

# **Estimating global cropland production from 1961 to 2010**

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1 **Abstract.** Global cropland net primary production (NPP) has tripled over the last fifty  
2 years, contributing 17-45 % to the increase of global atmospheric CO<sub>2</sub> seasonal  
3 amplitude. Although many regional-scale comparisons have been made between  
4 statistical data and modelling results, long-term national comparisons across global  
5 croplands are scarce due to the lack of detailed spatial-temporal management data.  
6 Here, we conducted a simulation study of global cropland NPP from 1961 to 2010  
7 using a process-based model called VEGAS and compared the results with Food and  
8 Agriculture Organization of the United Nations (FAO) statistical data on both  
9 continental and country scales. According to the FAO data, the global cropland NPP  
10 was 1.3, 1.8, 2.2, 2.6, 3.0 and 3.6 PgC yr<sup>-1</sup> in the 1960s, 1970s, 1980s, 1990s, 2000s  
11 and 2010s, respectively. The VEGAS model captured these major trends at global and  
12 continental scales. The NPP increased most notably in the U.S. Midwest, Western  
13 Europe and the North China Plain, and increased modestly in Africa and Oceania.  
14 However, significant biases remained in some regions such as Africa and Oceania,  
15 especially in temporal evolution. This finding is not surprising as VEGAS is the first  
16 global carbon cycle model with full parameterization representing the Green  
17 Revolution. To improve model performance for different major regions, we modified  
18 the default values of management intensity associated with the agricultural Green  
19 Revolution differences across various regions to better match the FAO statistical data  
20 at the continental level and for selected countries. Across all the selected countries,  
21 the updated results reduced the root mean square error (RMSE) from 19.0 to 10.5 TgC  
22 yr<sup>-1</sup> (~45 % decrease). The results suggest that these regional differences in model  
23 parameterization are due to differences in social-economic development. To better  
24 explain the past changes and predict the future trends, it is important to calibrate key  
25 parameters at regional scales and develop datasets for land management history.

## 26 **1 Introduction**

27 Cropland net primary production (NPP) plays a crucial role in both food security  
28 and atmospheric CO<sub>2</sub> variations. Crop yield is part of crop NPP, thus food security  
29 relies greatly on crop NPP. It has been reported that increase in cropland NPP driven  
30 by the agricultural Green Revolution contributed 17-45 % of the increase in  
31 atmospheric CO<sub>2</sub> seasonal amplitude (Gray et al., 2014; Zeng et al., 2014).  
32 Furthermore, vegetation is the most active C reservoir in the terrestrial ecosystem, and  
33 is easily affected by climate change (e.g., drought) and management practices, thus  
34 potentially affecting global climate change (Le Quéré et al., 2016; Zeng et al., 2005b;  
35 Zhao and Running, 2010).

36 Globally, agricultural areas cover ~1,370 million hectares (Mha), distributed across  
37 diverse climatic and edaphic conditions, with a variety of complex cropping systems  
38 and management practices (Foley et al., 2011; Gray et al., 2014; Lal, 2004; Monfreda  
39 et al., 2008). Features of the agricultural Green Revolution include 1) adoption of  
40 improved varieties, 2) expansion of irrigation, and 3) increased use of chemical  
41 fertilizer and pesticide. These three factors have contributed approximately equally to  
42 increased crop NPP (Sinclair, 1998). Although the agricultural Green Revolution has  
43 been identified as a key driver of increased crop yield, its impact on crop NPP differs  
44 across time and space. Management intensity (here, mainly referring to the third  
45 feature of the Green Revolution) varies largely and has not always changed  
46 synchronously in different parts of the world (Table 1) (Ejeta, 2010; Evenson, 2005;  
47 Glaeser, 2010; Hazell, 2009). Thus, cropland NPP is highly variable, complicating the  
48 assessment of global cropland NPP (Bondeau et al., 2007; Ciais et al., 2007; Gray et  
49 al., 2014). For example, in the USA, the timing and magnitude of the agricultural  
50 Green Revolution occurred almost evenly from 1961-2010, while in Brazil, the most  
51 dramatic increase occurred after 2000 (Glaeser, 2010; Hazell, 2009). However,  
52 accounting for such effects of heterogeneity in management practices over time and  
53 space on crop NPP at a global scale has been rare to date.

54 Three methods are available for estimating vegetation NPP: statistical data,  
55 process-based models and remote sensing. Statistical data and process-based models  
56 are prevalent method for estimating global NPP, but, except for a few recent studies,  
57 are generally limited to natural vegetation based on climate and edaphic variables,  
58 (Gray et al., 2014; Zeng et al., 2014). Therefore, global- and regional-scale estimates  
59 of cropland NPP therefore must rely on census and survey data. However, these data  
60 report agricultural production, not NPP, and thus need crop-specific factors (dry  
61 matter fraction, harvest index (HI), root to shoot ratio, etc.) to calculate the NPP (Gray  
62 et al., 2014; Huang et al., 2007; Monfreda et al., 2008; Prince et al., 2001), which  
63 neglected the temporal evolution for crop-specific factors such as harvest index and  
64 root to shoot ratio (Lorenz et al., 2010; Sinclair, 1998). Remote sensing by satellites is  
65 a powerful tool for estimating global terrestrial NPP (Cleveland et al., 2015; Field et  
66 al., 1995; Nemani et al., 2003; Parazoo et al., 2014; Zhao and Running, 2010), yet  
67 croplands are coincident with natural vegetation, making it difficult to differentiate  
68 the two using remote sensing (Defries et al., 2000; Monfreda et al., 2008).

69 The current state of the global carbon models is as follows: 1) some models, such  
70 as LPJ or ORCHIDEE, do not have an agricultural module; 2) models with an  
71 agricultural module, such as LPJ managed Land (LPJmL), do not fully represent the  
72 features of the Green Revolution; 3) the VEGAS model, by Zeng et al. (2014), was  
73 the first attempt to model the agricultural Green Revolution. The importance of  
74 parameter calibration has been recognized and addressed by numerous modelling  
75 studies (Bondeau et al., 2007; Chen et al., 2011; Crowther et al., 2016; Luo et al.,  
76 2016; Ogle et al., 2010; Peng et al., 2013). In addition, regional calibrated parameters  
77 are critical for global-scale modelling (Le Quéré et al., 2016). However, because the  
78 management data needed for most terrestrial models is spatially and temporally scarce,  
79 a precise regional simulation and calibration seems impossible (Bondeau et al., 2007).

80 Here, we conducted a study concentrated on calibrations at both the regional and  
81 the country scale. Instead of using an extensive set of actual management data that are  
82 unavailable or incomplete, we modelled the first-order effects on crop NPP using  
83 parameterizations. Our objectives were to 1) describe the method for simulating the

84 three Green Revolution features, 2) quantify the cropland NPP over the last fifty years  
85 on both the continental and country scales, and 3) improve the model's performance  
86 by key parameterization.

## 87 **2 Materials and methods**

### 88 **2.1 Simulating the Green Revolution with a dynamic vegetation model**

89 We simulated agriculture using a generic crop functional type that represents an  
90 average of three dominant crops: maize, wheat and rice. These crops are similar to  
91 warm C3 grass, one of the natural plant functional types in VEGAS (Zeng et al.,  
92 2005a; Zeng et al., 2014). A major difference is the narrower temperature growth  
93 function, to represent a warmer temperature requirement than natural vegetation.  
94 Cropland management is modelled as an enhanced photosynthetic rate by the cultivar  
95 selection, irrigation and application of fertilizers and pesticides. We modelled the  
96 first-order effects on carbon cycle using regional-scale parameterizations with the  
97 following rules.

#### 98 **2.1.1 Variety**

99 The selection of high-yield dwarf crop varieties has been a key feature of the  
100 agricultural Green Revolution since the 1960s, generally accompanied by an increase  
101 in the harvest index (the ratio of grain to aboveground biomass) (Sinclair, 1998). The  
102 harvest index varies for different crops, with a lower value for wheat (0.37-0.43)  
103 (Huang et al., 2007; Prince et al., 2001; Soltani et al., 2004) and higher values for rice  
104 (0.42-0.47) (Prasad et al., 2006; Witt et al., 1999) and maize (0.44-0.53) (Huang et al.,  
105 2007; Prince et al., 2001). We used a value of 0.45 for the year 2000, a typical value  
106 of the three major crops: maize, rice and wheat (Haberl et al., 2007; Sinclair, 1998).  
107 The temporal change of HI is modelled as:

$$108 \quad HI_{crop} = 0.45(1 + 0.6\tanh(\frac{y-2000}{70})) \quad (1)$$

109 so that  $HI_{crop}$  was 0.31 at the beginning of the Green Revolution in 1961, and 0.45 for

110 2000 (Fig. 1), based on values found in the literature (Prince et al., 2001; Sinclair,  
 111 1998).

### 112 2.1.2 Irrigation

113 To represent the effect of irrigation, the soil moisture function ( $\beta = w_1$  for  
 114 unmanaged grass, where  $w_1$  is surface soil wetness) is modified as:

$$115 W_{irrig} = 1 + 0.5 \left( \frac{1}{1 + \text{Exp}\left(\frac{2(MAT-15)}{5}\right)} \right) \quad (2)$$

116

$$117 \beta = 1 - \frac{(1-w_1)}{W_{irrig}} \quad (3)$$

118 The irrigation intensity  $W_{irrig}$  varies spatially from 1 (no irrigation) to 1.5 (high  
 119 irrigation) using mean annual temperature (MAT) as a surrogate (Fig. 2a), with  $\beta$   
 120 ranging from 0 (no irrigation) to 0.33 (high irrigation) under extreme dry natural  
 121 conditions (Fig. 2b). This function also modifies  $\beta$  when  $w_1$  is not zero, but the effect  
 122 of irrigation decreases when  $w_1$  increases and levels off when  $w_1$  equals 1 (soil is  
 123 saturated). Thus,  $\beta$  (and thus the photosynthesis rate) is determined by both naturally  
 124 available water ( $w_1$ ) and irrigation. The spatial variation in  $W_{irrig}$  reflects a regional  
 125 difference between tropical and temperate climates.

### 126 2.1.3 Fertilizer and pesticide

127 To represent the enhanced productivity from cultivar and fertilization, the gross  
 128 carbon assimilation rate is modified by a management intensity factor (MI) that varies  
 129 spatially and changes over time:

$$130 MI(\text{region}, \text{year}) = M_0 M_1(\text{region}, MAT(\text{lat}, \text{lon})) M_2(\text{year}) \quad (4)$$

$$131 M_1(\text{region}, MAT) = M_{1r}(\text{region}) * \text{Max}(1 - \tanh(MAT(\text{lat}, \text{lon}) - 15/25), 1.0) \quad (5)$$

$$133 M_2(\text{year}) = 1 + 0.2 \tanh\left(\frac{\text{year} - 2000}{70}\right) \quad (6)$$

134 where  $M_0$  is a scaling factor, the default value taken as 1.7 compared with natural  
 135 vegetation 1.0, while  $M_1$  is the spatially varying parameter, using major global regions

136 as listed in Table 2 and MAT to differentiate (Eq. 4).  $M_{1r}$  is a region-dependent  
137 relative management intensity factor and  $M_1$  is stronger in temperate and cold regions  
138 and weaker in tropical countries, for which we used the MAT as a surrogate (Eq. 4).  
139  $M_2$  is a temporal evolutionary factor (Eq. 3), and the term in parentheses represents  
140 the temporal evolution, modelled by a hyperbolic tangent function, with the MI values  
141 in 1961 approximately 10 % lower than in 2000, and 20 % lower asymptotically  
142 farther back in time (Fig. 3).

#### 143 **2.1.4 Motivation of the $M_{1r}$ parameter calibration**

144  $M_{1r}$  is a region-dependent relative management intensity factor that varied largely  
145 across regions, and the default parameters were derived from a previous version used  
146 in Zeng et al. (2014), mainly to capture the global trends, which neglected the  
147 regional trends to some degree. A main focus of this study is to improve the  $M_{1r}$   
148 parameter based on the FAO regional data to capture the regional trends. For each  
149 individual region, we used a series of parameters to drive the model and chose the  
150 best fit for the FAO statistical data (by naked eye observation) as follows:

- 151 1. Parameter  $M_{1r}$  was calibrated on a continental scale to match the FAO statistical  
152 data. During this period, countries within the same continent were assigned the  
153 same  $M_{1r}$ .
- 154 2. The  $M_{1r}$  for selected major countries was calibrated independently from the  
155 continental calibration, while the other countries that were not selected within the  
156 same continent were tuned oppositely from the selected countries to keep the total  
157 simulated continental production close to the FAO data.

158 After the two steps, total production was summed as all countries with updated  
159 parameters.

#### 160 **2.1.5 Planting, harvesting, and lateral transport**

161 Crop phenology was not decided beforehand but was determined by the climate  
162 condition. For example, when it is sufficiently warm in temperate and cool regions,

163 crops begin to grow. This assumption captures most of the spring planting, and  
164 simulates multiple cropping in low latitudes. However, one limitation of such simple  
165 assumption is that it misses some other crop types, such as winter wheat, which has an  
166 earlier growth and harvest.

167 When the leaf area index (LAI) growth rate slows to a threshold value, a crop is  
168 assumed to be mature and is harvested. The automatical planting and harvest criteria  
169 allow multiple cropping in some warm regions, and matches areas with intense  
170 agriculture such as East Asia and Southeast Asia, but the criteria may overestimate  
171 regions with single cropping. Consequently, the simulated results tend to be the  
172 potential productivity due to the climate characteristics and our generic crop.

173 After harvest, grain and straw are assumed to be appropriated by farmers and then  
174 incorporated into the soil metabolic carbon pool. The harvested crop is redistributed  
175 according to population density, resulting in the horizontal transport of carbon. As a  
176 consequence, cropland areas act as net carbon sinks, and urban areas release large  
177 amount of CO<sub>2</sub> through heterotrophic respiration. Lateral transport is applied within  
178 each continent to simulate the first-order approximation. Additional information on  
179 cross-regional trade was also taken into account for eight major world economic  
180 regions.

## 181 **2.2 Data sets**

### 182 **Climate data**

183 Gridded monthly climate data sets (i.e., maximum and minimum temperature,  
184 precipitation, and radiation) covering the period 1901–2013 with a spatial resolution  
185 of 0.5°×0.5° were obtained from the Climatic Research Unit, University of East  
186 Anglia (<http://www.cru.uea.ac.uk/cru/data/hrg/>). The CRU TS3.22 (Harris et al., 2013)  
187 are calculated on high-resolution grids, which are based on an archive of monthly  
188 mean temperatures provided by more than 4000 weather stations distributed around  
189 the world. The dataset has been widely used for global change studies (Mitchell et al.,  
190 2004; Mitchell and Jones, 2005).



191 **Land-cover data**

192 The land-cover data set (crop/pasture versus natural vegetation) was derived from  
193 the History Database of the Global Environment (HYDE) data set  
194 (<http://themasites.pbl.nl/tridion/en/themasites/hyde/download/index-2.html>)  
195 (Goldewijk et al., 2010; Goldewijk et al., 2011). It is an update of the HYDE with  
196 estimates of some of the underlying demographic and agricultural driving factors  
197 using historical population, cropland and pasture statistics combined with satellite  
198 information and specific allocation algorithms. The 3.1 version has a 5'  
199 longitude/latitude grid resolution, and covers the period 10,000 BC to AD 2000. This  
200 data set was also used in TRENDY and other model comparison projects (Chang et al.,  
201 2017; Sitch et al., 2015). The VEGAS model does not use high spatial resolution  
202 land-use and management data such as crop type and harvest practices; thus,  
203 small-scale regional patterns may not be well simulated, and the results are more  
204 reliable at aggregated continental to global scales.

205 **Crop production data**

206 Crop production and cropland area are aggregated from FAO statistics for the major  
207 crops (FAOSTAT, <http://www.fao.org/faostat/en/#data/QC>, accessed June 2016).  
208 Specifically, they are the sum of the cereals (wheat, maize, rice, and barley, etc.) and  
209 five other major crops (cassava, oil palm, potatoes, soybean and sugar-cane), which  
210 comprise 90 % of the global amount of carbon harvested. Following Ciais et al.  
211 (2007), conversion factors are used to convert first wet to dry biomass, then to carbon  
212 content. The final conversion factors from wet biomass to carbon are 0.41 for cereals,  
213 0.57 for oil palm, 0.11 for potatoes, 0.08 for sugarcane, and 0.41 for soybean and  
214 cassava.

215 **2.3 Initialization and simulation**

216 The VEGAS model used in TRENDY (Sitch et al., 2015; Zeng et al., 2005a) was  
217 run from 1700 to 2010 and, forced by climate, annual mean CO<sub>2</sub>, and land-use and  
218 management history. Due to unavailable data of observed climate data before 1900,

219 the average climate data over the period from 1900 to 1909 was used to drive the  
220 “spin-up”. The VEGAS model has a speed up procedure for soil carbon to make it  
221 achieve equilibrium state (Zeng et al., 2005).

## 222 **3 Results**

### 223 **3.1 A brief revisit of the agricultural Green Revolution**

224 The agricultural Green Revolution was mostly started in the 1960s to cope with the  
225 food–population balance, particularly in developing countries (Borlaug, 2002) (Table  
226 1). Its features include the development of high-yield varieties (HYVs) of cereal  
227 grains, the expansion of irrigation, and applications of synthetic fertilizers and  
228 pesticides (Borlaug, 2007). The intensity of such management varies widely and has  
229 not always occurred synchronously in different parts of the world. Specifically, in the  
230 1950s, new wheat and maize varieties were developed by the International Maize and  
231 Wheat Improvement Center (CIMMYT) in Mexico, and their agricultural productivity  
232 increased with irrigated cultivation in the northwest (Byerlee and Moya, 1993; Gollin,  
233 2006; Pingali, 2012). Later in 1966, a new dwarf high-yield rice cultivar, IR8 was  
234 bred by the International Rice Research Institute (IRRI) in the Philippines, and it was  
235 spread and grown in most of the rice-growing countries of Asia, Africa and Latin  
236 America (Fischer et al., 1998; Khush, 2001; Peng et al., 1999). Also in the 1960s,  
237 India imported new wheat seed from CIMMYT to Punjab and later adopted IR8 rice  
238 variety from Philippines that could produce more grains (Parayil, 1992). China began  
239 participating in the Green Revolution in the 1970s, with hybrid rice bred by Longping  
240 Yuan (Yuan, 1966), and the fertilizer application rate increased dramatically from 43  
241 kg/ha in 1970 to 346 kg/ha in 1995 (Hazell, 2009). Meanwhile, Brazil began  
242 participating in the Green Revolution in the 1970s, and in collaboration with  
243 CIMMYT, high-yielding wheat varieties with aluminum toxicity resistance were  
244 developed, which were efficient in dealing with the aluminum toxicity in the Cerrado  
245 soils of Brazil (Davies, 2003; Khush, 2001). In contrast, African countries began their  
246 participation in the Green Revolution much later in the 1980s, with many obstacles

247 from both climatic, edaphic and social-economic factors (Ejeta, 2010; Sánchez, 2010)  
248 and it featuring sustainable agriculture, plant breeding, and biotechnology.

### 249 **3.2 Global and continental comparison between model simulation and FAO** 250 **statistical data**

251 Worldwide, the FAO data showed that cropland production increased from 439 TgC  
252 in 1961 to 1519 TgC in 2010 (246 % increase) (Fig. 4), and the VEGAS model  
253 captured most of this trend in both the default and the calibrated results. East Asia and  
254 North America contributed the most to this trend (Fig. 5). For East Asia, crop  
255 production increased from 65 TgC in 1961 to 342 TgC (426 % increase) in 2010. For  
256 North America, it increased from 90 TgC in 1961 to 235 TgC (161 % increase) in  
257 2010. Other regions followed the increasing trend except for the former USSR region.  
258 The lowest crop production existed in Central-West Asia and Oceania, with less than  
259 50 TgC over the study period.

260 As described in Sect. 2.1.4, we calibrated the  $M_{Ir}$  parameter for each region. The  
261 default and updated regional management intensity parameter (Table 2) produced  
262 dramatically different estimations for some continents, for example in North America,  
263 Southeast Asia and Africa (Fig. 5 a, b, e). However, for other continents, such as  
264 South Asia, the improvement was not so pronounced. For East Asia, the default  
265 parameter was sufficient to capture most of the crop production variations. Moreover,  
266 the timing and magnitude of the agricultural Green Revolution was quite different  
267 over different regions. For example, it occurred more recently in Africa and South  
268 America (Fig. 5a,c) and much earlier in East Asia and Europe (Fig. 5d, i). In the  
269 region of former USSR, crop production even decreased after 1990 (Fig. 5h) due to  
270 the large areas of abandoned croplands, thus making the regional-scale simulation  
271 more complicated.

272 Furthermore, the updated parameters in different regions did not substantially  
273 change the total production estimations (Fig. 4), indicating that a good agreement in  
274 global total production may be overestimated in some regions while underestimated in

275 others, which does not reflect the true nature of the production distributions and  
276 variations.

### 277 **3.3 Country-scale comparison between model simulation and FAO statistical** 278 **data**

279 At the country level, the FAO data showed that China, the USA and India were the  
280 top three countries contributing to global crop production (Fig. 6). For China, crop  
281 production increased from 50 TgC in 1961 to 230 TgC in 2010 (360 % increase). For  
282 the USA, it increased from 76 TgC in 1961 to 204 TgC in 2010 (168 % increase).  
283 Other countries followed the same increasing trend with different rates. The lowest  
284 crop production in the top 9 countries existed in Canada and Argentina, with less than  
285 50 TgC over the study period.

286 As for the VEGAS simulations, the default parameters (Table 3) might  
287 overestimate results in some countries while underestimating others. The calibrated  
288 parameter could capture variations in most of the countries (Fig. 6). For Chinese crop  
289 production, a decreasing trend after 1999 was captured, but the magnitude was weaker  
290 (Fig. 6a), because the drop in cropland area was not represented in HYDE 3.0 for  
291 China. The calibrated parameter also performed well in other countries. For Brazil  
292 and Argentina, the dramatic increase after 2000 was not well captured due to the  
293 simple assumption that the strongest management occurred in 2000 and became  
294 weaker afterwards.

295 Based on the country-scale comparisons between the updated VEGAS simulations  
296 and the FAO statistical data of the decadal means, the linear regression slope was 1.00,  
297 with a higher  $R^2$  of 0.97 ( $p < 0.01$ ), a smaller RMSE of 10.5 TgC (~45 % decrease),  
298 and a smaller RMD of 3.5 TgC (~31 % decrease) compared with the default results  
299 (Fig. 7).

### 300 **3.4 Spatial comparison between the model simulation and the documented data**

301 The two independent datasets produced similar spatial distributions of crop NPP

302 (Fig. 8). The highest crop NPP regions were the Great Plains of North America and  
303 temperate western Europe and East Asia ( $> 1.0$  Tg per  $2500 \text{ km}^2$ , Fig. 8), where the  
304 agricultural Green Revolution was the strongest, but high yields were also present  
305 locally within tropical regions (e.g., Southeast Asia), while the lowest production in  
306 Africa, Eastern Europe and Russia ( $< 0.4$  Tg per  $2500 \text{ km}^2$ , Fig. 8) was due largely to  
307 the low input in agricultural R & D and the rigid climate and edaphic conditions. The  
308 model result overestimated Russian cropland NPP because of the simplified model  
309 representation of temporal changes, and the abandoned cropland after the collapse of  
310 former USSR was not represented in the HYDE data set. Meanwhile, the high South  
311 American NPP was underestimated.

312 The average cereal NPP increased from  $1.0 \text{ Mg ha}^{-1}$  to  $1.5 \text{ Mg ha}^{-1}$  for African  
313 croplands (Fig. 9a), and it increased from  $1.5$  to  $2.1 \text{ Mg ha}^{-1}$  for Oceania croplands  
314 from 1961 to 2014. Europe, Asia and South America showed similar increasing trends  
315 from  $1.5$  to  $4.0 \text{ Mg ha}^{-1}$ . North America showed the highest cereal NPP, with an  
316 increase of  $2.5$  to  $8.0 \text{ Mg ha}^{-1}$  over the fifty years. For soybean NPP, America topped  
317 the six continents with  $3.0 \text{ Mg ha}^{-1}$  in 2010, while Africa showed the lowest NPP with  
318  $1.2 \text{ Mg ha}^{-1}$  in 2010, one-third that of America. Europe and Oceania had a middle  
319 level of  $\sim 2.0 \text{ Mg ha}^{-1}$  in 2010. This NPP trend was consistent with the progress of the  
320 Green Revolution progress on each continent.

#### 321 **4 Discussion**

322 In the estimation of crop NPP, one of the sources of uncertainty is crop parameters,  
323 such as variations in harvest index. When accounting for this variation of 0.45  
324 (0.37-0.53, or 18 % of the mean), the uncertainty resulted from the harvest index for  
325 the FAO production derived NPP would be  $1.3 \pm 0.2$  and  $3.6 \pm 0.6 \text{ PgC yr}^{-1}$  in the  
326 1960s and 2010s, respectively. And the harvest index represented in equation 1 did  
327 not change with time in different regions. This was mainly restricted by the limited  
328 large scale observed values over time. And we mainly modeled the long-term  
329 decreased HI trend over time. In the future, large scale observed harvest index dataset

330 that changed with time should be collected and included in carbon modeling studies.  
331 Furthermore, the planting and harvest criteria allow multiple cropping in some warm  
332 regions, which captures trends in areas with multiple cropping practices such as East  
333 Asia and Southeast Asia, but the criteria may overestimate regions with single  
334 cropping in North America and Europe. Consequently, the simulated results tend to be  
335 the potential productivity due to the climate characteristics and the generic crop.  
336 Additionally, one of the main driving factors for the agricultural Green Revolution  
337 was the economic input. Gross domestic expenditures on food and agricultural R&D  
338 worldwide has increased from 27.4 to 65.5 billion of 2009 purchasing power parity  
339 (PPP) dollars from 1980-2010 (Pardey et al., 2016). The middle-income countries  
340 R&D investment share increased from 29 % in 1980 to 43 % in 2011. This investment  
341 difference has dramatically influenced the crop NPP (Fig. 4, 5, 6, 8) due to  
342 improvements in crop varieties, fertilizer and pesticide application, and expansion of  
343 irrigation areas (Ejeta, 2010; Evenson, 2005; Evenson and Gollin, 2003; Gollin et al.,  
344 2005; Gray et al., 2014; Hazell, 2009). Despite a drought-induced reduction in the  
345 global terrestrial NPP of 0.55 PgC from 2000 to 2009 based on MODIS satellite data  
346 analysis (Zhao and Running, 2010), cropland NPP increased 0.3-0.6 PgC for the same  
347 period in this study because of the agricultural Green Revolution (Fig. 4).

348 Gray et al. (2014) used production statistics and a carbon accounting model to show  
349 that increases in agricultural productivity explained ~25 % changes in atmospheric  
350 CO<sub>2</sub> seasonality. Northern Hemisphere extratropical maize, wheat, rice, and soybean  
351 production increased 0.33 PgC (240 %) between 1961 and 2008. This study showed a  
352 consistent estimation: the total cropland production increased 1.0 PgC (300 %), and  
353 took up 0.5 Pg more carbon in July. Furthermore, Monfreda et al. (2008) estimated the  
354 global cropland NPP for the year 2000 at the sub-country scale using the FAO  
355 statistical yield data and cropland area distributions. Consistently, the global cropland  
356 mean NPP was estimated as 4.2 MgC ha<sup>-1</sup>, with the highest NPP in Asian croplands of  
357 5.5 MgC ha<sup>-1</sup> and the lowest in African croplands of 2.5 MgC ha<sup>-1</sup>. Specifically, both  
358 studies agreed well in several regions that had the highest cultivated NPP due to  
359 intensive agriculture and/or multiple cropping: Western Europe; East Asia; the central

360 United States; and southern Brazil, with NPP larger than 10 MgC ha<sup>-1</sup>. Meanwhile,  
361 Bondeau et al. (2007) modelled the difference of agricultural NPP between LPJmL  
362 and LPJ, showing that agriculture increased NPP in intensively managed or irrigated  
363 areas (Europe, China, southern United States, Argentina). However, their study could  
364 not capture the increasing trends in the US Central Plains and in the Australian wheat  
365 belt because of the unavailability of management data at those regional scales,  
366 showing the limitations of modelling using detailed regional management data.  
367 Moreover, using country-based agricultural statistics and activity maps of human and  
368 housed animal population densities, Ciais et al. (2007) estimated the global carbon  
369 harvested in croplands was 1.3 PgC yr<sup>-1</sup>, of which ~13 % enters into horizontal  
370 displacement through international trade circuits, contributing ~0.2-0.5 ppm mean  
371 latitudinal CO<sub>2</sub> gradients.

372 European cropland NPP increased 127 % over the last half century, as estimated by  
373 VEGAS (Fig. 5i), and the yield increased at a rate of 1.8 % per annum. Moreover,  
374 without the management intensity parameter updated, the crop yields for the 2000s  
375 would be 10.4 % lower. Similarly, a study showed that across all major crops  
376 cultivated in the EU, plant breeding has contributed approximately 74 % of total  
377 productivity growth since 2000, equivalent to a yield increase of 1.2 % per annum.  
378 European crop yields today would be more than 16 % lower without access to  
379 improved varieties (BSPB). The 2003 drought and heat in Europe reduced the  
380 terrestrial gross primary productivity (GPP) by 30 % (Ciais et al., 2005), while it was  
381 decreased by 15 % for cropland NPP in this study (Fig. 5i). This decrease was smaller  
382 than the natural ecosystem response due largely to the counteractive effects of  
383 management inputs (irrigation, fertilization, etc.).

384 In the central USA, VEGAS modelled the cropland NPP as > 6 MgC ha<sup>-1</sup> in the  
385 Great Plains and < 3 MgC ha<sup>-1</sup> in northwest and north USA for the 2000s. Prince et al.  
386 (2001) estimated crop NPP by applying crop-specific factors to statistical agricultural  
387 production. The NPP at the county-level in 1992 ranged from 2 MgC ha<sup>-1</sup> in North  
388 Dakota, Wisconsin, and Minnesota to >8 MgC ha<sup>-1</sup> in central Iowa, Illinois, and Ohio.  
389 Areas of highest NPP were dominated by corn and soybean cultivation. Using a

390 similar method, Hicke et al. (2004) estimated crop NPP increased in counties  
391 throughout the United States, with the largest increases occurring in the Midwest,  
392 Great Plains, and Mississippi River Valley regions. It was estimated that total  
393 coterminous cropland production increased from 0.37 to 0.53 (a 40 % increase) Pg C  
394 yr<sup>-1</sup> during 1972–2001.

395 In Asian croplands, the percentage of harvested area for rice, wheat and maize  
396 under modern varieties was lower than 10 % in the 1960s, and it increased to over 80 %  
397 in the 2000s (Evenson, 2005). Moreover, nitrogen (N) fertilizer increased from 23.9  
398 kg ha<sup>-1</sup> in 1970 to 168.6 kg ha<sup>-1</sup> in 2012, while the irrigated area increased from 25.2 %  
399 in 1970 to 33.2 % in 1995 (Rosegrant and Hazell, 2000). Correspondingly, the crop  
400 NPP increased from 1.4 in 1961 to 4.5 MgC ha<sup>-1</sup> in 2014 (Fig. 9). Cropland NPP in  
401 China was estimated to increase from 159 TgC yr<sup>-1</sup> in the 1960s to 513 TgC yr<sup>-1</sup> in the  
402 1990s based on the National Agriculture Database (Statistics Bureau of China 2000)  
403 (Huang et al., 2007), and this study estimated the range as 286 TgC yr<sup>-1</sup> in the 1960s  
404 to 559 TgC yr<sup>-1</sup> in the 1990s. In tropical Asia, the new croplands were mainly derived  
405 from forests, which caused large amounts of carbon losses from both vegetation and  
406 soil (Gibbs et al., 2010; Tao et al., 2013; West et al., 2010).

407 The African croplands currently nourish over 1.0 billion people. The need for  
408 sustainable agriculture combined with stable grain yield production is particularly  
409 urgent in Africa. However, the continent is now trading carbon for food. Newly  
410 cleared land in the tropics releases nearly 3 tons of carbon for every 1 ton of annual  
411 crop yield compared with a similar area cleared in the temperate zone (West et al.,  
412 2010). This continent can triple its crop yields provided the depletion of soil nutrients  
413 is addressed (Sánchez, 2010). Using chemical fertilizer as an example, the average N  
414 application rate from 2002 to 2012 was only ~14 kg ha<sup>-1</sup> yr<sup>-1</sup> in Africa, which severely  
415 hampered crop production (Han et al., 2016). In addition, complete crop residue  
416 removal for fodder and fuel is a norm in Africa, causing soils in these areas to lack  
417 organic matter input and to become carbon sources (Lal, 2004). Since the mid-1970s,  
418 ~50 Mha of Ethiopian land had no or low fertilizer application, resulting in low crop  
419 NPP (< 2 MgC ha<sup>-1</sup>, Fig. 7, 8) (West et al., 2010) and soil degradation (Shiferaw et al.,



420 2013). African agricultural development has to overcome a series of constraints such  
421 as drought, poor soil fertility, diverse agro-ecologies, unique pests and diseases, and  
422 persistent institutional and programmatic challenges (Ejeta, 2010).

423 In terms of the data gap in management intensity, very few data sets provide  
424 long-term time series data with high spatial resolution. HYDE is a land use dataset  
425 that does not provide management intensity information (Goldewijk et al., 2011).  
426 Monfreda et al. (2008) developed a data set consisting of 175 crops consistent to the  
427 FAO statistical data for the period around year 2000. Moreover, Fritz et al. (2015)  
428 developed a cropland percentage map for the baseline year 2005. For the fertilizer  
429 dataset, Potter et al. (2010) provided the global manure N and P application rate for a  
430 mean state around year 2000. Moreover, Lu and Tian (2017) developed a global time  
431 series gridded data set for synthetic N and phosphorous (P) fertilizer application rate  
432 in agricultural lands. For the irrigation data set, global monthly irrigated crop areas  
433 around the year 2000 were developed by Portmann et al. (2010). These data sets are  
434 mostly for a specific year or a period mean, and they are unsuitable for long-term  
435 simulations. Therefore, we still lack a comprehensive data set that reflects  
436 management intensity.

437 A more challenging task would be to calibrate regional parameters and explain  
438 spatial patterns better, because models may significantly underestimate the  
439 high-latitude trend (Graven et al., 2013) and overestimate elsewhere even if the global  
440 total is simulated correctly (Zeng et al., 2014). More work should be directed to  
441 reduce uncertainties in regional model parameterizations (Le Quéré et al., 2015; Luo  
442 et al., 2016). This paper focuses on both the continental and country scales to calibrate  
443 key parameters to better constrain the future projections of global cropland NPP.

## 444 **5 Conclusion**

445 We used a process-based terrestrial model VEGAS to simulate global cropland  
446 production from 1960 to 2010, and adapted the management intensity parameter at  
447 both continental and country scales. The updated parameter could capture the

448 temporal dynamics of crop NPP much better than the default ones. The results showed  
449 that cropland NPP tripled from  $1.3 \pm 0.1$  in the 1960s to  $3.6 \pm 0.2$  Pg C yr<sup>-1</sup> in the  
450 2000s. The NPP increased most notably in the U.S. Midwest, Western Europe and the  
451 North China Plain. In contrast, it increased slowly in Africa and Oceania. We  
452 highlight the large difference in model parameterization among regions when  
453 simulating the crop NPP due to the differences in timing and magnitude of the Green  
454 Revolution. To better explain the history and predict the future crop NPP trends, it is  
455 important to calibrate key parameters at regional scales and develop time series data  
456 sets for land management history.

457

#### 458 **Data availability**

459 Several publicly available data sets were used in this study. The specific references  
460 and internet links to the data sources are given in the text. Model outputs are available  
461 upon request.

462 **Author contribution:** N. Zeng conceived and designed the study, P.F. Han and F.  
463 Zhao performed the simulations and analyzed the results. N. Zeng and P.F. Han  
464 prepared the manuscript with contributions from all co-authors.

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666  
667

668 **Tables:**

669 Table 1 Features of the agricultural Green Revolution across regions

670

Region/Coun try	Starting period	Features	Ref.
Africa	1980s	Sustainable agriculture, plant breeding, and biotechnology	(Evenson and Gollin, 2003); (Ejeta, 2010);(Pingali, 2012)
Asia	1960s	Varieties breeding, use of chemical fertilizers and pesticides, and irrigation	(Hazell, 2009)
Europe and North America	1960s	Large public investment in crop genetic improvement built on the scientific advances for the major staple crops —wheat, rice, and maize	(Pingali, 2012)
South America	1960s	Varieties breeding, use of chemical fertilizers and pesticides, and irrigation	(Evenson and Gollin, 2003); (Hazell, 2009)
Mexico	1950s	New wheat and maize varieties developed by the International Maize and Wheat Improvement Center. Improve agricultural productivity with irrigated cultivation in northwest	(Cotter, 2005); (Khush, 2001);(Pingali, 2012)
Philippines	1966	A new dwarfed high-yield rice cultivar, IR8 was bred by IRRI	(Fischer et al., 1998); (Peng et al., 1999)



India	1960s	Plant breeding, irrigation development, and financing of agrochemicals	(Hazell, 2009);
China	1970s	Hybrid rice bred by Longping Yuan; Fertilizer increased dramatically	(Yuan, 1966); (Lin and Yuan, 1980)
Brazil	1970s	High-yielding wheat varieties with aluminum toxicity resistance were developed	(Davies, 2003);(Khush, 2001);(Marris, 2005)

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671 Table 2 Default and calibrated regional management intensity parameter of  $M_{1r}$ . The  
 672 default values were obtained from Zeng et al., (2014), which were parameterized  
 673 mainly for global trend simulation. See Sect. 2.1.4 for the calibration. Updated  $M_{1r}$   
 674 values are represented by  $\uparrow$  and  $\downarrow$  symbols, indicating an increase or a decrease  
 675 compared to the default ones, respectively.

Continent	Default	Calibrated
Africa	0.5	0.8 $\uparrow$
North America	1.3	1.1 $\downarrow$
South America	0.7	0.9 $\uparrow$
East Asia	1.5	1.5
Southeast Asia	1.0	0.7 $\downarrow$
South Asia	0.7	0.6 $\downarrow$
Central-West Asia	0.7	1.0 $\uparrow$
Former USSR	1.0	1.2 $\uparrow$
Rest of Europe	1.3	1.1 $\downarrow$
Oceania	1.0	0.6 $\downarrow$

676

677 Table 3 Default and calibrated national management intensity parameter of  $M_{1r}$ .

Country	Default	Calibrated
China	1.5	1.3 $\downarrow$
USA	1.3	1.0 $\downarrow$
India	0.7	0.6 $\downarrow$
Russia	1.0	0.9 $\downarrow$
Brazil	0.7	0.8 $\uparrow$
Indonesia	1.0	0.7 $\downarrow$
France	1.3	3.0 $\uparrow$
Canada	1.3	2.1 $\uparrow$
Argentina	0.7	0.8 $\uparrow$

678

679 **Figure Captions:**

680 Figure 1: Harvest index change over time as used in the model, and a harvest index of  
681 0.31 in 1961 and 0.49 in 2010, based on literature review.

682 Figure 2: Irrigation intensity ( $W_{\text{irrig}}$ ) changes with mean annual temperature (MAT)  
683 and  $\beta$  (beta) changes with soil wetness for typical  $W_{\text{irrig}}$  as used in the model.

684 Figure 3: Management intensity (relative to year 2000) changes over time as used in  
685 the model. The analytical functions are hyperbolic tangent (see text). The parameter  
686 values correspond to a management intensity in 1961 that is 10 % smaller than in  
687 2010.

688 Figure 4: Annual global crop production from 1961 to 2010. Default parameters were  
689 derived from a previous version that was used in Zeng et al., (2014) to capture the  
690 global trends, and calibrated parameters were set in this study (see text) to capture the  
691 regional trends.

692 Figure 5: Annual crop production from 1961 to 2010 at continental scales. The (d)  
693 subplot has no purple line since the default parameter produced the best fit for all the  
694 tuned simulations.

695 Figure 6: Annual crop production from 1961 to 2010 at country scales.

696 Figure 7: Country-based comparison of simulated and observed cropland productions  
697 (Tg) before (a) and after (b) calibration. Each country consists of five dots  
698 representing the five decadal mean values, respectively.

699 Figure 8: Mean cropland NPP from 1997 to 2003. VEGAS modelled patterns (in units  
700 of Tg C per 2500 km<sup>2</sup>, upper panel) show major productions in the agricultural areas  
701 of North America, Europe and Asia (the lower panel shows the mean crop NPP based  
702 on the FAO statistical data from Navin Ramankutty (<http://www.earthstat.org/>)).

703 Figure 9: Cereal and soybean NPP at continental scales over the last 60 years derived  
704 from FAO yield data. Note that the scales are different.

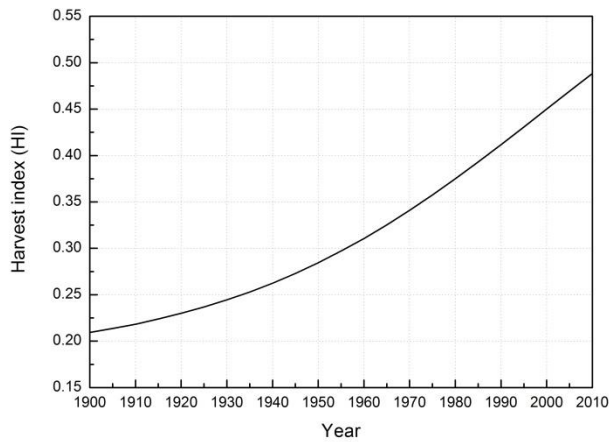
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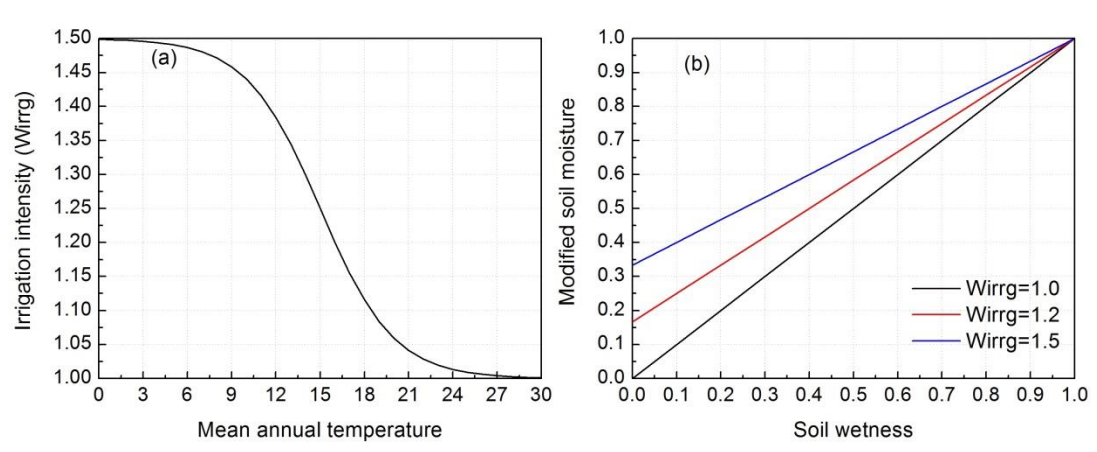


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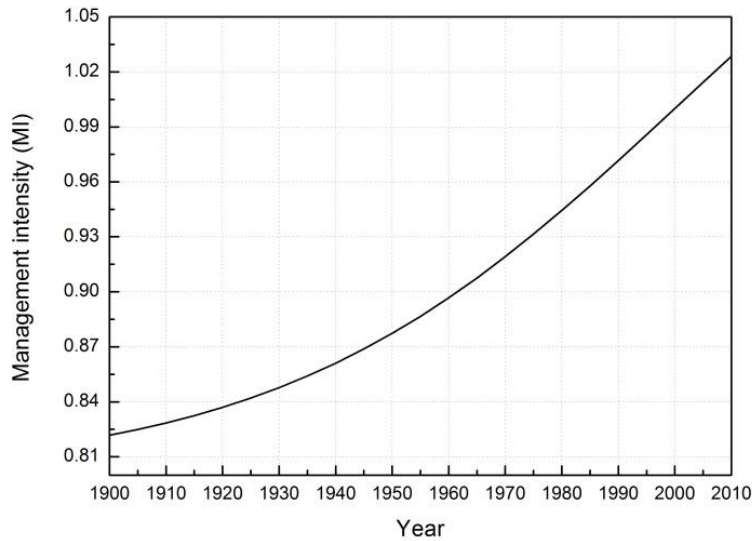


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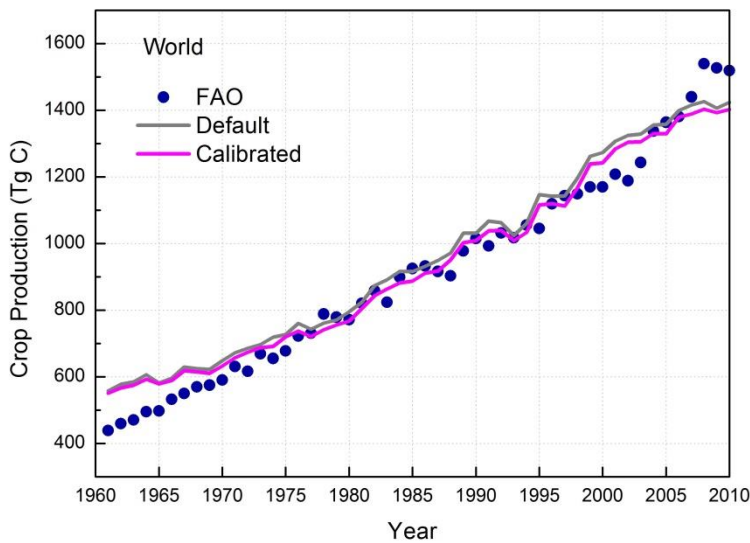
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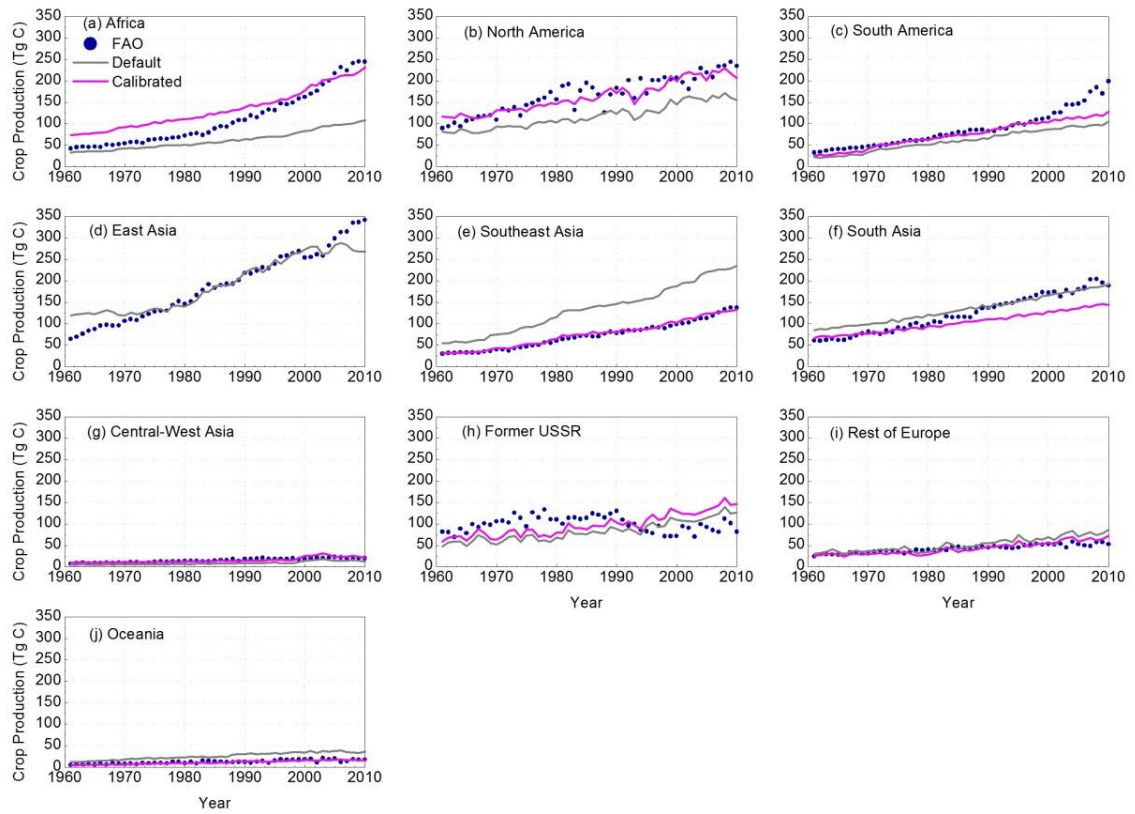
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 724 2010.

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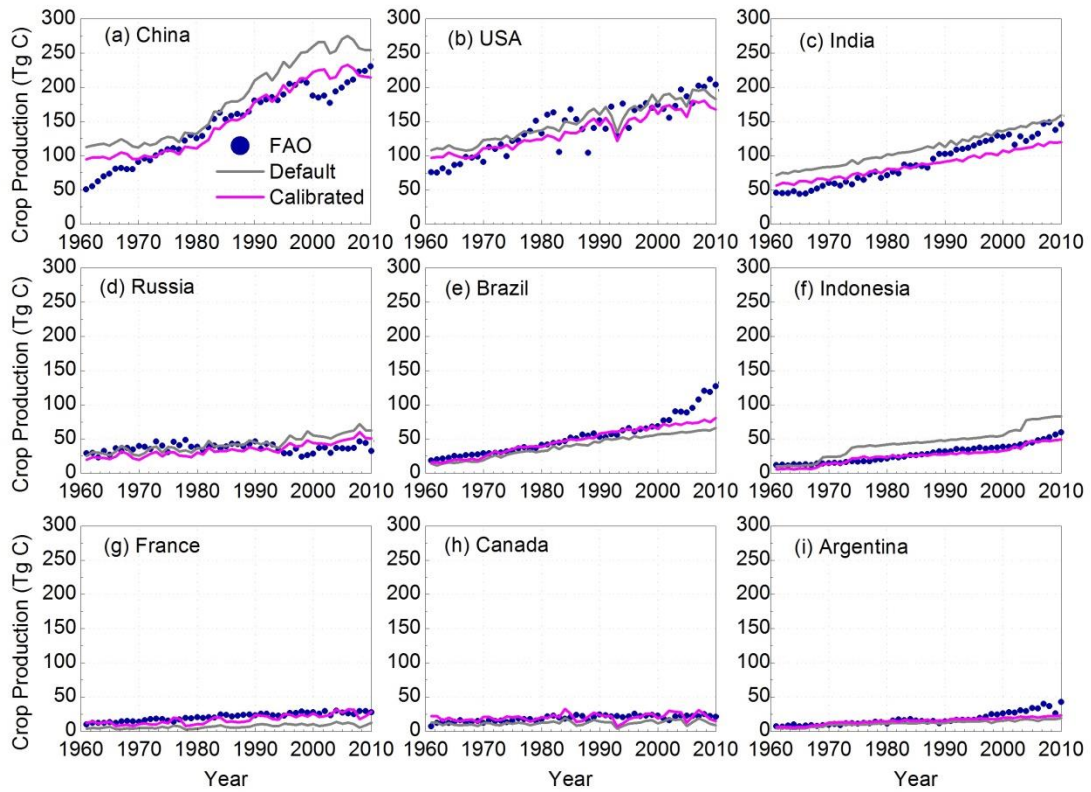
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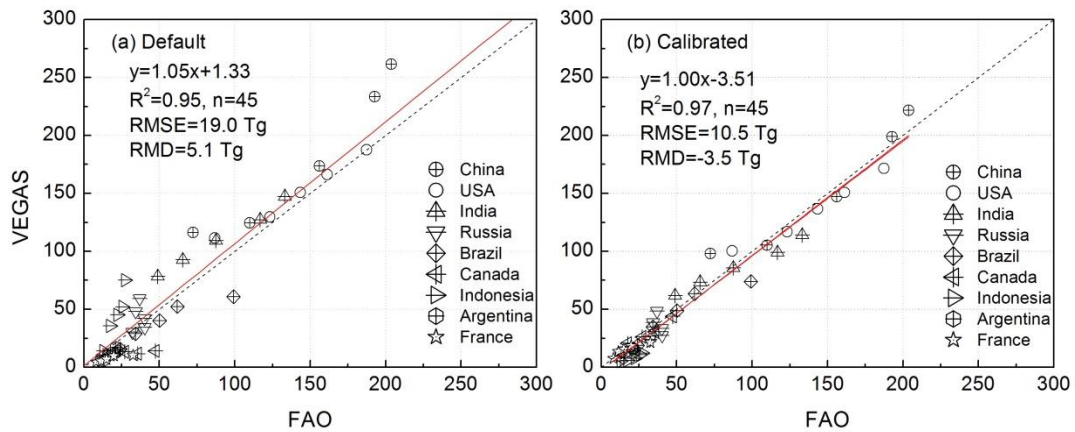


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736 Figure 6: Annual crop production from 1961 to 2010 at country scales.

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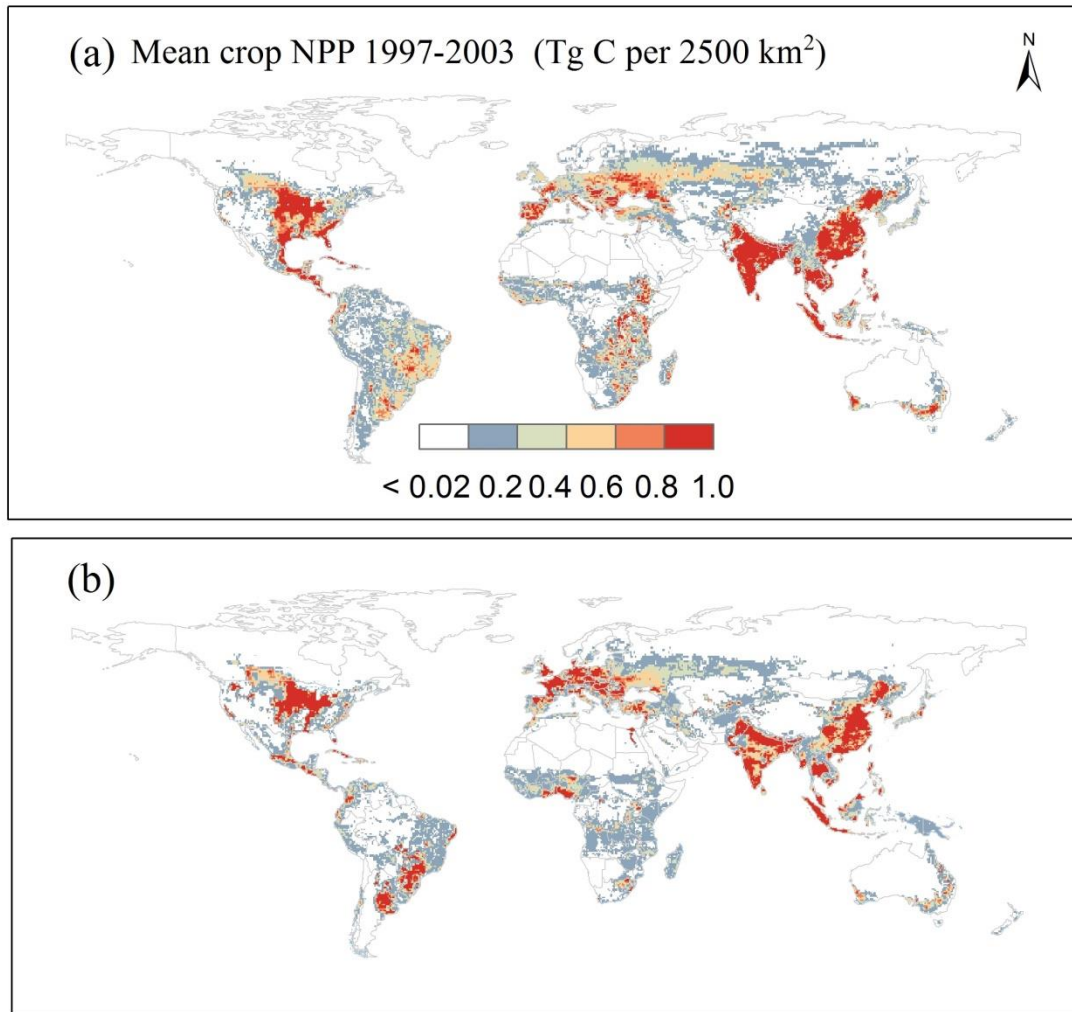
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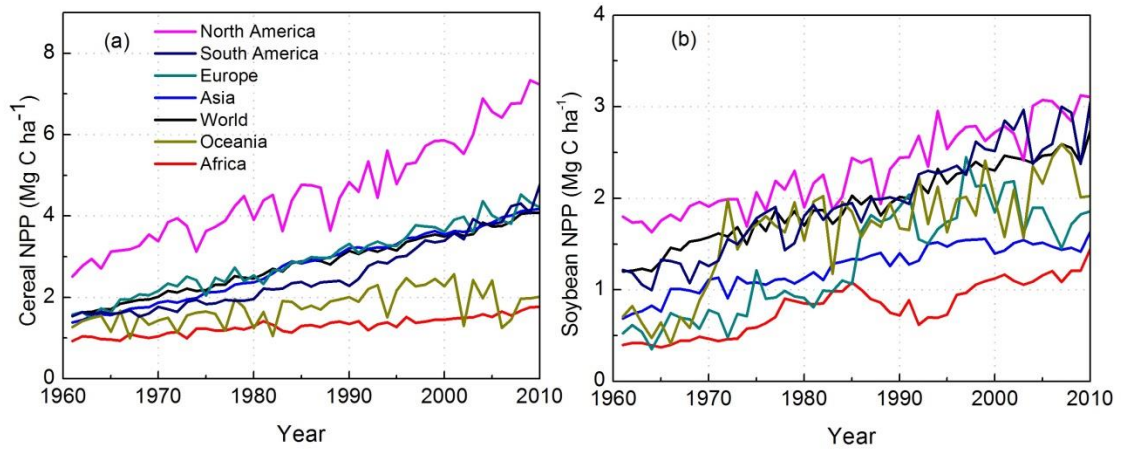
745

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