

1 **Spatial and temporal variations in plant Water Use Efficiency inferred from tree-ring, eddy**
2 **covariance and atmospheric observations**

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17 **Abstract**

18 Plant Water Use Efficiency (*WUE*), which is the ratio of the uptake of carbon dioxide through
19 photosynthesis to the loss of water through transpiration, is a very useful metric of the functioning of
20 the land biosphere. *WUE* is expected to increase with atmospheric CO₂, but to decline with increasing
21 atmospheric evaporative demand – which can arise from increases in near-surface temperature or
22 decreases in relative humidity. We have used $\Delta^{13}\text{C}$ measurements from tree-rings, along with eddy-
23 covariance measurements from Fluxnet sites, to estimate the sensitivities of *WUE* to changes in CO₂
24 and atmospheric humidity deficit. This enables us to reconstruct fractional changes in *WUE*, based
25 on changes in atmospheric climate and CO₂, for the entire period of the instrumental global climate
26 record. We estimate that overall *WUE* increased from 1900 to 2010 by $48\pm 22\%$, which is more than
27 double that simulated by the latest Earth System Models. This long-term trend is largely driven by
28 increases in CO₂, but significant inter-annual variability and regional differences are evident due to
29 variations in temperature and relative humidity. There are several highly populated regions, such as
30 Western Europe and East Asia, where the rate of increase of *WUE* has declined sharply in the last
31 two decades. Our data-based analysis indicates increases in *WUE* that typically exceed those
32 simulated by Earth System Models – implying that these models are either underestimating increases
33 in photosynthesis or underestimating reductions in transpiration.

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37 **1. Introduction**

38

39 Plant Water Use Efficiency (*WUE*) is the ratio of the CO₂ assimilated through photosynthesis (Gross
40 Primary Productivity, *GPP*), to the water used by plants as the flux of Transpiration (*E_T*):

41

42
$$WUE = \frac{GPP}{E_T} \quad (1)$$

43

44 Carbon dioxide may affect plants through increases in photosynthesis (Ainsworth and Rogers 2007;
45 Franks et al. 2013) and possible reductions in transpiration associated with the partial closure of leaf
46 stomatal pores under elevated CO₂ (Field et al. 1995; Gedney et al. 2006; Betts et al. 2007). Both of
47 these effects are uncertain though. CO₂-fertilization of photosynthesis is often found to be limited by
48 nutrient availability (Norby et al. 2010), and large-scale transpiration may not reduce even with CO₂-
49 induced stomatal closure, if plant leaf area index increases enough to counteract reduced transpiration
50 from each leaf (Piao et al. 2007). *WUE* does however appear to be increasing more robustly with
51 CO₂, according to both tree-ring (Franks et al. 2013) and eddy-covariance flux measurements
52 (Keenan et al. 2013).

53

54 Plant photosynthesis and transpiration are coupled through the behaviour of leaf stomatal pores,
55 through which CO₂ must diffuse to be fixed during photosynthesis, and through which the
56 transpiration flux escapes to the atmosphere. The combined behaviour of the leaf stomata leads to an
57 environmentally dependent “canopy conductance” that controls both the water and carbon fluxes. As
58 a consequence, both *GPP* and *E_T* can be written as the product of a canopy conductance and a

59 concentration gradient, which is sometimes described as an electrical analogue (Cowan 1972). For
60 GPP , the concentration gradient is the difference between the atmospheric CO_2 concentration at the
61 leaf surface (C_a) and the internal CO_2 concentration within plant leaves (C_i):

$$63 \quad GPP = g_c(C_a - C_i) \quad (2)$$

64

65 where g_c is the canopy conductance for CO_2 .

66 For E_T , the concentration gradient is the difference between the specific humidity of the atmosphere
67 at the leaf surface (q_a) and the specific humidity inside the plant leaves, which is saturated at the leaf
68 temperature (q_{sat}). The canopy conductances for GPP and E_T both arise from diffusion through leaf
69 stomatal pores, and therefore only differ by a constant factor of 1.6 (the square root of the ratio of the
70 molecular masses of CO_2 and H_2O).

71

$$72 \quad E_T = 1.6g_c(q_{sat} - q_a) \quad (3)$$

73

74 Changes in stomatal opening in response to changes in sunlight, atmospheric temperature and
75 humidity, soil moisture, and CO_2 , are complex and uncertain (Berry et al. 2010), as are the scaling of
76 these leaf-level responses up to the canopy and beyond (Piao et al. 2007; Jarvis and McNaughton
77 1986; Jarvis 1995). However, since stomatal behaviour affects transpiration and photosynthesis
78 similarly, WUE is relatively insensitive to these uncertainties:

$$79 \quad WUE = \frac{(C_a - C_i)}{1.6(q_{sat} - q_a)} = \frac{(C_a - C_i)}{1.6D} = \frac{C_a(1-f)}{1.6D} \quad (4)$$

80

81 where D is the atmospheric humidity deficit ($q_{sat}-q_a$) and f is the ratio of the internal to the external
82 CO_2 concentration (C_i/C_a). This equation therefore expresses WUE in terms of atmospheric variables,
83 C_a and D (which itself depends on relative humidity and temperature), along with the factor f . The
84 remaining uncertainty associated with plant physiology is therefore contained in f .

85
86 In the absence of water limitations, there is good evidence that f is approximately independent of C_a ,
87 so that C_i remains proportional to C_a , unless D changes (Jacobs 1994; Katul et al. 2010; Leuning
88 1995; Morison et al. 1983). Even during drought, f will vary with D , due in part to correlations
89 between D and soil moisture (Brodribb 1996).

90
91 Stomatal optimisation theories, which assume that stomata act so as to maximise photosynthesis for
92 a given amount of available water (Cowan and Farquhar 1977), also suggest that f should depend
93 predominantly on C_a and D (Katul et al. 2010; Medlyn et al. 2011). Absolute values of WUE will
94 depend on the nature of the vegetation and soil, such as the plant and soil hydraulics, but these
95 optimisation theories imply that there will be a near universal sensitivity of fractional changes in
96 WUE to fractional changes in C_a and D (see SI Appendix):

97
98
$$\frac{WUE}{WUE(0)} = \left(\frac{C_a}{C_a(0)}\right)^a \left(\frac{D}{D(0)}\right)^b \quad (5)$$

99
100 where the subscript (0) denotes the initial state of each variable, and a and b are dimensionless
101 coefficients. For given a and b values this equation describes how the fractional change in WUE at
102 each location varies with fractional changes in C_a and D . Although they differ in their underlying

103 assumptions and detailed conclusions, it is interesting to note that the latest stomatal optimization
104 theories (Katul et al. 2010; Medlyn et al. 2011) both imply $a=1$ and $b=-0.5$ (see SI Appendix).

105

106 We focus in this study on fractional changes in WUE , which are more likely to be independent of
107 these complex factors. Therefore, we use two very different datasets of WUE , derived from tree ring
108 measurements and eddy-covariance fluxes and aim to model the fractional changes in plant WUE by
109 using atmospheric data alone. The longer-term climate signals are derived from the tree rings,
110 spanning at least the last 100 years. Monthly WUE values are derived from eddy-covariance
111 observations between 1995-2006. We do not assume the applicability of stomatal optimization
112 theories, but instead adopt equation 5 as a parsimonious empirical model for the fractional changes
113 in WUE observed at each measurement site, given suitable fitting parameters a and b . Tests using
114 more elaborate statistical models, with additional environmental variables or vegetation-specific
115 parameters, were not found to produce significant improvements in the fit to the observed changes in
116 WUE despite the introduction of extra fitting parameters. Finally, we have compared our
117 reconstruction of the fractional change in plant WUE to Earth System Models (ESMs) simulations,
118 focussing on regional variations in the WUE changes and how these compare to the long-term tree
119 ring observations.

120

121

122 2. Materials and Methods

123 We estimate the sensitivity of WUE to C_a and D by fitting to WUE changes inferred from both eddy-
124 covariance fluxes (relatively short records with high-temporal resolution) and carbon isotope records
125 from tree-rings (longer-term records with annual resolution). We use observations from 28 eddy-
126 covariance and 31 tree-ring sites (see Figure 1 and SI Appendix, Tables S1 and S2).

127

128 2.1 Eddy-covariance observations.

129 The carbon and water flux observations were taken from the *Free Fair-use* Fluxnet database
130 (www.fluxdata.org) (Baldocchi 2008; Papale et al. 2006; Reichstein et al. 2005). We selected a total
131 of 28 sites based on data availability (Table S1). Monthly WUE was estimated from Equation 1 with
132 GPP used directly from the database (Reichstein et al. 2005). In general the total latent heat flux (LE)
133 has contributions from interception loss, soil evaporation and transpiration. We follow previous
134 studies (Dekker et al. 2001; Groenendijk et al. 2011; Keenan et al. 2013; Law et al. 2002) in assuming
135 that the latent heat flux is dominated by transpiration during periods with no rain in the preceding two
136 days, when the interception loss and soil evaporation are assumed small. Monthly average values of
137 GPP , E_T , C_a , D and T were calculated from half-hourly observations (not gap-filled) during dry
138 periods (*i.e.* no rain in the preceding two days) when GPP was larger than zero. To exclude periods
139 with unrealistic WUE values due to the division by very small E_T values, we used only months during
140 the growing season. Annual average growing season values were calculated from the months with an
141 average temperature above 10°C. Only sites with at least 6 annual values were used, resulting in a
142 dataset of 222 annual growing season values of WUE , C_a and D . Data are used between 1995 and
143 2006. Fractional changes were calculated relative to the mean over the observational period for each
144 of the sites, to enable comparison between sites.

145 2.2. Tree-ring observations.

146 To derive a longer-term relationship between the fractional change in WUE and variations in C_a and
147 D , we used $\Delta^{13}C$ tree-ring observations from 31 locations (Figure 1), ranging from 1900 to current,
148 as described in two previous studies (Franks et al. 2013, Hemming et al. 1998) (see SI Appendix,
149 Table S2). The discrimination of ^{12}C against ^{13}C ($\Delta^{13}C$) is estimated from the tree-ring samples
150 (Hemming et al. 1998; van der Sleen et al. 2015). The $\Delta^{13}C$ measurements can be used to estimate
151 the ratio of the internal to the external CO_2 concentration ($f=C_i/C_a$) using the relationship: $f = (\Delta^{13}C$
152 $-4.4) / (27-4.4)$, where $\Delta^{13}C$ is in parts per thousand (‰), and C_a is taken from the Mauna Loa
153 atmospheric CO_2 record (Farquhar et al. 1989; Franks et al. 2013; Keeling et al. 1976). WUE is
154 estimated with Equation 4 using annual average growing season values of D from the CRU dataset,
155 taking the nearest pixel to each site (Harris et al. 2013). This large-scale dataset for D ensures
156 consistency among the sites, but may underestimate the finer spatial variation in D . As for the eddy-
157 covariance sites, we estimated the fractional changes relative to the mean over the observational
158 period at each of the sites. For this analysis we have 1007 observations of WUE derived from tree-
159 ring observations of $\Delta^{13}C$.

160

161 2.3 Fractional WUE

162 To estimate a and b with a linear regression model we rewrite Equation 5 in a logarithmic form:

163

$$164 \ln \left\{ 1 + \frac{\Delta WUE}{WUE(0)} \right\} = a \ln \left\{ 1 + \frac{\Delta C_a}{C_a(0)} \right\} + b \ln \left\{ 1 + \frac{\Delta D}{D(0)} \right\} \quad (6)$$

165

166 Here the second-term in each bracket represents the fractional change in WUE , C_a and D , respectively.

167 These fractional change variables are used in all our subsequent statistical analyses and modelling.

168 We set out to fit the fractional change in WUE at each observation site (Figure 1) from the fractional
169 change in C_a and the fractional change in D . For comparison and fitting we therefore need to calculate
170 $WUE(0)$, $C_a(0)$ and $D(0)$ for the observational data, which we take as the mean over the entire
171 observational record available at each site.

172

173 **2.4 Global fractional change of WUE**

174 The dependence of fractional changes in WUE on C_a and D allows us to use these relationships to
175 estimate changes in WUE at large scales using global climate data. The fractional change in D can be
176 further partitioned into a change in temperature (T) and relative humidity (RH), which makes it
177 possible to separate the effect of changes in these variables on WUE (see SI Appendix). To do this,
178 we used the CRU climate dataset (Harris et al. 2013) at a $0.5^\circ \times 0.5^\circ$ latitude/longitude grid and the
179 annual CO_2 concentration at Mauna-Loa (Keeling et al. 1976) to derive the global and local variation
180 in WUE . We only used months during the growing season when photosynthesis occurs, assumed to
181 be above a monthly average temperature threshold of $10^\circ C$. For the period 1900-1930 the average
182 temperature was calculated for each month from which a spatial mask was generated (SI Appendix,
183 Fig. S4). This mask was then used to calculate annual time-evolving values of WUE from the growing
184 season values of temperature and humidity for each year between 1901 and 2010.

185

186 **2.5 Other independent data sources**

187 For three locations, Western North America, Western Europe and East Asia, we have compared our
188 simulated fractional change in plant WUE with remote sensing (RS) products of GPP and E_T (Jung
189 et al. 2011). This dataset covers the period 1982-2006; we use the period 1986-1990 as a reference
190 period for both our estimate and the fractional change in WUE from the RS product. As the RS data

191 does not cover a response to changes in C_a , we estimated the fractional change in WUE with and
192 without the C_a response.

193 At the global scale, we have compared our simulated fractional change in plant WUE with simulations
194 of Earth System Models (ESMs). Most of the latest ESMs calculate changes in both GPP and E_T .

195 This allows a comparable change in WUE to be calculated for 28 CMIP5 models (Taylor et al. 2012)

196 based-on their historical simulations. Finally, regional differences in responses are compared to the

197 31 tree ring observation sites.

198 **3 Results and Discussion**

199 Figure 2 summarises the derivation of the a and b parameters, which are the sensitivity of WUE to C_a
200 and D , for the tree-ring and eddy-covariance observations. In general, eddy-covariance data alone is
201 unable to fully constrain the CO_2 sensitivity of the WUE (Keenan et al. 2013), because the data records
202 are too short to sample significant changes in CO_2 , resulting in a value of a of 0.79 ± 0.79 for all eddy-
203 covariance site (SI Appendix, Fig. S1 and Table S3). However, the longer tree-ring records overall
204 yield a good constraint on a of 1.61 ± 0.54 . The annual data-points for the two datasets can be
205 combined into a single dataset. Fitting against this more complete dataset gives generic sensitivity
206 coefficients of $a = 1.51 \pm 0.57$ and $b = -0.72 \pm 0.16$. These values are mainly constrained by the tree-ring
207 observations for which the fits to equation 6 are more tightly defined (Fig. 2a and SI Appendix, Fig
208 S1 top row).

209 A value of a larger than 1, suggests that WUE has been increasing even faster than the atmospheric
210 CO_2 concentration (Fig. 2c). This is qualitatively consistent with conclusions from a previous study,
211 which was based purely on eddy covariance data (Keenan et al. 2013), but is more robustly
212 demonstrated here due to the much longer tree-ring records.

213

214 It is interesting to note that our overall values of $a = 1.51 \pm 0.57$ and $b = -0.72 \pm 0.16$ are larger by about
215 50% than the values derived from stomatal optimization theories: $a = 1.0$, $b = -0.5$ (Cowan and Farquhar
216 1977; Katul et al. 2010; Palmroth et al. 2013), indicating a stronger response to changes in both CO_2
217 and climate. Such theoretical sensitivities are common to variants of stomatal optimization theory,
218 including those that assume either electron transport-limited or Rubisco-limited photosynthesis, and
219 even when additional nitrogen limitations are accounted for (Prentice et al. 2013). The differences
220 between the optimization theory and our empirically derived WUE sensitivities may arise from

221 differences between leaf-surface and atmospheric values of CO₂ and humidity, but they may also be
222 indicative of missing constraints and feedbacks in the optimization theories (Lin et al. 2015; Prentice
223 et al. 2014; de Boer et al. 2011, 2016).

224

225 **Testing more elaborate statistical models.**

226 Equation 6 is motivated by empirical evidence and theory suggesting that *WUE* should vary
227 predominantly with C_a and D . However, it is conceivable that the fractional change in *WUE* could
228 also depend on other environmental conditions or the detailed vegetation type. In order to test for this,
229 we carried out two additional sets of fits against the observational data. In the first test we extended
230 our statistical model (equation 6) to include other environmental variables that had been measured at
231 the *Fluxnet* sites, most notably solar radiation, air temperature, and soil water content. Including these
232 additional predictor variables does not significantly improve the fit to the observed changes in *WUE*
233 (as measured by r^2), and typically results in less robust predictions (as measured by the adjusted r^2),
234 because of the introduction of extra fitting parameters (SI Appendix, Table S4). In the second test,
235 we carried out separate statistical fits for each of the sites listed in the *Fluxnet* dataset. Clustering of
236 these values by vegetation type would indicate that a and b parameters are dependent on vegetation
237 type, but we find no evidence of such clustering (Figure 3).

238

239 **Comparison to independent *WUE* estimates.**

240 Our best-fit generic a and b parameters are able to reasonably reproduce the fractional changes in
241 *WUE* due to fractional changes in both C_a and D across the 59 tree-ring and eddy-covariance sites (SI
242 Appendix, Fig. S2). However, it is important to evaluate the estimated response of *WUE* to C_a and D
243 against independent data. We compared the change in *WUE* estimated with the best-fit parameters to

244 observations at three tropical tree-ring sites from a recent study (van der Sleen et al. 2015). At these
245 sites a range of species of both trees and under-storey were sampled. Our estimate for these three
246 locations passes close to the mean of the observed *WUE* fractional changes (Fig. 4a-c). Because the
247 RS data does not include a response to changes in C_a , we estimated a fractional change in *WUE* with
248 and without this response (Fig. 4d-f) for three regions, which show distinct changes in *WUE*: Western
249 North America, Western Europe and East Asia. The RS fluxes show little inter-annual variability,
250 and much less variability than we estimate. For the three regions in Fig. 4d-f our estimates with and
251 without CO₂ effects sit on either side of the RS estimates. In the Amazon, South Africa and South
252 East Asia (see SI Appendix, Fig. S3) our estimates excluding CO₂ effects are similar to the RS
253 estimates, whilst the inclusion of CO₂ effects leads to significant increases in WUE (SI Appendix,
254 Fig. S3) that appear to be inconsistent with the RS estimates (which do not account for CO₂ changes),
255 but are more consistent with the tree-ring (Franks et al. 2013) and eddy-covariance data (Keenan et
256 al. 2013).

257

258 **Global fractional change of *WUE*.**

259 Globally, we estimate that *WUE* has increased by $48 \pm 22\%$ since 1900 (Fig. 5a), with the CO₂ increase
260 contributing $+47 \pm 21\%$ and relative humidity contributing $+3.6 \pm 1.3\%$, counteracted by a much
261 smaller reduction in *WUE* due to warming of $-2.3 \pm 0.8\%$. Estimated fractional changes in *WUE*
262 between 1901-1930 and 2001-2010 differ regionally between 0.1 and 0.6 (Fig. 5b). Uncertainties in
263 global *WUE* changes were derived from the range of the parameters a and b within 5% of the RMSE
264 of our best fit (Fig. 2c).

265

266 **Comparison to simulations with complex Earth System Models (ESMs).**

267 The CMIP5 models simulate an increase in WUE of between 2% and 28% to 2005, with an ensemble
268 mean of 14% (SI Appendix, Table S5). For comparison, our overall fit against the tree-ring and eddy-
269 covariance data indicates an approximately 40% increase in WUE over the same period. Figure 6
270 compares the annual time-series of the fractional changes in WUE from the CMIP5 models (black
271 line and green uncertainty plumes), our statistical fit (orange lines), and the mean changes observed
272 for the tree-ring (black marks and grey uncertainty bars) and eddy-covariance sites (dark blue marks
273 and light blue uncertainty bars). This comparison suggests that the latest ESMs significantly
274 underestimate the historical increase in WUE .

275

276 **Regional changes in WUE .**

277 Our global average change in WUE hides substantial regional differences (Fig. 5b). This is a result of
278 the spatially and temporally varying impact of climate change on WUE (Fig. 7a and animation in the
279 Supporting Information), driven by the heterogeneity of the warming (Fig. 7b) and the large variation
280 in changes in near-surface RH (Fig. 7c). In many regions the overall impact is a significant increase
281 in WUE , such as Western North America (Fig. 7d). However, the recent rate of increase has declined
282 substantially in several heavily populated regions. For example, WUE shows a slower increase in
283 Western Europe since the 1980s, as a result of increases in T , which has counteracted the WUE
284 increase due to increasing CO_2 (Fig. 7e). This is also observed in WUE trends derived from isotopic
285 tree-ring observations in Spain (Linares et al. 2012). Our analysis indicates that East Asia has suffered
286 an even more significant suppressions of WUE since about 1990, due predominantly to reductions in
287 RH (Wang et al. 2012) (Fig. 7f). This pattern of changing RH is comparable with the trends in
288 precipitation and drought since 1950 (Dai 2011).

289 For the 31 tree ring observation sites, we have plotted the ensemble mean regional ESM model results
290 against the individual observed tree ring data (Fig. S5). For 10 out of 31 observation sites, the
291 simulated fractional *WUE* increases between 5-10%. For 3 out of the 31 sites, the fractional *WUE*
292 increases by more than 50%, and for 14 out of the 31 observation sites the *WUE* change inferred from
293 the tree ring data is significantly higher than that simulated by the ESMs.

294

295 **4. Conclusions**

296 This study shows that fractional changes in plant *WUE*, at large-scales and over the period of the
297 climatological record, can be inferred from atmospheric data alone. By combining two very different
298 datasets of *WUE* derived from tree-ring $\Delta^{13}\text{C}$ measurements and eddy-covariance fluxes we have
299 derived a consistent response of the fractional change in *WUE* to the fractional changes in C_a and D .
300 This generic response can be used to estimate *WUE* changes over the entire period of the atmospheric
301 record. Our analysis shows that global *WUE* increased by approximately a half over the 20th century
302 predominantly due to rising CO_2 , which is significantly more than is simulated by the latest Earth
303 System Models. However, this increase in *WUE* has been modulated downwards in recent decades
304 by the impact of climate change. This is especially true for the highly populated regions of Western
305 Europe and East Asia, where reductions in atmospheric relative humidity and increases in temperature
306 have acted to offset increases in *WUE* due to increasing CO_2 . We conclude that the effects of
307 increasing CO_2 on plant *WUE* are significantly underestimated in the latest climate projections.

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309

310

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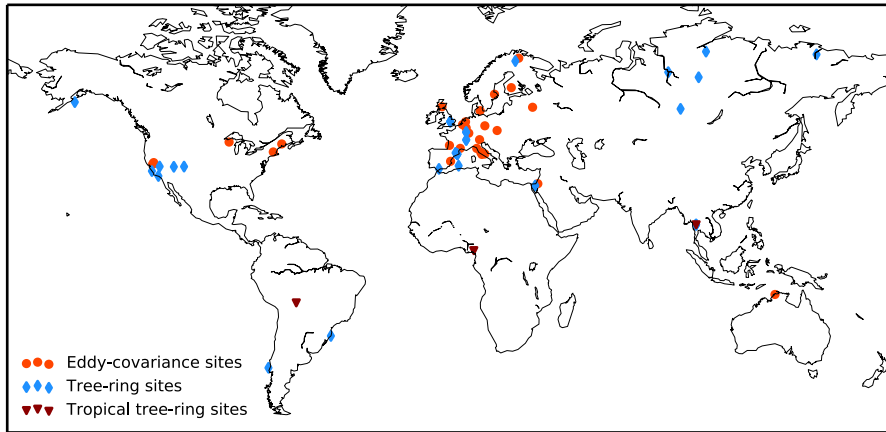
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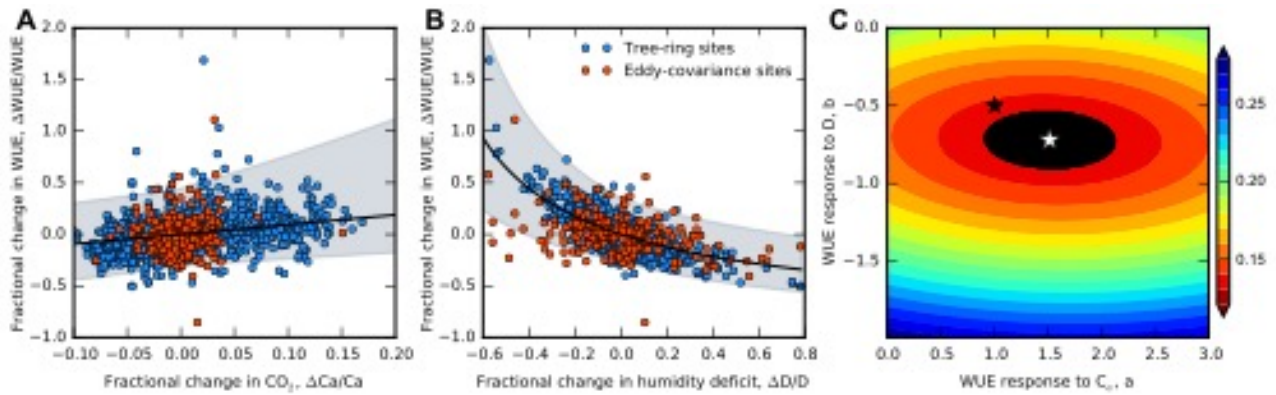
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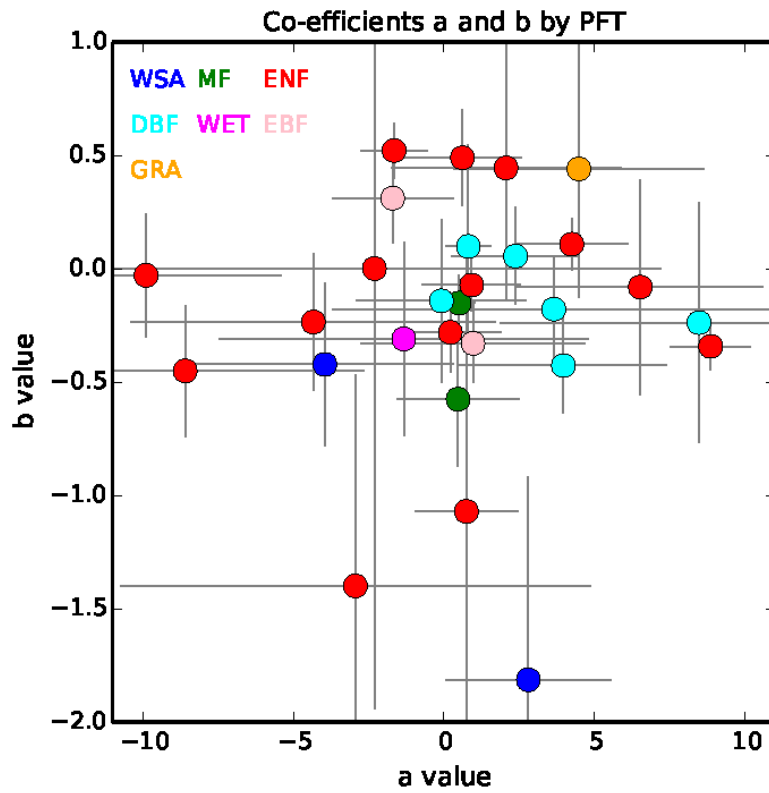
443 **Figure Legends:**



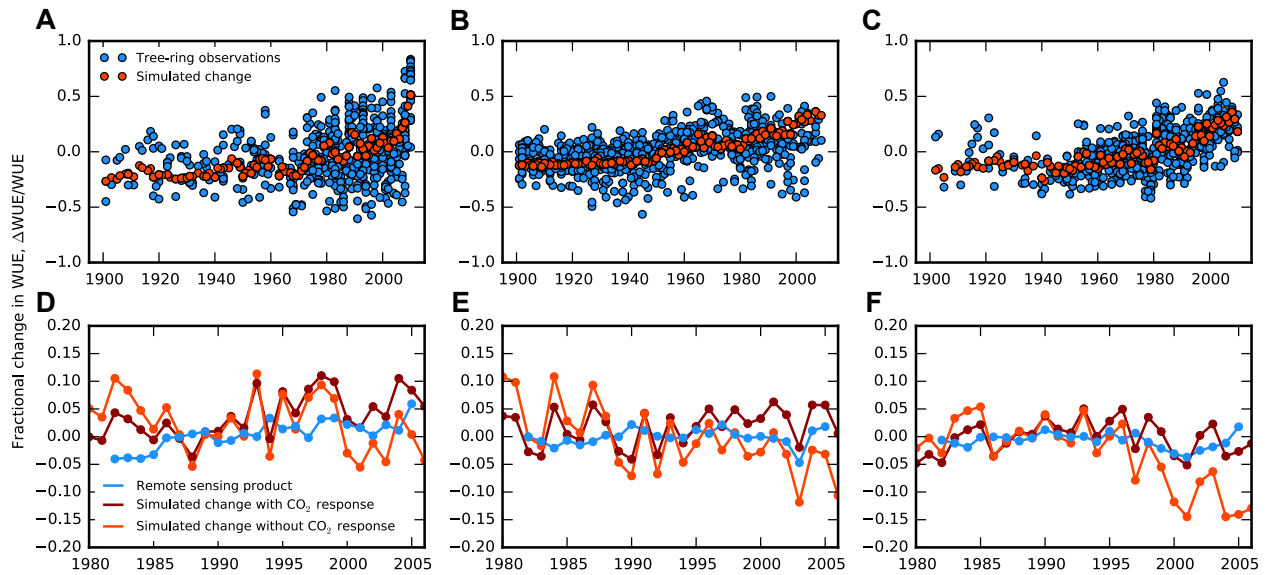
444
 445 **Figure 1.** Locations of the eddy-covariance flux sites and tree-ring sites used (see Table S2 and S3
 446 for a list of the sites). Tropical tree-ring sites are used as independent data sources for comparison.



447
 448 **Figure 2.** Water Use Efficiency (*WUE*) from tree-ring and eddy-covariance observations. The
 449 relationship between the observed fractional change in *WUE* and the fractional change in (A) CO_2
 450 concentration and (B) humidity deficit of both datasets is fitted to Equation 3, with best-fit values for
 451 a (1.51 ± 0.57) and b (-0.72 ± 0.16). (C) The colors show the root mean square error (RMSE) of the
 452 simulated vs. observed fractional change in *WUE* as a function of a and b , with the black area
 453 representing the best parameters within 5% of the RMSE of the best fit (white star). The black star
 454 represents the values according to the optimality hypothesis.



455
 456 **Figure 3.** Comparison of best-fit a and b parameters (see equation 5) by plant functional type (PFT).
 457 Here the sites are organized by dominant PFT, using classifications used for the FluxNet sites:
 458 evergreen broadleaf forest (EBF), evergreen needleleaf forest (ENF), grassland (GRA), mixed forest
 459 (MF), wetland (WET) and woody savannah (WSA).
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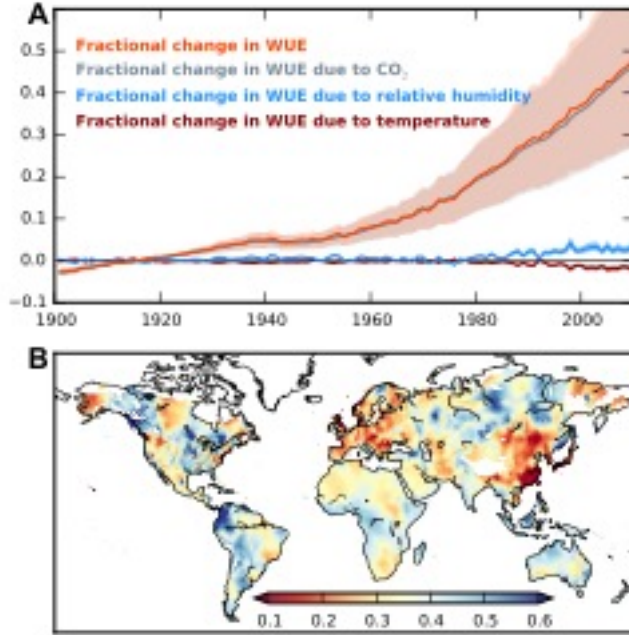
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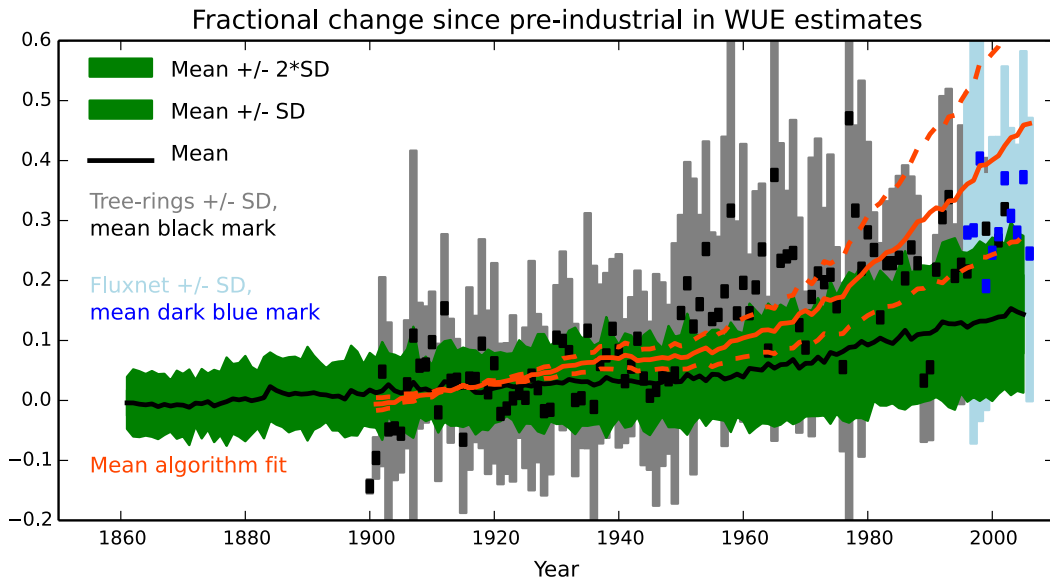
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Figure 4. Comparison of estimated Water Use Efficiency trends to independent observations. Simulated fractional change in WUE (orange) compared to observations for three tropical tree-ring sites in Bolivia (A), Cameroon (B) and Thailand (C) (blue, van der Sleen 2015). Simulated fractional change in WUE for (D) Western North America, (E) Western Europe and (F) East Asia, with (dark red) and without (orange) CO_2 effect, compared to the WUE trend derived from a remote sensing product of carbon uptake and water loss (Jung et al. 2011). The location of the tree-ring sites is presented in Fig. S1 and the regions D-F are as in Fig. 5.



471
 472 **Figure 5.** 20th century fractional change of Water Use Efficiency (*WUE*). (A) Time series of the
 473 estimated global fractional change in *WUE* (orange, relative to the average over 1901-1930)
 474 partitioned into the effects of changes in CO₂, relative humidity and temperature. (B) Spatial pattern
 475 of the estimated fractional change in *WUE* between 1901-1930 and 2001-2010. These calculations
 476 use observed monthly surface air temperature and vapour pressure (Harris et al. 2013) during the
 477 growing season, and annual atmospheric CO₂ concentrations at Mauna Loa (Keeling et al. 1976).
 478



480

481 **Figure 6.** Comparison of measured and modeled fractional changes in *WUE* from 1860 to 2010.

482 Estimates from tree-rings and eddy-covariance data are shown by the black and blue points

483 respectively, with the bars in each case showing ± 1 standard deviation about the mean response.

484 The results from complex coupled Earth System Models are shown by the black continuous line and

485 the green plume (with dark green showing one standard deviation and light green showing two

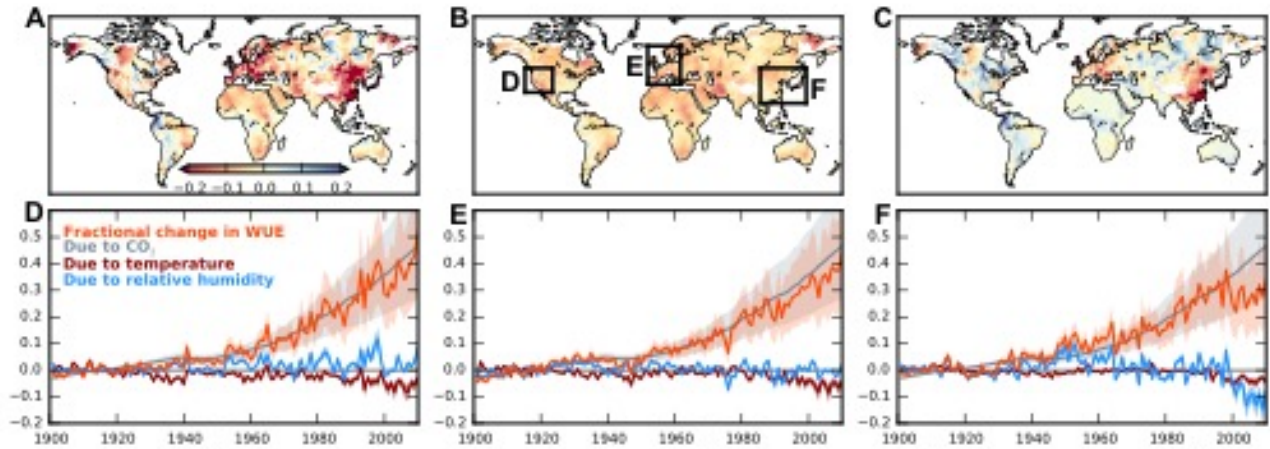
486 standard deviations). The algorithm presented in this paper, which estimates fractional *WUE* changes487 from changes in CO_2 concentration and humidity deficit alone (equation 6), is shown by the orange

488 lines. To enable the comparison between these different estimates, we normalized over common

489 overlapping periods (for the tree-ring data and model simulations – 1900-1930; for the tree-ring and

490 eddy-covariance data – the period of overlap when at least 3 eddy-covariance sites are available).

491



492
 493 **Figure 7.** Changes in *WUE* arising from climate variables. Spatial patterns of the fractional changes
 494 in *WUE* arising from changes in (A) climate, i.e. both temperature and relative humidity (*RH*)
 495 together, (B) temperature alone, and (C) *RH* alone, between 1901-1930 and 2001-2010. Time-series
 496 are as in Fig. 5 for (D) Western North America, (E) Western Europe and (F) East Asia, which show
 497 the large regional and temporal variations in these climate-driven changes in *WUE*.