

Dear Dr. Heinze,

Thank you for the opportunity to improve our paper and resubmit it to Earth System Dynamics! Your and the reviewer's comments identified important shortcomings of our paper. In response to the comments we have re-written large parts of the manuscript and hope that it is now better understandable and more concise. In particular we followed your suggestion to stick closer to the terminology widely used in the relevant literature so that we now use the more common terms 'carbon-concentration effect' and 'carbon-climate effect' instead of our namings 'radiation effect' and 'fertilization effect'.

Concerning our loose usage of the term 'climate sensitivity', we recognized that the large room this topic took especially in our introduction was inappropriate, and may partly explain the severe criticism of both reviewers of our use of this term although this is only a side topic in our study. We now talk when needed more precisely of 'temperature sensitivity', which is the only 'climate sensitivity' relevant in our context. We hope our readers will find our revised introduction now more focused on the core topics of our study and that climate sensitivity has now the appropriate weight in our presentation.

We thank you for pointing us to Roe (2009). In the revised manuscript, the mathematical framework does now comply with Roe (2009). Although feedback strength and gain have been used differently in previous carbon cycle analyses (e.g. Gregory et al 2009 and Arora et al 2013), we understand the importance of using terms according to their mechanistic meaning. Accordingly, we now follow the original terminology from the engineering literature as recommended by Roe (2009).

We particularly thank you and your discussion partners including reviewer #2 for pointing us to a serious shortcoming in our presentation of the necessary feedback formalism. It is indeed true that in our simulations land and ocean carbon cycle are decoupled because we use prescribed atmospheric CO₂. But thinking that therefore we could silently ignore the ocean in the diagnostic global carbon budget (our former eq. (6)) was indeed misleading, since in this way the interpretation of the feedback factor computed in our study as a measure for the terrestrial contribution to the overall feedback (which includes also the contribution from the ocean) was obscured. We hope that with the revised presentation of our methodology, we can convince you and the reviewers that even though our study concentrates only on the terrestrial carbon cycle, our study makes a reasonable contribution towards the understanding of differences in climate – carbon cycle feedbacks between pre-industrial times and the during the last glacial maximum.

We thank you for pointing out that the additivity of the carbon-concentration and carbon-climate effect is a model specific feature. We state this clearly in the revised manuscript and refer as you suggested to Gregory (2009).

As you suggest, we discuss now in the revised manuscript the difference between ΔT_{rad} and ΔT_{full} , although it is smaller in the MPI-ESM model than in others. In that context, we now also point out that this difference is smaller in the glacial than the interglacial experiment.

Concerns about unclear and misleading use of language have been raised by all reviewers. During the preparation of the new version of our manuscript, we have reviewed the wording, particularly in the instances raised by the reviewers and took help of native speakers.

The new version of our manuscript is hopefully more coherent both in itself and with previous studies. The new introduction and methods chapter provide a clearer motivation for our study and the scientific background and a more rigorous derivation of our analytical framework, respectively.

Additionally, thanks to the intervention of you and the reviewers, we are confident that the new version is easier to read and understand.

We very much appreciate your balanced judgement, particularly in view of the very sceptic reviews.

With best regards,
Markus Adloff, Christian Reick and Martin Claussen

Point-by-point response to comments by Reviewer 1

We thank Reviewer 1 for her/his constructive comments. In the following, we respond to her/his comments (cited by using grey, italic fonts) and explain how we addressed the points raised in the preparation of the revised version of our manuscript.

Overall evaluation:

The manuscript documents an Earth system model experiment comparing terrestrial carbon cycle feedbacks under Last Glacial Maximum (LGM) and pre-industrial initial conditions. The experiments suggest that the uptake of carbon under LGM initial conditions is stronger than under pre-industrial conditions.

Our experiments suggest that the terrestrial carbon cycle reacts more sensitively to rising CO₂ concentrations under LGM than under pre-industrial conditions. Moreover, we also quantified this different feedback strength and investigated the underlying processes. Obviously we failed to convey our main results to the reader. Therefore we have largely re-written the paper and hope that our main results are now clearly recognized.

The manuscript is in places poorly written and generally fails to provide a convincing rationale as to how the experiments increase our understanding of the Earth system.

We now largely reformulated the paper and took advice from native speakers.

Additionally the authors seem ignorant of elementary concepts in climate science such as the definition of climate sensitivity or that the forcing from CO₂ is approximately a logarithmic function of concentration.

Concerning climate sensitivity we comment below. Concerning the logarithmic dependence of the forcing on CO₂ concentration: Yes, we are aware of this, and indeed this would be a problem if one would understand the Friedlingstein feedback formalism that we employ as derived from a Taylor series expansion in CO₂ and temperature. But this would be a misunderstanding: The Friedlingstein sensitivities are time dependent and therefore implicitly account for this logarithmic dependency.

Overall I recommend that the manuscript be rejected for publication in Earth system dynamics.

We are sorry to read this.

General concerns:

(1) The paper is framed around exploring climate sensitivity under varying initial conditions of the climate system. However the authors appear unaware that climate sensitivity is the equilibrium change in global temperature from a doubling of atmospheric CO₂ concentration (IPCC AR5 Glossary). Because the forcing from CO₂ is approximately a logarithmic function of atmospheric CO₂ concentration each doubling of CO₂ produces approximately the same equilibrium warming. See Knutti & Hegerl (2008) for a review of equilibrium climate sensitivity.

Our paper is NOT framed around climate sensitivity, but around carbon cycle feedbacks. Indeed we talk also about climate sensitivity but from the experiment design it should be clear that this is not the equilibrium climate sensitivity defined by the IPCC, and we do not employ this term in our paper. In fact, what we are looking at are transient sensitivities, of the climate system as well as of

the terrestrial carbon cycle specifically. To prevent confusion, we now use the term 'temperature sensitivity' in the new manuscript. We also want to point out that in the definition of temperature sensitivity in the context of carbon cycle feedback studies (see Friedlingstein et al., 2003 eq. 3, Friedlingstein et al., 2006 and Arora et al., 2013 p. 5293) a linear dependence of the sensitivity on CO₂ is assumed. Additionally, we do not discuss climate sensitivity any more in the introduction since it is not central to our study and obviously produced confusion.

(2) The experiment protocol followed in the manuscript follows the carbon cycle feedback model intercomparison project done in preparation for AR5 in with model results from CMIP5 (Arora et al. 2013). However, in numerous places in the manuscript it is stated that the experiment is following the C⁴MIP protocol. C⁴MIP used emissions driven simulations under the SRES A2 emissions scenario (Friedlingstein et al. 2006). Confusions between the two generations of model intercomparison projects demonstrated how little of the literature the authors appear to have read.

The essence of the C⁴MIP protocol is, in our opinion, not whether simulations are concentration or emission driven, but the definition of sensitivities on the basis of three differently coupled transient simulations. This is also the IPCC view (Ciais et al., 2013, Box 6.4): in the framework of CMIP5 the C⁴MIP project has performed concentration AND emission driven simulations to derive the respective sensitivities. Moreover, we make very clear in the paper that we consider concentration driven simulations, e.g. on page 3, lines 20-21: “we follow the C⁴MIP experimental design (Ciais et al., 2013, Box 6.4) in the variant of *concentration driven* simulations”, where we emphasized this point by using italics.

(3) The authors provide no sensible rational as to why conducting a pseudo-one-percent experiment at LGM initiation conditions provides any new understanding of carbon cycle feedbacks in the Earth system. From the LGM we generally want to better understand how physical and biogeochemical feedbacks combined to magnify a tiny change in the distribution of sunlight into the glacial-interglacial cycles. From the pre-industrial we are usually concerned ultimately with projecting future climate change, even in idealized experiments designed to better constrain Earth system parameters. The results of the experiments document in the manuscript are obvious a-priori given the logarithmic forcing from CO₂, and the reduced state of the terrestrial biosphere at the LGM.

Besides the fact that a better understanding of the transient sensitivities of the terrestrial carbon cycle could improve our understanding of the Earth system's reaction to external forcings, we think that also other questions than mentioned by the reviewer are of interest, namely to what extent feedbacks as quantified following the C⁴MIP protocol are different under different background climates. Our research indicates that the terrestrial carbon cycle is more sensitive to the carbon-concentration effect but less sensitive to the carbon-climate effect under glacial than under interglacial conditions. We are also able to identify particular aspects of the background Earth system state that cause these differences. The results did not seem obvious to us a priori and have not been shown by other published studies.

Specific Concerns:

The English language is very poor in much of the manuscript. I am not systematically going to document every example but if the authors are able to salvage something publishable from these experiments please ask a native speaker read over the manuscript before re-submission.

We reviewed our writing style in terms of word use, grammar and structure during the preparation of the new manuscript, based on advice from native speakers.

Page 2 line 8: The sentence implies that climate sensitivity includes carbon cycle feedbacks. It does not. Climate sensitivity is measured relative to a doubling of atmospheric CO₂ and the atmosphere does not care where the CO₂ originated.

We decided to restructure the introduction and to skip the discussion of climate sensitivity as the previous version proved to be misleading.

Page 2 line 29: please write out and explain the names of experiments. These abbreviations are presumably experiment codes used internally at MPI.

The experiment names are the official names used in the CMIP5 protocol. We use them here explicitly so that the reader can find the exact experiment procedure and results in the CMIP5 documents and archives.

Page 3 line 5 and many other places: The proper term is ‘radiative effect’ not ‘radiation effect’. In vernacular English ‘radiation’ alone implies ionizing radiation.

In the previous version, we had introduced the terms ‘radiation effect’ and ‘fertilization effect’ to differentiate between the effect rising CO₂ concentrations have on the radiative balance of the Earth system and on the productivity of land plants. The terms ‘carbon-concentration’ and ‘carbon-climate’ effect used in previous studies do not make this difference clear because both, greenhouse effect and enhanced productivity are due to higher CO₂ concentrations (so could be called ‘carbon-concentration’ effects). However, our wordings seem to have been more confusing than helpful for the reviewers. Therefore, we switched to the terminology used in previous studies.

Equation 1: Why is there a colon before the equals sign?

This is a standard notation in mathematics to indicate the the term on the side of the colon is defined by the term at the other side of the equality sign. Thereby one distinguishes definitions from conclusions.

Page 4 line 4: Using upper and lower case ‘c’ for different variables is confusing and prone to error. Please use more easy to distinguish symbols.

We agree that using ‘c’ and ‘C’ in the same text can easily confuse the reader and, hence, changed symbols in our new manuscript.

Page 5 line 11 to 14: In the 1% experiment atmospheric CO₂ in increased at 1% a year leading to an exponential increase in CO₂ concentration. Here you have used a 1% experiment based on an initial concentration of 285 ppm for both initial states. This needs to be clearly explained.

When introducing our experiments we clearly state that the same absolute amount of CO₂ increase is prescribed in both experiments instead of saying that CO₂ concentrations increase by 1% per year. Additionally, we show the concentration changes in Fig. 1.

Page 6 line 12: 1) Do not abbreviate ‘archipelago’. 2) The region is geographically referred to eithers as Maritime Southeast Asia, or the Malay Archipelago. The Indonesian Archipelago includes only the islands that are part of the modern nation-sate of Indonesia.

We agree with the reviewer that we used a wrong geographic term here and, hence, changed it in the manuscript.

Figure 4: Why is soil water availability the only other parameter examined beyond SAT?

Near surface air temperature and plant water availability are the most important climatic variables that influence terrestrial carbon fluxes in the employed model. In the new version of the manuscript version, we now motivate the analysis of plant water availability in this way.

Page 11 line 9: Write out soil respiration instead of abbreviating to Rs.

In the new version of the manuscript, we follow the reviewer's suggestion to write out soil respiration in the text instead of abbreviating it. We hope that doing so improves the readability of the text.

References:

- Arora, V. K., et al.: The effect of terrestrial photosynthesis down regulation on the twentieth-century carbon budget simulated with the CCCma Earth System Model. *Journal of Climate*, 22.22 (2009): 6066-6088.
- Ciais, P., et al.: Carbon and Other Biogeochemical Cycles, in: *Climate Change 2013: The Physical Science Basis. Contribution of Working Group I to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change*, edited by Stocker, T., et al., pp. 465–570, Cambridge University Press, Cambridge, UK, and New York, NY, USA, 2013.
- Friedlingstein, P., Dufresne, J.-L., and Cox, P. M.: How positive is the feedback between climate change and the carbon cycle?, *Tellus* (2003), 55B, 692–700.
- Friedlingstein, P., Cox, P., Betts, R., Bopp, L., von Bloh, W., Brovkin, V., Cadule, P., Doney, S., Eby, M., Fung, I., Bala, G., John, J., Jones, C. D., Joos, F., Kato, T., Kawamiya, M., Knorr, W., Lindsay, K., Metthews, H. D., Raddatz, T., Rayner, P., Reick, C., Roeckner, E., Schnitzler, K.-G., Schnur, R., Strassmann, K., Weaver, A. J., Yoshikawa, C., and Zeng, N.: Climate-Carbon Cycle Feedback Analysis: Results from the C⁴MIP Model Intercomparison, *Journal of Climate*, pp. 3337–3353, 2006.

Point-by-point response to comments by Reviewer 2

We thank Reviewer 2 for her/his constructive comments. In the following, we respond to her/his comments (cited by using *grey, italic font*) and explain how we addressed the points raised in the preparation of the revised version of our manuscript.

Authors compare carbon cycle feedbacks from a pre-industrial and LGM simulation using the framework described by Friedlingstein et al. (2006) and Arora et al. (2013). Overall although the result may be somewhat obvious I still see this as a useful study as long as the underlying mechanisms are thoroughly investigated. However, the manner in which the manuscript is currently written shows that the authors haven't gained a sufficient understanding of the science as well as terminologies used in the existing literature. As such then it is clearly not of publication quality in its current form.

Main comments

My biggest concern is with the equations. On page 5 I_{tot} is not defined (unless I missed it) but if I try to interpret I_{tot} it seems like the change in atmospheric CO₂ burden. I_{ext} on the other hand is total cumulative emissions. If true, then the ratio between the two (equation 7) is not the feedback but rather the airborne fraction. This is not the way Friedlingstein et al. (2006) or Arora et al. (2013) described the feedback and the gain. Their feedback and the gain are calculated by comparing either simulated CO₂ (in emissions-driven simulation) or diagnosed emissions (in concentration-driven simulations) from fully-coupled and biogeochemically-coupled simulations.”

In the new manuscript, we made sure that every symbol used in our equations is explicitly introduced in the text adjacent to its first appearance. We emphasise that the fraction of I_{tot} and I_{ext} has been interpreted as the airborne fraction and total feedback strength by Gregory et al. (2009). Friedlingstein et al. (2006) and Arora et al. (2013) focus on the carbon-climate effect when calculating feedback strength and gain, whereas we follow Gregory et al. (2009) and quantify the combined feedback due to carbon-climate and carbon-concentration effect. Moreover, due to a comment of the editor we realized that we have to include the ocean contributions in this equation to make clear what the terrestrial feedback quantities that we compute mean for the total feedback that also includes ocean contributions. Therefore, in the revised manuscript, we now present the feedback formalism in a hopefully more transparent way.

I am also troubled by the fact that in Figure 7 the rate of carbon uptake by land shows an abrupt slow down around CO₂ concentration of 650 ppm. Figure 3c of Arora et al. (2009) shows how photosynthesis changes per unit increase in CO₂ based on the standard biochemical equations for photosynthesis. Although this rate decreases, because of the saturating effect, I do not see any abrupt changes up until CO₂ of 747 ppm in their figure. This abrupt behaviour in authors' model, it seems, doesn't come from the photosynthesis equations but rather something else that is implemented in the model.”

This observation does not represent a contradiction between the two studies: Figure 7 in our paper shows the transition between the two 'modes' of photosynthetic assimilation as a function of CO₂ concentration each limiting the assimilation rate in its own way: carboxylation and electron transport. In order to assess which of the two limitations presents a larger constraint to the assimilation rate our model calculates carboxylation rate and electron transport rate and calculates the resulting assimilation rate based on the smaller of the two. This is the same in the CTEM model

used by Arora et al. (2009) – see their equations (4) and (5) that describe the two modes. The difference between our Figure 7 and Figure 3c in Arora et al. (2009) is thus that we display how the assimilation rate resulting simultaneously from both limitations depends on CO₂, whereas Arora et al. 2009 show the CO₂ dependence of carboxylation and electron transport rate individually. We included Figure 7 into our manuscript to point out that assimilation rates are considerably less sensitive to rising CO₂ concentrations after CO₂ has reached the transition point from carboxylation to electron transport rate limitation. With the preindustrial CO₂ concentration being closer to the transition point than the glacial CO₂ concentration, the concentration where the assimilation rate is mainly limited by electron transport is reached much earlier during the PI experiment. This is the main cause for the smaller final sensitivity to rising CO₂ concentrations under pre-industrial conditions.

The lack of understanding of the current literature, or perhaps it's just the first language issue, is seen in several phrases used by the authors which do not appear to make any sense. These include “fertilization and radiation effect to the different vegetation distribution”, “sensitivities to the fertilization and radiation effect”, “when structural limits are hit”, “the point of effectivity change”, “physiological limits are hit more frequently”, “photosynthesis exploitation of the insolation”, and “tropical living conditions deteriorate”. “factorial simulations” are referred to as “factor simulations”

We revised our use of language and terminology thoroughly in preparation of the new manuscript, particularly in the phrases mentioned by the reviewer, but also throughout the text.

We thank Reviewer #2 for providing his scan with hand written comments to our paper.

References:

- Arora, V. K., et al.: The effect of terrestrial photosynthesis down regulation on the twentieth-century carbon budget simulated with the CCCma Earth System Model. *Journal of Climate*, 22.22 (2009): 6066-6088.
- Friedlingstein, P., Dufresne, J.-L., and Cox, P. M.: How positive is the feedback between climate change and the carbon cycle?, *Tellus* (2003), 55B, 692–700.
- Gregory, J. M., Jones, C. C., Cadule, P., and Friedlingstein, P.: Quantifying Carbon Cycle Feedbacks, *Journal of Climate*, 22, 5232–5250, 2009.

Earth system model simulations show different feedback strengths of the terrestrial carbon cycle under glacial and interglacial conditions

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This is a change/reaction to a comment by Reviewer 1

This is a change/reaction to a comment by Reviewer 2

This is a change/reaction to a comment by the Editor

This is a change/reaction to a comment by two or more of the above

Language and writing style has been reviewed. We didn't mark all changes to sentence structure but all of the cases mentioned by reviewers and more have been addressed. The introduction, the methods chapter, and the discussion have been completely rewritten, and the two sections where we analyze our simulation data were partly reformulated. Changes in response to particular comments of one of the reviewers or the editor are highlighted as indicated above.

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Abstract. In simulations with the MPI Earth System Model we study the feedback between the terrestrial carbon cycle and atmospheric CO₂ concentrations under ice age and interglacial conditions. We find different sensitivities of terrestrial carbon storage to rising CO₂ concentrations in the two settings. This result is obtained by comparing the transient response of the terrestrial carbon cycle to a fast and strong atmospheric CO₂ concentration increase (roughly 900 ppm) in C⁴MIP type simulations starting from climates representing the last glacial maximum (LGM) and pre-industrial times (PI). In this setup we disentangle terrestrial contributions to the feedback from the carbon-concentration effect, acting biogeochemically via enhanced photosynthetic productivity when CO₂ concentrations increase, and the carbon-climate effect, which affects the carbon cycle via greenhouse warming. We find that the carbon-concentration effect is larger under LGM than PI conditions because photosynthetic productivity is more sensitive when starting from the lower, glacial CO₂ concentration and CO₂ fertilization saturates later. This leads to a larger productivity increase in the LGM experiment. Concerning the carbon-climate effect, it is the PI experiment in which land carbon responds more sensitively to the warming under rising CO₂ because at the already initially higher temperatures tropical plant productivity deteriorates more strongly and extra-tropical carbon is respired more effectively. Consequently, land carbon losses increase faster in the PI than in the LGM case. Separating the carbon-climate and carbon-concentration effects, we find that they are almost additive for our model set-up, i.e. their synergy is small in the global sum of carbon changes. Together, the two effects result in an overall strength of the terrestrial carbon cycle feedback that is

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almost twice as large in the LGM experiment as in the PI experiment. For PI, ocean and land contributions to the total feedback are of similar size, while in the LGM case the terrestrial feedback is dominant.

1 Introduction

The introduction is completely re-written. In particular we omitted the discussion of the climate sensitivity, which confused all reviewers and is not central for our study anyway.

5 At the last glacial maximum (21 000 yrs before present, from now on LGM), global mean surface temperature was 4 to 5°C lower than today (Annan and Hargreaves, 2013). Vegetation was not only less widespread but also primary productivity was smaller (Prentice and Harrison, 2009). This was the consequence of the lower CO₂ concentrations during those times (about 200 ppm less than today), acting physically via the resulting lower temperatures (greenhouse effect), and biogeochemically via the reduced photosynthetic activity due to less available CO₂ in the atmosphere (reduced CO₂ fertilization) (Prentice and
10 Harrison, 2009). From measuring isotopic carbon composition in ocean sediment cores (Bird et al., 1996) and the isotopic oxygen composition of air trapped in ice cores (Ciais et al., 2012) it has been estimated that terrestrial carbon storage was several hundred gigatons less than today. This is consistent with less primary productivity whose effect on carbon storage must have been larger than the reduction in soil respiration by the lower temperatures (Prentice and Harrison, 2009). This describes how CO₂ shaped the terrestrial carbon cycle at the LGM. But the terrestrial carbon cycle acts also back on the atmospheric CO₂
15 concentration. Hence one may wonder whether the strength of this feedback was different from today at glacial times. This is what we investigate in the present paper by performing Earth system simulations for conditions of the last glacial maximum and pre-industrial (PI) times. Indeed one could ask this question also for the oceanic carbon cycle component, but this paper focuses on the terrestrial component, which will be shown to dominate the difference in feedback strength between the two Earth system states.

20 To quantify the feedback between carbon cycle and climate, Friedlingstein et al. (2003) introduced two sensitivities characterizing the change in stored carbon (terrestrial and/or oceanic) due to different drivers: due to biogeochemical effects of changed atmospheric CO₂ concentration, called the *carbon-concentration effect* measured by the β sensitivity [PgC/ppm], and due to climate change, called the *carbon-climate effect* measured by the γ sensitivity [PgC/K]. For recent climate, these sensitivities have been quantified in numerous Earth system simulations, especially within the international Coupled Climate
25 Carbon Cycle Model Intercomparison Project (C⁴MIP) (see e.g. Friedlingstein et al. (2006); Ciais et al. (2013)). Attempts to quantify carbon cycle sensitivities for perturbations of climates from even earlier times are rare. The few observational studies relate reconstructions of atmospheric CO₂ concentrations to reconstructions of temperature (see Friedlingstein (2015) for a review), but the resulting 'observed' sensitivity estimates of atmospheric CO₂ concentration to temperature typically involve the combined carbon-concentration and carbon-climate effect and are thus neither measuring β nor γ as defined by Friedlingstein
30 et al. (2003). An exception is the study by Frank et al. (2010), who considered temperature and CO₂ reconstructions for the last Millennium before the industrial revolution: Their estimate should be a good proxy for γ since during this period the changes in atmospheric CO₂ concentration have been only a few ppm so that the carbon-concentration effect should be negligible. The

resulting γ sensitivity turns out to vary in time showing values compatible with the low end of the range of values found in the C⁴MIP studies for recent climate. Obtained from Earth system simulations of the last Millennium, similar values for γ were obtained by Jungclaus et al. (2010). The compatibility of those γ values obtained for the last Millennium with those from the C⁴MIP for recent climate may not be that surprising since the climates differ only moderately. On the other hand, the C⁴MIP values are obtained from simulations that perturb the PI climate dramatically (\approx quadrupling of atmospheric CO₂ concentration), while those for the last Millennium are obtained from historical climate and CO₂ variations (observed Frank et al. (2010) or simulated Jungclaus et al. (2010)) that are rather moderate so that it is unclear what such a comparison of γ values actually means. To assure comparability, in the present study we adopt the C⁴MIP methodology to determine carbon cycle sensitivities for past *and* recent times.

While there have been attempts to determine climate sensitivity for various climates of the deep past (see e.g. PALEOSENSE (2012)), similar studies for carbon sensitivities are apparently missing. Nevertheless, for the climate during the LGM studied here, the underlying carbon-concentration and carbon-climate effects have been isolated in simulations to understand their separate importance for shaping the geographical distribution of vegetation as compared to today (e.g. Claussen et al. (2013); Woillez et al. (2011)). While in these studies it was sufficient to simulate time slices for past and recent times, transient simulations are needed to determine carbon cycle sensitivities that could be compared to C⁴MIP values. In the present study we employ a fully coupled General Circulation Model including dynamic vegetation for transient simulations starting either from a climate state representing the LGM or from PI conditions and forced by a strong increase in atmospheric CO₂. Letting the CO₂ act either physically or biogeochemically, we isolate the individual contributions from the carbon-concentration and carbon-climate effects to changes of the terrestrial carbon budgets. Using this C⁴MIP type experiment design we quantify their contribution not only by computing β and γ for land carbon, but also by performing a factor analysis following Stein and Alpert (1993) to investigate in particular the additivity of the two effects which is a pre-condition to obtain from those two sensitivities the feedback strength.

The paper is organized as follows: First we lay out the design of our simulation experiments. Next, in section 3, we describe the mathematical framework used for our factor and feedback analysis. The analysis of the simulation results starts in section 4 with a description of the two initial climate states representing the LGM and PI conditions (1850 AD). This prepares for the analysis of the transient simulation in section 5, that contains the main results of our investigation. By applying the factor and feedback analysis we demonstrate that the intensity of the considered feedback is very different for last glacial maximum and recent climate and identify the underlying mechanisms explaining the observed differences in system behaviour. The paper concludes with a critical discussion of our results.

2 Experiment set up

To quantify the feedback between the carbon cycle and atmospheric CO₂ concentrations we follow the C⁴MIP experiment design (Ciais et al., 2013, Box 6.4) in the variant of *concentration driven* simulations.

We state explicitly that we are working with concentration driven experiments in this study.

This means we investigate the reaction in climate and carbon cycle to a prescribed strong rise in atmospheric CO₂. More precisely, we perform a set of four simulations (*ctrl*, *clim*, *conc*, *full*), of which the first three are needed to quantify carbon cycle sensitivities by the C⁴MIP approach, while the fourth simulation is performed here for a factor separation following Stein and Alpert (1993).

5 We stick with the expression 'factor separation' as this is how Stein and Alpert (1993) named their method

Starting from a control simulation (*ctrl*) performed at constant CO₂ concentration, three transient simulations forced by rising CO₂ concentrations are performed. In the first of those transient simulations (*conc*) only the carbon-concentration effect is active, which means that the rising CO₂ concentration is "seen" only by the photosynthesis code of the model, while the radiation code constantly "sees" the CO₂ value of the control simulation. Conversely, in the second transient simulation (*clim*) only the carbon-climate effect is active, i.e. only the radiation code "sees" the rising CO₂ concentrations but not the photosynthesis model. In the third simulation (*full*) both effects are simultaneously active. These simulations are run once for LGM and once for PI conditions. – In the following, we will use the term 'experiment' to refer to one of the two cases LGM or PI. 'Simulation' will refer to one of the four model runs *ctrl*, *clim*, *conc* or *full*.

10 The CO₂ concentrations for the *ctrl* simulations of the two experiments are 185 ppm (LGM) and 285 ppm (PI), which are also the initial conditions for the respective transient simulations. Experiments were performed with MPI-ESM (see below). In fact, we performed only the LGM experiment for this study since we could use the published MPI-ESM CMIP5 simulations *piControl*, *esmFdbk1*, *esmFixClim1* and *1pctCo2* for our purpose that were performed for PI conditions with the same model version.

15 These are the official simulation names used in the CMIP5 protocol (Taylor et al., 2012).

20 The LGM simulations were initialized from restart files of the MPI-ESM CMIP5 last glacial maximum spin-up experiment (1800 simulation years long), extended by another 200 years with dynamic vegetation now switched on. The PI simulations used for our study were initialized from a spin-up experiment covering more than 3000 years. For the transient simulations *clim*, *conc* and *full*, the same atmospheric CO₂ concentration increase

We state explicitly that the simulated CO₂ concentration increase is the same in both experiments and explain that this is done to avoid ambiguity in the attribution of different simulation results to different initial Earth system states.

25 is imposed over a period of 150 years in both experiments (see Fig. 1), acting differently in the three simulations as explained above. The forcing for our LGM experiment is obtained by reducing the standard PI CO₂ forcing by 100 ppm to account for lower glacial CO₂ concentrations while preserving the rate of change. Because CO₂ concentrations thereby increase by the same amount, the different reaction of the Earth system to the CO₂ rise in the two experiments should mostly be attributable to the different initial conditions, i.e. the glacial-interglacial atmospheric CO₂ offset and the particular characteristics of the initial climates. The distribution of ice sheets is prescribed to the appropriate LGM and PI conditions and is kept constant in all simulations.

30 The experiments are conducted with the Earth-System Model of the Max Planck Institute (MPI-ESM) using the version described in Giorgetta (2013). The MPI-ESM consists of the atmosphere component ECHAM6 and the ocean component

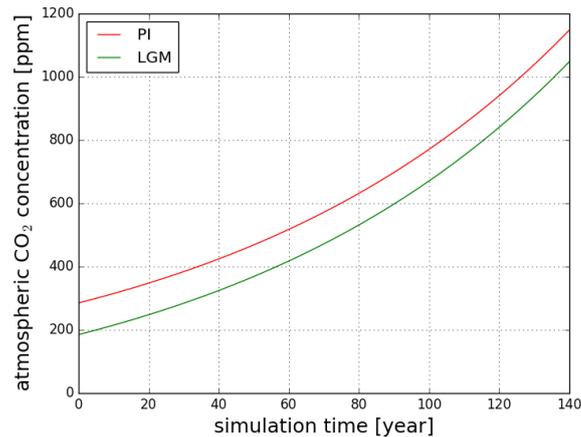


Figure 1. CO_2 change scenarios as prescribed for the LGM and PI experiments: Starting from 185ppm ("Last Glacial Maximum", green line) and starting from 285ppm ("Pre-Industrial", red line).

MPIOM, both including submodels for simulating the land and ocean carbon cycles. Because atmospheric CO_2 concentrations are prescribed in our experiments, the oceanic and terrestrial carbon cycles are decoupled so that changes in the ocean carbon cycle are irrelevant for terrestrial carbon reservoirs that are of main interest here; nevertheless oceanic carbon fluxes play a role for calculating the overall carbon cycle feedback in our study and the physical ocean remains an important component of the climate dynamics affecting also the land carbon cycle. The land component JSBACH comprises the DYNVEG model for simulation of natural changes in the geographical distribution of vegetation controlled by competition and wind and fire disturbances (Reick et al., 2013), and the BETHY model (Knorr, 2000) for simulation of the fast biochemical and biophysical processes of the biosphere, in particular photosynthetic production that is simulated following the Farquhar model (Farquhar et al., 1980) for C3 and the Collatz model (Collatz et al., 1992) for C4 plants. Vegetation is represented by eight plant functional types that differ in phenology and physiology and interact dynamically (see Brovkin et al. (2013) for an evaluation of the present implementation of dynamic biogeography). There is no anthropogenic land cover change considered in the experiments here. Terrestrial carbon dynamics are calculated with CBALANCE (Reick et al., 2010), representing vegetation, litter, and soils by seven carbon pools, where temperature dependence of heterotrophic respiration is modeled by a Q10-formula and turnover rates are in addition dependent on soil humidity. The oceanic biogeochemistry model HAMOCC (Ilyina et al., 2013) calculates sea-air gas exchange, water column processes and sediment dynamics. CO_2 exchange between sea and air is calculated with a temperature dependent rate based on the thermodynamic disequilibrium at the interface. In the water column, it is cycled as organically fixed carbon, dissolved inorganic carbon and calcium carbonate and is exchanged with sediments in the latter two forms. Temperature, nutrient and light dependent biological cycling of carbon within the water column is represented by an extended NPZD model (Six and Maier-Reimer, 1996), inorganic carbon cycling is based on Maier-Reimer and Hasselmann (1987), using updated chemical constants by Goyet and Poisson (1989).

3 Analysis framework

This section has been completely rewritten, because all reviewers criticised our way to derive the terrestrial contribution to the carbon cycle feedback. We now explicitly include the ocean into our considerations and show that feedback factors for ocean and land add to the overall feedback factor (see eq. (11)), so that we can separate the terrestrial contribution without need to do a similar sensitivity analysis for the ocean.

Here we introduce the mathematical framework for analyzing our simulations in the next sections. First we describe how we apply the factor separation method by Stein and Alpert (1993) to separate the relative contributions of the carbon-concentration and carbon-climate effects to the overall changes in terrestrial carbon reservoirs.

We now use the names 'carbon-concentration effect' and 'carbon-climate effect' instead of other formulations that the reviewers found inappropriate.

In the remainder of the section we describe the mathematical framework to disentangle the oceanic and atmospheric contributions to the overall carbon cycle feedback, as well as the contributions of those two effects to the feedback. This feedback framework was originally introduced by Friedlingstein et al. (2003) and further discussed by Gregory et al. (2009). We apply it here in the variant with prescribed atmospheric CO₂ (Ciais et al., 2013, Box 6.4).

We apply the factor separation method of Stein and Alpert (1993) as follows. Let C_L denote the total land carbon. The pure effects of the carbon-concentration and carbon-climate effects are individually quantified by the differences

$$\begin{aligned}\Delta C_{L,conc}(t) &:= C_{L,conc}(t) - \bar{C}_{L,ctrl} \\ \Delta C_{L,clim}(t) &:= C_{L,clim}(t) - \bar{C}_{L,ctrl}\end{aligned}\tag{1}$$

We set a colon before the equal sign to indicate that the term at the side of the colon is defined by the other side. We feel that this helps to make immediately obvious what is a definition, and what is a derived relation.

where the indices at the right hand side C_L -values refer to the simulations from which the values were obtained, while the indices to the ΔC_L -values at the left hand side refer to the effect considered. The time dependence t appears only for the values from transient simulations, but not for values from the control simulations which enter our calculations as mean values (indicated as a bar over the symbol). In addition, we quantify the 'synergy' between the carbon-concentration and the carbon-climate effects, which is that part of the land carbon storage difference between the *full* and *ctrl* simulation that cannot be explained by a linear addition of the individual effects:

We stick with the term 'synergy' because this is the name for the non-linear contributions introduced by Stein and Alpert (1993) and subsequently used in studies applying this method.

$$\Delta C_{L,syn}(t) := (C_{L,full}(t) - \bar{C}_{L,ctrl}) - (\Delta C_{L,conc}(t) + \Delta C_{L,clim}(t)).\tag{2}$$

Note that in this way all separate factors sum up to the land carbon change in the *full* simulation:

$$\Delta C_{L,full}(t) = \Delta C_{L,conc}(t) + \Delta C_{L,clim}(t) + \Delta C_{L,syn}(t).\tag{3}$$

For the feedback analysis we consider the following differences in near surface temperature and atmospheric CO₂ concentration that develop in the transient simulations:

$$\begin{aligned}\Delta T_{clim}(t) &:= T_{clim}(t) - \bar{T}_{ctrl} \\ \Delta cc(t) &:= cc(t) - cc_{ctrl}.\end{aligned}\tag{4}$$

We changed our symbol for the concentration of atmospheric CO₂ from c to cc to avoid confusion with our symbol for carbon storage C .

The concentration of atmospheric CO₂ is denoted here by “ cc ” and measured in ppm CO₂. Since $cc(t)$ is the same for all transient simulations of a particular experiment, the index specifying the simulation has been omitted. With these definitions one can now introduce the two land carbon sensitivities

$$\begin{aligned}\beta_L(t) &:= \frac{\Delta C_{L,conc}(t)}{\Delta cc(t)} \\ \gamma_L(t) &:= \frac{\Delta C_{L,clim}(t)}{\Delta T_{clim}(t)}.\end{aligned}\tag{5}$$

β_L [PgC/ppm] measures how strongly land carbon is affected in the *conc* simulation by changes in atmospheric CO₂; since in the *conc* simulation only the carbon-concentration effect is active, β_L measures the strength of this effect alone. Analogously, γ_L [PgC/K] measures how strongly land carbon is affected by temperature changes in the *clim* simulation; because in this simulation only the carbon-climate effect is active, it represents the strength of this effect alone. Similar sensitivities can be defined for ocean carbon but they will not be needed in this study.

In addition to β_L and γ_L we will need below the sensitivity of temperature to increasing CO₂ concentrations in our simulations, known as temperature sensitivity [K/ppm] (Friedlingstein et al., 2003):

$$\alpha(t) := \frac{\Delta T_{clim}(t)}{\Delta cc(t)}.\tag{6}$$

Note that in this framework α , β_L and γ_L are time dependent – a point that will be further discussed below.

To introduce a measure for the feedback strength, the global carbon balance needs to be considered. Since the CO₂-concentration is prescribed in our simulations, atmospheric carbon is not affected by ocean-atmosphere or land-atmosphere carbon fluxes, i.e. the global carbon budget is not closed. But one can diagnose how much external CO₂ emissions into the atmosphere would be needed to close the global carbon budget. Considering our full simulation, the prescribed change in atmospheric carbon must match the imagined external carbon emissions $I_{ext}(t)$ minus the carbon uptake by ocean and land $\Delta C_{OL,full}(t)$:

$$\Delta C_A(t) = I_{ext}(t) - \Delta C_{OL,full}(t).\tag{7}$$

Assuming that ocean and land carbon uptake are proportional to the increase in atmospheric CO₂, one can define the proportionality factor $f(t)$ by

$$\Delta C_{OL,full}(t) =: -f(t)\Delta C_A(t)\tag{8}$$

where the reason for introducing here a minus sign will get clear below. With this one obtains from (7)

$$\Delta C_A(t) = A(t)I_{ext}(t), \quad \text{with} \quad A(t) = \frac{1}{1 - f(t)}. \quad (9)$$

$A(t)$ is called the airborne fraction (compare e.g Gregory et al. (2009)). If atmospheric carbon content would not be prescribed, $A(t)$ would describe how much of the carbon $I_{ext}(t)$ added to the atmosphere would remain in it. Following Roe (2009), from the viewpoint of feedback analysis $A(t)$ is the 'gain' of the feedback: For $A(t)$ larger/smaller 1 the feedback is positive/negative, i.e. the forcing $I_{ext}(t)$ induces via (8) additional carbon fluxes into/out of the atmosphere. By (9) the gain of the feedback is completely determined by the value of $f(t)$, which – also following Roe (2009) – is called the 'feedback factor'. Note that the sign in eq. (8) is chosen such that a positive/negative feedback corresponds to a positive/negative sign of $f(t)$.

In the present study we focus on the terrestrial contribution to the carbon cycle feedback. This contribution is obtained as follows. Splitting $\Delta C_{OL,full}(t)$ in (8) into the separate contributions $\Delta C_{L,full}(t)$ from land and $\Delta C_{O,full}(t)$ from ocean, one can define individual land and ocean feedback factors

$$\begin{aligned} \Delta C_{O,full}(t) &=: -f_O(t)\Delta C_A(t) \\ \Delta C_{L,full}(t) &=: -f_L(t)\Delta C_A(t) \end{aligned} \quad (10)$$

so that

$$f(t) = f_O(t) + f_L(t). \quad (11)$$

Hence the individual feedback factors from ocean and land contribute additively to the global feedback factor.

To disentangle the contributions of the carbon-concentration and the carbon-climate effect to $f_L(t)$, we assume that the synergy term in (3) is small compared to the others. Then one can express the carbon change in the *full* simulation induced by the combined action of the two effects by summing the carbon changes induced by the individual effects diagnosed in the simulations *conc* and *clim*. Using the definitions for α , β_L and γ_L from above, and noting that atmospheric carbon content and atmospheric CO₂ concentration are related via the conversion factor $m = 2.12$ Pg/ppm (Flato et al. (2013), page 471), one thus finds

$$f_L(t) = -\frac{\alpha(t)\gamma_L(t) + \beta_L(t)}{m}. \quad (12)$$

Here the first term quantifies the contribution from the carbon-climate effect, while the second that from the carbon-concentration effect.

Please note that the feedback considered here is different from that originally considered by Friedlingstein et al. (2003) or in the C⁴MIP study (Friedlingstein et al., 2006): Besides the fact that we focus on the feedbacks induced by terrestrial processes only, the more important difference to our study is that Friedlingstein et al. (2003) considered only the feedback induced by the carbon-climate effect (see (Friedlingstein et al., 2003, eq. (8b)), (Friedlingstein et al., 2006, eq. (1)), or (Gregory et al., 2009, eq. (17))), while in our study, following (Gregory et al., 2009, eq. (16)), we quantify the feedback induced by the carbon-climate *and* carbon-concentration feedback together (see our eq. (9)). Please note also that there is a confusion in the literature concerning the names 'gain' and 'feedback factor'; in our study we follow the naming convention of Roe (2009), who made aware of this confusion.

4 Comparison of the simulated LGM and PI equilibrium states

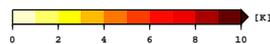
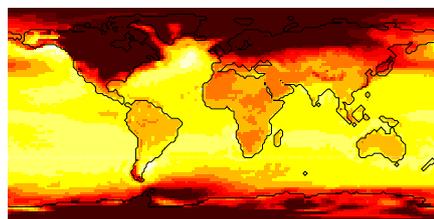
Here we compare key climate and carbon variables from the LGM and PI *ctrl* simulations that are the initial states for the transient simulations analyzed in the next sections. Globally, mean near surface temperatures are 4.5 K colder in the LGM state than in the PI state but locally, temperatures differ by 20 K and more (see Fig. 2). Compared to PI, more water is available for vegetation growth in the LGM state, especially in the tropics and subtropics. This plant water availability is measured here in terms of the relative amount of water above wilting point in the root zone of the soil, a value of 1 indicating optimal moisture levels and 0 indicating that photosynthesis is inhibited by water scarcity. Inland glaciers extend throughout most of North America and northern Europe in the LGM state and the sea level is considerably lower, leading to a different geography, especially in the Bering Strait and the Malay Archipelago.

We corrected 'indonesian archipel' to 'Malay Archipelago' because the former was not correct in this context.

On global scale, less area is covered by vegetation and dense vegetation is restricted to the tropical zone (compare Fig. 3). In the PI state, vegetation reaches far more into the extratropics and the mid latitudes are more densely covered by vegetation. Terrestrial carbon reservoirs are larger in the PI experiment almost everywhere (see Fig. 3). Globally, terrestrial carbon reservoirs contain 1986 PgC in the LGM and 3041 PgC in the PI state. Our difference in carbon storage (1055 PgC) matches the difference of 1030 ± 625 PgC in non-permafrost land carbon obtained by Ciais et al. (2012) from combining model simulations with carbon and oxygen isotope data from sediment and ice cores; note that changes in permafrost carbon are not part of our simulations.

We included now a map of initial terrestrial carbon reservoir sizes and report also global totals.

(a) PI -LGM yearly mean 2m air temperature



(b) PI - LGM plant water availability

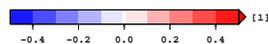
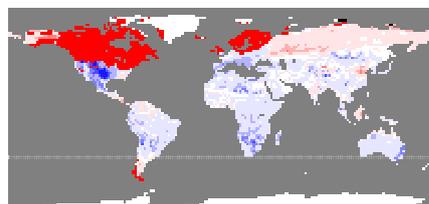


Figure 2. Differences between the LGM and PI climates obtained in the respective *ctrl* simulations: a) Difference in global mean near surface temperatures and b) difference in plant water availability. Here the values in the LGM state are subtracted from the values in the PI state. Land areas that are covered by ice in the LGM but not in the PI equilibrium state show soil humidity differences > 0.4 .

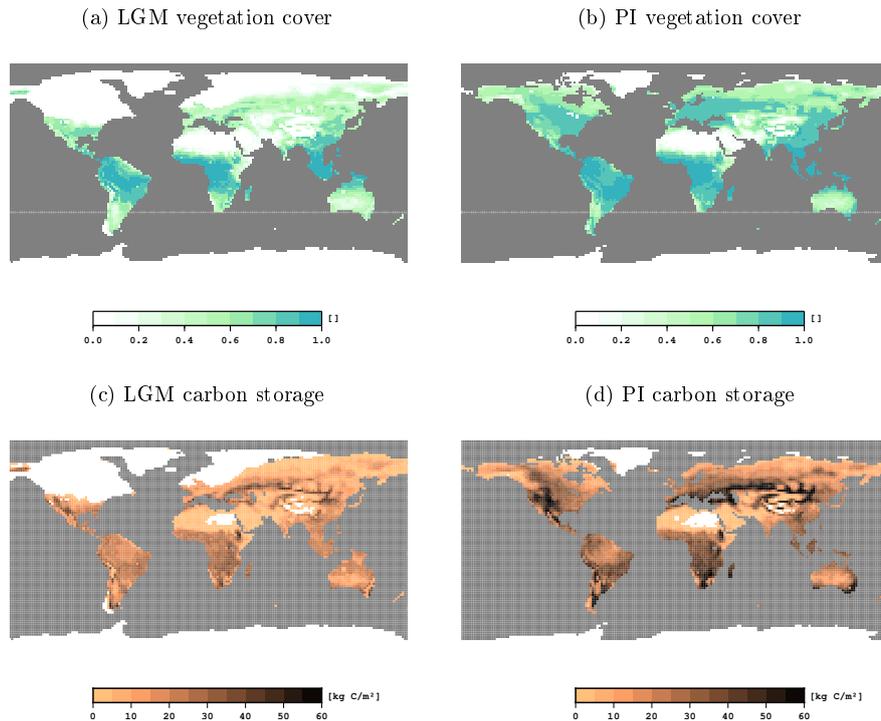


Figure 3. Vegetation cover and carbon storage in the LGM and PI *ctrl* simulations. Vegetation cover is given as fraction of grid cell covered with vegetation, and carbon storage in kgC/m^2 .

5 Reaction of the Earth system to rising CO_2 concentration under different boundary conditions

The climate system reacts differently to rising CO_2 concentrations under LGM and PI boundary conditions. Fig. 4 shows changes in global mean near surface temperature and plant water availability in the transient simulations. Due to rising CO_2 concentrations, global mean near surface temperature increases in the *clim* and *full* simulations while plant water availability decreases. Both of these changes are larger in the LGM experiment. The similarity of temperature changes in the *clim* and *full* simulations shows that the carbon-concentration and synergistic effects do not considerably affect global mean near surface temperature. Nevertheless, also the carbon-concentration effect creates a small global warming towards the end of both experiments, as can be seen from the curves of the *conc* simulations. Gregory et al. (2009) explained this by less evapotranspiration under increased CO_2 concentrations.

10 Though it is small, the carbon-concentration effect also induces a temperature change. This is now stated explicitly and explained by less evapotranspiration (Gregory et al., 2009).

Its effect on other physical variables, however, is important for the terrestrial carbon dynamics. Plant water availability for example, the second most important environmental constraint on most terrestrial carbon fluxes in the model, rises in the global

average due to increased water use efficiency in connection with the carbon-concentration effect and decreases due to higher evapotranspiration losses under the higher temperatures as a consequence of the carbon-climate effect.

We give now a reason why we choose to show plant water availability changes next to temperature changes.

In the *full* simulation, the plant water availability decrease due to climate change dominates, but the differences between the *clim*, *conc* and *full* simulations indicate a clear influence of both effects and their synergies on changing soil humidity.

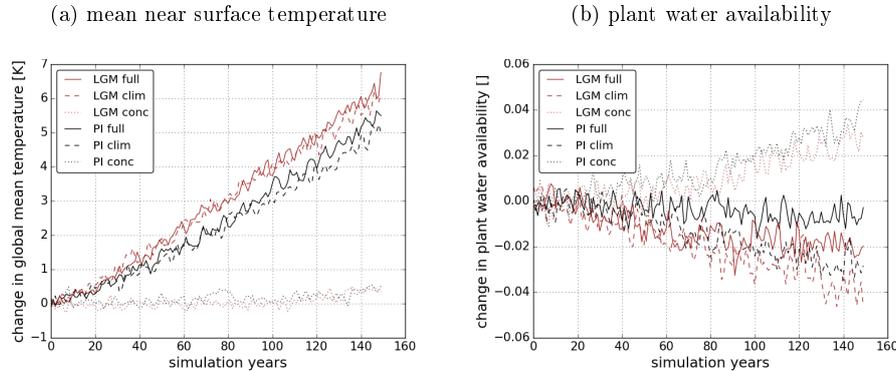


Figure 4. Climatic changes in the *full* simulation (continuous lines), *clim* simulation (dashed lines) and the *conc* simulation (dotted lines) due to rising CO₂ concentrations in the LGM experiment (red) and PI experiment (black). a) shows the globally averaged change in near surface temperature and b) in plant water availability.

Figure 5 shows the change of terrestrial carbon storage in the transient simulations. Overall, the carbon-concentration effect increases terrestrial carbon storage in response to the rising CO₂ concentration in both experiments (see the curves $\Delta C_{L,conc}$). This effect is stronger in the LGM than in the PI experiment. Carbon reservoir changes due to the carbon-climate effect are negative and of similar size in the two experiments (see curves $\Delta C_{L,clim}$). In both experiments, synergies of the two effects are small in the global integral (see curves $\Delta C_{L,syn}$). This shows that linear additivity of the carbon-climate and carbon-concentration effects can be assumed on the global scale for our experiments, even for the large climate perturbations considered here. This is important in the following because by this additivity one can separate the individual contributions of the two effects to the feedback strength by means of eq. (12) (see the discussion there).

It is mentioned now explicitly that the assumption of additivity of carbon-concentration and carbon-climate effect is valid for *our* simulation results, which may not be valid in general.

We made it now clearer that the additivity is not needed in general to derive feedback strength but to separate it into contributions from the carbon-concentration and the carbon-climate effects. See also section 3.

From Fig. 5 it becomes clear that the same absolute increase in atmospheric CO₂ concentration triggers different reactions of terrestrial carbon storage in corresponding simulations of the LGM and PI experiments. This is also reflected in the terrestrial carbon cycle sensitivities as shown in Fig. 6 where the sensitivity values for the LGM and PI experiments are presented as a

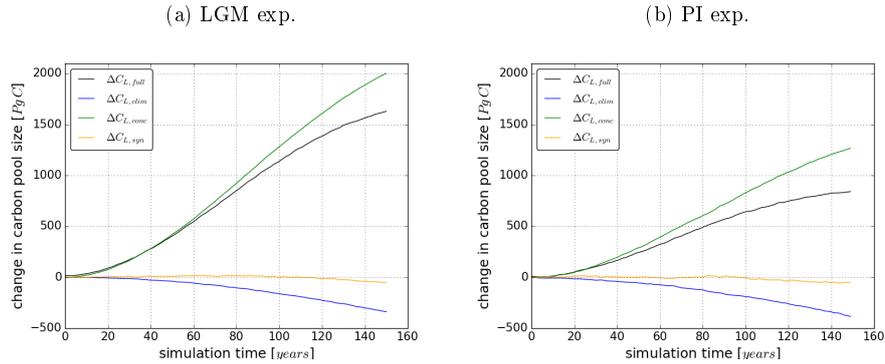


Figure 5. Change in terrestrial carbon storage [PgC] in the *full* simulations (black curves) and split into factors (coloured curves) as computed from eqs. (1) and (2) for (a) the LGM experiment and (b) the PI experiment.

function of simulation time. In the following, before discussing the strength of the carbon cycle feedback, first the sensitivities and their temporal development will be studied separately.

5.1 The carbon-concentration effect

Initially β_L increases in both experiments but the increase is steeper under glacial conditions. This stronger carbon-concentration
 5 effect in the LGM experiment is mostly due to the lower CO_2 concentrations: In both experiments, photosynthesis is initially
 carboxylation rate limited. In other words, in both experiments the fraction of available radiative energy that the plants are able
 to use to build up organic matter is initially limited by low atmospheric CO_2 concentrations. This initial CO_2 limitation is lifted
 by increasing CO_2 concentrations, which leads to increasing primary productivity that allows for extension of vegetation and
 increasing terrestrial carbon storage. This mechanism becomes obvious from Fig. 7, which shows the dependence of primary
 10 production rate on CO_2 concentration calculated directly from the equations for C3 photosynthesis, which dominates global
 natural productivity, implemented in JSBACH. At low ambient CO_2 concentrations, productivity increases steeply with rising
 CO_2 but its sensitivity gets smaller at higher CO_2 concentrations due to the convex nature of the underlying functionality. In
 our experiments the carbon-concentration effect on productivity differs most substantially in the tropics, where temperatures
 are similar but the lower LGM ambient CO_2 concentration makes productivity more sensitive to CO_2 increases in the glacial
 15 setting. Additionally, vegetation has more room to expand and can generally grow denser in the glacial tropics than under the
 drier pre-industrial conditions where tropical forests are more regularly perturbed by wild fires.

Fig 6a shows that, after 30 to 40 years, the increase of β_L slows down and its values eventually start to decrease. Arora et al.
 (2013) attribute this behaviour to the different response time of primary production and biomass decomposition. While produc-
 tivity increases almost instantaneously with rising CO_2 concentration, biomass decomposition initially remains unchanged and
 20 increases only when after a temporal delay of the order of the lifetime of plants the additional carbon from higher plant produc-
 tivity reaches the litter and soil carbon reservoirs. Additionally the carbon-concentration effect becomes less effective at high
 productivity levels because carbon density of living vegetation is reaching upper limits. In fact, the amount of carbon allocat-

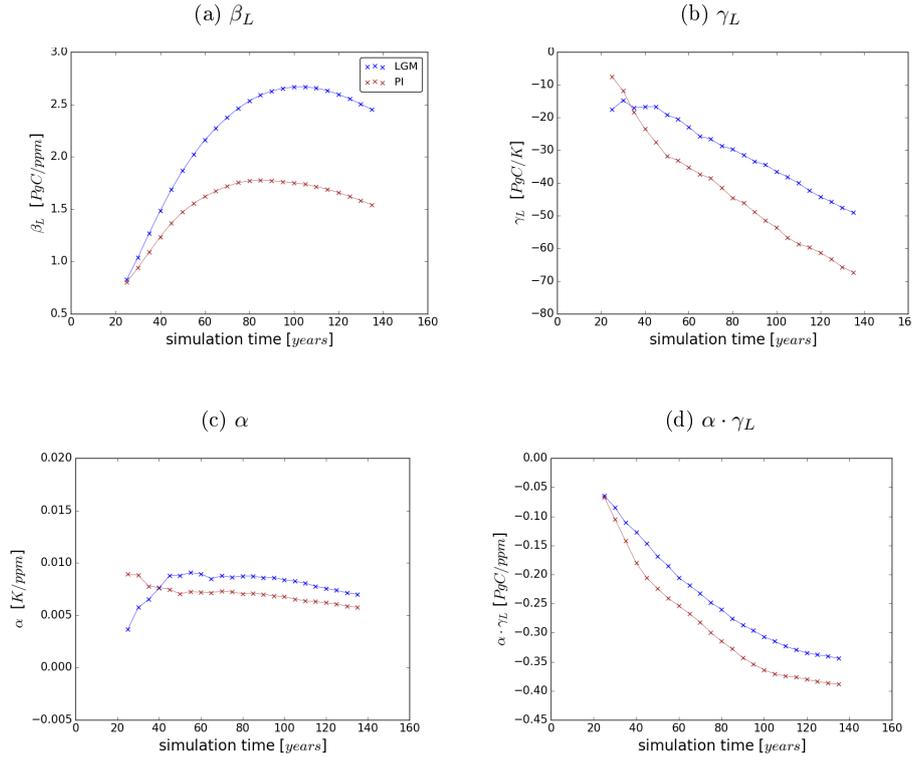


Figure 6. Sensitivities β_L and γ_L to the carbon-concentration and carbon-climate effect (respectively) and temperature sensitivity α in the LGM (blue) and the PI experiment (red). Values are computed as a 20 year average around the indicated data point.

able to biomass carbon reservoirs is limited in JSBACH to account for a down regulation of productivity in mature vegetation. But also the sensitivity of productivity to ambient CO_2 changes : Fig 7 shows a transition point from high to low dependence on CO_2 changes. Below the transition point photosynthesis is carboxylation rate limited, while beyond the transition point it is limited by lack of radiation (see any textbook on photosynthesis). Accordingly, as long as CO_2 availability stays to be the main

5 limitation for productivity, the carbon-concentration effect of rising CO_2 concentration leads to large increases in productivity. In our experiments, the prescribed CO_2 concentration rise is however large enough to reach a point where insolation becomes more limiting to productivity than CO_2 availability. From that transition point on, the effectivity of the carbon-concentration effect is saturating. In the PI experiment ambient CO_2 concentration reaches that point of saturation earlier than in the LGM experiment, leading to a shorter period in the PI experiment where primary productivity is limited by CO_2 availability and thus

10 highly sensitive to rising CO_2 concentrations.

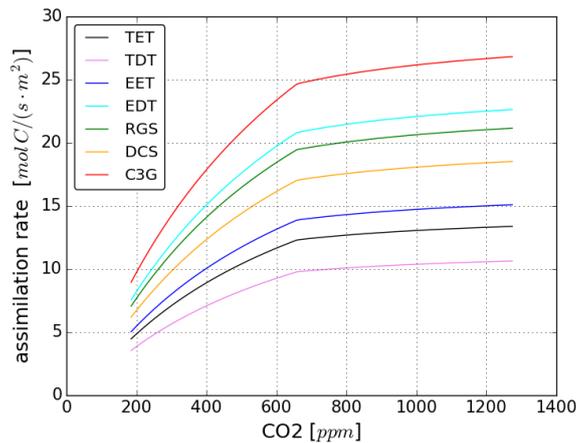


Figure 7. Dependence of gross assimilation per m^2 leaf area on ambient CO_2 concentration at 20°C leaf temperature according to the implemented photosynthesis model (Farquhar et al., 1980) for C3 plant physiology. Abbreviations stand for individual vegetation types: TET for tropical evergreen trees, TDT for tropical deciduous trees, EET for extratropical evergreen trees, EDT for extratropical deciduous trees, RGS for raingreen shrubs, DCS for deciduous shrubs, C3G for C3 grasses.

5.2 The carbon-climate effect

The sensitivity γ_L grows increasingly negative in both experiments (see Fig. 6b) and increasingly larger in absolute value in the PI experiment than in the LGM experiment. Although γ_L values are clearly different in the two experiments, the overall terrestrial carbon reservoir changes in the *clim* simulations, from which the γ_L values are computed (see eq. (5)), are almost similar (compare Fig. 5). The reason for this is that also the temperature sensitivity α varies between the two experiments. Throughout the simulation α is larger in the LGM experiment. The higher temperature sensitivity and the lower carbon cycle sensitivity γ_L partially compensate differences between the PI and LGM cases as is seen from Fig. 6 (d) where the product $\alpha\gamma_L$ is plotted; it is this combination of sensitivities that determines the strength of the carbon-climate effect (compare equation (12)). Thereby the carbon-climate effect differs much less between the LGM and PI case than the carbon-concentration effect discussed above.

To understand the processes behind the different γ_L sensitivity in the two experiments, it is useful to analyze first how climate change induces carbon losses differently in the tropics and extratropics. Table 1 lists the change in soil respiration ΔRh and net primary productivity ΔNPP per degree temperature change as well as their ratio separately for tropics and extratropics in the two *clim* simulations.

15 'Soil respiration' is now written out in the text and in table 1 abbreviated as 'Rh'.

In both simulations this ratio is smaller than 1 in the tropics (carbon fluxes into land reservoirs change more than fluxes into the atmosphere) but larger than 1 in the extratropics (carbon fluxes into the atmosphere change more than fluxes into land carbon reservoirs), indicating a very different reaction of the carbon cycle under climate change in these two regions. In the

tropics, net primary productivity and soil respiration decrease (see table), indicating that living conditions deteriorate. This has two reasons: Firstly, it gets drier so that plant productivity and also soil decomposition decrease. Secondly, the already hot tropical climate is getting even hotter so that physiological limitations are reached more frequently, deteriorating plant productivity by damaging the photosynthetic apparatus (implemented as 'heat inhibition' in JSBACH). The reduction in NPP is much larger than the reduction in soil respiration, hence in the tropics land carbon losses are mostly driven by reduced plant productivity. In the extratropics the situation is different: values of NPP and soil respiration (see table) both rise under the warming climate because physiological processes speed up. But since ultimately soil respiration is fed from NPP, the considerably larger increase in soil respiration cannot be a result of the enhanced carbon input. The explanation, instead, is enhanced decomposition of soil carbon that had accumulated in those vast cold boreal areas already in the control simulation from which the transient simulations are initialized. Hence in the extratropics land carbon losses are mostly driven by enhanced soil respiration of 'old' carbon.

Having identified the major drivers for carbon losses in the tropics and extratropics, one can now understand why the sensitivity γ_L is larger in the PI than in the LGM experiment. In the tropics reduced plant productivity is the major driver, and productivity is more sensitive in the PI than the LGM experiment (see table 1) because growth conditions deteriorate from already initially drier and hotter levels. In the extratropics enhancement of soil respiration was found to be the major driver, and soil respiration reacts more sensitive in the PI than in the LGM experiment (see table 1) because vegetation extends much farther north under the warmer conditions and in absence of ice sheets, going along with vastly more extratropical 'old' soil carbon. Hence both in the tropics and in the extratropics the land carbon cycle is more sensitive to climate change in the PI experiment.

While our model setup allows to study the reaction of active carbon reservoirs to perturbations, it does not include inert carbon reservoirs which could be activated under a strong forcing (i.e. permafrost soils). This might be particularly important for the comparison of γ_L between the LGM and the PI state since Ciais et al. (2012) estimate that there was a considerably larger amount of inert carbon stored on land at the LGM than in the Holocene. Therefore, it has to be stressed that the sensitivities found in this study do only consider active carbon reservoirs.

Table 1. Sensitivity of net primary productivity NPP and soil respiration Rh to the carbon-climate effect. These sensitivities ($\Delta\text{NPP}/\Delta T$ and $\Delta\text{Rh}/\Delta T$) are computed from the *clim* simulation by first integrating NPP and RH over the particular region (tropics, extratropics) and over the full simulation period and then dividing by the temperature change in this region. $\Delta\text{Rh}/\Delta\text{NPP}$ is the quotient of the two sensitivities. 'Tropics' refers here to the latitudinal belt between 30° South and 30° North and 'extratropics' to the remaining part of the globe. Here, ΔNPP and ΔRh are considered positive for plant carbon uptake and soil carbon loss, respectively.

sensitivity [Pg C/K]	tropics		extratropics	
	LGM	PI	LGM	PI
$\Delta\text{NPP}/\Delta T$	-134.6	-151.2	10.8	28.6
$\Delta\text{Rh}/\Delta T$	-55.9	-49.7	17.1	48.2
$\Delta\text{Rh}/\Delta\text{NPP}$	0.42	0.33	1.59	1.69

5.3 Feedback strength of the terrestrial carbon cycle

The carbon-climate and the carbon-concentration effect cause a feedback of the terrestrial carbon cycle to rising atmospheric CO₂ concentrations. The constantly negative values of the strength f_L of this feedback (see Fig. 8) demonstrate that it dampens the effect of the forcing so that less carbon is left in the atmosphere than emitted. Accordingly, the feedback is negative in both experiments. From the beginning of the simulations, the feedback strength grows increasingly negative in both experiments, a trend that reverses later on with an earlier minimum in the PI experiment. This reflects the different development of β_L that dominates the feedback strength for both PI and LGM (compare values of β_L and $\alpha\gamma_L$ in Fig. 6). The dominance of β_L is particularly visible towards the end of the simulations, where the timing of the reversal of the trends in f_L match those in β_L (compare fig. 6). The constantly higher absolute values of f_L in the LGM setting show that the feedback is much stronger under LGM conditions, especially towards the end of the simulations. Because β_L is dominating f_L , the stronger terrestrial LGM feedback is also explained by the mechanisms identified in section 5.1 to cause the higher LGM sensitivity to the carbon-concentration effect.

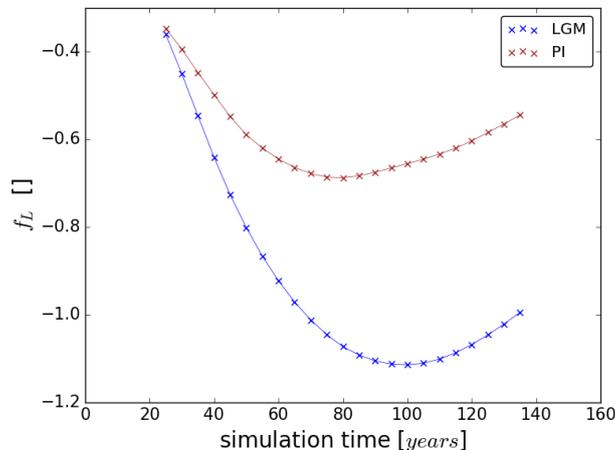


Figure 8. Feedback strength f_L computed from eq. (12) for the terrestrial carbon carbon cycle in the LGM and the PI experiment.

6 Discussion and Conclusion

In the present study we investigated in simulations how the terrestrial carbon cycle feedback differs between pre-industrial (PI) times and during the last glacial maximum (LGM). This was done by separating the contributions from the carbon-concentration and carbon-climate effects that induce this feedback in C⁴MIP type simulations. These simulations starting either at PI or LGM conditions are rather artificial, since the CO₂ forcing scenario used to probe the feedbacks neither resembles the atmospheric CO₂ changes during the holocene, nor is it realistic for recent times (compare Fig. 1). But they are not meant to be historically realistic. Instead, such artificial scenarios have been introduced to facilitate the comparison of the carbon

cycle feedback across different models (Gregory et al., 2009). In our study we adopted this approach for a comparison of this feedback between different climate states.

An important question for the applicability of the C⁴MIP type feedback analysis is the additivity of the two effects for global land carbon storage because only then the feedback strength can be properly split into separate contributions from the two effects (see (Gregory et al., 2009) and our discussion in section 3). Our factor separation analysis (Stein and Alpert, 1993) revealed that their synergy is rather small for both the PI and LGM case, meaning that we can indeed consider the two effects independently to understand the simulated feedback behaviour. Concerning this additivity models seem to behave differently: Gregory et al. (2009) reported significant deviations from additivity for the HadCM3LC model.

Generally, the values of the carbon sensitivities β_L and γ_L are time dependent (compare Fig. 6), but for easier comparison they are usually reported taking their values at the end of the simulation period (see e.g. (Ciais et al., 2013)). The respective values from our simulations are given in table 2, together with their CMIP5 intermodel range. Since we used for the analysis of PI conditions the same data from MPI-ESM that entered the CMIP5 study by Arora et al. (2013), one should expect that published values for β_L and γ_L should be similar. This is indeed true for β_L for which we find 1.42 PgC/ppm while (Arora et al., 2013, table 2) find 1.46 PgC/ppm. But for γ_L we calculate -68.6 PgC/K while they report -83.2 PgC/K. We attribute this apparent inconsistency to differences in the way we and Arora et al. (2013) compute sensitivities: While we use as reference mean values from the control simulation (see eqs. (1) and (4)), we guess that Arora et al. (2013) use as reference the value from the first year of the respective transient simulation. Thereby the resulting sensitivity values are not only sensitive to random climate variations at the end of the simulations (which are typically smaller than changes from the strong forcing), but also sensitive to such variations at their begin. For the considered sensitivities, this effect should be largest for temperature that is varying at much shorter time scales than carbon stocks. Accordingly, β_L values should be less sensitive to the way they are computed and this may explain why our β_L values are similar, but γ_L values differ.

For our further considerations it is interesting to see how our LGM carbon sensitivities relate to published PI values. In view of the technical complications just mentioned, such a comparison makes sense only for β_L . We see from table 2 that our LGM β_L is considerably larger than the PI value of any CMIP5 model. This may be taken as an indication that our result for differences in β_L between PI and LGM is even robust against uncertainties in representing climate and carbon cycle in models. Since, as we discussed in section 5.3, the terrestrial feedback strength, as measured by the feedback factor f_L , is dominated by the contribution from β_L (compare also eq. (12)), it is clear that for LGM and PI the feedback is dominated by the carbon-concentration effect. Hence, also the much larger LGM feedback factor f_L – almost twice the PI value – should be a robust result from our study.

As discussed in section 5.1 and 5.2, the difference in carbon sensitivities between the LGM and the PI experiments comes mostly from the different initial conditions of these experiments. But there is also a strong dependence on the strength of the CO₂ forcing. For example, the difference in β_L depends largely on whether the CO₂ reaches values high enough to produce a switch from carboxylation limited assimilation to radiation limited assimilation. Additionally, bioclimatic limits of vegetation, model specific maximum productivity rates, the choice of the global value for the wilting point and the assumed maximum

Table 2. Terrestrial carbon sensitivities β_L and γ_L , associated feedback factor f_L , as well as the global feedback factor f that includes the oceanic feedback (see eq. (11)) from our simulations for PI and LGM, as well as their published CMIP5 model range for PI. Our values (columns PI and LGM) are taken as their value after 140 years of simulation. The CMIP5 model range is taken from Arora et al. (2013), considering only models without nitrogen cycle. The CMIP5 ranges for f_L and f have been computed using the published CMIP5 sensitivities in eq. 12 and its ocean analogue together with eq. 11. Because the intermodel range for α is not given in Arora et al. (2013), α s were calculated from the gain \hat{g}_E provided in Arora et al. (2013)'s Fig. 9.

	LGM exp.	PI exp.	CMIP5
β_L [PgC/ppm]	2.19	1.42	0.74 – 1.46
γ_L [PgC/K]	-53.0	-68.6	-30.1 – -88.6
f_L	-0.87	-0.48	-0.07 – -0.48
f	-1.27	-0.81	-0.42 – -0.85

vegetation density introduce limitations to the system that shape the behaviour of terrestrial carbon storage in the model. But such limitations should also exist in reality although they are hard to quantify.

So far, we have concentrated our study on the terrestrial part of the Earth system, but it is interesting to consider for a moment also the oceanic contributions to the feedback to discuss the relevance of our results for the carbon cycle feedback in the Earth system as a whole. Our simulations have been performed also with the ocean carbon cycle being active. Accordingly, one can calculate from our simulations also the ocean feedback factor f_O (see eq. (10)). A basic property of the global feedback strength is that ocean and land contributions to the overall feedback factor f are additive (compare eq. (11)). Obtaining in this way the global feedback strength, one sees from the values in table 2 that in our simulations the terrestrial component dominates the global feedback in the LGM case, while both contributions are of approximate equal size for pre-industrial climate.

To conclude, the present study has demonstrated that C⁴MIP type simulations can be used to understand why the Earth system may react differently to rising CO₂ concentrations under LGM and PI conditions. In the two experiments performed here for LGM and PI conditions, the terrestrial biosphere and associated land carbon dynamics show a clear, climate state dependent transient reaction to increasing CO₂ concentrations. More precisely, under conditions of the last glacial maximum, the terrestrial carbon flux balance is more sensitive to the carbon-concentration effect than under pre-industrial conditions. This is due to the lower CO₂ concentration in the LGM initial state that allows for a larger productivity increase under CO₂ concentration rise. The carbon-climate effect, in contrast, is larger under PI conditions which is caused by higher initial temperatures and larger amounts of extratropical terrestrial carbon in the PI initial state. As a consequence of this behaviour, the terrestrial feedback is stronger for LGM than PI conditions.

7 Code availability

The model code is publicly available after registration at www.mpimet.mpg.de/en/science/models/license.

8 Data availability

Simulation data are available on request from the authors.

Author contributions. The study was lead by M. A. who also performed the simulations and data analysis. All authors contributed to the design of the study and the manuscript.

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Disclaimer. None.

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