Dear Editors and Reviewers:

Thank you for your letter and for the reviewer's comments concerning our manuscript entitled "Population exposure to droughts in China under 1.5° C global warming target" (ID: esd-2017-100). Those comments are all valuable and very helpful for revising and improving our manuscript. We studied comments carefully and made corrections in the manuscript. The texts marked in red, orange, green, blue and purple in the revised manuscript are modifications according to comments from reviewer #1, 2, 3, 4 and editor respectively. The response to comments are as follow:

Response to reviewer #1

1. Please define "risk" and "exposure" in introduction.

Authors' response: Thanks for your suggestions. We have supplemented the definition of "risk" and "exposure" in P1 Line 31 (Section 1 Introduction). The statement is: "Risk is often represented as the probability of occurrence of hazardous events or trends multiplied by the impacts if these events or trends occur, it results from the interaction of hazard, exposure, and vulnerability (Field et al., 2014). Therefore, exposure assessment is one of the most important aspect of disaster risk assessment. Exposure usually refers to the presence of people, livelihoods, species or ecosystems, environmental functions, services, and resources, infrastructure, or economic, social, or cultural assets in places and settings that could be adversely affected (Field et al., 2014)."

2. Rephrase P3 Line 12 to 13.

Authors' response: Thanks for your advice. The statement was rephrased to "Combined the characteristics of the Standardized Precipitation Index (SPI) (McKee et al., 1993) at multiple scales and Palmer Drought Severity Index (PDSI) (Palmer, 1965) which is sensitive to warming, SPEI was proposed by Vicente-Serrano et al. (2010)" in Section 2.2.

3. Please give more details on SPEI calculation.

Authors' response: Thank you. We have added the statement "The SPEI reflects the

change in water deficit using the Log-logistic probability distribution function, and obtains the drought index value by normalized normalization." and "The radiation coefficient used is based on the radiation calibration results in China provided by Yin et al. (2008)". In Addition, detailed calculation process of potential evapotranspiration as well as procedure used to derive the SPEI and the set parameters were also supplemented in Section 2.2.

4. Please define the "Hu line" and provide a brief introduction

Authors' response: Thanks for your suggestions. We have supplemented the definition and a brief introduction of "Hu line" in Section 3.1. The statement is "(The variation in demographic change is clear when comparing the two sides of the Hu line), which is an imaginary line that diagonally divides the area of China into two parts, stretching from the city of Heihe in Heilongjiang Province to Tengchong in Yunnan Province. It is also called the "geo-demographic demarcation line"; the west of the line occupies 56.2% of the area of China, but only 5.9% of the population, while the east of the line occupies 43.8% of the area, but 94.1% of the population (Fig. S3)." In addition, we have added the Hu line in Fig 2 \cdot Fig S3 and S4 so that the statement and figures would be easily understood.

5. P5 Line 5, two scenarios?

Authors' response: Sorry for our incorrect writing of "two scenarios". We have corrected the statement to "the reference period and the 1.5°C global warming scenario" in Section 3.2.

6. Figure 5, figure (a) and figure (b) almost the same, so I suggest to add a total number of population.

Authors' response: Thanks for your suggestions. Figure 5 shows the cumulative probability projected change drought frequency and population exposure in order to reflect the change of frequency and exposure of the three grades of droughts. Therefore, the change of population is not included. Figure (a) and figure (b) are similar because most of the probabilities of increase in frequency (a) and exposure (b) are near 50%, but there are some differences between the two figures. For example, the probability of

decrease of extreme droughts in frequency and exposure is 61.77% and 71.83 % respectively. Besides, we have shown the change of population both in number and percentage in Fig S4. Of course we also think the number of population is important, the suggestion is valuable, so we added the spatial distribution of population of China in reference period in Fig S3.

7. P7 "Results suggest that reaching the 1.5° C target is a potential mechanism for mitigating the impact of climate change on droughts." It is not very clear.

Authors' response: Thanks for your comments. The statement is based on the results from our study. To make it more clear, we have rewritten this statement to "Fourth, probabilities of increasing or decreasing total drought frequency are approximately equal (49.86 % and 49.66% respectively), while the frequency of extreme drought is likely to decrease (71.83 % probability) in 1.5°C global warming scenario. Results suggest that in the 1.5°C global warming scenario, the contribution of climate change is significantly less than demographic change and drought frequency will not increase distinctly compared to reference period, which indicates that reaching the 1.5°C target is a potential mechanism for mitigating the impact of climate change on both droughts and population exposure." in Section 5.

Response to reviewer #2

1. While I believe the study is very well conceived and the paper is very well written, I have to object to one of the author's primary conclusions. I do not believe an increase in exposure of 6.97 million persons constitutes a "substantial" increase. If anything I would argue that it is quite the opposite. In 2030 6.97 million persons represent roughly 0.5% of the projected Chinese population under SSP1 (1.359 billion). In short, as currently contextualized, the results/projections are a bit misleading as the increase in exposure is rather unremarkable.

I would suggest two possible pathways to remedy this issue. First, the authors might reframe this result to highlight the importance of achieving the goals of the Paris Accord within the context of Chinese droughts. This study finds that doing so will limit the potential damage incurred by climate change. Second, this finding might be supported by adding an additional scenario, such as an SSP2/RCP4.5, SSP3/RCP4.5, or SSP5/RCP8.5 combination to illustrate the avoided impacts of achieving Paris. The

second suggestion entails significantly more work, and may be better thought of as future work, but at the very least I would like to see the paper reframed to better fit with the results.

Authors' response: Thanks for your suggestions. The statement was rephrased in Section 4 and 5. The modifications are as follow:

P7 Line 17-19, We have added the statement "(The results indicated that average annual population exposure to droughts in the 1.5 °C global warming scenario would increase by 6.97 million compared to the reference period,) roughly 0.51 % of the projected Chinese population under the SSP1 scenario in 2030. The increase in exposure is rather unremarkable, suggesting that achieving the 1.5 °C target may limit the potential damage incurred by climate change."

P8 Line 3-5, We have added the statement "In future studies, we would like to evaluate population exposure for high GHG emission pathways, i.e., RCP4.5/SSP2 and RCP8.5/SSP3, and compare with the results from RCP2.6/SSP1 to illustrate the impacts of achieving the 1.5 °C target."

P8 Line 24 We have revised the statement "a substantial increase" to "a slight increase".

2. Page 3 lines 27-29: I would suggest rewriting as "The impact of population was calculated by holding climate constant, that is, the frequency of mild, moderate, and extreme droughts in the reference period multiplied by the population in the SSP1 scenario" (as opposed to ... "the population in the 1.5C global warming scenario). You want to convey to the reader that you are holding climate constant and allowing population to vary, so use the SSP as opposed to the temperature target.

Authors' response: Thanks for your advice. The statement was rewritten to "The impact of population was calculated by holding climate constant, that is, the frequency of mild, moderate, and extreme droughts in the reference period multiplied by the population in the SSP1 scenario. Similarly, when calculating impact of climate, the population was held constant, that is, the frequency of mild, moderate, and extreme droughts in the RCP2.6 scenario was multiplied by the population in the reference

3. Page 4 line 4: I am assuming exposure is expressed in "average annual" population counts. I would suggest adding this terminology up front in Section 3.2 (e.g., "The average annual aggregate exposure.....)

Authors' response: Thanks for your suggestions. We have added the express "average annual (aggregate exposure)" in Page 6 line 2 (Section 3.2) as well as Page 7 line 16 (Section 4).

Response to reviewer #3

1. The standardized precipitation evapotranspiration index (SPEI) used in this study is an index of meteorological drought. Meteorological droughts do not necessarily coincide with agricultural, hydrological, or even socio-economic drought Thus, meteorological droughts have only limited direct relevance to people. In addition, the SPEI defines meteorological drought as departure from the mean climatic water balance (precipitation minus potential evapotranspiration) in multiples of standard deviations. For example, a value of -1 marks an event that deviates by one standard deviation from mean conditions. By definition, 15.9% of all time steps will be classified as -1 or less. It is obvious that such an indicator does not provide a measure of dryness in an absolute sense. Under wet conditions with low temporal variability, most SPEI droughts are still wet in an absolute sense; under dry conditions, many very dry events may not be classified as drought by the SPEI. Despite these shortcomings, I do believe that assessing population exposure to changes in meteorological droughts under climate change is a valid research question. But the limitations of the employed indicator (and drought type) must be highlighted and discussed to avoid misinterpretation of the results. This is clearly lacking in the paper, which instead tends to overstate the meaning of population exposure to meteorological droughts (e.g., page 2, lines 8-11).

Authors' response: Thanks for your suggestions. We have added the express about limitations of the employed indicator (and drought type) in Section 4. The modifications are as follow:

P8 Line 8-11, we have added the statement "There are many kinds of droughts:

meteorological, agricultural, hydrological, and socioeconomic. In this study, based on simulated climate data, we assessed population exposure to meteorological droughts under the 1.5 °C global warming target using the SPEI; however, the results do not necessarily coincide with agricultural, hydrological, or socioeconomic droughts. Therefore, we would like to assess population exposure to different kinds of droughts to determine their impacts on populations."

P8 Line 14-19, we have added the statement "For instance, SPEI was chosen in this study because it combines the characteristics of SPI and PDSI; however, it is limited by providing a measure of dryness in a relative rather than absolute sense. Selecting different drought indexes may lead to differences in drought hazard and population exposure results. Therefore, future studies could evaluate different drought indexes based on more advanced and higher resolution GCMs and RCMs (regional climate models), determine importance of sources of uncertainty, and generate assessment results that are more accurate and reasonable."

2. The basic concept of the SPEI is to transform a time series of the climatic water balance into a time series of normally distributed index values with a mean of 0 and a standard deviation of 1. For this transformation, a probability distribution function is fitted to the empirical distribution of climatic water balance values. The fitted distribution function is then used to map the climatic water balance values to SPEI values corresponding to the same quantile. Performing the transformation for present day and future time periods with independently fitted distribution functions, will yield two SPEI time series with the same statistical properties. Any attempt to identify a climate change signal will fail with this approach as the signal is lost in the transformation. Therefore, a single distribution function (preferably estimated from the reference period) must be used for the transformation of both the reference and future time series to be able to detect changes in the frequency of drought events. It is not clear whether this has been done correctly in this analysis as the method sections only provides a very vague description of the SPEI calculation. However, the results and how they are presented indicate that separate distribution functions have been fitted to the reference and the future time period.

Authors' response: Thanks for your advice. We have added detailed statements of SPEI calculation in Section 2.2 and supplemented probability distribution of SPEI for

3. On page 2 line 32 the authors explain that the climate data from the five available GCMs had been averaged prior to the analysis. Averaging time series is never a good idea. But in the case of GCM time series and with the aim to calculate SPEI it is simply wrong. The argument that "combining multiple models has been to shown superior to a single model" only holds true for long term averages and only for the comparison to observations. The SPEI analysis must be performed for each GCM individually. The results can then be averaged while properly accounting for GCM uncertainty.

Authors' response: Thanks for your comments. Our inappropriate description led to a misunderstanding of the analysis. We have calculated SPEI for each GCM initially and averaged the results for drought frequency and population exposure analysis. So we have replaced the description to "In this study, we synthesized the results of the five GCMs based on the separately calculated SPEI for each GCM, as combining results of multiple models has been shown to be superior to a single model (Zhou and Yu, 2006)." in Page 3 line 6-8 (Section 2.1). Besides, we have added uncertainty discussion including GCM uncertainty in Page 8 line 12-19 (Section 4). The statement was rephrased to "In addition, there are some uncertainties in estimating population exposure under climate change. The main sources include GHG emission scenarios (Maurer, 2007), GCMs (Kirono et al., 2011), calculating potential evapotranspiration, population prediction, and selection of the drought index (Burke and Brown, 2008). For instance, SPEI was chosen in this study because it combines the characteristics of SPI and PDSI; however, it is limited by providing a measure of dryness in a relative rather than absolute sense. Selecting different drought indexes may lead to differences in drought hazard and population exposure results. Therefore, future studies could evaluate different drought indexes based on more advanced and higher resolution GCMs and RCMs (regional climate models), determine importance of sources of uncertainty, and generate assessment results that are more accurate and reasonable."

4. The paper defines population exposure to drought as "the frequency of mild, moderate, and extreme droughts multiplied by the number of people exposed to them" and reports it as number of people. I don't think this is appropriate. Let's assume a moderate drought is found to occur over 10 % of the time in a given grid cell. Then, according to the above definition, 10 % of the total population in that grid cell would

be counted as exposed to moderate drought. This is strange because intuitively one would expect that all people in that cell will experience moderate drought conditions over 10 % of the time. It is possible that it is only the unit (population numbers) that is puzzling here and that it could be fixed by including the temporal dimension. However, under no circumstance should the population exposure obtained for different drought severity classes be added (as done on multiple occasions in the paper).

Authors' response: Thanks for your comments. There are different kinds of definition of population exposure to extreme climate events and disasters. For example, Smirnov et.al (2016) defined "populations' exposure to extreme drought as the total number of people, in the world or in a country, living in grid cells where SPEI < -2." While the definition of exposure we used is referred to Jones et al (2015), which defined population exposure to heat extremes as "the annual average number of days with a maximum temperature above 35 °C multiplied by the number of people exposed to that outcome." To state more clearly, we have change the description to "Our measure of population exposure is the number of people exposed to mild, moderate, and extreme droughts. That is, the annual average percentage of mild, moderate, and extreme droughts multiplied by the number of people exposed to that outcome, which is referred to Jones et al. (2015)" in Page 4 line 13-15 (Section 2.3).

As for calculation of population exposure of different severity classes, it is referred to studies of Smirnov et al. (2016) and Sun et al. (2017) as is mentioned in Section 1, which is also widely used in relevant studies. Smirnov et al. (2016) assessed population exposure to extreme droughts while the study did not account for mild and moderate droughts. Sun et al. (2017) analyzed population exposure to moderate, severe and extreme droughts under 1.5 °C and 2.0 °C global warming scenarios, while the study ignored the impact of demographic growth on population exposure change. In this study, calculation of population exposure of different severity classes make the results more accurate, and is useful for relative importance analysis. In addition, it is also important for vulnerability and risk assessment in further studies.

References:

Jones, B., O'Neill, B. C., Mcdaniel, L., Mcginnis, S., Mearns, L. O., and Tebaldi, C.: Future population exposure to US heat extremes, Nat. Clim. Change, 5, 592–597, 2015.

Smirnov, O., Zhang, M., Xiao, T., Orbell, J., Lobben, A., and Gordon, J.: The relative importance of climate change and population growth for exposure to future extreme droughts, Climatic Change, 138, 1–13, 2016.

Sun, H., Wang, Y., Chen, J., Zhai, J., Jing, C., Zeng, X., Ju, H., Zhao, N., Zhan, M., and Luo, L.: Exposure of population to droughts in the Haihe River Basin under global warming of 1.5 and 2.0°C scenarios, Quatern. Int., 2017.

5. The methods description is very short and lacks explanation of important aspects, which are crucial for the understanding of the analysis. It is by no means clear how ETo was calculated (e.g., climate variables used, temporal resolution) and which procedure was used to derive the SPEI (e.g., temporal resolution or number of time steps of SPEI, probability distribution type assumed for climatic water balance, fitting methods for estimating parameters probability distribution function, same or different parameters for reference period and scenario). In order to assure transparency and reproducibility of the analysis this information must be provided.

Authors' response: Thanks for your suggestions. We have supplemented detailed calculation process of ET₀ in Section 2.2 including climate variables used and temporal resolution. Also, we added the procedure used to derive the SPEI and the set parameters. The statement is:

Page 3 line 28-29, "Differences between precipitation (P) and potential evapotranspiration (ET_0), which reflect the water surplus or deficit in a region, were calculated to deduce the SPEI using:"

Page 3 line 32 and Page 4 line 1-3, "Therefore, the Penman–Monteith equation (FAO, 1998) was replaced to calculate ET₀ in this study. The Penman–Monteith equation comprehensively considers the impact of both thermal and dynamic factors on ET₀, i.e., temperature, wind speed, relative humidity, and solar radiation. Therefore, results are more consistent with true reference crop evapotranspiration."

Page 4 line 6-11, "Here, ET_0 is the potential evapotranspiration; Rn is the net radiation; G is the soil heat flux density; T is the surface mean daily air temperature; u2 is the wind speed at 2 m height above the ground; es is the saturation vapor pressure; and ea

is the actual vapor pressure. The SPEI was calculated using the R-SPEI-package (https://CRAN.R-project.org/package=SPEI). The input data are monthly time series of D (differences between precipitation and potential evapotranspiration), where the set parameters are scale=12, kernel = 'rectangular', distribution = 'log-Logistic', and fit = 'ub-pwm'. The categorization of drought grade by SPEI and its probability are shown in Table 1 (Liu and Jiang, 2015)."

6. It is not clear to me how the section 3.4 can contribute to a quantification of uncertainties.

Authors' response: Thanks for your comments. In this study, cumulative distribution functions (CDFs) were used to quantified drought frequency and population exposure change in 1.5 °C global warming scenario relative to reference period to evaluate the possible impact of climate change. Uncertainty analysis in Section 2.4 refers to uncertainty analysis of drought frequency and population exposure change, the statement may lead to misunderstanding. Thus, we have replaced the title of Section 2.4 to "Relative importance and cumulative probability analysis" and the definition of CDFs was added in Page 4 line 27-28 (Section 2.4), the statement is "The CDF of a random variable X is the function representing the probability that the random variable X takes on a value less than or equal to x".

Response to reviewer #4

1. Remarkably, it is not clear what is the period that the authors actually study. The authors refer to the period as 1.5 C global warming scenario period but never define it explicitly. Is it 2020-2040, 2040-2060, 2050-2100, 2080-2100, etc.?? This is especially importance since the authors compare their results with other studies, which may cover different periods. In one place, the authors mention population projection for 2030 -- perhaps this is the period that they study. In that case, the authors should strongly emphasize that their results are for 2030 and not for other periods. For example, the population growth for China is generally expected to be non-linear; i.e., it is likely to decrease by the end of the century.

Authors' response: Thanks for your suggestions. The period of 1.5 C global warming scenario was determined in Page 3 line 10-11(Section 2.1). The statement was

"According to previous research (Schleussner et al., 2016; Sun et al., 2017), a stable increase of 1.5°C GMT above preindustrial level for 20 years will be in 2020-2039 under RCP2.6". Of course we also think the study period should be emphasized to avoid misunderstanding, the suggestion is valuable, so we added the time period of both reference period (1986-2005) and 1.5 C global warming scenario (2020-2039 in RCP2.6) in Page 1 line 14 (Abstract) , Page 4 line 16 (Section 2.3), Page 12 line 6 (caption of Figure 1), Page 13 line 7-8 (caption of Figure 3) and Supplement (captions of Fig. S2, Fig. S3 and Fig. S4).

2. The results are entirely based on the SSP data. While I do not suggest replicating the drought exposure results for other population growth projections, the authors should at least compare SSP projections with other popular projections such as the United Nations scenarios.

Authors' response: Thanks for your suggestions. The reason for using SSP data rather than other popular population projections in this study is the correspondence between RCP and SSP scenarios. SSP1 we chose is a sustainable development scenario facing low mitigation and adaption challenges which may be closer to 1.5°C global warming target. While other population projections seems not consider the specific carbon emission concentration or target of increase in global mean temperature in the future. Of course we also think comparing SSP projections with other popular projections is important for make our choice more reasonable, so a brief comparing was added in Page 3 line 11-15(Section 2.1). The statement was "As for population data, the United Nations (http://www.un.org), world bank (https://data.worldbank.org.cn) and other organizations have proposed projection of population in the future. Considering the RCP scenario we chose in this study, projections of population data in SSP scenarios was used. It is reference pathways describing plausible alternative trends in the evolution of society and ecosystems over a century timescale, in the absence of climate change or climate policies".

3. How are the mild, moderate, and extreme droughts defined? Which SPEI threshold are used?

Authors' response: Thanks for your comments. Mild, moderate, and extreme droughts are graded by the value of SPEI. The categories and SPEI threshold were shown in

Table 1 in Page 12. To make it more clear, the definition of each drought grade was supplemented in Table 1, and the statement "The categorization of drought grade by SPEI and its probability as well as the definition of each grade of drought" was added in Page 4 line 11 (Section 2.2).

Table 1. Drought grade categories and probability in the SPEI and its definition.

SPEI	Categories	Probability	Definition
>-0.5	Normal and wetness	69.15%	Precipitation is normal or more than normal, surface is
			wet and there is no drought
-1.0~-0.5	Mild drought	14.98%	Precipitation is less than normal, surface air is dry, and
			soil moisture is insufficient
-2.0~-1.0	Moderate drought	13.59%	Precipitation continued to be less than normal, surface is
			dry, soil moisture is insufficient, which has a certain
			impact on crops and ecological environment
≤-2.0	Extremely drought	2.28%	Soil moisture is seriously deficient for a long time,
			which has a serious impact on crops, ecological
			environment, industrial production as well as drinking
			water for people and animals

4. Isn't SPEI-12 related to hydrological drought? The authors implicitly suggest that the two are completely unrelated.

Authors' response: Thanks for your suggestions. According to the definition of meteorological and hydrological drought. Meteorological drought is related to the precipitation deficit over a prolonged period of time. The commonly used meteorological drought indicators include SPI, PDSI and SPEI. Hydrological drought is generally related to the deficit of surface runoff, streamflow, reservoir, or groundwater. The commonly used hydrologic drought indicators include Palmer Hydrologic Drought Index (PHDI), runoff or streamflow percentile, Standardized Runoff Index (SRI), or reservoir level. And the SPEI was used as hydrological drought indices in some research as well. Our inappropriate description led to a misunderstanding, so we have supplemented the description "SPEI is commonly applied as an indication of meteorological droughts and, to a lesser extent, hydrological droughts (Zargar et al., 2011; Hao et al., 2018)." in Page 3 line 26-27 (Section 2.2).

References:

Hao, Z., Singh, V.P., Xia, Y.: Seasonal drought prediction: advances, challenges, and future prospects. Rev. Geophys., 56, 1–34, 2018.

Zargar, A., Sadiq, R., Naser, B., and Khan, F. I.: A review of drought indices, Environ. Rev., 19, 333–349, 2011.

5. For the abstract, please, specify the time period that you study.

Authors' response: Thanks for your advice. We have supplemented the study time period in Page 1 line 14(Abstract), the statement was "the standardized precipitation evapotranspiration index (SPEI) was used to calculate drought frequencies in the reference period (1986-2005) and 1.5 °C global warming scenario (2020-2039 in RCP2.6)".

6. Abstract line 17: replace "much higher" with "higher"

Authors' response: Thanks for your suggestions. The statement was replaced to "higher" in Abstract line 17, and the same statement in Page 8 line 21 (Section 5) was replaced, too.

Response to Editor's comments

1. In the abstract, please remove the reference to the Hu line as this is not widely known outside China. Perhaps rather describe this as the east vs. west of China or similar.

Authors' response: Thanks for your suggestions. The statement was replaced to "Results revealed that population exposure to droughts on the east of China is higher than that on the west" in Page 1, line 17.

2. The abstract misses a conclusion at the end.

Authors' response: Thanks for your suggestions. The conclusion was supplemented at the end of abstract, Page 1, line 24-25. The statement is "The study suggested that

reaching the 1.5°C target is a potential way for mitigating the impact of climate change on both drought hazard and population exposure".

3. Page 2, line 4: droughts are "likely to increase" (instead of "increasing").

Authors' response: Thanks for your advice. The statement was replaced to "likely to increase" in Page 2, line 5.

4. Page 2, line 28: Reference for ISI-MIP Project?

Authors' response: Thanks for your suggestions. Reference for ISI-MIP Project "(Warszawski et al., 2014)" was supplemented in Page 2, line 29.

5. Page 2, line 29: Also, add a reference for the SSPs.

Authors' response: Thanks for your advice. Reference for the SSPs "(O'Neill et al., 2014)" was added in Page 2, line 30.

6. Page 2, last sentence: This evaluation... it does not provide effective strategies, but rather provides a basis for such strategies. Please adjust.

Authors' response: Thanks for your suggestions. The statement was fixed to "This evaluation ... is expected to provide a basis for adaptation and mitigation strategies." in Page 2, line 33.

7. Page 3, line 10: Define GMT.

Authors' response: Thanks for your suggestions. GMT has been defined in Page 1 line 27. To make it clearer, we also added its definition "global mean temperature (GMT)" in Page 3, line 10-11.

8. Page 3, line 20: add degree latitute/longitude to 0.5° .

Authors' response: Thanks for your suggestions. We have added "degree latitute/longitude" to 0.5° in Page 3, line 21.

9. Page 3, line 25: "normalized normalization". Please fix.

Authors' response: Sorry for our inappropriate description. The statement was fixed to "and obtains the drought index value by standardizing" in Page 3, line 26.

10. Page 4, line 14: "which is referred to Jones et al. -- What do you mean?

Authors' response: Thanks for your comments. It means the definition of population exposure to droughts used in this study is referred to Jones' study about exposure to heat extremes. Sorry for our inappropriate writing. The statement was replaced to "the annual average percentage of mild, moderate, and extreme droughts multiplied by the number of people exposed to that outcome (Jones et al., 2015)." in Page 4, line 13-15.

11. Page 8, line 21: What you list there are not four key conclusions, but is the summary of what you find. This is something different. The last sentence of the paragraph is more of a conclusion. Please adjust the text accordingly.

Authors' response: Thanks for your suggestions. We have adjusted the text accordingly. Page 8, line 21: The statement was replaced to "This study leads to four key findings". Page 8, line 29: The statement was replaced to "To conclude, in the 1.5°C global warming scenario...".

12. Figure 2: Define red line.

Authors' response: Thanks for your suggestions. Definition of red line was supplemented in Page 13, line 3-5, the caption of Figure 2. The statement is "Red line is Hu line, an imaginary line that diagonally divides the area of China into two parts, stretching from the city of Heihe in Heilongjiang Province to Tengchong in Yunnan Province, which is also called the 'geo-demographic demarcation line'."

Population exposure to droughts in China under 1.5 °C global warming target

Jie. Chen ^{1,2}, Yujie. Liu ¹, Tao. Pan ¹, Yanhua. Liu ¹, Fubao. Sun ¹, and Quansheng. Ge ¹

Correspondence to: Yujie. Liu (liuyujie@igsnrr.ac.cn) or Tao. Pan (pantao@igsnrr.ac.cn)

Abstract. The Paris Agreement proposes a 1.5 °C target to limit the increase in global mean temperature (GMT). Studying the population exposure to droughts under this 1.5 °C target will be helpful in guiding new policies that mitigate and adapt to disaster risks under climate change. Based on simulations from the inter-Sectoral Impact Model Intercomparison Project (ISI-MIP), the standardized precipitation evapotranspiration index (SPEI) was used to calculate drought frequencies in the reference period (1986-2005) and 1.5 °C global warming scenario (2020-2039 in RCP2.6). Then population exposure was evaluated by combining drought frequency with simulated population data from shared socioeconomic pathways (SSPs). In addition, the relative importance of climate and demographic change and the cumulative probability of exposure change were analyzed. Results revealed that population exposure to droughts on the east of China is higher than that on the west; exposure in the middle and lower reaches of the Yangtze River region is the highest and lowest in the Qinghai-Tibet region. An additional 6.97 million people will be exposed to droughts under the 1.5 °C global warming scenario relative to the reference period. Demographic change is the primary contributor to exposure (79.95 %) in the 1.5 °C global warming scenario, more than climate change (29.93 %) or the interaction effect (-9.88 %). Of the three drought intensities, mild, moderate, and extreme, moderate droughts contribute the most to exposure (63.59 %). Probabilities of increasing or decreasing total drought frequency are roughly equal (49.86 % and 49.66% respectively) while the frequency of extreme drought is likely to decrease (71.83 % probability) in 1.5°C global warming scenario. The study suggested that reaching the 1.5°C target is a potential way for mitigating the impact of climate change on both drought hazard and population exposure.

1 Introduction

25

The goal of the Paris Agreement is to pursue efforts to limit the increase in global mean temperature (GMT) to 1.5 °C above preindustrial levels, recognizing that this limit would significantly reduce the risks and impacts of climate change (UFFCCC, 2015). Studies quantifying climate extreme events and their social-economic impacts under the 1.5 °C target are urgently needed. These types of studies are key content for the IPCC special report on the 1.5 °C target, which will be published in 2018. Risk is often represented as the probability of occurrence of hazardous events or trends multiplied by the impacts if these events or trends occur, it results from the interaction of hazard, exposure, and vulnerability (Field et al., 2014). Therefore,

¹ Institute of Geographic Sciences and Natural Resources Research, Chinese Academy of Sciences (CAS), Beijing, 100101, PR China

² University of Chinese Academy of Sciences (UCAS), Beijing, 100049, PR China

exposure assessment is one of the most important aspect of disaster risk assessment. Exposure usually refers to the presence of people, livelihoods, species or ecosystems, environmental functions, services, and resources, infrastructure, or economic, social, or cultural assets in places and settings that could be adversely affected (Field et al., 2014). As one of the most devastating natural disasters, droughts rank first in terms of globally affected populations (Mishra and Singh, 2010), and the frequency and intensity of droughts are likely to increase with global warming (Stocker et al., 2014; Field et al., 2012). Demographic growth in droughts-prone locations can increase the population exposed, and ultimately lead to increased risk (Forzieri et al., 2017; United Nations, 2013). Droughts have large impacts in China due to typical continental monsoon climate condition and the large population (Qin et al., 2015). The losses caused by droughts accounted for 19.4 % of all meteorological disasters from 1985 to 2014 (CMA, 2015). Therefore, research on population exposure to droughts in China under 1.5 °C target will be important for understanding future risk.

Several studies of the 1.5 °C target have been conducted recently (Donnelly et al., 2017; Henley and King, 2017; Huntingford et al., 2017; Guiot and Cramer, 2016). The objectives have been to evaluate the possible greenhouse gas (GHG) emissions pathways to achieve the 1.5 °C target (ECAT, 2016; Mitchell et al., 2017) or predict changes in extreme climate events under the 1.5 °C target (Karmalkar and Bradley, 2017; King et al., 2017; Wang et al., 2017). However, the influence of climate change on social-economic aspects, which also needs detailed assessment, has received less attention. The effects of droughts on human populations need to be quantified to identify the locations and intensity of disasters to which people will be exposed under the 1.5 °C target. Smirnov et al. (2016) assessed changing population exposure to extreme droughts in RCP8.5 using standardized precipitation evapotranspiration index (SPEI); their results indicated that population exposure would increase 426.6 % compared to current conditions. RCP8.5 is a high emission scenario, which is far more than 1.5 °C target, and the study did not account for mild and moderate droughts. Sun et al. (2017) analyzed population exposure to droughts under 1.5 °C and 2.0 °C global warming scenarios in the Haihe River Basin based on SPEI; their results indicated that population exposure under 1.5 °C conditions would be reduced 30.4 % relative to 1986-2005. However, population data used in this study was the from sixth national population census of China in 2010, in both reference period and global warming scenarios, ignoring the impact of demographic growth on population exposure change. In addition to climate change, the number, growth, and spatial distribution of population are important contributors to exposure risk, and should be taken into consideration.

In this study, population exposure to droughts under global warming was quantified, the relative importance of different factors, and the uncertainty in exposure change were evaluated. First, SPEI was used to calculate drought frequencies during the reference period and 1.5 °C global warming scenario based on simulations from the Inter-Sectoral Impact Model Intercomparison Project (ISI-MIP) (Warszawski et al., 2014). Second, modeled population data from shared socioeconomic pathways (SSPs) (O'Neill et al., 2014) were used to evaluate the spatial distribution and change in population exposure to droughts in China. Third, the relative importance of climate and demographic change was compared, and the uncertainty in exposure change was assessed using cumulative distribution functions (CDFs). This evaluation of population exposure to droughts in China under the 1.5 °C target is expected to provide a basis for adaptation and mitigation strategies.

2 Materials and methods

2.1 Materials

15

30

Meteorological data, including precipitation, average maximum temperature, average minimum temperature, average wind speed, average relative humidity, and solar radiation, used in this study were obtained from ISI-MIP (Warszawski et al., 2014), which contains five global climate models (GCMs) simulation results in representative concentration pathways (RCPs), GFDL-ESM2M, HadGEM2-ES, IPSL-CM5A-LR, MIROC-ESM-CHEM, NorESM1-M. In this study, we synthesized the results of the five GCMs based on the separately calculated SPEI for each GCM, as combining results of multiple models has been shown to be superior to a single model (Zhou and Yu, 2006). The chosen reference period was 1986-2005, which is a common period to assess climate change effect, and is 0.61 °C warmer than preindustrial levels (Stocker et al., 2014). According to previous research (Schleussner et al., 2016; Sun et al., 2017), a stable increase of 1.5°C global mean temperature (GMT) above preindustrial level for 20 years will be in 2020-2039 under RCP2.6. As for population data, the United Nations (http://www.un.org),world bank (https://data.worldbank.org.cn) and other organizations have proposed projection of population in the future. Considering the RCP scenario we chose in this study, projections of population data in SSP scenarios was used. It is reference pathways describing plausible alternative trends in the evolution of society and ecosystems over a century timescale, in the absence of climate change or climate policies, According to the correspondence between RCPs and SSPs provided by the IPCC, RCP 2.6 generally corresponds to SSP1. SSP1 is a sustainable development scenario facing low mitigation and adaption challenges (O'Neill et al., 2014). Therefore, SSP1 was chosen in this study. Population data for SSP1 was obtained from the National Institute for Environmental Studies, Japan (NIES), which was downscaled from the International Institute for Applied Systems Analysis (IIASA) simulated results. Population in 2000 and 2030 was used to represent the population in reference period and 1.5 °C global warming scenario, respectively. The spatial resolution of meteorological and population data is degree latitude / longitude $0.5^{\circ} \times 0.5^{\circ}$.

2.2 Calculation of SPEI

Combined the characteristics of the Standardized Precipitation Index (SPI) (McKee et al., 1993) at multiple scales and Palmer Drought Severity Index (PDSI) (Palmer, 1965) which is sensitive to warming, SPEI was proposed by Vicente-Serrano et al. (2010). The SPEI reflects the change in water deficit using the Log-logistic probability distribution function, and obtains the drought index value by standardizing. SPEI is commonly applied as an indication of meteorological droughts and, to a lesser extent, hydrological droughts (Zargar et al., 2011; Hao et al., 2018). SPEI-12 was chosen in this study to well-reflect long term trends and inter-annual changes in droughts. Differences between precipitation (P) and potential evapotranspiration (ET_0), which reflect the water surplus or deficit in a region, were calculated to deduce the SPEI by using:

$$D = P - ET_0$$

The Thornthwaite (1948) equation for ET_0 in SPEI only takes temperature into account, ignoring the effects other dynamic factors on droughts. Therefore, the Penman-Monteith equation (FAO, 1998) was replaced to calculate ET_0 in this study. The

Penman-Monteith equation comprehensively considers the impact of both thermal and dynamic factors on ET₀, i.e. temperature, wind speed, relative humidity, and solar radiation. Therefore, results are more consistent with true reference crop evapotranspiration. The radiation coefficient used is based on the radiation calibration results in China provided by Yin et al. (2008):

$$ET_0 = \frac{0.408\Delta(R_n - G) + \gamma \frac{900}{T + 273} u_2(e_s - e_a)}{\Delta + \gamma(1 + 0.34) u_2}$$

Here, ET₀ is the potential evapotranspiration; Rn is the net radiation; G is the soil heat flux density; T is the surface mean daily air temperature; u_2 is the wind speed at 2 m height above the ground; e_s is the saturation vapor pressure; and e_a is the actual vapor pressure. The SPEI was calculated by the R-SPEI-package (https://CRAN.R-project.org/package=SPEI). The input data is monthly time series of D (differences between precipitation and potential evapotranspiration), where the set parameter are scale=12, kernel = 'rectangular', distribution = 'log-Logistic', and fit = 'ub-pwm'. The categorization of drought grade by SPEI and its probability as well as the definition of each grade of drought are shown in Table 1 (Liu and Jiang, 2015).

2.3 Population exposure to drought

5

10

15

Our measure of population exposure is the number of people exposed to mild, moderate, and extreme droughts. That is, the annual average percentage of mild, moderate, and extreme droughts multiplied by the number of people exposed to that outcome (Jones et al., 2015). In this study, population exposures to mild, moderate, and extreme droughts were calculated in the 1.5 °C global warming scenario (2020-2039 in RCP2.6) and compared to the results of the reference period (1986-2005). The spatial distribution and change in exposure were analyzed based on the regional separation of China's population into eight major demographic regions (Hu, 1990; Fig. S1).

2.4 Relative importance and cumulative probability analysis

Population exposure change was decomposed into climate change, demographic change, and interaction effects to evaluate the relative importance using the techniques from a previous study (Jones et al., 2015). The impact of population was calculated by holding climate constant, that is, the frequency of mild, moderate, and extreme droughts in the reference period multiplied by the population in the SSP1 scenario. Similarly, when calculating impact of climate, the population was held constant, that is, the frequency of mild, moderate, and extreme droughts in the RCP2.6 scenario was multiplied by the population in the reference period. The interaction effect was also evaluated to assess whether the area with continued population growth is experiencing more drought events under climate change.

The CDF of a random variable *X* is the function representing the probability that the random variable *X* takes on a value less than or equal to *x*. The uncertainty in drought frequency and exposure change were analyzed based on CDFs to evaluate the possible impact of climate change. First, the change in frequency and population exposure to mild, moderate, extreme, and all

droughts were separately calculated in the 1.5 °C global warming scenario relative to the reference scenario. Then, the probability distribution of change was calculated using CDFs.

3 Results

5

10

3.1 Spatial and temporal patterns of drought frequency and population

The frequency of mild, moderate, and extreme droughts, and their relationship with population were calculated for the reference period and 1.5 °C global warming scenario to evaluate the spatial and temporal variation in frequency (Fig. S2) and population (Fig. S3). Generally, mild and moderate droughts will occur more frequently than extreme droughts. The frequency of mild and moderate droughts in most areas is in the range of 5-20 %, while the frequency of extreme droughts is less than 5 % in both the reference period and 1.5 °C global warming scenario (Fig. S2). As for the spatial pattern of frequency, areas with high frequency of mild droughts are scattered, while moderate droughts are more spatially concentrated. In the reference period, moderate droughts are concentrated in southern China and the lower reaches of the Yellow River region, i.e., Beijing, Tianjin, Hebei, Henan and Shandong province. In the 1.5 °C global warming scenario, the Shanxi-Shaanxi-Gansu-Ningxia region and Inner Mongolia-Xinjiang region also has more frequent moderate droughts. Extreme droughts occur primarily in inland areas. For example, the Qinghai-Tibet region has the highest frequency of extreme droughts in both scenarios. However, the spatial pattern of extreme droughts changed between the two scenarios. In the 1.5 °C global warming scenario, the frequencies in the northeast region, i.e., Heilongjiang, Jilin, and Liaoning Provinces, the Shanxi-Shaanxi-Gansu-Ningxia region and middle and lower reaches of the Yangtze River region, i.e., Shanghai, Jiangsu, Anhui, Jiangsi Hunan, and Hubei province, decrease. In contrast, in the southwest region, i.e., Sichuan, Chongqing, Guizhou, and Yunnan Provinces, and the southeast coastal region, i.e., Zhejiang, Fujian, Guangdong, Guangxi, Hainan, Hong Kong, Macao, and Taiwan, the frequency increases relative to the reference period.

The population of China increases 32.56 million, from 1.26 billion in the reference period to 1.29 billion in the 1.5 °C global warming scenario. However, areas of increasing population do not expand in size, and most areas decrease. The variation in demographic change is clear when comparing the two sides of the Hu line (Hu 1935), which is an imaginary line that diagonally divides the area of China into two parts, stretching from the city of Heihe in Heilongjiang Province to Tengchong in Yunnan Province. It is also called the "geo-demographic demarcation line"; the west of the line occupies 56.2 % of the area of China, but only 5.9 % of the population, while the east of the line occupies 43.8 % of the area, but 94.1 % of the population (Fig. S3). However, the spatial pattern of demographic change in number and percentage is different (Fig. S4). The number of people decreases significantly on the east side of the Hu line, especially in the lower reaches of the Yellow River region and middle and lower reaches of the Yangtze River region, while the decrease in population by percentage is clear on the west side of the Hu line, such as the Inner Mongolia- Xinjiang region and east of the Qinghai-Tibet region. The reason for this dichotomy is the differing demographic distribution on both sides of the Hu line. The west side occupies 56.2 % of total land area in China, but the population only occupies 5.9 %; the population density is so small that changes in percentage are clearer.

3.2 Spatial distribution and change in population exposure to droughts

The average annual aggregate population exposure in the reference period is 179.17 million and increases to 186.14 million in the 1.5 °C global warming scenario. Comparing the population exposure to different droughts in the reference period and the 1.5 °C global warming scenario (Fig. 1), the exposure to mild and moderate droughts increases while that to extreme droughts decreases. Moderate droughts account for 53.01 % of total exposure in the reference period and 53.34 % in the 1.5 °C global warming scenario, accounting for the most exposure. In comparison, mild droughts ranks second, extreme droughts ranks third accounting for 2.31 % and 1.69 % in the two scenarios. The spatial pattern of population exposure to droughts is similar to the population demographic distributions in China, i.e., divided by the Hu line. Exposure on the east side is much greater than on the west side (Fig. 2). Exposure in the Yellow River region and middle and lower reaches of the Yangtze River region is the highest, and lowest in Inner Mongolia-Xinjiang region and the Qinghai-Tibet region.

Comparing the changes in exposure to mild (Fig. 3a), moderate (Fig. 3b), extreme (Fig. 3c), and total droughts (Fig. 3d), we found that, except for extreme droughts, the others show similar spatial patterns. The exposure in southeast China increases, while that in the northwest part decreases. For mild droughts, exposure increases more clearly in the lower reaches of the Yellow River region, southeast region, and southeast coastal region. For moderate droughts, the increases in the northeast region, Shanxi-Shaanxi-Gansu-Ningxia region, and southeast coastal region are apparent. In these regions, the combination of mild and moderate droughts dominates the overall pattern for total exposure. As for extreme droughts, the exposure for most of China decreases, except for the south of southwest region and west of southeast coastal region.

3.3 Relative importance analysis

15

The relative importance of different factors, i.e., climate change, demographic change, and interaction effects, and different droughts were analyzed (Fig. 4). For different factors, climate change and demographic change have positive impacts on the total exposure change (29.93 %, 79.95 %), while the interaction effect has a negative impact (-9.88 %). These results imply that the areas experiencing more droughts have decreasing populations in the 1.5 °C global warming scenario. For different droughts, the effect of mild and moderate droughts is positive and of similar magnitude, whereas extreme droughts have a lesser effect. Except for the constant climate scenario for analyzing the demographic change effect, the effect of extreme droughts is negative. In total change in exposure, the contributions from mild and moderate droughts are 54.03 % and 63.59 %, respectively, leaving -17.62 % for the effect of extreme droughts. In summary, the demographic change and moderate droughts are the dominant contributors to exposure change in two scenarios.

3.4 Cumulative probability analysis

Figure 5 shows CDFs for drought frequency and population exposure for changes in the 1.5 °C global warming scenario relative to the reference period. For the change in drought frequency (Fig. 5a), extreme droughts are in the minimum range, with changes of -5 to 5 %, whereas total droughts are in the maximum range, -18 to 16 %. The cumulative probability of an

increase in drought frequency under the 1.5 °C global warming scenario for mild, moderate, extreme, and total droughts is 50.14 %, 46.48 %, 38.23 %, and 49.86 %, respectively. Apart from extreme droughts, which show a clear downward trend, the probabilities of an increase or decrease in mild, moderate, and total droughts are roughly equal. In terms of change in population exposure (Fig. 5b), extreme droughts show a minimum, at -5 to 5 %, and total droughts show a maximum, -25 to 25 % probability. Extreme droughts decrease, with a cumulative probability of 71.83 %, while mild, moderate, and total droughts increase, with a cumulative probability of 55.17 %, 51.71 %, and 53.01 %, respectively. The probability of an increase in mild droughts is the highest, while the probability of an increase in extreme droughts is the lowest in both drought frequency and exposure under the 1.5 °C global warming scenario.

4 Discussion

Extensive studies have focused on changes in extreme climate events under global climate change (Hirabayashi et al., 2013; Huang et al., 2017; Kharin et al., 2013). Currently, with the 1.5 °C target, the social-economic impacts of 1.5 °C global warming on factors, such as population exposed to disasters, need to be further studied. In this study, the population exposure to droughts was calculated for the 1.5 °C global warming scenario and reference period by combining drought frequency and population simulations. The relative importance of different factors, as well as evaluating the cumulative probability of exposure change were analyzed.

Our results indicated that average annual population exposure to droughts in the 1.5 °C global warming scenario would increase by 6.97 million compared to the reference period, roughly 0.51% of the projected Chinese population under the SSP1 scenario in 2030. The increase in exposure is rather unremarkable, suggesting that achieving 1.5 °C target may limit the potential damage incurred by climate change. Among the three different droughts, exposure to moderate droughts will be the largest because the areas with a high frequency of moderate droughts coincide with high population density. Drought frequency and population are two important factors that contribute to exposure. To determine which one has a larger impact, the relative importance of this two factors, and the interaction effect, were analyzed. Results revealed that exposure change is mainly due to demographic change (79.95 %), and climate change is responsible for 29.93 % of the change; the interaction effects explain the remaining -9.88 %. These results are different from previous studies applying contribution analysis. Jones et al. (2015) calculated future population exposure to US heat extremes under the Special Report on Emission Scenarios (SRES) A2 scenario; they found that the growth in exposure is mainly due to climate change. Smirnov et al. (2016) analyzed the relative importance of climate change and demographic growth for exposure to future extreme droughts in RCP4.5 and RCP8.5, and their results indicated that climate change is more responsible for exposure change than demographic change in both scenarios. The contradiction may be due to the different scenarios used in the studies. SRES A2, RCP4.5, and RCP 8.5 are scenarios with higher GHG emissions relative to RCP2.6, which corresponds to the 1.5 °C target used in our study. This 1.5 °C target is an important constraint because it is relevant to the requirements for large GHG emission reductions. The difference of GHG emission also explains the cumulative probability analysis result for drought frequency. Drought frequency is not likely to increase in 1.5 °C global warming scenario compared to the reference period. Therefore, the effect of climate change to exposure is reduced compared to higher emission pathways, which results in demographic change acting as the primary contributor to exposure. In future studies, we would like to evaluate population exposure for high GHG emission pathways, i.e., RCP4.5/SSP2 and RCP8.5/SSP3, and compare with the results from RCP2.6/SSP1 to illustrate the impacts of achieving the 1.5 °C target. Furthermore, studies accounting for more demographic characteristics in addition to growth, i.e., age, sex, education, and income would be carried out, which are likely to be stronger factors for demographic change in the 1.5 °C target. However, we currently lack of the required sophisticated data.

There are many kinds of droughts: meteorological, agricultural, hydrological, and socioeconomic. In this study, based on simulated climate data, we assessed population exposure to meteorological droughts under the 1.5 °C global warming target using the SPEI; however, the results do not necessarily coincide with agricultural, hydrological, or socioeconomic droughts. Therefore, we would like to assess population exposure to different kinds of droughts to determine their impacts on populations. In addition, there are some uncertainties in estimating population exposure under climate change. The main sources include GHG emission scenarios (Maurer, 2007), GCMs (Kirono et al., 2011), calculating potential evapotranspiration, population prediction, and selection of the drought index (Burke and Brown, 2008). For instance, SPEI was chosen in this study because it combines the characteristics of SPI and PDSI; however, it is limited by providing a measure of dryness in a relative rather than absolute sense. Selecting different drought indexes may lead to differences in drought hazard and population exposure results. Therefore, future studies could evaluate different drought indexes based on more advanced and higher resolution GCMs and RCMs (regional climate models), determine importance of sources of uncertainty, and generate assessment results that are more accurate and reasonable.

20 5 Conclusions

This study leads to four key findings. First, population exposure to droughts on the east side of the Hu line is higher than that on the west side, which corresponds to general demographic distributions in China. Among the eight demographic regions, exposure in the middle and lower reaches of the Yangtze River region is the highest, and the lowest occurs in the Qinghai-Tibet region. Second, in the 1.5 °C global warming scenario, population exposure to droughts has a slight increase, 6.97 million more residents exposed, relative to the reference period. Third, variations in both population and climate are important factors in this change in exposure, but demographic change is the primary contributor (79.95 %) in the 1.5 °C global warming scenario. Moderate droughts contribute most among three droughts (63.59 %). Fourth, probabilities of increasing or decreasing total drought frequency are approximately equal (49.86 % and 49.66% respectively), while the frequency of extreme drought is likely to decrease (71.83 % probability) in 1.5 °C global warming scenario. To conclude, in the 1.5 °C global warming scenario, the contribution of climate change is significantly less than demographic change and drought frequency will not increase distinctly compared to reference period, which indicates that reaching the 1.5 °C target is a potential mechanism for mitigating

the impact of climate change on both droughts and population exposure. In addition, demographic change should be regarded as a significant component to control the growth in exposure to droughts.

Acknowledgements

This study was supported by the National Key Research and Development Program of China [Grant No.2016YFA0602402]; the National Natural Science Foundation of China [Grant No. 41671037, 41301091]; the Key Research Program of Frontier Sciences, CAS (QYZDB-SSW-DQC005); and the Youth Innovation Promotion Association, CAS [Grant No. 2016049]. We also thank ISI-MIP and NIES for data support.

References

- Burke, E. J., and Brown, S. J.: Evaluating Uncertainties in the Projection of Future Drought, J. Hydrometeorol., 9, 292-299, 2008
 - China Meteorological Administration (CMA). China meteorological Disaster Yearbook. Meteorological Press of China, Beijing, 2015.
- Donnelly, C., Greuell, W., Andersson, J., Gerten, D., Pisacane, G., Roudier, P., and Ludwig, F.: Erratum to: Impacts of climate change on European hydrology at 1.5, 2 and 3 degrees mean global warming above preindustrial level, Climatic Change, 143, 535-535, 2017.
 - Ecofys Climate Action Tracker (ECAT). The Ten Most Important Short Term Steps to Limit Warming to 1.5C, 2016.
 - FAO: Crop Evapotranspiration for Computing Crop Water Requirement, FAO Irrigation & Drainage Paper, 56, 1998.
 - Field, C. B., Barros, V. R., Mach, K., and Mastrandrea, M.: Climate change 2014: impacts, adaptation, and vulnerability, Contribution of Working Group II to the Third Assessment Report, 19, 81-111, 2014.
 - Forzieri, G., Cescatti, A., Silva, F. B. E., and Feyen, L.: Increasing risk over time of weather-related hazards to the European population: a data-driven prognostic study, Lancet Planet Health, 1, e200-e208, 2017.
 - Guiot, J., and Cramer, W.: Climate change: The 2015 Paris Agreement thresholds and Mediterranean basin ecosystems, Science, 354, 465, 2016.
- Hao, Z., Singh, V P., Xia, Y.: Seasonal drought prediction: advances, challenges, and future prospects. Rev. Geophys., 56, 1–34, 2018.
 - Hirabayashi, Y., Mahendran, R., Koirala, S., Konoshima, L., Dai, Y., Watanabe, S., Kim, H., and Kanae, S.: Global flood risk under climate change, Nat. Clim. Change, 3, 816-821, 2013.
 - Henley, B. J., and King, A. D.: Trajectories toward the 1.5°C Paris target: Modulation by the Interdecadal Pacific Oscillation, Geophys. Res. Lett., 44, 2017.

- Hu H.Y.: The distribution of China's Population. Acta Geol. Sin., 2, 33-74, 1935.
- Hu H.Y.: The distribution, regionalization and prospect of China's Population. Acta Geol. Sin., 2, 13-19, 1990.
- Huang, J., Zhai, J., Jiang, T., Wang, Y., Li, X., Wang, R., Xiong, M., Su, B., and Fischer, T.: Analysis of future drought characteristics in China using the regional climate model CCLM, Clim. Dynam., 1-19, 2017.
- 5 Huntingford, C., Yang, H., Harper, A., Cox, P. M., Gedney, N., Burke, E. J., Lowe, J. A., Hayman, G., Collins, W. J., and Smith, S. M.: Flexible parameter-sparse global temperature time profiles that stabilise at 1.5 and 2.0 °C, Earth Syst. Dynam. Disc., 8, 1-11, 2017.
 - Jones, B., O'Neill, B. C., Mcdaniel, L., Mcginnis, S., Mearns, L. O., and Tebaldi, C.: Future population exposure to US heat extremes, Nat. Clim. Change, 5, 592-597, 2015.
- 10 Karmalkar, A. V., and Bradley, R. S.: Consequences of Global Warming of 1.5 °C and 2 °C for Regional Temperature and Precipitation Changes in the Contiguous United States, Plos One, 12, e0168697, 2017.
 - Kharin, V. V., Zwiers, F. W., Zhang, X., and Wehner, M.: Changes in temperature and precipitation extremes in the CMIP5 ensemble, Climatic Change, 119, 345-357, 2013.
 - King, A. D., Karoly, D. J., and Henley, B. J.: Australian climate extremes at 1.5°C and 2°C of global warming, Nat. Clim.
- 15 Change, 2017.
 - Kirono, D. G. C., Kent, D. M., Hennessy, K. J., and Mpelasoka, F.: Characteristics of Australian droughts under enhanced greenhouse conditions: Results from 14 global climate models, J. Arid Environ., 75, 566-575, 2011.
 - Liu K, and Jiang D. Analysis of dryness/wetness over China using standardized precipitation evapotranspiration index based on two evapotranspiration algorithms. Chinese J. Atmos. Sci., 39 (1): 23–36, 2015.
- Maurer, E. P.: Uncertainty in hydrologic impacts of climate change in the Sierra Nevada, California, under two emissions scenarios, Climatic Change, 82, 309-325, 2007.
 - Mckee, T. B., Doesken, N. J., and Kleist, J.: The relationship of drought frequency and duration to time scales, In Proceedings of the 8th Conference on Applied Climatology, 17, 179-183. Boston, MA: American Meteorological Society., 1993.
 - Mishra, A. K., and Singh, V. P.: A review of drought concepts, J. Hydrol., 391, 202-216, 2010.
- Mitchell, D., Achutarao, K., Allen, M., Bethke, I., Beyerle, U., Ciavarella, A., Forster, P. M., Fuglestvedt, J., Gillett, N., and Haustein, K.: Half a degree additional warming, prognosis and projected impacts (HAPPI): background and experimental design, Geosci. Model Dev., 10, 571-583, 2017.
 - O'Neill, B. C., Kriegler, E., Riahi, K., Ebi, K. L., Hallegatte, S., Carter, T. R., Mathur, R., and Vuuren, D. P. V.: A new scenario framework for climate change research: the concept of shared socioeconomic pathways, Climatic Change, 122, 401-
- 30 414, 2014.
 - Palmer, W.: Meteorological drought, U.S. Department of Commerce Weather Bureau Research Paper, 1965.
 - Qin, D., Editor, C., and Edi, D. C.: China national assessment report on risk management and adaptation of climate extremes and disasters, Science Press, 2015.

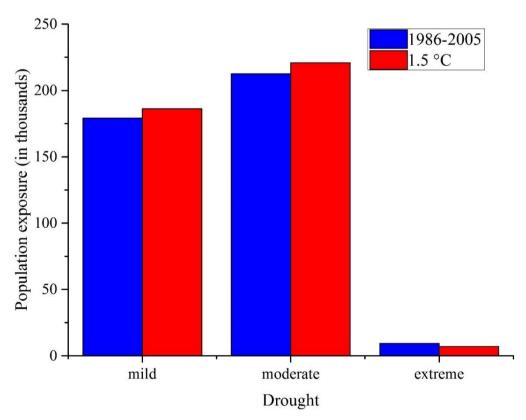
- Schleussner, C. F., Lissner, T. K., Fischer, E. M., Wohland, J., Perrette, M., Golly, A., Rogelj, J., Childers, K., Schewe, J., and Frieler, K.: Differential climate impacts for policy-relevant limits to global warming: the case of 1.5 °C and 2 °C, Earth Syst. Dynam., 7(2):327, 2016.
- Smirnov, O., Zhang, M., Xiao, T., Orbell, J., Lobben, A., and Gordon, J.: The relative importance of climate change and population growth for exposure to future extreme droughts, Climatic Change, 138, 1-13, 2016.
 - Stocker, T. ed. Climate change 2013: the physical science basis: Working Group I contribution to the Fifth assessment report of the Intergovernmental Panel on Climate Change. Cambridge University Press, 2014.
 - Sun, H., Wang, Y., Chen, J., Zhai, J., Jing, C., Zeng, X., Ju, H., Zhao, N., Zhan, M., and Luo, L.: Exposure of population to droughts in the Haihe River Basin under global warming of 1.5 and 2.0°C scenarios, Quatern. Int., 2017.
- 10 Thornthwaite, C. W.: An approach toward a rational classification of climate, Geogr. Rev., 38, 55-94, 1948.
 - UNFCCC Conference of the Parties (COP), Adoption of the Paris Agreement, 2015.
 - United Nations, D. O. E. A. S. A., Population Division (2013) World Population Prospects: The 2012 Revision Retrieved from: http://esa.un.org/wpp/Excel-Data/population.htm
 - Vicenteserrano, S. M., Beguería, S., and Lópezmoreno, J. I.: A multiscalar drought index sensitive to global warming: the standardized precipitation evapotranspiration index, J. Climate, 23, 1696-1718, 2010.
 - Wang, G., Cai, W., Gan, B., Wu, L., Santoso, A., Lin, X., Chen, Z., and Mcphaden, M. J.: Continued increase of extreme El Niño frequency long after 1.5 °C warming stabilization, Nat. Clim. Change, 2017.
 - Warszawski, L., Frieler, K., Huber, V., Piontek, F., Serdeczny, O., and Schewe, J.: The Inter-Sectoral Impact Model Intercomparison Project (ISI-MIP): project framework, Proceedings of the National Academy of Sciences of the United States of America, 111, 3228-3232, 2014.
 - Yin, Y., Wu, S., Du, Z., and Yang, Q.: Radiation calibration of FAO56 Penman–Monteith model to estimate reference crop evapotranspiration in China, Agr Water Manage, 95, 77-84, 2008.
 - Zargar, A., Sadiq, R., Naser, B., and Khan, F. I.: A review of drought indices, Environ. Rev., 19, 333-349, 2011.
 - Zhou, T., and Yu, R.: Twentieth-Century Surface Air Temperature over China and the Globe Simulated by Coupled Climate
- 25 Models, J. Climate, 19, 5843, 2006

Tables

Table 1. Drought grade categories and probability in the SPEI and its definition.

SPEI	Categories	Probability	Definition
>-0.5	Normal and wetness	69.15%	Precipitation is normal or more than normal, surface is wet and there is no drought
-1.0~-0.5	Mild drought	14.98%	Precipitation is less than normal, surface air is dry, and soil moisture is insufficient
-2.0~-1.0	Moderate drought	13.59%	Precipitation continued to be less than normal, surface is dry, soil moisture is insufficient, which has a certain impact on crops and ecological environment
≤-2.0	Extremely drought	2.28%	Soil moisture is seriously deficient for a long time, which has a serious impact on crops, ecological environment, industrial production as well as drinking water for people and animals

Figures



5 Figure 1. Population exposure to mild, moderate, and extreme droughts for the reference period (1986-2005) and 1.5 °C global warming scenario (2020-2039 in RCP2.6).

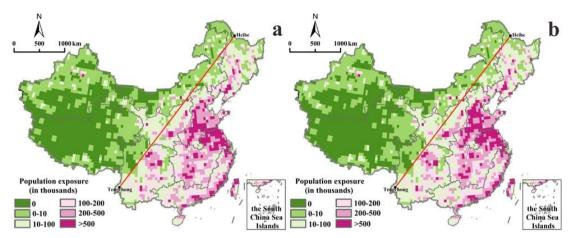


Figure 2. Spatial distribution of population exposure to droughts in (a) the reference period (1986-2005) and (b) 1.5 °C global warming scenario (2020-2039 in RCP2.6). (Red line is Hu line, an imaginary line that diagonally divides the area of China into two parts, stretching from the city of Heihe in Heilongjiang Province to Tengchong in Yunnan Province, which is also called the "geodemographic demarcation line".)

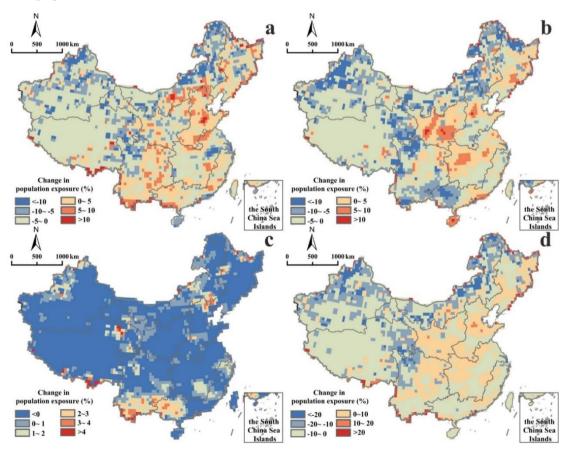


Figure 3. Change in population exposure to droughts between the reference period (1986-2005) and 1.5 °C global warming scenario (2020-2039 in RCP2.6), (a) change in exposure to mild droughts, (b) change in exposure to moderate droughts, (c) change in exposure to extreme droughts, and (d) change in exposure to all droughts.

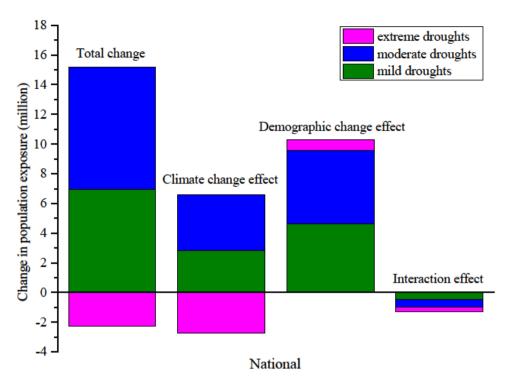


Figure 4. Decomposition of population exposure based on different effects, climate change, demographic change, and their interaction, and droughts of mild, moderate, and extreme.

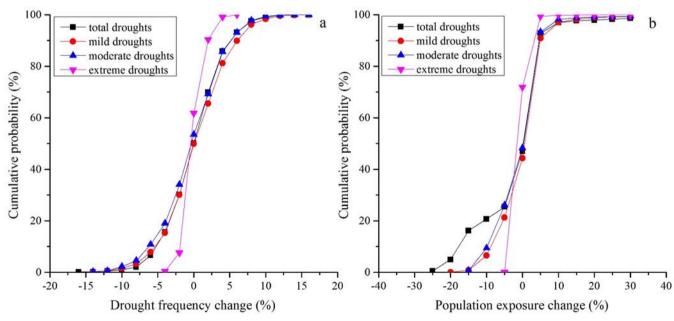


Figure 5. Cumulative probability projected change drought frequency (a) and population exposure (b) to mild, moderate, extreme, and total droughts

5