

1 **Quantify the impacts of climate change and human agricultural**  
2 **activities on oasis water requirements in an arid region: A case study**  
3 **of the Heihe River Basin, China**

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10 **Abstract.** Ecological deterioration in arid regions caused by agricultural development  
11 has become a global issue. Understanding water requirements of the oasis ecosystems  
12 and the influences of human agricultural activities and climate change is important for  
13 the sustainable development of oasis ecosystems and water resources management in  
14 arid regions. In this study, water requirements of the main oasis in Heihe River Basin  
15 during 1986-2013 were analyzed and the amount showed a sharp increase from  
16  $10.8 \times 10^8 \text{ m}^3$  in 1986 to  $19.0 \times 10^8 \text{ m}^3$  in 2013. Both human agricultural activities and  
17 climate change could lead to the increase of water requirement amount. To quantify the  
18 contributions of agricultural activities and climate change to the increase in water  
19 requirements, partial derivative and slope method were used. Results showed that  
20 climate change and human agricultural activities, such as oasis expansion and changes  
21 in land cropping structure, has contributed to the increase of water requirement at rates  
22 of 6.9%, 58.3%, and 25.4%, respectively. Overall, human agricultural activities were  
23 the dominant driving forces for the increase of water requirement amount. And the  
24 contribution of oasis expanding to the increased water requirement amount was  
25 significantly greater than that of other concerned variables. This reveals that to control  
26 the oasis scale is extremely important and effective to balancing water for agriculture  
27 and ecosystems and to achieving a sustainable oasis development in arid regions.

28 **1. Introduction**

29 Inland river basins take up about 11.4 % of the land area in the world and most of them  
30 are distributed over arid regions (Li et al., 2013). Water resources in arid regions are  
31 scarce and critical to ecosystems and societies. For the inland river basins in arid regions,  
32 water resources mainly originate from the precipitation and snow/glacier melting in the  
33 upstream mountainous areas, and are consumed mainly by agriculture and human  
34 society in oases of the piedmont plains in the midstream, then finally are discharged  
35 and dispersed in the tail lakes in the downstream (Kang et al., 1999; Shen and Chen,  
36 2010). The precipitation in plain areas or in major economic centers of arid basins has

37 nearly no significant meaning for generating runoff (Shen and Chen, 2010).  
38 Owing to scarce water resources in arid regions, ecosystems and societies are  
39 vulnerable to hydrologic changes. With the rapid growth of population in arid regions  
40 of the world (Shen and Chen, 2010), the utilization of surface- and groundwater for  
41 irrigation increased without enough consideration for ecological conservation, which  
42 caused severe deterioration of water and ecosystems in most arid river basins. For  
43 example, the Amu Darya and the Syr Darya are two main rivers in the Central Asia,  
44 which flow towards the Aral Sea. More than 90% of the water withdrawal in the region  
45 was used for agricultural irrigation (Sorg et al., 2014). With the increase in irrigated  
46 area in the past decades, irrigation withdrawals have measurably reduced inflow to the  
47 Aral Sea since 1960s, which caused significant shrinking of the water surface of the  
48 Aral Sea and land desertification, and even the fishery in the Aral Sea has almost been  
49 destroyed because of salinization (Micklin, 1988; Sorg et al., 2014; Shen and Chen,  
50 2010; Karimov and Matthies, 2013). Similarly, the disappearance of Lop Nor in western  
51 China, the dying of the Dead Sea in the Middle East, and the shrinking of Lake Chad  
52 in Africa are all notable examples. Ecological deterioration in arid regions caused by  
53 agricultural development has become a global issue and has become the main obstacle  
54 to the sustainable development of oasis ecosystems.

55 Despite human exploitation, climate change can also influence the water resources in  
56 arid regions. It is reported that the climate in arid regions has become drier in the past  
57 century (Narisma et al., 2007; Dai et al., 2004), showing increasing temperature,  
58 variability of precipitation, and reduction of glaciers and snow areas (Wang and Qin,  
59 2017) and would be more arid in the future (Bates et al., 2008). But huge amount of  
60 studies suggested that the water and ecological degradation in arid regions was largely  
61 affected much more by irrational human exploitation than climate change (Jarsjö et al.,  
62 2008; Aus der Beek et al., 2011; Huo et al., 2008; Dong et al., 2014; Ma et al., 2014).

63 The ecological degradation and water shortages have heightened the importance of  
64 water allocated to the agriculture in the oasis ecosystems. Water requirement is an  
65 important parameter for irrigation scheduling and regional water allocation. Studies on  
66 water requirements are theoretically and practically indispensable for the sustainable  
67 development of oasis ecosystems in arid regions. Scientists have obtained some  
68 research results about water requirements of oasis ecosystems, including the crop water  
69 requirements (Kawy and El-Magd, 2012; Liu et al., 2010; Siebert et al., 2007; Zhao et  
70 al., 2005; Zhao et al., 2010; De Silva et al., 2007; Kawy and Darwish, 2013), and  
71 ecological water requirements (Guo et al., 2016; Ye et al., 2010; Zhao et al., 2007; Guo  
72 et al., 2016). Studies have shown that the water requirement would increase if the  
73 climate becomes drier and warmer (Döll, 2002; Nkomozepe and Chung, 2012; Fu et al.,  
74 2014), and human activities have gradually become the predominant factor increasing  
75 the water requirement amount in the past decades (Bai et al., 2014; Coe and Foley, 2001;  
76 Zou et al., 2017). But there are few studies separately quantify the contributions of  
77 climate change and human agricultural activities to changes in water requirement  
78 amount.

79 Approximately one quarter of land area in China located in arid regions. As the second  
80 largest inland river in China, Heihe River Basin also suffered water conflict between

81 agricultural development and ecological health and was chosen as the target basin for a  
82 key national research programme on ecohydrology and integrated basin water  
83 management by the Natural Scientific Foundation of China in 2012, and the programme  
84 is still going on. So the oasis in the middle Heihe River Basin where more than 90% of  
85 the arable land were concentrated was taken as the study area. The main objectives of  
86 this study are to make clear the changes in water requirements in the oasis under climate  
87 change and human agricultural activities and identify the main factor that influences  
88 the changes in water requirement amount based on the clarification on the contributions  
89 of climate and human activities, including land structure and area, to the changes in  
90 water requirement amount. The research questions addressed were: (1) How have the  
91 water requirements of the oasis changed in the past ~30 years? (2) Why the water  
92 requirement amount of the oasis have changed? We anticipate that this study would be  
93 valuable as a reference to the water resources research for the global arid regions.

## 94 **2. Material and methods**

### 95 **2.1 Study area**

96 Heihe River originates in the Qilian Mountains, and flows to the oases in the piedmont  
97 plain after reaching the mountain outlet at the Yingluo Gorge, then finally terminates at  
98 the East and West Juyan Lakes. It breeds an ecosystem which consists of ice-snow,  
99 frozen soil, and mountain vegetation zones at the upstream, and oasis zone and desert  
100 zone at the middle and down streams (Ersi et al., 1999; Kang et al., 2005; Zhao et al.,  
101 2007). The study was conducted in the oasis in the middle Heihe River Basin (between  
102  $38^{\circ} 32'$  and  $39^{\circ} 52'$  N, and  $98^{\circ} 57'$  and  $100^{\circ} 51'$  E), China (Fig. 1). It embraces  
103 a total area of  $8.6 \times 10^9 \text{ m}^2$ , included in Ganzhou district, Linze county and Gaotai county.  
104 More than 90 % of the population and arable land in the Heihe River Basin were  
105 concentrated in this oasis (Zhang et al., 2006).

106 Situated in the inner of Asia-Europe continent, the study area possesses a temperate  
107 continental arid climate with sufficient sunlight, great temperature variations and scarce  
108 precipitation. According to the observed data by Gaotai and Zhangye meteorological  
109 stations in the study region during 1953-2014, the annual average temperature is about  
110  $6.0\text{-}9.4^{\circ}\text{C}$ , with the lowest temperatures occurring in January and December, and  
111 highest temperatures occurring in July. The annual sunshine in the region is about 2800-  
112 3400 h. The mean annual precipitation is less than 130 mm (e.g. the mean annual  
113 precipitation is 107.86 mm and 129.10 mm at Gaotai and Zhangye meteorological  
114 stations, respectively). Over 60 % of the precipitation falls between June and August  
115 (Zhao et al., 2005). But the annual potential evaporation reaches 1400 mm (Li et al.,  
116 2016).

117 The study area has an agricultural development history of over 2000 years owing to its  
118 flat land, adequate sunlight, and convenient water resource from Qilian Mountains. The  
119 oasis in the middle Heihe River Basin has then become an important commodity grain

120 base in China. Combined with the cultivated land, forest, grass, swampland, and waters  
121 make up the oasis together. The oasis area has been expanding in the recent ~30 years.  
122 According to the land use data developed by the Chinese Academy of Sciences (CAS),  
123 the oasis area increased ~ 906 km<sup>2</sup> during the past decades, in which the cultivated land  
124 increased about 740 km<sup>2</sup> (Fig. 2). And the cropping pattern has also changed a lot in  
125 the recent ~30 years (Fig. 3). The area of maize increased significantly; on the contrary,  
126 the wheat planting area decreased evidently. Besides, the planting area of vegetable also  
127 increased especially in Gaotai county during the past ~30 years. The cropping pattern  
128 in the study area are turning to be simple to focus on the maize, which providing more  
129 than 40 % of maize seeds in China (Xing, 2013).

130 Lacking in precipitation, surface runoff has become the main surface water resources  
131 for irrigation. The middle Heihe River flows from Yingluo Gorge to Zhengyi Gorge,  
132 supplying water for oasis in the middle river basin. Annual discharge observed at  
133 Yingluo Gorge increased from around  $14.4 \times 10^8$  m<sup>3</sup> in the 1960s to  $15.7 \times 10^8$  m<sup>3</sup> in the  
134 1990s, while the discharge observed at Zhengyi Gorge decreased from around  $10.5 \times 10^8$   
135 m<sup>3</sup> in the 1960s to around  $7.5 \times 10^8$  m<sup>3</sup> in the 1990s (Wang et al., 2014). The development  
136 of modern irrigation schemes, and the growth of population and irrigation area in the  
137 middle basin took up an increasing share of water resources, endangering the  
138 hydrological conditions, ecology and environment in the Heihe River Basin (Chen et  
139 al., 2005; Jia et al., 2011;). More than 30 tributaries as well as the terminal lakes have  
140 dried up, and the discharge in the downstream decreased significantly in the past 50  
141 years (Wang and Cheng, 1999; Chen et al., 2005). Such hydrological changes have  
142 resulted in a marked degradation of the ecological environment, land salinization and  
143 desertification in the entire basin. To restore the ecosystem of the downstream, the  
144 Ecological Water Diversion Project (EWDP) was launched by the Chinese Government  
145 around the year 2000, which stipulated that water flowing from the Zhengyi Gorge to  
146 the downstream should be over  $9.5 \times 10^8$  m<sup>3</sup> when the annual average water supplied  
147 from the Yingluo Gorge is  $15.8 \times 10^8$  m<sup>3</sup> (Zhao et al., 2016). Due to the EWCP, the  
148 discharge observed at Zhengyi Gorge has increased since 2000, which led to less  
149 available surface water for the middle Heihe River Basin, and more groundwater was  
150 taken for irrigation (Ji et al., 2006). According to the groundwater withdrawal data  
151 (1990-2007) of the irrigation districts in the middle Heihe River Basin (Wu et al., 2014;  
152 Zheng, 2014), which were downloaded from the Cold and Arid Regions Science Data  
153 Center at Lanzhou (<http://westdc.westgis.ac.cn>), only  $1.13 \times 10^8$  m<sup>3</sup> of groundwater was  
154 pumped on average in 1990s, but the amount increased to  $3.25 \times 10^8$  m<sup>3</sup> on average  
155 during 2000-2007.

## 156 **2.2 Data handling and processing**

### 157 **2.2.1 Meteorological data**

158 Daily meteorological observations were collected from China Meteorological  
159 Administration (CMA), mainly including the maximum, minimum and average air

160 temperatures, wind speed, relative humidity and sunshine duration. 10 meteorological  
161 stations, which covered the Gaotai, Zhangye stations inside the study region and  
162 Dingxin, Jinta, Jiuquan, Tuole, Yeniugou, Qilian, Shandan, Alxa youqi stations outside  
163 the study region, were selected to get the spatial distribution of meteorological elements  
164 (Fig. 1). Observations on crop growth and phenology were collected from the  
165 agricultural meteorological stations in Gansu Province, especially from the station in  
166 Zhangye. But the data on crop growth and phenology were only basically recorded  
167 completely for the maize (1993-2013) and spring wheat (1992-2013), so the growth and  
168 phenology data for other vegetation were obtained by references (Liu, 2014; Allen et  
169 al., 1998; Pu et al., 2004; Li et al., 2009; Zhou et al., 2015 ), combining practical  
170 investigation. The growth and phenology data for maize before 1993 were set as that in  
171 1993, and for spring wheat before 1992 were set as that in 1992.

### 172 **2.2.2 Land use data**

173 Land use data for years 1986, 1995, 2000, 2011 at a spatial resolution of 30 m (Wang  
174 et al., 2011a, b; Wang et al., 2014), which were developed by CAS, were used in this  
175 study. The same classifying system for land cover was applied to the four years' land  
176 use data. The land-use patterns in the basin have been divided into 6 types: cultivated  
177 land; forest land which includes closed forest land, sparse wood land, shrubs, and other  
178 wood land; grassland which contains high coverage grassland, moderate coverage  
179 grassland, and low coverage grassland; waters which comprise rivers, lakes, reservoirs,  
180 and beach land; construction land; and unused land which contains sand, gobi, saline-  
181 alkali land, swampland, bare land, bare rock and gravel. To get the continuous land use  
182 maps, the land use data at the spatial resolution of 30 m were transformed to the land  
183 use data at the spatial resolution of 1 km in the form of percentage. Then the spatial  
184 distribution of the land use data between the four discrete years could be obtained by  
185 linear interpolation.

186 To obtain the spatial distribution of specific crops in the cultivated land, the socio-  
187 economic statistical data were collected from the *Gansu Development Yearbook* (1984-  
188 2014) and *Gansu Rural Yearbook* (1990-2014), including various crops sown at the  
189 county level. Based on the main crops in the Statistical Yearbooks, the cultivated land  
190 was classified into 7 types: maize, spring wheat, cotton, oilseed, sugar beet, potato, and  
191 vegetable. According to the proportion of each crop in each county (Fig. 3), the spatial  
192 distribution of the seven crops were determined.

### 193 **2.2.3 Validation data**

194 The water requirements estimated in this study were compared with two  
195 Evapotranspiration (ET) datasets provided by Cold and Arid Regions Science Data  
196 Center at Lanzhou (<http://westdc.westgis.ac.cn>). One was the monthly ET datasets  
197 (2000-2013) at 30 m spatial resolution (Wu et al., 2012; Liu et al., 2011) estimated by  
198 ETWatch developed by Wu et al. (2008) and Xiong et al. (2010) for monitoring spatial

199 ET for operational purposes, while this datasets only covered part of the oasis which  
 200 included Ganzhou district, Linze county and small part of the Gaotai county in the  
 201 middle Heihe River Basin. The other was the daily ET datasets (2009-2011) of the  
 202 Heihe River Basin at 1 km spatial resolution (Cui and Jia, 2014; Jia et al., 2013)  
 203 estimated by ETMonitor, which is a hybrid remotely sensed actual ET estimation model  
 204 developed by Hu and Jia (2015). The intersections of the ET datasets and water  
 205 requirements were used for comparison.

## 206 **2.3 Estimates of water requirements**

207 In this study, the water requirements of the cultivated land, forest land, high coverage  
 208 grassland, moderate coverage grassland, waters except the beach land, and the  
 209 swampland in the unused land were considered. The water requirements of the low  
 210 coverage grassland, beach land, construction land, and unused land except the  
 211 swampland were taken as zero.

### 212 **2.3.1 Water requirements for the cultivated land and grassland**

213 Water requirements of the crops and grass in the oasis refer to the evapotranspiration  
 214 from disease-free, well-fertilized crops, grown in large fields, under optimum soil water  
 215 conditions and achieving full production under the given climatic conditions. This can  
 216 be calculated using crop coefficient approach as following:

$$217 \quad ET_c = K_c \times ET_0 \quad (1)$$

218 where  $ET_c$  is the water requirement;  $K_c$  is the crop coefficient;  $ET_0$  is the reference  
 219 evapotranspiration.

220  $ET_0$  was calculated using the modified Penman-Monteith equation recommended by  
 221 United Nations Food and Agriculture Organization (FAO) (Allen et al., 1998).  
 222 Reference evapotranspiration is only related to meteorological factors (Shahid, 2010).  
 223 It can be used in a wide range of locations and climates, and can be calculated using the  
 224 following equation:

$$225 \quad ET_0 = \frac{0.408\Delta(R_n - G) + \gamma(900/(T + 273))u_2(e_s - e_a)}{\Delta + \gamma(1 + 0.34u_2)} \quad (2)$$

226 where  $ET_0$  is the reference evapotranspiration (mm);  $R_n$  is the net radiation at crop  
 227 surface [ $\text{MJ}/(\text{m}^2 \text{ d})$ ];  $G$  is the soil heat flux density [ $\text{MJ}/(\text{m}^2 \text{ d})$ ];  $u_2$  is the wind speed at  
 228 a height of 2 m (m/s);  $T$  is the mean daily air temperature at a height of 2 m ( $^{\circ}\text{C}$ );  $e_s$  is  
 229 the saturation vapor pressure (kPa);  $e_a$  is the actual vapor pressure (kPa);  $\Delta$  is the slope  
 230 of the vapor pressure-temperature curve [ $\text{kPa}/^{\circ}\text{C}$ ]; and  $\gamma$  is the psychrometric constant  
 231 [ $\text{kPa}/^{\circ}\text{C}$ ].

232 Different vegetation types have different  $K_c$  coefficients. The changing characteristics  
 233 of the vegetation over the growing season also affect the  $K_c$  coefficient, so  $K_c$  for a given  
 234 vegetation type will vary over the growing period, which can be divided into four  
 235 distinct growth stages: initial, crop development, mid-season and late season. In the  
 236 current study,  $K_c$  for different crop species in the cultivated land during the four growth

237 stages were determined according to Duan et al. (2004) and FAO (Allen et al, 1998).  
 238 And  $K_c$  for the grassland were determined according to Liu (2014). The  $K_c$  values are  
 239 shown in Table 1.

### 240 2.3.2 Water requirements for the forest land

241 For the forest land, the water requirements of closed forest land, sparse wood land and  
 242 shrubs were estimated by phreatic evaporation. It can be calculated as below:

$$243 \quad W_i = S_i \times W_{gi} \times k_p \quad (3)$$

244 where  $W_i$  is the ecological water demand of vegetation  $i$ ;  $S_i$  is the area of vegetation  
 245 type  $i$ ;  $W_{gi}$  is the phreatic evaporation capacity of the vegetation type  $i$  at a certain  
 246 groundwater depth;  $k_p$  is the vegetation coefficient, which is related to the groundwater  
 247 depth (Table 2) (Song et al., 2000).

248  $W_{gi}$  is the key to calculate vegetation ecological water demand using the phreatic  
 249 evaporation method, and it is usually calculated using Averyanov's phreatic evaporation  
 250 equations:

$$251 \quad W_{gi} = a(1 - h_i/h_{max})^b E_0 \quad (4)$$

252 where  $a$  and  $b$  are empirical coefficients (0.856 and 3.674 in the study area) (Wang and  
 253 Cheng, 2002);  $h_i$  is the groundwater depth of vegetation type  $i$ , which is 1.5 m, 2 m, 2.5  
 254 m for the closed forest land, sparse wood land and shrubs, respectively;  $h_{max}$  is the  
 255 maximum depth of phreatic evaporation, which is 5 m (Wang and Cheng, 2002); and  
 256  $E_0$  is the surface water evaporation.

257 The other wood in the study area was mainly orchard, so the water requirement of other  
 258 wood land was calculated by the crop coefficient approach (Table 1).

### 259 2.3.3 Water requirements for waters and the swampland

260 The water requirement of waters can be taken as the evaporation from water surfaces,  
 261 which can be calculated according to Shuttleworth (1993):

$$262 \quad ET_w = \frac{\Delta R_n + 6.43\gamma(1 + 0.536u_2)(e_s - e_a)}{\lambda(\Delta + \gamma)} \quad (5)$$

263 where  $ET_w$  is the water requirement of waters (mm);  $\lambda$  is the latent heat of vaporization  
 264 (MJ kg<sup>-1</sup>).

265 The water requirement for the swampland was calculated by crop coefficient approach.  
 266 The  $K_c$  values of the vegetation in the swampland were determined depending on the  
 267 single crop coefficients suggested in FAO (Table 1).

## 268 2.4 Contribution assessment

269 According to the methods to estimate water requirements of the oasis in the middle  
 270 Heihe River Basin, the value of the water requirements ( $y$ ) is mainly related to the  
 271 climate ( $x_1$ ), total area of the oasis ( $x_2$ ), and area proportions of the land structure ( $x_3$ ).

272 Mathematically, the function can be write as

$$273 \quad y = f(x_1, x_2, x_3, \dots) \quad (6)$$

274 The variation of the dependent variable  $y$  can be expressed by a differential equation as

$$275 \quad dy = \frac{\partial f}{\partial x_1} dx_1 + \frac{\partial f}{\partial x_2} dx_2 + \frac{\partial f}{\partial x_3} dx_3 + \dots \quad (7)$$

276 As  $y$  varies with time  $t$ , we can rewrite Eq. (7) as

$$277 \quad \frac{dy}{dt} = \frac{\partial f}{\partial x_1} \frac{dx_1}{dt} + \frac{\partial f}{\partial x_2} \frac{dx_2}{dt} + \frac{\partial f}{\partial x_3} \frac{dx_3}{dt} + \delta \quad (8)$$

278  $\frac{dy}{dt}$  is the slope of the linear regression for  $y$  against time  $t$ ;  $\frac{\partial f}{\partial x_1} \frac{dx_1}{dt}$  can be taken as the

279 slope of the linear regression for  $y$  against time  $t$  when  $x_2$  and  $x_3$  don't change with the

280 time;  $\frac{\partial f}{\partial x_2} \frac{dx_2}{dt}$  can be taken as the slope of the linear regression for  $y$  against time  $t$  when

281  $x_1$  and  $x_3$  don't change with the time;  $\frac{\partial f}{\partial x_3} \frac{dx_3}{dt}$  can be taken as the slope of the linear

282 regression for  $y$  against time  $t$  when  $x_1$  and  $x_2$  don't change with the time; Because the

283 spatial distribution of the climate is not homogeneous, the location where a certain land-

284 use type is located can also affect the water requirement. Other factors related to the

285 water requirements were fitted into  $\delta$ , combining the systemic error.

286 The individual proportional contribution ( $\rho$ ) of related factors to the long-term trend in

287  $y$  can be estimated as

$$288 \quad \rho(x_i) = \left( \frac{\partial f}{\partial x_i} \frac{dx_i}{dt} \right) / \left( \frac{dy}{dt} \right) \times 100\% \quad (9)$$

289 where  $x_i$  can be the variable  $x_1$ ,  $x_2$  and  $x_3$ .

### 290 **3. Results**

291 There are 15 specific land-use types in the oasis of the middle Heihe River Basin, which

292 are cultivated land (maize, spring wheat, cotton, oilseed, sugar beet, potato, vegetable),

293 grassland (high coverage grassland, moderate coverage grassland), forest land (closed

294 forest land, sparse wood land, shrubs, the other wood), waters, and swampland.

295 Different land-use types may require different water amounts. To understand the water

296 requirements in the oasis, the spatial and temporal variations of the total water

297 requirement amount and the water requirement per unit area were analyzed. In the study,

298 the water requirement per unit area for each land-use type were calculated by dividing

299 the total water requirement of each land-use type by the corresponding land area. After

300 validation to ensure the accuracy of the results, the water balance and determinants to

301 the variation of the water requirement amount of the oasis in the middle Heihe River

302 Basin were analyzed.



### 303 **3.1 Temporal and spatial variations in water requirements of the oasis in the** 304 **middle Heihe River Basin**

305 The water requirement amount of the total oasis increased from  $10.8 \times 10^8 \text{ m}^3$  in 1986 to  
306  $19.0 \times 10^8 \text{ m}^3$  in 2013 (Fig. 4a). According to the land use data, the area of the cultivated  
307 land accounted for ~80 % of the total area of the oasis (Fig. 2). Therefore, the water  
308 requirement amount of the cultivated land increased from  $8.4 \times 10^8 \text{ m}^3$  in 1986 to  
309  $14.7 \times 10^8 \text{ m}^3$  in 2013 (Fig. 4a), which occupied 76 % - 82 % of the total oasis water  
310 requirement amount during 1986-2013. The mean annual water requirements amount  
311 of the cultivated land and the whole oasis were  $10.4 \times 10^8$  and  $13.3 \times 10^8 \text{ m}^3$ , respectively.  
312 The water requirement amounts of the swampland and waters from 2000 to 2013  
313 increased a lot, so was the water requirement amount of the forest land from 1986 to  
314 1995. But the waters, swampland, forest land, and grassland needed less water amounts  
315 which were all smaller than  $1.7 \times 10^8 \text{ m}^3$  because the proportion of them in the oasis were  
316 all smaller than 9 % (Fig. 4a; Fig. 2).

317 The water requirement of the cultivated land per unit area increased from 519.2 mm to  
318 624.9 mm during 1986-2013, while the water requirement of the oasis per unit area  
319 increased from 527.1 mm to 642.0 mm during 1986-2013 (Fig. 4b). The mean annual  
320 water requirements of the cultivated land and the oasis per unit area were 544.6 mm  
321 and 557.4 mm, respectively. Maize, spring wheat, and vegetable are the main crops in  
322 the middle Heihe River Basin. The mean annual water requirements of the maize, spring  
323 wheat, and vegetable per unit area were 570.0 mm, 413.7 mm, and 728.8 mm,  
324 respectively. Waters required the most water per unit area, the mean annual water  
325 requirement of which reached 1323.9 mm. The swampland covered with reeds also  
326 needed a lot of water per unit area, the mean annual water requirement of which could  
327 reach 968.6 mm. Different land surface coverages for grassland and forest land had  
328 different water requirements. The mean annual water requirements of the closed forest  
329 land, sparse wood land, shrubs, the other wood, high coverage grassland, and moderate  
330 coverage grassland per unit area were 477.5 mm, 128.9 mm, 264.0 mm, 705.1 mm,  
331 663.6 mm, and 340.0 mm, respectively.

332 The oasis in the middle Heihe River Basin was scattered along the rivers. Most of the  
333 water requirement in the oasis was below 500 mm per square kilometer in 1986  
334 considering the mixed pixel and area weight, but with the climate change and human  
335 agricultural activities, the water requirement in large area of the oasis exceed 500 mm  
336 per square kilometer in 2011 (Fig. 5). And the area of high water requirement in the  
337 oasis accorded with the location of the cultivated land (Fig. 5). Besides, the ecological  
338 vegetation in the oasis didn't show significant increase in the water requirement (Fig.  
339 5).

340 The cultivated land in most area of the oasis expanded during the past ~30 years,  
341 especially in Linze county and the north of Ganzhou district (Fig. 6a). This was in  
342 accordance with the area of the water requirement increased in the cultivated land and  
343 the oasis (Fig. 6). The water requirement in the cultivated land increased above 100 mm  
344 per square kilometer in the Linze county and the north of Ganzhou district. The larger

345 area the cultivated land expanded, the more water required for the cultivated land (Fig.  
346 6b). Only a small part of the cultivated land shrunk in the oasis and caused the  
347 decrease of water requirement in the corresponding cultivated land (Fig. 6). As the  
348 dominant part affecting the water requirement in the oasis, the spatial distribution of  
349 the increased water requirement in the cultivated land was similar with that in the oasis  
350 (Fig. 6b, c).

### 351 **3. 2 Validation of the oasis water requirements**

352 Water requirement is defined as a theoretical value. For the crops, it can be taken as the  
353 potential crop ET. But there was no available data observed or calculated by others for  
354 the potential crop ET, so the actual ET data were adopted to validate the water  
355 requirement in the oasis to see if the results were acceptable.

356 According to the yearly and monthly ET estimated by ETWatch and ETMonitor, the  
357 total ET was well correlated with the estimated water requirement amount with the  
358 determination coefficient ( $R^2$ ) of 0.91 (Fig. 7), and slope of the linear regression of 1.05  
359 (Fig. 7). Compared with the yearly ET datasets (2000-2013) estimated by ETWatch  
360 with 30m spatial resolution over part of the oasis, the root mean square error (RMSE)  
361 between the ET and water requirement amount for the cultivated land and the oasis  
362 were  $0.71 \times 10^8 \text{ m}^3$  and  $0.66 \times 10^8 \text{ m}^3$ , respectively. Because the water requirement is the  
363 potential ET, the water requirement should not be smaller than the ET. But the yearly  
364 ET included not only the ET during crop growth period, but also the ET from the bare  
365 land after harvesting the crops. While the estimated water requirement for the crops  
366 only included the water requirement during the crop growth period, so most yearly ET  
367 data were larger than the yearly water requirement amounts (Fig. 7). To remove the  
368 influence of the bare land, the monthly ET datasets in May, June, and July were selected  
369 to validate the water requirement because the vegetation including the crops were all in  
370 their growth period in the three months. It showed that the water requirement was highly  
371 correlated with the ET (Fig. 7). And the RMSE for the cultivated land and the oasis  
372 were  $0.35 \times 10^8 \text{ m}^3$  and  $0.36 \times 10^8 \text{ m}^3$ , respectively, which were much smaller than the  
373 yearly RMSE. Most of the monthly water requirement amounts were higher than the  
374 monthly ET data (Fig. 7).

375 Compared with the ET datasets (2009-2011) estimated by ETMonitor at 1 km spatial  
376 resolution in the middle Heihe River Basin, the yearly and monthly water requirement  
377 amounts were all larger than the corresponding ET data (Fig. 7), and the RMSE for the  
378 monthly data in May, June, and July was  $1.27 \times 10^8 \text{ m}^3$ . Because the resolution of the ET  
379 datasets estimated by ETMonitor was relatively low, only the results in the oasis were  
380 validated considering the problem of mixed pixels. The yearly estimated water  
381 requirement amounts in 2009, 2000, and 2011 were smaller than the ET data estimated  
382 by ETWatch for the oasis, which was contrary to the results compared with the ET data  
383 estimated by ETMonitor, which showed that the two ET datasets deviated from each  
384 other, and the estimated water requirements were acceptable.

### 385 3.3 Water balance in the middle Heihe River Basin

386 Yingluo Gorge is the divide of the upper and middle Heihe River, and Zhengyi Gorge  
387 is the divide of the middle and lower Heihe River. The two hydrologic stations recorded  
388 the inflow and outflow of the mainstream of the middle Heihe River. So the surface  
389 runoff of the mainstream of the middle Heihe River consumed in the middle Heihe  
390 River Basin can be considered as the difference between Yingluo Gorge and Zhengyi  
391 Gorge. Besides, there are some small rivers also flow into the middle Heihe River Basin,  
392 like Shandan River and Liyuan River. The mean annual runoff of the Liyuan River and  
393 Shandan River is  $2.36 \times 10^8 \text{ m}^3$  (Wu and Miao, 2015) and  $0.86 \times 10^8 \text{ m}^3$  (Guo et al., 2000),  
394 respectively. According to the runoff data (1986-2010) of Zhengyi Gorge and Yingluo  
395 Gorge, and precipitation data (1986-2010) obtained from the Cold and Arid Regions  
396 Science Data Center at Lanzhou (<http://westdc.westgis.ac.cn>) (Yang et al., 2015), the  
397 surface water including the precipitation landing on the oasis and the river discharges  
398 of the middle Heihe River, Shandan River and Liyuan River could meet the water  
399 requirement before the year 2004, ignoring the water conveyance loss. But with the  
400 increasing water requirement of the oasis, the water supply from the land surface could  
401 not meet the requirement any more (Fig. 8).

402 The vegetation in the oasis can be divided into two categories, one is agricultural  
403 vegetation which includes the crops and orchard, and the other is the ecological  
404 vegetation. The precipitation in the middle Heihe River Basin is too little to supply  
405 enough water for the ecological vegetation (Table 3). The ecological vegetation usually  
406 grows around the cultivated land, so they can absorb the water of infiltration. In addition,  
407 the shelter forest often needs irrigation, and the shrubs like tamarix chinensis and  
408 saksaul also need groundwater to maintain normal growth. Compared with the  
409 available water resources in 1980s, precipitation had remained little changed in 1990s.  
410 But with the increase of water requirement in 1990s, the runoff consumed in the middle  
411 Heihe River Basin had an obvious rise and more groundwater was pumped for irrigation  
412 (Fig. 8; Table 3). Ignoring the industrial and domestic water taken from the middle  
413 Heihe River, the surface water supply seemed to be sufficient to the water requirement  
414 in the oasis in 1980s and 1990s. While entering the 20th century, the area of arable land  
415 increased fast, and high water-requiring crops (maize and vegetable) had gradually  
416 replaced the low water-requiring crop (wheat) since 2001 (Fig. 3). Therefore, the water  
417 requirement increased a lot in 2000s. With the implementation of EWCP, the available  
418 surface water from middle Heihe River decreased in 2000s. Surface water cannot meet  
419 the water requirement any more, causing more exploiting of groundwater (Table 3).  
420 The middle Heihe River Basin is in severe water shortage of water resources. To reduce  
421 the contradiction of water supply and requirement, the land use including the crop  
422 structure in the middle Heihe River Basin should be carefully planned.

### 423 3.4 Contributions to the water requirement trend

424 Both climate change and human agricultural activities can influence the water

425 requirement of the oasis. In this study, the land expansion, which influences the total  
426 oasis area, and the land structure, which influences the area proportion of each land-use  
427 type in the oasis, were considered for the human agricultural activities. Because the  
428 oasis is dominated by the cultivated land, both the contributions of the influencing  
429 factors to the changes in water requirement amount of the oasis and of the cultivated  
430 land were analyzed. For the cultivated land, the three influencing factors considered to  
431 be the climate change, the expansion of the cultivated land, and the crop structure in  
432 the cultivated land. The area of the oasis in 1986, 1995, 2000, and 2011 was 2048.96  
433 km<sup>2</sup>, 2091.13 km<sup>2</sup>, 2216.97 km<sup>2</sup>, and 2954.85 km<sup>2</sup>, respectively, which showed an  
434 obvious increase in the recent ~30 years. For the specific land-use types, the area of  
435 cultivated land, waters, and swampland in 2011 showed an obvious increase, compared  
436 with the area in 1986. The area of the cultivated land was only 1614.32 km<sup>2</sup> in 1986,  
437 but it increased to 2354.25 km<sup>2</sup> in 2011. Besides the land expansion, the increased area  
438 of the land-use types with high water requirement like the vegetable, maize, waters, and  
439 swampland also increased the water requirement amount of the oasis.

440 The water requirement amounts of the oasis and cultivated land increased  $0.3447 \times 10^8$   
441 m<sup>3</sup> and  $0.2743 \times 10^8$  m<sup>3</sup> per year during 1986-2013, respectively (Fig. 9a). Considering  
442 the impact of climate change on the water requirement amount, the land area and the  
443 land structure were set stable, and only the climate changed as usual during 1986-2013.  
444 In the situation, the water requirement amount increased slowly at the rates of  
445  $0.0238 \times 10^8$  m<sup>3</sup> and  $0.0184 \times 10^8$  m<sup>3</sup> per year for the oasis and cultivated land,  
446 respectively (Fig. 9b), which revealed that climate change had a positive effect on the  
447 increase in water requirement. Based on Eq. (9), the contributions of the climate change  
448 to the increase in water requirement amount were 6.9 % and 6.7 % for the oasis and  
449 cultivated land, respectively.

450 Considering the impact of land expansion on the water requirement amount, the climate  
451 and the land structure were set stable, and only the total land area changed with time  
452 during 1986-2013. In this situation, the water requirement amount increased rapidly at  
453 the rates of  $0.2008 \times 10^8$  m<sup>3</sup> and  $0.1661 \times 10^8$  m<sup>3</sup> per year for the oasis and cultivated land,  
454 respectively (Fig. 9c), which were nearly 9 times faster than the increasing speed caused  
455 by climate change. The contributions of land expansion were 58.3 % and 60.6 % to the  
456 increase in water requirement amount for the oasis and cultivated land, respectively.

457 Considering the impact of land structure on the water requirement amount, the climate  
458 and total land area were set stable, and only the land structure changed as usual during  
459 1986-2013. In this situation, the water requirement amount increased at the rates of  
460  $0.0874 \times 10^8$  m<sup>3</sup> and  $0.0645 \times 10^8$  m<sup>3</sup> per year for the oasis and cultivated land,  
461 respectively (Fig. 9d), which were approximately 4 times faster than the increase speed  
462 caused by climate change. The contributions of the land structure were 25.4 % and 23.5 %  
463 to the water requirement changes for the oasis and cultivated land, respectively.

464 The three influencing factors explained approximately 91 % of the increase in the water  
465 requirement amounts of the oasis and cultivated land during 1986-2013. In the recent  
466 ~30 years, human agricultural activities including land expansion and changes in land  
467 structure contributed about 84% to the increase in water requirement amount, and the  
468 climate change only contributed about 7% to the increase. And land expansion was the

469 dominant factor contributing to the increase in water requirement amount of the oasis.

#### 470 **4. Discussion**

471 Based on the land use and meteorological data, the impact of climate change and human  
472 agricultural activities, including land expansion and changes in land structure, on the  
473 water requirements of the oasis and the cultivated land which is the main part of the  
474 oasis in the middle Heihe River Basin were calculated and analyzed. The results suggest  
475 that both climate change and human agricultural activities can lead to the increase in  
476 water requirement amounts and the contribution of human agricultural activities to the  
477 increase was significantly greater than the climate change. And the land expansion was  
478 the dominant factor contributing to the increase in water requirement amounts.

479 Crop water requirement is the ET from disease-free, well-fertilized crops under  
480 optimum soil water conditions and achieving full production. There is no available  
481 observed crop water requirement to validate the results. Only actual ET data can be  
482 obtained. There are 18 field stations in the oasis that all located in Ganzhou district in  
483 the middle Heihe River Basin for conducting meteorological observation and flux  
484 measurements from around June, 2012. But due to the incomplete daily data and short  
485 time series, we used the ET datasets provided by Cold and Arid Regions Science Data  
486 Center at Lanzhou (<http://westdc.westgis.ac.cn>) to validate the results. Compared with  
487 other research results, the mean annual water requirement of the main crop (maize),  
488 which was 570.0 mm in this study, basically accorded with the result by Liu et al. (2010).  
489 And the mean annual water requirements of cultivated land and wheat, which were  
490 544.6 mm and 413.7 mm, respectively in this study, was consistent with the results by  
491 Liu et al. (2017).

492 Crop coefficient is an important parameter to estimate the water requirement, and it is  
493 related to many factors, such as the biological characters of crops, cultivation and soil  
494 conditions, etc., so the crop coefficients for different crop varieties of the same crop  
495 could be different. Some researchers (Nader et al., 2013; Mu, 2005) studied on the crop  
496 coefficients affected by different crop varieties, and found that there were differences  
497 in every growth stage between different varieties, and the differences were almost less  
498 than 0.3. But it's difficult to get the crop coefficients for every specific crop variety  
499 because there are too many varieties. Besides, the water requirement is not only related  
500 to the crop coefficient, but also related to the crop growth period. Many factors  
501 influencing the crop coefficient also have an effect on the growth stages. Like the study  
502 by Nader et al. (2013), the water requirement variation was much smaller than the  
503 variation of crop coefficients for different varieties. Therefore, though we didn't  
504 distinguish the crop coefficients among different varieties, the estimated water  
505 requirements in the study were still reliable.

506 There are many factors influencing the water requirement. This study only analyzed the  
507 major factors which influence it (climate change and human agricultural activities).  
508 Climate change including factors for air temperatures, wind speed, relative humidity  
509 and sunshine duration, and Human agricultural activities, including the land expansion

510 and changes in land structure totally contribute about 91% to the increase in water  
511 requirement amount of the oasis. Other influential factors, such as changes in location  
512 of different land types, are difficult to quantify and were not considered in the study.  
513 Besides, some driving factors are not independent, and changes in one factor can cause  
514 changes in other factors, such as the climate change and changes in crop phenology. So  
515 in the contribution analysis, when the climate were set stable, the crop phenology also  
516 kept stable, and when the climate changed, the crop phenology varied according to the  
517 statistical data.

518 As an oasis located at ecologically vulnerable areas and dominated by agriculture, the  
519 development of agriculture should match up with the climate and ecological capacity.  
520 The water amount consumed in the oasis ecosystem concerns the ecological security of  
521 the whole basin. To promote the harmonious development among the upstream,  
522 midstream and downstream, the water amount consumed in the agricultural oasis must  
523 be controlled and a series of water-saving measures should be carry on. Because the  
524 oasis area and the land structure are the main reason why the water requirement amount  
525 of the oasis increased so fast, additional efforts will be made to determine the  
526 appropriate oasis area and crop structure in the oasis.

## 527 **5. Conclusion**

528 Affected by the climate change and human agricultural activities, the water requirement  
529 amount of the oasis increased significantly during 1986-2013, which increased from  
530  $10.8 \times 10^8 \text{ m}^3$  in 1986 to  $19.0 \times 10^8 \text{ m}^3$  in 2013. Cultivated land is the main part of the  
531 oasis, the water requirement amount of which increased from  $8.4 \times 10^8 \text{ m}^3$  in 1986 to  
532  $14.7 \times 10^8 \text{ m}^3$  in 2013. Contribution analysis identified the dominant factors influencing  
533 the water requirement amount were the human activities, the contribution of which  
534 including the land expansion and changes in land structure to the increase in water  
535 requirement amount was about 84%, and the climate change only contributed about 7%  
536 to the increase. For the human activities, land expansion contributed most to the  
537 increase in water requirement amount, which contributed 58.3 % and 60.6 % for the  
538 oasis and cultivated land, respectively. To reduce the water requirement amount and  
539 ensure the sustainable development of oasis ecosystems in arid regions dominated by  
540 agriculture, it is necessary to further rationalize the scale of the oasis and cultivated  
541 land, and optimize the crop structure.

## 542 **6. Data availability**

543 The meteorological data are available at <http://data.cma.cn/>. The land use data can be  
544 obtained from [http://westdc.westgis.ac.cn](http://westdc.westgis.ac.cn;); <http://www.resdc.cn>. Other data like the  
545 validation data, runoff data, and precipitation data used in this study are available at  
546 <http://westdc.westgis.ac.cn>.

547 **Competing interests.** The authors declare that they have no conflict of interest.

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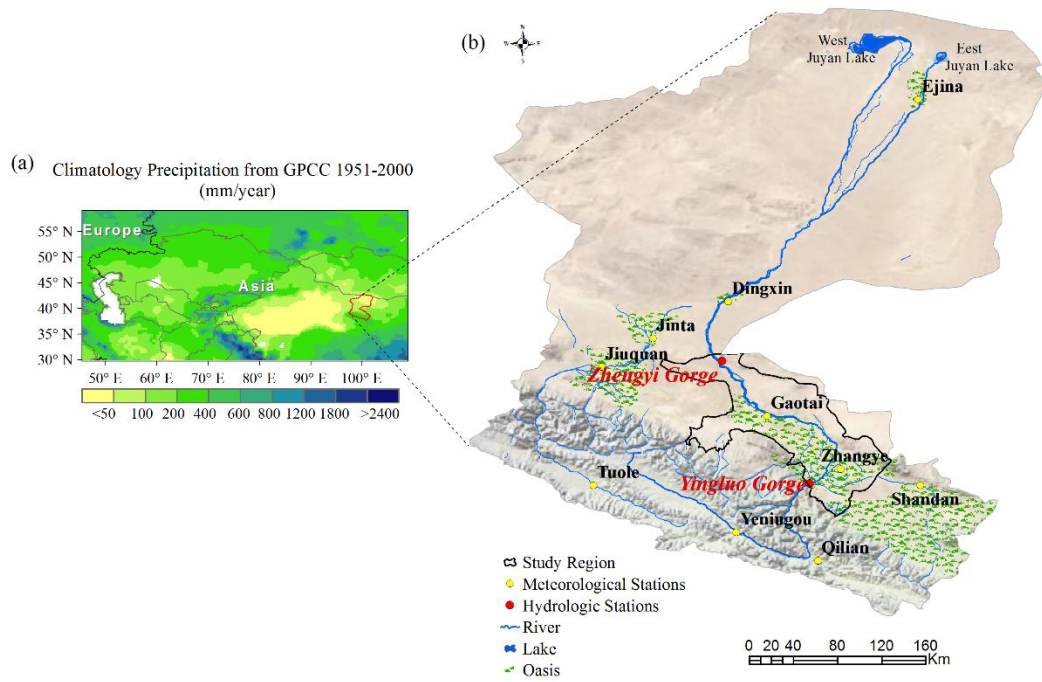
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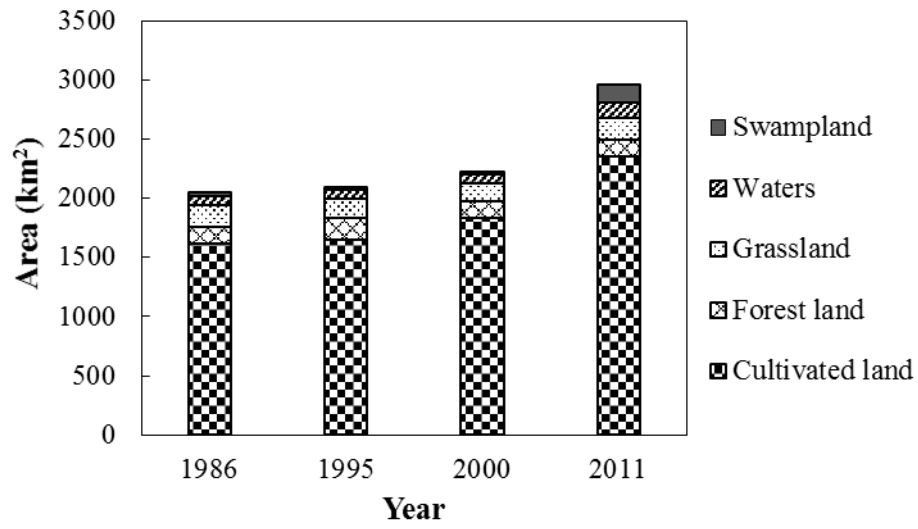
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**Figure 1.** Details of the study area. (a) The location of Heihe River Basin and the mean annual precipitation (1951-2000). (b) Regional setting and the landscape of Heihe River Basin with location of meteorological and hydrologic stations.

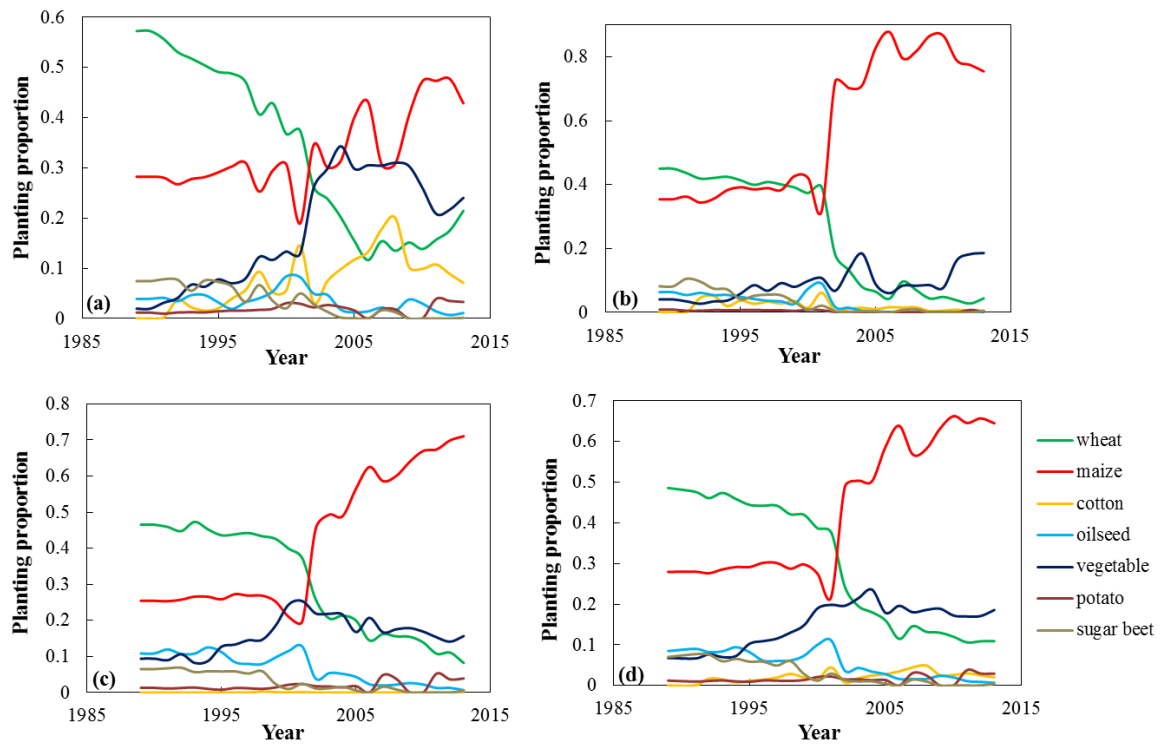
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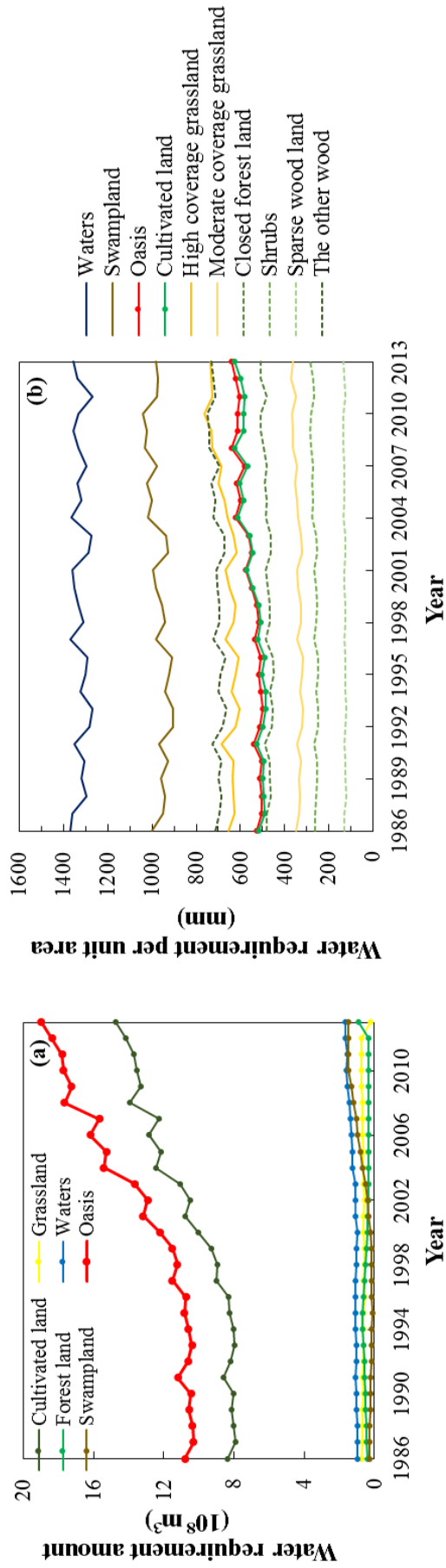
**Figure 2.** The areas of different land-use types in the oasis in the middle Heihe River Basin.

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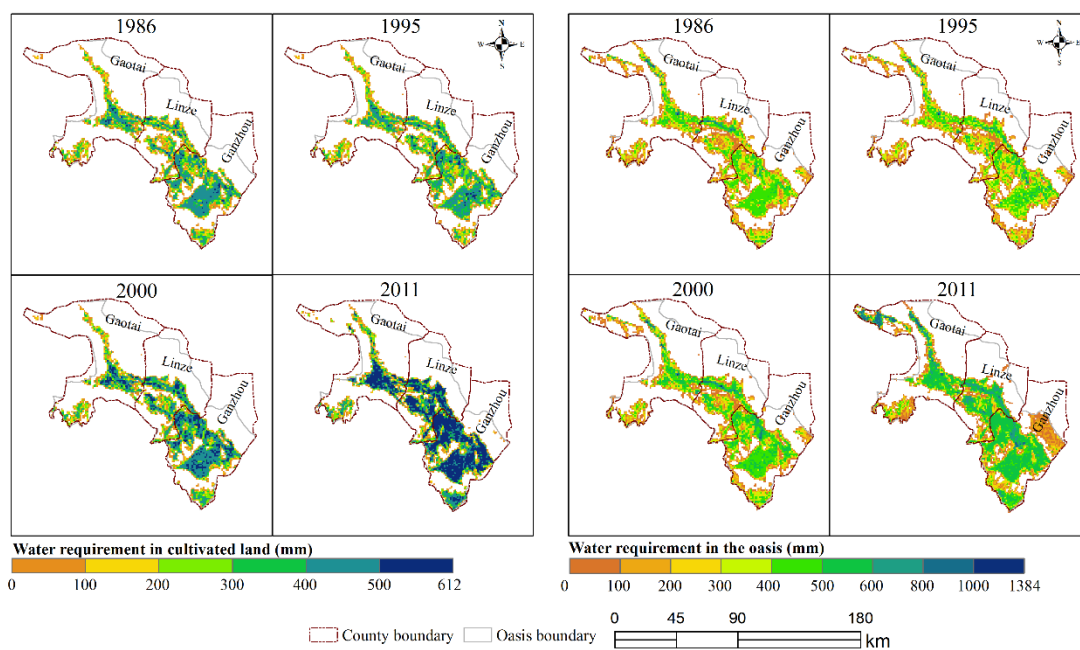
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**Figure 3.** The planting proportion of the crops in (a) Gaotai county, (b) Linze county, (c) Ganzhou district, (d) the region including Gaotai, Linze counties and Ganzhou district in the middle Heihe River Basin.

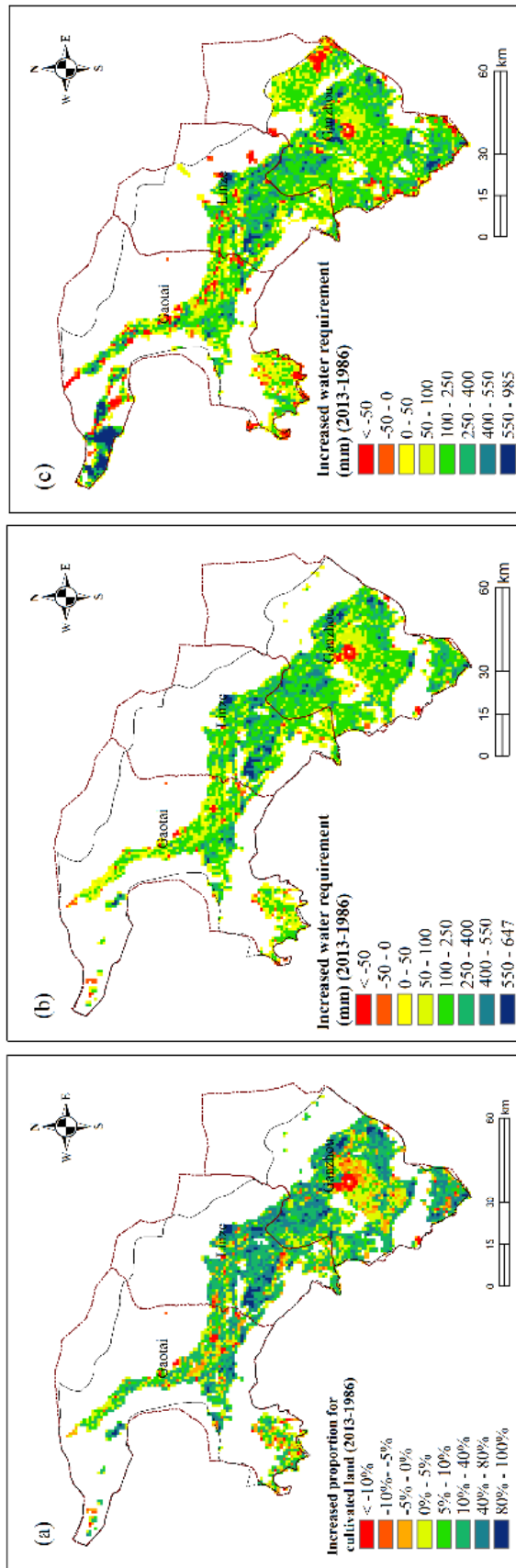


**Figure 4.** The water requirement amount (a) and water requirement per unit area (b) for different land-use types in the oasis of the middle Heihe River Basin from 1986 to 2013.

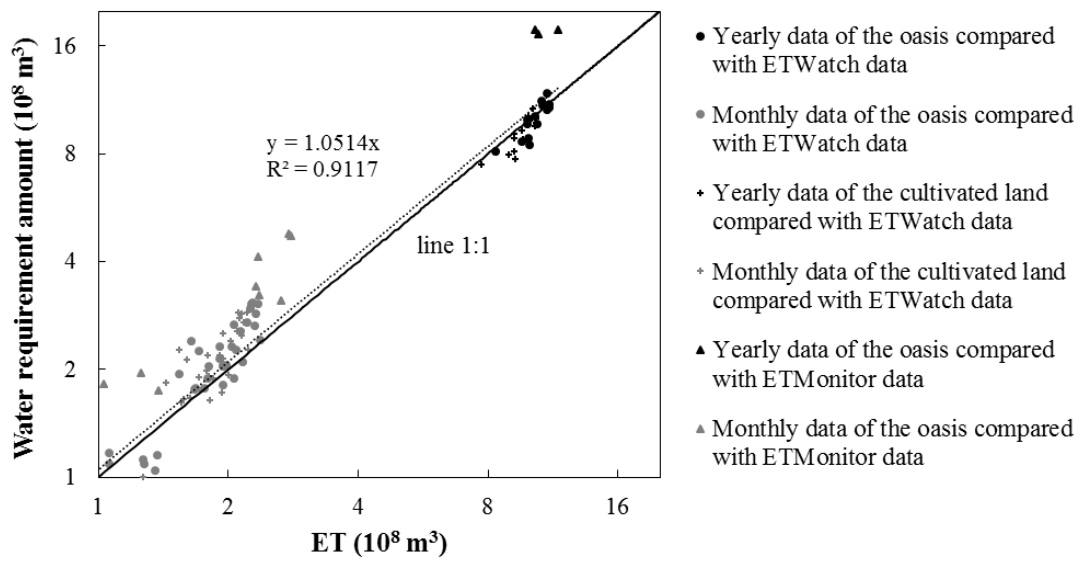


**Figure 5.** The spatial distribution of the water requirement in the cultivated land and oasis at the spatial resolution of 1 km in the middle Heihe River Basin in 1986, 1995, 2000, 2011.

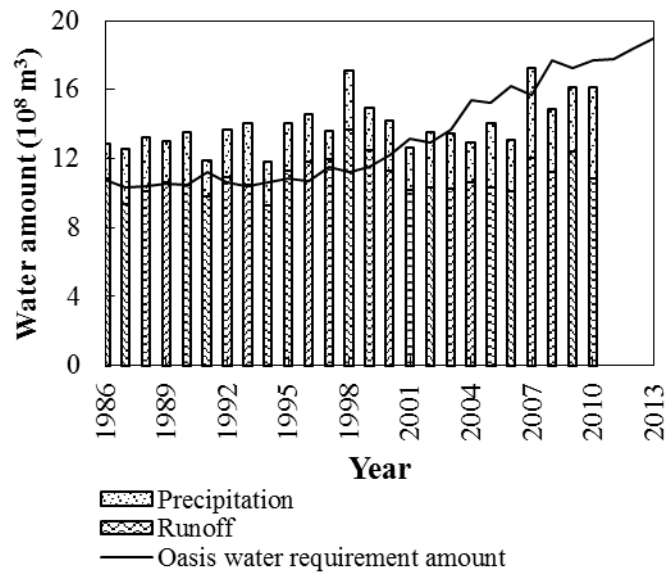




**Figure 6.** The difference between (a) the proportion of cultivated land, (b) water requirement in the cultivated land, and (c) water requirement in the oasis, at the spatial resolution of 1 km in 1986 and 2013 in the middle Heihe River Basin.



733 **Figure 7.** The comparison between the yearly and monthly (May, June, July) water requirement amounts  
 734 and ET data, which included the ET data estimated by ETWatch model from 2000 to 2013 for the  
 735 cultivated land and the oasis, and the ET data estimated by ETMonitor model from 2009 to 2011 for the  
 736 oasis.

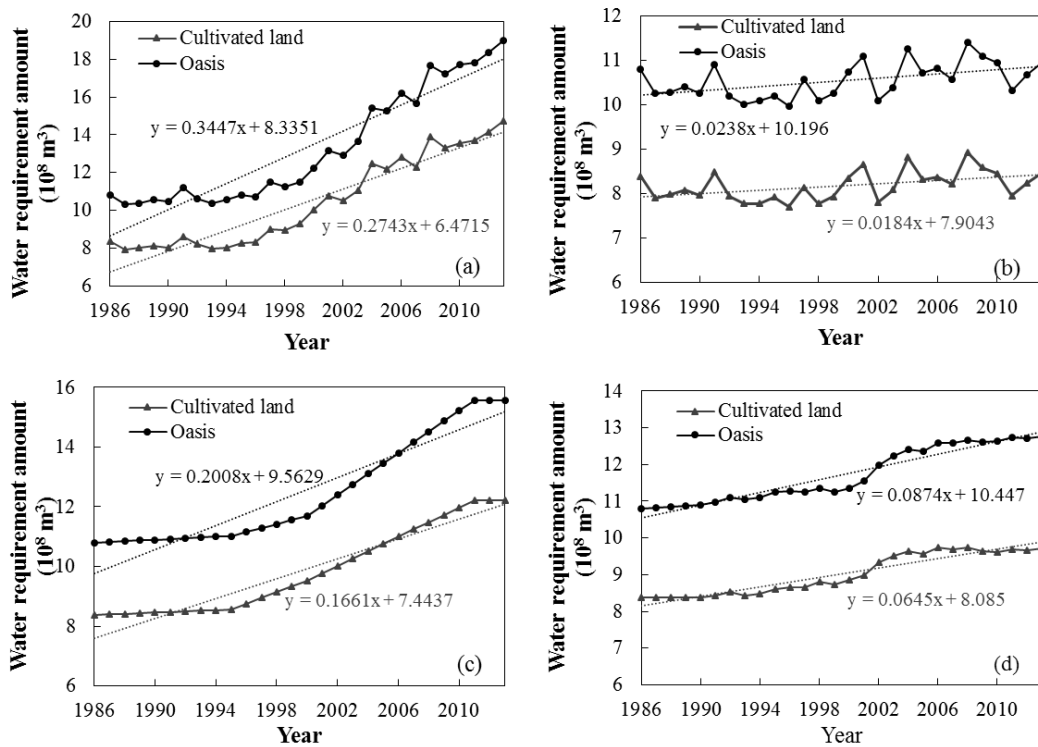


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**Figure 8.** The water requirement amount and the surface water supply including the precipitation and runoff data for the oasis in the middle Heihe River Basin. The runoff data included the runoff from the mainstream of middle Heihe River, Shandan River and Liyuan River.

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740 **Figure 9.** The long-term trend of the water requirement for the cultivated land and oasis under different  
741 situations during 1986-2013. (a) Under a situation of climate change and human agricultural activities;  
742 (b) under the situation that only the climate was changing, but the total area of the oasis (cultivated land)  
743 and land structure were stable; (c) Under the situation that the total area of the oasis (cultivated land) was  
744 changing, but the climate and land structure were stable; (d) Under the situation that the land structure  
745 was changing, but the climate and the total area of the oasis (cultivated land) were stable.

Table 1. Crop coefficients of the different crops in different growth stages in the oasis of the middle Heihe River Basin.

Crop	Development stage			
	Initial	Developing	Middle	Late
Maize	0.23	0.23-1.20	1.20	1.20-0.35
Spring wheat	0.23	0.23-1.16	1.16	1.16-0.40
Cotton	0.27	0.27-1.20	1.20	1.20-0.70
Oilseed	0.29	0.29-1.10	1.10	1.10-0.25
Sugar beet	0.34	0.34-1.21	1.21	1.21-0.70
Potato	0.27	0.27-1.15	1.15	1.15-0.75
Vegetable	0.60	0.60-1.10	1.10	1.10-0.90
Orchard	0.33	0.33-0.95	0.95	0.95-0.71
Swampland	1.00	1.00-1.20	1.20	1.20-1.00
High coverage grassland	0.20	0.20-1.04	1.04	1.04-0.44
Moderate coverage grassland	0.35	0.35-0.47	0.47	0.47-0.32

746

Table 2. Vegetation coefficient in different depths of groundwater level.

Groundwater depth	1	1.5	2	2.5	3	3.5	4
Vegetation coefficient	1.98	1.63	1.56	1.45	1.38	1.29	1.00

747

Table 3. Water balance items in the middle Heihe River Basin during 1986-2013.

Average value (Unit: 10 <sup>8</sup> m <sup>3</sup> )		1986-1989	1990-1999	2000-2013
Water requirement	Agricultural vegetation	8.32	8.84	12.61
	Ecological vegetation	2.19	2.07	3.26
Runoff consumed in the middle Heihe River Basin	Mainstream of the middle Heihe River	6.99	8.00	7.66 <sup>a</sup>
	Shandan and Liyuan Rivers	3.22	3.22	3.22
Precipitation	Landing on the agricultural vegetation	2.22	2.22	2.88
	Landing on the ecological vegetation	0.53	0.48	0.67
Groundwater consumed in the middle Heihe River Basin		0.6 <sup>b</sup>	1.13	3.25 <sup>c</sup>

(<sup>a</sup> the average value during 2000-2010, <sup>c</sup>the average value during 2000-2007; <sup>b</sup> the data referred to Yang and Wang (2005).)