

We appreciate the reviewers close reading of the text, and have incorporated the reviewers' constructive criticisms in our revised text, which resulted, we think, in a much better paper. Our responses to the reviewer comments are in blue. The paper is changed substantially in response to the reviewers, consistent with major revisions, including changing the title and reorganizing the emphasis and order of the figures.

Anonymous Referee #1

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General comments:

The authors must be congratulated on analysing a large number of datasets and making a useful evaluation for the ESM community. However there are several issues with the paper.

We appreciate the positive comments on the paper, and incorporate the reviewer's constructive criticism into our modified text. We have added numbers to the reviewer's comments, to better facilitate discussion.

1. First of all the paper is plagued with grammatical and syntactical errors, making it awkward to read and repetitive. Many paragraphs need to be completely re-written. I understand how difficult it is for a non-native English speaker to write a paper, but it is no justification for this many errors.

We have worked hard to improve the quality of the writing for the resubmission.

2. Secondly, I find the evaluation on the historical runs to lack originality. Anav et al. 2013 has already addressed the ability of ESMs at reproducing LAI in the high Northern Hemisphere and Mao et al. 2013 attributed the relevant driving mechanisms to the change in LAI globally. Both papers used the satellite product that the authors included in this evaluation. It is hardly surprising to read that models overestimate LAI in the NH, as this has been shown before. I also can't believe the results over the tropics, as satellite has been shown to saturate leading to lower LAI values than reality. Many other comparisons between models and satellite derived LAI are contained here:
http://www.mdpi.com/journal/remotesensing/special_issues/monitoring_global

We agree that the historical part of the analysis is not meant to stand alone, but rather to provide context for the future studies. We have retitled the paper to focus on the future simulations, and restructured the text to focus on the future simulations.

We cite the above-mentioned studies, as well as other studies, to show the connection of our study with previous historical analysis, and try to reduce the amount of discussion of the historical analysis. However, this paper does have to repeat some of the previous work in order to set the context for the rest of the paper.

3. Thirdly, there is no reason why a model that performs well in the historical run also does it for future scenarios. Important factors such as the representation of vegetation dynamics and the effects of nutrient limitation may play a more important role in the future may lead to biases in models that currently perform highly. For example all IPSL modules are ranked highly but non include a full N-cycle

module. Another example, models that include prescribed vegetation tend to perform better, but there is no reason to believe ecosystems will remain in the same place over the future. A shift in vegetation may lead to rapid changes in LAI.

This is an interesting assertion. We would argue that it is very common in considering future trends in variables predicted by models, to evaluate the ability of the models in the current climate (e.g. the whole of Chapter 9 of WG1 of AR5), and to use that information to reduce the uncertainty in the future projects (two examples for the carbon cycle are: Hoffman et al. 2014, Cox et al., 2014, as cited in our manuscript). Therefore we think it is justified to take that approach here. Indeed, only in the mid-latitudes is the reviewer correct for the current set of CMIP5 models that there is no correlation between current skill and projections: in high latitudes and tropics there does seem to be a correlation. Please note that we also consider the CO₂ fertilization of the models, which is a proxy for whether N is included, so we can test this hypothesis that this is important.

On the other hand, for the IPCC, they did not chose to use skill in the current climate to restrict the spread in the future spread of the physical climate projections (i.e. Chapter 9 influence on Chapters 10, 11 and 12 was not strong!), for many reasons, some political and some scientific. The scientific reasons were discussed in some detail in the last sections of Chapter 9, so we add in some discussion of this interesting point in the appropriate section of the paper (old Section 4.2).

The way that we have restructured the paper also makes this less of a focus of the paper, and we show the results both ways.

Finally, the issue of CO₂ fertilization and the relationship of that with LAI is an interesting one. We had assumed, similar to the reviewer that CO₂ fertilization would drive many of the LAI changes. We expand this section of the text to show the decoupling of vegetation carbon and LAI in the future simulations, as well as add a discussion of why carbon uptake SHOULD be decoupled from LAI in many ecosystems and conditions, based on basic scientific understanding. We add a coauthor (C. Goodale) to improve our discussion of this point.

4. Next, only one RCP (8.5) was analysed. With the data been available for all four RCPs I don't see why this was not done. The paper would benefit from comparing the response of LAI to the drivers in the different scenarios (i.e. does LAI response in the same way to climate in all RCPs?)

We agree with the reviewer that this is a nice addition to the paper, so also consider the RCP4.5 in the revised text and figures, although there are fewer models which completed this experiment (RCP8.5 was the most commonly completed).

5. There are several methodological mistakes. The way the growing season (GS) is calculated is poor. There are plenty of papers that use simple methodologies (e.g. Murray-Tortarolo et al. 2013) that can account for changes in GS trough time. The assumption that precipitation only plays an important role in the three months before the growing season in simply wrong, particularly over the tropics, but also for the boreal forest (where autumn browning has been linked to drought later on the year). The authors claim the results for the correlation of climate and LAI are the same annually than over the GS, but show no evidence for this.

We agree that the way we have defined growing season isn't adequate, and because we do not have the space to fully consider multiple growing season definitions, we remove the text from the current manuscript considering growing season, and just focus on the annual averages. Using just annual averages has some problems as well, as discussed in the text, but think is justified for a first study of future LAI changes, and still results in some interesting results. We add in caveats about this point in the relevant sections of the methods and results.

6. The authors' definition of drought based on LAI is simply wrong, drought can only be defined based on climate; additionally low LAI can be driven by fire and disturbance and having drought 1/6 of the time is ecologically implausible. We replace the word 'drought' in the manuscript with 'Low LAI', since we still think the concept is very important.
7. The inclusion of Kenya in the analysis seems completely out of the blue. We thought a concrete example would help the analysis, but remove the example following the reviewer's comment.
8. All figures need to be improved as they are poorly and inconsistently formatted. We have reformatted all the figures, as noted also below. Please note that the figures we had formatted for the final version of the paper (assuming A4 rectangular size) were reformatted as square for the discussion version, which forced the copy-editor to leave a great deal of white space around the figures. This won't be the case in the final version of the paper.
9. Generally the paper feels like a collection of preliminary results that have not been properly analysed. A more in depth analyses are needed and simpler graphics and tables would greatly benefit the paper. We have removed some of the more complicated figures and tables, to improve the accessibility of the paper, and rewrote the results to make them easier to grasp. We have added more synthesis-type graphs, especially with respect to the RCP4.5 contrast to RCP8.5.

Particular comments

Tables:

10. Tables 3, 4a, 4b, 4c are difficult to read as they contain too many metrics. A simpler approach is needed to facilitate the results to the reader. Table 6 is highly irrelevant. We have moved Tables 3, 4a-c into the Appendix. Table 6 is very relevant, as it shows that the spread decreases if the top-models are used for the tropics, which we think is interesting.
11. Figures :Figures are badly formatted, difficult to read (some I would say impossible) and generally seem to be missing a more in depth-analysis. Figure 1 has been shown before in the literature many times. Figure 1b seems to be missing parts of the planet. We agree that Figure 1 has been shown many times and move this figure to the supplemental material.
12. Figure 2 impossible to read, as are figures 5 and 6. The reviewer does not find probability density function plots easy to interpret,

while we find them wonderful for efficiently conveying substantial information. To accommodate the reviewer and other readers like the reviewer, we have either removed these figures or put them into the Supplement, and replaced them with simpler plots.

13. Figure 3 has been shown before in the literature (or similar).
We agree that this has been shown previously, and cite the previous work, and move this figure into the Supplement
14. Figure 4 does not include all ESMs, not even a ESM that is comparable to CLM.
The first statement is true, as it is trying to show an example, but the second statement is not true, as indicated in the figure caption. We have removed this figure in the rewrite in any case.
15. Figures 8-11 are poorly formatted and clearly contain many mistakes (e.g. saturation of the legend). Figure 12 is unreadable. Figure 13 contains is poorly formatted
We reformat these figures, and reduce the saturation of the legend. We move the probability density function in Figure 12 into the Appendix, and replace with a line plot. Please note that the legend is saturated when the changes are greater than 8 (now) in standard deviation units, which means it is very statistically significant, so it's probably ok to have the legend saturate at some point. We try to make this point more clear.
16. Abstract Generally I feel the abstract is poorly written. While it does explain in detail the motivations of the authors, nothing is said on the methodology and the formulation of the main results is very ambiguous. I am also missing the key point of the paper as the last line of the abstract.
We rewrite the abstract to accommodate the comments of the reviewer, as well as the updated emphasis of the text.
17. Particular comments: Plant Canopy: Canopy is understood as part of the plant community or the ecosystem, not of a single plant. Needs rewording. Objective (3): interannual variability of LAI Lines 21-23: awkwardly written Lines 29-31: last sentence is out of place.
We replace 'a' with 'the' in front of plant canopy. We rewrite the sentences that are considered awkward by the reviewer.
18. Introduction: Generally the introduction is poorly written and needs to be corrected for grammatical and syntactical errors.
We re-edit the introduction to improve the writing.
19. There are also many fundamental theoretical errors (e.g. Line 7: "Carbon Cycle Modules" should state Land Surface Schemes, as it CCM can also refer to ocean; LAI is not a land C variable, but a vegetation parameter.).
In our model (the CLM), LAI as well as vegetative phenology is predicted in the carbon model, but we rewrite the sentence to accommodate the reviewer and the re-emphasis of the paper.
20. I am also missing key literature such as: Anav et al. 2013 J. Climate, Sitch et al. 2015 Biogeosciences and Kala et al. 2014 J. of Hydrometeorology.
Thank you for bringing to our attention these papers. We have added these papers

to the relevant sections in the introduction and other parts of the paper.

21. Missing the discussion on how LAI is represented on the models (i.e. prescribed vs. dynamic)
These models all predict LAI, which is why we are evaluating them and looking at the projections. We hope with the rewrite that this point is clear.
22. Missing all arguments regarding satellite uncertainty (e.g. satellite saturates over high-dense forest, leading to lower LAI estimates)
We add a discussion of satellite saturation over high density forests, leading to a lower LAI estimate in the Results section.
23. Methods: There is really no need to explain what CMIP5 is.
We rewrite the introduction to CMIP5 to be more brief.
24. The definition of growing season is poor. Other simple approaches lead to better results (e.g. Murray- Tortarolo et al. 2013 remote sensing).
As discussed above, we remove the growing season analysis to focus the paper better.
25. Several paragraphs correspond to the introduction. $\hat{A} \acute{c}$
In order to make our methods flow better, we repeat some information in the methods section. Unfortunately, it is unclear which part of the methods the reviewer did not appreciate, so we cannot directly comply with this recommendation, but we try to improve the writing in the methods section and make it more succinct.
26. Informal English used in many sentences. $\hat{A} \acute{c}$
We edit the text to remove informal English.
27. The inclusion of CLM (a DGVM is not justified in the introduction), also why not using JULES and ORCHIDEE?
The CLM is not used as a DGVM here (that is not the default version of the model: see Table 1), but rather as a carbon model. We use the CLM because that is the model we work with. We use it only as an example model, and as appropriate indicate that any model results using this model are just examples.
28. The LSM is the same in the coupled and uncoupled runs. $\hat{A} \acute{c}$
Yes, this is correct.
29. Murray-Tortarolo and Anav et al. 2013 proved that the selection of the LSM is more important for the correct representation of LAI than the climate relationship. Using only one DGVM for comparison is misleading.
Our results are quite consistent with Murray-Tortarolo and Anav, in showing that for temperature effects as well as mean and seasonality in LAI, the model is most important. The only place where we find that meteorology matters is for the precipitation relationship, which was not examined in those papers. We make this more clear. Please note that CLM is not a DGVM as used here. Because we reduce the historical portion of this paper, we largely remove this part of the paper, and just put it in as a note in the methods section.
30. The definition of drought is poor. Low LAI can also be driven by fire and disturbance

(real-world). Drought cannot be defined based on vegetation but only on climate. As discussed above, we replace 'drought' with 'Low LAI'. For the purposes of the humans or animals trying to use the land biomass to live, it doesn't really matter the cause of the low LAI, so we keep this analysis in the paper. We add in a little more introduction to why we want to use low LAI to indicate whether ecosystems or humans dependent on ecosystems might be at risk.

Results:

31. Poorly written. Some results are hardly surprising (e.g. LAI is higher in the tropics). Can't believe model overestimation over the tropics. There is no discussion of satellite errors over highly-dense vegetation.
We add a discussion of model errors over highly-dense vegetation. We refer to previous studies and reduce this part of the paper, as well as rewrite the text.
32. Seasonal cycle is usually defined as max-min LAI.
For most climate variables, the seasonal cycle size is evaluated as the std deviation of the monthly means(e.g. Glecker et al., 2008). In order to make this analysis more consistent with climate variable analysis, so that, for example, it can be incorporated into the model-data comparisons in future IPCCs, we try to make the LAI comparison more similar. We add in this explanation in the text.
33. No discussion of why some models over or under predicts SA, IAV and LAI. Was this related to the inclusion of vegetation dynamics? N-dep? Own climate?
Because the emphasis of this paper is on the future projections, and how current skill might relate to future projections, we do not have space to consider the causes of the errors. However, as stated previously, there have been many papers considering these issues in detail, so therefore we cite these papers.
34. Climate-LAI relationships have been explored in detail before (e.g. Mao et al. 2013)
We cite in more detail the Mao et al., 2013 and other papers here.
35. Murray-Tortarolo does not compare LAI-precipitation metrics.
We clarify the text.
36. The analysis of East Africa is out of the blue and not justified or introduced anywhere before. They feel unnecessary for the evaluation of global ESMs.
We remove this analysis to reduce the bulk of the paper.
37. Thirdly, there is no reason why a model that performs well in the historical run also does it for future scenarios
This is an important point that we discussed above and in more detail in the paper, and may just be a difference of believe, rather than of science. We think it is important to consider *whether* there is a relationship.
38. Summary and conclusions: Repetitive. Not summarizing the main results clearly
We have rewritten the summary and conclusions to more clearly bring out the main results.

Anonymous Referee #2

This paper compared multiple earth system models, and Leaf Area Index in these models is the focus of this paper. These comparisons are valuable for the research community. However, the writing of this paper should be improved. The authors need to do more work to make the results easier for readers to understand, and the main conclusions easier for readers to capture.

We appreciate the positive comments from the reviewer, and made the results easier to understand.

Some detailed comments: 1) The abstract is too long, and not good for readers to get the main take-home message from this paper.

We rewrite the abstract to better synthesis the results of the paper.

2) The fonts in the figures are so small that they are almost illegible.

We reformat the figures to make the fonts larger.

3) The authors produced a lot of numbers in the tables and lines in the figures; but these numbers and figures were not summarized effectively, only making the readers confused.

We reformat the figures to make them easier to understand, present that tables as figures, and rewrite the text to summarize the results more effectively.

4) Some sentences in the introduction were unnecessarily repeated in the conclusion part.

We rewrite the conclusions to more effectively communicate the main points of the paper.

1 Projections of Leaf Area Index in Earth System Models

2

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1 **Abstract**

2 The area of leaves in the plant canopy, measured as leaf area index (LAI), modulates
3 key land-atmosphere interactions, including the exchange of energy, moisture,
4 carbon dioxide (CO₂), and other trace gases and aerosols, and is therefore an
5 essential variable in predicting terrestrial carbon, water, and energy fluxes. We
6 examine LAI projections from the latest generation of Earth system models (ESMs)
7 for the Representative Concentration Pathway (RCP) 8.5 and RCP4.5 scenarios. On
8 average, the models project increases in LAI in both RCP8.5 and RCP4.5 over most of
9 the globe, but also show decreases in some parts of the tropics. Because of projected
10 increases in variability, across broad regions of the tropics there are more frequent
11 periods of low LAI. Projections for both RCP8.5 and 4.5 produce similar LAI trends,
12 with reduced magnitude for RCP4.5. Projections of LAI changes varied greatly
13 among models: some models project very modest changes, while others project
14 large changes, usually increases. Projected increases in LAI generally occur in the
15 same regions that are projected to experience increases in precipitation. Modeled
16 LAI typically increases with modeled warming in the high latitudes, but often
17 decreases with increasing local warming in the tropics. The models with the most
18 skill in simulating current LAI in the tropics relative to satellite observations tend to
19 project smaller increases in LAI in the future compared to the average of all the
20 models. Using LAI projections to identify regions that may be vulnerable to climate
21 change presents a slightly different picture than using precipitation projections,
22 suggesting LAI may be useful to the climate change impacts community.

23

1 **1.0 Introduction**

2 Providing future projections of climate change feedbacks and impacts is one of the
3 goals motivating the development of Earth system models (ESMs). The latest
4 generation of ESMs includes land models that simulate the temporal evolution of
5 carbon and vegetation (Friedlingstein et al., 2006). To do so, these models predict
6 leaf area index (LAI) and other carbon cycle variables. LAI represents the amount of
7 leaf area per unit land area, and is an important land carbon attribute. Many ESMs
8 calculate leaf-level carbon and water fluxes, which are then scaled regionally and
9 globally based on LAI (e.g. Oleson et al., 2013). The surface energy budget, as well as
10 plant-based emissions and deposition of aerosols and chemically or radiatively
11 important gases, are also sensitive to predicted LAI (e.g. Oleson et al., 2013).
12 Therefore, small errors in simulated LAI can become large errors in many ESMs'
13 biophysical and biogeochemical processes, and changes in LAI alone can change
14 climate (e.g. Bounoua et al., 2000; Ganzfeld et al., 1998; Lawrence and Slingo, 2004;
15 Oleson et al., 2013; Kala et al., 2014). Unlike many biophysical attributes, LAI can be
16 observed from satellite (Zhu et al., 2013), and thus represents one of the few land
17 carbon or vegetation variables that can be directly evaluated in coupled models (e.g.
18 Randerson et al., 2009; Luo et al., 2012, Anav et al., 2013b). Finally changes in LAI,
19 and the related normalized difference vegetation index (NDVI), can indicate
20 ecosystem health and natural resource availability. As such, LAI is widely used
21 within the famine prediction community (Funk and Brown, 2006; Groten, 1993) and
22 represents a variable that is easy to use in climate impacts studies. Thus it is
23 important to consider the 21st century projections for LAI in Earth System Models.

1 The current generation of ESMs has prepared historical and future scenario
2 simulations within the Coupled Modeling Intercomparison Project (CMIP5) (Taylor
3 et al., 2009). There have been extensive evaluations and comparisons of the future
4 projections of the land, ocean, at atmospheric carbon cycle in the ESMs in the CMIP5
5 (e.g. Arora et al., 2013a; Friedlingstein et al., 2013; Jones et al., 2013). There has
6 also been comparison of ESM-simulated seasonal variability in LAI against satellite-
7 based observations for the high latitudes (Anav et al., 2013a; Murray-Tortarolo et al.,
8 2013), as well as comparisons of LAI and other variables in ESMs across the globe
9 (Anav et al., 2013b). Additionally, Shao et al. (2013), Mao et al. (2013), and Sitch et
10 al. (2015) evaluated the relationship between the carbon cycle and other variables,
11 such as temperature, or LAI, over decadal and longer time scales. These ESM-based
12 comparisons build on the long history of evaluation of model simulations of
13 vegetation properties and carbon balance (e.g. Cramer et al., 1999).

14 Here, we examine ESM projections of future LAI. Most of our analysis
15 emphasizes the Representative Concentration Pathway (RCP) 8.5, the most extreme
16 future scenario, and we contrast it with RCP4.5, a less extreme scenario (van Vuuren
17 et al., 2011) (Section 3). We evaluate both the model mean LAI projected change, as
18 well as the model mean divided by the standard deviation (e.g. Meehl et al., 2007;
19 Tebaldi et al., 2011). In addition, we also consider whether LAI projections can help
20 the climate impact community anticipate regions that may experience increased
21 climate exposure and risk of increased food insecurity in the future. We consider
22 both changes in the mean and the frequency of low LAI events, and how this
23 information compares to precipitation projections, which are commonly used for

1 climate impact studies (e.g. Field et al., 2014). We also consider what model traits
2 may be related to the spread in the future model projections (Section 3). We use
3 evaluations of LAI, based on satellite variables (e.g. Zhu et al., 2013; Anav et al.
4 2013b; Sitch et al. 2015), to see if there is a relationship between model skill and
5 projections, which could be used to constrain future model projections (e.g.
6 Steinacher et al., 2010; Cox et al., 2013; Flato et al., 2013; Hoffman et al., 2014)
7 (Section 4). Section 5 presents our summary and conclusions.

8

9 **2.0 Methods and datasets**

10

11 **2.1 Model datasets**

12 Coupled carbon model experiments were included in the CMIP5 (e.g.
13 Friedlingstein et al., 2006; Taylor et al., 2009). The historical simulations and
14 Representative Concentration Pathway for 8.5 (RCP8.5; van Vuuren et al., 2011;
15 Riahi et al., 2011), using prescribed carbon dioxide concentrations, were analyzed
16 here (Table 1). We chose to focus on the RCP8.5 scenario as it has the largest
17 changes in carbon dioxide and climate. Analysis of the RCP4.5 scenario (Wise et al.
18 2009; van Vuuren et al., 2011) is also included for comparison using the models for
19 which the RCP4.5 data were available for download at the CMIP5 archive (all models
20 except BNU-ESM and CESM-BGC).

21 Model variables analyzed included monthly-mean precipitation, near surface
22 air temperature, vegetation carbon stock and LAI. Only models which had data for
23 all these variables for both historical and RCP8.5 scenarios were included in this

1 study. Some models submitted multiple versions, at different resolutions or with
2 slightly different physics (Table 1). Even though some of the models are closely
3 related (e.g. CESM1-BGC and NorESM-ME), we include different configurations of
4 the same model.

5 This analysis examines model mean changes between the current climate
6 (1981-2000) and future climate time periods (2011-2030, 2041-2060 and 2081-
7 2100). To identify the location where models project these changes will be
8 statistically significant, we analyze the ratio of the mean change to variability; this is
9 accomplished by dividing the mean changes over 20 year time periods by the
10 standard deviation over the current climate (1981-2000) and shown in terms of
11 standard deviation units (e.g. Mahlstein et al., 2012; Tebaldi et al., 2011). Previous
12 studies have shown that the spatial and temporal scale used to define these changes
13 can be important for whether these signals are statistically significant (Lombardozzi
14 et al. 2014).

15 Changes in LAI variability are also important for understanding the impact of
16 climate change. For example, in some regions there is a predicted increase in the
17 mean LAI as well as an increase in the variability. This can lead to an increase in the
18 length and frequency of low LAI events, even as mean LAI increases. The length and
19 frequency of these periods matter for understanding the potential for drought and
20 ramifications for agriculture or ecosystems. To estimate the periods of low LAI and
21 low precipitation, we calculate the percent of the time during which the variable is
22 one standard deviation (evaluated in the 1981-2000 time period) below the current
23 mean (1981-2000). By definition, if the variables have a Gaussian distribution, each

1 gridbox would be considered having a “Low LAI” for 1/6 (16%) of the time, and this
2 is approximately true at most grid points (not shown). We use this metric to
3 estimate the fraction of the time in the future that this condition exists, and
4 specifically whether it increases in the future.

5

6 **2.2 Observational data**

7 Leaf Area Index (LAI) data derived from satellite over the 30-year period 1981-2010
8 is used to evaluate the CMIP5 models. This observational dataset is derived using
9 neural network algorithms using the Global Inventory Modeling and Mapping
10 (GIMMS) Normalized Difference Vegetation Index (NDVI3g) and the Terra Moderate
11 Resolution Spectroradiometer (MODIS) LAI (Zhu et al., 2013). The satellite data are
12 only available over regions with green vegetation, and thus are lacking over desert
13 and arid regions. A detailed description of the algorithm and comparison to ground-
14 truth observations are shown in Zhu et al. (2013). Compared with field-measured
15 LAI, Mean Squared Errors (RMSE) in the satellite LAI estimates are estimated to be
16 approximately 0.68 LAI, for spanning LAI ranges from < 1 to almost 6 (Zhu et al.,
17 2013). Comparisons with ground-based observations confirm that the new LAI
18 product also seems to capture observed interannual variability patterns (Zhu et al.,
19 2013).

20 Gridded temperature data for the period 1981-2010 were derived from the
21 Global Historical Climatology Network and Climate Anomaly Monitoring System
22 (GHCN_CAMS) 2m temperature dataset (Fan and Dool, 2008). Estimates of the
23 uncertainty in temperature gridded datasets suggest that the uncertainty in

1 temperatures at a grid box level is estimated to be between 0.2 and 1°C (Jones et al.,
2 1997; Fan and Dool, 2008).

3

4 **2.3 Methodology for evaluation of LAI simulation**

5 Several recent studies have used the same new satellite-derived LAI dataset
6 (GIMMS LAI3g) in land model evaluation (e.g. Murray-Tortarolo et al 2013; Anav et
7 al. 2013a; 2013b, Mao et al. 2013, Sitch et al. 2015), including some of the same land
8 models used here. Thus we do not repeat a complete evaluation of model LAI
9 compared to satellite LAI. We use the satellite LAI dataset to consider whether there
10 is a relationship between the model ability to simulate LAI in the current climate
11 and the model climate projections. We use a few basic metrics in this study (Table 2),
12 which are described briefly below.

13 Results for the model and observations are evaluated on a 2.5°x2.5° grid
14 based on the observed temperature data grid (see Section 2.2). For the metric
15 analysis here, the averages shown are grid-box means, not areal averages. This
16 allows us to use similar weighting for both the averages and the rank correlation
17 coefficients, and tends to weight the global analysis towards high latitudes. However,
18 most of the analysis focuses on regional areas (tropical (<30°), mid-latitudes (>30°
19 and <60°) and high-latitudes (>60°), where the differences between weighting by
20 area and weighting by grid box are reduced.

21 We compare the satellite-based observed (LAI3g) and model-simulated mean
22 LAI for the current climate (similar to previous studies e.g. Randerson et al., 2009;
23 Luo et al., 2012; Anav et al., 2013b). The period 1981-2010 is used for this

1 comparison. To examine regional differences in LAI simulations, the annual mean
2 LAI in the models and observations are averaged and compared over different
3 areas: global, tropical ($<30^\circ$), mid-latitudes ($>30^\circ$ and $<60^\circ$) and high-latitudes
4 ($>60^\circ$) (Table 2: mean LAI: model/obs.). A second metric evaluates the models'
5 ability to capture spatial variations in LAI, using the spatial correlation across the
6 grid-boxes of the annual mean LAI in the model compared to the observations (e.g.
7 Anav et al., 2013b; Table 2: Mean: Corr.).

8 Important for this study is the consideration of the temporal variability
9 simulated in the model. The magnitude of the seasonal cycle is calculated as the
10 standard deviation of the climatological monthly means at each grid box. This metric
11 is slightly different than how LAI has previously been evaluated in some studies (e.g.
12 Anav et al., 2013a; Murray-Tortarolo et al. 2013; Sitch et al. 2015), but is more
13 similar to analyses of other climate variables (Glecker et al., 2008), facilitating
14 inclusion of LAI within climate model evaluations. Metrics for the seasonal cycle
15 were computed using a spatial average over each region (Table 2: Std. Dev.
16 Seasonal: Model/obs.). For the seasonal cycle, the ability to capture the timing of
17 phenology can be important (e.g. Anav et al., 2013a, Zhu et al., 2013). To analyze this
18 ability, we computed the temporal correlation of observed and model-simulated
19 monthly means at every grid box, and then averaged over each region (Table 2:
20 Seasonal Avg. Corr.).

21 To evaluate the models' ability to simulate LAI interannual variability (IAV),
22 we consider the magnitude of the interannual variability, which is calculated as the
23 standard deviation of annual mean LAI across years at each grid box (e.g. Zhu et al.,

1 2013). The IAV is then spatially averaged and compared between the model and
2 satellite observations (Table 2: Std. Dev. IAV: Model/obs.). We focus our study on
3 IAV, based on the annual mean, but there may be important changes in the seasonal
4 cycle or length of growing season on an interannual time basis, which our simple
5 approach does not consider (e.g. Murray-Tortarolo et al. 2013).

6 Previous studies have examined correlations between temperature and
7 satellite- derived LAI (e.g. Anav et al., 2013a; 2013b, Zhu et al., 2013) or the closely
8 related normalized difference vegetation index (NDVI; Zeng et al. 2013). Observed
9 variations of LAI at high latitudes tend to be dominated by changes in temperature,
10 while the tropics are more dominated by moisture (Anav et al., 2013a; 2013b, Zeng
11 et al., 2013), which is also seen in coupled-carbon climate models for carbon cycle
12 variables (e.g. Fung et al., 2005). In order to understand what may be driving the
13 IAV in the LAI, we calculate metrics to examine the rank correlation between
14 anomalies in LAI and anomalies in temperature and trends with time. Although
15 correlations do not identify causation, they can identify the strength of relationships
16 among various driving factors.

17 This analysis focuses on the relationship between temperature and LAI for
18 comparing interannual variability in the modeled and observed datasets. Sensitivity
19 studies have indicated that the grid-box level relationship between temperature and
20 LAI is a good indicator of features intrinsic to the model, rather than to the
21 meteorology forcing the model (as seen also in Anav et al., 2013a; Murray-Tortarolo
22 et al., 2013). This was not the case for the relationship between precipitation and
23 LAI. In sensitivity studies conducted as part of this study, we forced the Community

1 Land Model (Lawrence et al., 2012; Lindsay et al., 2014), which is the land model
 2 used in the CESM (Table 1), with reanalysis derived data data (Qian et al., 2006;
 3 Harris et al., 2013) instead of model derived winds. The LAI-precipitation
 4 relationship across IAV was very sensitive to the meteorology used, and thus is not
 5 shown or used to evaluate the current climate simulations of LAI.

6 Land use, especially the conversion from natural vegetation to agricultural
 7 use, can heavily perturb the mean and evolution of the seasonal cycle and
 8 interannual variability in current climate LAI. To determine whether this changes
 9 our model evaluation, we exclude grid boxes with more than 50% of agriculture
 10 based on Ramankutty et al., (2008). Results of the model evaluation with and
 11 without agricultural grid-box were quantitatively and qualitatively similar to those
 12 presented here, and thus we include all grid-boxes in this analysis.

13 For ease of interpretation, we present the metrics described above in Figure
 14 10, in which higher numbers represent a better simulation. For correlations, this
 15 representation is straightforward: 1 is a perfect correlation and lower values
 16 represent a worse simulation. For the other metrics that are not correlations, we
 17 convert the statistics to values similar ranges to facilitate ease of display. The mean
 18 model bias metric (model/obs) is normalized to a value that varies between 0 and 1,
 19 with 1 being close to perfect. This approach penalizes models which have too high of
 20 a mean equally with model that have too low of a mean, using the following formula
 21 (Figure 10):

22

23
$$Model\ Evaluation\ Value = \frac{2}{\left\{ \frac{Model\ Mean}{Observed\ Mean} + \frac{Observed\ Mean}{Model\ Mean} \right\}} \quad (1)$$

1 We use this method to convert mean biases and standard deviation biases to a
2 model evaluation value (MEV). This is a slightly different method than used in
3 previous studies (e.g. Gleckler et al., 2008), as the MEV does not square the standard
4 deviations. Since we use ranks and rank correlations, the difference between these
5 methods is unlikely to be important, and allows us to use a similar ranking method
6 for mean and standard deviation comparisons.

7

8 **3.0 Results**

9 **3.1 Future projections**

10 First we consider the model mean projections of change in LAI for RCP8.5, similar to
11 analyses for other standard model variables (e.g. Meehl et al., 2007). Across most of
12 the globe, LAI is projected to increase through 2081-2100, with small decreases
13 projected for parts of Central and South America and Southern Africa (Figure 1).
14 The increases in LAI are largest in high latitudes, mountainous regions (e.g. Tibetan
15 plateau) and some parts of the mid-latitudes and tropics (Figure 1; for reference,
16 mean satellite observed LAIs in the current climate are presented in Fig. S1). Notice
17 that in this study we use projections of human land use based on the RCP8.5 or
18 RCP4.5, and thus an important human role in future land cover change is driven by
19 the assumptions of the scenario chosen for these studies. Generally, for all the RCPs,
20 there is less land use and land cover change projected in the future than occurred in
21 the past (e.g. van Vuuren et al., 2011; Ward et al., 2014).

22 In order to isolate the changes that are statistically significant, for each model
23 we divided the change in LAI by the IAV standard deviation. Values over 1 are

1 considered statistically significant (e.g. following Tebaldi et al. 2011; Mahlstein et al.
2 2012). Using this approach, statistically significant changes in LAI start over the
3 high latitudes, and spread over much of the globe with time (Figure 2). By 2081-
4 2100, the increases in LAI are 8 times as large as IAV over large parts of high
5 latitudes, as well as the Tibetan plateau and some desert regions, indicating large
6 changes (Figure 2c). Part of the reason for these very large normalized LAI values is
7 that they have low IAV in the current climate. A few isolated tropical regions are
8 projected to have statistically significant reductions in mean LAI, such as in Central
9 America and the Amazon basin.

10 Examination of the RCP4.5 shows a similar pattern of an increase in LAI over
11 most of the globe, although lower in magnitude, based on either the mean change in
12 LAI, or the normalized LAI change (Figure 3a and 3b). This result suggests that the
13 pattern of change in LAI, as seen in the literature for temperature or even to a lesser
14 extent for precipitation, is similar across different climate changes, with the
15 magnitude dependent on the magnitude of the forcing (e.g. Mitchell, 2003; Moss et
16 al., 2010). There is a consistent relationship between changes in LAI and
17 temperature across the different time periods for each model; that is, most models
18 and regions show a constant slope between changes in LAI and temperature (Figure
19 4). Most models even show a similar slope between LAI and temperature for the
20 RCP4.5 as the RCP8.5 (Figure 4). Recognize that the change in temperature is likely
21 to scale with a change in precipitation as well (e.g. Mitchell, 2003; Moss et al., 2010).
22 This similarity in slope for each model across RCPs and time periods breaks down in
23 the tropics for a few of the models, as some show steeper increases in LAI at warmer

1 temperatures and others shift from LAI increases to declines as warming continues
2 (GFDL, IPSL, MIROC and MPI models) (Figure 4b). Across the tropics, LAI is
3 projected to increase in some regions and decrease in others, so small changes in
4 the relative area of these changes can lead to large shifts in the regional net mean
5 LAI change. The value of spatial correlations between the RCP4.5 and RCP8.5 mean
6 LAI change at each gridbox for the 2081-2100 time period is 0.81, 0.70, 0.79 and
7 0.89, for the globe, tropics, mid-latitudes and high-latitudes, respectively (averaged
8 across the models), showing the spatial coherence in the LAI projections between
9 these two RCPs. Even the models with the lowest spatial correlation between the
10 two RCPs (GFDL, IPSL, MIROC and MPI) have statistically significant correlation
11 coefficients of 0.45 or higher in the tropics, where correlations are the lowest.

12 The models project a wide range of future changes in LAI (Figure 4). One
13 model (BNU-ESM) projects a large global mean increase of over $1 \text{ m}^2/\text{m}^2$ by 2081-
14 2100. For the other models, projected global mean increases in LAI amounted to 0.5
15 m^2/m^2 or less. Some models (inmcm4, IPSL, MIROC and MPI model versions)
16 projected small net decreases in LAI in the tropics (Figure 4). Between model
17 differences become even more apparent at the grid-box level, with very different
18 changes in LAI projected by the different models (Figure S2). The spread in model
19 projections is discussed further below (section 4.0) in relation to whether model
20 skill at predicting LAI in the current climate can be used to reduce model spread in
21 these projections (e.g. Steinacher et al., 2010; Flato et al., 2013; Cox et al., 2013;
22 Hoffman et al., 2014).

23

1 **3.2 Identifying regions at risk due to climate change**

2 In addition to being important for land-atmosphere biophysical and biogeochemical
3 interactions, LAI is also one of the few ESM model variables that is potentially
4 directly usable by the climate impacts community, along with temperature and
5 precipitation. This is because LAI and the closely related variable, NDVI are used for
6 identification and forecasting of drought and famine (e.g Funk and Brown, 2006;
7 Groten, 1993). Thus LAI projections that identify the regions that are most at risk
8 can help guide and motivate climate adaptation by identifying emergent areas of
9 vulnerability. The model mean view of the future projections of LAI is quite
10 optimistic (Figure 1, 2 and 3), however, if variability also increases, some regions
11 may experience years with lower LAI more frequently than in current climate,
12 despite having a constant or higher mean LAI. In fact, many regions, especially in
13 the tropics, are at risk for more Low LAI years (Figure 5). Here we define % Low
14 LAI as the % of years when the annual average is one standard deviation below the
15 current mean (Section 2.1). If the variability and mean stayed constant, the % Low
16 LAI would remain at 16%. More Low LAI years are projected for large areas of the
17 tropics and subtropics where projected increases to mean LAI are small in
18 magnitude or negligible (Figure 1c vs 5c, for example). Model mean changes
19 between the current climate (1981-2000) and future climate time periods indicate
20 substantial (>2x) increases in the frequency of low LAI in important agricultural
21 areas (South America, Australia, Southeast Asia, and parts of Southern Africa)
22 (Figure 5). Increased risk areas in Fig. 5 also coincide, in some cases, with some of
23 the most food insecure regions of the world (e.g. Brown and Funk, 2008; Field et al.,

1 2014). Similar to mean changes in LAI, the %Low LAI for the RCP4.5 at 2081-2100 is
2 similar in pattern and magnitude to that seen earlier in the century for the RCP8.5
3 scenarios (Figure 3c vs. Figure 5).

4 Next we consider whether using LAI adds information compared to
5 precipitation, which is more traditionally used in climate change impacts
6 assessments (e.g. Stocker et al. 2013; Field et al. 2014). First we consider the mean
7 change in normalized precipitation (Figure 6a) and the % Low Precipitation (Figure
8 6b), both defined equivalently to the LAI values (Section 2.1; Figure 2c and Figure 5c,
9 respectively) for the model simulations considered here. Broadly speaking, the
10 changes in precipitation seem to occur in similar regions as the changes in LAI, with
11 large increases in precipitation over the high latitudes, and decreases over the
12 subsidence zones of the tropics, as seen previously (e.g. Meehl et al., 2007; Tebaldi
13 et al., 2012). Note that requiring the mean change to be statistically significant is a
14 much stricter criteria than just an increase in low LAI, and thus the area identified in
15 the two methods is quite different (Figure 6a vs. 6b). Overlaying the regions from
16 LAI and precipitation which are either one standard deviation below the mean on
17 average in the models (Figure 6c) or see an increase in % Low values (Figure 6d)
18 suggests that LAI and precipitation largely show similar areas being at risk due to
19 climate change, but there are significant regions which do not overlap. This
20 suggests that there is potentially additional information for climate impact studies
21 using LAI projections than using precipitation alone (Figure 6c and 6d). One of the
22 most noticeable differences between LAI and precipitation projections is in in the
23 Mediterranean region where precipitation is projected to decrease, but LAI is not.

1 Conversely, LAI projections suggest that some parts of South America and southern
2 Africa are likely to experience more stress, which are not identified using
3 precipitation. Future studies should consider whether the results of the LAI
4 projections are useful for impact studies specifically in these regions.

5

6 **3.3 Drivers of LAI projections**

7 Next we consider what drives the differences in model projections for LAI, using the
8 example of RCP8.5 at 2080-2100. By correlating temperature and LAI projections at
9 each grid box for each model, we can look for potentially causal relationships
10 between model projections of temperature and LAI (Figure 7). This is analogous to
11 using a ranked correlation coefficient to summarize the scatter in RCP8.5 points in
12 Figure 4, but at each grid box instead of the regional average. There are strong
13 positive correlations between model simulated changes in temperature and LAI in
14 some regions, especially the northern high latitudes (Figure 7a), suggesting that
15 models with a projected larger warming in the high latitudes also simulate larger
16 increases in LAI. Higher temperatures may drive higher LAI; higher LAIs may also
17 be driving higher temperatures because of the importance of LAI in changing
18 surface energy fluxes (e.g. Lawrence and Slingo, 2004; Kala et al. 2014). By contrast,
19 there are strong negative correlations across most of the tropics and subtropics
20 (Figure 7a).

21 The projected changes in precipitation strongly correlated with projected
22 changes in LAI (Figure 7b), suggesting that changes in precipitation are correlated
23 with the differences in LAI projections between models. This is consistent with the

1 model mean analysis (Section 3.1) that showed for most locations, changes in LAI
2 occur in the same locations as changes in precipitation (Figure 6). Again, because
3 LAI changes the surface energy fluxes, there may be a feedback from LAI changes
4 (e.g. Lawrence and Slingo, 2004; Kala et al. 2014). The correlations seen in this
5 analysis for RCP 8.5 are similar for the RCP4.5 (Figure S3).

6 Last, we examine the correlation across models between the modeled
7 changes in vegetation carbon stocks and change in LAI between current conditions
8 and 2081-2100 (Figure 7c). The relationship between LAI and vegetation carbon is
9 not straightforward, and depends on the specific algorithms used in the models.
10 Many ESMS calculate photosynthetic rates per unit leaf area; these rates are then
11 extrapolated to canopy-level gross primary production using LAI and other
12 variables (e.g., light, nitrogen and CO₂ availability and leaf physiological parameters)
13 (e.g., See Bonan et al., 2011, Piao et al., 2013). The simulated increases in LAI are
14 correlated across models with simulated increases in plant carbon stocks in many
15 low-LAI regions, including many deserts, grasslands, and tundra ecosystems (Figure
16 7c). Leaves compose most or all of the aboveground plant biomass in these
17 ecosystems (e.g., Friedlingstein et al. 1999), such that increases in LAI relate directly
18 to increases in plant carbon stocks. Changes in LAI correlate more poorly with
19 simulated changes in plant carbon stocks in other regions, with small or negative
20 correlations in many boreal, temperate, and tropical forested regions (Figure 7c).
21 Leaves typically compose only 3-5% of aboveground plant biomass in forests
22 (Friedlingstein et al. 1999), and closed-canopy forests can contain widely variable
23 stocks of woody biomass that typically depend more on successional status than LAI

1 or growth rate. Differences in the fractional composition and turnover of these leaf-
2 and woody tissues should decouple changes in LAI from changes in carbon stocks in
3 woody biomass. As an example, in the CLM, the land model for the CESM-BGC, CO₂
4 fertilization causes a larger increase to wood allocation (62%) than to leaf allocation
5 (21%) in the Southeastern US (Lombardozzi, personal communication, 2015). Thus,
6 the issue of how LAI responds in different models is interesting and should be
7 considered in future studies.

8 Another important potential contributor to the future projections of LAI is
9 the effectiveness of carbon fertilization in the models (e.g. Arora et al., 2013). Using
10 the carbon dioxide fertilization factor (β -land) from the Arora et al. (2013) study we
11 use a rank correlation to explore the importance of the carbon dioxide fertilization
12 strength for predicting future vegetation carbon and LAI across the models. We
13 would expect models that respond more strongly with increased carbon uptake
14 under higher CO₂ conditions (i.e. larger β -land) to have greater vegetation carbon
15 and LAI in the future. Globally the correlation with β -land is 0.46 for vegetation
16 carbon and -0.21 for LAI, suggesting that while some of the differences in future
17 vegetation carbon projections across models is due to differences in the model
18 simulation of CO₂ fertilization, LAI changes are not necessarily related to CO₂
19 fertilization. The β -land correlation for vegetation carbon is 0.29, 0.47 and 0.60 for
20 tropical, mid-latitude and high latitude, regions, respectively, while for LAI these
21 values are -0.18, -0.09 and 0.21. Thus for high latitudes, especially, the projections
22 of LAI appear to be dependent on the way the models' simulate the carbon dioxide
23 fertilization in the different models. This could also be, however, an artifact that the

1 two models with the lowest carbon dioxide effect (CESM-BGC and NOR-ESM) use
2 the same land carbon model (Thornton et al., 2009), which predicts low values of
3 LAI in high latitudes for present day and does not tend to increase LAI much in the
4 future. These models also have low carbon dioxide fertilization effects, because of
5 their nitrogen limitation, which could be driving the correlation between model
6 projections of LAI and carbon dioxide fertilization in the high latitudes. It is
7 interesting that in the tropics the carbon dioxide fertilization is negatively
8 correlated to future LAI changes, and only slightly correlated with vegetation carbon.
9 Again, this could be an artifact of having only two related low carbon fertilization
10 models, as these models see a strong increase in nitrogen mineralization in the
11 tropics in a warming climate, which allows an increase in productivity in the future
12 tropics (Thornton et al., 2009). In other words, the negative correlation in the
13 tropics between LAI projections and CO₂ fertilization could be due to the smaller
14 temperature impact on carbon cycle (γ -land from Arora et al. 2013) in the N-limited
15 models (i.e. the β -land and γ -land are negatively correlated in Table 2 of Arora et al.,
16 2013).

17

18 **4.0 Reducing spread in the future projections**

19 There are large differences between the different models' projections of
20 future LAI (e.g. Figure 4; Figure S2; Figure 8b). Previous studies have tried to
21 reduce the uncertainty in future projections by looking for relationships between
22 model metrics and future projections of climate, and then choosing the models
23 which best match the observations in the current climate (e.g. Cox et al.,

1 2013;Hoffman et al., 2014) or by subsampling models for different regions by their
2 performance (e.g. Steinacher et al., 2010). In this section we use both approaches to
3 try to reduce the spread in LAI projections at the end of the 21st century (2081-
4 2100). In essence, we are looking for a correlation between current model
5 performance and the future projection, in order to reduce the uncertainty in the
6 future projections. In many cases in climate modeling and projections, there is no
7 correlation between model skill in current climate conditions and projections (e.g.
8 Cook and Vizy, 2006), however in some limited cases there is a correlation between
9 metric score and a projection, and one is able to constrain future projections (e.g.
10 Cox et al., 2013; Steinacher et al., 2010). Here we consider whether such a case
11 applies. In doing this type of analysis, we are making an assumption that model skill
12 in the current climate translates into better model projections, which may be a
13 product of real model differences or a statistical error. The advantages and
14 disadvantages of using this type of approach are discussed in more detail in Flato et
15 al. (2013).

16

17 **4.1 Evaluation of model LAI**

18 Several recent studies have evaluated the land models in ESMS using the LAI
19 satellite records (e.g. Anav et al. 2013a; 2013b; Mao et al. 2013; Sitch et al. 2015).
20 Thus we do not repeat those assessments, but rather briefly summarize the results
21 of the comparisons here.

22 Most models tend to overestimate the mean LAI compared to the
23 observations (Figure 9a), and this is true at all latitudes (Figure 9a, Table S2).

1 Several models have a large overestimates (>50% too high), including bcc-csm1,
2 bcc-csm1-1, BNU-ESM, GFDL-ESM2G, GFDL-ESM2M, MIROC-ESM. The over-
3 prediction relative to the satellite data tend to be larger in tropical regions for most
4 models, but are also larger in the high latitudes for the GFDL model versions (Figure
5 9a, Table S2). However, the satellite derived LAIs have biases; for example, they
6 underestimate high LAIs due to being unable to see all the leaf layers in closed
7 canopies or overestimate LAIs in more arid regions, and thus there may also be an
8 error in the observational dataset (see discussion in Anav et al. 2013b or Pfeifer et al.
9 2014, for example).

10 Some models also tend to over predict the strength of the seasonal cycle (e.g.
11 bcc-csm1, BNU-ESM, MIROC-ESM) (Figure 9b; Table S1), where the strength of the
12 seasonal cycle is measured by the globally averaged standard deviations of the
13 monthly mean climatology. But the region in which they over-predict the strength of
14 the seasonal cycle differs between models. Of course, there is not a strong seasonal
15 cycle in the tropics, where the lowest standard deviations tend to occur (Figure 9e;
16 Table S2a). Again, because of the difficulties of retrieving accurate LAI from
17 satellites in closed canopies, the observations may underestimate the seasonal cycle
18 in tropical forests.

19 Interannual variability tends to be over-predicted in some of the models (e.g.
20 bcc-csm1, bcc-csm1_1, BNU-ESM, CESM1-BGC, GFDL-ESM2G, GFDL-ESM2M, MIROC-
21 ESM, MIROC-ESM_CHEM) (Figure 9c, Table S1). For this calculation, the interannual
22 variability (IAV) is calculated as the standard deviation of the annual average across
23 multiple years. Generally, the models do a decent job simulating the spatial

1 variability in the annual mean LAI (Figure 9d; Table S1), with the correlations being
2 strongest in the tropics, and weakest in the high latitudes (Figure 9d; Table S2). This
3 is likely partly due to the strength of the LAI differences in tropics and its limitation
4 primarily by moisture alone (with low LAI in the deserts and high LAI in tropical
5 forests). The timing of the seasonal cycle (Figure 9e; Table S1) is less well simulated
6 in the models, with several models not having on average a statistically significant
7 correlation (~ 0.5 for 95% significance for 12 month seasonal cycle) on the global
8 scale, or in the mid- and high latitudes (e.g. GFDL, MPI-ESM-MR on global scale,
9 GFDL, inmcm4 and MPI-ESM-MR for various regions).

10 Next we explore the observed and modeled relationship between LAI and
11 temperature and the observed and modeled trend in LAI (e.g. Anav et al., 2013a;
12 Anav et al., 2013b; Ichii et al., 2002; Zeng et al., 2013; Mao et al., 2013; Zhu et al.,
13 2013). As previously shown, there are positive relationships between modeled and
14 measured LAI and temperature in high latitudes (Figure 7a; Figure S4; e.g. Anav et al.
15 2013a; Ichii et al., 2002; Zeng et al. 2013; Zhu et al. 2013). In the tropics ($<30^\circ$), the
16 relationship can be positive or negative but some regions tend towards a negative
17 relationship (Figure S4; Figure 7a). This is consistent with our understanding that
18 many places in the tropics are close to the optimal growing temperature already,
19 and increases may lead to reduced productivity (Lobell et al., 2011), although this
20 also could be related to moisture stress (Fung et al., 2005). Compared to the
21 observed correlations, most models have too strong of a negative relationship
22 between LAI and temperature in the tropics, and too strong of a positive
23 relationship in the high latitudes (Figure 9f, Table S2a-c). In the tropics, the BNU-

1 ESM model has a weakly positive impact of temperature, while in the high latitudes,
2 especially the CanESM2, HadGEM2-CC, HadGEM2-ES, MPI-ESM-MR models have a
3 much stronger correlation than observed. The model and observations show
4 similarly weak correlations between the temperature and LAI in the mid-latitudes.

5 Some regions show substantial trends over time (1981-2010) in measured
6 LAI (Figure S4b), especially in high latitudes in the Northern Hemisphere (e.g. Zhu et
7 al., 2013; Mao et al. 2013). This could be associated with the longer growing season
8 due to warming (e.g. Lucht et al., 2002; Zeng et al. 2013). It is also possible that this
9 trend is due to CO₂ fertilization effects (e.g. Friedlingstein and Prentice, 2010). For
10 high latitudes, we find a rank correlation of 0.58 across the models between the CO₂
11 fertilization factor on land for the Earth system models (called the β -land in Arora et
12 al., 2013, as discussed above) and the average correlation of observed LAI with time,
13 suggesting that there may be a component of carbon dioxide fertilization in the
14 models' temporal trends. These trends are stronger in the models than the
15 observations, which may be related to an overestimate of the fertilization effect.
16 With regard to LAI interannual variability correlations with temperature or time,
17 that there are also strong correlations among temperature, precipitation and time
18 themselves (e.g. IPCC, 2007). Here we do not attempt to differentiate these signals
19 because of the statistical complexity and the shortness of the time record. The
20 shortness of the record considered could also lead to aliasing of the real variability,
21 especially in regions like the Sahel that have strong decadal scale variations (e.g.
22 Loew, 2014). The observational datasets also contain measurement noise, while
23 the model values do not. We expect the measurement noise to reduce the

1 correlations of LAI with the environmental variables in the observations relative to
2 the true values, as seen compared to many models (Figure 9f). Thus, our metrics for
3 interannual variability are likely to be more impacted by uncertainty in the
4 observations than for the annual mean or seasonal cycle, and thus they may be less
5 useful for evaluation of the models, although potentially interesting. For this study,
6 we consider the IAV in the annual mean, but there may be important changes in the
7 seasonal cycle or length of growing season on an interannual time basis, which our
8 simple approach does not consider (e.g. Murray-Tortarolo et al. 2013). In addition,
9 the regional or global average of some of these correlations may be difficult to
10 interpret, as not statistically significant (e.g. Figure 9f), thus making the LAI IAV
11 correlations less helpful.

12 Figure 10 summarizes our comparisons of the models to the observations for
13 LAI for the different metrics in Table 2 (Tables S1, S2). In order to show both
14 correlations and model mean biases in the same figure, we have converted the
15 model-data comparisons into Model Evaluation Values using equation (1) in Section
16 2.3, where 1 is a perfect model simulation and lower values represent worse model
17 simulations. Overall none of the models does a perfect job, and improving
18 simulation of LAI for all models will be important. In addition, as discussed above,
19 some models perform better in some regions than others. In order to more easily
20 see how the models compare, we also show the ranking of the different models in
21 each region (Table 3). For this comparison, we exclude the magnitude and
22 correlations in the IAV, because the observational estimates for this are more likely
23 to be in error than for the annual mean and seasonal analysis, as discussed above.

1 Thus our overall evaluation of LAI in the models includes the following metrics:
2 annual mean LAI, spatial correlation of annual mean, standard deviation of seasonal
3 cycle and temporal correlation of the seasonal cycle. In the tropics the top three
4 models are the INMCM4, the IPSL-CM5A-LR and the IPSL-CM5B-LR. For the mid-
5 latitudes the top models are the CanESM2, IPSL-CM5A-MR and the HADGEM2-ES.
6 For high-latitudes the top models are the BNU-ESM, bcc-csm1 and the MIROC-
7 ESM_CHEM (Table 3; Figure 10).

8

9 **4.2 Future projections constrained by current model performance**

10 Across broad regions, we evaluate which metrics are the most useful for potentially
11 constraining future climate projections by considering how the metric is correlated
12 with the projections (Figures 9 and 10; Tables S1; S2). We consider 4 regions: the
13 globe, tropics (latitudes < 30°), mid-latitudes (latitudes between 30 and 60°), and
14 high latitudes (latitudes > 60°). For the first approach, we look for the metrics that
15 have the highest correlation coefficient to constrain the future estimate of change in
16 LAI (similar to Cox et al., 2013) (Figure 11a and 11b) . Using this approach, we look
17 for the model metrics (from Table 2) which have the highest correlations with
18 future projections across the models, for each of the regions. If we choose the
19 models which do the best job with the metrics, this reduces the number of models
20 included in the projections, and may reduce model spread in projections.

21 As an example, for the globe, there are two metrics that correlate the highest
22 with future projections: the average LAI vs. date correlation, and the global mean
23 LAI ratio of model to observation. This analysis suggests that models with the

1 largest relative change in LAI over the last 30 years (1980-2010) will have the
2 largest change in LAI in the future (Figure 11a). It also suggests that models with
3 higher LAI in the current climate, will have a larger change in the future (Figure
4 11b). In Fig 11a and 11b, the observation-based estimates are indicated by the gray
5 vertical bar. Notice that the projected change in LAI given by models that match best
6 with the observations differs for different metrics, and thus it does not allow us to
7 uniquely constrain the future projections (although it does suggest that the highest
8 values are the least likely). There is one model with a very large change in LAI in the
9 future (BNU-ESM), which can drive much of the correlation. We use rank
10 correlations instead of simple correlations, however, so that these results are largely
11 insensitive to the removal of one model.

12 For both the tropical region and in the global analysis, the change with time
13 (LAI correlation with date) and the mean model/observation have the largest
14 correlations (Figure 11c and 11d). Thus models that predict high LAIs in the current
15 climate and/or currently have large trends with time, tend to project higher LAI
16 changes in the future. Again, these two metrics would constrain our future
17 projections to two different LAI values, as they grey lines intersect with the slope at
18 different LAI changes (Figure 11c and 11d). For mid-latitudes, the highest
19 correlation (and only statistically significant correlation) is between the model
20 predicted change in precipitation and LAI (Figure 11e). Thus mid-latitude
21 projections of LAI are difficult to constrain based on model metrics, but are sensitive
22 to modeled changes in precipitation (as seen also in Figure 6). For high latitudes
23 there are three metrics with similar correlation coefficients: the average temporal

1 correlation in the seasonal cycle, the size of the interannual variability and the size
2 of the seasonal cycle in LAI (Figure 11f, 11g, 11h). Unfortunately again, these three
3 metrics suggest a different projected change in LAI when the observed value is used
4 to identify the models that are most realistic (grey line in Figure 11f, 11g and 11h).

5 Overall, this analysis of multiple metrics suggests that there is no single
6 metric available that is the most important in all circumstances for improving our
7 estimates for the changes in LAI. Thus, deduction of a more probable future LAI
8 projection is not available to us in this case (as opposed to Cox et al., 2013, where
9 only one metric is presented).

10 The second approach for reducing spread in the future projections follows
11 the ideas of Steinacher et al. (2010). Here for each region, we chose the models that
12 performed the best for several metrics (i.e. using the rankings in Table 3), instead of
13 just one metric at a time (as above). For this study, we chose to use the top half of
14 the models, based on their performance for each region (Table 3), so instead of
15 including 18 models, we include 9 models for each region. Using this approach does
16 change the mean future projections, especially for the tropics and high latitudes
17 (Table 4; Figure 8a vs. 8b), and does reduce the spread in the model values in the
18 tropical region, but does not reduce the mean spread in mid-latitudes or high
19 latitudes (Table 4; Figure 8c vs. 8d). In the tropics, the top models tend to have
20 lower future projections of LAI than the average of all the models ($0.07 \text{ m}^2/\text{m}^2$
21 instead of $0.16 \text{ m}^2/\text{m}^2$). This is actually consistent with the analysis in Figure 11,
22 since the models with the higher skill (close to grey line) would tend to have lower
23 or middle values of future LAI projections (Figure 11a,b). For the mid-latitudes,

1 there is not as much difference between using all models or the top performing
2 models (Table 6), while for high latitudes, the top models tend to project slightly
3 higher LAI in the future, also consistent with Figure 11 (f,g,h), where the
4 observations tend to suggest higher LAI projections are more consistent for the
5 metrics with the highest correlation.

6 The spatial distribution of the change in the future projections using the all
7 models vs. the top models is consistent with the mean over the regions, with the
8 largest change being seen across the tropics, with a reduction in both the mean LAI
9 projection (Figure 8a vs. 8b) as well as the standard deviation (Figure 8c vs. 8d). The
10 changes in mid-latitudes and high latitudes from subsampling only the top
11 performing models are not very large in most locations (Figure 8a vs. 8b). Only in
12 the tropics is the spread in the models reduced in the future projections (Figure 8c
13 vs. 8d). The percent drought in the future is increased in the tropics, if we only
14 consider the top models (Figure 8e vs. 8f).

15 Our results suggest that the better performing models tend to project lower
16 LAIs in the future in the tropics in contrast to Cox et al. (2013), which focused on
17 carbon-temperature relationships in the Amazon and which showed that
18 observational constraints on the models tend to suggest less loss in carbon under
19 higher temperatures. However these results may not be inconsistent as they
20 consider different metrics in different regions, and LAI is not necessarily linearly
21 related to vegetative carbon or carbon uptake in the models (see discussion in
22 Section 3.3), suggesting that more analysis of how allocation is parameterized in the
23 land carbon models is warranted.

1 Our analysis suggests that using multiple metrics does provide information
2 that allows us in some cases (especially the tropics) to change our mean future
3 projection, and reduce the spread between models predictions. Overall, including
4 only the top models in the tropics project a more pessimistic future, with small
5 increases in mean LAI, and an expansion in the regions at risk for a low LAI, while at
6 high latitudes, it tends to increase the already large increase in mean in LAI.

7

8 **5.0 Summary and Conclusions**

9 LAI is an important term for scaling leaf-level biogeophysical and biogeochemical
10 processes to regional and global areas, and thus it is vital to consider its change in
11 future projections. Here for the first time we consider LAI projections across the
12 CMIP5 models and find that over much of the globe in the future, the models project
13 an increase in mean LAI in the RCP8.5 scenario over the 21st century. Decreases are
14 projected in the limited regions where there is also a projected decrease in mean
15 precipitation, constrained primarily to the tropics. The change in LAI appears to
16 grow with temperature increases across regions over the 21st century (Figure 4).
17 Changes in LAI projected in the RCP4.5 are largely consistent with changes in
18 RCP8.5, but have a reduced amplitude due to the smaller climate forcing.

19 For assessing climate change impacts, we propose that both mean LAI and
20 LAI variability are important in identifying vulnerable regions in future projections.
21 The models project an increased incidence of Low LAI conditions despite higher
22 mean LAIs, especially in the tropics (Figure 5). While much of the variability in LAI
23 is driven by changes in precipitation, projections of lower mean LAI or Low-LAI

1 incidence can identify a slightly different set of vulnerable regions (Figure 6), and
2 add to the information that precipitation projections provide.

3 In order to explore whether we can use model skill in the current climate to
4 reduce the spread in the future projections (e.g. Flato et al., 2013), we conducted a
5 brief comparison of the models to available satellite-derived LAI data (Zhu et al.,
6 2013), similar to previous analyses (e.g. Anav et al., 2013a; 2013b; Mao et al., 2013;
7 Sitch et al., 2015). Our results support the previously conclusions that the modeled
8 LAI could be improved in many aspects of the mean, seasonal and interannual
9 variability, although difficulties in the observational data may preclude definitive
10 assessment (Figure 9).

11 We use two different methods for reducing the large spread in future
12 projections, and find that combining multiple metrics to choose better models (e.g.
13 similar to Steinacher et al., 2010) seems to work more robustly than simply
14 correlating one metric against future projections (e.g. Cox et al., 2013; Hoffman et al.,
15 2014), because the different metrics suggest different future projections (Figure
16 11). Overall, the top-performing models (top half of the models from Table 4)
17 suggest smaller future increases in LAI in the tropics, and more regions with more
18 incidences of low-LAI conditions than assessments that include all the models. This
19 approach also reduces the spread among models in the tropics. However, using only
20 the top models did not make a large difference in projections in the mid- and high
21 latitudes (Figure 8).

22 Finally, the spread among models' projections of LAI was correlated with
23 model's projections of precipitation (Figure 7b, and Figure 6). Thus our projections

1 of LAI ultimately rest on the ability of models to project future precipitation. Yet, in
2 many regions the projected changes in precipitation are not large enough to be
3 statistically significantly outside natural variability (e.g. Tebaldi et al., 2011) and
4 there are discrepancies between climate model and statistical model predictions
5 (e.g. Funk et al., 2014 vs. Tebaldi et al., 2011). In addition, increasing temperatures
6 are likely to stress systems, even if there is additional rainfall (e.g. Lobell et al.,
7 2011), expanding the regions at risk to increased drought (Figure 6).

8

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4

5

1 **Table 1** Model simulations from the Climate Modeling Intercomparison Projection
 2 (CMIP5) included in this study. All models listed here were available for the RCP8.5
 3 analysis, while the all models except BNU-ESM and CESM-BGC were available for the
 4 RCP4.5 analysis.

Model	Land Model	Land Resolution	N-Cycle	Dynamic Veg.	Citation
BCC-CSM1	BCC-AVIM1.0	2.8°x2.8°	N	Y	(Wu et al., 2013)
BCC-CSM1-M	BCC-AVIM1.0	1.1°x1.1°	N	Y	(Wu et al., 2013)
BNU-ESM	CoLM + BNU-DGVM	2.8°x2.8°	N	Y	(BNU-ESM, http://esg.bnu.edu.cn/tmils/index.html)
CanESM2	CLASS2.7+CTEM1	2.8°x2.8°	N	N	(Arora et al., 2011)
CESM1-BGC	CLM4	0.9°x1.2°	Y	N	(Lindsay et al., 2012)
GFDL-ESM2G	LM3	2.5° x 2.5°	N	Y	(Dunne et al., 2012)
GFDL-ESM2M	LM3 (uses different physical ocean model)	2.5° x 2.5°	N	Y	(Dunne et al., 2012)
HadGEM2-CC	JULES+TRIFFID	1.9° x 1.2°	N	Y	(Collins et al., 2012)
HadGEM2-ES	JULES+TRIFFID (includes chemistry)	1.9° x 1.2°	N	Y	(Collins et al., 2012)
INM-CM4	Simple model	2° x 1.5°	N	N	(Volodin et al., 2012)
IPSL-CM5A-LR	ORCHIDEE	3.7° x 1.9°	N	N	(Dufresne et al., 2012)
IPSL-CM5A-MR	ORCHIDEE	2.5° x 1.2°	N	N	(Dufresne et al., 2012)
IPSL-CM5B-LR	ORCHIDEE (improved parameterization)	3.7° x 1.9°	N	N	(Dufresne et al., 2012)
MIROC-ESM_	MATSIRO+SEIB-DGVM	2.8° x 2.8°	N	Y	(Watanabe et al., 2012)
MIROC-ESM-CHEM	MATSIRO+SEIB-DGVM (adds chemistry)	2.8° x 2.8°	N	Y	(Watanabe et al., 2012)
MPI-ESM-LR	JSBACH+BETHY	1.9° x 1.9°	N	Y	(Raddatz et al., 2012)
MPI-ESM-MR	JSBACH+BETHY (ocean model higher resolution)	1.9° x 1.9°	N	Y	(Raddatz et al., 2012)
NorESM1-ME	CLM4	2.5° x 1.9°	Y	N	(Bentsen et al., 2012)

5

6

- 1 Table 2: Table of Metrics for LAI comparisons between model and observation used in the following
 2 tables. More description of these metrics are provided in Section 2.3.

Metrics		Description
Mean	Model /obs	Ratio of mean LAI from the model and observations
	Corr.	Spatial correlation of Mean LAI
Std. Dev. Seasonal	Model /obs	Ratio of seasonal cycle strength: Ratio of standard deviation of the climatological monthly mean LAI from the model and observations
	Avg. Corr.	Avg. Corr. of the temporal evolution of the climatological seasonal cycle in the model vs. observations at each grid box
Std. Dev. IAV	Model /obs	Ratio of IAV strength: ratio of standard deviation of the annual mean LAI from the model and observations
IAV LAI vs. T	Avg. Corr.	Avg. Corr. between LAI and temperature in IAV
IAV LAI vs date	Avg. Corr.	Avg. Corr. between LAI and date in IAV

3
4

1 **Table 3: Model ranking based on performance on mean annual and seasonal**
 2 **cycle metrics for each region (see description in section 2.1).**
 3

	Tropical	Midlatitude	High latitude
bcc-csm1	10	10	2
bcc-csm1-1	9	8	11
BNU-ESM	18	18	1
CanESM2	17	1	16
CESM1-BGC	6	11	17
GFDL-ESM2G	14	15	17
GFDL-ESM2M	16	17	6
HadGEM2-CC	10	5	7
HadGEM2-ES	14	3	11
inmcm4	1	8	13
IPSL-CM5A-LR	2	5	13
IPSL-CM5A-MR	4	1	9
IPSL-CM5B-LR	3	4	5
MIROC-ESM	12	15	4
MIROC-ESM-CHEM-	13	14	2
MPI-ESM-LR	5	7	9
MPI-ESM-MR	7	12	15
NorESM1-ME	8	13	7

1 **Table 4: Mean and standard deviation across models for future projections**
 2 **(LAI change in m^2/m^2) (2081-2100) for all models and for the top half of the**
 3 **models**

	Tropics	Mid-latitude	High-latitude
Mean Change (all models)	0.16	0.35	0.31
Mean Change (top models)	0.07	0.31	0.37
Standard Deviation across models (all models)	0.35	0.23	0.20
Standard Deviation across models (top models)	0.25	0.24	0.24

4

1 **Figure captions**

2 **Figure 1:** Mean of all models for the annual mean change in LAI (m^2/m^2) over time
3 relative to current (1981-2000) for 2011-2030 (a), 2041-2060 (b) and 2081-2100
4 (c) for RCP8.5.

5

6 **Figure 2:** Mean of all models for the annual mean change in LAI over time relative to
7 current (1981-2000), normalized by each model's current (1981-2000) standard
8 deviation at each grid point, for 2011-2030 (a), 2041-2060 (b) and 2081-2100 (c)
9 for RCP8.5.

10

11 **Figure 3:** Mean of all models for the annual mean change in LAI (m^2/m^2) over time
12 relative to current climate (1981-2000) for 2081-2100 for RCP4.5. (a) The mean
13 change (similar to Figure 1c), (b) the mean change across models normalized by the
14 model standard deviation for 2081-2100 (similar to Figure 2c); and (c) the mean of
15 all models for the percent of the time during which the annual mean LAI is
16 considered "Low" (model projected annual mean LAI is less than one standard
17 deviation of the current mean at each gridbox) (similar to Figure 5).

18

19 **Figure 4:** Scatter plot of the change in annual average surface temperature (T_s C)
20 (x-axis) against the change in annual average LAI (m^2/m^2) (y-axis) for the global (a),
21 tropics (b), mid-latitudes (c) and high-latitudes (d). Averages over four time periods
22 are shown for each RCP: 1981-2000 (with 0 changes), 2011-2030, 2041-2060 and
23 2081-2100, connected by a line. The final point (2081-2100) for RCP8.5 is a triangle,

1 while RCP4.5 is a filled circle. The temperatures increase in all simulations with
2 time, so increases in the x-axis indicate an increase in time. Note that there are 4
3 points along each line, and thus if there is no inflection point, the slope of the line is
4 constant across the 21st century.

5

6 **Figure 5:** Mean of the models for the percent of the time during which the annual
7 mean LAI is considered “Low” (model projected annual mean LAI is less than one
8 standard deviation of the current mean at each gridbox) is shown for 2011-2030 (a),
9 2041-2060 (b) and 2081-2100 (c) for RCP85, where the current mean and standard
10 deviation are defined for each grid box for 1981-2000. For the current climate, the
11 percentage of time below one standard deviation will be 16%, which is colored in
12 grey, so all colors represent an increase in low LAI.

13

14 **Figure 6:** Mean of all models for the change in annual mean precipitation for 2081-
15 2100 compared to current (1981-2000), normalized by the model standard
16 deviation for RCP8.5 (similar to Figure 2c, but for precipitation) (a). Mean of the
17 models % of the time during which the annual mean precipitation is one standard
18 deviation below current values (similar to figure 5c, but for precipitation) for 2081-
19 2100 in RCP8.5 (b). Grid-boxes identified as statistically significantly decreasing in
20 LAI (green) or precipitation (blue) or both (red) (i.e. the blue regions in Figure 2a
21 and Figure 6a contrasted) (c). Grid-boxes identified as having an increase in the
22 amount of time with Low LAI (green) or precipitation (blue) or both (red) (i.e. the
23 blue regions in Figure 5c and Figure 6b contrasted) (c).

1

2

3 **Figure 7:** Rank correlation across models at every grid box of the mean model
4 change in LAI (2081-2100 minus 1981-2000) for RCP8.5 against the model change
5 over the same time period of temperature (a), precipitation (b) and vegetation
6 carbon stock (c).

7

8 **Figure 8:** Mean of all models for the annual mean change in LAI over time (2081-
9 2100) relative to current (1981-2000), normalized by each model's current (1981-
10 2000) standard deviation at each grid point (a) for all models (same as Figure 1c)
11 and (b) for the top models, defined as the models performing in the top half (Table
12 4) for each region, tropical, mid-latitude or high-latitude. Because different models
13 are included in different regions, there can be discontinuities at the boundaries in
14 Figure 8b (e.g. 30 and 60 degrees latitude). The standard deviation in the mean
15 future projection at 2081-2100 across the models at each grid point are shown for
16 (c) all models and (d) top models. Indication of "Low" LAI is the model mean
17 percent of time that LAI is more than one standard deviation below the current
18 mean LAI and is shown for (e) all models (same as figure 5c) and (f), top models for
19 the period 2081-2100, where the current mean and standard deviation are defined
20 for each grid box for 1981-2000. For the current climate, the percentage of time
21 below one standard deviation will be 16%, which is colored in grey, so all colors
22 represent an increase in drought.

23

1 **Figure 9:** Comparison of model metrics for the LAI comparisons from Table 2
 2 across the models, for each region (global, tropical, mid-latitude and high latitude)
 3 for a) Mean model/observations, b) seasonal std deviation model/observations, c)
 4 IAV standard deviation model/observations, d) spatial correlation of model to
 5 observed LAI, e) average temporal correlation for seasonal variability, f) average
 6 IAV LAI correlation with temperature (* indicates observed value), g) average IAV
 7 LAI correlation with time (* indicates observed value).

8

9 **Figure 10:** Comparison of model metrics for the annual mean and seasonal metrics
 10 from Table 2 across the models for a. global, b. tropical, c. mid-latitude and d. high-
 11 latitude regions. Similar information is shown in Table S1 and S2, but here
 12 converted to the Model Evaluation Value (equation 1) so that 1 is a perfect model
 13 simulation and lower values indicate worse simulations. Models are shown in Table
 14 1, and listed in the figure. Metrics are mean annual (+), spatial correlation of mean
 15 annual (*), seasonal cycle standard deviation(diamond), mean seasonal cycle
 16 correlation (triangle) and interannual variability (IAV) standard deviation (square).

17

18 **Figure 11:** Scatterplot of the metrics with the highest absolute value of the
 19 correlation between the metric and future LAI changes across the globe (LAI
 20 correlated with date (a) and mean LAI model/obs (b)) tropics (<30°) (LAI
 21 correlated with date (c) and mean LAI model/obs (d)), mid-latitudes (between 30°
 22 and 50°) projected change in precipitation (e)) and high-latitudes (>50°) (seasonal
 23 cycle average correlation (f), strength of IAV model/obs (g), and seasonal cycle

- 1 strength model/obs (h). The symbols are in the shown colors for each model. The
- 2 grey represents the value an ideal model would have based on the observations.
- 3 The black line is the line which results from a linear regression of the x and y-axis.
- 4
- 5

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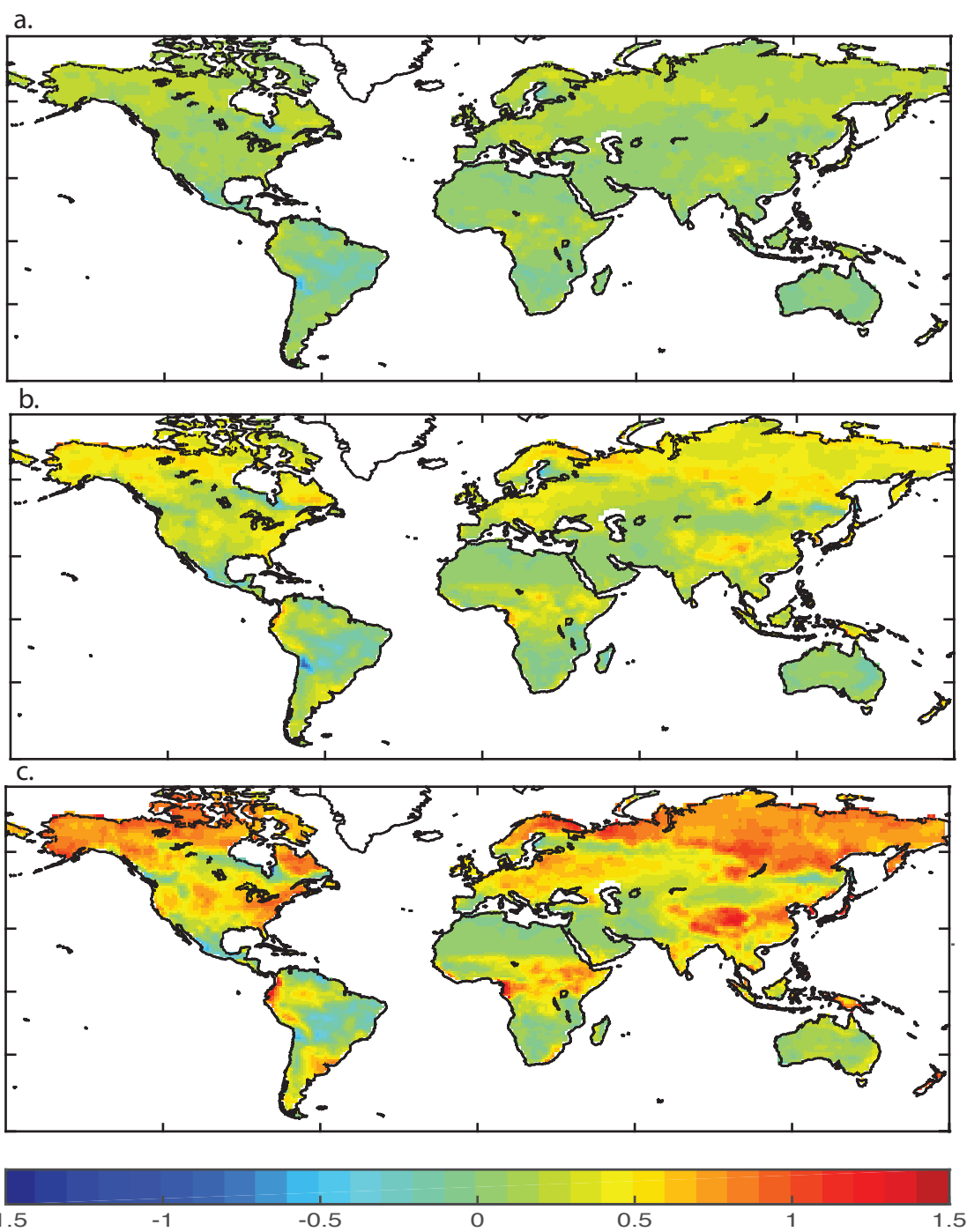
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41

Figure 1



a. Figure 2

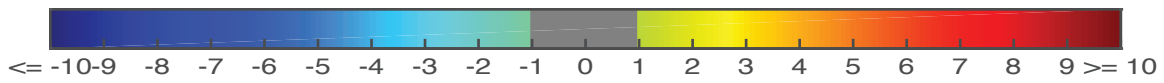
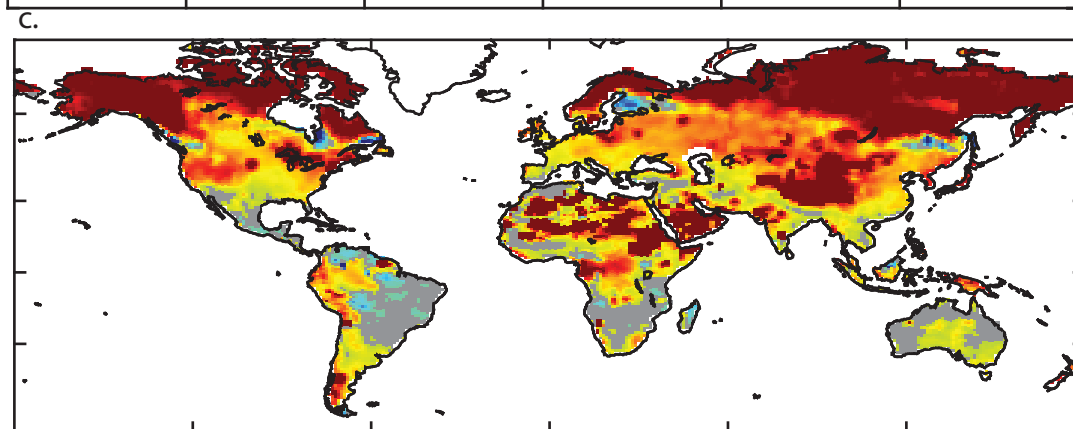
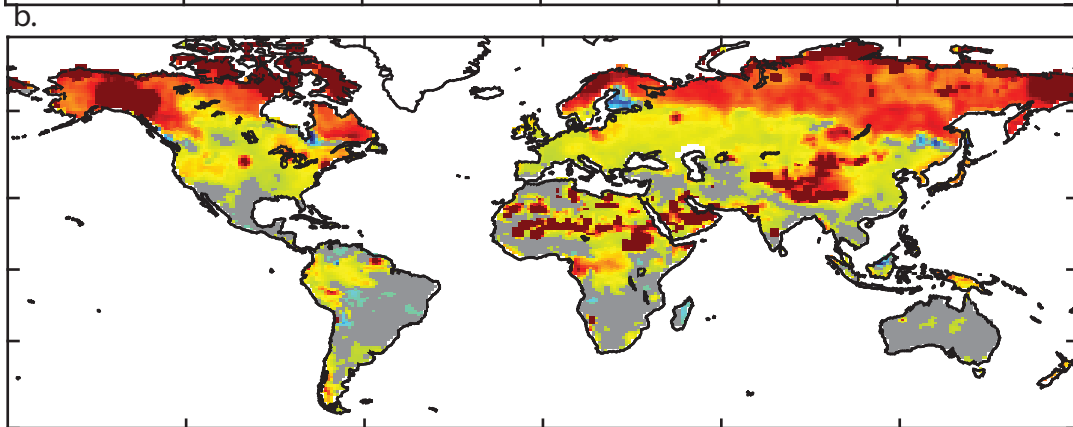
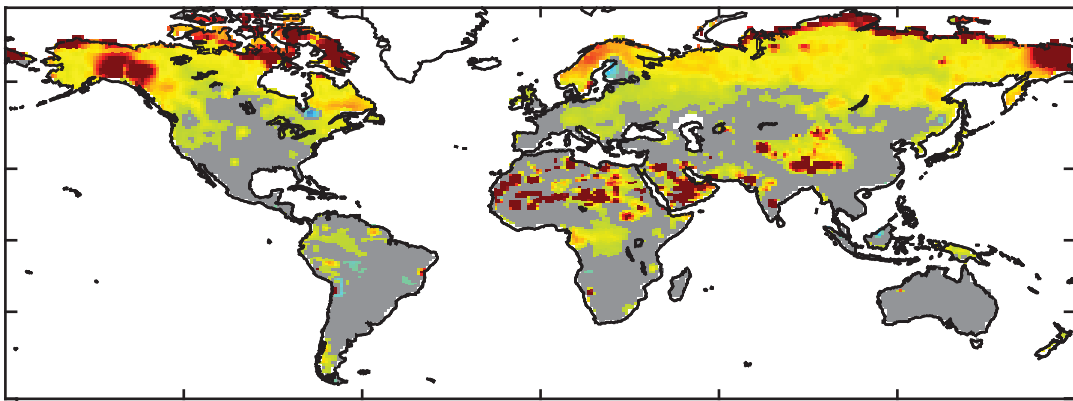
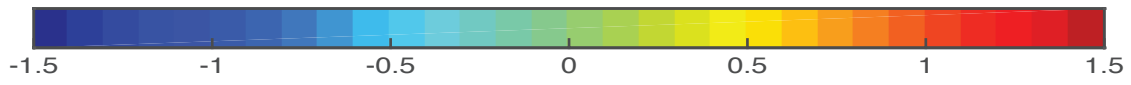
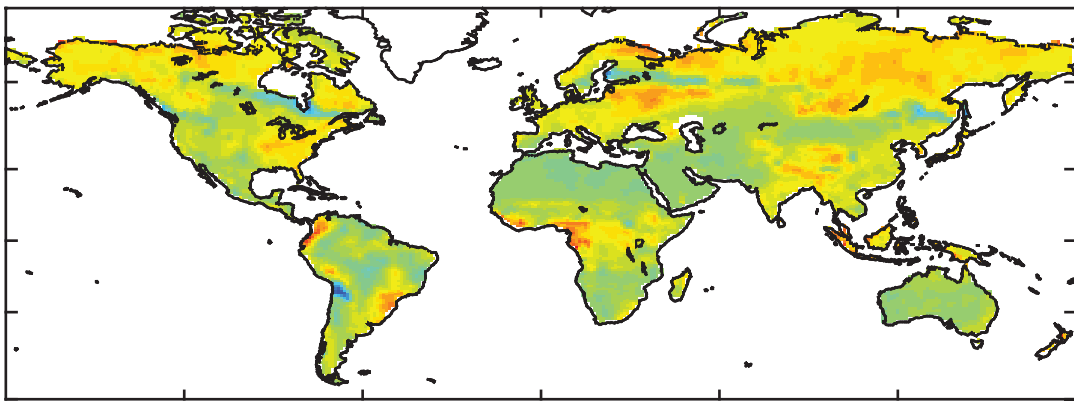
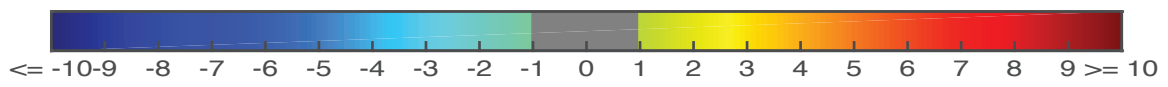
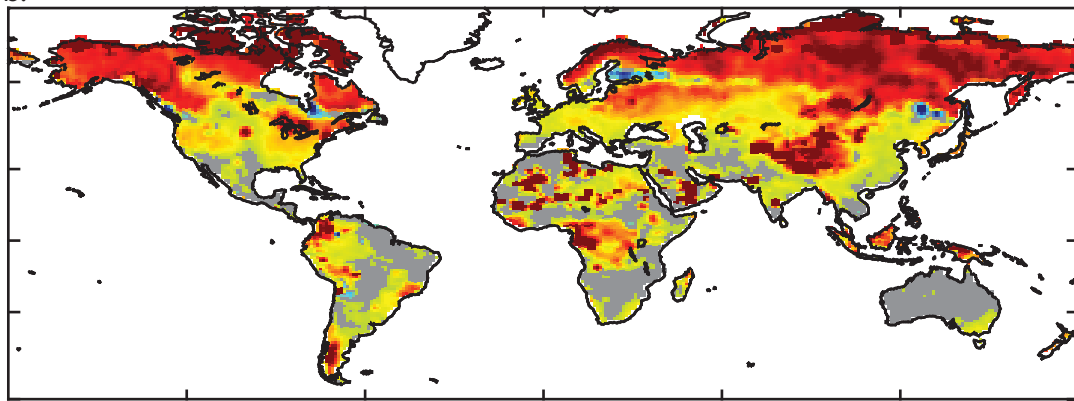


Figure 3

a.



b.



c.

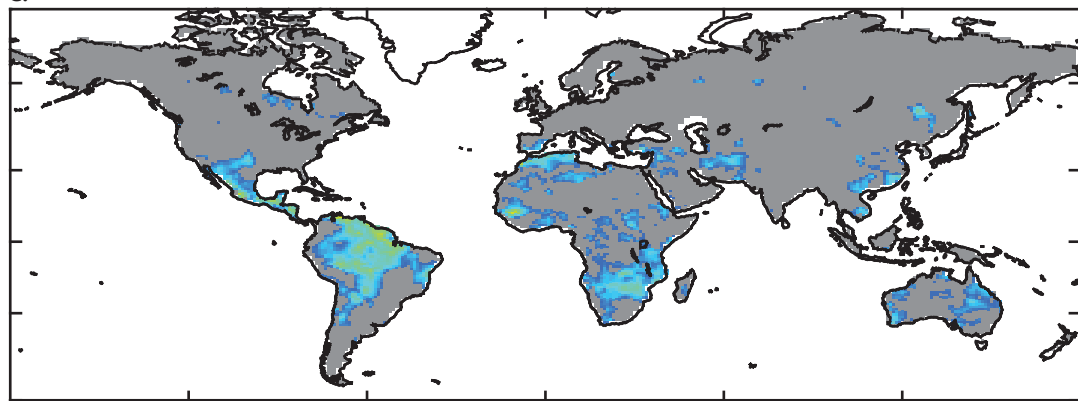


Figure 4

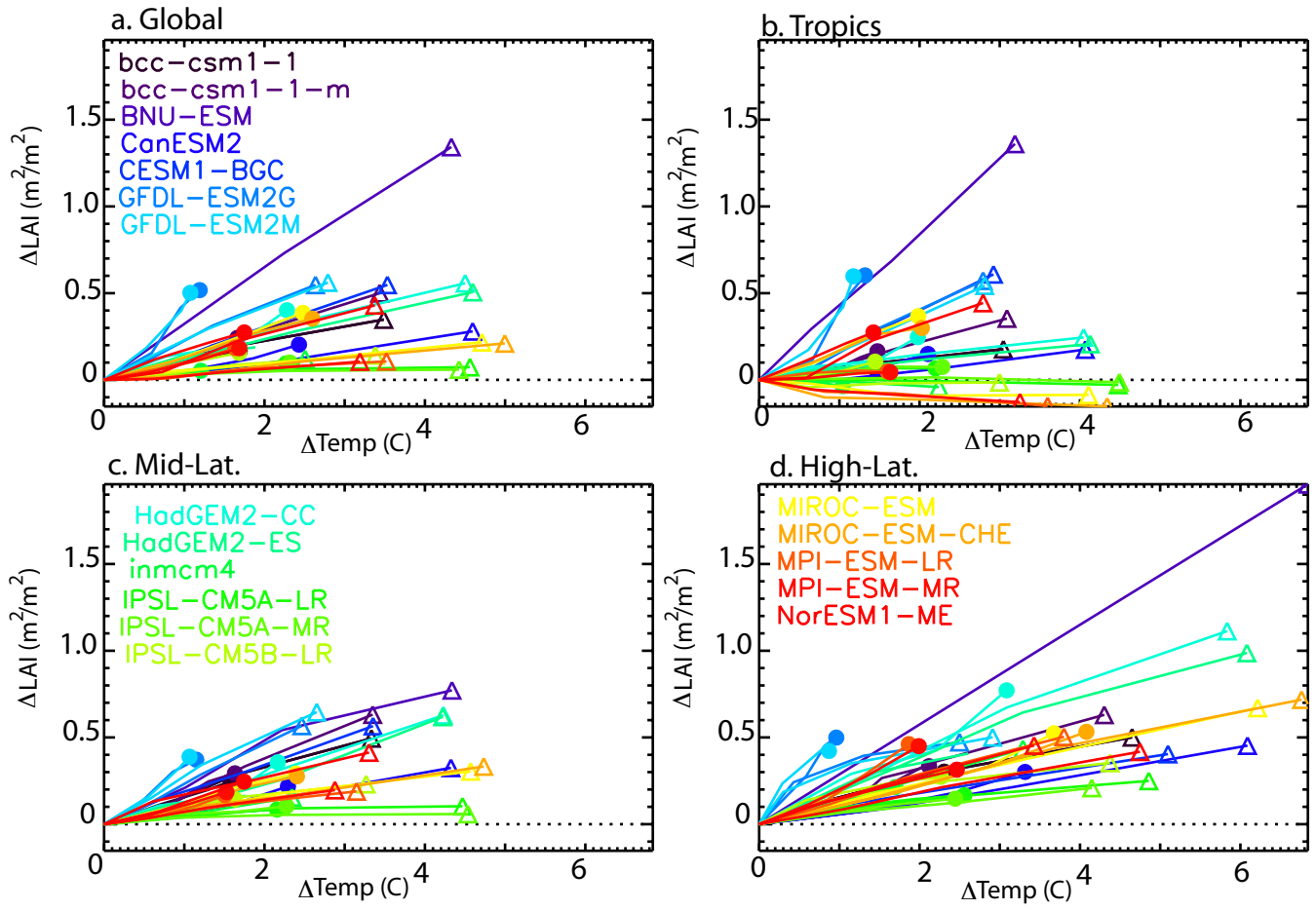


Figure 5

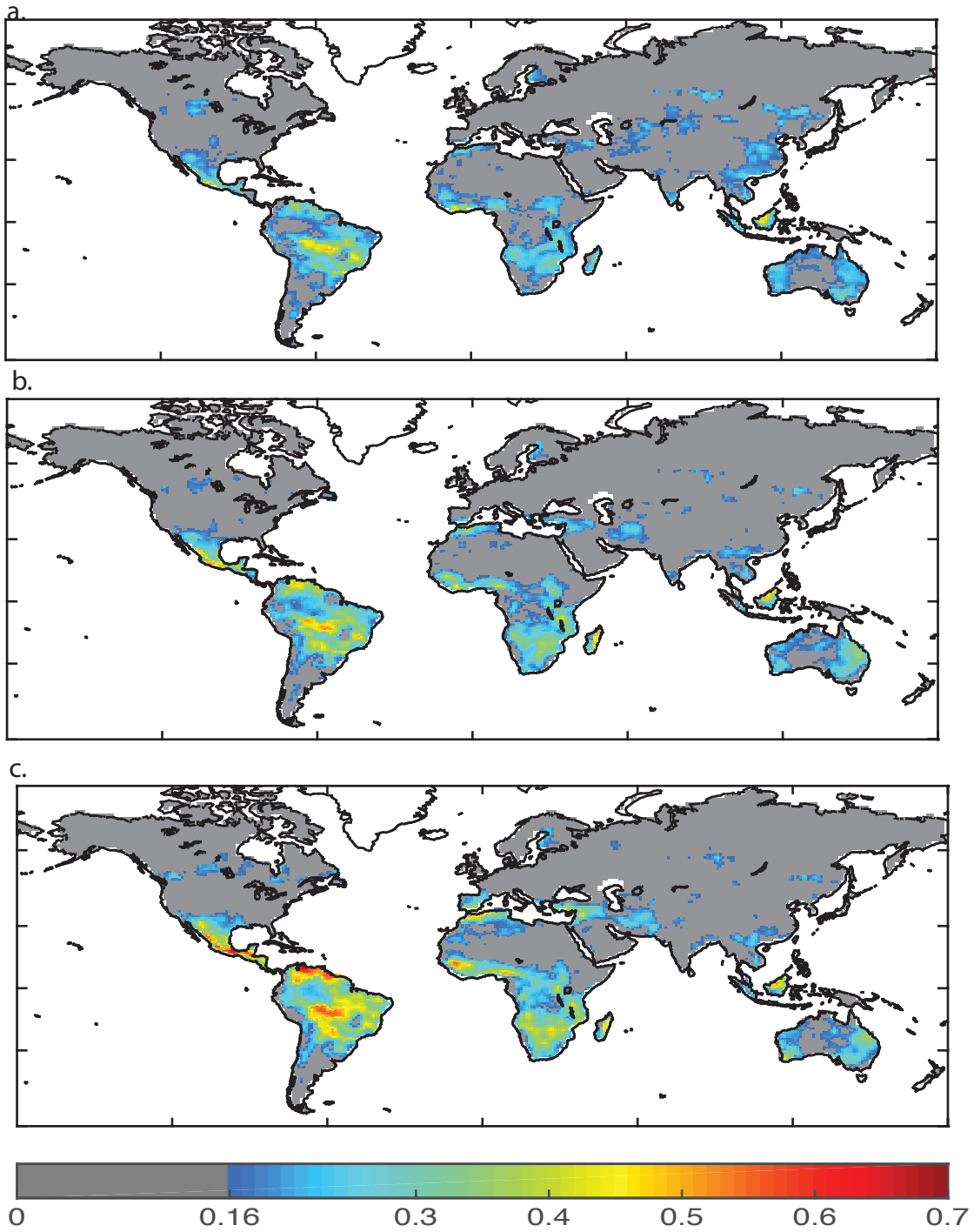


Figure 6

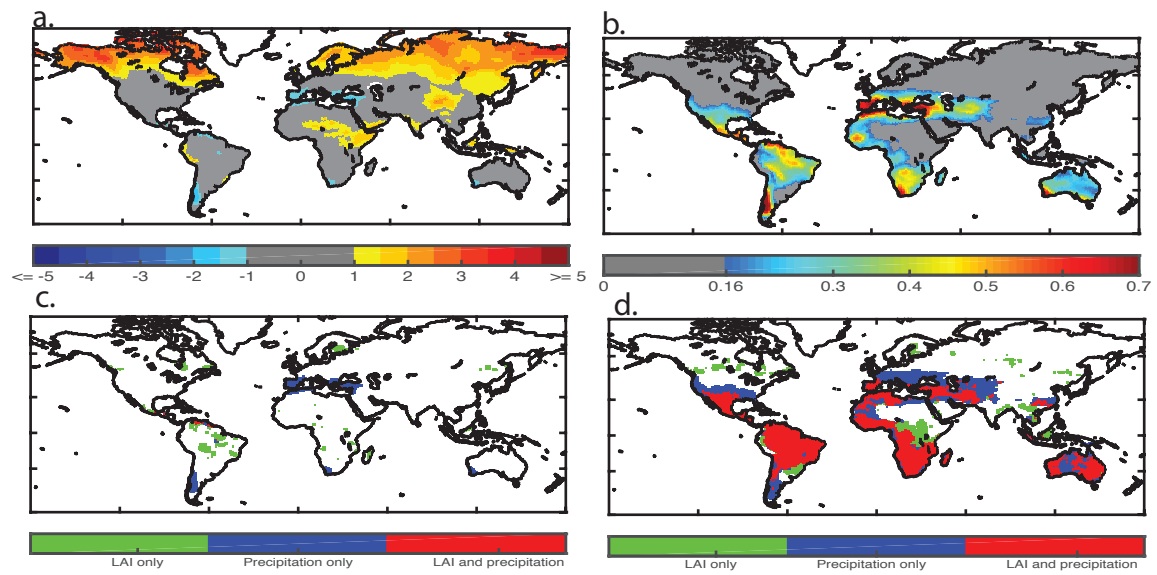
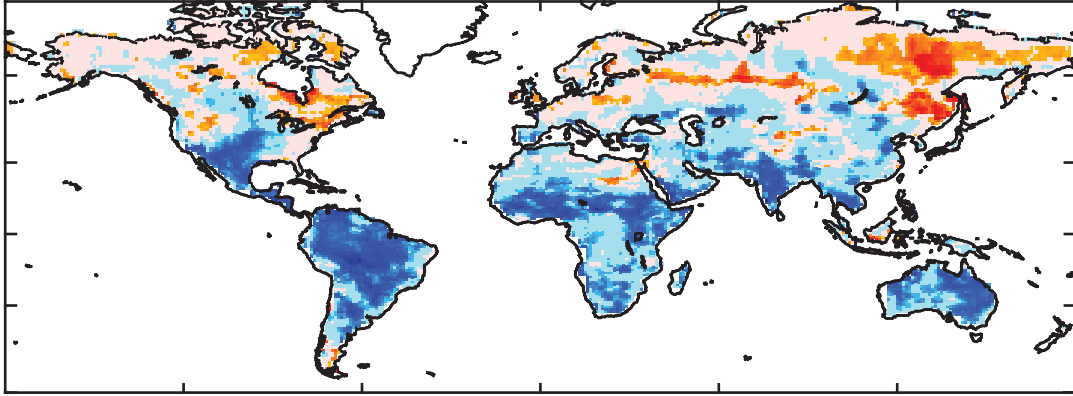
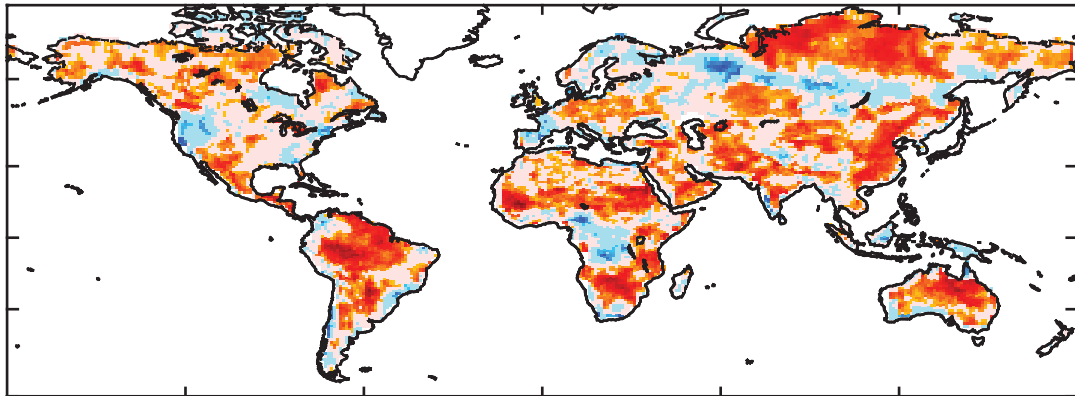


Figure 7

a.



b.



c.

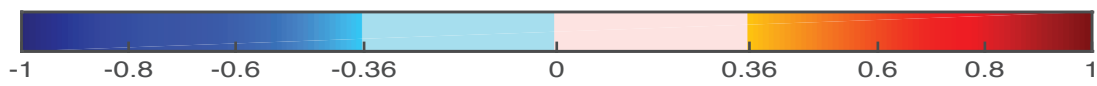
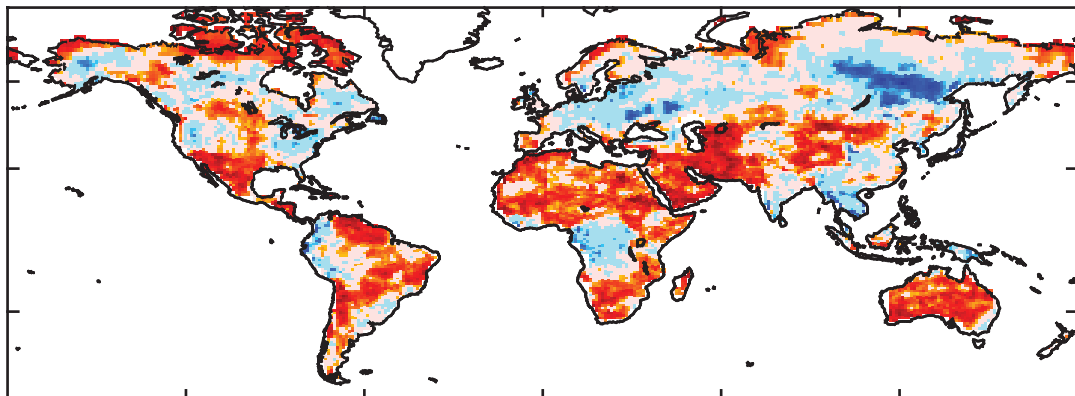


Figure 8

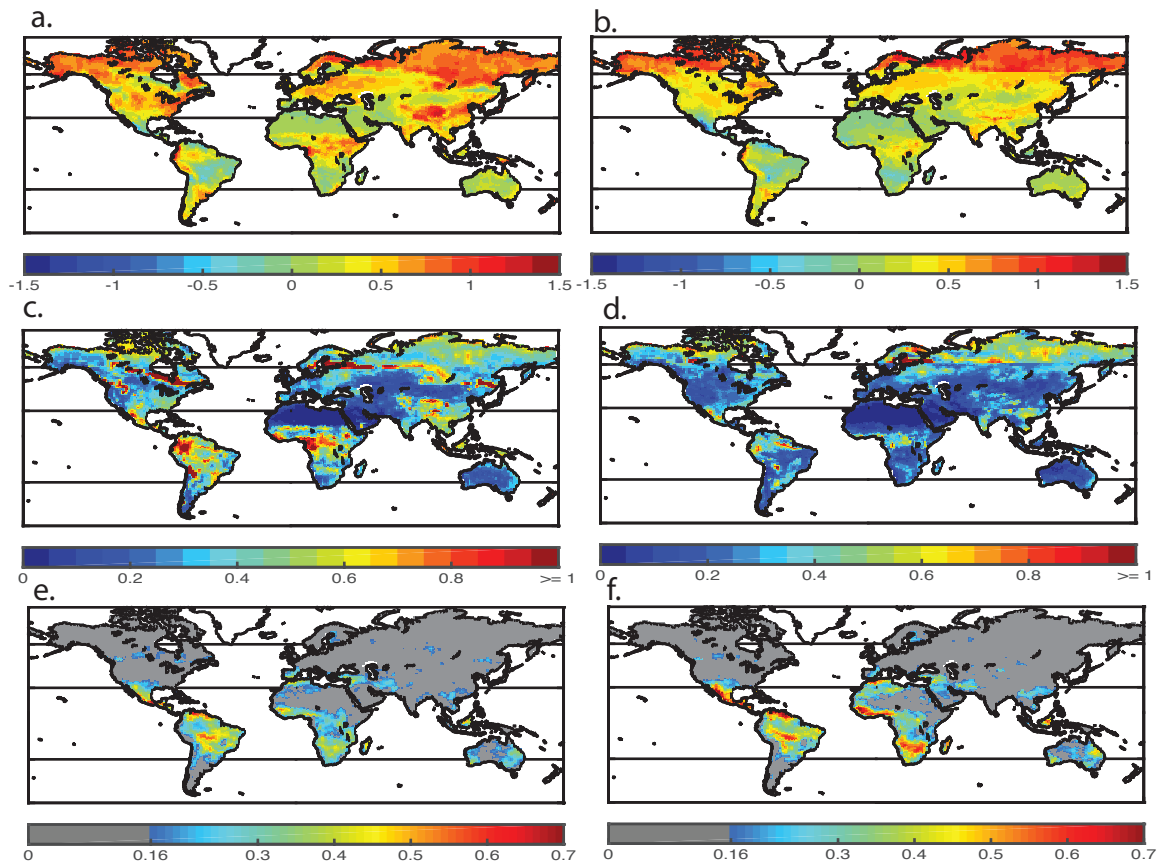


Figure 9

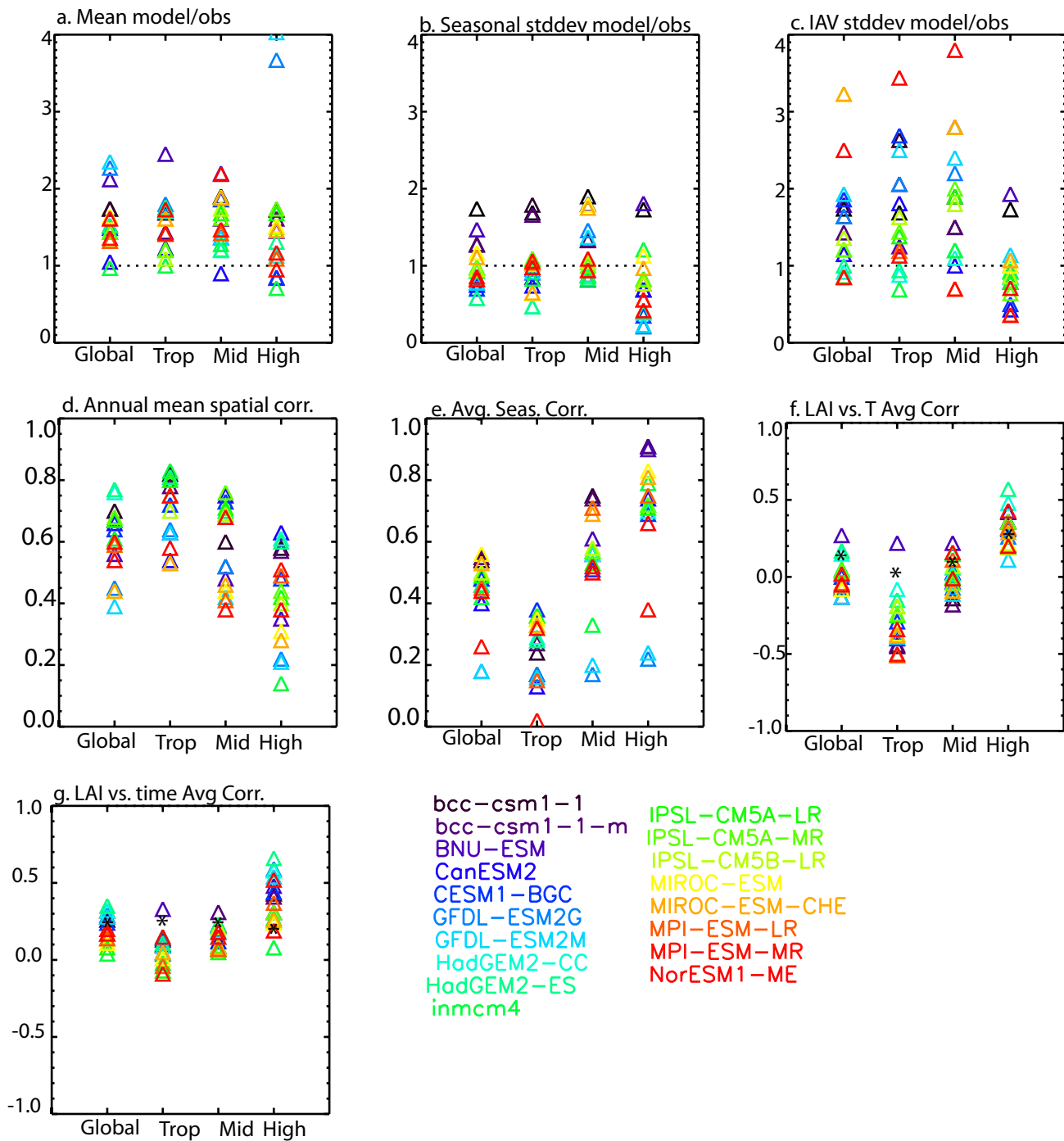
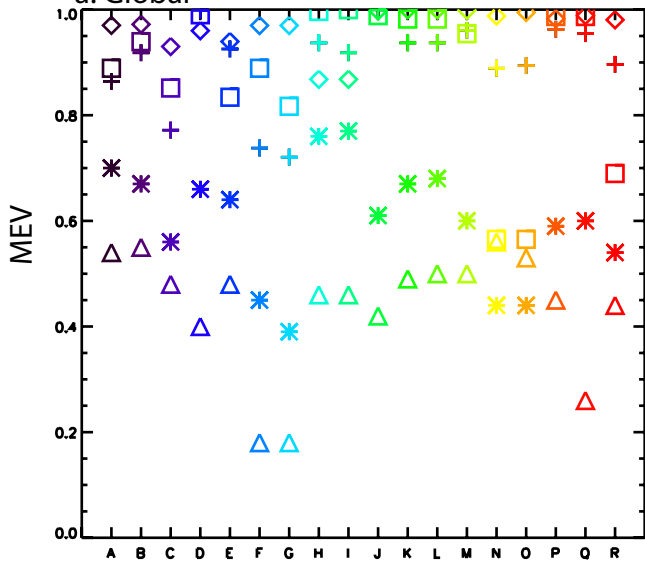
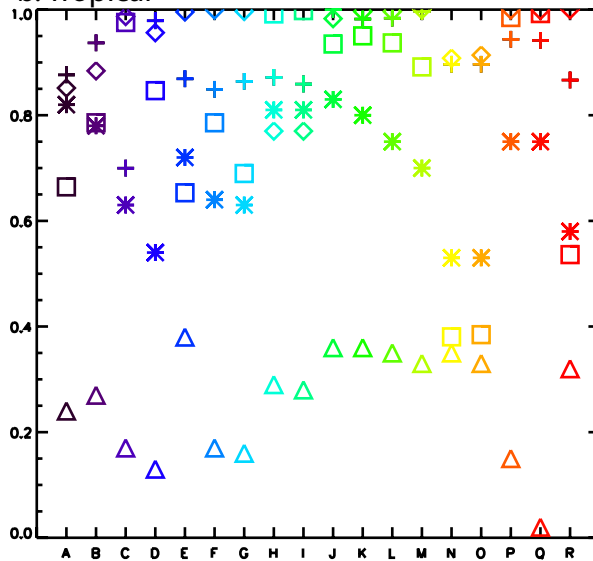


Figure 10

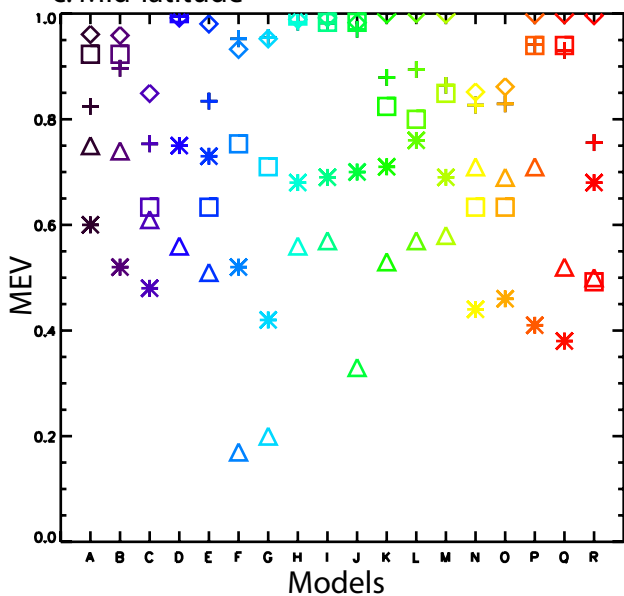
a. Global



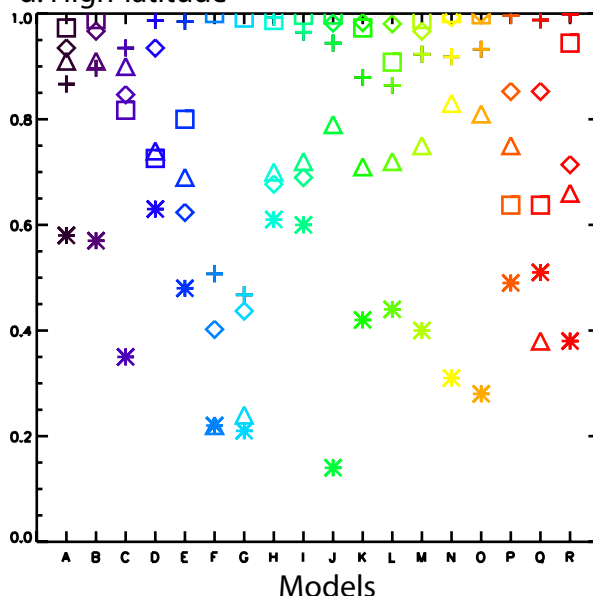
b. Tropical



c. Mid-latitude



d. High-latitude

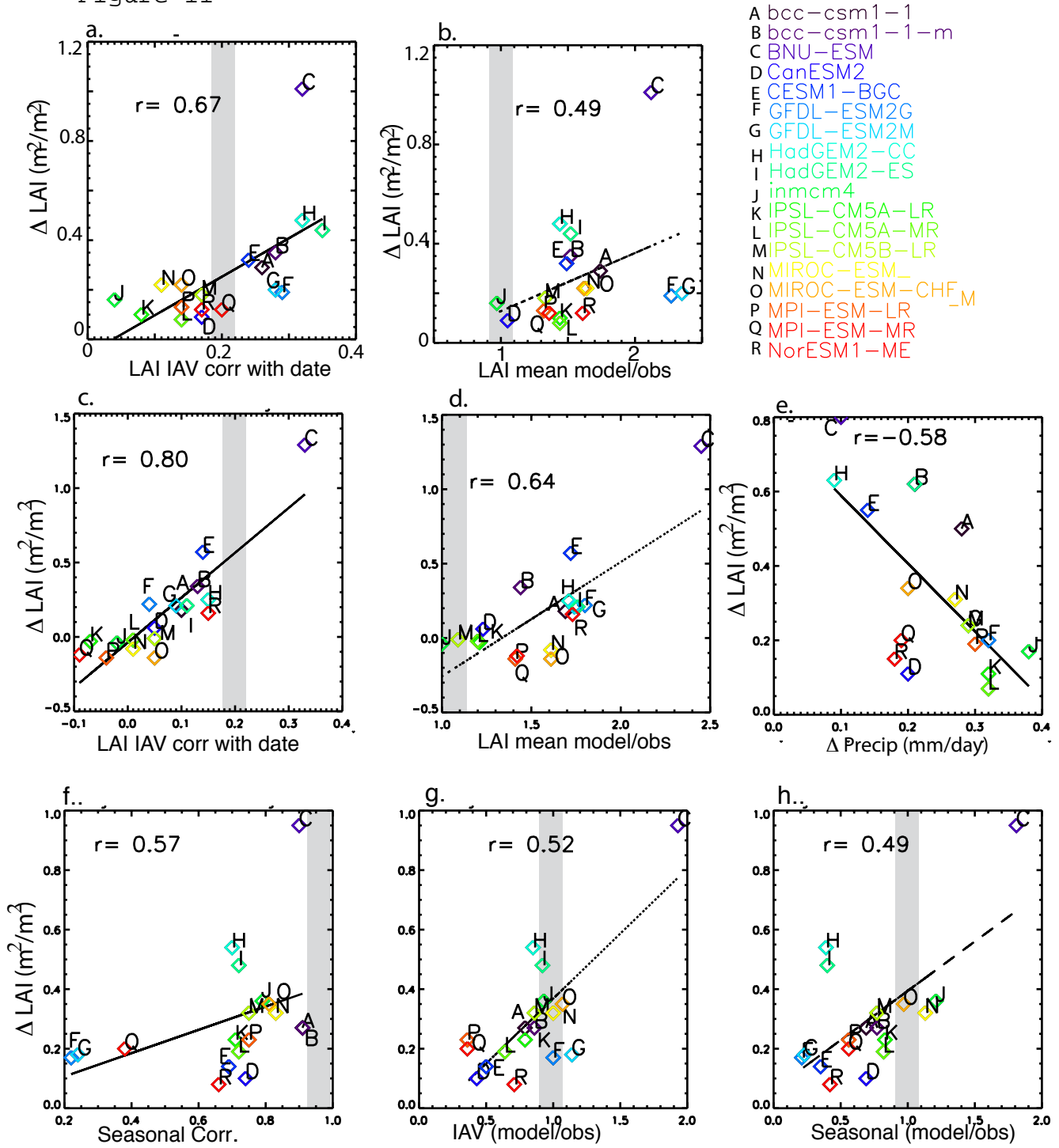


- + Mean Annual
- * Annual Corr.
- ◇ Seasonal Std. Dev
- △ Seasonal Corr.
- IAV Std. Dev.

- A bcc-csm1-1
- B bcc-csm1-1-m
- C BNU-ESM
- D CanESM2
- E CESM1-BGC
- F GFDL-ESM2G
- G GFDL-ESM2M
- H HadGEM2-CC
- I HadGEM2-ES
- J inmcm4

- K IPSL-CM5A-LR
- L IPSL-CM5A-MR
- M IPSL-CM5B-LR
- N MIROC-ESM
- O MIROC-ESM-CHEM
- P MPI-ESM-LR
- Q MPI-ESM-MR
- R NorESM1-ME

Figure 11



Supplemental Figures

Figure S1: Observed distributions of leaf area index (LAI) (units of m^2/m^2) from satellite (Zhu et al. 2013).

Figure S2: Probability density function of the change in LAI between 2081-2100 at each grid box for each model for the globe (a), tropics ($<30^\circ$) (b), mid-latitudes (between 30° and 50°) (c) and high-latitudes ($>50^\circ$) (d). The probability density function indicates the fraction of the grid boxes with each LAI value. Probability density functions are smoothed using an Epanechnikov smoothing kernel. Models are shown as colored lines, as indicated on legend in figure.

Figure S3: Rank correlation across models at every grid box of the mean model change in LAI (2081-2100 minus 1981-2000) for RCP4.5 against the model change over the same time period of temperature (a) and precipitation (b).

Figure S4: Rank correlation between observational-derived interannual variability in LAI and temperature (a) and year (b) at each grid-box. Correlations above an absolute value of 0.36 are significant at the 95% and are shown in darker colors. Observations are derived from satellite retrievals (Zhu et al., 2013) for LAI and gridded datasets GHCN-CAM (Fan and Dool, 2008) for temperature.

Figure S1

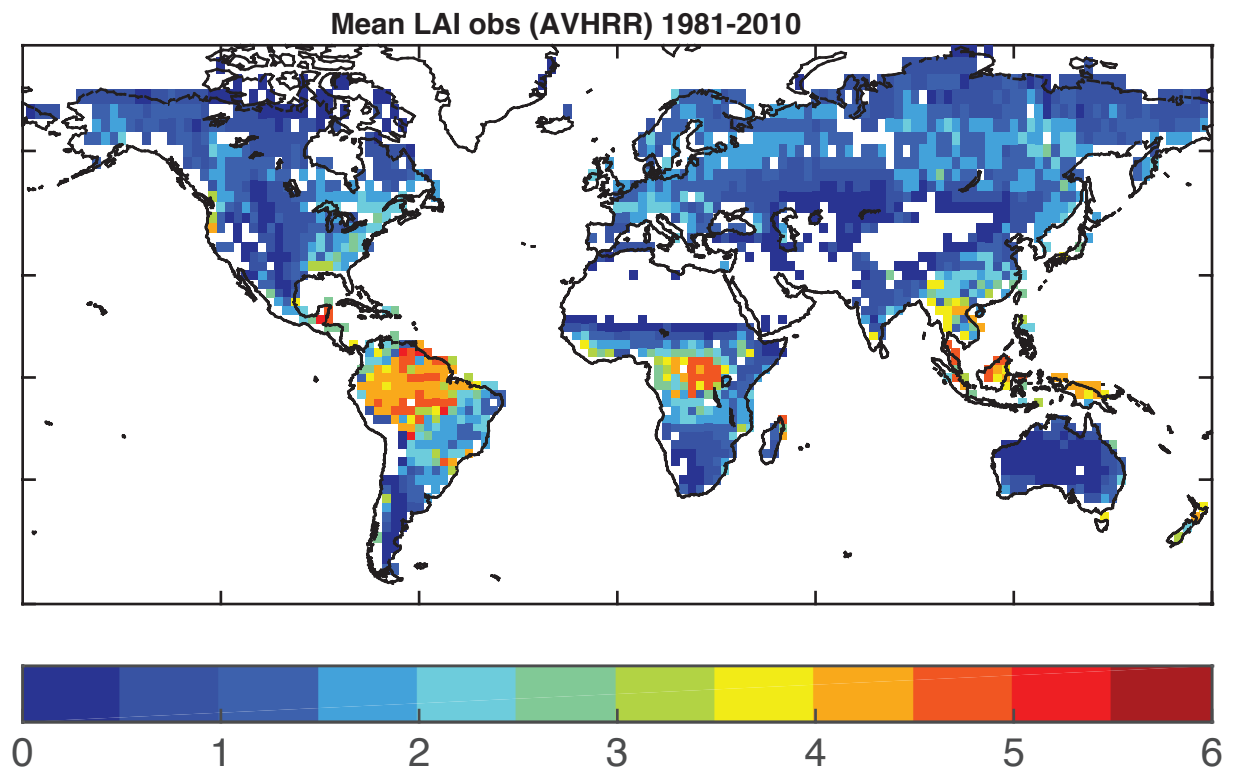


Figure S2

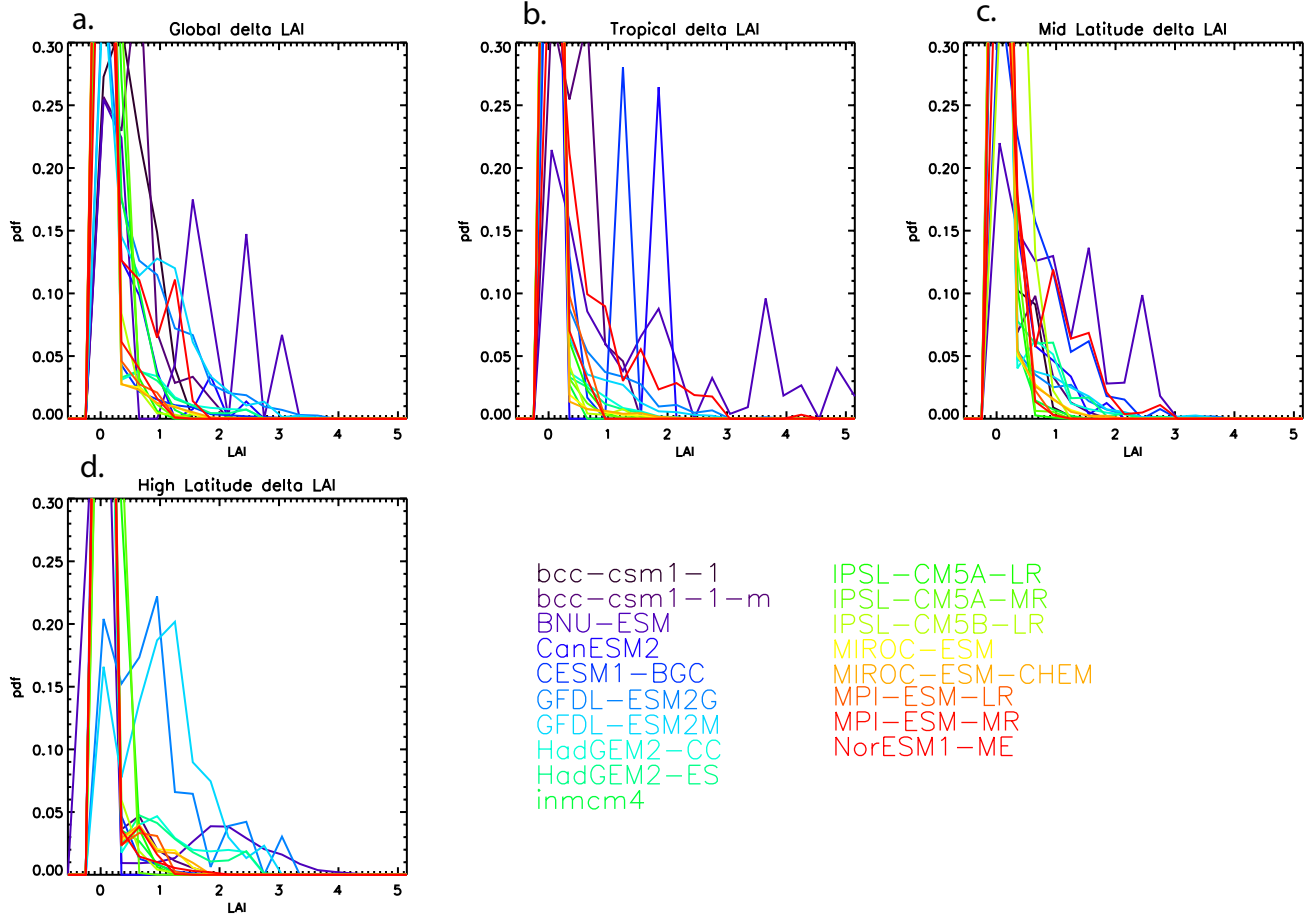
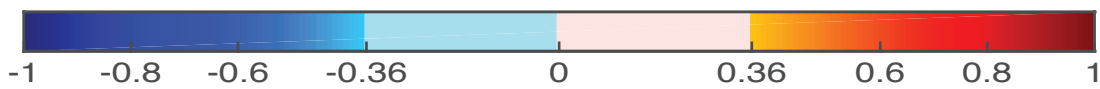
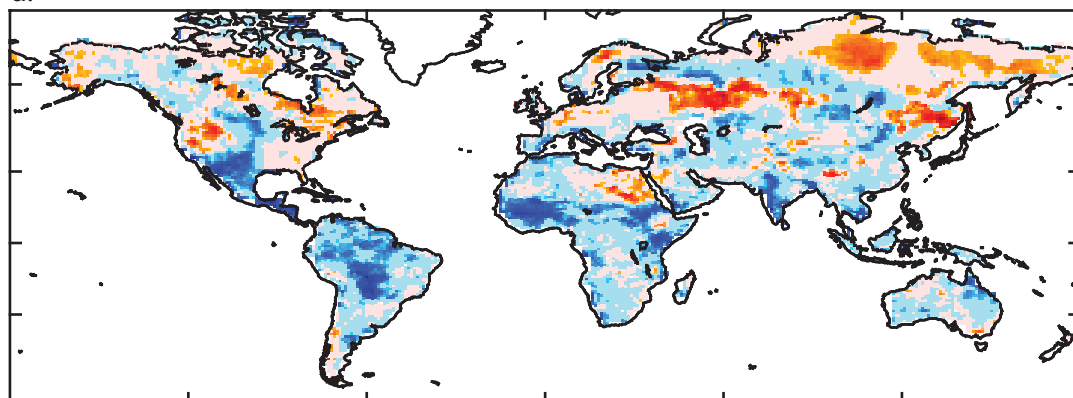


Figure S3

a.



b.

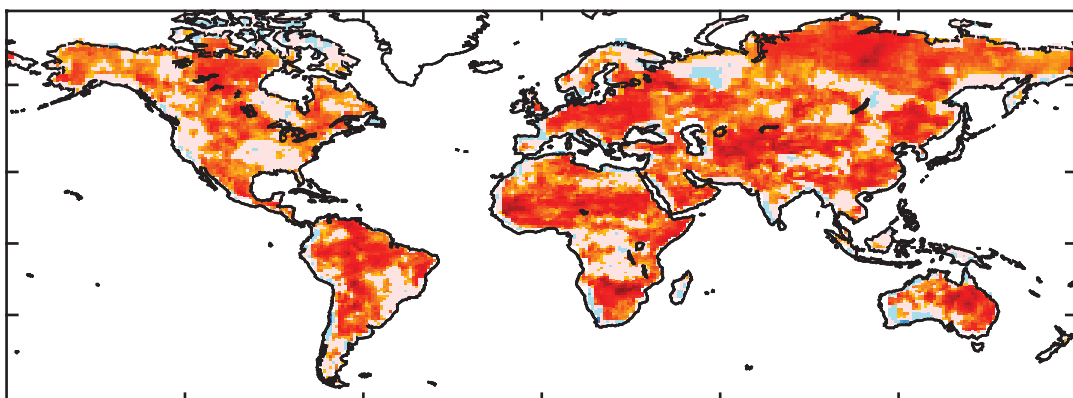
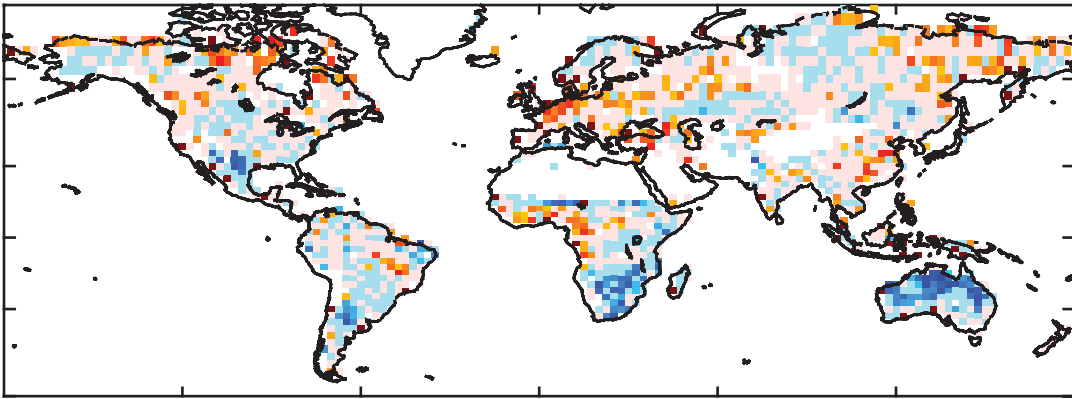
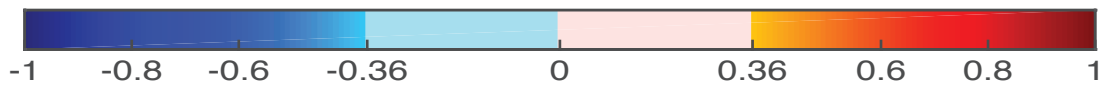
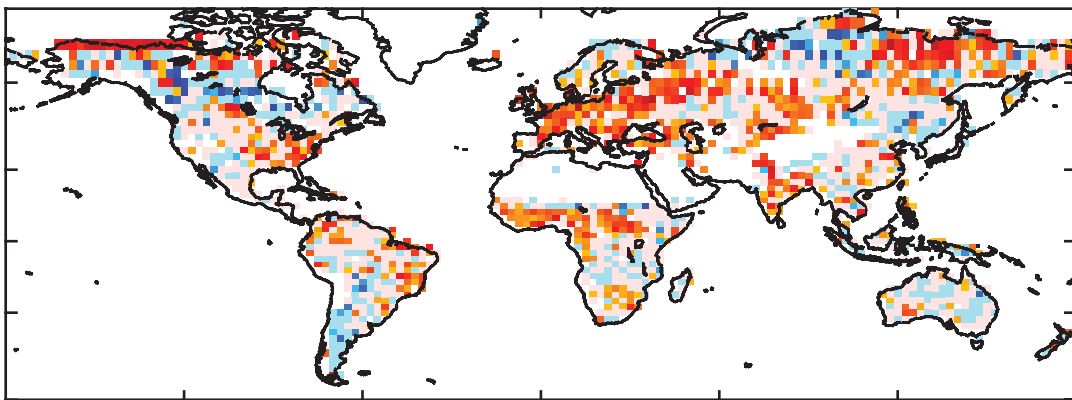


Figure S4

a.



b.



1 Supplemental tables

2 Table S1: Evaluation of LAI over globe. Metrics are described in text and Table 2, models in Table 1.

Models	Mean LAI		Seasonal		Std Dev IAV	LAI IAV correlations	
	Model/ obs	Corr.	Std Dev. Model /obs	Avg. Corr.	Model/ obs	LAI vs. Ts	LAI vs. time.
Obs.						0.11	0.21
bcc-csm1	1.74	0.70	1.28	0.54	1.64	-0.07	0.26
bcc-csm1-1	1.52	0.67	1.27	0.55	1.43	-0.13	0.28
BNU-ESM	2.12	0.56	1.47	0.48	1.79	0.27	0.32
CanESM2	1.05	0.66	0.75	0.40	1.15	0.02	0.17
CESM1-BGC	1.49	0.64	0.70	0.48	1.86	0.00	0.24
GFDL-ESM2G	2.27	0.45	0.78	0.18	1.64	-0.06	0.29
GFDL-ESM2M	2.35	0.39	0.78	0.18	1.93	-0.13	0.28
HadGEM2-CC	1.44	0.76	0.58	0.46	0.92	0.15	0.32
HadGEM2-ES	1.52	0.77	0.58	0.46	1.00	0.17	0.35
inmcm4	0.97	0.61	0.93	0.42	0.86	0.05	0.04
IPSL-CM5A-LR	1.44	0.67	0.98	0.49	1.21	0.03	0.08
IPSL-CM5A-MR	1.44	0.68	0.97	0.50	1.21	0.04	0.14
IPSL-CM5B-LR	1.33	0.60	0.95	0.50	1.36	0.02	0.17
MIROC-ESM	1.64	0.44	1.17	0.56	3.23	-0.08	0.11
MIROC-ESM-CHEM	1.62	0.44	1.11	0.53	3.23	-0.05	0.14
MPI-ESM-LR	1.32	0.59	0.83	0.45	0.85	-0.04	0.14
MPI-ESM-MR	1.36	0.60	0.86	0.26	0.85	0.02	0.20
NorESM1-ME	1.61	0.54	0.82	0.44	2.50	-0.05	0.17

1 Table S2a: Tropical LAI evaluation. As in Table S1, but for tropical region (<30°).

Models	Mean LAI		Seasonal		Std Dev IAV	LAI IAV correlations	
	Model/obs	Corr.	Std. Dev. Model/obs	Avg. Corr.	Model/obs	LAI vs. Ts.	LAI vs. Precip.
Obs.						0.02	0.20
bcc-csm1	1.69	0.82	1.79	0.24	2.63	-0.45	0.38
bcc-csm1-1	1.44	0.78	1.66	0.27	2.06	-0.44	0.41
BNU-ESM	2.45	0.63	0.85	0.17	1.25	0.22	-0.04
CanESM2	1.23	0.54	0.74	0.13	1.81	-0.40	0.34
CESM1-BGC	1.72	0.72	0.91	0.38	2.69	-0.29	0.17
GFDL-ESM2G	1.80	0.64	0.96	0.17	2.06	-0.37	0.22
GFDL-ESM2M	1.74	0.63	0.92	0.16	2.50	-0.39	0.24
HadGEM2-CC	1.71	0.81	0.47	0.29	0.88	-0.08	0.28
HadGEM2-ES	1.76	0.81	0.47	0.28	0.94	-0.15	0.33
inmcm4	1.00	0.83	0.83	0.36	0.69	-0.19	0.68
IPSL-CM5A-LR	1.21	0.80	1.09	0.36	1.38	-0.25	0.39
IPSL-CM5A-MR	1.20	0.75	1.09	0.35	1.44	-0.24	0.41
IPSL-CM5B-LR	1.09	0.70	1.02	0.33	1.63	-0.19	0.36
MIROC-ESM	1.61	0.53	0.64	0.35	5.06	-0.37	0.14
MIROC-ESM-CHEM	1.61	0.53	0.65	0.33	5.00	-0.38	0.15
MPI-ESM-LR	1.41	0.75	1.04	0.15	1.19	-0.51	0.46
MPI-ESM-MR	1.42	0.75	1.06	0.02	1.13	-0.50	0.45
NorESM1-ME	1.73	0.58	0.98	0.32	3.44	-0.34	0.24

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3

1 Table S2b: Mid-latitude LAI evaluation. As in Table S1, but for mid-latitude region (between 30° and 60°).

Models	Mean LAI		Seasonal		Std Dev IAV	LAI IAV correlations	
	Model/obs	Corr.	Std. Dev. Model/obs	Avg. Corr.	Model/obs	LAI vs. Ts.	LAI vs. time.
Obs.						0.11	0.22
bcc-csm1	1.90	0.60	1.33	0.75	1.50	-0.14	0.23
bcc-csm1-1	1.61	0.52	1.34	0.74	1.50	-0.18	0.31
BNU-ESM	2.20	0.48	1.80	0.61	2.80	0.22	0.07
CanESM2	0.90	0.75	0.88	0.56	1.00	0.03	0.12
CESM1-BGC	1.86	0.73	0.82	0.51	2.80	-0.03	0.09
GFDL-ESM2G	1.37	0.52	1.46	0.17	2.20	-0.07	0.22
GFDL-ESM2M	1.36	0.42	1.37	0.20	2.40	-0.11	0.15
HadGEM2-CC	1.20	0.68	0.86	0.56	1.10	0.02	0.19
HadGEM2-ES	1.21	0.69	0.88	0.57	1.20	0.06	0.23
inmcm4	1.28	0.70	0.83	0.33	1.20	0.15	0.07
IPSL-CM5A-LR	1.68	0.71	1.05	0.53	1.90	0.00	0.05
IPSL-CM5A-MR	1.62	0.76	1.00	0.57	2.00	-0.04	0.09
IPSL-CM5B-LR	1.74	0.69	1.05	0.58	1.80	0.07	0.21
MIROC-ESM	1.89	0.44	1.79	0.71	2.80	-0.09	0.08
MIROC-ESM-CHEM	1.88	0.46	1.75	0.69	2.80	-0.09	0.08
MPI-ESM-LR	1.42	0.41	0.94	0.71	0.70	0.11	0.07
MPI-ESM-MR	1.47	0.38	0.94	0.52	0.70	0.16	0.15
NorESM1-ME	2.19	0.68	1.09	0.50	3.80	-0.01	0.18

1 Table S2c. High-latitude LAI evaluation. As in Table S1, but for high-latitude region (> 60°).

2

Models	Mean LAI		Seasonal		Std Dev IAV	LAI IAV correlations	
	Model /obs	Corr.	Std. Dev. Model/o bs	Avg. Corr.	Model/ obs	LAI vs. Ts.	LAI vs. time
Obs.						0.20	0.21
bcc-csm1	1.73	0.58	0.69	0.91	0.79	0.36	0.43
bcc-csm1-1	1.61	0.57	0.77	0.91	0.86	0.21	0.41
BNU-ESM	1.45	0.35	1.81	0.90	1.93	0.36	0.48
CanESM2	0.85	0.63	0.69	0.74	0.43	0.42	0.31
CESM1-BGC	0.84	0.48	0.35	0.69	0.50	0.31	0.44
GFDL-ESM2G	3.67	0.22	0.21	0.22	1.00	0.26	0.59
GFDL-ESM2M	4.03	0.21	0.23	0.24	1.14	0.11	0.54
HadGEM2-CC	1.13	0.61	0.39	0.70	0.85	0.48	0.58
HadGEM2-ES	1.31	0.60	0.40	0.72	0.92	0.57	0.66
inmcm4	0.71	0.14	1.21	0.79	0.93	0.21	0.08
IPSL-CM5A-	1.68	0.42	0.83	0.71	0.79	0.32	0.27
IPSL-CM5A-	1.74	0.44	0.82	0.72	0.64	0.36	0.31
IPSL-CM5B-	1.50	0.40	0.77	0.75	0.86	0.19	0.25
MIROC-ESM_	1.52	0.31	1.13	0.83	1.00	0.22	0.24
MIROC-ESM-	1.46	0.28	0.97	0.81	1.07	0.29	0.26
MPI-ESM-LR	1.09	0.49	0.56	0.75	0.36	0.32	0.37
MPI-ESM-MR	1.17	0.51	0.56	0.38	0.36	0.43	0.52
NorESM1-ME	0.95	0.38	0.42	0.66	0.71	0.20	0.19