



Uncertainties in the land use flux resulting from land use change reconstructions and gross land transitions

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Abstract. Land-use and land-cover (LUC) changes are a key uncertainty when attributing changes in measured atmospheric CO₂ concentration to its sinks and sources, and must also be much better understood to determine possibilities for land-based climate change mitigation, especially in the light of human demand on other land-based resources. On the spatial scale typically used in terrestrial ecosystem models (0.5 or 1 degrees) changes in LUC over time periods of a few years or more can include bi-directional changes on the sub-grid level, such as the parallel expansion and abandonment of agricultural land (e.g. in shifting cultivation), or cropland-grassland conversion (and vice versa). These complex changes between classes within a gridcell have often been neglected in previous studies, and only net changes of land between natural vegetation cover, cropland and pastures accounted for, mainly because of a lack of reliable high-resolution historical information on gross land transitions. In the present study we applied a state-of-the-art dynamic global vegetation model with a detailed representation of croplands and carbon-nitrogen dynamics to quantify the uncertainty in terrestrial ecosystem carbon stocks and fluxes arising from the choice between net and gross representations of LUC. We used three frequently applied global and one recent European LUC datasets, two of which resolve gross land transitions, either in Europe or in tropical regions. When considering only net changes, land-use-transition uncertainties (expressed as one standard deviation around decadal means) in global carbon emissions from LUC (E_{LUC}) are ± 0.23 , ± 0.76 and ± 0.49 Pg C a⁻¹ in the 1980s, 1990s and 2000s, respectively, or between 17 % and 42 % of mean E_{LUC} . Carbon stocks at the end of the 20th century vary by ± 13 Pg C for vegetation and ± 41 Pg C for soil C due to the choice of LUC reconstruction, i.e. around 3% of the respective C pools. Accounting for sub-grid (gross) land conversions significantly increased the effect of LUC on global and European carbon stocks and fluxes, most noticeably enhancing global cumulative E_{LUC} by 33 Pg C (1750-2014) and entailing a significant reduction in carbon stored in vegetation, although the effect on soil C stocks was limited. Simulations demonstrated that assessments of historical carbon stocks and fluxes are highly uncertain due to the choice of LUC reconstruction and that the consideration of different contrasting LUC reconstructions is needed to account for this uncertainty. The analysis of gross in addition to net land changes showed that the full complexity of gross land-use changes is required in order to accurately predict the magnitude of LUC change emissions. This introduces technical challenges to the process-based models and relies on extensive information on historical land use transitions.

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Keywords: land-use change, gross land transitions, land-use flux



1. Introduction

45 Under a growing population's increasing demand for food and fiber, as well as for bioenergy, greater anthropogenic pressures on the global land area are expected. Today, carbon dioxide (CO₂) emissions resulting from to land-use and land-cover (LUC) change are the second largest contributor to anthropogenic emissions to the atmosphere after fossil fuel combustion (Le Quéré et al., 2015), and they are a term that is associated with large uncertainties. LUC and their changes include the processes when land is converted from one land-cover type to another (e.g. the conversion of forest to cropland or grasslands to pastures), and the effects from LUC related to the management of the land, such as e.g. cropping practices, fertilizer use, irrigation and different types of tillage. LUC changes affect the cycling of carbon (C), energy, water and other nutrients (phosphorous, nitrogen), in many cases enhancing greenhouse gas (e.g. CO₂, N₂O, CH₄) emissions from agricultural soils and pastures when compared to natural vegetation and altering species composition. These changes go hand-in-hand with altered characteristics such as surface albedo, surface aerodynamic roughness and rooting depth (Pongratz et al., 2010).

Conversions from natural vegetation to croplands and pastures generally reduce C stored in vegetation (Baccini et al., 2012), decrease soil C stocks in croplands but not in pastures (Guo and Gifford, 2002; McLauchlan, 2006) and, unless fertilized, reduce soil nitrogen (N) pools (McLauchlan, 2006). The alteration of C and N pools is mainly a result of initial deforestation and of the decreased litter input due to biomass extraction upon harvest and accelerated soil decomposition rates, the latter being stimulated through management practices such as tillage or a changed microclimate at the soil surface. However, in some regions croplands show increased C sequestration potential compared to the natural vegetation owing to enhanced growth under improved agricultural practices including fertilization and irrigation (Ciais et al., 2010; Schulze et al., 2010). Legacy fluxes can change C due to e.g., an imbalance between reduced litter input and decomposing dead biomass and affect LUC emissions over decades or more (Gasser and Ciais, 2013; Houghton, 2010; Krause et al., 2016; Pugh et al., 2015). During vegetation recovery on abandoned agricultural land, secondary land ecosystems sequester C due to regrowing vegetation and re-accumulation of C in soils. These LUC-related processes determining regional sources and sinks of C entailed a global total net C flux to the atmosphere over the past centuries (deB Richter and Houghton, 2011; Houghton et al., 2012; McGuire et al., 2001; Le Quéré et al., 2015).

70 A number of studies have recently highlighted the importance of different definitions when assessing the net carbon flux from LUC (E_{LUC}) related to the fact that in different studies different LUC component-fluxes are included in the overall E_{LUC} calculation (Gasser and Ciais, 2013; Pongratz et al., 2014). Likewise, it is important to consider whether or not historical effects of environmental change are included in assessments of cleared C stocks as part of E_{LUC} . Less focus so far has been put on the explicit datasets of historical land use employed (Le Quéré et al., 2013). A limited number of historic LUC reconstructions are available at global scale, mostly at 0.5° resolution (Hurt et al., 2011; Kaplan et al., 2012; Klein Goldewijk et al., 2011; Olofsson and Hickler, 2008; Pongratz et al., 2008; Ramankutty and Foley, 1999), two of which are very similar (Hurt et al., 2011; Klein Goldewijk et al., 2011). At continental scale some higher resolution reconstructions exist for instance for Europe (Fuchs et al., 2015b; Kaplan et al., 2009; Williams, 2000). Most reconstruction approaches combine information on current and recent historical LUC from national statistics with estimates of global population distribution and growth as the main driver of historical LUC. Model assumptions are made to fill data gaps and extrapolate the available information to create subnational patterns, and therefore large uncertainties arise both from the original data sources and modeling assumptions (see, e.g. Klein Goldewijk and Verburg, 2013). However, reconstructions on continental scales are able to use a more data-driven approach (e.g. Fuchs et al., 2013, 2015c) compared to global land reconstructions, since the data availability is often better for these study areas.

85 Most historical LUC reconstructions focus on the difference in net area under natural, cropland or pasture vegetation cover in a grid location between two time steps (net land changes) instead of explicitly showing the sum of the absolute value of all land transitions occurring on a sub-grid scale (gross land changes). In particular over coarser grid-resolutions, gross land-cover changes allow a deeper view of LUC, tracking land conversion events such as the parallel expansion and abandonment of agricultural land, e.g. as in shifting cultivation (cycle of cutting forest for agriculture and abandoning it after some years of usage, followed by a period of fallow with regrowing forests). This entails altered biogeochemical dynamics within different sub-sections of a gridcell, e.g. secondary land acts as a C sink during vegetation regrowth, while additional land clearing leads to relatively



95 rapid loss of C stocks in vegetation and soils, along with other changes in vegetation composition, nutrients and biogeophysical properties (e.g. Houghton et al., 2012). Accounting in ecosystem models separately for the effects of e.g., 10% of an area converted from natural vegetation to cropland while another 10% of cropland is abandoned for regrowth over the same time period therefore will lead to a very different response of ecosystem states and fluxes compared to the effects of net changes, which in this case would be zero.

100 The availability of land-use information including gross land transitions is limited due to a lack of reliable historical information determining these. However, a few data sets exist representing gross land transitions, such as the dataset by Hurtt et al. (2011) who provide model estimates of tropical shifting cultivation on global scale based on assumed land rotation rates. Fuchs et al. (2015b) recently estimated gross land changes for Europe over the 20th century based on empirical evidence. As the number of gross land transitions can greatly exceed the number of net transitions at spatial resolutions typically employed for global studies, neglecting these can lead to a serious underestimation of LUC dynamics with implications for biogeochemical, ecological and environmental assessments (Fuchs et al., 2015a, 2015b; Stocker et al., 2014; Wilkenskjeld et al., 2014). Earlier studies revealed significant differences when ecosystem C dynamics were simulated when accounting for gross land changes in areas of shifting cultivation in addition to net changes as specified by Hurtt et al. (2011) (e.g. Shevliakova et al., 2013; Stocker et al., 2014; Wilkenskjeld et al., 2014). Others have implemented their own assumptions on spatial distribution and rotation scheme under shifting cultivation and combined these with C-cycle calculations (Olofsson and Hickler, 2008; Stocker et al., 2014).

110 In this study we use a state-of-the-art dynamic global vegetation model (DGVM) to calculate ecosystem C stocks and fluxes in response to different LUC reconstructions, (1) to explore the consistency of different LUC representations and to quantify the uncertainty in ecosystem C stocks and fluxes, including E_{LUC} , resulting from the different reconstructions, and (2) to quantify the effect of accounting for gross land transitions in addition to net changes in LUC. We use four historical LUC reconstructions, three of which are global and one is for the European domain. One of the global datasets represents gross transitions with shifting cultivation in tropical regions, and the European dataset represents gross transitions in Europe. We apply a model with representation of LUC and changes therein, including a number of crop functional types and C-N dynamics in natural vegetation and crops. We exclude wood harvest as a form of forest management from our analysis as its parameterization is poorly constrained on a global scale, e.g. the effects strongly depend on assumptions regarding turnover times of harvested C (Wilkenskjeld et al., 2014).

125 2. Methods

125 2.1. Land-use datasets

For the global scale, three historical LUC datasets were selected that are frequently used for ecosystem modeling studies. These datasets run from 1700 to present and are also the basis for future LUC scenarios (e.g. van Asselen and Verburg, 2013; Hurtt et al., 2011). For Europe we additionally considered one recently published dataset running from 1900-2010. Table S1 provides an overview of the LUC datasets and their characteristics.

130 Ramankutty and Foley (1999) (RAMA) published changes in cropland area for the period 1700 to 1992 in 5 min global resolution. The dataset was built based on historical cropland inventory data at national and subnational levels in combination with a remote-sensing derived cropland map for 1992. The algorithm to hindcast LUC distributed the historical cropland area within political units, i.e. the 1992 cropland areas are scaled for each political unit so that the cropland total matches historical inventory data. Therefore, the reconstructed changes in historical croplands are consistent with the history of human settlement and patterns of economic developments, although they do not resolve changes in LUC dynamics below the smallest spatial entity in the inventory data. Natural vegetation was calculated as the residual of cropland and pasture area. The analysis was revised in 2012 so that it also accounts for pasture areas and the dataset was extended until 2007 in 0.5° x 0.5° spatial resolution (Ramankutty, 2012). Natural areas are now the remainder of cropland and pasture areas. Here we apply the revised 0.5° x 0.5° version.



The History Database of the Global Environment (HYDE) 3.1.1 (Klein Goldewijk et al., 2010, 2011) provides spatially gridded maps of cropland and pastures at 5 min resolution for the period 10 000 BC to AD 2000. Here, historical population data and national and sub-national statistics of change in agricultural area (mainly the United Nations Food and Agriculture Organization, FAO, supplemented with numerous other statistics) were combined to a land-use per capita relationship. Land use was then allocated for present day based on satellite-derived land cover for 2000 and for the past by a combination of this base map with a number of weighting and suitability factors such as population density and habitat information on soil suitability, distance to rivers, slopes etc. Temporal resolution is 10 years for the historical period after 1700 and annual after 2000. The HYDE dataset used here was extended until 2013 in the annual carbon budget analysis (Le Quéré et al., 2015).

The Hurtt et al. (2011) Land Use Harmonization (LUH) database is for the historical period (1500-2005) based on the land-use data of HYDE 3.1 (Klein Goldewijk et al., 2010, 2011) and combines these with national statistics of historical wood harvest and assumptions regarding shifting cultivation in tropical regions. Additional assumptions were made regarding the prioritization of land for conversion and logging, the wood harvest spatial patterns and the residence time of land in agricultural use in shifting cultivation areas. LUH data provide fractional data on cropland, pasture, primary and secondary vegetation as well as gross transitions between land-use states based on shifting cultivation in tropical regions on 0.5° x 0.5° spatial resolution. Secondary land is defined as natural land that was previously used for agriculture and is recovering from this disturbance. Shifting cultivation is implemented as bi-directional LUC change with an assumed rotation period of 15 years, corresponding to an annual turnover rate of 6.7 % of the area (Hurtt et al., 2011). Although the history of shifting cultivation is not known, it is today present mainly in tropical regions and therefore in the LUH dataset it is limited to tropical regions for the historical period (Fig. S1). **Fehler! Verweisquelle konnte nicht gefunden werden.**, Olofsson and Hickler, 2008). The LUH dataset was extended until 2014 in the annual carbon budget analysis (Le Quéré et al., 2015). As LUH is a modeled product that is based closely on the HYDE database, these products were reported to be relatively similar (Hurtt et al., 2011).

The newest LUC dataset considered here, the Historic Land Dynamics Assessment (Fuchs et al., 2013, 2015b), reconstructs gross and net land changes for the EU27 (EU from 2007-2013) plus Switzerland at 1 km spatial resolution (Fig. S1). Net land conversions are based on national statistics. To account for gross changes, empirical evidence (mostly time series of large-area LUC maps and national surveys) on historic gross LUC changes was aggregated to derive an overall gross/net ratio per LUC class and a relative weighted land conversion matrix which were applied to national net change data. Gross changes are derived by calculating the difference between two time steps of the gross land change reconstruction. The allocation of LUC fractions to grid cells was done based on probability maps for each LUC class, forest volume stock maps and large-scale historic LUC maps (Fuchs et al., 2015c). An aggregated version of the CORINE 2000 land-cover dataset was used as base map for the year 2000. The initial LUC dataset that was built based on empirical evidence covers 1950-2010 in decadal steps but was extrapolated back to 1900 to assess the long-term impacts of changes in LUC. For each time step the 1 km grid cells were classified as being dominated by settlement, cropland, forest, grassland (incl. managed pastures), other land (glaciers, sparsely vegetated areas, beaches and water bodies) or water. Here, we consider only the gross LUC reconstruction of HILDA (Fuchs et al., 2015b) and derive net LUC changes from gross land transitions. In the original HILDA net LUC reconstruction (Fuchs et al., 2015b) the results differ spatially from our net reconstruction due to the use of different land allocation mechanisms under net and gross changes in their analysis.

2.2. LPJ-GUESS ecosystem model

We use the LPJ-GUESS DGVM (Sitch et al., 2003; Smith et al., 2001) with updates for land-use change (Lindeskog et al., 2013) and C-N coupling in natural vegetation and crops (Olin et al., 2015; Smith et al., 2014) allowing for the simulation of nitrogen limitation on plant and crop development. Three distinct land-use types are used (natural vegetation, pasture and cropland) with natural vegetation modeled by 9 woody and 2 grass plant functional types (PFTs) (as in Smith et al., 2014), which are distinguished in terms of their bioclimatic preferences, photosynthetic pathways and growth strategies. Croplands are represented by three crop functional types that are parameterized using information on summer wheat, winter wheat and maize, and with crop-specific processes including dedicated carbon allocation and phenology, explicit sowing and harvest



representation, irrigation, fertilization and cover crops (Olin et al., 2015). Pastures are modeled using competing C3 and C4 grass PFTs, where each year 50 % of the C and 12.5 % of the N in above-ground biomass was removed as a representation of grazing (Krause et al., 2016; Lindeskog et al., 2013).

195 In LPJ-GUESS, upon conversion of natural land to cropland and pastures, the natural vegetation is cleared and 97% of wood (stem wood 65%, branches and coarse roots 32%) and 10% of leaf biomass is harvested. Out of the harvested stem wood, one third goes to a product pool with a turnover time of 25 years. The rest of the harvested biomass is oxidized and released to the atmosphere, while the remaining biomass enters the litter pool (see Lindeskog et al., 2013). In reductions of the natural vegetation area, young stands (but older than 15 years, the assumed rotation period in shifting cultivation by Hurtt et al., 2011) are converted before older stands. Following
200 agricultural abandonment, natural vegetation recolonizes the land in a typical succession from herbaceous to woody plants, if environmental conditions are suitable for tree growth. Competition for resources and light among age cohorts of woody PFTs is simulated directly through gap dynamics (see, e.g. Bugmann, 2001).

The model has been evaluated extensively and has demonstrated skills in capturing large-scale vegetation patterns (Hickler et al., 2006, 2012) and dynamics of the terrestrial carbon cycle (Ahlström et al., 2012; Morales et al., 2005; Olin et al., 2015; Piao et al., 2013; Pugh et al., 2015; Smith et al., 2014). The carbon flux response was shown to be close to the ensemble mean in a recent intercomparison of nine dynamic global vegetation models (Sitch et al., 2015).
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2.3. Simulation protocol

LPJ-GUESS was run at 0.5° x 0.5° resolution with simulations beginning in year 1700. CRU TS 3.21
210 historical global climate data (University of East Anglia Climatic Research Unit (CRU), 2013) was used for the period 1901-2014. 1700-1900 climate data was provided by repeating 1901-1930 CRU climate with de-trended temperature data. Atmospheric CO₂ forcing was provided from observations from ice-cores and, later in the 20th century, atmospheric measurements (Tans and Keeling, 2015), with a value of 286.4 ppmv used from 1700 until the beginning of this dataset in 1860, and a final atmospheric concentration of 396.7 ppmv in 2014. Simulations
215 were spun-up for 500 years using land-use fractions and CO₂ mixing ratio from the first simulation year, and de-trended climate data of the first 30 simulation years, with a longer spin-up for soil carbon pools (see Smith et al., 2014). Model spin-up was therefore identical for net and gross land changes. In order to assign cropland areas to crop functional types, global crop cover fraction was partitioned based on Portmann et al. (2010), and mapped to LPJ-GUESS crop types, as described in Olin et al. (2015). Crop type fractions were held constant throughout the
220 simulations. Where cropland was expanded into a hitherto un-cropped grid cell, average CFT fractions of the nearest neighboring cropland cells were used to populate it. Past values of global nitrogen deposition was taken from simulations from Lamarque et al. (2010 and 2011) and nitrogen fertilization of crops was estimated as in Zaehle et al. (2011). LPJ-GUESS simulations are summarized in Table 1.

Global simulations starting in 1700 were carried out using the three net and one gross LUC dataset (LUH net,
225 RAMA net, HYDE net, LUH gross), and for Europe starting in 1900 using two net and one gross LUC dataset (HILDA net, LUH net, HILDA gross). For these, all LUC input data were aggregated to 0.5° spatial resolution and decadal HILDA and HYDE LUC data were interpolated linearly to annual time steps. Although some of these LUC products represent changes between forested and non-forested land, in the simulations done here, only the changes between the classes croplands, pastures, natural vegetation and barren land (available for LUH and HILDA) were considered; the composition of natural vegetation was simulated directly by LPJ-GUESS.
230 Primary and secondary vegetation as in LUH (wood harvest), and the forest class in HILDA were represented by natural vegetation. The HILDA LUC classes of settlements, water and other land were aggregated to the LUC class barren. The grassland class in HILDA comprises both pastures, meadows and natural grasslands, but was used for pastures, a reasonable assumption for Europe due to the small area of truly natural, unmanaged
235 grassland in Europe (Wilkins et al., 2003). For global simulations a land mask was used that includes only cells of the ice-free land area for which all three global LUC datasets provide data (58 790 cells). For the EU, all 0.5° grids that contained at least one HILDA cell were used (2 486 cells).

We examine differences caused by the different LUC reconstructions on net land-use flux (E_{LUC}), deforestation flux, net primary productivity (NPP), and ecosystem C stocks in vegetation and soils. E_{LUC} is calculated as the
240 difference between a model simulation with transient historical LUC change (gross or net LUC change) and a simulation with constant LUC distribution as in the first simulation year (Table 1, LUC fixed to 1700/1900). All



simulations are driven by varying climate, atmospheric CO₂ mixing ratio, N deposition and N fertilization (see, e.g. Le Quéré et al., 2015; Pongratz et al., 2014). This method includes effects of LUC and changes therein interacting with climate and atmospheric CO₂, and is consistent with definition 1 of Gasser and Ciais (2013) and D3 of Pongratz et al. (2014). In the same way, the effect of accounting for LUC on NPP and C stocks in vegetation and soils was calculated as the difference between the simulation with gross or net LUC changes and the respective reference simulation. Soil C includes both C in soils and litter. The deforestation flux is the C released upon land conversion only. In the calculation of net cumulative E_{LUC} for global simulations the first 50 simulation years were ignored because of high carbon fluxes in the first decades of gross simulations, which reflected a re-equilibration under LUC including gross transition rates (i.e. shifting cultivation) that were not part of model spin-up, and effectively reflect emissions from shifting cultivation that occurred before 1700 (see, e.g., Stocker et al., 2014). Because gross transitions in Europe do not follow a systematic rotation such as shifting cultivation areas in the global simulations, this effect is not so directly applicable here and cumulative E_{LUC} was calculated starting in 1900. We restrict our analysis to the global scale, which has direct relevance for the global carbon budget; a detailed analysis of regional differences and processes is beyond the scope of this study.

3. Results

3.1. Historical land transitions

The most pronounced change in global vegetation cover over the historical period is the deforestation of natural areas for conversion into croplands and pastures (Fig. 1a), progressing at fairly low rates during the first decades after 1700, followed by a steadily increasing trend from about 1860 until a slow-down and stabilization sets in after about 1960. Total land area transitions before 1850 (Fig. 1c) are below $0.1 \times 10^6 \text{ km}^2 \text{ a}^{-1}$, from which they steadily increase with a rate of additional ca. $6\,400 \pm 1\,100 \text{ km}^2 \text{ a}^{-1}$ under transition each year (average of three LUC datasets 1850-1960). Transitions in all three LUC reconstructions peak between 1950 and 1960 when deforestation due to expansion of agriculture in the tropics and pasture expansion in grass and shrub dominated biomes was highest in the LUC reconstructions (Fig. S2). After the 1960s all three LUC reconstructions assume continued deforestation in the tropics at a lower rate and reforestation in Europe and some parts in Northern America following the abandonment of agricultural areas. Transitions around 1960 are believed to be influenced by the LUC reconstruction process, when model assumptions for the historical period before 1960 are merged with the records of the Food and Agriculture (FAO) records available thereafter.

The three global net LUC datasets applied here differ primarily in the total area of pasture and natural vegetation and in the regions in which these are located, with RAMA generally forming the median in terms of global absolute area under natural LUC among the three LUC reconstructions, but with a higher agreement of spatial patterns between LUH and HYDE. Major differences occur in eastern Africa, eastern Europe and the southern parts of Russia (maps not shown). After 1960, LUH and HYDE are very similar (showing major differences only in Australia). While the deforestation trend is shown by all three global LUC reconstructions, the absolute loss rates of natural vegetation differ, with HYDE being 12 % above numbers estimated in LUH and 22 % above RAMA (Table 2). For present-day, differences are largest in natural areas and pastures, with RAMA reporting about 6 % more natural areas and about 8 % less pasture areas in 2007 compared to LUH and HYDE (Fig. 1a, Table 2). Differences in pasture areas occur worldwide, but are somewhat higher in Saudi-Arabia, western China, Mongolia and Australia (maps not shown). Before 1950 differences in natural and pasture area between LUH/HYDE and RAMA exist predominantly in eastern Europe, southern parts of Russia and eastern Africa.

In Europe historical LUC included the expansion of areas with regrowing natural vegetation after 1900 following land abandonment as a result of intensification on high production cropland (Fig. 1b). Net gain in natural regrowth area from 1900 to 2010 is about $6 \times 10^5 \text{ km}^2$ (average of two LUC datasets, Table 3). Rates of total land conversion in Europe over the first half of the 20th century (Fig. 1d) remain at a fairly constant level, with between 10 000 and 15 000 km² being converted each year. Rates of land conversion are only higher between 1950 and 1970.

The European land-use reconstruction HILDA shows the same trend in LUC over the historical period when compared with the same domain extracted from the global LUH product (Fig. 1b) but the two datasets disagree



notably with respect to the absolute area of natural vegetation and pastures (Table 3). HILDA shows substantially larger fractions of pasture than LUH especially in Scandinavia and southern Europe, while LUH shows higher pasture fractions than HILDA in central Europe and the Baltic area. The higher areas of pasture in HILDA may result from the fact that natural grasslands are included in the pasture class in HILDA but not in the pasture class of LUH (see methods). The peak in total land conversion rates around mid of the century is shown in HILDA in two steps with slightly higher rates in 1950s and a maximum in the 1960s (13 700 and 19 100 km² per year) and in LUH in one step with a more than doubled rate in the 1950s compared to the previous decades (on average about 42 600 km² per year). From 1950 to 1960 the LUH dataset shows a rapid decrease in pasture area of 1.5×10^5 km² that is mainly reflected in a significant gain in natural areas.

300 3.2. Effects of different net LUC changes on carbon pools and fluxes

In LPJ-GUESS simulations all three global net LUC reconstructions resulted in similar projections of the land-use change flux E_{LUC} as a source of C on the global scale, with the rate of emission accelerating from the early 1800s (Fig. 2a). Reflecting the time-series of the land transitions (Fig. 1c), E_{LUC} peaked in the 1950s with emissions of about 2.0 to 2.6 Pg C a⁻¹. Mean E_{LUC} was 1.2, 2.0 and 2.1 Pg C a⁻¹ for LUH, RAMA and HYDE, respectively, at the end of the historical period (1998-2007, Table 2) and cumulative E_{LUC} since 1750 was between 210 Pg C for LUH and 225 and 229 Pg C for HYDE and RAMA, respectively, in 2007 (Fig. 2b, Table 2). From the three reconstructions, projections based on HYDE resulted in the lowest emissions until the early 1900s, probably because of the lowest conversion of natural areas to pastures until this period compared to the other reconstructions (Fig. 1a). Also when using the HYDE product, a second peak of E_{LUC} of around 2.7 Pg C a⁻¹ occurred in the late 1990s (15-year average) that is not seen in LUH and RAMA reconstructions (between 1.0 and 1.6 Pg C a⁻¹ in this period).

Global average NPP was simulated to increase strongly over the last century due to the effect of higher vegetation productivity under increased atmospheric CO₂ mixing ratio and (in cool areas) climate warming. Compared to reference simulations with LUC fixed in 1700 (red lines in Fig. 2d), all three LUC representations showed a reduced increase in NPP over the duration of the simulations (Table 2, Fig. S3), with the reduction due to LUC at the end of the historical period (averages 1998-2007) being 1.9 Pg C for LUH, 2.4 Pg C for HYDE and 3.3 Pg C for RAMA LUC reconstructions (Table 2). Global total and time-series of NPP simulated with the three LUC reconstructions was very similar for RAMA and HYDE, and was about 2 Pg C lower for LUH simulations for the entire simulation period (Fig. 2d) as a result of a higher pasture area instead of natural (woody) vegetation in the LUH data in the African extra-tropical regions and areas in eastern Europe and southern Russia.

For global C stocks both in vegetation and soils the positive trend induced by CO₂ fertilized vegetation growth (red lines in Fig. 2e and f) was counteracted by the effects of LUC change. A minimum of vegetation C stocks during the simulation period was simulated for all LUC reconstructions in the 1960s when LUC reduced vegetation C stocks on average by 111 Pg C (Table 2) compared to the reference simulations. Following the decline in conversion rates of natural into managed land thereafter, vegetation C stocks increased in response to vegetation productivity responding to the fertilizing effect of increasing atmospheric CO₂ concentration. Vegetation C stocks at the beginning of the simulation period were similar for RAMA and HYDE reconstructions with about 496 Pg C, but on average about 31 Pg C lower for LUH simulations because of the lower natural area in this dataset (Table 2).

Time-trends in soil C stocks over the simulation period followed similar trends as vegetation C stocks, albeit with a 5- to 10-year time lag (Fig. 2e, f). Loss in soil C as a result of accounting for LUC was a direct effect of the removal of biomass upon harvest reducing litter input in the following years and the increase in soil C decomposition rates associated with tillage. On average 75 Pg soil C were lost due to changes in LUC in the 2000s with only a small variation of ± 1 Pg C between the three LUC reconstructions (Table 2). Overall soil C stocks were again very similar for RAMA and HYDE (average 1 514 Pg C) and only about 68 Pg C lower for LUH at the beginning of the simulation period (Fig. 2e, Table 2).

In Europe, LUC caused emission of C until the 1960s, but turned into a sink thereafter (Fig. 3a, negative E_{LUC}) as a result of the reduction of pastures and also croplands in the second half of the last century and the regrowth of



340 natural (woody) vegetation (Fig. 1b). This development is shown in simulations with both HILDA and LUH, however the magnitude of the effect of LUC on C stocks and fluxes was somewhat stronger in simulations applying the LUH dataset, due to a higher deforestation rate in LUH until the 1950s (Fig. 3c, absolute land transitions were similar for both LUC datasets, Fig. 1d). To this sense, E_{LUC} decreases from about 19 Tg C a⁻¹ (HILDA) and 38 Tg C a⁻¹ (LUH) in the 1900s to about -52 Tg C a⁻¹ for HILDA and -80 Tg C a⁻¹ for LUH in the 2000s and cumulative E_{LUC} from 1900 to 2010 was -936 Tg C for HILDA and -1 890 Tg C for LUH (Table 3).

350 Same as in the global simulations, also for Europe a positive trend in NPP was simulated from 1900 that is linked to increasing CO₂ fertilization (Fig. 3d). Accounting for changes in LUC reduced NPP in simulations applying HILDA by 57 Tg C a⁻¹ but only slightly changed NPP in the 2000s when applying LUH (increase of 10 Tg C a⁻¹, Table 3) because of highly productive croplands in central Europe (Fig. S4a). NPP simulated with HILDA was between 50 and 100 Tg C a⁻¹ lower over the simulation period than simulated with LUH (Table 3), also a result of the lower share, and therefore productivity, of natural areas in HILDA as opposed to pastures.

355 Similar trends in vegetation and soil C were simulated with both datasets, with changes dominated by deforestation over the first decades and reforestation thereafter (see Fig. 1b). In the 2000s vegetation C stocks were even higher under net LUC changes compared to the respective reference simulations (Table 3). C stocks in vegetation of simulations using LUH were on average about 2 000 Tg C and 25 % higher than simulations applying HILDA (Fig. 3e, Table 3). Differences in soil C stocks between the two LUC representations were small, with soil C being about 1 900 Tg C higher in LUH simulations (3 % of soil C stocks projected with HILDA) at the beginning of the simulation period (Table 3). In comparison to the trend in C stored in vegetation, stocks in soils only increased from the 1950s on. Effects of LUC on C stocks in vegetation and soils were stronger for simulations applying LUH (Fig. 3e), showing increases in both C stocks in central and Eastern Europe but decreases in southern countries (Fig. S4b, c).

3.3. Global and European effects of accounting for gross land transitions

365 The global land area undergoing LUC when considering gross land transitions based on the LUH dataset (Fig. 1c) was 4.7 times the net area converted (total transitions 1700-2014, Table 2), with all additional land transitions in this dataset being generated by shifting cultivating in the tropics (Fig. S1a). This increased the global land-use flux E_{LUC} by about 0.14 Pg C a⁻¹ to 1.64 Pg C a⁻¹ at the end of the historical period (2005-2014 average flux) and resulted in cumulative E_{LUC} being 33 Pg C higher in 2014 for gross compared to net LUC simulations (Fig. 2a, b, Table 2). Global NPP was 1.5 Pg C a⁻¹ (i.e. 3 %) lower in simulations of gross land changes compared to the net simulations (Fig. 2d, Table 2), which was an effect of lower mean levels of forest canopy closure in areas subject to shifting cultivation in the tropics. For the same reason, the reduction of vegetation C stocks as an effect of accounting for gross effects was high with 35 Pg C, i.e. -8 %, at the end of the simulation period and with 11 Pg C low for soil C stocks compared to the absolute size of soil C stocks (-0.8 %, 2005-2014, Fig. 2e and f, Table 2). The reduction of vegetation C stocks by the effects of LUC changes further increased by 24 % when accounting for gross LUC and for soil C stocks by 14 % (2005-2014, Table 2).

375 For Europe, the HILDA gross dataset predicted land transitions (Fig. 1d) that were about 1.4 times higher when accounting for gross transitions relative to net LUC changes (total transitions 1900-2010, Table 3) (see also Fuchs et al., 2015b) with significant gross land changes occurring all over Europe (Fig. S1b). As a result, gross E_{LUC} was enhanced, compared to net, by about 11 Tg C a⁻¹ in the beginning of the simulation period (1901-1910). Cumulative gross E_{LUC} was -531 Tg C in 2010, or 406 Tg C higher than E_{LUC} under net LUC transitions (-936 Tg C), representing a reduced cumulative sink as the result of higher previous emissions from LUC when considering gross transitions (Fig. 3b, Table 3). NPP was also lower in gross simulations, however differences were small (-18 Tg C a⁻¹, see Table 3) and the gross simulation followed the same trend as the net LUC simulation. For C stocks on European level the difference between net and gross simulations was -158 Tg C for vegetation carbon and -254 Tg C for soil C stocks at the end of the simulation period (2001-2010, Fig. 3e and f, Table 3).



4. Discussion

4.1. Uncertainties in carbon stocks and fluxes due to the choice of historical LUC reconstruction

390 It is widely acknowledged that a key uncertainty in estimating changes in C stocks and fluxes as a response to
 LUC change stems from the choice of the LUC dataset (e.g. Houghton et al., 2012; Jain et al., 2013). With a
 detailed representation of succession when natural vegetation recolonizes abandoned agricultural lands, the
 representation of croplands by a number of crop functional types and the consideration of C-N interaction in
 natural vegetation and crops, the LPJ-GUESS model considers key processes and interactions that are crucial
 395 when accurate estimates of C stocks and fluxes are derived based on detailed dynamics on LUC (see, e.g.,
 Hickler et al., 2004; Lindeskog et al., 2013; Olin et al., 2015; Pugh et al., 2015; Zaehle, 2013).

Uncertainties in E_{LUC} resulting from the choice of LUC reconstruction (expressed as one standard deviation
 around decadal means) quantified with the LPJ-GUESS model and the three global net LUC data sets in the
 1980s, 1990s and 2000s were ± 0.23 , ± 0.76 and ± 0.49 Pg C a⁻¹ (17 %, 42 % and 28 % of E_{LUC}), respectively (see
 400 Table 2 for exact periods). Among the three datasets, E_{LUC} using LUH and RAMA were similar, with HYDE
 differing somewhat from these resulting from regional differences in croplands (e.g. HYDE showing less
 croplands in Northeastern US around 1900). Uncertainties confirmed estimates from previous studies in which a
 subset of the three LUC hindcasts (partially as earlier versions) were applied, sometimes in combination with a
 book-keeping method (**Fehler! Verweisquelle konnte nicht gefunden werden.**). Previously, uncertainties
 405 were estimated for the 1980s with ± 0.30 Pg C a⁻¹ from the synthesis experiment of Houghton et al. (2012), and
 for the 1990s with ± 0.20 Pg C a⁻¹ found by Shevliakova et al. (2009) when using HYDE and RAMA cropland
 data (in earlier versions, including wood harvest). For the 2000s Jain et al. (2013) found an uncertainty of ± 0.21
 Pg C a⁻¹ when quantifying E_{LUC} with HYDE and RAMA datasets with a coupled C-N model and also the book-
 keeping approach from Houghton (2008). Our uncertainty of E_{LUC} due to the choice of LUC reconstruction was
 410 higher in the 1990s, where especially E_{LUC} derived using HYDE data was significantly higher due to a strong
 increase in pastures (Fig. 2a, Table S2). The uncertainty in E_{LUC} accumulated to ± 10 Pg C in cumulative E_{LUC} for
 1750-2007. For vegetation and soil carbon the uncertainty introduced due to the choice of LUC reconstruction
 was ± 13 and ± 41 Pg C, respectively, in 1998-2007, translating into a change of each 3 % of the respective
 average size of vegetation and soil C stocks (Table 2, see Fig. S3 for regional differences for entire simulation
 415 period). These uncertainties are higher for vegetation C stocks compared to the ones found by Arora and Boer
 (2010), and about the same size for those in soils found by the same study with only two of the LUC models
 applied here, implying non-linear interactions between DGVM structure and LUC dataset. We would expect
 uncertainty in C stocks and fluxes to increase, at least during the pre-1900 period, if also LUC reconstructions
 applying a non-linear development of per capita land use would be considered, such as for example the KK10
 420 dataset does for the period 8 000 BC to AD 1850 (Kaplan et al., 2010).

For Europe the uncertainty in E_{LUC} is also large with ± 37 , ± 33 and ± 20 Tg C a⁻¹ for the 1980s, 1990s and 2000s,
 which are between 30 % and 72 % of the average flux (Table 3). Differences result mainly from a disagreement
 in the amount of pastures between the LUC reconstructions, where the comparison is impaired by different
 definitions of the pasture class (see methods). This highlights the problem of fundamentally different structures
 425 and assumptions between LUC models and DGVMs, recently being identified as a major uncertainty in model
 estimates of E_{LUC} (Pongratz et al., 2014). Although forests, natural grasslands and pastures can show similar
 gross primary productivity (GPP), they significantly vary in their C sequestration potential in vegetation and
 soils also depending on their location within Europe (Ciais et al., 2008; Schulze et al., 2010). For instance higher
 pasture areas in HILDA in southern Europe compared to LUH lead to an increase in vegetation C under LUC,
 430 while a decrease was simulated with LUH (Fig. S4). The productivity and carbon dynamics of croplands in LPJ-
 GUESS is mainly governed by the crop selection, the bioclimatic conditions of the land where the crop is
 planted and the degree of fertilization and irrigation (for productivity of croplands under different degrees of
 fertilization see also Ciais et al., 2010; Schulze et al., 2010). For instance in Poland, high cropland fractions in
 LUH that were only marginally fertilized compared to croplands in western Europe, decreased NPP but
 435 increased vegetation and soil C under LUC (Fig. S4). Differences between the LUC reconstructions and
 therefore uncertainties in C stocks and fluxes converge until the second half of the 19th century (maps not
 shown). Vegetation C stocks derived with HILDA are lower than estimates of Fuchs et al. (Fuchs et al., 2015a
 and personal communication) using the same datasets (minor difference in net data, see methods) and a



440 bookkeeping method but are within the uncertainty spanned by using HILDA and LUH net LUC datasets (Table S3).

It is important to consider that the relative uncertainties in LUC transitions between datasets are not constant through time, although the absolute uncertainties remain remarkably constant over the simulation period. The relative deviation of pasture area for the three global datasets was about $\pm 36\%$ before the 1850s, decreasing to about $\pm 10\%$ in the 2000s (Fig. S5c). Global cropland areas had a deviation of about $\pm 13\%$ in the 1700, 445 decreasing to below $\pm 2\%$ in the 2000s (Fig. S5c). For Europe the agreement of the two LUC reconstructions is high for croplands (average deviation $\pm 2\%$ for 1900-2010) but lower for pastures and natural areas (1900-2010 on average $\pm 36\%$ for pastures and $\pm 21\%$ for natural areas) with the deviation increasing until 2010 for pastures (Fig. S5d). The general agreement on the fractional coverage of natural land, pastures and croplands is higher for the periods after 1960, when FAO statistical data and later improved data from satellites became available (see 450 also Houghton, 2010; Verburg et al., 2011). Before this period, the extrapolation of historical LUC information was very much dependent on the applied model algorithms in combination with census data. LUC reconstructions also differ in the resolution of past LUC changes, providing annual time steps (RAMA for entire historical period, LUH, HYDE after 2000) or originating from decadal aggregations (HILDA for entire historical period, LUH, HYDE until 2000). Such methodological discrepancies and artifacts from the LUC modeling 455 significantly overlay the observed trends in the LUC reconstructions (compare Fig. 1c, d) and are included in simulated C stocks and fluxes (Fig. 2, Fig. 3).

4.2. Uncertainties in carbon stocks and fluxes due to accounting for gross land transitions

The consideration of detailed gross land conversions in our simulations increased the effects of LUC and changes therein as larger areas were converted (Fig. 1c, d). The increase of about 16 % in average net annual 460 E_{LUC} and 15 % in cumulative E_{LUC} (Table 2, change due to gross relative to net, 1750-2014) compared well with previous estimates (Table S4). The effect of shifting cultivation on cumulative E_{LUC} was quantified by Olofsson and Hickler (2008) by using the LPJ model (Sitch et al., 2003), which has similarities in the way plant and soil physiological processes are calculated to the model used here, but a simpler representation of vegetation dynamics and croplands and no C-N dynamics. They found an increase in cumulative E_{LUC} by 28 % and 29 % 465 for 1700-1990 and 1850-1990, whilst Stocker et al. (2014), using a model with coupled C-N dynamics on $1 \times 1^\circ$ spatial resolution, reported an increase by 15 %. The combined effect of shifting cultivation and wood harvest on cumulative E_{LUC} was summarized by Houghton et al. (2012) as an increase by 25–35 %, and Wilkenskjeld et al. (2014) found an increase in cumulative E_{LUC} of 61 % (51 % without the effect of wood harvest). Shevliakova et al. (2013) provide an estimate of E_{LUC} under gross transitions including wood harvest for the period 1860-2005 470 using a combination of modeled C fluxes and a bookkeeping method to derive E_{LUC} that is fairly close to the value calculated in this study. For total land C stocks Shevliakova et al. (2009) reported an additional loss of 49 % due to shifting cultivation and wood harvest and concluded from this that the effect on land carbon losses was comparable in magnitude to the effect of cropland and pasture expansion. In our study we quantified an additional loss of 39 % total C globally due to shifting cultivation alone in addition to net LUC (Table S4). Of 475 these studies, only the model of Stocker et al. (2014), to which our estimates are very similar (Table S4), accounts for C-N interactions. C-N interactions have previously been found to enhance LUC emissions (Jain et al., 2013). Apart from this all studies differ in the model type used, LUC data sets and climate model data applied and the process representations in the models from the ones applied here. All studies except Olofsson and Hickler (2008) applied spatial resolutions above the 0.5° applied here (1° or $\sim 2^\circ$, see Table S4).

480 In the conducted global experiment the only contribution of gross land changes came from tropical shifting cultivation, implemented in the LUH dataset based on assumed spatial extension and transition rates, reflected in significantly increased rates of deforestation and reforestation in gross simulations (Fig. 4a). As would be expected, the removal of forest material from the system through harvest or burning of cleared vegetation, instead entering the soil pool through litter decomposition, reduces soil C content. However, the soil C losses are 485 much less marked than those in vegetation, perhaps reflecting a dominance of vegetation carbon turnover by leaves and fine roots in LPJ-GUESS, inputs of C from which are less affected by harvesting of vegetation.

In comparison to the global gross land transitions that relied on a single process with uniform assumptions regarding cultivation cycles, the European gross dataset accounted for irregular land changes based on regionally



490 available empirical evidence (national statistics and maps). E_{LUC} when accounting for gross land changes was
about 53 % higher in the first simulation decade and converged until minor differences from about 1980 on (Fig.
3a). Since LUC in Europe developed from being a source of C in the beginning of the 1900s to being a sink after
about 1960, the small difference in C stocks and fluxes when accounting for gross transitions in addition to net
land changes delayed the cumulated positive effects of the land changes. Thus the sink capacity of cumulative
495 E_{LUC} in the 2000s was reduced, as were increases in vegetation and soil C stocks (Fig. 3b, e, f). To our
knowledge no previous studies are available in which E_{LUC} for Europe was derived under net and gross LUC.
The effect of accounting for gross land transitions on vegetation C was negative in our simulations, with
vegetation C under gross LUC about 2 % lower than under net LUC, because the increased number of re-
growing stands under higher land transitions lowered mean forest canopy closure, which also lowered NPP and,
ultimately, soil C (see Table 3). In contrast Fuchs et al. (Fuchs et al., 2015a and unpublished results) using a
500 bookkeeping method derived about 1 % higher vegetation C stocks under gross LUC for the same area (Table
S3). Discrepancies result from major methodological differences between the bookkeeping and process-based
approach and also Fuchs et al. not accounting for C stocks in croplands and pastures.

Gross LUC transitions in Europe over the entire simulation period were dominated by conversions between
pastures and croplands, i.e. cropland or pasture expansion and cropland abandonment (Fig. 4b), that were direct
505 adjustments to market demands and changes in land-use related policies. Apart from this, LUC in Europe was
dominated by abandonment of agricultural land and reforestation peaking in the 1970s. Reforestation of
European grasslands was reported to entail a reduction in soil C stocks and an increase in vegetation C (Schulze
et al., 2010, Fig. 3e, f), and therefore a positive LUC flux (Fig. 3a). After a first period of regrowth, the
additional tree biomass and increased litter inputs to soils balanced soil C losses and vegetation and soil stocks
510 increased in the second half of the 19th century considering that wood harvest has been lower than growth (e.g.
Ciais et al., 2008), thereby contributing to the LUC sink capacity. Because land abandonment and reforestation
are one-directional LUC changes which are represented in the same way in net and gross HILDA data (see Fuchs
et al., 2015b), this did not lead to major differences between net and gross simulations. It has to be considered
that with its current four LUC classes, the LPJ-GUESS model was not able to make full use of the HILDA LUC
515 dataset, as not all HILDA land-cover classes were represented, e.g. urbanization (urban areas were assigned to
the barren LUC class, see methods), causing ~18 % of gross land changes between 1900-2010 (Fuchs et al.,
2015b).

4.3. Uncertainties in the modeling approach

The effects and uncertainties discussed here are to be seen relative to other uncertainties arising in the general
520 modeling process (e.g. different model implementations in respect to representation of LUC and changes therein,
treatment of environmental change). A meta-analysis by Houghton et al. (2012) estimated the uncertainty in
 E_{LUC} arising from the applied modeling approach and method to be in the range of $\pm 0.2 \text{ Pg C a}^{-1}$ and that due to
data-related uncertainty and incomplete process understanding to be in the range of $\pm 0.5 \text{ Pg C a}^{-1}$, however, the
complex linkages between the contributing factors have made it difficult to attribute uncertainties to their sources
525 (see also Jain et al., 2013). Consideration of C-N interaction in vegetation and soils, as was done in this study, is
important when studying the effects of environmental drivers such as LUC on carbon emissions, however, with
the exception of a very few models (e.g. Jain et al., 2013; Smith et al., 2014; Xu-Ri and Prentice, 2008; Zaehle
and Friend, 2010), most models do not represent N cycling. We did not quantify the effect of C-N interactions in
this study, but we note that our estimates of cumulative E_{LUC} from 1850 to 2005 with net HYDE (Table S4) are
530 about 2 % lower than those of Pugh et al. (2015) using a version of LPJ-GUESS without C-N interactions. This
is in opposition to the findings of Jain et al. (2013) who found globally about 40 % higher E_{LUC} when accounting
for N dynamics and N limitation.

Implementation of gross transitions with the DGVM might be subject to considerable uncertainty. By adjusting
the minimum age upon which a regrowing natural stand becomes eligible for clearance again between 5 and 30
535 years (recalling that natural stands are removed in order of age when natural vegetation is reduced, see methods),
a deviation in cumulative E_{LUC} of $\pm 20 \text{ Pg C}$ (10 %) was found (1900-2014, LUH only, results not shown).
Underestimating this age would lead to considerable underestimates of E_{LUC} in our model structure, and this
highlights an important, and hitherto unremarked upon, implementation uncertainty for including gross



540 transitions in DGVM simulations. Wood harvest, which was not accounted for in this study, was assessed to account for an additional release of 0.2-0.3 Pg C a⁻¹ mainly in extra-tropical regions (Houghton et al., 2012).

545 Simulation results of biogeochemical cycles with an LPJ-GUESS-type DGVM depend critically on the year when simulations are started, e.g. for LUH started in 1700 and 1900 (Fig. S6). For instance, E_{LUC} cumulated over 1950-2014 was 17 % and soil C was about 33 Pg C (2 %) lower when simulations were started in 1900 as opposed to 1700, while vegetation C stocks were similar (net LUC, Table S5). This emphasizes the impact of legacy emissions of previous LUC changes on simulation results (see, e.g. Gasser and Ciais, 2013 for legacy fluxes and Pugh et al., 2015 for breakdown of LUC emissions). To exclude this effect from the analysis of uncertainties due to LUC dataset selection and the effect of accounting for gross LUC transitions, all global simulations were started in 1700. Likewise, simulations for Europe were started in 1900 for both HILDA and LUH to ensure comparability.

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5. Conclusions

555 Global and European carbon stocks and fluxes and the effects of changes in LUC were shown to be subject to significant uncertainties resulting from the choice of historical LUC reconstruction. In our global simulations, HYDE and RAMA data often lead to similar results, while C stocks and fluxes predicted based on LUH data had somewhat higher deviations from the 3-model mean. This is surprising considering the same data inputs and similar assumptions on land change trends and allocation of HYDE and LUH. For Europe, variables predicted based on the newly available HILDA dataset were similar to those resulting from using LUH for Europe, however LUH predicted larger changes under LUC. Differences in the effects of both global and European LUC on C stocks and fluxes were found to be mainly based on the total area and spatial distribution of pastures in the datasets, although the area of pastures is impaired by different classifications used by the LUC models. To account for the uncertainty arising from different reconstructions of historical LUC in the dynamic modeling of C stocks and fluxes and to provide realistic estimates of this uncertainty for the land-use C flux, the consideration of multiple LUC reconstructions exploring the full range of reasonable assumptions is needed, as well as efforts to narrow the uncertainty in constructions of historical land-use. This goes along with the reduction of uncertainties in the implementation of these datasets and different forms of LUC in DGVMs which has recently been the focus of discussion (Pongratz et al., 2014; Pugh et al., 2015; Stocker and Joos, 2015).

560 The results herein showed that considering gross land conversions significantly increased the effect of LUC change on C stocks and fluxes. Most noticeably the land-use C flux was enhanced by about 15 % of carbon released in addition to when only accounting for net land changes (cumulated 1750-2014), primarily resulting from a reduction in vegetation C storage. Note that for DGVMs operating at a lower spatial resolution than the 0.5° x 0.5° used here, the underestimation of E_{LUC} would be even larger. Given the large percentage enhancements in E_{LUC} found by considering gross transitions, this should be the preferred method whenever possible.

575 Implementation of gross land-use transitions, however, poses technical and parameterization challenges to the process-based models. It also relies on extensive information on historical land-use transitions, which is largely lacking; at present, only a few LUC models are able to represent gross land changes on larger spatial scales, providing a limited basis to characterize the uncertainty. The LUH dataset is the only global scale reconstruction representing gross land changes by explicitly implementing shifting cultivation in tropical areas with assuming a fixed period of 15 years for which land is cultivated before abandonment. For Europe, the HILDA data set is the first reconstruction representing gross land transitions which are based on actual LUC inventory data and complementary model assumptions. The reconstruction of detailed regional sub-grid land transitions and possibly more realistic patterns of shifting cultivation today is restricted by the lack of reliable information on continental and global-scale historical land transitions. New datasets based on archived LUC data and remote sensing sources are currently becoming available with high spatial resolution for global to continental scale (Chen et al., 2014; European Environment Agency, 2014; Wang et al., 2015) and on national to regional level (Homer et al., 2015; MOFOR, 2016; RCMRD, 2016; Roy et al., 2015; TerraClass, 2016). New promising efforts also provide LUC change data globally derived from remote sensing with 250m spatial resolution (Wang et al.,



2015) and with 30m spatial resolution (add the Globeland reference). In the coming years new high resolution LUC data set can be expected from the Landsat archives (<http://earthexplorer.usgs.gov>) and the new Sentinel missions (Aschbacher and Milagro-Pérez, 2012). These will contribute to close the information gap and with this improve the calibration of LUC models to represent the underlying processes, reduce the uncertainty in ecosystem functions such as the present-day land-use flux, and provide enhanced information for example for the assessment of ecosystem services and biodiversity indicators in the future.

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Author contributions

AB, TP and AA conceived and designed the experiments. ML realized the technical implementation of gross land changes in LPJ-GUESS. AB carried out the model simulations and led the data analysis, with contributions from all authors. RF contributed the HILDA land-use data. AB led the writing of the manuscript with contributions from all authors.

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Table 1. Overview of LPJ-GUESS simulations carried out as part of this study.

Land-use model		First year	Last year	Representation of LUC transitions	Spatial coverage
Abbreviation	Reference				
LUH	1500-2005: Hurtt et al. (2011), extension until 2014: e.g. Le Quéré et al. (2015)	1700 ^a	2014	gross	Globe
		1700 ^a	2014	net	Globe
		1700 ^a	2014	LUC fixed to 1700	Globe
HYDE	10 000 BC to AD 2000: Klein Goldewijk et al. (2011), extension until 2013: Klein Goldewijk et al. (2015), Le Quéré et al. (2015)	1700 ^a	2013	net	Globe
		1700 ^a	2013	LUC fixed to 1700	Globe
RAMA	1700-1992: Ramankutty and Foley (1999) extension until 2007: Ramankutty (2012)	1700	2007	net	Globe
		1700	2007	LUC fixed to 1700	Globe
HILDA	Fuchs et al. (2015b)	1900	2010	gross	EU27 ^b +CH
		1900	2010	net	EU27 ^b +CH
		1900	2010	LUC fixed to 1900	EU27 ^b +CH
LUH	1500-2005: Hurtt et al. (2011) extension until 2014: e.g. Le Quéré et al. (2015)	1900 ^c	2014	net	EU27 ^b +CH
		1900 ^c	2014	LUC fixed to 1900	EU27 ^b +CH

^a1700 was selected as earliest start year, ^bEU 2007-2013, ^c1900 was selected as start year for European simulations.



845 Table 2. Changes in C stocks and fluxes in global reconstructions of net and gross LUC changes. Land use change flux (E_{LUC}) and cumulative land use flux E_{LUC} , Net primary productivity (NPP), C stocks in vegetation and soils. Values are always given as 10-year averages (except LUC areas and cumulative flux).

Averaging period	Calculation	Unit	LUH (net)	RAMA (net)	HYDE (net)	Average and uncertainty for the LUC models	LUH (gross)	difference L,UH (gross-net)
Natural area	A_{nat}	10^6 km^2	124.48	124.35	126.54	124.46 ± 2.03	122.48	0
Natural area	A_{nat}	10^6 km^2	85.39	90.31	84.97	86.89 ± 2.97	85.39	0
Pasture area	A_{pas}	10^6 km^2	7.45	4.89	3.32	5.22 ± 2.08	7.45	0
Pasture area	A_{pas}	10^6 km^2	32.38	27.04	32.81	30.74 ± 3.21	32.38	0
Cropland area	A_{crop}	10^6 km^2	2.80	3.49	2.86	3.05 ± 0.38	2.80	0
Cropland area	A_{crop}	10^6 km^2	14.96	15.38	14.95	15.10 ± 0.25	14.96	0
Change in natural area	ΔA_{nat}	10^6 km^2	-37.09	-34.04	-41.57	-37.57 ± 3.79	-37.09	0
Change in pasture area	ΔA_{pas}	10^6 km^2	+24.93	+22.15	+29.49	$+25.52 \pm 3.71$	+24.93	0
Change in cropland area	ΔA_{crop}	10^6 km^2	+12.16	+11.89	+12.09	$+12.05 \pm 0.14$	+12.16	0
Total area under transition	ΣA_{trans}	10^6 km^2	51.92	78.59	64.62	65.0 ± 13.3	243.80	+191.88
Change in area under transition	ΔA_{trans}	$\text{km}^2 \text{ a}^{-1}$	+5137	+7259	+6703	$+6366 \pm 1101$	+5549	+412
E_{LUC}								
1750-2007	$E_{LUC,NetGross}$	Pg C a^{-1}	0.81	0.87	0.89	0.86 ± 0.04	0.94	+0.13
1750-2014	$E_{LUC,NetGross}$	Pg C a^{-1}	0.84	-	-	-	0.96	+0.12
1980-1989	$E_{LUC,NetGross}$	Pg C a^{-1}	1.10	1.40	1.55	1.35 ± 0.23	1.28	+0.18
1990-1999	$E_{LUC,NetGross}$	Pg C a^{-1}	1.18	1.57	2.65	1.80 ± 0.76	1.41	+0.23
1998-2007	$E_{LUC,NetGross}$	Pg C a^{-1}	1.17	2.00	2.06	1.74 ± 0.49	1.38	+0.20
2005-2014	$E_{LUC,NetGross}$	Pg C a^{-1}	1.50	-	-	-	1.64	+0.14
1750-2007	$\Sigma E_{LUC,NetGross}$	Pg C	210.02	225.18	228.95	221.38 ± 10.02	242.04	+32.02
1750-2014	$\Sigma E_{LUC,NetGross}$	Pg C	222.29	-	-	-	255.27	+32.98
1700-1709	$NPP_{NetGross}$	Pg C a^{-1}	50.18	52.10	52.21	51.50 ± 1.14	50.04	-0.14
1998-2007	$NPP_{NetGross}$	Pg C a^{-1}	58.9	59.79	60.85	59.85 ± 0.97	57.49	-1.42
2005-2014	$NPP_{NetGross}$	Pg C a^{-1}	59.95	-	-	-	58.46	-1.49
1998-2007	$NPP_{NetGross}-NPP_{ref}$	Pg C a^{-1}	-1.92	-3.32	-2.44	-2.56 ± 0.71	-3.33	-1.42
2005-2014	$NPP_{NetGross}-NPP_{ref}$	Pg C a^{-1}	-2.26	-	-	-	-3.75	-1.49
1700-2014	$VegC_{NetGross}$	Pg C	435	-	-	-	419	-16
1700-1709	$VegC_{NetGross}$	Pg C	464.18	495.70	497.19	485.69 ± 18.65	461.35	-2.83
1998-2007	$VegC_{NetGross}$	Pg C	414.62	439.10	435.22	429.65 ± 13.15	380.43	-34.20
2005-2014	$VegC_{NetGross}$	Pg C	421.48	-	-	-	386.64	-34.84
1960-1969	$VegC_{NetGross}-VegC_{ref}$	Pg C	-109.49	-114.12	-108.21	-110.61 ± 3.11	-137.06	-27.58
1998-2007	$VegC_{NetGross}-VegC_{ref}$	Pg C	-140.36	-153.41	-159.42	-151.06 ± 9.74	-174.56	-34.20
2005-2014	$VegC_{NetGross}-VegC_{ref}$	Pg C	-148.43	-	-	-	-183.27	-34.27
1700-2014	$SoilC_{NetGross}$	Pg C	1425.85	-	-	-	1420.81	-5.04
1700-1709	$SoilC_{NetGross}$	Pg C	1445.96	1511.65	1516.06	1491.22 ± 39.26	1445.58	-0.38
1998-2007	$SoilC_{NetGross}$	Pg C	1404.20	1471.83	1478.08	1451.37 ± 40.97	1393.43	-10.77
2005-2014	$SoilC_{NetGross}$	Pg C	1406.78	-	-	-	1395.56	-11.22
1998-2007	$SoilC_{NetGross}-SoilC_{ref}$	Pg C	-75.80	-75.04	-73.54	-74.79 ± 1.15	-86.57	-10.77
2005-2014	$SoilC_{NetGross}-SoilC_{ref}$	Pg C	-77.74	-	-	-	-88.96	-11.22
Change in vegetation C due to LUC								
1700-2014								
1700-1709								
1998-2007								
2005-2014								
Change in soil C due to LUC								
1700-2014								
1700-1709								
1998-2007								
2005-2014								



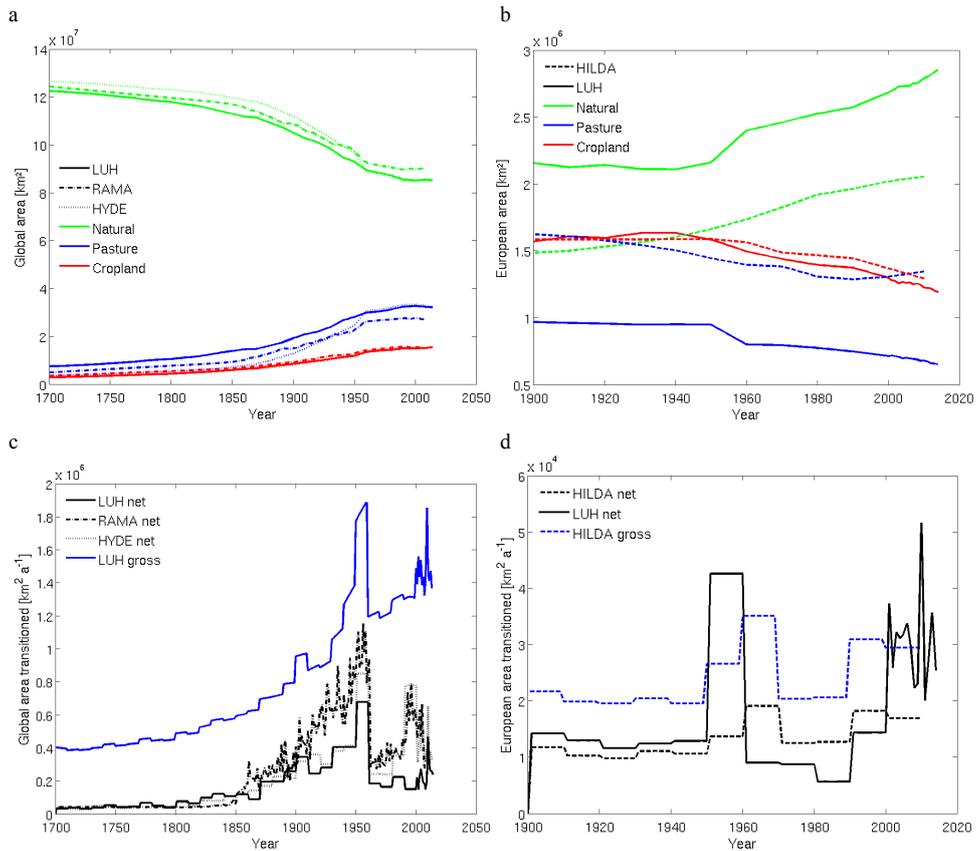
Table 3. Changes in C stocks and fluxes in reconstructions of net and gross LUC changes for Europe (EU27+CH). Values are always given as 10-year averages (except LUC areas and cumulative flux).

	Averaging period	Calculation	Unit	HILDA (net)	LUH (net)	Average and uncertainty ^a for the LUC models	HILDA (gross)	difference HILDA (gross-net)
Natural area	1900	A_{nat}	10^6 km^2	1.49	2.16	1.83 ± 0.47	1.49	0
	2010	A_{nat}	10^6 km^2	2.06	2.79	2.43 ± 0.52	2.06	0
Pasture area	1900	A_{pas}	10^6 km^2	1.62	0.97	1.30 ± 0.46	1.62	0
	2010	A_{pas}	10^6 km^2	1.35	0.68	1.02 ± 0.47	1.35	0
Cropland area	1900	A_{cro}	10^6 km^2	1.59	1.57	1.58 ± 0.01	1.59	0
	2010	A_{cro}	10^6 km^2	1.29	1.23	1.26 ± 0.04	1.29	0
Total change in natural area	1900-2010	A_{nat}	10^6 km^2	+0.57	+0.63	$+0.60 \pm 0.04$	+0.57	0
	1900-2010	A_{pas}	10^6 km^2	-0.29	-0.34	-0.28 ± 0.01	-0.29	0
Total change in cropland area	1900-2010	A_{cro}	10^6 km^2	-0.28	-0.29	-0.32 ± 0.03	-0.28	0
	1900-2010	ΣA_{nat}	10^6 km^2	1.47	1.87	1.67 ± 0.29	2.64	+1.17
E_{LUC}	1900-1909	$E_{LUC}^{NetGross}$	Tg C a^{-1}	19	38	29 ± 13	29	+9
	1980-1989	$E_{LUC}^{NetGross}$	Tg C a^{-1}	-25	-78	-51 ± 37	-26	-1
	1990-1999	$E_{LUC}^{NetGross}$	Tg C a^{-1}	-38	-84	-61 ± 33	-38	0
	2001-2010	$E_{LUC}^{NetGross}$	Tg C a^{-1}	-52	-80	-66 ± 20	-51	+1
cum(E_{LUC})	1951-1960	$\Sigma E_{LUC}^{NetGross}$	Tg C	586	1338	962 ± 532	915	+329
	2010	$\Sigma E_{LUC}^{NetGross}$	Tg C	-936	-1 890	674 ± 48	-531	+406
NPP	1900-1909	$NPP^{NetGross}$	Tg C a^{-1}	1 464	1 517	$1 490 \pm 38$	1 462	-2
	2001-2010	$NPP^{NetGross}$	Tg C a^{-1}	2 261	2 361	$2 311 \pm 71$	2 243	-18
Change in NPP due to LUC	1900-2010	$NPP^{NetGross} - NPP^{Ref}$	Tg C a^{-1}	-30	-10	-20 ± 14	-44	-14
	2001-2010	$NPP^{NetGross} - NPP^{Ref}$	Tg C a^{-1}	-57	+10	-23 ± 47	-74	-18
Vegetation C	1900-1909	$VegC^{NetGross}$	Tg C	7 755	9 634	$8 694 \pm 1 328$	7 715	-40
	2001-2010	$VegC^{NetGross}$	Tg C	10 518	13 484	$12 001 \pm 2 097$	10 360	-159
Change in vegetation C due to LUC	1900-2010	$VegC^{NetGross} - VegC^{Ref}$	Tg C	-58	-234	-146 ± 125	-199	-141
	2001-2010	$VegC^{NetGross} - VegC^{Ref}$	Tg C	+709	+1 217	$+963 \pm 359$	+551	-159
Soil C	1900-1909	$SoilC^{NetGross}$	Tg C	58 786	60 672	$59 729 \pm 1 334$	58 775	-11
	2001-2010	$SoilC^{NetGross}$	Tg C	60 016	62 188	$59 761 \pm 1 536$	59 761	-254
Change in soil C due to LUC	1900-2010	$SoilC^{NetGross} - SoilC^{Ref}$	Tg C	-199	-314	-256 ± 81	-368	-169
	2001-2010	$SoilC^{NetGross} - SoilC^{Ref}$	Tg C	-29	+291	$+131 \pm 226$	-283	-254

^anote that the uncertainty given here is calculated between 2 values.



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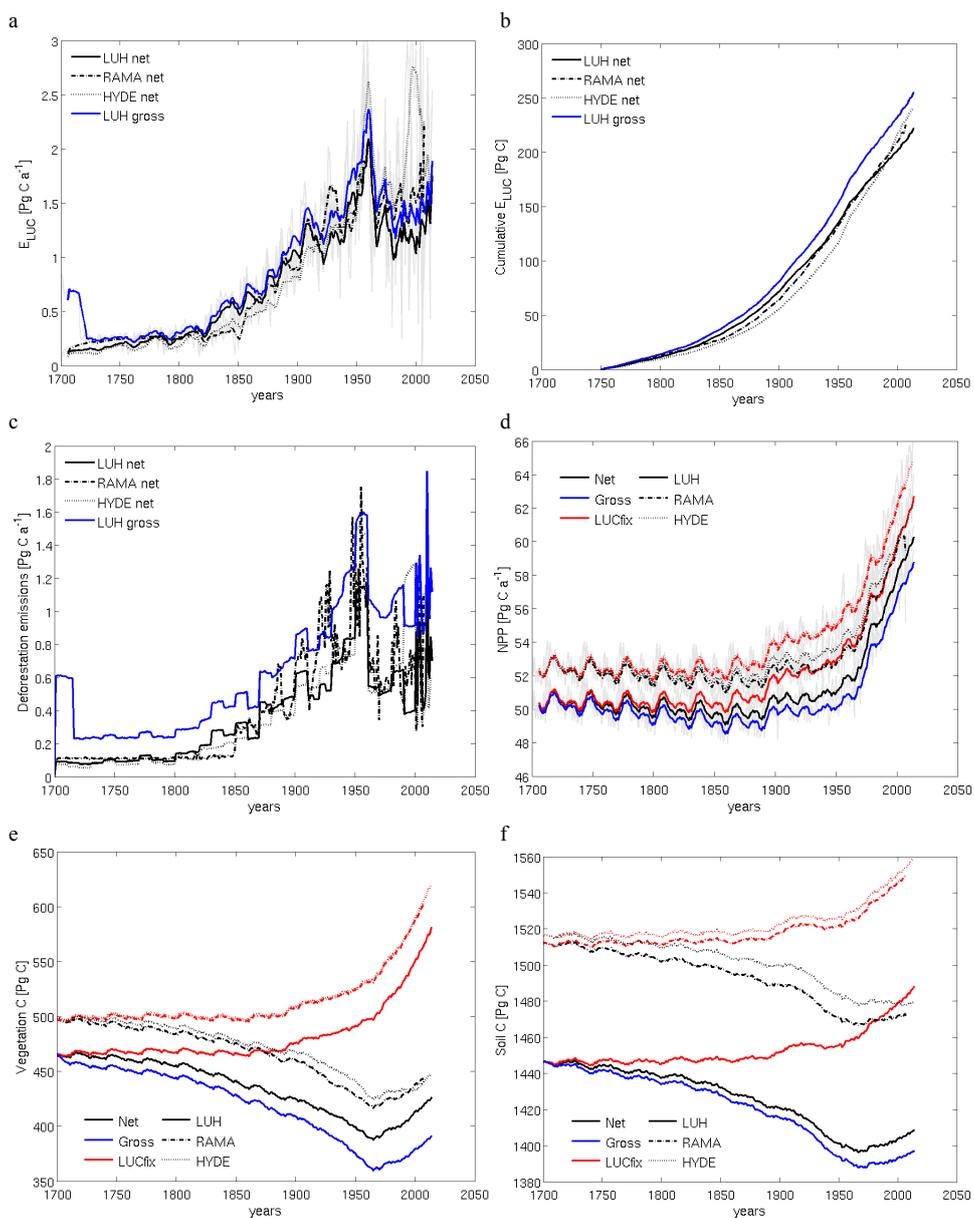


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Fig. 1. Land use types and transitions in global and European (EU27+CH) LUC reconstructions. Evolution of absolute land area of croplands, pastures and natural vegetation (including barren land) in different (a) global historical land use reconstructions (LUH: solid line, RAMA: dash-dotted line, HYDE: dotted line), and (b) European land use reconstructions (HILDA: dashed line, LUH: solid line). Land area experiencing gross and net land transitions on global scale (c) and for Europe (d). Note the change to annual resolution in the LUH reconstruction after the year 2000.



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Fig. 2. Effects of different land use representations on global ecosystem C stocks and fluxes: Land use flux (a), cumulative land use flux (b), deforestation emissions (c), Net primary productivity (NPP) (d), vegetation (e) and soil carbon stocks (f). Flux values in (a) and (d) are given as 15-yr averages with original values in the background.

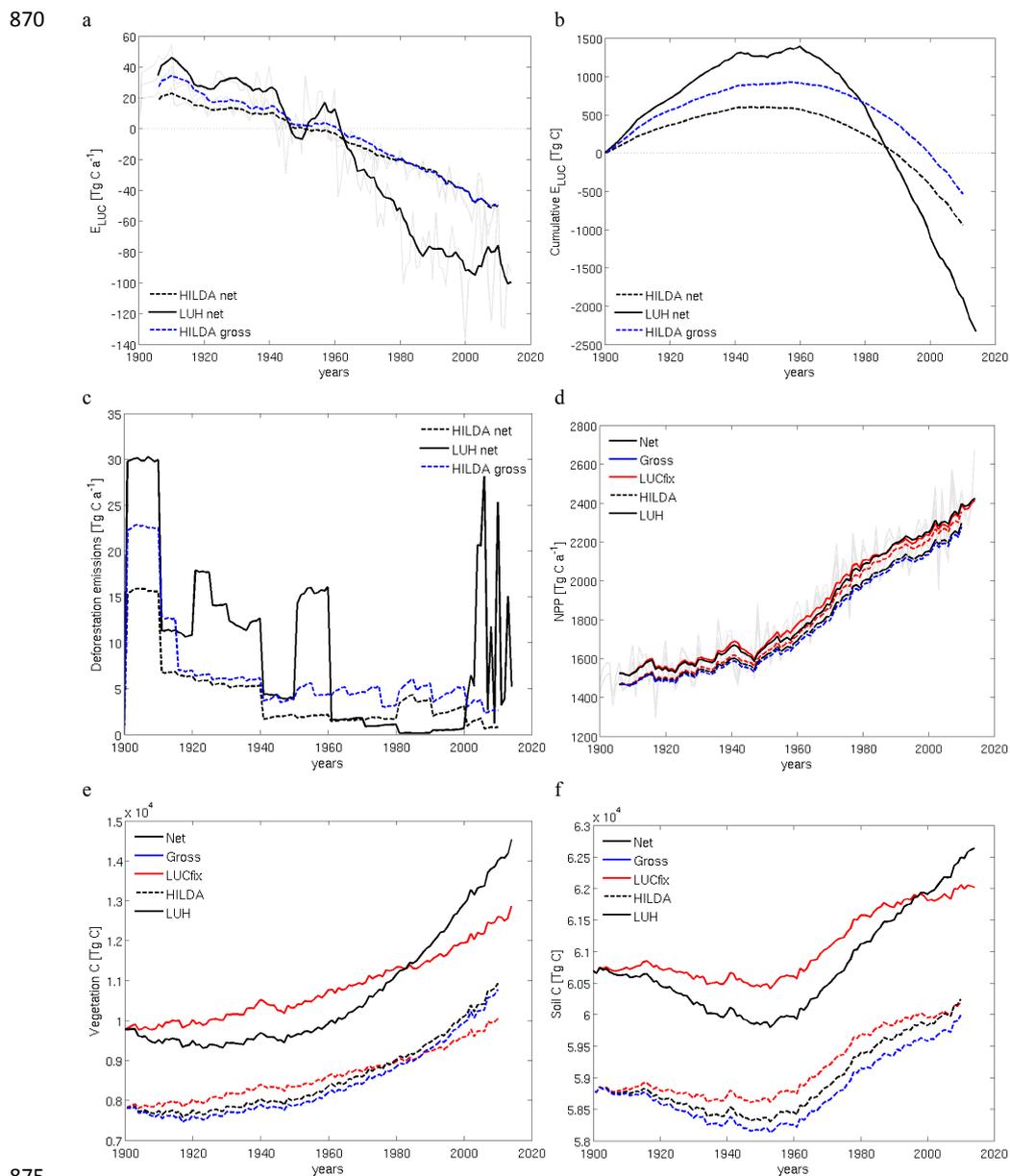


Fig. 3. Effects of different land use representations on ecosystem C stocks and fluxes for Europe (EU27+CH): Land use flux (a), cumulative land use flux (b), deforestation emissions (c), Net primary productivity (NPP) (d), vegetation (e) and soil C (f). Flux values in (a) and (d) are given as 15-yr averages with original values in the background.



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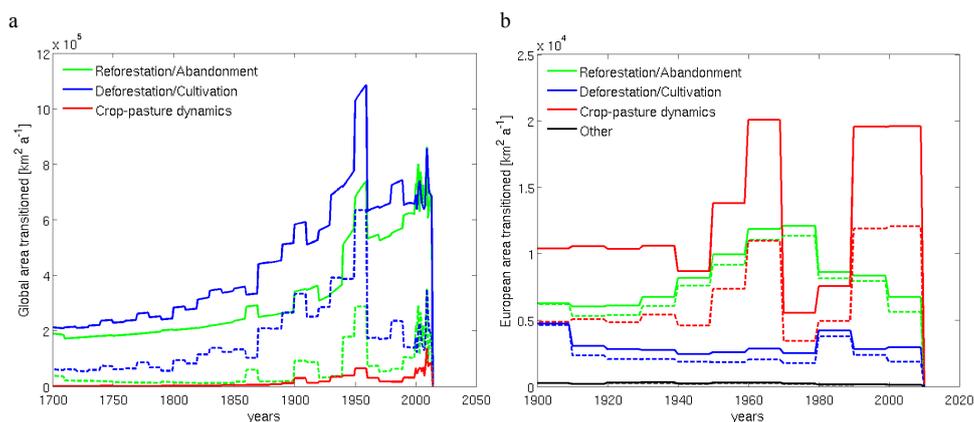


Fig. 4. Annual area transitions for major land change processes for global (a, data from LUH) and European (b, EU27+CH, data from HILDA) gross (solid lines) and net (dashed lines) datasets. The class “other” in (b) includes transitions between natural vegetation and barren land represented in the HILDA dataset.