1 Vegetation-climate feedbacks modulate rainfall patterns in Africa under

2 future climate change

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30 Abstract

Africa has been undergoing significant changes in climate and vegetation in recent decades, 31 32 and continued changes may be expected over this century. Vegetation cover and 33 composition impose important influences on the regional climate in Africa. Climate-driven 34 changes in vegetation structure and the distribution of forests versus savannah and grassland may feed back to climate via shifts in the surface energy balance, hydrological 35 cycle and resultant effects on surface pressure and larger-scale atmospheric circulation. We 36 37 used a regional Earth system model incorporating interactive vegetation-atmosphere coupling to investigate the potential role of vegetation-mediated biophysical feedbacks on 38 39 climate dynamics in Africa in an RCP8.5-based future climate scenario. The model was 40 applied at high resolution (0.44 x 0.44 degrees) for the CORDEX-Africa domain with boundary conditions from the CanESM2 GCM. We found that increased tree cover and leaf-41 area index (LAI) associated with a CO₂ and climate-driven increase in net primary 42 productivity, particularly over sub-tropical savannah areas, not only imposed important local 43 effect on the regional climate by altering surface energy fluxes, but also resulted in remote 44 45 effects over central Africa by modulating the land-ocean temperature contrast, Atlantic 46 Walker circulation and moisture inflow feeding the central African tropical rainforest region with precipitation. The vegetation-mediated feedbacks were in general negative with 47 respect to temperature, dampening the warming trend simulated in the absence of 48 49 feedbacks, and positive with respect to precipitation, enhancing rainfall reduction over the 50 rainforest areas. Our results highlight the importance of accounting for vegetationatmosphere interactions in climate projections for tropical and sub-tropical Africa. 51

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53 54	Keywords : RCA-GUESS, Vegetation Dynamics, Biophysical feedback, Precipitation, Walker Circulation, Land-ocean Contrast, Regional Climate Model
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79 **1. Introduction**

80 The Sahel greening and Congo rainforest browning observed since the 1980s suggest that 81 Africa has been undergoing significant changes in the structure, composition and 82 distribution of vegetation during recent decades (Eklundh and Olsson, 2003;Olsson et al., 83 2005; Jamali et al., 2014; Zhou et al., 2014). In addition to influences from anthropogenic activity (e.g. changes in land use), vegetation changes in the region have been linked to 84 changes in recorded climatic conditions, including the trend and interannual variability of 85 86 precipitation (Herrmann et al., 2005;Hickler et al., 2005;Olsson et al., 2005;Zhou et al., 2014), which in turn have been related to decadal-scale changes in regional circulation (Camberlin 87 88 et al., 2001; Giannini et al., 2003). On longer timescales, anthropogenic climate change has 89 the potential to cause profound structural and compositional changes in vegetation over Africa (Sitch et al., 2008; Scheiter and Higgins, 2009). 90

Shifts in vegetation cover and composition in terms of the distribution of trees and 91 92 grasses and their seasonal changes (phenology) can impose significant forcings on the physical climate system by modulating surface-atmosphere energy exchange and 93 94 hydrological cycling, resulting in biophysical feedbacks along with the climate forcings. The 95 type of vegetation alongside productivity-related structural aspects such as tree density and leaf area index (LAI) are important determinants for surface albedo, roughness length and 96 97 evapotranspiration, affecting surface energy fluxes that in turn control lower boundary layer thermodynamics (Eltahir, 1996; Brovkin et al., 2006; Bonan, 2008). Biophysical feedbacks 98 99 operate locally and may also generate teleconnections via heat and moisture advection, 100 leading to altered atmospheric circulation (e.g. Avissar and Werth, 2005; Nogherotto et al., 101 2013). Previous studies have shown the importance of vegetation-mediated biophysical

feedbacks for the past (e.g. Claussen and Gayler, 1997;Texier et al., 1997), and present (e.g.
Eltahir, 1996;Claussen, 1998;Wang and Eltahir, 2000) climate over Africa. Hypothesised
mechanisms of vegetation-atmosphere coupling include modulations of the surface albedo
(Charney, 1975), changes in the North-African monsoon system (Claussen, 1997) and
internal climate variability (Zeng et al., 1999).

107 Feedbacks mediated by shifts in vegetation structure and distribution can likewise play a 108 role for the future regional climate. General circulation models (GCMs) have been applied at 109 relatively coarse lateral grid resolutions to capture these dynamics (e.g. Kucharski et al., 110 2013). Recent studies have used a regional climate model to investigate the impact of climate-vegetation interaction for West Africa, identifying significant vegetation feedback in 111 112 modulating local hydrological cycling (e.g. Alo and Wang, 2010; Wang and Alo, 2012; Yu et al., 2015). Additionally, a number of GCM-based studies have investigated the climate effects of 113 114 anthropogenic perturbations, such as deforestation or afforestation (e.g. Lawrence and Vandecar, 2015). Such studies point to potentially significant forcing of regional climate 115 116 dynamics, particularly rainfall patterns, as a result of changes in land cover. No study to date 117 has, however, characterised the coupled dynamics of vegetation and climate under future radiative forcing for the entire African domain at a grid resolution high enough to capture 118 119 regional features and forcings.

120 In this study, we employ a regional Earth system model (ESM) that couples the physical 121 component of a regional climate model (RCM) with a detailed, individual-based dynamic 122 vegetation model (DVM). This tool enables dynamic representation of biophysical 123 interactions between the vegetated land surface and the atmosphere and their effects on 124 the evolution of climate and land surface biophysical properties to be analysed in an explicit

way. We perform simulations under the Representative Concentration Pathway (RCP) 8.5
radiative forcing scenario (Moss et al., 2010) with and without vegetation feedbacks
enabled, and investigate the potential coupled evolution of climate and vegetation for the
African continent over the 21st century. Our focus is especially on the central African
rainforest areas and the surrounding savannah vegetation belt.

130 **2.** Data and Method

131 **2.1** *Model description*

RCA-GUESS (Smith et al., 2011) is a regional ESM based on the Rossby Centre regional
climate model RCA4 (Kjellström et al., 2005;Samuelsson et al., 2011) coupled with
vegetation dynamics from the LPJ-GUESS DVM to account for land-atmosphere biophysical
coupling (Smith et al., 2001;Smith et al., 2014).

136 The RCA4-based physical component of RCA-GUESS incorporates advanced regional surface heterogeneity, such as complex topography and multi-level representations for forests and 137 138 lakes, which are significant in controlling the development of weather events from the localto meso-scale (Samuelsson et al., 2011). RCA4 has been applied in a range of climate 139 studies worldwide (e.g., Döscher et al., 2010;Kjellström et al., 2011;Sörensson and 140 Menéndez, 2011). The land surface scheme (LSS, Samuelsson et al., 2006) adopts a tile 141 142 approach and characterizes land surface with open land and forest tiles with separate 143 energy balances. The open land tile is divided into fractions for vegetation (herbaceous 144 vegetation) and bare soil. The forest tile is vertically divided into three sub-levels (canopy, forest floor and soil). Surface properties such as surface temperature, humidity and 145 146 turbulent heat fluxes (latent and sensible heat fluxes) for different tiles in a grid box are

weighted together to provide grid-averaged values. A detailed description is given bySamuelsson et al. (2006).

149 The vegetation dynamics component of RCA-GUESS employs a plant individual and patch-150 based representation of the vegetated landscape, optimized for studies at regional and 151 global scale. Heterogeneities of vegetation structure and their effects on ecosystem functions such as carbon and water vapour exchange with the atmosphere are represented 152 153 dynamically, affected by allometric growth of age-size classes of woody plant individuals, 154 along with a grass understorey, and their interactions in competition for light and soil 155 resources. Plant functional types (PFTs) encapsulate the differential functional responses of potentially-occurring species in terms of growth form, bioclimatic distribution, phenology, 156 157 physiology and life-history characteristics. Multiple patches in each vegetated tile account 158 for the effects of stochastic disturbances, establishment and mortality on local stand history 159 (Smith et al., 2001). This explicit, dynamic representation of vertical structure and landscape heterogeneity of vegetation has been shown to result in realistic simulated vegetation 160 161 dynamics in numerous studies using the offline LPJ-GUESS model (Smith et al., 2001;Weber et al., 2009; Hickler et al., 2012; Smith et al., 2014; Wårlind et al., 2014; Wu et al., 2015). 162 Biophysical feedbacks have previously been studied in applications of RCA-GUESS to Europe 163 164 and the Arctic (Wramneby et al., 2010;Smith et al., 2011;Zhang et al., 2014). A general description of the coupling between the vegetation dynamics component LPJ-GUESS and 165 166 the physical component RCA is provided in the Appendix. A more detailed description is given by Smith et al. (2011). 167

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2.2 Model setup, experiments and analysis approach

169 The simulations were applied over the African domain of the Coordinated Regional Climate 170 Downscaling Experiment (CORDEX-Africa, Giorgi et al., 2009; Jones et al., 2011) on a horizontal grid with a resolution of 0.44° × 0.44°. The period studied was 1961 to 2100. 171 Forcing fields in 6-hour time intervals (atmospheric fields and sea-surface temperature (SST) 172 as lateral and lower boundary conditions, respectively) were derived from the historical and 173 174 RCP8.5 simulations with the CanESM2 general circulation model (GCM) (Arora et al., 2011) 175 in the Coupled Model Intercomparison Project Phase 5 (CMIP5, Taylor et al., 2012). Time-176 evolving forcing fields from the GCM were prescribed for all variables, including SSTs. 177 The vegetation sub-model LPJ-GUESS was set up with eight PFTs which represent the major

forests and savannahs and C_3 and C_4 grasslands. The PFT parameter settings follow Morales et al. (2007) and are summarised in Table A1.

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elements of natural vegetation across Africa, including the tropical and warm-temperate

PFTs of the forest tile were simulated with 30 replicate patches. Average values of state variables across the replicate patches were used to determine biophysical parameters, i.e. forest fraction and LAI for trees versus grasses, provided as forcing to the physical submodel. For the open land tile with herbaceous species, C₃ and C₄ grass were simulated deterministically and aggregated to characterise open land vegetation. Fire disturbance in response to climate and simulated fuel load (Thonicke et al., 2001) was included.

Following the approach of Wramneby et al. (2010) and Smith et al. (2011), RCA-GUESS was initialized with a spin-up in two stages to achieve a quasi-steady state representative for mid-1900's conditions. After the spin-up, the model was run in coupled mode from 1961 onwards, with simulated meteorological forcing from the physical sub-model affecting vegetation phenology and structural dynamics, and biophysical land surface properties

being adjusted to reflect the changes in vegetation, thereby affecting the physical climate
dynamics. For comparison, a recent past experiment (RP, Table 1) with the same vegetation
spin-up but thereafter driven by boundary conditions derived from ECMWF re-analysis (ERAInterim) (Berrisford et al., 2009), was conducted for the period 1979-2011.

196 The simulation protocol was designed to enable biophysical feedbacks of vegetation 197 changes to the evolving 21st century climate to be inferred. Three simulations were 198 performed to investigate vegetation-climate feedbacks under future climate change (Table 199 1). The first simulation included the vegetation feedback (FB). It was run for 1961-2100 in 200 coupled mode, allowing the effects of climate and atmospheric CO₂ concentration (the latter taken directly from the RCP 8.5 data set) on vegetation state to feed back to the 201 202 evolving climate. The second simulation was run with vegetation feedback "switched off" 203 (non-feedback run, NFB). It started with the state of FB simulation at 1991 and used a 204 prescribed climatology of daily vegetation for 1961-1990 from the coupled simulation, but without transferring the simulated changes in vegetation in LPJ-GUESS to the land surface 205 206 configuration, and associated biophysical surface properties, in the LSS of RCA. To attribute 207 the component of the simulated vegetation changes resulting from physiological effects of 208 rising CO₂ concentrations of plant productivity and water-use efficiency, we performed a 209 third simulation (FB_CC), which was similar to FB, but started from the state of the FB 210 simulation of 1991 and used historical atmospheric CO₂ concentrations until 2005, held 211 constant thereafter, to force the vegetation sub-model only.

Our analysis focuses on the future period 2081-2100, comparing this with the present-day
(1991-2010). The climate change signal is inferred from the difference between the future

214 mean and the present-day mean in the NFB run. Vegetation feedbacks are calculated as the215 difference between the future means of the FB and NFB runs.

216 **2.3** *Methods to evaluate model performance*

217 Simulated near-surface atmospheric temperature over open land, precipitation, and LAI

were compared against observations within the common available time period 1997-2010.

219 Temperature and precipitation were compared with gridded observations from the CRU

TS3.23 (Harris et al., 2014) dataset, focusing on the annual mean and seasonality. For

221 precipitation we also employed the GPCP (Huffman et al., 2001, version 1.2 of One-Degree

Daily product for 1996/10-2011/6) which uses satellite data to upscale rain gauge

223 measurements and has been extensively used for African precipitation studies (e.g., Nikulin

et al., 2012). For the LAI evaluation we used the GIMMS-AVHRR and MODIS-based LAI3g

product (Zhu et al., 2013) which has been previously applied to the evaluation of vegetation

dynamics in ESMs (e.g., Anav et al., 2013).

To identify biases propagating from the model physics and from the GCM-derived boundary
 forcing data, we compared the reanalysis-driven RP simulation against observation and
 against the GCM-driven (CanESM2) FB simulation for the same period.

230 **3.** Results

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3.1 Model evaluation

To evaluate the model's performance for the present day, the simulated annual mean and seasonality of 2-meter air temperature, precipitation and LAI are compared against the observations (Fig. 1 and Fig. 2). The simulated annual mean temperature (Fig. 1a1) is generally higher in northern-hemisphere (hereinafter "northern") Africa than in southern-

hemisphere (hereinafter "southern") Africa. The model generally shows a cold bias in the
order of 1°C for northern and southern savannah (Fig. 1a2), dominated by the northern
hemisphere summer (JJA, Fig. 2a1,2a3). Warm biases up to around 3°C occur in northern
Africa, and warm biases up to around 1°C in central Africa where the warm bias originates
mainly from summer (Fig. 2a2).

241 The simulated precipitation is largest over western and central Africa up to 1600 mm 242 year⁻¹ within the simulated rainbelt between 25°N and 25°S, where the Atlantic moisture inflow (monsoon and equatorial westerlies) plays an important role (Fig. 1b1). Comparison 243 244 with CRU reveals a considerable dry bias (-500 mm year⁻¹) for the central African rainforest area and a wet bias (+250 mm year⁻¹) for the northern savannah. The simulated patterns and 245 246 magnitude of precipitation for this area are similar to a previous study using an earlier 247 version of RCA, RCA3.5, without dynamic vegetation (Nikulin et al., 2012). In RCA, the dry 248 bias for annual mean precipitation over central Africa may be partly due to the underestimated daily precipitation during the late afternoon and night in addition to 249 250 observational uncertainties (Nikulin et al., 2012). The wet bias over the northern savannah is 251 mainly caused by a too early onset of the rainy season (b1, Fig. 2), which is possibly caused 252 by the interactions between the simulated deep convection and the Africa Easterly Waves 253 (Sylla et al., 2011). The biases in simulated precipitation for the savannah regions and the central African rainforest area mirror the temperature biases: warm biases coincide with dry 254 255 biases in central Africa, and cold biases coincide with wet biases in savannah regions. A comparison of the CanESM2-driven (FB run) and the ERA-Interim-driven (RP run) simulations 256 (Fig. 1b3) indicates that the bias in simulated precipitation has contributions both from the 257 258 RCM itself and from the GCM-generated boundary conditions. Nevertheless, Nikulin et al.

(2012) have previously shown for Africa that the model is able to capture the ITCZ position
and the main features of the seasonal mean rainfall distribution and its annual cycle, and
the model biases in precipitation were of similar magnitude to the differences between
observational datasets.

263 To further diagnose the effect of model dynamics on the precipitation bias, we evaluated the low-level circulation and humidity, which play an important role in the moisture 264 transport between ocean and land(Nicholson and Grist, 2003). The SST forcing is also 265 266 important for the African climate, and the CanESM2 SSTs have been validated and shown to 267 be accurate in previous studies (e.g. Rowell, 2013;LaRow et al., 2014;Xu et al., 2014). We compare the simulated circulation and specific humidity at 850 hPa from the NFB run with 268 269 the regional model against ERA-Interim reanalysis for 1997-2010 (Fig. A1). The simulated patterns of circulation and specific humidity at 850 hPa agree well with the reanalysis: the 270 271 trade winds over both northern and southern Atlantic, West African monsoon as well as the Somali Jet (eastern Africa) are reproduced well by the model. However, there are small 272 273 biases in wind speed at 850 hPa which generally appear in areas close to the domain boundary and around the African coastal regions. In the case of specific humidity, there are 274 dry biases over the continent. These may be traceable to the different convective schemes 275 276 used in RCA and ERA-Interim, exhibiting different diurnal cycle of precipitation over Africa (Nikulin et al., 2012). 277

The simulated seasonality of LAI generally reflects the simulated seasonality of precipitation. A systematic overestimation is apparent for savannahs, and a significant underestimation for the central Africa rainforest area. These biases in LAI predominantly reflect the corresponding biases in precipitation (Fig. 2 b1-b3 and 2c1-c3). A stronger LAI bias in the

savannah is due to the presence of grasses, which are more sensitive to precipitationchanges in the model compared to trees.

With present-day forcing, the simulated climate and vegetation patterns and phenology are generally consistent with observations. Some of the biases in the simulated climate are common to many RCMs (Nikulin et al., 2012) and they are apparent for some sub-regions and seasons in our model. We conclude that the performance is adequate to capture the main details of the African climatology, providing sufficient confidence for the subsequent analysis of regional vegetation-climate interactions under future climate change.

3.2 *Future climate and vegetation change*

291 In the NFB simulation, most of the African continent is simulated to be 4-6°C warmer by the end of the 21st century compared with present day (Fig. 3a). The subtropics exhibit a slightly 292 293 stronger warming than the tropics, and land warming is slightly larger compared to warming 294 of the surrounding ocean surface as simulated by the CanESM2 GCM and represented in the SST forcing fields prescribed from that model. These changes are fairly similar throughout 295 296 the year, except in Northern Africa and the Sahara, where the temperature increase is 297 particularly pronounced in the local dry season (Fig. A2.e-h). Precipitation is projected to 298 increase in most parts of the African monsoon area, western equatorial coastal area and the 299 eastern African horn (Fig. A3.e-h). A slight decrease is projected in the Congo basin and for the southern part of the continent (Fig. 3c). For areas with a precipitation increase, the 300 increase is mainly confined to the local wet season. The precipitation decrease over central 301 302 and southern Africa is apparent throughout the year (Fig. A3.e-h).

303 Vegetation feedbacks (FB run) modify significantly the pattern and magnitude of simulated

climate change. The effects are largest in low-latitude areas where the surface temperature
increase is generally dampened (negative feedback), most notably in savannah areas and to
a lesser extent in the equatorial rainforest area (Fig. 3b). The precipitation decrease is
enhanced (positive feedback), most notably over the rainforest area (Fig. 3d).

308 With the effects of climate change and CO₂ fertilization, future vegetation growth depicts an 309 enhancement not only of vegetation productivity in general, but also of tree cover in 310 subtropical savannah areas (Fig. 4a), displacing grasses and reflecting an increase in tree LAI of 0.5-2.4 during the growing season (Fig. 4b). This increase in tree cover reflects a general 311 rise in vegetation productivity driven by rising atmospheric CO₂ concentrations on 312 313 photosynthesis and water-use efficiency (Long, 1991; Hickler et al., 2008; Keenan et al., 314 2013). Results from the FB_CC experiment in which CO₂ fertilisation was disabled reveal that 315 changes in climate drivers alone are simulated to have minor or opposing effects on tree productivity and LAI due to reduced water availability (Fig. A4.), and that the changes seen in 316 317 tree cover and LAI in the FB run hence originate primarily from CO₂ fertilization.

Temperature feedbacks tend to be strong in areas of increased tree cover (Fig. 3b, Fig. 4a). 318 319 The cooling effects from vegetation feedbacks are strong (approximately -2°C) throughout 320 the year, with the most pronounced cooling occurring in the local dry season (Fig. A2.i-l), 321 when the newly established tree (with larger root depth than grass) transpires water that is taken up from the deeper soil layer. Transpiration from present-day grass is constrained by 322 323 the low moisture levels in the top soil layer. As a result, the evaporative cooling effect 324 becomes stronger when forest replaces open land. In the central African rainforest area, 325 where an increase in LAI of about 0.5-1 is simulated in FB run compared with the NFB run, 326 vegetation feedbacks on temperature are much smaller in the rainy season, but cause

327 cooling in the dry season.

Vegetation feedbacks on precipitation are also pronounced. For the southern hemisphere savannah area, a slight increase in precipitation (approximately 10%, Fig. 3d) was simulated, which is caused by strengthened convective activity (which coincides with enhanced radiation and latent heat fluxes) in the rainy season (DFJ, Fig. A3.). This can be considered as a local effect of tree LAI increase. However, changes in precipitation are not restricted only to the areas where tree cover increases (Fig. 3d, Fig. 4a), which is suggestive of remote effects on tropical precipitation. This is further investigated in the sections below.

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3.3 Vegetation feedback effects on circulation and precipitation

336 Vegetation feedbacks on temperature in our simulations operate mainly via an increased 337 surface area for evaporation and a stronger coupling to the atmosphere as tree cover, root 338 depth and LAI increase relative to grasses, most notably in savannah areas, resulting in a shift of the evaporative fraction (ratio of latent heat flux to turbulent heat fluxes) and an 339 increase in surface roughness length. Overall, the turbulent heat fluxes increase, which 340 tends to cool the surface and the lower atmosphere, exceeding the opposing (warming) 341 342 effects of increased vegetation cover on albedo, thus resulting in an overall cooling effect. 343 Similar behaviour was seen in southern Europe in a previous study with RCA-GUESS 344 (Wramneby et al., 2010).

The variability of precipitation over Africa is greatly influenced by the moisture advection from the ocean to land. Previous studies have noted on the influence of Atlantic Walker circulation on central African precipitation, as well as the role of the west African monsoon for precipitation over western Africa (e.g. Nicholson and Grist, 2003;Dezfuli and Nicholson,

349 2013; Pokam et al., 2014). These circulation systems are associated with thermal contrasts 350 between ocean and land, creating a pressure contrast that tends to promote the movement of moist surface air from the Atlantic over land. We examined the land-ocean thermal 351 contrast (∇T) and geopotential contrast ($\nabla \phi$) between the equatorial Atlantic and the near-352 353 coast African continent for three pressure levels between 850 hPa and 975 hPa, to characterise the circulation in the lower troposphere. We found that changes in ∇T and $\nabla \phi$ 354 355 are highly inter-annually anti-correlated for the rainy seasons MAM and SON (r=-0.82 and -356 0.64, respectively, Fig. 5; Fig. A5). The sensitivity of $\nabla \phi$ to ∇T , depicted as the slope in Fig. 5, is generally maintained in the future, with a slight decrease in the sensitivity for DJF and a 357 358 slight increase for MAM.

359 Under the NFB future simulation, ocean-land contrast becomes larger (the absolute value of 360 ∇T increases by about 0.5-1°C, Table A2) as land temperature increases more than the GCMsimulated increase in SSTs provided as forcing to the regional model (Fig. A2.). Differential 361 362 changes in features of the surface and lower atmosphere, such as changes in land-ocean contrasts in boundary layer lapse rate (Joshi et al., 2008) and changes in Bowen ratio over 363 364 land (Sutton et al., 2007) explain such divergence in temperatures between ocean and land. 365 As a result, except for SON, $\nabla \phi$ is generally simulated to increase in the course of the simulation (Fig. A5), with the largest shift occurring in MAM (11.96 $m^2 s^{-2}$ by the end of 21st 366 367 century, Table A2). For SON, ∇T increases but $\nabla \phi$ does not, suggesting that the trend of $\nabla \phi$ under climate change is associated with the GCM-derived boundary conditions, despite the 368 strong regional coupling with *∇*T in terms of variability (Fig. A5). 369

370 In contrast, the increase in the ∇T is dampened considerably when incorporating interactive 371 vegetation. The resulting reduction in ∇T offsets $\nabla \phi$ uniformly and statistically significantly

for all seasons, generally counteracting the climate change effect on $\nabla \phi$ (Fig. 5, Table A2).

373 **3.4 Effects on Walker circulation and low-latitude precipitation**

The low-level equatorial westerlies are important to the central African rainfall. They are 374 associated with the lower branch of the Walker cell located near the western equatorial 375 376 coast of Africa, and they transfer moisture from the adjacent Atlantic to the eastern 377 equatorial coast and the Congo basin (e.g. Nicholson and Grist, 2003; Schefuß et al., 2005;Cook and Vizy, 2015). These westerlies occur from March to October, being best 378 developed in JJA. They shift northward with the excursion of the Inter Tropical Convergence 379 380 Zone (ITCZ) and under the strong influence of the South Atlantic high pressure cell 381 (Nicholson and Grist, 2003). This pattern is simulated by RCA-GUESS for the present-day climate (Fig. 6). Via this circulation system, moisture can reach far over the African landmass 382 at around 28°E, upwell and integrate into the mid-level African Easterly Jet (AEJ) (Camberlin 383 et al., 2001;Nicholson and Grist, 2003). RCA-GUESS reproduces this pattern with a realistic 384 385 magnitude (Fig. 6, Fig. 7, Fig. 8, Fig. 9) when compared with previous studies based on 386 reanalysis data (Camberlin et al., 2001; Nicholson and Grist, 2003).

along the equator, with increases over the equatorial coastal or inland areas (Fig. A3.),
concurrent with stronger moisture inflow to land in the low-level troposphere (Fig. 8cd; Fig.
9cd).

397 Vegetation feedbacks are simulated to weaken the climate change enhancement of the 398 Walker circulation, resulting in a weakening of the equatorial westerlies and counteracting 399 the effects of climate change alone (Fig. 6i-l and Fig. 7i-l; Fig. 8ef and Fig. 9ef). These changes 400 correspond well to changes in low-level ocean-land geopotential contrast $\Delta \nabla \phi$ with the biggest impact for MAM and SON (Table A2). The weakened Walker circulation is also 401 represented as suppressed vertical uplifting motions over central Africa (Fig. 8f and Fig. 9f). 402 403 Atmospheric specific humidity at 850 hPa is reduced by approximately 7% due to vegetation 404 feedbacks which are comparable to the contribution of climate change (Fig. 8ef vs. Fig. 8cd; 405 Fig. 9ef vs. Fig. 9cd).

Analysis of the moisture flux convergence also confirms the impacts of a weakened Walker
circulation (Fig. 10) on the hydrological cycle caused by vegetation feedback. Moisture fluxes
for most parts of the African continent diverge toward the ocean near the equatorial
regions. This divergence is similar for both MAM and SON but the effect is slightly stronger
for SON, which also corresponds to reduced humidity for these areas (Fig. 8e-f; Fig. 9e-f).

The changes in precipitation show a distinct spatial and temporal pattern with changes in the rainbelt area (defined as 2mm day⁻¹ contour with 10-days smoothing, Fig. 11). Under future conditions, the rainbelt, which follows the ITCZ excursion, shifts around 3° northward during JAS (Fig. 11a). As a result, rainfall intensity increases from May to October, with the most pronounced increase by more than 30% relative to present-day levels of around 2 mm

day⁻¹ on the margins of the rainbelt. The rainy season becomes longer for Sahel (+9 days) as
well as for central Africa (+1 day). The location of the rainbelt for the rest of the year
remains unchanged, but there is a pronounced increase in rainfall intensity for the southern
African rainy season (about 10%) and a decrease (about -10%) for the central African rainy
seasons.

421 On top of the non-feedback climate change effect, vegetation feedbacks tend to cause a 422 slight contraction of the rainbelt around the equator, and they impose a primarily 423 counteractive effect on rainfall intensity compared to the climate change alone simulation (NFB). For central Africa, the considerable decrease in rainfall intensity in the dry season 424 425 leads to a slight equatorward shrinking of the rainbelt (approximately 2°) and a shorter rainy 426 season (on average 10 days, represented as a 4-day postponed onset and a 6-day earlier end). For southern Africa, strengthened convective precipitation results in a longer rainy 427 428 season by on average 6 days. There is no pronounced effect for the Sahel regions except for 429 some sparse changes over time and in some areas. To investigate the effects on ITCZ 430 location, we analysed the position of the intertropical front (ITF) with a meridional wind criterion (Sultan and Janicot, 2003) by examining the location of maximum vertical uplifting 431 wind speed at 850 hPa over Sahel in July and over southern Africa in January. However, we 432 did not find pronounced effects for ITF (not shown) suggesting that changes in the rainbelt 433 434 location for central Africa are mainly caused by changes in precipitation intensity rather than by changes in meridional circulation. 435

436 **4. Discussion**

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4.1 Related tenets of Regional Earth System Modelling

We investigated the coupled dynamics of climate and vegetation over Africa under a future climate change scenario, applying a regional-scale ESM that dynamically couples a dynamic representation of vegetation structure, composition and distribution to a physical climate model at a comparatively high grid resolution. Uniquely among existing studies of climate dynamics for Africa, this enabled us to isolate the regional biophysical feedbacks, which are usually not easy to disentangle in a global application in which the effects of changes in carbon-cycle and large-scale circulation tend to compound the biophysical effects.

In comparison with global ESMs, the added value from the regional ESMs lies in the 445 enhanced resolution obtained in a regional setup as presented in this study, allowing for a 446 447 more detailed representation of local surface features such as topography, land use, 448 vegetation change, and consequently possible related feedbacks, and also enhancing the 449 model's ability to capture climatic variability and extreme climatic events (Giorgi, 1995; Rummukainen, 2010, 2016). Improvements in the representation of local processes 450 451 may be expected to result in improved larger scale features (e.g. sea level pressure, 452 circulation patterns) (Diffenbaugh et al., 2005; Feser, 2006). For example, Kjellström et al. 453 (2005) found that reduced bias in surface air temperature – largely determined by local 454 energy balance - resulted in a better representation of interannual variability of mean sea 455 level pressure and circulation patterns, and improved the simulation of precipitation.

456

4.2 African vegetation patterns and change

Vegetation dynamics are critically important in modulating the evolution of the 21st century
climate in our study. Land use and grazing (Sankaran et al., 2005;Bondeau et al.,

459 2007;Lindeskog et al., 2013), which were not included in our study, represent additional

460 potentially important drivers of land surface changes. The historical vegetation state is also

relevant for future simulations, due to legacy effects lasting decades or even centuries
(Moncrieff et al., 2014) and their influences on climate-vegetation equilibria (Claussen,
1998;Wang and Eltahir, 2000). While our model exhibited a degree of bias in simulated
vegetation under the present climate, the overall distribution of the major vegetation types
of the continent (forest, savannah and grassland) was broadly correct. Arguably, vegetation
type is a more important determinant of climate-vegetation equilibrium than structural
parameters of a given type, such as LAI (Claussen, 1994;Wang and Eltahir, 2000).

468 Previous experimental (Kgope et al., 2010) and modelling (Sitch et al., 2008; Moncrieff et al., 469 2014) studies highlight the potential importance of physiological effects of atmospheric CO₂ 470 concentrations on the productivity and water use efficiency of vegetation, particularly in low 471 latitude and water-limited ecosystem types. Shrub encroachment and woody thickening has 472 been observed in water-limited areas including Sahel in recent decades, coinciding with 473 rising CO_2 concentrations (e.g. Liu et al., 2015). In our results, the simulated vegetation dynamics are consistent with these trends, presenting a trajectory of increased woody plant 474 475 dominance (not shown), and a similar future vegetation pattern (Fig. 4) as in previous 476 modelling studies (e.g., Sitch et al., 2008; Moncrieff et al., 2014). The vegetation changes simulated by our model under future climate forcing, are large relative to the bias noted in 477 478 the representation of present-day vegetation state. This provides some confidence that the simulated future vegetation is not critically dependent on these biases and, in turn, that the 479 480 emergent mechanisms of vegetation-climate interaction and their consequences for circulation and precipitation trends suggested by our study are robust. 481

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4.3 Vegetation feedbacks and land-ocean temperature contrasts

The land-ocean contrast is an important driver of continental precipitation, as it determines 483 the transport of moisture from ocean to land (e.g. Giannini et al., 2003; Giannini et al., 484 2005; Fasullo, 2010; Boer, 2011; Lambert et al., 2011). The positive trend in Sahel rainfall over 485 recent decades is a good example of linking moisture transport to land-ocean contrast, 486 487 where changes in SSTs over adjacent tropical oceans around Africa are key to the fragile balance that defines the regional circulation system (Camberlin et al., 2001;Rowell, 488 2001; Giannini et al., 2003). Land-surface feedback is found to modify the interannual to 489 490 interdecadal climate variability in this region by vegetation-induced albedo or evapotranspiration effects (Zeng et al., 1999; Wang et al., 2004). In our study, the SSTs were 491 prescribed from GCM-generated data, therefore the altered land-ocean thermal contrast 492 493 between simulations with and without feedback originated solely from the changes in land surface temperature, in turn attributable to vegetation dynamics. Although this represents a 494 495 land-forced mechanism in contrast to an ocean-forced one inferred in other studies (e.g. 496 Giannini et al., 2003; Tokinaga et al., 2012), the mechanisms are similar. Wind speed and land-ocean temperature contrast are reduced by approximately by 0.2 m s⁻¹ and 0.2°C, 497 respectively, when vegetation feedbacks are enabled in our study (Fig. 5 and Table A2); 498 these are comparable to the changes simulated in other studies for the Sahel 499 (approximately 0.2-0.5 m s⁻¹ per 0.2°C (Giannini et al., 2005)) and for the Pacific Oceans 500 (approximately 0.3 m s⁻¹ per 0.3°C (Tokinaga et al., 2012)). However, the relative importance 501 of such changes may differ for local climate systems: the lower branch of the Walker cell 502 over the eastern tropical Atlantic Ocean, which we have focused on in this study, may be in 503 a fragile balance and is more vulnerable to changes in thermal contrasts (equatorial 504 westerlies slowed down by approximately 0.2 m s⁻¹ from less than 2 m s⁻¹ of the present-day 505 506 wind speed in rainy seasons, Table A2) compared to the stronger monsoonal circulation for

507 Sahel and the Walker cell over the equatorial Pacific Ocean (> 5 m per second wind speed in 508 their peak months, Young, 1999). Our results indicate that even a small disturbance of the 509 eastern Tropical Atlantic circulation cell may produce profound impacts (larger relative 510 reduction in precipitation compared with the studies by Giannini et al. (2005) and Tokinaga 511 et al. (2012)).

512 Despite biases in the initial precipitation and vegetation state (LAI) for some regions, our 513 model was able to reproduce the present-day land cover type, and the simulated present-514 date climate is close to previous study (Nikulin et al., 2012) using the same physical sub-515 model with observed land cover type. Under future climate change, vegetation-induced changes in circulation, thus a substantial change in moisture transport and precipitation, are 516 517 mainly triggered by changes in land cover type (Fig. 4a), therefore, we argue that the influences from biases in initial conditions on such mechanism found in this study should be 518 519 limited. Our study used prescribed SST forcing from a GCM and could thus not account for additional or opposing feedbacks mediated by ocean dynamics. However, as the ocean heat 520 521 capacity is relatively large and variation in land-ocean thermal contrast can be greatly buffered by ocean heat uptake (Lambert and Chiang, 2007), we suggest that results should 522 not change fundamentally if a dynamic ocean component was introduced to the model. 523

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5. Conclusion and outlook

We investigated the potential role of vegetation-mediated biophysical feedbacks on climate change projections for Africa in the 21st century. In current savannah regions, enhanced forest growth results in a strong evaporative cooling effect. We also identify alterations in the large-scale circulation induced by savannah vegetation change, resulting in remote

effects and modulation of tropical rainfall patterns over Africa, favouring savannah
ecosystems at the expense of equatorial rainforest. Our results point to the potential
importance of vegetation-atmosphere interactions for regional climate dynamics and trends,
and motivate the incorporation of vegetation dynamics and land-atmosphere biophysical
coupling in regional models. This has become the standard in global climate modelling, but
remains rare in regional climate modelling.

535 Future work can include detailed studies on the role of vegetation feedbacks in the regional 536 climate projections with respect to shorter-term dynamics such as climate variability and 537 extreme events, which may have crucial implications for landscape processes such as wildfire. Regional and global biogeochemical feedbacks on future climate change may be 538 539 triggered by regional biophysical feedbacks, with implications for regional climatic trends, variability and seasonality under future greenhouse forcing (Zhang et al., 2014). Impacts on 540 541 the carbon balance of semi-arid ecosystem like savannahs, known to respond sensitively to variations in rainfall (Ahlström et al., 2015) may be particularly relevant to address for Africa. 542 543 The development of regional ESMs to account for the impacts of land use interventions such as afforestation and reforestation, as well as forest clearing, grazing and fire management 544 may be a valuable next step, enabling land surface-atmosphere interaction studies linked to 545 546 socioeconomic scenarios and climate change mitigation strategies.

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551 Appendix A: Description of the coupling between RCA and LPJ-GUESS

In RCA-GUESS, the LSS in RCA is coupled with LPJ-GUESS, which feeds back vegetation properties to RCA. RCA provides net downward shortwave radiation, air temperature, precipitation to LPJ-GUESS. In return, LPJ-GUESS provides daily updated LAI and the annually updated tile sizes (determined from the simulated maximum growing season LAI summed across tree and herbaceous PFTs in the previous year (Smith et al., 2011)). In the forest tile in RCA, vegetation cover in this tile is estimated as the foliar projective cover (FPC) using Beer's law:

 $A_{tree} = 1.0 - \exp(-0.5 \cdot LAI_{tree}), \qquad (1)$ where LAI_{tree} is the aggregated LAI of woody species, simulated by LPJ-GUESS in its forest tile in which vegetation is assumed to comprise trees and understory herbaceous vegetation. The natural vegetated faction of the open land tile was calculated similarly:

 $A_{arass} = 1.0 - \exp(-0.5 \cdot LAI_{arass}),$ (2) 564 565 where LAI_{grass} is the summed LAI of the simulated herbaceous PFTs from the herbaceous 566 567 tile of LPJ-GUESS in which only herbaceous vegetation is allowed to grow. The relative covers of the forest and open land tiles affect surface albedo, which is a weighted average 568 of prescribed albedo constants for forest, open land and bare soil and controls the 569 absorption of surface incoming solar radiation, and therefore influences surface energy 570 balance and temperature. 571

572 The turbulent heat fluxes are influenced by the properties of each tile, such as surface 573 roughness and surface resistance, which partly depend on vegetation properties provided 574 by LPJ-GUESS. The vegetation surface resistance controls vegetation transpiration and bare 575 soil evaporation for latent heat flux calculation. It scales with LAI and varies between the

576	different types of vegetation and affected by the incoming photosynthetically active
577	radiation, soil-water stress, vapour pressure deficit, air temperature and soil temperature.
578	The aerodynamic resistance controls both latent heat flux and sensible heat flux and is
579	influenced by surface roughness length distinguished from open land and forest. The total
580	heat fluxes and heat transfer determine the time evolution of the surface temperature and
581	thus the thermodynamics in the lower boundary layer. More details about the LSS are given
582	in Samuelsson et al. (2006), and the description of its coupling to the vegetation sub-model
583	is provided by Smith et al. (2011).

Table A1. Characteristics of the plant functional types (PFTs) used in the vegetation sub-model LPJ-GUESS.

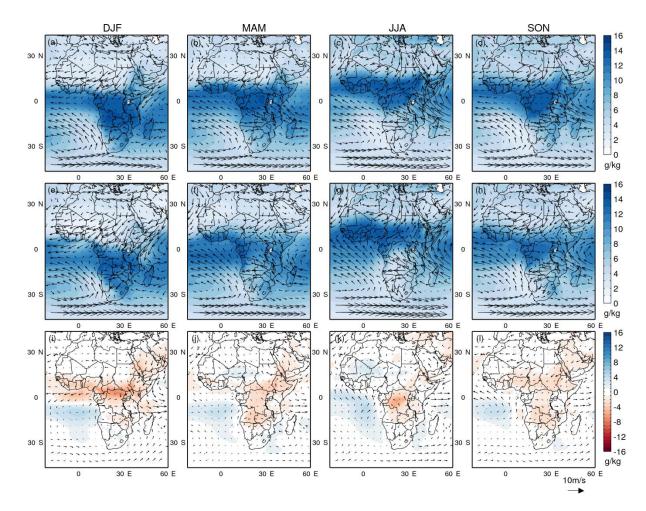
Characteristics	NE	BE	TrBE	TrBR	TBS	IBS	C3G	C4G
Leaf phenology ^a	Е	Е	E	D	D	D	R	R
Drought tolerance	low	low	low	low	low	low	very low	very low
Shade tolerance	high	high	high	low	high	low	low	Low
Optimal								
temperature range	10-25	15-35	25-30	25-30	15-25	10-25	10-30	20-45
for photosynthesis (°C)								
Min T_c for survival								
(°C) ^b	-	1.7	15.5	15.5	-18	-	-	15.5

585 Notes: NE, needleleaved evergreen tree; BE, broadleaved evergreen tree; TrBE, tropical broadleaved

evergreen tree; TrBR, tropical broadleaved raingreen tree; TBS, shade-tolerant broadleaved summergreen tree;
IBS, shade-intolerant broadleaved summergreen tree; C3G, C3 grass or herb; C4G, C4 grass or herb;

588 ^aE, evergreen; D, deciduous; R, raingreen.

589 ${}^{b}T_{c}$ = mean temperature (°C) of coldest month of year.



590

591 Fig. A1. Seasonal atmospheric circulation (arrows, m s⁻¹) and specific humidity (colour contours, g kg⁻¹) at 592 850 hPa pressure level from ERA-Interim (1st row), NFB run (2nd row), as well as their differences (3rd row, NFB 593 minus ERA-Interim), for the period 1997-2010.

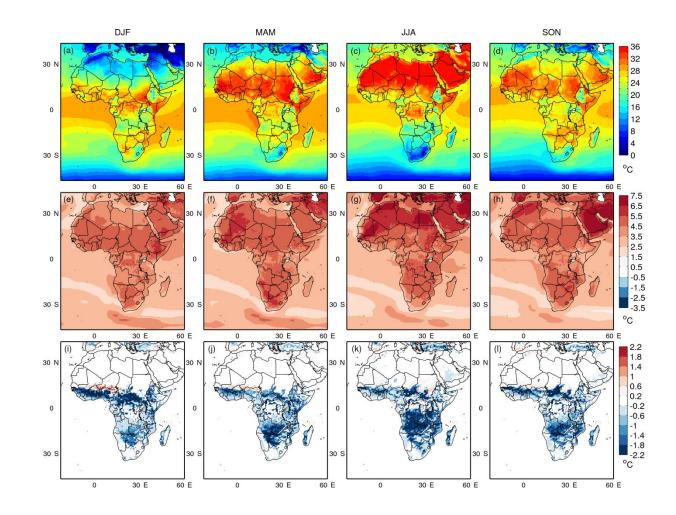
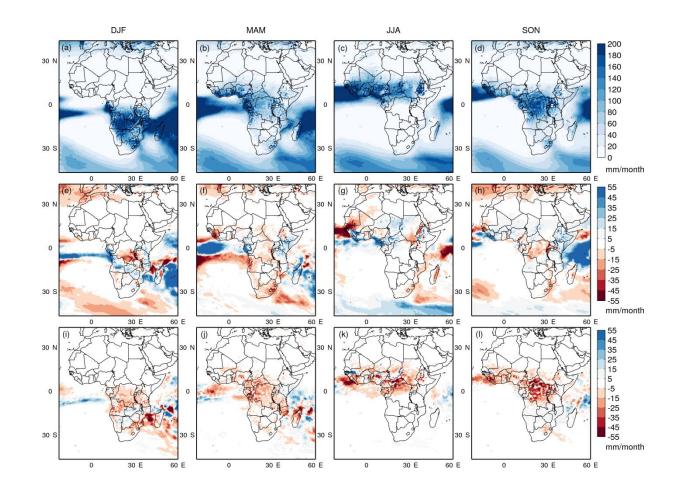


Fig. A2. Simulated seasonal surface temperature for present day (a-d), for changes in future in the NFB
 experiment (e-h, future minus present day), and for changes from vegetation feedback in future (i-l, FB minus
 NFB for future). Definitions for calculation period, climate change signal and vegetation feedbacks are given in
 Sect. 2.2.



601 Fig. A3. Similar to Fig. A2., but for precipitation.

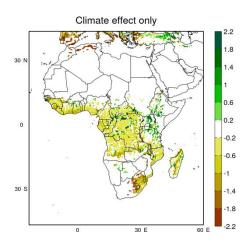


Fig. A4. Changes in forest tile LAI from the period 1991-2010 to the period 2081-2100 in FB_CC experiment.

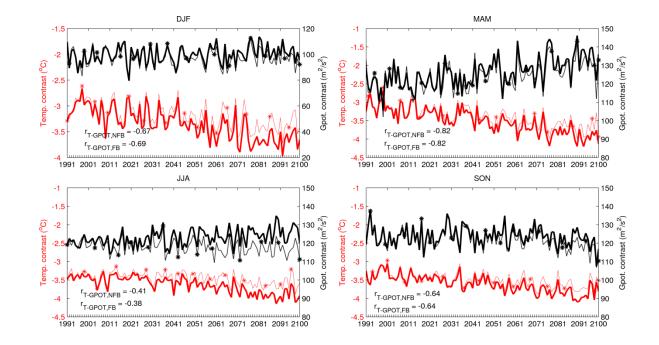


Fig. A5. Annual changes in atmospheric ocean-land temperature contrast (∇ T) and geopotential contrast (∇ φ) in time series for four seasons, represented by the mean contrast at the three pressure levels 850, 925 and 975 hPa (ocean minus land) within the domain 15°N-15°S, 24°W-20°E (see the inset in the panel for JJA in Fig. 5). Correlation coefficient (r) between atmospheric temperature contrast (∇ T) and geopotential contrast (∇ φ) are computed based on the de-trended annual time-series values for both FB (thick lines) and NFB (thin lines with asterisks) simulations. Changes between FB and NFB are significant at 95% confidence level for the whole time period. Note the different y-axis for DJF.

Table A2. Atmospheric temperature contrast, geopotential contrast and westerlies wind speed for the present-day state and contributions from climate change (CC subscript) and vegetation feedbacks (FB

	DJF	MAM	JJA	SON
	-3.06	-3.15	-3.47	-3.37
$\nabla T_{\text{present-day}}$ (°C) ^a	(0.30)	(0.34)	(0.22)	(0.24)
Δ∇T _{CC} (°C) ^a	-0.59 [*]	-0.73 [*]	-0.45 [*]	-0.47*
Δ∇T _{FB} (°C) ^a	0.29 [*]	0.23*	0.31 [*]	0.22*
$\nabla + (m^2 c^{-2})^a$	98.14	120.86	120.94	124.08
$\nabla \phi_{\text{present-day}} (m^2 s^{-2})^a$	(5.92)	(7.03)	(3.83)	(4.58)
$\Delta \nabla \phi_{CC} (m^2 s^{-2})^a$	3.94	11.96 [*]	4.73 [*]	-3.32
$\Delta \nabla \phi_{FB} (m^2 s^{-2})^a$	-4.93 [*]	-3.86 [*]	-8.96*	-3.92 [*]
$(m c^{-1})^{b}$	0.01	1.47	0.87	1.22
U _{zonal,present-day} (m s ⁻¹) ^b	(0.27)	(0.32)	(0.37)	(0.31)
$\Delta u_{zonal,CC}$ (m s ⁻¹) ^b	0.35 [*]	0.32 [*]	0.68 [*]	0.17^{*}
$\Delta u_{zonal,FB}$ (m s ⁻¹) ^b	-0.00	-0.21*	-0.28 [*]	-0.16 [*]

614 subscript), standard deviation is in parenthesis.

615 Note: ^a: Calculations are same as Fig. 5.

616 ^b: u_{zonal} is the averaged zonal wind speed for the pressure levels 850, 925 and 975 hPa between 3.5°N-6.5°N and 0-10°E;

617 The positive represents westerly and the negative represents easterly.

618 *: Changes are significant at 95% confidence level using Mann-Whitney U-test (Hollander and Wolfe, 1999).

619

604

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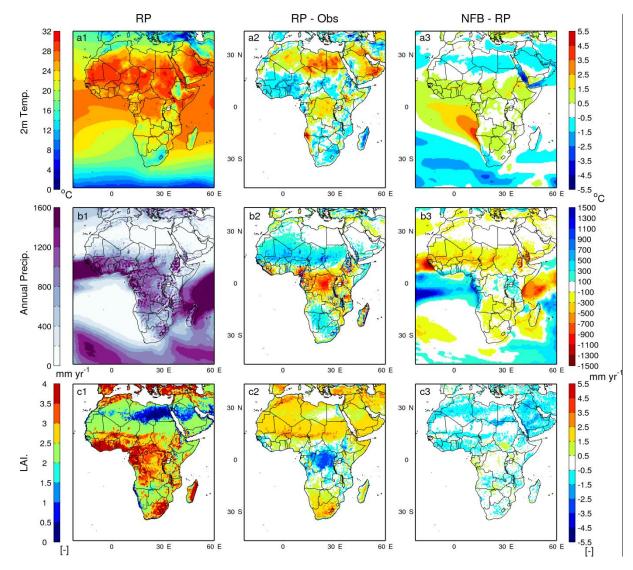
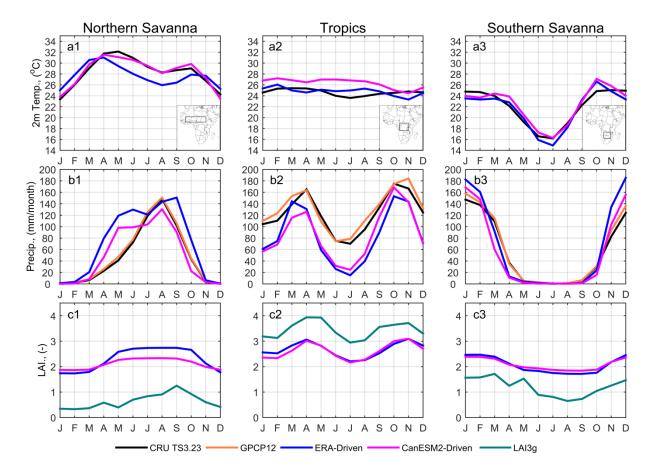


Fig. 1. Comparison between simulated and observed (a) annual mean near-surface air temperature, (b) annual precipitation and (c) annual maximum LAI for the period 1997-2010. Variables from the RP experiment (a1-c1) are compared with observations (a2-c2) and with those from the FB experiment (a3-c3), using RP minus observation and FB minus RP. For the comparison with observations (a2-c2), we used CRU temperature (a2) and precipitation (b2), as well as LAI3g (Zhu et al., 2013)(c2).







965Fig. 2. Simulated seasonal cycle and observations for northern savannah (inset in a1), central Africa (inset966in a2) and southern savannah (inset in a3) for the period 1997-2010. 2m temperature (a1-a3) and precipitation967(b1-b3) are as Fig. 1. For LAI (c1-c3) monthly mean tile-weighted simulated LAI over the averaging period are968used to compare with the observation.

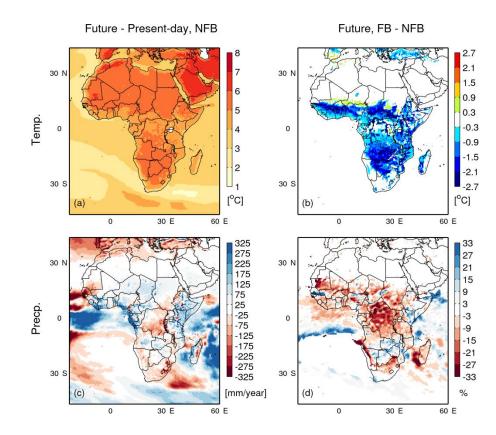




Fig. 3. Changes in surface temperature and precipitation due to climate change and vegetation feedback.
The calculation of climate change signal and vegetation feedbacks, present-day and future periods are defined
in Sect. 2.2. For (d), the percentage is calculated as the difference between FB and NFB (vegetation feedback)
divided by the present-day level and multiplied by 100. Grid points with annual mean precipitation <20 mm
year⁻¹ are skipped.

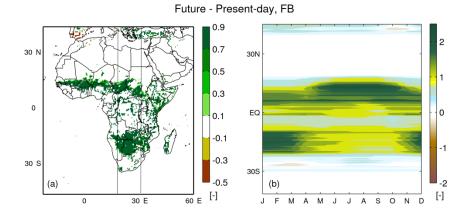
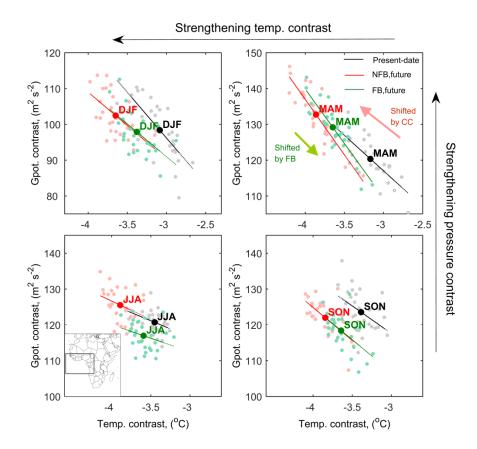
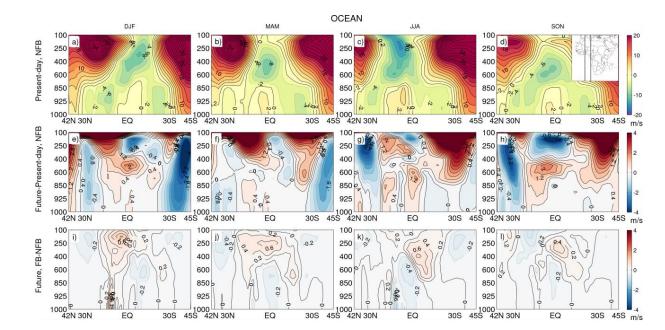


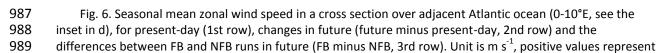
Fig. 4. (a) Change in forest fraction and (b) seasonal change in zonal mean forest LAI in the longitude band
between 18°E and 30°E (lines in a), calculated as future minus present-day in FB experiment. Present-day and
future periods are defined in Sect. 2.2.



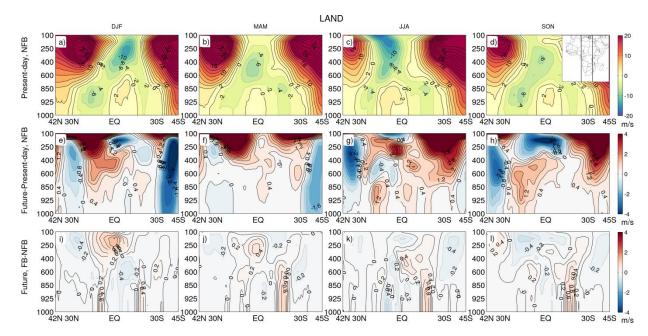


980Fig. 5. Changes in atmospheric ocean-land temperature contrast (∇ T) and geopotential contrast (∇ φ),981represented by the mean contrast at the three pressure levels 850, 925 and 975 hPa (ocean minus land) within982the domain 15°N-15°S, 24°W-20°E (see the inset in the panel for JJA), for the NFB and FB simulation in the983present-day and the future period (as defined in Sect. 2.2). Each scatter point represents the relation between984 $\nabla \varphi$ and ∇T for the correspondent season of one year, and the slopes represent its sensitivity during the985selected periods.





- 990 westerlies and negative values represent easterlies. Present-day and future periods are defined in Sect. 2.2
- 991 Contour intervals from top row to bottom row are 2m s⁻¹, 0.4m s⁻¹ and 0.2m s⁻¹, respectively.



993 Fig. 7. As Fig. 6 but for longitudinal band over land (10°E-30°E, see the inset in d).

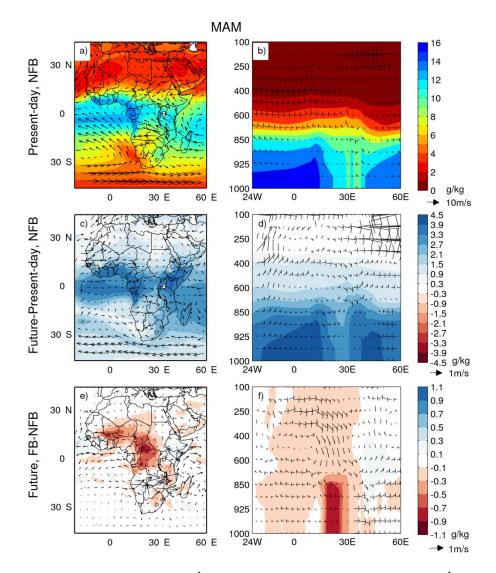
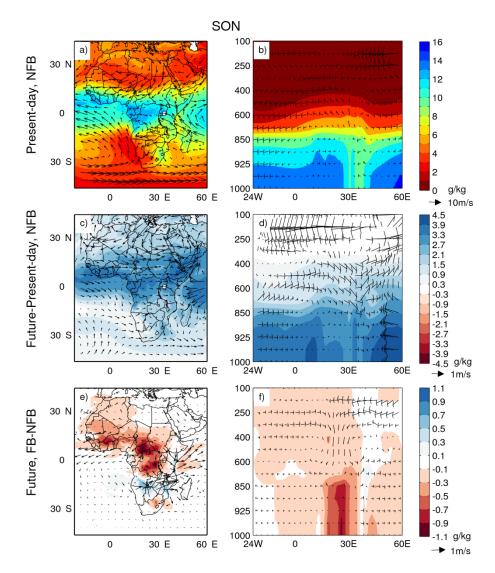
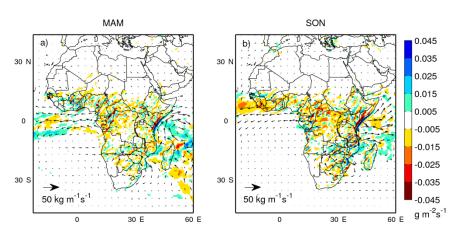


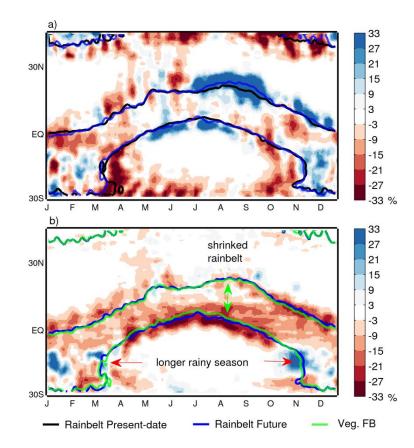
Fig. 8. Atmospheric circulation (arrows, m s⁻¹) and specific humidity (colour contours, g kg⁻¹) at 850 hPa pressure level for MAM, displayed as (a, c, e) for the entire domain, and (b, d, f) as a cross section for a latitude band between 2.5°S and 2.5°N, for present day (top), climate change impacts (middle) and the vegetation feedback (bottom). Definitions for calculation period, climate change signal and vegetation feedbacks are given in Sect. 2.2.



1001 Fig. 9. As Fig. 8 but for SON.



1003Fig. 10. Changes in vertically integrated moisture flux (arrows, kg $m^{-1}s^{-1}$) and moisture flux convergence1004(colour contours, g $m^{-2}s^{-1}$) caused by vegetation feedback, averaged over the future period (as defined in Sect.10052.2) for (a) MAM and (b) SON.





1007Fig. 11. Daily changes in precipitation averaged over the longitude band 18°E-30°E, represented as relative1008changes in daily precipitation intensity (shading, %) and rainbelt location (contour) due to (a) climate change1009and (b) vegetation feedback for future. The rainbelt location is defined as 2mm day⁻¹ contour. 10-day running1010mean is applied for daily values.

1011 Table 1. Experimental design for the investigation of the vegetation-climate feedbacks in this study.

Runs	Vegetation Feedbacks	Radiative forcing ^a	CO ₂ forcing ^b for vegetation sub-model	Simulated period	Boundary condition
RP	Dynamic	Historical	Historical	1979-2011	ERA-Interim
FB	Dynamic	Transient under RCP8.5	Transient under RCP8.5	1961-2100	CanESM2
NFB	Prescribed vegetation simulated from 1961 to 1990	Transient under RCP8.5	Transient under RCP8.5	1991-2100	CanESM2
FB_CC	Dynamic	Transient under RCP8.5	Historical until 2005 and constant afterward	1991-2100	CanESM2

Notes: a, using equivalent atmospheric CO₂ concentration; b, using actual atmospheric CO₂ concentration.