



1     **North Pacific subtropical sea surface temperature frontogenesis and**  
2                     **its connection with the atmosphere above**

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## ABSTRACT

14       The frontogenesis of the North Pacific subtropical sea surface temperature front  
15 (NPSTF) occurring from October to the following February is examined  
16 quantitatively based on the mixed-layer energy budget equation, with a focus on its  
17 connection with the atmosphere above. Diagnosis results show that the net heat flux  
18 dominates the frontogenesis from October to December, while the meridional  
19 temperature advection in the ocean contributes equally as or even more than the net  
20 heat flux in January and February. The atmosphere is critical to the frontogenesis of  
21 the NPSTF, including the direct effect of the net heat flux and the indirect effect  
22 through the Aleutian low. Further analyses demonstrate that the latent heat flux (the  
23 shortwave radiation) dominates the net heat flux in October (from November to  
24 February). The meridional temperature advection in the ocean is mostly owing to the  
25 meridional Ekman convergence, which is related to the Aleutian low. Climatologically,  
26 the strengthening and southward migration of the Aleutian low from October to the  
27 following February are characterized by the acceleration and southward shift of the  
28 westerly wind to the south, respectively, which can drive southward ocean currents.  
29 Correspondingly, the southward ocean currents give the colder meridional advection  
30 to the north of the NPSTF in January and February, favoring the frontogenesis. In  
31 addition, the Aleutian low plays a role in transforming the dominant effect of the net  
32 heat flux to the joint effect of the meridional temperature advection and the net heat  
33 flux in January. CESM1.0.3 model with a slab ocean model further confirms the  
34 important influence of the atmosphere on the frontogenesis and on the meridional



35 temperature advection.

36 **Key words:** North Pacific subtropical sea surface temperature front; frontogenesis;

37 net heat flux; meridional temperature advection; Aleutian low



## 38 **1. Introduction**

39 The North Pacific Ocean is featured by two zonal sea surface temperature (SST)  
40 fronts at mid-latitude and subtropics, respectively. The mid-latitude front, with greater  
41 magnitude, is referred to as the North Pacific subarctic SST front (NPSAF), and the  
42 subtropical one is the North Pacific subtropical SST front (NPSTF). Due to the  
43 smaller magnitude, the NPSTF has been rarely studied. However, it also exerts  
44 significant influences on the overlying atmosphere (Xie, 2004; Kobashi et al., 2008;  
45 Wang et al., 2016; Zhang et al., 2017, 2018). On the synoptic scale, Kobashi et al.  
46 (2008) found that the subsynoptic lows along the NPSTF are enhanced by the  
47 condensational heating and baroclinicity associated with the NPSTF during April to  
48 May. On the interannual scale, the intensified NPSTF in spring can not only  
49 accelerate the East Asian westerly jet (Zhang et al., 2017), but also serve as a  
50 precursor to the following La Niña event (Zhang et al., 2018).

51 From the respective of the seasonal variation, the NPSAF can exist throughout the  
52 year, but the NPSTF is robust in winter and spring and is absent in summer and  
53 autumn (Fig. 1; Kobashi and Xie, 2012). Thus, several studies have focused on the  
54 frontogenesis and frontolysis of the NPSTF (Qiu and Kawamura, 2012; Qiu et al.,  
55 2014; Roden, 1975; Kazmin and Rienecker, 1996). It is pointed out that the net heat  
56 flux is responsible for the frontolysis of the NPSTF (Qiu and Kawamura, 2012; Qiu et  
57 al., 2014). In terms of the frontogenesis, Roden (1975) found the meridional Ekman  
58 convergence is the primary reason for the frontogenesis of the NPSTF. However,  
59 Kazmin and Rienecker (1996) diagnosed the mixed-layer energy budget equation



60 using the observation data from 1982 to 1990, and pointed out that both the net heat  
61 flux and the Ekman convergence are frontogenetic and equally important to provide  
62 the observed frontogenesis in winter, rather than the Ekman convergence alone. This  
63 finding is further confirmed by Dinniman and Rienecker (1999), based on the 10  
64 years' (1985-1995) simulation of a primitive equation model (Geophysical Fluid  
65 Dynamics Laboratory's MOM2). However, they argued that these two factors are not  
66 equally important: the net heat flux (the Ekman convergence) dominates the  
67 frontogenesis in the western subtropical Pacific (the central and eastern subtropical  
68 Pacific). Thus, the relative role of the net heat flux and the Ekman convergence in the  
69 frontogenesis of the NPSTF remains unclear, due to limited data used in previous  
70 studies. Meanwhile, the net heat flux is associated with the air-sea interaction, and the  
71 Ekman convergence is driven by the surface wind stress, implying that both  
72 frontogenesis factors are closely related to the atmospheric circulation. Kazmin (2017)  
73 demonstrated the long-term (quasi-decadal) variability of the subtropical SST front is  
74 determined by the variability of the meridional shear of the zonal wind. Thus, the role  
75 of the atmosphere in the frontogenesis of the NPSTF deserves to further study.

76 Therefore, this paper aims to figure out the relative importance of the net heat flux  
77 and Ekman convergence in the frontogenesis of the NPSTF, especially the role of the  
78 atmosphere in this process. The rest of the paper is organized as follows. We introduce  
79 the data and methods in Section 2. We analyze the frontogenesis of the NPSTF using  
80 the mixed-layer energy budget equation in Section 3 to explore the relative  
81 importance of the net heat flux and the oceanic meridional temperature advection



82 (including the Ekman convergence). Section 4 further investigates the roles of  
83 atmosphere in the frontogenesis. Summary is given in Section 5.

84

## 85 **2 Data and Methods**

### 86 2.1 Data

87 We use the monthly ocean temperature, current velocities and wind stress from the  
88 Simple Ocean Data Assimilation (SODA; Carton and Giese, 2008) version 2.2.4 at  
89  $0.5^\circ \times 0.5^\circ$  grid with 40 levels from the depth of 5 to 2000 m. We also use surface heat  
90 fluxes from the Objectively Analyzed Air-sea Fluxes Project (OAFlux; Yu and Weller,  
91 2007) at  $2.5^\circ \times 2.5^\circ$  grid to examine the mixed-layer energy budget. All heat fluxes are  
92 defined to be positive downward. For consistency, all variables are interpolated onto  
93  $0.5^\circ \times 0.5^\circ$  grid, and they cover the period from January 1984 to December 2009. The  
94 ocean temperature at  $1.0^\circ \times 1.0^\circ$  grid with 27 levels from the International Pacific  
95 Research Center (IPRC) Argo Product, together with ocean currents (on 40 levels)  
96 and surface heat fluxes at  $0.3^\circ \times 1.0^\circ$  grid from the NCEP Global Ocean Data  
97 Assimilation System (GODAS; Saha et al., 2011) are used to confirm our results  
98 based on the SODA data. These data are interpolated onto  $1.0^\circ \times 1.0^\circ$  grid at 27 depths,  
99 and only cover the period from January 2005 to December 2013.

100 The atmospheric data used in this study are monthly ERA-interim reanalysis from  
101 the European Center for Medium-range Weather Forecasts (ECMWF; Dee et al.,  
102 2011), including geopotential height and winds. They are on  $1.5^\circ \times 1.5^\circ$  grid, and cover  
103 the period from January 1984 to December 2009.



104 2.2 The mixed-layer energy budget equation

105 The temporal variation of SST is governed by mixed-layer dynamics, which can  
106 be represented by the mixed-layer energy budget equation (Dinniman and Rienecker,  
107 1999; Zhang et al., 2013):

$$108 \quad \frac{\partial SST}{\partial t} = -u \frac{\partial SST}{\partial x} - v \frac{\partial SST}{\partial y} - w \frac{\Delta T}{H} + \frac{Q_{net}}{\rho_0 c_p H} + R, \quad (1)$$

109 where  $SST$  denotes sea surface temperature (here, we assume that  $SST$  equals  
110 mixed-layer mean temperature), and  $\Delta T$  represents the temperature difference  
111 between the mixed layer and the interior ocean immediately below the mixed layer.  $u$   
112 and  $v$  are mixed-layer zonal and meridional oceanic current velocities, respectively;  $w$   
113 is the vertical velocity at the bottom of the mixed layer.  $H$  is mixed-layer depth.  $Q_{net}$   
114 is the net surface heat flux, including sensible and latent heat fluxes, as well as  
115 longwave and shortwave radiation. A positive value of  $Q_{net}$  means that the ocean  
116 gains heat from the atmosphere.  $\rho_0$  and  $c_p$  are the density and heat capacity of sea  
117 water, respectively.  $R$  is the residual term, including sub-grid scale processes and  
118 dissipation. The zonal temperature advection ( $-u\partial SST/\partial x$ ), meridional temperature  
119 advection ( $-v\partial SST/\partial y$ ) and vertical temperature advection ( $-w\Delta T/H$ ) are intrinsic  
120 processes in the ocean (Yu and Boer, 2004; Chen et al., 2014), while the net heat flux  
121 term ( $Q_{net}/\rho_0 c_p H$ ) represents air-sea interaction. The SST tendency ( $\partial SST/\partial t$ ) in a  
122 particular month is obtained through the central finite difference.

123 Since the meridional gradient of SST overwhelmingly dominates over its zonal  
124 counterpart in the frontal region, the gradient magnitude (GM) of the NPSTF is



125 defined as  $GM = -\partial SST/\partial y$  to measure the intensity of the NPSTF in a particular  
126 month (Qiu and Kawamura, 2012; Qiu et al., 2014). Accordingly, GM is always  
127 positive because the climatological mean SST is higher in the south. Its tendency can  
128 be derived from Eq. (1) as follows,

$$129 \quad \frac{\partial GM}{\partial t} = \frac{\partial}{\partial y} \left( u \frac{\partial SST}{\partial x} \right) + \frac{\partial}{\partial y} \left( v \frac{\partial SST}{\partial y} \right) + \frac{\partial}{\partial y} \left( w \frac{\Delta T}{H} \right) - \frac{\partial}{\partial y} \left( \frac{Q_{net}}{\rho_0 c_p H} \right) - \frac{\partial R}{\partial y}, \quad (2)$$

130 A bigger (smaller) GM indicates a stronger (weaker) NPSTF. A positive GM tendency  
131 ( $\partial GM/\partial t$ ) suggests a process that GM gradually increases, corresponding to the  
132 frontogenesis of the NPSTF. A negative GM tendency indicates the decreasing of GM,  
133 corresponding to the frontolysis of the NPSTF.

### 134 2.3 Definition of the mixed-layer depth

135 Three definitions of mixed-layer depth  $H$  are used in this study: (a)  
136  $SST - T_H = 0.5^\circ\text{C}$  (Qiu et al., 2014), where  $T_H$  is the temperature at the base of  
137 the mixed layer, and the depth of  $0.5^\circ\text{C}$  lower than the SST is defined as  $H$ . (b)  
138  $SST - T_H = 1.0^\circ\text{C}$  (Suga and Hanawa, 1990), so the depth of  $1.0^\circ\text{C}$  lower than  
139 the SST is defined as  $H$ . (c) mixed-layer depth from the GODAS. Figure 2a shows the  
140 latitude-time section of the climatological mean mixed-layer depth calculated by  
141 method (a) averaged from  $140^\circ\text{E}$  to  $170^\circ\text{W}$  (longitudinal region of the NPSTF in Fig.  
142 1; Zhang et al., 2017). The mixed-layer depth exhibits significant seasonal variation,  
143 namely, deep in winter and spring with a maximum of 60–80 m and shallow in  
144 summer with a minimum of 20 m. Figure 2b shows the latitude-depth section of the  
145 climatological mean zonal current velocities and ocean temperature gradients





146 averaged in winter and spring when the NPSTF exists. The NPSTF is mainly located  
147 between 24°N and 30°N, with the maximum center expanding from the surface to the  
148 depth of 60 m. The vertical scale of the maximum center is consistent with the deeper  
149 mixed layer in winter and spring calculated by method (a), suggesting the variation of  
150 mixed-layer-averaged temperature gradient can well represent the variation of the  
151 NPSTF. The mixed-layer depth is also computed by methods (b) and (c). Expect for  
152 the deeper depth in winter and spring (~80 m), their temporal evolutions of the  
153 mixed-layer depth agree well with that in Fig. 2a, and the diagnosis results of Eqs. (1)  
154 and (2) do not change qualitatively (not shown). Therefore, method (a) is used to  
155 define the mixed-layer depth in this study. In addition, two subsurface subtropical  
156 temperature fronts are located between 80 and 180 m in Fig. 2b, associated with the  
157 two branches of the North Pacific subtropical countercurrent, consistent with the  
158 findings of Kobashi et al. (2006).

159

### 160 **3 Frontogenesis of the NPSTF**

161 Figure 3 shows latitude-time sections of the climatological mean GM and its  
162 tendency averaged over (140°E–170°W). The GM tendency is positive and moves  
163 southward from September to the following February. The NPSTF that forms in  
164 December is characterized by the SST gradient of  $0.6\text{ }^{\circ}\text{C}\text{ (100 km)}^{-1}$ , which is the  
165 threshold for the emergence and disappearance of the NPSTF according to Qiu et al.  
166 (2014). Then, it strengthens and slightly migrates southward until March, with a  
167 maximum of  $0.9\text{ }^{\circ}\text{C}\text{ (100 km)}^{-1}$  at 27°N. Although the NPSTF is still robust in spring,



168 it exhibits an evident northward shift with a strengthening in the northern part and a  
169 weakening in the southern and central parts. It finally disappears in July, consistent  
170 with the previous studies (Dinniman and Rienecker, 1999; Qiu et al., 2014). In this  
171 study, we mainly focus on the frontogenesis period of the NPSTF, which is from  
172 October to the following February when the GM tendency is significantly positive. As  
173 the NPSTF is located between 24°N and 30°N during this period, the frontogenesis  
174 region of the NPSTF is defined as (140°E–170°W, 24°N–30°N).

### 175 3.1 SST variation

176 Since the NPSTF is characterized by the meridional gradient of SST in the  
177 subtropics, the SST variation during the frontogenesis of the NPSTF is the first thing  
178 we are interested in. Figure 4 portrays the temporal evolution of each term in Eq. (1)  
179 over the NPSTF from October to the following February. As shown in Fig. 4a, the  
180 SST tendency is coherently negative during the frontogenesis, indicating that the SST  
181 across the NPSTF gradually decreases. Note that the SST decreases more quickly in  
182 the north than in the south, corresponding to the strengthening of the NPSTF. This  
183 indicates that the largely decreasing SST in the north should be the key for the  
184 frontogenesis of the NPSTF. A diagnosis of each contributor on the right-hand side of  
185 Eq. (1) is given in Figs. 4b–f. The SST tendency due to the net heat flux term (Fig. 4e)  
186 bears similarities with the SST tendency in Fig. 4a in terms of spatial pattern and  
187 magnitude, while the residual term ( $R$ ) is mainly positive and facilitates an increasing  
188 SST. As for the oceanic intrinsic processes, the meridional temperature advection  
189 serves as a much more important factor in determining the SST tendency compared to



190 the zonal and vertical temperature advectons, especially in January and February. In  
191 addition, the meridional temperature advection experiences a significant southward  
192 displacement, which slightly increases the SST across the NPSTF in October and  
193 November and strongly decreases the SST in January and February. This is similar to  
194 the southward migration of the GM tendency during the frontogenesis (Fig. 3).  
195 Overall, the SST across the NPSTF gradually decreases during the frontogenesis,  
196 which is mainly attributed to the net heat flux term with some contributions from the  
197 cold meridional advection in January and February. The residual term acts to suppress  
198 this decreasing tendency.

### 199 3.2 GM variation

200 Figure 5a shows the temporal evolution of the climatological mean GM tendency  
201 across the NPSTF from October to the following February. It is positive and moves  
202 southward during the frontogenesis period, corresponding to the gradual enhancement  
203 of the NPSTF. Similar to the SST tendency from October to December (Fig. 4), the  
204 GM tendency is mainly caused by the net heat flux term (Fig. 5e), while the residual  
205 term acts to suppress the frontogenesis process (Fig. 5f). In January and February, the  
206 net heat flux term, together with the meridional temperature advection, favors the  
207 frontogenesis of the southern and central NPSTF and suppresses the frontogenesis of  
208 the northern NPSTF. The effect of  $R$  is nearly the opposite. Note that the magnitude of  
209 the meridional temperature advection is quantitatively comparable to that of the net  
210 heat flux term in January and February. Besides, the zonal and vertical temperature  
211 advectons (Figs. 5b and 5d) are negligible due to their smaller magnitudes. Figure 6a



212 further shows the regionally averaged GM tendency across the NPSTF during the  
213 frontogenesis. The net heat flux term dominates the GM tendency from October to  
214 December and decreases after January. The meridional temperature advection  
215 increases gradually from October to December, and plays an important role in January  
216 and February. The residual term ( $R$ ) mainly exerts an opposing influence on the  
217 frontogenesis except in January. These findings can be quantitatively illustrated in Fig.  
218 6b. The net heat flux term controls the NPSTF frontogenesis from October to  
219 December, while the meridional advection increases gradually and contributes equally  
220 as the net heat flux in January and February. The results in January and February are  
221 consistent with those in Kazmin and Rienecker (1996), namely, the net heat flux and  
222 the meridional Ekman convergence are equally important for the frontogenesis in  
223 winter. In addition, the net heat flux also contributes to the disappearance of the  
224 NPSTF in summer (not shown), which is consistent with the finding of Qiu et al.  
225 (2014).

226 Figure 7 shows the area mean GM tendency across the NPSTF calculated using  
227 the Argo data from 2005 to 2013. Similar to Fig. 6a, the net heat flux term dominates  
228 from October to December and the meridional temperature advection works in  
229 January and February. However, the effect of the meridional temperature advection is  
230 overwhelmingly large in January and February, with much smaller net heat flux term  
231 and  $R$ . This further confirms the dominant effect of the net heat flux term from  
232 October to December and the important role of the meridional temperature advection  
233 in January and February for the frontogenesis of the NPSTF. Therefore, similar to the



234 previous studies (Kazmin and Rienecker, 1996; Dinniman and Rienecker, 1999), both  
235 the net heat flux and oceanic meridional temperature advection contribute to the  
236 frontogenesis of the NPSTF. As for the relative importance, the net heat flux  
237 dominates the frontogenesis from October to December and then the meridional  
238 temperature advection contributes equally as or even more than the net heat flux in  
239 January and February.

240

#### 241 **4 Roles of the Atmosphere**

##### 242 4.1 Decomposition of the net heat flux

243 The net heat flux term is critical for the frontogenesis of the NPSTF from October  
244 to December, which can be decomposed as follows:

$$245 \quad \frac{Q_{net}}{\rho_0 c_p H} = \frac{Q_S}{\rho_0 c_p H} + \frac{Q_L}{\rho_0 c_p H} + \frac{Q_{LR}}{\rho_0 c_p H} + \frac{Q_{SR}}{\rho_0 c_p H}, \quad (3)$$

246 where  $Q_S$ ,  $Q_L$ ,  $Q_{LR}$ , and  $Q_{SR}$  represent sensible heat flux, latent heat flux,  
247 longwave radiation, and shortwave radiation, respectively. Figure 8 shows the  
248 temporal evolution of the GM tendency induced by individual heat flux terms in Eq.  
249 (3). The positive latent heat flux term primarily contributes to the positive GM  
250 tendency in October, together with the sensible heat flux and the longwave radiation  
251 terms. The shortwave radiation term evidently strengthens in November and  
252 December, and appears to be the dominant factor in January and February. Meanwhile,  
253 the other three terms act to suppress the frontogenesis, especially the latent heat flux  
254 term. Therefore, the four components of the net heat flux jointly contribute to the



255 frontogenesis of the NPSTF, with a leading effect of the latent heat flux term in  
256 October and shortwave radiation term from November to February. Note that the  
257 temporal variation of the net heat flux term is consistent with that of the latent heat  
258 flux term. Moreover, the quick decrease of the net heat flux term in January is mainly  
259 attributed to the reduction of the latent heat flux term.

#### 260 4.2 Cold meridional advection

261 As discussed above, the meridional temperature advection plays an important role  
262 in the frontogenesis of the NPSTF in January and February (Fig. 6), which transports  
263 the cold water from north to decrease the SST across the NPSTF. Figure 9 gives the  
264 meridional Ekman convergence of  $\partial(V_E \partial SST / \partial y) / \partial y$  calculated by the meridional  
265 Ekman velocity  $V_E = -\tau_x / \rho_0 f H$ , where  $\tau_x$  is the zonal component of wind stress  
266 and  $f$  is the Coriolis parameter. The meridional Ekman convergence moves southward  
267 from October and strengthens in January and February, similar to the meridional  
268 temperature advection (Fig. 5c). Moreover, in terms of contribution to the  
269 frontogenesis, the Ekman convergence accounts for at least 75% of the meridional  
270 temperature advection in January and February. Thus, the meridional temperature  
271 advection in January and February is mostly owing to the meridional Ekman  
272 convergence. Note that  $\tau_x = c_D \rho_a U^2$ , where  $c_D$  is the drag coefficient,  $\rho_a$  is air  
273 density and  $U$  is the surface zonal wind speed. Accordingly, the meridional Ekman  
274 convergence must be associated with zonal wind speed. In the following, we focus on  
275 possible atmospheric influence on the meridional temperature advection.

276 Figure 10 shows the climatological 1000-hPa geopotential height and wind fields



277 during the frontogenesis of the NPSTF. The weak Aleutian low primarily appears to  
278 the east of the Bering Sea in October, with its center located over the Alaska Bay (Fig.  
279 10a). The westerly wind to its south prevails zonally over  $45^{\circ}$ – $50^{\circ}$ N. In November,  
280 the Aleutian low develops and extends westward, longitudinally covering the whole  
281 North Pacific (Fig. 10b). Accordingly, the prevailing westerly wind strengthens. The  
282 Aleutian low keeps strengthening and heads southward from  $\sim 40^{\circ}$ N in December to  
283  $\sim 35^{\circ}$ N in February (Figs. 10c–e). Meanwhile, the associated westerly wind is further  
284 enhanced and shifted southward. Correspondingly, the westerly wind stress is  
285 enhanced and moves southward from December to the following February. It can  
286 force southward Ekman ocean currents in the Northern Hemisphere according to  
287  $V_E = -\tau_x / \rho_0 f H$ , leading to cold meridional advection. Moreover, the southward shift  
288 of the westerly wind is consistent with the southward migration of the meridional  
289 temperature advection in Fig. 4c. The above process can be seen clearly in Fig. 11.  
290 Both the westerly wind and southward meridional ocean currents are obviously  
291 increased, and move southward with the Aleutian low. Accordingly, the cold  
292 meridional advection is enhanced and moves southward, cooling the SST across the  
293 NPSTF in January and February. Li (2010) found that an Aleutian low-like anomalous  
294 wind stress can decrease the SST in the mid-latitude North Pacific (north of  $25^{\circ}$ N) in  
295 numerical models. Further analysis revealed that it is the cold meridional advection,  
296 induced by the Aleutian low-like anomalous wind stress, acts to decrease the SST  
297 north of  $25^{\circ}$ N. This previous study suggested that the strengthening and southward  
298 migration of the Aleutian low can decrease the SST across the NPSTF via the cold



299 meridional advection. In addition, both the westerlies and the southward currents  
300 reach the southern latitude of 28°N, resulting in colder SST in the northern NPSTF  
301 than in the southern NPSTF, corresponding to the frontogenesis of the NPSTF. The  
302 cooler SST in the northern is also associated with the fact that the northern SST  
303 cooling contributes greatly during the frontogenesis (Fig. 4a). Thus, the meridional  
304 Ekman convergence dominates the cold meridional advection, which may be related  
305 to the strengthening and southward migration of the Aleutian low from October to the  
306 following February. The associated westerly wind, together with the wind-driven  
307 southward currents, is strengthened and shifts southward to induce cooler SST in the  
308 northern NPSTF, favoring its frontogenesis.

309 Note that the rapid decrease of the net heat flux term in January is mainly due to  
310 the reduction of the latent heat flux term. The latent heat flux term can be calculated  
311 by  $Q_L = \rho_a L C_E U_{10m} (q_s - q_a)$ , where  $L$  is the latent heat of vaporization,  $C_E$  is the  
312 bulk coefficient,  $U_{10m}$  represents the 10-m wind speed (Qiu et al., 2014). According to  
313 Eq. (2), the GM tendency is proportional to the meridional gradient of the 10-m wind  
314 speed ( $-\partial U_{10m}/\partial y$ ). Figure 12 shows the temporal evolutions of  $-\partial U_{10m}/\partial y$  across  
315 the NPSTF and GM tendency associated with the latent heat flux term. The  
316 meridional gradient of wind speed gradually decreases from October to the following  
317 February, consistent with the GM tendency calculated by the latent heat flux term,  
318 especially from December to February. Interestingly, the decreasing  $-\partial U_{10m}/\partial y$  is  
319 also consistent with the southward migration of the Aleutian low (blue line in Fig. 12).  
320 This southward shift leads to a gradual increase in the wind speed to the south of the





321 Aleutian low (to the north of the NPSTF), corresponding to the decrease of  
322  $-\partial U_{10m}/\partial y$  between the NPSTF and its northern region, further resulting in the  
323 decrease of the net heat flux term during the frontogenesis. Therefore, the Aleutian low  
324 acts to decrease the effect of the net heat flux and to increase the effect of the  
325 meridional temperature advection during the frontogenesis, which may also play an  
326 important role in transforming the dominant effect of the net heat flux to the joint  
327 effect of the meridional temperature advection and net heat flux in January.

#### 328 4.3 Model results

329 Next, we use the NCAR Community Earth System Model version 1.0.3  
330 (CESM1.0.3) to further investigate the role of the atmosphere. In the configurations  
331 used here, the Community Atmosphere Model version 5.1 is coupled to the  
332 Community Land Model version 4, the Los Alamos Sea Ice Model version 4 and a  
333 slab ocean model (Kiehl et al., 2006). The slab ocean model is essentially a  
334 0-dimensional model and an approximation of the well-mixed ocean mixed layer. The  
335 thermodynamic calculation uses a specified mixed-layer depth, and the temperature of  
336 the slab is calculated based on the mixed-layer depth and surface fluxes. It means that  
337 the ocean dynamic processes can be ignored and the SST variation responds to the  
338 atmosphere. In addition, the atmosphere and land models use a horizontal resolution  
339 of  $1.9^\circ \times 2.5^\circ$  (latitude  $\times$  longitude). A nominal resolution of  $1^\circ$  (gx1v6) is used for the  
340 ice and ocean models. The model is run for 50 years, and the first 35 years are used  
341 for spin-up to ensure that all model components reach their equilibrium states (Deng  
342 et al., 2017). The SST and meridional oceanic current velocity from the last 15 model



343 years are used for analyses.

344 Figure 13a shows the regionally-averaged GM and GM tendency over the NPSTF  
345 in the CESM1.0.3 simulation. The simulated GM tendency is positive from October  
346 to the following February and turns negative in March, consistent with the  
347 observations (Fig. 3), especially the southward shift during the frontogenesis.  
348 Accordingly, the NPSTF appears in December and disappears in July. Since the SST  
349 in the slab ocean model is mostly due to the surface heat fluxes, it implies that the net  
350 heat flux could cause the appearance of the NPSTF, further confirming the important  
351 effect of the atmosphere on the frontogenesis of the NPSTF. Recall that in spring  
352 when the NPSTF is the strongest, the observed GM tendency is positive north of the  
353 NPSTF and negative south of the front, corresponding to the northward shift of the  
354 front (Fig. 3). However, this is absent in the CESM1.0.3 model, suggesting ocean  
355 dynamics may play an important role in the northward migration process, which  
356 needs further exploration. Figure 13b shows the meridional temperature advection  
357 term in the slab ocean model. It moves southward during the frontogenesis and  
358 enhances gradually to a comparable value of the whole GM tendency in January and  
359 February, consistent with the observations (Fig. 5c). This corresponds to the  
360 southward migration of the Aleutian low (Fig. 13c), confirming the atmospheric  
361 influence on the meridional temperature advection.

362

## 363 **5. Summary**

364 We investigated the frontogenesis of the NPSTF occurring from October to the



365 following February based on the mixed-layer budget equation, with a focus on the  
366 role of the atmosphere. In terms of the relative importance of the net heat flux and the  
367 Ekman convergence term, we find that the different terms dominated in different  
368 periods of the frontogenesis. The net heat flux dominates the frontogenesis of the  
369 NPSTF from October to December, while the meridional temperature advection  
370 contributes equally as or even more than the net heat flux in January and February.  
371 The zonal and vertical temperature advections can be neglected due to their smaller  
372 magnitudes, while  $R$  acts to suppress the frontogenesis except in January.

373 The atmosphere is critical to the frontogenesis of the NPSTF, including the direct  
374 effect of the net heat flux and the indirect effect through the Aleutian low. A  
375 decomposition of the net heat flux term reveals that its four components jointly  
376 contribute to the frontogenesis, with a leading role by the latent heat flux in October  
377 and by shortwave radiation from November to the following February. Further  
378 analyses of atmospheric effects on the oceanic process show that the meridional  
379 Ekman convergence dominates the meridional temperature advection, and which is  
380 associated with the Aleutian low variation. The strengthening and southward  
381 migration of the Aleutian low are characterized by the acceleration and southward  
382 shift of the westerly wind to the south, which benefits southward ocean currents.  
383 Accordingly, the cold meridional advection due to the southward currents induces  
384 cooler SST in the northern NPSTF than in the southern NPSTF, and favors the  
385 frontogenesis of the NPSTF in January and February. In addition, the reduction of the  
386 latent heat flux term (dominating the net heat flux term variation) during the



387 frontogenesis also results from the southward shift of the Aleutian low, suggesting  
388 that the Aleutian low also plays a role in transforming the dominant effect of the net  
389 heat flux to the joint contributions of meridional temperature advection and the net  
390 heat flux in January. CESM1.0.3 model with the slab ocean model confirms the  
391 important influence of atmosphere on the frontogenesis and on meridional  
392 temperature advection.

393

394 **Data availability.** The SODA, Argo and GODAS data can be downloaded from  
395 [m: http://apdrc.soest.hawaii.edu/data/data.php](http://apdrc.soest.hawaii.edu/data/data.php). The OAF flux data are from: [ftp://ft](ftp://ftp.p.whoi.edu/pub/science/oafux/data_v3/monthly/radiation_1983-2009/)  
396 [p.whoi.edu/pub/science/oafux/data\\_v3/monthly/radiation\\_1983-2009/](ftp://ftp.p.whoi.edu/pub/science/oafux/data_v3/monthly/radiation_1983-2009/), and the ER  
397 A-interim data are from: [http://apps.ecmwf.int/datasets/data/interim-full-moda/lev](http://apps.ecmwf.int/datasets/data/interim-full-moda/levtype=sfc/)  
398 [type=sfc/](http://apps.ecmwf.int/datasets/data/interim-full-moda/levtype=sfc/).

399 **Competing Interests.** The authors declare that they have no conflict of interest.  
400 t.

401 **Acknowledgements.** This work was jointly supported by the National Science  
402 Foundation of China (Grant Nos. 41575077, 41490643, 41575057 and 41705054). J  
403 Deng was supported by the General Program of Natural Science Research of Jiangsu  
404 Province University (Grant No.17KJB170012).

405

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480

481





482 **Captions**

483 **Figure 1.** Climatological meridional SST gradients ( $|\partial SST/\partial y|$ , units:  $^{\circ}\text{C} (100 \text{ km})^{-1}$ )  
484 in (a) winter, (b) spring, (c) summer, and (d) autumn.

485 **Figure 2.** (a) Latitude-time section of the climatological monthly mean mixed-layer  
486 depth (units: m) calculated by  $SST - T_H = 0.5^{\circ}\text{C}$ . (b) Latitude-depth section of  
487 the climatological zonal current velocity (black contour; units:  $\text{m s}^{-1}$ ),  
488 superimposed with ocean temperature gradient (shading; units:  $^{\circ}\text{C} (100 \text{ km})^{-1}$ );  
489 both are averaged over winter and spring. All three fields are averaged zonally  
490 over ( $140^{\circ}\text{E}$ – $170^{\circ}\text{W}$ ).

491 **Figure 3.** Latitude-time section of the climatological monthly mean gradient  
492 magnitude (GM) of the NPSTF (black contour; units:  $^{\circ}\text{C} (100 \text{ km})^{-1}$ ) and its  
493 tendency (shading; units:  $^{\circ}\text{C} (100 \text{ km})^{-1} \text{ month}^{-1}$ ), averaged zonally over ( $140^{\circ}\text{E}$ –  
494  $170^{\circ}\text{W}$ ).

495 **Figure 4.** Latitude-time section of each term (shading; units:  $^{\circ}\text{C} \text{ month}^{-1}$ ) in Eq. (1)  
496 from October to the following February, averaged zonally over ( $140^{\circ}\text{E}$ – $170^{\circ}\text{W}$ ).  
497 (a) shows the total SST tendency ( $\partial SST/\partial t$ ), and (b–f) illustrate the components  
498 on the right-hand side of Eq. (1), namely, zonal temperature advection ( $Uadv$ ),  
499 meridional temperature advection ( $Vadv$ ), vertical temperature advection ( $Wadv$ ),  
500 the net heat flux ( $Q_{net}$ ), and the residual term ( $R$ ). The black contours in each  
501 panel are the same, indicating the climatological monthly mean GM (units:  $^{\circ}\text{C}$   
502  $(100 \text{ km})^{-1}$ ), averaged zonally over ( $140^{\circ}\text{E}$ – $170^{\circ}\text{W}$ ).

503 **Figure 5.** Same as Fig. 4, except for the terms (units:  $^{\circ}\text{C} (100 \text{ km})^{-1} \text{ month}^{-1}$ ) in Eq.



504 (2).

505 **Figure 6.** (a) The area mean GM tendency (units:  $^{\circ}\text{C} (100 \text{ km})^{-1} \text{ month}^{-1}$ ) over the  
506 NPSTF from October to the following February. (b) The contribution percentages  
507 (units: %) of the right-hand side terms in Eq. (2) to the left-hand side term. The  
508 black dashed line in (a) is the GM tendency of the NPSTF. Green, red, purple,  
509 blue, and brown indicate zonal temperature advection (Uadv), meridional  
510 temperature advection (Vadv), vertical temperature advection (Wadv), the net heat  
511 flux (Qnet), and the residual term(R), respectively, in both (a) and (b). Note that  
512 the ratios of Qnet to  $\partial GM/\partial t$  in October and November in (b) are 165% and 112%,  
513 respectively; we cap them at 100%.

514 **Figure 7.** Same as Fig. 6a, except using the Argo data from 2005 to 2013.

515 **Figure 8.** The area mean GM tendency (units:  $^{\circ}\text{C} (100 \text{ km})^{-1} \text{ month}^{-1}$ ) induced by the  
516 net heat flux term (Qnet, blue), sensible heat flux term ( $Q_s$ , green), latent heat flux  
517 term ( $Q_L$ , red), longwave radiation term ( $Q_{LR}$ , purple), and shortwave radiation  
518 term ( $Q_{SR}$ , brown) over the NPSTF from October to the following February.

519 **Figure 9.** Same as Fig. 5c, except for the meridional temperature advection term  
520 calculated by the Ekman velocity.

521 **Figure 10.** Climatological monthly mean geopotential height (shading; units:  $\text{m}^2 \text{ s}^{-2}$ )  
522 and wind velocities (vector; units:  $\text{m s}^{-1}$ ) at 1000 hPa in (a) October, (b) November,  
523 (c) December, (d) January, and (e) February.

524 **Figure 11.** Latitude-time sections of (a) the climatological monthly mean geopotential  
525 height (shading; units:  $\text{m}^2 \text{ s}^{-2}$ ) and zonal wind speed at 1000 hPa (black contour;



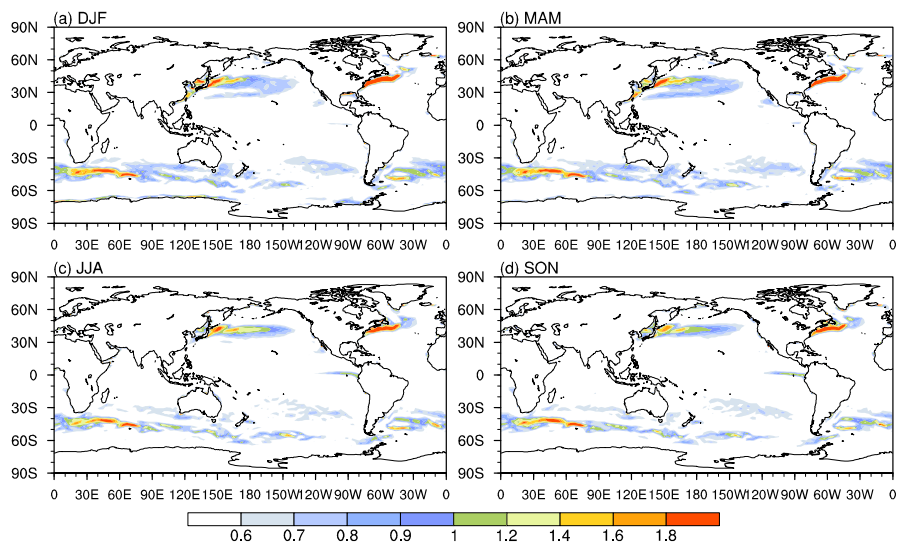
526 units:  $\text{m s}^{-1}$ ), (b) the climatological monthly mean meridional ocean currents (units:  
527  $\text{m s}^{-1}$ ). All variables are averaged zonally over ( $140^{\circ}\text{E}$ – $170^{\circ}\text{W}$ ).

528 **Figure 12.** Meridional gradient of 10-m wind speed ( $-\partial U_{10m}/\partial y$ , black, units:  $10^{-5}$   
529  $\text{s}^{-1}$ ) and GM tendency calculated by the latent heat flux ( $Q_L$ , red, units:  $^{\circ}\text{C}$  ( $100$   
530  $\text{km})^{-1} \text{month}^{-1}$ ) over the NPSTF. The blue curve (AL) is the latitude of  
531 climatological geopotential height at  $900 \text{ m}^2 \text{ s}^{-2}$  averaged zonally over ( $140^{\circ}\text{E}$ –  
532  $170^{\circ}\text{W}$ ), representing the southward migration of the Aleutian low.

533 **Figure 13.** Latitude-time sections of (a) the total GM tendency ( $\partial GM/\partial t$ ; shading;  
534 units:  $^{\circ}\text{C month}^{-1}$ ) and climatological monthly mean GM (black contour; units:  $^{\circ}\text{C}$   
535 ( $100 \text{ km})^{-1}$ ), (b) meridional temperature advection ( $Vadv$ ; black contour; shading;  
536 units:  $^{\circ}\text{C month}^{-1}$ ) and climatological monthly mean GM (units:  $^{\circ}\text{C}$  ( $100 \text{ km})^{-1}$ ) in  
537 Eq. (2), and (c) climatological monthly mean geopotential height (shading; units:  
538  $\text{m}^2 \text{ s}^{-2}$ ) and zonal wind speed at 1000 hPa (black contour; units:  $\text{m s}^{-1}$ ) from the  
539 CESM1.0.3 simulation outputs, averaged zonally over ( $140^{\circ}\text{E}$ – $170^{\circ}\text{W}$ ).  
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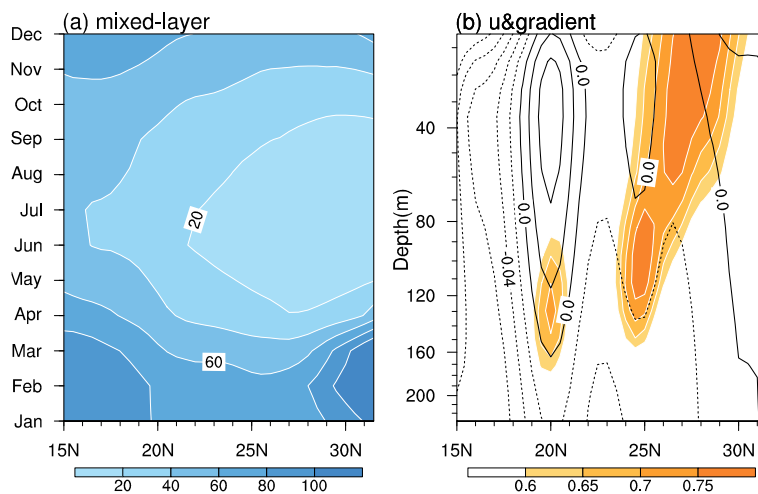
543 Figure 1. Climatological meridional SST gradients ( $|\partial SST/\partial y|$ , units:  $^{\circ}\text{C} (100 \text{ km})^{-1}$ ) in

544 (a) winter, (b) spring, (c) summer, and (d) autumn.

545

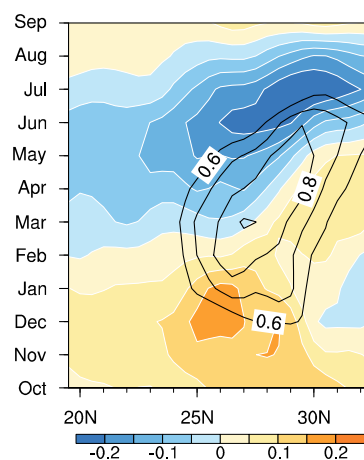


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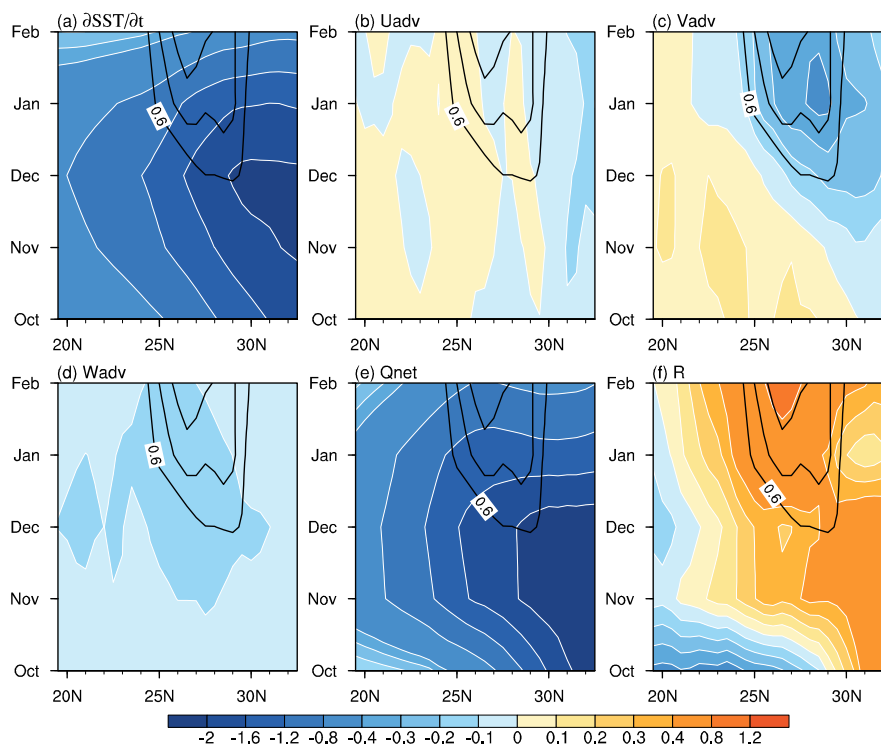
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551 ocean temperature gradient (shading; units:  $^\circ\text{C (100 km)}^{-1}$ ); both are averaged over  
552 winter and spring. All three fields are averaged zonally over ( $140^\circ\text{E}$ – $170^\circ\text{W}$ ).  
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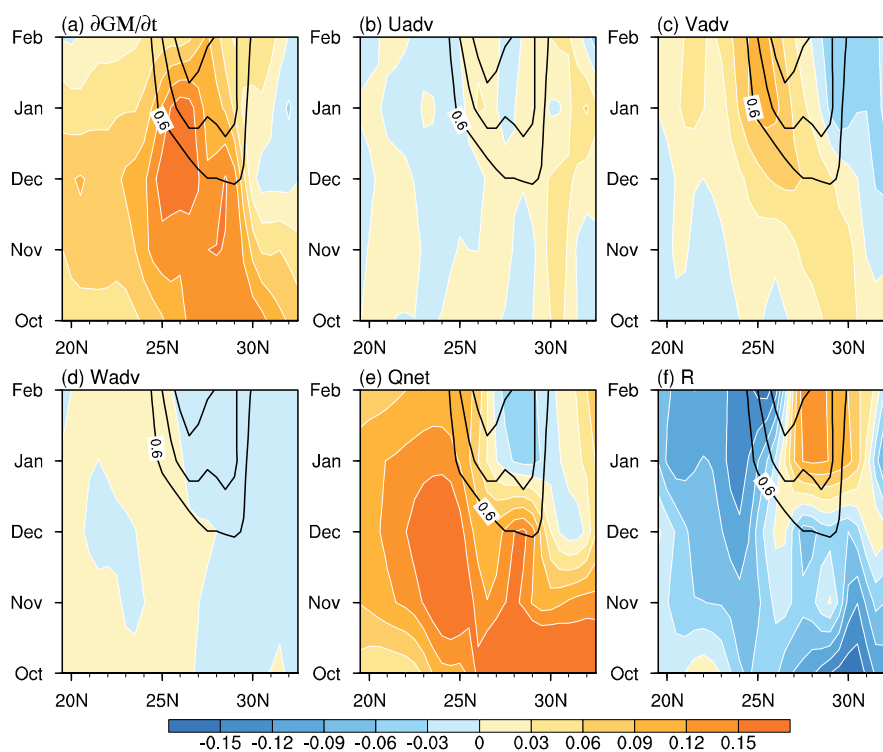
555 Figure 3. Latitude-time section of the climatological monthly mean gradient  
556 magnitude (GM) of the NPSTF (black contour; units:  $^{\circ}\text{C} (100 \text{ km})^{-1}$ ) and its tendency  
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558



559

560 Figure 4. Latitude-time section of each term (shading; units:  $^{\circ}\text{C month}^{-1}$ ) in Eq. (1)  
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 564 temperature advection (Vadv), vertical temperature advection (Wadv), the net heat  
 565 flux (Qnet), and the residual term (R). The black contours in each panel are the same,  
 566 indicating the climatological monthly mean GM (units:  $^{\circ}\text{C (100 km)}^{-1}$ ), averaged  
 567 zonally over ( $140^{\circ}\text{E}$ – $170^{\circ}\text{W}$ ).

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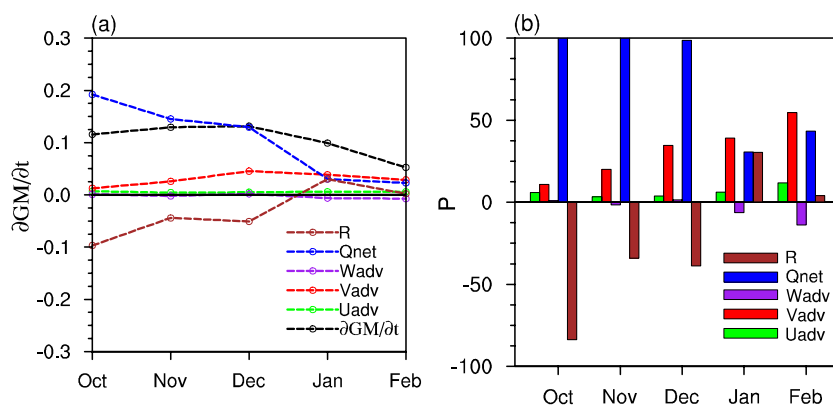
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570 Figure 5. Same as Fig. 4, except for the terms (units:  $^{\circ}\text{C} (100 \text{ km})^{-1} \text{ month}^{-1}$ ) in Eq.

571 (2).

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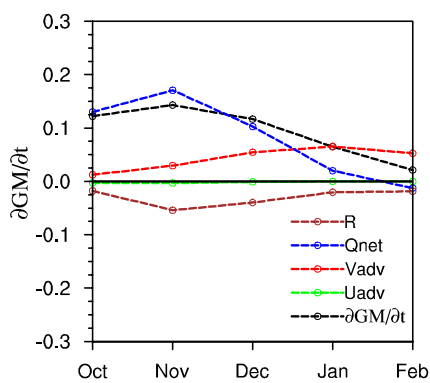




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574 Figure 6. (a) The area mean GM tendency (units:  $^{\circ}\text{C} (100 \text{ km})^{-1} \text{ month}^{-1}$ ) over the  
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 578 brown indicate zonal temperature advection (Uadv), meridional temperature  
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 580 the residual term(R), respectively, in both (a) and (b). Note that the ratios of Qnet to  
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 582 them at 100%.

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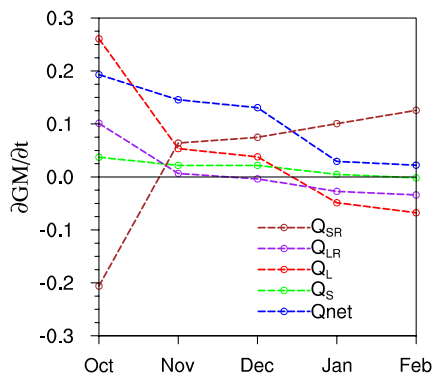
584

585 Figure 7. Same as Fig. 6a, except using the Argo data from 2005 to 2013.

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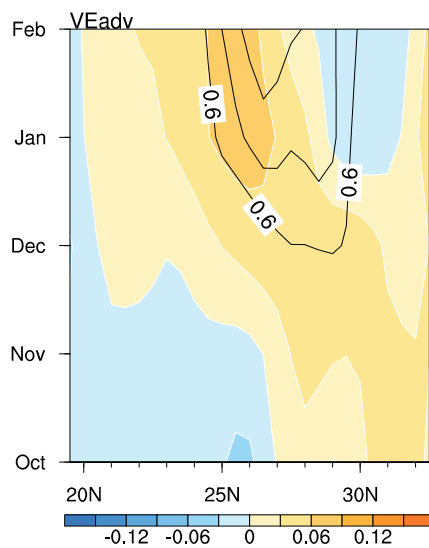
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592 ( $Q_{SR}$ , brown) over the NPSTF from October to the following February.

593

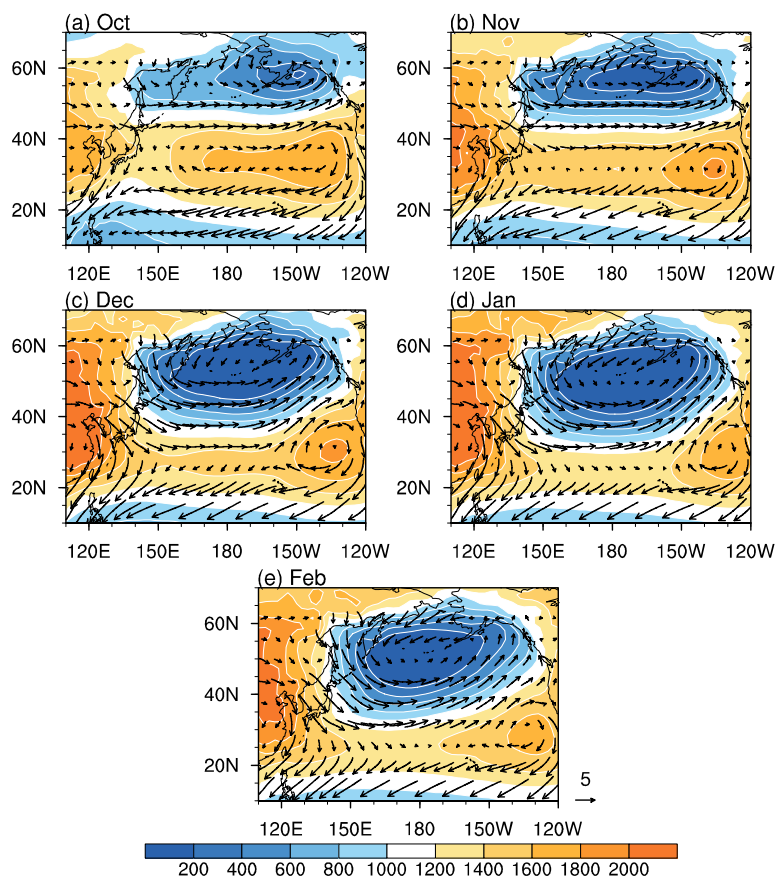


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596 calculated by the Ekman velocity.

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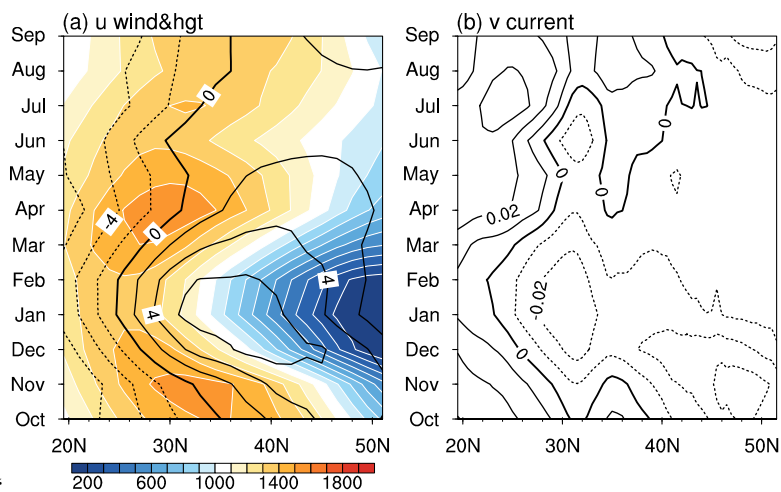
598

599 Figure 10. Climatological monthly mean geopotential height (shading; units:  $\text{m}^2 \text{s}^{-2}$ )

600 and wind velocities (vector; units:  $\text{m s}^{-1}$ ) at 1000 hPa in (a) October, (b) November, (c)

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602

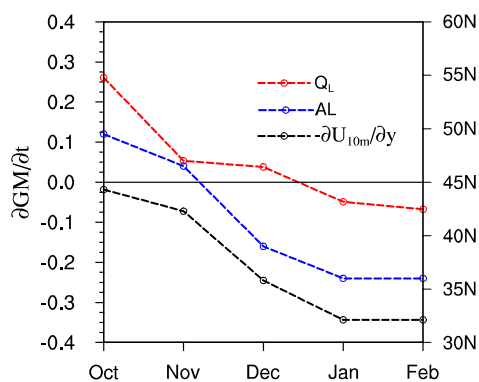


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604 Figure 11. Latitude-time sections of (a) the climatological monthly mean geopotential  
605 height (shading; units:  $\text{m}^2 \text{s}^{-2}$ ) and zonal wind speed at 1000 hPa (black contour; units:  
606  $\text{m s}^{-1}$ ), (b) the climatological monthly mean meridional ocean currents (units:  $\text{m s}^{-1}$ ).

607 All variables are averaged zonally over ( $140^\circ\text{E}$ – $170^\circ\text{W}$ ).

608



609

610 Figure 12. Meridional gradient of 10-m wind speed ( $-\partial U_{10m}/\partial y$ , black, units:  $10^{-5} \text{ s}^{-1}$ )

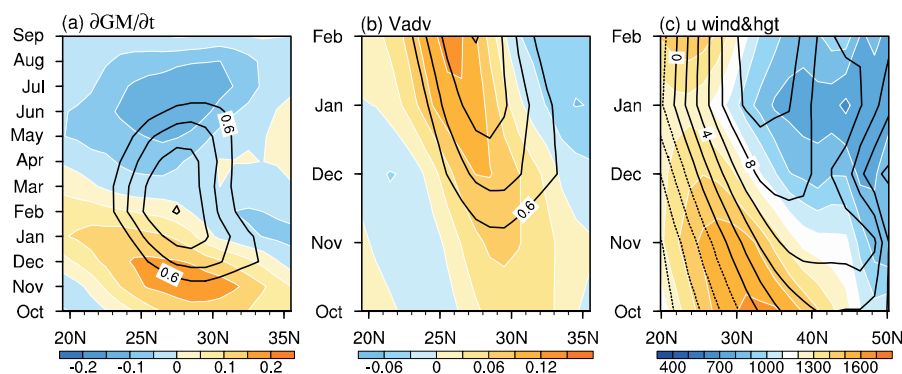
611 and GM tendency calculated by the latent heat flux ( $Q_L$ , red, units:  $^{\circ}\text{C} (100 \text{ km})^{-1}$

612  $\text{month}^{-1}$ ) over the NPSTF. The blue curve (AL) is the latitude of climatological

613 geopotential height at  $900 \text{ m}^2 \text{ s}^{-2}$  averaged zonally over ( $140^{\circ}\text{E}$ – $170^{\circ}\text{W}$ ), representing

614 the southward migration of the Aleutian low.

615



616  
617 Figure 13. Latitude-time sections of (a) the total GM tendency ( $\partial GM/\partial t$ ; shading;  
618 units:  $^{\circ}\text{C month}^{-1}$ ) and climatological monthly mean GM (black contour; units:  $^{\circ}\text{C}$   
619  $(100 \text{ km})^{-1}$ ), (b) meridional temperature advection ( $Vadv$ ; black contour; shading;  
620 units:  $^{\circ}\text{C month}^{-1}$ ) and climatological monthly mean GM (units:  $^{\circ}\text{C (100 km)}^{-1}$ ) in Eq.  
621 (2), and (c) climatological monthly mean geopotential height (shading; units:  $\text{m}^2 \text{s}^{-2}$ )  
622 and zonal wind speed at 1000 hPa (black contour; units:  $\text{m s}^{-1}$ ) from the CESM1.0.3  
623 simulation outputs, averaged zonally over ( $140^{\circ}\text{E}$ – $170^{\circ}\text{W}$ ).