

1 Emission metrics for quantifying regional climate impacts of aviation

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26 **ABSTRACT**

27 This study examines the impacts of emissions from aviation in six source regions on global and
28 regional temperature. We consider the NO_x-induced impacts on ozone and methane, aerosols and
29 contrail-cirrus formation, and calculate the global and regional climate metrics Global Warming
30 Potential (GWP), Global Temperature change Potential (GTP) and Absolute Regional
31 Temperature change Potential (ARTP). GWPs and GTPs vary by a factor 2-4 between source
32 regions. We find the highest aviation aerosol metric values for South Asian emissions, while
33 contrail-cirrus metrics are higher for Europe and North America, where contrail formation is
34 prevalent, and South America plus Africa, where the optical depth is large once contrails form.
35 The ARTP illustrate important differences in the latitudinal patterns of radiative forcing (RF) and
36 temperature response: The temperature response in a given latitude band can be considerably
37 stronger than suggested by the RF in that band, also emphasizing the importance of large-scale
38 circulation impacts. To place our metrics in context, we quantify the temperature response in the
39 four broad latitude bands following a one-year pulse emission from present-day aviation, including
40 CO₂. Aviation over North America and Europe causes the largest net warming impact in all latitude
41 bands, reflecting the higher air traffic activity in these regions. Contrail-cirrus gives the largest
42 warming contribution in the short-term, but also remains important in several regions even after
43 100 years. CO₂ becomes dominant at a 100 year time horizon. However, our results also illustrate
44 the relative importance of CO₂ on shorter time scales. Our emission metrics can be further used to
45 estimate regional temperature impact under alternative aviation emission scenarios. A first
46 evaluation of the ARTP in the context of aviation suggests that further work to account for vertical
47 sensitivities in the relationship between RF and temperature response would be valuable for further
48 use of the concept.

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55 **1 INTRODUCTION**

56 The global aviation sector has historically been one of most rapidly growing economic sectors,
57 and the increase in activity is projected to continue in the foreseeable future. The impacts of
58 aviation exhaust emissions on atmosphere and climate have been under scrutiny for several
59 decades (e.g., (Brasseur et al., 2016; Fahey et al., 1995; Lee et al., 2009; Penner et al., 1999; Sausen
60 et al., 2005). Today, global aviation contributes about 2% of the total anthropogenic CO₂ emissions.
61 In addition to emissions of CO₂, aviation impacts climate through a number of other mechanisms,
62 including emissions of nitrogen oxides (NO_x), aerosols and precursor species, aerosol-cloud
63 interactions and formation of contrail-cirrus. These have a much shorter lifetime than a
64 perturbation to CO₂ and hence produce distinctly inhomogeneous radiative forcing and contribute
65 to further inhomogeneity in temperature response. Moreover, the regional and global climate
66 impact of equal emissions of short-lived species can vary depending on where, and even when, the
67 emissions occur (e.g, Berntsen et al. (2006); Stevenson and Derwent (2009)). Knowledge of such
68 regional and temporal variability is important for understanding the climate impacts of the sector
69 and can be an important consideration in mitigation.

70 The spatial variability – from emissions through impacts on atmosphere and radiative forcing, to
71 temperature response – that characterizes the aviation sector is well recognized in the scientific
72 community. Several studies have explored the regional differences in aviation NO_x-induced ozone
73 changes and quantified the radiative forcing (RF) of aviation emissions (Gilmore et al., 2013;
74 Köhler et al., 2013; Lee et al., 2009; Olsen et al., 2013; Penner et al., 1999; Sausen et al., 2005;
75 Stevenson & Derwent, 2009; Unger et al., 2013). However, fewer estimates of regional
76 temperature response exist (Huszar et al., 2013; Jacobson et al., 2012; Olivié et al., 2012). Because
77 of the lack of a one-to-one relationship between forcing and response patterns (Boer & Yu, 2003;
78 Shindell et al., 2010), the strength of regional aviation-induced temperature changes cannot be
79 inferred directly from the corresponding RF distributions. The only tools to provide temperature
80 response and other climate variables on very detailed spatial scales (e.g., grid point level) are
81 comprehensive climate or earth system models. However, most, if not all, individual economic
82 sectors or individual mitigation measures cause small perturbations, making it difficult (or costly)
83 to capture a robust signal of the consequent response in climate models without significantly

84 scaling up the emissions. On the other hand, knowledge about contributions of individual sectors
85 to total climate impact, and the effects of specific measures, is essential for the formulation and
86 assessment of effective mitigation strategies.

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88 Emission metrics, such as the Global Warming Potential (GWP) and Global Temperature change
89 Potential (GTP) are tools which can serve as a bridge to policy making, and are commonly used
90 for aggregating information and placing different emissions on a common scale. Several studies
91 have also used simplified climate models to calculate the global mean temperature response to
92 aviation (Berntsen & Fuglestvedt, 2008; Khodayari et al., 2013; Lee et al., 2009; Marais et al.,
93 2008; Skeie et al., 2009). While aggregation and synthesis is often necessary for reasons of
94 applicability, any such spatially aggregated measure has the disadvantage that it hides the
95 underlying spatial distributions of impacts and the strength of regional impacts.

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97 Recent work has advanced the development of metric concepts which can capture regional impacts.
98 Shine et al. (2005a) and Lund et al. (2012) explored the use of non-linear damage functions to
99 capture spatial information about climate impacts in global metrics. Lund et al. (2012) compared
100 the impact of NO_x and aerosol emissions from the transport sectors and found that the loss of
101 information due to global averaging was largest in the case of aviation. However, currently the
102 only metric to provide estimates of impacts on a sub-global scale is the Absolute Regional
103 Temperature change Potential (ARTP) (Shindell & Faluvegi, 2009; Shindell & Faluvegi, 2010).
104 The ARTP uses a set of regional climate sensitivities to provide time-varying surface temperature
105 response in four latitude bands to emissions, accounting for the regional RF caused by the
106 emissions. These sensitivities are derived from simulations with a coupled climate model and
107 express the relationship between the pattern of a radiative forcing and the consequent surface
108 temperature in a given latitude band. Hence, the ARTP provides additional insight into the
109 geographical distribution of temperature change beyond that available from traditional global
110 metrics. For instance, Collins et al. (2013) calculated ARTPs for emissions of short-lived climate
111 forcers in four regions, while Lund et al. (2014) used the ARTP to quantify regional temperature
112 impacts of on-road diesel emissions and Sand et al. (2016) examined Arctic temperature responses.
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114 In this study we calculate GWP, GTP and ARTP for global and regional aviation emissions. We
115 consider a broad set of forcing mechanisms and emissions in six separate source regions. Aviation-
116 induced radiative forcing of ozone and aerosols are obtained from simulations with the chemistry-
117 transport model OsloCTM3 (Søvde et al., 2012) and subsequent radiative transfer calculations,
118 while the radiative forcing from the formation contrail-cirrus is simulated with ECHAM5-CCMod
119 (Bock & Burkhardt, 2016a; b). Based on this we calculate both global and regional emission
120 metrics. This allows us to capture (i) the impact of regional aviation emissions on global
121 temperature response and (ii) the regional temperature response to regional emissions. Using the
122 ARTP, we quantify the regional impact of the present-day (i.e., year 2006) aviation sector, showing
123 the contributions over time from individual species and emission regions to the temperature
124 response in different latitude bands. The set of regional climate sensitivities that form the basis for
125 the ARTP, by expressing the inter-regional relationship between radiative forcings and
126 temperature response, have so far only been derived by one climate model and for four broad
127 latitude bands (Shindell & Faluvegi, 2009). Establishing such sensitivities requires a large number
128 of multi-decadal simulations and is thus very costly in terms of computer resources. Taking our
129 analysis one step further, we therefore compare the regional temperature response to aviation
130 ozone and black carbon aerosols estimated using these regional climate sensitivities with results
131 from simulations with three other climate models, hence performing a first evaluation of the
132 application of the ARTP in the context of selected aviation forcing mechanisms.

133

134 **2 METHODOLOGY**

135 **2.1 ATMOSPHERIC CONCENTRATIONS AND RADIATIVE FORCING**

136 To quantify the changes in atmospheric concentrations of ozone and aerosols resulting from global
137 and regional aviation emissions, the global chemistry-transport model OsloCTM3 is used (Søvde
138 et al., 2012). The model is run with year 2010 meteorology and a T42 resolution (approximately
139 $2.8^\circ \times 2.8^\circ$) with 60 vertical layers from the surface to 0.1 hPa. Aviation emissions for 2006 are
140 from the AEDT inventory (Wilkerson et al., 2010), while other anthropogenic and biomass burning
141 emissions are from the HTAPv2 (Janssens-Maenhout et al., 2015) and Global Fire Emissions
142 Database version 3 (GFED3; van der Werf et al. (2010)) inventories. Guidance on how to access
143 and use the AEDT emissions in atmospheric models is provided by Barrett et al. (2010). For input

144 to the OsloCTM3, emissions are interpolated to the model's horizontal and vertical resolution, and
 145 averaged monthly. A 20% perturbation of aviation emissions of black and organic carbon (BC,
 146 OC), sulfur dioxide (SO₂) and NO_x is applied globally and in six separate emission source regions,
 147 covering both hemispheres and the main flight corridors (Fig. 1): North America (NAM), Europe
 148 (EUR), East Asia (EAS), South Asia and Middle East (SAS), South America and Africa (SAF)
 149 and South Pacific Ocean (SPO). Total aviation emissions by species and region are summarized
 150 in Table SII.

151
 152 For input to the metric calculations (Sect. 2.2), we calculate the global mean RF for each emitted
 153 species i and emission region r , as well as the RF averaged over four latitude bands l (90-28°S,
 154 28°S-28°N, 28-60°N and 60-90°N) ($RF_{i,l,r}$). The direct forcing of aviation aerosols is quantified
 155 using the 3D radiative forcing kernels developed by Samset and Myhre (2011), where the radiative
 156 forcing per burden was derived by imposing globally uniform perturbations of aerosol
 157 concentrations at 20 different pressure levels from the surface to 20 hPa. The NO_x-induced ozone
 158 forcing is calculated using the Oslo radiative transfer model (RTM), including stratospheric
 159 temperature adjustment. The Oslo RTM consists of a broad band scheme for longwave radiation
 160 and a scheme using the multi-stream DISORT code for shortwave radiation (Myhre et al., 2000).
 161 The RF of NO_x-induced methane changes is calculated as

$$162 \quad RF_{CH_4,r} = \Delta\tau_{CH_4,r} \cdot [CH_4]_{2010} \cdot RFeff_{CH_4} \cdot f \quad (1)$$

163 where $\Delta\tau_{CH_4,r}$ is the relative change in methane lifetime between the control run and each of the
 164 emissions perturbations, $[CH_4]_{2010}$ the 2010 global methane concentration of 1788 ppb, $RFeff_{CH_4}$
 165 the methane radiative efficiency of 0.36 (mW m⁻²) ppb⁻¹ (IPCC, 2014) and f the feedback factor of
 166 1.34 (Holmes et al., 2013). The RF of the subsequent methane-induced O₃ change (O₃LL) (Wild
 167 et al., 2001) is calculated as:

$$168 \quad RF_{O_3LL,r} = 0.5 \cdot RF_{CH_4} \quad (2)$$

169 A decrease in atmospheric methane also results in a slight decrease in stratospheric water vapor,
 170 and hence an additional small negative impact, included in our RF_{CH_4} based on Myhre et al. (2007)
 171 as:

$$172 \quad RF_{SWV,r} = 0.15 \cdot RF_{CH_4} \quad (3)$$

173 To obtain the latitudinal distributions $RF_{CH_4,l,r}$ and $RF_{O_3LL,l,r}$ we use the same approach as in Collins
174 et al. (2013) and Lund et al. (2014), and scale results according to the latitudinal distribution of
175 methane forcing derived from a global methane concentration perturbation (Fry et al., 2012).

176 In order to quantify the RF from the formation and persistence of contrail-cirrus caused by global
177 and regional aviation emissions, simulations with ECHAM5-CCMod (Bock & Burkhardt, 2016a;
178 b) are performed at T42 resolution with 41 vertical levels using the same emission inventory and
179 source regions as in the OsloCTM3. ECHAM5-CCMod is based on the ECHAM5-HAM
180 (Lohmann et al., 2008), which is extended by a contrail cirrus scheme with two-moment
181 microphysics. The two-moment microphysical scheme allows for a more realistic representation
182 of the microphysical and optical properties of contrail cirrus. The model is validated and used to
183 provide updated calculations of stratosphere adjusted contrail-cirrus RF by Bock and Burkhardt
184 (2016b), resulting in a global mean RF of 56 mW m^{-2} for the 2006 AEDT aviation emissions used
185 in this study. The existence of contrail-cirrus results in a decrease in natural cirrus clouds, causing
186 a negative RF that partly offsets contrail-cirrus warming. The magnitude of this feedback effect is
187 uncertain, but estimates suggest a forcing on the order of 20 percent of the RF of contrail-cirrus
188 on global mean (Burkhardt & Kaercher, 2011). We include the feedback by reducing the contrail-
189 cirrus RF by 20 percent for all emission source regions, i.e., assuming, in the absence of more
190 detailed information, that the negative RF is spatially constant.

191
192 The RFs are given by component, source region and latitude band in Table SI2. Most of the species
193 have short atmospheric lifetimes and consequently the RF is largest in the latitude bands closest to
194 where the emissions occur. Some contrail-cirrus RF values are negative, which might be due to a
195 change of cloud cover overlap in the model. It should be noted that there is a broad range in the
196 estimates of RF caused by the various aviation emissions reported in the literature (e.g., Brasseur
197 et al. (2016); Lee et al. (2009)) and such uncertainties in RF will propagate to the emissions metrics.
198 To examine the importance, we perform an uncertainty analysis as described in Sect. 2.2. Moreover,
199 our results do not include effects of aerosol-cloud interactions, which is an important caveat.
200 Studies have suggested a potential impact of aviation BC on large scale cirrus clouds, but have yet
201 to agree even on the sign of the radiative forcing (Zhou & Penner, 2014). A few studies have
202 investigated effects of aviation emissions on liquid clouds, with global mean RF estimates ranging
203 from -46 to -15 mW/m^2 (Gettelman & Chen, 2013; Kapadia et al., 2016; Righi et al., 2016), i.e., a

204 negative RF that could offset a considerable fraction of the positive RF of contrail-cirrus and ozone
205 on a global scale. However, at present uncertainties in these estimates are also very large, and we
206 consider that their inclusion here would be premature.

207

208 2.2 GLOBAL AND REGIONAL CLIMATE METRICS

209 We present calculations of the global and regional climate metrics GTP, GWP and ARTP for
210 regional aviation emissions. The GWP and GTP methodology is extensively documented in the
211 literature, (e.g., Aamaas et al. (2013); Fuglestvedt et al. (2003); Shine et al. (2005b)). Hence, we
212 only describe the ARTP framework here.

213 The Absolute Regional Temperature change Potential (ARTP) gives the time-dependent
214 temperature response following a pulse emission in the four latitude bands 90-28°S, 28°S-28°N,
215 28-60°N and 60-90°N, accounting for the regional RF caused by the emissions. This regional
216 temperature response is calculated using a set of Regional Climate Sensitivities, $RCS_{i,l,m}$. The
217 $RCS_{i,l,m}$ is the unitless regional response in latitude band m due to a radiative forcing in latitude
218 band l caused by a change in species i , relative to global sensitivity. Hence, the $RCS_{i,l,m}$ express the
219 relative regional response pattern. The regional climate sensitivities are developed based on a large
220 set of simulations performed with the coupled atmosphere-ocean climate model GISS (Shindell &
221 Faluvegi, 2009; Shindell & Faluvegi, 2010).

222 For BC, OC, SO₂, NO_x-induced ozone and contrail-cirrus, the ARTP in latitude band m at time H
223 following a pulse emission is calculated as:

$$224 \text{ARTP}_{i,r,m}(H) = \sum_l \frac{RF_{i,l,r}}{E_{i,r}} \cdot RCS_{i,l,m} \cdot IRF(H) \quad (4)$$

225 where $RF_{i,l,r}$ is the RF in latitude band l caused by one year of emissions $E_{i,r}$ of species i in region
226 r . The impulse response function $IRF(H)$ is a temporal temperature response to an instantaneous
227 unit pulse of RF, which includes the global climate sensitivity. Here we have used the IRF of
228 Boucher and Reddy (2008), which gives an equilibrium climate sensitivity (ECS) of 1.06 K (W m⁻²)⁻¹,
229 equivalent to a 3.9 K equilibrium response to a doubling of CO₂. This is in the upper range
230 reported in the Fifth IPCC Assessment Report (Bindoff et al., 2013). Assuming that the regional

231 climate sensitivities scale linearly with the ECS, adopting a lower value reduces the magnitude of
232 temperature response, and its time evolution, but does not affect the latitudinal distribution.

233 Equation 4 can be used for short-lived species where H is significantly longer than the lifetime of
234 the species (typically days to weeks). In the case of NO_x-induced methane and subsequent ozone
235 changes, the longer atmospheric residence time demands an additional IRF that describes the
236 atmospheric decay of methane (IRF_{long}). We add:

$$237 \quad IRF_{long}(t) = e^{-t/\tau} \quad (5)$$

238 where $\tau=11.3$ years is the adjustment time for methane for this model run. The $ARTP_{long}$ is then
239 calculated following:

$$240 \quad ARTP_{i,r,m,long}(H) = \sum_l \int_0^t \frac{RF_{lr}}{E_{i,r}} \cdot IRF_{long}(t) \cdot RCS_{i,l,m} \cdot IRF(H-t) dt \quad (6)$$

241 The net ARTP is the sum of contributions given by Equations 4 and 6.

242 The $RCS_{i,l,m}$ used in the emission metric calculations are summarized in Table SI3. For OC, sulfate,
243 nitrate, contrail-cirrus and methane (plus methane-induced ozone changes) we use the $RCS_{i,l,m}$ of
244 the mean of the responses to CO₂ and sulfate, as tabulated in Shindell and Faluvegi (2010). For
245 BC and NO_x-induced ozone change the respective $RCS_{i,l,m}$ from Shindell and Faluvegi (2009) (and
246 tabulated in Collins et al. (2013)) are used.

247 The temperature response per unit RF can differ between different forcing mechanisms, i.e.,
248 mechanisms can have their own specific climate sensitivity parameter. This is often expressed as
249 an efficacy, defined as the ratio of the climate sensitivity parameter for a given forcing agent to
250 that for a given change in CO₂ (Hansen et al., 2005). The efficacy depends primarily on the spatial
251 distribution of the RF, both in the horizontal and vertical. The $RCS_{i,l,m}$ implicitly include
252 differences in efficacy of individual components arising from the horizontal forcing distribution
253 (to the extent that the driving processes are accounted for in the underlying climate model
254 simulations). The $RCS_{i,l,m}$ are established for the four forcing agents BC, ozone, sulfate and CO₂.
255 Contrail-specific regional sensitivities do so far not exist. Two studies have indicated that the
256 efficacy of line-shaped contrails may be as low as 0.3-0.6 (Ponater et al., 2005; Rap et al., 2010).
257 However, little or no information about the efficacy of contrail-cirrus and the dependence of the
258 climate sensitivity parameter of contrails on the horizontal forcing distribution exist. It should also
259 be noted that efficacies from the small sector-specific forcings can currently only be derived by

260 applying large scaling factors to produce forcings large enough to give a significant response in
261 the climate models. This adds an additional uncertainty to deriving reliable $RCS_{i,l,m}$, in particular
262 for contrail-cirrus due to the saturation effects. Using the average sensitivities of sulfate and CO₂
263 to calculate the ARTPs of contrail-cirrus allows us to account for a broader set of aviation-induced
264 forcing mechanisms in our analysis, and these $RCS_{i,l,m}$ include both a longwave absorption and a
265 shortwave scattering component. The efficacy of scattering aerosols and greenhouse gases is also
266 likely less dependent on altitude than for absorbing aerosols. However, we recognize that there
267 can be uncertainties associated with this approach that can presently not be quantified. As for
268 estimates of efficacies at the global scale, such as those given by Ponater et al. (2005) and Rap et
269 al. (2010), these can be included in the metric application as a scaling factor, as discussed in Sect.
270 3.3. However, presently few studies have investigated the efficacy of aviation-induced forcing
271 mechanisms. The dependence of the climate sensitivity parameter on the altitude of the
272 perturbation is discussed in more detail in Sect. 3.4. We also explore potential uncertainties in our
273 analysis arising from such vertical dependence by comparing the temperature responses estimated
274 using the $RCS_{i,l,m}$ with temperature simulated by three additional climate models (Sect. 2.3).

275 Emission metrics are given on a per unit emission basis. However, for contrail-cirrus it is not clear
276 how to pose the metric since no direct correspondence between an emission and the consequent
277 forcing exists in this case. In order to provide consistent mass-based metrics for all components,
278 we adopt the same approach as Fuglestedt et al. (2010) and calculate the contrail-cirrus GWP,
279 GTP and ARTP per unit CO₂ emitted. However, as also discussed in Fuglestedt et al. (2010), an
280 alternative is to relate the contrail-cirrus forcing to the distance flown. This approach may be more
281 consistent with the way aircraft generate contrails and here we also provide metrics on a per km
282 basis. Both approaches are problematic when applying the methodology to future air traffic
283 scenario which likely include fuel efficiency improvements. An increase in fuel efficiency causes
284 a higher probability of contrail formation and at the same time a decrease in CO₂ emissions.
285 Therefore, contrail-cirrus RF per CO₂ emission would increase strongly, whereas contrail-cirrus
286 RF per flight distance would increase less so.

287 In the following, we present emission metrics and calculate temperature changes for time horizons
288 of 20 and 100 years after a one-year pulse of present-day aviation emissions. Real world emissions
289 are of course not pulses, but rather change over time following the development in economic

290 activity, technology and regulations. However, pulse based emission metrics can be used to
291 quantify the net difference between two emission scenarios since any scenario can be viewed as a
292 series of pulse emissions and analyzed through convolution (Eq. 7 below). Metrics for pulse
293 emissions are also useful in themselves for illustrating the temporal behavior of various species.
294 Realistic emissions will be continuous, leading to different relative contributions of short- and
295 long-lived, warming and cooling species over time. Through the use of convolution, our metric
296 framework can be used to estimate the temperature impact following any emission scenarios $E_{i,r}(t)$.
297 For instance, the regional temperature response in latitude band m for species i for a scenario is
298 the convolution of the emission scenario and the ARTP for a pulse emission (Aamaas et al., 2013):

$$299 \Delta T_{i,r,m}(t) = \int_0^t E_{i,r}(t') \cdot ARTP_{i,r,m}(t - t') dt' \quad (7)$$

300 For most short-lived species, the result will be a scaling of the ARTP value for a certain time
301 horizon. However, this is not the case for NO_x, where the different time scales of the warming
302 ozone effect and cooling effects linked to methane results in a change of the sign of the emission
303 metric over time (as illustrated for GTP by Aamaas et al. (2016)).

304 To establish ranges in the global mean temperature change after 20 and 100 years due to
305 uncertainty in RF and ECS, we perform a Monte Carlo analysis with 100 000 draws. Each RF
306 mechanism is treated as a random variable, following a probability density function (PDF) defined
307 using estimates from the existing literature. For the aerosols, we use the multi-model results from
308 the AeroCom Phase II experiment (Myhre et al., 2013a), while for CO₂ and the NO_x-induced
309 changes in ozone and methane, we use the uncertainties from the IPCC AR5 (Myhre et al., 2013b).
310 The NO_x-induced ozone and methane impacts are assumed to be dependent and a PDF for the net
311 RF is established. Relative uncertainties are given in Table SI4. For contrail-cirrus we infer a
312 lognormal distribution using the best estimate of 0.05 W m⁻² and 90% confidence interval of [0.02,
313 0.10] W m⁻² based on IPCC AR5. The distribution of the total RF is derived by summing the PDFs
314 of individual mechanisms. This approach assumes that the forcing uncertainties are independent.
315 We also adopt a lognormal distribution for the ECS and assume a best estimate of 3 K for a
316 doubling of CO₂, and an upper and lower value of 1.5K and 4.5K (Bindoff et al., 2013). Ranges
317 are given at the 1 SD level (16% and 84% percentiles).

318

319 An additional source of uncertainty is the regional climate sensitivities. A full set of $RCS_{i,l,m}$ have
320 so far only been estimated with one climate model and it can be expected that they are likely model
321 dependent. When compared with the response to historical aerosol forcing in several other climate
322 models, the sensitivities seem fairly robust (Shindell, 2012). Two studies have also repeated the
323 BC experiments from Shindell and Faluvegi (2009) for BC with similar findings in terms of spatial
324 distribution of forcing and temperature response (Flanner, 2013; Sand et al., 2013). However, this
325 evaluation is limited and a formal quantification of the uncertainty or model dependence is
326 currently not possible.

327

328 **2.3 SIMULATED TEMPERATURE RESPONSE**

329 To evaluate the application of the regional climate sensitivities in the context of aviation RF, we
330 compare temperature responses estimated using the ARTP concept with temperature response
331 patterns simulated by three climate models: the NCAR Community Earth System Model
332 (CESM1.2) (Hurrell et al., 2013), HadSM3 (Williams et al., 2001) and ECHAM (Stenke et al.,
333 2008). Simulations with the two latter models were performed within the EU project QUANTIFY
334 (Ponater et al., 2009) and results used in Lund et al. (2012). Simulations with the CESM1.2 are
335 performed for this study using the aviation ozone concentration perturbation from OsloCTM3. In
336 order to obtain a statistically significant response to aviation ozone in the model, the perturbation
337 is scaled by a factor 40 (similar factors were applied in the HadSM3 and ECHAM simulations, see
338 Lund et al. (2012) for details). We run a four member ensemble of 60 years, using the last 30 in
339 the analysis. The statistical significance is assessed using the False Discovery Rate approach (FDR)
340 (Wilks, 2006). Here we focus on regional patterns of temperature response, but we recognize the
341 potential non-linearities that may arise when scaling of this magnitude is applied (e.g., Shine et al.
342 (2012)) and uncertainties should be kept in mind when considering the absolute magnitude of
343 temperature responses. Figure SI 1A shows the zonal annual mean ozone concentration change
344 caused by global aviation NO_x emissions from the OsloCTM3 (i.e., before scaling), while Fig. SI
345 1B shows the annual mean CESM2.1 temperature response to the scaled ozone perturbation
346 (hatching indicates statistical significance at the 0.05 level).

347 We also compare temperature responses to aviation BC simulated by HadSM3 using the same
348 model configuration as given in Shine et al. (2012).

349 For comparison with climate model results, we use the regional climate sensitivities to estimate
350 the regional equilibrium temperature response ($\Delta T_{i,r,m}$) to a constant forcing following Eq. 6 of
351 Shindell (2012)

$$352 \Delta T_{i,r,m} = \sum_l RF_{l,r} \cdot RCS_{i,l,m} \cdot ECS \quad (8)$$

354 and adopting the equilibrium climate sensitivity (ECS) inherent in the IRF from Boucher and
355 Reddy (2008) described above.

356

357 **3 RESULTS AND DISCUSSION**

358 **3.1 GLOBAL EMISSION METRICS**

359 Tables 1 and 2 summarize the 20 and 100 year GWPs and GTPs of global and regional aviation
360 emissions, respectively, given relative to CO₂ using the CO₂ impulse response function (IRF_{CO2})
361 from Joos et al. (2013). The global GWPs and GTPs are not the main focus of our study, but are
362 included and briefly described for comparison with previous estimates. Our emission metrics do
363 not account for climate-carbon feedbacks. If included, such feedback could increase the relative
364 importance of non-CO₂ species (e.g., Gasser et al. (2017)).

365 Our GWPs for the net effect of global aviation NO_x are somewhat higher than the range estimated
366 by Skowron et al. (2013) using several different aviation emission inventories in a single model
367 and Myhre et al. (2010) based on multi-model results (Table 1). They also fall in the upper end of
368 the range reported by Fuglestvedt et al. (2010). The NO_x GTPs fall at or in the positive end of
369 reported ranges. A number of factors can contribute to difference in the metric values, including
370 differences in input radiative forcing, treatment and inclusion of methane-induced changes in
371 ozone and stratospheric water vapor, and differences in the parameters of the IRF_{CO2}. Our estimates
372 also include the cooling effect from NO_x-induced formation of nitrate aerosols, which has to our
373 knowledge not been accounted for in any previous aviation GWP and GTP estimates. The
374 estimated contrail-cirrus GWPs and GTPs are similar to those given in Fuglestvedt et al. (2010).
375 However, values are not directly comparable as we consider the combined RF of contrail-cirrus
376 (i.e., young line shaped contrails and those cirrus originating from them, and their associated
377 optical depth) and include the feedback of natural clouds in the present analysis. The RF of

378 contrail-cirrus was shown to be 9 times higher than the RF of line shaped contrails when assuming
379 constant optical depth (Burkhardt & Kaercher, 2011). Further differences arise from the use of
380 different IRF_{CO_2} . Our GWPs and GTPs for the direct effect of BC and sulfate aerosols are higher
381 than those derived by Fuglestvedt et al. (2010) by a four (a factor two for BC GTPs). However,
382 the values from Fuglestvedt et al. (2010) are not specific for aviation emissions, but based on input
383 multi-model mean RF from all anthropogenic emissions (Schulz et al., 2006).

384 Quantifying the GTPs and GWPs of regional aviation emissions allows us to capture how equal
385 emissions in different locations can have different impacts on the atmospheric concentrations and
386 RF, and in turn on global climate. Our calculations reveal considerable differences between regions
387 for all species, and both metrics and time horizons, with a factor 2-4 (and higher for nitrate)
388 difference between the highest and lowest metric value (Table 2). For the aerosols we generally
389 find the largest magnitude GWPs and GTPs for South Asia (SAS) emissions, followed by South
390 America and Africa (SAF) or South Pacific Ocean (SPO). The high values for the SAS region
391 reflects a relatively long lifetime of the aerosols here compared to other emission regions. This, in
392 turn, is likely caused by a combination of the underlying distribution of emissions, which is
393 dominated by emissions at high altitudes (i.e., few flights landing or departing within the region),
394 where conditions are drier (i.e., less wet scavenging of the aerosols). For NO_x , the values are
395 highest for SPO, while for contrail-cirrus we find high values for aviation over NAM and Europe
396 (EUR), where conditions for contrail formation is prevalent (e.g., Burkhardt et al. (2008); Irvine
397 and Shine (2015)) and for SAF (see more detailed discussion in Sect. 3.2). From a policy
398 perspective, knowledge of such regional differences is important if metrics are used to quantify
399 the climate impact of emissions or emission changes in cases where there is a simultaneous change
400 in the geographical emission distribution, or if used to evaluate the effect of implementing
401 measures to reduce emissions in different regions.

402 While several studies have estimated GWPs and GTPs for global aviation NO_x emissions, as
403 discussed above, few have produced estimates for regional aviation emissions. Köhler et al. (2013)
404 quantified the climate impact of aviation emissions in North America, Europe, India and China.
405 The reported $GWP(20)$ agree within 10-30 percent with estimates for all regions in the present
406 analysis, while our $GTP(20)$ values are about 50 percent lower in absolute magnitude for all
407 emission regions, and $GWP(100)$ and $GTP(100)$ for NAM and EUR are 50-100 percent higher

408 than Köhler et al. (2013) estimates. Again it should be noted that these difference can be caused
409 by a number of factors. Moreover, because the two studies use differently defined emission source
410 regions, only a rough comparison is possible.

411

412 3.2 REGIONAL EMISSION METRICS

413 While GWPs and GTPs illustrate how equal emissions in various regions can have different
414 impacts on global climate, they can naturally not inform us of the actual regional distribution of
415 impacts. The ARTP allows us to estimate temperature impacts with at least some spatial
416 information.

417 Figure 2 shows the ARTP with a time horizon of 20 years (ARTP(20)) for BC, OC, SO₂ and
418 contrail-cirrus for each emission source region, and the ARTP(20) and ARTP(100) of aviation
419 NO_x. We do not show ARTP(100) for aerosols and contrail-cirrus here. The absolute values decay
420 strongly over time, but the latitudinal patterns will be identical on both time horizons. Results for
421 global aviation emissions are given in the SI, as are contrail-cirrus metrics on a per km-basis.

422

423 For OC and SO₂ (Fig. 2B and C), we calculate the highest magnitude ARTP(20) (i.e., temperature
424 impact per unit emission) for aviation in SAS in all latitude bands except 90-28°S, where values
425 for SAF and SPO are slightly higher. Excluding the SAS region, aviation in EUR and NAM give
426 the highest temperature impact per unit emission in the 28-60°N and 60-90°N regions, which is
427 also where the corresponding RF is strongest (Table SI2). This is unsurprising given that these
428 species are short-lived and the forcing they exert are largely localized to the emission region.
429 However, using the ARTP reveals important differences between the latitudinal distribution of RF
430 and subsequent temperature response. In given latitude bands, the temperature impact can be
431 considerably stronger than the RF in that band suggests, and can extend to the opposite hemisphere
432 to where the emissions occurred. This can be seen by comparing the latitudinal distribution of the
433 RF values given in Table SI2 with that of the ARTPs (note that absolute magnitudes are not directly
434 comparable since the ARTPs are given per unit emissions and as a function of time). Applying the
435 $RCS_{i,l,m}$ given in the coarse latitude bands smooths out the impacts such that there is less latitudinal
436 variation in the temperature responses than in the RFs. This illustrates the dependence of

437 temperature response on both forcing exerted locally and on remote impacts through large-scale
438 circulation impacts and feedbacks in the climate system.

439
440 The latter effects are also very important in the case of BC (Fig. 2A). Again, the ARTP(20) is
441 highest for aviation in SAS, while the difference between remaining regions is smaller than for
442 OC and SO₂ in most latitudes bands. In the 60-90°N region, aviation in the Southern Hemispheric
443 regions cause the highest temperature per unit emissions, despite being far removed from the
444 Arctic. In the GISS results, the Arctic temperature response to local (i.e., within Arctic) forcing is
445 in fact negative (Shindell & Faluvegi, 2009). This local cooling effect applies to BC changes in
446 the mid to upper Arctic troposphere, which is where aviation is most important. Aviation BC
447 emissions in EUR and NAM are more easily transported into the Arctic region and hence induce
448 a stronger local forcing and in turn a larger surface cooling. The net effect of aviation in these
449 regions on the Arctic is still a warming, but this warming is partly offset by the cooling contribution
450 from within Arctic RF. In contrast, aviation BC emissions in SAS, EAS, SAF and SPO have less
451 potential for long-range transport to the Arctic, but the remote BC forcing warms the Arctic
452 through transport of energy. In terms of mitigation, these results underline that if the goal is to
453 limit temperature increase e.g., in the Arctic, it is necessary to go beyond radiative forcing as an
454 indicator and to also consider the impact of emission in more remote regions. This feature has been
455 illustrated also for other sectors and regions (Collins et al., 2013; Lund et al., 2014).

456
457 For contrail-cirrus (Fig 2D), the ARTP(20) for aviation in EUR and NAM is substantially larger
458 in the 28-60°N and 60-90°N latitude bands than for other sources regions considered in this study,
459 while the difference between source regions is less pronounced in the Southern Hemisphere
460 latitude bands. There are two main competing processes at play. Contrail formation is generally
461 more prevalent in the extratropics due to lower temperatures at flight levels than in the tropics and
462 may persist longer due to larger probability of ice supersaturation. An upward shift in the flight
463 level in the tropical troposphere increases the probability of contrails formation and ice
464 supersaturation (Burkhardt et al., 2008). Local peaks of ice supersaturation are also found in the
465 tropics (Irvine & Shine, 2015), in fact the probability of ice supersaturation is highest in the upper
466 tropical troposphere (Lamquin et al., 2012). Furthermore, once contrails have formed, the optical
467 depth of contrail-cirrus is higher in the tropics due to the larger amount of water vapor available

468 for deposition. This higher optical depth in the tropics and the consequently higher RF has also
469 been found in contrail-cirrus simulations (Burkhardt & Kaercher, 2011). However, none of our
470 source regions cover only the tropics. In the SAS region, the air is mostly too warm for contrail
471 formation. However, if contrails were present here, their radiative forcing efficiency would be high.
472 Due to the competing short- and long-wave effects, there can be important diurnal and seasonal
473 variability in the net impact of contrail-cirrus (e.g., Stuber et al. (2006); Bock and Burkhardt
474 (2016b)). The diurnal effects depend on assumptions about the contrail-cirrus lifetime and were
475 shown to be small (Newinger & Burkhardt, 2012) when using the contrail-cirrus parameterization
476 of Burkhardt and Kaercher (2011). This effect is not captured in our analysis using annual mean
477 RF as input.

478
479 It should be emphasized that the contrail-cirrus metrics are suitable for the average of the present-
480 day aircraft fleet. Their application would not be appropriate if there are significant changes in
481 routes and flight altitudes. Furthermore, future changes in climate may alter the meteorological
482 and dynamical conditions, and hence affect the potential for contrail-cirrus formation in a given
483 region (Irvine & Shine, 2015). As discussed in Sect. 2, several factors contribute to uncertainty in
484 the emission metrics, and should be kept in mind in further applications.

485
486 The ARTP of aviation NO_x (Fig. 2E, F) is separated into contributions from ozone, methane and
487 methane-induced ozone, as well as the direct effect of nitrate aerosols. The stars indicate the net
488 NO_x effect. The ARTP(20) is negative in all but two cases, i.e., the net effect of one year of
489 aviation NO_x emissions is a cooling on this time scale, dominated by the NO_x-induced methane
490 loss. However, it is important to note that the sign and magnitude of the net NO_x effect is very
491 sensitive to the choice of time horizon due to the very different time scales on which the ozone
492 and methane contributions act. In particular, during the first decade after emission, the strong but
493 short-lived warming from ozone dominates, resulting in a net positive effect (see also Fig. 6B of
494 Fuglestvedt et al. (2010)). Moreover, the NO_x ARTP is also influenced by the spatial patterns of
495 ozone and methane. Due to the shorter lifetime, the aviation-induced ozone perturbation is more
496 heterogeneous than the methane concentration change, and more confined to the emission source
497 region. The choice of time horizon may therefore affect both the net NO_x effect and the relative
498 importance of source regions. Both the impact of changes in ozone and methane from a pulse

499 emission on NO_x decays strongly over time, as reflected by the much smaller ARTP(100). While
500 the methane cooling remains important on longer time scales, the absolute magnitude diminishes
501 strongly towards a time horizon of 100 years. For all source regions, the competing effects of
502 ozone warming and methane cooling over time results in a small, but net positive global mean
503 effect of aviation NO_x on a 100 year time horizon. In the 90-28°S latitude band the ARTP(100) is
504 positive for emissions occurring in the Southern Hemisphere, but negative for emissions in the
505 Northern Hemisphere, as the latter causes a much smaller ozone concentration change in this
506 latitude band and the methane cooling becomes relatively more important over time. A similar
507 response is seen in the 60-90°N latitude band.

508

509 **3.3 REGIONAL CLIMATE IMPACTS OF THE PRESENT-DAY AVIATION SECTOR**

510 To place our climate metrics in context and illustrate further application, we apply the ARTP and
511 estimate the regional temperature responses over time to present-day aviation in the six emission
512 source regions. Figure 3 shows the temperature change (net and contribution from each species)
513 in each latitude band 20 and 100 years after a *one-year pulse* of present-day aviation emissions in
514 each source region. The current aviation climate mitigation policy is largely focused on CO₂. The
515 contributions from aviation CO₂ emissions are therefore added to place the impact of short-lived
516 and long-lived species in context. The error bars show the 1 SD ranges due to uncertainties in RF
517 and ECS (see Sect. 2.2). Because the same relative uncertainty is assumed for emissions in all
518 source regions and our analysis does not account for uncertainty in the regional climate
519 sensitivities, we only include the ranges in the global mean temperature change.

520 The majority of flights today take place over the northern mid-latitudes. As a result, the net
521 warming is largest in all latitude bands for emissions over NAM and EUR. On a 20 year time
522 horizon, the largest warming contribution from these source regions comes from contrail-cirrus
523 formation and, despite the highly localized RF, the temperature impact is not only limited to the
524 latitude band closest to where the emissions occur. The net warming is slightly offset by a small
525 cooling due to NO_x-induced methane loss, especially in the 90-28°S and 60-90°N regions. As
526 pointed out above, the sign and magnitude of the net NO_x effect depends strongly on the chosen
527 time horizon. For instance, on a 10 year time horizon (not shown here), the net NO_x response is a
528 warming in the 28°S-28°N and 28-60°N regions for emissions in NAM, EUR and EAS. Aviation

529 emissions of BC are small and therefore contribute little to the net impact, despite the strong
530 efficiency (i.e., temperature change per kg emitted).

531 Even on short time horizons (e.g., 20 years), the warming contribution from CO₂ is important. For
532 emissions in EAS, SAF, SAS and SPO, CO₂ is of comparable magnitude to contrail-cirrus after
533 20 years. On longer time horizons (e.g., 100 years) the CO₂ contribution becomes dominant in all
534 latitude bands. This has previously been illustrated for the global mean temperature impact of the
535 sector (Berntsen & Fuglestedt, 2008). Because the perturbation in CO₂ is longer-lived and well-
536 mixed, the warming in the Southern Hemisphere becomes relatively more important compared to
537 the other latitude bands on longer time scales. Nevertheless, for emissions in NAM and EUR, for
538 the northern hemisphere response regions and the global mean, the contributions from contrail-
539 cirrus remains substantial and approximately 10-20 percent of the CO₂ response, even on these
540 long time scales. Figure 3 also shows that the relative importance of the source regions across
541 latitude bands following a pulse emission changes very little over time. However, these
542 calculations do not account for potential future changes in the geographical distribution of
543 emissions.

544 The considerable uncertainty in the aviation-induced forcing mechanisms and climate sensitivity
545 is reflected in the error bars. After 100 years, the uncertainty in climate sensitivity dominates as
546 the relative contribution from the more uncertain, but short-lived mechanisms decays and CO₂
547 becomes more important. Note that the same relative uncertainties apply to all source regions. For
548 contrail-cirrus, an additional source of uncertainty is the efficacy. As noted in Sect. 2.2, studies
549 indicate that the efficacy of contrail-cirrus may be lower than one. Because only two estimates
550 exist in the literature, efficacy is not included in present analysis. However, adopting a spatially
551 uniform efficacy of e.g., 0.6 (Ponater et al., 2005) would result in a 40 percent lower contrail-cirrus
552 impact across all latitude bands.

553 Our study focuses on the pulse based emission metrics and does not consider the future temperature
554 impact of aviation following *emission scenarios*, which would change the timescale of the
555 response and the relative importance of short- and long-lived species over time. As described in
556 Sect. 2.2, our pulse based emission metrics can be used in further studies to investigate the regional
557 temperature impacts following more realistic emission scenarios. For instance, as the simplest
558 form of scenario, one could assume that emissions are kept constant at the present-day level. In

559 this case, the contributions from short-lived species such as contrail-cirrus, ozone and sulfate
560 would quickly become sustained at a constant level rather than decay towards zero and the
561 warming from CO₂ would gradually accumulate (e.g., Berntsen and Fuglestvedt (2008)). The
562 impact of contrail-cirrus may even increase if emissions are kept constant while fuel efficiency is
563 improved. The temporal behavior of total net temperature response, as well as the net NO_x effect,
564 would differ notably from the pulse emission case.

565

566 **3.4 EVALUATION**

567 Several studies have calculated ARTPs for emissions from specific sectors or regions (Collins et
568 al., 2013; Lund et al., 2014; Sand et al., 2016; Stohl et al., 2015). Stohl et al. (2015) also compared
569 the estimated regional temperature responses to short-lived climate pollutants with those simulated
570 by several climate models. However, these studies focus only on surface sources and the evaluation
571 may not be valid for aviation. The regional climate sensitivities that form the basis for the ARTP
572 calculations are derived from simulations with only one climate model. Moreover, the sensitivities
573 are representative of the response to a vertical forcing profile resulting from total anthropogenic
574 emissions, i.e., one that in many regions differ considerably from those induced by the mainly
575 high-altitude aviation emissions. Several recent studies have found a strong vertical sensitivity in
576 the BC forcing-response relationship, with decreasing efficacy with altitude (Ban-Weiss et al.,
577 2011; Flanner, 2013; Samset & Myhre, 2015). Climate model studies also indicate that the forcing-
578 response relationship for ozone will be dependent on both the vertical and horizontal distribution
579 of the ozone change (Berntsen et al., 1997; Hansen et al., 1997; Joshi et al., 2003), which in turn
580 also depends on altitude (e.g., Olsen et al. (2013)). As discussed in Sect. 2.2, a formal
581 quantification of uncertainties in the regional climate sensitivities is currently not possible.
582 However, in light of the potential uncertainties arising from the vertical dependence, we perform
583 a first evaluation of the ARTP concept in the context of aviation ozone and BC. Further evaluation,
584 especially of contrail-cirrus, would be valuable, but require resources beyond those available for
585 the current study.

586

587 Figure 4A shows the normalized regional temperature response to aviation ozone, as simulated by
588 the CESM1.2, HadSM3 and ECHAM (see Sect. 2.3) and estimated using the regional climate

589 sensitivities that form the basis for the ARTP concept. There are several factors potentially
590 contributing to differences in the absolute magnitude of temperature responses in the simulations,
591 including differences in the ozone concentration perturbation resulting from differences in
592 emissions or ozone change per unit emission, radiative efficiency and ECS. HadSM3 and ECHAM
593 used the multi-model average ozone concentration change resulting from year 2000 aviation NOx
594 emission (0.67 TgN) (Hoor et al., 2009), while this study (using CESM2.1) uses the ozone change
595 simulated by one model (OsloCTM3) and year 2006 aviation emissions (0.81 TgN). Based on
596 visual inspection, these two aviation-induced ozone concentration perturbations are quite similar,
597 with slightly larger perturbation at high northern latitudes in the present study. Nonetheless, here
598 we focus on the spatial pattern across latitude bands rather than absolute magnitudes and therefore
599 normalize the temperature response in each band by the respective global mean response.

600 The climate models and RTP agree reasonably well in the 28°S-28°N and 28-60°N latitude bands.
601 However, in both the 90-28°S and 60-90°N regions, the temperature response simulated directly
602 by the climate models is considerably higher than that estimated using the ARTP. The low ARTP-
603 derived temperature response in the 60-90°N region reflects the low sensitivity in the GISS model
604 in this latitude band to ozone forcing exerted both locally, as well as in the 28-60°N region where
605 the aviation-induced forcing is highest (Fig. 1 of Shindell and Faluvegi (2009)). A low, and even
606 negative, sensitivity to Northern Hemisphere forcing also characterizes the 90-28°S band.

607 The reason for the low sensitivity in the GISS simulations, or whether this is a feature specific to
608 this model, is not clear. It is possible that the differences between modeled and estimated
609 temperature response to aviation ozone forcing can be at least partly explained by vertical
610 variations in the response to ozone perturbations. Early work by Hansen et al. (1997) suggested a
611 surface cooling in response to a global near surface ozone perturbation and a maximum efficacy
612 around 700-800 hPa, followed by a decreasing efficacy for ozone perturbations in the upper
613 troposphere. The latter was supported by Joshi et al. (2003). However, the increased sensitivity to
614 lower stratosphere perturbations found by Joshi et al. (2003) was not seen in the Hansen et al.
615 (1997) results. Such uncertainties in the efficacy around the UTLS region are important in the case
616 of aviation.

617 Figure 4B compares aviation BC temperature response to that obtained from the HadSM3. The
618 regional distribution across latitude bands is similar for the estimated and simulated temperature

619 response. However, here we only have temperature response simulated by one climate model.
620 Given the substantial uncertainty and inter-model differences in model estimates of BC climate
621 impacts (Baker et al., 2015; Samset et al., 2014; Stohl et al., 2015), additional model simulations
622 are needed for further comparison and evaluation.

623 The notable decrease in BC efficacy with altitude globally, and particularly at high latitudes (Ban-
624 Weiss et al., 2011; Flanner, 2013; Samset & Myhre, 2015), raises the question whether using the
625 ARTP to estimate temperature responses to the high-altitude aviation BC forcing could result in
626 an overestimation of the absolute magnitude. Flanner (2013) provided vertically resolved climate
627 sensitivities for the Arctic temperature response to local Arctic BC forcing. Using these, Lund et
628 al. (2014) found important differences in the temperature response to BC from on-road
629 transportation in the 60-90°N latitude band compared to using the single regional climate
630 sensitivity derived from Shindell and Faluvegi (2009) (and used in the present analysis). At the
631 altitudes in the 60-90°N region where the aviation-induced RF peaks, the regional climate
632 sensitivity from Flanner (2013) and Shindell and Faluvegi (2009), and hence the estimated
633 temperature response, agree quite well. This agreement may not hold for all regions, but similar
634 vertically resolved climate sensitivities for other latitude bands or species do not currently exist.

635 Based on our analysis, some care is needed when using the ARTP in the context of aviation
636 emissions. Specifically, our findings suggest that the temperature response in the 90-28°S and 60-
637 90°N regions to aviation ozone could be underestimated by the regional climate sensitivities
638 currently used in the ARTP calculations. Furthermore, a possible overestimation of temperature
639 response to aviation BC can not be ruled out. Further work to quantify the importance of vertical
640 variations in forcing-response relationships and develop regional climate sensitivities based on
641 vertically-resolved forcing perturbations would be valuable for future use of the ARTP.

642

643 **4 CONCLUSIONS**

644 We have examined the impacts of aviation emissions on global and regional temperature,
645 characterizing them using emission metrics. We address the impacts of NO_x on ozone and methane,
646 aerosols and contrail-cirrus formation, and consider six emission regions spanning both
647 hemispheres. In addition to updated emission metrics for global aviation, we present GWPs and

648 GTPs on 20 and 100 year time horizons for a larger set of species and regions than previous studies.
649 We also calculate the Absolute Regional Temperature change Potential (ARTP) for aviation,
650 allowing us to not only capture how equal emissions in different regions impact global climate,
651 but also quantify the temperature impacts on a sub-global scale.

652 The metric values depend significantly on emission regions. In the case of aviation aerosols, we
653 calculate the highest GWPs and GTPs for emissions in South Asia, followed by South America
654 and Africa, and the South Pacific Ocean. The strong efficiency of emissions over our South Asian
655 region reflects a relatively long lifetime of the aerosols here compared to other region. Our results
656 do not include aerosol-cloud interactions, an important limitation as recent studies suggest aviation
657 can potentially have strong impact through modification of both cirrus and low-level clouds;
658 however this contribution remains particularly uncertain. The net temperature impact over time
659 following a pulse emission of aviation NO_x is determined by the relative importance of the cooling
660 and warming methane and ozone contributions, and is very sensitive to the choice of time horizon.
661 The net NO_x ARTP is negative after 20 years and switches to a small net warming on a 100 year
662 time horizon on global mean and in the latitude band closest to the where the emission occur.
663 Metrics for contrail-cirrus are calculated on a per unit emission of aviation CO₂ basis. The GWPs
664 and GTPs are highest for North America and Europe, where contrail-cirrus formation is prevalent.
665 However, once formed, contrail-cirrus in the tropics have much higher optical depth due to the
666 larger amount of water vapor. The metric values do not account for a lower efficacy of contrail-
667 cirrus that has been suggested by previous studies, but remains highly uncertain. Moreover, the
668 contrail-cirrus metrics would not be appropriate if there are significant changes in routes and flight
669 altitudes, or if changes in climate or propulsion efficiency affect the potential for contrail-cirrus
670 formation.

671
672 The ARTPs illustrate how the latitudinal temperature pattern can differ significantly from the
673 global mean, as well as from the latitudinal pattern of RF. Due to the short lifetime of many of the
674 aviation forcing mechanisms, the RF is typically largely confined to the latitude band closest to
675 where the emissions occur. However, in a given latitude band, the temperature response can be
676 considerably stronger than suggested by the corresponding forcing, emphasizing the importance
677 of both forcing exerted locally and remote impacts through large-scale circulation impacts and
678 feedbacks in the climate system.

679

680 While the strongest temperature change per unit aerosol and NO_x emitted is found for aviation
681 over the South Asian region in our study, the majority of flights today take place over the northern
682 mid-latitudes. The net warming impact 20 and 100 years following a one-year pulse emission from
683 the present-day aviation sector is therefore largest in all latitude bands for emissions in North
684 America and Europe, with the largest warming contribution after 20 years from contrail-cirrus.
685 Furthermore, contributions from contrail-cirrus remain important at the 10-20 percent of CO₂ level
686 in several regions even on a time horizon of 100 years. The discussion around CO₂ often focuses
687 on its long-term impacts. Our results illustrate that while CO₂ is dominant on longer time scales,
688 it also gives a considerable warming contribution already after 20 years. Our metric framework
689 can also be applied to estimate future regional temperature impact of more realistic emissions
690 scenarios for the sector, which would influence the temporal characteristic of the response and the
691 relative contributions of short and long-lived species over time.

692 While the ARTP concept is an important and useful tool for providing first order estimates of
693 regional temperature of various emissions, our analysis indicate that some care is needed when it
694 is used in the context of aviation emissions, or more generally, in situations that differ significantly
695 from those used to derive the regional climate sensitivities for the ARTP calculations in the first
696 place. In particular, further work to quantify and account for the relationship between vertically-
697 resolved radiative forcing perturbations and surface temperature response is needed to allow for a
698 more general applicability of the concept.

699

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1015 **Tables**

1016 *Table 1: GWP and GTP of global aviation emissions for time horizons 20 and 100 years. Values*
 1017 *are given on a per unit aviation emission basis (per kg BC, OC and SO₂, respectively, while*
 1018 *contrail-cirrus is calculated per kg aviation CO₂, and NO_x per kg N). The GTPs are calculated*
 1019 *using the impulse response function by Boucher and Reddy (2008). For comparison, aviation NO_x*
 1020 *GWP and GTP values from three previous studies are also included.*

Component	GWP		GTP	
	H=20	H=100	H=20	H=100
Contrail-cirrus	3.1	0.84	0.93	0.12
BC	3911	1064	1135	147
SO₂	-559	-152	-162	-21
OC	-282	-77	-82	-11
NO_x	411	77	-138	9
NO_x Fuglesvedt et al. (2010)	120 to 470	-2.1 to 71	-590 to -200	-9.5 to 7.6
Myhre et al. (2011)	92 to 338	-21 to 67	-396 to -121	-5.8 to 7.9
Skowron et al. (2013)	142 to 332	4 to 60		

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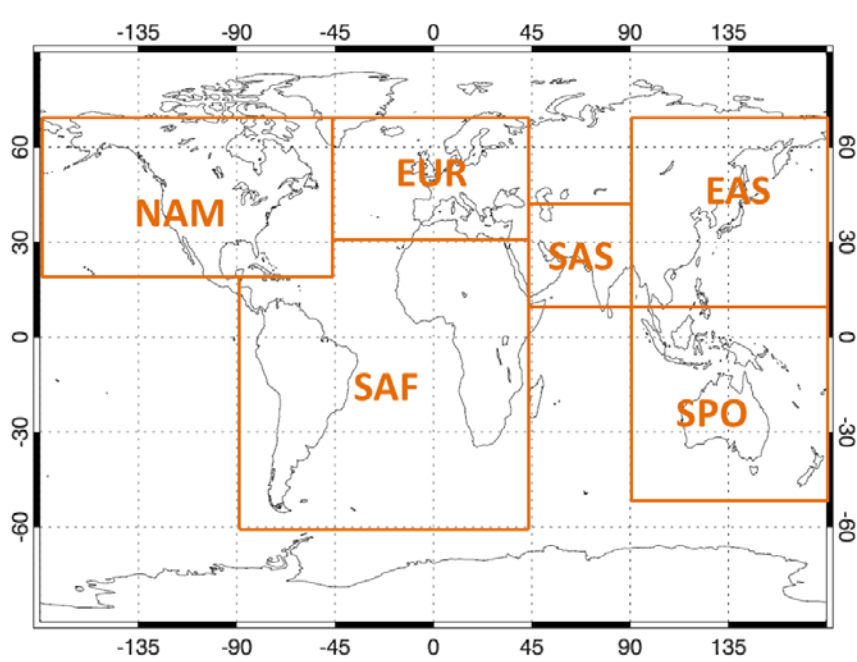
1031 *Table 2: GWP and GTP of regional aviation emissions for time horizons 20 and 100 years. Values*
 1032 *are given on a per unit aviation emission basis (per kg BC, OC and SO₂, respectively, while*
 1033 *contrail-cirrus is per kg CO₂, and NO_x per kg N). The GTPs are calculated using the impulse*
 1034 *response function by Boucher and Reddy (2008).*

Component	Source region	GWP		GTP	
		H=20	H=100	H=20	H=100
Contrail-cirrus	SAF	3.6	0.99	1.09	0.14
	NAM	3.3	0.90	1.00	0.13
	EAS	1.7	0.45	0.50	0.06
	EUR	2.5	0.67	0.75	0.10
	SPO	2.3	0.63	0.70	0.09
	SAS	2.6	0.70	0.78	0.10
OC	SAF	-481	-131	-140	-18
	NAM	-289	-79	-84	-11
	EAS	-283	-77	-82	-11
	EUR	-197	-54	-57	-7.4
	SPO	-419	-114	-122	-16
	SAS	-611	-166	-177	-23
BC	SAF	5420	1470	1570	203
	NAM	3560	969	1030	133
	EAS	4170	1140	1210	156
	EUR	2300	816	871	112
	SPO	4940	1340	1430	185
	SAS	8250	2250	2390	309
SO₂	SAF	-833	-227	-242	-31
	NAM	-550	-150	-159	-21
	EAS	-602	-164	-175	-23
	EUR	-378	-103	-110	-14
	SPO	-746	-203	-216	-28
	SAS	-1120	-304	-324	-42
NO_x	SAF	484	70	-316	6.26
	NAM	280	48	-126	5.0
	EAS	513	108	-79	13
	EUR	210	37	-87	4.0
	SPO	806	159	-205	19
	SAS	695	137	-176	16

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NAM = North America, EUR = Europe, SAS = South Asia and Middle East, EAS = East Asia, SAF = South America and Africa, SPO = South Pacific Ocean

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1040 *Figure 1: Definition of emission source regions in this study.*

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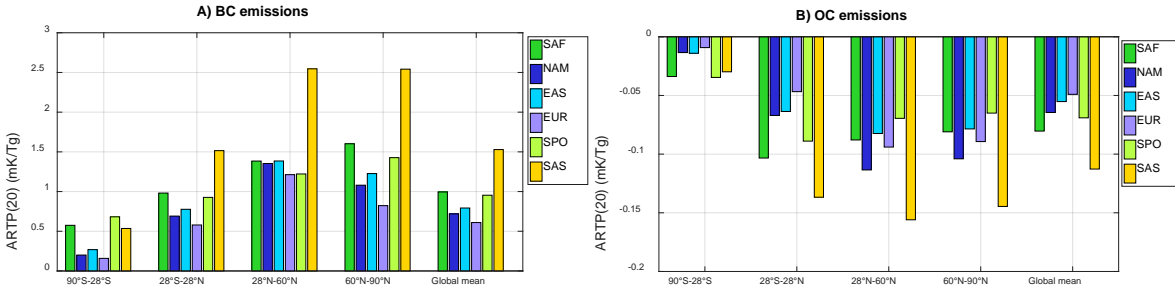
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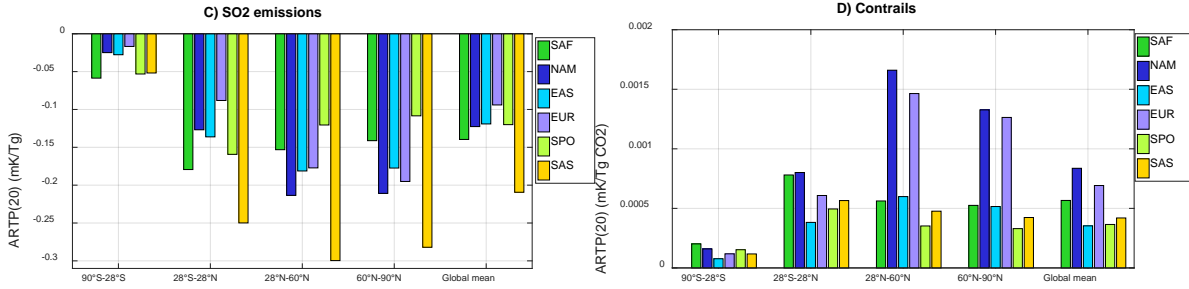
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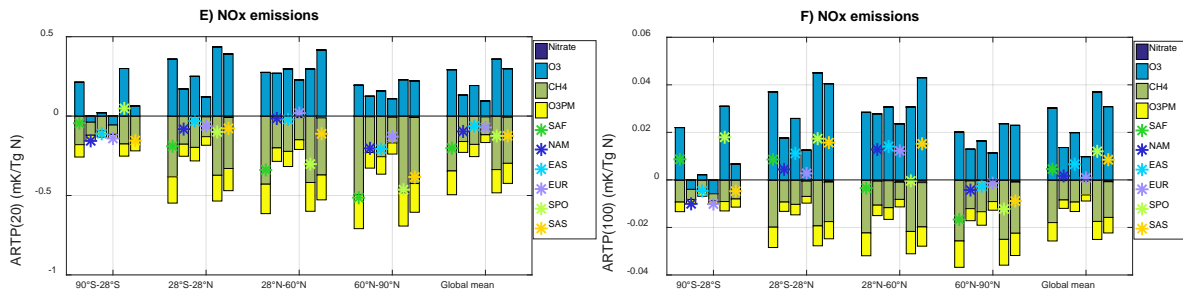
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1054 *Figure 2: ARTP(20) of aviation (A) BC, (B) OC, (C) SO₂ and (D) aviation-induced contrail-cirrus,*
 1055 *and (E,F) ARTP(20) and ARTP(100) of aviation NO_x. The asterisk in panels E and F show the net*
 1056 *NO_x effect of emissions in each source region, while the colored bars give the contributions from*
 1057 *ozone production (O₃), NO_x-induced methane loss (CH₄) (including subsequent stratospheric*
 1058 *water vapor loss), methane-induced ozone changes (O₃PM) and NO_x-induced nitrate formation.*

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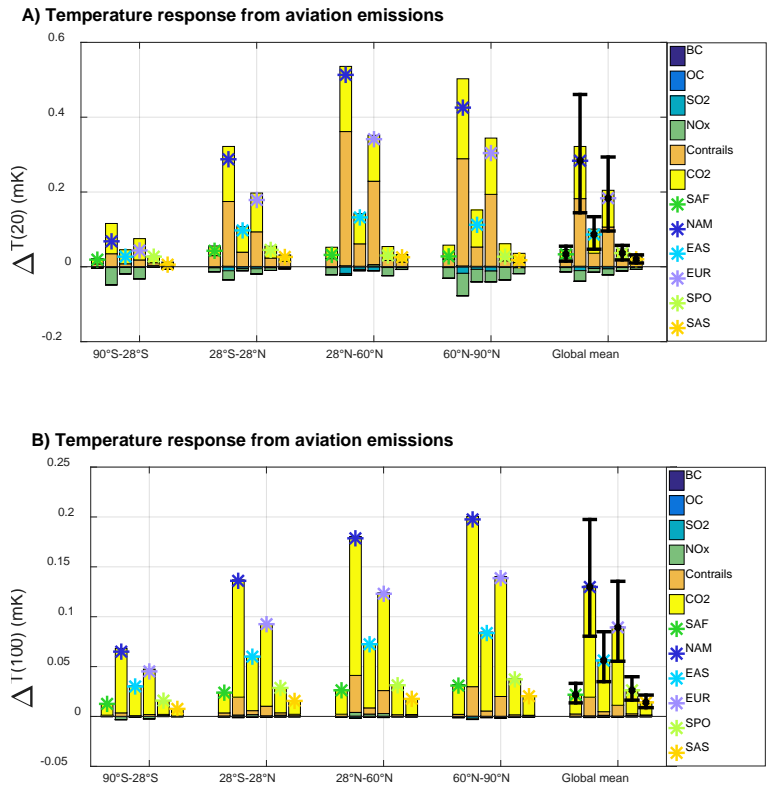
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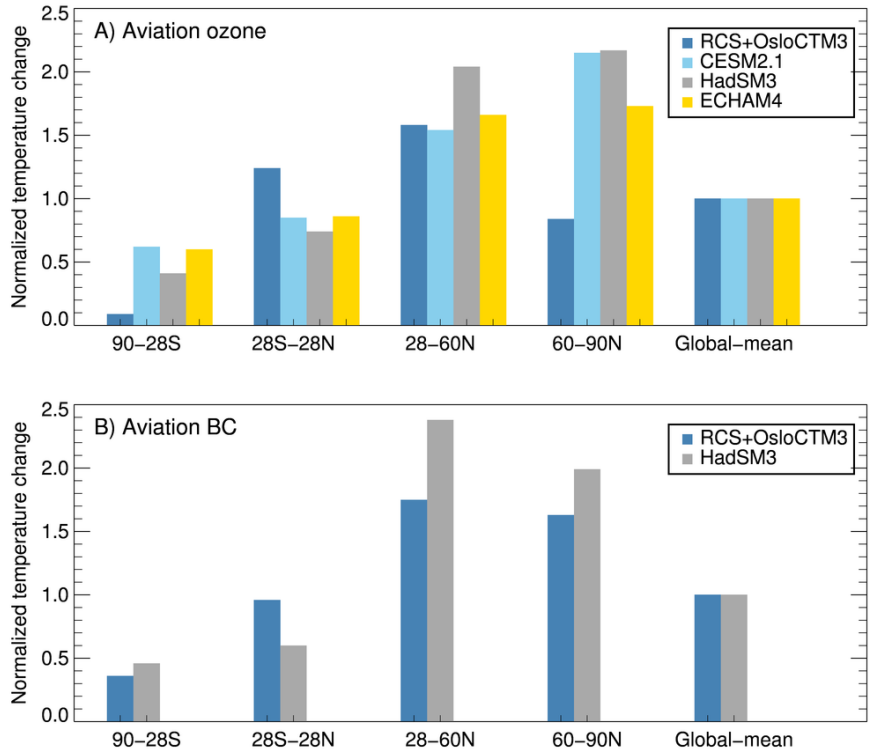


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1074 *Figure 3: Regional and global mean temperature change by species and source region after A) 20*
1075 *years and B) 100 years following a one-year pulse of emission from the present-day aviation sector*
1076 *in each source region. The asterisk shows the net temperature response in the respective latitude*
1077 *band for each emission source region, while the bars show the contribution from each species to*
1078 *the net. Error bars show the 1 SD ranges due to uncertainties in RF and ECS.*

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1081 *Figure 4: A) Comparison of the regional pattern of surface temperature response to a global*
 1082 *aviation ozone perturbation as calculated using the regional climate sensitivities (RCS) from GISS*
 1083 *with RF derived from OsloCTM3 (i.e., using the ARTP concept) and simulated by HadSM3,*
 1084 *ECHAM4 and CESM1.2. Surface temperature response in each latitude band is normalized by the*
 1085 *global mean value. B) Same as A), but for BC.*

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