

1 Seasonal Prediction Skill of East Asian Summer Monsoon in CMIP5-Models

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6 **ABSTRACT**

7 The East Asian summer monsoon (EASM) is an important part of the global climate system
8 and plays a vital role in the Asian climate. Its seasonal predictability is a long-standing issue
9 within the monsoon scientist community. In this study, we analyse the seasonal (the leading
10 time is at least six months) prediction skill of the EASM rainfall and its associated general
11 circulation in non-initialised and initialised simulations for the years 1979-2005 which are
12 performed by six prediction systems (*i.e.*, the BCC-CSM1-1, the CanCM4, the GFDL-
13 CM2p1, the HadCM3, the MIROC5 and the MPI-ESM-LR) from the Coupled Model
14 Intercomparison Project phase 5 (CMIP 5). We find that most prediction systems simulated
15 zonal wind over 850 and 200 hPa are significantly improved in the initialised simulations
16 compared to non-initialised simulations. Based on the knowledge that zonal wind indices can
17 be used as potential predictors for the EASM, we select an EASM index based upon the zonal
18 wind over 850 hPa for further analysis. This assessment shows that the GFDL-CM2p1 and
19 the MIROC5 added prediction skill in simulating the EASM index with initialisation, the
20 BCC-CSM1-1, the CanCM4, and the MPI-ESM-LR changed the skill insignificantly, and the
21 HadCM3 indicates a decreased skill score. The different response to the initialisation can be
22 traced back to the ability of the models to capture the ENSO (El Niño-Southern Oscillation)-
23 EASM coupled mode, particularly the Southern Oscillation-EASM coupled mode. As it is
24 known from observation studies, this mode links the oceanic circulation and the EASM
25 rainfall. Overall, the GFDL-CM2p1 and the MIROC5 are capable to predict the EASM on a
26 seasonal time-scale under the current initialisation strategy.

27 **Key Words:** East Asian summer monsoon; initialisation; seasonal prediction; ENSO-EASM
28 coupled mode; CMIP5
29

30 1. INTRODUCTION

31 The Asian monsoon is the most powerful monsoon system in the world due to the thermal
32 contrast between the Eurasian continent and the Indo-Pacific Ocean. Its evolution and
33 variability critically influence the livelihood and the socio-economic status of over two
34 billion people who live in the Asian monsoon dominated region. It encompasses two sub-
35 monsoon systems, the South Asian monsoon (SAM) and the East Asian monsoon (EAM)
36 (Wang, 2006). In summer time (June-July-August), the EAM, namely, the East Asian
37 summer monsoon (EASM) occurs from the Indo-China peninsula to the Korean Peninsula
38 and Japan, and shows strong intraseasonal-to-interdecadal variability (Ding and Chan, 2005).
39 Thus, an accurate prediction of the EASM is an important and long-standing issue in climate
40 science.

41 To predict the EASM, there are two approaches, statistical prediction and dynamical
42 prediction, respectively. The statistical method seeks the relationship between the EASM and
43 a strong climate signal (e.g., ENSO, NAO; Wu et al., 2009;Yim et al., 2014;Wang et al.,
44 2015). This method establishes an empirical equation between the EASM and climate index.
45 However, it is limited by the strength of the climate signal. The other method is dynamical
46 prediction. It employs a climate model to predict the EASM (Sperber et al., 2001;Kang and
47 Yoo, 2006;Wang et al., 2008a;Yang et al., 2008;Lee et al., 2010;Kim et al., 2012). Without
48 initialisation, both the atmosphere general circulation models (AGCMs) and the coupled
49 atmosphere-ocean general circulation models (CGCMs) cannot predict the climate on
50 seasonal time-scale (Goddard et al., 2001). Given an initial condition, the AGCMs have the
51 ability to predict the climate, but show little skill in predicting the EASM (Wang et al.,
52 2005;Barnston et al., 2010). Because the AGCMs fail to produce a correct relationship
53 between the EASM and the sea surface temperature (SST) anomalies over the tropical
54 western North Pacific, the South China Sea, and the Bay of Bengal (Wang et al., 2004;Wang
55 et al., 2005), the monsoon community endeavours to predict the EASM with CGCMs (Wang
56 et al., 2008a;Zhou et al., 2009;Kim et al., 2012;Jiang et al., 2013).

57 CGCMs have proved to be the most valuable tools in predicting the EASM (Wang et al.,
58 2008a;Zhou et al., 2009;Kim et al., 2012;Jiang et al., 2013). However, the performance of
59 CGCMs in predicting the EASM on seasonal time-scale strongly depends on their ability to
60 reproduce the air-sea coupled process (Kug et al., 2008) and on the given initial condition
61 (Wang et al., 2005). In the coupled model inter-comparison project (CMIP) phase 3 (CMIP3;

62 Meehl et al., 2007) era, the models simulate, not only a too weak tropical SST-monsoon
63 teleconnection (Kim et al., 2008;Kim et al., 2011), but also a too weak East Asian zonal
64 wind-rainfall teleconnection (Sperber et al., 2013). Compared to CMIP3 models, CMIP phase
65 5 (CMIP5; Taylor et al., 2012) models improve the representation of monsoon status (Sperber
66 et al., 2013). Therefore, given the initial conditions, the CMIP5 models do have the potential
67 to predict the EASM.

68 As mentioned, initial conditions do play a vital factor in predicting the EASM on sub-
69 seasonal to seasonal time-scale (Wang et al., 2005;Kang and Shukla, 2006). Under the
70 current set up of initialisation, the CMIP5 models show the ability to predict the SST
71 variation index (*i.e.*, El Niño-Southern Oscillation-ENSO index; Niño3.4) of up to 15 months
72 in advance (Meehl and Teng, 2012;Meehl et al., 2014;Choi et al., 2016). This extended
73 prediction skill of the ENSO suggests that the EASM can be predicted on a seasonal time-
74 scale if the dynamical link between the ENSO and monsoon circulations is well represented
75 in these models. Two scientific questions will be addressed in this study: 1. How realistic are
76 the initialised CMIP5 models in representing the EASM? 2. Can the CMIP5 models capture
77 the dynamical link between the ENSO and EASM?

78 In this paper, we will intercompare the influence of the initialisation on the capability of the
79 CMIP5 models to capture the EASM and the ENSO-EASM teleconnections. The model
80 simulations, comparison data and methods are introduced in Section 2. Section 3 describes
81 the seasonal skill of the rainfall predictions and the prediction of the associated general
82 circulation of the EASM. The mechanism causing the differential response of the models to
83 the initialisation is presented in Section 4. The discussions are shown in Section 5. Section 6
84 summarises the findings of this paper.

85 2. MODELS, DATA AND METHODS

86 2.1 MODELS AND INITIALISATION

87 In this study, we evaluate six prediction systems from CMIP5 project (Table 1), which have
88 performed a yearly initialisation (Meehl et al., 2014). Their simulations can be used in
89 seasonal prediction study. There are two group of experiments, without initialisation (non-
90 initialisation) and with initialisation, respectively. For non-initialised simulations, the models
91 are forced by observed atmospheric composition changes (reflecting both anthropogenic and
92 natural sources) and, for the first time, including the time-evolving land cover (Taylor et al.,
93 2012). For initialised simulations, the models update the time-evolving observed atmospheric

94 and oceanic component (Taylor et al., 2012). Following the CMIP5 framework, the six
95 models establish their initialisation strategy, which are summarised in Table 2. More details
96 about the initialisation strategy of each model can be found in the reference paper in Table 1.
97 To simplify the comparison, we select the first lead year (up to 12 months) results for further
98 analysis. The HadCM3-ff is the full-field initialised simulation, which employs the same
99 CGCM (HadCM3) as the anomaly initialisation. Satellite era (1979 to 2005) simulations are
100 used in the study due to the spatial coverage of precipitation observations.

101 The six models employ different initialisation strategies for atmospheric and oceanic process,
102 and for initial date (Table 2). These initialisation strategies contribute to a new approach for
103 climate prediction on decadal time-scale (Meehl et al., 2014). As the ocean is driving the
104 long-term prediction skill rather than the initial condition of the atmosphere, the timing of the
105 initialization has to be considered in the time scale of the ocean circulation, i.e. years to
106 decades. Therefore, on an ocean time scale, the initialization takes place with comparable
107 timing and therefore the results are comparable. This approach based on decadal prediction
108 experiments, which deviates from the scores of other seasonal prediction experiments based
109 on initialisation techniques derived from weather forecasting.

110 2.2 COMPARISON DATA

111 The main datasets which are used for comparison in this study include: (1) monthly
112 precipitation data from the Global Precipitation Climatology Project (GPCP; Adler et al.,
113 2003); (2) monthly circulation data from ECMWF Interim re-analysis (ERA-Interim; Dee et
114 al., 2011); and (3) monthly mean SST from National Oceanic and Atmospheric
115 Administration (NOAA) improved Extended Reconstructed SST version 4 (ERSST v4;
116 Huang et al., 2015). All the model data and the comparison data are remapped onto a
117 common grid of $2.5^{\circ} \times 2.5^{\circ}$ by bi-linear interpolation to reduce the uncertainty induced by
118 different data resolutions.

119 2.3 EAST ASIAN MONSOON INDEX AND ENSO INDEX

120 In recent decades, more than 25 general circulation indices have been produced to define the
121 variability and the long-term change of the EASM. Wang et al. (2008b) arranged the 25
122 monsoon indices according to their ability to capture the main features of the EASM. The
123 Wang and Fan index (hereafter WF-index; 1999) shows the best performance in capturing the
124 total variance of the precipitation and three-dimensional circulation over East Asia. We, thus,
125 select the WF-index for further analysis. Its definition is a standardised average zonal wind at

126 850 hPa in (5°-15°N, 90°-130°E) minus in (22.5°-32.5°N, 110°-140°E). The WF-index is a
 127 shear vorticity index which is described by a north-south gradient of the zonal winds. In
 128 positive (negative) phase of the WF-index years, two strong (weak) rainfall belts located at
 129 the Indo China Peninsula-to-the Philippine Sea and the northern China-to-the Japanese Sea,
 130 and a weak (strong) rainfall belt occurs from the Yangtze river basin-to-the south of Japan.
 131 The average summer (June-July-August) WF-index is used to represent the EASM for further
 132 analysis in this study.

133 Here, we choose the Niño3.4 and southern oscillation index (SOI) to represent the ENSO
 134 status. The Niño3.4 is calculated by the SST anomaly in the central Pacific (190-240°E, 5°S-
 135 5°N), while the SOI is based upon the anomaly of the sea level pressure differences between
 136 Tahiti (210.75°E, 17.6°S) and Darwin (130.83°E, 12.5°S). To calculate the SOI, we
 137 interpolate the grid data to the Tahiti and the Darwin point by bilinear interpolation.

138 2.4 METHODS

139 In this study, we employ the un-centred Pattern Correlation Coefficient (PCC) (for more
 140 details see Barnett and Schlesinger, 1987) to analyse the model performance in comparison of
 141 the observational data, because centred correlations alone are not sufficient for the attribution
 142 of seasonal prediction (Mitchell et al., 2001). The un-centred PCC is defined by:

$$PCC = \frac{\sum_{x=1}^n \sum_{y=1}^m w_{(x,y)} F_{(x,y)} A_{(x,y)}}{\sqrt{\sum_{x=1}^n \sum_{y=1}^m w_{(x,y)} F_{(x,y)}^2 \sum_{x=1}^n \sum_{y=1}^m w_{(x,y)} A_{(x,y)}^2}}$$

143
 144 where n and m are grids on longitude and latitude, respectively. $F_{(x,y)}$ and $A_{(x,y)}$ represent two
 145 dimensions comparison and validating value. $w_{(x,y)}$ indicates the weighting coefficient for
 146 each grid. An equal weighting coefficient was applied in the study area.

147 We also use the anomaly correlation coefficient (ACC) to analyse the model performance in
 148 reproducing observational variations. The ACC is the correlation between anomalies of
 149 forecasts and those of verifying values with the reference values, such as climatological
 150 values (Drosowsky and Zhang, 2003). Its definition is:

$$ACC = \frac{\sum_{i=1}^n w_i (f_i - \bar{f})(a_i - \bar{a})}{\sqrt{\sum_{i=1}^n w_i (f_i - \bar{f})^2 \sum_{i=1}^n w_i (a_i - \bar{a})^2}}, (-1 \leq ACC \leq 1)$$

151

$$f_i = F_i - C_i \bar{f} = \left(\sum_{i=1}^n w_i f_i \right) / \sum_{i=1}^n w_i$$

$$a_i = A_i - C_i \bar{a} = \left(\sum_{i=1}^n w_i a_i \right) / \sum_{i=1}^n w_i$$

152

153

154 where n is the number of samples, and F_i , A_i , C_i represent comparison, verifying value, and
 155 reference value such as climatological value, respectively. Also, \bar{f} is the mean of f_i , \bar{a} is the
 156 mean of a_i , and w_i indicates the weighting coefficient. If the variation of anomalies of
 157 comparison dataset is a coincident with that of the anomalies of verifying value, ACC will
 158 take 1 (the maximum value). It indicates that the forecast has good skill.

159 The root-mean-square-error (RMSE) is employed to check the model deviation from the
 160 observation and its definition is:

$$RMSE = \sqrt{\sum_{i=1}^n w_i D_i^2} / \sqrt{\sum_{i=1}^n w_i}$$

161

162 where D_i represents the deviation between comparison and verifying value, w_i is the
 163 weighting coefficient for each sample, and n is the number of samples. If RMSE is closer to
 164 zero, it means that the comparisons are closer to the verifying values.

165 3. SEASONAL PREDICTION SKILL OF THE EASM

166 The EASM has complex spatial and temporal structures that encompass the tropics,
 167 subtropics, and midlatitudes (Tao and Chen, 1987; Ding, 1994). In the late spring, an
 168 enhanced rainfall pattern is observed in the Indochina Peninsula and in the South China Sea.
 169 At the same time, the rainfall belt advances northwards to the south of China. In the early
 170 summer, the rainfall concentration occurred in the Yangtze River Basin and in southern
 171 Japan, namely, the Meiyu and Baiu seasons, respectively. The rainfall belt can reach as far as
 172 northern China, the Korean Peninsula (called the Changma rainy season) and central Japan in
 173 July (Ding, 2004; Ding and Chan, 2005).

174 The EASM is characterised by both seasonal heterogeneous rainfall distribution and
 175 associated large-scale circulation systems (Wang et al., 2008b). In the summer season, water
 176 moisture migrates from the Pacific Ocean to central and eastern Asia, which is carried by the

177 southwest surface winds. Generally, a strong summer monsoon year is followed by
178 precipitation in northern China, while a weak summer monsoon year is usually accompanied
179 by heavier rainfall along the Yangtze River basin (Ding, 1994;Zhou and Yu, 2005).

180 For multi-model ensemble mean (MME), the prediction skill of the June-July-August mean
181 rainfall and the associated general circulation variable (*i.e.*, zonal and meridional wind, and
182 mean sea level pressure) is presented in Figure 1. These variables have been widely used to
183 calculate the monsoon index (Wang et al., 2008b). Table 3 shows the contribution of these
184 variables in the EASM. Their abbreviations follow the guidelines of CMIP5 (Taylor et al.,
185 2012). Compared to the non-initialised experiment, a larger predicted area can be found in the
186 initialised experiment, especially for the psl, ua850 and ua200. There are small changes to the
187 predicted area between the non-initialised and initialised experiment for the pr, va850 and
188 va200. The individual model shows an acceptable performance (high PCC) in capturing the
189 observed spatial variation of the six variables, but a poor performance in simulating their
190 temporal variation (with low ACC) (Figure 2). There is no improvement in estimating the
191 spatial variation of the six variables with initialisation. We can see that the models show a
192 higher ACC in the initialised simulations than that in the non-initialised ones. The
193 improvement of simulating the temporal variation of zonal winds (*i.e.*, ua850 and ua200) is
194 larger than that of the rainfall and meridional winds. One can exploit this improvement by
195 using a general circulation based monsoon index as a tool to predict the EASM. As
196 mentioned in section 2.3, the WF-index better represents the monsoon rainfall and its
197 associated general circulation structure than the other monsoon index. Therefore, the
198 prediction skill of EASM in the following analysis is based on the WF-index.

199 In non-initialised simulations, none of the models capture the observed EASM, as indicated
200 by an insignificant ACC (Figure 3). The CanCM4 and the GFDL-CM2p1 simulate a negative
201 phase, while the BCC-CSM1-1, the HadCM3, the MIROC5 and the MPI-ESM-LR all
202 predicted a positive phase of the EASM. With initialisation, the GFDL-CM2p1 and the
203 MIROC5 improve the skill to simulate the EASM, the CanCM4 and the MPI-ESM-LR
204 displayed hardly any reaction, while the BCC-CSM1-1 and the HadCM3 show a worse
205 performance than without initialisation. Particularly with anomaly initialisation, the HadCM3
206 significantly lost its prediction skill in capturing the EASM. The CMIP5 models show
207 different response to the initialisation in predicting the EASM on seasonal time-scale. To

208 understand the potential reason, we analyse the principle components of six variables, which
209 contribute to the EASM. The details are presented in Section 4.

210 4. EASM-ENSO COUPLED MODE IN CMIP5

211 We employ the EOF method to analyse the leading EOF modes of the six meteorological
212 variables anomaly in the EASM region (0° - 50° N, 100° - 140° E). The first EOF mode of the
213 rainfall is characterised by a “sandwich” pattern, which shows sharp contrast between the
214 prominent rainfall centre over Malaysia, the Yangtze River valley and the south of Japan, and
215 the enhanced rainfall over the Indo-China Peninsula and the Philippine Sea (Figure 4). The
216 increased precipitation is associated with cyclones in the low-level (850 hPa) and anti-
217 cyclones in the upper level (200 hPa).

218 The correlation coefficient of the first eigenvector and the associated principal component
219 (PC) between the model simulation and the observation in the non-initialised and the
220 initialised simulation is presented in Figure 5. Models capture the eigenvector of the first
221 EOF for the six meteorological fields in non-initialised simulation. However, they fail to
222 reproduce the associated PC of the first leading EOF mode. Compared to the non-initialised
223 simulation, models show no improvement to simulate the first leading EOF mode of rainfall,
224 but exhibit a better performance in representing the first leading EOF mode of zonal wind.
225 The CanCM4 and the GFDL-CM2p1 capture the first PC of ua850, but not the other five
226 models. For the zonal wind at 200 hPa, the BCC-CSM1-1 fails to simulate its first EOF mode
227 while the other six models can. Only the GFDL-CM2p1 accurately simulates the first EOF
228 eigenvectors and the associated PC of va850, which cannot be reproduced in the other
229 models. No model captures the spatial-temporal variation of the first EOF mode of
230 meridional wind at 200 hPa. In addition, the GFDL-CM2p1 and the MIROC5 simulate a
231 reasonable leading EOF mode and associated PC of psl, while the other models do not
232 capture it.

233 Figure 6 shows the fractional (percentage) variances of the six variables from the first EOF
234 mode with the total variances from the observation, and the model simulation with (with-out)
235 initialisation. The observational total variances for the pr, the ua850, the ua200, the va850,
236 the va200 and the psl, are depicted by the first lead EOF mode in 21.2, 59.0, 36.5, 20.6, 28.5
237 and 50.0 percent, respectively. The prediction systems simulate a comparable explanatory
238 variance, which show a slight discrepancy for the first leading mode in the non-initialisation.
239 From non-initialised simulation to initialised simulation, the prediction systems tend to

240 enhance the first EOF leading mode because they show larger fractional variances of the total
241 variances of six variables. We note that the CanCM4 and the GFDL-CM2p1 significantly
242 increase the fractional variances from non-initialisation to initialisation.

243 The ENSO is a dominant mode of the inter-annual variability of the coupled ocean and
244 atmosphere climate system, which has strong effects on the inter-annual variation of the
245 EASM (Wang et al., 2000; Wu et al., 2003). Wang et al. (2015) summarised that the first EOF
246 lead mode of the ASM is ENSO developing mode. As previously mentioned, the first EOF
247 mode is improved in the initialised simulations, compared to the non-initialised simulation.
248 This also can be found in the ENSO indices (Figure 7). The individual members and their
249 ensemble mean of the six models show a low correlation coefficient to the observational
250 Niño3.4 and the SOI in the non-initialised simulations. The two indices show strong anti-
251 phases in the observation, with the correlation range being -0.94 to -0.92 for four seasons
252 (DJF, MAM, JJA, SON). Without initialisation, the models can describe the anti-correlation
253 between Niño3.4 and the SOI, but with a weaker correlation. Compared to the non-
254 initialisation, there is a significant improvement for models in capturing the observation of
255 Niño3.4 and the SOI in the initialised experiments. The initialisation lowers the spread of
256 Niño3.4 and the SOI in all the six models. There is a noticeable change between the model in
257 producing the relationship between the Niño3.4 and the SOI. We find that the GFDL-CM2p1
258 (HadCM3) shows a lower (higher) Niño3.4-SOI correlation in initialised than that in non-
259 initialised simulations. With initialisation, the ensemble mean of each model outperforms its
260 individual members in capturing Niño3.4 and the SOI, while without initialisation it shows a
261 worse performance than that of the individual members in simulating Niño3.4 and the SOI.

262 The EASM strongly relies on the pre-seasons ENSO signal due to the lag response of the
263 atmosphere to the SST anomaly (Wu et al., 2003). The lead-lag correlation coefficients
264 between the EASM index and the Niño3.4, and the SOI from JJA(-1) to JJA(+1) are
265 illustrated in Figure 8. The pre-season Niño3.4 (SOI) presents a significant negative
266 (positive) correlation to the EASM, while the post-season Niño3.4 (SOI) shows a notable
267 positive (negative) correlation. This lead-lag correlation coefficient phase is called the
268 Niño3.4-/SOI-EASM coupled mode (Wang et al., 2008b). In the non-initialised cases, the
269 models do not produce the teleconnection between the ENSO and the EASM. The CanCM4,
270 the HadCM3 and the MPI-ESM-LR fail to represent the lead-lag correlation coefficient
271 differences between pre-/post-season ENSO and EASM. The BCC-CSM1-1, the GFDL-

272 CM2p1 and the MIROC5 capture the coupled mode of the ENSO and the EASM. However,
273 the pre-season ENSO has a weak effect on the EASM. Compared to the non-initialised cases,
274 the MIROC5 and the GFDL-CM2p1 both demonstrate a significant improvement in
275 simulating Niño3.4 (SOI)-EASM coupled mode in the initialisation. The BCC-CSM1-1, the
276 HadCM3, and the HadCM3-ff show no improvement, with insignificant correlation between
277 Niño3.4 (SOI) and the EASM. The CanCM4 and the MPI-ESM-LR indicate a higher
278 correlation between the EASM and the simultaneous-to-post-season ENSO than to the pre-
279 season ENSO.

280 5. DISCUSSION

281 The model exhibits a better performance in simulating the general circulation of the EASM
282 with initialisation. Thus, initialisation is helpful in forecasting the EASM on a seasonal time-
283 scale. There are two initialisation methods in our study, full-field initialisation and anomaly
284 initialisation (Table 1). The full-field initialisation produces more skilful predictions on the
285 seasonal time-scale in predicting regional temperature and precipitation (Magnusson et al.,
286 2013;Smith et al., 2013). Nevertheless, for predicting the EASM, there is no significant
287 difference between the two methods. We can see that both the GFDL-CM2p1 and the
288 MIROC5 have significant improvement in capturing the EASM, with full-field and anomaly
289 initialisation, respectively. Only the HadCM3 is initialised by the two initialisation
290 techniques. However, both these two initialised techniques are producing poor predictions of
291 the EASM with no major differences.

292 The current initialisation strategy updates the observed atmospheric component (*i.e.*, zonal
293 and meridional wind, geopotential height, *etc.*) and the SST (Meehl et al., 2009;Taylor et al.,
294 2012;Meehl et al., 2014). With initialisation, the SST conveys its information via the large
295 heat content of the ocean to the coupled system. Therefore, an index indicating an ocean
296 oscillation like Niño3.4 shows seasonal-to-decadal prediction skill (Jin et al., 2008;Luo et al.,
297 2008;Choi et al., 2016). The models study here demonstrate a prediction skill in simulating
298 Niño3.4 and the SOI due to this effect. The change of the correlation between Niño3.4 and
299 the SOI is insignificant from non-initialised to initialised simulations. We therefore conclude
300 that the relationship between Niño3.4 and the SOI more depends on the model
301 parameterisation than on the initial condition.

302 Wang *et al.* (2015) found that the second EOF mode of ASM is the Indo-western Pacific
303 monsoon-ocean coupled mode, the third is the Indian Ocean dipole (IOD) mode, and the

304 fourth is the trend mode. The Indo-western Pacific monsoon-ocean coupled mode is the
305 atmosphere-ocean interaction mode (Wang et al., 2013;Xiang et al., 2013), which is
306 supported by a positive thermodynamic feedback between the western North Pacific (WNP)
307 anticyclone and the underlying Indo-Pacific sea surface temperature anomaly dipole over the
308 warm pool (Wang et al., 2015). The IOD increases the precipitation from the South Asian
309 subcontinent to southeastern China and suppresses the precipitation over the WNP (Wang et
310 al., 2015). It affects the Asian monsoon by the meridional asymmetry of the monsoonal
311 easterly shear during the boreal summer, which can particularly strengthen the northern
312 branch of the Rossby wave response to the south-eastern Indian Ocean SST cooling, leading
313 to an intensified monsoon flow as well as an intensified convection (Wang and Xie,
314 1996;Wang et al., 2003;Xiang et al., 2011;Wang et al., 2015). We note that the models
315 simulate a reasonable first EOF mode, but illustrate no skill in capturing the other EOF
316 leading modes (not shown). We argue that the models cannot well represent the monsoon-
317 ocean interaction, even with initialisation. The models do not simulate the third EOF leading
318 mode of the EASM since the predictability of the IOD extends only over a three-month time-
319 scale (Choudhury et al., 2015). The current initialisation strategies (both anomaly and full
320 field) enhance the ENSO signal in the model simulations with higher explained fraction of
321 variance. Kim et al. (2012) described a similar finding in ECMWF System 4 and NCEP
322 Climate Forecast System version 2 (CFSv2) seasonal prediction simulations. With
323 initialisation, the models well predict ENSO on seasonal time-scale, which leads to an overly
324 strong modulation of the EASM by ENSO (Jin et al., 2008;Kim et al., 2012).

325 It is worth mentioning that it is an extremely weak monsoon and strong El Niño year in 1998.
326 The CanCM4, the GFDL-CM2p1, the MIROC5 and the MPI-ESM-LR have the ability to
327 simulate the extreme monsoon event, while the BCC-CSM1-1, and the HadCM3 do not
328 capture it even with initialisation. There is the potential for the BCC-CSM and the HadCM
329 models to improve the teleconnection between the ENSO and the EASM.

330 This study discusses six CMIP5 models in predicting the EASM on seasonal time-scale. The
331 six models are earth system coupled models which present a better SST-monsoon
332 teleconnection than CMIP3 models (Sperber et al., 2013) and IRI (International Research
333 Institute for Climate and Society) models (Barnston et al., 2010). There are 4 AGCMs
334 contributing to the IRI prediction system, including ECHAM4.5, CCM3.6, COLA and
335 GFDL-AM2p14. These models are forced to forecast the climate on seasonal time-scale by

336 prescribed SST. Barnston et al. (2010) found that the models showed low prediction skill
337 over East Asia. Therefore, the IRI prediction system cannot be used to predict the EASM.
338 There are two seasonal forecast application systems, the ECMWF System and the NCEP
339 CFS, respectively. Both the two application systems have low prediction skill of EASM (Kim
340 et al., 2012;Jiang et al., 2013). The CMIP5 models have potential to be developed as
341 application system for EASM seasonal prediction, especially the GFDL-CM2p1 and the
342 MIROC5.

343 To better predict the short-to-long term climate, World Climate Research Programme
344 (WCRP) launched two new projects, i.e., Climate-system Historical Forecast Project (CHFP;
345 Kirtman and Pirani, 2009;Tompkins et al., 2017) and Subseasonal-to-Seasonal (S2S)
346 Prediction Project (Vitart et al., 2017). The two projects coordinate most climate modelling
347 research group and provide a large range of forecast dataset. A comprehensive comparison of
348 all the CHFP and S2S data with the CMIP5 simulations regard to the seasonal prediction skill
349 of the EASM is certainly an interesting topic, which should be addressed in an additional
350 paper.

351 We have compared six CMIP5 systems with their respective initialisation strategies. The
352 GFDL-CM2p1 and the MIROC5 have the potential to serve as seasonal forecast application
353 system even with their current initialisation method. These models have great potential to
354 optimise the SST-EASM interaction simulation performance to improve their seasonal
355 prediction skill of the EASM.

356 6. SUMMARY

357 Six earth system models from CMIP5 have been selected in this study. We have analysed the
358 improvement of the rainfall, the mean sea level pressure, the zonal wind and the meridional
359 wind in the EASM region from non-initialisation to initialisation. The low prediction skill of
360 the summer monsoon precipitation is due to the uncertainties of cloud physics and cumulus
361 parameterisations in the models (Lee et al., 2010;Seo et al., 2015). The models show a better
362 performance in capturing the inter-annual variability of zonal wind than the precipitation with
363 initialisation. Thus, the zonal wind index is an additional factor, which can indicate the
364 prediction skill of the model. When, we calculate the WF-index in both non-initialised and
365 initialised simulations, the GFDL-CM2p1 and the MIROC5 show a significant advancement
366 in simulating the EASM from non-initialised to initialised simulation with a lower RMSE and
367 a higher ACC. There is a slight change in the WF-index calculated from the BCC-CSM1-1,

368 the CanCM4 and the MPI-ESM-LR data with initialisation. Compared to the non-initialised
369 simulation, the HadCM3 loses prediction skill, especially with anomaly initialisation.

370 To test the possible mechanisms of the models' performance in the non-initialisation and the
371 initialisation, we have calculated the leading mode of the six fields, which are associated to
372 the EASM. The models demonstrate a better agreement with the observational first EOF
373 mode in the initialised simulations. The first lead mode of zonal wind at 200 hPa show a
374 significant improvement in the models except the BCC-CSM1-1 with initialisation.
375 Therefore, a potential predictor might be an index based upon the zonal wind at 200 hPa.
376 Compared to the non-initialisation, the models enhance the first EOF mode with a higher
377 fraction of variance to the total variance after initialisation. The first EOF mode of the EASM
378 is the ENSO developing mode (Wang et al., 2015). We have analysed the seasonal simulating
379 skill of Niño3.4 and the SOI in each model. The models show a poor performance in
380 representing Niño3.4 and the SOI in the non-initialised simulation. Initialisation improves the
381 model simulating skill of Niño3.4 and the SOI. The initialised simulations decrease the
382 spread of ensemble members in the models. We find that there is no significant change in the
383 models reproducing the correlation between Niño3.4 and the SOI from non-initialisation to
384 initialisation.

385 In general, the pre-season warm phase of the ENSO (El Niño) leads to a weak EASM
386 producing more rainfall over the South China Sea and northwest China, and less rainfall over
387 the Yangtze River Valley and the southern Japan; the cold phase of the ENSO (La Niña)
388 illustrated a reverse rainfall pattern to El Niño in East Asia. The pre-season Niño3.4 (SOI)
389 exhibits a strong negative (positive) correlation to the EASM, while the correlation between
390 the post-season Niño3.4 (SOI) and the EASM illustrated an anti-phase as the pre-season. In
391 the non-initialised simulations, the models do not capture Niño3.4-/SOI-EASM coupled
392 mode. The MIROC5 is the only one model has the ability to represent the Niño3.4-EASM
393 coupled mode with initialisation. For the SOI-EASM coupled mode, the GFDL-CM2p1 and
394 the MIROC5 capture it in the initialisation, while the BCC-CSM1-1, the HadCM3, the
395 HadCM2-ff, the CanCM4 and the MPI-ESM-LR do not. Therefore, we argue that the
396 differential depiction of ENSO-EASM coupled mode in CMIP5 models lead to their
397 differential response to initialisation.

398 **Acknowledgements**

399 The China Scholarship Council (CSC) and the Freie Universität Berlin supported this work.
400 We would like to thank the climate modelling groups listed in Table 1 of this paper for
401 producing and making their model output available. We acknowledge the MiKlip project
402 funded by the Federal Ministry of Education and Research and the German Climate
403 Computing Centre (DKRZ) and the HPC Service of ZEDAT for providing the data services.
404 We are grateful to Mrs Margerison Patricia for her useful comments and the proofreading
405 work on this manuscript. Authors thank two anonymous reviewers for their useful inputs to
406 the manuscript.

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Table 1. Details of the prediction systems investigated in this study.

System	Institute	Resolution		Non- Initialisation Members	Initialisation		Reference
		Atmospheric	Oceanic		Members	Type	
BCC-CSM1-1	Beijing Climate Center, China	T42L26	1lonx1.33lat L40	3	3	Full-field	Wu <i>et al.</i> (2014)
CanCM4	Canadian Centre for Climate Modelling and Analysis, Canada	T63L35	256 x 192 L40	10	10	Full-field	Arora <i>et al.</i> (2011)
GFDL-CM2p1	Geophysical Fluid Dynamics Laboratory, USA	N45L24	1lon x 0.33-1lat L50	10	10	Full-field	Delworth <i>et al.</i> (2006)
HadCM3	Met Office Hadley Centre, UK	N48L19	1.25x1.25 L20	10	10 + 10	Full-field Anomaly	andSmith <i>et al.</i> (2013)
MIROC5	Atmosphere and Ocean Research Institute, Japan	T85L40	256x192 L44	5	6	Anomaly	Tatebe <i>et al.</i> (2012)
MPI-ESM-LR	Max Planck Institute for Meteorology, Germany	T63L47	GR15 L40	3	3	Anomaly	Matei <i>et al.</i> (2012)

621 Table 2. Brief summaries of initialisation strategies used by modelling groups in the study. ECMWF: European Centre for Medium-Range Weather Forecasts;
 622 GODAS: Global Ocean Data Assimilation System; NCEP: National Centers for Environmental Prediction; S: Salinity; SODA: Simple Ocean Data Assimilation; T:
 623 Temperature. Initialised date shows the first initialised day of every prediction year.

system	Atmosphere	Ocean	Initialised date	Internet
BCC-CSM1-1	-	integration with ocean T nudged to SODA product above 1500 m	Ensemble 1: 1 st September Ensemble 2: 1 st November Ensemble 3: 1 st January	http://forecast.bccsm.ncc-cma.net/
CanCM4	ECMWF re-analysis	off-line assimilation of SODA and GODAS subsurface ocean T and S adjusted to reserve model T-S	1 st January	http://www.cccma.ec.gc.ca/
GFDL-CM2p1	GFDL re-analysis	assimilates observations of T, S from World Ocean Database	1 st January	https://www.gfdl.noaa.gov/multidecadal-prediction-stream/
HadCM3	ECMWF re-analysis	off-line ocean re-analysis product	1 st November	http://cerawww.dkrz.de/WDCC/CMIP5/
MIROC5	-	integration using observational gridded ocean T and S	1 st January	http://amaterasu.ees.hokudai.ac.jp/
MPI-ESM-LR	NCEP re-analysis	off-line ocean hindcast forced with NCEP	1 st January	http://cerawww.dkrz.de/WDCC/CMIP5/

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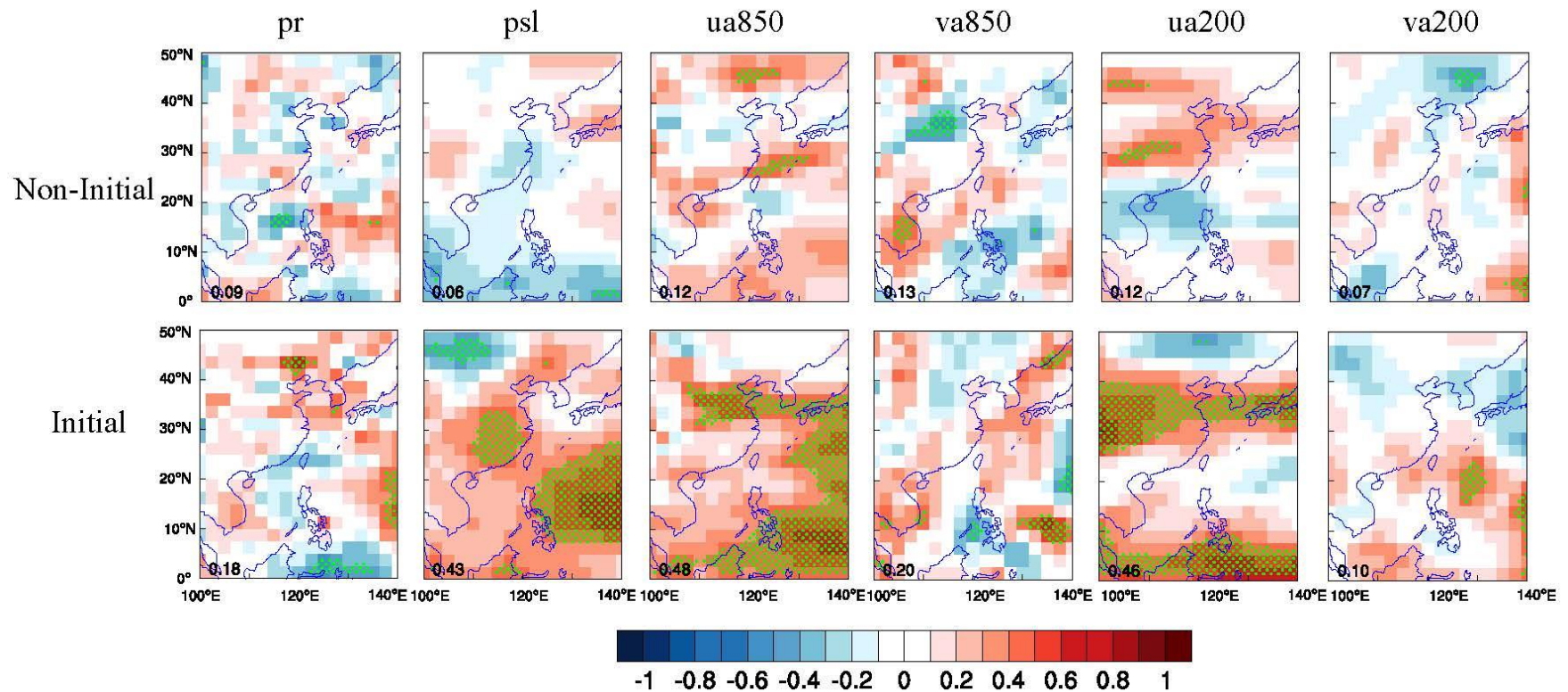
Table 3. Description of the six variables which contribute to the EASM. The abbreviation of these variables is followed to the guidelines of CMIP5.

variable	Standard name	Contribution to the EASM
pr	Precipitation	Precipitation distribution indicates the strength of EASM
psl	Mean sea surface pressure	Differences of mean sea surface pressure between land and ocean lead to EASM
ua850	Zonal winds over 850 hPa	A component of low-level cyclone which transports vapor from ocean to land
va850	Meridional winds over 850 hPa	As ua850, and contributes to Hadley's cell
va200	Meridional winds over 850 hPa	A component of upper-level Hadley's cell
ua200	Zonal winds over 850 hPa	As va200

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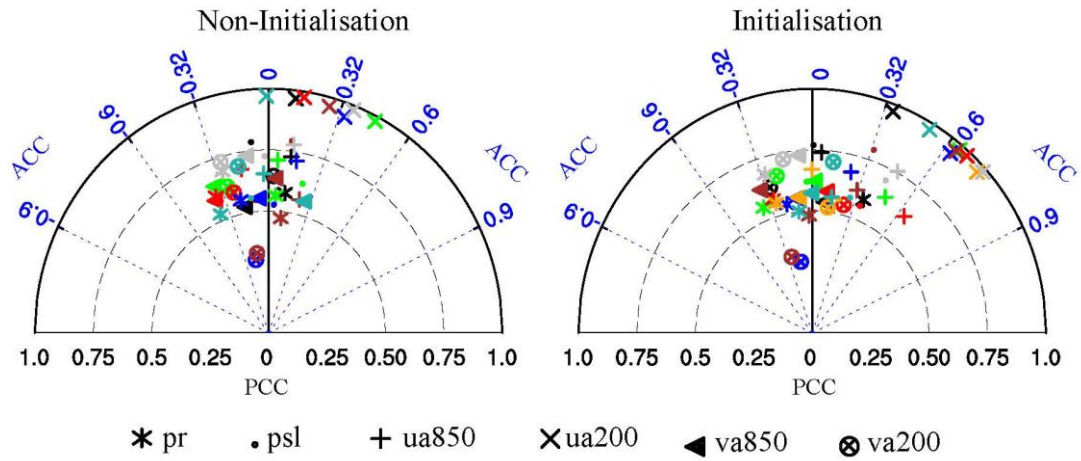
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Fig. 1. Anomaly correlation coefficient of six variables (i.e. precipitation, mean sea level pressure, and winds over 850 hPa and 200 hPa) between multi-model ensemble mean and observations in non-initialisation and initialisation. The green dotted grids illustrate the significant level at 0.05. The number at lower left corner indicates the ratio of significant grid points to entire grids. The GPCP is employed as the reference data for precipitation (pr) while winds (i.e. ua850, va850, ua200 and va200) and mean sea level pressure (psl) are compared with ERA-Interim re-analysis.

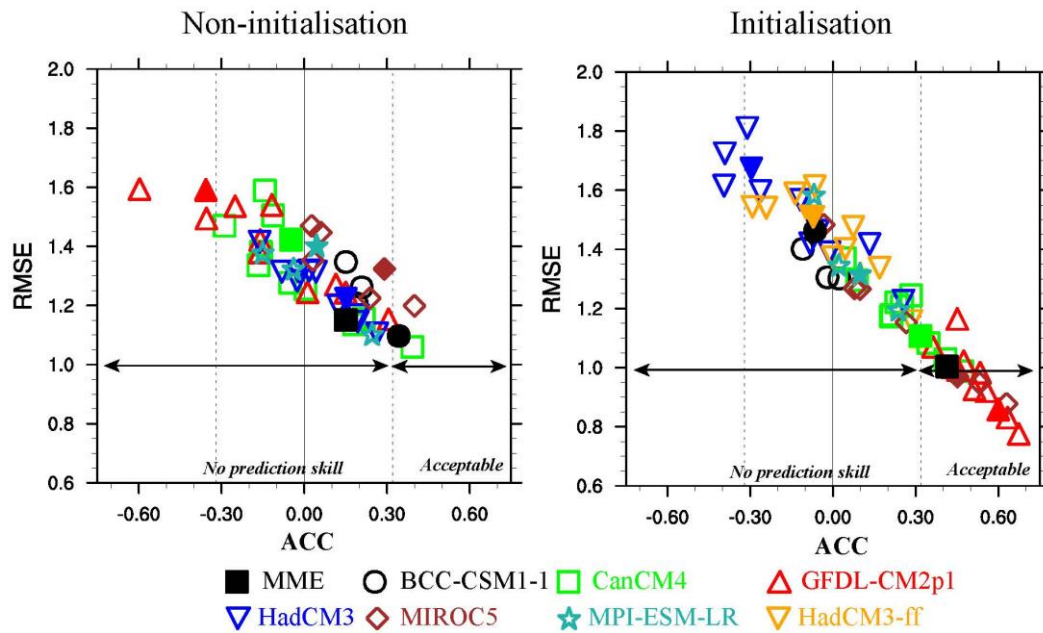
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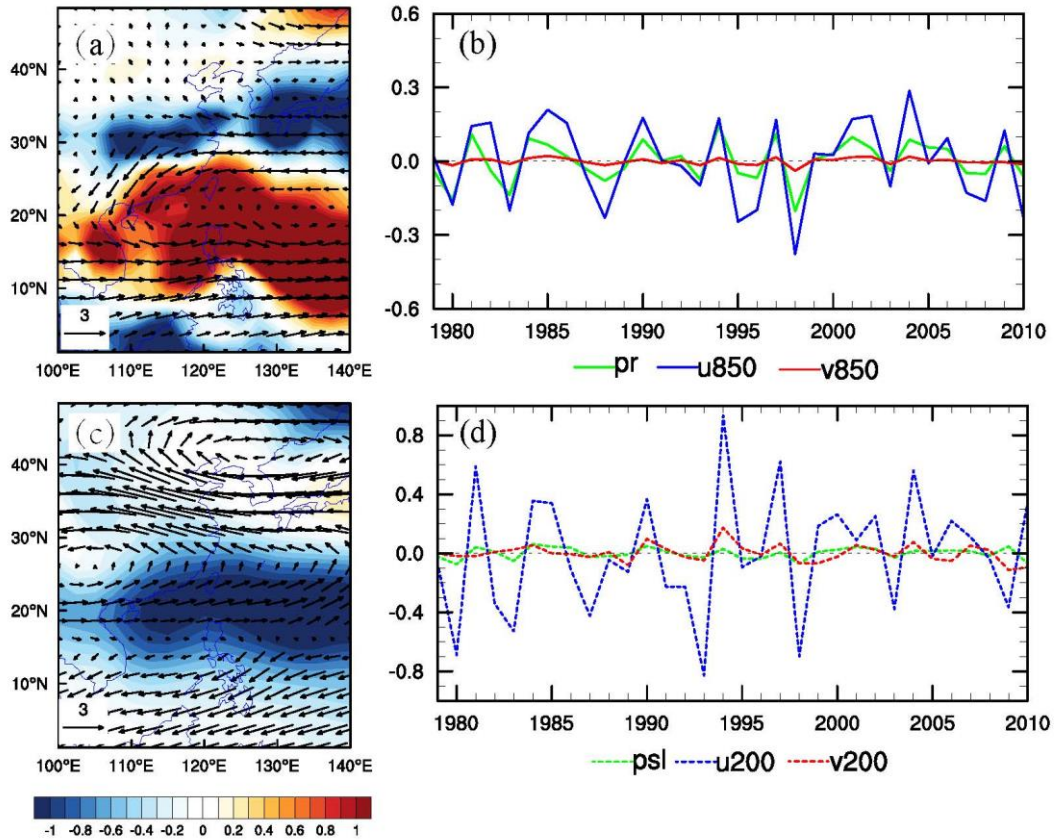
639 Fig.2. Taylor diagrams display of pattern (PCC) and temporal (ACC) correlation
640 metrics of six variables between observation and model simulation in the EASM
641 region (0-50°N, 100-140°E). Each coloured marker represents a model, *i.e.*, the BCC-
642 CSM1-1 (black), the CanCM4 (green), the GFDL-CM2p1 (red), the HadCM3 (blue),
643 the MIROC5 (brown), the MPI-ESM-LR (light-sea-blue), and the HadCM3-ff
644 (orange).

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648 Fig. 3. Performance of the model ensemble member (hollow marker) and its ensemble
 649 mean (solid marker) on the EASM index. The abscissa and ordinates are the anomaly
 650 correlation coefficient (ACC) and the root-mean-square-error (RMSE), respectively.
 651 The observation of EASM index is calculated by zonal wind at 850 hPa from the
 652 ERA-Interim re-analysis data. The black dot lines indicate the significant level at 0.1.
 653 The vertical black line represents the correlation between the simulation and the
 654 observation of EASM index is 0.



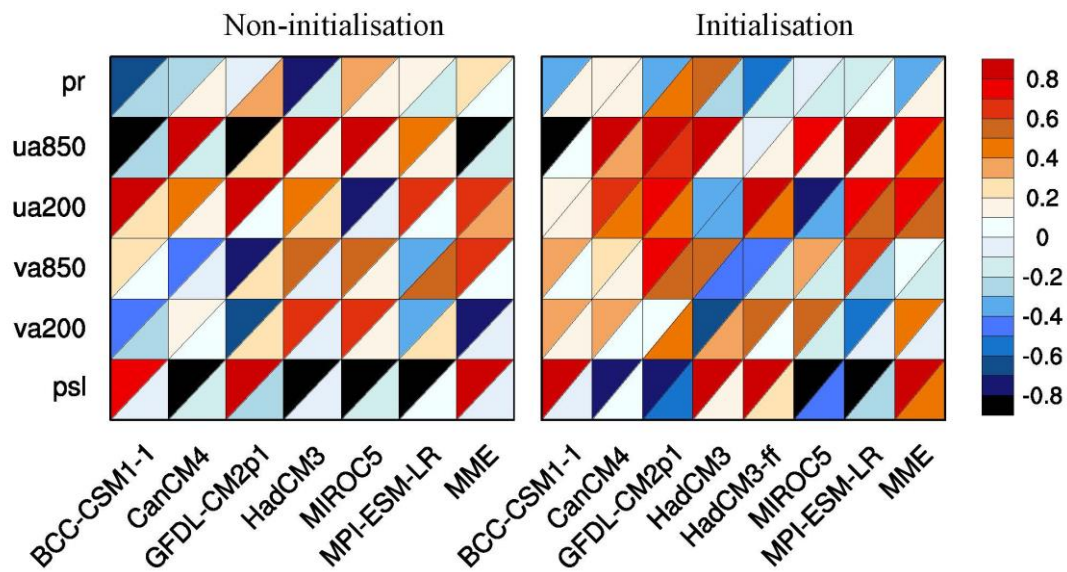
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656 Fig. 4. Spatial distribution of the first leading EOF mode of June-July-August
 657 precipitation and winds over 850 hPa (a), mean sea level pressure and winds over 200
 658 hPa (c) and the associated principal component (PC; b, d). The GPCP and the ERA-
 659 Interim data from 1979-2005 are used for the EOF analysis in the EASM domain.

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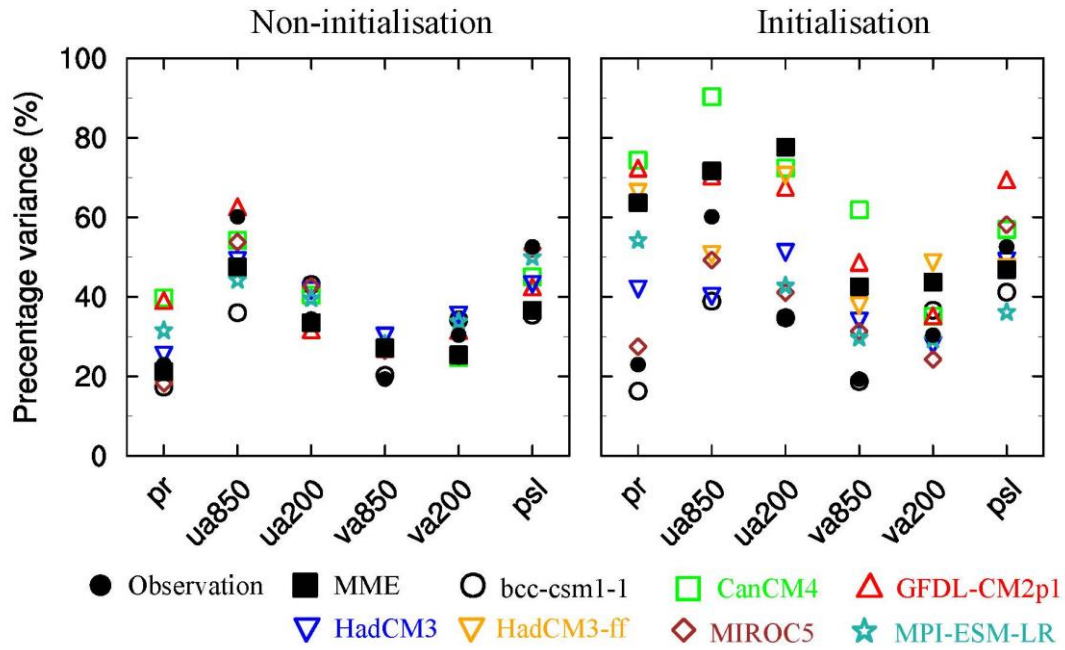


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664 Fig. 5. Portrait diagram display of correlation metrics between the observation and the
665 model simulation of the first lead EOF mode for the six fields in the non-initialisation
666 (left) and the initialisation (right). Each grid square is split by a diagonal in order to
667 show the correlation with respect to both the eigenvector (upper left triangle) and its
668 associated principal components (lower right triangle) reference data sets.

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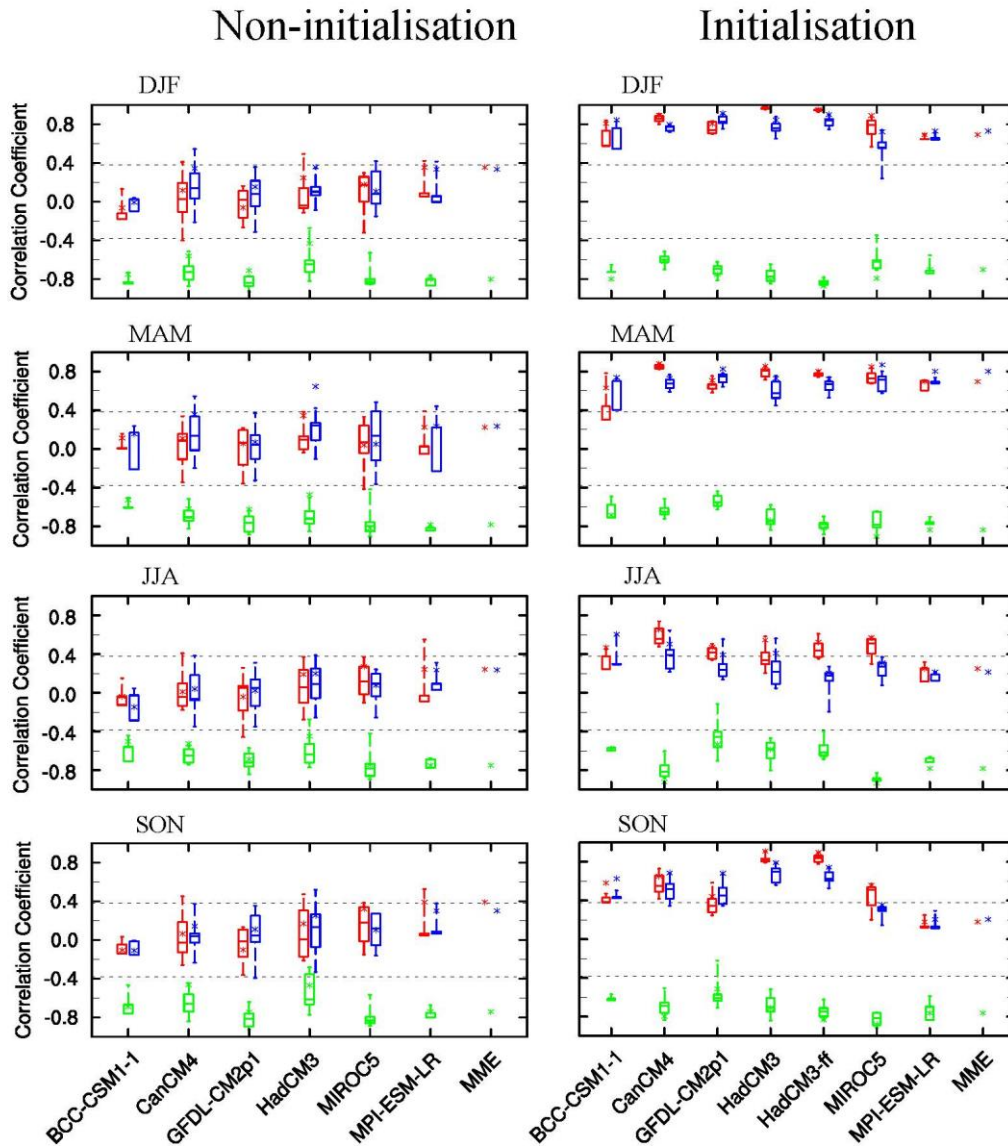
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672 Fig. 6. Fraction variance (%) explained by the first EOF mode for six fields in the
673 non-initialisation (left) and the initialisation (right).

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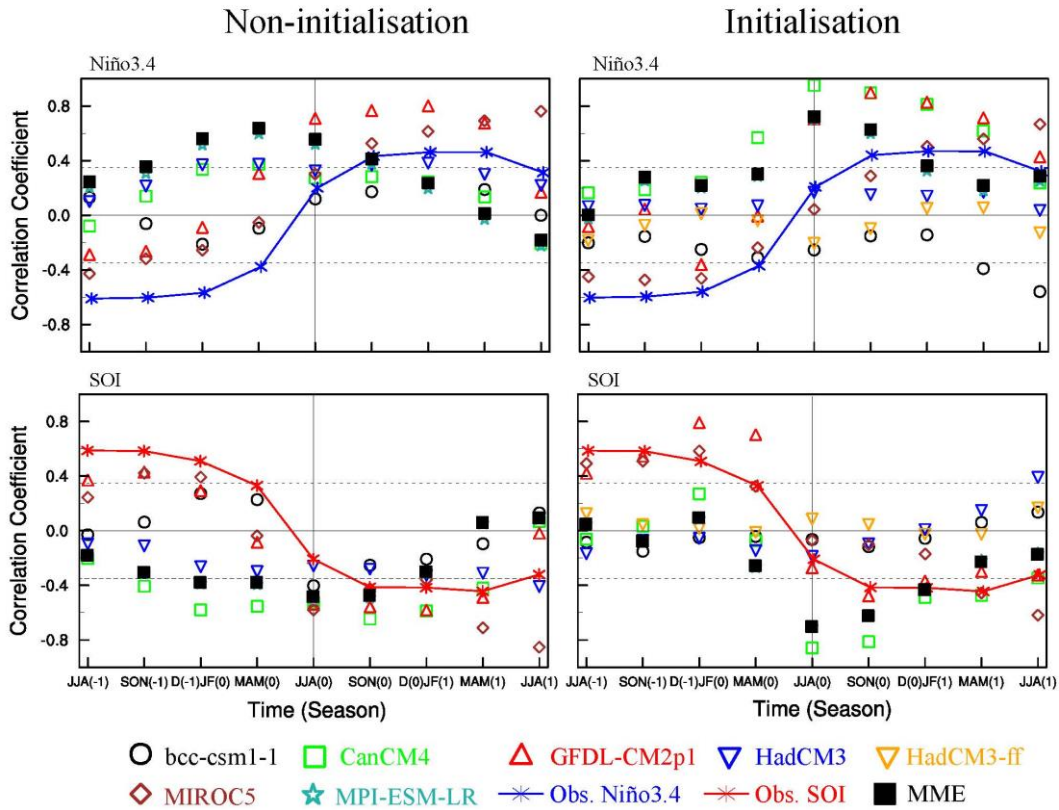
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676 Fig. 7. Model prediction skill of Niño3.4 (red), SOI (blue) from DJF to SON in non-
 677 initialised (left) and initialised (right) simulations. Green diagrams show the
 678 correlation coefficient between the model simulation of Niño3.4 and the SOI. Box and
 679 whisker diagrams show ensemble mean of each model (asterisk), median (horizontal
 680 line), 25th and 75th percentiles (box), minimum and maximum (whisker). The two
 681 black dotted lines indicate 0.05 significant level based upon Student's t-test.

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687 Fig. 8. Lead-lag correlation coefficients between the EASM index and Niño3.4
 688 (upper), and SOI (lower) in non-initialised simulations (left) and initialised ones
 689 (right) for observation (marker line) and models (marker) from JJA(-1) to JJA(+1).
 690 The two black dotted lines are 0.05 significant level based upon Student’s t-test. The
 691 vertical line represents JJA(0), where the simultaneous correlations between the
 692 EASM index and Niño3.4, and SOI are shown.

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