



1 **Climate engineering by mimicking the natural dust climate control:**  
2 **the Iron Salt Aerosols method**

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16  
17 **Abstract**

18 Power stations, ship, and air traffic are among the most potent greenhouse gas emitters and  
19 primarily responsible for global warming. Iron salt aerosols (ISA) exert a cooling effect on  
20 climate in several ways. This article aims firstly to examine all direct and indirect natural  
21 climate cooling mechanisms driven by tropospheric aerosol particles composed partly of iron  
22 and chloride, showing their cooperation and interaction within the different environmental  
23 compartments.

24 It then looks at a proposal to enhance the cooling effects by ISA in order to reach the CoP 21  
25 optimistic target level of a global temperature increase of between 1.5 and 2 °C.

26 Using mineral dust as a natural analogue tool, the proposed ISA method might be able to  
27 reduce climate warming by mimicking the same method used by nature during the glacial  
28 periods. The first estimations made in this article show that by doubling the current natural  
29 ISA emissions into the troposphere, i.e. by about 0.3 Tg Fe per year, artificial ISA would  
30 enable the prevention or even reversal of global warming.

31 The ISA method proposed integrates technical and economically feasible tools.

32  
33 **Keywords**

34 Iron salt aerosols, cooling the earth, reverse global warming, methane removal, CO<sub>2</sub> removal  
35 phytoplankton fertilization, cloud albedo, carbon capture and storage (CCS), climate  
36 engineering

37



38 **1. Introduction**

39 The 5<sup>th</sup> assessment report of the Intergovernmental Panel on Climate Change (IPPC),  
40 released in November 2014, is clear on the anthropogenic nature of climate change.

41 Global warming (GW) has already begun to dramatically change continental and marine  
42 ecosystems.

43 A recently noticed risk is that the vertical mixing in the oceans decreases and even reaches a  
44 stagnation point (de Lavergne et al., 2014), thus weakening the net oceanic cumulative  
45 uptake of atmospheric CO<sub>2</sub> (Bernardello et al., 2014a; Bernardello et al., 2014b).

46 A consequence of the decrease of vertical ocean mixing is a reduced or interrupted oxygen  
47 supply to the depths of the ocean. Currently, the formation of low-oxygen areas in the oceans  
48 is increasing (Capone and Hutchins, 2013; Kalvelage et al., 2013). Furthermore, the climate  
49 warming entails both a heating of the upper oceanic water layer and a desalting caused by  
50 freshwater injection from increasing ice melt. This desalting phenomenon weakens the  
51 thermohaline circulation (THC) by promoting density stratification (Hansen et al., 2015) which  
52 triggers the formation of oxygen-depleted zones (Voss et al., 2013) that also emit nitrous  
53 oxide (N<sub>2</sub>O), a potent GHG and a powerful ozone depleting agent.

54 Warming surface waters and decreasing input of cold oxygenated surface water trigger a  
55 temperature rise of the sediments, transforming solid methane hydrate into gaseous methane  
56 emissions in seawater (Phrampus et al., 2014). Methane oxidation consumes additional  
57 oxygen, decreasing the oxygen content above those areas (Yamamoto et al., 2014).

58 The same effects are expected with an anticipated increase in spring and summer coastal  
59 upwelling intensity, associated with increases in the rate of offshore advection, decreasing  
60 the nutrient supply and producing a spatial or temporal (phenological) mismatch between  
61 production and consumption in the world's marine most productive ecosystems (Bakun et al.,  
62 2015).

63 These facts have the threatening consequence of a sprawling lack of oxygen in the oceans.  
64 In such low-oxygen areas (sub-oxic to anoxic) only bacterial life is possible, higher life forms  
65 do not exist there. Accordingly, an early result of the climate warming progression could lead  
66 to a dramatic limitation of the oceanic food sources that will be needed for the projected 9-10  
67 billion people by 2050. The same deleterious consequences on seafood supply can also  
68 result in ocean surface acidification by increased CO<sub>2</sub> dissolution in sea water and decreased  
69 flow of surface water currents to the ocean basin bottoms, limiting reef fish and shelled  
70 mollusks survival (Branch et al., 2013).

71 Any decrease of the THC has severe consequences on all kinds of ecosystems because it  
72 further triggers climate warming by different interactions. THC decrease induces a reduction  
73 or eventual disappearance of the phytoplankton fertilizers on the ocean surface Si, P, N and  
74 Fe extracted from their resources at the bottom of the ocean basins. Hydrothermal fluid



75 cycling by mid-ocean ridges, off-axis hydrothermal fluid fluxes, subduction-dependent  
76 hydrothermal convection fluids, hydrothermal fluxes at hot spot sea mount and fluid  
77 emissions from anaerobic sediments, contain said elements as dissolved or colloidal phase  
78 (Dick et al., 2015; Hawkes et al., 2013; Holm and Neubeck, 2009; Martin and Russell, 2007;  
79 Orcutt et al., 2011; Postec et al., 2015; Resing et al., 2015; Sousa et al., 2013). The deeper  
80 water of all ocean basins is enriched by the fertilizers. A THC decrease within the ocean  
81 basins will result in a decrease of the assimilative transformation of CO<sub>2</sub> into organic carbon.  
82 Moreover, any THC decrease would further trigger the acidification of the ocean surface by  
83 lowering or preventing the neutralization of dissolved CO<sub>2</sub> and HCO<sub>3</sub><sup>-</sup>, because the alkalinity  
84 decreases from hydrothermal sources (Monnin et al., 2014; Orcutt et al., 2011).

85 During the convective water flow through the huge alkaline ocean crust volume estimated to  
86 about 20 - 540 x 10<sup>3</sup> km<sup>3</sup>/yr (Nielsen et al., 2006) ocean water is depleted in O<sub>2</sub>, but enriched  
87 in its reductant content like methane (Kawagucci et al., 2011; Orcutt et al., 2011). Even  
88 further elements are enriched in this convective water flow through crust rocks, all essential  
89 for the existence of life. The re-oxygenation of this huge water volume becomes retarded or  
90 even impossible with a minimized THC.

91 According to model calculations of Watson et al. (Watson et al., 2015) the THC might have  
92 significantly changed between last glacial and interglacial periods. During the Cenozoic  
93 epoch with ice covered pole caps the incorporation of carbon in the form of carbonate into  
94 the oceanic crust became much lower than during the warm Late Mesozoic (Coogan and  
95 Gillis, 2013). The findings of Coogan & Gillis show, that during ice-free periods, THCs were  
96 possible with much higher effectivity than recently. Even during those warm periods with low  
97 temperature gradients between polar and equatorial oceans, an effective production of brines  
98 leading to buoyancy differences necessary for development of effective THC, may be  
99 generated (Otto-Bliesner et al., 2002). However, increased inflow rates of high density brines  
100 coming from shallow shelf regions with high evaporation rates, induced several collapses or  
101 vertical reductions of the strong Cretaceous THC. From here and for more than a million  
102 years, the lower parts of ocean basins have been filled up with anoxic brines.

103 Remnants of these anoxic events are black shale sediments (Takashima et al., 2006). During  
104 such THC collapses, the uptake of CO<sub>2</sub> into the oceanic crust stayed restricted to organic  
105 carbon sediments. Additionally, the organic carbon productivity of the remaining oxic zone  
106 was decreased, because phytoplankton fertilizer production was limited to continental  
107 weathering.

108 These examples point out the sensitivity of the THC to disturbances. Without action, the  
109 weakness of our recent THC may worsen. Any THC collapse would not only result in severe  
110 damages to ecosystems, food chains, and food resources of the oceans, but would also lead  
111 to an acceleration of the increase of atmospheric CO<sub>2</sub> concentration, resulting in a faster



112 climate warming than forecasted.

113 The best means to prevent such disturbing situations and consequences is to stop GW.

114 A realistic chance of averting this development is the controlled application of a climate  
115 cooling process used several times by nature throughout the last ice ages with high efficiency  
116 and based on loess dust. Loess is a wind-blown dust sediment formed by progressive  
117 accumulation and composed generally of clay, sand and silt (approximately a ratio of  
118 20:40:40 respectively), loosely cemented by calcium carbonate.

119 The dust concentration in the troposphere increased during every cold period in ice ages and  
120 reached a multiple of today's levels (Martínez-García et al., 2011). Dust deposition in the  
121 Southern Ocean during glacial periods was 3 to 10 times greater than during interglacial  
122 periods, and its major source region was probably Australia or New Zealand (Lamy et al.,  
123 2014). The windblown dust and its iron content effect on marine productivity in the Southern  
124 Ocean is thought to be a key determinant of atmospheric CO<sub>2</sub> concentrations (Maher and  
125 Dennis, 2001). During high dust level periods, the global average temperature fell down to  
126 10°C (Lamy et al., 2014; Martin, 1990; Martínez-García et al., 2011), which is 4.5°C lower  
127 than current global average temperature. Loess sediments in the northern and southern  
128 hemisphere on continents and ocean floors originate from these cold dusty periods.

129 Former geoscientists had the predominant conception that the cold glacial temperatures had  
130 caused dustiness, and not the reverse (Maher et al., 2010). Meanwhile more evidence  
131 accumulates that mineral dust was a main factor in the cause of the cold periods and that the  
132 iron (Fe) fraction of wind-blown dust aerosol fertilized the oceans' phytoplankton, activating  
133 the assimilative conversion of CO<sub>2</sub> into organic carbon (Anderson et al., 2014; Lamy et al.,  
134 2014; Martin, 1990) (Maher et al., 2010; Martínez-García et al., 2014; Ziegler et al., 2013)  
135 and carbonate which composes the main dry body substance of phytoplankton, together with  
136 silica, another component of dust (Tréguer and Pondaven, 2000). Evidence about the  
137 responsibility of iron-containing dust that triggered ice ages during the late Paleozoic epoch  
138 are in discussion (Sur et al., 2015).

139 The process of iron fertilization by injection of iron salt solution into the ocean surface had  
140 already been in discussion as an engineering system scheme proposed to mitigate global  
141 warming (Smetacek and Naqvi, 2008). But iron fertilization experiments with FeSO<sub>4</sub>  
142 conducted over 300 km<sup>2</sup> into the Subantarctic Atlantic Ocean, although they doubled primary  
143 productivity of Chlorophyll a, did not enhance downdraft particles' flux into the deep ocean  
144 (Martin et al., 2013). The researchers attribute the lack of fertilization-induced export to the  
145 limitation of silicon needed for diatoms. Thus, ocean fertilization using only iron can increase  
146 the uptake of CO<sub>2</sub> across the sea surface, but most of this uptake is transient and will  
147 probably not conduct to long-term sequestration (Williamson et al., 2012). In other  
148 experiments, the authors (Smetacek et al., 2012) find that iron-fertilized diatom blooms may



149 sequester carbon for centuries in ocean bottom water, and for longer in the sediments, as up  
150 to half the diatom bloom biomass sank below 1 km depth and reached the sea floor.  
151 Meanwhile dissolution of olivine, a magnesium-iron-silicate, with a Mg:Fe ratio of nearly 9:1,  
152 and containing silica, conducted to 35% marine carbon uptake (with the hypothesis of 1% of  
153 the iron dissolved and biologically available), with communities of diatoms being one of the  
154 phytoplankton winners (Köhler et al., 2015).

155 The small content of water-soluble iron salts (IS) in the dust particles triggers this fertilization  
156 effect (Duggen et al., 2007) and the soluble iron deposition during glaciations had been up to  
157 10 x modern deposition (Conway et al., 2015). According to Spolaor (Spolaor et al., 2013),  
158 most of the bioavailable water soluble Fe(II) has been linked, during the last 55,000 years, to  
159 the fine dust fraction, as it was demonstrated from ice cores from Antarctica. During late  
160 Paleozoic epochs, glacial stage dust fluxes of ~400 to 4,000 times those of interglacial times  
161 had been found (Soreghan et al., 2014), which gives an estimated carbon fixation ~2-20  
162 times that of modern carbon fixation due to dust fertilization. Photochemistry sunshine is the  
163 main trigger of the transformation of the primary insoluble iron fraction of dust aerosols into  
164 soluble iron salt (Johnson and Meskhidze, 2013), and the understanding of how the different  
165 iron content and speciation in aerosols affect the climate is growing (Al-Abadleh, 2015).  
166 Currently, increased subglacial melt water and icebergs may supply large amounts of  
167 bioavailable iron to the Southern Ocean (Death et al., 2014). The flux of bioavailable iron  
168 associated with glacial runoff is estimated at 0.40–2.54 Tg per year in Greenland and 0.06–  
169 0.17 Tg per year in Antarctica (Hawkings et al., 2014), which are comparable with Aeolian  
170 dust fluxes to the oceans surrounding Antarctica and Greenland, and will increase by  
171 enhanced melting in a warming climate. But CO<sub>2</sub> uptake by the oceans is not the only effect  
172 of iron dust.

173 The full carbon cycle is well described in the literature; meanwhile the iron biogeochemical  
174 cycle is only described in the ocean by few scientific publications (Boyd and Ellwood, 2010;  
175 Mahowald et al., 2005; Mahowald et al., 2009). This review aims to describe the multi-stage  
176 chemistry of the iron cycle on the atmosphere, oceans, land, sediments and ocean crust.  
177 This article is a comprehensive review of the evidence for connections between the carbon  
178 cycle and the iron cycle and their direct and indirect planetary cooling effects. Numerous  
179 factors influence the Fe-cycle and the iron dissolution: iron speciation, photochemistry,  
180 biochemistry, red-ox chemistry, mineralogy, geology. In order to perform an accurate  
181 prediction of the impact of Fe-containing dusts, sea salt, and acidic components, the  
182 atmospheric chemistry models need to incorporate all relevant interaction compartments of  
183 the Fe-cycle with sun radiation, chlorine, sulphur, nitrogen and water. This review advocates  
184 a balanced approach to make profit of the Fe-cycle to fight global warming by enhancing  
185 natural processes.



186 Breakdown of sections:

187 The next three sections describe about a dozen different climate cooling processes induced  
188 by iron salt aerosols (ISA) and their interaction for modelling parameter development  
189 (sections 2, 3 and 4). Then estimation of the requirements in terms of ISA, to stop global  
190 warming will be given in section 5, followed by the description of a suggested ISA enhanced  
191 method to fight global warming and induce planetary cooling in section 6, followed by a  
192 general discussion and concluding remarks in section 7. To our knowledge, this review  
193 completes the previous global iron cycle vision of Parekh (Parekh et al., 2004) and  
194 advocates a balanced approach to make profit of the iron cycle to fight global warming by  
195 enhancing natural processes.

196

#### 197 **Components of the different natural cooling mechanism by ISA**

198 The best known cooling process induced by ISA is the phytoplankton fertilizing stage  
199 described in the introduction. But this process is only part of a cascade of at least 12 climate  
200 cooling stages presented in this article. These stages are embedded within the coexisting  
201 multi-component complex networks of different reciprocal iron induced interactions across  
202 the borders of atmosphere, surface ocean, sediment and igneous bedrock as well as across  
203 the borders of chemistry, biology, and physics and across and along the borders of  
204 illuminated, dark, gaseous, liquid, solid, semi-solid, animated, unanimated, dead and different  
205 mix phase systems. Some impressions according to the complexity of iron acting in the  
206 atmospheric environment have been presented by Al-Abadleh (Al-Abadleh, 2015).

207 The ISA-induced cooling effect begins in the atmosphere. Each of the negative forcing  
208 stages unfolds a climate-cooling potential for itself. Process stages 1-6 occur in the  
209 troposphere (chapter 2), stage 6 at sunlit solid surfaces, stages 7-8 in the ocean (chapter 3),  
210 and stages 9-12 in the oceanic sediment and ocean crust (chapter 4). The 12 stages of this  
211 cooling process cascade operate as described below.

212

## 213 **2. Tropospheric natural cooling effects of the iron cycle**

### 214 **2.1. ISA-induced cloud albedo increase**

215 Aerosols have a climate impact through aerosol–cloud interactions and aerosol–radiation  
216 interactions (Boucher, 2015). By reflecting sunlight radiation back to space, some types of  
217 aerosols increase the local albedo (which is the fraction of solar energy that is reflected back  
218 to space), producing a cooling effect (Bauer and Menon, 2012). If the top of clouds reflect  
219 back a part of the incident solar radiation received, the base of clouds receive the longwave  
220 radiation emitted from the Earth surface and reemit downward a part of it. Usually, the higher  
221 a cloud is, in the atmosphere, the greater its effect on enhancing atmospheric greenhouse



222 warming, and therefore the overall effect of high altitude clouds, like cirrus, is a positive  
223 forcing. Meanwhile, the net effect of low altitude clouds (stratocumulus) is to cool the surface,  
224 as they are thicker and prevent more sunlight from reaching the surface. The overall effect of  
225 other types of clouds like cumulonimbus is neutral: neither cooling nor warming.

226 More outgoing long-wave radiation is possible when the cirrus cover is reduced. Efficient ice  
227 nuclei (such as bismuth tri-iodide) seeding of cirrus cloud might artificially reduce their cover  
228 (Mitchell and Finnegan, 2009; Storelvmo et al., 2013).

229 In order to enhance the cooling effects of low altitude clouds, marine cloud brightening has  
230 been proposed (Latham et al., 2012a), for instance by injecting sea salt aerosols over the  
231 oceans. The effect depends on both particle size and injection amount, but a warming effect  
232 is possible (Alterskjær and Kristjánsson, 2013).

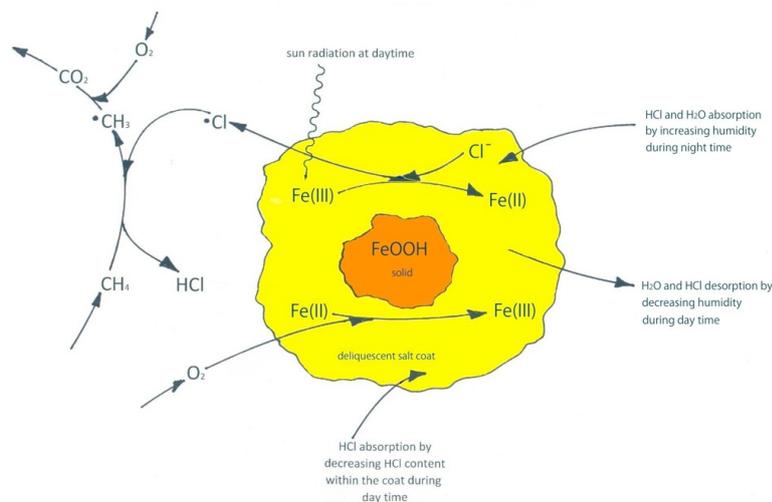
233 Aerosol effects on climate are complex because aerosols both reflect solar radiation to space  
234 and absorb solar radiation. In addition, atmospheric aerosols alter cloud properties and cloud  
235 cover depending on cloud type and geographical region (Koch and Del Genio, 2010). The  
236 overall effect of aerosols on solar radiation and clouds is negative (a cooling effect), which  
237 masks some of the GHGs-induced warming. But some individual feedbacks and forcing  
238 agents (black carbon, organic carbon, and dust) have positive forcing effects (a warming  
239 effect). For instance, brown clouds are formed over large Asian urban areas (Ramanathan et  
240 al., 2007) and have a warming effect. The forcing and feedback effects of aerosols have  
241 been clarified (Bauer and Menon, 2012) by separating direct, indirect, semi-direct and  
242 surface albedo effects due to aerosols.

243 Hygroscopic salt aerosols act as cloud condensation nuclei (CCN) (Karydis et al., 2013;  
244 Levin et al., 2005). ISA particles are hygroscopic. High CCN particle concentrations have at  
245 least three different cooling effects (Rosenfeld and Freud, 2011; Rosenfeld et al., 2008).  
246 Each effect triggers the atmospheric cooling effect by a separate increase of earth  
247 reflectance (albedo) (Rosenfeld et al., 2014):

- 248 • Cloud formation (even at low super saturation);
- 249 • Formation of very small cloud droplets, with an elevated number of droplets per  
250 volume, which causes elevated cloud whiteness;
- 251 • Extending the lifetime of clouds, as the small cloud droplets cannot coagulate with  
252 each other to induce precipitation fall.

253 Figure 1 illustrates this albedo change due to ISA-CCN particles.

254



255

256 **Figure 1.** Process of tropospheric cooling by direct and indirect increasing of the quantity of  
257 different cloud condensation nuclei (CCN) inducing albedo increase by cloud formation at low  
258 supersaturation, cloud whitening and cloud life elongation.

259

260 Additional to climate cooling effects, CCN-active aerosols might induce a weakening of  
261 tropical cyclones. The cooling potential of the ocean surface in regions of hurricane genesis  
262 and early development, by cloud whitening potential (Latham et al., 2012b) shall be casual.  
263 Further effects like delayed development, weakened intensity, early dissipation, and  
264 increased precipitation have been found (Wang et al., 2014c; Zhang et al., 2009).

265

## 266 2.2. Oxidation of methane and further GHGs

267 Currently, methane (CH<sub>4</sub>) in the troposphere is destroyed mainly by the hydroxyl radical °OH.  
268 Only 3 to 4 % CH<sub>4</sub> (25 Tg/yr) become oxidized by °Cl in the troposphere (Allan et al., 2007;  
269 Graedel and Keene, 1996).

270 Absorption of photons by semi-conductor metal oxides can provide the energy to produce an  
271 electron-hole pair able to produce either a reduced or an oxidized compound. At suitable  
272 conditions UV and visible light can reduce a variety of metal ions in different environments  
273 (Monico et al., 2015; Oster and Oster, 1959) (Thakur et al., 2015). Photo-reduced metal  
274 compounds may further act as effective chemical reductants (Ola and Maroto-Valer, 2015; Xu  
275 et al., 2015) and the oxidized compounds like hydroxyl radicals or chlorine atoms, can further



276 act as effective oxidants. Zamaraev et al. (Zamaraev et al., 1994) proposed the  
277 decomposition of reducing atmospheric components like methane by photolytically induced  
278 oxidation power of the oxides of iron, titanium and some further metal oxide containing  
279 mineral dust components. In this sense Zamaraev designated the dust generating deserts of  
280 the globe as “kidneys of the earth” (Zamaraev, 1997) and the atmosphere as a giant  
281 photocatalytic reactor where numerous physicochemical and photochemical processes occur  
282 (Zamaraev et al., 1994). Researches have proposed giant photocatalytic reactors to clean  
283 the atmosphere of several GHGs, like N<sub>2</sub>O (de Richter et al., 2016b), CFCs and HCFCs (de  
284 Richter et al., 2016a) and even CO<sub>2</sub> after direct air capture (Kiesgen de\_Richter et al., 2013),  
285 as almost all GHGs can be transformed or destroyed by photocatalysis (de Richter and  
286 Caillol, 2011).

287 Oeste suggested (Oeste, 2004) and Wittmer et al. confirmed (Wittmer et al., 2015a; Wittmer  
288 et al., 2015b; Wittmer and Zetzsch, 2016) the emission of methane depleting chlorine atoms.  
289 This can be induced by 3 ways: sunlight photo reduction of Fe(III) to Fe(II) from FeCl<sub>3</sub> or  
290 FeOOH containing salt pans, from FeCl<sub>3</sub> or FeOOH-containing sea spray aerosols and from  
291 pure FeOOH aerosol in contact with air containing ppbv amounts of HCl. Because the H  
292 abstraction from the GHG CH<sub>4</sub> as the first oxidation step by °Cl is at least 16 times faster  
293 compared to the oxidation by °OH, which is the only CH<sub>4</sub> oxidant acting in the ISA-free  
294 atmosphere, concentration of CH<sub>4</sub> can be significantly reduced by ISA emission. Figure 2  
295 illustrates by a simplified chemical reaction scheme this climate cooling mechanism by the  
296 ISA method: a direct cooling of the troposphere by methane oxidation induced by ISA  
297 particles.

298





305 coagulation actions between the particles within aerosol clouds are retarded (Ardon-Dryer et  
306 al., 2015; Rosenfeld and Freud, 2011; Santachiara et al.; Wang et al., 1978). Otherwise the  
307 aerosol lifetime would be too short to bridge any intercontinental distance or even arrive in  
308 polar regions. That reduces the possible Cl<sup>-</sup> exchange by particle contact. But absorption of  
309 gaseous HCl by reactive iron oxide aerosols resulting in Fe(III) chloride formation at the  
310 particle surfaces is possible (Wittmer and Zetzsch, 2016). Gaseous HCl and further gaseous  
311 chloro-compounds are available in the troposphere: HCl (300 pptv above the oceans and  
312 100 pptv above the continents) (Graedel and Keene, 1996), ClNO<sub>2</sub> (up to 1500 pptv near flue  
313 gas emitters) (Osthoff et al., 2008; Riedel et al., 2014) and CH<sub>3</sub>Cl (550 pptv remote from  
314 urban sources) (Khalil and Rasmussen, 1999; Yokouchi et al., 2000). By or after sorption and  
315 reactions like photolysis, oxidation, and reduction, any kind of these chlorine species can  
316 induce chloride condensation at the ISA particle surface. Acid tropospheric aerosols and  
317 gases like H<sub>2</sub>SO<sub>4</sub>, HNO<sub>3</sub>, oxalic acid, and weaker organic acids further induce the formation  
318 of gaseous HCl from sea-salt aerosol (Drozd et al., 2014; Kim and Park, 2012; Pechtl and  
319 von Glasow, 2007). Since 2004, evidence and proposals for possible catalyst-like sunshine-  
320 induced cooperative heterogeneous reaction between Fe(II), Fe(III), Cl<sup>-</sup>, °Cl, and HCl fixed  
321 on mineral dust particles and in the gaseous phase on the CH<sub>4</sub> oxidation are known (Oeste,  
322 2004; Wittmer and Zetzsch, 2016). Further evidence of sunshine-induced catalytic  
323 cooperation of Fe and Cl came from the discovery of °Cl production and CH<sub>4</sub> depletion in  
324 volcanic eruption plumes (Baker et al., 2011; Rose et al., 2006). Wittmer et al. presented  
325 sunshine-induced °Cl production by iron oxide aerosols in contact with gaseous HCl (Wittmer  
326 and Zetzsch, 2016). Further evidence comes from °Cl found in tropospheric air masses  
327 above the South China Sea (Baker et al., 2015). It is known that the troposphere above the  
328 South China Sea is often in contact with Fe-containing mineral dust aerosols (~18 g m<sup>-2</sup> a<sup>-1</sup>)  
329 (Wang et al., 2012). This is further evidence that the Fe oxide-containing mineral dust aerosol  
330 might be a source for the °Cl content within this area.

331 HCl, water content and pH within the surface layer of the aerosol particles depend on the  
332 relative humidity. Both liquid contents, H<sub>2</sub>O and HCl, grow with increasing humidity (von  
333 Glasow and Sander, 2001). In spite of growing HCl quantity with increasing humidity, pH  
334 increases because of decreasing HCl concentration within the surface layer. Hence, since  
335 the radiation induced °Cl production decreases with decreasing pH, the °Cl emission  
336 decreases in humid conditions (Wittmer and Zetzsch, 2016). Under dry conditions, even  
337 sulphate may be fixed as solid Na-sulphate hydrates. Solubilized sulphate slightly inhibits the  
338 iron induced °Cl production (Bleicher et al., 2014).

339 Night or early morning humidity produces similarly the maximum chloride content on the  
340 liquid aerosol particles surface. During day time, the humidity decrease induces ISA  
341 photolysis and Cl<sup>-</sup> conversion to °Cl production by decreasing water content and pH. The ISA



342 particle surface layer comes to Cl<sup>-</sup> minima levels during or after noon hours. In the  
343 continental troposphere low sea salt aerosol level, these effects enable the pure ISA iron  
344 oxide aerosol particles to coat their surface with chloride solution at night and to produce  
345 chlorine atom emission at daytime.

346 Freezing has different effects on the primary wet ISA particles. Changing by CCN action to  
347 cloud droplets with solubilized chloride and iron content and when arriving to freezing  
348 conditions, the frozen ice becomes covered by a mother liquor layer with elevated  
349 concentration of both iron and chlorine. Some acids like HCl do not decrease the mother  
350 liquor pH proportional to concentration and the behavior of the ice surfaces, grown from low  
351 salt content water, are different from high salt content water, thus the different kinds of ISA  
352 shall behave differently. (Bartels-Rausch et al., 2014; Kahan et al., 2014; Wren and  
353 Donaldson, 2012). Direct measurements of molecular chlorine levels in the Arctic marine  
354 boundary layer in Barrow, Alaska, showed up to 400 pptv levels of molecular chlorine (Liao  
355 et al., 2014). The Cl concentrations fell to near-zero levels at night but peaked in the early  
356 morning and late afternoon. The authors estimated that the Cl radicals oxidized on average  
357 more CH<sub>4</sub> than hydroxyl radicals, and enhanced the abundance of short-lived peroxy  
358 radicals.

359 Further investigations have to prove how the different types of ISA particles behave in clouds  
360 below the freezing point or in the snow layer at different temperatures: the primary salt-poor  
361 Fe-oxide, the poor FeCl<sub>3</sub>-hydrolyzed and the FeCl<sub>3</sub>-NaCl mixture, because the °Cl emission  
362 depends on pH, Fe and Cl concentration.

363 Additional to iron photolysis, in a different and day-time independent chemical reaction, iron  
364 catalyzes the formation of °Cl or Cl<sub>2</sub> from chloride by tropospheric ozone (Sadanaga et al.,  
365 2001). Triggering the methane decomposition, both kinds of iron and chlorine have a  
366 cooperative cooling effect on the troposphere: less GHG methane in the atmosphere reduces  
367 the GH effect and allows more outgoing IR heat to the outer space.

368 These reactions had been active during the glacial period: Levine et al. (Levine et al., 2011)  
369 found elevated <sup>13</sup>CH<sub>4</sub> / <sup>12</sup>CH<sub>4</sub> isotope ratios in those Antarctic ice core segments representing  
370 coldest glacial periods. The much greater °Cl preference for <sup>12</sup>CH<sub>4</sub> oxidation than <sup>13</sup>CH<sub>4</sub>  
371 oxidation than by the °OH is an explanation for this unusual isotope ratio. Additional evidence  
372 gives the decreased CH<sub>4</sub> concentration during elevated loess dust emission epochs (Skinner,  
373 2008).

374 As shown in more detail in the next section 2.3 ISA produces °Cl and much more hydrophilic  
375 °OH and ferryl as further possible CH<sub>4</sub> oxidants by the Fenton and photo-Fenton processes  
376 (Al-Abadleh, 2015). To gain the optimal reaction conditions within the heterogeneous  
377 gaseous / liquid / solid phase ISA system in the troposphere the reductant (methane) and  
378 oxidant (Fenton and photo-Fenton oxidant) have to be directed in a way, that oxidant and



379 reductant can act within the identical medium.

380

381 **Table 1:** the Henry's law constants (Sander, 2015) for the different components of the ISA.

Element	Henry's law constant
CH <sub>4</sub>	$1.4 \times 10^{-5} \text{ mol m}^{-3} \text{ Pa}^{-1}$
$^{\circ}\text{Cl}$	$2.3 \times 10^{-2} \text{ mol m}^{-3} \text{ Pa}^{-1}$
$^{\circ}\text{OH}$	$3,8 \times 10^{-1} \text{ mol m}^{-3} \text{ Pa}^{-1}$
Fe(II), Fe(III), Fe(IV) (dissolved and/or solid)	$>10^6 \text{ mol m}^{-3} \text{ Pa}^{-1}$

382

383 As seen on table 1, according to the CH<sub>4</sub> Henry's law constant the preference of the 1.8 ppm  
384 tropospheric CH<sub>4</sub> is undoubtedly the gaseous phase.  $^{\circ}\text{Cl}$  has a preference for the gaseous  
385 phase. Iron is completely part of the liquid or solid phase, so the Henry's law constant is  
386 estimated to more than  $10^6 \text{ mol m}^{-3} \text{ Pa}^{-1}$  (Sander, 2015). While ferryl keeps tightly bound to  
387 the condensed phases  $^{\circ}\text{OH}$  may change into the gaseous phase (Nie et al., 2014) and may  
388 contribute to the oxidation of CH<sub>4</sub> during clear dry conditions without liquid phase at the  
389 Fe(III) surfaces.

390 Like the water-soluble Ammonia ( $5.9 \times 10^{-1}$ ),  $^{\circ}\text{OH}$  has a similar Henry's law constant.  
391 Therefore  $^{\circ}\text{OH}$  has the tendency to stay within hydrous phases during humid conditions. This  
392 tendency is 16 times lower for  $^{\circ}\text{Cl}$ . This property is combined with the 16 times higher  
393 reactivity in comparison to  $^{\circ}\text{OH}$ . At an equal production of  $^{\circ}\text{Cl}$  and  $^{\circ}\text{OH}$ , the reaction of  $^{\circ}\text{Cl}$   
394 with CH<sub>4</sub> has a probability of up to 250 times ( $16 \times 16$ ) that of  $^{\circ}\text{OH}$  with CH<sub>4</sub> when the ISA  
395 particles are wet and 16 times that of  $^{\circ}\text{OH}$  with CH<sub>4</sub> when the ISA particles are dry. The  
396 probability of CH<sub>4</sub> oxidation by ISA derived  $^{\circ}\text{Cl}$  against ISA derived  $^{\circ}\text{OH}$ , may be restricted by  
397 the pH increase tendency within ISA during humid episodes (decreased  $^{\circ}\text{Cl}$  generation on  
398 ISA with rising pH), to values fluctuating between the extremes 1 and 250. Independent of  
399 the kind of oxidants produced by ISA – during dry, clear sky, and sunshine episodes - the ISA  
400 deriving oxidants produce maximum oxidant concentrations within the CH<sub>4</sub>-containing  
401 gaseous phase, producing optimum CH<sub>4</sub> depletion rates.

402 The  $^{\circ}\text{Cl}$  reactivity on most VOC other than CH<sub>4</sub> is at least one order of magnitude higher than  
403 that of  $^{\circ}\text{OH}$  (Young et al., 2014). Halogen organics like dichloromethane (Pena et al., 2014)  
404 as well as the environmental persistent and bioaccumulating perfluoro organics like perfluoro  
405 octane sulphonate may be depleted by sunlit ISA (Jin et al., 2014).

406

### 407 **2.3. Oxidation of organic aerosol particles containing black and brown carbon**

408 Andreae & Gelencsér (Andreae and Gelencsér, 2006) defined the differences between the  
409 carbons: black carbon contains insoluble elemental carbon, brown carbon contains at least



410 partly soluble organic carbon. Black carbon contains as well additional extractable organics  
411 of more or less volatility and/or water-solubility (Andreae and Gelencsér, 2006; Nguyen and  
412 Ball, 2006)..

413 Black and brown carbonaceous aerosols have a positive radiative forcing (warming effect) on  
414 clouds (Ramana et al., 2010) as seen in sub-section 2.1, and also after deposition on snow,  
415 glaciers, sea ice or on the polar regions, as the albedo is reduced and the surface is  
416 darkened (Hadley and Kirchstetter, 2012). One of the most effective methods of slowing  
417 global warming rapidly on short-term is by reducing the emissions of fossil-fuel particulate  
418 black carbon, organic matter and reducing of tropospheric ozone (Jacobson, 2002).

419 Both aerosol types have adverse effects to health (human, animal, livestock, vegetal) and  
420 reducing its levels will save lives and provide many benefits (Shindell et al., 2012).

421 Thus any tropospheric lifetime reduction of both dark carbons would gain cooling effects and  
422 further positive effects.

423 Both carbons are characterized by aromatic functions. The black carbons contain graphene  
424 structures, the brown ones have low-molecular weight humic-like aromatic substances  
425 (HULIS). HULIS derive from tarry combustion smoke residues and/or from aged secondary  
426 organic aerosol (SOA). The source of SOA are biogenic VOCs like terpenes (Fry et al.,  
427 2014). HULIS contain polyphenolic red-ox mediators like catechol and nitro-catechols  
428 (Claeys et al., 2012; Hoffer et al., 2004; Ofner et al., 2011; Pillar et al., 2014).

429 The polyphenolic HULIS compounds are ligands with very strong binding to iron. Rainwater-  
430 dissolved HULIS prevent Fe(II) from oxidation and precipitation when mixing with seawater  
431 (Willey et al., 2008). Wood smoke derived HULIS nano-particles penetrate into living cell  
432 walls of respiratory epithelia cells. After arrival in the cells the HULIS particles extract the cell  
433 iron from the mitochondria by formation of HULIS iron complexes (Ghio et al., 2015).

434 Beside iron, other metals like manganese and copper have oxygen transport properties  
435 which improve the oxidation power of H<sub>2</sub>O<sub>2</sub> by Fenton reactions generating °OH (Chemizmu  
436 and Fentona, 2009). H<sub>2</sub>O<sub>2</sub> is a troposphere-borne oxidant (Vione et al., 2003).

437 Polyphenolic and carboxylate ligands of HULIS enhance the dissolution of iron oxides. These  
438 ligands bind to undissolved iron oxides (Al-Abadleh, 2015).

439 Iron and catechols are both reversible electron shuttles:

440  $\text{Fe}^{2+} \leftrightarrow \text{Fe}^{3+} + e$  (Eq. 1);

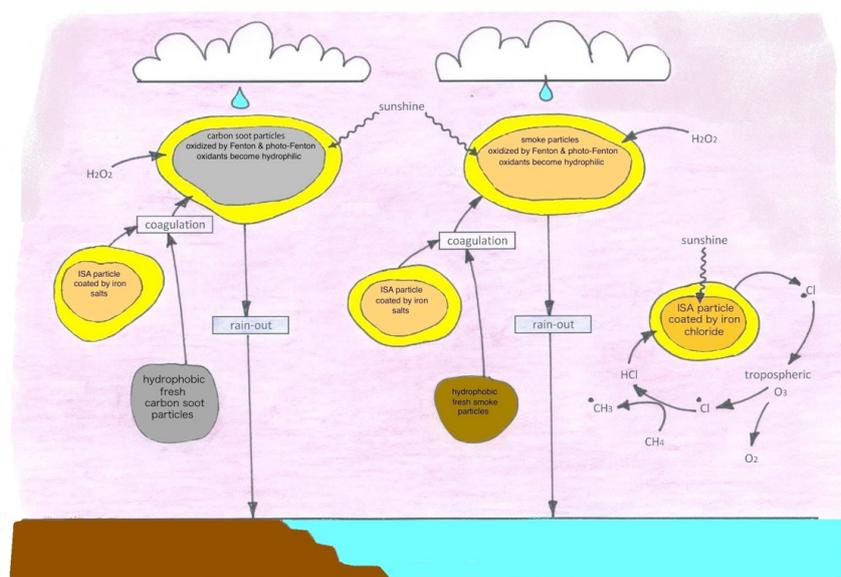
441  $\text{catechol} \leftrightarrow \text{quinone} + 2e$  (Eq. 2).

442 The HULIS – iron connection enhances the oxidative degradation of organic compounds like  
443 aromatic compounds (Al-Abadleh, 2015).

444 Oxidant generation by reaction of oxidizable dissolved or un-dissolved metal cations like  
445 Fe(II), Cu(I) and Mn(II) with H<sub>2</sub>O<sub>2</sub> had first been discovered for instance for Fe(II) in 1894



446 (Fenton, 1894). Since then these reactions are known as Fenton reactions. Mechanisms and  
447 generated oxidants of the Fenton reactions are still under discussion.  
448 According to the participating metal ligand oxidants like  $^{\circ}\text{OH}$ ,  $\text{Fe(IV)O}^{2+}$  (= Ferryl),  $^{\circ}\text{Cl}$ ,  $^{\circ}\text{SO}_4$ ,  
449 organic peroxides and quinones may appear (Barbusiński, 2009).  
450 According to Barbusinsky et al. the primary reaction intermediate from  $\text{Fe}^{2+}$  and  $\text{H}_2\text{O}_2$  is the  
451 adduct  $\{\text{Fe(II)H}_2\text{O}_2\}^{2+}$  which is transformed into the ferryl complex  $\{\text{Fe(IV)(OH)}_2\}^{2+}$ . The latter  
452 stabilizes as  $\{\text{Fe(IV)O}\}^{2+} + \text{H}_2\text{O}$ . Reductants may also react directly with  $\{\text{Fe(IV)O}\}^{2+}$  or after  
453 its decomposition to  $\text{Fe}^{3+} + ^{\circ}\text{OH} + \text{OH}^-$  by  $^{\circ}\text{OH}$ .  $\text{Fe}^{3+}$  reacts with  $\text{H}_2\text{O}_2$  to  $\text{Fe}^{2+}$  via  $^{\circ}\text{O}_2\text{H}$   
454 development; the latter decays into  $\text{O}_2 + \text{H}_2\text{O}$ .  
455 Light enhances the Fenton reaction effectiveness. It reduces  $\text{Fe}^{3+}$  to  $\text{Fe}^{2+}$  by photolysis  
456 inducing  $^{\circ}\text{OH}$  or  $^{\circ}\text{Cl}$  generation, the latter in the case of available  $\text{Cl}^-$ , which reduces the  $\text{H}_2\text{O}_2$   
457 demand (Machulek Jr et al., 2009; Southworth and Voelker, 2003).  
458 This process is illustrated by figure 3.  
459



460  
461 **Figure 3.** Schematic representation of the cooling of the troposphere, by inducing the  
462 decrease of ozone and organic aerosol particles like soot and smoke.  
463  
464 The Fenton reaction mechanism is dependent on pH and on the kinds of ligands bound to  
465 the Fenton metal. The reaction mechanism or oxidants of  $\text{SO}_4^{2-}$ ,  $\text{NO}_3^-$ ,  $\text{Cl}^-$  and 1,2-dihydroxy  
466 benzene ligands had been studied (De Laat et al., 2004).



467 In biological systems, 1,2-dihydroxy benzenes (catecholamines) regulate the Fenton reaction  
468 and orient it toward different reaction pathways (Salgado et al., 2013).  
469 Additionally, the fractal reaction environments like surface rich black and brown carbons and  
470 ISA are of considerable influence on the Fenton reaction. By expanding the aqueous  
471 interface, accelerations of the reaction velocity up to three orders of magnitude had been  
472 measured (Enami et al., 2014). This may be one of the reasons why iron-containing solid  
473 surfaces made of fractal iron oxides, pyrite, activated carbon, graphite, carbon nanotubes,  
474 vermiculite, pillared clays, zeolites have been tested as efficient Fenton reagents (Pignatello  
475 et al., 2006; Pinto et al., 2012; Teixeira et al., 2012).  
476 Even the oxidation power of artificial Fenton and photo-Fenton systems is known to be high  
477 enough to hydroxylate aliphatic C-H bonds, inclusive CH<sub>4</sub> hydroxylation to methanol  
478 (Gopakumar et al., 2011; Hammond et al., 2012; Yoshizawa et al., 2000).  
479 But the HULIS itself becomes depleted by the Fenton oxidation when it remains as the only  
480 reductant (Salgado et al., 2013).  
481 Like HULIS or humic substances, the different kinds of black carbons act as red-ox  
482 mediators because of their oxygen functionalities bound to the aromatic hexagon network  
483 like hydroxyl, carbonyl, and ether (Klöpffel et al., 2014; Oh and Chiu, 2009). These  
484 functionalities act similarly as hydroquinone, quinone, aromatic ether, pyrylium and pyrone at  
485 the extended graphene planes as electron acceptor and donor moieties. Soot also  
486 possesses such red-ox mediator groups (Drushel and Hallum, 1958; Studebaker et al.,  
487 1956). Again these are ligands with well-known binding activity on iron compounds. Their  
488 difference to the HULIS ligands is that they are attached to stacks of aromatic graphene  
489 hexagon networks instead of mono- or oligo-cyclic aromatic hexagons of HULIS. As well as  
490 the HULIS red-ox mediator ligands these hydroxyl and ketone groups transfer electrons from  
491 oxidants to reductants and vice versa. Like the HULIS – iron couple, the black carbon - iron  
492 couple enhances the red-ox mediation above the levels of every individual electron shuttle  
493 (Kim et al., 2013; Lima et al., 2013; Wang et al., 2014b). Accordingly, any ISA doping of  
494 black carbons generates effective oxidation catalysts (Oeste, 1977; Song et al., 2015).  
495 Lit by sunlight the ISA doped soot represents an oxidation catalyst to adsorbed organics  
496 producing its own oxidants by the photo-Fenton reaction. In spite of the higher chemical  
497 stability of the graphene network of soot compared to HULIS soot, by wet oxidation further  
498 oxygen groups are fixed to the soot graphene stacks (Moreno-Castilla et al., 2000)  
499 increasing soot's hydrophilic property, which is necessary to arrange its rain-out. The  
500 hydroxyl radical attack resulting from the photo Fenton reaction at last breaks the graphene  
501 network into parts (Bai et al., 2014; Zhou et al., 2012). Photo-Fenton is much more efficient  
502 in °OH generation than Fenton, because Fe(III) reduction as regeneration step occurs by  
503 Fe(III) photo reduction, rather than consuming an organic reductant.



504 The oxidized hydrophilic carbon particles are more readily washed out of the atmosphere by  
505 precipitation (Zuberi et al., 2005). ISA accelerates this oxidation process because the iron-  
506 induced Fenton and photo-Fenton reaction cycles produce hydroxyl and chlorine radical  
507 oxidants, speeding up the soot oxidation.

508 Fe(III) forms colored complexes with hydroxyl and carboxylic hydroxyl groups too, particularly  
509 if two of them are in 1,2 or 1,3 position, like oxalic acid. The latter belong to the group of  
510 dicarboxylic acids known to be formed as oxidation products from all kind of volatile,  
511 dissolved or particular organic carbons in the atmosphere (Kawamura et al., 2003).  
512 Dicarboxylate complexes with iron are of outstanding sensitivity to destruction by  
513 photolysation (Eder, 1880, 1906; Weller et al., 2014; Zhu et al., 1993): photolysis reduces  
514 Fe(III) to Fe(II) by producing  $H_2O_2$  and oxidation of the organic complex compounds. Then  
515 Fe(II) is re-oxidized to Fe(III) by  $H_2O_2$  in the Fenton reaction by generation of  $^{\circ}OH$   
516 (Cunningham et al., 1988). According to their elevated polarity oxidation products containing  
517 hydroxyl and carboxyl groups have increased wettability, are more water soluble and are  
518 thus rapidly washed out from the atmosphere.

519 Because of their elevated reactivity compared to  $CH_4$  the gas phase oxidation of airborne  
520 organic compounds by ISA-generated  $^{\circ}OH$  or  $^{\circ}Cl$  is enhanced. By eliminating black and  
521 brown carbon aerosols, ISA contributes to global warming reduction and to decreasing polar  
522 ice melting by surface albedo reduction caused by black-carbon snow contamination  
523 (Flanner et al., 2007; Ramanathan and Carmichael, 2008).

524 The generation of ISA by combusting fuel oil with ferrocene or other oil soluble iron additives  
525 in ship engines or heating oil burners has additional positive effects, because soot becomes  
526 catalytically flame-oxidized in the presence of flame-borne ISA (detailed in chapter 6) as a  
527 combustion product of the iron additive (Kasper et al., 1998; Weiser et al.).

528

#### 529 **2.4. Tropospheric Ozone depletion by ISA**

530 An additional GHG is the tropospheric ozone (Jacobson, 2002). Since long,  $^{\circ}Cl$  and  $^{\circ}Br$  are  
531 known as a catalysts for ozone destruction in the Stratosphere (Crutzen and Oppenheimer,  
532 2008). Investigations both in laboratory and nature have shown that  $^{\circ}Br$  is a much more  
533 active catalyst of ozone depletion within the troposphere than  $^{\circ}Cl$  (Le Bras and Platt, 1995;  
534 Liao et al., 2014; Wayne et al., 1995).

535 The ISA-induced increase of  $^{\circ}Br$  concentration at sea-salt containing tropospheric conditions  
536 has been confirmed (Wittmer et al., 2015a). This establishes ISA as part of an ozone-  
537 depleting reaction cycle and additional cooling stage. This depletion effect of the GHG  
538 tropospheric ozone is worth noting.

539 As discussed at the end of chapter 2.6 clear evidence exists, that the ozone depleting  
540 “bromine explosions” known as regular phenomenon developing from cost-near snow layers



541 at sunrise in the polar spring (Blechs Schmidt et al., 2016; Pratt et al., 2013) are likely to be  
542 induced by the photolysed precipitation of iron containing dust. According to Pratt bromide  
543 enriched brines covering acidified snow particles become oxidized by photolysis to  $^{\circ}\text{Br}$ .

544

#### 545 **2.5. ISA induced phytoplankton fertilization albedo increase (by enhancing DMS-** 546 **emissions) and $\text{CH}_4$ oxidation efficiency (by increasing MC- and DMS-emissions)**

547 One of the largest reservoirs of gas-phase chlorine is the about 5 Tg of methyl chloride (MC)  
548 in the Earth's atmosphere (Khalil and Rasmussen, 1999). Methyl-chloride is released from  
549 phytoplankton (Hu et al., 2013) and from coastal forests, terrestrial plants and fungi (Khalil et  
550 al., 1999).

551 Dimethylsulphide (DMS) is a volatile sulphur compound that plays an important role in the  
552 global sulphur cycle. Through the emission of atmospheric aerosols, DMS may control  
553 climate by influencing cloud albedo (Charlson et al., 1987).

554 Currently, researchers (Lana et al., 2011) estimate that 28.1 (17.6–34.4) Tg of sulphur in the  
555 form of DMS are transferred annually from the oceans into the atmosphere.

556 Ocean acidification has the potential to exacerbate anthropogenic warming through reduced  
557 DMS emissions (Six et al., 2013). On the contrary, increased emissions of DMS and MC into  
558 the troposphere are a consequence of the ISA-induced phytoplankton growth and DMS + MC  
559 release into the troposphere. DMS is oxidized in the troposphere to sulphuric and sulphonic  
560 acid aerosols, which are highly active CCN. This process enhances the direct ISA cooling  
561 effect according to cooling section 2.1 (Charlson et al., 1987).

562 In contact with this acidic aerosol with sea spray aerosol, sulphate and sulphonate aerosols  
563 are formed and gaseous HCl is produced. Sulphate aerosols are known to have a negative  
564 radiative forcing (a cooling effect) (Crutzen, 2006).

565 A further HCl source is the oxidation of MC. Both effects induce the tropospheric HCl level to  
566 rise. According to cooling stage described in section 2.2, with the risen HCl level, additional  
567 chlorine atoms are produced by reaction with ISA. This effect further accelerates the  
568 methane oxidation and its removal from the atmosphere, reducing its radiative forcing.

569

#### 570 **2.6. Oxidation of $\text{CH}_4$ and further GHGs by sunlit solid surfaces**

571 Mineral aerosol particles adhere strongly to sunlit, dry and solid surfaces of rocks and stones.  
572 A well-known remnant of the dust deposit in rock or stone deserts and rocky semi-arid  
573 regions is the orange, brown, red or black colored "Desert Varnish" coat covering stones and  
574 rocks. The hard desert varnish is the glued together and hardened residue of the primary  
575 dust deposit. Daily sun radiation and humidity change, as well as microbe and fungi influence  
576 grows up the varnish changing the primary aerosol deposit (Perry et al., 2005) by photolytic  
577 Fe(III) and Mn(IV) reduction during daytime and night time oxidation of Fe(II) and Mn(II). The



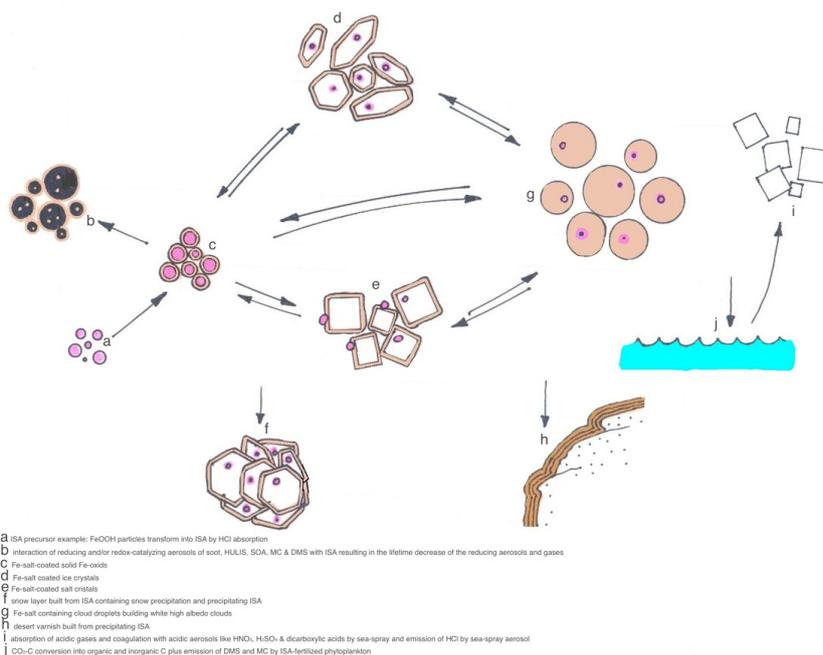
578 oxidation is triggered further by Mn and Fe oxidizing microbes adapted to this habitat (Allen  
579 et al., 2001; Hungate et al., 1987). Desert varnish preserves the Fe and Mn photo reduction  
580 ability of the aerosol: lit by light the varnish can produce chlorine from chloride containing  
581 solutions (Johnson and Eggleston, 2013). The photo, humidity, and microbial induced  
582 permanent Fe and Mn valence change between night and day (Matsunaga et al., 1995)  
583 accompanied by adequate solubility changes seem to trigger the physico-chemical hardening  
584 of every new varnish layer.

585 The varnish is composed of microscopic laminations of Fe and Mn oxides. Fe plus Mn  
586 represent about 1/5 of the varnish. Meanwhile 4/5 of the laminations are composed of SiO<sub>2</sub>,  
587 clay and former dust particles. Dominant mineral is SiO<sub>2</sub> and/or clay (Dorn, 2009; Liu and  
588 Dorn, 1996). There is little doubt that desert varnish can build up even from pure iron oxides  
589 or iron chloride aerosol deposits like ISA. The optimum pH to photo-generate the methane  
590 oxidizing chlorine atoms from ISA is pH 2 (Wittmer et al., 2015a). Established by the gaseous  
591 HCl content of the troposphere (Graedel and Keene, 1996), a pH drop to pH 2 at the varnish  
592 surface is possible on neutral alkaline-free surfaces like quartz, quartzite and sandstone. The  
593 humidity controlled mechanism acting between gaseous HCl and HCl dissolved in the liquid  
594 water layer absorbed on the solid iron oxide surface of ISA particles as explained in the  
595 section 2.2 acts at the varnish surface analogue: a FeCl<sub>3</sub> stock can pile up by Fe(II) oxidation  
596 and humidity-triggered HCl absorption during night time. The FeCl<sub>3</sub> stock at the varnish  
597 surface is consumed during daytime by photolytic Fe(II) and chlorine atom generation.

598 ISA aerosol particles emit HCl during dry conditions. Like oxidic ISA desert varnish absorbs  
599 H<sub>2</sub>O and HCl from the atmosphere gathering it during night time as surface-bound H<sub>2</sub>O, OH<sup>-</sup>,  
600 and Cl<sup>-</sup> coat. During sunlit day time, chloride and water desorbs from Fe(III) as °Cl, °OH and  
601 H<sub>2</sub>O, leaving Fe(II) in the varnish surface. The surface Fe(II) (and Mn(II)) is bound by oxygen  
602 bridges to the varnish bulk of Fe(III) (and Mn(IV)); may be like the combination of Fe(II) and  
603 Fe(III) within magnetite. During night time the Fe(III) (and Mn(IV)) surface coat is regenerated  
604 by microbial and/or abiotic oxidation with O<sub>2</sub>. It is worth mentioning, that desert varnish can  
605 exist only within dry regions.

606 Figure 4 illustrates the interactions of ISA at the phase borders of tropospheric aerosols,  
607 ocean surface, and dry solid surfaces.

608



609

610 **Figure 4.** Schematic representation of iron salt aerosols interactions with different solid  
 611 surfaces:

612 a,b ISA particles composed of FeOOH or FeOOH, H<sub>2</sub>O, and chloride

613 c Interaction of reducing and/or red-ox-catalyzing aerosols of soot, HULIS, SOA, MC and  
 614 DMS with ISA resulting in the lifetime decrease of the reducing aerosols, vapors and gases

615 d ISA-coated ice crystals

616 e ISA-coated salt crystals

617 f snow layer build from ISA containing snow and/or ISA precipitate

618 g ISA containing cloud or fog droplets

619 h desert varnish build from precipitating ISA

620 i precipitating ISA at the ocean surface accelerate the CO<sub>2</sub>-C conversion into organic and  
 621 carbonate C plus emission of DMS, MC and SOA by fertilization of the phytoplankton

622 j the ISA induced DMS, MC and SOA production increase is responsible for an additional  
 623 production of H<sub>2</sub>SO<sub>4</sub>, sulphonic acids and dicarboxylic acids containing aerosol and gaseous  
 624 HCl. By reaction with sea-spray the acid aerosols produce additional gaseous HCl enhancing  
 625 the further activation of ISA

626

627 Similar daytime dependent microbial activated abiotic photo-reduction and photo-oxidation  
 628 reaction cycles are known from aquifer environments (Gammons et al., 2007). Thus the  
 629 methane depletion of the former ISA deposits will persist even after change into desert



630 varnish. As explained chapter 2.2 continental HCl (300 pptv above the oceans and 100 pptv  
631 above the continents) (Graedel and Keene, 1996), ClNO<sub>2</sub> (up to 1500 pptv near flue gas  
632 emitters) (Osthoff et al., 2008; Riedel et al., 2014) and CH<sub>3</sub>Cl (550 pptv remote from urban  
633 sources) (Khalil and Rasmussen, 1999; Yokouchi et al., 2000) and in deserts chloride salt  
634 containing dusts are direct and indirect sources of chloride which could provide desert  
635 varnishes with Cl<sup>-</sup>.

636 Furthermore, analogue to ISA deposited on solid desert surfaces even ISA depositions on  
637 dry snow, snow cover and ice occurring in permanent snow-covered Mountain regions or  
638 within polar and neighboring regions shall preserve its methane destruction activity during  
639 sunlit day, spring, and summer times (Liao et al., 2014).

640 The global area of the desert varnish surface does not change with changing dust  
641 precipitation rates. It only depends on the precipitation frequency. It grows through  
642 desertification and shrinks with increasing wet climate. Until now quantitative measurements  
643 about the specific amount of methane depletion per square meter of desert varnish are not  
644 known. Without these data, estimation about its influence on the methane depletion and  
645 climate is impossible.

646 The photochemical actions inducing CH<sub>4</sub> depletion of the desert varnish surfaces resulting  
647 from dust precipitation are concurrent with the surfaces of deserts and semi deserts made of  
648 sand or laterite soils. Their surface is colored by ochre to red iron oxide pigments. Their iron  
649 components should act in principle by the same CH<sub>4</sub> depleting photochemistry like ISA and  
650 desert varnish.

651 As mentioned in chapter 2.4 the Cl and Br activation by iron photolysis changes after division  
652 of the ingredients by freezing or drying of the former homogenous liquid between solid salt-  
653 poor ice and liquid brine coat or solid salt and liquid brine coat. This inhomogeneous partition  
654 phenomenon of the predominant transformation of aerosol droplets into solid and vice versa  
655 applies even to snow or salt layers containing a proportion of ISA.

656 It has been shown that even cooling precipitation of the buffering influence of salts like  
657 carbonates, sulphates and chlorides of bromide and chloride rich mother liquors on arctic  
658 snow packs or ice particles can minimize their buffering capacity against pH change (Bartels-  
659 Rausch et al., 2014; Blechschmidt et al., 2016; Sander et al., 2006). Similar mechanisms  
660 may act when liquid aerosol particles become solid by drying.

661 Then the uptake and contact of solid iron-bearing particles and airborne organic and  
662 inorganic acids and acid precursors on or with ice crystal surfaces over time may drop the pH  
663 of the former alkaline particle surface into the reaction conditions of the bromide oxidation by  
664 iron(III) photo reduction.

665 According to Kim et al. (Kim et al., 2010) the photogeneration of Fe(III) oxides, proceeding  
666 slow at pH 3.5 in bulk solution, becomes significant accelerated in polycrystalline arctic ice.



667 This effect is accompanied by an acceleration of the physical dissolution of the Fe(III)oxides  
668 by freezing ice (Jeong et al., 2012; Kim et al., 2010).

669 The contact of arctic snow layers with iron oxides is confirmed by Kim (Kim et al., 2010).  
670 Dorfman (Dorfman et al., 2015) found recent loess dust sedimentation rates in the Alaskan  
671 Arctic Burial Lake of 0.15 mm/a. According to the research results from artificial iron doped  
672 salt pans (Wittmer et al., 2015b), iron salt doped sea-salt aerosols (Wittmer et al., 2015a),  
673 sea-salt doped iron oxide aerosols or pure iron oxide aerosols in contact with gaseous HCl  
674 (Wittmer and Zetzsch, 2016), chloride and bromide in sun-lit surfaces become oxidized to °Cl  
675 and °Br by photo-reduced Fe(III) if the pH of the reaction media is 3.5 or lower.

676 As known from the bromine explosions they appear on acidified first-year tundra and first-  
677 year sea ice snow lit by sunlight (Pratt et al., 2013). According to Kim et al. and Dorfman et  
678 al. the year-old snow layers contain even Iron(III). This confirms, that sufficient reaction  
679 conditions exist to produce bromine explosions by oxidation of Iron(III) photoreduction.

680 Continents have considerable areas where the outflowing water is drained into “endorheic”  
681 water bodies and not into the oceans. Endorheic lakes have no outlets other than  
682 evaporation and thus dissolved salts and nutrients concentrate over time. Large surfaces of  
683 these basins are covered by salt crusts, salt marshes, salty soils, or salt lakes. Most of these  
684 areas are situated within desert or semi-desert areas (Hammer, 1986). These salt  
685 environments gain iron from precipitating dust or even from iron containing brines they have  
686 precipitated from. As far as these environments become acidic they oxidize methane by iron  
687 photolysis induced °Cl (Wittmer et al., 2015b).

688

### 689 3. Oceanic natural cooling effects of the iron cycle

#### 690 3.1. Biotic CO<sub>2</sub> conversion into organic and carbonate carbon

691 Vegetation uses the oxidative power of organic metal compounds induced by photon  
692 absorption oxidizing water to oxygen and reducing CO<sub>2</sub> by organic carbon generation  
693 (photosynthesis by chlorophyll, a green Mg-Porphyrin complex). This assimilation process is  
694 retarded by prevailing iron deficiency in the oceans which retards the phytoplankton growth.

695 Meanwhile there is no doubt that ISA-containing dust precipitation fertilizes the phytoplankton  
696 which in turn affects the climate (Albani et al., 2016).

697 ISA triggers the phytoplankton reproduction and increases the formation of organic carbon  
698 from the GHG CO<sub>2</sub> (Martínez-García et al., 2014). The vast majority of the oxygen thus  
699 formed and only slightly water soluble (11 mg O<sub>2</sub> / l) escapes into the atmosphere. In  
700 contrast, the formed organic carbon remains completely in the ocean forming the basis of the  
701 marine food and debris chain.

702 From the primary produced phytoplankton carbon only a small fraction arrives at the ocean

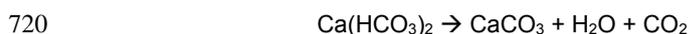


703 bottom as organic debris and becomes part of the sediment. Cartapanis et al. (Cartapanis et  
704 al., 2016) and Jaccard et al. (Jaccard et al., 2016) found direct evidence that during the  
705 glacial maxima, the accumulation rate of organic carbon was consistently higher (50 %) than  
706 during inter-glacials. This resulted from the high dust concentrations during the glacial  
707 maxima fertilizing the phytoplankton with ISA.

708 The build-up of Ca-carbonate shell and frame substances by the calcification process at the  
709 ocean surface extracts additional CO<sub>2</sub>-C from the troposphere. The bulk of calcification can  
710 be attributed to corals, foraminifera and coccolithofores; the latter are believed to contribute  
711 up to half of current oceanic CaCO<sub>3</sub> production (Mackinder et al., 2010).

712 Both carbon fixation processes increase the removal of the GHG CO<sub>2</sub> and thus contribute to  
713 cool the troposphere. The Fe-fertilizing process worked during the ice age, as the  
714 evaluations of Antarctic ice cores show: the minimum CO<sub>2</sub> concentrations and temperatures  
715 in the troposphere are connected to the high dust phases (Skinner, 2008).

716 It has been discussed that the alkalinity loss by phytoplankton calcification and CaCO<sub>3</sub> loss  
717 with phytoplankton debris from the ocean surface is said to produce calcium and alkalinity  
718 deficit at the ocean surface (Meyer and Riebesell, 2015; Rost and Riebesell, 2004) producing  
719 additional acidification at the ocean surface by CO<sub>2</sub> generation:



721 At least in part this acidification is compensated by assimilative generation of organic carbon  
722 by CO<sub>2</sub> consumption. Both organic debris and CaCO<sub>3</sub> become part of the ocean sediment.  
723 But if the organic debris is re-oxidized during its journey downwards, some acidification could  
724 result. Acidification could result too if more CO<sub>2</sub> is absorbed by the ocean, then is assimilated  
725 and changed to organic debris. According to the ISA-induced phytoplankton productivity,  
726 both, sedimentation of organic debris and CaCO<sub>3</sub>, increase.

727 The increasing amount of CaCO<sub>3</sub> sedimentation within iron fertilized ocean regions had been  
728 discussed by Salter (Salter et al., 2014). In a sufficient mixed ocean, alkalinity loss at the  
729 surface is more than compensated by the different sources of alkali and earth alkali cations  
730 at the ocean bottom and through continental weathering: in the first place these are the  
731 mechanisms of alkalinity generated by the ocean water reactions within the ocean sediments  
732 and their bed-rock, the oceanic crust. The latter mechanisms are described in more detail in  
733 chapters 4.1 – 4.3. The convection of the primary oxic ocean bottom water through the ocean  
734 crust generates alkalinity by reduction of sulphate, nitrate and hydrogen carbonate, by  
735 dissolution of silicates by with reduced humic acids and further by serpentinization of basalt  
736 and peridotite silicates (Alt and Shanks, 2003; Früh - Green et al., 2004). The alkalinity  
737 extracted from the oceanic crust keeps mainly positioned in the dark water layers of the  
738 ocean basins if the decreased THC is not able to elevate the alkaline extract into the  
739 phytoplankton layer in sufficient quantities.



740 The THC activation by the ISA method is described in the chapters 4.1 – 4.3.  
741 When any time lag induced Ca level decreases by sudden ISA-induced phytoplankton  
742 growth the increase does no harm to the phytoplankton because calcium is not essential to  
743 phytoplankton. Just the opposite is true: phytoplankton uses the calcification as a  
744 detoxification measure to get rid of calcium ions from their bodies (Müller et al., 2015). As a  
745 consequence of this effect only the relation between Ca carbonate sequestration and organic  
746 carbon sequestration will decrease during the time lag.  
747 By additional direct alkalinity production of the phytoplankton itself at least parts of the acidity  
748 production by the lime shell production may be compensated: ISA-controlled phytoplankton  
749 growth induce synthesis increase of organic sulphur and of chlorine compounds (Matrai and  
750 Keller, 1994) , emitted as dimethylsulphide (DMS) and methyl chloride (MC) (Carpenter et al.,  
751 2012). Synthesis of organic sulphur and halogen organics as precursors of the volatile DMS  
752 and MC emission is realized by the phytoplankton by reduction of sulphate to organic  
753 sulphides and oxidation of chloride to carbon chlorine compounds. This precursor synthesis  
754 excretes equivalent  $\text{Na}^+$  and/or  $\text{Ca}^{2+}$  alkalinity, because  $\text{Na}_2\text{SO}_4$  reduction/formation to DMS  
755 generates Na alkalinity; NaCl oxidation/formation to MC generates Na alkalinity too: cations  
756 former bound to  $\text{SO}_4^{2-}$  or  $\text{Cl}^-$  lost their anions producing alkalinity. According to (Chen et al.,  
757 1996; Fujita, 1971) the sulphur content of phytoplankton exclusively exceeds the  $\text{Ca}^{2+}$ ,  $\text{Mg}^{2+}$ ,  
758 and  $\text{K}^+$  alkaline load of phytoplankton lost with the phytoplankton debris. Only half of the  
759 organic carbon assimilated by phytoplankton derives from dissolved  $\text{CO}_2$ . The other half  
760 derives from the ocean water  $\text{NaHCO}_3$  anion content (Cassar et al., 2004). The chemical  
761 reduction (reduction of  $\text{HCO}_3^-$  to organic C +  $\text{O}_2$  by assimilation of  $\text{HCO}_3^-$  anions produces  
762 alkalinity as further compensation of the alkalinity loss by calcification.  $\text{NaHCO}_3$   
763 reduction/formation to organic carbon generates Na alkalinity. The cation previously bound to  
764  $\text{HCO}_3^-$  loses its anion and produces alkalinity.  
765 These considerations demonstrate that any of the proposed enhanced weathering measures  
766 to prevent ocean acidification by increasing the alkalinity (Taylor et al., 2015) might be not  
767 necessary if the ISA method is in action and keeps the vertical ocean mixture sufficiently  
768 active.  
769 During the down-dripping of the very fine-shaped phytoplankton debris bacterial oxidation,  
770 fish and further food chain links minimize the organic debris up to an order of magnitude  
771 (Weber et al., 2016). Even the solution of the small carbonate debris reduces the carbonate  
772 fraction until arriving at the sediment surface. In order to maximize the effect of the ISA  
773 method within the main ISA precipitation regions the oxidation and dissolution of the organic  
774 and carbonate phytoplankton debris during its dripping down through the ocean water  
775 column can be reduced. To meet this aim we suggest farming fixed filter feeders like mussels  
776 and oysters within the ISA precipitation region.



777 Mussels and oysters produce faeces and so called “Pseudo-faeces” in the shape of rather  
778 solid pellets. Compared to the time of sedimentation of the unconditioned phytoplankton  
779 debris this expands the sedimentation time difference between excreted filter feeder faeces  
780 and the phytoplankton faeces pellets sedimentation on the ocean floor by order of  
781 magnitude. Bivalve farming would reduce the oxidative and solution loss of phytoplankton  
782 debris attack significantly. Mussel and oyster farming are well-known practices which have  
783 been employed for long time as a measure to produce protein rich food. They have even  
784 been proposed as an element of climate engineering (Dimitrova et al., 2015; Lenton and Sen  
785 Gupta, 2010).

786 To further optimize the CO<sub>2</sub>-C conversion to sediment-bound C the biomass of oysters and  
787 mussels including their shells and fixing systems might be periodically dumped into the  
788 sediment.

789 Additional floating supports such as coral habitats, sponges, sea lilies and sea anemones  
790 between the mussel supports might complete and again optimize the ISA precipitation areas.  
791 The oceanic water deserts may become changed into productive ecosystems and protein  
792 sources for an increasing population by these measures, among others, for an optimized  
793 CO<sub>2</sub> fixation induced by ISA.

794 A further proposal in order to maximize the CO<sub>2</sub> fixation induced by ISA is our suggestion to  
795 integrate the solution of the waste problem on the ocean surfaces into the ISA method. About  
796 5 to 13 million metric tons of solid plastic waste per year are entering the oceans (Jambeck  
797 et al., 2015). Over the last years the plastic waste drifting on the ocean has developed into a  
798 huge problem for the oceanic ecosystems (Law et al., 2014). Even plastic keeps sunlight  
799 away from phytoplankton hampering it from effective growth. The plastic waste drifts with the  
800 ocean currents. It then collects within accumulation zones predicted by a global surface  
801 circulation model (Cózar et al., 2014). Most plastic-covered ocean surfaces are concentrated  
802 in central-oceanic regions with low iron content with predestination for applying the ISA  
803 method. Because of the trash there would be a reduction in the ISA efficiency so we propose  
804 the integration of the plastic depletion problem into our ISA method: On both the side of and  
805 outside a container ship vessel specific technology can be installed: plastic trash collection,  
806 plastic trash sorting, plastic trash extrusion, plastic trash burning, ISA production and  
807 emission. The aforementioned processes are well known and need no description here.  
808 Trash or waste burning has the advantages of delivering an effective hot carrier gas with high  
809 buoyancy for uplift of ISA and of delivering HCl as co-catalyst of ISA. With the plastic  
810 extruder most carrier parts of floating supports on the reef coral, sponge, and mussel habitats  
811 could be produced.

812 Beside the larger plastic fragments, the floating plastic fine debris with particle diameters in  
813 the μm range is a further problem (van Sebille et al., 2015). Instead of doing the micro-trash



814 separation by technical means, the mussel and oyster farming may clean away this ocean  
815 surface environmental problem. The floating micro-trash particles are collected by the  
816 bivalves and excreted as pseudo-faeces pellets and at last become part of the sediment  
817 layer at the ocean bottom.

818 Within the iron cycle, the photolytic driven oxidant production with iron participation may not  
819 be reduced to  $^{\circ}\text{Cl}$  and  $^{\circ}\text{OH}$  in the troposphere and  $\text{O}_2$  by assimilation: When iron is cycled  
820 through the mantle at temperatures above 2500 K, Fe(III) becomes reduced to Fe(II) by  
821 release of  $\text{O}_2$  (Bykova et al., 2016). This phenomenon may be driven even by the blackbody  
822 radiation containing a great fraction of photons with wave length shorter than  $2\ \mu\text{m}$  at and  
823 above this temperature level.

824

### 825 **3.2. ISA activates the $\text{O}_2$ input to the deep ocean**

826 Ocean ecosystems are based on certain balances between oxidizing and reducing agents.  
827 As a result of the ISA-triggered additional input of organic carbon in the ISA emission region  
828 (i.e. the ISA precipitation region), as described in chapter 3.1, oxygen consumption by  
829 increasing organic debris precipitation could increase. The recent oxygen decline in some  
830 oceanic regions may result at least in part from the deposition of soluble iron deriving from  
831 flue gas pollution. Equally discussed in chapter 3.1 is the decrease of the oxidation efficiency  
832 within the water column by measures to increase the sinking velocity of the organic  
833 containing debris, this effect of ISA might compensate completely.

834 Recently and without ISA influence, oxygen deficiency seems to develop in many parts of the  
835 ocean as described in the introduction. Oxygen deficiency is usually due to insufficient  
836 vertical water exchange owing to increased vertical density gradient rather than the result of  
837 increased phytoplankton production.

838 Oxygen deficiency (hypoxia) is found frequently between the oxic surface layer (the  
839 oxygenated one) and the oxic deep water layer (Bruland, 2006; Capone and Hutchins, 2013).  
840 Due to the climate warming the localities with a lack of oxygen seem to intensify and expand  
841 already today (Kalvelage et al., 2013).

842 The deepest water layer of most ocean basins results from the Antarctic wintertime **ocean**  
843 surface ice generation by fractionating sea water into salt-poor sea ice and salt-rich dense  
844 brine. This results in the production of cold high density oxic brines which sink to the bottom  
845 of the south ocean. The cold high density oxic brines spread as a thin oxic bottom layer up to  
846 the ocean basins north of the equator. The most recent severe climate warming which  
847 induced disturbance of the THC is likely to have been activated by the increasing inflow of  
848 the soft and cold melt water from Greenland into the North Atlantic. This inflow disturbs the  
849 down flow of the Gulf Stream water (Rahmstorf et al., 2015). According to the increased melt  
850 even of Antarctic glaciers the ocean surface around Antarctica became decreased in its



851 salt content. This effect increased the ocean surface covered by sea ice (Bintanja et al.,  
852 2013). This freezing of the salt-poor melt water layer decreases the production of dense  
853 brines. This again decreases the down flow of brine reducing again the vertical components  
854 of the ocean currents.

855 Through the ISA induced cooling, the oxygen and CO<sub>2</sub> flux into the deep ocean basins will be  
856 restored because of the input of the cold dense oxygen and CO<sub>2</sub> enriched polar surface  
857 water: Reduced melt water production of the Greenlandic and Antarctic ice shields by falling  
858 surface layer temperatures will restore and intensify the thermohaline circulation within the  
859 northern polar regions by increasing the amount of Gulf Stream dumped and by producing  
860 the circum Antarctic sea ice cover without melt water dilution, which induces the production of  
861 cold high density brines sinking to the ocean basin bottoms (Ohshima et al., 2013;  
862 Rahmstorf, 2006).

863

### 864 **3.3. Phytoplankton fertilizer extraction from ocean sediments and underlying** 865 **crust**

866 The oceanic crust is composed of peridotites, basalts and serpentine rock and has a layer of  
867 sediment on top. Sediments and bed rock contain reductive and alkaline components  
868 extractable by sea water. The sea water circling through these rocks loses oxygen, sulphate,  
869 nitrate and even hydrogen carbonate by reduction and precipitation and becomes enriched  
870 with methane and further reductants (Evans, 2008; Janecky and Seyfried, 1986; Kelemen et  
871 al., 2011; Müntener, 2010; Oelkers et al., 2008; Sanna et al., 2014; Schrenk et al., 2013;  
872 Sissmann et al., 2014). The cause of the ocean water flow through the sediment layer and  
873 base rock is the temperature difference driven convection. Sediment compaction by gravity,  
874 subduction-induced compaction and subduction-induced hydroxyl mineral dehydration may  
875 be further reasons for water movement through the sediment layer at the ocean bottom.

876 Olivine is one of the main mineral components of oceanic crust rock layers below the  
877 sediment layer. Hauck (Hauck et al., 2016) simulated the effects of the annual dissolution of  
878 3 Gt olivine as a geoengineering climate cooling measure in the open ocean, with uniform  
879 distribution of bicarbonate, silicic acid and iron produced by the olivine dissolution. An  
880 additional aim of this work was the development of a neutralization measure against the  
881 increasing acidification of sea water. All the components of olivine, SiO<sub>2</sub>, Fe(II) and Mg are  
882 phytoplankton fertilizers. They calculated that the iron-induced CO<sub>2</sub> removal saturates at on  
883 average ~1.1 PgC yr<sup>-1</sup> for an iron input rate of 2.3 Tg Fe yr<sup>-1</sup> (1% of the iron contained in 3  
884 Pg olivine), while CO<sub>2</sub> sequestered by alkalinisation is estimated to ~1.1 PgC yr<sup>-1</sup> and the  
885 effect of silicic acid represents a CO<sub>2</sub> removal of ~0.18 PgC yr<sup>-1</sup>. These data represent the  
886 enormous potential of the ocean crust rock as source of phytoplankton fertilizer.



887 The flow of sea water through anoxic sediments and bed rock results in the reduction of its  
888  $\text{SO}_4^{2-}$  content as well as extraction of the soluble fraction from the sediment like Mn(II), Fe(II),  
889  $\text{NH}_4^+$  and  $\text{PO}_4^{3-}$ . The chemical and physical extraction processes are enhanced by the action  
890 of microbial attack at the border lines between oxic sea water and anoxic sediment parts  
891 within this huge aqueous system.

892 At suboxic conditions soluble Fe(II) and Mn(II) have optimum solubility or may be fixed as  
893 solid  $\text{Fe(II)}_3(\text{PO}_4)_2$ ,  $\text{FeCO}_3$ ,  $\text{MnCO}_3$ ,  $\text{FeS}_2$ ,  $\text{S}^0$  and further Fe-S compounds (Ohman et al.,  
894 1991; Roden and Edmonds, 1997; Slomp et al., 2013; Swanson, 1988; Wallmann et al.,  
895 2008).

896 Silicon is mobilized too, from the dissolution of silicates and  $\text{SiO}_2$  at methanogenic conditions  
897 by complexation with reduced humic acid (HA) (Vorhies and Gaines, 2009; Wallmann et al.,  
898 2008). In the reduced conditions HA is characterized by catechol and further polyphenolic  
899 functions, which allows HA to complex with silicon (Belton et al., 2010; Demadis et al., 2011;  
900 Jorgensen, 1976) and with further metal cations.

901 Silicate dissolution mobilized  $\text{Ca}^{2+}$ ,  $\text{Mg}^{2+}$ ,  $\text{Ba}^{2+}$ ,  $\text{Fe}^{2+}$ ,  $\text{Na}^+$ ,  $\text{K}^+$ .  $\text{Fe}^{2+}$ ,  $\text{Mn}^{2+}$  and  $\text{PO}_4^{3-}$  become  
902 more or less precipitated as sulphides, carbonates, within the sediment ( $\text{Fe(II)S}_2$ ,  $\text{CaCO}_3$ ,  
903  $\text{MgCa}(\text{CO}_3)_2$ ,  $\text{Fe(II)CO}_3$ ,  $\text{Mn(II)CO}_3$ ,  $\text{Fe(II)}_3(\text{PO}_4)_2$ ), and within its suboxic surface ( $\text{BaSO}_4$ ) or  
904 at its oxic surface ( $\text{SiO}_2$ ,  $\text{Fe(III)OOH}$ ,  $\text{Mn(IV)O}_2$ , clay minerals). The authigenic formed  
905 ferromanganese nodules (Kastner, 1999) are formed by in situ microbial precipitation from  
906 sediment pore water squeezed out to the seafloor on the sediment layer (Nayak et al., 2011;  
907 Wu et al., 2013). Main components of the nodules are the phytoplankton fertilizer  
908 components  $\text{SiO}_2$ , Fe- and Mn-oxides (Nayak et al., 2011).

909 Having left the borderline between anoxic and suboxic near-surface sediment the HA  
910 catechols are changed by reversible oxidation into quinone or quinhydrone configurations by  
911 decay of the Si catechol complex. Like most of the chemical reactions within the sediment  
912 compartment even oxidation of the HA-Si complex is directed by microorganisms. The  
913 microorganisms involved use HA as external red-ox ferment (Benz et al., 1998; Bond and  
914 Lovley, 2002; Coates et al., 1998; Kappler et al., 2004; Lovley and Blunt-Harris, 1999; Lovley  
915 et al., 1999; Piepenbrock et al., 2014; Straub et al., 2005). After arrival of the pore water  
916 originating from the anoxic deeper sediment or bed rock at the suboxic surface-near  
917 sediment layers the oxidized HA releases  $\text{Si(OH)}_4$  and,  $\text{NO}_3^-$  produced by microbial  $\text{NH}_4^+$   
918 nitrification (Daims et al., 2015; van Kessel et al., 2015). Depending on the produced  $\text{Si(OH)}_4$   
919 concentration this can trigger the precipitation of layered silicates like smectites, glauconite,  
920 and celadonite as well as silica (Bjorlykke, 2010; Charpentier et al., 2011; Gaudin et al.,  
921 2005; Polgári et al., 2013; Pufahl and Hiatt, 2012; Zijlstra, 1995). Similar to HA, the clay  
922 mineral formation within the sediment, and the usage of the red-ox potential of these



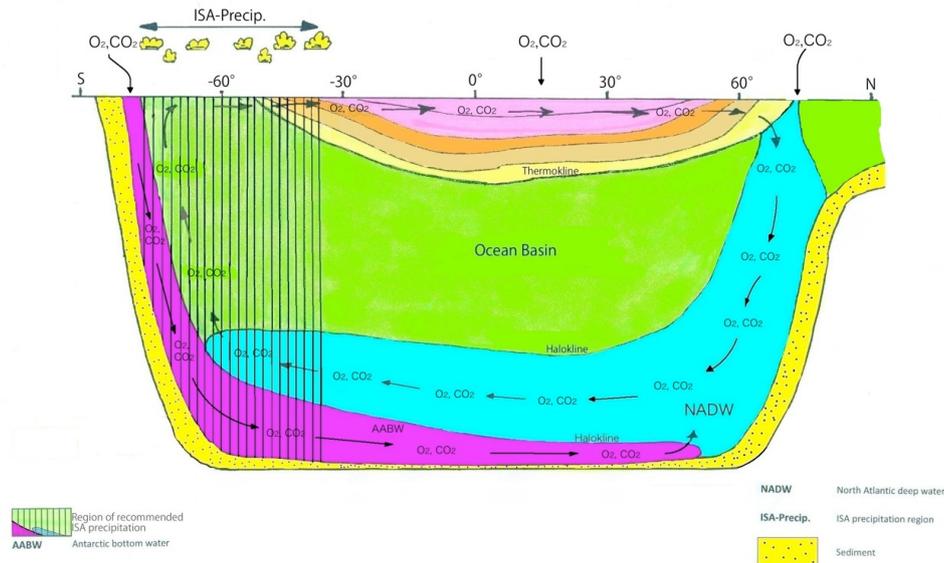
923 authigenic minerals are, at least in part, the result of microbial action (Konhauser and Urrutia,  
924 1999; Kostka et al., 1996).

925 The deep ocean currents take up the pore water percolates out of the sediment and  
926 considerable amounts of the dissolved, colloidal or suspended sediment originating elements  
927 are THC-conveyed to the surface (Lam and Bishop, 2008) and activate there the  
928 phytoplankton production again. This as well triggers the CO<sub>2</sub>-conversion to organic C  
929 resulting in cooling the troposphere according to chapter 3.1. Repeatedly it also cools the  
930 troposphere by increasing the DMS formation according to chapters 2.5 and 3.1.  
931

#### 932 **4. Natural cooling effects of the iron cycle on the ocean crust**

##### 933 **4.1. Carbon storage as authigenic carbonate in the ocean crust**

934 The mechanism described in this chapter has the highest influence on the climate, because  
935 its carbon storage capacity is much greater than that of their sediment layer. The convective  
936 water flow through the huge alkaline ocean crust volume is estimated to about 20 - 540 x 10<sup>3</sup>  
937 km<sup>3</sup>/yr (Nielsen et al., 2006). The oceanic crust comprises the largest aquifer system of the  
938 Earth with an estimated rock volume of 2,3 x 10<sup>9</sup> km<sup>3</sup> and a fluid volume of 2 % of the total  
939 ocean or ~10<sup>7</sup> km<sup>3</sup> (Orcutt et al., 2011). The system of the mid-ocean rifts (MOR) and  
940 subduction zones and the sector between these volcanic active regions are part of the Earth  
941 Mantle convection cycle and part of said interconnected aquifer system. The bottom water of  
942 the ocean basins are in close contact to this conveyor belt-like moving rock layer of the  
943 oceanic crust. New oceanic crust is produced at the MOR, during its cooling it is pulled apart  
944 from the MOR by the moving underlying mantle and at last the moving mantle draws the  
945 crust down into the deeper mantle below the subduction zones. The oceanic crust has a  
946 sediment layer on top of its assemblage of multi-fractured crystalline and volcanic rocks.  
947 Both, sediment and igneous bed rock interior are in an anoxic reduced and alkaline state;  
948 temperature on top of the sediment surface at the ocean bottom is round about 0 °C but  
949 temperature increases up to >1000 °C within the igneous bedrock basement. Because there  
950 is no effective sealing between cold bottom water and high temperature zone, the water  
951 content of sediments and fractured basement flows through the crust in multiple thermal  
952 convection cycles positioned between cold surface and hot deep. Figure 5 illustrates the  
953 oceans friction factor inducing climate warming due to the ocean basins vertical mixing  
954 circles.  
955



956

957 **Figure 5.** The motor of the Antarctic bottom water (AABW) current is the sea ice production  
 958 of the Southern Ocean area bordering Antarctica. The North Atlantic Deep Water (NADW)  
 959 current is driven by decreasing Gulf Stream temperature on its way north. Climate warming  
 960 especially the faster temperature rise at higher latitudes shifts the region of the Gulf Stream  
 961 down flow as NADW further to the north as a result of the lowering  $\Delta t$  between equatorial and  
 962 polar surface water. This shift sets additional Greenlandic coast regions in contact with warm  
 963 Gulf Stream water and even the rising air temperatures as further component poor increasing  
 964 amounts of fresh melt water on the ocean surface. The rising melt water volume and the  
 965 further north flowing Gulf Stream increases the contact region between Gulf Stream water  
 966 with fresh melt water. This produces increasing amounts of original Gulf Stream water but  
 967 too low in density to sink and to become part of NADW.

968 Temperature rise at higher latitudes reduce the salt content of ocean surface water around  
 969 Greenland and Antarctica inducing reduced NADW and AABW volumes. According to the  
 970 reduced down flow current volumes, the amounts of  $\text{CO}_2$  and  $\text{O}_2$  to the deep ocean basin are  
 971 reduced as well as the vertical fertilizer transport from the ocean basin bottom, to the  
 972 phytoplankton at the surface.

973

974 Alkalinity and alkalinity-inducing compounds of the ocean crust rock layers extract  $\text{CO}_2$  and  
 975  $\text{HCO}_3^-$  from sea water by carbonate precipitation in the fissures during sea water percolation  
 976 through the multi-fractured rock (Coggon et al., 2012). A carbon uptake of 22 to 29 Mt C/year



977 is estimated during the hydrothermal alteration of the oceanic crust (Kelemen and Manning,  
978 2015). This is more than the carbon uptake by the overlying sediment layer of the oceanic  
979 crust which is estimated to 13 to 23 Mt C/year (Kelemen and Manning, 2015). The oceanic  
980 crust is composed of peridotites, basalts and serpentine rock with a sediment layer on top.  
981 Said rock layers contain reductive and alkaline components. Sea water circling through these  
982 rock layers loses its contents of oxygen, sulphate, nitrate and even parts of hydrogen  
983 carbonate by reduction and precipitation and becomes enriched with methane and further  
984 reductants. (Evans, 2008; Janecky and Seyfried, 1986; Kelemen et al., 2011; Müntener,  
985 2010; Oelkers et al., 2008; Sanna et al., 2014; Schrenk et al., 2013; Sissmann et al., 2014).  
986 Because of the opposing chemical milieu differences between the oxic ocean water inflow  
987 and anoxic reduced and alkaline sediment and basement the ocean water convection cycles  
988 through the ocean crust act as a continuous chemical reaction systems and forms habitats of  
989 intensive acting microbial action (Ivarsson et al., 2016). The most intensive chemical reaction  
990 intensity is found at MOR, subduction zones and at volcanic sea mounts, between MOR and  
991 subduction within the abyssal plain convection cycling occurs (Orcutt et al., 2011). Because  
992 the hydrogen carbonate load of the ocean water inflow comes to precipitation as carbonates  
993 of Ca, Mg, Fe, and Mn within the alkaline rock interior and by chemical reduction of sulphate,  
994 nitrate and hydrogen carbonate, the ocean basements acts as huge CO<sub>2</sub>-Carbon storages.  
995 No doubt, the ocean crust carbonate depot is the most effective carbon storage, more  
996 effective than any other organic carbon storages.

997 Within the huge ocean crust contact volume, sea water changes the alkaline pyroxenes and  
998 basalts into serpentine, diabase and carbonates; by producing heat, rock volume expansion  
999 and by permanent production of numerous fissures. The ocean water sulphates react with  
1000 the silicate components to magnetite, pyrite and barite. The sea waters hydrogen carbonate  
1001 load precipitates within the rock fissures as magnesite, calcite, siderite and dolomite. By heat  
1002 transfer from hot rock and chemical reaction, heat circling through the primary and new  
1003 generated multiple fissures in the former mantle rock the sea water inflow heats up,  
1004 producing convective flow. At fissures where the alkalized flow of hot CH<sub>4</sub> and H<sub>2</sub> containing  
1005 convection water comes out with pH 9 to 11 and contacts the fresh sea water, carbonate  
1006 precipitates and builds up skyscraper high carbonate chimneys (Kelley et al., 2005).

1007 The convective seawater flowing only through the MOR system is estimated to about 20 to  
1008 540 x 10<sup>3</sup> km<sup>3</sup>/yr (Nielsen et al., 2006). This volume is even more than the global river flow of  
1009 about 50 km<sup>3</sup>/yr (Rast et al., 2001).

1010 The weathering reaction conditions and the sea water alkalization during the intense sea  
1011 water contact with the alkaline MOR rocks are much more aggressive so respectively more  
1012 effective comparing to reaction conditions and alkalization during the precipitation water  
1013 contact during weathering reactions of continental rocks. This is confirmed by the alkaline pH



1014 of up to 11 of the “White Smoker” MOR outflow in spite of its haline salt buffered seawater  
1015 origin (Kelley et al., 2005). Even the most alkali run-off from limestone karst spring fresh-  
1016 waters or within karst cave fresh-waters does not exceed pH levels of 8.5 (Li et al., 2010;  
1017 Raeisi and Karami, 1997; Righi-Cavallaro et al., 2010)

1018 There is no doubt that the efficiency of the pH dependent CO<sub>2</sub> absorption and carbonic acid  
1019 neutralizing at the ocean surfaces and the hydrogen carbonate precipitation to carbonate  
1020 processes at and within the oceanic crust are dependent on the activity of the THC within the  
1021 ocean basins. During cold climate epochs with undisturbed THC the CO<sub>2</sub> conversion to  
1022 ocean crust carbonate is activated as well as the CO<sub>2</sub> conversion to the organic fraction of  
1023 ocean sediments. The continuous availability of chemical activity, as chemical reaction  
1024 vessel and as an alkalinity reservoir of the oceanic crust is maintained by the continuous  
1025 generation of new crustal rock material of 21 km<sup>3</sup>/year (Orcutt et al., 2011). This huge rock  
1026 volume production capacity has enough alkalinity and fertilizer reserves to maintain the  
1027 absorption, neutralization and precipitation of a multiple of the recent incoming CO<sub>2</sub> and  
1028 HCO<sub>3</sub><sup>-</sup>.

1029 THC is the main transport medium of carbon from the atmosphere into the deep on Earth.  
1030 This makes THC to the most prominent climate stabilization element.

1031 The realization of the significance of THC as stabilization element of our recent climate  
1032 induces questions about the stability of the THC. As stated in chapter 1 the main factors for  
1033 destabilizing the THC seems to be the desalting of surface ocean layers by freshwater  
1034 injection from increasing ice melt (Hansen et al., 2015) A melt increase might drive the  
1035 destabilization of THC. And at first the top layers of the ocean basins will suffer from  
1036 acidification and the deep layers will become alkaline and anoxic.

1037 By starting the ISA process, the induced climate cooling will decrease the Greenland glacier  
1038 melt. The minimized freshwater inflow to the North Atlantic Ocean reduces the dilution of the  
1039 salty Gulf Stream and increases the down flow quantity of oxic and CO<sub>2</sub> containing salty  
1040 surface water. In parallel the surface increase of sea-ice produced on the South Ocean  
1041 surrounding the Antarctic continent is followed by increased down-flow of oxic and CO<sub>2</sub>  
1042 containing cold brine onto the bottoms of the oceanic basins. Both effects do increase the  
1043 THC activation: the flow of alkaline, phytoplankton fertilizer enriched, and oxygen depleted  
1044 deep-ocean water to the surface. This activates CO<sub>2</sub> absorption from the atmosphere by  
1045 phytoplankton growth and by CO<sub>2</sub> absorption

1046 One of the proposed alternative climate engineering measures aims to absorb atmospheric  
1047 CO<sub>2</sub> by reducing the surface ocean acidity and by producing phytoplankton fertilizers. To  
1048 transfer 1.1 x 10<sup>9</sup> t/year CO<sub>2</sub> carbon into the ocean a crushing of 3 x 10<sup>9</sup> t/year of the ocean  
1049 crust and mantel rock mineral olivine to a particle diameter of 1 μm and suspend it at the  
1050 ocean surface would be necessary (Hauck et al., 2016; Köhler et al., 2013; Köhler et al.,



1051 2010). These numbers seem to be two orders of magnitude too high. Keleman & Manning  
1052 calculate a carbon mass subduction of about  $50 \times 10^6$  t C/year (C in oceanic crust, bedrock  
1053 and sediment layer) (Kelemen and Manning, 2015). Independently of which of both  
1054 calculations has a mistake – technical activities to do the Hauck et al. proposal are far from  
1055 any economic reality.

1056 The proposed reaction of  $\text{CO}_2$  with olivine is done with much better effectiveness by nature  
1057 without any costs within the ocean crust in sufficient quantity. To minimize  $\text{CO}_2$  emission it  
1058 has been proposed to minimize power stations flue gas  $\text{CO}_2$  by absorption by lime  
1059 suspension (Haas et al., 2014). This measure seems to be unnecessary when the ISA  
1060 method comes into practice.

1061 The fertilizing elements the phytoplankton needs, like Si, P, and Fe, are all present in the  
1062 ocean crust (Lyubetskaya and Korenaga, 2007) and property of the ocean crust water  
1063 extract. Intensification of the THC would also increase the fertilizer concentration at the  
1064 ocean surface in the phytoplankton layer. As demonstrated the undisturbed THC is essential  
1065 to keep the climate stabilized (Coogan and Gillis, 2013).

1066 The ocean crust from the warm Mesozoic epoch which had no frozen polar regions  
1067 contained about five times more authigenic carbonate than ocean crust younger than 60  
1068 million years (Coogan and Gillis, 2013). Coogan interpreted this as possible consequences  
1069 of higher bottom water temperature and/or different seawater composition. Insua et al. (Insua  
1070 et al., 2014) found evidence, that the salinity of the ocean bottom water during the Last  
1071 Glacial Maximum had been up to 4 % greater than today. It seems evident that the cause of  
1072 the latter had been the higher volume of brine produced during sea-ice freezing. This fact  
1073 demonstrates that even disturbed or weakened THCs might be the cause of reduced  
1074 carbonate C uptake of the ocean crust. The quantity of carbonate precipitation depends on  
1075 the  $\text{CO}_2$  and/or  $\text{HCO}_3^-$  input with seawater. As a consequence, the quantity of the ocean  
1076 crust  $\text{CO}_3$  uptake varies according to the activities of the THCs: strong THCs increase the  
1077 crust carbon content; weak THCs decrease it.

1078 During the time lag between the onsets of the ISA method cooling and the appearance of the  
1079 alkalinity and fertilizer increase at the ocean surface the cooling effect of ISA remains  
1080 reduced. But after this time lag the ISA method increases to optimal efficiency. Even from an  
1081 economic viewpoint it seems better to compensate this by increasing the ISA emission at the  
1082 beginning during the time lag, than doing the proposed suspending of olivine dust at the  
1083 ocean's surface. Even lime shell wearing phytoplankton is able to accept small pH changes  
1084 of  $\text{CO}_2$  induced dependent acidification because it uses the build-up of calcium carbonate  
1085 shells as a detoxification measure to get rid of calcium ions from their bodies (Müller et al.,  
1086 2015). As a consequence of this effect only the relation between Ca carbonate sequestration  
1087 and organic carbon sequestration may decrease during the time lag.



1088

1089 **4.2. Carbon storage as organic and inorganic marine debris and as authigenic**  
1090 **carbonate in the ocean sediment**

1091 The uptake of authigenic hydrogen carbonate from the ocean and precipitating it in the  
1092 sediment seems to play as well a major role in the carbon circle (Schrag et al., 2013).  
1093 According to Kelemen (Kelemen and Manning, 2015) the carbon uptake by the sediment  
1094 layer of the oceanic crust can be estimated to 13 to 23 Mt C/year. The carbon inventory  
1095 consists of life and dead organic carbon, carbonate carbon and authigenic carbonate  
1096 produced by excess alkalinity deriving mainly from sulphate reduction and silicate solution by  
1097 reduced humic acids. According to Sun & Turchyn the formation of calcium carbonate and its  
1098 burial in marine sediments accounts for about 80 % of the total carbon removed from the  
1099 Earth surface (Sun and Turchyn, 2014). Meanwhile it seems possible to distinguish between  
1100 marine formed sediment carbonate and authigenic carbonate (Zhao et al., 2016).

1101 Accordingly, excess alkalinity is produced by dissolution of silicates like illite, kaolinite and  
1102 feldspars, volcanic ash, pyroxene or other silicate components of ocean sediments and even  
1103 opal by Si complexation with reduced HA at methanogenic conditions (Meister et al., 2011;  
1104 Roden and Edmonds, 1997; Solomon et al., 2014; Wallmann et al., 2008). Compensation by  
1105 hydrogen carbonate induces authigenic precipitation of microbial dolomite (Roberts et al.,  
1106 2004), Ca or Fe carbonate (Solomon et al., 2014; Vorhies and Gaines, 2009) (Dewangan et  
1107 al., 2013; Merinero et al., 2008; Sun and Turchyn, 2014; Wallmann et al., 2008) and further  
1108 minerals (Tribovillard et al., 2013).

1109 As mentioned in chapter 4.1, the biological processes of chemical sediment reduction  
1110 induced by the ISA fertilization changes  $\text{NO}_3^-$ ,  $\text{SO}_4^{2-}$ , Fe(III), Mn(III/IV) and  $\text{HCO}_3^-$  to their  
1111 deoxygenated and reduced species inclusive  $\text{CH}_4$  and  $\text{NH}_4^+$  generation, produces a pH  
1112 increase and additional alkalinity. The alkalinity excess converts dissolved  $\text{HCO}_3^-$  into solid  
1113 lime and dolomite (Berner et al., 1970; Krause et al., 2012; Luff and Wallmann, 2003;  
1114 Raiswell and Fisher, 2004). The solid carbonates and  $\text{CH}_4$  hydrate stabilize the sediment.  
1115 Outside the polar permafrost region methane hydrates are stable below 300 m below sea  
1116 level and at ocean temperatures of nearly 0 °C (Maekawa et al., 1995). The carbonate  
1117 precipitation sequesters additional parts of  $\text{CO}_2$ , prevents the ocean water from acidifying  
1118 and at last improves the  $\text{CO}_2$  absorption by ocean water from the atmosphere. This again  
1119 cools the troposphere.

1120 The enhanced dissolution of silicates from the ISA induced by methanogenic sedimentation  
1121 additionally compensates the enhanced alkalinity loss at the ocean surface attributed to the  
1122 calcification due to foraminifera and coccolithofores phytoplankton growth by ISA fertilization.

1123

1124 **4.3. Minimizing  $\text{CH}_4$  emissions from sediments and igneous bedrock**



1125 The reaction product of oceanic crust minerals containing Fe(II) like Olivine and Pyrrhotite with  
1126 sea-water is hydrogen. Hydrogen is fermented by microbes with hydrogen carbonate into  
1127 methane. The latter is known as constituent of the springs emitted by the ocean crust rocks  
1128 (Früh-Green 2004).

1129 Such and further methane emissions like anoxic sediments outside the methane hydrate  
1130 stable pressure and temperature region induce de-oxygenation within the overlying water  
1131 layer by methane emission (Römer et al., 2014; Yamamoto et al., 2014). CH<sub>4</sub> emissions are  
1132 induced for instance by hydrothermal springs (Suess et al., 1999), sediment movement  
1133 (Kraestel et al., 2014; Paull et al., 2007), climate change induced seawater warming (Serov et  
1134 al., 2015; Shakhova et al., 2005), changing ocean circulation (Berndt et al., 2014), ocean  
1135 sediment subduction (Elvert et al., 2000; Fischer et al., 2013). At lower vertical sediment to  
1136 ocean surface distances the CH<sub>4</sub> emissions reach the troposphere. Because the Arctic  
1137 Ocean suffers at most from the climate change induced warming the CH<sub>4</sub> release within this  
1138 region rise extraordinary (Phrampus et al., 2014). The most elevated Global surface-near  
1139 oceanic methane concentrations are located within the Arctic Ocean and the arctic  
1140 troposphere (Shakhova et al., 2008). This might be one of the reasons for the higher  
1141 temperature rise of the Arctic region than the average surface Earth warming.

1142 Within the sediment and within the suboxic ocean water column, methane is oxidized by  
1143 sulphate. Iron is an accelerator of this microbial fermentation reaction (Sivan et al., 2014).  
1144 The ocean water column and the underlying sediment having had contact with ISA-  
1145 originating iron are elevated in their iron content. This has different cooling effects to the  
1146 troposphere: at first the elevated iron content in the uppermost suboxic sediment reduces the  
1147 methane content emitted by the sediment by anaerobic oxidation of methane by sulphate-  
1148 reducing bacteria.

1149 Next the iron content reduces the methane bubble-development within the sediment layer  
1150 preventing catastrophic methane eruptions by sediment destabilization, methane bursts and  
1151 sediment avalanches.

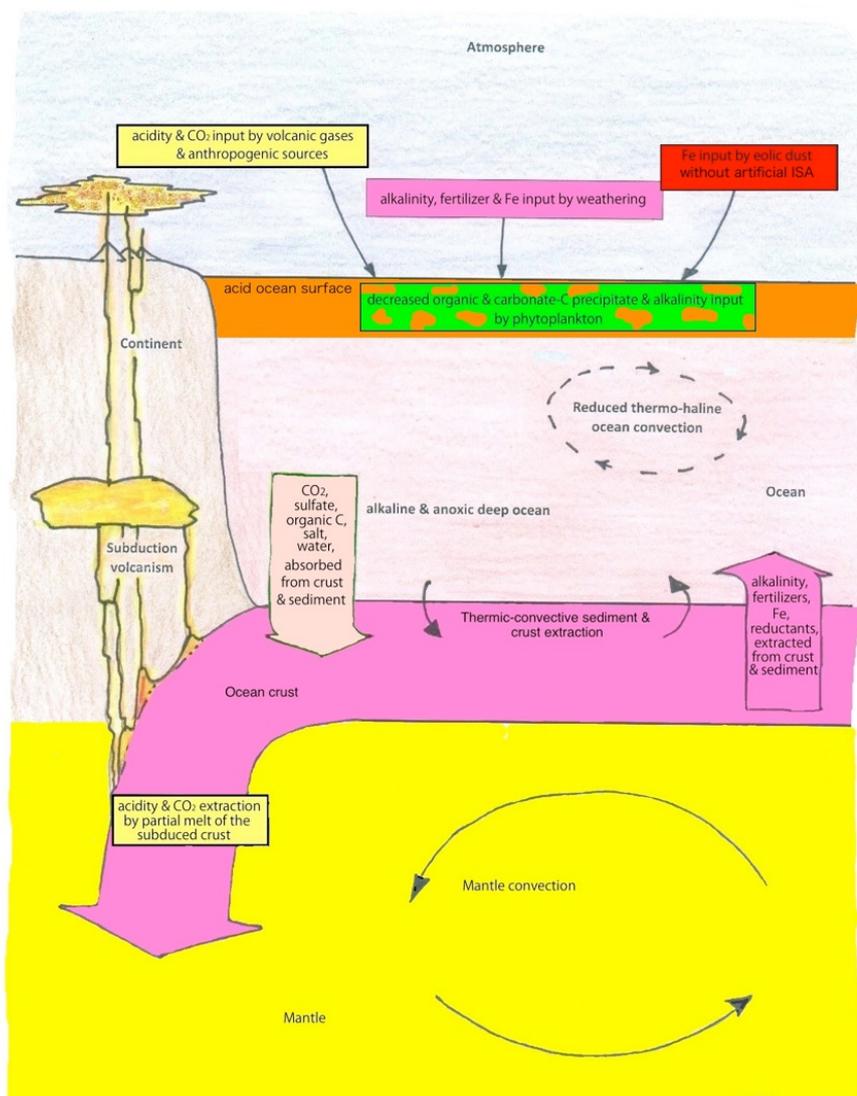
1152 Third: elevated iron content prevents the ocean water column from CH<sub>4</sub>-induced oxygen  
1153 deficiency by the formation of ammonium. This oxygen deficiency prevention protects from  
1154 generation of the extreme stable and very effective GHG N<sub>2</sub>O (Naqvi et al., 2010).

1155 The glacial age proved that in spite of the multiplicity of the cooling processes induced, they  
1156 caused little disturbance to the ecosystems. This predestines ISA as a steering tool to  
1157 prevent climate fluctuations like the recent climate warming mankind is suffering from. The  
1158 present study aims to describe in chapter 5 the technical means to realize this climate  
1159 engineering project by the ISA method.

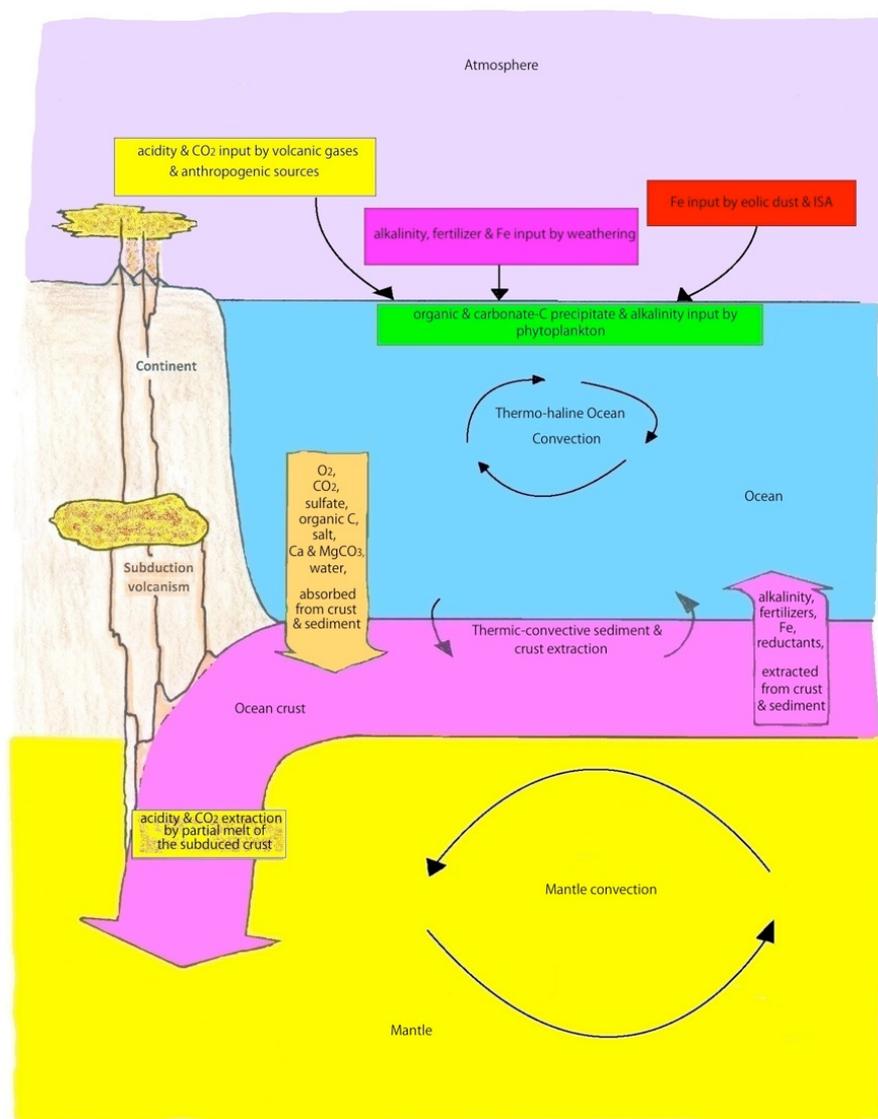
1160 Figures 6a and 6b illustrate respectively the differences between a poorly and a sufficiently  
1161 mixed ocean.



1162



1163



1164

1165 **Figures 6a and 6b.** The poorly mixed ocean has an acid surface balanced in CO<sub>2</sub>, hydrogen  
1166 carbonate and O<sub>2</sub> content with the atmosphere because these ingredients cannot be  
1167 removed sufficiently from the surface to the basin bottom where hydrogen carbonate is  
1168 precipitated in sediments and bedrock by the thermal convective sediment and crust  
1169 extraction processes. The low O<sub>2</sub> transport rate to the deeper ocean ensures suboxic to



1170 anoxic milieu below the surface layer. The acid-tolerant phytoplankton species have a lower  
1171 production rate of organic carbon and a smaller production of carbon in the form of  $\text{CaCO}_3$ ,  
1172 because the fertilizer extracted from anaerobic sediments and bedrock does not arrive at the  
1173 ocean surface. The organic carbon debris fraction precipitating as sediment layer in a poorly  
1174 mixed ocean bottom is elevated compared to a sufficiently mixed ocean, because is the  
1175 small oxidation rate during its trickling through the suboxic to anoxic water column. But this is  
1176 by far no compensation of the low rate of hydrogen carbonate transfer from surface to bottom  
1177 and its storage as dolomite and lime precipitate. As a result, the poorly mixed ocean has a  
1178 decreased carbon transformation rate from atmosphere to ocean crust and sediment  
1179 comparing to the sufficiently mixed ocean and will further accelerate the climate temperature  
1180 rise.

1181 This result is contradictory to the calculations of Duprat et al. (Duprat et al., 2016). They  
1182 found within the iron containing melt water trail of the giant Antarctica that icebergs increased  
1183 phytoplankton concentration. Duprat et al. assume that the iceberg induced carbon export  
1184 increase by a factor of 5 to 10 within its influence locality and they expect an increase in  
1185 carbon export by the expected increase of the iceberg production that has been prognosted  
1186 (for instance Joughin et al. (Joughin et al., 2014) ). We interpret the ongoing increase of  
1187 icebergs and ice melt as a further severe warning sign that the ongoing destabilization might  
1188 end soon in an insufficiently mixed ocean.

1189 The only artificially realizable restoration tool to change an insufficiently or poorly mixed  
1190 ocean into a well mixed ocean is definitely by climate cooling. The ISA method appears to be  
1191 the climate cooling method by means of choice, because it accelerates the conversion of  
1192 atmospheric carbons into solid and even liquid carbons with the means of nature. Comparing  
1193 to the artificial aerosol systems based on  $\text{TiO}_2$  or  $\text{H}_2\text{SO}_4$  (Pope et al., 2012), the sea-salt  
1194 aerosol has advantages like better controllability and economy.

1195

#### 1196 **4.4. Modest iron effects onshore**

1197 The fertilizing role of African dust in the Amazon rainforest is well known (Yu et al., 2015b).  
1198 On a basis of the 7-year average of trans-Atlantic dust transportation, Yu (Yu et al., 2015a)  
1199 calculated that  $182 \text{ Tg yr}^{-1}$  dust leaves the coast of North Africa ( $15^\circ\text{W}$ ), of which  $43 \text{ Tg yr}^{-1}$   
1200 reaches America ( $75^\circ\text{W}$ ). The dust reaching the Caribbean and the Amazon come mainly of  
1201 the northwestern Africa (Algeria, Mali, and Mauritania) (Gläser et al., 2015).

1202 An average of dust deposition into the Amazon Basin over 7 years is estimated to be 29 (8–  
1203 50)  $\text{kg ha}^{-1} \text{ yr}^{-1}$  (Yu et al., 2015b), providing about to 23 (7–39)  $\text{g ha}^{-1} \text{ a}^{-1}$  of phosphorus to  
1204 fertilize the Amazon rainforest, together with Mg and Fe. Although not directly related to ISA,  
1205 this dust deposition allows biomass fertilization and thus  $\text{CO}_2$  removal from the atmosphere.



1206 The wide spread tropical soils, mostly laterites, are deficient in phosphate and nitrogen but  
1207 not in autochthon iron. The only exception to this is for all the epiphyte plants and the plants  
1208 growing on the soil-free localities without any autochthon iron. These plants might gain profit  
1209 from the ISA method. Such plant communities are localized for instance on top of the famous  
1210 Tepuis (table mountains north of the Amazon basin near the borderlines of Brazil, Venezuela  
1211 and Guyana) and on the tree branches in the rain forests without roots into the ground. From  
1212 Köhler et al. (Köhler et al., 2007) the epiphytes flora on the tree branches of the rain forests  
1213 may contain up to 16 t ha<sup>-1</sup> (Costa Rica) up to 44 t ha<sup>-1</sup> (Colombia) of epiphyte plant +  
1214 humus dry weight on the tree branches.

1215 The epiphytes but much more the Tepui plants would gain profit from ISA and even  
1216 undissolved iron oxides, because plant roots and fungal hyphae secrete iron-solubilizing  
1217 organic acids and complexants. Even microbial ferments have time enough to turn all kind of  
1218 undissolvable Fe into dissolvable Fe.

1219 Some rain forests like the Amazonian, benefit from sporadic dust plume fertilization of  
1220 Saharan origin. Others may profit from an artificial ISA precipitation resulting in a significant  
1221 additional epiphyte plant growth.

1222

## 1223 **5. Estimations of the ISA demand by the ISA method**

### 1224 **5.1. ISA can induce a significant CH<sub>4</sub> depletion**

1225 Wittmer (Wittmer et al., 2015a) reported that the ISA method is very efficient for °Cl  
1226 generation. Hence, ISA allows depletion of greenhouse gas methane by separation prior  
1227 cooling effect. Therefore, ISA appears to be a very promising cooling method with technical  
1228 and economic stakes. But the answer depends strongly on the volume of ISA to be produced  
1229 and emitted. Indeed, ISA plume should be released high enough in the troposphere to get  
1230 sufficient distribution and residence time in combination with °Cl generation quantity.

1231 Based on results of Fe photolysis induced °Cl production, Wittmer (Wittmer et al., 2015a)  
1232 estimated the feasibility of CH<sub>4</sub> depletion by NaCl-diluted ISA. Wittmer found a °Cl emission  
1233 of 1.9 x 10<sup>5</sup> °Cl/cm<sup>3</sup> at a Cl<sup>-</sup>/Fe(III) molar ratio of 101 within the pH range of 2.1-2.3. The  
1234 same °Cl generation was found at the suboptimal pH of 3.3 – 3.5 and at a Cl<sup>-</sup>/Fe(III) molar  
1235 ratio of 51. This Cl generation is four times higher than the reference which corresponds to a  
1236 significant CH<sub>4</sub> lifetime reduction in the troposphere (Wittmer et al., 2015a). A pH range of  
1237 around 2 corresponds to the natural aerosol pH within the oceanic boundary layer. The  
1238 optimum efficiency of °Cl production by photolysis of ISA corresponds to pH 2, whatever the  
1239 source of Cl<sup>-</sup>, NaCl or gaseous HCl and whatever if ISA is an iron(III) oxide or an iron(III)  
1240 chloride aerosol (Wittmer et al., 2015a).

1241

### 1242 **5.2. ISA demand calculation**



1243 Current CH<sub>4</sub> depletion by °Cl is estimated from 3.3% (Platt et al., 2004) to 4.3% (Allan et al.,  
1244 2007). According to the results of Wittmer (Wittmer et al., 2015a) at a Cl<sup>-</sup>/Fe(III) molar ratio of  
1245 101, this amount would rise fourfold: from 13 to 17%.

1246 1. Instead of using a Cl<sup>-</sup>/Fe(III) molar ratio of 101, Wittmer used a Cl<sup>-</sup>/Fe(III) ratio of 51  
1247 to perform calculations at the suboptimal pH of 3.3-3.5:  $1.9 \times 10^5$  °Cl/cm<sup>3</sup> (Wittmer et  
1248 al., 2015a);

1249 Moreover, Wittmer made two wrong estimations:

1250 2. There is no other tropospheric Cl<sup>-</sup> source than sea-salt;

1251 3. The global production rate of sea-salt aerosol Cl<sup>-</sup> of 1785 Tg/year has to be doped  
1252 with iron at a Cl<sup>-</sup>/Fe(III) molar ratio of 51.

1253 The calculations made with these wrong assumptions resulted in an iron demand of 56  
1254 Tg/year Fe(III) to obtain the desired CH<sub>4</sub> depletion effect (Wittmer et al., 2015a).

1255 Whereas the calculations with the correct Cl<sup>-</sup>/Fe(III) ratio of 101 results in a Fe(III) demand of  
1256 only 18 Tg/year – still by the wrong assumption that there is no further Cl<sup>-</sup> source than sea-  
1257 salt.

1258 ISA is produced from pyrogenic iron oxides. Pyrogenic oxides have particle sizes lower than  
1259 0.1µm. Diameters of the NaCl-diluted ISA particles of the Wittmer tests (Wittmer et al.,  
1260 2015a) are round about 0.5µm. This confirms the test results of Wittmer et al. as calculation  
1261 basis without any cut.

1262 On the contrary, Wittmer made other two wrong assumptions:

1263 4. ISA has the same particle size and corresponding surface range as sea-salt;

1264 5. ISA has the same residence time as sea-salt aerosol in the troposphere

1265 According to their coarse aerosol particle range, the residence time of sea-salt particles in  
1266 the troposphere is inferior to 1 day (Jaenicke, 1980) while the artificial ISA particles with  
1267 diameters lower than 0.5 µm have residence times in the troposphere of at least 10 days up  
1268 to several weeks (Kumar et al., 2010; Penner et al., 2001). Analogue to CCN behavior in  
1269 cloud processing (Rosenfeld et al., 2008) most of the small-sized ISA particles are protected  
1270 by their small sizes from coagulation or coalescence with sea-salt aerosol particles. This  
1271 effect prevents ISA from leaving the optimum active atomic chlorine emission conditions: low  
1272 pH and low particle diameter range.

1273 The residence time difference of more than one order of magnitude in comparison to sea-salt  
1274 aerosol further reduces the Fe demand for ISA production from 18 Tg/year to less than 1.8  
1275 Tg/year.

1276 6. The properties of the ISA particles produced by the most preferred ISA method  
1277 variant are explained in chapter 4. Their difference to the NaCl-diluted ISA tested by  
1278 Wittmer (Wittmer et al., 2015a) are: ISA particles are made of FeCl<sub>3</sub> x nH<sub>2</sub>O undiluted  
1279 by NaCl, or FeOOH coated by FeCl<sub>3</sub> x nH<sub>2</sub>O undiluted by NaCl (Meyer-Oeste, 2010;



1280 Oeste, 2009). The Cl/Fe(III) molar ratios of  $\text{FeCl}_3 \times n\text{H}_2\text{O}$  are at 3 or even lower. The  
1281 Cl/Fe(III) molar ratio of typical ISA particles is at least 30 times smaller than the molar  
1282 Cl/Fe(III) ratio of 101 of the tested ISA by Wittmer (Wittmer et al., 2015a). This  
1283 reduces the Fe demand for ISA production again at least by 1 order of magnitude  
1284 from  $<1.8$  Tg/year to about  $<0.2$  Tg/year.

1285 Additionally to the  $^\circ\text{Cl}$  emission increase with increasing iron concentration in the tested  
1286 aerosols, the results of Wittmer verify an increase in  $^\circ\text{Cl}$  emission with decreasing pH  
1287 (Wittmer et al., 2015a). According to Wittmer and Meyer-Oeste (Meyer-Oeste, 2010; Oeste,  
1288 2009), oxidic ISA aerosol particles may be generated free from any pH-buffering alkaline  
1289 components. This hampers their pH decrease by air-borne HCl to the optimum pH around pH  
1290 2. Sea-salt buffering of the absorbed HCl (Sullivan et al., 2007) by the alkali and earthen  
1291 alkali content of sea-salt aerosol can occur only by coagulation, most probable in a minor ISA  
1292 particle fraction but not in the bulk. From the beginning of its action in the troposphere ISA  
1293 keeps in the optimum  $^\circ\text{Cl}$  emission mode: low pH, and high iron concentration levels.

1294 Preferred ISA is produced by the ISA method variant 1 or variant 3 as described in chapter 4.  
1295 Hence, ISA are composed of particles made by flame pyrolysis or iron salt vapor  
1296 condensation. The mentioned ISA particles have diameters of 1/10 of the particle diameters  
1297 of the Wittmer tests. These ISA particles have optimum chlorine activation efficiency:

- 1298 • In an appropriate chloride dotation or chloride delivering environment;
- 1299 • At a pH  $<2$ ;
- 1300 • If they are emitted above the tropospheric boundary layer.

1301 Then the Fe demand may fall up even shorter than the calculated 0.2 Tg Fe/year because of  
1302 their far extended surface area and far extended residence time in the atmosphere.

1303 It has to be noted that this ISA demand calculation result refers only to the ISA cooling  
1304 property according to  $\text{CH}_4$  depletion; further cooling properties according to cloud albedo,  
1305 depletion of  $\text{CO}_2$ , black and brown aerosol, ozone increase and further causes are still kept  
1306 unconsidered.

1307 Further oxidation activity on greenhouse gases and aerosols are induced by the  $^\circ\text{OH}$   
1308 generation activity of ISA: Volcanic eruption plumes contain high concentrations of  $^\circ\text{Cl}$  plus  
1309  $^\circ\text{OH}$  (Baker et al., 2011) and are characterized by decreased  $\text{CH}_4$  concentrations (Rose et  
1310 al., 2006). Co-absorption of  $\text{H}_2\text{O}$  and HCl is the main reason of the generation of volcanic  
1311 ash particle coats containing soluble Fe salts originating from insoluble Fe oxides and Fe  
1312 silicates (Hoshyaripour et al., 2015; Martin et al., 2012). Gaseous HCl from the eruption  
1313 plume entails Fe chlorides covering the surfaces of volcanic ash particles (Ayris et al., 2014).  
1314 Therefore, it is reasonable that photolysis of those chlorides is the origin of both:  $^\circ\text{Cl}$  and  $^\circ\text{OH}$   
1315 generation in volcanic plumes.



1316 °OH can change from the liquid aerosol phase into gaseous phase (Nie et al., 2014). But by  
1317 far, not as easy as °Cl can. Indeed, the Henry's law solubility constant of °OH is about one  
1318 order of magnitude higher than that of °Cl and is in the same range than that of NH<sub>3</sub> (Sander,  
1319 2015). But when their hygroscopic water layer shrinks in dry air or by freezing, ISA particles  
1320 might act as °OH emitters. These additional °OH emissions might further increase the CH<sub>4</sub>  
1321 oxidation potential of volcanic ash or artificial ISA and thus reduce even more the Fe demand  
1322 for ISA, though this has not been tested yet it cannot be ruled out.

1323 In order to take care not to overstep the cooling effect too far, a reasonable goal might be to  
1324 start the ISA method with a global ISA emission of 0.1 Tg Fe/year. This quantity corresponds  
1325 to the magnitude of the actual Fe input from the atmosphere into the oceans under the form  
1326 of soluble salt, which is estimated to be from 0.1 up to 0.26 Tg/year (Ito and Shi, 2015;  
1327 Johnson and Meskhidze, 2013; Myriokefalitakis et al., 2014). Doubling or even tripling of this  
1328 input quantity by the ISA method is of easy technical and economic feasibility as will be seen  
1329 in chapter 6.

1330

## 1331 **6. The ISA method: how to increase artificial iron emissions**

1332 Preceding calculation evidenced that the ISA method has the potential to cut back the rise of  
1333 CH<sub>4</sub> and CO<sub>2</sub> and vice versa the small decline of atmospheric oxygen content (Keeling and  
1334 Shertz, 1992; Manning and Keeling, 2006) because it acts by a bundle of chemical and  
1335 physical means. The ISA method might retard, stop or even help to restore their contents to  
1336 pre-industrial levels. By the ISA method, doubling or tripling of the ISA level in the  
1337 troposphere seems to be possible by feasible technical and economical means.

1338 Since 2004 proposals have been published (Oeste, 2015; Oeste and Ries, 2011) (Meyer-  
1339 Oeste, 2010; Oeste, 2004, 2009) to modify combustion processes and flue gas emissions in  
1340 the order to use them as ISA plume emission sources in the troposphere by traffic and power  
1341 generating combustions and their warm uplifting flue gases. Predestined for the ISA method  
1342 are any hot flue gas plumes emitted by ship and air traffic, fossil and sunshine power.

1343 At least three variants of ISA production are proposed:

1344 • Variant 1: Emission of flame pyrolytic FeOOH aerosol with particle diameters smaller  
1345 than 100 nm (Buyukhatipoglu and Clyne, 2010; Kammler et al., 2001) as ISA  
1346 precursor by co-combustion of organic iron or carbonyl iron additives with liquid or  
1347 gaseous fuels or heating oils combusted in ship or and jet engines or by oil or gas  
1348 combustors. Co-combustion of iron compounds is a possible measure in coal power  
1349 stations and mixing the ISA precursor containing iron combustion flue gas to the coal  
1350 combustion flue gas after the dry flue gas cleaning stage. Useful side effects of iron  
1351 additives are fuel efficiency optimization and soot emission minimizing (Kim et al.,  
1352 2008) ((Kasper et al., 1998; Madhu et al., 2015; Weiser et al.). The emitted FeOOH



1353 aerosol plumes convert immediately into the ISA plume after leaving the emission  
1354 sources because of the high reactivity of flame pyrolytic Fe oxides. The period to  
1355 cover the flame pyrolytic FeOOH particle surface by HCl absorption from the gaseous  
1356 phase with Fe(III) chlorides is several times shorter comparing to the generation of  
1357 iron chlorides from natural iron oxide minerals in loess dust particles (Rubasinghege  
1358 et al., 2010; Sullivan et al., 2007).

- 1359 • Variant 2: Injection of vaporous ISA precursor iron compounds like  $\text{FeCl}_3$  into a  
1360 carrier gas. By contacting the carrier gas and/or the atmosphere the vaporous iron  
1361 compounds condenses and/or converts by physical and/or chemical means directly  
1362 into ISA. Contrary to all other ISA precursors, the sunlit  $\text{FeCl}_3$  vapor becomes photo-  
1363 reduced by concomitant generation of  $^{\circ}\text{Cl}$  (Rustad and Gregory, 1980). Thus methane  
1364 depleting  $^{\circ}\text{Cl}$  emission can start even before this ISA precursor has changed into  
1365 hydrated  $\text{FeCl}_3$ .
- 1366 • Variant 3: Injection of ultrasonic nebulized aqueous  $\text{FeCl}_3$  solution as ISA precursor  
1367 into a carrier gas. By water evaporation from the aerosol droplets ISA becomes  
1368 generated.

1369 The preferred heights of ISA plume generation in the troposphere are 1000 m above ground  
1370 or higher. There the ISA plumes have optimum conditions to spread over sufficient life-times.  
1371 The necessary buoyancy to lift up the ISA plumes can be regulated by controlling their carrier  
1372 gas temperatures. Uplift towers (Ming, 2016), vortex generators (Michaud and Renno, 2011)  
1373 or tethered balloons (Davidson et al., 2012), (Kuo et al., 2012) are preferential means to  
1374 direct ISA by carrier gas uplift to said heights.

1375 Provision of the phytoplankton to optimize its growth with further nutrients like Mn, Zn, Co,  
1376 Cu, Mo, B, Si and P by the ISA method is possible by at least the variants 1-3 of the ISA  
1377 method by co-combustion, co-condensation or co-nebulizing.

1378 Global fixing regulations of GHGs emission certificate prices, values, and ISA emission  
1379 certificate credit values would be simple but effective measures for the quickest world-wide  
1380 implementation of the ISA flue gas conditioning method.

1381

## 1382 **7. Interaction of the ISA method with further measures to protect the environment**

1383 According to Wittmer & Zetzsch (Wittmer and Zetzsch, 2016) elevated HCl content in the  
1384 atmosphere triggers the methane depleting coating of oxidic ISA precursors by photolytic  
1385 active Fe(III) chlorides. Any measure triggering the reduction of the HCl content of the  
1386 atmosphere would impair the effectiveness of the ISA method based on this kind of method.  
1387 In this sense all kind of measures to reduce the sulphur and  $\text{NO}_x$  content of the flue gas  
1388 content of gaseous, liquid or gaseous fuels belongs would decrease the effectiveness of  
1389 oxidic ISA precursors, because the S and  $\text{NO}_x$  oxidation products sulphuric acid aerosol and



1390 gaseous nitric acid are the main producers of HCl by changing sea salt aerosol into sulphate  
1391 and nitrate aerosol. Even the measures of reducing the energy production from fuel burning  
1392 by changing to wind and photovoltaic energy would reduce this HCl source.

1393 Sea salt aerosol even produce HCl after contact with organic aerosol and organic volatile  
1394 matter because the latter generates acid oxidation products from the latter like oxalic acid  
1395 (Drozd et al., 2014; Laskin et al., 2012; Wang et al., 2014a). A large fraction of organic  
1396 aerosols and secondary organic aerosols originate from anthropogenic sources like  
1397 combustions. The change to wind and photovoltaic energy would reduce even this HCl  
1398 source.

1399 The proposed CE measure of producing sulphuric acid aerosol within the stratosphere by  
1400 inducing an albedo increase would increase the HCl content, during contact of the  
1401 precipitating acid aerosol with tropospheric sea salt aerosol. Even the proposed CE measure  
1402 of increasing the sea salt aerosol content of the troposphere by artificial sea salt aerosol as  
1403 cloud whitening measure could be used as ISA method trigger if flue gas is used to elevate  
1404 the sea salt aerosol.

1405

## 1406 **8. Discussion and Conclusion**

1407 There is abundant literature on the many geoengineering methods that have been proposed  
1408 to “cool the Earth” (Lackner, 2015; Zhang et al., 2014). In particular, the injection of sulphate  
1409 aerosols into the stratosphere is the most studied method, as it mimics the episodic action of  
1410 natural volcanoes (Pope et al., 2012); (Ming et al., 2014). Injected particles into the  
1411 stratosphere reduce the radiative balance of Earth by scattering solar radiation back to  
1412 space, so other nature and type of particles are envisioned with a wide range of side-effects  
1413 (Jones et al., 2015).

1414 The literature describes also many options to deliver this sulphates, their precursors (or other  
1415 particles) to the stratosphere (Davidson et al., 2012). For instance, airplane delivery of the  
1416 sulphate aerosols by the kerosene combustion process requires military jets because regular  
1417 aircrafts only reach 10 km (30000 feet), and not the 20 km requested (Davidson et al., 2012).  
1418 In the case of ISA, the altitude needed to “cool the Earth” is much lower, as it is in the  
1419 troposphere, not in the stratosphere, and the total quantities to deliver are 1 order of  
1420 magnitude smaller. So air traffic might be a possible means of ISA delivery. But the global jet  
1421 fuel consumption is about 240,000 t/year. Even by assuming the very high emission rate of  
1422 1 kg ISA precursor iron per ton of jet fuel, only 240 tons per year might be emitted. This  
1423 seems far away from the order of magnitude of the target ISA emissions.

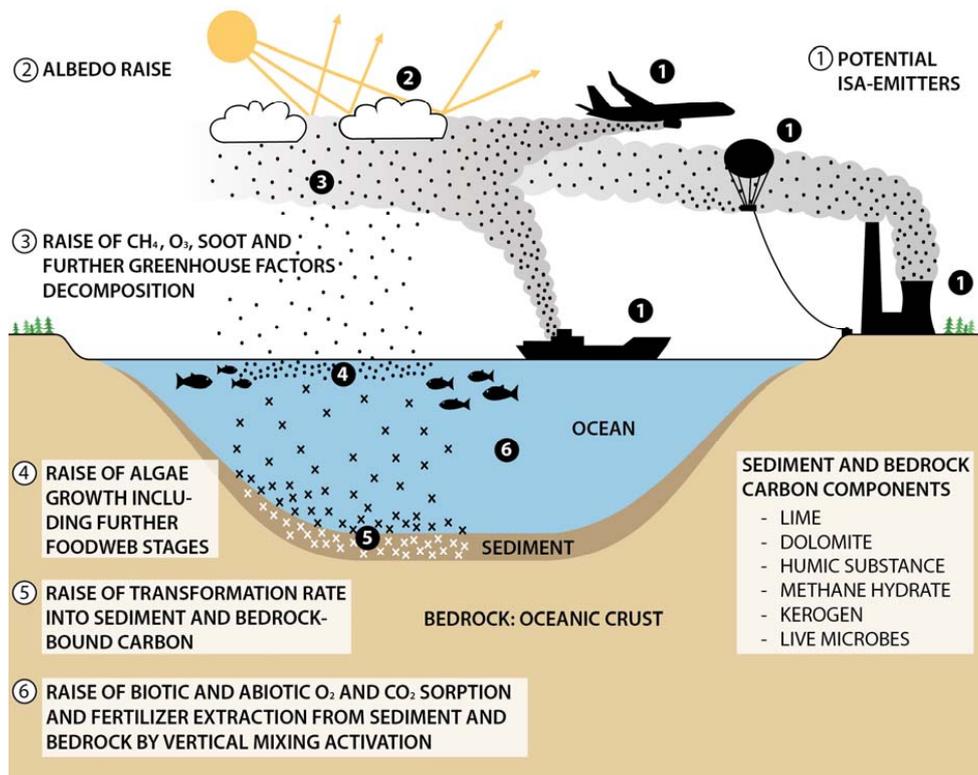
1424 From the many other possible delivery strategies envisioned for SRM by stratospheric  
1425 aerosols, many are not suited for ISA, like artillery, missiles and rockets (Davidson et al.,  
1426 2012), because it will be cheaper and less polluting to make profit from the flue gas of a



1427 reduced number of thermal power plants, that might be efficient enough to deliver the  
1428 artificial iron aerosol needed over the boundary layer, in order to the aerosols to stay several  
1429 days or weeks on the troposphere and be well distributed (Williams et al., 2002).  
1430 According to Luo (Luo et al., 2008), deposition of soluble iron from combustion already  
1431 contributes from 20 to 100% of the soluble iron deposition over many ocean regions.  
1432 As an example we calculated the possible production and emission of ISA respective the ISA  
1433 precursor FeOOH aerosol using the flue gas of the German power station Niederaußem: with  
1434 the input of 25 million t/year lignite (brown coal) this power station produces 3,600 MW.  
1435 According to ISA production variant 1 (chapter 6) the ISA precursor FeOOH aerosol may be  
1436 produced by burning of a ferrocene ( $\text{Fe}(\text{C}_5\text{H}_5)_2$ ) oil solution containing 1 % ferrocene in a  
1437 separate simple oil burner. The hot oil burner flue gas containing the ISA precursor FeOOH  
1438 aerosol is injected and mixed into the cleaned power station flue gas. The power station flue  
1439 gas emission rate is calculated to 9,000 m<sup>3</sup> flue gas per ton lignite. Because the ISA  
1440 precursor containing flue gas will be elevated to heights of more than 1000 m above ground,  
1441 dust levels of the ISA precursor FeOOH aerosol of 20 mg/m<sup>3</sup> flue gas seem to be acceptable.  
1442 This allows a quantity of 180 g FeOOH/t of combusted lignite (9000 m<sup>3</sup>/t x 0,02 g/m<sup>3</sup>). At a  
1443 lignite quantity of 25 million t/year, this corresponds to 4,500 t FeOOH/yr. FeOOH has an iron  
1444 content of 63 %. This corresponds to a possible iron emission of 2,831 t/year or to a  
1445 ferrocene consumption of 9,438 t/year.  
1446 Corresponding to this calculation about 100 of such huge power stations should have the  
1447 ability to produce the sufficient ISA quantity of an equivalent of 200,000 to 300.000 t Fe/year.  
1448 By a co-emission of HCl, for instance by co-burning of an organic HCl precursor, further  
1449 optimization of the cooling capacity of the produced ISA is possible.  
1450 This example illustrates that ISA emission at only 100 power stations, or any similar ISA  
1451 emission measures, is quite feasible compared to the alternative of CO<sub>2</sub> capture from the flue  
1452 gas of 40 Gtons/yr, compression of the CO<sub>2</sub> until condensation to the liquid state and then  
1453 storing the liquid CO<sub>2</sub> by injection into underground rock storage.  
1454 In order to increase the effectiveness of the buoyancy capacity of the power works the usual  
1455 wet cooling tower might be replaced by a dry cooling tower to mix the dry and warm air  
1456 emission from the cooling tower with the hot flue gas as additional buoyancy and due point  
1457 reduction mean. Further the flue gas buoyancy may increase by increasing the flue gas  
1458 temperature.  
1459 This or other simple techniques to realize ISA plumes may be used within the troposphere.  
1460 One delivery method seems promising and can easily be adapted to ISA method, is the use  
1461 of tethered balloons (Davidson, 2012), and will cost much less as 1 or 2 km high will be  
1462 sufficient for ISA emissions, requiring much lower pressures in the pipes, than for SO<sub>2</sub>  
1463 delivery at 20 km high for the geoengineering method. Technical and economic feasibility



1464 have already been studied for the SPICE project (Kuo et al., 2012) which was planning to  
 1465 release sea water spray at 1 km high.  
 1466 Also, as iron emissions only stay in the troposphere within weeks, compared to SRM  
 1467 sulphates in the stratosphere that are supposed to stay 1 or 2 years, in case any  
 1468 unintentional side effect or problem occurs, stopping the emissions is rapidly possible and  
 1469 the reversibility of its effects are much shorter than for solar radiation management by  
 1470 sulphates aerosols.  
 1471 Other geoengineering strategies to cool the Earth, like carbon dioxide removal by iron  
 1472 fertilization (Williamson et al., 2012) have several pros and cons, like localized release, less  
 1473 dispersion, in a form that is not readily bio-available, resulting in restricted cooling effects,  
 1474 high expenses. The ISA method allows the use of the same atom of iron several times by  
 1475 catalytic and photocatalytic processes into the atmosphere, with different cooling effects (like  
 1476 albedo modification and enhancement of the methane destruction) and then reaches the  
 1477 oceans, with again further cooling effects like enhancement of the CO<sub>2</sub> carbon fixation.  
 1478 Figure 7 summarizes many of the cooling effects of the ISA method.  
 1479



1480  
 1481 **Figure 7.** Summary of the principal cooling effects of the iron salt aerosols method proposed.  
 1482



1483 Why ISA appears to be better than ocean iron fertilization? For ocean iron fertilization several  
1484 tons of Fe(II) are dispersed in a short time (hours) over only some km<sup>2</sup> of ocean with several  
1485 drawbacks and a massive algae bloom can change the local biotopes. Meanwhile ISA  
1486 releases iron continuously, over up to the entire 510 million km<sup>2</sup> of the Earth surface. The  
1487 current iron inputs (in the form of soluble salts) into the oceans are estimated between 0.1  
1488 and 0.26 Tg/year per year (Ito and Shi, 2015; Johnson and Meskhidze, 2013; Myriokefalitakis  
1489 et al., 2014). As the water surfaces cover nearly 72% of Earth surface (362 million km<sup>2</sup>), if  
1490 ISA delivers 1 Tg Fe/year evenly distributed (in addition to natural and anthropogenic current  
1491 emissions), which is 4 times more than the expected needs (chapter 5.2), on average every  
1492 km<sup>2</sup> of ocean receives 5.4 g Fe km<sup>-2</sup> day<sup>-1</sup> (1/510 tons of iron per km<sup>2</sup> per year).

1493 As a conclusion: the ISA method is feasible even probably without environmental problems,  
1494 because it relates to chemical and/or physical combustion processes occurring currently. The  
1495 adjustable flue gas temperatures of different types of combustions are a means to lift the ISA  
1496 plumes to optimal heights within the troposphere. Climate cooling by ISA involves the  
1497 troposphere, dry solid surfaces, ocean, ocean sediment and ocean crust. Several GHG  
1498 factors are controlled by ISA: CO<sub>2</sub>, CH<sub>4</sub>, tropospheric O<sub>3</sub>, black carbon, dust, cloud albedo,  
1499 and vertical ocean mixing.

1500

#### 1501 **Abbreviations:**

1502 Carbon capture and storage: CCS; Chlorine atom: °Cl; Cloud condensation nuclei: CCN;  
1503 Intergovernmental Panel on Climate Change: IPPC; Iron salt: IS; Iron salt aerosols: ISA;  
1504 Humic-like substances: HULIS; Hydroxyl radical: °OH; Ligand: L; Methane: CH<sub>4</sub>; Mid-ocean  
1505 rift: MOR; Secondary organic aerosol: SOA; Thermohaline circulation: THC; Volatile organic  
1506 compounds: VOC.

1507

#### 1508 **Author contribution:**

1509 F. D. Oeste suggested the review idea and performed initial bibliographical search completed  
1510 by R. de Richter. F. D. Oeste prepared the manuscript and the figures with contributions from  
1511 all co-authors. T. Ming and S. Caillol also contributed to structuring the manuscript and  
1512 English corrections.

1513

#### 1514 **Competing interests**

1515 The authors declare that they have no conflict of interest.

1516

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