Modelling feedbacks between human and natural processes in the land system

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ABSTRACT

The unprecedented use of Earth's resources by humans, in combination with increasing natural variability in natural processes over the past century, is affecting evolution of the Earth system. To better understand natural processes and their potential future trajectories requires improved integration with and quantification of human processes. Similarly, to mitigate risk and facilitate socio-economic development requires a better understanding of how the natural system (e.g., climate variability and change, extreme weather events, and processes affecting soil fertility) affects human processes. Our understanding of these interactions and feedback between human and natural systems has been formalized through a variety of modelling approaches. However, a common framework and set of guidelines to model human-natural systems feedbacks are lacking. The presented research lays out a framework that includes representing model-coupling configuration in combination with the frequency of interaction and coordination of communication between coupled models. Four different approaches used to couple representations of the human and natural system are presented in relation to this framework, which vary in the processes represented and in the scale of their application. From the development and experience associated with the four models of coupled human-natural systems, the following eight lessons were identified that if taken into account by future coupled human-natural systems model developments may increase their success: 1) leverage the power of sensitivity analysis with models, 2) remember modelling is an iterative process, 3) ensure consistency, 4) reconcile spatio-temporal mismatch, 5) create a common language, 6) construct homogeneous units, 7) make code open-access, and 8) incorporate feedback increases non-linearity and variability. Following a discussion of feedbacks, a way forward to expedite model coupling and increase the longevity and interoperability of models is given, which suggests the use of a wrapper container software, a standardized applications programming interface (API), the incorporation of standard names, and adoption of reproducible workflow environments to wire the pieces together.

1. INTRODUCTION

Models designed to improve our understanding of human-environment interactions simulate interdependent processes that link human activities and natural processes, but usually with a focus on the human or natural system. When simulating the land system, such models tend to incorporate either detailed decision-making algorithms with simplified ecosystem responses (e.g., land-use models) or simple mechanisms to drive land-cover patterns that affect detailed environmental processes (e.g., ecosystem models). These one-sided approaches are prone to generating biased results, which can be improved by capturing the feedbacks between human and natural processes (Verburg 2006, Evans et al., 2013; Rounsevell et al., 2014). Hence, improving our understanding of the interdependent dynamics of natural systems and land change through modelling remains a key opportunity and important challenge for Earth systems research (NRC 2013).

Land use describes how humans use the land and the activities that take place at a location (e.g., agricultural or forest production), whereas land-cover change describes the transition of the physical surface cover (e.g., crop or forest cover) at a location. These distinct concepts are inextricably linked, and models sometimes conflate them or, when represented separately, fail to link them. Because of the tradition of division between human and natural sciences (Liu et al., 2007), land-change science and social science have focused on how socioeconomic drivers interact with environmental variability to affect new quantities and patterns of land use (Turner II et al. 2007) while natural science has focused on modelling natural-system responses to prescribed land-cover changes (e.g., Lawrence et al., 2012).

80   An important limitation of most natural system models[1] is that the impacts of human action are

represented through changes in land cover that rarely involve mechanistic descriptions of the human

decision processes driving them. These models are typically applied at coarse resolutions and ignore the

influence of critical land management activities on natural processes and micro-to-regional climate

associated with fine-resolution factors such as landscape configuration (e.g., Running and Hunt 1993;

85   Smith et al. 2001, 2014; Robinson et al. 2009), fragmentation and edge effects (e.g., Parton et al. 1987;

Lawrence et al. 2011), and horizontal energy transfers (e.g., Coops and Waring 2001). The

consequences of excluding these factors on the representation of natural processes can be significant

because they aggregate to affect global processes.

Conversely, efforts to model and represent changes in how land is used by humans (i.e., land-use

90   change models, LUCMs) have been developed to understand how human processes impact the

environment, but in ways that often over-simplify the representation of natural processes (Evans et al.

2013). While such models vary in their level of process detail, they usually include some representation

of the economic and social interactions associated with alternative land-use types. Over the past 5-10

years, the representation of natural systems has been improved in LUCMs by systematically increasing

95   the complexity of natural processes represented from inventory approaches to rule-based approaches

(e.g., Manson 2005), statistical models (e.g., Deadman et al. 2004), dynamic linking to ecosystem

models (e.g., Matthews 2006, Yadav et al. 2008, Luus et al. 2013), or coupling of integrated assessment

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[1]   Natural system model is used as an overarching term for Earth system, land surface, ecosystem, and more specific models of natural processes (e.g., erosion). We use the following nomenclature: a) Earth System models couple land and ocean biogeochemistry to atmospheric processes, and represent surface-atmosphere interactions, such that CO_2 respiration (and other processes) affects the atmospheric CO_2 concentration, which in turn affects vegetation growth; b) ecosystem models integrate biogeochemistry, biophysical processes (e.g., latent and sensible heat fluxes), and vegetation structure to simulate dynamic terrestrial vegetation growth (Kucharik et al. 2000); and c) land surface models represent heat and moisture fluxes between the land surface and atmosphere and can include vegetation properties using anything from simple parameters (e.g., Bonan 1996) to detailed ecosystem models.

models and Earth-system models (e.g., Collins et al., 2015). Even with the impetus to better understand human-environment interactions through model coupling, land-use science and the natural sciences have

100 historically been separate fields of scientific inquiry (Liu et al. 2007) that foster domain specific methods and research questions. Novel integrative modelling methods are being developed to create technical frameworks for, and intersecting applications between, these two communities (e.g., Hill et al. 2004, Lemmen et al. 2017, Peckham et al. 2013, Robinson et al. 2013, Collins et al. 2015, Barton et al. 2016) that offer insight and an initial benchmark for identifying methods for improvement.

105 The promise of greater integration between our representations of human and natural processes lies partly in the spatially distributed representations of land use, land cover, vegetation, climate, and hydrologic features. Models in land-change and natural sciences tend to contain a description of the land surface (often gridded) and, while the representations of these systems may differ in their level of detail, they are often complementary, thus facilitating a more complete representation and understanding of

110 land-surface change through integration. The coupling of land-change and natural-system models promises a new approach to characterizing and understanding humans as a driving force for Earth-system processes through the linked understanding of land use and land cover as an integrated land system.

The potential gains from greater coupling are threefold. First, the use of many of the Earth's

115 resources by humans alters the state and trajectory of the Earth system (Zalasiewicz et al. 2015, Waters et al. 2016, Bai et al. 2015). Second, the natural system (e.g., climate variability and change, extreme weather events, processes affecting soil fertility) also affects human processes. Therefore, interactions and feedbacks within the social and in socio-ecological systems must be better quantified (Verburg et al. 2016). Achieving substantive gains in our understanding of coupled human-natural systems requires a

120 critical assessment of the different modelling approaches used to couple representations of human

systems with natural systems that span from local ecological and biophysical processes (e.g., erosion, hydrology, vegetation growth) through to global processes (e.g., climate). Third, coupled models will be most useful if we can use them to test possible interventions (e.g., policies or technologies) in the human or natural system and identify feedbacks that amplify or dampen system responses, thus garnering a better understanding about how human impacts on the environment can be mitigated and how humans might anticipate and adapt to resulting changes in the natural system.

The coupled modelling approaches discussed here are used in other fields as well, for example in integrated assessment modelling (IAM, see Verburg et al. 2016), which combines human and natural systems and often explicitly incorporates feedbacks between the two systems. However many IAMs use relatively simple representations of individual systems in order to analyze the nature of interactions between them. In contrast, we focus on coupled modelling that combines specialized and more process-rich representations of both and therefore may lead to different conclusions. Furthermore, new technology for model sharing, model coupling, and high-performance computing make it possible to connect specialized models, which was not possible when IAMs were first conceptualized 25 years ago. Because of the greater degree of openness enabled by these technologies and their modular nature, coupled models enable a greater degree of transparency in how we represent human-natural system models. Whether their relative process richness enables a greater degree of model accuracy remains to be tested.

We present multiple approaches to coupling land-change and natural-system models and reflect on how their representations of feedbacks add value to scientific inquiry into the dynamics of coupled human-natural systems. We highlight four example models that explicitly represent feedbacks between land-change and natural systems, but vary in their scale of application and coupling architecture. We then present the lessons learned from the modelling research teams, discuss the challenges of

representing feedbacks, and then outline a way forward to expedite model coupling initiatives and their

145   subsequent scientific advances.

1.1 Approaches to model coupling

When two models communicate in a coordinated fashion, they form a coupled model, where the

constituents are often termed components (Dunlap et al. 2013). One of the first examples of coupled

150   models was developed in the 1970s to describe the interaction of different physical processes

represented by numerical models for weather prediction (e.g. Schneider and Dickinson 1974). Model

coupling has been expanded since then to encompass domain coupling, i.e., the coordinated interaction

of models for different Earth-system domains or "spheres" (e.g., biosphere and atmosphere). Recent

coupling frameworks implement coupling of functional units regardless of the process versus domain

155   dichotomy (e.g. MESSy cycle 2, Jöckel et al. 2005, Kergweg and Jöckel 2012).

Model coupling can be described by the strength and frequency of interaction between two software

components, often placed in a continuum between 'loose' and 'tight,' where loose coupling has low

coordination and infrequent communication between two or more models and tight coupling describes

high coordination and frequent communication. The simple characterization along a continuum from

160   loose to tight neglects multidimensional nuances of different coupling configurations (Figure 1), degree

of coordination, and communication frequency (Figure 2). However, the terms loose and tight coupling

provide a shorthand about the ease and level of code integration, required understanding of model

components, and where the responsibility for code development resides.

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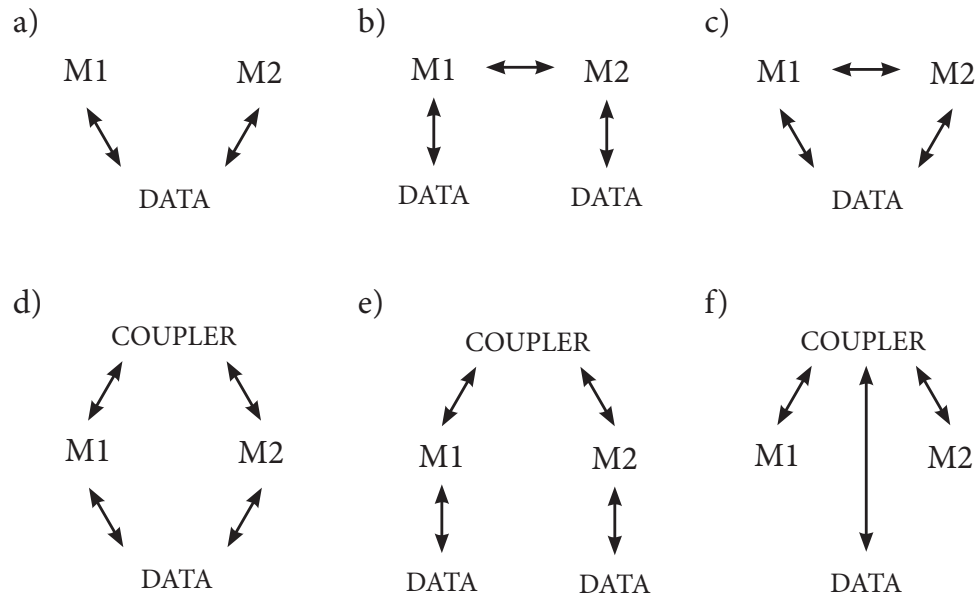


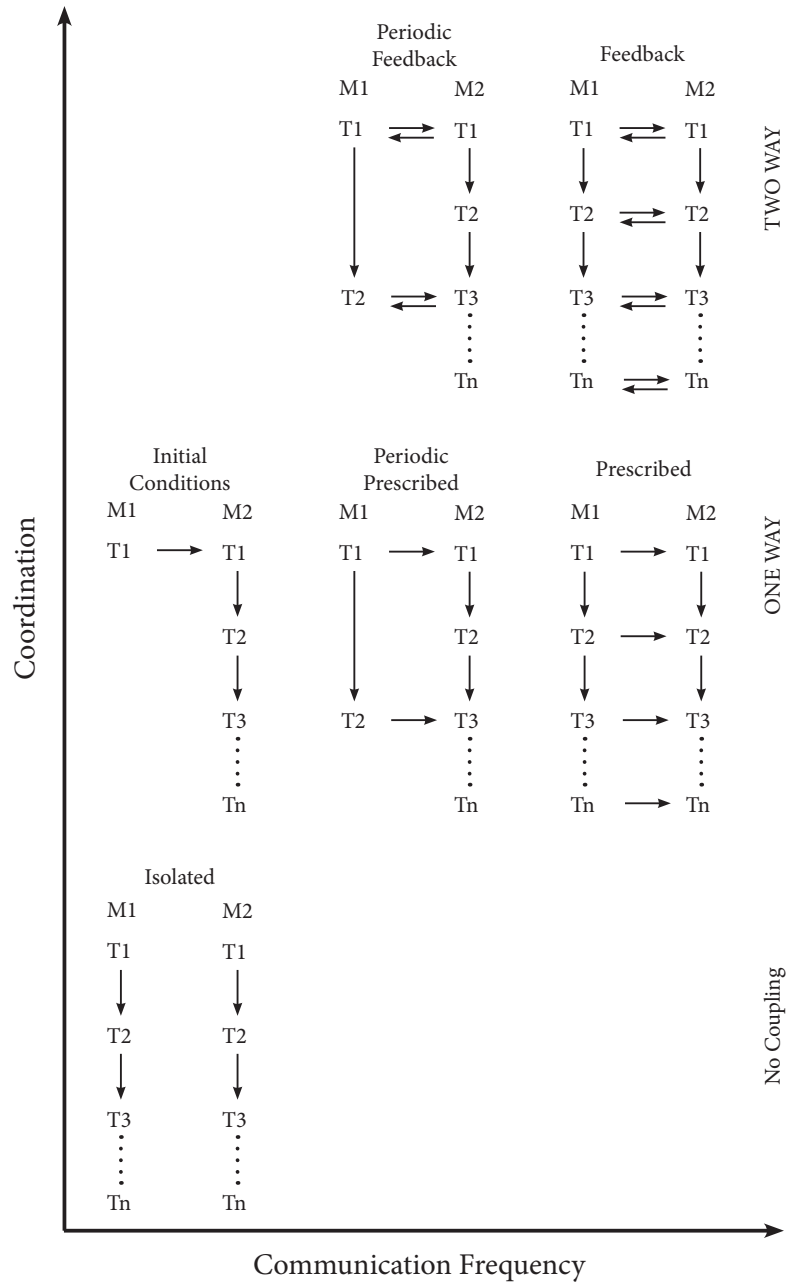
Figure 1: Approaches to model coupling. a) loose model integration via file / data exchange between model 1 (M1) and model 2 (M2); b) models share inputs and outputs but interact with independent data; c) models interact with the same data and share inputs and outputs directly with each other; d) a coupler coordinates run time and scheduling and may pass some information between models, models may also interact through manipulating data (files); e) a coupler coordinates the run time and scheduling of the individual models and passes information between models that primarily use their own data, f) the coupler coordinates all interactions between models and data.

In a strict implementation of loose coupling, communication is mostly based on the exchange of data files (Figure 1a), and coordination is the automated or manual arrangement of independently operating (and different) components and externally organized data exchange. No interaction of the developers of the components is required, and coupling can extend across different expert communities and platforms. However, in many cases model modification is necessary to manipulate the output data generated by a model for input to another and to sequence that transaction. As we increase the frequency of communication between two models and the coordination of interaction (Figure 2) we move towards a tight coupling. In a strict implementation of tight coupling - some call this the monolithic approach - all components and their coordination is programmed within a single model; they share much of their programming code and access shared memory for communication.

Many existing instances of coupled models employ intermediate degrees of coupling. Existing technologies that support model coupling deploy the strengths of tight and loose coupling approaches (Syvitski et al. 2013) in ways that address inherent trade-offs between control versus openness, high performance computing versus wide distribution, distributed versus concentrated expertise, and shared versus modular independent code. Coupling frameworks or software couplers (Figure 1d-h) are typically introduced when the simulation environment becomes multidisciplinary and requires collaborative modeling of several scientific disciplines, such that the coupled model is too complex to be comprehended by a single individual or research group (Voinov et al., 2010). For example, the Community Surface Dynamics Modeling System (CSDMS, Peckham et al. 2013) promotes distributed expertise and independent models in the domain of Earth-surface dynamics. All components are required to implement basic model interfaces (BMI) as communication ports with any other components in CSDMS (Syvitski et al. 2014). The Modular System for Shelves and Coasts (MOSSCO, Lemmen et al. 2017) also promotes distributed expertise and independent models. Similarly, it has been suggested that all components implement the Earth System Modeling Framework (ESMF, Hill et al. 2004) protocol to enable interaction through a coupler (Figure 1d), but the focus is on immediate deployment on high performance computing infrastructure.

The degree of coupling is important as a technical design question, as depicted in Figure 1, but also as an important ontological question affecting how well we can represent feedbacks within and among human and environment systems (Ellis 2015, Liu et al. 2015, Dorninger et al. 2017). Taken together, frequency of communication and degree of coordination affect the degree to which feedbacks can be represented in coupled systems and, therefore, considered in our prediction of system behaviour or response to interventions (Figure 2). For this reason, we describe four examples of coupled representations of human and natural systems, across a range of processes represented and scales of

application, and how their coupling design affects representation of feedbacks. The examples are

210 situated at different points along the three dimensions of configuration, frequency of communication, and coordination, and illustrate how groups of researchers have attempted to overcome the lack of suitable frameworks for coupling human and natural systems and the lessons learned for future representations of feedbacks among human and natural systems.

215   Figure 2. Conceptual outline of the frequency of model communication and coordination of interaction between models from no coupling to one-way and two-way feedback. Examples are not exhaustive but illustrate common approaches used. M1 = model 1, M2 = model 2, T1 = time step 1, Tn = time step n. Initial conditions, where one model is merely used to set the initial conditions of another; periodic perturbations, whereby one model updates data or variables used by another periodically and
220   unilaterally; prescription, which is common to climate models that use a prescribed trajectory of land cover data that do not endogenously change (e.g., citation); periodic two-way feedback, whereby two different modelled processes may act at different temporal resolutions and feedbacks occur upon alignment (e.g., citation); and two-way feedback where the modelled processes are dependent on the results and behaviour of each other (e.g., citation).

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2. Examples of approaches to coupling

2.1 Tight Coupling - Effects of subsistence agriculture and pastoralism on erosion

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2.1.1 Model definition and description

The Mediterranean Landscape Dynamics (MedLanD) project developed a computational laboratory for high-resolution modeling of land-use/landscape interaction dynamics in Mediterranean landscapes. This Laboratory, the MedLanD Modeling Laboratory (MML), is designed as a configurable and controlled
235   experimental environment to model coupled human and natural systems (Bankes et al., 2002; Miller and Page, 2007; van der Leeuw, 2004; Verburg et al., 2016). The MML integrates an agent-based model (ABM) of households practicing subsistence agriculture and/or pastoralism and cellular automata models of vegetation growth, soil fertility dynamics, and landscape evolution (e.g., erosion/deposition) along with climate scenario data. The components of the MML are connected through a coupler that
240   passes information between them (Figure 3; Davis and Anderson, 2004, p. 200; Gholami et al., 2014; Sarjoughian et al., 2013; Sarjoughian, 2006; Sarjoughian et al., 2015) (See S1 1.1).

    Villages and household actors are represented as agents in the ABM, which simulates land-use decisions and practices, mirroring the organization of known small-scale subsistence farmers (Banning,

2010; Flannery, 1993; Kohler and van der Leeuw, 2007). These agents select land for cultivation and grazing using decision algorithms and projected returns informed by studies of subsistence farming, with emphasis on the Mediterranean and xeric landscapes (Ullah 2017).

The landscape evolution model (LEM) iteratively evolves digital terrain, soil, and vegetation on landscapes within a watershed by simulating sediment entrainment, transport, and deposition using a 3D implementation of the Unit Stream Power Erosion/Deposition (USPED) equation and the Stream Power equation (Barton et al., 2016; Mitasova et al. 2013). The LEM also tracks changes in soil depth and fertility due to cultivation and fallowing. A simple vegetation model simulates clearance for cultivation or removal by grazing and regrowth tuned to a Mediterranean 50-year succession interval based on empirical studies in the region (Bonet, 2004; Bonet and Pausas, 2007). Climate parameters can be entered iteratively or statically, and may derive from any external climate or paleoclimate data or simulation output.

2.1.2 Feedback Implementation

The coupling architecture of the MML is highly structured, following a tight coupling scheme that is a hybrid of types shown in Figure 1d and 1f. In the MML, a coupler manages much of the data input and output, but it also coordinates the scheduling and exchange of data among the various subcomponent models. The coupler was constructed to query data directly and transform it for use by certain submodels, but it also directs subcomponent models to run and independently retrieve input data and produce output files. Coordination by the coupler is achieved through the use of a strict file naming system and the use of a common data format (e.g., spatial data must be in the GRASS geographical information system (GIS) raster file format (Neteler et al. 2012) and other data in delimited text files). Naming conventions of data files indicate data type, temporality, and data permanence (intermediary

data versus final data). Two versions of the MML have been developed, one where the coupler is an independent piece of wrapping software coded in Java (in MML v1; Barton et al. 2015) and one where the coupler is integrated into the main model codebase (in python) of a reduced version of MML (in

270 MML-Lite; Barton et al. 2015b). Subcomponent models are also either independent software scripts coded in Python (Landscape components) or in Java (ABM) in the MML v1, or are coded in a monolithic Python codebase in the MML-Lite.
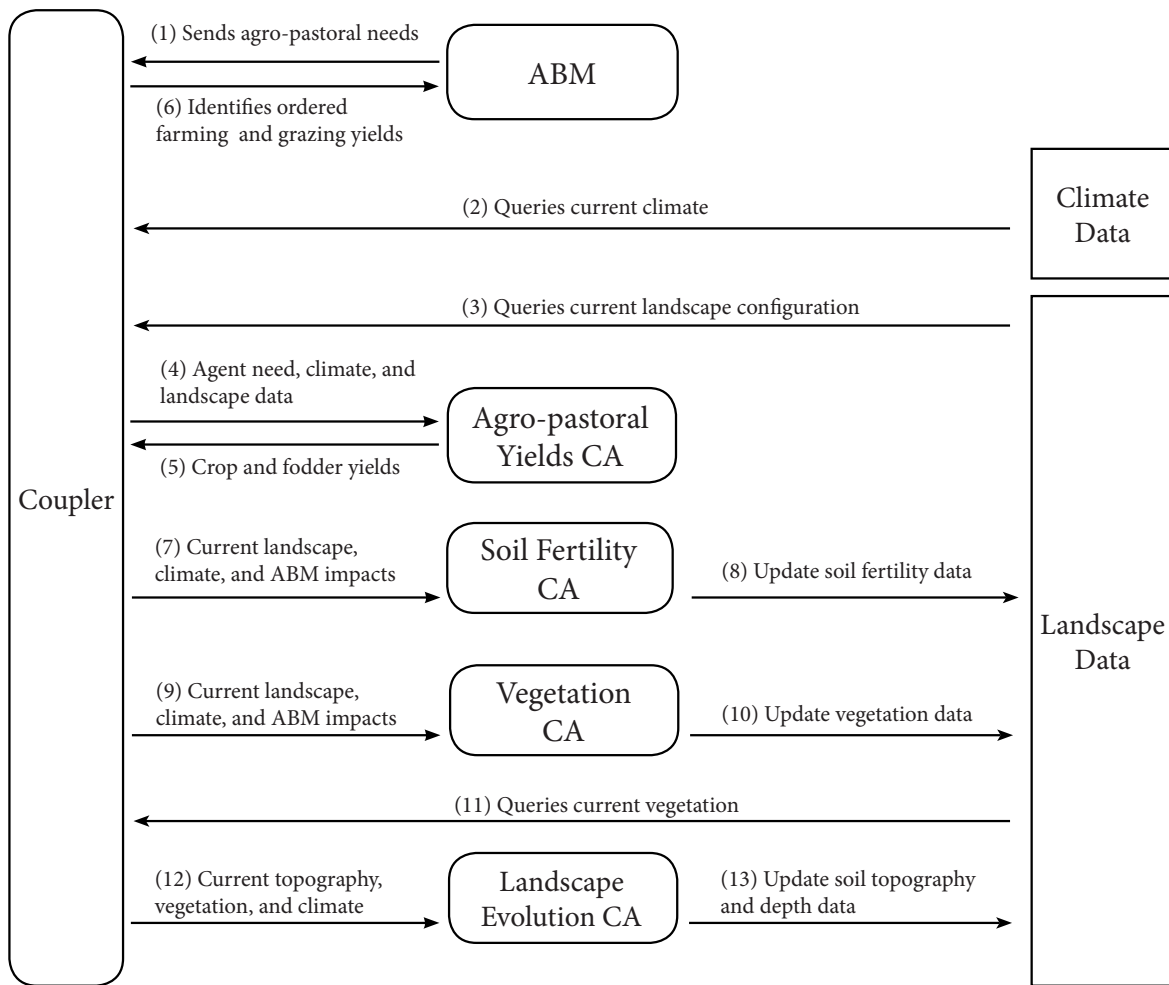
Figure 3. Structure of feedback between the components of the MedLanD Modeling Laboratory.
275 Numbers indicate the sequence of steps in a single time-step of a coupled model run.

The structure and sequence of a single time-step of an MML simulation begins with the coupler initiating the ABM. The ABM determines the subsistence requirements for all households in the agent population and passes access to these data as a delimited text string back to the coupler (Figure 3(1)).

280   The coupler then retrieves climate and landscape information and passes data file location information for subsistence requirements to the Agro-Pastoral Yields CA submodel (Figure 3(4)). The Agro-Pastoral Yields CA submodel chooses locations for the various subsistence tasks and calculates yields that are returned in the form of a spatial data layer (i.e., a GRASS GIS raster file). Yields are then passed back to the ABM (Figure 3(6)) to determine the effects of subsistence choices for each household. At this time,

285   the human system waits while several natural processes are simulated. The coupler calls the Soil Fertility CA to update soil characteristics degraded by land use (i.e., farming, grazing, or firewood gathering) and climate impacts. The coupler then calls the Vegetation CA to determine the amount of vegetation regrowth following agent land-use impacts (Figure 3(9)). Both the Soil Fertility and Vegetation CAs directly write output in the form of GRASS GIS raster files that are queried by the

290   coupler at the beginning of each time step. Lastly, the coupler calls the Landscape Evolution model, which updates the land surface based on the new configuration of vegetation and climate data for that year.

The new state of the natural system (i.e., altered land surface, vegetation, and soils) affects household-agent decisions and natural system processes (i.e., CA submodels) in subsequent time-steps

295   to achieve two-way feedbacks (as in Figure 2, upper right). It's worth noting, however, that the MML currently only implements climate as a one-way prescribed coupling (Figure 2).

2.2 Loose Coupling - Effects of residential land management on carbon storage

300   2.2.1 Model definition and description

To quantify the effects of residential land management on ecosystem carbon, a framework was developed to couple a human decision-making model with the dynamic global vegetation model BIOME-BGC (Robinson et al. 2013). Our model of the human system, Dynamic Ecological Exurban Development (DEED) model, combines a suite of components developed to systematically incorporate

305   additional data and complexity in the residential development landscape (Brown et al. 2004, Brown and Robinson 2006, Brown et al. 2008, Robinson and Brown 2009, Robinson et al. 2013, and Sun et al. 2014). Farmer agents own land that is bid on by Residential Developer agents. The winning Residential Developer agent subdivides the farmland into one of three residential subdivision types, each with different lot density and land-cover impacts (remove all vegetation, leave existing vegetation, grow new

310   vegetation). Residential Household agents then locate and conduct land management activities.

BIOME-BGC was used to represent deciduous broadleaf forest and turfgrass (i.e., maintained lawn) growth. It operates on a daily time step and reports outputs at daily and annual periods. Although the model was not developed to include land management, it was selected because: 1) existing variables permit the representation of different types of vegetation found in exurban landscapes (Robinson 2012)

315   like turfgrass (Milesi et al. 2005); 2) the parameters and inputs used by the model can be altered to represent the impacts of land management that affect vegetation growth; 3) the biogeochemical cycling in the model represents water, carbon, and nitrogen with extensive literature validating model outcomes, including parameterization for different ecosystems and species (White et al. 2000); and 4) it has been applied both at high spatial resolutions (e.g., 30 m) and at local-to-global spatial extents (e.g., Coops and

320   Waring 2001), which facilitates both the local site evaluation and the potential to scale out to regional or national levels.

2.2.2 Feedback Implementation

A loose coupling approach linking the ABM (DEED) and BIOME-BGC was used that is similar to
the structure of Figure 1c, whereby information is exchanged between output and input files. However, DEED not only modifies BIOME-BGC input and output files but it also coordinates its run time (Figure 5). Through this approach, DEED is an independent model and a coupler coordinating interaction with BIOME-BGC.

By chaining input and output between the two models, two-way feedback is represented (Figure 2). First, land exchange, land-use change, and land management activities are conducted by agents in DEED. If agents irrigate their property then DEED modifies precipitation values in the climate files used by BIOME-BGC for that year and location. If agents fertilize then DEED alters the soil mineral nitrogen in the BIOME-BGC initial conditions/restart file (Figure 5(1)). Then, DEED steps BIOME-BGC forward by one year (Figure 5(2)).
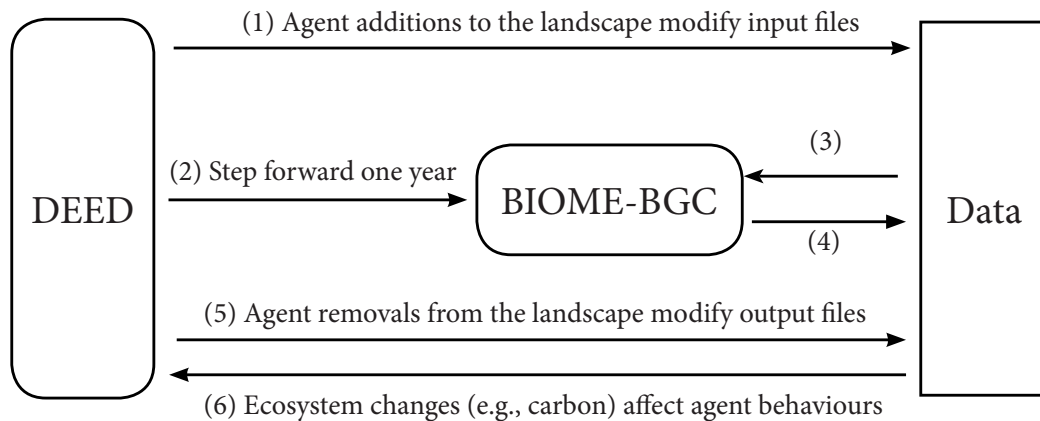
Figure 5. Structure of feedback between DEED and BIOME-BGC. Numbers indicate the sequence of steps in a single time-step of a coupled model run. (3) BIOME-BGC retrieves site characteristic and climate data as well as initial conditions for the next time step comprising biogeochemical pool values among other information. (4) results of vegetation growth and changes to biogeochemical pools are written back for manipulation by the ABM.

BIOME-BGC retrieves site characteristic, climate, and initial conditions for the year (Figure 5(3)). The products of vegetation growth from BIOME-BGC (i.e., coarse woody debris and litter) are then modified by land management activities by altering the initial conditions file for the subsequent year (Figure 5(5)). The ABM then summarizes ecosystem variables (e.g., carbon) for a given cell, residential property, or landscape. Feedback from the ecological system on agent behaviour was explored through changes in policies that support offset payments for increased carbon storage. An alternative feedback could include effects on social preferences and norms for landscape design elements (e.g., xeriscaping or adding tree cover) that may drive changes in land management activities and subsequent ecological outcomes.

2.3 Loose Coupling with Coupler – Changes in food consumption and trade with land use decisions

2.3.1 Model definition and description

To explore the interactions among land-use decisions, food consumption and trade, land-based emissions, and climate at a global scale, a dynamic global vegetation model (LPJ-GUESS, Smith et al., 2014), a land use and food system model (PLUMv2, Engström et al., 2016a), and a climate emulator (IMOGEN, Huntingford et al., 2010) were coupled (Figure 6). Key objectives were: a) to represent the trade-offs and responses between agricultural intensification and expansion and the cross-scale spatial interactions driving system dynamics (Rounsevell et al., 2014), b) to explore whether climate and CO_2-related yield changes in a coupled system would affect projected land-cover change, and c) how these changes might feedback to the atmosphere and climate via the carbon cycle. A detailed representation of yield responses to inputs (fertilisers and irrigation) was used and assumptions of market equilibrium were relaxed to allow exploration of the effects of shocks and short-term dynamics.

365   The carbon, nitrogen, and water cycles, as well as vegetation growth, composition, and competition (e.g., following land-use change) were simulated at 0.5-degree spatial resolution in LPJ-GUESS. Agricultural and pastoral systems were represented as a prescribed fractional cover of area under human land use per grid cell. Four crop functional types modelled on winter wheat, spring wheat, rice, and maize were used to simulate croplands (Olin et al., 2015; Lindeskog et al., 2013; Olin et al., 2015; Pugh

370 et al., 2015). Pastures were represented by competing C3 and C4 grass, with 50% of the above-ground biomass removed annually to represent the effects of grazing (Lindeskog et al., 2013). A general circulation model emulator, IMOGEN, links the terrestrial and atmospheric carbon cycle without the computational demands of running a full Earth System Model (Huntingford et al., 2010).

   Economic and behavioural aspects for country-level decisions within the food system were modelled

375 in PLUMv2, extending Engström et al. (2016a). The PLUMv2 model projects demand for agricultural commodities based on socio-economic scenarios (e.g. SSPs, van Vuuren & Carter, 2014), and attempts to meet these demands through country level cost minimisation, including spatially specific land use selection among other processes such as trade and policy.

380 2.3.2 Feedback Implementation

The coupling of IMOGEN, PLUMv2, and LPJ-GUESS is performed using a coupling script written in CRAN R (R Core Team 2013), which coordinates data, settings files, and the order of operations for the three models similar to Figure 1d. The coupling script first performs initialisation steps, which produces the required start files and spins-up all the models. As part of this process IMOGEN is spun-up first

385 (Figure 6). IMOGEN provides spin-up climate for LPJ-GUESS, and then PLUMv2. Two instantiations of LPJ-GUESS are used, one for simulated land use conditions (LPJ-GUESS-main) and one for generating potential crop yields under a range of land uses (LPJ-GUESS-potential).

The coupling script communicates with the IMOGEN and LPJ-GUESS at a one-year time step, although LPJ-GUESS and IMOGEN operate on sub-daily time steps. IMOGEN is called to provide the climate for the current run year (Figure 6(9,11)), which LPJ-GUESS-main uses (Figure 6(5)) to simulate the vegetation dynamics with the climate from IMOGEN and the land use from PLUMv2 for the same year (Figure 6(3,4,6)). The terrestrial carbon flux data is aggregated to provide global net ecosystem exchange of carbon for the land area with prescribed ocean carbon uptake to IMOGEN, which estimates the global CO_2 concentration and climate for the next year.

Every fifth year, the R coupler runs a second instantiation of LPJ-GUESS (LPJ-GUESS-potential), directing it to use the ecosystem soil state of LPJ-GUESS-main (Figure 6(12,13)). The LPJ-GUESS-potential model is used to produce potential net primary production (NPP) for pasture grass lands and potential crop yields for 6 crop management settings (three levels of fertilisation (0, 200, 1000 kgN/ha) and rain fed or irrigated crops). To account for short-term land-use change legacy effects, LPJ-GUESS-potential uses the previous 10 years of soil conditions and climate from IMOGEN. The last five years of the LPJ-GUESS-potential pasture NPP and crop yields are averaged by the R coupler and input to PLUMv2 (Figure 6(1)) to model land use for the next five year iteration. PLUMv2 uses these yield potentials to simulate annual land-use management decisions, which are used (as described above) in the LPJ-GUESS-main model (Figure 6(4,6)). The land uses are determined using yield potentials for previous time periods in LPJ-GUESS-potential and therefore has been indicated as step 1 in Figure 6.
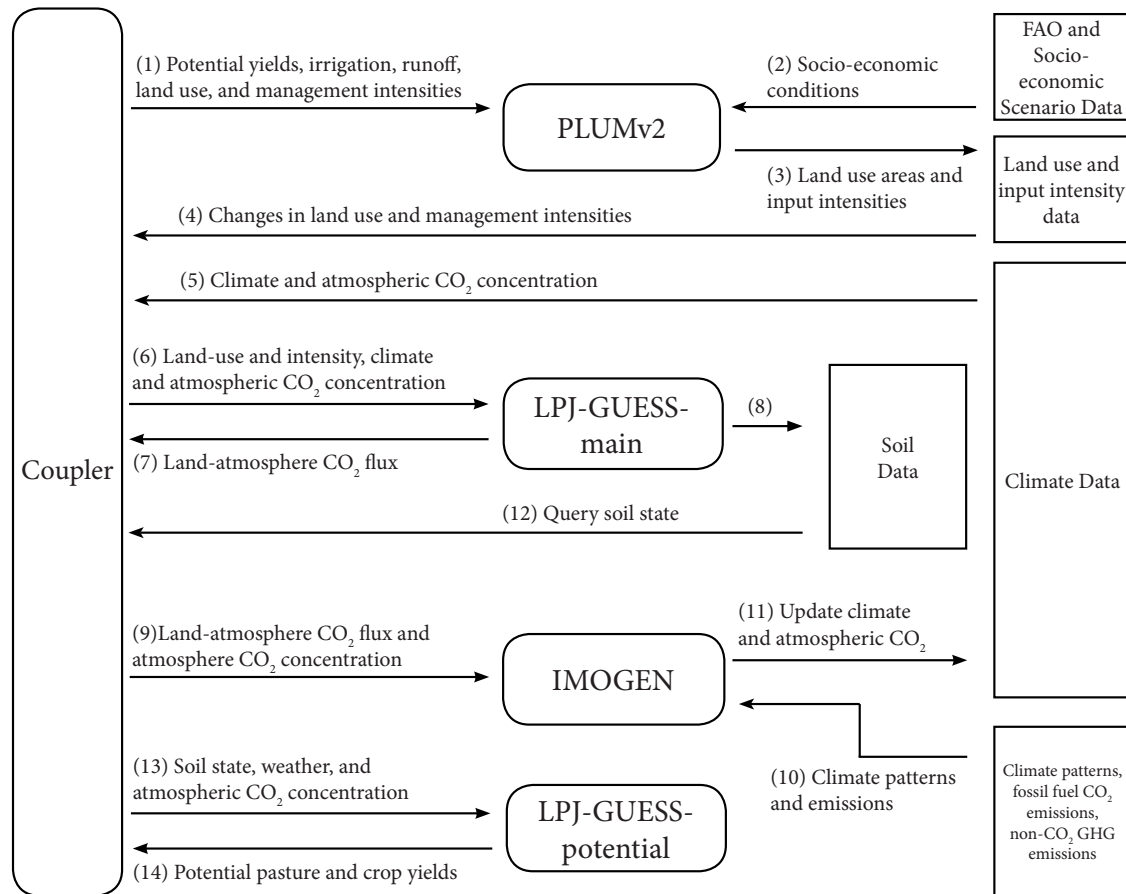
Figure 6. LPJ-GUESS, IMOGEN and PLUMv2 model coupling structure and feedback between models and data. Numbers indicate sequence of steps after initialization. (8) LPJ-GUESS-main modifies the soil state locational data.

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2.4 Tight coupling via an integrated assessment coupler – Investigating the effects of changes in land use and the energy system on terrestrial and climate CO_2

2.4.1 Model definition and description

415   The integrated Earth System Model (iESM v1.0; Collins et al., 2015) couples the Global Change Assessment Model (GCAM, v3.0; Wise et al., 2014) with the Community Earth System Model (CESM, v1.1.2; Hurrel et al., 2013) and the Global Land-use Model (GLM, v2; Hurtt et al., 2011) to explore feedbacks between terrestrial ecosystems (including their interactions with the climate system) and human land use and energy systems. GCAM is an integrated assessment model that represents both

420   human and biogeophysical systems (Wise et al., 2014), and in the iESM the climate and carbon components of GCAM are replaced by CESM. The human components simulate global energy and agriculture markets to estimate anthropogenic emissions and land change. The energy and land components are distinct, but connected via bioenergy, nitrogen fertilizer, and (where applicable) greenhouse gas emissions markets. GLM generates annual, gridded land use from periodic, regional

425   GCAM outputs following the Land Use Harmonization protocol (LUH; Hurtt et al., 2011), and an additional land use translator converts GLM outputs to CESM land cover types (Di Vittorio et al., 2014, P Lawrence et al., 2012).

CESM is a fully coupled Earth-system model with atmosphere, ocean, land, and sea ice components; including land and ocean biogeochemistry that exchanges carbon with the atmosphere (Hurrel et al.,

430   2013). The standard resolution of all CESM components in fully coupled mode is nominally one degree, but the land cover is determined as fractions of half-degree grid cells and prescribed prior to a simulation (Lawrence et al., 2012). Biogeographical vegetation shifts are not included, although ecosystems do respond and contribute to changing environmental conditions. The CESM land model includes detailed hydrology and mechanistic vegetation growth for 16 Plant Functional Types (PFTs) to

435   simulate water, carbon, and energy exchange with the atmosphere.

The iESM coupling follows the Coupled Model Intercomparison Project phase 5 (CMIP5; Taylor et al., 2012) LUH protocol (Hurtt et al., 2011), with some modifications and additions (Bond-Lamberty et al., 2014; Di Vittorio et al., 2014), to connect GCAM and GLM (Hurtt et al., 2011) directly to the CESM framework via a newly developed integrated assessment coupler (Collins et al., 2015).

440

2.4.2 Feedback Implementation

The outputs generated by the two-way feedback (Figure 2) between the human and natural systems represented by iESM are not available from its individual models or through one-way coupling such as in CMIP5. The iESM is a specific configuration of CESM in which the land model initiates an integrated assessment coupler every five years (Figure 7(1)). This coupler coordinates communication between the human and environmental systems by first calculating average crop productivity and ecosystem carbon density scalars from the previous five years of CESM net primary productivity and heterotrophic respiration outputs (Bond-Lamberty et al., 2014), except during the initial year when these scalars are set to unity (Figure 7(2)). The coupler then runs GCAM with these scalars to project fossil fuel CO_2 emissions and land use change for the next five years (Figure 7(3)), and then passes these outputs through downscaling algorithms to the atmosphere and land components of CESM (Figure 7(4-9)). The non-CO_2 emissions are prescribed by CMIP5 data as initial CESM input files. Land use change is annualized and downscaled by GLM (Hurtt et al., 2011) (Figure 7(4-5)). A land use translator converts these changes in cropland, pasture, and wood-harvested area into changes in CESM land cover change, which is based on plant functional types (Di Vittorio et al., 2014, Lawrence et al., 2012) (Figure 7(6-7)). The CO_2 emissions are downscaled following Lawrence et al. (2011) and passed to the atmosphere component as a data file (Figure 7(8)), and the land cover change is stored in a land surface file and passed to the land component (Figure 7(9). The coupler then returns control to the land model and CESM runs for another five years (Figure 7(10)). This two-way feedback incorporates the effects of climate change, CO_2 fertilization, and nitrogen deposition on terrestrial ecosystems into GCAM's projections.

The key new feature is the generation of CESM-derived vegetation and soil impact scalars that are used by GCAM to adjust crop productivity and carbon at each time step. This fundamentally alters the scenario by making the land projection, and consequently the energy projection, more consistent

465 with the climate projection. The largest technical contribution, however, is the integrated assessment

coupler that enables feedbacks by running GCAM, GLM, and a new land use translator inline with

CESM.
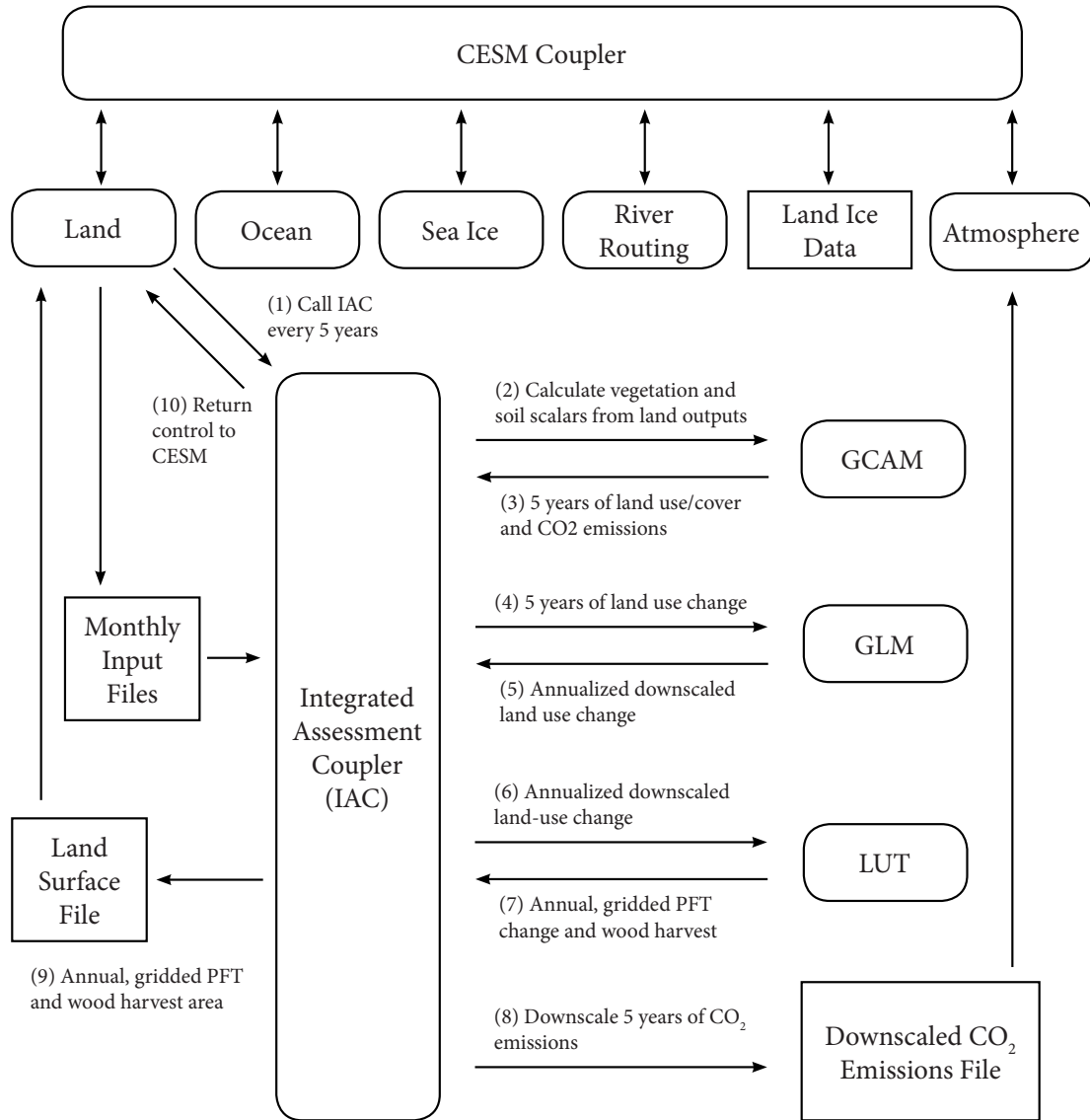
Figure 7. Structure of iESM and feedback between integrated model components. An integrated
470 assessment coupler facilitates all interactions between the Global Change Assessment Model (GCAM),
the Global Land-Use Model (GLM), and the Community Earth System Model (CESM). The coupler is
activated by the CESM land model every five years to calculate the average carbon and productivity
scalars for the past 5 years and pass them to GCAM, then pass GCAM outputs to the atmosphere
component of CESM via a downscaling algorithm and to GLM, and then pass GLM outputs to the land
475 component via a Land Use Translator (LUT). The non-CO2 emissions are provided to CESM as an
input data file.

These capabilities enable new insights into research questions regarding climate mitigation and adaptation strategies. For example, how may agricultural production shift due to climate change, how do different policies influence this shift, and how may this shift affect other aspects of the human-Earth system? Many recent impact studies (e.g., ISIMIP, BRACE, CIRA2.0) use climate model simulations based on emissions and land-use scenarios (Representative Concentration Pathways, RCPs) that themselves do not account for the influence of climate change on future land use. This inconsistency could affect conclusions about impacts resulting from particular RCPs.

This approach paradoxically has several strengths that are also weaknesses. The main strength of this approach is that it tightly couples two state-of-the-art global models to implement primary feedbacks between human and environmental systems under global change. Unfortunately, this configuration is not amenable to the uncertainty and policy analyses or the climate target experiments usually employed by GCAM because it takes too long to run a simulation. As a global model it provides self-consistent representation of interconnected regional and global processes, both human and environmental, but is unable to capture a fair amount of regional and local detail that influences planning and implementation of adaptation and mitigation strategies.

3. DISCUSSION

The four presented examples demonstrate how specialized models of human and natural processes have been connected through alternative coupling approaches to address research questions related to the impacts of one system on another and the effects of feedbacks between human and natural systems on a

500   variety of outcomes of interest (e.g., erosion, carbon storage, and emissions). The focus on specialized models offers a flexible and open approach to answering new questions about feedbacks in coupled human-natural systems, and also facilitates the identification of new types of data required to calibrate and validate the interactions and feedbacks between the two systems. Additionally, coupled modelling presents an opportunity for increased transparency and detail in the represented processes through more

505   explicit identification and documentation of component interactions and processes.

The example models are diverse in the spatial and thematic resolutions of human and natural-system processes represented. The first two examples (MML and DEED) use agent-based approaches that represent land use and land management in the human system at the household level. While the ecological impact of land management activities in DEED does not have a direct feedback on residential

510   household decision-making, those represented in MML do. Agricultural systems, carbon markets, and policies provide mechanisms to establish this feedback and endogenize the impact-response cycle between residential land management practices of the human system and the natural system (Sun et al. 2014).

The second two examples are global models with different levels of coupling and complexity that

515   represent human-natural system feedbacks at regional and global levels. In both examples, the human system has a direct effect on modelled natural-system processes (i.e., vegetation, carbon, climate), and the feedback of environmental changes on human systems is mediated by vegetation responses to changing natural and human conditions.

These examples demonstrate how coupled system implementations extend the applicability of

520   models to a variety of questions regarding the dynamic relationship between human and environmental systems that would otherwise be impossible to address quantitatively. Such questions include those related to direct and indirect effects of one system on another (e.g., what is the effect of the natural

system on human system?), to the resilience or sensitivity of the coupled system or its components to perturbations or scenarios (e.g., do feedbacks dampen or amplify the consequences of a perturbation?), and to identifying thresholds (e.g., what is the critical value of a variable in one system that when crossed instantiates change in the other system?), among many others.

3.1 Lessons Learned

To make progress in modeling coupled human-natural systems, the way in which some set of variables or processes affects or interacts with both systems must be specified. For example, precipitation has a known and direct effect on plant growth (e.g., forest or crop) and erosion (e.g., overland flow). The outcomes of some of these processes (e.g., yield and soil loss) have direct or indirect effects on land management choices by farmers, effects that are empirically observable at least qualitatively and, in some instances, quantitatively measured. However, the direct impacts of other perturbations, such as the introduction of new technology or governance schemes, on human and natural-system processes are not observable because they have not yet occurred. The presented case studies focus on perturbations or scenarios that are grounded in known and direct causal relationships that are more likely to be found in the natural system than the human system, partly due to the multitude of drivers affecting—and consequent difficulty in predicting—human-decision making. In these example cases, a number of lessons have been learned:

Lesson 1. Leverage the Power of Sensitivity Analysis with Models. A powerful benefit of simulation models is that they can facilitate analysis of the effects of interventions and scenarios for which there is no precedent. Models should be leveraged through computation across a full range of parameters and use of simulated data or expert- or theory-informed methods to evaluate the relative contribution of

parameter values/ranges, missing data, or processes on model outcomes. For example, to properly understand the net effect of human alteration to vegetation on long-term rates of erosion and deposition in the MML, it became clear that a more complete understanding of the sensitivity of the landscape evolution subcomponent model to vegetation was needed. This sensitivity analysis showed a very strong

550 exponential relationship between vegetation type and both the overall amount of erosion and deposition over time and the temporal variation in erosion rates over time (Ullah, 2017), The analysis show a particular sensitivity to expanded bare land, grasslands, and shrub land-cover types. Therefore, it is clear that agent activity that leads to an increase these types of land-cover should also lead to long-term increases in erosion and deposition in the MML. . In this way, model sensitivity to parameters, data, or

555 processes can be evaluated to support design and deployment of resources for new data collection.

Lesson 2. Modelling is an Iterative Process. The process of analyzing coupled human and natural system models often results in identification of needs to investigate key variables, data, or mechanisms. For example, through the coupling of DEED and BIOME-BGC (Section 2.2), it was realized that data on vegetation and soil carbon for residential land uses are grossly inadequate for model calibration. This

560 realization fostered new data collection and analysis about the distribution of carbon stored in different residential land uses (Currie et al. 2016). New forms of measurement and evaluation are often needed to collect novel data and quantify variables and feedbacks linking human and natural systems. As these new data are collected and become available, new questions about model processes are inevitable (Rounsevell et al. 2012).

565 *Lesson 3. Ensure Consistency*. Modelers seeking to couple natural- and human-systems models that represent similar phenomena, like land cover, can encounter significant ontological and process consistency challenges. Models with different initial assumptions and different processes can generate different values for the same phenomenon. While model coupling ultimately can provide an impetus for

harmonizing and resolving such consistency issues, it requires decisions about which processes to

570 represent and which to leave out to avoid duplication.

The iESM (Section 2.4) well illustrates issues of consistency in assumptions, definitions, and processes. First, ecosystem properties from CESM were translated to impacts that could be applied to GCAM "equilibrium" yields and carbon densities (Bond-Lamberty et al., 2014). Second, a major finding that is especially relevant to all land change and ecosystem models is that the inconsistencies between

575 land use and a land cover definitions caused CESM to include only 22% of the prescribed RCP4.5 afforestation in CMIP5 (Di Vittorio et al., 2014). Additionally, it was discovered that wood harvest was conceptually different across the three models comprising iESM (GCAM, GLM, and CESM), with each model having its own process for determining how harvest is spatially distributed. Wood harvest is a good example of different modeling groups describing the same thing, using the same language, but

580 with very different concepts and processes, with unintended consequences for CESM's terrestrial carbon cycle.

Lesson 4. Reconcile Spatio-temporal Mismatch. Many natural system models operate at finer temporal and coarser spatial resolutions than human system models (Evans et al. 2013). Often, these discrepancies cannot simply be dealt with by aggregation of the variables because they represent

585 mismatch in spatial and temporal dynamics that may also happen in reality. Human responses to environmental change may show significant time-lags or may be related to cycles of management (e.g. cropping cycles) rather than showing an immediate response. Similarly, while the ecological models are strongly place-based, coupling human and natural systems at the pixel level may not always be appropriate due to complex spatial relations in the human dimensions (e.g. distant land owners) or

590 responses across different levels of decision making (e.g. policy responses) that are not linked to the exact place of the ecological impact. Reconciling these mismatches involves balancing detail and

computational tractability within existing model structures and scheduling the frequency of communication between models.

As an example, the DEED ABM (Section 2.2) used an annual time-step to reflect the timing of land management decisions, whereas the ecosystem model BIOME-BGC represented vegetation growth and biogeochemical cycles daily. To reconcile these differences, irrigation decisions were made annually, but implemented one day a week during the growing season by modifying the daily precipitation file used by BIOME-BGC. In contrast, other management activities were implemented once annually, before (for fertilization) and after (for removals) the growing season. These limitations could have a significant effect on estimated carbon storage and have fostered additional fieldwork for further validation (e.g., Currie et al. 2016) and additional efforts to tightly couple the two models.

The iESM (Section 2.4) also reconciles similar mismatches through a 5-year time lag and specialized spatial and temporal downscaling of economic model outputs to provide inputs to the environmental model. While these approaches allow the separate models to operate synchronously, further development to better match the inherent spatio-temporal configurations between models is required to reduce errors associated with such mismatches.

Lesson 5. Create a Common Language. Coupling human and natural systems brings social and natural scientists together that often have a different understanding of the meanings of commonly used terms. Both technical and conceptual aspects of the coupling process can be improved when a common language is used. For example, traditional coupling between the ocean and the atmosphere in Earth System Models typically uses the Climate and Forecast conventions (Eaton et al. 2011). A controlled vocabulary in these conventions assists understanding of model processes and facilitates their coupling among models or replacement in new models. With a similar goal but different approach, CSDMS introduced rules for creation of unequivocal terms through their standard names system that functions as

615 a semantic matching mechanism for determining whether two terms refer to the same quantity with associated predefined units. This concept is currently undergoing transition to a Geoscience Standard Names ontology that reaches out to include social science terms (David et al. 2016), which can benefit communication between communities (i.e., natural and social science) that may have different terms and descriptions of similar processes (Di Vittorio et al. 2014). With a common language, data can be more

620 easily and unambiguously communicated between components in a coupled system.

Lesson 6. Construct Homogeneous Units. Coupling models increases computational overhead and thus requires increases in computational efficiency, both of which come with trade-offs. One approach to improving efficiency is to classify and generalize components of the model such as agent types in the human system (e.g., Brown and Robinson 2006), types of vegetation (e.g., plant functional types, Díaz

625 and Cabido 1997, Smith et al. 1993, Smith et al. 1997), or landscape units. Landscape units are not typically constructed to structure spatial variability in land use science, but are used regularly in hydrological modelling; for example the Soil Water Assessment Tool (SWAT, Neitsch et al. 2011) uses hydrological response units (HRUs) that have a soil profile, bedrock, and topographic characteristics that are assumed homogeneous for the entire spatial extent of the unit. Similar concepts have been used

630 to identify management zones or units, and our examples of DEED-BIOME-BGC (Robinson et al. 2013) and the iESM (Collins et al., 2015) both employed this approach. However, the variability among management activities and land-cover types can lead to a large combination of outcomes, and the delineation of these units directly contributes to uncertainty in model projections (Di Vittorio et al., 2016).

635 *Lesson 7. Make Code Open-Access.* Many ecosystem and Earth-system models have mass, energy, or other balance equations that constrain the processes to the laws of thermodynamics and can be used to ensure that they are working correctly. For example, the ecosystem model LPJ-GUESS has a routine to

ensure balance between influx, efflux, and storage of carbon. Similar checks and balances are used in human system models with respect to population change (e.g., births, deaths, immigration, and emigration) or economic trade (e.g., production, consumption, imports, and exports) at macro levels and budget or labour constraints at household or individual levels. However, in many natural-system models these balance equations are not accessible for coupling and the representation of human perturbations and modifications to the factors in balance equations are either not included or done so indirectly and make the coupling less flexible and tractable.

Lesson 8. Incorporating Feedback Increases Non-Linearity and Variability. Specific results from the four examples are available in a number of publications associated with each example. Among the four example coupling efforts, it has been found that the incorporation of two-way feedbacks (Figure 2) between models of the human and natural system typically produces non-linear results and a greater range in model outcomes than are observed when the models are isolated or one-way prescriptions are used. For both the MML and DEED models, changes in the natural system were relatively linear when one-way human perturbations were prescribed. However, when feedbacks between the systems were incorporated then non-linear outcomes were observed and frequently a greater variation in model outcomes.

3.3 Feedback Effects

Introducing feedbacks often changes model outcomes, but it is difficult to determine if these changes are significant or realistic relative to the uncertainty in the original models and their coupling. The only way to test if the representation of a mechanism is representative of the real-world is to remove the inconsistencies so that the feedback effects can be quantified. Then, experiments that evaluate the effects

of specific feedbacks can be carried out and evaluated. These experiments need to be carefully designed because the actual feedback signal can be an aggregate of expected, direct effects and additional, indirect effects. For example, average ecosystem productivity could change due to atmospheric influences (e.g., climate change, CO_2 fertilization) or to terrestrial influences associated with changing

665 ecosystem area (e.g., spatially heterogeneous soil properties, changes in the proportion of different forest types). Regardless of the challenges, an overall benefit of quantifying feedback effects is that modellers can gain insight into processes that are typically not observed and measured. Given the difficulty in observing these effects and potential inconsistencies, efforts in coupling human and natural-system models have focused on sensitivity analysis to test their effects (e.g. Harrison et al., 2017).

670 Ultimately, an important goal in such analyses is to discern which of two distinct sources produce effects from including feedbacks in coupled models: 1) model implementation (both technical and conceptual), and 2) the actual feedback signal. Model implementation issues often relate to the level of consistency between the original models. For example, the need to translate changes in gridded patterns of ecosystem productivity to changes in regional average carbon density can lead to varying sensitivities

675 across magnitudes of productivity and areal change, requiring the unrealistic sensitivities to be filtered out (Bond-Lamberty et al., 2014).

Model experiments are useful for separating the model implementation issues from the actual feedback signal. For example, iESM was used in a series of land-only simulations to (a) quantify the relationship between ecosystem productivity and carbon density, (b) implement a statistical method to

680 remove outliers that introduce error due to extreme combinations of land cover and productivity change, and (c) develop the appropriate proxy variables for use by GCAM (Bond-Lamberty et al. 2014). To verify that this process effectively removed the model implementation effects, another series of land-only simulations was conducted using the iESM with and without terrestrial feedbacks, and with

constant atmospheric conditions (i.e., year 1850 aerosols and nitrogen deposition, and repeating 15 years

685   of climate forcing). This experiment showed that the coupling itself, without an atmospherically driven

feedback signal, did not generate significant changes in GCAM outputs. The feedback signal was not

zero, however, indicating that a combination of implementation effects and land heterogeneity effects

was present. These combined effects were not separable due to lack of data on the required outputs, and

in general they were opposite to the total feedback effects in the fully coupled iESM experiment,

690   suggesting that the atmospherically driven feedbacks may be larger than the net feedbacks.

Depending on the mechanisms involved, feedbacks may create strong feed forward effects that lead

to fast evolution of the dynamics of the system. On the contrary, responses may also lead to an

attenuation of perturbations and strong stability of the dynamics. Where the exact mechanisms involved

and the strength of the feedbacks are unknown, model dynamics may start deviating strongly upon small

695   changes in variable settings, in other words, leading to strong model sensitivity to highly uncertain

model parameters.

The examples including feedbacks in coupled human- natural-system models illustrate a number of

challenges in designing and implementing feedback mechanisms:

- The representation of human responses. The examples in the four cases above mostly relate to a

700   coupling based on exchanging land cover and ecological-process-impact information. The

human decision models translate the ecological impacts to alter decision making. For example,

land-use decisions in PLUM-LPJGUESS, iESM, and MedLanD respond to changes in potential

yields; in the MedLanD application, erosion processes also render land less suitable for use. In

reality the responses of human decision-making are more complex. The relevance of the

705   ecological indicator exchanged may be context dependent, e.g., potential yields may determine

farming decisions in capital intensive farming that is near to the production frontier, but be much

less important in low-input subsistence farming that is far from potential productivity. Furthermore, decision-making may not be based on the represented process or by the indicator exchanged, but rather on the human perception of the environmental change, which may be irrational and biased by other interests, such as in case of the climate debate. While the concept of environmental cognitions is well known, relatively little is known in relation to land-use change decision-making (Meyfroidt 2013). Human responses to environmental change are, therefore, a critical knowledge gap for implementing coupling mechanisms (Meyfroidt 2013b).

- Structural differences in model concepts. The iESM example illustrates how structural differences in models can hamper the coupling of models, and how careful consideration is needed of the feedback mechanism and its consequences in relation to the overall model assumptions. This is especially relevant for coupling models that assume equilibrium and those that depict instantaneous impacts or transient situations. Global economic models using equilibrium assumptions, which are frequently coupled to land use and ecosystem models, and specialized land-change models (or land-change modules in IAM models) both address land use, but often from different perspectives leading to potential differences in the meaning and interpretation of input and output variables.

- Reconciling stochastic and deterministic approaches. Another factor complicating the representation of feedback mechanisms is that some models are strongly deterministic (e.g., PLUM-LPJGUESS, GCAM-CESM (iESM), BIOME-BGC), so that the results are essentially the same for any run with the same initial parameters, while others have strong stochastic components (e.g., DEED and MedLanD). The former is common for many natural-systems models and some human-systems models (especially econometric style models). Other models have algorithms that generate stochasticity to represent uncertainty in processes. Many agent-

730   based/individual-based models and some cellular automata fall into this category. For models

with included stochasticity, using the same random number generator seed can be used, however,

to capture the variance and distribution of model outcomes repeated runs with different seeds are

required, which can be conceptually challenging or operationally complicated when coupled with

deterministic models.

735

3.4 A Way Forward

The ability to dynamically simulate feedbacks between human decision-making and natural processes

requires some kind of tight coupling—in the sense of frequent, two-way communication and high

740   coordination (Figure 2) — between models designed to represent these different processes. To date, this

has largely been achieved through connecting models into a single modeling environment. This is true to

a large extent for all the case studies presented here. Adding new models to such systems often requires

significant reprogramming and makes the expanded code base increasingly difficult to debug, verify,

and validate. Additionally, any other researcher that would like to combine fewer, more, or different

745   components will need to reprogram multiple parts of the modeling environment to decouple one model

and add another.

To expedite coupling in future modeling of the land system, we recommend a bottom up

approach to modeling whereby 1) modelers with in-depth domain knowledge create relatively small,

more easily verified modules (Bell et al. 2015) or model components for assembly into a larger coupling

750   frameworks (e.g., OpenMI, ESMF, OMS, and CSDMS). Using this approach, the community may

preserve and build upon existing numerical code previously developed by the many subdisciplines

involved in modeling human and natural systems. These are not new ideas, but they have not yet been

achievable in spite of their recognized desirability. However, a suite of technologies has reached

sufficient maturity that it may now be a practical way to create a new generation of modeling tools that

755   can exploit these two avenues for modelling coupled human-natural systems.

New coordinating frameworks for next generation coupled modeling of human and Earth

systems are being developed within a number of relevant organizations: the Community Surface

Dynamics Modeling System (CSDMS), the Network for Computational Modeling in Social and

Ecological Sciences (CoMSES Net), and the Analysis, Integration, and Modeling of the Earth System

760   (AIMES) Core Project of Future Earth. These frameworks envision a set of community-developed and

endorsed standards for open, platform-independent, model coupling and integration based around an

interrelated set of components that build on Lessons 3, 4, 5, and 7.

- Start with wrapper *container* software (e.g., Docker) to encapsulate model code and needed

765   dependencies.

- Use a standardized API, like extension of the Basic Modeling Interface (BMI) developed by the

CSDMS, to standardize and describe various functions (e.g., Model Control, Model Information,

Time, Variable Information, Variable Getters and Setters, and Model Grids) such that a calling

component in the framework is provided with the needed level of control to access other

770   component's metadata and simulated data (Hutton et al., 2014).

- Incorporate Standard Names to map variables of multiple components to each other. In the

CSDMS framework the Standard Names functions as a semantic matching mechanism, a lingua

franca, for determining whether two variable names refer to the same quantity with associated

predefined units.

775   - Adopt reproducible workflow environments to wire models together, supervise their execution

and manage storage of the intermediate and final results needed for subsequent analysis.

For these elements of a framework to be maintained, a community organization is required in an open-source development environment. Models meeting these community standards would then be

780 certified in public code libraries like those maintained by CoMSES Net and CSDMS to indicate which models could be coupled with any other certified model. Certification from a community organization and buy-in from the modelling community would create an ecosystem of open, connectable models that could be integrated into reproducible computational pipelines in standard ways for coupled human and natural system models. The evolution of such an ecosystem is dependent on the commitment of

785 organizations representing modeling science to support and maintain a set of community standards and to facilitate the education and adoption of those standards by the modelling community. An important advantage of the proposed framework is that it does not require scientists to significantly change the way they develop models or to commit to a particular language, platform, or operating system. The combination of this development flexibility with committed standards and adoption assistance would

790 enhance the likelihood of reaching a critical mass of development that would greatly expedite not only the development of coupled human and natural system models, but also increase the rate of scientific discovery in this domain.

795 **4. CONCLUSIONS**

Coupling human-system and natural-system models requires connecting distinct research fields, each with unique knowledge, methods, assumptions, definitions, and language. Success depends on the research team members learning enough about the other field and model to unambiguously

800   communicate with each other, recognize strengths and weaknesses of other methods, translate assumptions and definitions, and critically evaluate other model processes and outputs. Additionally, some team members need to develop working expertise of both models and fields to facilitate implementation of an internally-consistent coupled model. Furthermore, a software engineer is often needed to address the technical challenges of coupling complex models. Ultimately, the social and

805   conceptual challenges combine to require much more time and effort for successful completion than for similar, mono-disciplinary projects. Nonetheless, all authors noted that the greatest benefit of the coupling process was the collaborative learning process that created a group of people with working knowledge of both human- and natural-system research and expertise in how to integrate the two.

    While successful coupling of human and natural-systems models requires truly interdisciplinary

810   collaboration, we note that the playing field is not level with respect to disciplines. There are more resources and active modeling efforts in the natural sciences than in human systems science. This is unfortunate since natural scientists need to closely work with human systems scientists to understand the kinds of information needed and the kinds of information that can be provided by models of human systems. Moreover, the most scientifically and socially valuable results of model coupling require that

815   both natural-systems models and human systems models be modified and enhanced to work together. The collaborative model development that this entails involves social interactions, two-way communication, and mutual respect for domain knowledge as well as technical solutions. In this regard, there needs to be scientific, professional, and policy incentives for all members of the interdisciplinary teams needed to develop successful integrated modeling.

820   These efforts highlight the difficulty and challenges associated with the process of human-environment model coupling as well as the opportunities that coupling presents for making substantive

and methodological advances in science associated with human systems, natural systems, and their feedbacks with each other.

825

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