This is motivated by previous studies that explain  
20 those connections with only numerical models or a single reanalysis dataset (Graversen, 2006; Van der Swaluw et al., 2007; Graversen et al., 2008; Jungclaus and Koenigk, 2010; Kapsch et al., 2013).

The paper is organized as follows: Section 2 presents the data and our methodology. Results and analysis are given in Section 3. It includes AMET and OMET calculated from reanalysis data and an intercomparison of them. The correlation between the variability of AMET and OMET, and the Arctic climate is elaborated upon in detail. Finally, remarks are given in Section 4  
25 and conclusions are provided in Section 5.

## 2 Data and Methodology

The reanalysis data sets used in this study are introduced in this section. Moreover, the methodology for the quantification of AMET and OMET is also included in this section. The statistical tests performed in this study are elucidated in detail.

### 2.1 Reanalyses

30 In order to make use of observations and advanced numerical models, six state-of-the-art reanalysis data sets are used in this study. The chosen reanalysis products have a high temporal and spatial resolution, thus are suitable for the computation of energy transport (see section 2.3). ~~As a result, we~~ We chose three atmosphere reanalysis data sets: ERA-Interim, MERRA2,

and JRA55 (references below) and three ocean reanalysis data sets: ORAS4, GLORYS2V3, and SODA3 (references below). To avoid interpolation errors and imbalances in the mass budget introduced by regridding, the calculations are based on data from the original model grid. Note that the latest atmospheric reanalysis ERA5 from ECMWF is not included here since the model level data has not been opened to the public yet (ECMWF, 2017). In addition, the computation is too expensive to achieve a longer time series for the study of the interannual variability of AMET using ERA5. As a synthesis, Table 1 shows the basic specifications of the reanalysis products contained in this study.

### 2.1.1 ERA-Interim

ERA-Interim is a global reanalysis dataset produced by the European Center for Medium Range Weather Forecasts (ECMWF) (Dee et al., 2011), which covers the data-rich period since 1979. It employs the cycle 31r2 of ECMWF's Integrated Forecast System (IFS) and generates atmospheric state estimates using 4D-Var data assimilation with a T255 (~79km) horizontal resolution on 60 vertical levels (Berrisford et al., 2009). Compared with its predecessor, ERA-40 (Uppala et al., 2005), ERA-Interim is superior in quality in terms of the atmospheric properties like mass, moisture and energy (Berrisford et al., 2011). The improvement in observations and the ability of 4D-Var contributes a lot to the quality of the divergent wind (Berrisford et al., 2011), which is significant for the mass budget and hence the energy budget. We use the data that is provided on a  $256 \times 512$  Gaussian grid, with a  $0.75^\circ \times 0.75^\circ$  horizontal resolution and 60 vertical hybrid model levels. We take 6-hourly data with a range from 1979 to 2016.

### 2.1.2 MERRA2

The Modern-Era Retrospective Analysis for Research and Applications version 2 (Gelaro et al., 2017), in short MERRA2, is the successor of MERRA from the Global Modeling and Assimilation Office (GMAO) of the National Aeronautics and Space Administration (NASA). It assimilates observational data with the Goddard Earth Observing System (GEOS) model and analysis scheme (Molod et al., 2015; Gelaro et al., 2017). The atmospheric state estimates are produced by a 3D-Var Incremental Analysis Update (IAU) assimilation scheme and have coverage from 1980 until present. Unlike most of the reanalysis products, the GEOS atmospheric model includes a finite-volume dynamical core that uses a cube-sphere horizontal-discretization (Gelaro et al., 2017). The model grid has a resolution of  $0.5^\circ \times 0.625^\circ$  with 72 hybrid levels. For this study, we use the 3-hourly assimilation data on the native model grid from 1980 to 2016.

### 2.1.3 JRA55

Extending back to 1958, Japanese 55-year reanalyses (JRA55) is the second reanalysis product made by the Japan Meteorological Agency (JMA) (Kobayashi et al., 2015; Harada et al., 2016). JRA55 applies 4D-Var assimilation and it is generated on TL319 horizontal resolution with 60 hybrid levels. Before entering the satellite era in 1979, the assimilated upper air observations mainly come from radiosonde data. In this project we take 6-hourly data from 1979 to 2015 on the original model grid, which has a horizontal resolution of  $0.5625^\circ \times 0.5625^\circ$  with 60 hybrid model levels.

#### 2.1.4 ORAS4

Serving as the historical reconstruction of the ocean's climate, the Ocean reanalyses System 4, in short ORAS4, is the replacement of ~~the old reanalyses system ORAS3 its predecessor~~ used by the ECMWF, the reanalyses system ORAS3 (Balmaseda et al., 2013). It implements Nucleus for European Modelling of the Ocean (NEMO) as ocean model (~~Madec, 2008; Ferry et al., 2012a~~)  
5 (Madec, 2008; Ferry et al., 2012a) and uses NEMOVAR as the data assimilation system (Mogensen et al., 2012). The model is forced by atmosphere-derived daily surface fluxes, from ERA-40 from 1957 to 1989 and ERA-Interim from 1989 ~~onwardsto~~  
2010. Since 2010, the forcing is changed to operational forcing (Balmaseda et al., 2013). ORAS4 produces analyses with a 3D-Var FGAT assimilation scheme and spans from 1958 to the present. ORAS4 runs on the ORCA1 grid, which is associated with a horizontal resolution of  $1^\circ$  in the extratropics and a refined meridional resolution up to  $0.3^\circ$  in the tropics. It has 42  
10 vertical levels, 18 of which are located in the upper 200m. Here we skip the first two decades and use the monthly data from 1979 to 2014 to avoid the uncertainties reported by Balmaseda et al. (2013). We use the monthly mean fields on the native model grid.

#### 2.1.5 GLORYS2V3

GLORYS2V3, which is short for GLObal Ocean reanalyses and Simulations version 3, is a global ocean and sea-ice eddy  
15 permitting reanalysis system that yielded from the collaboration between the Mercator Ocean, the Drakkar consortium and Coriolis Data center (Ferry et al., 2010, 2012b). It spans the altimeter and Argo eras, from 1993 until present. The NEMO ocean model is implemented on the ORCA025 grid (approximately  $0.25^\circ \times 0.25^\circ$  with 75 vertical levels). The model is forced by a combination of ERA-Interim fluxes (e.g., shortwave radiation) and turbulent fluxes obtained with bulk formulae using ERA-Interim near-surface parameters. The data is generated by a 3D-Var assimilation scheme with temperature and salinity  
20 profiles assimilated from the CORA3.3 database (Ferry et al., 2012b). In this study, monthly data from 1993 to 2014 on the original ORCA025 grid is used.

#### 2.1.6 SODA3

SODA3 is the latest version of Simple Ocean Data Assimilation (SODA) ocean reanalyses conducted mainly at the University of Maryland (Carton et al., 2018). SODA3 is built on the Modular Ocean Model v5 (MOM5) ocean component of the Geo-  
25 physical Fluid Dynamics Laboratory CM2.5 coupled model (Delworth et al., 2012) with a grid configuration of approximately  $0.25^\circ$  (latitude)  $\times$   $0.25^\circ$  (longitude)  $\times$  50 levels resolution (Carton et al., 2018). To be consistent with the other two reanalysis data sets assessed in this study, the SODA 3.4.1 is chosen since it applies surface forcing from ERA-Interim. For this specific version, the 5-daily data is available from 1980 to 2015. Reanalysis data from this period on the original MOM5 grid is used in this case.

## 2.2 Oceanic Observations and OGCM Hindcast

For independent examination of the OMET calculated from reanalysis data sets, observations of the meridional transport of mass and heat throughout the Atlantic basin are used here. We use data from the RAPID-MOCHA-WBTS program (Johns et al., 2011; McCarthy et al., 2015) and the OSNAP program (Susan Lozier et al., 2017; Lozier et al., 2019). The RAPID-MOCHA-WBTS program, which is known as the RAPID array, employs a transbasin observing array along 26.5°N and it is in operation since 2004. The OMET from the RAPID array available to this study is from April 2004 to March 2016. The OSNAP program has an observing system that comprises an integrated coast-to-coast array extending from the southeastern Labrador shelf to the southwestern tip of Greenland, and from the southeastern tip of Greenland to the Scottish shelf. So far, it provides OMET data from the full installation of the array in 2014 until the first complete data recovery in 2016, 21 months in total. Although it is too short to provide a good estimate of the interannual variability of OMET, we still include it as it is a unique observation system for OMET in the subpolar Atlantic.

Apart from the RAPID array and OSNAP observational data, a NEMO ORCA hindcast is also included here to provide more insights since two of the chosen reanalysis products are also built on the NEMO ocean circulation model (Moat et al., 2016; Marzocchi et al., 2015). This forced model simulation implements the NEMO ORCA global ocean circulation model version 3.6 (Madec, 2008). It is configured with the ORCA0083 grid, which has a nominal resolution of 1/12°, on 75 vertical levels. Climatological initial conditions for temperature and salinity were taken in January from PHC2.1 at high latitudes (Steele et al., 2001), MEDATLAS in the Mediterranean (Jourdan et al., 1998), and the rest from Levitus et al. (1998). It is forced by the surface fields ~~the preuded~~ produced by the Drakkar project, which supplies surface air temperature, winds, humidity, surface radiative heat fluxes and precipitation, and a formulation that parameterizes the turbulent surface heat fluxes and is provided for the period 1958 to 2012 (dataset version 5.2) (Brodeau et al., 2010; Dussin et al., 2016). More information about this hindcast is given by Moat et al. (2016). We take monthly mean data from the hindcast, which spans from 1979 to 2012. For clarity, this hindcast will be referred to as the Oceanic General Circulation Model (OGCM) simulation in this paper.

## 2.3 Computation of Meridional Energy Transport

The methods for quantification of AMET and OMET with atmospheric and oceanic reanalyses are included in this section, respectively.

### 2.3.1 Energy Budget in the Atmosphere

The total energy per unit mass of air has four major components: internal energy ( $I$ ), latent heat ( $H$ ), geopotential energy ( $\phi$ ) and kinetic energy ( $k$ ). They are defined as:

$$\begin{aligned}
 I &= c_v T \\
 H &= L_v q \\
 \Phi &= gz \\
 k &= \frac{1}{2} \mathbf{v} \cdot \mathbf{v}
 \end{aligned} \tag{1}$$

- 5 with  $c_v$  the specific heat capacity of dry air for constant volume ( $J/(kgK)$ ),  $T$  the absolute temperature ( $K$ ),  $L_v$  the specific heat of condensation ( $J/kg$ ),  $q$  the specific humidity  $kg/kg$ ,  $g$  the gravitational acceleration ( $kg/(ms^2)$ ),  $z$  the altitude ( $m$ ) and  $v$  the zonal/meridional wind velocity ( $m/s$ ). The northward propagation is positive. In addition, these four quantities can be divided into three groups: the dry static energy  $I + \phi$ , the moist static energy  $I + \phi + H$  and the kinetic energy  $k$ . A constant value of  $L_v = 2264.67 KJ/kg$ ,  $L_v = 2500 KJ/kg$  was used to compute the AMET with the atmosphere reanalysis data sets.
- 10 In addition, recently improved formulations of energy budget equations proposed by Mayer et al. (2017) and Trenberth and Fasullo (2018) are addressed here. We use an updated formulation of AMET as a combination of the divergence of dry-air enthalpy, latent heat, geopotential and kinetic energy transports, which is suggested by Mayer et al. (2017). Note that in this case the enthalpy transports associated with vapor fluxes are neglected.

In pressure coordinates, the total energy transport at a given latitude  $\Phi_i$  can be expressed as (Mayer et al., 2017):

$$15 \quad E = \oint_{\Phi=\Phi_i} \int_{p_s}^{p_t} [(1-q)c_p T + L_v q + gz + \frac{1}{2} \mathbf{v} \cdot \mathbf{v}] v \frac{dp}{g} dx \tag{2}$$

with  $c_p$  the specific heat capacity of dry air at constant pressure,  $p_t$  the pressure level at the top of the atmosphere ( $Pa$ ) and  $p_s$  the pressure at the surface ( $Pa$ ). A constant value of  $c_p = 1004.64 J/(kgK)$  was used. Since we work on the native hybrid model coordinate with each atmosphere reanalysis product, the equation can be adjusted as follows (see Graversen (2006)):

$$E = \oint_{\Phi=\Phi_i} \frac{1}{g} \int_0^1 [(1-q)c_p T + L_v q + gz + \frac{1}{2} \mathbf{v} \cdot \mathbf{v}] v \frac{\partial p}{\partial \eta} d\eta dx \tag{3}$$

- 20 where  $\eta$  indicates the number of the hybrid level.

Unfortunately, a direct estimation of AMET based on the equations above cannot provide meaningful energy transports obtained from reanalysis data. It has been widely reported that reanalysis products suffer from mass inconsistency (Trenberth, 1991; Trenberth et al., 2002; Graversen, 2006; Graversen et al., 2007; Chiodo and Haimberger, 2010; Berrisford et al., 2011).

Spurious sinks and sources mainly come from low spatial and temporal resolution, interpolation and regridding, and data assimilation. The interpolation from the original model level to pressure level can introduce considerable errors to the mass budget (Trenberth et al., 2002). Therefore we prevent interpolations onto the pressure levels and use data on the native model levels with a high temporal resolution. Trenberth (1991) provided a method to correct the mass budget through the use of the continuity equation. The method assumes that the mass imbalance mainly comes from the divergent wind fields and corrects the overall mass budget by adjusting the barotropic wind. The conservation of mass for a unit column of air can be represented as:

$$\frac{\partial p_s}{\partial t} + \nabla \cdot \int_{p_s}^{p_t} \mathbf{v} dp = g(E - P) \quad (4)$$

Where  $E$  stands for evaporation and  $P$  denotes precipitation. It has been noticed that big uncertainties reside in the evaporation and precipitation of global reanalyses (Graversen, 2006). Hence we use the moisture budget to derive the net moisture change in the air column, according to:

$$E - P = \frac{\partial}{\partial t} \left( \int_{p_s}^{p_t} q \frac{dp}{g} \right) + \nabla \cdot \int_{p_s}^{p_t} (\mathbf{v} \cdot \mathbf{q}) \frac{dp}{g} \quad (5)$$

The related fields for the mass budget correction are surface pressure ( $p_s$ ), meridional and zonal winds ( $u, v$ ), and specific humidity ( $q$ ). After determining the mass budget imbalance, we correct the barotropic wind fields ( $u_c, v_c$ ), with  $u_c$  and  $v_c$  indicating the correction terms for zonal and meridional wind components as a result of the barotropic mass budget correction, and then calculate AMET (Trenberth, 1991). Note that all the computations regarding barotropic mass budget correction were performed in the spectral domain via spherical harmonics. Figure 1 shows the mean AMET and each component in each month at 60°N estimated from ERA-Interim.

It is worth mentioning that MERRA2 is very different from ERA-Interim and JRA55, in terms of the discretization method and grid incorporated by the dynamical core. The dynamical core for MERRA2 is the GEOS-5 model and it computes all fields on a cubed-sphere grid with a resolution of  $50 \times 50 km$  (Gelaro et al., 2017), while in ERA-Interim and JRA55 the computations were performed in the spectral domain. However, the data collections are saved only on the latitude-longitude grid after interpolation. Thus the data cannot be transferred back to the cubed-sphere grid without loss of information. Moreover, the vector field computations on the cubed-sphere grid are not divergence-free due to the implementation of finite volume discretization methods (Putman and Lin, 2007). Consequently, we transferred MERRA2 fields to the spectral domain and performed vector field computations via spherical harmonics to minimize the numerical errors, the same treatment as ERA-Interim and JRA55.

### 2.3.2 Energy Budget in the Ocean

Unlike the atmosphere, energy transport in the ocean can be well represented by the internal energy itself. Consequently, the total energy transport in the ocean at a given latitude  $\phi_i$  can be expressed in terms of the temperature transport (Hall and Bryden, 1982):

$$5 \quad E = \oint_{\Phi=\Phi_i} \int_{z_b}^{z_0} \rho_0 c_{p_0} \theta \cdot v dz d\phi \quad (6)$$

where  $\rho_0$  is the seawater density ( $kg/m^3$ ),  $c_{p_0}$  is the specific heat capacity of seawater ( $J/(kg^\circ C)$ ),  $\theta$  is the potential temperature ( $^\circ C$ ),  $v$  is the meridional current velocity ( $m/s$ ),  $z_0$  and  $z_b$  are sea surface and the depth till the bottom (m), respectively. A constant value of  $c_{p_0} = 3987 J/(kg^\circ C)$  was used in all the calculations of OMET. Ocean heat content (OHC, with unit  $J$ ) is another variable that plays a role in the ocean heat budget. The total OHC between certain latitudes can be calculated by:

$$10 \quad OHC = \int_{\Phi_i}^{\Phi_0} \int_{z_b}^{z_0} \rho_0 c_{p_0} \theta dz d\phi \quad (7)$$

Our computation of OMET suffers from a small mass imbalance (e.g., mass imbalance coming from the difference between precipitation and evaporation (Mayer et al., 2017)). In the ocean, with its strong boundary circulations even the smallest imbalance can lead to large errors in the heat flux. However, the barotropic correction method adopted by the atmosphere is not feasible here due to the mass imbalance coming from the residual between precipitation and evaporation, and some budget terms that are hard to diagnose. In oceanographic literature it is common to use a reference temperature when calculating OMET in both observations and model diagnostics (Bryan, 1962; Hall and Bryden, 1982; Zheng and Giese, 2009). Here, we also take a reference temperature  $\theta_r$  ( $^\circ C$ ). Note that the influence of taking a reference temperature on a zonally integrated transport is smaller than that on a single strait (Schauer and Beszczynska-Möller, 2009). Then the quantification of OMET becomes:

$$20 \quad E = \oint_{\Phi=\Phi_i} \int_{z_b}^{z_0} \rho_0 c_{p_0} (\theta - \theta_r) \cdot v dz d\phi \quad (8)$$

Here, we take  $\theta_r$  equal to  $\theta - 0^\circ C$ . Finally, operations in the ‘‘zonal’’ direction are different from their conventional meaning. As the three ocean reanalysis products used here are all built on a curvilinear grid, the zonal direction on the native model grid is curvilinear as well. Similar to the considerations made in Section 2.1, regriding from the native curvilinear grid to a uniform geographical grid will introduce large errors. So, we worked on the original multi-pole grid and followed a zig-zag setup when taking zonal integrals. The method is illustrated by Outten et al. (2018) in their Figure 2. After applying this method the resulting OMET values are comparable to those in earlier publications (Trenberth and Caron, 2001; Wunsch, 2005; Trenberth



and Fasullo, 2008). Note that we only have access to sub-monthly data for SODA3. The computation of OMET using monthly data in GLORYS2V3 could miss part of heat transport by eddies, while ORAS4 does not include the heat transport from the eddy parameterization scheme (Gent and McWilliams, 1990) as the related eddy-induced velocity field was not archived.

## 2.4 Statistical Analysis

5 In order to understand the connection between MET and changes in the Arctic, and to compare to the results from numerical climate models or single reanalysis dataset (Graversen, 2006; Van der Swaluw et al., 2007; Graversen et al., 2008; Jungclaus and Koenigk, 2010; Kapsch et al., 2013), in the following section we performed linear regressions on multiple fields with AMET and OMET. To test the significance of the regressions, we use the student's t-test. The autocorrelations are taken into account. Note that all the reanalysis data sets included in this study have relatively short time series (no more than 456 months,  
10 see Table 1).

## 3 Results

Unless specifically noted, the results shown in this section are all based on monthly mean fields with low pass filter of 5 years, which will be referred to as interannual time scales for the rest of the paper.

### 3.1 Overview of AMET and OMET

15 Globally, MET is driven by the unequal distribution of net solar radiation and thermal radiation. There are transports from regions with positive net TOA radiation to regions with negative net TOA radiation. Figure 2 shows the mean AMET and OMET over the entire time series of every product at each latitude in the Northern Hemisphere. For the atmosphere, all three data sets agree very well. The results differ a bit in amplitude but capture similar variations at each latitude. The peak of AMET is around  $41^{\circ}\text{N}$ , after which it starts to decrease towards the north pole. In ERA-Interim and JRA55, AMET peaks at 4.45  
20 PW at  $41^{\circ}\text{N}$ , while in MERRA2 AMET peaks at 4.5 PW at  $41.5^{\circ}\text{N}$ . These findings are consistent with previous work (e.g. Trenberth and Caron, 2001; Fasullo and Trenberth, 2008; Mayer and Haimberger, 2012, and many others).

Apart from the climatology of MET, we are particularly interested in the variations across different time scales from midlatitudes towards the Arctic. The time series of AMET, integrated zonally over  $60^{\circ}\text{N}$ , are shown in Figure 3a. The seasonal cycle is dominant in each component, as expected, and the phase is very similar, but differences in the amplitudes are noted. The  
25 mean AMET provided by the chosen three atmospheric reanalysis data sets agrees well. However, their variations differ from each other. In ERA-Interim, the standard deviation (std) of AMET is 0.92 PW, while MERRA2 has a relatively large std of 0.97 PW, and in JRA55 the std is 0.91 PW. Hence, it can be concluded that the seasonal cycles of AMET presented by the chosen atmospheric reanalysis data sets are similar. After removing the seasonal cycles and applying a 5-year low pass filter, we obtain the low frequency signals of AMET anomalies at interannual time scales (see Figure 3b). ERA-Interim and JRA55 agree  
30 well, and the correlation coefficient between them is 0.82. MERRA2 provides a different result, and the correlation coefficient between ERA-Interim and MERRA2 is -0.53. The std of AMET anomaly in ERA-Interim is 0.02 PW, while in MERRA2 the

std is 0.04 PW and in JRA55 the std is 0.03 PW. This implies that the variations of AMET anomalies at large time scales are similar in ERA-Interim and JRA55, but not in MERRA2. We further assess the sources of the difference in the next section.

For the ocean, all the reanalysis data sets agree well at almost all the latitudes, except for the OMET between 30°N and 40°N, where the Gulf Stream resides (Figure 2). ~~The difference can be explained by the models.~~ One possible explanation is that  
5 GLORYS2V3 and SODA3 both have been generated with eddy-permitting models while ORAS4 has not. In ORAS4, an eddy parameterization scheme from Gent and McWilliams (1990) is implemented. The implementation of this eddy parameterization scheme can lead to a big difference in heat transport, compared to eddy-permitted models (Stepanov and Haines, 2014). However, in this case the computation of OMET with ORAS4 does not include the contribution from eddy-induced velocity as the fields related to the use of eddy advection schemes were not archived. The eddy-permitting reanalysis data sets with high  
10 resolution, like GLORYS2V3 and SODA3, are capable of addressing the large scale geostrophic turbulence. It has been shown that their eddy-permitting capacity can account for the large scale eddy variability and represent the eddy energy associated with both the Gulf Stream and the Kuroshio pathways well (Masina et al., 2017). Consequently, at the latitude of the Gulf Stream (between 30°N and 40°N), a strong spatial variability, which might represent more realistic patterns of the large scale eddy variability, is apparent in all data sets but ORAS4.

15 Similarly, we show the zonal integral of the OMET at 60°N in Figure 4. Differences in amplitudes and trends can be observed in the unfiltered time series. The mean and std of all the OMET time series are similar (see Figure 4a). The mean of OMET in ORAS4 is 0.47 PW, in GLORYS2V3 it is 0.44 PW and in SODA3 it is 0.46 PW. The OGCM hindcast gives a similar result, which is also 0.47 PW. The std of OMET in ORAS4 and the OGCM hindcast is 0.06 PW, while in GLORYS2V3 and SODA3 the std is 0.07 PW. The OMET anomalies with a 5-year low pass filter are shown in Figure 4b. OMET anomalies in  
20 ORAS4 resemble that in SODA3, especially after 1998. While OMET anomalies in GLORYS2V3 are very different from that in ORAS4 and SODA3 from 1998 to 2006. The differences reveal that the first 10 years in GLORYS2V3 are quite suspicious because of its large deviation from the other products. Such large differences should be noticeable in the heat content changes or surface fluxes. We find that OHC anomalies in GLORYS2V3 is indeed very different from ORAS4 and SODA3 during this period (see Figure 8). Nevertheless, after 2007 all the oceanic reanalyses agree well and the OGCM hindcast deviates from the  
25 reanalyses. It is noteworthy that the observations improve considerably around that period due to an increasing number of Argo floats in use (Riser et al., 2016). The reanalysis products used here are greatly influenced by the number of available in-situ observations. We further assess the sources of differences in the next section.

### 3.2 ~~Source~~ Sources of Disparity

In order to further understand the difference between the AMET estimated from each atmosphere reanalysis product, we  
30 compare each component of AMET separately. We investigate the difference between each component of AMET at 60°N estimated from ERA-Interim against those from MERRA2 and JRA55. It is noticed that the differences mainly originate from meridional temperature transport ( $v_c p T$ ) and geopotential energy transport ( $vgz$ ). ~~With linear regression, we~~ We find that the correlation between the difference in total energy transport and the difference in meridional temperature transport between ERA-interim and MERRA2 is 0.55, while between ERA-Interim and JRA55 that is 0.21. In addition, the correlation between

the difference in total energy transport and the difference in geopotential energy transport ( $vgz$ ) between ERA-Interim and MERRA2 is 0.56, while between ERA-Interim and JRA55 that is 0.60. For the other components, the correlations between them and the total difference are small. The results are all obtained with a confidence interval of 95%. Large differences in temperature transport among reanalysis products are found at almost all latitudes (not shown). Such differences are consistent with the fact that the temperature transport and geopotential energy transport have a large contribution to the total AMET (see Figure 1). Note that the differences in each AMET component are of the same order of magnitude as AMET. Besides, the mean and anomalous latent heat transport agree well between the chosen atmospheric products (not shown). A similar result was found by Dufour et al. (2016) in their study using more reanalysis data sets.

In order to know the relative contribution of each field to the difference of the mean total AMET among the chosen reanalyses, a direct comparison of the vertical profile of temperature and meridional velocity fields between ERA-Interim and MERRA2 is presented in Figure 5. We compare the monthly mean temperature and velocity fields of ERA-Interim and MERRA2 from 1994 to 1998, in which the biggest difference was observed (Figure 3, taking into account the running mean of 5 years). To accommodate a point-wise comparison, the fields from MERRA2 are interpolated onto the vertical grid of ERA-Interim. It shows that these two reanalysis products differ substantially regarding each variable field (Figure 5a and b). Big differences in temperature reside mostly at the tropopause. Large differences in meridional wind components are distributed over the entire vertical column of the tropopause. Such differences in both fields are expected to be responsible for the difference in mean temperature transports ( $vc_pT$ ). Large differences are found in geopotential height fields, too (not shown). It should be noted that this comparison is carried out on pressure levels and mass conservation is not ensured. Therefore it can only provide insight qualitatively, and a quantitative contribution of the difference in every single field to the mean temperature transports can not be identified here.

Differences between every two chosen atmospheric products are found at nearly each pressure level. This analysis is not sufficient to explain conclusively where the uncertainty mainly comes from in terms of the dynamics and physics in the atmosphere model and data assimilation system. We do find that uncertainties, as indicated by the spread between the data sets, in both the temperature and meridional velocity fields, are too large to constrain the AMET. Note that the difference in horizontal advection schemes can also influence the results. The chosen atmospheric reanalyses systems use Semi-Lagrangian advection schemes, but this is not the case for MERRA2. Hence studies on low frequency variability of energy transports and associated variables should be interpreted with care as the reanalysis products differ substantially, and we cannot judge a priori how close they are to actual energy transports since independent direct observations are not available.

For the ocean, fortunately observations of OMET in the Atlantic Ocean are available. First, OMET estimated from ORAS4, GLORYS2V3, SODA3 and the OGCM hindcast is evaluated against OMET measured at  $26.5^\circ\text{N}$ . The intercomparison shows that the reanalysis products capture roughly the mean amplitude of the OMET (Figure 6). Some large events are captured as well, such as the strong weakening in 2009. Statistically, the mean OMET provided by the RAPID array is  $1.21 \pm 0.27PW$ . It is higher than the chosen products here. The mean OMET in ORAS4 is  $0.66 \pm 0.27PW$ , in GLORYS2V3 it is  $0.89 \pm 0.52PW$ , in SODA3 it is  $0.81 \pm 0.52PW$  and in OGCM hindcast it is  $1.05 \pm 0.21PW$ . This means that all chosen products underestimate the mean OMET at  $26.5^\circ\text{N}$  in the Atlantic basin. Of all products, ORAS4 has the largest bias. The std of OMET given by

ORAS4 is the same as that from the RAPID array, while in GLORYS2V3 and SODA3 we find a higher std of OMET. The OGCM hindcast has a relatively small OMET std, which is 0.21 PW. In terms of the correlation and standard deviation, ORAS4 and the OGCM hindcast agree well with observations. It is noteworthy that the OGCM does not assimilate ocean data. The simulation is only constrained by the surface fluxes and this suggests that the surface forcing is a very important driver of OMET variability. To conclude, the heat transport at 26.5°N is too low in these products, but ORAS4 and OGCM hindcast appear to have reasonable variability.

Moreover, the comparison of time series in the chosen reanalyses and OSNAP observations is given in Figure 7. Due to the limited length of OMET time series, only ORAS4 and SODA3 are included in the comparison. It can be noticed that the OMET given by ORAS4 is quite-comparable to that in OSNAP in terms of the amplitude and variability. For most of the time within the observation period, OMET in ORAS4 falls into the range of the OSNAP observation including the uncertainty margins. The mean of OMET in ORAS4 is  $0.39 \pm 0.11PW$ , which is quite similar to the mean OMET  $0.45 \pm 0.07PW$  of OSNAP. However, OMET in SODA3 has a larger mean and standard deviation than that in OSNAP and thus deviates from the observations.

Just as in the atmosphere, we would like to study the temperature and meridional current velocity contributions to the ocean heat transport to identify the sources of the difference between products. However, due to the nature of the curvilinear grid, the comparison of local fields after interpolation is not trustworthy. To get further insight, we calculate the ocean heat content (OHC), since the convergence of the heat transports is likely related to OHC change. A full budget analysis was not feasible as most data sets did not include the surface fluxes. Figure 8 illustrates the OHC (Figure 8a) and OHC anomalies (Figure 8b) quantified from ORAS4, GLORYS2V3, SODA3 and the OGCM hindcast. It depicts the OHC integrated in the polar cap (from 60°N to 90°N) over all depths. The mean OHC in ORAS4 is  $4.48 \pm 0.78 * 10^{22}J$ , in GLORYS2V3 it is  $4.23 \pm 0.59 * 10^{22}J$  and in SODA3 it is  $3.79 \pm 0.93 * 10^{22}J$ , while the OGCM hindcast shows a much larger mean OHC of  $7.85 \pm 0.58 * 10^{22}J$ . The variations-Actually, we found that the OHC between 60°N to 70°N in the OGCM hindcast agrees well with the reanalyses (not shown). Thus the difference seems to be associated with changes to the sea ice distribution. Given the limited observations in the Arctic to constrain the reanalyses and different assumptions about the Arctic sea ice due to the differences in spatial resolutions, it is more complex than just concluding that the reanalyses are better. The variations of OHC are similar between chosen products. Regarding the OHC anomalies in Figure 8b, a positive trend of OHC anomalies in the polar cap is captured by each product. However, the variability is different and these are reflected in the standard deviation of OHC anomalies time series. Increases in surface temperature and OHC are often taken as a sign of AA in many papers (e.g. Serreze and Barry, 2011). Qualitatively, the trends of OHC in the chosen reanalyses at the polar cap could be taken as a sign of the AA, but it might be just Arctic warming and not necessarily a higher warming rate than the global mean temperature change. A quantitative evaluation of the AA is not possible due to large differences between products. To conclude, there are large differences in OHC between chosen products, while their variations agree relatively well. Since OHC is a function of temperature fields only, this can imply that temperature profiles are different among the chosen ocean reanalysis data sets. The differences of OHC between chosen products are partially consistent with the differences that we found for OMET. However, the OHC anomalies agree better

among reanalysis products than the absolute OHC, which indicates that the trend of OHC is captured in a similar way among all the ocean reanalysis products.

### 3.3 MET and the Arctic

In previous sections, it is found that MET in different reanalysis products at subpolar and subtropical latitudes differ substantially from each other. In order to further evaluate AMET and OMET given by different reanalyses and to provide more insight, we investigate the links between MET and remote regions. We focus on the Arctic because previous studies indicate a strong role for subpolar MET in low frequency variability in the Arctic region. Given the complexity of the interaction between MET and the Arctic, and the short time series available, determining cause-effect relations is out of the scope for this paper. We aim to compare the relation between MET and the Arctic within each reanalysis product to investigate the physical plausibility and compare it with previous studies that use data from one reanalysis product or from coupled climate models (e.g. Graversen, 2006; Van der Swaluw et al., 2007; Graversen et al., 2008; Jungclaus and Koenigk, 2010; Kapsch et al., 2013).

Many of these studies perform linear regressions between a time series of MET and gridpoint values of other physical variables. Here we follow the same procedure and perform linear regressions of sea level pressure (SLP), 2 meter temperature (T2M) and sea ice concentration (SIC) anomalies on AMET and OMET anomalies at 60°N for the chosen products. We show linear regressions in summer and winter separately in order to account for the seasonal variability [of the relationships](#). It should also be noted that there are strong trends in OMET, T2M and SIC. We removed them by applying a polynomial fit to the time series on each grid point. We find that the second order polynomial fit is able to capture the trend without losing variations at interannual time scales. Hereafter we only address detrended OMET, T2M and SIC. For the sake of consistency, the regressions are carried out on the surface fields included in each respective reanalysis product. For instance, the regression of SLP on AMET estimated from ERA-Interim, involves SLP fields from ERA-Interim itself. For the ocean reanalyses, as they all apply forcing derived from ERA-Interim, the regressions are performed on the fields from ERA-Interim. Note that there is a known issue with the quality of sea ice field close to the north pole in ERA-Interim, which can be inferred from an evaluation of reanalysis data sets concerning near surface fields in Lindsay et al. (2014). Following the regressions performed by Van der Swaluw et al. (2007) and Jungclaus and Koenigk (2010), we repeated the same procedure here with AMET at interannual scales (~ 5 year).

First, we investigate the links between MET and the Arctic in winter. The regressions of anomalies of multiple fields on AMET anomalies at 60°N in each atmospheric product in winter are shown in Figure 9. The regression coefficients reach maximum when the regressions are instantaneous with given fields. In ERA-Interim and JRA55, AMET is correlated with SLP over the Greenland, the North Atlantic, the Barents Sea, the Kara Sea and the northern part of the Eurasian continent. It suggests that an increase in subpolar AMET is linked to a northward advection over the Greenland which could bring relatively warm and humid air into the Arctic. Such patterns are consistent with the relatively warm air over the Greenland and part of the Central Arctic close to the Eurasian side shown in Figure 9d and f. Using ERA-40, Graversen (2006) found similar correlation between AMET and surface air temperature (SAT) at the Greenland Sea and Barents Sea as Figure 9d and f, without time lag. This is also consistent with a model study by Jungclaus and Koenigk (2010). The decrease of sea ice concentration with

increasing AMET at the Baffin Bay and the northern part of Barents Sea given by Figure 9g and i is consistent with the relations between AMET and T2M. A further eddy decomposition of AMET following the method from Peixoto and Oort (1992) indicates that heat transported by standing eddies has the biggest contribution to the total AMET (not shown), which is consistent with Graversen and Burtu (2016). These patterns are found only in ERA-Interim and JRA55, but not in MERRA2.

5 [Such different patterns in MERRA2 likely stem from its shift in AMET around 2000.](#) Hence, there is also large uncertainty in the assertion that heat and humidity transport by stationary eddies contribute to the changes in the subpolar and Arctic regions at interannual time scales.

Moreover, similar to Van der Swaluw et al. (2007) and Jungclaus and Koenigk (2010), we investigate the links between the variability of OMET and variations of multiple fields at interannual time scales. The regressions of anomalies of multiple  
10 fields on detrended OMET anomalies at 60°N in winter are shown in Figure 10 with OMET leading by 1 month. [The-It is noteworthy that it takes around 1 to 2 years for the OMET anomalies to propagate from the North Atlantic to the Barents Sea \(Årthun et al., 2012\). However, the regression coefficients are maximal when the OMET leads by 1 month, which could be attributed to the implementation of the low-pass filter.](#) In ORAS4 and SODA3, increasing OMET can lead to a decrease in SLP in the Arctic, while in ORAS4 this polar-low is much stronger. This seems to indicate that an increase in OMET is related to  
15 sea ice melt and increase in T2M around the Nordic seas. There is an AO/NAO like SLP anomaly with the associated large scale temperature pattern. However, GLORYS2V3 tells an entirely different story. This is mainly due to the difference between OMET in this dataset compared to the other ocean data sets during the 1990s as shown in Figure 4.

In general, the decrease of OMET leads to an increase in the growth rate of SIC, which is consistent with studies performed with global climate models at decadal to inter-decadal time scales (e.g. Van der Swaluw et al., 2007; Jungclaus and Koenigk,  
20 2010; van der Linden et al., 2016). Studies with observations of sea ice at the Barents Sea and OMET across Barents Sea Opening (BSO) also confirm the strong correlation between the OMET and sea ice variation over the Barents Sea (Årthun et al., 2012; Onarheim et al., 2015). However, note that some discussed regions are below the significance of 95%.

In summer, the situation becomes more intricate and unclear. The same regressions of anomalies of multiple fields on AMET and OMET anomalies at 60°N in each reanalysis product in summer is included in the supplementary material. It is noticed that  
25 the consistency of associations between AMET, OMET and multiple fields is better in winter than that in summer within the chosen products. Atmospheric dynamical processes are more dominant in winter, which is also reflected in large scale patterns of variability such as the AO and NAO which are more pronounced in winter than in summer (e.g. Lian and Cess, 1977; Curry et al., 1995; Goosse et al., 2018). Therefore the regressions of SLP, T2M and SIC on AMET in winter are easier to understand than those in summer.

30 In this section we compared the reanalysis data with findings from previous studies. We found that ERA-Interim and JRA55 are most consistent with the results given by coupled numerical models in winter, while MERRA2 does not corroborate model studies. For the ocean, results from ORAS4 and SODA3 are more consistent with literature in winter. However, given the low statistical significance and the difference among chosen products, it is still hard to determine which atmospheric product provides a more convincing plausible interannual variations in AMET.

## 4 Discussion

In this study, we found substantial differences between reanalysis products with [aspect-respect](#) to MET. In order to improve the accuracy of the variability of AMET and OMET estimated from reanalyses, one needs more observations to constrain the models. Vertical profiles differ substantially between products, and surface and top of the atmosphere radiation budget are too uncertain to constrain variability in the different products. [Note that reanalyses do not assimilate direct observation of the TOA and surface energy budgets.](#) Climate models already provide information on the interaction between atmosphere and ocean and connections provided by the energy transport from mid to high latitudes (Shaffrey and Sutton, 2006; Van der Swaluw et al., 2007; Jungclaus and Koenigk, 2010). This can potentially sketch the mechanism of the interaction between energy transport and the Arctic climate change. Moreover, some studies point out that the latent heat is more influential on the Arctic sea ice rather than the dry static energy (Kapsch et al., 2013; Graverson and Burtu, 2016). With improved reanalysis products and independent observations, such as ocean mooring arrays and atmospheric in-situ and satellite observations, to validate the reanalyses, the validity of these mechanisms can be further studied.

The regression of SIC on OMET suggests that sea ice variations are sensitive to changes of meridional energy transport at subpolar latitudes, which is noticed by other studies on SIC and MET as well (Van der Swaluw et al., 2007; Jungclaus and Koenigk, 2010; van der Linden et al., 2016). ORAS4 and SODA3 show a large anticorrelation between SIC and OMET in winter around the Greenland Sea and the Barents Sea. However, GLORYS2V3 does not show this relation. The differences in OMET are reflected in the regressions on sea ice. The strong connection between OMET from mid-to-high latitudes and the Arctic sea ice indicates an indirect link between midlatitudes and the Arctic. Many studies that explored these remote links found large scale "horseshoe" and dipole patterns over the Atlantic (Czaja and Frankignoul, 2002; Gastineau and Frankignoul, 2015; Delworth et al., 2017). However, the physical mechanism remains disputable. Overland et al. (2015) and Overland (2016) propose that the multiple linkages between the Arctic and midlatitudes are based on the amplification of existing jet stream wave patterns, which might also be driven by tropical and midlatitudes SST anomalies (Screen and Francis, 2016; Svendsen et al., 2018). Cohen et al. (2014) lists possible pathways for the teleconnection between the Arctic and midlatitudes, including changes in storm tracks, the jet stream, and planetary waves and their associated energy propagation. However, due to the shortness of time series, a small signal-to-noise ratio, uncertain external forcing, and the internal atmospheric variability (Overland, 2016; Barnes and Screen, 2015), this question has no easy answer.

Previous studies have shown that the variations of total OMET are very sensitive to the changes of its overturning component (e.g. McCarthy et al., 2015; Lozier et al., 2019). Hence, the AMOC may serve as an indicator of the changes of OMET. In our case, a quantitative estimation of the difference in the AMOC among the chosen data sets is beyond the scope of this paper. However, the downward trend of AMOC, which has been reported by several studies (Smeed et al., 2014; McCarthy et al., 2015; Oltmanns et al., 2018), is consistent the downward trend observed in OMET at 60°N in our chosen oceanic reanalyses (see Figure 4). After analyzing six oceanic reanalysis data sets, Karspeck et al. (2017) find the reanalysis products are not consistent in their year-to-year AMOC variations. The discrepancy between AMOC represented by each reanalysis product may explain the differences in OMET in each reanalysis dataset.

## 5 Conclusions

This study aimed to quantify and intercompare AMET and OMET variability from 3 atmospheric and 3 oceanic reanalysis data sets at subpolar latitudes. It also serves to illustrate the relation between AMET and OMET with high latitude climate characteristics. The study is motivated by previous studies with coupled models that show a strong relation between meridional energy transport and sea ice. It is also motivated by previous studies with reanalysis data, where generally only one reanalysis data set is considered, and which includes mostly only oceanic or atmospheric analysis.

All selected data sets agree on the mean AMET and OMET in the Northern Hemisphere. The results are consistent with those achieved over the previous 20 years (Trenberth and Caron, 2001; Fasullo and Trenberth, 2008; Mayer and Haimberger, 2012). However, when it comes to anomalies at interannual time scales, they differ from each other both spatially and temporally. The variations between ERA-Interim and JRA55 are small, while MERRA2 is very different from them. Although there is an overlap of observational data assimilated by different reanalysis products, large deviations still exist in many fields, especially for the vertical profiles of temperature and velocity in atmospheric reanalyses. ~~Some~~, which were also reported by some reanalysis quality reports (Simmons et al., 2014, 2017; Uotila et al., 2018) ~~have raised warnings for the use of certain variables from reanalyses~~. A further investigation of the relations between multiple fields in the Arctic and meridional energy transport shows that the Arctic climate is sensitive to the variations of AMET and OMET in winter. The patterns in ERA-Interim and JRA55 are more consistent in winter. For the ocean, ORAS4 and SODA3 provide similar patterns in winter. Based on our results, it seems that the interannual variability of AMET and OMET cannot be constrained by the available observations. The existence of sources and sinks in reanalysis data sets introduces large uncertainties in the computation of energy transport (Trenberth, 1991; Trenberth and Solomon, 1994). Although the reanalysis data sets are not specifically designed for the studies on energy transport, given the good agreements on mean AMET and OMET and their annual cycles among assessed reanalysis products, we still recommend to use these reanalysis products for the energy transport diagnostics. However, much care should be taken when adopting reanalyses for the examination of energy transport at relatively large-long time scales. The robustness of those results based on the AMET and OMET estimated from reanalyses should be further assessed.

*Author contributions.* Y. Liu, J. Attema and W. Hazeleger designed this study, performed computations using reanalyses and analyzed the results. B. Moat performed OGCM simulation and contributed to the analysis.

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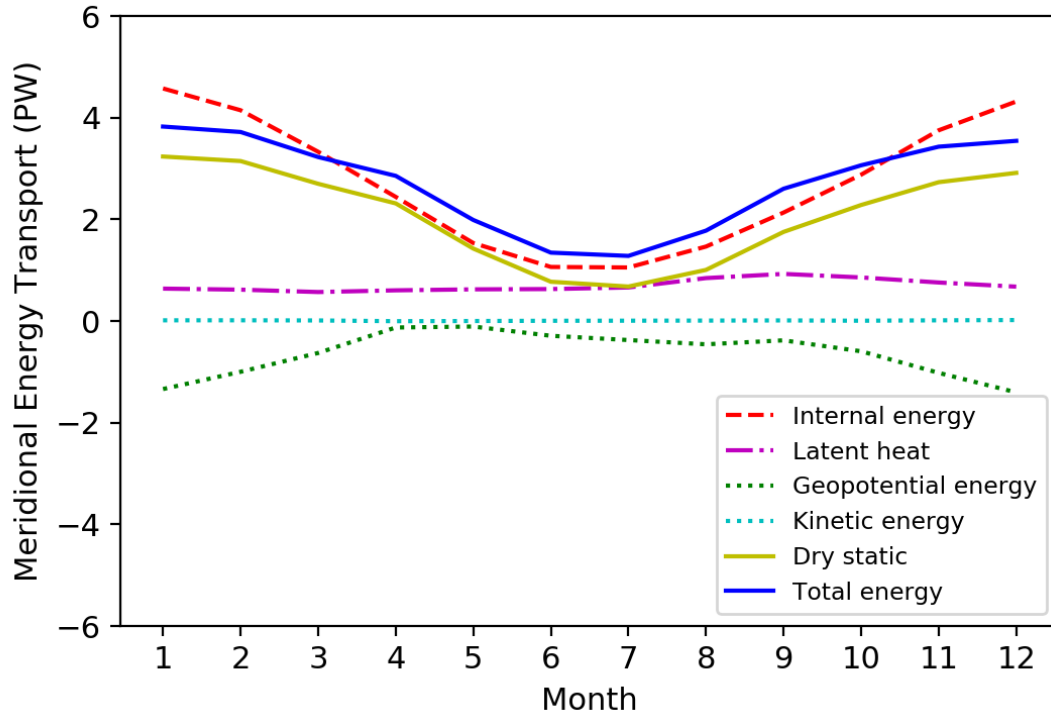
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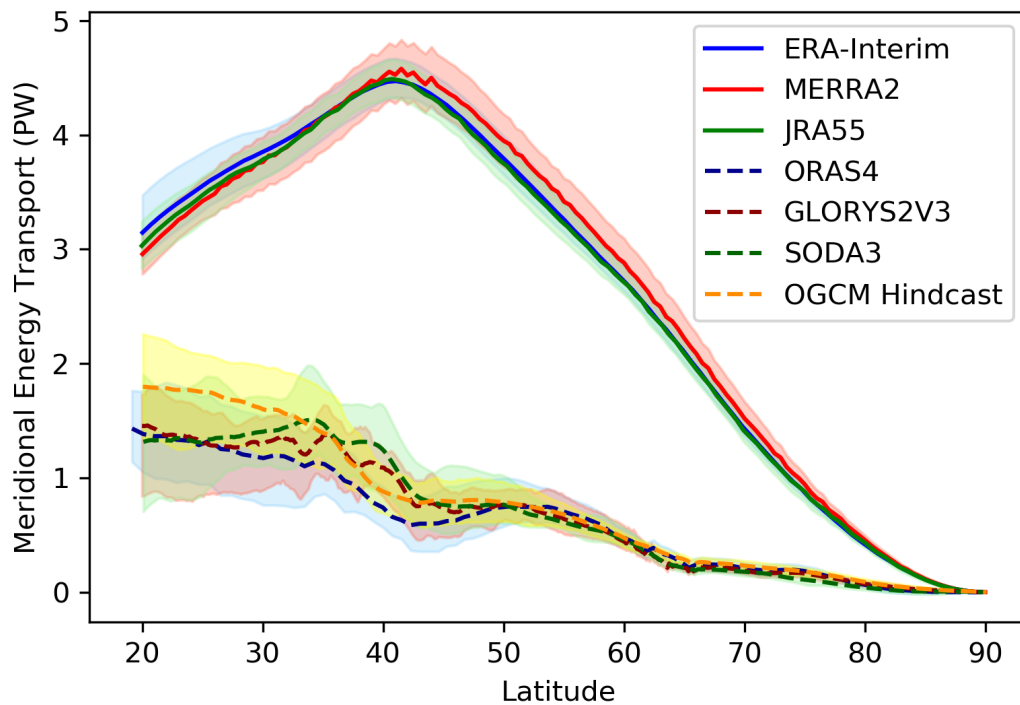


**Figure 1.** Estimation of mean AMET and each component in each month at 60°N with ERA-Interim from 1979 to 2017.

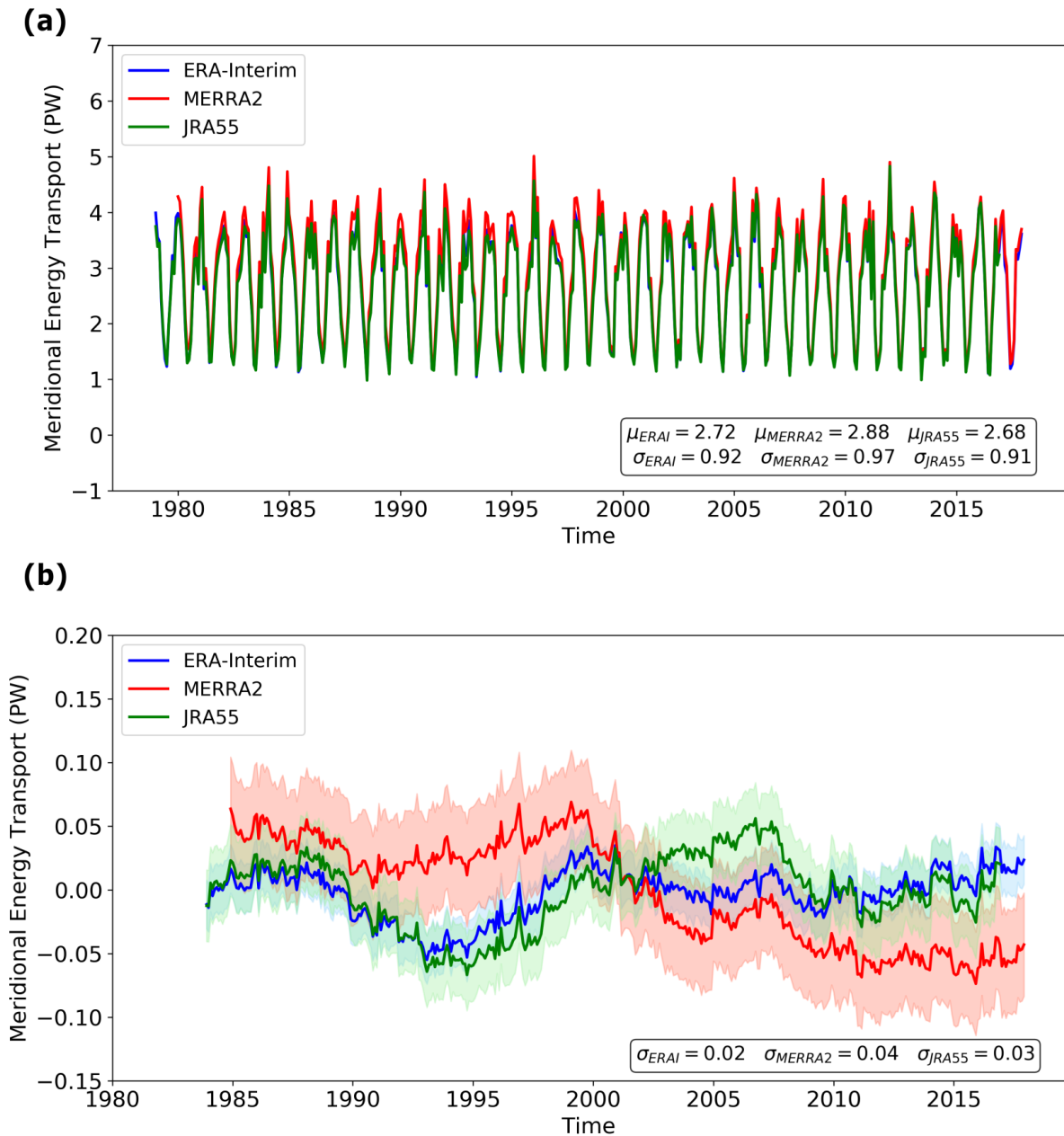
**Table 1.** Basic specification of reanalyses products included in this study

Type	Product Name	Producer	Period	Temporal Resolution	Spatial Resolution / Grid
Atmosphere	ERA-Interim	ECMWF	1979 - 2017	6-hourly	TL255, L60 up to 0.1 hPa
	MERRA2	NASA	1980 - 2017	3-hourly	0.5° x 0.625°, L72 up to 0.01 hPa
	JRA55	JMA	1979 - 2016	6-hourly	TL319, L60 up to 0.1hPa
Ocean	ORAS4	ECMWF	1979 - 2016	Monthly	ORCA1
	GLORYS2V3	Mercator-Ocean	1993 - 2014	Monthly	ORCA025
	SODA3	Univ. of Maryland	1980 - 2014	5-daily	MOM5

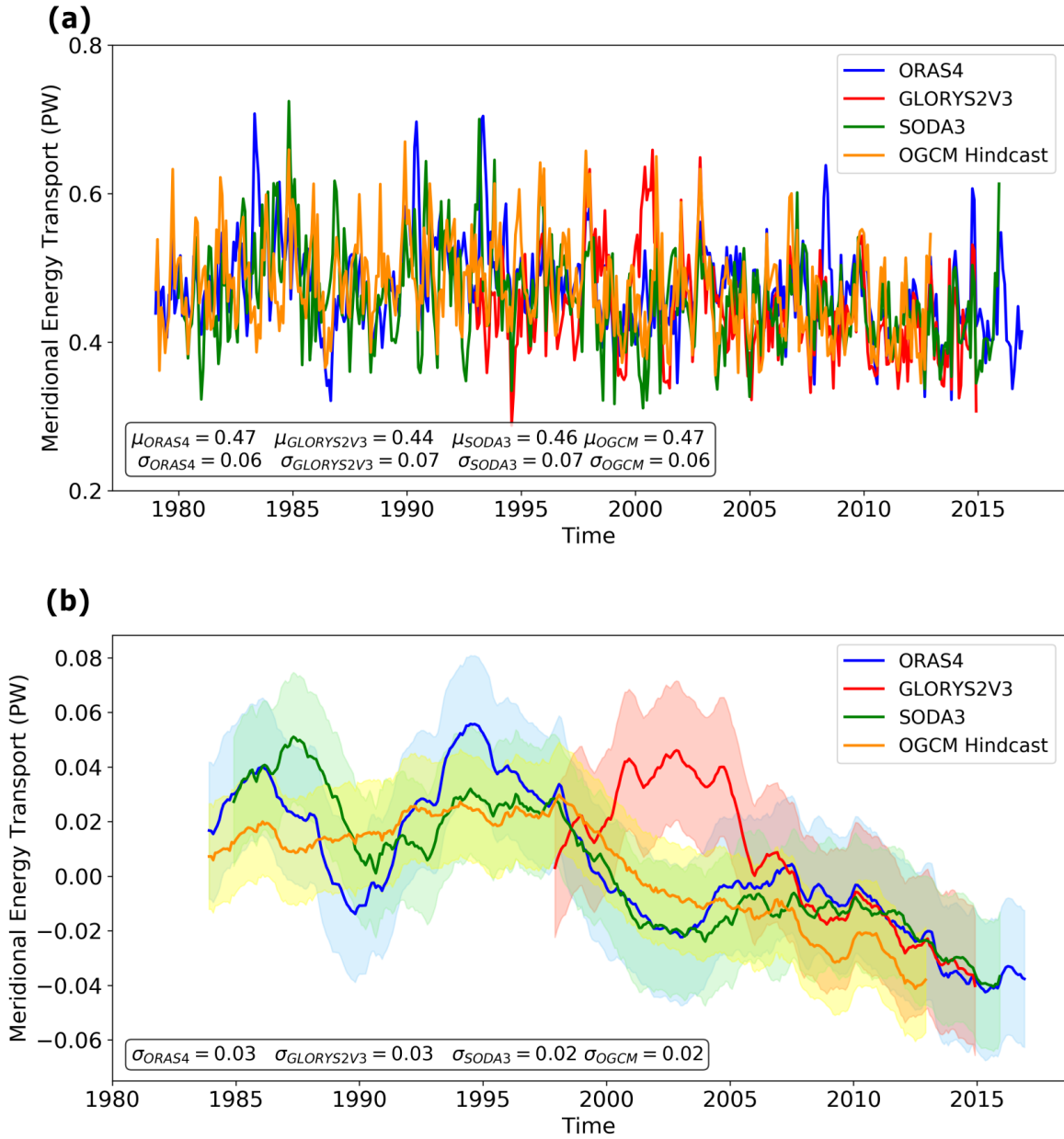




**Figure 2.** Mean AMET and OMET over the entire time span of each product as function of latitude in the Northern Hemisphere. AMET are illustrated with solid lines while OMET with dash lines. The shades represent the full range of MET across the entire time series at each latitude. The time span of each product used in this study is given in Table 1.

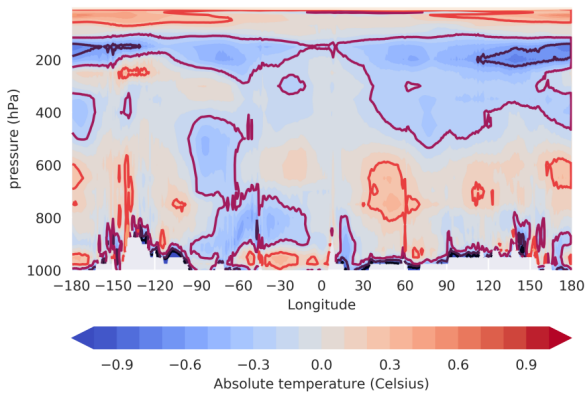


**Figure 3.** Time series of zonal integral of AMET at  $60^{\circ}\text{N}$  without/with low pass filter. (a) The original time series and (b) the ones with low pass filter include signals from ERA-Interim (blue), MERRA2 (red) and JRA55 (green). For the low pass filtered ones, we take a running mean of 5 years. The shades represent the confidence intervals with one standard deviation.  $\sigma$  is the standard deviation and  $\mu$  is the mean of the entire time series.

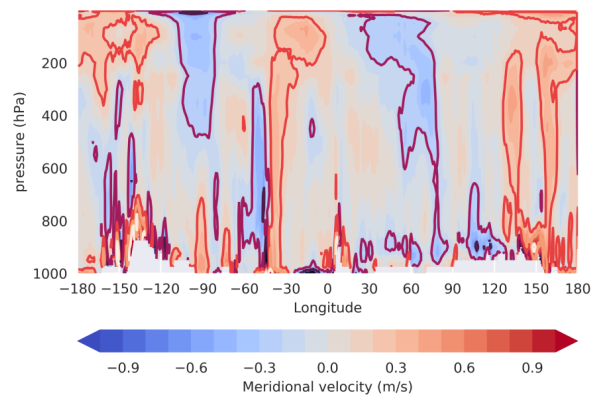


**Figure 4.** Time series of zonal integral of OMET at  $60^\circ\text{N}$  without/with low pass filter. (a) The original time series and (b) the ones with low pass filter include signals from ORAS4 (blue), GLORYS2V3 (red), SODA3 (green) and the OGCM hindcast (yellow). For the low pass filtered ones, we take a running mean of 5 years. The shades represent the confidence intervals with one standard deviation.  $\sigma$  is the standard deviation and  $\mu$  is the mean of the entire time series.

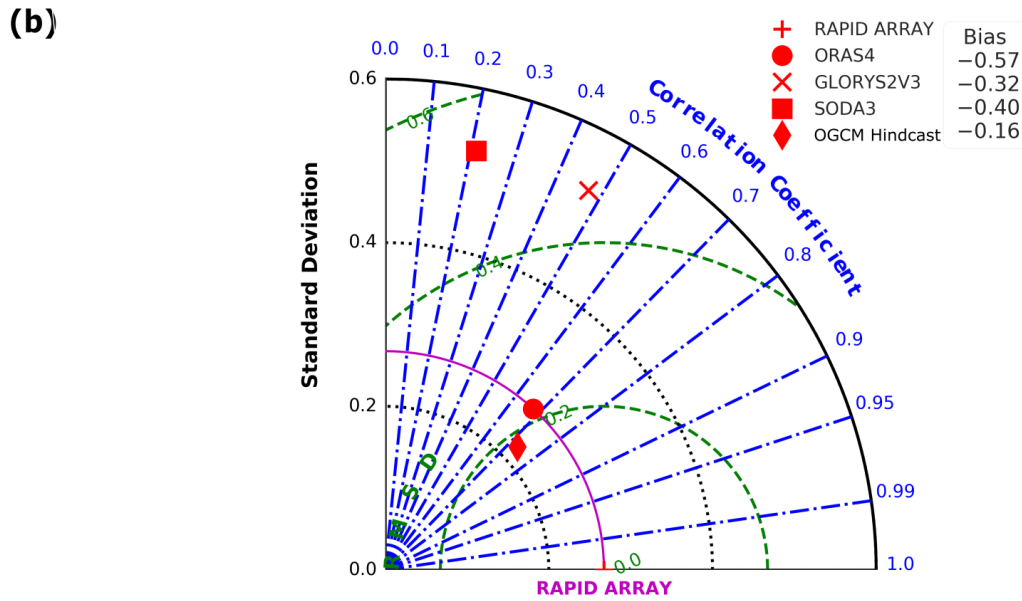
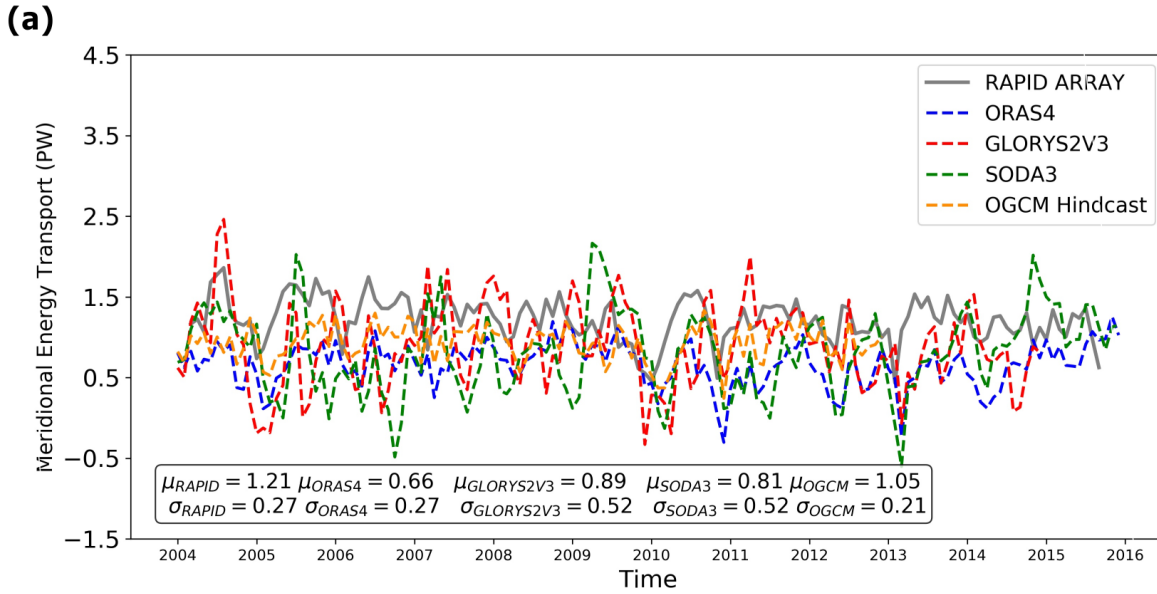
(a)



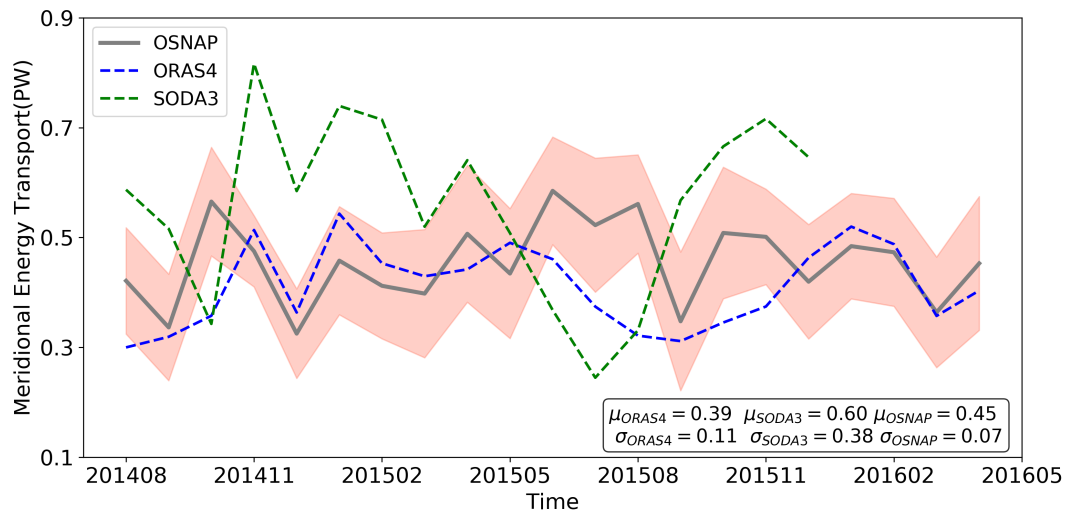
(b)



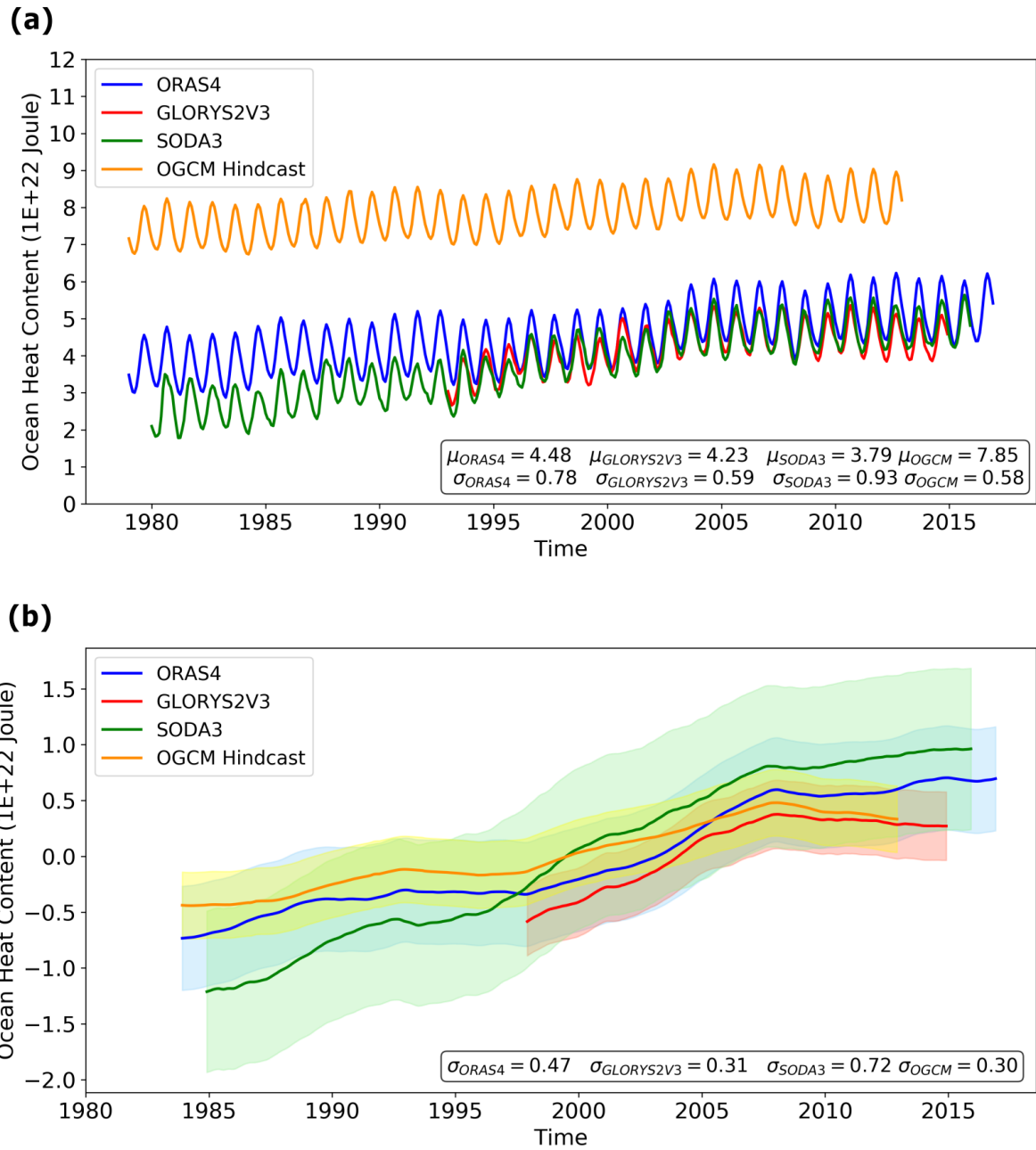
**Figure 5.** Difference in temperature, meridional wind velocity and temperature transport between MERRA2 and ERA-Interim at 60°N. The vertical profile of (a) temperature difference and (b) meridional wind velocity difference are calculated from the climatology of each fields from 1994 to 1998, respectively.



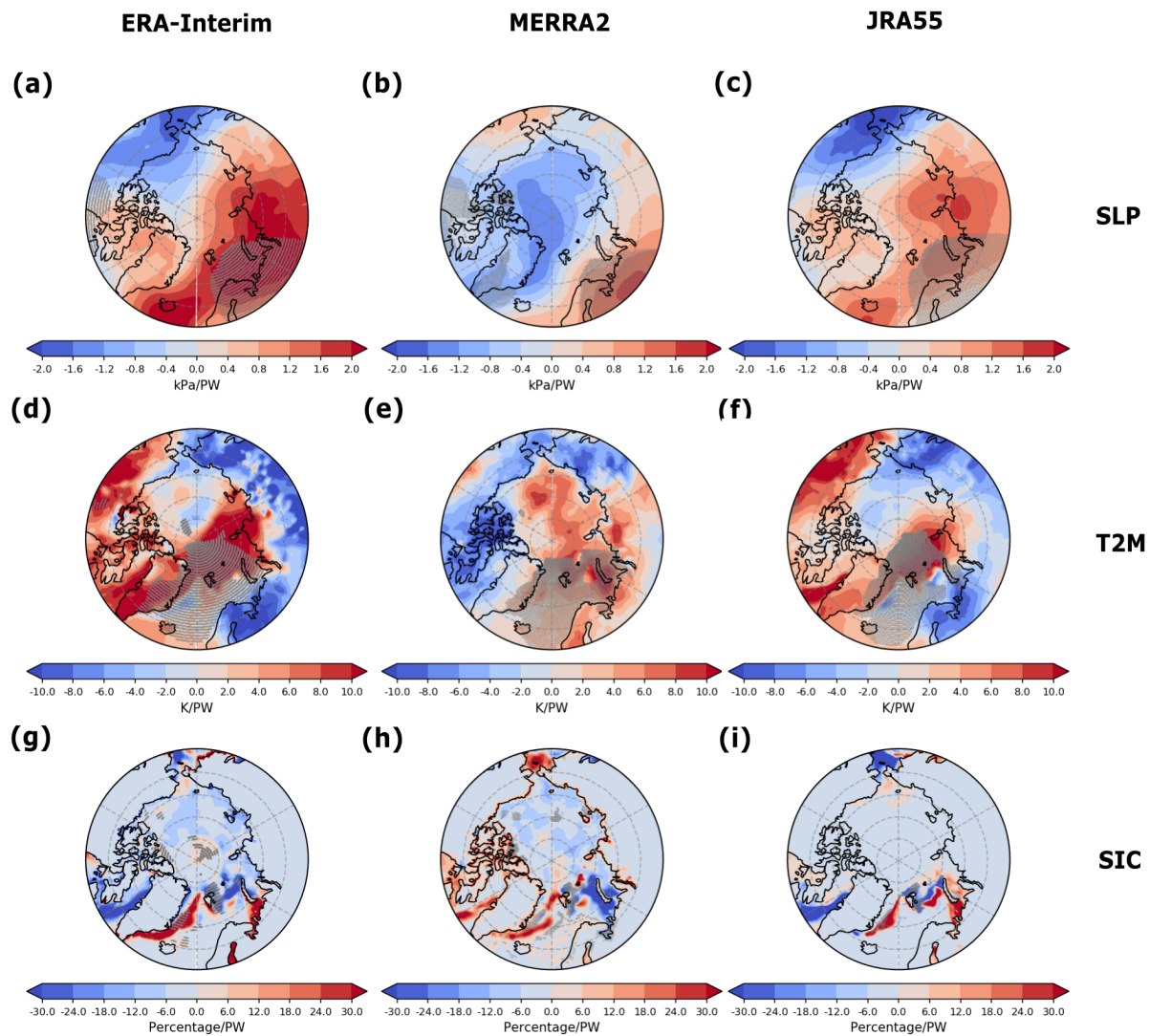
**Figure 6.** OMET estimated from ORAS4 (blue), GLORYS2V3 (red), SODA3 (green) and the OGCM hindcast (orange) compared to the RAPID array observation (gray) at 26.5°N across the Atlantic basin. The time series of OMET is presented in (a). The statistical properties are shown in (b) Taylor Diagram, including bias, correlation (blue), standard deviation (black) and root mean square deviation (green).  $\sigma$  is the standard deviation and  $\mu$  is the mean of the entire time series.



**Figure 7.** OMET estimated from ORAS4 (blue), SODA3 (green) and compared to the OSNAP observation (gray) at subpolar Atlantic basin. The range of uncertainty from OSNAP observation is marked by the red shade.  $\sigma$  is the standard deviation and  $\mu$  is the mean of the entire time series.

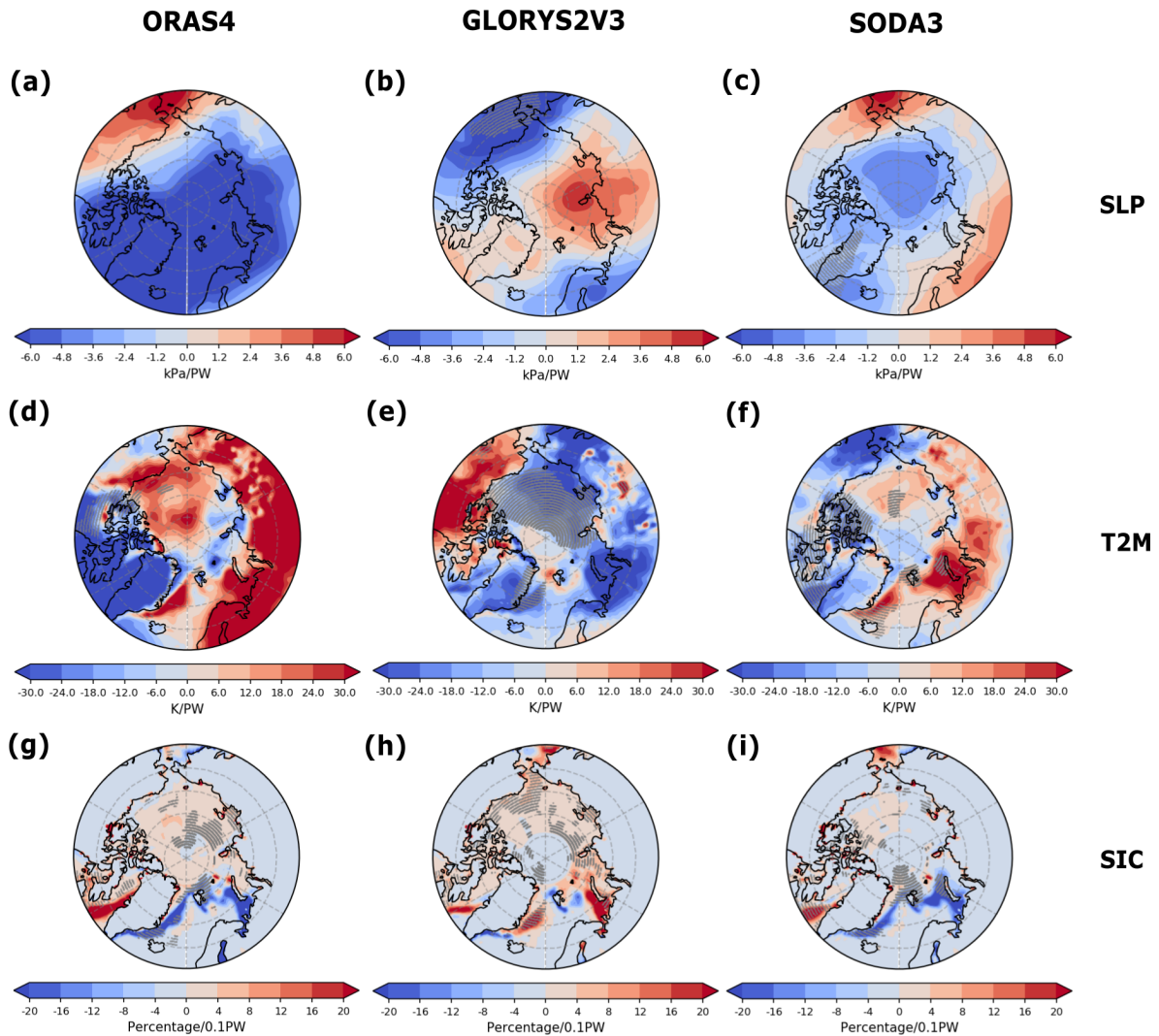


**Figure 8.** Time series of (a) ocean heat content (OHC) and (b) OHC anomalies with a low pass filter at the polar cap. The OHC is integrated from surface to the bottom between  $60^{\circ}\text{N}$  and  $90^{\circ}\text{N}$ . It is estimated from ORAS4 (blue), GLORYS2V3 (red), SODA3 (green) and the OGCM hindcast (yellow). The shades represent the confidence intervals with one standard deviation.  $\sigma$  is the standard deviation and  $\mu$  is the mean of the entire time series.



**Figure 9.** Regressions of sea level pressure, 2 meter temperature and sea ice concentration anomalies on AMET anomalies at  $60^{\circ}\text{N}$  in winter (DJF) at interannual time scales with no time lag. The monthly mean fields are used here after taking a running mean of 5 year. Both the 2 meter temperature and sea ice concentration are detrended. From left to right, they are the regressions on AMET of (a, d, g) ERA-Interim, (b, e, h) MERRA2 and (c, f, i) JRA55. The green contour lines indicate stippling indicates a significance level of 95%.





**Figure 10.** Regressions of sea level pressure, 2 meter temperature and sea ice concentration anomalies on OMET anomalies at  $60^{\circ}\text{N}$  in winter (DJF) at interannual time scales. OMET leads the fields by one month. The 2 meter temperature, sea ice concentration and OMET are detrended. From left to right, they are the regressions on OMET of (a, d, g) ORAS4, (b, e, h) GLORYS2V3 and (c, f, i) SODA3. The **green contour lines indicate** stippling indicates a significance level of 95%.