

1 Dear Editor-in-chief,  
2  
3 Thank you for the consideration of our manuscript. This file includes our previous point-  
4 by-point response to the reviewers and the tracked changed version of our new  
5 manuscript. The track changes to the supplementary file are also included at the end.  
6  
7 On behalf of the authors,  
8 Chris Colose

We appreciate the time and effort by the referee in reviewing this manuscript. We will address all issues, as highlighted below (reviewer text in red):

“The ITCZ shifts away from the hemisphere with greater forcing”- please specify that you mean greater NEGATIVE or VOLCANIC forcing.

Agreed that this is improved phrasing, we will correct to “The ITCZ shifts away from the hemisphere with greater volcanic forcing.”

Line 136- how many latitudinal bands does G08 use? Also line 144- could you add some more detail about the G08 dataset and how it was derived- e.g. ice core based? And more information about the aerosol transport model?

and,

Line 157 The stratospheric sulfate aerosol loadings given by G08 are a function of latitude, altitude and month”- What resolution is G08 both horizontally and vertically?

The G08 dataset provides sulfate aerosol loading from 9 km to 30 km (at 0.5 km resolution) for each 10° latitude belt (from 90°S to 90°N – i.e., 18 latitude bands). It is indeed derived from ice core sulfate records. We will add more information in the revised manuscript.

Line 140- “the impact of these smaller amplitude and slowly varying forcings is very small.”- Did you test this, or is it speculation?

During the preparation of this paper, this was tested in single-forcing runs in CESM, and also with multiple (simultaneous) forcings in the GISS model (each without volcanoes). The “composite” results obtained by averaging over the same dates as in the volcano composites are indistinguishable from noise, and averaging over hundreds of “events” would produce a nearly blank anomaly map (white almost everywhere), due to averaging out internal variability and the fact that any instance of solar or land-use forcing (relative to a five year interval immediately prior) will be extremely small. If the analysis were repeated in a control simulation, the results would be indistinguishable.

Line 154- is this at different levels in the stratosphere as well?

Yes– in the GISS model, there is an optical thickness from 15-35 km. We will make a note of this in the revised text.

Line 175- How big is Pinatubo for comparison?

Pinatubo remains elevated at ~20-30 Tg sulfate aerosol in the G08 dataset for about a year, and drops off to <1 Tg after 4-5 years. We will add this to the revised text.

Line 184- is there any reason for using MJJAS and NDJFM for the warm and cold season rather than MJJASO and NDJFMA? I expect the results would be similar, but I’m just intrigued!



In our analyses, we intended only to capture any sensitivity of the anomalous response to seasonality, and we feel our choice is appropriate for that target. In the early stages of the manuscript we did the analysis for DJF and JJA, and decided to expand the month range to include more of the data, but the conclusions did not change, and any differences were barely noticeable “by eye.”

Lines 217-218 – “The G08 reconstructions used a simple transport model that does not allow for cross-equatorial aerosol transport” –I’m a bit confused as to what exactly this means and what the implications are- does it mean that if an eruption happens one side of the equator that none of the aerosols go to the other side?

We apologize for the confusion, and will modify the text. Two datasets emerged from the G08 study, the first an aerosol injection dataset for each hemisphere (in mass units). The second dataset (used for forcing GCMs) provides latitude/altitude information of aerosol concentration, at the resolutions previously mentioned. In the second dataset, the spatial evolution was derived from a simple model that parameterizes transport between the tropics, extratropics, and poles, and they interpolated the vertical distribution of aerosols based on information from the Pinatubo eruption. Cross-equatorial transport of aerosol was not allowed, and so tropical eruptions that left an imprint in both Polar Regions were represented by separate aerosol injections in both hemispheres. This was done since the ice core estimates provide information on the hemispheric distribution of volcanic aerosols, information that could only be preserved in their setup if hemisphere-only transport was permitted.

It is true that these details influence the volcanic forcing in all of the CMIP5/PMIP3 (and CESM LME) runs that utilized G08, and we do not take a position in this paper on the realism of the reconstruction. Improvements in volcanic forcing are at the forefront of research on last millennium climate, and we expect advances in CMIP6. For our purposes, however, this does not matter since the different composites (ASYMM<sub>NH</sub>, ASYMM<sub>SH</sub>, SYMM) have been formed from a forcing distribution that was imposed on the GCM and is perfectly defined. Thus, while forcing uncertainty (either in timing, magnitude, or spatial structure) is an important consideration for connecting the model results with paleoclimate proxy data, the responses we report are self-consistent with the forcing.

Line 221- does this imply that Tambora has more aerosols in the NH than SH? Or that it is symmetrical. Can you be more specific?

Tambora is actually a SYMM event in our composites (and would be if we used the Crowley volcanic reconstruction as well), based on the criteria we used of a <25% ratio in hemispheric-mean aerosol loading. However, there are slightly more aerosols in the NH in G08 and slightly more in the SH in Crowley. We will clarify this, but the main point in making this statement was that there is uncertainty in the reconstructions.

101 Line 248 “In the ASYMMNH and SYMM results, the cooling peaks over the Eurasian  
 102 and North American continents.” - But not in SYMM MJJAS  
 103  
 104 This is correct, we will modify the text. Thank you.  
 105  
 106 Line 250: Mid latitudes? or is it more high latitudes? Maybe mid to high latitudes?  
 107  
 108 We will write “mid-to-high latitudes.” Thank you.  
 109  
 110 Line 264: “suggesting AET away from the forced hemisphere” Do you mean towards?  
 111  
 112 Yes, thank you for spotting this typo.  
 113  
 114 Line 271: “after normalizing each event by a common global aerosol mass excursion,  
 115 thereby accounting for differences in the average forcing among the different eruptions”.  
 116 Maybe add a caveat that this doesn’t take into account things like coagulation of aerosols  
 117 for bigger eruptions which tends to reduce climate effects for a given mass  
 118 of aerosols (see Timmreck et al 2009), and assumes that the response pattern scales  
 119 linearly. For ITCZ excursions, the end of the paper suggests that this is not the case for  
 120 asymmetric forcing- the ITCZ moves more for a bigger forcing gradient between  
 121 hemispheres.  
 122  
 123 Agreed on all points, thank you. We will modify the text to caution interpreting a  
 124 normalized metric in the presence of non-linear effects.  
 125  
 126 Line 288- does this alignment error affect all/many eruptions in this dataset? Or is it just  
 127 Laki?  
 128  
 129 Most eruptions are not impacted. There were a few smaller events that were also not  
 130 included or mis-aligned, described in  
 131 <http://climate.envsci.rutgers.edu/IVI2/IVI2Version2ReadMe.pdf> (all of the participating  
 132 models that used G08 used version one of this dataset). We will carefully check whether  
 133 any of our dates require a similar caveat as we did for Laki, which is a much larger and  
 134 more well-known NH (Icelandic) eruption.  
 135  
 136 Line 323- Maybe mention some more of the ENSO and volcano studies that have been  
 137 done in the past- Whether or not volcanoes influence ENSO was certainly a bit of a  
 138 controversial issue in the literature at one point. I am not totally up to date with the most  
 139 recent studies though. Line 336- how big is a 0.5 C El Nino anomaly compared to a  
 140 typical El Nino event in the model? (e.g. a 1 standard deviation event?) Also, is it  
 141 statistically significant?  
 142  
 143 We will add citations to the revised manuscript to improve the ENSO segment.  
 144  
 145 In CESM, the El Niño events are too large (relative to observed amplitudes over the  
 146 historical period) and a 0.5 °C anomaly is well within the model’s range of natural

variability. However, the composite results always represent an average over hundreds of events, and the event mean stands out following volcanic eruptions (Figure S6). We will improve the statistical justification for this conclusion in the revised manuscript. We interpret the positive SST anomalies in the eastern Pacific (in the composite) as a forced response, or equivalently, we argue that volcanoes pre-condition the system toward El Niño conditions. We will clarify this in the text and in the presentation of Figure S6.

“Line 345- It might be helpful to remind the reader that Samalas is somewhere between NH and SYMM.”

We will add a note to the revised text. Thank you.

Line 364 “[rivers] are a useful variable in the context of monitoring since they integrate precipitation changes over time” – and space. I would have thought that rivers would be more useful in integrating precipitation changes over space than over time?

We agree; we will re-phrase the sentence. Thank you.

Lines 371-393- I feel that these paragraphs disproportionately emphasise the regions where streamflow increases, when it actually decreases in a lot of areas. Can you make it more balanced? Line 383-384 In ASYMMSH, “the ITCZ moves northward, resulting in reduced river flux in the Amazon sector and increases in the Niger of central/wester Africa” This is true for summer, but river flow decreases over the Niger in winter.

We emphasized increases just because there is previous literature that reports declines in riverflow following large eruptions, but we fully agree the discussion should be more balanced. We will modify the text to highlight both increases and decreases in river flow.

Line 407- is it worth mentioning that there are factors that affect d18O in the process of being incorporated into paleo archives from precipitation? Lines 426-417- could you outline briefly how the amount and temperature effects work and in which direction they affect d18O concentrations?

In this paper, we wish to avoid discussing/speculating on the proxy system itself (e.g., cave-specific sites, etc.), which is a further complication for paleoclimate. The aim here was to highlight the large-scale imprint on d18O in precipitation following volcanic eruptions.

We will add text to make clear the known d18O-T and d18O-P relationships. Thank you.

Line 455 “In regions where tropical South American precipitation does not exhibit very large changes, such in the NDJFM SYMM composites, temperature may explain much of the isotopic response, again consistent with findings in Colose et al. (2016).” Can you specify in which direction and how temperature affects the isotopic response?

As above, we will revise the manuscript to make this explicit. Thank you.

193  
 194 Line 470-1:- are the arrows the right way round for the LW fluxes? They seem to be the  
 195 opposite way round to the SW ones.  
 196  
 197 There was a mistake in this section. We will modify the SW arrows. Thank you.  
 198  
 199 Line 509 “Moisture makes it more difficult for the tropical circulation to transport energy  
 200 poleward”. How?  
 201  
 202 In the tropics, the latent heat flux is towards the Equator owing to the transport of moist  
 203 air in the low-level branch of the Hadley circulation. The circulation that cools (warms)  
 204 the deep tropics (subtropics) by adiabatic expansion (compressional heating) also carries  
 205 latent heat equatorward.  
 206  
 207 Figure 1: It would be nice to be able to see the absolute size of the volcanoes as well as  
 208 the hemispheric contrast in aerosol loading- can you put in an extra time series? At the  
 209 moment a perfectly symmetrical eruption will not show up at all. The overlap between  
 210 the red and black lines also makes it difficult to see how big the black lines are in some  
 211 cases. Also- what does FSNTC stand for?  
 212  
 213 We agree. We will create a completely new figure 1 to also highlight the absolute size of  
 214 the eruptions and remove line overlap.  
 215  
 216 FSNTC is the name of the clear-sky net shortwave flux (at TOA) diagnostic in the CESM  
 217 (CAM) history fields. We will replace this for clarity.  
 218  
 219 Figure 2- I assume this is surface temperature? (Rather than temperature at a different  
 220 level in the atmosphere?)  
 221  
 222 Yes– all temperature plots (except the latitude-pressure 3-D temperature figure in the  
 223 supplemental) are for the surface. We will write this in a revised caption for clarity.  
 224  
 225 Figure 8 panel a- the legend is a bit small. Panels b and c- The colour of the thin lines is  
 226 confusing because they are not that similar to their corresponding thick line- e.g. the thin  
 227 orange lines look like they go with total AET rather than the dry component.  
 228 Also- what depth of ocean is this for? All of it? And: “Grey envelope corresponds to the  
 229 total AET anomaly vs. latitude in a control simulation using 50 realizations of a  
 230 composite formed from the same dates as the ASYMMNH results”- I am not sure I  
 231 entirely understand what you mean by this- are there 50 control runs? If there is only one  
 232 control run, how are there 50 realisations if the same dates are used each time?  
 233  
 234 Thank you for highlighting an error in the description. First, we will remove the  
 235 climatological ocean heat transport curve, since it is not part of our study. The poleward  
 236 heat transport was for the full ocean. We will make the colors of all lines on the anomaly  
 237 plots consistent with those used on the climatology plot, and improve the legend size.  
 238

There is only one control run. The anomalous transport plots show the post-volcanic spread in AET and its dry/latent components (each line shows a different eruption after averaging over the ensemble member dimension). The grey envelope and rectangles in Figure 8b,c are there to illustrate that the post-volcanic response is typically larger than would be expected if the analysis were repeated on a control simulation. To do this, we selected 16 different two-year intervals (each expressed as an anomaly relative to the previous five years) in the 850-1850 C.E. period, and averaged those 16 anomaly fields together. This analysis produces a single line in the transport-latitude plane, which does not collapse to zero due to the finite averaging size. Averaging over a larger number of cases than 16 would suppress the spread further, essentially mimicking the effect of having a larger ensemble. The analysis is repeated 50 times, in each case with a random selection of years, in order to generate a spread in AET anomaly at each latitude. This is a benefit of a long control run.

The value we used should be the size of the actual ensemble for comparison, which was 14 in the discussion manuscript (for this figure). Since then, additional ensemble members have been released (now 17), so the analysis will be repeated and reflected in all plots and in the discussion. We will improve the caption and discussion.

Figure 9: Could panels a and b be on the same scales to make them more obviously comparable?

Yes, we will revise the figure. Thank you.

Supplement figure S7- You don't mention what the box is showing.

Thank you, this is the Niño 3.4 domain. We will insert this in the figure caption.

Technical corrections: Line 226- you have missed Iles and Hegerl 2015 off the reference list at the end.

and,

Line 454 – “such >AS< in the NDJFM SYMM composites”

Thank you, we will modify the text and reference section accordingly.

274 We appreciate the time and effort by the referee in reviewing this manuscript. We will  
 275 address all issues, as highlighted below (reviewer text in red):  
 276  
 277 Line 29-30: Revise “the isotopic composition of the ITCZ” to “the isotopic composition  
 278 of precipitation in the ITCZ”.  
 279  
 280 Thank you for the suggestion– we will modify the text.  
 281  
 282 Line 33-35: Revise the final sentence of the abstract (“for the testing of models against  
 283 paleoclimate evidence.”) to be more specific.  
 284  
 285 We will change to, “Our results highlight the need for the careful consideration of the  
 286 spatial structure of volcanic forcing for interpreting volcanic signals in proxy records, and  
 287 therefore in evaluating the skill of Common Era climate model output.”  
 288  
 289 We will add an example figure in the supplementary along these lines for the Asian  
 290 monsoon, which exhibits a different response to our northern or southern volcano  
 291 categories.  
 292  
 293 Line 75 (and elsewhere): Revise reference “Adam et al., 2016, in press” to “Adam et al.,  
 294 2016”  
 295  
 296 Thank you, we will update the reference.  
 297  
 298 Line 87: Remove semi-colon before references.  
 299  
 300 Thank you for noticing this. It looks like an extra parenthesis needs to be removed.  
 301  
 302 Line 89: Add “in” between “asymmetries” and “the”.  
 303  
 304 Thank you, we will correct.  
 305  
 306 Line 189-190: Are the previous five seasons or five years used as a reference period?  
 307 Both are mentioned.  
 308  
 309 We will clarify. Anomalies are always with respect to the same time of year evaluated  
 310 (e.g., NDJFM is relative to the previous five NDJFM’s), otherwise the seasonal cycle  
 311 becomes part of the response. For figures showing the monthly evolution of some  
 312 variable (e.g., Figure S6), anomalies are with respect to the previous five Januaries,  
 313 Februaries, etc., or for annual-mean metrics (Figure 8-9) the reference period is the full  
 314 60-month interval prior to the eruption.  
 315  
 316 Line 268: Remove “that these results are consistent with”, as it is unnecessary and  
 317 diminishes the clarity of the sentence.  
 318  
 319 Thank you, we will re-word this part.

320  
 321 Line 281: “the ITCZ shift may result in” May result in or does result in? Has this been  
 322 specifically evaluated in your analysis?  
 323 and,  
 324 Line 282-283: Rephrase “since the precipitation signal is strongest moving with the  
 325 ITCZ”. Unclear what is meant here.  
 326  
 327 Yes, Figure 5 shows this. We will re-word the sentence with stronger language and  
 328 clarity, but the answer has eruption/ensemble member dependence and so cannot be made  
 329 into a general statement. More often than not, however, precipitation increases in the  
 330 hemisphere-mean when the ITCZ moves toward it. The mean is strongly sensitive to  
 331 what happens in the ITCZ domain itself (rather than e.g., extratropical precipitation  
 332 changes) since the amplitude of the anomaly is very large, and located in the deep tropics  
 333 where the grid cell areas are larger.  
 334  
 335 Line 291: Rephrase “and therefore we restrict the anomalous precipitation field to a  
 336 single season” to “and therefore we restrict the anomalous precipitation field to the same  
 337 season.”  
 338  
 339 Thank you for the improved edit.  
 340  
 341 Line 296-303: The reference to Fig. S8 is missing. I suggest revising or removing this  
 342 paragraph, as it does not seem to add any new substantive information to the discussion.  
 343  
 344 Thank you for pointing out that we missed the reference, we will edit the paragraph to  
 345 improve the presentation and connection to the animations.  
 346  
 347 Line 325: Replace “eruptions in Table 1, multiplied by 15 ensemble members” to “16  
 348 eruptions in Table 1, multiplied by 15 ensemble members”.  
 349 and,  
 350 Line 338: Suggest replacing “In addition” with “Consistent with the SST anomalies,”  
 351 and,  
 352 Lines 341-344: Suggest replacing “we argue that the El Niño tendency in CESM is a  
 353 forced response in ASYMMNH but otherwise depends on the state of internal variability  
 354 concurrent with a given eruption. This explains why no such ENSO response is  
 355 associated with” with “we argue that the El Niño tendency in CESM is a forced response  
 356 in ASYMMNH but otherwise depends on the state of internal variability concurrent with  
 357 a given eruption, as no such ENSO response is associated with...”  
 358  
 359 Agreed with all recommendations. Thank you.  
 360  
 361 Lines 432: Rephrase or revise figure reference. Figure S5 shows that the NH-SH zonally  
 362 precipitation asymmetry is correlated to the AOD gradient. It does not show a correlation  
 363 between (18Op) and P.  
 364  
 365 This is correct, thank you. We will clarify this part of the paper.

366  
367 Line 466-467 (Eqn 3): Derive this equation from first principles, or provide a description  
368 of how Eqn. 3 was derived (e.g. modify Eqn. 1 in Hwang and Frierson, GRL, 2010 to  
369 include the storage term).  
370  
371 Thank you, we will add references to justify the equation.  
372  
373 Lines 523-527: Is this data shown? If not, state as such.  
374  
375 We did show the anomalous latent energy flux (lines 523-524) in Figure 8. We did not  
376 explicitly show a regression between AETeq and EFE (524-527) and we will state this.  
377  
378 Lines 537-538: Unclear what is meant by “the anomalous precipitation response is still  
379 coherent”. Rephrase to clarify.  
380 and,  
381 Lines 552-553: Replace “regressing the different events in all three categories together”  
382 to “regressing the precipitation median against the AETeq for each eruption (after  
383 averaging over ensemble members)”.  
384 and,  
385 Lines 552-554: Cite which figure this data is taken from. Also add the equation of the  
386 regression lines and correlation coefficients in Fig. 9.  
387  
388 We agree with the above and will re-phrase and modify the text or figures accordingly.  
389  
390 Lines 565-574: It is unclear how to interpret the representation of the ITCZ shift  
391 presented in Eqn. 7 (and the relationship between the ITCZ shift and AHTeq) without a  
392 theoretically-constrained N. It doesn’t appear valid (or meaningful) to conclude that  
393 “energetically, it is quite easy to move the ITCZ”, given that “the slope of the  
394 relationship between ITCZ location and AETeq may vary by a factor of 4-5 depending on  
395 the relationship used”. Further explanation and discussion of this issue is needed here.  
396  
397 Thank you. We will elaborate in the revised text, but we agree that it is difficult to  
398 interpret the magnitude of ITCZ shifts in the absence of a well-defined ITCZ metric.  
399 However, several past papers have reported such numbers, and so here we are  
400 highlighting that the slope is sensitive to which metric one chooses. This is an attempt to  
401 illuminate prior discussions and interpretations rather than offer a “best” N, which may  
402 indeed turn out to not be a useful question.  
403  
404 Lines 574-575: The final sentence in this paragraph seems abrupt and out of place.  
405 Consider adding a few sentences or a paragraph to summarize the findings of the energy  
406 budget analysis.  
407 Line 687: Replace “to” with “in”.  
408 Line 690: Replace “Results shown” with “Results are shown”.  
409 Lines 693-694: Replace “N=the number of events used in each category, consistent with  
410 the number of listed events in Table 1 (multiplied by 15 for CESM and 3 for GISS-E2).”  
411 with “N=the number of events used in each category (consistent with the number



412 of listed events in Table 1, multiplied by 15 ensemble members for CESM and 3  
413 ensemble members for GISS-E2).”  
414 Line 715: Replace “Ensemble/Eruption” with “Composite”  
415 Lines 717-718: Replace “Lighter lines associated with the dry and latent components  
416 indicate the eruption spread, each averaged over 14 ensemble members.” with “Lighter  
417 lines represent individual eruptions, each averaged over 14 ensemble members.”  
418 Fig. 2 and Fig. 4: Revise labels to be consistent with text. E.g. replace “North” and  
419 “South” with “ASYMMNH” and “ASYMMSH”.  
420 Fig. 9: Plot ITCZ shift on same y-axis range for each subplot for visual clarity. Add 1:1  
421 line to bottom left plot for visual clarity. Add equation of regression lines and correlation  
422 coefficients to upper subplots and bottom left subplot.  
423  
424 We agree with all recommendations and will modify the text and figures. Thank you for  
425 the suggestions, and time spent to improve the language of the paper.  
426

427  
428  
429  
430 Hemispherically asymmetric volcanic forcing of tropical hydroclimate during the last  
431 millennium

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**Deleted:** and water isotopologue variability

432  
433 **Christopher M. Colose<sup>1</sup>, Allegra N. LeGrande<sup>2</sup>, Mathias Vuille<sup>1</sup>**

434

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## Abstract

Volcanic aerosols exert the most important natural radiative forcing of the last millennium. State-of-the-art paleoclimate simulations of this interval are typically forced with diverse spatial patterns of volcanic forcing, leading to different responses in tropical hydroclimate. Recently, theoretical considerations relating the intertropical convergence zone (ITCZ) position to the demands of global energy balance have emerged in the literature, allowing for a connection to be made between the paleoclimate simulations and recent developments in the understanding of ITCZ dynamics. These energetic considerations aid in explaining the well-known historical, paleoclimatic, and modeling evidence that the ITCZ migrates away from the hemisphere that is energetically deficient in response to asymmetric forcing.

Here we use two separate general circulation model (GCM) suites of experiments for the Last Millennium to relate the ITCZ position to asymmetries in prescribed volcanic sulfate aerosols in the stratosphere and related asymmetric radiative forcing. We discuss the ITCZ shift in the context of atmospheric energetics, and discuss the ramifications of transient ITCZ migrations for other sensitive indicators of changes in the tropical hydrologic cycle, including global streamflow. For the first time, we also offer insight into the large-scale fingerprint of water isotopologues in precipitation ( $\delta^{18}\text{O}_p$ ) in response to asymmetries in radiative forcing.

The ITCZ shifts away from the hemisphere with greater [volcanic](#) forcing. Since the isotopic composition of [precipitation in](#) the ITCZ is relatively depleted compared to areas outside this zone, this meridional precipitation migration results in a large-scale enrichment (depletion) in the isotopic composition of tropical precipitation in regions the

463 ITCZ moves away from (toward). [Our results highlight the need for careful consideration](#)  
464 [of the spatial structure of volcanic forcing for interpreting volcanic signals in proxy](#)  
465 [records, and therefore in evaluating the skill of Common Era climate model output.](#)

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**Deleted:** Our results highlight the need for careful consideration of the spatial structure of volcanic forcing for both impact assessments and for the testing of models against paleoclimate evidence.

## 1. Introduction

The ITCZ is the narrow belt of deep convective clouds and strong precipitation that develops in the rising branch of the Hadley circulation. Migrations in the position of the ITCZ have important consequences for local rainfall availability, drought and river discharge, and the distribution of water isotopologues (e.g.,  $\delta^{18}\text{O}$  and  $\delta\text{D}$ , hereafter simply referred to as water isotopes, with notation developed in section 3.3) that are used to derive inferences of past climate change in the tropics.

Meridional displacements of the ITCZ are constrained by requirements of reaching a consistent energy balance on both sides of the ascending branch of the Hadley circulation (e.g., Kang et al., 2008, 2009; Schneider et al., 2014). Although the ITCZ is a convergence zone in near-surface meridional mass flux, it is a divergence zone energetically. The stratification of the tropical atmosphere is such that moist static energy (MSE) is greater aloft than near the surface, compelling Hadley cells to transport energy in the direction of their upper tropospheric flow (Neelin and Held, 1987). If the system is perturbed with preferred heating or cooling in one hemisphere, the anomalous circulation that develops resists the resulting asymmetry by transporting energy from the heated to the cooled hemisphere. Conversely, meridional moisture transport in the Hadley circulation is primarily confined to the low-level equatorward flow, so the response of the tropical circulation to asymmetric heating demands an ITCZ migration away from the hemisphere that is energetically deficient. Since the mean circulation dominates the atmospheric energy transport (AET) in the vicinity of the equator, the recognition that the ITCZ is approximately co-located with the latitude where meridional column-integrated

494 energy fluxes vanish has provided a basis for relating the mean ITCZ position to AET.  
495 We note that this perspective focused on atmospheric energetics is distinct from one that  
496 emphasizes sea surface temperature gradients across the tropics (Maroon et al., 2016).

497 This energetic framework has emerged as a central paradigm of climate change  
498 problems, providing high explanatory and predictive power for ITCZ migrations across  
499 timescales and forcing mechanisms (Donohoe et al., 2013; McGee et al., 2014; Schneider  
500 et al., 2014). It is also a compelling basis for understanding why the climatological  
501 annual-mean ITCZ resides in the northern hemisphere (NH); it has been shown that this  
502 is associated with ocean heat transport, which in the prevailing climate is directed  
503 northward across the equator (Frierson et al., 2013; Marshall et al., 2014). The energetic  
504 paradigm also predicts an ITCZ response for asymmetric perturbations that arise from  
505 remote extratropical forcing. This phenomenon is exhibited in many numerical  
506 experiments, is borne out paleoclimatically, and has gradually matured in its theoretical  
507 articulation (Chiang and Bitz, 2005; Broccoli et al., 2006; Kang et al., 2008, 2009;  
508 Yoshimori and Broccoli, 2008, 2009; Chiang and Friedman, 2012; Frierson and Hwang,  
509 2012; Bischoff and Schneider, 2014; Adam et al., 2016).

510 Thus far, however, little or only very recent attention has been given to the  
511 relation between transient ITCZ migrations and explosive volcanism (although see Iles et  
512 al., 2014; Liu et al., 2016, section 2). This connection has received recent consideration  
513 using carbon isotopes in paleo-records (Ridley et al., 2015) or in the context of volcanic  
514 and anthropogenic aerosol forcing in the 20th century (Friedman et al., 2013; Hwang et  
515 al., 2013; Allen et al., 2015; Haywood et al., 2015). The purpose of this paper is to use

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the energetic paradigm as our vehicle for interpreting the climate response in paleoclimate simulations featuring explosive volcanism of varying spatial structure.

Much of the existing literature highlighting the importance of spatial structure in volcanic forcing focuses on the problem of tropical vs. high-latitude eruptions and dynamical ramifications of changing pole-to-equator temperature gradients (e.g., Robock, 2000; Stenchikov et al., 2002; Shindell et al., 2004; Oman et al., 2005, 2006; Kravitz and Robock, 2011), which is a distinct problem from one focused on inter-hemispheric asymmetries in the volcanic forcing. Furthermore, episodes with preferentially higher aerosol loading in the southern hemisphere (SH) have received comparatively little attention, probably due to the greater propensity for both natural or anthropogenic aerosol forcing to be skewed toward the NH.

Here we show that it matters greatly over which hemisphere the aerosol loading is concentrated and that this asymmetry in aerosol forcing has a first-order impact on changes in the tropical hydrologic cycle, atmospheric energetics, and the distribution of the isotopic composition of precipitation.

## 2. Methods

To illuminate how the spatial structure of volcanic forcing expresses itself in the climate system, we call upon two state-of-the-art models that were run over the pre-industrial part of the last millennium, nominally 850-1850 C.E. (hereafter, LM), the most recent key interval identified by the Paleoclimate Model Intercomparison Project Phase 3 (PMIP3). An analysis of this time period is motivated by the fact that volcanic forcing is

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542 the most important radiative perturbation during the LM (LeGrande and Anchukaitis,  
543 2015; Atwood et al., 2016). Furthermore, the available input data that defines volcanic  
544 forcing in CMIP5/PMIP3 feature a greater sample of events, larger radiative excursions,  
545 and richer diversity in their spatial structure than is available over the historical period.  
546 This allows for a robust composite analysis to be performed over this interval.

547 The two GCM's that we use as our laboratory are NASA GISS ModelE2-R  
548 (hereafter, GISS-E2) and the Community Earth System Model Last Millennium  
549 Ensemble (CESM LME, hereafter, just CESM). The GISS-E2 version used here is the  
550 same as the non-interactive atmospheric composition physics version used in the CMIP5  
551 initiative (called 'NINT' in Miller et al., 2014). CESM is a community resource that  
552 became available in 2015 (Otto-Bliesner et al., 2016), employing version 1.1 of CESM  
553 that consists of several component models each representing different aspects of the Earth  
554 system; the atmospheric component is the Community Atmosphere Model version 5  
555 (CAM5, see Hurrell et al., 2013), which in CESM features 1.9° latitude x 2.5° longitude  
556 horizontal resolution with 30 vertical levels up to ~2 hPa. The GISS-E2 model is run at a  
557 comparable horizontal resolution (2° x 2.5°) and with 40 vertical levels up to 0.1 hPa.

558 Both GISS-E2 and CESM feature multiple ensemble members that include  
559 volcanic forcing. There are only a small number of volcanic eruptions in our different  
560 forcing classifications (see below) in each 1000 year realization of the LM, motivating an  
561 ensemble approach to sample multiple realizations of each eruption. There are currently  
562 18 members in CESM, including 13 with all transient forcings during the LM and five  
563 volcano-only simulations. This number is much higher than the number of ensembles  
564 used for participating LM simulations in CMIP5/PMIP3. The volcanic reconstruction is

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576 | based on Gao et al., (2008, [hereafter](#), G08) and the ensemble spread is generated from  
577 | round off differences in the initial atmospheric state ( $\sim 10^{-14}$  °C changes in the  
578 | temperature field). Sampling many realizations of internal variability is critical in the  
579 | context of volcanic eruptions given the different trajectories that can arise in the  
580 | atmosphere-ocean system in response to a similar forcing (Deser et al., 2012).

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581 | For GISS-E2, there exist six available members that include a transient volcanic  
582 | forcing history. Here, however we use only the three simulations that utilize the G08

583 | reconstruction. This [was](#) done in order to composite over the same dates as the CESM  
584 | events, [and because](#) the other volcanic forcing dataset that NASA explored in their suite  
585 | of simulations (Crowley and Unterman, 2013) only provides data over four latitude

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586 | bands, complicating inferences concerning hemispheric asymmetry. [Taken together, there](#)  
587 | [are 21,000 years of simulation time in which to explore the post-volcanic response while](#)  
588 | [probing both initial condition sensitivity and the structural uncertainty between two](#)  
589 | [different models](#). The three GISS-E2 members also differ in the combination of transient  
590 | solar/land-use histories employed, but since our analysis focuses only on the immediate  
591 | post-volcanic imprint, the impact of these smaller amplitude and slowly varying forcings  
592 | is very small. [We tested this using the composite methodology developed below on no-](#)  
593 | [volcano simulations with other single forcing runs \(in CESM\) or with combined forcings](#)  
594 | [\(in GISS-E2\) and found the results to be indistinguishable from that of a control run \(not](#)  
595 | [shown\).](#)

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596 | In both GISS-E2 and CESM, the model response is a slave to the spatial  
597 | distribution of the imposed radiative forcing, which was based on the aerosol transport  
598 | model of G08, rather than the coupled model stratospheric wind field, thus losing

potential insight into the seasonal dependence of the response that may arise in the real world. For our purpose, however, this is a more appropriate experimental setup, since the spatial structure of the forcing is implicitly known (Figure 1).

[The original G08 dataset provides sulfate aerosol loading from 9 km to 30 km \(at 0.5 km resolution\) for each 10° latitude belt. This reconstruction is based on sulfate peaks in ice cores and a model of transport that determines the latitudinal, height, and time distribution of the stratospheric aerosol.](#) In CESM, aerosols are treated as a fixed size distribution in three levels of the stratosphere, which provide a radiative effect, including shortwave scattering and longwave absorption. The GISS-E2 model is forced with prescribed Aerosol Optical Depth (AOD) from 15-35 km, based on a linear scaling with the G08-derived column volcanic aerosol mass (Stothers, 1984; Schmidt et al., 2011), with a size distribution as a function of AOD as in Sato et al (1993) – thus altering the relative long wave and shortwave forcing (Lacis et al, 1992; Lacis, 2015).

We note that the GISS-E2 runs forced with the G08 reconstruction in CMIP5/PMIP3 were mis-scaled to give approximately twice the appropriate AOD forcing, although the spatial structure of forcing in the model is still coherent with G08. For this reason, we emphasize the CESM results in this study. However, we still choose to examine the results from the GISS-E2 model for two reasons. First, we view this error as an opportunity to explore the climate response to a wider range of hemispheric forcing gradients, even though it comes at the expense of not being able to relate the results to actual events during the LM. Secondly, the GISS-E2 LM runs were equipped with interactive water isotopes (section 3.3). A self-consistent simulation of the isotope field in a GCM is important, since it removes a degree of uncertainty in the error-prone

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conversion of isotopic signals into more fundamental climate variables. To our knowledge, an explicit simulation of the isotopic distribution following asymmetries in volcanic forcing has not previously been reported.

In our analysis, we classify volcanic events as “symmetric” (SYMM), and “asymmetric” (ASYMM<sub>X</sub>), where the subscript X refers to a preferred forcing in the Northern Hemisphere (NH) or Southern Hemisphere (SH). Composites are formed from all events within each of the three classifications in order to isolate the volcanic signal. All events must have a global aerosol loading  $> 8 \text{ Tg}$  (1 teragram =  $10^{12} \text{ g}$ ) averaged over at least one five-month period to qualify as an eruption and enter the composite. [For comparison, the 1991 Mt. Pinatubo eruption remains elevated at ~20-30 Tg sulfate aerosol in the G08 dataset for about a year, and drops off to <1 Tg after 4-5 years.](#)

Events fall into the SYMM category if they have less than a 25% difference in aerosol loading between hemispheres, while the ASYMM<sub>NH</sub> events have an at least 25% higher loading in the NH relative to the SH. The opposite applies to events falling into the ASYMM<sub>SH</sub> category. The dates for which these thresholds are satisfied are taken from the original G08 dataset (Table 1), and thus the CESM and GISS-E2 composites are formed using the same events despite the GISS-E2 mis-scaling and other differences in model implementation.

Results are reported for the boreal warm season (averaged over the MJJAS months) and cold season (NDJFM), [except for annual-mean results in Figures 8-9, or for showing the progression of signals at monthly resolution \(Figure S6, S9-S12\).](#) For each eruption, we identify the post-volcanic response by averaging the number of consecutive seasons during which the above criteria are met, typically 1-3 years. All seasons for an

656 eruption lasting [longer](#) than one year are first averaged together to avoid over-weighting  
657 its influence in the composite. [Anomalies are with respect to the corresponding time of](#)  
658 [year during the five years prior to the eruption](#). For overlapping eruptions, the five years  
659 prior to the first eruption are used instead. This relatively short reference period allows  
660 creating composites that are unaffected by changes in the mean background state due to  
661 low-frequency climate change during the LM. Composites for the SYMM, ASYMM<sub>NH</sub>,  
662 and ASYMM<sub>SH</sub> cases are then obtained for each season and model by averaging over all  
663 anomaly fields within the appropriate classification, including all ensemble members. A  
664 two-sided Student's t-test was applied to all composites in order to identify regions where  
665 the anomalous signal is significantly different ( $p < 0.05$ ) from the mean background  
666 conditions.

667 In no case does the classification of a given eruption change over the duration of  
668 the event, with the exception of the largest eruption (Samalas, 1258 C.E.), which  
669 straddles the 25% asymmetry criterion ([SYMM and ASYMM<sub>NH</sub>](#)) throughout the years  
670 following the event. This eruption would project itself most strongly onto the symmetric  
671 [composite](#) but may reasonably be classified as ASYMM<sub>NH</sub> due to the greater absolute  
672 aerosol loadings in the NH. Due to this ambiguity, we omit the Samalas event from our  
673 main results. We note that there are far more asymmetric eruptions during the LM based  
674 on our criteria than SYMM cases, most of which easily meet the two thresholds outlined  
675 above. Because of this, the classification assigned to each event is quite robust to slightly  
676 different criteria in defining the ratio (or differences) in hemispheric aerosol loading.  
677 Since the asymmetric composites are formed from a relatively large number of events,  
678 our results are insensitive to the addition or removal of individual eruptions that may be

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684 more ambiguous in their degree of asymmetry. However, the SYMM composites are  
685 formed from only a few events, and are therefore more sensitive to each of the individual  
686 eruptions that are included.

687 We stress that in this study we are agnostic concerning the actual location of  
688 individual LM eruptions. Although aerosols from high-latitude eruptions tend to be  
689 confined to the hemisphere in which the eruption occurs, tropical eruptions may also lead  
690 to an asymmetric aerosol forcing, as happened during the eruptions of El Chichón and  
691 Mt. Agung during the historical period. The timing, magnitude, and spatial footprint of  
692 LM eruptions are important topics of research (see e.g., an updated reconstruction from  
693 Sigl et al., 2015), and our composite should strictly be interpreted as a self-consistent  
694 response to the imposed forcing in the model.

695 Similar approaches of stratifying volcanic events during the LM have only begun  
696 to emerge in the literature (e.g., Liu et al., 2016). Iles and Hegerl (2015) showed the  
697 CMIP5 multi-model mean precipitation response to a few post-1850 eruptions,  
698 emphasizing the spatial structure of the aerosols (see their supplementary Figure S14) but  
699 noted that it would be desirable for a greater sample of events in order to group by the  
700 location of the aerosol cloud. The LM provides an appropriate setting for this.  
701 Additionally, we add to these results by presenting a simulation of the water isotope  
702 distribution following different volcanic excursions. We emphasize that we are screening  
703 events by spatial structure and since different magnitude eruptions enter into the different  
704 composites, a quantitative comparison of the different event classifications (or the two  
705 models) is not our primary objective and would require a more controlled experiment.  
706 Instead, we are reporting on the different composite responses as they exist in current LM

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simulations, and highlight the emergent structure that arises from different choices in how eruptions are sorted, much of which is shown to be scalable to different eruption sizes and robust to choices of model implementation.

### 3. Results

#### 3.1) Temperature, Precipitation and ENSO response

Figure 2 illustrates the composite temperature anomaly for each classification and season in the CESM model. In both the ASYMM<sub>NH</sub> and ASYMM<sub>SH</sub> cases, the hemisphere that is subjected to the strongest forcing is preferentially cooled. In the ASYMM<sub>NH</sub> results, the cooling peaks over the Eurasian and North American continents. As expected, there tends to be a much larger response over land, as well as evidence of NH winter warming in the mid-to-high latitudes, a phenomenon previously highlighted in the literature and often associated with increased (decreased) pole-to-equator stratospheric (mid-tropospheric) temperature gradients (Figure S1) and a positive mode of the Arctic/North Atlantic Oscillation (Robock and Mao, 1992, 1995; Stenchikov et al., 2002; Shindell et al., 2004; Ortega et al., 2015). This effect is weak in the ASYMM<sub>NH</sub> composite, likely because the maximal radiative forcing is located in the NH, offsetting any dynamical response, but is present in the SYMM and ASYMM<sub>SH</sub> composites in both models (see Figure S2 for the GISS-E2 composite).

In the SH, cooling is muted by larger heat capacity associated with smaller land fraction, with weak responses over the Southern Ocean while still exhibiting statistically

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746 significant cooling in South America, South Africa, and Australia in all cases. In fact, the  
747 cooling in the ASYMM<sub>SH</sub> composites is largely confined to the tropics, in contrast to the  
748 polar amplified pattern that is common to most climate change experiments. The cooling  
749 in all categories is communicated vertically (Figure S1) and across the free tropical  
750 troposphere, suggesting AET [toward](#) the forced hemisphere (section 3.4) for asymmetric  
751 forcing.

752 The cooling in the GISS-E2 model (Figure S2), displays a very similar spatial  
753 structure to CESM in all categories but with much greater amplitude due to the larger  
754 forcing. We note that the composite-mean forcing [is similar between the four asymmetric](#)  
755 [panels, but larger in the symmetric cases. In Figure 3, we show the hemispheric and](#)  
756 [global average temperature response for both models after normalizing each event by a](#)  
757 [common global aerosol mass excursion, thereby accounting for differences in the average](#)  
758 [forcing among the different eruptions. This is done to highlight spread associated with](#)  
759 [internal variability and model differences, and assumes the response pattern scales](#)  
760 [linearly to global forcing, which is unlikely to be true across all events and for the two](#)  
761 [models. Nonetheless, the gross features of the hemispheric contrast and reduction in](#)  
762 [global-mean temperature are shared between both models.](#)

763 The CESM precipitation response is shown in Figure 4 (Figure S3 for GISS-E2).  
764 For both the ASYMM<sub>NH</sub> and ASYMM<sub>SH</sub> cases, the ITCZ shows a robust displacement  
765 away from the forced hemisphere. The precipitation reduction in the SYMM composites  
766 is much less zonally coherent, instead featuring tropical-mean reductions in precipitation  
767 and a slight increase toward the subtropics (see also Iles et al., 2013; Iles and Hegerl,  
768 2014). Despite global cooling and reduced global evaporation (not shown), the ITCZ shift

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774 in ASYMM<sub>NH</sub> and ASYMM<sub>SH</sub> [tends to](#) result in precipitation increases in the hemisphere  
 775 that is least forced (Figure 5), since the [hemispheric-mean](#) precipitation signal is [largely](#)  
 776 [influenced by the ITCZ migration itself](#).

777 The ensemble spread in precipitation for a selected eruption (1762 C.E., NDJFM)  
 778 is shown in Figure S4, corresponding to the Icelandic Laki aerosol loading (a large  
 779 ASYMM<sub>NH</sub> event). We note that the Laki eruption in Iceland actually occurred in 1783  
 780 C.E., but is earlier in our composite due to an alignment error in the first version of the  
 781 G08 dataset. Results are shown for the 1763 C.E. boreal winter only (the full composite  
 782 also includes 1762, see Table 1; Figure S4 [also](#) reports the winter 1763 Niño 3.4 anomaly  
 783 in surface temperature for each ensemble member, [and therefore we restrict the](#)  
 784 [anomalous precipitation field to the same season](#)). The ITCZ shift away from the NH is  
 785 fairly robust across the ensemble members, particularly in the Atlantic basin, although  
 786 internal variability still leads to large differences in the spatial pattern of precipitation,  
 787 notably in the central and eastern Pacific.

788 The monthly time-evolution of the composite temperature and precipitation  
 789 responses for the ASYMM<sub>NH</sub> and ASYMM<sub>SH</sub> cases can be viewed in an animation  
 790 [\(Figures S9-S12\)](#). The global and hemispheric difference in aerosol loadings is also  
 791 shown for each timestep [\(at monthly resolution\) in the animations](#). When averaged over  
 792 the individual eruptions within each classification, the global aerosol mass loading  
 793 remains elevated above 8 Tg for nearly two years, coincident with the peak temperature  
 794 and precipitation response that begins to dampen out gradually [and relaxes back to pre-](#)  
 795 [eruption noise levels after ~4-5 years](#). The seasonal migration [of, anomalous](#) precipitation  
 796 [in the ITCZ domain](#) occurs in nearly the same sense as the meridional movement [of](#)

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climatological rainfall, [highlighting important connections between the timing of the eruption relative to the seasonal cycle of rainfall at a given location.](#)

In both CESM and GISS-E2, the ITCZ shift is approximately scalable to eruption size. For both models, we define a precipitation asymmetry index,  $PAi$  (Hwang and Frierson, 2013) in each season as the area-weighted NH tropical precipitation minus SH tropical precipitation (extending to 20° latitude) normalized by the model tropical-mean precipitation, i.e.,

$$PAi = \frac{P_{EQ-20^{\circ}N} - P_{20^{\circ}S-EQ}}{P_{20^{\circ}S-20^{\circ}N}} \quad (1)$$

Supplementary Figure S5 illustrates the relationship between  $PAi$  and the AOD gradient between hemispheres (AOD is inferred for the CESM model by dividing the aerosol loading by 75 Tg in each hemisphere, an approximate conversion factor to compare the results with GISS-E2). The mis-scaling in GISS-E2 results in a wider range of AOD gradients than occurs in CESM. Both models feature more tropical precipitation in the NH (SH) during boreal summer (winter) in their climatology, with more asymmetry in CESM during boreal summer. Interestingly, the most asymmetric events in GISS-E2 (those that result in equatorward precipitation movements) can be sufficient to produce more precipitation in the tropical winter hemisphere, thus competing with the seasonal insolation cycle in determining the seasonal precipitation distribution.

The meridional ITCZ shift leads to a number of important tropical climate responses. For example, an intriguing feature of the temperature pattern in Figure 2 is the El Niño response that is unique to the  $ASYMM_{NH}$  composites. This is unlikely to be a

833 residual feature of unforced variability, since there are [288](#) events in the ASYMM<sub>NH</sub>  
 834 composites ([16](#) eruptions in Table 1, multiplied by [18](#) ensemble members), significantly  
 835 more than in the other categories. The GISS-E2 temperature composite (Fig. S2) also  
 836 features a relatively weak cooling for ASYMM<sub>NH</sub>, despite the very large radiative  
 837 forcing. [The relationship between ENSO and volcanic eruptions has, historically, been](#)  
 838 [quite complicated due to the problem of separating natural variability from the forced](#)  
 839 [response, and due to a limited sample of historical eruptions where ENSO events were](#)  
 840 [already underway prior to the eruption. Older studies have suggested that El Niño events](#)  
 841 [may be more likely 1 to 2 years following a large eruption \(e.g., Adams et al., 2003;](#)  
 842 [Mann et al., 2005; Emile-Geay et al., 2008\).](#) Our findings are also consistent with recent  
 843 results (Pausata et al., 2015) that found an El Niño tendency to arise from a Laki-like  
 844 forcing (in that study, a sequence of aerosol pulses in the high latitudes that was confined  
 845 to the NH extratropics), [and was recently explored in CESM LME by Stevenson et al.](#)  
 846 [\(2016\).](#) Pausata et al. (2015) attributed the El Niño development directly to a southward  
 847 ITCZ displacement. Since low-level converging winds are weak in the vicinity of the  
 848 ITCZ, a southward ITCZ displacement leads to weaker easterly winds (a westerly  
 849 anomaly) across the central equatorial Pacific. This was shown for a different model  
 850 (NorESM1-M) and experimental setup, but also emerges in the ASYMM<sub>NH</sub> composite  
 851 results for CESM. Indeed, a composite anomaly of ~ 0.5°C emerges over the Niño 3.4  
 852 domain, lasting up to two years (Figure S6) with peak anomalies in the first two boreal  
 853 winters after an eruption. [Consistent with the SST anomalies,](#) a relaxation of the zonal  
 854 winds and re-distribution of water mass across the Pacific Ocean can be observed in the  
 855 ASYMM<sub>NH</sub> composite response (Figure S7).

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861 Since the ITCZ shift is a consequence of differential aerosol loading, [we argue](#)  
862 [that the El Niño tendency in CESM is a forced response in ASYMM<sub>NH</sub> but otherwise](#)  
863 [depends on the state of internal variability concurrent with a given eruption, as no such](#)  
864 [ENSO response is associated with the composite SYMM or ASYMM<sub>SH</sub> composites,](#)  
865 although we note that El Niño does tend to develop in response to the Samalas eruption  
866 that was removed from our composite, and would strongly influence the interpretation of  
867 the SYMM results due to the few events sampled (not shown, [though see Stevenson et](#)  
868 [al., 2016](#)). However, we also caution that this version of CESM exhibits ENSO  
869 amplitudes much larger than observations, and also features strong El Niño events with  
870 amplitudes that are ~2 times larger than strong La Niña events even in non-eruption  
871 years. Therefore, we choose not to further explore the dependence of our results on  
872 ENSO phasing.

873 [Because the ITCZ responds differently to the three eruption classifications, there](#)  
874 [are implications for best practices in assessing the skill of climate model output against](#)  
875 [proxy evidence. For example, Anchukaitis et al. \(2010\) noted discrepancies between](#)  
876 [well-validated tree-ring proxy reconstructions of eruption-induced drought in the Asian](#)  
877 [monsoon sector and the precipitation response following volcanic eruptions derived from](#)  
878 [the NCAR CSM 1.4 millennial simulation. However, we note that monsoonal rainfall](#)  
879 [responds differently to ASYMM<sub>NH</sub>, ASYMM<sub>SH</sub>, or SYMM events in both GISS-E2 and](#)  
880 [CESM. Figure S8 shows a histogram of boreal summer \(MJJAS\) Asian-Pacific rainfall](#)  
881 [anomalies for all events in both models. ASYMM<sub>NH</sub> and SYMM eruptions generally lead](#)  
882 [to reductions in rainfall over the broad region averaged from 65°-150°E, 10°-40°N \(see](#)  
883 [also the spatial patterns in Figure 4 for CESM and Figure S3 for GISS E2-R\). Because of](#)

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the southward ITCZ shift in ASYMM<sub>NH</sub>, the most pronounced precipitation reductions occur for events within this category. In contrast, for ASYMM<sub>SH</sub> events, the northward ITCZ shift and associated monsoon developments are such that precipitation changes are relatively muted, and often the anomalies are positive.

### 3.2) River outflow

An ITCZ shift away from the forced hemisphere will manifest itself in several other components of the tropical hydroclimate system that are important to consider from the standpoint of both impacts as well as the development of testable predictions. One such important component of the hydrologic cycle is global streamflow, a variable that is related to excessive or deficient precipitation over a catchment. Rivers are important for ecosystem integrity, agriculture, industry, power generation, and human consumption. Streamflow anomalies associated with volcanic forcing in observations and models have previously been documented for the historical period (Trenberth and Dai, 2007; Iles and Hegerl, 2015). Here, we discuss this variable in the context of our symmetric and asymmetric composites.

The hydrology module of the land-component of CESM simulates surface and subsurface fluxes of water, which serve as input into the CESM River Transport Model (RTM). The RTM was developed to route river runoff downstream to the ocean or marginal seas and enable closure of the hydrologic cycle (Oleson et al., 2010). The RTM is run on a finer grid (0.5° x 0.5°) than the atmospheric component of CESM.

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Figure 6 shows the river discharge anomalies in our different forcing categories. The southward ITCZ shift in ASYMM<sub>NH</sub> results in enhanced discharge in central and southern South America, especially in the southern Amazon and Parana River networks. These territories of South America, along with southern Africa and Australia are the primary regions where land precipitation increases in the tropics for ASYMM<sub>NH</sub>, and the river flow in these areas tends to increase. Our results are also consistent with Oman et al. (2006), who argue for a reduced Nile River level (northeastern Africa) following several large high northern latitude eruptions, including Laki and the Katmai (1912 C.E.) eruption. Their results were viewed through the lens of weakened African and Indian monsoons associated with reduced land-ocean temperature differences; our composite results suggest that regional precipitation reductions may also be part of a zonally coherent precipitation shift.

In ASYMM<sub>SH</sub>, the ITCZ moves northward, resulting in reduced river flux in the Amazon sector and increases ([reduction](#)) in the Niger of central/western Africa [during boreal summer \(boreal winter\)](#). Interestingly, the Nile flow is also reduced in this case, although to a lesser extent, despite very modest precipitation increases during MJJAS for a southern hemisphere biased aerosol forcing. There are also modest discharge increases in southern Asia. However, there is simply very little land in regions where northward ITCZ shifts result in enhanced precipitation, suggesting less opportunity for increases in discharge to a SH biased eruption. For the SYMM eruptions, river discharge is reduced nearly everywhere in the tropics, consistent with the precipitation reductions that occur (Figure 3). The response is weaker or even reversed in the subtropics, such as in southern South America, where precipitation tends to increase (Iles and Hegerl, 2015).

### 3.3) *Water isotopic variability*

Another important variable that integrates several aspects of the tropical climate system is the isotopic composition of precipitation. Here, we focus on the relative abundance of  $^1\text{H}_2^{18}\text{O}$  versus the more abundant  $^1\text{H}_2^{16}\text{O}$ , commonly expressed as  $\delta^{18}\text{O}$ , such that:

$$\delta^{18}O_p \equiv \left\{ VSMOW^{-1} \frac{O_{mp}^{18}}{O_{mp}^{16}} - 1 \right\} \times 1000 \quad (2)$$

where  $O_{mp}^{18}$  and  $O_{mp}^{16}$  are the moles of oxygen isotope in a sample, in our case precipitation (denoted by the subscript mp). Delta values are with respect to the isotopic ratio in a standard sample, the Vienna Standard Mean Ocean Water (VSMOW =  $2.005 \times 10^{-3}$ ).

$\delta^{18}O_p$  is a variable that is directly obtained from many paleoclimate proxy records. Therefore, rather than relying on a conversion of the local isotope signal to some climate variable, the explicit simulation of isotopic variability is preferred for generating potentially falsifiable predictions concerning the imprint associated with asymmetric volcanic eruptions. Indeed,  $\delta^{18}O_p$  variability is the result of an interaction between multiple scales of motion in the atmosphere, the temperature of air in which the condensate was embedded, and exchange processes operating from source to sink of the parcel deposited at a site.

960 Water isotope tracers have been incorporated into the GISS-E2 model's  
961 atmosphere, land surface, sea ice and ocean, and are advected and tracked through every  
962 stage of the hydrologic cycle. A fractionation factor is applied at each phase change and  
963 all freshwater fluxes are tagged isotopically. Stable isotope results from the lineage of  
964 GISS-E2 models have a long history of being tested against observations and proxy  
965 records (e.g., Vuille et al., 2003; Schmidt et al., 2007; LeGrande and Schmidt, 2008,  
966 2009).

967 Figure 7 shows the  $\delta^{18}O_p$  response in the GISS-E2 model. Seasonal calculations  
968 are weighted by the precipitation amount for each month, although changes in the  
969 seasonality of precipitation are not important in driving our results (not shown). The  
970 literature on mechanistic explanations for isotope variability has a rich history of being  
971 described by several “effects” such as a precipitation amount effect in deep convective  
972 regions or a temperature effect at high latitudes (Dansgaard, 1964; Araguás-Araguás et  
973 al., 2000), so named as to reflect the most important climatic driver of isotopic variability  
974 at a site or climate regime. [Notably,  \$\delta^{18}O\_p\$  tends to be negatively correlated with](#)  
975 [precipitation amount in the deep tropics and positively correlated with temperature at](#)  
976 [high latitudes \(see e.g., Hoffman and Heimann, 1997 for a review of mechanisms\).](#)  
977 However, [isotope-climate relations are generally complex. In our experiments, the  \$\delta^{18}O\_p\$](#)   
978 [spatial pattern in the tropics \(Figure 7\) exhibits a similar pattern to](#) precipitation changes  
979 induced by the ITCZ shift (Figure S5 for GISS-E2), particularly over the ocean. The  
980 meridional movement of the ITCZ leads to an isotopic signal that is more positive  
981 (enriched in heavy isotopes) in the preferentially forced hemisphere. The hemisphere  
982 toward which the ITCZ is displaced on the other hand experiences increased tropical

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989 rainfall and a relative depletion of the heavy isotope (more negative  $\delta^{18}O_p$ ). Thus, the  
990 paleoclimatic fingerprint of asymmetric volcanic eruptions is characterized by a tropical  
991 dipole pattern, with more positive (negative)  $\delta^{18}O_p$  associated with reduced (increased)  
992 rainfall.

993 Over land, South America stands out as exhibiting a palette of isotopic patterns  
994 depending on forcing category and season. The South American monsoon system peaks  
995 in austral summer, and the largest precipitation reductions occur in ASYMM<sub>SH</sub> when the  
996 ITCZ moves northward. There is a dipole pattern, characterized by isotopic enrichment  
997 (depletion) in  $^{18}O$  in the northern (southern) tropics of South America in ASYMM<sub>NH</sub>  
998 during NDJFM, while the opposite pattern emerges in ASYMM<sub>SH</sub>, both associated with  
999 Atlantic and east Pacific ITCZ displacements. During the austral winter, [climatological](#)  
1000 South American precipitation peaks in the northern part of the continent, [and](#)  
1001 precipitation [in this region](#) is reduced in both the SYMM and ASYMM<sub>SH</sub> composites,  
1002 leading to [a](#) large increase in  $\delta^{18}O_p$ . This is consistent with recent results in Colose et al.  
1003 (2016), who used the isotope-enabled GISS-E2 model to form a composite of all large  
1004 (AOD > 0.1) LM tropical volcanic events based on the Crowley and Unterman (2013)  
1005 dataset. The eruptions analyzed in that study were smaller in amplitude due to differences  
1006 in the scaling during implementation, as well as the fact that G08 tends to have larger  
1007 volcanic events in the original dataset to begin with. In regions where tropical South  
1008 American precipitation does not exhibit very large changes, such [as](#) in the NDJFM  
1009 SYMM composites, temperature may explain much of the isotopic response, again  
1010 consistent with findings in Colose et al. (2016).

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### 3.4) Atmospheric Energetics

The overarching purpose of this work was to consider the influence of asymmetric volcanic forcing on the energetic paradigm outlined in section 1. This framework of analyzing ITCZ shifts in the context of asymmetric forcing predicts a net AET anomaly toward the hemisphere that is preferentially forced by explosive volcanism, with anti-correlated dry and latent energy fluxes both contributing to drive the ITCZ away from the forced hemisphere. To examine this relationship in CESM, we first write a zonal-mean energy budget for the atmosphere (Trenberth, 1997; Donohoe and Battisti, 2013):

$$\begin{aligned} \frac{1}{2\pi a^2 \cos \phi} \frac{\partial AET}{\partial \phi} &= ASR_{TOA} - OLR_{TOA} + SW_{sfc}^{\uparrow} - SW_{sfc}^{\downarrow} + LW_{sfc}^{\uparrow} - LW_{sfc}^{\downarrow} + LH_{sfc} \\ &+ SH_{sfc} + L_f Sn - \frac{1}{g} \int_0^{p_s} \frac{\partial (c_p T + L_v q + k)}{\partial t} dp \end{aligned} \quad (3)$$

where  $ASR_{TOA}$  is the absorbed solar radiation,  $OLR_{TOA}$  is outgoing longwave radiation at the top of the atmosphere (TOA),  $SW_{sfc}^{\uparrow}$  is reflected surface shortwave radiation,  $SW_{sfc}^{\downarrow}$  is shortwave received by the surface (sfc),  $LW_{sfc}^{\uparrow}$  is longwave radiation emitted (or reflected) by the surface,  $LW_{sfc}^{\downarrow}$  is longwave radiation received by the surface,  $LH$  is the latent heat flux,  $SH$  is the sensible heat flux,  $Sn$  is snowfall rate,  $q$  is specific humidity,  $k$  is kinetic energy,  $\phi$  is latitude,  $a$  is the radius of the Earth,  $T$  is temperature,  $c_p$  is specific heat capacity,  $L_v$  and  $L_f$  are the latent heats of vaporization and fusion,  $p$  is

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pressure ( $p=p_s$  at the surface), and  $g$  is the acceleration due to gravity. All terms are defined positive into the atmosphere, and the subscripts denote top-of-atmosphere (TOA) or surface flux (sfc) diagnostics. Equation 3 effectively calculates MSE transport (section 1) as a residual of energy fluxes in the model.

The last term ( $\partial/\partial t$ ) on the right side of equation 3 is the time-tendency term, representing storage of energy in the atmosphere (hereafter,  $STOR_L$  and  $STOR_D$  for latent and dry energy, respectively). The time-derivative is calculated using finite differencing of the monthly-mean fields. The term in the parentheses is the moist enthalpy, or MSE minus geopotential energy. The kinetic energy is calculated in this study but is several orders of magnitude smaller than other terms, and hereafter is folded into the definition of  $STOR_D$ ). The tendency term must vanish on timescales of several years or longer, but is important in our context. We explicitly write out the snowfall term since CESM (and any CMIP5 model) does not include surface energy changes associated with snow melt over the ice-free ocean as part of the latent heat diagnostic, and must be calculated to close the model energy budget.

Integrating yields an expression for the atmospheric heat transport across a latitude circle:

$$AET(\phi) = 2\pi a^2 \int_{-\frac{\pi}{2}}^{\phi} (R_{TOA} + F_{sfc} - STOR_L - STOR_D) \cos \phi \, d\phi \quad (4)$$

where we have combined the TOA terms into  $R_{TOA}$  and the snowfall and surface diagnostics have collapsed into a single variable  $F_{sfc}$ . Similarly, the latent heat flux  $\mathcal{H}_L$  across a latitude circle is:

$$\mathcal{H}_L(\phi) = 2\pi a^2 \int_{-\frac{\pi}{2}}^{\phi} (LH_{sf} - L_v P - STOR_L) \cos \phi \, d\phi \quad (5)$$

where  $P$  is precipitation in  $\text{kg m}^{-2} \text{s}^{-1}$ . We note that transport calculations are presented for CESM and were done for only 17 ensemble members, since there are missing output files for the requisite diagnostics in one run.

Figure 8a shows the annual-mean climatological northward heat transport in CESM, as performed by the atmosphere, in addition to the dry and moisture-related components of AET. The total CESM climatological poleward transport is in good agreement with observational estimates (e.g., Trenberth and Caron, 2001; Wunsch, 2005; Fasullo and Trenberth, 2008), peaking at  $\sim 5.0$  PW and  $\sim 5.2$  PW in the SH and NH subtropics, respectively ( $1 \text{ petawatt} = 10^{15} \text{ W}$ ). In CESM, the SH receives slightly more net TOA solar radiation than the NH (by  $\sim 1.3 \text{ W m}^{-2}$  in the annual-mean), and the NH loses slightly more net TOA longwave radiation to space (by  $\sim 0.89 \text{ W m}^{-2}$ ). However, the CESM annual ocean heat transport is northward across the equator (not shown), keeping the NH warmer than the SH by  $\sim 0.98^\circ \text{C}$ . As a consequence, AET is directed southward across the equator (red line). Moisture makes it more difficult for the tropical circulation to transport energy poleward, and the transport of moisture in the low-level equatorward flow is directed northward across the equator and associated with an annual-mean ITCZ approximately co-located with the atmospheric energy flux equator (EFE), the latitude where AET vanishes. This arrangement of the tropical climate is consistent with satellite and reanalysis results for the present climate (Kang and Seager, 2012; Frierson et al., 2013).

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1079 In response to asymmetric volcanic forcing, anomalous AET is directed toward  
 1080 the preferentially forced hemisphere (Figure 8b,c), along the imposed temperature  
 1081 gradient. Results are shown for the annual-mean AET anomaly in ASYMM<sub>NH</sub> and  
 1082 ASYMM<sub>SH</sub> for one year beginning with the January after each eruption, although  
 1083 averaging the first 2-3 years yields similar results with slightly smaller amplitudes. The  
 1084 equatorial AET (AET<sub>eq</sub>) anomaly averaged over all events and ensemble members for  
 1085 ASYMM<sub>NH</sub> (ASYMM<sub>SH</sub>) is approximately 0.08 (-0.06) PW, defined positive northward,  
 1086 with much larger near-compensating dry and latent components. The anomalous moisture  
 1087 convergence drives the ITCZ shift away from the forced hemisphere. Anomalies in  
 1088 AET<sub>eq</sub> when considering each unique volcanic event (after averaging over the 17  
 1089 ensemble members) are strongly anti-correlated with changes in the energy flux equator  
 1090 ( $r = -0.97$ , not shown), the latitude where AET vanishes.

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1091 The change in cross-equatorial energy transport for the SYMM ensemble/eruption  
 1092 mean (not shown) does not exhibit the coherence of the asymmetric cases for either AET  
 1093 or the individual dry and moist components, and in all cases does not emerge from  
 1094 background internal variability.

1095 Quantifying the ITCZ shift is non-trivial, since the precipitation field is less  
 1096 sharply defined than the EFE, and climate models (including the two discussed here)  
 1097 exhibit a bimodal tropical precipitation distribution (often called a “double-ITCZ”), often  
 1098 with one mode of higher amplitude in the NH (centered at 8°-9°N in CESM). However,  
 1099 despite pervasive biases that still exist in the climatology of tropical precipitation in  
 1100 CMIP5 (e.g., Oueslati and Bellon, 2015), the anomalous precipitation response is still  
 1101 characterized by a well-defined ITCZ shift (or a shift in the bimodal precipitation

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distribution, e.g., Figure 9 in Stevenson et al., 2016) and the gross features presented here are in agreement with theoretical considerations. In our analysis, a movement in the latitude of maximum precipitation is not found to be a persuasive indicator of our ITCZ shift. In fact, the meridional shift is better described as a movement in the center of mass of the precipitation distribution, including changes in the relative amplitude of the two modes (e.g., a heightening of the SH mode for a southward ITCZ shift). Different metrics to describe the shift in the center of mass have been presented in the literature (e.g., Frierson and Hwang, 2012; Donohoe et al., 2013; Adam et al., 2016).

Here, we first adopt the precipitation median  $\phi_{\text{med}}$  definition (e.g., Frierson and Hwang, 2012) defined as the latitude where area-weighted precipitation from 20°S to  $\phi_{\text{med}}$  equals the precipitation amount from  $\phi_{\text{med}}$  to 20°N, i.e., where the following is satisfied:

$$\int_{20^{\circ}\text{S}}^{\phi_{\text{med}}} P \cos(\phi) d\phi = \int_{\phi_{\text{med}}}^{20^{\circ}\text{N}} P \cos(\phi) d\phi \quad (6)$$

When considering the spread across eruption size (regressing the different events in all three categories together after averaging over ensemble members) we find a movement of  $\sim -8.9^{\circ}$  shift in ITCZ latitude per 1 PW of anomalous  $\text{AET}_{\text{eq}}$  (Figure 9). The sign of this relationship is a robust property of the present climate system, although it is higher than other estimates (Donohoe et al., 2013) that analyzed the ITCZ scaling with  $\text{AET}_{\text{eq}}$  to a number of other time periods and forcing mechanisms (not volcanic), including the seasonal cycle,  $\text{CO}_2$  doubling, Last Glacial Maximum, and mid-Holocene.

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It was argued in that paper that the ITCZ is “stiff” in the sense that a large AET<sub>eq</sub> is required to move the ITCZ. However, the sensitivity of this relationship may vary considerably depending on ITCZ metric considered (Figure 9 presents a scaling with different indices), based on the following equation (Adam et al., 2016):

$$\phi_{ITCZ} = \frac{\int_{20^{\circ}S}^{20^{\circ}N} \phi (P \cos(\phi))^N d\phi}{\int_{20^{\circ}S}^{20^{\circ}N} (P \cos(\phi))^N d\phi} \quad (7)$$

Here,  $N$  controls the weighting given to the modes in the precipitation distribution. Typically,  $\phi_{ITCZ}$  moves toward the precipitation maximum as  $N$  increases, but importantly, the sensitivity of a  $\phi_{ITCZ}$  migration to a given anomaly in AET<sub>eq</sub> also changes. Figure 9 shows the regression of anomalous  $\phi_{med}$  and  $\phi_{ITCZ}$  ( $N = 5$ ) against anomalous AET<sub>eq</sub>. ( $r = -0.94$ ),  $\phi_{ITCZ}$  ( $N = 3$ ) yields a high correlation ( $r = -0.95$ ) and best follows a 1:1 line with the EFE (Figure 9, bottomleft). The slope of the relationship between ITCZ location and AET<sub>eq</sub> may vary by a factor of 4-5 depending on the relationship used. For example, there is approximately a  $-11.7^{\circ}$  shift in ITCZ latitude per 1 PW of anomalous AET<sub>eq</sub> using  $\phi_{ITCZ}$  ( $N = 3$ ). Thus, we interpret our results as suggesting that energetically, it is not necessarily difficult to move the ITCZ, and urge caution in characterizing past ITCZ shifts as being difficult to reconcile with paleo-forcing estimates (Donohoe et al., 2013). Indeed, as many studies have used a “precipitation centroid” or a similar variant to quantify tropical precipitation migrations, we recommend exploring the sensitivity of ITCZ shifts to different ways of characterizing the movement in precipitation mass unless the community can agree upon

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a well-defined “N” that suitably characterizes the precipitation distribution in both climate models and observations.

#### 4. Conclusions

In this work, we have examined two models, NASA GISS ModelE2-R and the recently completed CESM Last Millennium Ensemble, and stratified volcanic events by their degree of asymmetry between hemispheres. We find a robust ITCZ shift away from the preferentially forced hemisphere, as a consequence of adjustments in the Hadley circulation that transports anomalous energy into the cooled hemisphere.

An important component of our work was using the GISS-E2 model to explicitly simulate the oxygen isotopic imprint following major volcanic eruptions with asymmetric aerosol forcing. The ITCZ shift following asymmetric forcing leads to a more positive isotopic signal in the tropical regions the ITCZ migrates away from, and a relative depletion in heavy isotopes in regions the ITCZ migrates to. These results provide a framework for the search of asymmetric volcanic signals in high-resolution isotopic or other temperature and precipitation sensitive proxy data from the tropics.

There is still considerably uncertainty in the timing and magnitude of LM eruptions. Improvements in particle size representation have been identified as critical target for improved modeling and comparisons to proxy data (e.g., G. Mann et al., 2015). Here, we argue that the inter-hemispheric asymmetry of the aerosol forcing also emerges as being of first-order importance for the expected volcanic response. Future developments in model-proxy comparisons should probe the uncertainty space not just in

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the global-mean radiative forcing and coincident internal variability at the time of the eruption, but also the spatial structure of the aerosol cloud. For example, simulations that represent volcanic forcing simply as an equivalent reduction in total solar irradiance at the TOA are unrealistic and cannot be expected to be faithful to tropical climate proxy records.

We hope this contribution will help motivate the connection between the spatial structure of volcanic episodes and the expression on tropical hydroclimate as an urgent paleoclimate target in future studies and model intercomparisons. Such investigation also calls for high-resolution and accurately dated tropical proxy networks that reach across hemispheres. Developments in seasonally and annually resolved volcanic reconstructions from both hemispheres (Sigl et al., 2015) are of considerable importance in such assessments. Future modeling efforts that are forced with the explicit injection of volcanic species, while also probing multiple realizations of internal variability that will dictate the spatio-temporal evolution of the volcanic aerosol, are also urgently required as a tool for understanding both past and future volcanic impacts.

#### **Acknowledgments**

This study was funded by NOAA C2D2 NA10OAR4310126 and NSF awards AGS-1003690 and AGS-1303828. We would like to thank NASA GISS-E2 for institutional support. Computing resources supporting this work were provided by the NASA High-End Computing (HEC) Program through the NASA Center for Climate Simulation (NCCS) at Goddard Space Flight Center. We acknowledge the CESM1(CAM5) Last



1219 Millennium Ensemble Community Project and supercomputing resources provided by  
1220 NSF/CISL/Yellowstone.  
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## Figure Captions

**Figure 1.** Global Aerosol Loading (Tg) from Gao et al. (2008) in red line.  $ASYMM_{NH}$  (green circles),  $ASYMM_{SH}$  (blue circles), and SYMM (black circles) events that are used in composites are shown. Note that Samalas is omitted, as discussed in text. The time-series is at seasonal (five-month) resolution and thus multiple points may be associated with a single eruption. The hemispheric contrast (NH minus SH) clear-sky net solar radiation (FSNTC– in  $W/m^2$ ) in CESM LME is shown in orange (offset to have zero mean).

**Figure 2.** CESM spatial composite of surface temperature anomaly ( $^{\circ}C$ ) for (top row)  $ASYMM_{NH}$ , (middle row)  $ASYMM_{SH}$ , and (bottom row) SYMM events, each in (left column) NDJFM and (right column) MJJAS. Stippling indicates statistical significance using a two-sided student's t-test ( $p < 0.05$ ).

**Figure 3.** Box-and-whisker diagrams showing the (red fill) global mean, (green fill) NH mean, and (blue fill) SH mean temperature anomaly in the  $ASYMM_{NH}$ ,  $ASYMM_{SH}$ , and SYMM eruption cases on vertical axis. All events are normalized by a 20 Tg global loading size. For GISS-E2, loadings were multiplied by a factor of two to approximately account for the over-inflated forcing prior to analysis. Results are shown for the CESM and GISS-E2 model and for NDJFM and MJJAS, as labeled. Black solid line indicates the median, box width spans the 25-75% quartiles, and tails span the full interval for all cases. N=the number of events used in each category (consistent with the number of listed events in Table 1, multiplied by 18 ensemble members for CESM and 3 ensemble

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members for GISS-E2). Bottom panels (CTRL) show the spread of 100 randomly selected and non-overlapping events averaged over two seasons (relative to the previous five seasons) in a control run.

**Figure 4.** As in Figure 2, except for precipitation (mm/day).

**Figure 5.** As in Figure 3, except for precipitation (mm/day, normalized to 20 Tg in the forced simulations; mm/day in the control). N (not shown) is the same as in Figure 3.

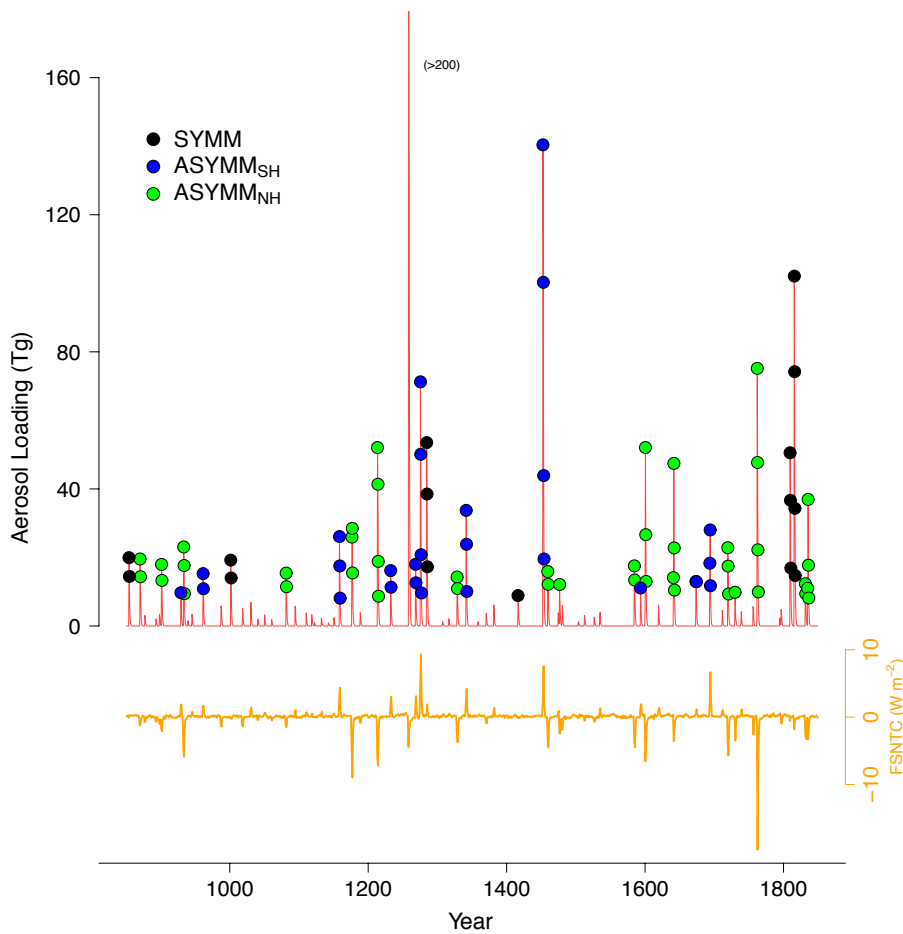
**Figure 6.** As in Figures 2 and 4, except for river discharge ( $\text{m}^3/\text{s}$ , or  $10^{-6}$  Sverdrups).

**Figure 7.** GISS-E2 spatial composite of the oxygen isotope anomaly (per mil) in (top row) ASYMM<sub>NH</sub>, (middle row) ASYMM<sub>SH</sub>, and (bottom row) SYMM events in (left column) NDJFM and (right column) MJJAS.

**Figure 8. a)** CESM climatology of atmospheric energy transport (PW, black), dry (red), and latent (dark blue) transports. **b)** Composite mean anomaly in atmospheric heat transport for ASYMM<sub>NH</sub> eruptions in total (black), dry (red), and latent (blue) components. Lighter (orange and aqua) lines represent individual eruptions, each averaged over 17 ensemble members. **c)** As in (b), except for ASYMM<sub>SH</sub> eruptions. Grey envelope corresponds to the total AET anomaly vs. latitude in a control simulation using 50 realizations of a 17-event composite (17 “events” with no external forcing, corresponding to the size of the ensemble). Vertical bars correspond to the range of

(aqua) latent and (orange) dry components of cross-equatorial energy transport ( $AET_{eq}$ ) in the control composite.

**Figure 9.** Annual-mean ITCZ shift represented by changes in (topleft)  $\phi_{med}$ , and (topright)  $\phi_{ITCZ}$  ( $N = 5$ ) vs. change in  $AET_{eq}$ . Changes in  $\phi_{ITCZ}$  ( $N = 3$ ) vs. change in EFE (bottomleft). See text for definitions. Total AET vs. latitude for a small band centered around the equator for all volcanic events in (green)  $ASYMM_{NH}$ , (blue)  $ASYMM_{SH}$ , and (black) SYMM cases (bottomright). Black dashed line indicates climatological or pre-eruption AET values (different choices are indistinguishable). Colored arrows represent the direction of anomalous  $AET_{eq}$ .



**Figure 1.** Global Aerosol Loading (Tg) from Gao et al. (2008) in red line, ASYMM<sub>NH</sub> (green circles), ASYMM<sub>SH</sub> (blue circles), and SYMM (black circles) events that are used in composites are shown. Note that Samalas is omitted, as discussed in text. The time-series is at seasonal (five-month) resolution and thus multiple points may be associated with a single eruption. The hemispheric contrast (NH minus SH) clear-sky net solar radiation (FSNTC– in W/m<sup>2</sup>) in CESM LME is shown in orange (offset to have zero mean).

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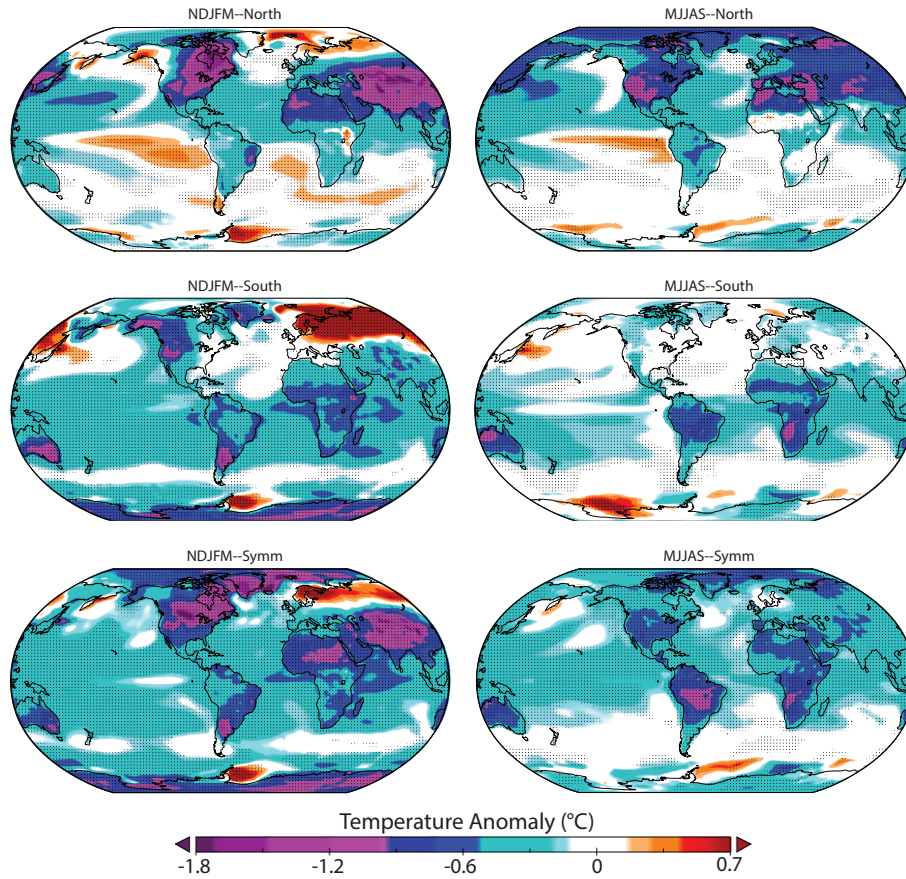
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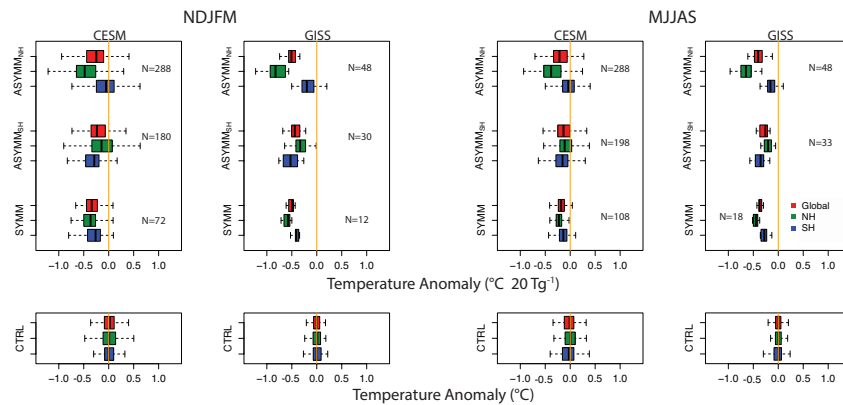
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# Temperature (Ensemble/Event Mean)



**Figure 2.** CESM spatial composite of [surface](#) temperature anomaly (°C) for (top row) ASYMM<sub>NH</sub>, (middle row) ASYMM<sub>SH</sub>, and (bottom row) SYMM events, each in (left column) NDJFM and (right column) MJJAS. Stippling indicates statistical significance using a two-sided student's t-test ( $p < 0.05$ ).



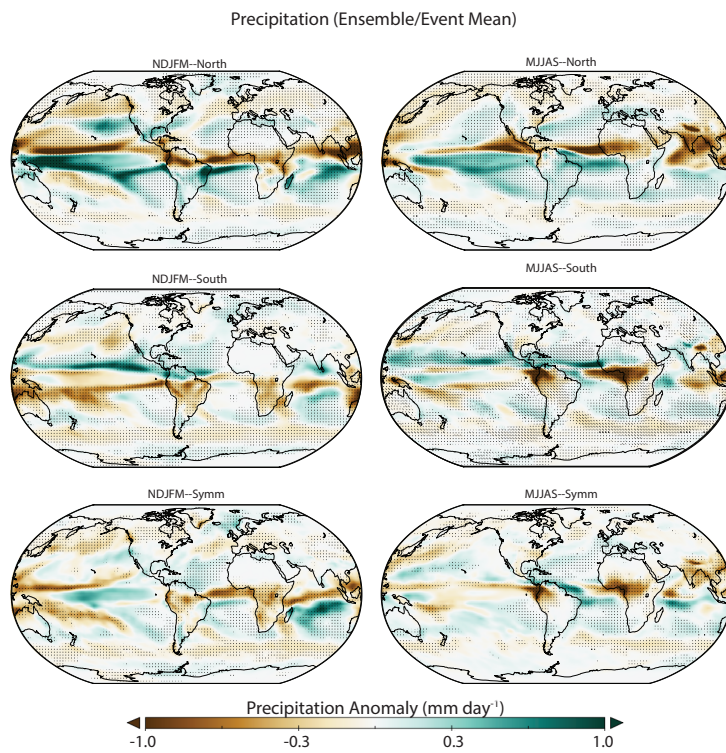
**Figure 3.** Box-and-whisker diagrams showing the (red fill) global mean, (green fill) NH mean, and (blue fill) SH mean temperature anomaly in the ASYMM<sub>NH</sub>, ASYMM<sub>SH</sub>, and SYMM eruption cases on vertical axis. All events are normalized by a 20 Tg global loading size. For GISS-E2, loadings were multiplied by a factor of two to approximately account for the over-inflated forcing prior to analysis. Results are shown for the CESM and GISS-E2 model and for NDJFM and MJJAS, as labeled. Black solid line indicates the median, box width spans the 25-75% quartiles, and tails span the full interval for all cases. N=the number of events used in each category (consistent with the number of listed events in Table 1, multiplied by 18 ensemble members for CESM and 3 ensemble members for GISS-E2). Bottom panels (CTRL) show the spread of 100 randomly selected and non-overlapping events averaged over two seasons (relative to the previous five seasons) in a control run.

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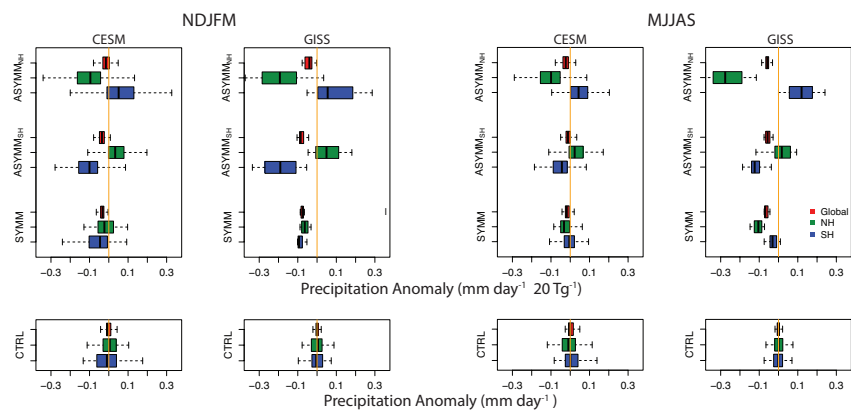
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**Figure 4.** As in Figure 2, except for precipitation (mm/day).



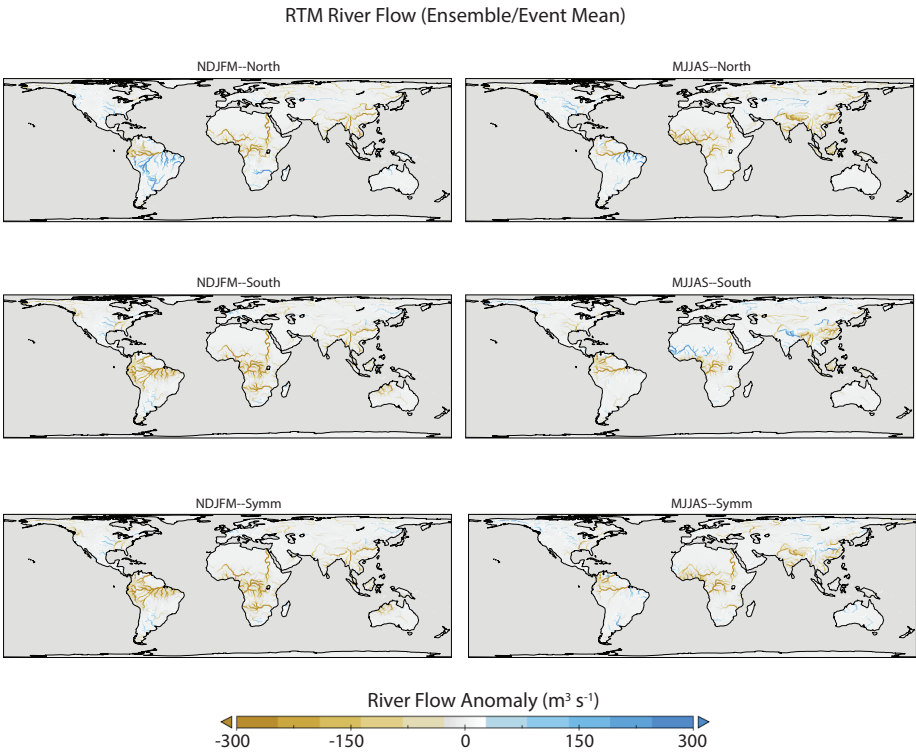
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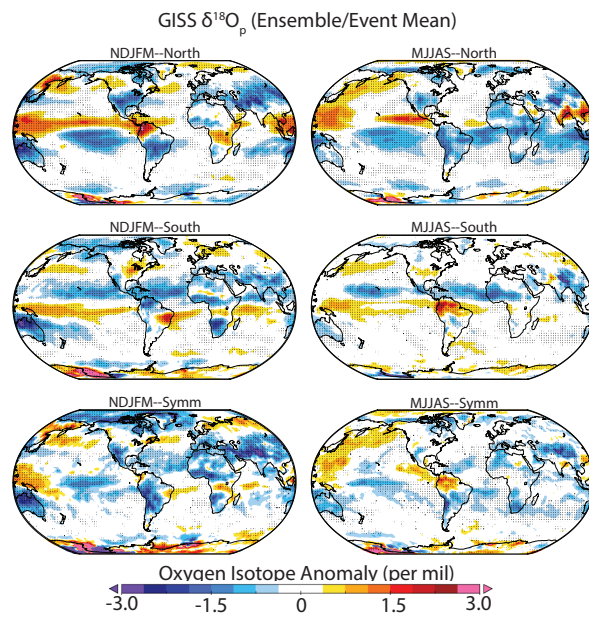
1330 **Figure 5.** As in Figure 3, except for precipitation (mm/day, normalized to 20 Tg in the  
1331 forced simulations; mm/day in the control). N (not shown) is the same as in Figure 3.

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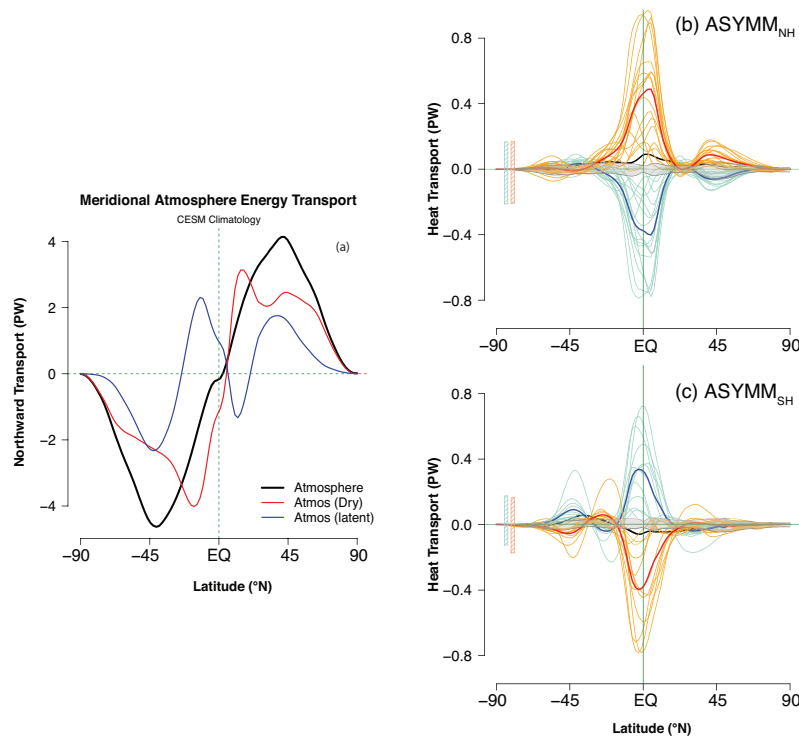


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1334 **Figure 6.** As in Figures 2 and 4, except for river discharge ( $\text{m}^3/\text{s}$ , or  $10^{-6}$  Sverdrups).

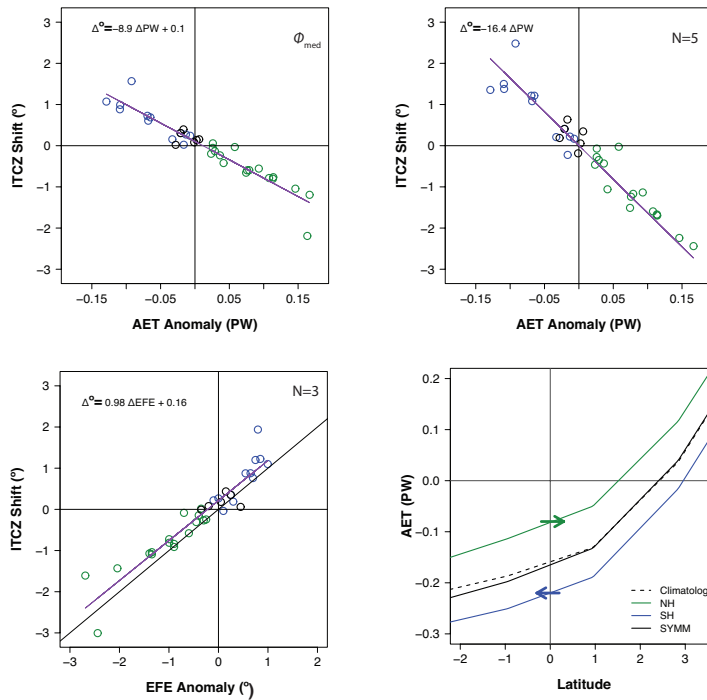


**Figure 7.** GISS-E2 spatial composite of the oxygen isotope anomaly (per mil) in (top row) ASYMM<sub>NH<sub>2</sub></sub>, (middle row) ASYMM<sub>SH<sub>2</sub></sub>, and (bottom row) SYMM events in (left column) NDJFM and (right column) MJJAS.



**Figure 8.** a) CESM climatology of atmospheric energy transport (PW, black), dry (red), and latent (dark blue) transports. b) Composite mean anomaly in atmospheric heat transport for ASYMM<sub>NH</sub> eruptions in total (black), dry (red), and latent (blue) components. Lighter (orange and aqua) lines represent individual eruptions, each averaged over 17 ensemble members. c) As in (b), except for ASYMM<sub>SH</sub> eruptions. Grey envelope corresponds to the total AET anomaly vs. latitude in a control simulation using 50 realizations of a 17-event composite (17 “events” with no external forcing corresponding to the size of the ensemble). Vertical bars correspond to the range of (aqua) latent and (orange) dry components of cross-equatorial energy transport (AET<sub>eq</sub>) in the control composite.

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<b>Deleted:</b> Lighter lines associated with the dry and latent components indicate the eruption spread, each averaged over 14 ensemble members.
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**Figure 9.** Annual-mean ITCZ shift represented by changes in (topleft)  $\phi_{med}$  and (topright)  $\phi_{ITCZ}$  ( $N = 5$ ) vs. change in  $AET_{eq}$ . Changes in  $\phi_{ITCZ}$  ( $N = 3$ ) vs. change in EFE (bottomleft). See text for definitions. Total AET vs. latitude for a small band centered around the equator for all volcanic events in (green)  $ASYMM_{NH}$ , (blue)  $ASYMM_{SH}$ , and (black) SYMM cases (bottomright). Black dashed line indicates climatological or pre-eruption AET values (different choices are indistinguishable). Colored arrows represent the direction of anomalous  $AET_{eq}$ .

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**Table 1.** List of LM Eruptions

Eruption Category	Seasons in LM Composite (MJJAS)	Seasons in LM Composite (NDJFM)
ASYMM <sub>NH</sub>	870, 901, 933/934, 1081, 1176/1177, 1213/1214, 1328, 1459, 1476, 1584, 1600/1601, 1641/1642, 1719/1720, 1762/1763, 1831, 1835/1836	871, 902, 934, 1082, 1177, 1214/1215, 1329, 1460, 1585, 1601, 1641/1642, 1720, 1730, 1762/1763, 1832, 1835/1836
ASYMM <sub>SH</sub>	929, 961, 1158.5/1159.5, 1232, 1268, 1275/1276, 1341/1342, 1452/1453, 1593, 1673, 1693/1694	962, 1159, 1233, 1269, 1276/1277, 1285, 1342, 1453/1454, 1674, 1694
SYMM	854, 1001, 1284/1285, 1416, 1809/1810, 1815/1816	855, 1002, 1810, 1816/1817

- 1) Dates of Eruption events used in composite results, based on reconstructed stratospheric sulfate loadings from Gao et al. (2008).
- 2) Combined dates with a “/” indicate a multi-season event where every inclusive month is first averaged prior to entering the multi-eruption composite.

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## Supplemental Figure Captions

**Figure S1.** Zonal-mean temperature anomalies as a function of atmospheric pressure and latitude in CESM volcanic eruption composites for [each](#) event and season classifications discussed in text.

**Figure S2.** GISS spatial composite of temperature anomaly ( $^{\circ}\text{C}$ ) for (top row)  $\text{ASYMM}_{\text{NH}}$ , (middle row)  $\text{ASYMM}_{\text{SH}}$ , and (bottom row) SYMM events, each in (left column) NDJFM and (right column) MJJAS. Note that scaling of colorbar is different from CESM composite (Figure 2).

**Figure S3.** As in Figure S2, except for precipitation (mm/day). Note colorbar range difference compared to CESM composite (Figure 4).

**Figure S4.** Precipitation anomaly (mm/day) for the 1763 C.E. Laki eruption for NDJFM. Results displayed for all [18](#) ensemble members in CESM relative to the 1757-1761 C.E. NDJFM mean. Surface air temperature anomalies ( $^{\circ}\text{C}$ ) averaged over the Niño 3.4 region displayed at topright of each panel. Note colorbar range difference compared to CESM all-event composite (Figure 4).

**Figure S5.** Precipitation asymmetry index (unitless) as defined in text vs. NH minus SH AOD gradient (hemispheric sulfate loadings divided by 75 Tg for the CESM results).

Results displayed for both seasons in LM time series. Since most of the LM time series features zero or low volcanic activity, all seasons where  $-0.1 < \text{AOD gradient} < 0.1$  are shown by dashed box and whisker (GISS) and solid box only (CESM). The whisker lengths are very similar between the two models, and were omitted to avoid visual

overlap. Results presented for the [18](#) and 3-member ensemble mean for each season, which suppresses the variability (represented by the box and whisker spread) for the non-

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1639 eruption compilation but allows for comparison with the ensemble-mean volcanic  
1640 responses.

1641 **Figure S6.** Niño 3.4 SST anomalies for all ASYMM<sub>NH</sub> events, centered on Year 0 (the  
1642 January before each eruption). The mean SST anomaly averaged over all eruption and  
1643 ensemble members is shown as red line, and the eruption spread is shown as gray shading  
1644 (after averaging [18](#) ensemble members). Composite-mean NH aerosol loading (Tg),  
1645 aligned in the same way, is shown as purple line.

1646 **Figure S7.** Composite Sea Surface Height (cm) and surface wind anomalies for  
1647 ASYMM<sub>NH</sub> events. Composite formed from the boreal winter events in Table 1 in main  
1648 text. [Blue box shows the Niño 3.4 region.](#)

1649  
1650 [Figure S8.](#) Distribution of precipitation anomalies (mm/day) in CESM (top) and GISS-  
1651 E2 (bottom) during MJJAS averaged broadly over the Asian-Pacific monsoon sector  
1652 (65°-150°E, 10°-40°N), including regions of the Indian summer monsoon, western North  
1653 Pacific summer monsoon, and the East Asian summer monsoon. Each eruption is taken to  
1654 be an independent event, and there are more events in CESM due to the greater ensemble  
1655 size (note difference in y-axis scale and slightly different bin width). Solid lines  
1656 correspond to a normal distribution for the (red, ASYMM<sub>NH</sub>; blue, ASYMM<sub>SH</sub>; black,  
1657 SYMM) events.

1658  
1659 **Figure S9.** Animation from May of Year -2 to December of Year +6 (as discussed in  
1660 text) of monthly temperature anomalies (°C) associated with ASYMM<sub>NH</sub> volcanic forcing  
1661 in CESM. For each time step, the global aerosol loading (in Tg) and hemispheric

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1664 difference in loading (NH minus SH) are displayed. Months exceeding the 8 Tg global  
1665 aerosol loading in the G08 dataset are displayed in red.

1666 | **Figure S10.** As in Figure S9, except for  $ASYMM_{SH}$ .

1667 | **Figure S11.** As in Figure S9, except for precipitation (mm/day).

1669 | **Figure S12.** As in Figure S11, except for  $ASYMM_{SH}$ .

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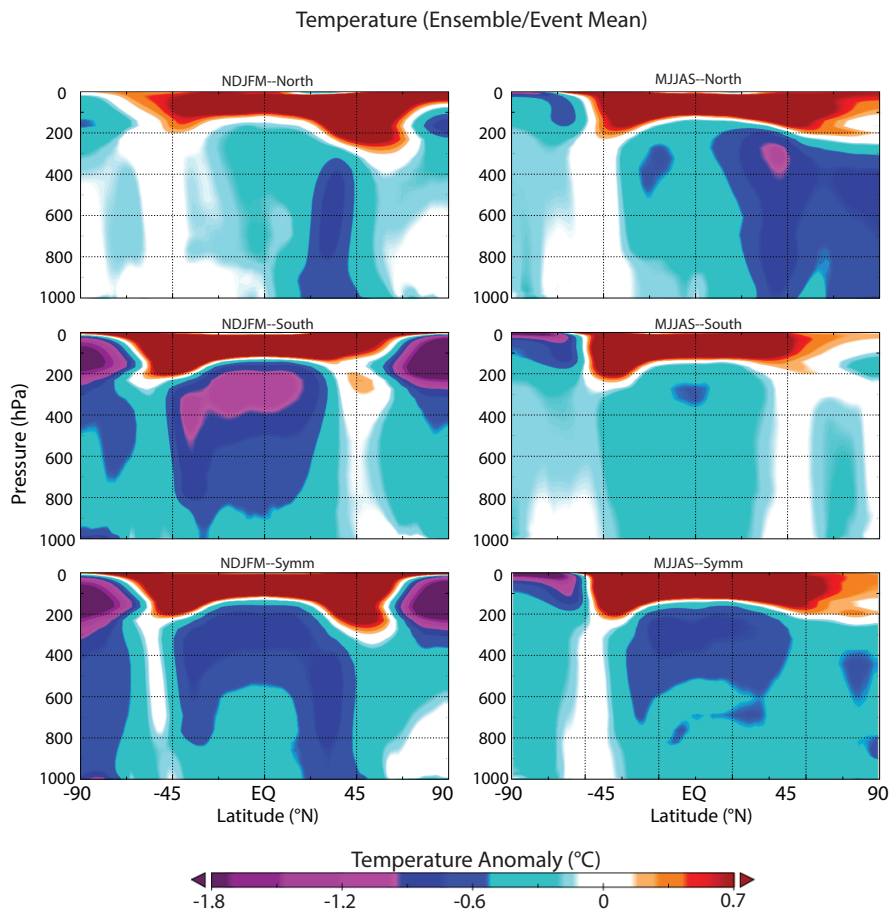
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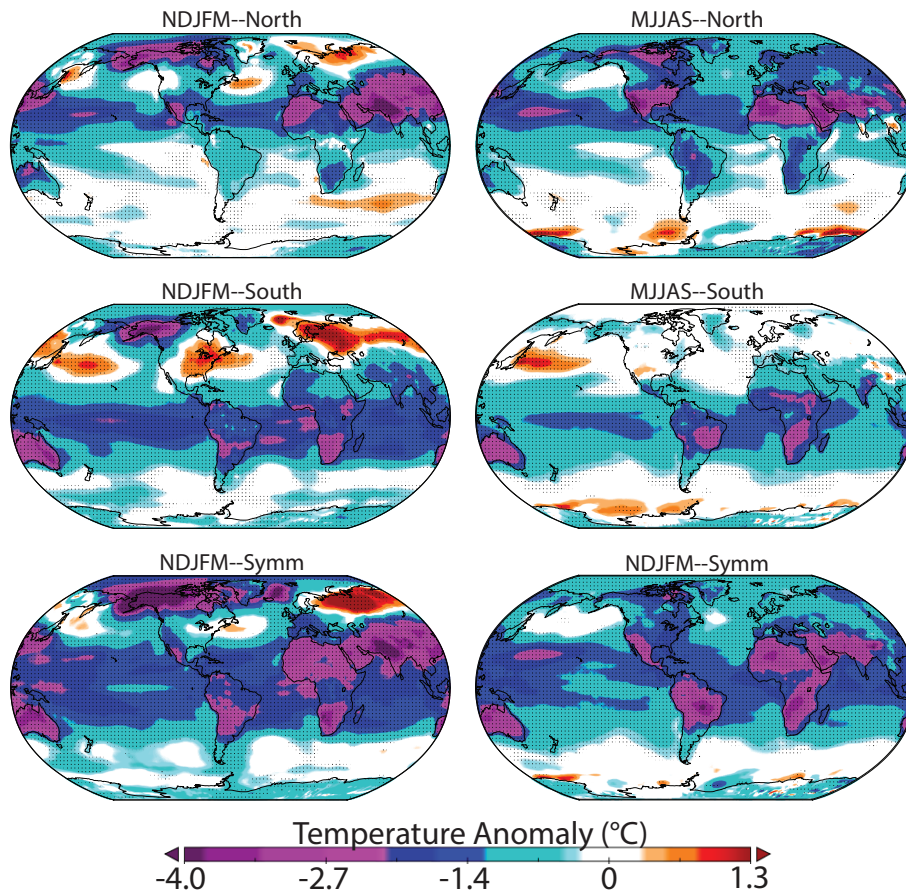
1679 **Figure S1.** Zonal-mean temperature anomalies as a function of atmospheric pressure and  
1680 latitude in CESM volcanic eruption composites [for each event and season classifications](#)  
1681 [discussed in text](#)

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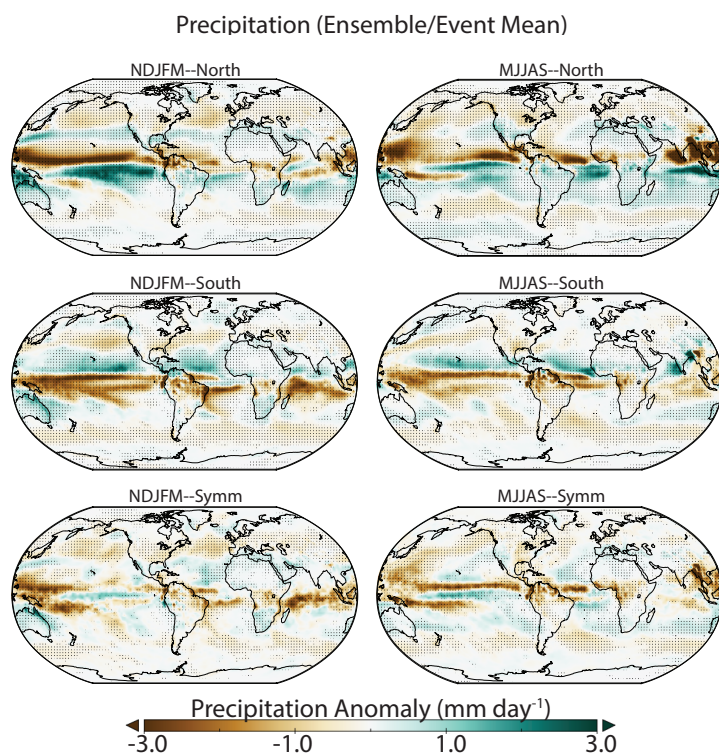
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as discussed in text

# Temperature (GISS Ensemble/Event Mean)

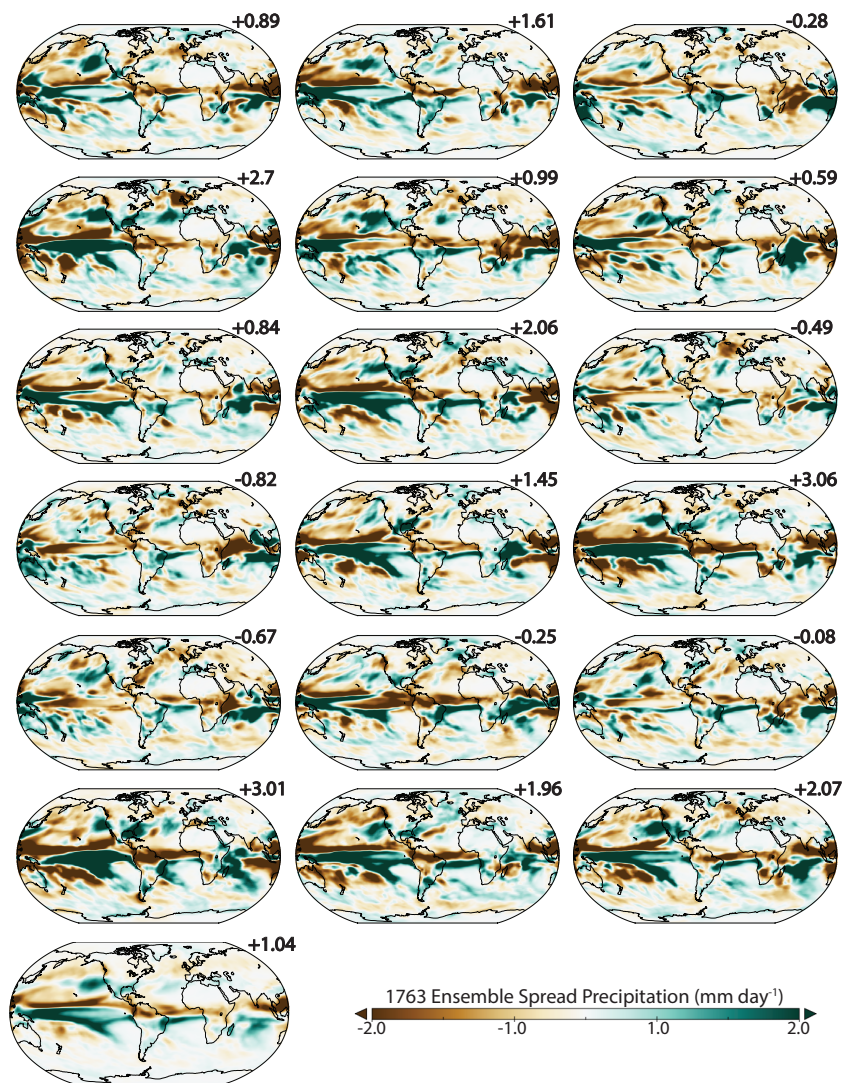


**Figure S2.** GISS spatial composite of temperature anomaly (°C) for (top row) ASYMM<sub>NH</sub>, (middle row) ASYMM<sub>SH</sub>, and (bottom row) SYMM events, each in (left column) NDJFM and (right column) MJJAS. Note that scaling of colorbar is different from CESM composite (Figure 2).

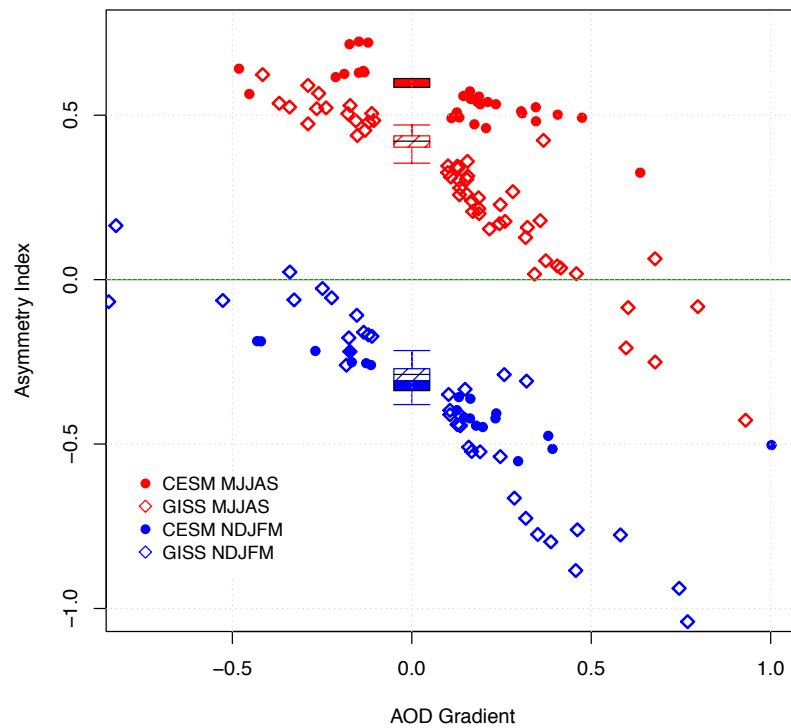


**Figure S3.** As in Figure S2, except for precipitation (mm/day). Note colorbar range difference compared to CESM composite (Figure 4).





**Figure S4.** Precipitation anomaly (mm/day) for the 1763 C.E. Laki eruption for NDJFM. Results displayed for all 18 ensemble members in CESM relative to the 1757-1761 C.E. NDJFM mean. Surface air temperature anomalies ( $^{\circ}\text{C}$ ) averaged over the Niño 3.4 region displayed at topright of each panel. Note colorbar range difference compared to CESM all-event composite (Figure 4).



**Figure S5.** Precipitation asymmetry index (unitless) as defined in text vs. NH minus SH AOD gradient (hemispheric sulfate loadings divided by 75 Tg for the CSM results). Results displayed for both seasons in LM time series. Since most of the LM time series features zero or low volcanic activity, all seasons where  $-0.1 < \text{AOD gradient} < 0.1$  are shown by dashed box and whisker (GISS) and solid box only (CSM). The whisker lengths are very similar between the two models, and were omitted to avoid visual overlap. Results presented for the 18 and 3-member ensemble mean for each season, which suppresses the variability (represented by the box and whisker spread) for the non-eruption compilation but allows for comparison with the ensemble-mean volcanic responses.

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1724 CESM LME uses the Parallel Ocean Program (POP2; Smith et al. 2010) as the  
1725 ocean model component. This is where the sea surface temperature (SST) and sea surface  
1726 height (SSH) diagnostics presented in Figure S6 and S7 are calculated. The model  
1727 features 384 (latitude) x 320 (longitude) ocean grid points, with variable horizontal  
1728 resolution that increases toward the tropics. There are 60 vertical levels, gradually  
1729 increasing from 10 m resolution in the top 150 m to ~250 m below 3 km depth.

1730 To perform a superposed epoch analysis for the state of the Pacific following all  
1731 ASYMM<sub>NH</sub> events, the Niño 3.4 index is calculated for each ensemble member in CESM  
1732 (averaging the SST from 120°W-170°W, 5°S-5°N) with the long-term annual cycle  
1733 removed. “Year 0” corresponds to the January before each eruption. We only show  
1734 results for ASYMM<sub>NH</sub>, since no distinguishable behavior in the Niño 3.4 time series is  
1735 exhibited for the other eruption classifications, as discussed in text. Months before Year 0  
1736 may feature a non-zero aerosol loading (as in Figure S6) due to the 8 Tg threshold for  
1737 defining an eruption not being satisfied, or due to overlap with previous eruptions. Unlike  
1738 the spatial composites discussed in the main text, pre-eruption months presented below  
1739 are not replaced with the pre-eruption dates of previous overlapping eruptions. However,  
1740 in the composite-mean, the aerosol loading is negligible for pre-eruption years, as well as  
1741 after ~5 years after the composite eruption, and does not bias the results.

1742 Figure S6 presents the Niño 3.4 time series averaged over all ASYMM<sub>NH</sub>  
1743 eruptions and ensemble members. Grey shading corresponds to the eruption spread after  
1744 averaging over the ensemble members. Since the CESM ENSO amplitude is large, even  
1745 after averaging over 18 members, the pre-eruption envelope is still quite wide (individual

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1749 events may be on the order of 5°C above normal). Averaging over fewer ensemble  
1750 members would progressively increase the width of the envelope.

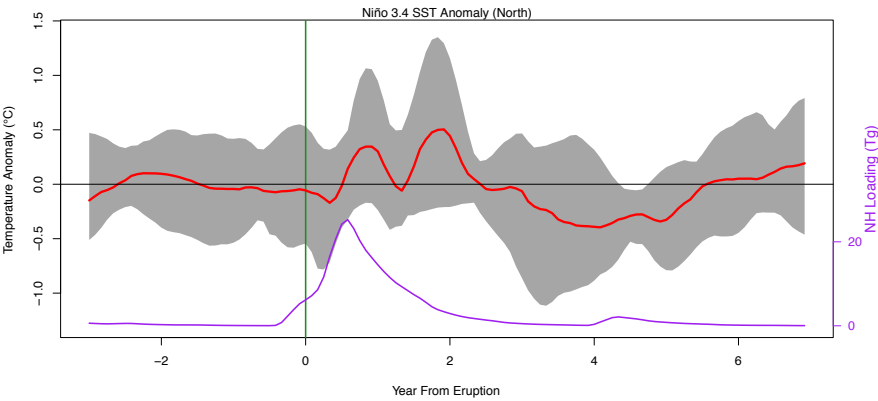
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1757 **Figure S6.** Niño 3.4 SST anomalies for all ASYMM<sub>NH</sub> events, centered on Year 0 (the

1758 January before each eruption). The mean SST anomaly averaged over all eruption and

1759 ensemble members is shown as red line, and the eruption spread is shown as gray shading

1760 (after averaging 18 ensemble members). Composite-mean NH aerosol loading (Tg),

1761 aligned in the same way, is shown as purple line.

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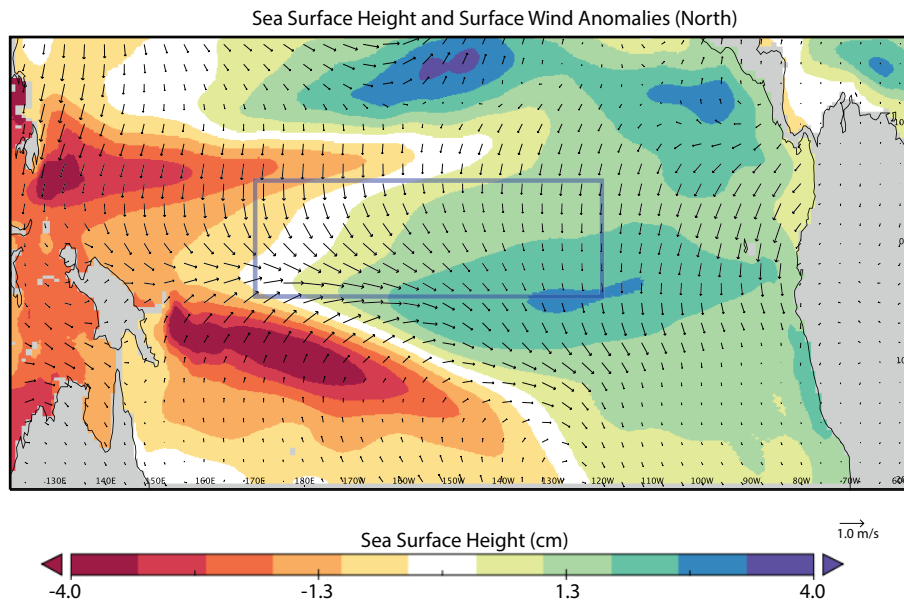
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**Figure S7.** Composite Sea Surface Height (cm) and surface wind anomalies for ASYMM<sub>NH</sub> events. Composite formed from the boreal winter events in Table 1 in main text. [Blue box shows the Niño 3.4 region.](#)

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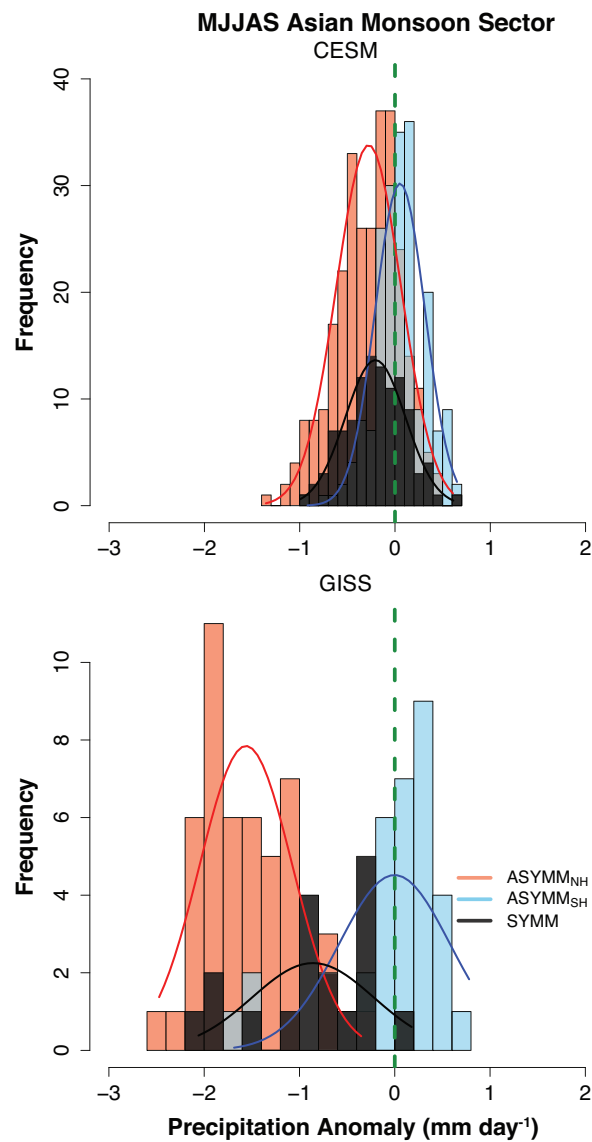


Figure S8. Distribution of precipitation anomalies (mm/day) in CESM (top) and GISS-E2 (bottom) during MJJAS averaged broadly over the Asian-Pacific monsoon sector (65°-150°E, 10°-40°N), including regions of the Indian summer monsoon, western North Pacific summer monsoon, and the East Asian summer monsoon. Each eruption is taken to be an independent event, and there are more events in CESM due to the greater ensemble size (note difference in y-axis scale and slightly different bin width). Solid lines correspond to a normal distribution for the (red, ASYMM<sub>NH</sub>; blue, ASYMM<sub>SH</sub>; black, SYMM) events.



1788 In the animations below, monthly temperature and precipitation anomalies from  
 1789 CESM (for each event, using five years as a pre-eruption reference period) are shown in a  
 1790 loop from May of Year -2 to December of Year +6, where year 0 and month 1  
 1791 corresponds to the January before each eruption, defined based on the same criteria as in  
 1792 main text. The animation shows the average anomaly field for all eruptions among [18](#)  
 1793 ensemble members, which suppresses the internal variability in pre-eruption months.  
 1794 There is still variability in the sequence of pre-eruption composites due to the finite  
 1795 number of realizations of natural variability, non-zero aerosol loading (only when the 8  
 1796 Tg global aerosol loading is exceeded is an event aligned with Year 0), overlap with  
 1797 previous eruptions, in addition to non-volcanic radiative forcings that are still present in  
 1798 [13/18](#) of the ensemble members.  
 1799 <https://av.tib.eu/media/18569?48>,  
 1800 **Figure S9.** Animation from May of Year -2 to December of Year +6 (as discussed in  
 1801 text) of monthly temperature anomalies (°C) associated with ASYMM<sub>NH</sub> volcanic forcing  
 1802 in CESM. For each time step, the global aerosol loading (in Tg) and hemispheric  
 1803 difference in loading (NH minus SH) are displayed. Months exceeding the 8 Tg global  
 1804 aerosol loading in the G08 dataset are displayed in red.  
 1805 <https://av.tib.eu/media/18571?16>  
 1806 **Figure S10.** As in Figure S9, except for ASYMM<sub>SH</sub>.  
 1807 <https://av.tib.eu/media/18570?32>  
 1808 **Figure S11.** As in Figure S9, except for precipitation (mm/day).  
 1809 <https://av.tib.eu/media/18572?0>  
 1810 **Figure S12.** As in Figure S11, except for ASYMM<sub>SH</sub>.  
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