

1 **Quantify the impacts of climate change and human agricultural**  
2 **activities on oasis water requirements in an arid region: A case study**  
3 **of the Heihe River Basin, China**

4 Xingran Liu<sup>1,2</sup>, Yanjun Shen<sup>1</sup>

5 <sup>1</sup>Key Laboratory of Agricultural Water Resources, Hebei Laboratory of Agricultural  
6 Water-Saving, Center for Agricultural Resources Research, Institute of Genetics and  
7 Developmental Biology, Chinese Academy of Sciences, 286 Huaizhong Road,  
8 Shijiazhuang 050021, China;

9 <sup>2</sup>University of Chinese Academy of Sciences, Beijing 100049, China

10 *Correspondence to:* Yanjun Shen ([yjshen@sjziam.ac.cn](mailto:yjshen@sjziam.ac.cn))

11 **Abstract.** Ecological deterioration in arid regions caused by agricultural development  
12 has become a global issue. Understanding water requirements of the oasis ecosystems  
13 and the influences of human agricultural activities and climate change is important for  
14 the sustainable development of oasis ecosystems and water resources management in  
15 arid regions. In this study, water requirements of the main oasis in Heihe River Basin  
16 during 1986-2013 were analyzed and the amount showed a sharp increase from  
17  $10.8 \times 10^8 \text{ m}^3$  in 1986 to  $19.0 \times 10^8 \text{ m}^3$  in 2013. Both human agricultural activities and  
18 climate change could lead to the increase of water requirement amount. To quantify the  
19 contributions of agricultural activities and climate change to the increase in water  
20 requirements, partial derivative and slope method were used. Results showed that  
21 climate change and human agricultural activities, such as oasis expansion and changes  
22 in land cropping structure, has contributed to the increase of water requirement at rates  
23 of 6.9%, 58.3%, and 25.4%, respectively. Overall, human agricultural activities were  
24 the dominant driving forces for the increase of water requirement amount. And the  
25 contribution of oasis expanding to the increased water requirement amount was  
26 significantly greater than that of other concerned variables. This reveals that to control  
27 the oasis scale is extremely important and effective to balancing water for agriculture  
28 and ecosystems and to achieving a sustainable oasis development in arid regions.

29

30 **1. Introduction**

31 Inland river basins take up about 11.4 % of the land area in the world and most of them  
32 are distributed over arid regions (Li et al., 2013). Water resources in arid regions are  
33 scarce and critical to ecosystems and societies. For the inland river basins in arid regions,  
34 water resources mainly originate from the precipitation and snow/glacier melting in the  
35 upstream mountainous areas, and are consumed mainly by agriculture and human

36 society in oases of the piedmont plains in the midstream, then finally are discharged  
37 and dispersed in the tail lakes in the downstream (Kang et al., 1999; Shen and Chen,  
38 2010). The precipitation in plain areas or in major economic centers of arid basins has  
39 nearly no significant meaning for generating runoff (Shen and Chen, 2010).

40 Owing to scarce water resources in arid regions, ecosystems and societies are  
41 vulnerable to hydrologic changes. With the rapid growth of population in arid regions  
42 of the world (Shen and Chen, 2010), the utilization of surface- and groundwater for  
43 irrigation increased without enough consideration for ecological conservation, which  
44 caused severe deterioration of water and ecosystems in most arid river basins. For  
45 example, the Amu Darya and the Syr Darya are two main rivers in the Central Asia,  
46 which flow towards the Aral Sea. More than 90% of the water withdrawal in the region  
47 was used for agricultural irrigation (Sorg et al., 2014). With the increase in irrigated  
48 area in the past decades, irrigation withdrawals have measurably reduced inflow to the  
49 Aral Sea since 1960s, which caused significant shrinking of the water surface of the  
50 Aral Sea and land desertification, and even the fishery in the Aral Sea has almost been  
51 destroyed because of salinization (Micklin, 1988; Sorg et al., 2014; Shen and Chen,  
52 2010; Karimov and Matthies, 2013). Similarly, the disappearance of Lop Nor in western  
53 China, the dying of the Dead Sea in the Middle East, and the shrinking of Lake Chad  
54 in Africa are all notable examples. Ecological deterioration in arid regions caused by  
55 agricultural development has become a global issue and has become the main obstacle  
56 to the sustainable development of oasis ecosystems.

57 Despite human exploitation, climate change can also influence the water resources in  
58 arid regions. It is reported that the climate in arid regions has become drier in the past  
59 century (Narisma et al., 2007; Dai et al., 2004), showing increasing temperature,  
60 variability of precipitation, and reduction of glaciers and snow areas (Wang and Qin,  
61 2017) and would be more arid in the future (Bates et al., 2008). But huge amount of  
62 studies suggested that the water and ecological degradation in arid regions was largely  
63 affected much more by irrational human exploitation than climate change (Jarsjö et al.,  
64 2008; Aus der Beek et al., 2011; Huo et al., 2008; Dong et al., 2014; Ma et al., 2014).

65 The ecological degradation and water shortages have heightened the importance of  
66 water allocated to the agriculture in the oasis ecosystems. Water requirement is an  
67 important parameter for irrigation scheduling and regional water allocation. Studies on  
68 water requirements are theoretically and practically indispensable for the sustainable  
69 development of oasis ecosystems in arid regions. Scientists have obtained some  
70 research results about water requirements of oasis ecosystems, including the crop water  
71 requirements (Kawy and El-Magd, 2012; Liu et al., 2010; Siebert et al., 2007; Zhao et  
72 al., 2005; Zhao et al., 2010; De Silva et al., 2007; Kawy and Darwish, 2013), and  
73 ecological water requirements (Guo et al., 2016; Ye et al., 2010; Zhao et al., 2007; Guo  
74 et al., 2016). Studies have shown that the water requirement would increase if the  
75 climate becomes drier and warmer (Döll, 2002; Nkomozepe and Chung, 2012; Fu et al.,  
76 2014), and human activities have gradually become the predominant factor increasing  
77 the water requirement amount in the past decades (Bai et al., 2014; Coe and Foley, 2001;  
78 Zou et al., 2017). But there are few studies separately quantify the contributions of  
79 climate change and human agricultural activities to changes in water requirement

80 amount.  
81 Approximately one quarter of land area in China located in arid regions. As the second  
82 largest inland river in China, Heihe River Basin also suffered water conflict between  
83 agricultural development and ecological health and was chosen as the target basin for a  
84 key national research programme on ecohydrology and integrated basin water  
85 management by the Natural Scientific Foundation of China in 2012, and the programme  
86 is still going on. So the oasis in the middle Heihe River Basin where more than 90% of  
87 the arable land were concentrated was taken as the study area. The main objectives of  
88 this study are to make clear the changes in water requirements in the oasis under climate  
89 change and human agricultural activities and identify the main factor that influences  
90 the changes in water requirement amount based on the clarification on the contributions  
91 of climate and human activities, including land structure and area, to the changes in  
92 water requirement amount. The research questions addressed were: (1) How have the  
93 water requirements of the oasis changed in the past ~30 years? (2) Why the water  
94 requirement amount of the oasis have changed? We anticipate that this study would be  
95 valuable as a reference to the water resources research for the global arid regions.  
96

## 97 **2. Material and methods**

### 98 **2.1 Study area**

99 Heihe River originates in the Qilian Mountains, and flows to the oases in the piedmont  
100 plain after reaching the mountain outlet at the Yingluo Gorge, then finally terminates at  
101 the East and West Juyan Lakes. It breeds an ecosystem which consists of ice-snow,  
102 frozen soil, and mountain vegetation zones at the upstream, and oasis zone and desert  
103 zone at the middle and down streams (Ersi et al., 1999; Kang et al., 2005; Zhao et al.,  
104 2007). The study was conducted in the oasis in the middle Heihe River Basin (between  
105  $38^{\circ} 32'$  and  $39^{\circ} 52'$  N, and  $98^{\circ} 57'$  and  $100^{\circ} 51'$  E), China (Fig. 1). It embraces  
106 a total area of  $8.6 \times 10^9 \text{ m}^2$ , included in Ganzhou district, Linze county and Gaotai county.  
107 More than 90 % of the population and arable land in the Heihe River Basin were  
108 concentrated in this oasis (Zhang et al., 2006).

109 Situated in the inner of Asia-Europe continent, the study area possesses a temperate  
110 continental arid climate with sufficient sunlight, great temperature variations and scarce  
111 precipitation. According to the observed data by Gaotai and Zhangye meteorological  
112 stations in the study region during 1953-2014, the annual average temperature is about  
113  $6.0\text{-}9.4^{\circ}\text{C}$ , with the lowest temperatures occurring in January and December, and  
114 highest temperatures occurring in July. The annual sunshine in the region is about 2800-  
115 3400 h. The mean annual precipitation is less than 130 mm (e.g. the mean annual  
116 precipitation is 107.86 mm and 129.10 mm at Gaotai and Zhangye meteorological  
117 stations, respectively). Over 60 % of the precipitation falls between June and August  
118 (Zhao et al., 2005). But the annual potential evaporation reaches 1400 mm (Li et al.,

119 2016).

120 The study area has an agricultural development history of over 2000 years owing to its  
121 flat land, adequate sunlight, and convenient water resource from Qilian Mountains. The  
122 oasis in the middle Heihe River Basin has then become an important commodity grain  
123 base in China. Combined with the cultivated land, forest, grass, swampland, and waters  
124 make up the oasis together. The oasis area has been expanding in the recent ~30 years.  
125 According to the land use data developed by the Chinese Academy of Sciences (CAS),  
126 the oasis area increased ~ 906 km<sup>2</sup> during the past decades, in which the cultivated land  
127 increased about 740 km<sup>2</sup> (Fig. 2). And the cropping pattern has also changed a lot in  
128 the recent ~30 years (Fig. 3). The area of maize increased significantly; on the contrary,  
129 the wheat planting area decreased evidently. Besides, the planting area of vegetable also  
130 increased especially in Gaotai county during the past ~30 years. The cropping pattern  
131 in the study area are turning to be simple to focus on the maize, which providing more  
132 than 40 % of maize seeds in China (Xing, 2013).

133 Lacking in precipitation, surface runoff has become the main surface water resources  
134 for irrigation. The middle Heihe River flows from Yingluo Gorge to Zhengyi Gorge,  
135 supplying water for oasis in the middle river basin. Annual discharge observed at  
136 Yingluo Gorge increased from around  $14.4 \times 10^8$  m<sup>3</sup> in the 1960s to  $15.7 \times 10^8$  m<sup>3</sup> in the  
137 1990s, while the discharge observed at Zhengyi Gorge decreased from around  $10.5 \times 10^8$   
138 m<sup>3</sup> in the 1960s to around  $7.5 \times 10^8$  m<sup>3</sup> in the 1990s (Wang et al., 2014). The development  
139 of modern irrigation schemes, and the growth of population and irrigation area in the  
140 middle basin took up an increasing share of water resources, endangering the  
141 hydrological conditions, ecology and environment in the Heihe River Basin (Chen et  
142 al., 2005; Jia et al., 2011;). More than 30 tributaries as well as the terminal lakes have  
143 dried up, and the discharge in the downstream decreased significantly in the past 50  
144 years (Wang and Cheng, 1999; Chen et al., 2005). Such hydrological changes have  
145 resulted in a marked degradation of the ecological environment, land salinization and  
146 desertification in the entire basin. To restore the ecosystem of the downstream, the  
147 Ecological Water Diversion Project (EWDP) was launched by the Chinese Government  
148 around the year 2000, which stipulated that water flowing from the Zhengyi Gorge to  
149 the downstream should be over  $9.5 \times 10^8$  m<sup>3</sup> when the annual average water supplied  
150 from the Yingluo Gorge is  $15.8 \times 10^8$  m<sup>3</sup> (Zhao et al., 2016). Due to the EWCP, the  
151 discharge observed at Zhengyi Gorge has increased since 2000, which led to less  
152 available surface water for the middle Heihe River Basin, and more groundwater was  
153 taken for irrigation (Ji et al., 2006). According to the groundwater withdrawal data  
154 (1990-2007) of the irrigation districts in the middle Heihe River Basin (Wu et al., 2014;  
155 Zheng, 2014), which were downloaded from the Cold and Arid Regions Science Data  
156 Center at Lanzhou (<http://westdc.westgis.ac.cn>), only  $1.13 \times 10^8$  m<sup>3</sup> of groundwater was  
157 pumped on average in 1990s, but the amount increased to  $3.25 \times 10^8$  m<sup>3</sup> on average  
158 during 2000-2007.

## 159 2.2 Data handling and processing

### 160 2.2.1 Meteorological data

161 Daily meteorological observations were collected from China Meteorological  
162 Administration (CMA), mainly including the maximum, minimum and average air  
163 temperatures, wind speed, relative humidity and sunshine duration. 10 meteorological  
164 stations, which covered the Gaotai, Zhangye stations inside the study region and  
165 Dingxin, Jinta, Jiuquan, Tuole, Yeniugou, Qilian, Shandan, Alxa youqi stations outside  
166 the study region, were selected to get the spatial distribution of meteorological elements  
167 (Fig. 1). Observations on crop growth and phenology were collected from the  
168 agricultural meteorological stations in Gansu Province, especially from the station in  
169 Zhangye. But the data on crop growth and phenology were only basically recorded  
170 completely for the maize (1993-2013) and spring wheat (1992-2013), so the growth and  
171 phenology data for other vegetation were obtained by references (Liu, 2014; Allen et  
172 al., 1998; Pu et al., 2004; Li et al., 2009; Zhou et al., 2015 ), combining practical  
173 investigation. The growth and phenology data for maize before 1993 were set as that in  
174 1993, and for spring wheat before 1992 were set as that in 1992.

### 175 2.2.2 Land use data

176 Land use data for years 1986, 1995, 2000, 2011 at a spatial resolution of 30 m (Wang  
177 et al., 2011a, b; Liu et al., 2003; Wang et al., 2014), which were developed by CAS,  
178 were used in this study. The same classifying system for land cover was applied to the  
179 four years' land use data. The land-use patterns in the basin have been divided into 6  
180 types: cultivated land; forest land which includes closed forest land, sparse wood land,  
181 shrubs, and other wood land; grassland which contains high coverage grassland,  
182 moderate coverage grassland, and low coverage grassland; waters which comprise  
183 rivers, lakes, reservoirs, and beach land; construction land; and unused land which  
184 contains sand, gobi, saline-alkali land, swampland, bare land, bare rock and gravel. To  
185 get the continuous land use maps, the land use data at the spatial resolution of 30 m  
186 were transformed to the land use data at the spatial resolution of 1 km in the form of  
187 percentage. Then the spatial distribution of the land use data between the four discrete  
188 years could be obtained by linear interpolation.

189 To obtain the spatial distribution of specific crops in the cultivated land, the socio-  
190 economic statistical data were collected from the *Gansu Development Yearbook* (1984-  
191 2014) and *Gansu Rural Yearbook* (1990-2014), including various crops sown at the  
192 county level. Based on the main crops in the Statistical Yearbooks, the cultivated land  
193 was classified into 7 types: maize, spring wheat, cotton, oilseed, sugar beet, potato, and  
194 vegetable. According to the proportion of each crop in each county (Fig. 3), the spatial  
195 distribution of the seven crops were determined.

### 196 2.2.3 Validation data

197 The water requirements estimated in this study were compared with two  
198 Evapotranspiration (ET) datasets provided by Cold and Arid Regions Science Data  
199 Center at Lanzhou (<http://westdc.westgis.ac.cn>). One was the monthly ET datasets  
200 (2000-2013) at 30 m spatial resolution (Wu et al., 2012; Liu et al., 2011) estimated by  
201 ETWatch developed by Wu et al. (2008) and Xiong et al. (2010) for monitoring spatial  
202 ET for operational purposes, while this datasets only covered part of the oasis which  
203 included Ganzhou district, Linze county and small part of the Gaotai county in the  
204 middle Heihe River Basin. The other was the daily ET datasets (2009-2011) of the  
205 Heihe River Basin at 1 km spatial resolution (Cui and Jia, 2014; Jia et al., 2013)  
206 estimated by ETMonitor, which is a hybrid remotely sensed actual ET estimation model  
207 developed by Hu and Jia (2015). The intersections of the ET datasets and water  
208 requirements were used for comparison.

### 209 2.3 Estimates of water requirements

210 In this study, the water requirements of the cultivated land, forest land, high coverage  
211 grassland, moderate coverage grassland, waters except the beach land, and the  
212 swampland in the unused land were considered. The water requirements of the low  
213 coverage grassland, beach land, construction land, and unused land except the  
214 swampland were taken as zero.

#### 215 2.3.1 Water requirements for the cultivated land and grassland

216 Water requirements of the crops and grass in the oasis refer to the evapotranspiration  
217 from disease-free, well-fertilized crops, grown in large fields, under optimum soil water  
218 conditions and achieving full production under the given climatic conditions. This can  
219 be calculated using crop coefficient approach as following:

$$220 \quad ET_c = K_c \times ET_0 \quad (1)$$

221 where  $ET_c$  is the water requirement;  $K_c$  is the crop coefficient;  $ET_0$  is the reference  
222 evapotranspiration.

223  $ET_0$  was calculated using the modified Penman-Monteith equation recommended by  
224 United Nations Food and Agriculture Organization (FAO) (Allen et al., 1998).  
225 Reference evapotranspiration is only related to meteorological factors (Shahid, 2010).  
226 It can be used in a wide range of locations and climates, and can be calculated using the  
227 following equation:

$$228 \quad ET_0 = \frac{0.408\Delta(R_n - G) + \gamma(900/(T + 273))u_2(e_s - e_a)}{\Delta + \gamma(1 + 0.34u_2)} \quad (2)$$

229 where  $ET_0$  is the reference evapotranspiration (mm);  $R_n$  is the net radiation at crop  
230 surface [ $\text{MJ}/(\text{m}^2 \text{d})$ ];  $G$  is the soil heat flux density [ $\text{MJ}/(\text{m}^2 \text{d})$ ];  $u_2$  is the wind speed at  
231 a height of 2 m (m/s);  $T$  is the mean daily air temperature at a height of 2 m ( $^{\circ}\text{C}$ );  $e_s$  is  
232 the saturation vapor pressure (kPa);  $e_a$  is the actual vapor pressure (kPa);  $\Delta$  is the slope

233 of the vapor pressure-temperature curve [kPa/°C]; and  $\gamma$  is the psychrometric constant  
 234 [kPa/°C].  
 235 Different vegetation types have different  $K_c$  coefficients. The changing characteristics  
 236 of the vegetation over the growing season also affect the  $K_c$  coefficient, so  $K_c$  for a given  
 237 vegetation type will vary over the growing period, which can be divided into four  
 238 distinct growth stages: initial, crop development, mid-season and late season. In the  
 239 current study,  $K_c$  for different crop species in the cultivated land during the four growth  
 240 stages were determined according to Duan et al. (2004) and FAO (Allen et al, 1998).  
 241 And  $K_c$  for the grassland were determined according to Liu (2014). The  $K_c$  values are  
 242 shown in Table 1.

### 243 2.3.2 Water requirements for the forest land

244 For the forest land, the water requirements of closed forest land, sparse wood land and  
 245 shrubs were estimated by phreatic evaporation. It can be calculated as below:

$$246 \quad W_i = S_i \times W_{gi} \times k_p \quad (3)$$

247 where  $W_i$  is the ecological water demand of vegetation  $i$ ;  $S_i$  is the area of vegetation  
 248 type  $i$ ;  $W_{gi}$  is the phreatic evaporation capacity of the vegetation type  $i$  at a certain  
 249 groundwater depth;  $k_p$  is the vegetation coefficient, which is related to the groundwater  
 250 depth (Table 2) (Song et al., 2000).

251  $W_{gi}$  is the key to calculate vegetation ecological water demand using the phreatic  
 252 evaporation method, and it is usually calculated using Averyanov's phreatic evaporation  
 253 equations:

$$254 \quad W_{gi} = a(1 - h_i/h_{max})^b E_0 \quad (4)$$

255 where  $a$  and  $b$  are empirical coefficients (0.856 and 3.674 in the study area) (Wang and  
 256 Cheng, 2002);  $h_i$  is the groundwater depth of vegetation type  $i$ , which is 1.5 m, 2 m, 2.5  
 257 m for the closed forest land, sparse wood land and shrubs, respectively;  $h_{max}$  is the  
 258 maximum depth of phreatic evaporation, which is 5 m (Wang and Cheng, 2002); and  
 259  $E_0$  is the surface water evaporation.

260 The other wood in the study area was mainly orchard, so the water requirement of other  
 261 wood land was calculated by the crop coefficient approach (Table 1).

### 262 2.3.3 Water requirements for waters and the swampland

263 The water requirement of waters can be taken as the evaporation from water surfaces,  
 264 which can be calculated according to Shuttleworth (1993):

$$265 \quad ET_w = \frac{\Delta R_n + 6.43\gamma(1 + 0.536u_2)(e_s - e_a)}{\lambda(\Delta + \gamma)} \quad (5)$$

266 where  $ET_w$  is the water requirement of waters (mm);  $\lambda$  is the latent heat of vaporization  
 267 (MJ kg<sup>-1</sup>).

268 The water requirement for the swampland was calculated by crop coefficient approach.  
 269 The  $K_c$  values of the vegetation in the swampland were determined depending on the

270 single crop coefficients suggested in FAO (Table 1).

## 271 **2.4 Contribution assessment**

272 According to the methods to estimate water requirements of the oasis in the middle  
273 Heihe River Basin, the value of the water requirements ( $y$ ) is mainly related to the  
274 climate ( $x_1$ ), total area of the oasis ( $x_2$ ), and area proportions of the land structure ( $x_3$ ).  
275 Mathematically, the function can be write as

$$276 \quad y = f(x_1, x_2, x_3, \dots) \quad (6)$$

277 The variation of the dependent variable  $y$  can be expressed by a differential equation as

$$278 \quad dy = \frac{\partial f}{\partial x_1} dx_1 + \frac{\partial f}{\partial x_2} dx_2 + \frac{\partial f}{\partial x_3} dx_3 + \dots \quad (7)$$

279 As  $y$  varies with time  $t$ , we can rewrite Eq. (7) as

$$280 \quad \frac{dy}{dt} = \frac{\partial f}{\partial x_1} \frac{dx_1}{dt} + \frac{\partial f}{\partial x_2} \frac{dx_2}{dt} + \frac{\partial f}{\partial x_3} \frac{dx_3}{dt} + \delta \quad (8)$$

281  $\frac{dy}{dt}$  is the slope of the linear regression for  $y$  against time  $t$ ;  $\frac{\partial f}{\partial x_1} \frac{dx_1}{dt}$  can be taken as the

282 slope of the linear regression for  $y$  against time  $t$  when  $x_2$  and  $x_3$  don't change with the

283 time;  $\frac{\partial f}{\partial x_2} \frac{dx_2}{dt}$  can be taken as the slope of the linear regression for  $y$  against time  $t$  when

284  $x_1$  and  $x_3$  don't change with the time;  $\frac{\partial f}{\partial x_3} \frac{dx_3}{dt}$  can be taken as the slope of the linear

285 regression for  $y$  against time  $t$  when  $x_1$  and  $x_2$  don't change with the time. Because the  
286 spatial distribution of the climate is not homogeneous, the location where a certain land-  
287 use type is located can also affect the water requirement. So other factors related to the  
288 water requirements were fitted into  $\delta$ , combining the systemic error.

289 The individual proportional contribution ( $\rho$ ) of related factors to the long-term trend in  
290  $y$  can be estimated as

$$291 \quad \rho(x_i) = \left( \frac{\partial f}{\partial x_i} \frac{dx_i}{dt} \right) / \left( \frac{dy}{dt} \right) \times 100\% \quad (9)$$

292 where  $x_i$  can be the variable  $x_1$ ,  $x_2$  and  $x_3$ .

## 293 **3. Results**

294 There are 15 specific land-use types in the oasis of the middle Heihe River Basin, which  
295 are cultivated land (maize, spring wheat, cotton, oilseed, sugar beet, potato, vegetable),  
296 grassland (high coverage grassland, moderate coverage grassland), forest land (closed  
297 forest land, sparse wood land, shrubs, the other wood), waters, and swampland.  
298 Different land-use types may require different water amounts. To understand the water  
299 requirements in the oasis, the spatial and temporal variations of the total water  
300 requirement amount and the water requirement per unit area were analyzed. In the study,  
301 the water requirement per unit area for each land-use type were calculated by dividing



302 the total water requirement of each land-use type by the corresponding land area. After  
303 validation to ensure the accuracy of the results, the water balance and determinants to  
304 the variation of the water requirement amount of the oasis in the middle Heihe River  
305 Basin were analyzed.

### 306 **3.1 Temporal and spatial variations in water requirements of the oasis in the** 307 **middle Heihe River Basin**

308 The water requirement amount of the total oasis increased from  $10.8 \times 10^8 \text{ m}^3$  in 1986 to  
309  $19.0 \times 10^8 \text{ m}^3$  in 2013 (Fig. 4a). According to the land use data, the area of the cultivated  
310 land accounted for ~80 % of the total area of the oasis (Fig. 2). Therefore, the water  
311 requirement amount of the cultivated land increased from  $8.4 \times 10^8 \text{ m}^3$  in 1986 to  
312  $14.7 \times 10^8 \text{ m}^3$  in 2013 (Fig. 4a), which occupied 76 %-82 % of the total oasis water  
313 requirement amount during 1986-2013. The mean annual water requirements amount  
314 of the cultivated land and the whole oasis were  $10.4 \times 10^8$  and  $13.3 \times 10^8 \text{ m}^3$ , respectively.  
315 The water requirement amounts of the swampland and waters from 2000 to 2013  
316 increased a lot, so was the water requirement amount of the forest land from 1986 to  
317 1995. But the waters, swampland, forest land, and grassland needed less water amounts  
318 which were all smaller than  $1.7 \times 10^8 \text{ m}^3$  because the proportion of them in the oasis were  
319 all smaller than 9 % (Fig. 4a; Fig. 2).

320 The water requirement of the cultivated land per unit area increased from 519.2 mm to  
321 624.9 mm during 1986-2013, while the water requirement of the oasis per unit area  
322 increased from 527.1 mm to 642.0 mm during 1986-2013 (Fig. 4b). The mean annual  
323 water requirements of the cultivated land and the oasis per unit area were 544.6 mm  
324 and 557.4 mm, respectively. Maize, spring wheat, and vegetable are the main crops in  
325 the middle Heihe River Basin. The mean annual water requirements of the maize, spring  
326 wheat, and vegetable per unit area were 570.0 mm, 413.7 mm, and 728.8 mm,  
327 respectively. Waters required the most water per unit area, the mean annual water  
328 requirement of which reached 1323.9 mm. The swampland covered with reeds also  
329 needed a lot of water per unit area, the mean annual water requirement of which could  
330 reach 968.6 mm. Different land surface coverages for grassland and forest land had  
331 different water requirements. The mean annual water requirements of the closed forest  
332 land, sparse wood land, shrubs, the other wood, high coverage grassland, and moderate  
333 coverage grassland per unit area were 477.5 mm, 128.9 mm, 264.0 mm, 705.1 mm,  
334 663.6 mm, and 340.0 mm, respectively.

335 The oasis in the middle Heihe River Basin was scattered along the rivers. Most of the  
336 water requirement in the oasis was below 500 mm per square kilometer in 1986  
337 considering the mixed pixel and area weight, but with the climate change and human  
338 agricultural activities, the water requirement in large area of the oasis exceed 500 mm  
339 per square kilometer in 2011 (Fig. 5). And the area of high water requirement in the  
340 oasis accorded with the location of the cultivated land (Fig. 5). Besides, the ecological  
341 vegetation in the oasis except the northwest of Gaotai county didn't show significant  
342 increase in water requirement (Fig. 5).

343 The cultivated land in most area of the oasis expanded during the past ~30 years,  
344 especially in Linze county and the north of Ganzhou district (Fig. 6a). This was in  
345 accordance with the area of water requirement increased in the cultivated land and the  
346 oasis (Fig. 6). The water requirement in the cultivated land increased above 100 mm  
347 per square kilometer in the Linze county and the north of Ganzhou district. The larger  
348 area the cultivated land expanded, the more water required for the cultivated land (Fig.  
349 6b). Only a small part of the cultivated land shrank in the oasis and caused the  
350 decrease of water requirement in the corresponding cultivated land (Fig. 6). As the  
351 dominant part affecting the water requirement in the oasis, the spatial distribution of  
352 the increased water requirement in the cultivated land was similar with that in the oasis  
353 (Fig. 6b, c). The water requirement in the northwest of Gaotai county increased  
354 obviously due to the increasing area of swampland after the year of 2000.

### 355 **3. 2 Validation of the oasis water requirements**

356 Water requirement is defined as a theoretical value. For the crops, it can be taken as the  
357 potential crop ET. But there was no available data observed or calculated by others for  
358 the potential crop ET, so the actual ET data were adopted to validate the water  
359 requirement in the oasis to see if the results were acceptable.

360 According to the yearly and monthly ET estimated by ETWatch and ETMonitor, the  
361 total ET was well correlated with the estimated water requirement amount with the  
362 determination coefficient ( $R^2$ ) of 0.91 (Fig. 7), and slope of the linear regression of 1.05  
363 (Fig. 7). Compared with the yearly ET datasets (2000-2013) estimated by ETWatch  
364 with 30m spatial resolution over part of the oasis, the root mean square error (RMSE)  
365 between the ET and water requirement amount for the cultivated land and the oasis  
366 were  $0.71 \times 10^8 \text{ m}^3$  and  $0.66 \times 10^8 \text{ m}^3$ , respectively. Because the water requirement is the  
367 potential ET, the water requirement should not be smaller than the ET. But the yearly  
368 ET included not only the ET during crop growth period, but also the ET from the bare  
369 land after harvesting the crops. While the estimated water requirement for the crops  
370 only included the water requirement during the crop growth period, so most yearly ET  
371 data were larger than the yearly water requirement amounts (Fig. 7). To remove the  
372 influence of the bare land, the monthly ET datasets in May, June, and July were selected  
373 to validate the water requirement because the vegetation including the crops were all in  
374 their growth period in the three months. It showed that the water requirement was highly  
375 correlated with the ET (Fig. 7). And the RMSE for the cultivated land and the oasis  
376 were  $0.35 \times 10^8 \text{ m}^3$  and  $0.36 \times 10^8 \text{ m}^3$ , respectively, which were much smaller than the  
377 yearly RMSE. Most of the monthly water requirement amounts were higher than the  
378 monthly ET data (Fig. 7).

379 Compared with the ET datasets (2009-2011) estimated by ETMonitor at 1 km spatial  
380 resolution in the middle Heihe River Basin, the yearly and monthly water requirement  
381 amounts were all larger than the corresponding ET data (Fig. 7), and the RMSE for the  
382 monthly data in May, June, and July was  $1.27 \times 10^8 \text{ m}^3$ . Because the resolution of the ET  
383 datasets estimated by ETMonitor was relatively low, only the results in the oasis were  
384 validated considering the problem of mixed pixels. The yearly estimated water

385 requirement amounts in 2009, 2000, and 2011 were smaller than the ET data estimated  
386 by ETWatch for the oasis, which was contrary to the results compared with the ET data  
387 estimated by ETMonitor, which showed that the two ET datasets deviated from each  
388 other, and the estimated water requirements were acceptable.

### 389 **3. 3 Water balance in the middle Heihe River Basin**

390 Yingluo Gorge is the divide of the upper and middle Heihe River, and Zhengyi Gorge  
391 is the divide of the middle and lower Heihe River. The two hydrologic stations recorded  
392 the inflow and outflow of the mainstream of the middle Heihe River. So the surface  
393 runoff of the mainstream of the middle Heihe River consumed in the middle Heihe  
394 River Basin can be considered as the difference between Yingluo Gorge and Zhengyi  
395 Gorge. Besides, there are some small rivers also flow into the middle Heihe River Basin,  
396 like Shandan River and Liyuan River. The mean annual runoff of the Liyuan River and  
397 Shandan River is  $2.36 \times 10^8 \text{ m}^3$  (Wu and Miao, 2015) and  $0.86 \times 10^8 \text{ m}^3$  (Guo et al., 2000),  
398 respectively. According to the runoff data (1986-2010) of Zhengyi Gorge and Yingluo  
399 Gorge, and precipitation data (1986-2010) obtained from the Cold and Arid Regions  
400 Science Data Center at Lanzhou (<http://westdc.westgis.ac.cn>) (Yang et al., 2015), the  
401 surface water including the precipitation landing on the oasis and the river discharges  
402 of the middle Heihe River, Shandan River and Liyuan River could meet the water  
403 requirement before the year 2004, ignoring the water conveyance loss. But with the  
404 increasing water requirement of the oasis, the water supply from the land surface could  
405 not meet the requirement any more (Fig. 8).

406 The vegetation in the oasis can be divided into two categories, one is agricultural  
407 vegetation which includes the crops and orchard, and the other is the ecological  
408 vegetation. The precipitation in the middle Heihe River Basin is too little to supply  
409 enough water for the ecological vegetation (Table 3). The ecological vegetation usually  
410 grows around the cultivated land, so they can absorb the water of infiltration. In addition,  
411 the shelter forest often needs irrigation, and the shrubs like tamarix chinensis and  
412 sarsaoul also need groundwater to maintain normal growth. Compared with the  
413 available water resources in 1980s, precipitation had remained little changed in 1990s.  
414 But with the increase of water requirement in 1990s, the runoff consumed in the middle  
415 Heihe River Basin had an obvious rise and more groundwater was pumped for irrigation  
416 (Fig. 8; Table 3). Ignoring the industrial and domestic water taken from the middle  
417 Heihe River, the surface water supply seemed to be sufficient to the water requirement  
418 in the oasis in 1980s and 1990s. While entering the 20th century, the area of arable land  
419 increased fast, and high water-requiring crops (maize and vegetable) had gradually  
420 replaced the low water-requiring crop (wheat) since 2001 (Fig. 3). Therefore, the water  
421 requirement increased a lot in 2000s. With the implementation of EWCP, the available  
422 surface water from middle Heihe River decreased in 2000s. Surface water cannot meet  
423 the water requirement any more, causing more exploiting of groundwater (Table 3).  
424 The middle Heihe River Basin is in severe water shortage of water resources. To reduce  
425 the contradiction of water supply and requirement, the land use including the crop  
426 structure in the middle Heihe River Basin should be carefully planned.

### 427 3.4 Contributions to the water requirement trend

428 Both climate change and human agricultural activities can influence the water  
429 requirement of the oasis. In this study, the land expansion, which influences the total  
430 oasis area, and the land structure, which influences the area proportion of each land-use  
431 type in the oasis, were considered for the human agricultural activities. Because the  
432 oasis is dominated by the cultivated land, both the contributions of the influencing  
433 factors to the changes in water requirement amount of the oasis and of the cultivated  
434 land were analyzed. For the cultivated land, the three influencing factors considered to  
435 be the climate change, the expansion of the cultivated land, and the crop structure in  
436 the cultivated land. The area of the oasis in 1986, 1995, 2000, and 2011 was 2048.96  
437 km<sup>2</sup>, 2091.13 km<sup>2</sup>, 2216.97 km<sup>2</sup>, and 2954.85 km<sup>2</sup>, respectively, which showed an  
438 obvious increase in the recent ~30 years. For the specific land-use types, the area of  
439 cultivated land, waters, and swampland in 2011 showed an obvious increase, compared  
440 with the area in 1986. The area of the cultivated land was only 1614.32 km<sup>2</sup> in 1986,  
441 but it increased to 2354.25 km<sup>2</sup> in 2011. Besides the land expansion, the increased area  
442 of the land-use types with high water requirement like the vegetable, maize, waters, and  
443 swampland also increased the water requirement amount of the oasis.

444 The water requirement amounts of the oasis and cultivated land increased  $0.3447 \times 10^8$   
445 m<sup>3</sup> and  $0.2743 \times 10^8$  m<sup>3</sup> per year during 1986-2013, respectively (Fig. 9a). Considering  
446 the impact of climate change on the water requirement amount, the land area and the  
447 land structure were set stable, and only the climate changed as usual during 1986-2013.  
448 In the situation, the water requirement amount increased slowly at the rates of  
449  $0.0238 \times 10^8$  m<sup>3</sup> and  $0.0184 \times 10^8$  m<sup>3</sup> per year for the oasis and cultivated land,  
450 respectively (Fig. 9b), which revealed that climate change had a positive effect on the  
451 increase in water requirement. Based on Eq. (9), the contributions of the climate change  
452 to the increase in water requirement amount were 6.9 % and 6.7 % for the oasis and  
453 cultivated land, respectively.

454 Considering the impact of land expansion on the water requirement amount, the climate  
455 and the land structure were set stable, and only the total land area changed with time  
456 during 1986-2013. In this situation, the water requirement amount increased rapidly at  
457 the rates of  $0.2008 \times 10^8$  m<sup>3</sup> and  $0.1661 \times 10^8$  m<sup>3</sup> per year for the oasis and cultivated land,  
458 respectively (Fig. 9c), which were nearly 9 times faster than the increasing speed caused  
459 by climate change. The contributions of land expansion were 58.3 % and 60.6 % to the  
460 increase in water requirement amount for the oasis and cultivated land, respectively.

461 Considering the impact of land structure on the water requirement amount, the climate  
462 and total land area were set stable, and only the land structure changed as usual during  
463 1986-2013. In this situation, the water requirement amount increased at the rates of  
464  $0.0874 \times 10^8$  m<sup>3</sup> and  $0.0645 \times 10^8$  m<sup>3</sup> per year for the oasis and cultivated land,  
465 respectively (Fig. 9d), which were approximately 4 times faster than the increase speed  
466 caused by climate change. The contributions of the land structure were 25.4 % and 23.5 %  
467 to the water requirement changes for the oasis and cultivated land, respectively.

468 The three influencing factors explained approximately 91 % of the increase in the water  
469 requirement amounts of the oasis and cultivated land during 1986-2013. In the recent

470 ~30 years, human agricultural activities including land expansion and changes in land  
471 structure contributed about 84% to the increase in water requirement amount, and the  
472 climate change only contributed about 7% to the increase. And land expansion was the  
473 dominant factor contributing to the increase in water requirement amount of the oasis.

#### 474 **4. Discussion**

475 Based on the land use and meteorological data, the impact of climate change and human  
476 agricultural activities, including land expansion and changes in land structure, on the  
477 water requirements of the oasis and the cultivated land which is the main part of the  
478 oasis in the middle Heihe River Basin were calculated and analyzed. The results suggest  
479 that both climate change and human agricultural activities can lead to the increase in  
480 water requirement amounts and the contribution of human agricultural activities to the  
481 increase was significantly greater than the climate change. And the land expansion was  
482 the dominant factor contributing to the increase in water requirement amounts.

483 Crop water requirement is the ET from disease-free, well-fertilized crops under  
484 optimum soil water conditions and achieving full production. There is no available  
485 observed crop water requirement to validate the results. Only actual ET data can be  
486 obtained. There are 18 field stations in the oasis that all located in Ganzhou district in  
487 the middle Heihe River Basin for conducting meteorological observation and flux  
488 measurements from around June, 2012. But due to the incomplete daily data and short  
489 time series, we used the ET datasets provided by Cold and Arid Regions Science Data  
490 Center at Lanzhou (<http://westdc.westgis.ac.cn>) to validate the results. Compared with  
491 other research results, the mean annual water requirement of the main crop (maize),  
492 which was 570.0 mm in this study, basically accorded with the result by Liu et al. (2010).  
493 And the mean annual water requirements of cultivated land and wheat, which were  
494 544.6 mm and 413.7 mm, respectively in this study, was consistent with the results by  
495 Liu et al. (2017).

496 Crop coefficient is an important parameter to estimate the water requirement, and it is  
497 related to many factors, such as the biological characters of crops, cultivation and soil  
498 conditions, etc., so the crop coefficients for different crop varieties of the same crop  
499 could be different. Some researchers (Nader et al., 2013; Mu, 2005) studied on the crop  
500 coefficients affected by different crop varieties, and found that there were differences  
501 in every growth stage between different varieties, and the differences were almost less  
502 than 0.3. But it's difficult to get the crop coefficients for every specific crop variety  
503 because there are too many varieties. Besides, the water requirement is not only related  
504 to the crop coefficient, but also related to the crop growth period. Many factors  
505 influencing the crop coefficient also have an effect on the growth stages. Like the study  
506 by Nader et al. (2013), the water requirement variation was much smaller than the  
507 variation of crop coefficients for different varieties. Therefore, though we didn't  
508 distinguish the crop coefficients among different varieties, the estimated water  
509 requirements in the study were still reliable.

510 There are many factors influencing the water requirement. This study only analyzed the

511 major factors which influence it (climate change and human agricultural activities).  
512 Climate change including factors for air temperatures, wind speed, relative humidity  
513 and sunshine duration, and Human agricultural activities, including the land expansion  
514 and changes in land structure totally contribute about 91% to the increase in water  
515 requirement amount of the oasis. Other influential factors, such as changes in location  
516 of different land types, are difficult to quantify and were not considered in the study.  
517 Besides, some driving factors are not independent, and changes in one factor can cause  
518 changes in other factors, such as the climate change and changes in crop phenology. So  
519 in the contribution analysis, when the climate were set stable, the crop phenology also  
520 kept stable, and when the climate changed, the crop phenology varied according to the  
521 statistical data.

522 As an oasis located at ecologically vulnerable areas and dominated by agriculture, the  
523 development of agriculture should match up with the climate and ecological capacity.  
524 The water amount consumed in the oasis ecosystem concerns the ecological security of  
525 the whole basin. To promote the harmonious development among the upstream,  
526 midstream and downstream, the water amount consumed in the agricultural oasis must  
527 be controlled and a series of water-saving measures should be carry on. Because the  
528 oasis area and the land structure are the main reason why the water requirement amount  
529 of the oasis increased so fast, additional efforts will be made to determine the  
530 appropriate oasis area and crop structure in the oasis.

## 531 **5. Conclusion**

532 Affected by the climate change and human agricultural activities, the water requirement  
533 amount of the oasis increased significantly during 1986-2013, which increased from  
534  $10.8 \times 10^8 \text{ m}^3$  in 1986 to  $19.0 \times 10^8 \text{ m}^3$  in 2013. Cultivated land is the main part of the  
535 oasis, the water requirement amount of which increased from  $8.4 \times 10^8 \text{ m}^3$  in 1986 to  
536  $14.7 \times 10^8 \text{ m}^3$  in 2013. Contribution analysis identified the dominant factors influencing  
537 the water requirement amount were the human activities, the contribution of which  
538 including the land expansion and changes in land structure to the increase in water  
539 requirement amount was about 84%, and the climate change only contributed about 7%  
540 to the increase. For the human activities, land expansion contributed most to the  
541 increase in water requirement amount, which contributed 58.3 % and 60.6 % for the  
542 oasis and cultivated land, respectively. To reduce the water requirement amount and  
543 ensure the sustainable development of oasis ecosystems in arid regions dominated by  
544 agriculture, it is necessary to further rationalize the scale of the oasis and cultivated  
545 land, and optimize the crop structure.

## 546 **6. Data availability**

547 The meteorological data are available at <http://data.cma.cn/>. The land use data,

548 validation data, runoff data, and precipitation data used in this study are available at  
549 <http://westdc.westgis.ac.cn>.

550 **Competing interests.** The authors declare that they have no conflict of interest.

### 551 **Acknowledgements.**

552 This study was supported by the National Natural Science Foundation of China (No.  
553 91425302). We would like to extend our thanks to Yulu Zhang from Qinghai Normal  
554 University, who helped process the wind speed data in three meteorological stations.

### 555 **References**

- 556 Allen, R. G., Pereira, L. S., Raes, D., and Smith, M.: Crop evapotranspiration: Guidelines for computing  
557 crop requirements. Irrigation and Drainage Paper No. 56, FAO, Rome, Italy, 1998.
- 558 Aus der Beek, T., Voß, F., and Flörke, M.: Modelling the impact of Global Change on the hydrological  
559 system of the Aral Sea basin, *Physics and Chemistry of the Earth*, 36 (13): 684-695, 2011.
- 560 Bai, J., Chen, X., Li, L., Luo, G., Yu, Q.: Quantifying the contributions of agricultural oasis expansion,  
561 management practices and climate change to net primary production and evapotranspiration in croplands  
562 in arid northwest China, *Journal of Arid Environments*, 100-101, 31-41, 2014.
- 563 Bates, B. C., Kundzewicz, Z. W., Wu, S., and Palutikof, J. P.: *Climate Change and Water*, IPCC Technical  
564 Paper VI, Intergovernmental Panel on Climate Change, 2008.
- 565 Chen, Y., Zhang, D., Sun, Y., Liu, X., Wang, N., and Savenije, H. H. G.: Water demand management: A  
566 case study of the Heihe River Basin in China, *Physics and Chemistry of the Earth Parts A/B/C*, 30, 408-  
567 419, 2005.
- 568 Coe, M. T., and Foley, J. A.: Human and natural impacts on the water resources of the Lake Chad basin,  
569 *Journal of Geophysical Research*, 106, 3349-3356, 2001.
- 570 Cui, Y., and Jia, L.: A modified gash model for estimating rainfall interception loss of forest using remote  
571 sensing observations at regional Sscale, *Water*, 6(4), 993–1012, doi:10.3390/w6040993, 2014.
- 572 Dai, A., Lamb, P. J., Trenberth, K. E., Hulme, M., Jones, P. D., and Xie, P.: The recent sahel drought is  
573 real. *International Journal of Climatology*, 24(11), 1323-1331, 2004.
- 574 De Silva, C. S., Weatherhead, E. K., Knox, J. W., and Rodriguez-Diaz, J. A.: Predicting the impacts of  
575 climate change—A case study of paddy irrigation water requirements in Sri Lanka, *Agricultural Water  
576 Management*, 93, 19-29, 2007.
- 577 Döll, P.: Impact of climate change and variability on irrigation requirements: a global perspective,  
578 *Climatic Change*, 54, 269–293, 2002.
- 579 Dong, W., Cui, B., Liu, Z., and Zhang, K.: Relative effects of human activities and climate change on the  
580 river runoff in an arid basin in northwest china, *Hydrological Processes*, 28(18), 4854-4864, 2015.
- 581 Duan, A., Sun, J., Liu, Y., Xiao, J., Liu, Q., and Qi, X.: Irrigation quota of major crops for Northern  
582 China, *China Agricultural Sci & Tech Press*, Beijing, pp. 197, 2004 (In Chinese).
- 583 Ersi, K., Guodong, C., Yongchao, L., and Huijun, J.: A model for simulating the response of runoff from  
584 the mountainous watersheds of inland river basins in the arid area of northwest China to climatic changes,

585 Science in China Series D, 42, 52-63, 1999.

586 Fu, A., Chen, Y., and Li, W.: Impact of global warming on water requirement of main crops in oasis  
587 irrigated area in yanqi basin. *Advanced Materials Research*, 955-959, 3079-3087, 2014.

588 Guo, F., Zhang, K., Lv, Q.: *Dictionary of Gansu*, Gansu culture Press, 2000 (In Chinese).

589 Guo, H., Ling, H., Xu, H., and Guo, B.: Study of suitable oasis scales based on water resource availability  
590 in an arid region of China: a case study of Hotan River Basin, *Environmental Earth Sciences*, 75, 2016.

591 Hu G., and Jia, L.: Monitoring of evapotranspiration in a semi-arid inland river basin by combining  
592 microwave and optical remote sensing observations, *Remote Sensing*, 7(3), 3056-3087, 2015.

593 Huo, Z., Feng, S., Kang, S., Li, W., and Chen, S.: Effect of climate changes and water-related human  
594 activities on annual stream flows of the shiyang river basin in arid north-west china. *Hydrological  
595 Processes*, 22(16), 3155-3167, 2008.

596 Jarsjö, J., Asokan, S. M., Shibuo, Y., and Destouni, G.: Water scarcity in the aral sea drainage basin:  
597 contributions of agricultural irrigation and a changing climate, *NATO Security through Science Series C:  
598 Environmental Security*, 99-108, 2008.

599 Ji, X., Kang, E., Zhao, W., Chen, R., Xiao, S., and Jin, B.: Analysis of water resources supply and demand  
600 and security of water resources development in irrigation regions of the middle reaches of the Heihe river  
601 basin, Northwest China, *Agricultural Sciences in China*, 5(2), 130-140, 2006.

602 Jia, L., Hu, G., and Cui, Y.: The evapotranspiration data from 2009 to 2011 in the Heihe River Basin,  
603 Heihe Plan Science Data Center, doi:10.3972/heihe.114.2013.db, 2013.

604 Jia, L., Shang, H., Hu, G., and Menenti, M.: Phenological response of vegetation to upstream river flow  
605 in the Heihe Rive basin by time series analysis of MODIS data, *Hydrology and Earth System Sciences*,  
606 15, 1047-1064, 2011.

607 Kang, E., Cheng, G., Lan, Y., and Jin, H.: A model for simulating the response of runoff from the  
608 mountainous watersheds of inland river basins in the arid area of northwest China to climatic changes, ,  
609 *Science in China Series D*, 42, s1, 1999.

610 Kang, E., Cheng, G., Song, K., Jin, B., Liu, X., and Wang, J.: Simulation of energy and water balance in  
611 Soil-Vegetation- Atmosphere Transfer system in the mountain area of Heihe River Basin at Hexi Corridor  
612 of northwest China, *Science in China Series D*, 48, 538, 2005.

613 Karimov, B., and Matthies, M.: Impact of  
614 salinisation of surface waters caused by irrigation and diversion of drainage waters on fisheries in  
615 Amudarya and Syrdarya Rivers basins, Central Asia, SEFS 8 Symposium fir European Freshwater  
616 Sciences, 2013.

616 Kawy, W. A. A., and Darwish, K. M.: Assessment of optimum land use and water requirements for  
617 agricultural purpose in some soils South Paris Oasis, Western Desert, Egypt, *Arabian Journal of  
618 Geosciences*, 7, 4043-4058, 2013.

619 Kawy, W. A. A., and El-Magd, I. H. A.: Assessing crop water requirements on the bases of land suitability  
620 of the soils South El Farafra Oasis, Western Desert, Egypt, *Arabian Journal of Geosciences*, 6, 2313-  
621 2328, 2012.

622 Li, J., Yang, X., Cao, S., Ma, Z., Xiao, J., Chen, F., Li, Y., Qiu, J., Feng, H., and Ren, T.: Water  
623 requirement and crop coefficient of different planting patterns in Zhangye area of Gansu Province, *Acta  
624 Agriculturae Jiangxi*, 21(4), 17-20, 2009. (In Chinese)

625 Li, J., Zhu, T., Mao, X., Adeloye, A. J.: Modeling crop water consumption and water productivity in the  
626 middle reaches of Heihe River Basin, *Computers and Electronics in Agriculture*, 123, 242–255, 2016.

627 Li, X., Cheng, G., Tian, W., Zhang, Y., Zhou, J., Pan, X., Ge, Y., and Hu, X.: Hydrological cycle in the  
628 Heihe River Basin and its implication for water resource management in inland river basins (Invited),



629 AGU Fall Meeting Abstracts, 2013.

630 Liu, B., Zhao, W., Chang, X., Li, S., Zhang, Z., and Du, M.: Water requirements and stability of oasis  
631 ecosystem in arid region, China, *Environmental Earth Sciences*, 59, 1235-1244, 2010.

632 Liu, J.: Study on the vegetation ecological water requirement in the Heihe River Basin based on 3S  
633 technology, North West Agriculture and Forestry University, 2014(In Chinese).

634 Liu, J., Liu, M., Zhuang, D., Zhang, Z., Deng, X.: Study on spatial pattern of land-use change in China  
635 during 1995-2000, *Science in China (D)*, 46(4), 2003.

636 Liu, S., Xiong, J. and Wu, B.: ETWatch: a method of multi-resolution ET data fusion, *Journal of Remote  
637 Sensing*, 15(2), 255–269, 2011.

638 Liu, Y., Song, W., and Deng, X.: Spatiotemporal patterns of crop irrigation water requirements in the  
639 heihe river basin, china, *Water*, 9 (8), 616, 2017.

640 Ma, L., Wu, J., Liu, W., and Abuduwaili, J.: Distinguishing between anthropogenic and climatic impacts  
641 on lake size: a modeling approach using data from ebinur lake in arid northwest china, *Journal of  
642 Limnology*, 73(2), 148-155, 2014.

643 Micklin P P.: Desiccation of the aral sea: a water management disaster in the soviet union, *Science*,  
644 241(4870),1170-6, 1988.

645 Mu, C., Ma, F., Zheng, X., Li, F., Cheng, H.: Simulation of evapotran spiration under the condition of  
646 drip irrigation with plastic film mulching in cotton field, *Transactions of the Chinese Society of  
647 Agricultural Engineering*, 21(4):25-29, 2005 (In Chinese).

648 Nader, P., Fatemeh, Z., Mojtaba, R., and Vaheedeh, A.: Derivation of crop coefficients of three rice  
649 varieties based on ET<sub>0</sub> estimation method in Rasht region, *Cereal Research*, 3(2): 95-106, 2013.

650 Narisma, G. T., Foley, J. A., Licker, R., and Ramankutty, N.: Abrupt changes in rainfall during the  
651 twentieth century, *Geophysical Research Letters*, 34, L06710, doi:10.1029/2006GL028628, 2007.

652 Nkomozepi, T., and Chung, S. O.: Assessing the trends and uncertainty of maize net irrigation water  
653 requirement estimated from climate change projections for Zimbabwe. *Agricultural Water Management*,  
654 111, 60-67, 2012.

655 Pu, J., Deng, Z., Yao, X., Wang, W., Li, Q., and Zhang, H.: An analysis of ecoclimatic factors on linseed  
656 and normal region demarcation for linseed in Gansu, *Chinese journal of oil crop sciences*, 26(3), 37-42,  
657 2004 (In Chinese)

658 Shahid, S.: Impact of climate change on irrigation water demand of dry season Boro rice in northwest  
659 Bangladesh, *Climatic Change*, 105, 433-453, 2010.

660 Shen, Y. and Chen, Y.: Global perspective on hydrology, water balance, and water resources management  
661 in arid basins, *Hydrological Processes*, 24, 129-135, 2010.

662 Shuttleworth, W.J.: Evaporation. In: Maidment, D.R. (Ed.), *Handbook of hydrology*. McGraw-Hill, New  
663 York, USA, pp. 4.1–4.53, 1993.

664 Siebert, S., Nagieb, M., and Buerkert, A.: Climate and irrigation water use of a mountain oasis in northern  
665 Oman, *Agricultural Water Management*, 89, 1-14, 2007.

666 Song Y., Fan Z., Lei Z., and Zhang F.: The water resources and ecological problems in the Tarim River,  
667 China, Urumqi: Xinjiang people's press, 2000. (In Chinese)

668 Sorg, A., Mosello, B., Shalpykova, G., Allan, A., Hill Clarvis, M., and Stoffel, M.: Coping with changing  
669 water resources: The case of the Syr Darya river basin in Central Asia, *Environmental Science & Policy*,  
670 43(7), 68-77, 2014.

671 Wang, G., and Cheng, G.: Water resource development and its influence on the environment in arid areas  
672 of China--the case of the Hei River basin, *Journal of Arid Environments*, 43(2):121-131, 1999.

673 Wang, G. and Cheng, G.: Water demand of the eco-system and estimate method in arid inland river basins,  
674 *Journal of Desert Research*, 24(2), 129-134, 2002 (In Chinese).

675 Wang, J., Gai, C., Zhao, J., and Hu, X.: Land use/ land coverage dataset in middle Heihe River Basin in  
676 2011, Data Management Center of the Heihe River Project, doi:10.3972/heihe.100.2014.db, 2014 .

677 Wang, Y., and Qin, D.: Influence of climate change and human activity on water resources in arid region  
678 of Northwest China: An overview, *Advances in Climate Change Research*, 2017.

679 Wang, Y., Roderick, M.L., Shen, Y., and Sun, F.: Attribution of satellite-observed vegetation trends in a  
680 hyper-arid region of the Heihe River basin, Western China, *Hydrology & Earth System Sciences*, 11(2),  
681 3499-3509, 2014.

682 Wang, Y., Yan, C., and Wang, J.: Land use data in Heihe River Basin, Cold and Arid Regions  
683 Environmental and Engineering Research Institute, Chinese Academy of Sciences,  
684 doi:10.3972/heihe.021.2013.db, 2011.

685 Wang, Y., Yan, C., and Wang, J.: Land use data in Heihe River Basin in 2000, Cold and Arid Regions  
686 Environmental and Engineering Research Institute, Chinese Academy of Sciences,  
687 doi:10.3972/heihe.020.2013.db, 2011..

688 Wu, B.F., Xiong, J., and Yan, N.N.: ETWatch: An Operational ET Monitoring System with Remote  
689 Sensing, *ISPRS III Workshop*, 2008.

690 Xiong, J., Wu, B., Yan, N., Zeng, Y., and Liu, S.: Estimation and validation of land surface evaporation  
691 using remote sensing and meteorological data in North China, *IEEE Journal of Selected Topics in*  
692 *Applied Earth Observations & Remote Sensing*, 3 (3), 337–344, 2010.

693 Wu, B., Yan, N., Xiong, J., Bastiaanssen, W.G.M., Zhu, W., and Stein, A.: Validation of ETWatch using  
694 field measurements at diverse landscapes: A case study in Hai Basin of China, *Journal of Hydrology*,  
695 436-437, 67-80, 2012.

696 Wu, B., Zheng, Y., Tian, Y., Wu, X., Yao, Y., Han, F., Liu, J., and Zheng, C.: Systematic assessment of  
697 the uncertainty in integrated surface water-groundwater modeling based on the probabilistic collocation  
698 method, *Water Resources Research*, 50, 5848–5865, doi:10.1002/2014WR015366, 2014.

699 Wu, T., and Miao, J.: Small hydropower development and construction analysis in the rural of Linze  
700 county, *Gansu Agriculture*, 23, 61-64, 2015 (In Chinese).

701 Xing, Z.: Annexation and reorganization, integration and getting listed——Some thoughts on the reform  
702 of seed enterprises in zhangye city, *China Seed Industry*, 52-54, 2013 (In Chinese).

703 Yang, D., Wang, Y., Gao, B., Qin, Y.: Spatial interpolation of gauge precipitation using Regional Climate  
704 Model simulation in the Heihe basin (1960-2014), Cold and Arid Regions Science Data Center at  
705 Lanzhou, doi:10.3972/heihe.127.2014.db, 2015.

706 Yang, L., and Wang, G.: Variations of groundwater in Zhangye Basin of the middle reaches of the Heihe  
707 River in recent two decades, *Journal of Glaciology and Geocryology*, 27(2), 290-296, 2005 (In Chinese).

708 Ye, Z., Chen, Y., and Li, W.: Ecological water demand of natural vegetation in the lower Tarim River,  
709 *Journal of Geographical Sciences*, 20, 261-272, 2010.

710 Zhang, K., Song, L., Han, Y., Si, J., and Wang, R.: Analysis on supply and demand of water resources  
711 and related countermeasures in the middle reaches of Heihe River, *Journal of Desert Research*, 26, 842-  
712 848, 2006 (In Chinese).

713 Zhao, C., Nan, Z., and Cheng, G.: Methods for estimating irrigation needs of spring wheat in the middle  
714 Heihe basin, China, *Agricultural Water Management*, 75, 54-70, 2005.

715 Zhao, W., Chang, X., He, Z., and Zhang, Z.: Study on vegetation ecological water requirement in Ejina  
716 Oasis, *Science in China Series D: Earth Sciences*, 50, 121-129, 2007.

717 Zhao, W., Liu, B., and Zhang, Z.: Water requirements of maize in the middle Heihe River basin, China,  
718 *Agricultural Water Management*, 97, 215-223, 2010.

719 Zhao, Y., Wei, Y., Li, S., and Wu, B.: Downstream ecosystem responses to middle reach regulation of  
720 river discharge in the heihe river basin, china, *Hydrology & Earth System Sciences*, 20(11), 1-20, 2016.

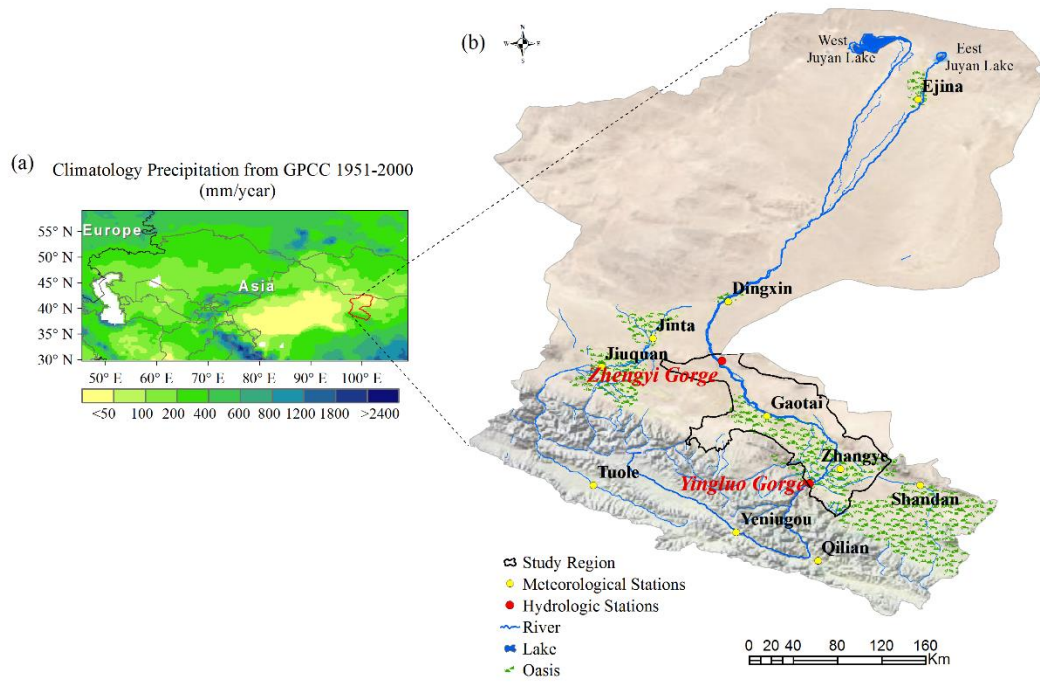
721 Zheng, Y.: The simulation result of the key hydrological variables of the Zhangye Basin in Heihe River  
722 Basin, Heihe Plan Science Data Center, doi:10.3972/heihe.070.2014.db, 2014.

723 Zhou, L., Gao, Y., Gao, Y., and Cheng, Q.: Calculation and analysis of reed transpiration climatology  
724 based on reed growth period, *Journal of Shenyang Agricultural University*, 46(2), 204-212, 2015. (In  
725 Chinese)

726 Zou, M., Niu, J., Kang, S., Li, X., and Lu, H.: The contribution of human agricultural activities to  
727 increasing evapotranspiration is significantly greater than climate change effect over heihe agricultural  
728 region, *Scientific Reports*, 7(1), 2017.

729

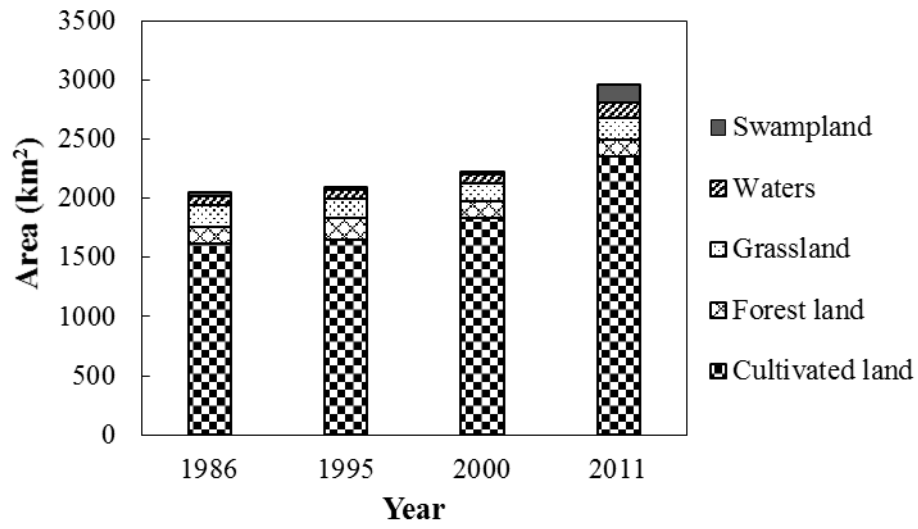
730



731

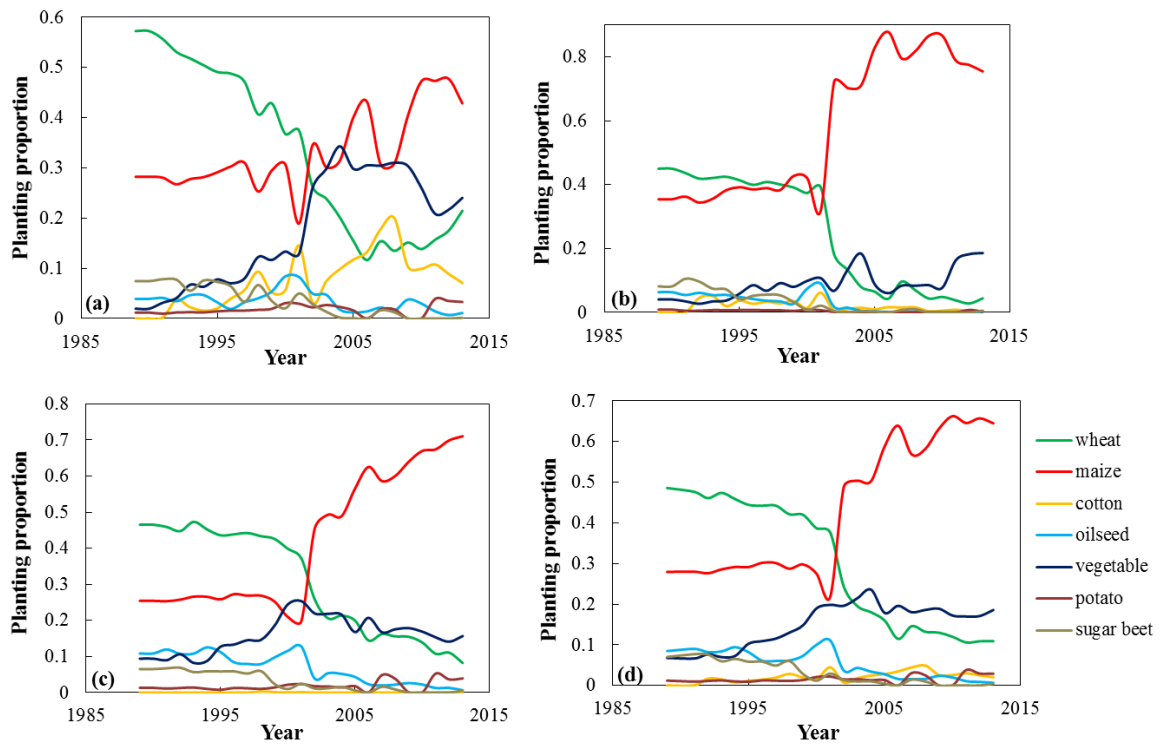
**Figure 1.** Details of the study area. (a) The location of Heihe River Basin and the mean annual precipitation (1951-2000). (b) Regional setting and the landscape of Heihe River Basin with location of meteorological and hydrologic stations.

732

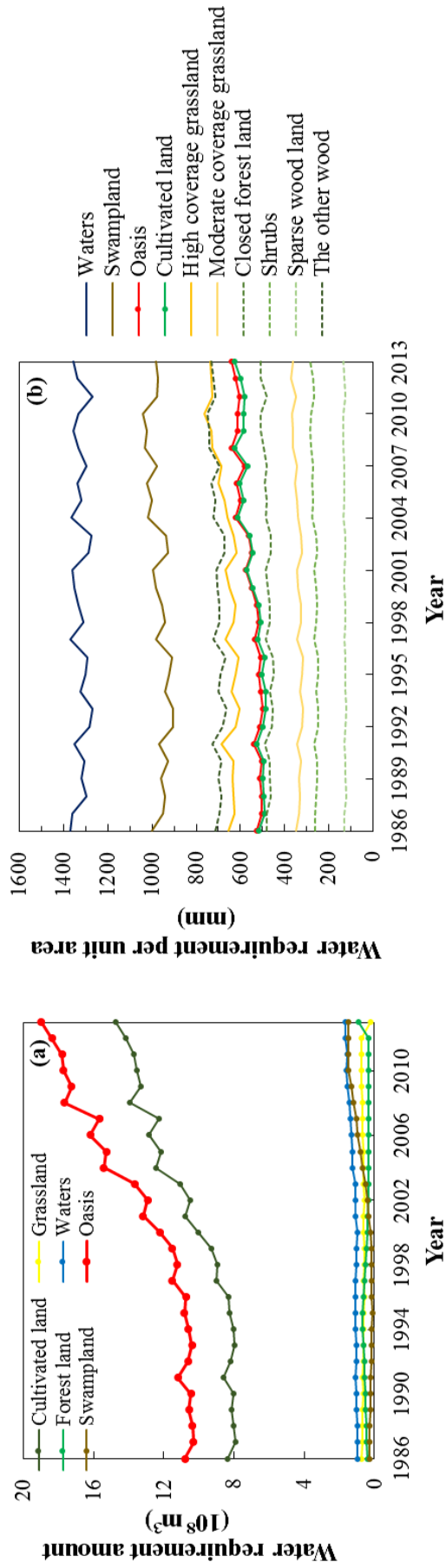


733  
734

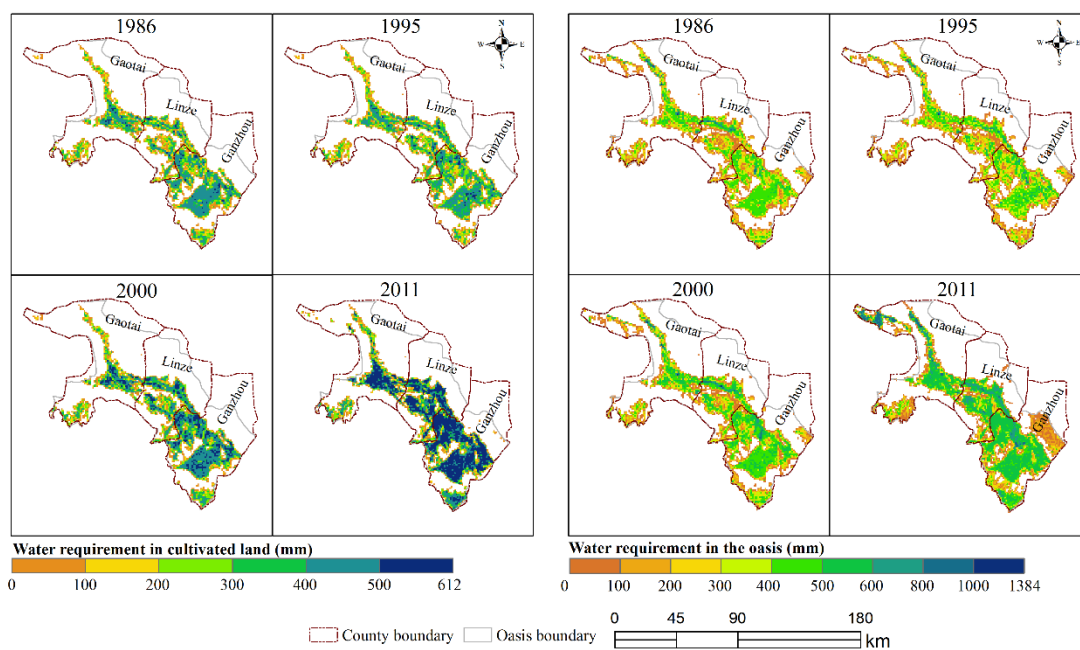
**Figure 2.** The areas of different land-use types in the oasis in the middle Heihe River Basin.



**Figure 3.** The planting proportion of the crops in (a) Gaotai county, (b) Linze county, (c) Ganzhou district, (d) the region including Gaotai, Linze counties and Ganzhou district in the middle Heihe River Basin.

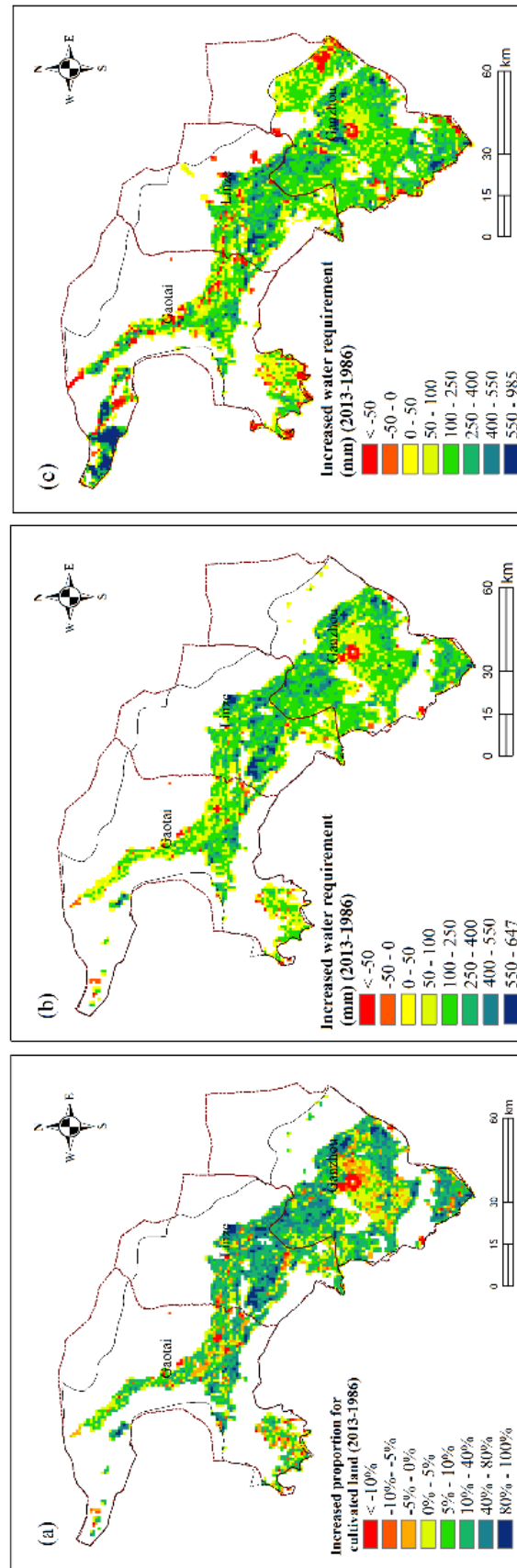


**Figure 4.** The water requirement amount (a) and water requirement per unit area (b) for different land-use types in the oasis of the middle Heihe River Basin from 1986 to 2013.

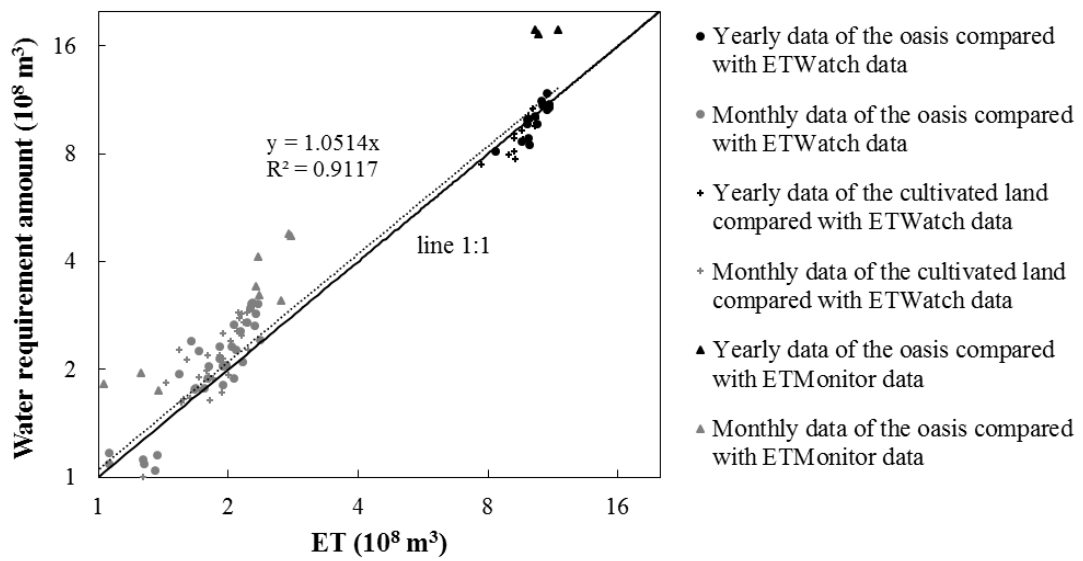


**Figure 5.** The spatial distribution of the water requirement in the cultivated land and oasis at the spatial resolution of 1 km in the middle Heihe River Basin in 1986, 1995, 2000, 2011.

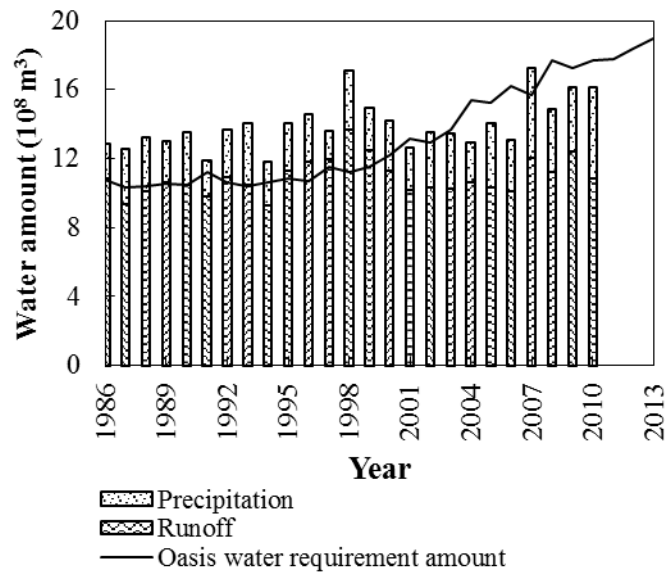




**Figure 6.** The difference of (a) the proportion of cultivated land, (b) water requirement in the cultivated land, and (c) water requirement in the oasis, at the spatial resolution of 1 km between 1986 and 2013 in the middle Heihe River Basin.



738 **Figure 7.** The comparison between the yearly and monthly (May, June, July) water requirement amounts  
 739 and ET data, which included the ET data estimated by ETWatch model from 2000 to 2013 for the  
 740 cultivated land and the oasis, and the ET data estimated by ETMonitor model from 2009 to 2011 for the  
 741 oasis.

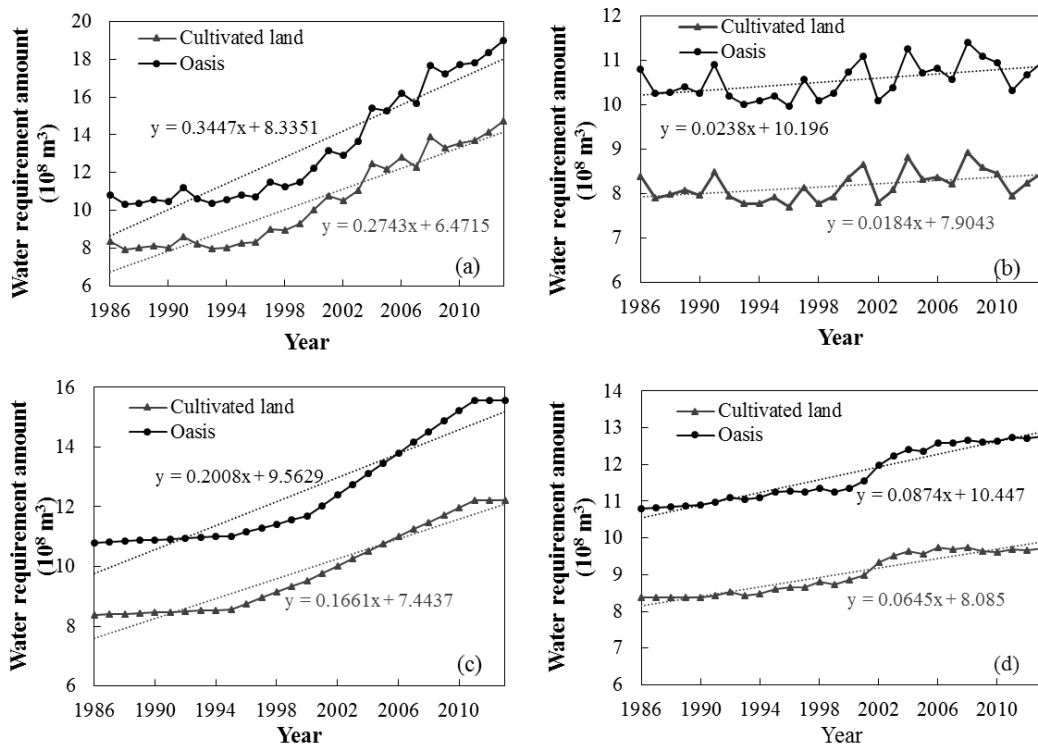


742

**Figure 8.** The water requirement amount and the surface water supply including the precipitation and runoff data for the oasis in the middle Heihe River Basin. The runoff data included the runoff from the mainstream of middle Heihe River, Shandan River and Liyuan River.

743

744



745 **Figure 9.** The long-term trend of the water requirement for the cultivated land and oasis under different  
 746 situations during 1986-2013. (a) Under a situation of climate change and human agricultural activities;  
 747 (b) under the situation that only the climate was changing, but the total area of the oasis (cultivated land)  
 748 and land structure were stable; (c) Under the situation that the total area of the oasis (cultivated land) was  
 749 changing, but the climate and land structure were stable; (d) Under the situation that the land structure  
 750 was changing, but the climate and the total area of the oasis (cultivated land) were stable.

Table 1. Crop coefficients of the different crops in different growth stages in the oasis of the middle Heihe River Basin.

Crop	Development stage			
	Initial	Developing	Middle	Late
Maize	0.23	0.23-1.20	1.20	1.20-0.35
Spring wheat	0.23	0.23-1.16	1.16	1.16-0.40
Cotton	0.27	0.27-1.20	1.20	1.20-0.70
Oilseed	0.29	0.29-1.10	1.10	1.10-0.25
Sugar beet	0.34	0.34-1.21	1.21	1.21-0.70
Potato	0.27	0.27-1.15	1.15	1.15-0.75
Vegetable	0.60	0.60-1.10	1.10	1.10-0.90
Orchard	0.33	0.33-0.95	0.95	0.95-0.71
Swampland	1.00	1.00-1.20	1.20	1.20-1.00
High coverage grassland	0.20	0.20-1.04	1.04	1.04-0.44
Moderate coverage grassland	0.35	0.35-0.47	0.47	0.47-0.32

751

Table 2. Vegetation coefficient in different depths of groundwater level.

Groundwater depth	1	1.5	2	2.5	3	3.5	4
Vegetation coefficient	1.98	1.63	1.56	1.45	1.38	1.29	1.00

752

Table 3. Water balance items in the middle Heihe River Basin during 1986-2013.

Average value (Unit: 10 <sup>8</sup> m <sup>3</sup> )		1986-1989	1990-1999	2000-2013
Water requirement	Agricultural vegetation	8.32	8.84	12.61
	Ecological vegetation	2.19	2.07	3.26
Runoff consumed in the middle Heihe River Basin	Mainstream of the middle Heihe River	6.99	8.00	7.66 <sup>a</sup>
	Shandan and Liyuan Rivers	3.22	3.22	3.22
Precipitation	Landing on the agricultural vegetation	2.22	2.22	2.88
	Landing on the ecological vegetation	0.53	0.48	0.67
Groundwater consumed in the middle Heihe River Basin		0.6 <sup>b</sup>	1.13	3.25 <sup>c</sup>

(<sup>a</sup> the average value during 2000-2010, <sup>c</sup>the average value during 2000-2007; <sup>b</sup> the data referred to Yang and Wang (2005).)