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# Water requirements of the oasis in the middle Heihe River Basin,

# **2** China: Trends and causes

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- 9 Abstract. The middle Heihe River Basin is the main grain base of the northwestern
- 10 China, where consumed the most water in the whole Heihe River basin. Increasing
- share of water resources in the middle Heihe River Basin has caused various issues in
- 12 hydrology, ecology and environment in the Heihe River Basin, especially the
- downstream. To make clear how and why the water requirement has changed is
- significant for the water management in the study region. During the past ~30 years,
- the water requirement amount of the oasis increased from  $10.7 \times 10^8$  m<sup>3</sup> in 1986 to
- 16  $18.8 \times 10^8$  m<sup>3</sup> in 2013. And 76 %-82 % of the oasis water requirement amount was
- consumed by the cultivated land. Maize, spring wheat, and vegetable are the main crops in the middle Heihe River Basin. The mean annual water requirements of the maize,
- in the middle Heihe River Basin. The mean annual water requirements of the maize, spring wheat, and vegetable were 562.4 mm, 408.7 mm, and 719.0 mm, respectively.
- 20 By the contribution analysis, the extended planting area was the primary reason why
- 21 the water requirement has increased in the past ~30 years, the contribution of which to
- the long-term trend in annual water requirement was 58.3 % and 60.6 % for the oasis
- and cultivated land, respectively. The changes in land structure were the secondary
- 24 reason, which explained 25.3 % and 23.4 % of the water requirement variation in the
- 25 oasis and cultivated land, respectively. The contribution of the climate change was
- 26 relatively less, which contributed only 7.0 % and 6.8 % to the water requirement
- variation in the oasis and cultivated land, respectively.

# 1. Introduction

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- 29 Inland river basins take up about 11.4 % of the land area in the world and most of them
- are distributed over arid regions (Li et al., 2013). Water resources in arid regions are
- scarce and critical to ecosystems and societies. For the inland river basins in arid regions,
- 32 water resources mainly originate from the precipitation in the upstream mountainous
- areas (Shen and Chen, 2009; Kang et al., 2007), and are consumed in the oasis zone of
- the piedmont plain in the midstream, then finally vanishes in the desert-oasis zone in the downstream (Kang et al., 2007). The precipitation in plain areas or in major
- 36 economic centers of arid basins has nearly no significant meaning for generating runoff
- 37 (Shen and Chen, 2009).

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As the second largest inland river in China, Heihe River breeds an ecosystem which 38 39 consists of ice-snow, frozen soil, and mountain vegetation zones at the upstream, and oasis zone and desert zone at the middle and down streams (Ersi et al., 1999; Kang et 40 al., 2005; Zhao et al., 2007). More than 90 % of the population and arable land in the 41 42 Heihe River Basin were concentrated in the oasis zone in the midstream, where the most water in the whole basin was consumed (Hu et al., 2008; Li et al., 2015; Zhang et 43 44 al., 2006b). Over the past decades, the annual streamflow coming from the upstream stayed at a relative high level, while the streamflow supplied to the downstream showed 45 a significant decrease since 1990 (Jia et al., 2011). The development of modern 46 irrigation schemes, and the growth of population and irrigation area in the middle basin 47 are taking up an increasing share of water resources, endangering the hydrological 48 49 conditions, ecology and environment in the Heihe River Basin (Chen et al., 2005; Jia 50 et al., 2011; Wang and Cheng, 1998). More than 30 tributaries as well as the terminal lakes have dried up, and the discharge in the downstream decreased significantly in the 51 past 50 years (Chen et al., 2005; Wang and Cheng, 1998). Such hydrological changes 52 53 have resulted in a marked degradation of the ecological environment, land salinization and desertification in the entire basin. To restore the ecosystem of the downstream, the 54 55 Ecological Water Diversion Project (EWDP) was launched by the Chinese Government. Water use in the middle Heihe River Basin has been regulated since around the year 56 2000 to ensure the delivery of minimum amount of water to the downstream, which 57 leads to less water for the middle Heihe River Basin. 58 59 With scarce precipitation, irrigation is essential for maintaining the productivity of oasis 60 agriculture. To use the water resources rationally, water requirement of the oasis is 61 necessary to be made clear as an important parameter for irrigation scheduling and regional water allocation. Scientists have obtained some research results about water 62 requirements of oasis ecosystems. For the research method, some focused on the crop 63 64 water requirement (Kawy and El-Magd, 2012; Liu et al., 2009; Siebert et al., 2007; Lian et al., 2012; Su et al., 2002), while some concentrated on the ecological water 65 requirement (Guo et al., 2016; Ye et al., 2010; Zhao et al., 2007; Liu et al., 2010; Zhang 66 et al., 2006a). From the perspective of research scale, some researchers studied the 67 water requirement at site scale (Zhao et al., 2005; Zhao et al., 2010), some studies were 68 conducted on regional scale (De Silva et al., 2007; Guo et al., 2016; Kawy and Darwish, 69 70 2013). However, little is known about the contributions of the influencing factors to the 71 oasis water requirement in the arid regions. 72 To make rational use of the water resources in the midstream of Heihe River, the water requirements of the oasis including the specific land types in the middle Heihe River 73 74 Basin were analyzed during 1986-2013 in this study. The primary objective of this study 75 was to clarify the spatial-temporal distribution of the water requirement, and the determinants to the variation of the water requirement amount of the oasis in the middle 76 77 Heihe River Basin during the last ~30 years. The research questions addressed were: (1) What are the water requirements of the various land use types in the oasis? (2) How 78 do the water requirement and its amount of the oasis have changed in the past ~30 years? 79 80 (3) Why the water requirement amount of the oasis have changed? (4) What is the main

cause of the long-term trend of the water requirement amount of the oasis? We

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- anticipate that this study would be helpful to the water management in the middle Heihe 82
- 83 River Basin, and the method to analyze the causes might be widely applicable for the
- oasis in the inland river basins. 84

#### 2. Material and methods

# 2.1 Study area

The study was conducted in the oasis in the middle Heihe River Basin (between  $38^{\circ}$ 87

32' and 39° 52' N, and 98° 57' and 100° 51' E), Gansu Province, China (Fig. 1). 88

It embraces a total area of 8.6×109 m<sup>2</sup>, included in Ganzhou district, Linze county and 89

Gaotai county. The climate is continental arid temperate because the study area is 90

91 situated in the inner of Asia-Europe continent, with sufficient sunlight and great

temperature variations. The mean annual precipitation is scarce, which is 107.86 mm 92

93 (1953-2014) at Gaotai meteorological station and 129.10 mm (1953-2014) at Zhangye

94 meteorological station. Over 60 % of the precipitation falls between June and August (Zhao et al., 2005). There are two hydrological stations in the study area, which are 95

located at Yingluo Gorge and Zhengyi Gorge, respectively. The middle Heihe River 96

flows from Yingluo Gorge to the Zhengyi Gorge, breeding an oasis suitable for 97

agriculture. Annual discharge observed at Yingluo Gorge increased from around 98

14.4×10<sup>8</sup> m<sup>3</sup> in the 1960s to 15.7×10<sup>8</sup> m<sup>3</sup> in the 1990s, while the discharge observed at 99

Zhengyi Gorge decreased from around 10.5×108 m<sup>3</sup> in the 1960s to around 7.5×108 m<sup>3</sup> 100

in the 1990s (Wang et al., 2014). But the discharge observed at Zhengyi Gorge has 101 increased since 2000 due to the EWCP, which stipulated that water flowing from the

102 Zhengyi Gorge to the downstream should be over 9.5×108 m³ when the annual average

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water supplied from the Yingluo Gorge is 15.8×108 m<sup>3</sup> (Zhao et al., 2016). Affected by 104

105 the EWCP, the available surface water for the middle Heihe River Basin decreased, and

more groundwater was taken for irrigation (Ji et al., 2005). 106

The study area has an agricultural development history of over 2000 years owing to its 107

108 flat land, adequate sunlight, and convenient water resource from Qilian Mountains. The

oasis in the middle Heihe River Basin has then become an important commodity grain 109

base in China. Mixed with the cropland, forest, grass, wetland, and rivers make up the 110

oasis together. The cropping pattern has changed a lot in the recent 30 years (Fig. 2). 111

The area of maize increased significantly; on the contrary, the wheat planting area 112

113 decreased evidently. Besides, the planting area of vegetable also increased especially in

Gaotai county during the past 30 years. The cropping pattern in the study area are 114

turning to be simple to focus on the maize, which providing more than 40 % of maize 115

seeds in China (Xing, 2013). The oasis area has been expanding in the recent ~30 years. 116

According to the land use data developed by the Chinese Academy of Sciences (CAS), 117

the oasis area increased ~ 906 km<sup>2</sup> in the recent ~30 years, in which the cultivated land 118

increased about 740 km<sup>2</sup>. 119

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# 120 2.2 Data handling and processing

# 2.2.1 Meteorological data

Daily meteorological observations were collected from China Meteorological 122 Administration (CMA), mainly including the maximum, minimum and average air 123 temperatures, wind speed, relative humidity and sunshine duration. 10 meteorological 124 125 stations, which covered the Gaotai, Zhangye stations inside the study region and Dingxin, Jinta, Jiuquan, Tuole, Yeniugou, Qilian, Shandan, Alxa youqi stations outside 126 the study region, were selected to get the spatial distribution of meteorological elements 127 (Fig. 1). Observations on crop growth and phenology were collected from the 128 agricultural meteorological stations in Gansu Province, especially from the station in 129 Zhangye. But the data on crop growth and phenology were only basically recorded 130 131 completely for the maize (1993-2013) and spring wheat (1992-2013), so the growth and phenology data for other vegetation were obtained by references (Liu, 2014; Allen et 132 al., 1998; Pu et al., 2004; Li et al., 2009; Zhou et al., 2015), combining practical 133 investigation. The growth and phenology data for maize before 1993 were set as that in 134 135 1993, and for spring wheat before 1992 were set as that in 1992.

#### 2.2.2 Land use data

Land use data for years 1986, 1995, 2000, 2011 at a spatial resolution of 30 m (Wang 137 et al., 2011a, b; Wang et al., 2014) were used in this study. The land use data for years 138 139 1986, 1995, 2000 were clipped directly from the 1:100,000 scale land use database developed by the Chinese Academy of Sciences (CAS). And the land use data for 2011 140 were developed by the Laboratory of Remote Sensing and Geospatial Science in the 141 Cold and Arid Regions Environmental and Engineering Research Institute, CAS. The 142 same classifying system of land cover was used in the four years' land use data. The 143 144 land-use patterns in the basin have been divided into 6 types: cultivated land; forest land which include closed forest land, sparse wood land, shrubs, and other wood land; 145 146 grassland which contains high coverage grassland, moderate coverage grassland, and 147 low coverage grassland; waters which comprise rivers, lakes, reservoirs, and beach land; construction land; and unused land which contains sand, gobi, saline-alkali land, 148 swampland, bare land, bare rock and gravel. To get the continuous land use maps, the 149 150 land use data at the spatial resolution of 30 m were transformed to the land use data at the spatial resolution of 1 km by the percentage form, i.e. every land use type was 151 showed in the form of percentage in each grid. Then the spatial distribution of the land 152 use data between the four discrete years could be obtained by linear interpolation. 153 To obtain the spatial distribution of specific crops in the cultivated land, the socio-154 155 economic statistical data were collected from the Gansu Development Yearbook (1984-2014) and Gansu Rural Yearbook (1990-2014), including various crops sown at the 156 157 county level. Based on the main crops in the Statistical Yearbooks, the cultivated land 158 was classified into 7 types: maize, spring wheat, cotton, oilseed, sugar beet, potato, and

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- 159 vegetable. According to the proportion of each crop in each county, the spatial
- distribution of the seven crops were determined.

#### 161 2.2.3 Validation data

- 162 The water requirements estimated in this study were compared with two
- 163 Evapotranspiration (ET) datasets provided by Science Data Center for Cold and Arid
- Regions (http://westdc.westgis.ac.cn). One is the monthly ET datasets (2000-2013)
- estimated by ETWatch model at 30 m spatial resolution (Wu et al., 2012; Liu et al.,
- 2011), while this datasets only cover part of the oasis which include Ganzhou district,
- Linze county and small part of the Gaotai county in the middle Heihe River Basin. The
- other is the daily ET datasets (2009-2011) of the Heihe River Basin estimated by
- ETMonitor model at 1 km spatial resolution (Cui and Jia, 2014; Jia et al., 2013). The
- intersections of the ET datasets and water requirements were used for comparision.

### 171 2.3 Estimates of water requirements

- In this study, we considered the water requirements of the cultivated land, forest land,
- 173 high coverage grassland, moderate coverage grassland, waters except the beach land,
- and the swampland in the unused land. The water requirements for the low coverage
- 175 grassland, beach land, construction land, and unused land except the swampland were
- taken as zero.

# 2.3.1 Water requirements for the cultivated land and grassland

- Water requirements of the crops and grass in the oasis refer to the evapotranspiration
- from disease-free, well-fertilized crops, grown in large fields, under optimum soil water
- 180 conditions and achieving full production under the given climatic conditions. This can
- be calculated using crop coefficient approach as following:

$$ET_c = K_c \times ET_0 \tag{1}$$

- where  $ET_c$  is the crop water requirement;  $K_c$  is the crop coefficient;  $ET_0$  is the reference
- evapotranspiration.
- $ET_0$  was calculated using the modified Penman-Monteith equation recommended by
- 186 United Nations Food and Agriculture Organization (FAO) (Allen et al., 1998).
- 187 Reference evapotranspiration is only related to meteorological factors (Shahid, 2010).
- 188 It can be used in a wide range of locations and climates, and can be calculated using the
- 189 following equation:

190 
$$ET_0 = \frac{0.408\Delta(R_n - G) + \gamma(900/(T + 273))u_2(e_S - e_a)}{\Delta + \gamma(1 + 0.34u_2)}$$
 (2)

- where  $ET_{\theta}$  is the reference evapotranspiration (mm);  $R_n$  is the net radiation at crop
- 192 surface [MJ/(m<sup>2</sup> d)]; G is the soil heat flux density [MJ/(m<sup>2</sup> d)];  $u_2$  is the wind speed at
- a height of 2 m (m/s); T is the mean daily air temperature at a height of 2 m ( $^{\circ}$ C);  $e_s$  is
- the saturation vapor pressure (kPa);  $e_a$  is the actual vapor pressure (kPa);  $\Delta$  is the slope

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- of the vapor pressure-temperature curve [kPa/ $^{\circ}$ C]; and  $\gamma$  is the psychrometric constant
- 196 [kPa/°C].

204

- Different vegetation types have different  $K_c$  coefficients. The changing characteristics
- 198 of the vegetation over the growing season also affect the  $K_c$  coefficient, so  $K_c$  for a given
- vegetation type will vary over the growing period, which can be divided into four
- 200 distinct growth stages: initial, crop development, mid-season and late season. In the
- 201 current study,  $K_c$  for the crops in the cultivated land were determined according to Duan
- et al. (2004) and FAO (Allen et al, 1998). And  $K_c$  for the grassland were determined
- according to Liu (2014). The  $K_c$  values are shown in Table 1.

# 2.3.2 Water requirement for forest land

- For the forest land, the water requirements of closed forest land, sparse wood land and
- shrubs were estimated by phreatic evaporation. It can be calculated as below:

$$W_i = S_i \times W_{gi} \times k_p \tag{3}$$

- where  $W_i$  is the ecological water demand of vegetation i;  $S_i$  is the area of vegetation
- 209 type i;  $W_{gi}$  is the phreatic evaporation capacity of the vegetation type i at a certain
- groundwater depth;  $k_p$  is the vegetation coefficient, which is related to the groundwater
- 211 depth (Table 2)(Song et al., 2000).
- $y_{gi}$  is the key to calculate vegetation ecological water demand using the phreatic
- evaporation method, and it is usually calculated using Averyanov's phreatic evaporation
- 214 equations:

$$W_{gi} = a(1 - h_i/h_{max})^b E_0 (4)$$

- where a and b are empirical coefficients (0.856 and 3.674 in the study area) (Wang and
- Cheng, 2002);  $h_i$  is the groundwater depth of vegetation type i, which is 1.5 m, 2 m, 2.5
- 218 m for the closed forest land, sparse wood land and shrubs, respectively;  $h_{max}$  is the
- 219 maximum depth of phreatic evaporation, which is 5 m (Wang and Cheng, 2002); and
- 220  $E_0$  is the surface water evaporation.
- The other wood in the study area was mainly orchard, so the water requirement of other
- wood land was calculated by the crop coefficient approach (Table 1).

### 2.3.3 Water requirements for waters and the swampland

- The water requirement of waters can be taken as the evaporation from water surfaces,
- which can be calculated according to Shuttleworth (1993):

226 
$$ET_{w} = \frac{\Delta R_{n} + 6.43\gamma(1 + 0.536u_{2})(e_{s} - e_{a})}{\lambda(\Delta + \gamma)}$$
 (5)

- where  $ET_w$  is the water requirement of waters (mm);  $\lambda$  is the latent heat of vaporization
- 228  $(MJ kg^{-1}).$

- 229 The water requirement for swampland was calculated by crop coefficient approach. The
- 230  $K_c$  of the vegetation in the swampland is determined depending on the single crop
- coefficients suggested in FAO (Table 1).

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#### 2.4 Contribution assessment

- 233 According to the methods to estimate water requirements of the oasis in the middle
- 234 Heihe River Basin, the value of the water requirements (y) is mainly related to the
- climate  $(x_1)$ , total area of the oasis  $(x_2)$ , and area proportions of the land structure  $(x_3)$ .
- 236 Mathematically, the function can be write as

$$y = f(x_1, x_2, x_3, ...)$$
 (6)

The variation of the dependent variable y can be expressed by a differential equation as

$$dy = \frac{\partial f}{\partial x_1} dx_1 + \frac{\partial f}{\partial x_2} dx_2 + \frac{\partial f}{\partial x_3} dx_3 + \cdots$$
 (7)

As y varies with time t, we can rewrite Eq. (7) as

$$\frac{dy}{dt} = \frac{\partial f}{\partial x_1} \frac{dx_1}{dt} + \frac{\partial f}{\partial x_2} \frac{dx_2}{dt} + \frac{\partial f}{\partial x_3} \frac{dx_3}{dt} + \delta$$
 (8)

- 242  $\frac{dy}{dt}$  is the slope of the linear regression for y against time t;  $\frac{\partial f}{\partial x_1} \frac{dx_1}{dt}$  can be taken as the
- slope of the linear regression for y against time t when  $x_2$  and  $x_3$  don't change with the
- time;  $\frac{\partial f}{\partial x_2} \frac{dx_2}{dt}$  can be taken as the slope of the linear regression for y against time t when
- 245  $x_1$  and  $x_3$  don't change with the time;  $\frac{\partial f}{\partial x_3} \frac{dx_3}{dt}$  can be taken as the slope of the linear
- regression for y against time t when  $x_1$  and  $x_2$  don't change with the time; Because the
- 247 spatial distribution of the climate is not homogeneous, the location where a certain land-
- 248 use type is located can also affect the water requirement. In this study, we didn't
- consider the influence of land-use location on the water requirement, so we fitted it into
- 250  $\delta$ , which can be taken as the error.
- The individual proportional contribution  $(\rho)$  of related factors to the long-term trend in
- v can be estimated as

$$\rho(x_i) = \left(\frac{\partial f}{\partial x_i} \frac{dx_i}{dt}\right) / \left(\frac{dy}{dt}\right) \times 100\%$$
 (9)

where  $x_i$  can be the variable  $x_1$ ,  $x_2$  and  $x_3$ .

# 3. Results

- 256 There are 15 specific land-use types in the oasis of the middle Heihe River Basin, which
- are cultivated land (maize, spring wheat, cotton, oilseed, sugar beet, potato, vegetable),
- grassland (high coverage grassland, moderate coverage grassland), forest land (closed
- 259 forest land, sparse wood land, shrubs, the other wood), waters, and swampland.
- 260 Different land-use types may require different water amounts. To understand the water
- requirements in the oasis, the spatial and temporal variations of the total water
- requirement amount and the water requirement per unit area were analyzed. Here the
- 263 water requirement per unit area for each land-use type were calculated by dividing the
- total water requirement of each land-use type by the corresponding land area. After the

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- validation to ensure the accuracy of the results, the water balance and determinants to
- the variation of the water requirement amount of the oasis in the middle Heihe River
- 267 Basin were analyzed.

### 268 3.1 Temporal variations in water requirements of the oasis in the middle Heihe

### 269 River Basin

- 270 The water requirement amount of the total oasis increased from 10.7×10<sup>8</sup> m<sup>3</sup> in 1986 to
- 271  $18.8 \times 10^8$  m<sup>3</sup> in 2013 (Fig. 3). According to the land use data, the area of the cultivated
- 272 land accounted for ~80 % of the total area of the oasis (Fig. 4). Therefore, the water
- 273 requirement amount of the cultivated land increased from 8.3×10<sup>8</sup> m<sup>3</sup> in 1986 to
- $14.6 \times 10^8 \text{ m}^3$  in 2013 (Fig. 3), which occupied 76 %-82 % of the total oasis water
- 275 requirement amount during 1986-2013. The mean annual water requirements amount
- of the cultivated land and the whole oasis were  $10.3 \times 10^8$  and  $13.2 \times 10^8$  m<sup>3</sup>, respectively.
- 277 The water requirement amounts of the swampland and waters from 2000 to 2013
- increased a lot, so was the water requirement amount of the forest land from 1986 to
- 279 1995. But the waters, swampland, forest land, and grassland needed less water amounts
- which were all smaller than  $1.7 \times 10^8$  m<sup>3</sup> because the proportion of them in the oasis were
- all smaller than 9 % (Fig. 4).
- The water requirement of the cultivated land per unit area increased from 513.9 mm to
- 283 618.3 mm during 1986-2013, while the water requirement of the oasis per unit area
- increased from 522.5 mm to 635.8 mm during 1986-2013 (Fig. 5). The mean annual
- water requirements of the cultivated land and the oasis per unit area were 537.6 mm
- and 550.8 mm, respectively. Maize, spring wheat, and vegetable are the main crops in
- the middle Heihe River Basin. The mean annual water requirements of the maize, spring
- wheat, and vegetable per unit area were 562.4 mm, 408.7 mm, and 719.0 mm,
- 289 respectively. Waters required the most water per unit area, the mean annual water
- 290 requirement of which reached 1314.6 mm. The swampland covered with reeds also
- 291 needed a lot of water per unit area, the mean annual water requirement of which could
- 292 reach 965.4 mm. Different land surface coverages of the grassland and forest land had
- 293 different water requirements. The mean annual water requirements of the closed forest
- land, sparse wood land, shrubs, the other wood, high coverage grassland, and moderate
- 295 coverage grassland per unit area were 470.2 mm, 127.6 mm, 261.9 mm, 696.8 mm,
- 296 654.6 mm, and 336.4 mm, respectively.

# 297 3.2 Spatial variations in water requirements of the oasis in the middle Heihe River

#### 298 Basin

- 299 The oasis in the middle Heihe River Basin is mainly located in Ganzhou district, Linze
- 300 county and Gaotai county. The water requirements of the three regions all showed a
- 301 growth trend (Fig. 6; Fig. 7). For the cultivated land, Ganzhou district required the most
- of water which increased from  $4.6 \times 10^8 \,\mathrm{m}^3$  in 1986 to  $7.7 \times 10^8 \,\mathrm{m}^3$  in 2013 because 54 %-

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56 % of the cultivated land was concentrated here (Fig. 6a; Fig. 7). The water 303 304 requirement of the cultivated land per unit area in Gaotai increased faster than the other regions due to the adjustment of the crop structure (Fig. 6b), that is to say, the largest 305 increase of the planting proportion of vegetable happened in Gaotai (Fig. 2). The water 306 307 requirement per unit area in Linze was higher than that in Ganzhou, but because of the small planting area, the total amount of water requirement in Linze was similar with 308 that in Gaotai, and much smaller than that in Ganzhou (Fig. 6a,b). The mean annual 309 crop water requirement per unit area in Linze, Ganzhou, and Gaotai was 551.1 mm, 310 530.4 mm, and 547.6 mm, respectively. For the whole oasis in the middle Heihe River 311 Basin, Ganzhou still occupied the most water requirement which increased from 312  $5.4 \times 10^8$  m<sup>3</sup> in 1986 to  $9.3 \times 10^8$  m<sup>3</sup> in 2013 because 50 %-54 % of the oasis was 313 concentrated here. The water requirement amount in Gaotai came second, increasing 314 from  $3.1 \times 10^8 \,\text{m}^3$  in 1986 to  $5.4 \times 10^8 \,\text{m}^3$  in 2013. The water requirement amount in Linze 315 was the least, increasing from 2.2×108 m<sup>3</sup> in 1986 to 4.1×108 m<sup>3</sup> in 2013 (Fig. 6c; Fig. 316 7). The emergence that the water requirement amount in Gaotai was more than that in 317 318 Linze came from two aspects. One was that the oasis area in Gaotai was larger than that in Linze, the other was that the water requirement per unit area in Gaotai was the highest 319 in the three regions (Fig. 6d). Besides the adjustment of crop structure, the swampland 320 was mainly distributed in Gaotai, which generated the highest water requirement per 321 unit area in Gaotai. In the beginning, the water requirement per unit area in Ganzhou 322 was smaller than that in Linze (Fig. 6d), but it caught up with the water requirement per 323 324 unit area in Linze at the end with the water area rising up in Ganzhou. The mean annual 325 oasis water requirement per unit area in Linze, Ganzhou, and Gaotai was 543.6 mm, 326 528.1 mm, and 609.3 mm, respectively.

# 3.3 Validation of the oasis water requirements

Water requirement is defined as a theoretical value. For the crops, it can be taken as the

329 potential crop ET. But there was no available data that were observed or calculated by

others for the potential crop ET, so we used the actual ET to validate it to see if the

331 result was acceptable.

327

332 According to the monthly ET datasets (2000-2013) estimated by ETWatch with 30m

spatial resolution over part of the oasis (Wu et al., 2012; Liu et al., 2011), the

evapotranspiration (ET) was well correlated with the estimated water requirement (Fig.

8a). The determination coefficients (R<sup>2</sup>) for the cultivated land and the oasis were 0.66

and 0.67, respectively. And the root mean square error (RMSE) for the cultivated land

and the oasis were  $0.80 \times 10^8$  m<sup>3</sup> and  $0.73 \times 10^8$  m<sup>3</sup>, respectively. Because the water

requirement is the potential ET, the water requirement should not be smaller than the

ET. But the yearly ET included not only the ET during crop growth period, but also the

ET from the bare land after harvesting the crops, while the estimated water requirement

for the crops only included the water requirement during the crop growth period, so

most yearly ET data were larger than the yearly water requirements, and the slope of

the linear regression was smaller than 1 (Fig. 8a). Without considering the cultivated

land, the ET was smaller than the water requirement of the whole rest land types. To

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remove the influence of the bare land, the monthly ET datasets in May, June, and July 345 346 were selected to validate the water requirement because the vegetation including the crops were all in their growth period in the three months. It showed that the water 347 requirement was highly correlated with the ET. The R<sup>2</sup> could reach 0.80 and 0.82 for 348 the cultivated land and the oasis, respectively (Fig. 8b). And the RMSE for the 349 cultivated land and the oasis were  $0.34 \times 10^8$  m<sup>3</sup> and  $0.35 \times 10^8$  m<sup>3</sup>, respectively. Most of 350 the monthly water requirement was higher than the monthly ET, and the slope of the 351 linear regression was about 1.1 when set the intercept at 0 (Fig. 8b). 352 Compared with the ET datasets (2009-2011) estimated by ETMonitor model at 1 km 353 spatial resolution in the middle Heihe River Basin, the estimated water requirements 354 were strongly correlated with the ET data. The value of R<sup>2</sup> reached about 0.99 (Fig. 9). 355 Because the resolution of the ET datasets was relatively low, only the results in the oasis 356 357 were validated considering the problem of mixed pixels. The yearly and monthly water requirements were all larger than the corresponding ET data. The RMSE for the 358 monthly data in May, June, and July was 1.22×108 m<sup>3</sup>. But the ET data estimated by 359 ETWatch in 2009, 2000, and 2011 were larger than the estimated water requirements 360 for the oasis, which showed that the two ET datasets deviated from each other. And the 361 estimated water requirements were acceptable. 362

### 3.4 Water balance in the middle Heihe River Basin

364 Yingluo Gorge is the divide of the upper and middle Heihe River, and Zhengyi Gorge is the divide of the middle and lower Heihe River. The two hydrologic stations recorded 365 366 the inflow and outflow of the mainstream of the middle Heihe River. Besides, there are some small rivers also flow into the middle Heihe River Basin, like Shandan River and 367 Liyuan River. The mean annual runoff of the Liyuan River and Shandan River is 368 2.36×108 m<sup>3</sup> (Wu and Miao, 2015) and 0.86×108 m<sup>3</sup> (Guo et al., 2000), respectively. 369 According to the runoff data (1986-2010) of Zhengyi Gorge and Yingluo Gorge, and 370 371 precipitation data (1986-2010) obtained from the Science Data Center for Cold and Arid Regions (Heihe River Basin Bureau, 2015; Yang et al., 2015), the precipitation 372 373 landing on the oasis and runoff data including middle Heihe River, Shandan River and 374 Liyuan River could meet the water requirement before the year 2004, ignoring the water 375 conveyance loss. But with the increasing water requirement of the oasis, the water supply from the land surface could not meet the requirement any more (Fig. 10). 376 The vegetation in the oasis can be divided into two categories, one is agricultural 377 vegetation which includes the crops and orchard, and the other is the ecological 378 379 vegetation. The precipitation in the middle Heihe River Basin is too little to supply enough water for the ecological vegetation (Table 3). The ecological vegetation usually 380 grows around the cultivated land, so they can absorb the water of infiltration. In addition, 381 382 the shelter forest often needs irrigation, and the shrubs like tamarix chinensis and sacsaoul also need groundwater to maintain normal growth. In the 1980s and 1990s, 383 precipitation has remained little changed, but the water requirement increased slowly, 384 and the runoff had an obvious rise (Fig. 10; Table 3), so the water supply seemed to be 385 386 sufficient to the water requirement in the oasis. In the 2000s, the water requirement

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increased much more than the water supply (Table 3), so the water resources from land 387

388 surface was in short supply.

# 3.5 Contributions to the water requirement trend

The water requirement of the oasis is affected by the climate, total area of the oasis, and 391 area proportions of the land structure. Because the oasis is dominated by the cultivated land, here we analyzed the contributions of the influencing factors to both the oasis and 392 cultivated land. For the cultivated land, the three influencing factors are the climate, 393 total area of the cultivated land, and the area proportions of the crops in the cultivated 394 395 land. The area of the oasis in 1986, 1995, 2000, and 2011 was 2048.96 km<sup>2</sup>, 2091.13 km<sup>2</sup>, 2216.97 km<sup>2</sup>, and 2954.85 km<sup>2</sup>, respectively, which showed an obvious increase 396 in the recent ~30 years. For the specific land-use types, the area of cultivated land, 397 waters, and swampland in 2011 showed an obvious increase, compared with the area in 398 399 1986. The area of the cultivated land was only 1614.32 km<sup>2</sup>, and 1649.99 km<sup>2</sup> in 1986 and 1995, but it increased to 1835.18 km<sup>2</sup>, and 2354.25 km<sup>2</sup> in 2000, and 2011, 400 respectively. Besides the spread of oasis, the increased area of the land-use types with 401 402 high water requirement like the vegetable, maize, waters, and swampland also increased the water requirement amount of the oasis. 403 From the equation of linear regression for the water requirement amounts of the oasis 404 405 and cultivated land against time, we could see the water requirements of the oasis and 406 cultivated land increased 0.3416×10<sup>8</sup> m<sup>3</sup> and 0.2714×10<sup>8</sup> m<sup>3</sup> per year during 1986-2013, respectively (Fig. 11a). Considering the effect of climate to the water requirement 407 408 amount, the total area of the oasis and the area proportions of the land structure were set stable, which meant the area of all the specific land-use types didn't change with 409 410 time, and only the climate changed as usual during 1986-2013. In the situation, the water requirement increased slowly at the rate of 0.0239×108 m<sup>3</sup> and 0.0185×108 m<sup>3</sup> 411 per year for the oasis and cultivated land, respectively (Fig. 11b). Based on the Eq. (9), 412 413 the contribution of the climate was only 7.0 % and 6.8 % for the water requirement change in the oasis and cultivated land, respectively. Considering the effect of total area 414 to the water requirement, the climate and the area proportions of the land structure were 415 set stable, and the total area varied with the time during 1986-2013. In this situation, 416 417 the water requirement increased fast at the rate of  $0.199 \times 10^8$  m<sup>3</sup> and  $0.1644 \times 10^8$  m<sup>3</sup> per year for the oasis and cultivated land, respectively (Fig. 11c). Then the contribution of 418 the total area was 58.3 % and 60.6 % for the water requirement change in the oasis and 419 cultivated land, respectively. Considering the effect of the area proportions of the land 420 421 structure to the water requirement, the climate and total area were set stable, and only the area proportions of the land structure changed as usual during 1986-2013. In this 422 situation, the water requirement increased at the rate of  $0.0864 \times 10^8$  m<sup>3</sup> and  $0.0635 \times 10^8$ 423 424 m<sup>3</sup> per year for the oasis and cultivated land, respectively (Fig. 11d). So the contribution of the area proportions of the land structure was 25.3 % and 23.4 % for the water 425 requirement change in the oasis and cultivated land, respectively. The three influencing 426 factors explained approximately 91 % of the reason why the water requirements of the 427 428 oasis and cultivated land changed during 1986-2013. In the recent ~30 years, the main

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429 reason for the increased water requirement of the oasis was the spread of the oasis area,

430 and the adjustment of land structure came second. The climate had the least influence

on the water requirement change during 1986-2013.

#### 4. Discussion

433 Based on the land use and meteorological data, the water requirements of the oasis and the cultivated land which is the main part of the oasis in the middle Heihe River Basin 434 were calculated and analyzed. We found out how and why the water requirements in 435 436 the oasis changed in the past ~30 years, which is significant to the water management, and can provide scientific basis for the adjustment of planting structure in the study 437 region. But there are still some uncertainties in the study because of limited available 438 data and technical constrains. 439 There is no observed potential ET to validate the calculated theoretical crop water 440 requirement, field studies will be set to observe the potential ET for the main crops in 441 the study region in the future. The ecological water requirements for the forest land, 442 sparse wood land, and shrubs were calculated by empirical formulas which would bring 443 444 uncertainties to the results. Besides, some data were difficult to achieve, such as the growth and phenology data and land use data in each year. So the growth and phenology 445 446 data for the vegetation except the maize and wheat were obtained by references 447 combining practical investigation, and the land use data in each year were achieved by interpolation. This was also one of the reasons why there were some uncertainties in 448 the results. Additionally, the crop coefficient is related to many factors, such as the 449 biological characters of the crop, cultivation and soil conditions, etc., so the crop 450 coefficients should be changing during the study period. The same crop can have 451 different varieties, and different varieties have different crop coefficients. The crop 452 coefficients are usually determined by experiments. So additional efforts need to be 453 made to refine on the crop coefficients. 454 455 As an oasis located at ecologically vulnerable areas and dominated by agriculture, the development of agriculture should match up with the climate and ecological capacity. 456 457 The water amount consumed in the middle Heihe River Basin concerns the ecological 458 security in the lower Heihe River Basin. To promote the harmonious development among the upstream, midstream and downstream, the water amount consumed in the 459 middle Heihe River Basin must be controlled and a series of water-saving measures 460 461 should be carry on. Because the oasis area and the land structure are the main reason why the water requirement of the oasis increased so fast, additional efforts will be made 462 to determine the appropriate oasis area and crop structure in the middle Heihe River 463 Basin. 464

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#### 5. Conclusion

Affected by the climate, total area of the oasis, and land structure, the water requirement 466 amount of the oasis increased significantly during 1986-2013, which increased from 467 10.7×10<sup>8</sup> m<sup>3</sup> in 1986 to 18.8×10<sup>8</sup> m<sup>3</sup> in 2013. Cultivated land is the main part of the 468 oasis, the water requirement amount of which increased from 8.3×108 m<sup>3</sup> in 1986 to 469 14.6×10<sup>8</sup> m<sup>3</sup> in 2013. In the study region, Ganzhou district required the largest amount 470 of water because more than 50 % of the oasis was concentrated there. For the main 471 crops (maize, spring wheat, and vegetable) in the middle Heihe River Basin, the mean 472 annual water requirements per unit area were 562.4 mm, 408.7 mm, and 719.0 mm, 473 474 respectively, which showed the vegetable required much more water than the maize and 475 For the influencing factors to the water requirement, the planting area was the primary 476 factor influencing the water requirement, which contributed 58.3 % and 60.6 % to the 477 water requirement change in the oasis and cultivated land, respectively. And then was 478 the land structure, which contributed 25.3 % and 23.4 % to the water requirement 479 change in the oasis and cultivated land, respectively. Climate did not have much effect 480 on the water requirement, for the contribution of the climate was only 7.0 % and 6.8 % 481 482 for the water requirement change in the oasis and cultivated land, respectively.

### 6. Data availability

- The meteorological data are available at <a href="http://data.cma.cn/">http://data.cma.cn/</a>. The land use data can be obtained from <a href="http://westdc.westgis.ac.cn;">http://www.resdc.cn</a>. Other data like the validation data, runoff data, and precipitation data used in this study are available at
- 487 http://westdc.westgis.ac.cn.
- 488 **Competing interests.** The authors declare that they have no conflict of interest.

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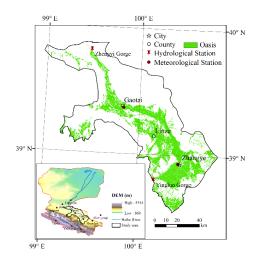


Figure 1. The location of the oasis in the middle Heihe River Basin, embedding the hydrological and meteorological stations, cities, and counties. The distribution of the oasis is based on the land use in 2000.

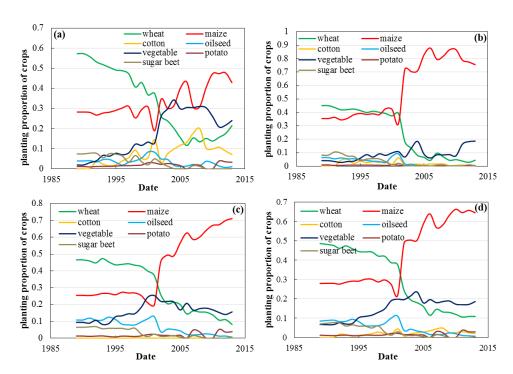


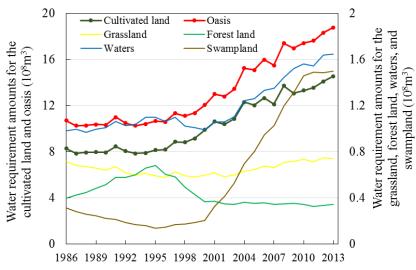
Figure 2. The planting proportion of the crops in (a) Gaotai county, (b) Linze county, (c) Ganzhou

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district, (d) the region including Gaotai, Linze counties and Ganzhou district.



**Figure 3.** The water requirement amounts for different land-use types in the oasis from 1986 to 2013.

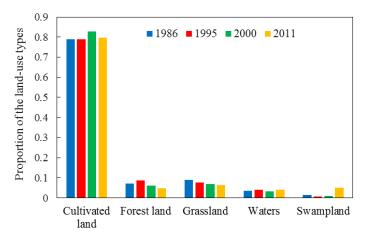
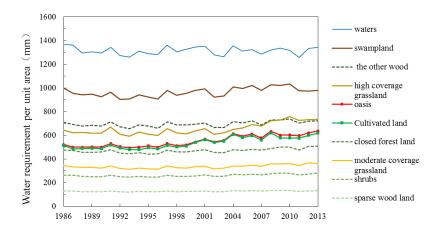


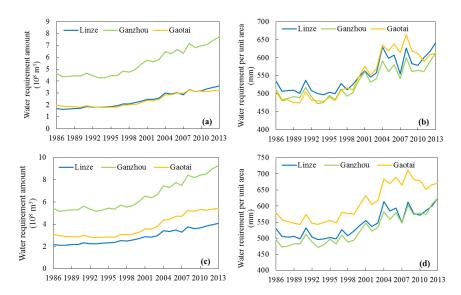
Figure 4. The proportions of the land-use types in the oasis in 1986, 1995, 2000, and 2011.







**Figure 5.** The water requirement per unit area for different land-use types in the oasis from 1986 to 2013.

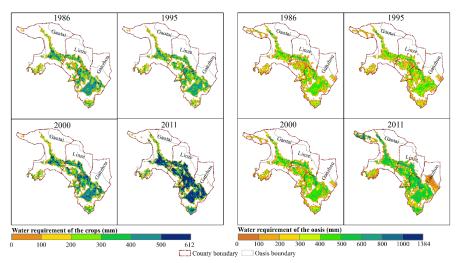


**Figure 6.** The water requirements of the cultivated land (a, b) and oasis (c, d) in Linze county, Gaotai county, and Ganzhou district.

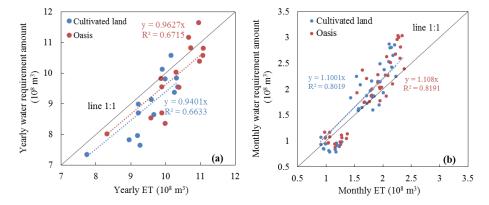
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**Figure 7.** The spatial distribution of the water requirement per unit area in the cultivated land and oasis in the middle Heihe River Basin in 1986, 1995, 2000, 2011.



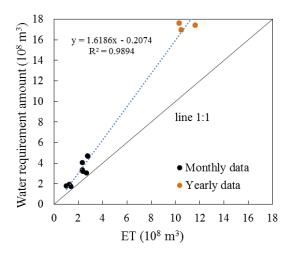
**Figure 8.** The comparison between the yearly water requirement amount and ET from 2000 to 2013 (a); monthly water requirement amount and ET in May, June, and July from 2000 to 2013 (b) for the cultivated land and the oasis.

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**Figure 9.** The comparison between the water requirements and ET of the oasis, including the yearly data from 2009 to 2011 and the monthly data in May, June and July.

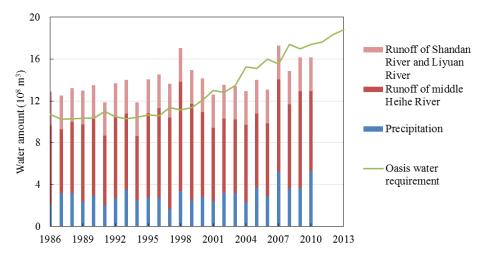
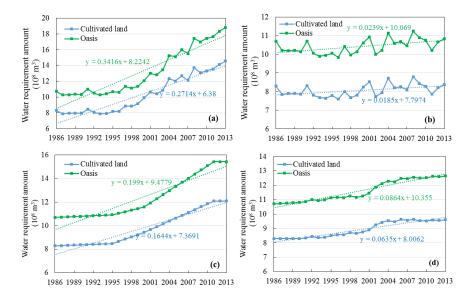


Figure 10. The precipitation, runoff, and oasis water requirement in the middle Heihe River Basin.

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**Figure 11.** The long-term trend of the water requirement for the cultivated land and oasis during 1986-2013 when the climate, total area of the oasis (cultivated land), and area proportions of the land structure were changing(a); the climate was changing, but the total area of the oasis (cultivated land) and area proportions of the land structure were stable (b); the total area of the oasis (cultivated land) was changing, but the climate and area proportions of the land structure were stable (c); area proportions of the land structure was changing, but the climate and the total area of the oasis (cultivated land) were stable (d).

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Table 1. Crop coefficients of the different crops in different growth stages in the oasis of the middle Heihe River Basin.

Crop		Development stage					
	Initial	Developing	Middle	Late			
Maize	0.23	0.23-1.20	1.20	1.20-0.35			
Spring wheat	0.23	0.23-1.16	1.16	1.16-0.40			
Cotton	0.27	0.27-1.20	1.20	1.20-0.70			
Oilseed	0.29	0.29-1.10	1.10	1.10-0.25			
Sugar beet	0.34	0.34-1.21	1.21	1.21-0.70			
Potato	0.27	0.27-1.15	1.15	1.15-0.75			
Vegetable	0.60	0.60-1.10	1.10	1.10-0.90			
Orchard	0.33	0.33-0.95	0.95	0.95-0.71			
Swampland	1.00	1.00-1.20	1.20	1.20-1.00			
High coverage grassland	0.20	0.20-1.04	1.04	1.04-0.44			
Moderate coverage grassland	0.35	0.35-0.47	0.47	0.47-0.32			

Table 2. Vegetation coefficient in different depths of groundwater level.

Groundwater depth	1	1.5	2	2.5	3	3.5	4
Vegetation coefficient	1.98	1.63	1.56	1.45	1.38	1.29	1.00

Table 3. Water balance items in the middle Heihe River Basin during 1986-2013.

Average value (Unit: 108 m³)		1986-1990	1991-2000	2001-2013	
Water	Agricultural vegetation	8.23	8.89	12.64	
requirement Ecological vegetation		2.16	2.04	3.33	
	Mainstream of the middle	7.05	8.08	7.62	
Runoff	Heihe River				
	Shandan and Liyuan	3.22	3.22	3.22	
	Rivers				
	Landing on the	2.22	2.21	2.92	
Precipitation	agricultural vegetation				
	Landing on the ecological	0.54	0.47	0.69	
	vegetation				