

Evaluating Climate Emulation: Fundamental Impulse Testing of Simple Climate Models

5 Adria K. Schwarber¹, Steven J. Smith^{1,2}, Corinne A. Hartin², Benjamin Aaron Vega-Westhoff³,
Ryan Sriver³

¹Department of Atmospheric and Oceanic Science, University of Maryland, College Park, MD 20742

²Joint Global Change Research Institute, 5825 University Research Ct, College Park, MD 20740

³Department of Atmospheric Sciences, University of Illinois Urbana-Champaign, Champaign, IL 61820

10 *Correspondence to:* Adria K. Schwarber (adria.schwarber@gmail.com)

Abstract. Simple climate models (SCMs) are numerical representations of the Earth’s gas cycles and climate system. SCMs are easy to use and computationally inexpensive, making them an ideal tool in both scientific and decision-making contexts (e.g., complex climate model emulation; parameter estimation experiments; climate metric calculations; and probabilistic analyses). Despite their prolific use, the fundamental responses of SCMs are often not directly characterized. In this study, we use fundamental impulse tests of three chemical species (CO₂, CH₄, and BC) to understand the fundamental gas cycle and climate system responses of several comprehensive (Hector v2.0, MAGICC 5.3, MAGICC 6.0) and idealized (FAIR v1.0, AR5-IR) SCMs. We find that while idealized SCMs are widely used, they fail to capture the magnitude and timescales of global mean climate responses under emissions perturbations, which can produce biased temperature results. Comprehensive SCMs, which have non-linear forcing and physically-based carbon cycle representations, show improved responses compared to idealized SCMs. Even some comprehensive SCMs fail to capture response timescales of more complex models under BC or CO₂ forcing perturbations. These results suggest where improvements should be made to SCMs. Further, we provide a set of fundamental tests that we recommend as a standard validation suite for any SCM. Fundamental impulse tests allow users to understand differences in model responses and the impact of model selection on results.

25 **1 Introduction**

Climate models are one of the primary tools used by interdisciplinary scientists to understand changes in the climate. Models are generally classified by their complexity and comprehensiveness, spanning a range from idealized simple climate models (SCMs) to complex coupled Earth System Models (ESMs). While ESMs run on supercomputers and can take several months to simulate 100 years, SCMs can simulate the same period on a personal computer in seconds (van Vuuren et al., 2011a). SCMs have less detailed representations than ESMs, and themselves range in structure from idealized to more comprehensive climate representations (Millar et al., 2017). Comprehensive SCMs are models rooted in physical processes (e.g., energy balance models) and capture the main pathway by which climate forcers alter the energy budget: emissions to concentrations, top-of-the-atmosphere radiative forcing, and global mean surface air temperature (Geoffroy et al., 2013; Hartin et al., 2015; Meinshausen et al., 2011; Tanaka and Kriegler, 2007).

35 Idealized SCMs use even fewer equations, which do not necessarily correspond to individual physical processes, to parametrically represent the climate system (Millar et al., 2017).

SCMs are widely used in scientific and decision-making contexts largely because of their advantageous features, including their ease of use and low computational intensiveness. In particular, SCMs are traditionally used within
40 human-Earth system models. These models couple the climate system with representations of the dynamics within the human system (e.g., energy systems and land-use changes) (Hartin et al., 2015; Ortiz and Markandya, 2009; S.H. Schneider and S.L. Thompson, 2000; Strassmann and Joos, 2018) and are used to assess global forcing or temperature targets (e.g., Representative Concentration Pathways (van Vuuren et al., 2011b), Shared Socioeconomic Pathways (Moss et al., 2010)). Several studies investigated potential sources of human-Earth system model uncertainty by
45 exploring the climate components driving the models (Calel and Stainforth, 2017; Harmsen et al., 2015; van Vuuren et al., 2008, 2011a). Van Vuuren et al. (2011a) concluded that in most cases the results from human-Earth system models and SCMs were similar to the more complex, coupled Earth System Models (ESMs). The authors further noted that differences in SCM results can have implications for decision makers informed by such results, illustrating the need for improvements in uncertainty analysis (e.g., carbon cycle feedbacks). Harmsen et al. (2015) extended van
50 Vuuren's analysis to investigate emission reduction scenarios by including non-CO₂ radiative forcing. The authors concluded that many models may underestimate forcing differences after applying emission reduction scenarios, due to the omission of important short-lived climate forcers, such as black carbon (BC).

Few studies utilize idealized SCMs in human-Earth system models because of their inability to represent nonlinear
55 forcings, such as air-sea exchanges (Khodayari et al., 2013) or ocean chemistry (Hooss et al., 2001; Tanaka and Kriegler, 2007). With simple extensions of the carbon cycle (e.g., ocean carbonate chemistry), both Hoos et al. (2001) and Tanaka and Kriegler (2007) found improved responses from their respective impulse response models, applicable when coupling to human-Earth system models.

60 Comprehensive SCMs are also used to simulate the climate or carbon cycle (Friedlingstein et al., 2014; Joos et al., 1999; Knutti et al., 2008), explore responses to anthropogenic perturbations (Geoffroy et al., 2013; Hope, 2006; Meinshausen et al., 2009; Rogelj et al., 2014), or address model spread in the various model intercomparison projects (MIPs) (Knutti and Sedláček, 2012; Monckton et al., 2015; Rogelj et al., 2012). These analyses often include comparisons to more complex models (Meinshausen et al., 2008, 2011). One comprehensive SCM in particular,
65 MAGICC 6.0, is used as a reference in many studies because of its well-documented ability to emulate complex models (e.g., van Vuuren et al., 2011a).

Similarly, individual idealized SCM developers also explore the ability of impulse-response functions to simulate climate or carbon-cycle responses to perturbations (Hooss et al., 2001; Millar et al., 2016; Sausen and Schumann,
70 2000; Strassmann and Joos, 2018; Thompson and Randerson, 1999), often comparing to more complex models (Joos and Bruno, 1996). Sand et al. (2016), for example, employed an idealized SCM using sums of exponentials (AR5-IR)

to find the Arctic temperature response to regional short-lived climate forcer emissions (e.g., BC) and compared these responses to more complex models.

75 Climate indicators, such as transient climate response (TCR) (Allen et al., 2018; Millar et al., 2017), can also be informed using SCMs. TCR is the measure of the climate response to a $1\% \text{ yr}^{-1}$ increase in CO_2 concentration until doubling of CO_2 relative to pre-industrial level. TCR is useful for understanding the climate response on shorter time scales, as CO_2 concentration doubling takes place in 70 years, a time-frame relevant for many planning decisions (Flato et al., 2013; Millar et al., 2015). TCR and the equilibrium climate sensitivity (ECS) can be combined to estimate
80 the realized warming fraction (RWF), the fraction of total warming manifested up to a given time. Millar et al. (2015) investigated TCR and ECS within an impulse-response model to show the implications of these values on future climate projections by specifically looking at the RWF.

Sums of exponentials are also commonly used to calculate other climate metrics, such as the global warming potential (GWP) and global temperature potential (GTP) (Aamaas et al., 2013; Berntsen and Fuglestedt, 2008; Fuglestedt et al., 2010; Peters et al., 2011; Sarofim and Giordano, 2018). Idealized SCMs, however, often do not account for carbon cycle feedbacks, important for more realistic representations of climate. Both Millar et al. (2017) and Gasser et al. (2017) investigated the effects of adding carbon cycle feedbacks on these metrics produced with idealized SCMs, and found that accounting for feedbacks improved model responses (at least modestly, Gasser et al. 2017).

90 Despite their importance and wide use, the fundamental responses of SCMs have not been fully characterized (Thompson, 2018). In this paper, we use impulse-response tests to address this gap.

2 Methods

2.1 Fundamental impulse tests. Impulse-response tests characterize the SCMs' climate and gas-cycle response to a forcing or emission impulse (Good et al., 2011; Joos et al., 2013). Though fundamental impulse tests have been used
95 in the literature (e.g., Joos et al., 2013), we employ these existing techniques to evaluate several SCMs. In fact, the U.S. National Academies of Science (2016) specifically suggested that SCMs be "assessed on the basis of [the] response to a pulse of emissions," which we do here (National Academies of Sciences, Engineering, 2016).

100 We use three main forcing and emission impulse tests to understand the response of the climate system and gas cycles in the models: (a) a concentration impulse of CO_2 , (b) emissions impulses of BC, CH_4 , or CO_2 , (c) a $4\times\text{CO}_2$ step increase in CO_2 concentration. We carry out these experiments by instantaneously increasing emissions or forcing values in 2015 to avoid the model base years of our SCMs (see S1).

105 We note that impulse-response tests can be considered a type of unit test. Unit testing in software refers to a specific method of comparing output from the smallest portion of code, called a unit (i.e., function), to known outputs (Clune and Rood 2011). Here, we use this term in a similar way as van Vuuren et al. (2011), where MAGICC 6.0 was used

as the reference output to compare several human-Earth system models. We conduct our tests with comparable inputs, which are provided in the Supplementary Materials, and compare model-generated outputs from several SCMs.

110

2.2 Background concentrations. Our impulse response tests are conducted against a time-changing greenhouse gas (GHG) concentration background using emissions from the Representative Concentration Pathway (RCP) 4.5 scenario (Thomson et al., 2011). For each test, therefore, we run a reference scenario in the SCMs, followed by each perturbation case. We report the response, which is obtained by subtracting the reference from the perturbation results for each model. A changing GHG background concentration is a more realistic scenario overall and also reveals biases not otherwise apparent under constant concentration conditions, for example, in SCMs insensitive to changing background concentrations. Further, for emissions impulses this methodology is more readily implemented as a standard impulse test (see S1), as we recommend below. Conducting tests against a constant concentration background in any but the most idealized SCM requires an inversion calculation to determine the emissions pathway that results in a constant concentration. This is an unnecessary barrier to conducting routine impulse response tests.

115

120

2.3 Models. Three comprehensive SCMs—Hector v2.0 (Hartin et al., 2015; Kriegler, 2005), MAGICC 5.3 BC-OC (Raper, et al. 1996, Wigley and Raper 2002, Smith and Bond, 2014), and MAGICC 6.0 (Meinshausen et al., 2011)—are used in this study (S2). The models were selected based on their availability, use in the literature, and their applicability to decision making. We also include two idealized SCMs which employ sums of exponentials to represent the climate or gas-cycle responses, a general approach often used in the literature (Aamaas et al., 2013; Fuglestedt et al., 2003), referred to as impulse response functions (IRFs). IRFs linearly approximate the response of a system to a given forcing (Hooss et al., 2001). A widely used version tested here is the impulse response (IR) model used in the Intergovernmental Panel on Climate Change Fifth Assessment Report (Myhre et al., 2013; See Section 8.7.1.2 - 8.7.1.3; See Section 8.SM.11 for model equations), referred to here as AR5-IR. Additionally, we test version 1.0 of the Finite Amplitude IR (FAIR) model, an extension of AR5-IR including a representation of carbon cycle feedbacks and non-linear forcing (Millar et al., 2017).

125

130

2.2 Parameter selection. We are testing the model responses as they would be ‘out of the box’ and only make modifications if required for the models to run. A model’s ability to emulate an ESM or the multi-model ESM mean is generally explored by the individual SCM development teams, as noted in the references for the Hector, MAGICC, and FAIR models. While emulation is outside the scope of this paper, we conduct sensitivity tests by relying on parameters derived from ESM emulation experiments using MAGICC 6.0 (see S11). We note that due to structural differences in the SCMs it is, in general, not possible to operate the models with identical parameter values (see S2). This reinforces the importance of conducting fundamental impulse response tests to quantify the behavior of the SCMs.

135

140

3 Results

In our paper, we evaluated the SCMs by comparing the models to each other and also, in the limited cases where this is possible, to more complex models. We compare against the suite of complex model results because it has been shown that the multi-model mean behavior of the complex models replicates well a broad suite of observations (e.g., Figure 9.7, Flato et al. 2013). We highlight differences in model responses to a suite of impulse tests to support an informed model selection (see Table 1).

We begin by testing the fundamental dynamics of the temperature response to a well-mixed greenhouse gas forcing impulse by perturbing CO₂ concentrations (Fig. 1), bypassing the carbon cycle (if present). We report both time-series responses (Fig. 1a) and time-integrated responses (Fig. 1b; SI 9). Integrated responses form the basis of commonly used metrics, such as GWP and GTP (Fuglestvedt et al., 2010).

3.1 Responses to CO₂ Concentration Impulse. First, we consider the comprehensive SCMs. Both versions of MAGICC show shifted responses in the first few years following the perturbation due to the way this model treats sub-annual integration of forcing (S5). The shifted responses do not significantly impact integrated results. MAGICC 6.0 initially responds more strongly to the perturbation, with a 6% larger integrated temperature response 20 years after the impulse compared to the comprehensive SCM average (S9). After 30 years, the comprehensive SCMs are within 2% of each other.

The idealized SCMs show varied responses to a CO₂ concentration impulse. Differences in the AR5-IR and FAIR responses are due to a nonlinearity also present in FAIR. According to Equation 8 in Millar et al. (2017) FAIR will have a differential response to changing background CO₂ concentrations. By contrast, AR5-IR parameterizes the climate response to a unit forcing using a sum of exponentials as given by Equation 8.SM.13 in Myhre et al. (2013).

165

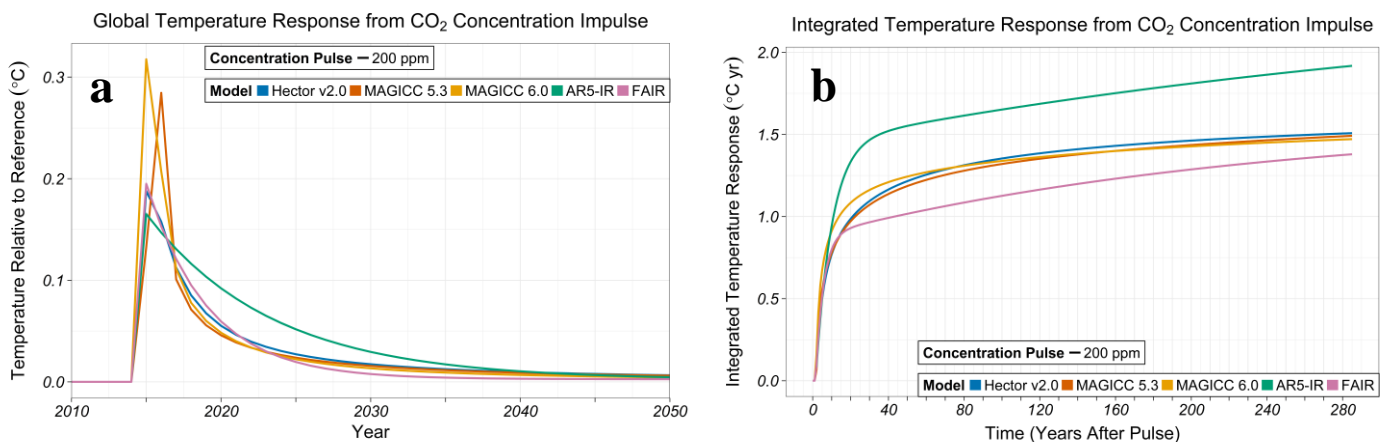


Figure 1: Global mean temperature response (a) and integrated global mean temperature response (b) from a CO₂ concentration perturbation in SCMs (MAGICC 6.0 – yellow, MAGICC 5.3 BC-OC – red, Hector v2.0 – blue, AR5-IR – green, FAIR –pink). The perturbations are conducted in 2015 against the background of the Representative Concentration Pathway (RCP) 4.5 scenario (see Methods). The time-integrated response, analogous to the Absolute Global Temperature Potential, is reported as 0-285 years after the perturbation.

AR5-IR has a much stronger response compared to the comprehensive SCMs; the integrated response is 6% larger than the comprehensive SCMs 20 years after the pulse, increasing to 30% by the end of the model runs. This large difference is due to the absence of feedbacks and nonlinearities in the AR-IR model. FAIR contains an approximate representation of these nonlinearities, responding similarly to the comprehensive SCMs in the near-term, but has a 7% weaker integrated response 285 years after the impulse. The approximations used to represent the carbon cycle and non-linear forcing might account for this, but it is unclear from these results.

3.2 Responses to Emissions Impulses. We now test the model response to an emissions impulse. Compared to forcing-only experiments, emissions perturbation experiments have additional levels of uncertainty from the conversion of emissions to concentrations, as well as carbon cycle feedbacks. As a diagnostic we examine the forcing response, functionally equivalent to examining the concentration response. The three comprehensive SCMs have small differences (<10%) in the integrated forcing response (Fig. 2b) from CO₂ (dashed) emission impulses for all time horizons. AR5-IR, an idealized SCM, responds 11% stronger than the comprehensive SCMs average 20 years after the pulse, increasing to a 17% difference in the integrated response 285 years after the impulse. FAIR does not calculate concentration or forcing, so cannot be included in these comparisons.

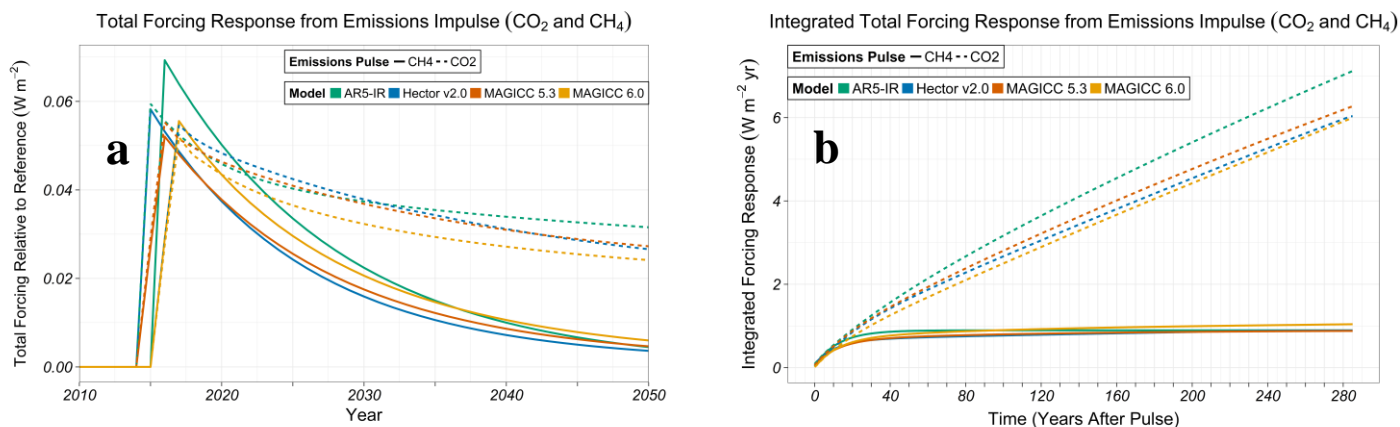


Figure 2: Total forcing response from CO₂ (dashed) and CH₄ (solid) emissions perturbations in SCMs (MAGICC 6.0 – yellow, MAGICC 5.3 BC-OC – red, Hector v2.0 – blue, AR5-IR – green). FAIR does not report forcing. We report the total forcing response, which has slight differences from the gas-only forcing response. The perturbations are conducted in 2015 against the background of the Representative Concentration Pathway (RCP) 4.5 scenario (see Methods). The time-integrated response, analogous to the Absolute Global Warming Potential, is reported as 0-285 years after the perturbation.

We complete the model response sequence by examining the temperature response from emissions perturbations, which is conceptually the combination of the temperature response from a concentration impulse (Fig. 1) and the forcing response from an emissions impulse (Fig. 2). Similarities in the comprehensive SCM responses in Figs. 1 and 2 are reflected in the <5% difference in the temperature response from a CO₂ emissions perturbation 20 years after the impulse (Fig. 3a). AR5-IR responds 30% stronger and FAIR <10% weaker compared to the comprehensive SCM average 20 years after the perturbation (Fig. 3a). FAIR introduces a state-dependent carbon cycle representation

(Millar et al., 2017) and is, in general, an improvement over AR5-IR, but shows a systematic difference with the comprehensive SCMs.

We indirectly compare the time-integrated airborne fraction in our SCMs to three comprehensive ESMs and seven Earth System Models of Intermediate Complexity (EMICs) using results from the Joos et al. (2013) 100 GtC CO₂ pulse experiment, henceforth referred to as Joos et al. Unlike Joos et al., we conduct this experiment with a changing background concentration (S12). The airborne fraction is, therefore, higher in our results. Despite the difference in methodology, comparing the MAGICC 6.0 results here and in Joos et al. allows us to use transitive logic to draw broader conclusions about the other comprehensive SCMs. We note that the Joos et al. MAGICC 6.0 ensemble mean airborne fraction is similar to their multi-model mean at each time horizon (Fig. S28). Because Hector and MAGICC 5.3 have a similar response to MAGICC 6.0 in our results, we conclude that the comprehensive SCM carbon cycle representations generally capture ESM and EMIC responses to the extent this can be evaluated for indirect comparison.

Similarly, we compare the temperature response of the comprehensive SCMs to Joos et al. We find that the comprehensive SCMs capture ESM and EMIC responses in the near-term, with expected differences in response over longer time horizons due to rising background concentrations (S12).

For idealized SCMs, we find that under changing background conditions, FAIR underestimates the airborne fraction compared to the Joos et al. multi-model mean at each time horizon. Without a physical processes-based carbon cycle, AR5-IR is insensitive to pulse size and background concentration (Millar et al., 2017), which results in a similar time-integrated airborne fraction compared to the Joos et al. multi-model mean at each time horizon. The comprehensive SCMs and to a lesser extent, FAIR, offer an improved response compared to AR5-IR (Millar et al., 2017).

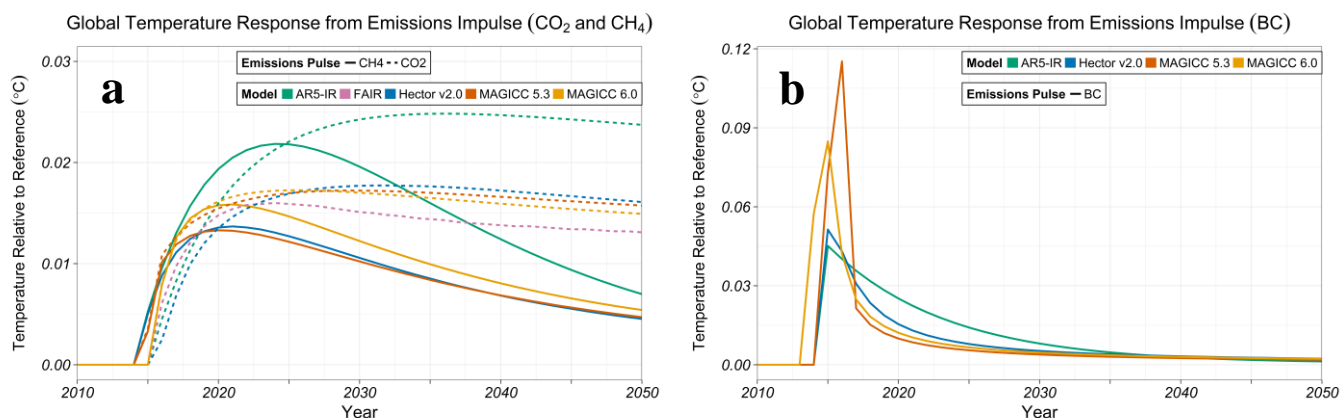


Figure 3: Global mean temperature response from CO₂ and CH₄ emissions perturbations (a) and BC emissions perturbation (b) in SCMs (MAGICC 6.0 – yellow, MAGICC 5.3 BC-OC – red, Hector v2.0 – blue, AR5-IR – green, FAIR - pink).

We next consider model responses to methane (CH₄) emissions perturbations, a shorter-lived greenhouse gas with a dynamic atmospheric lifetime (see S1). The integrated forcing responses of Hector and MAGICC 5.3 are similar, as expected (S9.3). The MAGICC 6.0 integrated forcing response difference from the comprehensive SCM average is

215 9% larger 100 years after the pulse, however (Fig. 2b). As in the CO₂ emissions perturbations, AR5-IR has a much stronger response to a CH₄ emissions perturbation—22% larger 20 years after the pulse—with no meaningful increase 50 years after the pulse (S9).

220 Finally, we look at the models' temperature responses to aerosols by perturbing black carbon (BC) forcing (Fig. 3b). The BC response increases quickly in both MAGICC models compared to the other SCMs (S9.4). Differences in these responses to a BC perturbation derive from model design. Both versions of MAGICC have differential and faster forcing responses over land, where most BC is located, compared to oceans, termed the geometrical effect (Meinshausen et al., 2011). This results in MAGICC responding faster than Hector v2.0, which does not differentiate forcing over land and ocean. Because AR5-IR represents the aerosol forcing as an exponential decay, the integrated 225 temperature response is 20% stronger 20 years after the pulse compared to the other SCMs.

Due to the geometrical effect, we presume that the faster response in MAGICC is more realistic. However, models vary in the representations of aerosol effects (S2). The greenhouse gas-like representation of aerosols in AR5-IR, for example, results in the unrealistically long response time scale found in this test. We do not explicitly conduct other 230 aerosol perturbations (e.g., sulfate), but we would expect results showing similar responses.

BC has a unique set of atmospheric interactions as an absorbing aerosol, causing warming within the atmosphere, but potentially also surface cooling (Stjern et al., 2017, Yang et al. 2019). The response to a step change in BC emissions in two coupled model experiments has been found to have a flat long-term temperature response (Sand et al., 2016; 235 Yang et al., 2019). In contrast, the comprehensive simple models continue to respond over a much longer time scale (S13). This is an indication that SCM responses to BC, in particular, should be reevaluated.

3.3 Responses to 4xCO₂ Concentration Step. Finally, we compare our SCMs with complex models using the abrupt 4xCO₂ concentration experiment from Phase 5 of the Coupled Model Intercomparison Project (CMIP5) (Taylor et al., 240 2012) (see S1 and S3). We find that Hector, MAGICC 5.3, and FAIR have initially quicker responses to an abrupt 4xCO₂ concentration increase (Fig. 4). This is also reflected in their long term RWF, which is also larger than most of the complex models (see S10). Compared to the other SCMs, AR5-IR has a faster response to an abrupt 4xCO₂ concentration increase and is consistent with the stronger response to a forcing impulse. Differences between the model responses to a finite pulse (Fig. 1) and a large concentration step (Fig. 4) demonstrates the expected bias in 245 AR5-IR under larger perturbations. The insensitivity of idealized SCMs to changing background concentrations will bias results if used under realistic future pathways (Millar et al., 2017).

Compared to the other comprehensive SCMs, MAGICC 6.0 initially responds more strongly under a CO₂ concentration impulse (Fig. 1). In the non-linear abrupt 4xCO₂ concentration regime, however, MAGICC 6.0 responds 250 more slowly, similar to the complex model responses, especially in the first 20 years after the pulse. MAGICC 6.0 appears to respond more reasonably under stronger forcing conditions than the other SCMs.

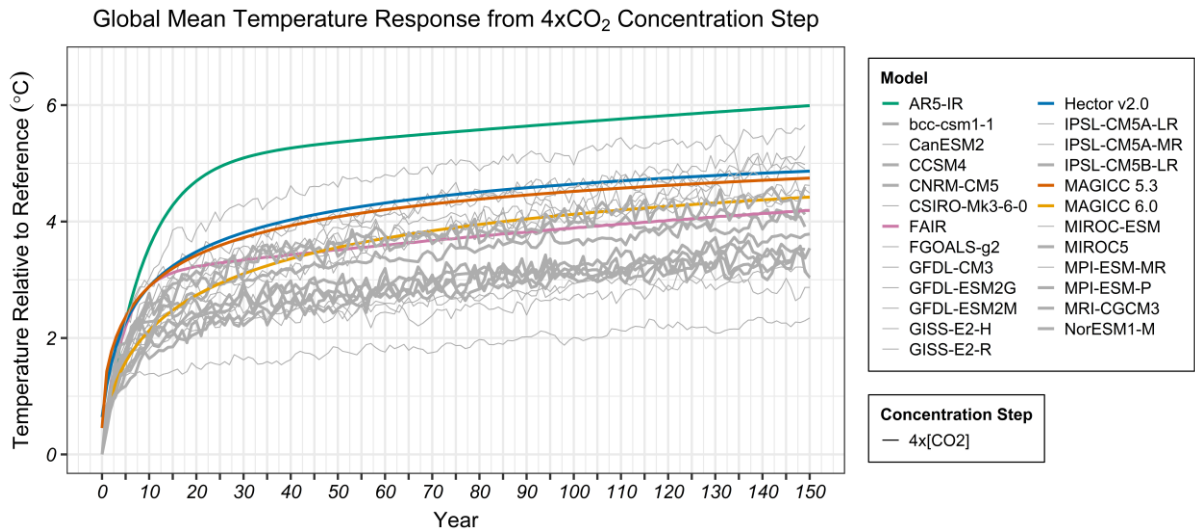


Figure 4: Global mean temperature response from 4xCO₂ concentration step in CMIP5 models (grey) and SCMs (MAGICC 6.0 – yellow, MAGICC 5.3 BC-OC – red, Hector v2.0 – blue, FAIR – pink, AR5-IR –green). A climate sensitivity value of 3°C was used in the SCMs and the thick lines represent CMIP5 models with an ECS between 2.5 - 3.5 °C.

4 Conclusions

The impulse response tests conducted here enable us to uncover differences in model behavior that are not apparent when running standard, multi-emission scenarios. Indeed, one of the important uses of SCMs is to conduct model experiments where there may be relatively small changes in emissions between two scenarios. Because SCMs do not exhibit internal variability, such experiments can be used to quantify such changes. Impulse response tests also allow us to understand, on a more fundamental level, differences between SCMs that have been found comparing simulations of more conventional scenarios (e.g., van Vuuren et al., 2011).

By using fundamental impulse tests, we found that idealized SCMs using sums of exponentials often fail to capture the responses of more complex models. SCMs that include representations of non-linear processes, such as FAIR, show improved responses, though these models still do not perform as well as comprehensive SCMs with physically-based representations. Fundamental tests, such as a 4xCO₂ concentration step, show that most of the SCMs used here have a faster warming rate in this strong forcing regime compared to more complex models. However, comprehensive SCM responses are similar to more complex models under smaller, more realistic perturbations (Joos et al., 2013).

It is not possible to compare these fundamental responses with observations, and it is even more difficult to compare SCMs with the more complex models at decadal time horizons due to internal variability (e.g., Joos et al., 2013, Figure 2a). However, it is common in the climate modeling literature to use the multi-model mean as a base comparison. In fact, the CMIP5 multi-model mean has been shown to replicate observations better than any individual complex model (Flato et al. 2013).

Thus, we use the comprehensive SCM multi-model mean to compare to the individual model responses for most of
275 our experiments. We also use the CMIP5 multi-model mean, developed using only those complex models with
comparable climate sensitivity values to the SCMs (S10), to compare the SCM responses from a 4xCO₂ forcing step.
We posit that the responses closer to the multi-model mean are likely to more accurately represent that particular
response pattern. We illustrate this assumption by reporting the time-integrated temperature response percent
difference from the relevant multi-model mean in Table 1 (S14). Additional time-integrated temperature responses
280 can be found in S9.

We note that the comprehensive SCM responses to a CO₂ concentration impulse are within 2% of the comprehensive
SCM average, while the idealized SCMs, FAIR v1.0 and AR5-IR, have greater differences 100 years after the pulse.

285 Under the 4xCO₂ concentration step experiment, we can compare the SCM responses to more complex models from
CMIP5. MAGICC 6.0 appears to respond more reasonably under stronger forcing conditions than the other SCMs
100 years after the pulse, though only marginally better than FAIR. Hector v2.0, MAGICC 5.3, and FAIR have initially
quicker responses to an abrupt 4xCO₂ concentration increase compared to the ESMs. AR5-IR has too strong a response
to an abrupt 4xCO₂ concentration increase and is insensitive to changing background concentrations.

290 For CH₄ emissions impulses, we use the difference from the comprehensive SCM average to rate the responses. CH₄
is a well-mixed GHG and, therefore, we expect that the climate system response to CH₄ concentration perturbations
will be similar to that for CO₂. However, it would be useful to evaluate in more complex models to determine if the
simple representation of chemistry in the comprehensive SCMs adequately represents the time evolution of CH₄
295 concentrations in response to a change in emissions.

Finally, we do not have a definitive reference for the time-dependent response to BC forcing perturbations. Instead,
we compare the SCMs using the difference from the average of both MAGICC models, which both differentiate
aerosol forcing between land and ocean, resulting in a faster overall climate response to aerosols as compared to
300 greenhouse gases (Shindell et al., 2014; Sand et al., 2016; Yang et al. 2019). And in the case of BC, we note that the
SCM responses should be taken critically because they do not accurately represent the temporal response to a BC step
found in ESMs. A more definitive evaluation of climate system responses to aerosol perturbations would be useful.
This would require additional complex model simulations of step emission changes for various aerosol species and/or
forcing mechanisms. There are currently two studies that have conducted this test, one study specifically investigated
305 NorESM's response to black carbon (BC) perturbations (Sand et al., 2016) and a more recent study that conducted
similar BC perturbations in CESM (Yang et al., 2019).

<i>Percent Integrated Temperature Response Differences for each Simple Climate Model (%)</i>							
Species	Impulse	Time After Pulse	Hector v2.0	MAGICC 5.3	MAGICC 6.0	FAIR v1.0	AR5-IR
CO ₂	4x Forcing Step	H = 100 yrs	38%	35%	15%	18%	73%
	Forcing Impulse	H = 100 yrs	1.0%	-1.2%	0.25%	-16%	23%
	GHG Emissions	H = 100 yrs	-0.57%	2.2%	-1.6%	-14%	31%
CH ₄	GHG Emissions	H= 20 yrs	-3.1%	-5.6%	8.7%	--	47%
BC	Aerosol Emissions	H = 20 yrs	-9.3%	1.1%	8.1%	--	19%

Table 1: Percent Integrated Temperature Response Differences. The values are the percent difference in time-integrated temperature response compared to the relevant reference (generally comprehensive SCM average in S9). * For BC specifically, we note that none of the SCMs reflect the temporal response for BC seen in two ESMs (Sand et al., 2016; Yang et al., 2019) (S14).

310

There are numerous benefits to using simplified models, but the selection of the model should be rooted in a clear understanding of the model responses (see Table 1). Our work illustrates the necessity of using fundamental impulse tests to evaluate SCMs and we recommend that modeling communities adopt them as a standard validation suite for any SCM. Given that idealized SCMs are biased in their temporal responses, more comprehensive SCMs could be used for many applications without compromising on accessibility or computational requirements.

315

Author contribution. SJS, CAH, and AKS contributed to experiment design and figure development. AKS performed the experimental simulations and developed the AR5-IR model code in R. AKS prepared the manuscript with contributions from all co-authors.

320

Conflict of Interest. The authors declare that they have no conflicts of interest.

Acknowledgements. This research was supported by the U.S. Department of Energy, Office of Science, as part of research in Multi-Sector Dynamics, Earth and Environmental System Modeling Program, and by the
325 U.S. Environmental Protection Agency. The Pacific Northwest National Laboratory is operated for DOE by Battelle Memorial Institute under contract DE-AC05-76RL01830. The views and opinions expressed in this paper are those of the authors alone.

330

We acknowledge the World Climate Research Programme's Working Group on Coupled Modelling, which is responsible for CMIP, and we thank the climate modeling groups for producing and making available their model output. For CMIP the U.S. Department of Energy's Program for Climate Model Diagnosis and Intercomparison provides coordinating support and led development of software infrastructure in partnership with the Global Organization for Earth System Science Portals.

Code Availability

335

All model input files generated for our experiments, and the resulting impulse response functions, are provided in the Supplementary Materials or online at <https://github.com/akschw04/Fundamental-Impulse-Tests-in-SCMs-Datasets>. The authors appreciate that any use of this data be attributed.

References

340

Aamaas, B., Peters, G. P. and Fuglestedt, J. S.: Simple emission metrics for climate impacts, *Earth Syst. Dyn.*, 4(1), 145–170, doi:10.5194/esd-4-145-2013, 2013.

345

Allen, M. R., Shine, K. P., Fuglestedt, J. S., Millar, R., Cain, M., Frame, D. J. and Macey, A. H.: A solution to the misrepresentations of CO₂-equivalent emissions of 2 short-lived climate pollutants under ambitious mitigation, *Npj Clim. Atmos. Sci.*, (February), 1–8, doi:10.1038/s41612-018-0026-8, 2018.

Berntsen, T. and Fuglestedt, J.: Global temperature responses to current emissions from the transport sectors, *Proc. Natl. Acad. Sci.*, 105(49), 19154–19159, doi:10.1073/pnas.0804844105, 2008.

Calel, R. and Stainforth, D. A.: On the physics of three integrated assessment models, *Bull. Am. Meteorol. Soc.*, 98(6), 1199–1216, doi:10.1175/BAMS-D-16-0034.1, 2017.

350

Flato, G., Marotzke, J., Abiodun, B., Braconnot, P., Chou, S. C., Collins, W., Cox, P., Driouech, F., Emori, S., Eyring, V., Forest, C., Gleckler, P., Guilyardi, E., Jakob, C., Kattsov, V., Reason, C. and Rummukainen, M.: Evaluation of Climate Models, *Clim. Chang. 2013 Phys. Sci. Basis. Contrib. Work. Gr. I to Fifth Assess. Rep. Intergov. Panel Clim. Chang.*, 741–866, doi:10.1017/CBO9781107415324, 2013.

355

Friedlingstein, P., Meinshausen, M., Arora, V. K., Jones, C. D., Anav, A., Liddicoat, S. K. and Knutti, R.: Uncertainties in CMIP5 climate projections due to carbon cycle feedbacks, *J. Clim.*, 27(2), 511–526, doi:10.1175/JCLI-D-12-00579.1, 2014.

- Fuglestad, J. S., Berntsen, T. K., Godal, O., Sausen, R., Shine, K. P. and Skodvin, T.: Metrics of climate change: Assessing radiative forcing and emission indices, *Clim. Change*, 58(3), 267–331, doi:10.1023/A:1023905326842, 2003.
- 360 Fuglestad, J. S., Shine, K. P., Berntsen, T., Cook, J., Lee, D. S., Stenke, A., Skeie, R. B., Velders, G. J. M. and Waitz, I. A.: Transport impacts on atmosphere and climate: Metrics, *Atmos. Environ.*, 44(37), 4648–4677, doi:10.1016/j.atmosenv.2009.04.044, 2010.
- Gasser, T., Peters, G. P., Fuglestad, J. S., Collins, W. J., Shindell, D. T. and Ciais, P.: Accounting for the climate–carbon feedback in emission metric, *Earth Syst. Dyn.*, 8(2), 235–253, doi:10.5194/esd-8-235-2017, 2017.
- 365 Geoffroy, O., Saint-martin, D., Olivie, D. J. L., Voldoire, A., Bellon, G. and Tytca, S.: Transient climate response in a two-layer energy-balance model. Part I: Analytical solution and parameter calibration using CMIP5 AOGCM experiments, *J. Clim.*, 26(6), 1841–1857, doi:10.1175/JCLI-D-12-00195.1, 2013.
- Good, P., Gregory, J. M. and Lowe, J. A.: A step-response simple climate model to reconstruct and interpret AOGCM projections, *Geophys. Res. Lett.*, 38(1), n/a-n/a, doi:10.1029/2010GL045208, 2011.
- 370 Harmsen, M. J. H. M., Van Vuuren, D. P., Van Den Berg, M., Hof, A. F., Hope, C., Krey, V., Lamarque, J.-F., Marcucci, A., Shindell, D. T. and Schaeffer, M.: How well do integrated assessment models represent non-CO 2 radiative forcing?, *Clim. Change*, 133, 565–582, doi:10.1007/s10584-015-1485-0, 2015.
- Hartin, C. A., Patel, P., Schwarber, A., Link, R. P. and Bond-Lamberty, B. P.: A simple object-oriented and open-source model for scientific and policy analyses of the global climate system - Hector v1.0, *Geosci. Model Dev.*, 8(4), 939–955, doi:10.5194/gmd-8-939-2015, 2015.
- 375 Hooss, G., Voss, R., Hasselmann, K., Maier-Reimer, E. and Joos, F.: A nonlinear impulse response model of the coupled carbon cycle-climate system (NICCS), *Clim. Dyn.*, 18(3–4), 189–202, doi:10.1007/s003820100170, 2001.
- Hope, C.: The Marginal Impact of CO2 from PAGE2002: An Integrated Assessment Model Incorporating the IPCC’s Five Reasons for Concern, *Integr. Assess. J.*, 6(1), 16–56, doi:10.1016/j.jns.2003.09.014, 2006.
- 380 Joos, F. and Bruno, M.: Pulse response functions are cost-efficient tools to model the link between carbon emissions, atmospheric CO2 and global warming, *Phys. Chem. Earth*, 21(5–6), 471–476, doi:10.1016/S0079-1946(97)81144-5, 1996.
- Joos, F., Müller-Fürstenberger, G. and Stephan, G.: Correcting the carbon cycle representation: How important is it for the economics of climate change?, *Environ. Model. Assess.*, 4(2), 133–140, doi:doi:10.1023/A:1019004015342, 1999.
- 385 Joos, F., Roth, R., Fuglestad, J. S., Peters, G. P., Enting, I. G., Von Bloh, W., Brovkin, V., Burke, E. J., Eby, M., Edwards, N. R., Friedrich, T., Frölicher, T. L., Halloran, P. R., Holden, P. B., Jones, C., Kleinen, T., Mackenzie, F. T., Matsumoto, K., Meinshausen, M., Plattner, G. K., Reisinger, A., Segschneider, J., Shaffer, G., Steinacher, M., Strassmann, K., Tanaka, K., Timmermann, A. and Weaver, A. J.: Carbon dioxide and climate impulse response functions for the computation of greenhouse gas metrics: A multi-model analysis, *Atmos. Chem. Phys.*, 13(5), 2793–2825, doi:10.5194/acp-13-2793-2013, 2013.
- 390 Khodayari, A., Wuebbles, D. J., Olsen, S. C., Fuglestad, J. S., Berntsen, T., Lund, M. T., Waitz, I., Wolfe, P., Forster, P. M., Meinshausen, M., Lee, D. S. and Lim, L. L.: Intercomparison of the capabilities of simplified climate models to project the effects of aviation CO2 on climate, *Atmos. Environ.*, 75, 321–328, doi:10.1016/J.ATMOSENV.2013.03.055, 2013.
- 395 Knutti, R. and Sedláček, J.: Robustness and uncertainties in the new CMIP5 climate model projections, *Nat. Clim. Chang.*, 3(October), 1–5, doi:10.1038/nclimate1716, 2012.
- Knutti, R., Allen, M. R., Friedlingstein, P., Gregory, J. M., Hegerl, G. C., Meehl, G. A., Meinshausen, M., Murphy, J. M., Plattner, G. K., Raper, S. C. B., Stocker, T. F., Stott, P. A., Teng, H. and Wigley, T. M. L.: A review of uncertainties in global temperature projections over the twenty-first century, *J. Clim.*, 21(11), 2651–2663, doi:10.1175/2007JCLI2119.1, 2008.
- 400 Kriegl, E.: Imprecise Probability Analysis for Integrated Assessment of Climate Change, Time, 258 [online] Available from: <https://publishup.uni-potsdam.de/opus4-ubp/frontdoor/index/index/docId/497> (Accessed 29 October 2017), 2005.
- 405 Meinshausen, M., Raper, S. C. B. and Wigley, T. M. L.: Emulating IPCC AR4 atmosphere-ocean and carbon cycle models for projecting global-mean, hemispheric and land/ocean temperatures: MAGICC 6.0, *Atmos. Chem. Phys. Discuss.*, 8(2), 6153–6272 [online] Available from: <https://hal.archives-ouvertes.fr/hal-00304059> (Accessed 21 May 2018), 2008.
- 410 Meinshausen, M., Meinshausen, N., Hare, W., Raper, S. C. B., Frieler, K., Knutti, R., Frame, D. J. and Allen, M. R.: Greenhouse-gas emission targets for limiting global warming to 2 °C, *Nature*, 458(7242), 1158–1162, doi:10.1038/nature08017, 2009.

- Meinshausen, M., Raper, S. C. B. and Wigley, T. M. L.: Emulating coupled atmosphere-ocean and carbon cycle models with a simpler model, MAGICC6 - Part 1: Model description and calibration, *Atmos. Chem. Phys.*, 11(4), 1417–1456, doi:10.5194/acp-11-1417-2011, 2011.
- 415 Millar, J. R., Nicholls, Z. R., Friedlingstein, P. and Allen, M. R.: A modified impulse-response representation of the global near-surface air temperature and atmospheric concentration response to carbon dioxide emissions, *Atmos. Chem. Phys.*, 17(11), 7213–7228, doi:10.5194/acp-17-7213-2017, 2017.
- 420 Millar, R. J., Otto, A., Forster, P. M., Lowe, J. a., Ingram, W. J. and Allen, M. R.: Model structure in observational constraints on transient climate response, *Clim. Change*, 199–211, doi:10.1007/s10584-015-1384-4, 2015.
- Millar, R. J., Nicholls, Z. R., Friedlingstein, P. and Allen, M. R.: A modified impulse-response representation of the global response to carbon dioxide emissions, *Atmos. Chem. Phys. Discuss.*, 1–20, doi:10.5194/acp-2016-405, 2016.
- Monckton, C., Soon, W. W. H., Legates, D. R. and Briggs, W. M.: Why models run hot: results from an irreducibly simple climate model, *Sci. Bull.*, 60(1), 122–135, doi:10.1007/s11434-014-0699-2, 2015.
- 425 Moss, R. H., Edmonds, J. A., Hibbard, K. A., Manning, M. R., Rose, S. K., van Vuuren, D. P., Carter, T. R., Emori, S., Kainuma, M., Kram, T., Meehl, G. A., Mitchell, J. F. B., Nakicenovic, N., Riahi, K., Smith, S. J., Stouffer, R. J., Thomson, A. M., Weyant, J. P. and Wilbanks, T. J.: The next generation of scenarios for climate change research and assessment, *Nature*, 463(7282), 747–756, doi:10.1038/nature08823, 2010.
- 430 Myhre, G., Shindell, D., Bréon, F.-M., Collins, W., Fuglestvedt, J., Huang, J., Koch, D., Lamarque, J.-F., Lee, D., Mendoza, B., Nakajima, T., Robock, A., Stephens, G., Takemura, T. and Zhang, H.: Anthropogenic and Natural Radiative Forcing, *Clim. Chang. 2013 Phys. Sci. Basis. Contrib. Work. Gr. I to Fifth Assess. Rep. Intergov. Panel Clim. Chang.*, 659–740, doi:10.1017/CBO9781107415324.018, 2013.
- National Academies of Sciences, Engineering, and M.: Assessment of a Near-Term Update to the Social Cost of Carbon, edited by T. N. A. Press, Washington, DC., 2016.
- 435 Ortiz, R. A. and Markandya, A.: Integrated Impact Assessment Models of Climate Change with an Emphasis on Damage Functions: a Literature Review, *Basqu. Cent. Clim. Chang.*, (October 2009), 1–35 [online] Available from: <http://ideas.repec.org/p/bcc/wpaper/2009-06.html#download>, 2009.
- Peters, G. P., Aamaas, B., Berntsen, T. and Fuglestvedt, J. S.: The integrated global temperature change potential (iGTP) and relationships between emission metrics, *Environ. Res. Lett.*, 6(4), 044021, doi:10.1088/1748-9326/6/4/044021, 2011.
- 440 Raper S.C.B., Wigley T.M.L., Warrick R.A. Sea-Level Rise and Coastal Subsidence: Causes, Consequences and Strategies, eds Milliman JD, Haq BU (Kluwer, Dordrecht, The Netherlands), 11–45, 1996.
- Rogelj, J., Meinshausen, M. and Knutti, R.: Global warming under old and new scenarios using IPCC climate sensitivity range estimates, *Nat. Clim. Chang.*, 2(4), 248–253, doi:10.1038/nclimate1385, 2012.
- 445 Rogelj, J., Schaeffer, M., Meinshausen, M., Shindell, D. T., Hare, W., Klimont, Z., Velders, G. J. M., Amann, M. and Schellnhuber, H. J.: Disentangling the effects of CO₂ and short-lived climate forcer mitigation, *Proc. Natl. Acad. Sci.*, 111(46), 16325–16330, doi:10.1073/pnas.1415631111, 2014.
- S.H. Schneider and S.L. Thompson: V. A Simple Climate Model Used in Economic Studies of Global Change, *Integr. Assess.*, 59–80, 2000.
- 450 Sand, M., Berntsen, T. K., Von Salzen, K., Flanner, M. G., Langner, J. and Victor, D. G.: Response of Arctic temperature to changes in emissions of short-lived climate forcers, *Nat. Clim. Chang.*, 6(3), 286–289, doi:10.1038/nclimate2880, 2016.
- Sarofim, M. C. and Giordano, M. R.: A quantitative approach to evaluating the GWP timescale through implicit discount rates, *Earth Syst. Dyn. Discuss. Rev.*, 1013–1024, doi:10.5194/esd-2018-6, 2018.
- 455 Sausen, R. and Schumann, U.: Estimates of the Climate Response to Aircraft CO₂ and NO_x Emissions Scenarios, *Clim. Change*, 44(1–2), 27–58, doi:10.1023/A:1005579306109, 2000.
- Smith, S. J. and Bond, T. C.: Two hundred fifty years of aerosols and climate: The end of the age of aerosols, *Atmos. Chem. Phys.*, 14(2), 537–549, doi:10.5194/acp-14-537-2014, 2014.
- 460 Stjern, C. W., Samset, B. H., Myhre, G., Forster, P. M., Hodnebrog, Ø., Andrews, T., Boucher, O., Faluvegi, G., Iversen, T., Kasoar, M., Khari, V., Kirkevåg, A., Lamarque, J. F., Olivié, D., Richardson, T., Shawki, D., Shindell, D., Smith, C. J., Takemura, T. and Voulgarakis, A.: Rapid Adjustments Cause Weak Surface Temperature Response to Increased Black Carbon Concentrations, *J. Geophys. Res. Atmos.*, 122(21), 11,462–11,481, doi:10.1002/2017JD027326, 2017.
- 465 Strassmann, K. and Joos, F.: The Bern Simple Climate Model (BernSCM) v1.0: an extensible and fully documented open source reimplementation of the Bern reduced form model for global carbon cycle-climate simulations, *Geosci. Model Dev.*, 1–31, doi:10.5194/gmd-2017-233, 2018.
- Tanaka, K. and Kriegl, E.: Aggregated carbon cycle, atmospheric chemistry, and climate model (ACC2) – Description of the forward and inverse modes – , *Reports Earth Syst. Sci.*, 199, 2007.

- 470 Taylor, K. E., Stouffer, R. J. and Meehl, G. A.: An overview of CMIP5 and the experiment design, *Bull. Am. Meteorol. Soc.*, 93(4), 485–498, doi:10.1175/BAMS-D-11-00094.1, 2012.
- Thompson, M. V. and Randerson, J. T.: Impulse response functions of terrestrial carbon cycle models: Method and application, *Glob. Chang. Biol.*, 5(4), 371–394, doi:10.1046/j.1365-2486.1999.00235.x, 1999.
- Thompson, T. M.: Modeling the climate and carbon systems to estimate the social cost of carbon, *Wiley Interdiscip. Rev. Clim. Chang.*, (October 2017), e532, doi:10.1002/wcc.532, 2018.
- 475 Thomson, A. M., Calvin, K. V., Smith, S. J., Kyle, G. P., Volke, A., Patel, P., Delgado-Arias, S., Bond-Lamberty, B., Wise, M. A., Clarke, L. E. and Edmonds, J. A.: RCP4.5: A pathway for stabilization of radiative forcing by 2100, *Clim. Change*, 109(1), 77–94, doi:10.1007/s10584-011-0151-4, 2011.
- van Vuuren, D. P., Meinshausen, M., Plattner, G.-K., Joos, F., Strassmann, K. M., Smith, S. J., Wigley, T. M. L., Raper, S. C. B., Riahi, K., de la Chesnaye, F., den Elzen, M. G. J., Fujino, J., Jiang, K., Nakicenovic, N., Paltsev, S. and Reilly, J. M.: Temperature increase of 21st century mitigation scenarios, *Proc. Natl. Acad. Sci.*, 105(40), 15258–15262, doi:10.1073/pnas.0711129105, 2008.
- 480 van Vuuren, D. P., Lowe, J., Stehfest, E., Gohar, L., Hof, A. F., Hope, C., Warren, R., Meinshausen, M. and Plattner, G. K.: How well do integrated assessment models simulate climate change?, *Clim. Change*, 104(2), 255–285, doi:10.1007/s10584-009-9764-2, 2011a.
- 485 van Vuuren, D. P., Edmonds, J., Kainuma, M., Riahi, K., Thomson, A., Hibbard, K., Hurtt, G. C., Kram, T., Krey, V., Lamarque, J.-F., Masui, T., Meinshausen, M., Nakicenovic, N., Smith, S. J. and Rose, S. K.: The representative concentration pathways: an overview, *Clim. Change*, 109(1–2), 5–31, doi:10.1007/s10584-011-0148-z, 2011b.
- Wigley, T. M. L., S. R.: Reasons for Larger Warming Projections in the IPCC Third Assessment Report spanning warming range spanning uncertainties in both, *J. Clim.*, 2945–2952, 2002.
- 490 Yang, Y., Smith, S. J., Wang, H., Mills, C. M. and Rasch, P. J.: Variability, timescales, and non-linearity in climate responses to black carbon emissions, *Atmos. Chem. Phys. Discuss.*, 1–44, doi:10.5194/acp-2018-904, 2019.