

Contrasting terrestrial carbon cycle responses to the 1997/98 and 2015/16 extreme El Niño events

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Abstract

Large interannual atmospheric CO₂ variability is dominated by the response of the terrestrial biosphere to El Niño–Southern Oscillation (ENSO). However, the behavior of terrestrial ecosystems differs during different El Niños in terms of patterns and biological processes. Here, we comprehensively compare two extreme El Niños (2015/16 and 1997/98) in the context of a multi-event ‘composite’ El Niño. We find large differences in the terrestrial carbon cycle responses, even though the two events were of similar magnitude.

More specifically, we find that the global-scale land–atmosphere carbon flux (F_{TA}) anomaly during the 1997/98 El Niño was 1.64 Pg C yr^{−1}, but half that quantity during the 2015/16 El Niño (at 0.73 Pg C yr^{−1}). Moreover, F_{TA} showed no obvious lagged

response during the 2015/16 El Niño, in contrast to that during 1997/98. Separating the global flux by geographical regions, the fluxes in the tropics and extratropical northern hemisphere were 1.70 and $-0.05 \text{ Pg C yr}^{-1}$ during 1997/98, respectively. During 2015/16, they were 1.12 and $-0.52 \text{ Pg C yr}^{-1}$, respectively. Analysis of the mechanism shows that, in the tropics, the widespread drier and warmer conditions caused a decrease in gross primary productivity (GPP; $-0.73 \text{ Pg C yr}^{-1}$) and an increase in terrestrial ecosystem respiration (TER; $0.62 \text{ Pg C yr}^{-1}$) during the 1997/98 El Niño. In contrast, anomalously wet conditions occurred in the Sahel and East Africa during 2015/16, which caused an increase in GPP, compensating for its reduction in other tropical regions. As a result, the total 2015/16 tropical GPP and TER anomalies were -0.03 and $0.95 \text{ Pg C yr}^{-1}$. GPP dominance during 1997/98 and TER dominance during 2015/16 accounted for the phase difference in their F_{TA} . In the extratropical northern hemisphere, the large difference occurred because temperatures over Eurasia were warmer during the 2015/16, as compared with the cooling seen during the 1997/98 and the composite El Niño. These warmer conditions enhanced GPP and TER over Eurasia during the 2015/16 El Niño, while these fluxes were suppressed during 1997/98. The total extratropical northern hemisphere GPP and TER anomalies were 0.63 and 0.55 Pg C yr^{-1} during 1997/98, and 1.90 and 1.45 Pg C yr^{-1} during 2015/16, respectively. Additionally, wildfires played a less important role during the 2015/16 than during the 1997/98 El Niño.

1 Introduction

The atmospheric CO₂ growth rate has significant interannual variability, greatly influenced by the El Niño–Southern Oscillation (ENSO) (Bacastow, 1976; Keeling et al., 1995). This interannual variability primarily stems from terrestrial ecosystems (Bousquet et al., 2000; Zeng et al., 2005). There is also a general consensus that the tropical terrestrial ecosystems account for the terrestrial carbon variability (Cox et al., 2013; Peylin et al., 2013; Wang et al., 2016; Wang et al., 2013; Zeng et al., 2005). They tend to release anomalous levels of carbon flux during El Niño episodes, and take up carbon during La Niña events (Wang et al., 2016; Zeng et al., 2005). Recently, Ahlstrom et al. (2015) further suggested that ecosystems in semi-arid regions dominated the terrestrial carbon interannual variability, with a 39% contribution.

The terrestrial dominance primarily results from the drive-response mechanisms in climate variability (especially in temperature and precipitation) caused by ENSO and plant/soil physiology (Jung et al., 2017; Tian et al., 1998; Wang et al., 2016; Zeng et al., 2005). The land–atmosphere carbon flux (F_{TA} – positive sign meaning a flux into the atmosphere) can mainly be attributed to the imbalance between the gross primary productivity (GPP) and terrestrial ecosystem respiration (TER) according to $F_{TA} \cong TER - GPP + C_{fire}$, where the carbon flux from wildfires (C_{fire}) is generally much smaller than the GPP or TER. Therefore, variations in each, or all, result in the changes in F_{TA} .

Based on a dynamical global vegetation model (DGVM), Zeng et al. (2005) found that net primary productivity (NPP) contributed to almost three quarters of the tropical F_{TA} interannual variability. Multi-model simulations involved in the TRENDY project and CMIP5 have consistently suggested that NPP or GPP dominate the terrestrial carbon variability (Ahlstrom et al., 2015; Kim et al., 2016; Piao et al., 2013; Wang et al., 2016).

77 These biological process analyses suggest that precipitation variation is the dominant
78 climate factor in controlling F_{TA} interannual variability (Ahlstrom et al., 2015; Qian et
79 al., 2008; Tian et al., 1998; Wang et al., 2016; Zeng et al., 2005). Qian et al. (2008)
80 calculated the contributions of tropical precipitation and temperature as 56% and 44%,
81 respectively, based on model sensitivity experiments. Eddy covariance network
82 observations have suggested that the interannual carbon flux variability over tropical
83 and temperate regions is controlled by precipitation, while boreal ecosystem carbon
84 fluxes are more affected by temperature and radiation (Jung et al., 2011). At the same
85 time, there is a significant positive correlation between the atmospheric CO_2 growth
86 rate and mean tropical land temperature (Anderegg et al., 2015; Cox et al., 2013; Wang
87 et al., 2013; Wang et al., 2014). Regression analysis indicates an anomaly of
88 approximately 3.5 Pg C yr^{-1} in the CO_2 growth rate with a 1°C increase in tropical land
89 temperature, whereas a weaker interannual coupling exists between the CO_2 growth
90 rate and tropical land precipitation (Wang et al., 2013). Clark et al. (2003) and Doughty
91 et al. (2008) also concluded, based on in-situ observations, that warming anomalies can
92 reduce tropical tree growth and CO_2 uptake. Therefore, considering this strong
93 emergent linear relationship, these studies (Anderegg et al., 2015; Cox et al., 2013;
94 Clark et al., 2003; Doughty et al., 2008; Wang et al., 2013; Wang et al., 2014) have
95 suggested that temperature dominates the interannual variability of the F_{TA} or CO_2
96 growth rate. To reconcile these contradictory reports, Jung et al. (2017) showed that the
97 temporal and spatial compensatory effects in water availability link the yearly global
98 F_{TA} variability to temperature. Fang et al. (2017) suggested an ENSO-phase-dependent
99 interplay between water availability and temperature in controlling the tropical
100 terrestrial carbon cycle response to climate variability.

101 Apart from these long-term time series studies on the interannual F_{TA} or CO_2 growth

rate variability, we should keep in mind that the terrestrial carbon cycle responds in a unique way in terms of its strength, spatial patterns, biological processes, to every El Niño/La Niña event (Schwalm, 2011). For example, wildfires played an important role in the F_{TA} anomalies during the 1997/98 El Niño (van der Werf et al., 2004). Therefore, it is important to have clear insight into the impacts of ENSO events on the terrestrial carbon cycle, and this is best achieved through representative case studies. Recently, one of the three extreme El Niño events in recorded history occurred in 2015/16 (<https://www.esrl.noaa.gov/psd/enso/current.html>). Because of the interference of the El Chichón eruption during the extreme El Niño case in 1982/83, we chose to compare in detail the response of terrestrial ecosystems in the other two extreme El Niño events, i.e., in 1997/98 and 2015/16, in the context of a multi-event ‘composite’ El Niño, based on the VEGAS DGVM in its near-real-time framework and inversion datasets [Copernicus Atmosphere Monitoring Service (CAMS), Monitoring Atmospheric Composition & Climate (MACC), and CarbonTracker]. The purpose is to clarify the different responses of biological processes in these two extreme events.

The paper is organized as follows: Section 2 describes the mechanistic carbon cycle model used, its drivers, and reference datasets. Section 3 presents the results of the total terrestrial carbon flux anomalies and spatial patterns, along with their mechanisms. Finally, a discussion and concluding remarks are provided in Section 4.

2 Model, datasets and Methods

2.1 Mechanistic carbon cycle model and its drivers

We used the state-of-the-art VEGAS DGVM, version 2.4, in its near-real-time framework, to investigate the responses of terrestrial ecosystems to El Niño events. VEGAS has been widely used to study the terrestrial carbon cycle on its seasonal cycle,

interannual variability, and long-term trends (Zeng et al., 2005; Zeng et al., 2004; Zeng et al., 2014). The model has also extensively participated in international carbon modelling projects, such as the Coupled Climate–Carbon Cycle Model Intercomparison Project (C⁴MIP) (Friedlingstein et al., 2006), the TRENDY project (Sitch et al., 2015) and the Multi-scale Synthesis and Terrestrial Model Intercomparison Project (MsTMIP; Huntzinger et al., 2013). A detailed description of the model structure and biological processes can be found in the appendix of Zeng et al. (2005). We ran VEGAS at the 0.5°×0.5° horizontal resolution from 1901 until the end of 2016, and focused on the period from 1980 to 2016.

The climate fields used to force VEGAS were:

(1) Precipitation datasets generated by combining the Climatic Research Unit (CRU) Time-series (TS) Version 3.22 (University of East Anglia Climatic Research Unit et al., 2014), NOAA’s Precipitation Reconstruction over Land (PREC/L) (Chen et al., 2002), and the NOAA–NCEP Climate Anomaly Monitoring System-Outgoing Longwave Radiation Precipitation Index (CAMS-OPI) (Janowiak and Xie, 1999).

(2) Temperature data from the CRU TS3.22 before the year 2013, and generated by combining the CRU 1981–2010 climatology and the Goddard Institute for Space Studies (GISS) Surface Temperature Analysis (GISTEMP) (Hansen et al., 2010) after 2013.

(3) Downward shortwave radiation from the driver datasets in MsTMIP (Wei et al., 2014) before 2010, with the value of the year 2010 repeated for subsequent years.

(4) The gridded cropland and pasture land use datasets integrated from the History Database of the Global Environment (HYDE) (Klein Goldewijk et al., 2011) with an linear extrapolation in 2016.

2.2 Reference datasets

We selected a series of reference datasets to compare to the VEGAS simulation. The atmospheric CO₂ concentrations were from the monthly in-situ CO₂ datasets at the Mauna Loa Observatory, Hawaii (Keeling et al., 1976). The Niño 3.4 (120°W–170°W, 5°S–5°N) sea surface temperature anomaly (SSTA) data were from the NOAA's Extended Reconstructed Sea Surface Temperature (ERSST) dataset, version 4 (Huang et al., 2015), with a three-month running average. We compared the CAMS (1980–2015) and MACC (1980–2014) inversion results (Chevallier, 2013) and the CarbonTracker2016 (2000–2015) with the CarbonTracker near-real time results from 2016 (Peters et al., 2007) with VEGAS. The F_{TA} in CarbonTracker was calculated by the sum of the posterior biospheric flux and its imposed fire emissions. The Satellite-based fire emissions were from the Global Fire Emissions Database, Version 4 (GFEDv4) from 1997 through 2014 (Randerson et al., 2015). Owing to the high correlation between the solar-induced chlorophyll fluorescence (SIF) and terrestrial GPP (Guanter et al., 2014), we selected the monthly satellite SIF from the GOME2_F version 26 from 2007 to 2016 (Joiner et al., 2012). We also compared the Enhanced Vegetation Index (EVI) from MODIS MOD13C2 (Didan, 2015) with the simulated leaf area index (LAI) anomalies.

2.4 Methods

To calculate the anomalies during the El Niño events, we first removed the long-term climatology in each dataset for getting rid of seasonal cycle signals. We then detrended them based on the linear regression, because the trend was mainly caused by long-term CO₂ fertilization and climate change. We used these detrended monthly anomalies to investigate the impacts of El Niño events on the terrestrial carbon cycle.

3 Results

3.1 Total terrestrial carbon flux anomalies

Three extreme El Niño events (1982/83, 1997/98, and 2015/16) occurred from 1980 to 2016, with their maximum SSTAs above 2.0 K (Fig. 1a). An El Niño event tends to anomalously increase the atmospheric CO₂ growth rate (Fig. 1b); therefore, there are two significant anomalous increases in CO₂ growth rate that correspond to the 1997/98 and 2015/16 El Niño events, although the maximum increase in 2015/16 was slightly less than that in 1997/98. Because of the diffuse light disturbance (Mercado et al., 2009) of the Mount El Chichón eruption during the 1982/83 El Niño on the canonical coupling between the anomalies of the CO₂ growth rate anomalies and El Niño events, we mainly focused on the 1997/98 and 2015/16 El Niño events in this study. The interannual variability of the atmospheric CO₂ growth rate principally originates from the terrestrial ecosystems (Fig. 1c). The correlation coefficient between the CO₂ growth rate anomalies and the global F_{TA} simulated by VEGAS was 0.60 ($p < 0.05$). In order to evaluate the performance of the VEGAS simulation on the interannual time scale, we also present CAMS, MACC and CarbonTracker inversion results. The CAMS and MACC inversions were nearly the same, with a correlation coefficient of approximately 0.60 ($p < 0.05$) with VEGAS. From 2000 to 2016, CarbonTracker was highly correlated with VEGAS ($r = 0.67$, $p < 0.05$). These high correlation coefficients between VEGAS and the reference datasets indicate that VEGAS can capture the terrestrial carbon cycle interannual variability well.

There were 10 El Niño events from 1980 to 2016, each with a different duration and strength (Table 1). According to the definition of El Niño, these 10 events can be categorized into two weak (with a 0.5 to 0.9 SSTA), three moderate (1.0 to 1.4), two

strong (1.5 to 1.9), and three very strong (≥ 2.0) events. During the 1997/98 El Niño, the positive SSTA lasted from April 1997 to June 1998, while the positive SSTA occurred in winter 2014, and extended to June 2016 in the 2015/16 El Niño (Fig. 2a). However, every El Niño event always peaks in winter (November or December; Fig. 2a). Considering this phase-lock phenomenon in the El Niño events, we produced a composite analysis (excluding 1982/83 and 1991/92, because of the diffuse radiation disturbances) as the background responses of the terrestrial carbon cycle to El Niño events.

The evolution of the F_{TA} anomalies in VEGAS, the mean of CAMS and MACC, and CarbonTraker in the composite, 1997/98, and 2015/16 El Niño events, are closely consistent with the Mauna Loa CGR anomalies (Figs. 2b–d). The peaks of the F_{TA} and the Mauna Loa CGR anomalies in the 1997/98 and 2015/16 El Niño events were much stronger than those in the composite analysis. Importantly, there were significant terrestrial lagged responses in the composite and 1997/98 El Niño events, with the peak of the F_{TA} anomaly occurring from March to April in the El Niño decaying year (Figs. 2b and c), consistent with previous studies (Qian et al., 2008; Wang et al., 2016). However, this lagged terrestrial response disappeared in the Mauna Loa CGR, VEGAS and CarbonTracker in the 2015/16 El Niño (Fig. 2d). In June 2016, the F_{TA} anomaly of VEGAS and CarbonTracker reduced significantly (the sign changed), whereas the Mauna Loa CGR reduced only slightly (no sign change; Fig. 2d). A similar phenomenon also occurred earlier, from April to July 2015. In addition, the anomalous carbon release caused by the El Niño lasted from approximately July in the El Niño developing year to October in the El Niño decaying year (Figs. 2b–d). For simplicity, we calculated the total anomalies of all El Niño events during this period in the next context, taking the terrestrial lagged responses into account (Wang et al., 2016).

Based on the major geographical regions, we separated global F_{TA} anomaly into the extratropical northern hemisphere ($23^{\circ}N-90^{\circ}N$), tropical regions ($23^{\circ}S-23^{\circ}N$), and extratropical southern hemisphere ($60^{\circ}S-23^{\circ}S$). Because the F_{TA} anomaly over the extratropical southern hemisphere is generally smaller, we mainly present the evolutions of the F_{TA} over the extratropical northern hemisphere and the tropical regions in Fig. 3. Comparing the global and tropical F_{TA} anomalies, the F_{TA} anomalies in the tropical regions dominated the global F_{TA} during these El Niño events (Figs. 3b, d and f), in accordance with previous conclusions (Peylin et al., 2013; Zeng et al., 2005). The F_{TA} anomalies over the extratropical northern hemisphere were nearly neutral in VEGAS for the composite and the 1997/98 El Niño events (Figs. 3a and c). However, there was clear anomalous uptake from April to September in 2016 simulated by VEGAS (Fig. 3e), compensating for the carbon release over the tropics (Fig. 3f). This anomalous uptake caused the globally negative F_{TA} anomalies that occurred from May to September in 2016 (Fig. 2d). Similar anomalous uptake also occurred over the extratropical northern hemisphere from April to July 2015. This anomalous uptake in VEGAS was to some extent consistent with the results from CarbonTracker, and accounted for the global F_{TA} reduction mentioned above during these periods. Comparing the behaviors between the Mauna Loa CGR and the F_{TA} anomalies, the Mauna Loa CGR, which originates from a tropical observatory, does not reflect the signals over the extratropical northern hemisphere in time (Figs. 2d and 3e). Because F_{TA} mainly stems from the difference between TER and GPP, we present the TER and GPP anomalies in Fig. 4 to clearly explain the F_{TA} anomalies. Anomalous negative GPP dominated the F_{TA} anomaly in the tropics in the composite and the 1997/98 El Niño episodes, with the significant lagged responses (peak at approximately May of the El Niño decaying year; Figs. 4b and d). Furthermore, clear positive TER

252 anomalies occurred from October 1997 to April 1998 (Fig. 4d), contributing to the
 253 tropical carbon release during this period (Fig. 3d). In contrast, anomalously positive
 254 TER dominated the F_{TA} anomaly in the tropics during the 2015/16 El Niño, without
 255 clear lags (Fig. 4f), accounting for the disappearance of the terrestrial F_{TA} lagged
 256 response (Fig. 2d). In the extratropical northern hemisphere, the increased GPP and
 257 TER from April to October were nearly identical in the composite and in 1998 (Figs.
 258 4a and c), causing neutral F_{TA} anomalies (Figs. 3a and c). However, the increased GPP
 259 was stronger than the increased TER from April to July 2015 and from April to
 260 September 2016 (Fig. 4e), resulting in the anomalous uptake in F_{TA} (Figs. 2d and 3e).
 261 We calculated the total carbon flux anomalies from July in the El Niño developing year
 262 to October in the El Niño decaying year. The composite global F_{TA} anomaly during the
 263 El Niño events in VEGAS was approximately $0.60 \text{ Pg C yr}^{-1}$, dominated by tropical
 264 ecosystems with $0.61 \text{ Pg C yr}^{-1}$ (Table 2). These anomalies were comparable to the
 265 mean of the CAMS and MACC inversion results, at 0.92 ± 0.01 globally and 0.66 ± 0.03
 266 Pg C yr^{-1} in the tropics. In these two extreme cases, a strong anomalous carbon release
 267 occurred during the 1997/98 El Niño, with a value of $1.64 \text{ Pg C yr}^{-1}$, which was less
 268 than the $2.57 \text{ Pg C yr}^{-1}$ in the CAMS and MACC inversions; while only $0.73 \text{ Pg C yr}^{-1}$
 269 was released during the 2015/16 El Niño, which was comparable to the $0.82 \text{ Pg C yr}^{-1}$
 270 in CarbonTracker. However, the F_{TA} anomalies in the tropical regions dominated the
 271 global F_{TA} anomalies in both cases, with values of 1.70 and $1.12 \text{ Pg C yr}^{-1}$ in VEGAS,
 272 respectively. Furthermore, anomalous carbon uptake simulated by VEGAS over the
 273 extratropical northern hemisphere cancelled out the $0.52 \text{ Pg C yr}^{-1}$ anomalous release
 274 in the tropics during the 2015/16 El Niño, whereas it was neutral ($-0.05 \text{ Pg C yr}^{-1}$) in
 275 the 1997/98 El Niño. The F_{TA} anomaly was relatively smaller in the extratropical
 276 southern hemisphere.

In terms of the biological processes, the GPP ($-0.73 \text{ Pg C yr}^{-1}$) and TER ($0.62 \text{ Pg C yr}^{-1}$) in the tropics together drove the anomalous F_{TA} during 1997/98, while the TER ($0.95 \text{ Pg C yr}^{-1}$) mainly drove the anomalous F_{TA} during 2015/16, with a near neutral GPP of $-0.03 \text{ Pg C yr}^{-1}$ (Table 2). These data confirmed that the GPP played a more important role in the 1997/98 event, while TER was dominant during the 2015/16 El Niño. In the extratropical northern hemisphere, GPP and TER cancelled each other out. They were 0.13 and $0.08 \text{ Pg C yr}^{-1}$ in the composite analysis, and 0.63 and $0.55 \text{ Pg C yr}^{-1}$ in the 1997/98 El Niño, respectively, causing the near neutral F_{TA} anomaly in that region. However, the GPP and TER in the 2015/16 El Niño were much stronger than those in the composite or the 1997/98 El Niño. Importantly, the GPP ($1.90 \text{ Pg C yr}^{-1}$) was stronger than the TER ($1.45 \text{ Pg C yr}^{-1}$) in the 2015/16 El Niño, causing the significant carbon uptake. The F_{TA} anomaly caused by wildfires also played an important role during the 1997/98 El Niño, with a global value of $0.42 \text{ Pg C yr}^{-1}$ in VEGAS, which was consistent with the GFED fire data product ($0.82 \text{ Pg C yr}^{-1}$). The effect of wildfires on the F_{TA} anomaly during the 1997/98 El Niño episode has been previously suggested by van der Werf et al. (2004), whereas it was close to zero ($0.05 \text{ Pg C yr}^{-1}$) during the 2015/16 El Niño.

3.2 Spatial features and its mechanisms

The regional responses of terrestrial ecosystems to El Niño events are inhomogeneous, principally due to the anomalies in climate variability. In the composite El Niño analysis (Fig. 5a), land consistently released carbon flux in the tropics, while there was an anomalous carbon uptake over the North America as well as the central and eastern Europe. These regional responses were generally consistent with the CAMS and MACC inversion results (Fig. 5d).

During the 1997/98 El Niño episode, the tropical responses were analogous to the composite results, except for stronger carbon releases. North America and central and eastern China had stronger carbon uptake, whereas Europe and Russia had stronger carbon release (Fig. 5b). However, during the 2015/16 El Niño, anomalous carbon uptake occurred over the Sahel and East Africa, compensating for the carbon release over the other tropical regions (Fig. 5c). This made the total F_{TA} anomaly in the tropics in 2015/16 less than that in 1997/98 (Figs. 3d and f; and Table 2). North America had anomalous carbon uptake, similar to that in the composite and the 1997/98 El Niño, while central and eastern Russia had anomalous carbon uptake during the 2015/16 El Niño (Fig. 5c), which was opposite to the carbon release in the composite and the 1997/98 El Niño. This opposite behavior of the boreal forests over the central and eastern Russia clearly contributed to the total uptake over the extratropical northern hemisphere (Table 2). Moreover, these regional responses during the 2015/16 El Niño were significantly consistent with the CarbonTracker result (Fig. 5f).

To better explain these regional carbon flux anomalies, we present the main climate variabilities of soil wetness (mainly caused by precipitation) and air temperature, and the biological processes of GPP and TER in Fig. 6. In the composite analyses, the soil wetness is generally reduced in the tropics (Fig. 6a), causing the widespread decrease in GPP (Fig. 6b), which has been verified by model sensitivity experiments (Qian et al., 2008). At the same time, air temperature was anomalously warmer, contributing to the increase in TER. However, the drier conditions in the semi-arid regions, such as the Sahel, South Africa, and Australia, restricted this increase in TER induced by warmer temperatures (Fig. 6d). Higher air temperatures over the North America largely enhanced the GPP and TER, while cooler conditions over the Eurasia reduced them (Figs. 6b–d). Wetter conditions over parts of North America and Eurasia also increased

the GPP and TER to some extent (Fig. 6a).

Comparing the composite results (Figs. 6a–d) and the 1997/98 El Niño (Figs. 6e–h), the regional patterns were almost identical, except for the difference in magnitude. In contrast, there were some differences in the 2015/16 El Niño. Over the Sahel and East Africa, the soil wetness increased due to the higher precipitation (Fig. 6i), dynamically cooling the air temperature (Fig. 6k). These wetter conditions largely benefit GPP (Fig. 6j), compensating for the reduced GPP over the other tropical regions. This caused GPP near neutral in the tropics, as compared to the composite and the 1997/98 El Niño (Table 2). Higher soil moisture also contributed to increased TER over the Sahel (Fig. 6l), contrary to that in the 1997/98 El Niño (Fig. 6h). This spatial compensation in GPP, together with the widespread increase in TER, accounted for the TER dominance in the tropics during the 2015/16 El Niño. Furthermore, the higher GPP resulted in the anomalous carbon uptake in that region (Fig. 5c), which partly compensated for the anomalous carbon release over the other tropical regions. This in part caused the smaller tropical F_{TA} during the 2015/16 El Niño compared with that during 1997/98. Another clear difference occurred over the Eurasia, with almost opposite signals during the 1997/98 and 2015/16 El Niño events. During the 2015/16 El Niño over the Eurasia, air temperature was anomalously higher compared with the cooling in the composite and during the 1997/98 El Niño (Figs. 6c, g, and k). This warmth enhanced the GPP and TER (Figs. 6j and l), as compared with the reduced levels in the composite and during the 1997/98 El Niño (Figs. 6b, d, f, and h). This phenomenon explains the stronger GPP and TER anomalies, and the anomalous carbon uptake over the whole of the extratropical northern hemisphere (Table 2).

Recently, more attention has been paid to SIF as an effective indicator of GPP (Guanter et al., 2014). Therefore, we compared the simulated GPP and SIF variabilities on the

interannual time scale. Although noisy signals in SIF occurred, it was anomalously positive over the USA, parts of Europe, and East Africa, and negative over the Amazon and South Asia, during the 2015/16 El Niño, corresponding to increased and decreased GPP, respectively (Figs. 7a and c). The match over other regions was not significant. In addition, MODIS EVI increased anomalously over the North America, southern South America, parts of Europe, the Sahel, and East Africa, but reduced over the Amazon, northern Canada, central Africa, South Asia, and northern Australia (Fig. 7d). These EVI anomalies corresponded well with the simulated LAI anomalies (Fig. 7b). The good match between the simulated GPP (LAI) and SIF (EVI) gives us more confidence in the VEGAS simulations.

Finally, wildfires, as important disturbances for F_{TA} , always release carbon flux. Although the F_{TA} anomalies caused by wildfires were generally smaller than the GPP or TER anomalies, they played an important role during the 1997/98 El Niño (globally, $0.42 \text{ Pg C yr}^{-1}$ in VEGAS and $0.82 \text{ Pg C yr}^{-1}$ in GFED; Table 2), which is consistent with previous work (van der Werf et al., 2004). The F_{TA} anomalies caused by wildfires are shown in Fig. 8. The correlation coefficients between the simulated global F_{TA} anomalies caused by wildfires and the GFED fire data product was 0.46 (unsmoothed) and 0.63 (smoothed; Fig. 8a), confirming that VEGAS has certain capability in simulating this disturbance. During the 1997/98 El Niño, satellite-based GFED data show that the F_{TA} anomalies caused by wildfires mainly occurred over the tropical regions, such as the Amazon, central Africa, South Asia, and Indonesia (Fig. 8d). VEGAS also simulated the positive F_{TA} over these tropical regions (Fig. 8b). The total tropical F_{TA} anomalies caused by fires were $0.37 \text{ Pg C yr}^{-1}$ in VEGAS and $0.72 \text{ Pg C yr}^{-1}$ in GFED (Table 2). During the 2015/16 El Niño, wildfires also resulted in positive F_{TA} anomalies over the Amazon, South Asia, and Indonesia; however, their magnitudes

were smaller than those during the 1997/98 El Niño, because it was much drier during the 1997/98 event than the 2015/16 one (Figs. 6e and i). In addition, the wetter conditions over East Africa during the 2015/16 El Niño suppressed the occurrences of wildfires with the negative F_{TA} anomalies (Fig. 8c). The total tropical F_{TA} anomaly was 0.11 Pg C yr⁻¹ in VEGAS (Table 2). Therefore, wildfires played a less important role during the 2015/16 event than during the 1997-98 one. The F_{TA} anomalies caused by wildfires over the extratropics were much weaker than those over the tropics, and the match between VEGAS and GFED was poorer (Table 2; Figs. 8b and d).

4 Conclusions and Discussion

The magnitudes and patterns of climate anomalies caused by different El Niño events differ. Therefore, the responses of terrestrial carbon cycle to different El Niño episodes remain uncertain (Schwalm, 2011). In this study, we compared in detail the impacts of two extreme El Niño events in recorded history (namely, the recent 2015/16, and earlier 1997/98 events) on the terrestrial carbon cycle in the context of a multi-event ‘composite’ El Niño. We used VEGAS in its near-real-time framework, along with inversion datasets. The main conclusions can be summarized as follows:

- (1) The simulations indicated that the global-scale F_{TA} anomaly during the 2015/16 El Niño was 0.73 Pg C yr⁻¹, which was nearly two times smaller than that during the 1997/98 El Niño (1.64 Pg C yr⁻¹), and was confirmed by the inversion results. The F_{TA} had no obvious lagged response during the 2015/16 El Niño, in contrast to that during the 1997/98 El Niño. Separating the global fluxes, the fluxes in the tropics and the extratropical northern hemisphere were 1.12 and -0.52 Pg C yr⁻¹ during the 2015/16 El Niño, respectively, whereas they were 1.70 and -0.05 Pg C yr⁻¹ during the 1997/98 event. Tropical F_{TA} anomalies dominated the global F_{TA}

anomalies during both extreme El Niño events.

(2) Mechanistic analysis indicates that anomalously wet conditions occurred over the Sahel and East Africa during the 2015/16 El Niño, resulting in the increase in GPP, which compensated for the reduction in GPP over the other tropical regions. In total, this caused a near neutral GPP in the tropics ($-0.03 \text{ Pg C yr}^{-1}$), compared with the composite analysis ($-0.54 \text{ Pg C yr}^{-1}$) and the 1997/98 El Niño ($-0.73 \text{ Pg C yr}^{-1}$). The spatial compensation in GPP and the widespread increase in TER ($0.95 \text{ Pg C yr}^{-1}$) explained the dominance of TER during the 2015/16 El Niño, compared with the GPP dominance during the 1997/98 event. The different biological dominance accounted for the phase difference in the F_{TA} responses during the 1997/98 and 2015/16 El Niño events.

(3) Higher air temperatures over North America largely enhanced the GPP and TER during the 1997/98 and 2015-16 El Niño events. However, the air temperatures during the 2015/16 El Niño over the Eurasia were anomalously higher, compared with the cooling during the 1997/98 El Niño episode. These warmer conditions benefited the GPP and TER, accounting for the stronger GPP ($1.90 \text{ Pg C yr}^{-1}$) and TER ($1.45 \text{ Pg C yr}^{-1}$) anomalies and anomalous carbon uptake ($-0.52 \text{ Pg C yr}^{-1}$) over the extratropical northern hemisphere during the 2015/16 El Niño.

(4) Wildfires, frequent in the tropics, played an important role in the F_{TA} anomalies during the 1997/98 El Niño episode, confirmed by the VEGAS simulation and the satellite-based GFED fire product. However, the VEGAS simulation showed that the tropical F_{TA} caused by wildfires during the 2015/16 El Niño was relatively smaller than that during the 1997/98 El Niño. This result was mainly because the tropical weather was much drier during the 1997/98 event than during the 2015-16 one.

It is important to keep in mind that the responses of the terrestrial carbon cycle to the El Niño events in this study were simulated using an individual DGVM (VEGAS), which, whilst highly consistent with the variations in the CGR and inversion results, carries uncertainties in terms of the regional responses because of, for example, its model structure, biological processes considered, and parameterizations. Of course, uncertainties exist in all of the state-of-the-art DGVMs. Fang et al. (2017) recently suggested that none of the 10 contemporary terrestrial biosphere models captures the ENSO-phase-dependent responses. If possible, we will quantify the inter-model uncertainties in regional responses of the terrestrial carbon cycle to El Niño events when the new round of TRENDY simulations (1901–2016) becomes available. Although we used three inversion datasets as reference for the VEGAS simulation in this study, they cover different periods. Importantly, there are also large uncertainties between the different atmospheric CO₂ inversions because of their different prescribed priors, *a priori* uncertainties, inverse methods, and observational datasets (Peylin et al., 2013). Future atmospheric CO₂ inversions may produce more accurate results based on more observational datasets, including surface and satellite-based observations. Recently, more studies have pointed out that the 1997/98 El Niño evolved following the eastern Pacific El Niño dynamics, which depends on basin-wide thermocline variations, whereas the 2015/16 event involves additionally the central Pacific El Niño dynamics that relies on the subtropical forcing (Paek et al., 2017; Palmeiro et al., 2017). Therefore, it is necessary to investigate the different impacts of the eastern and central Pacific El Niño types (Ashok et al., 2007) on the terrestrial carbon cycle in the future. This may give us an additional insight into the contrasting responses of the terrestrial carbon cycle to the 1997/98 and 2015/16 El Niño events. We believe that doing so will contribute greatly to deepening our knowledge of present and future carbon cycle

variations on the interannual time scales.

Data Availability

In this study, all the datasets can be freely accessed. The Mauna Loa monthly CO₂ records are available at <https://www.esrl.noaa.gov/gmd/ccgg/trends/data.html>. The ERSST4 Niño3.4 index can be accessed from <http://www.cpc.ncep.noaa.gov/data/indices/ersst4.nino.mth.81-10.ascii>. The CAMS and MACC inversions are available at <http://apps.ecmwf.int/datasets/>. The CarbonTracker datasets can be found at <https://www.esrl.noaa.gov/gmd/ccgg/carbontracker/>. The GFEDv4 global fire emissions are downloaded at https://daac.ornl.gov/cgi-bin/dsviewer.pl?ds_id=1293. Satellite SIF datasets are retrieved from http://avdc.gsfc.nasa.gov/pub/data/satellite/MetOp/GOME_F/MetOp-A/level3/. MODIS enhanced vegetation index (EVI) datasets are downloaded from https://lpdaac.usgs.gov/dataset_discovery/modis/modis_products_table/mod13c2_v006.

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651 **Tables and Figures:**

652 **Table 1** Lists of El Niño events from 1980 till 2016.

No.	El Niño Events	Duration (months)	Maximum Nino3.4 Index (°C)
1	Apr1982–Jun1983	15	2.1
2	Sep1986–Feb1988	18	1.6
3	Jun1991–Jul1992	14	1.6
4	Oct1994–Mar1995	6	1.0
5	May1997–May1998	13	2.3
6	Jun2002–Feb2003	9	1.2
7	Jul2004–Apr2005	10	0.7
8	Sep2006–Jan2007	5	0.9
9	Jul2009–Apr2010	10	1.3
10	Nov2014–May2016	19	2.3

653

654 **Table 2** Carbon flux anomalies during El Niño events, calculated as the mean from July
655 in the El Niño developing year to October in the El Niño decaying year. Flux units are
656 in Pg C yr⁻¹.

Zones	El Niños	Inversions		VEGAS Model				GFED
		F _{TA} (CAMS+MACC) ^a	F _{TA} (CarbonTracker)	F _{TA}	GPP	TER	C _{fire}	C _{fire}
	composite ^b	0.92±0.01	–	0.60	–0.55	–0.08	0.14	–
Global	1997/98	2.57±0.04	–	1.64	–0.04	1.28	0.42	0.82
	2015/16	–	0.82	0.73	1.59	2.24	0.05	–
	composite	0.20±0.02	–	–0.06	0.13	0.08	–0.01	–
NH	1997/98	0.40±0.07	–	–0.05	0.63	0.55	0.04	0.11
	2015/16	–	0.18	–0.52	1.90	1.45	–0.06	–
Tropical	composite	0.66±0.03	–	0.61	–0.54	–0.07	0.15	–

	1997/98	2.12 ± 0.14	–	1.70	–0.73	0.62	0.37	0.72
	2015/16	–	0.53	1.12	–0.03	0.95	0.11	–
	composite	0.07 ± 0.01	–	0.05	–0.14	–0.09	0.00	–
SH	1997/98	0.05 ± 0.02	–	–0.02	0.14	0.12	0.00	–0.01
	2015/16	–	0.11	0.14	–0.28	–0.16	0.00	–

^arepresents the mean value of the CAMS and MACC inversion results with the uncertainty of their standard deviation.

^bComposite analyses exclude the 1982/83, 1991/92, and 2015/16 El Niño events, because the former two cases were disturbed by the El Chichón and Pinatubo eruptions, and the latter is not covered by the inversion datasets.

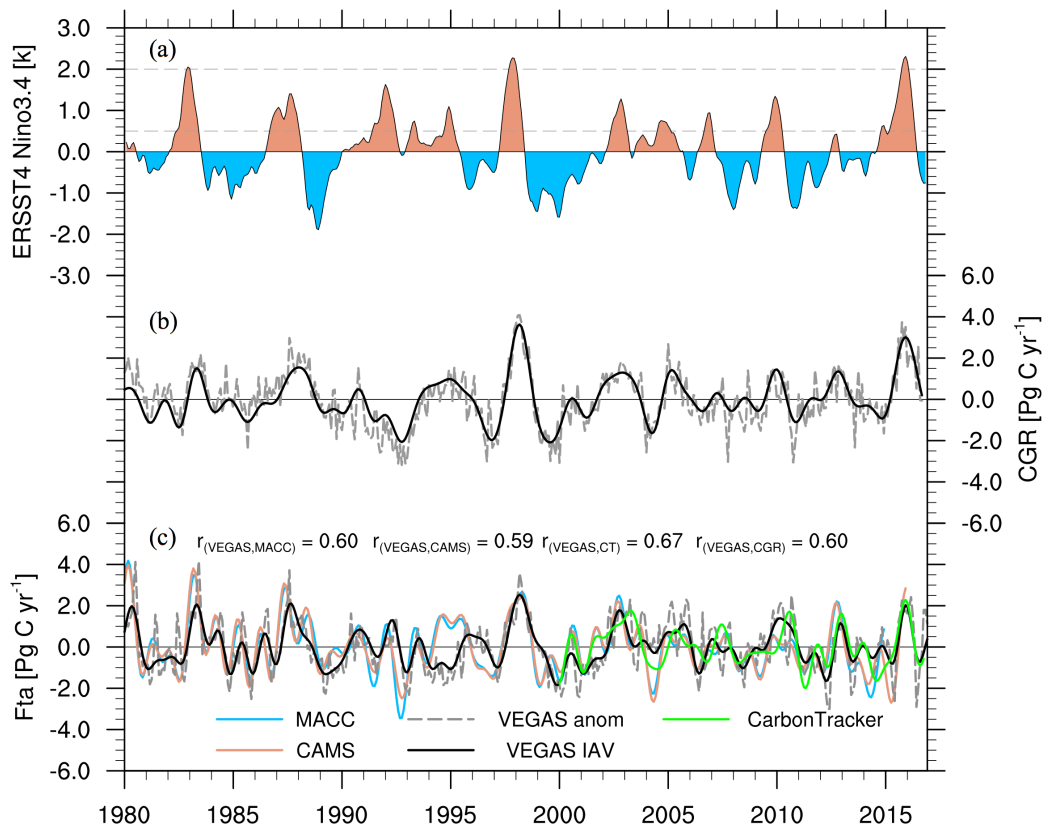


Figure 1. Interannual variability (IAV) in the sea surface temperature anomaly (SSTA) and carbon cycle. (a) ERSST4 Niño3.4 Index (units: K) using the 3-month running

averaged SSTA for the Niño 3.4 region (5°N – 5°S , 120° – 170°W). (b) IAV in the Mauna
 Loa CO_2 growth rate (CGR; units: Pg C yr^{-1}). The CGR is calculated as the difference
 between the monthly mean in adjacent years. The dashed line is the detrended monthly
 anomaly and the solid line is smoothed by the butterworth filtering. (c) IAV in the land–
 atmosphere carbon fluxes (F_{TA} ; units: Pg C yr^{-1}). The blue and orange solid lines are
 the smoothed results of the MACC and CAMS inversions, respectively. The gray
 dashed line is the detrended anomaly and the black one is the smoothed result from the
 VEGAS model simulation. The green solid line is the smoothed CarbonTracker result.

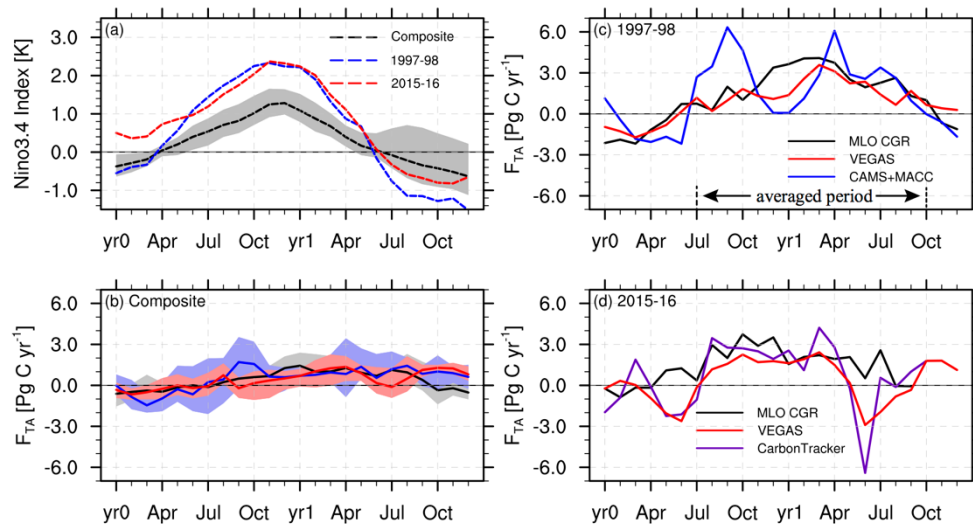


Figure 2. Evolutions of the global F_{TA} along with the development of El Niño. (a) the
 SSTA in the composite (black), 1997/98 (blue), and 2015/16 (red) El Niño events. (b)
 The F_{TA} anomalies in the El Niño composite analysis. The black solid line denotes the
 Mauna Loa CGR; and the red and blue lines show the VEGAS and mean of the CAMS
 and MACC inversions, respectively. The shaded areas in (a) and (b) show the 95%
 confidence intervals of the variables in the composite, derived in 1000 bootstrap
 estimates. (c) The F_{TA} anomalies during the 1997/98 El Niño events. The arrows
 demonstrate the time periods during which we calculate the carbon flux anomalies

listed/presented in the table and figures. (d) The F_{TA} anomalies during the 2015/16 El Niño. The purple line denotes the result of the CarbonTracker2016 and CarbonTracker near-real-time datasets.

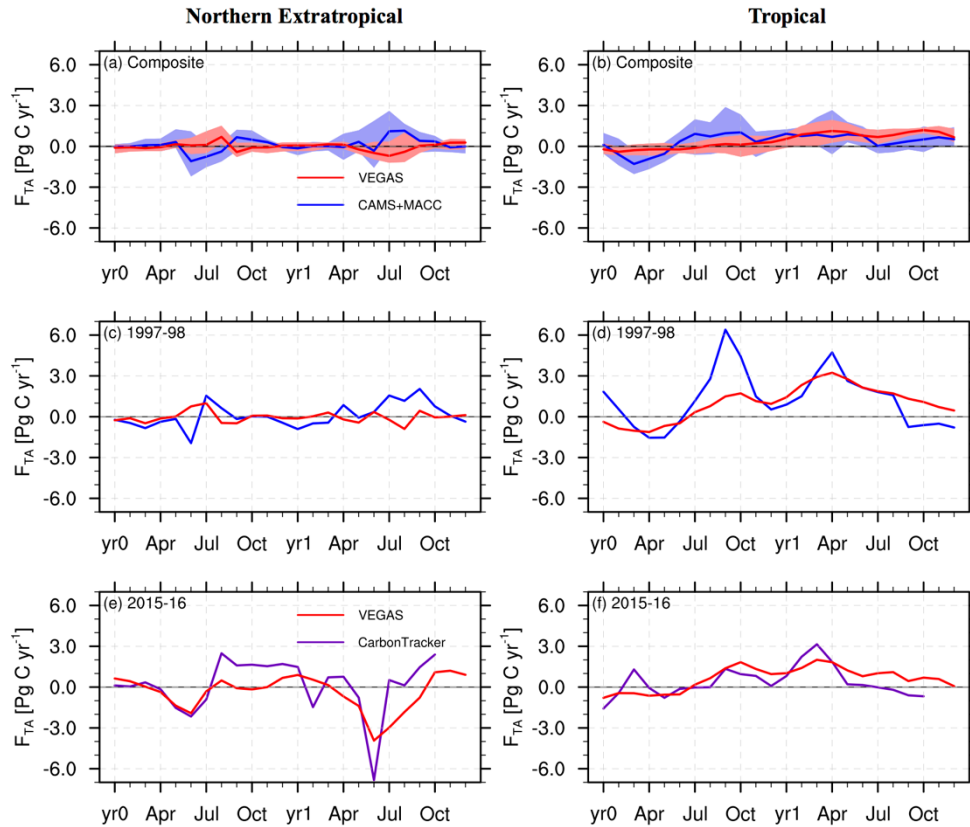


Figure 3. Evolutions of F_{TA} over the extratropical northern hemisphere (23°N–90°N) and tropical regions (23°S–23°N) along with the development of El Niño. (a, b) Composite results with the VEGAS simulation (red solid line) and the mean of the CAMS and MACC inversions (blue solid line). The shaded areas show the 95% confidence intervals of the variables in the composite, derived in 1000 bootstrap estimates. (c, d) The F_{TA} anomalies during the 1997/98 El Niño. (e, f) The F_{TA} anomalies in the 2015/16 El Niño with VEGAS (red solid line) and CarbonTracker (purple solid line).

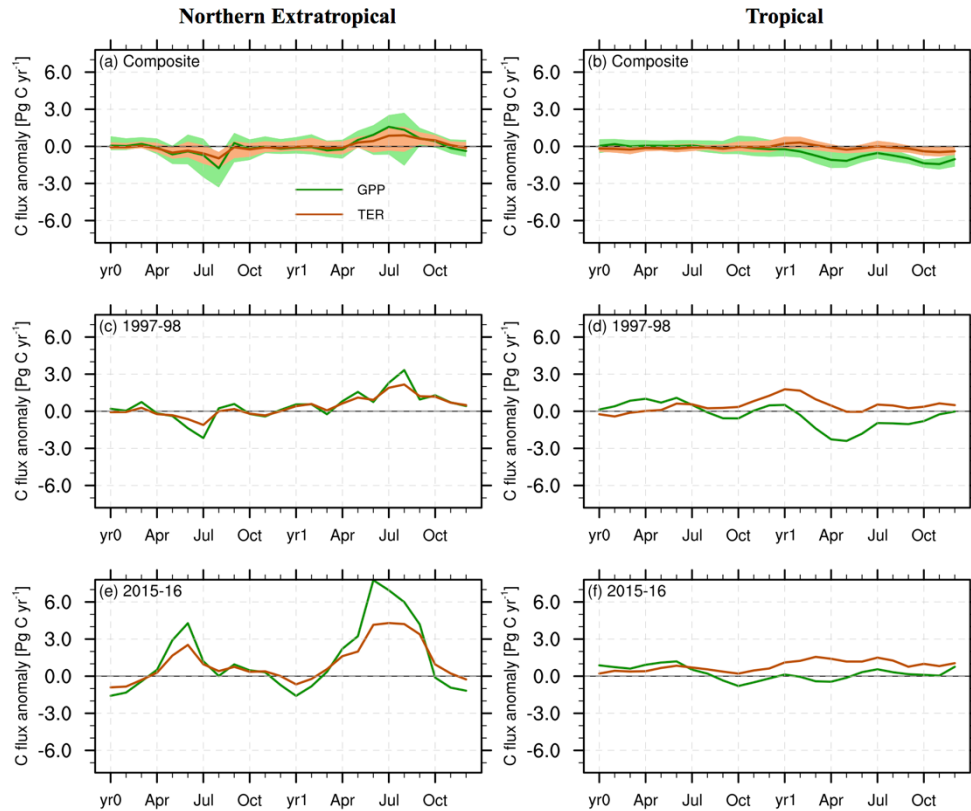


Figure 4. Evolutions of gross primary productivity (GPP, green lines) and terrestrial ecosystem respiration (TER, brown lines) over the extratropical northern hemisphere (23°N–90°N) and tropical regions (23°S–23°N) along with the development of El Niño. (a, b) El Niño composite results. The shaded areas show the 95% confidence intervals of the variables in the composite, derived in 1000 bootstrap estimates. (c, d) Results of the 1997/98 El Niño. (e, f) Results of the 2015/16 El Niño.

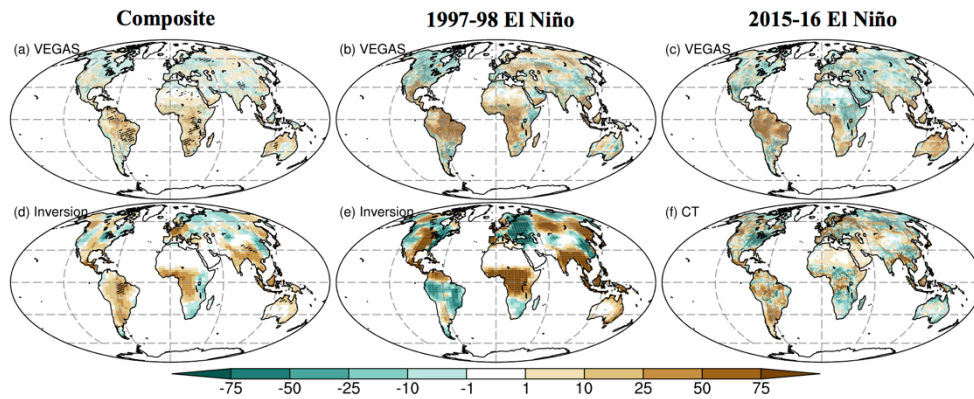
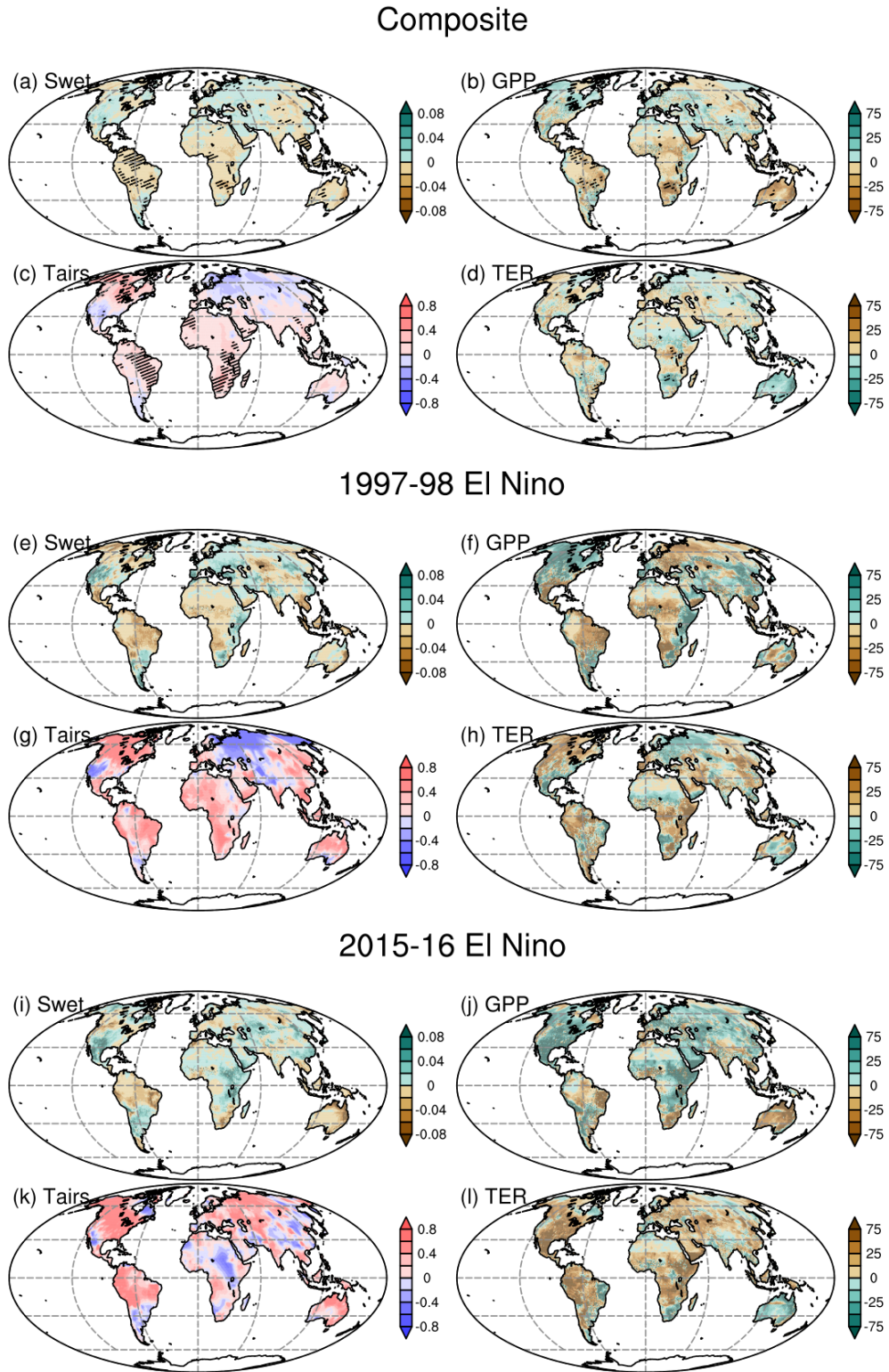


Figure 5. Spatial F_{TA} anomalies calculated from July in the El Niño developing year to October in the El Niño decaying year (units: $\text{g C m}^{-2} \text{ yr}^{-1}$). (a–c) Results of the composite, 1997/98, and 2015/16 El Niño events simulated by VEGAS, respectively. (d–e) The averaged results of CAMS and MACC in the composite and 1997/98 El Niños. (f) The 2015/16 El Niño F_{TA} anomaly in CarbonTracker. The stippled areas in (a) and (d) are significant above the 90% level, estimated by Student’s t -test.



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717 **Figure 6.** Anomalies of soil wetness, air temperature (units: K), GPP ($\text{g C m}^{-2} \text{ yr}^{-1}$),
 718 and TER ($\text{g C m}^{-2} \text{ yr}^{-1}$) from July in the El Niño developing year to October in the El
 719 Niño decaying year in the composite, 1997/98, and 2015/16 El Niño episodes,

respectively. (a–d) Results of the composite analyses. The stippled areas are significant above the 90% levels estimated by the Student's *t*-test. (e–h) Anomalies during the 1997/98 El Niño. (i–l) Anomalies during the 2015/16 El Niño.

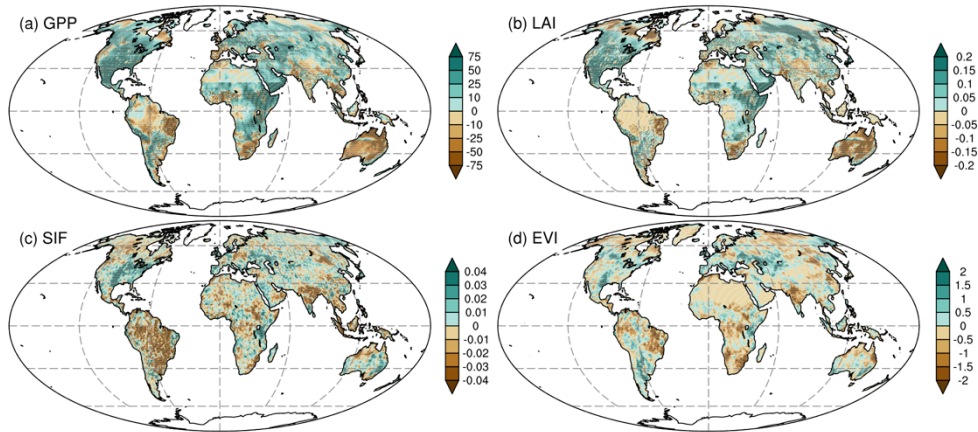


Figure 7. Spatial anomalies in (a) the simulated GPP by VEGAS (units: $\text{g C m}^{-2} \text{yr}^{-1}$), (b) the simulated leaf area index (LAI, units: $\text{m}^2 \text{m}^{-2}$), (c) solar-induced chlorophyll fluorescence (SIF, units: $\text{mW m}^{-2} \text{nm}^{-1} \text{sr}^{-1}$), and (d) MODIS enhanced vegetation index (EVI, $\times 10^{-2}$) from July 2015 to October 2016.

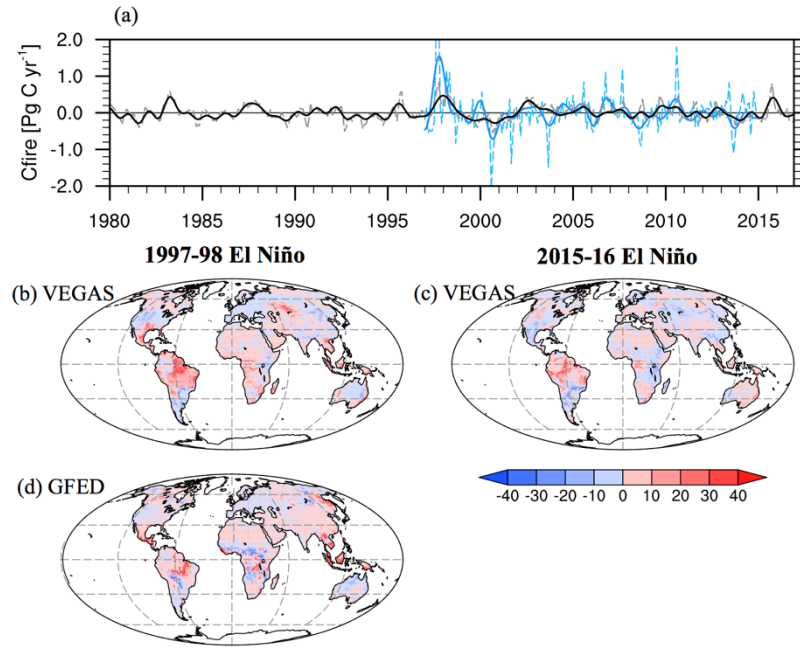


Figure 8. F_{TA} anomalies induced by wildfires. (a) Total global anomalies ($Pg\ C\ yr^{-1}$). The dashed gray and solid black lines represent the anomalies simulated by VEGAS, detrended and smoothed by Butterworth filtering, respectively. The dashed and solid blue lines represent the GFED results. (b) Spatial F_{TA} anomaly ($g\ C\ m^{-2}\ yr^{-1}$) during the 1997/98 El Niño in VEGAS. (c) Spatial F_{TA} anomaly during the 2015/16 El Niño in VEGAS. (d) GFED anomaly during the 1997/98 El Niño episode.