Response to Reviewer Comments on Shine KP, Allan RP, Collins WJ, Fuglestvedt, JS 2015: Metrics for linking emissions of gases and aerosols to global precipitation changes Earth Syst. Dynam. Discuss., 6, 719-760 doi:10.5194/esdd-6-719-2015

Original reviewer comments are in normal font, our replies are in *bold italics*. Intended changes to text are shown in **bold** font with quotes "..."

### Reviewer 1

The authors attempt to develop metrics for global precipitation changes in responses to various emissions, based on simple energy budget equations. The referee understands the usefulness of such metrics. The attempt to build up these metrics is highly valued. The major concern is that the simplification used in deriving these metrics bypasses the interactions of convective processes and the general circulation that remain the largest uncertainty in the model-based estimates of global climate precipitation changes. Using energy budget equations, these convection-associated processes are reduced to parameters as the efficiency of surface temperature and radiative forcing changes to precipitation ... I believe the authors understand the above simple arguments on the importance of convection variability on the global precipitation changes. It is however very difficult to rationalize such simplification from a convection/precipitation perspective.

While the reviewer recognises the usefulness and value of the metrics developed in our manuscript, unlike the two other reviewers, the reviewer has little else positive to say about the paper and does not provide constructive suggestions on how to improve the analysis.

The reviewer is, of course, correct that large and small scale dynamical processes are important in determining local rainfall rates, and changes in these rates; these are driven mostly by local convergence of moisture rather than a local balance between evaporation and precipitation. And these, of course, depend on the local circulation and changes in this circulation. This is well- and long-understood meteorology.

But we feel it is an extreme view to dismiss the global constraints on precipitation and precipitation change as irrelevant. There simply has to be a global constraint that evaporation balances precipitation and, similarly, there must be a global constraint between the atmospheric radiative divergence and the latent and sensible heat fluxes from the surface, and the changes in these variables. The power of this conceptual framework is illustrated in the work of Thorpe and Andrews (2014) and by our Equation 4 which shows that the relationship between global-mean precipitation change and global-mean temperature change across models contributing to CMIP-style intercomparisons can be largely explained using our simple energetic viewpoint. This is in spite of all the differences in convective parameterisations across these models that so concerns the reviewer. Indeed, two recent studies indicate that the energetic framework is also a valuable tool for understanding precipitation changes on more regional scales (Muller and O'Gorman, Nature Climate Change, 2011 10.1038/NCLIMATE1169 and Bony et al, 2013, Nature Geoscience 10.1038/NGE01799).

In addition, the further comments by the reviewer concerning the inapplicability of the conceptual global-mean framework is undermined by the results of Andrews et al. and Kvalevag et al. which show that in spite of the inhomogeneity in some of the forcings, the same global-mean constraint applies. Yes, of course there may be non-linearities in the response to different forcings but the expectation here (supported by for example several geoengineering papers) is that the conceptual framework would still hold for global precipitation change.

From early in the abstract we acknowledge that information on global precipitation and precipitation change is limited, and it is impossible to argue with the viewpoint of the reviewer that impacts occur at the local scale. But this does not render the global view valueless. One could argue exactly the same point for local versus global temperature change. If the reviewer regards global temperature change as a valueless concept, especially given the large intermodel spread due (primarily) to uncertainties in cloud feedbacks, then so be it, but there are many who would disagree.

Finally, we consider that our work is the first to directly link emissions with precipitation response and we are disappointed that the reviewer was unable to recognise anything positive in this novel perspective.

We do not think there are many changes to our paper that would remotely satisfy this reviewer except to emphasise the power of the simple approach in explaining the results from the complex models (see Proposed Additional Comment 2 at the end of this response), despite large intermodel differences in representation of convection. We add, in the text following Equation (4)

"Hence Eq. (4) acts as a further validation of the utility of Eq. (3) for simulating globalmean precipitation change across climate models with varying parameterisations of, for example, convection, with climate sensitivities varying across the range from about 0.4 to 1.3 K (W m<sup>-2</sup>)<sup>-1</sup>"

Other than that we focus our revisions on the more constructive comments of the other reviewers.

### **Reviewer 2**

[...] In conclusion, I support a publication of this paper in Earth System Dynamics. Below I provide several comments, which I hope are useful to refine the manuscript further, but all of them are admittedly minor.

### We thank the reviewer for the many positive and supportive comments.

1. It is somewhat pedantic, but I think that some introduction to emission metrics at the beginning of the paper would better inform a wide readership of what the paper is about. The current manuscript will not discuss emission metrics in general until Section 4.1. Most of the discussion in Section 4.1 can be moved to the introduction.

Thank you for this comment, which we do not regard as pedantic – the location of this material was an active topic of discussion amongst the authors which resulted in its placement after it had started off in the introduction. The fact that Rev2 and Rev 3 make the same point strongly argues for moving the discussion back to the introduction, which we have done in the revised version.

2. Along the similar line, the definition of the GPP can be made more explicit either in the abstract or somewhere upfront in the paper. As I read through the paper, I gradually see that the GPP is defined as a point-in-time metric (like the GTP) rather than an integrated one (like the GWP), which is a crucial piece of information for the paper. More importantly, it would be helpful if the paper discusses why the GPP is formulated in this way. In other words, I wonder why the point-in-time formulation is adopted for the GPP even though there may be needs for a precipitation metric addressing a damage over a certain period of time, which would be captured better by a time-integrated precipitation metric.

We agree that we should be clearer that the GPP is presented as a "point-in-time" (we prefer the nomenclature "endpoint") metric within the abstract and also in the text at the end of section 2. It is a very good question as to whether an end-point metric is better than a time-integrated one, and we feel there are arguments on both sides. We certainly agree that discussion of a time-integrated perspective is valuable. In the revision we highlight (in the abstract, text and conclusions) a very important result (stressed by Peters et al. 2011 and also by others), that a sustained metrics (such as the GTP<sub>S</sub>) can equally be regarded as mathematically equivalent to the time-integrated pulse metric (such as the GTP<sub>D</sub>), and so allows alternative interpretation. This interpretation carries over to the GPP. We have rewritten the GTP<sub>S</sub> expressions in the Appendix into the more compact form used by Peters et al., as in this form it is much more apparent that the GTP<sub>S</sub> is the time-integrated GTP<sub>D</sub>.

### The new text at the end of Section 2 reads

"Note we have chosen to present the AGPP<sub>P</sub> and AGPP<sub>S</sub> as end-point metrics – i.e. as the effect at the time horizon H of an emission at (or starting at) time zero. For some purposes, a time-integrated metric might give a useful perspective. Following Peters et al. (2011 – see in particular its Supplementary Information) we note that the timeintegrated pulse metrics are mathematically equivalent to the end-point metrics for sustained emissions. Hence, the AGPP<sub>S</sub> and GPP<sub>S</sub> can equally be interpreted as timeintegrated forms of the AGPP<sub>P</sub> and GPP<sub>P</sub>."

3. (Shine 2009) tells an anecdote about how the GWP made the way to the Kyoto Protocol even if it had been initially meant only to illustrate difficulties inherent in the concept. While a more full account of what has actually happened is clearly needed in my view, one indication is that it is worthwhile to emphasize the purpose of a metric. In page 733, the manuscript states "these time horizons are chosen for illustrative purposes, rather than being indicative that they have special significance, except insofar as 100 years is used for the GWP within the Kyoto Protocol", but I think that the paper can emphasize it more for example by stating something equivalent in the caption for Table 1.

# We add a statement in all Tables to state that the chosen time horizons are for illustrative purposes.

4. In Section 4.1, where the background discussion is provided, I suggest the following (or something similar) to integrate a few more previous works in the discussion. "There have been attempts to derive metrics numerically from emissions pathways (Tanaka et al. 2009; Wigley 1998). Such metrics can be related to other analytical metrics under idealized settings (Cherubini et al. 2013)."

# We agree with this comment and incorporate this as part of the discussion (which will be moved to the Introduction as noted in Comment 1 above).

5. In Section 6, I found that the treatment of uncertainties in the GPP is limited. Although this study does address a few representative parts among others (i.e. intramodel variations and, more importantly, radiative partitioning) and the current approach suffices in my view, I would recommend some additional discussion to elaborate the nature of the uncertainties estimated in this study. The uncertainty ranges arising from the differences among models are known to be less comprehensive than those from the parameter ranges constrained by observations because the models are essentially best models based on best guesses for parameter values and do not usually bet for less likely parameter combinations. This point has been shown in the metric context by (Reisinger et al. 2010). Furthermore, the carbon cycle uncertainty, which can be important given the behavior of AGPP, is not discussed.

Reviewer 2 and 3 have somewhat divergent views on Section 6, with Reviewer 2 feeling it is limited and Reviewer 3 feeling it is overlong. Given that this reviewer concludes that it "suffices" we will keep it much as it is, but seek to trim words to help with Reviewer 3's comments. We did indeed briefly mention the carbon cycle uncertainty at line 5 page 736, but we will slightly expand this discussion and refer to Joos et al. (ACP 2003). We will also note that using model uncertainty range may not properly straddle the true uncertainty range.

6. Please elaborate how equation (5) is derived from equation (3).

# We will add at the beginning of the sentence that "Since more generally $\Delta T_{eq} = \lambda RF_{eq}$ , Eq. (3) can be written ..."

7. A few errors spotted: page 732, Reisinger et al. 2013 (rather than 2012); page 734, line 16, "its emission are";

### Thank you – these are corrected in the revised manuscript.

### **Reviewer 3**

This is a very useful and very interesting paper that uses the latest understanding of the relationship between the global energy budget and global precipitation to produce two global precipitation metrics that climate policy makers will find useful.

### We thank the reviewer for the many positive and supportive comments.

The manuscript is generally well presented and the figures and tables are quite useful. I did in places find the manuscript overly technical and I recommend some minor reordering and also have a few minor corrections.

1. The manuscript was let down but its abstract which I think did not do a very good job summarising the paper and was not particularly clear. In the first paragraph of abstract you say "Nevertheless, the GPP presents a useful measure of the global-mean role of emissions" but never really say why it is useful. The important sentence of regional effects seems out of place as impacts have not been talked about and you are sort of apologising for not measuring an impact when it wasn't clear that you were trying to - see also comment

2. In the second paragraph you say "the GPP is further down the cause-effect chain from emissions to impacts than the GWP and GTP". I don't see this argued in the paper and would disagree -seeing that impact is so regional. I would place GPP at the same level as GTP. Generally the paper could do with being much more explicit that impact and risk relates to regional precip., but your metric is only global. I thinking justifying the introduction of GPP because it is more closely related to impact is on dangerous ground.

3. In the third paragraph of the abstract the sentence on BC splits two sentences discussing co2 as a reference gas - this did not read well. By the fourth paragraph of the abstract I have forgotten what the 5 species were. Generally the abstract could be much improved.

These are all important comments and we are obviously concerned if the abstract does a poor job. We feel that the reviewer's perspective that the GPP is at the same level as the GTP is insightful, and perhaps the conventional cause-effect chain (see e.g. Figure 8.27 of Myhre et al. (2013)) should be modified so that the "climate change" box is split into two, with "global climate change" first and then "regional climate change" following it (and before "impacts") – that discussion is for another day/paper, but we will revise the abstract accordingly to give GTP and GPP equivalent billing and down play the greater relation to impact. Although the "apology" (see point 1) is less needed in this case, we still feel it needs spelling out for less-expert readers, as precipitation changes are so different in nature to temperature change. We also amend the fifth paragraph so the abstract now reads:

"Recent advances in understanding have made it possible to relate global precipitation changes directly to emissions of particular gases and aerosols that influence climate. Using these advances, new indices are developed here called the Global Precipitation-change Potential for pulse (GPP<sub>P</sub>) and sustained (GPP<sub>S</sub>) emissions, which measure the precipitation change per unit mass of emissions.

The GPP can be used as a metric to compare the effects of emissions. This is akin to the global warming potential (GWP) and the global temperature-change potential (GTP) which are used to place emissions on a common scale. Hence the GPP provides an additional perspective of the relative or absolute effects of emissions. It is however recognised that precipitation changes are predicted to be highly variable in size and sign between different regions and this limits the usefulness of a purely global metric.

The GPP<sub>P</sub> and GPP<sub>S</sub> formulation consists of two terms, one dependent on the surface temperature change and the other dependent on the atmospheric component of the radiative forcing. For some forcing agents, and notably for CO<sub>2</sub>, these two terms oppose each other – as the forcing and temperature perturbations have different timescales,

even the sign of the absolute  $GPP_P$  and  $GPP_S$  varies with time, and the opposing terms can make values sensitive to uncertainties in input parameters. This makes the choice of  $CO_2$  as a reference gas problematic, especially for the  $GPP_S$  at time horizons less than about 60 years. In addition, few studies have presented results for the surface/atmosphere partitioning of different forcings, leading to more uncertainty in quantifying the GPP than the GWP or GTP.

Values of the GPP<sub>P</sub> and GPP<sub>S</sub> for five long- and short-lived forcing agents (CO<sub>2</sub>, CH<sub>4</sub>, N<sub>2</sub>O, sulphate and black carbon (BC)) are presented, using illustrative values of required parameters. The resulting precipitation changes are given as the change at a specific time horizon (and hence they are end-point metrics) but it is noted that the GPP<sub>S</sub> can also be interpreted as the time-integrated effect of a pulse emission. Using CO<sub>2</sub> as references gas, the GPP<sub>P</sub> and GPP<sub>S</sub> for the non-CO<sub>2</sub> species are larger than the corresponding GTP values. For BC emissions, the atmospheric forcing is sufficiently strong that the GPP<sub>S</sub> is opposite in sign to the GTP<sub>S</sub>. The sensitivity of these values to a number of input parameters is explored.

The GPP can also be used to evaluate the contribution of different emissions to precipitation change during or after a period of emissions. As an illustration, the precipitation changes resulting from emissions in 2008 (using the GPP<sub>P</sub>) and emissions sustained at 2008 levels (using the GPP<sub>S</sub>) are presented. These indicate that for periods of 20 years (after the 2008 emissions) and 50 years (for sustained emissions at 2008 levels) methane is the dominant driver of positive precipitation changes due to those emissions. For sustained emissions, the sum of the effect of the 5 species included here does not become positive until after 50 years, by which time the global surface temperature increase exceeds 1 K. "

4. The metric discussion in section 4.1 would benefit from being much earlier on in the paper

### See Reviewer 2, comment 1 – we will move the discussion (back!) to the introduction.

5. The Appendix is referred to for the derivation of GPP but in fact the Appendix derives GTP, whose definition has already ben published and GPP is only obliquely mentioned in the Appendix. Maybe have both GTP and GPP equations or just the GPP ones?

We have improved the link between the Appendix and the equations in the main text describing the GPP, so it is clearer how the GPP is derived. We have also acknowledged where expressions have been published before.

6. Section 6 is overly long, especially when discussing cv. given the preliminary nature of the work is such detail needed?

Reviewer 2 and 3 have somewhat divergent views on Section 6, with Reviewer 2 feeling it is limited and Reviewer 3 feeling it is overlong. Given the view of Reviewer 2, and the fact that uninterested readers can easily skip this section, we retain it, but have sought to trim the number of words by about 10%, and remove any extraneous information.

7. Table 5 is mentioned in the text, P738, line 19 but does not exist

### Apologies and thank you – it should have been Table 3 (Table 4 now)

8. In tables, 1 2 and 3 especially and maybe in the text as well it was not clear if AGPP or GPP was meant. The tables seem to all be the absolute values but the AGPP acronym is not used consistently

This is the format that was used in the original GTP paper and we thought it clear that only  $CO_2$  was labelled as "absolute" – however, we are concerned that this wasn't clear to the reviewer and so we have decided to present all the absolute metrics together in Table 1 and remove them from what were Tables 1, 2 and 3 (now Tables 2, 3, 4).

9. It might be a good idea to show a 10 year value of AGPP in the tables with negative CO2 values, to clearly illustrate the change in sign issue?

While we like this suggestion, with the standard configuration we use, the AGPP<sub>P</sub> is positive at 10 years and hence it would not illustrate the sign issue. We highlight the sign issue in the text and in several figures (1, 3, 7, 8 and 9) and we add it to the issues raised in the abstract in our re-working of that, in line with this Reviewer's comments 1-3.

10. page 725, line 20. In my mind it is really important that rapid adjustment effects are accounted for - these affect the radiative heating of the troposphere. If you exclude them your RF response would be wrong. The text here makes the ERF approach appear like an inferior choice. Maybe I am being picky!

We do not think this is being picky, although we are not entirely sure the comment refers to page 725, line 20 or which part of our text made ERF look inferior to RF. We have added additional text in Section 2 to make clear that a fully consistent approach would use the ERF, but we are not currently able to do this.

"Note that a fully consistent approach would adopt effective radiative forcings (ERF – see Myhre et al. (2013)) rather than RF, and values of f derived using ERFs. However, assessed values of ERFs are not available for many species and so, in common with Myhre et al., (2013), the metric values calculated here use RFs, but including a number of indirect chemical effects and some cloud effects, as noted in Section 3. The values of f are based on one method of deriving ERFs and a possible reason for differences between f values in Andrews et al. (2010) and Kvalevåg et al. (2013) is that the fast tropospheric responses that distinguish RF from ERF differ between the models used in their study."

The difference between RF and ERF could be a further reason why individual models depart from Equation (4) (as their fast response varies from model to model) and so we also note this. However, the values presented for  $CO_2$  (which seems the specific concern of the reviewer) will not change if we follow Myhre et al. (2013) who state that for WMGHG "the ERF best estimate is the same as the RF" with a slightly larger uncertainty. We have noted this in the text preceding Equation 4.

11. As the lead author has made a sustained contribution to metric research I suggest you change the Acronym of GPPs to KPS. So as not to embarrass Professor Shine it could stand for Kvalevåg based Precipitation metric for a Sustained emission?

Thank you for this nice comment.

### **Proposed Additional Changes**

In view of further discussions with colleagues since we submitted the paper, and a suggestion by the Editor, we propose to make three further changes

1. The present manuscript does not do a sufficient job of discussing the sensible heat (SH) flux changes and implies that these can be satisfactorily incorporated in the  $k\Delta T$  term. While the  $k\Delta T$  approach captures the slow response of SH (at least, in the model-mean sense, although there is not even a consensus as to the sign of the sensible heat flux change for even "simple" perturbations such as doubling CO<sub>2</sub> (Previdi 2010)), our text ignores the fast response of SH – indeed the text at lines 28-29 on page 724 confuses the two effects. We add an additional paragraph specifically on the fast and slow responses of SH

" $\Delta SH$  in Eq. (2) is less well constrained. It also has two components, one due to the fast response to RF, which is independent of surface temperature change, and one due to surface temperature change. The fast response has been shown to be small for greenhouse gas forcings; Andrews et al. (2010) and Kvalevåg et al 2103 show it to be typically less than 10% of  $\Delta LH$  for a doubling of CO<sub>2</sub>, although the size and sign varies can vary amongst models (Andrews et al. (2009)). However, it can be much larger for other forcings (of order 50% of  $\Delta LH$  in the case of black carbon (Andrews et al. (2010) and Kvalevåg et al 2013)). As noted by Takahashi (2009) and O'Gorman et al. (2012) an improved conceptual model could distinguish between  $\Delta R_d$  for the whole atmosphere and  $\Delta R_d$  for the atmosphere above the surface boundary layer, as changes in  $\Delta R_d$  within the boundary layer seem more effective at changing SH (e.g. Ming et al. (2010)) and hence less effective at changing LH. Here, following Thorpe and Andrews (2014), we assume the fast component  $\Delta SH$  to be small and neglect it, but more work in this area is clearly needed."

2. We insufficiently stress that the simple conceptual model encapsulated in equations (2) and (3) does a good job of reproducing results from sophisticated climate models, which is essential for our work. The brief discussion of Thorpe and Andrews (lines 5-6, page 725) needs to be expanded to emphasize this point

"Despite its apparent simplicity, Eq. (3) has been shown by Thorpe and Andrews (2014) to simulate reasonably well future projections of precipitation change from a range of atmosphere-ocean general circulation models, albeit with a tendency to underestimate the multi-model mean. Uncertainty in the value of f for all forcing agents (and possible inter-model variations in f – see section 6) inhibit a full assessment."

and we further will emphasize that equation (4) also acts in a sense as a validation of the simple model by adding an extra sentence in the text following the equation to say

"Hence Eq. (4) acts as a further validation of the utility of Eq. (3) for simulating globalmean precipitation change across climate models with varying parameterisations of, for example, convection, with climate sensitivities varying across the range from about 0.4 to 1.3 K (W m<sup>-2</sup>)<sup>-1</sup>"

3. We should note that Shindell et al. (ACP, 2012, 10.5194/acp-12-6969-2012) introduce a concept of a "regional precipitation potential" and this needs discussing in our introduction and conclusions – their concept is precipitation change per unit forcing (rather than per unit emission), and so differs conceptually to ours, and is more concerned with linking geographical patterns of forcing with geographical patterns of precipitation change. We had been unaware of this paper at the time of submission, until one of the authors of the paper drew (but not Drew) it to our attention. The new text reads in the introduction

"In more idealised experiments with one climate model, Shindell et al. (2012) have demonstrated a link between radiative forcing (due to a variety of forcing mechanisms) in specific latitude bands to precipitation change in a number of selected regions; their precipitation change per unit radiative forcing was called a "Regional Precipitation Potential", which is distinct from the framework here, where the precipitation change is directly related to emissions".

In addition, some minor proofing corrections made for ESDD are now incorporated in the word version.

### Metrics for linking emissions of gases and aerosols to global precipitation changes

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### Abstract

Recent advances in understanding have made it possible to relate global precipitation changes directly to emissions of particular gases and aerosols that influence climate. Using these advances, new indices are developed here called the Global Precipitation-change Potential for pulse (GPP<sub>P</sub>) and sustained (GPP<sub>S</sub>) emissions, which measure the precipitation change per unit mass of emissions

The GPP can be used as a metric to compare the <u>effects</u> of emissions. This is akin to the global warming potential (GWP) and the global temperature-change potential (GTP) which are used to place emissions on a <u>common scale\_Hence the GPP</u> provides an additional perspective of the relative or absolute effects of emissions. It is however recognised that <u>precipitation changes are predicted to be highly variable in size and sign between different</u> regions and this limits the usefulness of a purely global metric.

The GPP<sub>P</sub> and GPP<sub>S</sub> formulation consists of two terms, one dependent on the surface temperature change and the other dependent on the atmospheric component of the radiative forcing. For some forcing agents, and notably for CO<sub>2</sub>, these two terms oppose each other – as the forcing and temperature perturbations have different timescales, even the sign of the absolute GPP<sub>P</sub> and GPP<sub>S</sub> varies with time, and the opposing terms can make values sensitive to uncertainties in input parameters. This makes the choice of CO<sub>2</sub> as a reference gas problematic, especially for the GPP<sub>S</sub> at time horizons less than about 60 years. In addition, few studies have presented results for the surface/atmosphere partitioning of different forcings, leading to more uncertainty in guantifying the GPP than the GWP or GTP.

Values of the GPP<sub>P</sub> and GPP<sub>S</sub> for five long- and short-lived forcing agents (CO<sub>2</sub>, CH<sub>4</sub>, N<sub>2</sub>O, sulphate and black carbon (BC)) are presented, using illustrative values of required parameters. The resulting precipitation changes are given as the change at a specific time horizon (and hence they are end-point metrics) but it is noted that the GPP<sub>S</sub> can also be interpreted as the time-integrated effect of a pulse emission. Using CO<sub>2</sub> as references gas, the GPP<sub>P</sub> and GPP<sub>S</sub> for the non-CO<sub>2</sub> species are larger than the corresponding GTP values. For BC emissions, the atmospheric forcing is sufficiently strong that the GPP<sub>S</sub> is opposite in sign to the GTP<sub>S</sub>. The sensitivity of these values to a number of input parameters is explored.

The GPP can also be used to evaluate the contribution of different emissions to precipitation change during or after a period of emissions. As an illustration, the precipitation changes resulting from emissions in 2008 (using the GPP<sub>P</sub>) and emissions sustained at 2008 levels (using the GPP<sub>S</sub>) are presented. These indicate that for periods of 20 years (after the 2008 emissions) and 50 years (for sustained emissions at 2008 levels) methane is the dominant driver of positive precipitation changes due to those emissions. For sustained emissions, the sum of the effect of the 5 species included here does not become positive until after 50 years, by which time the global surface temperature increase exceeds 1 K.

## **Comment [KP1]:** Numerous changes mostly in response to reviewer 3, comments

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**Moved down [1]:** It is recognised that precipitation changes are predicted to be highly variable in size and sign between different regions, and ultimately climate change impacts will be more dependent on these regional changes.

**Deleted:** Nevertheless, the GPP presents a useful measure of the global-mean role of emissions of individual forcing agents. ¶

**Deleted:** Results are presented for pulse (GPP<sub>P</sub>) and sustained (GPP<sub>s</sub>) emissions for selected long- and short-lived forcing agents (CO<sub>2</sub>, CH<sub>4</sub>, N<sub>2</sub>O, sulphate and black carbon (BC)) using illustrative values of required parameters.

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Comment [KP2]: Reviewer 3 comment

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**Deleted:** The choice of  $CO_2$  as a reference gas is problematic, especially for the GPP<sub>5</sub> at time horizons less than about 60 years, because the opposing terms make the  $CO_2$  GPP<sub>5</sub> particularly sensitive to uncertainties in input parameters. ¶

### 1. Introduction

A broad range of emissions of gases and aerosols influence climate, either directly or indirectly. That influence depends on the characteristics of the gases and aerosols, such as their lifetime, and their ability to influence the radiation budget. The conventional cause-andeffect chain links emissions to changes in concentrations, which then cause a radiative forcing with subsequent downstream effects on, for example, temperature, precipitation and sea level. By exploiting understanding of the characteristics of the gases and aerosol, in concert with simplified descriptions of the climate system, it is possible to develop simple methodologies that relate emissions directly to climate impacts, rather than having to explicitly account for the intermediate steps. Such methodologies have pedagogic value in making clearer the link between emissions (rather than, for example, concentration changes) and climate response and they also have potential applications. The purpose of this paper is to present a methodology that links global-mean precipitation directly to emissions of different gases and aerosols. This exploits recent advances in understanding of how radiative forcing (RF) and temperature change influence precipitation change. The methodology presented here yields what we call the Global Precipitation-change Potential (GPP), which is the globalmean precipitation change per unit mass of emission. The GPP is presented for both pulse and sustained emissions.

The impact of climate change depends on more than just global temperature change. Hence the development of a methodology linking emissions directly to precipitation is attractive. However, precipitation change is much less amenable to a global representation than temperature change. Average surface temperature response to increased concentrations of greenhouse gases is largely the same sign over the whole planet, the temperature changes are coherent on large spatial scales, and climate models largely agree on the pattern of temperature change, if not the absolute size (e.g. Knutti and Sendláček 2012). By contrast, precipitation changes vary regionally in sign, are spatially much more variable and there is much less agreement between climate models on the patterns of response (e.g. Knutti and Sendláček 2012).

Part of the spatial variability in precipitation response is due to changes in atmospheric circulation in response to forcing, and also due to model internal variability. Nevertheless, for increased temperatures, there is a component of the precipitation response which has a regionally coherent pattern. Increases and decreases in precipitation are largely reflective of an amplification of precipitation minus evaporation fields, primarily explained by increasing concentrations of water vapour with warming (as expected from the Clausius-Clapeyron equation); this leads to systematic increases and decreases in precipitation depending on the region (e.g. Held and Soden, 2006, Liu and Allan 2013). These changes are superimposed on a global-average increase in precipitation. Hence, when coupled with changes in temperature, changes in global-mean precipitation can be taken as being a useful an indicator of the size of disturbance of the global hydrological cycle. In more idealised experiments with one climate model, Shindell et al. (2012) have demonstrated a link between radiative forcing (due to a variety of forcing mechanisms) in specific latitude bands to precipitation change in a number of selected regions; their precipitation change per unit radiative forcing was called a

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One potential application of the GPP is to place emissions of different species on a common scale, in a similar way to the GWP. The 100-year time-horizon GWP (GWP(100)) is used by the Kyoto Protocol to the United Nations' Framework Convention on Climate Change to place emissions of many relatively well-mixed non-CO<sub>2</sub> greenhouse gases on a so-called "CO<sub>2</sub>-equivalent scale"; this is necessary for the type of multi-gas treaty that the Kyoto Protocol represents. Metrics such as the GWP can also be used in life-cycle assessment and carbon footprint studies, for assessing possible mitigation strategies, for example in particular economic sectors, and can extend beyond the gases included in the Kyoto Protocol (see e.g., Fuglestvedt et al. 2010, Deuber et al. 2014).

The GWP characterises the RF in response to a pulse emission of a substance, integrated over some specified time horizon. It is normally expressed relative to the same quantity for an equal-mass emission of CO<sub>2</sub>. The GWP has enabled the multi-gas operation of the Kyoto Protocol but has also been the subject of criticism for some applications (e.g., Myhre et al. (2013), Pierrehumbert (2014) and references therein). This is partly because the use of time-integrated RF does not clearly relate to an impact of climate change (such as temperature change) and also because it contains value judgements (particularly the choice of time horizon) that cannot be rigorously justified for any particular application (Myhre et al., 2013).

Metrics that extend beyond time-integrated forcing have also been proposed. The GTP (e.g., Shine et al. 2007; Myhre et al. 2013) characterises the global-mean surface temperature change at some time after an emission. It may be more applicable to policies that aim to restrict temperature change below a given target level. The GTP is also subject to criticism and the need for value judgements when choosing time horizons (Myhre et al. 2013). Nevertheless the GTP (and its variants, such as the mean global temperature-change potential (e.g., Gillett and Matthews 2010, Deuber et al. 2014)) and integrated temperature potential (e.g., Peters et al. 2011, Azar and Johansson, 2012) do at least extend to a parameter (temperature change) more obviously related to a climate change impact. Metrics can also be derived numerically on the basis of the contribution of an emission of a component at a given time, to temperature change during some future period, as simulated by a simple climate model driven by a specific emissions scenario (e.g. Tanaka et al. 2009). Sterner et al. (2014) recently presented a metric for sea-level rise. Metrics can be extended to the economic effects of an emission (for example the Global Cost Potential and Global Damage Potential), by relating the metrics to costs and damages (e.g., Johansson 2012) and in certain restrictive cases these can be shown to have equivalence to physically-based metrics such as the GWP and GTP (e.g., Tol et al. 2012). One difficulty in such approaches is that the economic damage has to be represented in a highly-idealised form, as some simple function of, for example, temperature change. Conventional physical metrics can also be judged in an economic context (e.g., Reisinger et al. 2013, Strefler et al. 2014).

Comment [KP4]: See Proposed Additional Change #3

**Comment [KP5]:** Section moved from (old) Section 4.1 in response to reviewer 2 comment 1, and reviewer 3, comment 4

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Section 2 presents the simple conceptual model that is used to relate precipitation change to RF and temperature change, which are themselves related to emissions. Section 3 presents some illustrative examples of the GPP drawing values of key parameters from the literature. Section 4 then uses the methodology in the context of climate metrics, and compares it with more conventional metrics (the Global Warming Potential (GWP) and Global Temperature-change Potential (GTP)). Section 5 presents an illustration of the use of the methodology for understanding the effects of emissions in an individual year (or sustained emissions from that year) on precipitation changes in or after that year – this illustrates the principle drivers of the precipitation change, given present-day emissions. Section 6 explores some aspects of the uncertainty in characterising the GPP and Section 7 discusses prospects for further developing the GPP.

### 2. Simple conceptual model

The simple conceptual model presented here originates from the analysis of simulated precipitation changes in response to increases in  $CO_2$  presented by Mitchell et al. (1987). This analysis was based around the fundamental controls on the energy balance of the troposphere, in which, to first order, the latent heating resulting from the net rate of condensation of water vapour (and hence precipitation) is balanced by net radiative cooling. The conceptual model has been further developed more recently, and extended to both multi-model assessments and other climate forcing (and feedback) mechanisms (e.g., Allen and Ingram, 2002, Takahashi 2009, Andrews et al. 2010, Kvalevåg et al. 2013, Allan et al. 2014).

The framework starts with an expression of the global-mean atmospheric energy budget, whereby the net emission of radiation by the atmosphere (i.e. the atmospheric radiative divergence ( $R_d$ ), which is the sum of the emission of longwave radiation by the atmosphere minus the atmospheric absorption of longwave and shortwave radiation) is balanced by the input of surface sensible (*SH*) and latent (*LH*) heat fluxes so that

$$R_d = LH + SH. \tag{1}$$

*LH* is directly related to the precipitation as, at the global-mean level, evaporation (and hence *LH* fluxes) and precipitation approximately balance.

In response to the imposition of an RF and subsequent changes in temperature, humidity and clouds,  $R_d$  will change. The latent heat change  $\Delta LH$  can then be written

$$\Delta LH = \Delta R_d - \Delta SH. \tag{2}$$

 $\Delta LH$  in W m<sup>-2</sup> can be converted to precipitation units of mm day<sup>-1</sup> by multiplication by 0.034 (86400 seconds in a day divided by the latent heat of vaporisation, L (2.5 x 10<sup>6</sup> J kg<sup>-1</sup> at 273.15 K)). There is some level of approximation in this conversion, as L is temperature dependent and some precipitation falls as snow rather than rain, and hence the latent heat of sublimation would be more appropriate. The precipitation change could also be quoted in % of total global-mean precipitation (about 2.68 mm day<sup>-1</sup> (e.g., Huffman et al., 2009)).

Moved up [2]: The methodology presented here yields what we call the Global Precipitation-change Potential (GPP), which is the global-mean precipitation change per unit mass of emission. The GPP is presented for both pulse and sustained emissions.

### Comment [KP8]: SH discussion

 $\Delta R_d$  has two components. The first component is due directly to the RF mechanism which can change the absorption of shortwave radiation and/or the emission and absorption of longwave radiation. The conventional top-of-atmosphere radiative forcing (RF) can be written as the sum of a surface component  $(RF_s)$  and an atmospheric component  $(RF_a)$ , and it is  $RF_a$  that directly influences  $\Delta R_d$ . Because values of RF are more readily available than RF<sub>a</sub> for a wide <u>range of constituents, it is</u> convenient to relate  $RF_a$  to RF and so, following Allan et al. (2014), we define a parameter f such that  $RF_a=fRF$ . The parameter f could be estimated directly from RF calculations using a radiative transfer code. However, here results from fixed-sea-surface-temperature climate model simulations (e.g. Andrews et al. 2010, Kvalevåg et al. 2013) are used; these have the advantage that they include the impact on f of rapid adjustments of, for example, clouds. A disadvantage is that the results of such experiments are noisier, because of model internal variability, which can be particularly important for small forcings. Note that a fully consistent approach would adopt effective radiative forcings (ERF – see Myhre et al. (2013)) rather than RF, and values of f derived using ERFs. However, assessed values of ERFs are not available for many species and so, in common with Myhre et al., (2013), the metric values calculated here use RFs, but including a number of indirect chemical effects and some cloud effects, as noted in Section 3. The values of f are based on one method of deriving ERFs and a possible reason for differences between f values in Andrews et al. (2010) and Kvalevåg et al. (2013) is that the fast tropospheric responses that distinguish RF from ERF differ between the models used in their study.

The second component of  $\Delta R_d$  is due to the temperature change resulting from the RF, which leads to an increased emission of longwave radiation. This increase in emission is modified by feedbacks involving other radiatively-important components such as water vapour and clouds (e.g. Takahashi, 2009, Previdi 2010) which can additionally influence  $\Delta R_d$  via the absorption of shortwave radiation. Climate model simulations indicate that this component of  $\Delta R_d$  varies approximately linearly with changes in global-mean surface temperature  $\Delta T_s$  (e.g., Lambert and Webb, 2008, Previdi 2010, O'Gorman et al. 2012).

 $\Delta SH$  in Eq. (2) is less well constrained. It also has two components, one due to the fast response to RF, which is independent of surface temperature change, and one due to surface temperature change. The fast response has been shown to be small for greenhouse gas forcings; Andrews et al. (2010) and Kvalevåg et al 2103 show it to be typically less than 10% of  $\Delta LH$  for a doubling of CO<sub>2</sub>, although the size and sign varies can vary amongst models (Andrews et al. (2009)). However, it can be much larger for other forcings (of order 50% of  $\Delta LH$  in the case of black carbon (Andrews et al. (2010) and Kvalevåg et al 2013)). As noted by Takahashi (2009) and O'Gorman et al. (2012) an improved conceptual model could distinguish between  $\Delta R_d$  for the whole atmosphere and  $\Delta R_d$  for the atmosphere above the surface boundary layer, as changes in  $\Delta R_d$  within the boundary layer seem more effective at changing SH (e.g. Ming et al. (2010)) and hence less effective at changing LH. Here, following Thorpe and Andrews (2014), we assume the fast component  $\Delta SH$  to be small and neglect it, but more work in this area is clearly needed.

Lambert and Webb (2008), Previdi (2010), O'Gorman et al. (2012) and others show that while generally a smaller term, the surface temperature dependent part  $\Delta SH$  has a similar

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**Comment [KP9]:** This is an important clarification of the reason for choosing the *f* approach which arose from author discussions of the reviewer comments.

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**Comment [KP12]:** This text added following discussions with colleagues at meetings – original paper had not sufficiently distinguished between the fast and slow components of *dSH*. See Author Response Additional Changes #1

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dependency on  $\Delta T_s$  (at least in the multi-model mean). Hence it is convenient to combine the feedback-related changes in  $R_d$  and this component of SH in Equation (2) into a single term dependent on  $\Delta T_s$  and separate out the RF term. Equation (2) then becomes, in precipitation units of mm day<sup>-1</sup>,

$$\Delta P = 0.034 (k \Delta T_s - fRF).$$

Despite its apparent simplicity, Eq. (3) has been shown by Thorpe and Andrews (2014) to simulate reasonably well future projections of precipitation change from a range of atmosphere-ocean general circulation models, albeit with a tendency to underestimate the multi-model mean. Uncertainty in the value of *f* for all forcing agents (and possible intermodel variations in *f* – see section 6) inhibit a full assessment.

We will refer to the  $k\Delta T_s$  term as the "T-term" and the -fRF term as the "RF-term" although they could also be termed the "slow" and "fast" responses, respectively, which relates to the contrasting heat capacities and associated response time-scales of the ocean and atmosphere. The balance between these two terms varies between climate forcing agents; as will be shown, they can act to either reinforce or oppose each other. Hence the same  $\Delta T_s$  from two different forcing agents can result in a different  $\Delta P$ .

Note the sign convention here. For the case of a positive RF, since k is positive, the effect of the T-term is to increase  $R_d$  as temperature increases – the increased radiative divergence then leads to a requirement for a greater latent heat flux (and hence an increase in precipitation) to maintain the tropospheric energy balance; this term provides the direct link between surface temperature change and precipitation change. If in this same case f (and hence  $RF_a$ ) is positive, then the RF-term would oppose the T-term (as it would decrease rather than increase the radiative divergence) and act to suppress precipitation. Physically, in this case, there is less "demand" for latent heating to balance the tropospheric energy budget.

As a simple example of the processes, consider the equilibrium response to a doubling of carbon dioxide, and take  $k = 2.2 \text{ W m}^{-2} \text{ K}^{-1}$  (consistent with the multi-model means in Previdi (2010) and Thorpe and Andrews (2014)),  $RF_{2xCO2} = 3.7 \text{ W m}^{-2}$  (Myhre et al., 2013 who give the same value for the ERF) and f = 0.8 (Andrews et al. 2010). The equilibrium precipitation change  $\Delta P_{2xCO2}$  (in %, assuming a global-mean precipitation of 2.68 mm day<sup>-1</sup>), can then be written in terms of the equilibrium surface temperature change  $\Delta T_{2xCO2}$  as

$$\Delta P_{2 \times CO_2} = 2.79 (\Delta T_{2 \times CO_2} - 1.35). \tag{4}$$

This equation shows that if  $\Delta T_{2xCO2} = 1.35$  K, which, via  $\Delta T_{2xCO2} = \lambda RF_{2xCO2}$ , corresponds to a climate sensitivity  $\lambda$  of 0.36 K (W m<sup>-2</sup>)<sup>-1</sup>,  $\Delta P_{2xCO2}$  would be zero. The slope of the line is 2.79 % K<sup>-1</sup>. Such an expression fits well the intercept and slope of the linear fit to equilibrium double-CO<sub>2</sub> experiments from a range of climate models found by Allen and Ingram (2002 – their Fig. 2). Hence Eq. (4) acts as a further validation of the utility of Eq. (3) for simulating global-mean precipitation change across climate models with varying parameterisations of, for example, convection, with climate sensitivities varying across the range from about 0.4 to 1.3 K (W m<sup>-2</sup>)<sup>-1</sup>. The departures of individual models from this best fit could originate from

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differences in any of the values of k,  $f_{\perp}RF_{2xCO2}$  assumed here, or in inter-model differences in the importance of the fast component of  $\Delta SH$  which is not accounted for here. The slope of the line also corresponds to hydrological sensitivity due only to the T-term, and is in good agreement with the multi-model mean derived by Thorpe and Andrews (2014).

Since more generally,  $\Delta T_{eq} = \lambda R F_{eq}$  Equation (3) can also be written in a more general form for any  $\Delta T_{eq}$  (and hence  $RF_{eq}$ ), so that the equilibrium change in precipitation  $\Delta P_{eq}$  (in %) is given by

$$\Delta P_{eq} = 1.3 \Delta T_{eq} \left( k - \frac{f}{\lambda} \right). \tag{5}$$

This emphasizes that the offset between the T- and RF-terms depends strongly on  $\lambda$ . Using a mid-range climate sensitivity of 0.8 K (W m<sup>-2</sup>)<sup>-1</sup>, the RF-term for CO<sub>2</sub> offsets about 50% of the precipitation change that would result from the T-term alone. Considering the IPCC (2013) "likely" <u>range for</u>  $\lambda$ , which is 0.4 to 1.2 K (W m<sup>-2</sup>)<sup>-1</sup>, the RF-term offsets the T-term by about 90% for low  $\lambda$  and by 30% at high  $\lambda$ . The overall global-mean equilibrium hydrological sensitivity ( $\Delta P_{eq}/\Delta T_{eq}$ ) to CO<sub>2</sub> forcing can be derived from equation (5) and varies from about 0.25 % K<sup>-1</sup> to 2 % K<sup>-1</sup> over this range of  $\lambda$ , which can be compared with the value of 2.79 % K<sup>-1</sup> due solely to the T-term.

To relate the understanding encapsulated in Equation (3) to an emission of a gas or aerosol, we consider first the GPP for a pulse emission of a unit mass of a gas at time t=0 and consider the precipitation change at a time H after the emission. Following convention, we label this the Absolute GPP (AGPP<sub>P</sub>), which is presented here in units of mm day<sup>-1</sup> kg<sup>-1</sup>. The GPP relative to a reference gas will be considered in Section 4.

The T-term in Equation (3) becomes k times the absolute GTP<sub>P</sub> (AGTP<sub>P</sub>) (e.g. Shine et al. 2005). Assuming for small perturbations that RF is linear in the concentration of the emitted species, x, and that the perturbation decays exponentially with time constant  $\tau_x$ , then for a unit emission, the RF-term is given by  $f_x A_x \exp(-H/\tau_x)$ , where  $A_x$  is the specific RF (in W m<sup>-2</sup> kg<sup>-1</sup>) of the emitted species. Hence the AGPP (in mm day<sup>-1</sup> kg<sup>-1</sup>) is given by

$$AGPP_{P}^{x}(H) = 0.034(kAGTP_{P}^{x}(H) - f_{x}A_{x}\exp(-H/\tau_{x})).$$

Since a perturbation of CO<sub>2</sub> does not decay following a simple exponential (see e.g. Joos et al. 2013), the calculation of  $AGPP_p^{CO_2}(H)$  is slightly more involved – see the Appendix for more details.

The effect of a sustained emission of a unit mass of gas per year, from time t=0 can also be considered yielding a sustained AGPP (AGPP<sub>S</sub>). In this case, the AGTP<sub>S</sub> (see Shine et al. 2005) can be used for the T-term and the RF-term is now proportional to the time variation of the perturbation of the species to a step-perturbation (e.g. Fuglestvedt et al. 2010). The AGPP<sub>S</sub> is given by

$$AGPP_{S}^{x}(H) = 0.034(kAGTP_{S}^{x}(H) - f_{x}A_{x}\tau_{x}(1 - \exp(-H/\tau_{x})))$$
(7)

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which can also be expressed as a function of both AGTPs and AGWPP

$$AGPP_{S}^{x}(H) = 0.034(kAGTP_{S}^{x}(H) - f_{x}AGWP_{P}^{x}(H))$$

The calculation of  $AGPP_{S}^{CO_{2}}(H)$  is explained in <u>the</u> Appendix. Note that when *H* is long compared to the time-scale of the climate response (several hundred years in this case – see <u>the</u> Appendix) the  $AGTP_{S}^{x}(H)$  can be related to the  $AGWP_{P}^{x}(H)$  (see e.g. Shine et al. (2005)) which would simplify Eq. (8) further.

Here the AGPP<sub>P</sub> and AGPP<sub>S</sub> are used to calculate the GPP<sub>P</sub> and GPP<sub>S</sub> relative to a reference gas, and following the common practice for GWP and GTP,  $CO_2$  is used as that reference gas here, although difficulties with this choice will be noted. The GPP<sub>P</sub>, relative to an equal mass emission of  $CO_2$ , is then given by

$$GPP_p^x(H) = \frac{AGPP_p^x(H)}{AGPP_p^{CO_2}(H)}$$
(9)

(8)

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with a similar expression for the GPP<sub>S</sub>.

Note we have chosen to present the  $AGPP_P$  and  $AGPP_S$  as end-point metrics – i.e. as the effect at the time horizon H of an emission at (or starting at) time zero. For some purposes, a time-integrated metric might give a useful perspective. Following Peters et al. (2011 – see in particular its Supplementary Information) we note that the time-integrated pulse metrics are mathematically equivalent to the end-point metrics for sustained emissions. Hence, the AGPP<sub>S</sub> and GPP<sub>S</sub> can equally be interpreted as time-integrated forms of the AGPP<sub>P</sub> and GPP<sub>P</sub>.

### 3. Illustrative values for the Absolute Global Precipitation-change Potential

In this section, illustrative calculations of the AGPP are presented. Values for gas lifetimes and  $A_x$  are taken from Myhre et al. (2013) and are described in more detail in the Appendix. The AGTP calculation requires a representation of the surface temperature response, which depends on the climate sensitivity and rate of ocean heat uptake. We use the simple impulseresponse function in Boucher and Reddy (2008) (as used in Myhre et al. (2013) for GTP calculations). Details are given in the Appendix. Values of *f*, which describe the partitioning of the RF between surface and atmosphere are taken from Andrews et al. (2010) – these will likely be quite strongly model dependent, but for the purposes of illustration, they suffice. Some sensitivity tests to the representation of the impulse-response function and *f* are presented in Section 6. The calculations for CH<sub>4</sub> and N<sub>2</sub>O emissions include indirect effects, the most prominent being their impact on ozone. Different values of *f* should be used for each indirect component, but in the absence of robust assessments for these, the same value of *f* is used for all indirect components of the CH<sub>4</sub> and N<sub>2</sub>O forcing as is used for the direct components. Comment [KP20]: Reviewer 2, comment 2 Formatted: Not Superscript/ Subscript

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### 3.1 Well-mixed greenhouse gases

Figure 1 shows the AGPP<sub>P</sub> for  $CO_2$ , CH<sub>4</sub> and N<sub>2</sub>O, for the total and the RF and T terms individually, for a period up to 100 years after the pulse emission. In Andrews et al. (2010), *f* is larger for CO<sub>2</sub> (0.8) than for methane (0.5) because, for present-day concentrations, the lower opacity of the methane bands means that the surface feels more of the top-of-theatmosphere forcing than it does for CO<sub>2</sub>. Since N<sub>2</sub>O has a similar atmospheric opacity to CH<sub>4</sub>, it is hypothesized that surface-atmosphere partitioning of the RF also behaves in a similar way to CH<sub>4</sub> and so the value of *f* for N<sub>2</sub>O is also taken to be 0.5; further work would be needed to establish this. Hence, from Equation (3), the degree of offset between the RFand T-terms is larger for CO<sub>2</sub> than for CH<sub>4</sub> and N<sub>2</sub>O.

Figure 1(a) for  $CO_2$  illustrates the general behaviour. For a pulse emission, the size of the RFterm is maximised at the time of emission, as this is when the concentration is largest, and then decays as the perturbation decays. The T-term is dictated by the timescale of the response of the surface temperature to the forcing. The characteristic temperature response to a pulse forcing (e.g. Shine et al. 2005) is an initial increase in T, as the thermal inertia of the surface means it takes time to respond to the forcing, reaching a maximum, followed by a decrease in temperature that is controlled by the timescales of both the decay of the pulse and the temperature perturbation. For the first 5 years, the  $CO_2$  precipitation response is negative as the RF-term dominates, after which the T-term dominates, but the total is approximately 50% of the T-term. The long perturbation timescales mean that the effect on precipitation persists for more than 100 years after an emission, as does the competition between the Tand RF-terms.

 $N_2O$  has a lifetime of the order of a century and its AGPP<sub>P</sub> (Fig. 1(b)) is qualitatively similar to CO<sub>2</sub> but the T-term dominates, because *f* is smaller. As CH<sub>4</sub> is much shorter lived, its behaviour is somewhat different. As the pulse, and the associated RF, has disappeared by about year 40, after this time the AGPP<sub>P</sub> is determined by the T-term only.

### 3.2 Short-lived species

The AGPP is now illustrated for two short-lived species, sulphate and black carbon (BC) aerosols. For both cases, the radiative efficiency and lifetime values from Myhre et al. (2013) are used and given in the Appendix: for these illustration purposes only the sulphate direct effects are included, and the BC values include some aerosol-cloud interaction and surface albedo effects. In terms of the surface-atmosphere partitioning of RF, these are two contrasting cases. For sulphate, the Andrews et al. (2010) model results indicate an *f* value less than 0.01 in magnitude and so it is assumed here to be zero; this indicates that essentially all of the top-of-the-atmosphere forcing reaches the surface. By contrast, Andrews et al. (2010) find that for BC, *f* is 2.5, so that  $RF_a$  is much greater than RF; the surface forcing is of opposite sign to RF and  $RF_a$  as the surface is deprived of energy, while the atmosphere gains energy. As will be discussed further in Section 6, there are considerable uncertainties in these values, especially for BC, where both RF and *f* depend strongly on the altitude of the BC. Nevertheless, the values used here suffice to illustrate a number of important points.

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Figure 2 shows the AGPP<sub>P</sub> for both black carbon and sulphate. As both are very short-lived (weeks) compared to the greenhouse gases, their RF-term decays to zero within a year (and hence is not visible on Fig. 2), and it is only the thermal inertia of the climate system that enables them to influence temperature beyond this time period.

An alternative perspective of the effect of sulphate and BC is provided for the sustainedemissions case. In this case, because the BC and sulphate perturbations persist, so too does the influence of the RF-term on precipitation. Figure 3 shows the AGPP<sub>S</sub> for CO<sub>2</sub>, BC and sulphate. For CO<sub>2</sub>, the long-time scales of CO<sub>2</sub> perturbation mean that both the RF term and T term increase throughout the 100 year period shown. At short time-horizons, the RF-term dominates, leading to suppression of global precipitation, but after about 15 years, the T-term starts to dominate, and the AGPP<sub>S</sub> becomes positive.

For BC, the impact of the large RF-term is dramatic. It is strongly negative and constant with time (because of the short lifetime), while the T term is positive and increases until the temperature is almost in equilibrium with the RF. This counteracts the impact of the RF term on the total, but the total nevertheless remains negative throughout. For sulphate, because f is assumed to be zero, the total remains equal to the T-term.

### 4. The GPP relative to CO<sub>2</sub>

While absolute GPP values were presented in section 3, in this section we normalize the GPP values to the effects of the reference gas CO<sub>2</sub> to provide a relative measure, using Eq. 9 and its equivalent for sustained emissions.

### 4.1 Well-mixed greenhouse gases

Figure 4 shows the GPP<sub>P</sub> for  $N_2O$  and CH<sub>4</sub>; for comparison, the GTP<sub>P</sub> is also shown. Note that the plots start at H=20 years, as the time at which the different AGPP<sub>P</sub>'s cross the zero axis differs slightly amongst the gases, and this results in a singularity in Eq. (9). For N<sub>2</sub>O, the GPP<sub>P</sub> is at least 300 times greater than CO<sub>2</sub> on all timescales shown, and, per unit emission, is more than 40% more effective at changing precipitation than temperature (as given by the GTP<sub>P</sub>), compared to CO<sub>2</sub>. This is because the RF term is less effective at muting the T-term for N<sub>2</sub>O's GPP<sub>P</sub> than is the case for CO<sub>2</sub>. For CH<sub>4</sub> the difference between the GPP<sub>P</sub> and GTP<sub>P</sub> is most marked in an absolute sense at shorter time horizons, when the GPP<sub>P</sub> of methane is affected most by the RF-term; the GPP<sub>P</sub> and the absolute difference with the GTP decline at longer time scales when it is entirely due to the difference between the AGTP<sub>P</sub> and AGPP<sub>P</sub> for CO<sub>2</sub>.

Table 1 presents the values of all absolute metrics used here for  $CO_2$  and Table 2 presents the values of the GWP<sub>P</sub>, GTP<sub>P</sub> and GPP<sub>P</sub> for H of 20 and 100 years; these time horizons are chosen for illustrative purposes, rather than being indicative that they have special significance, except insofar as 100 years is used for the GWP within the Kyoto Protocol (e.g. Myhre et al. 2013). For CH<sub>4</sub>, the GPP<sub>P</sub>(20) is 50% larger than the GWP<sub>P</sub>(20) and almost double the GTP<sub>P</sub>(20) mostly because of the larger effect of the RF-term on the GPP<sub>P</sub> for CO<sub>2</sub>. The time-integrated nature of the GWP<sub>P</sub> means that it is much higher than the GTP<sub>P</sub> and GPP<sub>P</sub> at 100 years, while the GPP<sub>P</sub> remains about double the GTP<sub>P</sub>. The <u>GPP<sub>P</sub> for N<sub>2</sub>O is 25-</u>

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**Comment [KP21]:** Old section 4.1 moved to introduction (reviewer 2 comment 1; reviewer 3, comment 4) and sections renumbered

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**Comment [KP22]:** Now that the old Section 4.1 has moved to Section 1, we felt that an introductory sentence would help the reader with the flow.

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Deleted: 4.1 Background¶ One potential application of the GPP is to place the emissions of different species on a common scale, in a similar way to the GWP. The 100-year time-horizon GWP

(GWP(100)) is used by the Kyoto Protocol to the United Nations' Framework Convention on Climate Change to place emissions of many relatively well-mixed non-CO2 greenhouse gases on a so-called "CO2-equivalent scale"; this is necessary for the type of multi-gas treaty that the Kyoto Protocol represents. Metrics such as the GWP can also be used in life-cycle assessment and carbon footprint studies, for assessing possible mitigation strategies, for example in particular economic sectors, and can extend beyond the gases included in the Kyoto Protocol (see e.g., Fuglestvedt et al. 2010. Deuber et al. 2014).¶ The GWP characterises the RF in response to a pulse emission of a substance integrated over some specified time horizon. It is normally expressed relative to the same quantity for an equal-mass emission of CO2. The GWP has enabled the multi-gas operation of the Kyoto Protocol but has also been the subject of criticism for some applications (e.g., Myhre et al. (2013), Pierrehumbert (2014) and references therein). This is partly because the use of time-integrated RF does not clearly relate to an impact of climate change (such as temperature change) and also because it contains value judgements (particularly the choice of time horizon) that cannot be rigorously justified for any particular application (Myhre et al., 2013). Metrics that extend beyond time-integrated forcing have also been proposed. The GTP (e.g., Shine et al. 2007; Myhre et al. 2013) characterises the global-mean surface temperature change at some time after an

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emission. It may be more applicable to

policies that aim to restrict temperature change below a given target level. The Q

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50% higher than the GWP<sub>P</sub> and GTP<sub>P</sub> at both values of H, again because of the larger effect of the RF-term on the GPP<sub>P</sub> for  $CO_2$ .

4.2 Short-lived species		Deleted: 3	
Figure 5 shows the GPP <sub>P</sub> and GTP <sub>P</sub> for black carbon and sulphate. As noted in Section 3.2, the radical difference in their values of $f(2,5)$ for black carbon. () for sulphate) has no impact			
on the AGPP for BC and sulphate beyond very short timescales. Because of this in Fig. 5	_	Deleted: ure	
the only difference between the GPP <sub>b</sub> and GTP <sub>b</sub> comes from the influence of the RF-term on			
the $AGPP_{n}^{CO_2}$ , and on an equal emissions basis both short-lived species are, relative to CO <sub>2</sub> .			
more effective at changing precipitation than temperature – this is also shown in Table 3.	_	Deleted: 1	
Figure 6 shows the GPPs, comparing it with the GTPs. For sulphate, the difference between			
the GPP <sub>s</sub> and GTP <sub>s</sub> originates entirely from the effect of the RF-term on $AGPP_s^{CO_2}$ , because			
of the assumption that $f$ is zero. For black carbon they differ dramatically – whilst both BC		<b>Comment [KP24]:</b> to split a long	
and $CO_2$ cause a warming, so that the $GTP_S$ is positive, their impact on precipitation is	$\square$	Deleted: but	
opposite, and the BC GPP <sub>s</sub> is negative.		<b>Deleted:</b> they differ dramatically for	
Table 3 presents values of the GTPs and GPPs for $H = 20$ and 100 years including the values	_	Deleted: 2	
for CH <sub>4</sub> and N <sub>2</sub> O for completeness. The GPPs values at 20 years are particularly influenced			
by the fact that the AGPPs for $CO_2$ is relatively small at this time, due to the strong			
cancellation between the T and RF terms. At both values of H, the GPP <sub>S</sub> values are higher in			
magnitude than the corresponding $\text{GTP}_S$ values for all non-CO <sub>2</sub> components <u>considered here</u> .			
5. Precipitation response to realistic emissions		Deleted: more	
To illustrate a further usage of the AGPP <sub>P</sub> and AGPP <sub>s</sub> , Figs. 7 and 8 apply them to 2008		Deleted: ure	
emissions, to examine the consequences of the emissions of the 5 example species on			
precipitation. Figure 8.33 of Myhre et al. (2013) presents a similar calculation applying the			
$\operatorname{AGTP}_{P}$ and shows that the 5 species used here are the dominant emissions for determining			
temperature change; hence it was felt useful to present the total effect of the 5 emissions in			
the figures as well. Emissions are taken from Table 8.SM.18 of Myhre et al. (2013) and			
reproduced in Table A.1. For reference, the corresponding values using the AGTP <sub>P</sub> and			
AGTP <sub>S</sub> are also shown.			
Figure 7 shows the impact of the 2008 emissions, emitted as a single pulse, on global			
precipitation and temperature change in subsequent years. While the emissions of $CH_4$ .			
sulphate and BC are 2 to 4 orders of magnitude smaller than those of CO <sub>2</sub> , in the early years			
after the emission, their effects are competitive with $CO_2$ because of the size of the GPP <sub>P</sub> and			
$GTP_P$ , emissions of N <sub>2</sub> O are small enough that , despite its large GPP <sub>P</sub> , its absolute	_	<b>Deleted:</b> despite N <sub>2</sub> O's large GPP <sub>P</sub> , its	

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contribution remains low throughout. Because of the differing compensations between the T-

and RF-terms for  $CO_2$  and  $CH_4$ , their relative importance differs quite significantly between the precipitation and temperature calculations. Methane's contribution to precipitation change is less negative or more positive than that of  $CO_2$  until about 20 years; it exceeds the  $CO_2$  contribution by a factor of 2 at about 10 years, and remains 25% of the  $CO_2$  effect even at 50 years. For temperature, the contributions are approximately the same until 10 years, after which the  $CO_2$  contribution dominates, being about 7 times larger by 50 years. For the two aerosol components, the GPP<sub>P</sub> is unaffected by the RF-term (because the RF due to a pulse emission of a short-lived gas declines rapidly - see Section 3) but their importance for precipitation relative to  $CO_2$  is enhanced, because the RF-term acts to suppress the effect of  $CO_2$  on precipitation change. Thus, for example, the BC effect on precipitation is larger than  $CO_2$  out to year 10, compared to year 4 for temperature.

Figure 8 shows the effect of assuming sustained emissions at 2008 levels. Although not a plausible future scenario (since, for example, emissions of greenhouse gases are at present continuing to rise) it provides a useful baseline experiment to assess the relative roles of current emissions when their atmospheric burdens are replenished each year. As expected from the AGPP<sub>s</sub> values, the role of the short-lived species differs considerably from the pulse case, as the RF-term remains active - in the case of precipitation, BC's effect is now negative throughout. Until about 30 years, the net effect of all 5 emissions is a reduction of precipitation, after which the warming due to CH<sub>4</sub> and CO<sub>2</sub> is sufficient for their T-terms to overwhelm the reduction caused by sulphate (due to its T-term) and BC (due to its RF-term). This near-term reduction of precipitation is also seen in the results of Allan et al. (2014), where the precipitation changes are driven directly by forcings and temperatures (rather than by emissions, as is the case here). By contrast, the temperature effect is positive after year 1. Perhaps most marked is the role of CH<sub>4</sub>. It is the dominant driver of positive precipitation change until about year 50 and even after 100 years its effect is about 50% of that due to CO<sub>2</sub>. This differs from temperature, where the CO<sub>2</sub> effect is greatest after 15 years and 3 times larger by 100 years. Fig.8 also illustrates the extent to which the sulphate and BC emissions are opposing the precipitation increase due to the greenhouse gases, at large values of H; those, components would be relatively quickly responsive to any changes in emissions.

While these are clearly idealised applications of uncertain metrics, they nevertheless illustrate their potential utility for assessing the relative importance over time of different emissions on global precipitation change. The approach could be extended to past or possible future emission profiles, by convolving the time-dependent emissions with the  $\text{GTP}_P$  and  $\text{GPP}_S$  values.

### 6. Sensitivities and uncertainties

There are <u>many</u> uncertainties and sensitivities in the calculation of metrics such as assumptions about the background state <u>(which can affect  $A_x$  and  $\tau_x$ )</u>, and the impulseresponse function for CO<sub>2</sub> (see e.g. Fuglestvedt et al. 2010; <u>Joos et al. 2013</u>; Myhre et al. 2013), Two sensitivities are explored, First, the impulse-response model for surface temperature change used here (see beginning of Section 3) is a fit to output from experiments with one particular climate model with its own particular climate sensitivity. Olivié et al. (2012) present similar fits derived from 17 different climate models, or model variants - the fits shown in Table 5 of Olivié et al. (2012) are used, along with the Boucher and Reddy (2008) fit used in Section 3, and cover a wide range of climate sensitivities (0.49 to 1.06 K (W Comment [KP26]: author clairification

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 $m^{-2}$ )<sup>-1</sup>) and timescales of climate response, although we note that model uncertainty range may not fully straddle the true uncertainty range. Olivié and Peters (2013) used these fits to explore the sensitivity of the GTP calculations. Figure 9 shows the mean and standard deviation of the pulse and sustained GTP and GPP derived using these 18 different representations.

Considering the absolute <u>pulse</u> metrics for  $CO_2$ , Fig. 9a shows that the AGTP<sub>P</sub> is only moderately sensitive (with a coefficient of variation (cv) of about 20%) to model choice. By contrast the cv is about <u>60 and 40%</u> for the <u>AGPP<sub>P</sub>(20) and AGPP<sub>P</sub>(100)</u>, respectively. This is because the T-term is highly sensitive to the choice of impulse-response model, whilst the RF-term is independent; hence the degree of compensation between these two terms varies amongst these models. The GTP<sub>P</sub> is most sensitive for short-lived species and this uncertainty is amplified for the GPP<sub>P</sub>, by up to a factor of 2 for the GPP<sub>P</sub>(100) for sulphate (Fig. 9d). By contrast, for the longer-lived species the uncertainty in the GTP<sub>P</sub> and GPP<sub>P</sub> differ greatly – for N<sub>2</sub>O (Fig. 9c), the cv for GTP<sub>P</sub> values is only a percent or so, but is typically 40% for the GPP<sub>P</sub>, as both the numerator and denominator in Eq. (9) are impacted by compensations in the T- and RF-terms to different degrees at different times.

The GPPs is more sensitive because even the sign of the  $AGPP_s^{CO_2}$  is not well constrained at 20 years (Fig. 9a). Roughly half of the impulse-response models yield positive values and half a negative ones, with two near zero, because of the differing degrees of compensation between the T- and RF-terms. The value of H at which the  $AGPP_s^{CO_2}$  is zero varies from 11 to 61 years amongst the models. (For comparison, for the  $AGPP_s^{CO_2}$ , the corresponding range is 4 to 13 years.) In these circumstances, it becomes difficult to compare the GPPs values as they vary wildly from model to model (from -18000 to 24000 for the GPPs(20) for N<sub>2</sub>O) and for this reason the AGPPs, are presented in Fig. 9. Even the  $AGPP_s^{CO_2}$  (100) values vary by over an order of magnitude across the 18 models. In general, the uncertainties in the AGPPs exceed those in the AGTPs; this is most marked in the case of N<sub>2</sub>O, where the GTPs is almost insensitive to the choice of impulse-response model, as the effect of this choice on the AGTPs for CO<sub>2</sub> and N<sub>2</sub>O is almost the same.

The second sensitivity explored here is to the assumed values of f by replacing the Andrews et al. (2010) values by those from Kvalevåg et al. (2013) (see Table 1). Where available, we use the values of f from the larger forcing perturbations given by Kvalevåg et al. (2013) as these give a clearer signal. For BC, Kvalevåg et al. (2013) present a range of values, for perturbations at different altitudes – for example they find a value of f of 6.2 (for 10 times the model-derived vertical profile of BC in response to present-day emissions) and 13 (when 10 times the present-day burden is placed entirely at 550 hPa); these can be compared to the Andrews et al. (2010) value of 2.5. The difference results mostly from the semi-direct effect of BC and clouds; when BC is entirely placed at certain pressures (750 and 650 hPa), Kvalevåg et al.'s (2013) results indicate that f is particularly poorly constrained, because RF is close to zero, while RFa is large and positive. This is an example of where casting Eq. (3) in terms of RFa rather than RF would be advantageous (see Section 2). It should be noted that this sensitivity test concerns the impact of BC altitude on f rather than on  $\tau_x$ , and  $A_x$ .

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Table 1 shows the AGPP<sub>P</sub> and AGPP<sub>S</sub> for CO<sub>2</sub> and Table 4 shows the GPP<sub>P</sub> and GPP<sub>S</sub>; these should be compared with the appropriate columns in Tables 2 and 3 (the GWP, GTP<sub>P</sub> and GTP<sub>S</sub> are <u>unaffected by</u> f). For the GPP<sub>P</sub> for CH<sub>4</sub> and N<sub>2</sub>O, the effect of <u>changing the</u> f values is rather modest (10-20%) because changes in the numerator and denominator of Eq. (9) compensate to some extent. For BC and sulphate, changes are entirely dependent on the change in  $AGPP_P^{CO_2}$ , as the change in f factor has <u>little</u> influence (see Section 3.2) and <u>hence</u>

changes are correspondingly larger (20-30%).

The  $AGPP_{s}^{co_{2}}(20)$  (Table 1) is rather sensitive to the change in *f* because of the degree of compensation between the T- and RF-terms, and increases by more than a factor of 2 (Table 1). This is the dominant reason why the GPP<sub>s</sub>(20) for N<sub>2</sub>O and CH<sub>4</sub> decrease by about a factor of 2. The changes at 100 years are much smaller, nearer 10%. The AGPP<sub>s</sub> for the short-lived species are, unlike the AGPP<sub>P</sub>, now affected by the change in *f*. Table 5 shows the effect on the sulphate GPP<sub>s</sub>(20) to be about a factor of 2, while the GPP<sub>s</sub>(100) is little affected. By contrast, the GPP<sub>s</sub> for black carbon at both time horizons depends significantly on the altitude of the black carbon perturbation.

### 7. Discussion and Conclusions

This paper has used a simple, but demonstrably useful, conceptual model of the drivers of global-mean precipitation change in response to the imposition of a radiative forcing, to relate precipitation change directly to emissions. The GPP<sub>P</sub> and GPP<sub>S</sub> metrics illustrate the interplay between the two drivers (the atmospheric component of the radiative forcing, and the surface temperature change) for different forcings, at different time horizons, and for both pulse and sustained emissions. The GPP<sub>P</sub> and GPP<sub>S</sub> are given as the change at a specific time horizon (and hence are end-point metrics). There may be climate effects related to the total change in precipitation over time for which an integrated metric would be appropriate, so it is useful to note that the GPP<sub>S</sub> can also be interpreted as the time-integrated GPP<sub>P</sub>.

It has been shown that relative to  $CO_2$ , the pulse and sustained GPP values for the non- $CO_2$  species examined here are larger than the corresponding GTP values, because the  $CO_2$  GPP is the sum of two quite strongly opposing terms. Further, for black carbon emissions, while they act to warm the climate system, they also act to reduce global-mean precipitation; while this has been clear from the modelling literature for some time, the present work shows how the perspective is different for pulse and sustained emissions. The reduction of precipitation is driven entirely by the radiative forcing component and since, for pulse emissions of short-lived species this falls away on time scales of weeks, it is only apparent on longer time-scales for the sustained perspective. This is an example of how the perturbation design can have a large impact on the calculated response.

The evaluation of precipitation metrics assumes that the parameters required for the simple conceptual model are available, and in particular the partitioning of radiative forcing between surface and atmosphere. Only a rather limited number of model studies of this partitioning are currently available, and there are significant differences amongst these and particular sensitivity to the altitude of absorbing aerosol (e.g. Ming et al. (2010), Kvalevåg et al.

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(2013)). In addition, further development of the simple conceptual model (particularly to account for fast changes in the sensible heat flux) would be beneficial, once understanding improves, as would a fully consistent usage of effective radiative forcings. The ongoing Precipitation Driver Response Model Intercomparison Project (PDRMIP)

(http://cicero.uio.no/PDRMIP/) should provide important information <u>on the utility of the</u> <u>conceptual model and</u> of the degree of robustness of th<u>e surface-atmosphere</u> partitioning amongst a range of climate models for a number of radiative forcing mechanisms. <u>Clearly</u> further studies, for a wider range of forcing agents are <u>also</u> needed <u>and indeed casting Eq. (3)</u> <u>directly in terms of atmospheric radiative forcing (rather than top-of-atmosphere radiative forcing) would be desirable if atmospheric radiative forcing values became more readily <u>available.</u></u>

It is not suggested that the new metrics could replace conventional emissions metrics such as the GWP and GTP in climate policies or emission trading context, but they do provide a useful additional perspective for assessing the effects of emissions; they particularly help to emphasise where the impact on precipitation differs significantly from that on temperature or forcing. One difficulty in its application is that conventional metrics generally use CO<sub>2</sub> as a reference gas. For precipitation change, the forcing and surface temperature components oppose each other, which means that the effect of CO<sub>2</sub> emissions on precipitation can be zero (at least in the global-mean) at short time horizons for both pulse and sustained emissions. This is clearly undesirable for a reference gas, and it has also been shown that the timing of this zero point is rather sensitive to the particular parameters used in its calculation. Hence absolute metrics may be more instructive. By applying the absolute metrics to a specific illustrative case (emissions in 2008, either as a pulse, or sustained indefinitely) the importance of methane in influencing the global-mean precipitation change is highlighted using the default model parameters here, in the sustained 2008 emissions case, the precipitation change from methane exceeds that from CO<sub>2</sub> for about 50 years, By contrast, for the temperature case, the effect of CO<sub>2</sub> emissions are almost immediately at least comparable to, or stronger than, methane.

It has been stressed that use of global-mean precipitation change as a measure of impact has difficulties, because predicted future changes differ in sign between regions – the global-mean is a small residual of these opposing more localised changes and hence it only gives rather general guidance on the effect of different drivers on the changing hydrological cycle. Nevertheless, as noted in the Introduction, some of that regional variability can be understood as a generic response to temperature change. The approach here could be enhanced to a more regional level of response by either using a simple pattern-scaling approach (whereby the pattern of predicted precipitation change scales with the global-mean) or, better, to derive a regional variation that accounts for the different effects of the forcing and temperature response on precipitation change (Good et al. 2012). The patterns emerging from such an approach would likely depend significantly on which climate model was used to derive them. In addition, such patterns would be needed for all the primary forcing agents. For short-lived emissions, it is known that even global-mean metrics such as the GWP and GTP depend on the emission location (e.g., Fuglestvedt et al. 2010) – this will also be true for the

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precipitation metrics. Metrics can also be posed in terms of the regional response to regional emissions. For example, Collins et al. (2013) employed the Regional Temperature Potential proposed by Shindell (2012) whereby a matrix is produced that characterises the effect of RFs in a set of given regions on the temperature change in a set of given regions; a similar approach could be taken using the Regional Precipitation Potential proposed by Shindell et al. (2012),

In spite of the difficulties in quantifying the precipitation metrics given present knowledge of the driving parameters, the framework presented here adds a useful extra dimension to simple tools that are currently available for assessing the impact of emissions of different gases and particulates.

**Author contribution:** KPS conceived the idea of the emissions metrics for precipitation, through conversations with RPA, performed the calculations and led the writing. RPA, WJC and JSF provided major critical input to the drafts, including ideas on adjusting the emphasis of the paper and on possible applications of the metrics.

Acknowledgements: We acknowledge funding from the European Commission, under the ECLIPSE (Evaluating the Climate and Air Quality Impacts of Short-Lived Pollutants) Project (Grant Agreement 282688) and thank other ECLIPSE partners for their encouragement and input to this work. We are grateful to Katsumasa Tanaka, an anonymous reviewer and the Editor for their helpful comments, and for suggestions and input from participants in PDRMIP.

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could also be derived, and it is likely that the regional variation of the response would be even larger for precipitation change than temperature change.

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### Appendix,

The impulse response function, R(t), for a pulse emission of CO<sub>2</sub> is assumed to be of the form

$$R(t) = a_o + \sum_j a_j \exp\left(-\frac{t}{\alpha_j}\right)$$
(A1)

where the parameters <u>used here follow</u> Myhre et al. (2013), with  $a_0=0.2173$ ,  $a_1=0.2240$ ,  $a_2=0.2824$ ,  $a_4=0.2763$  and  $\alpha_1=394.4$  years,  $\alpha_2=36.54$  years and  $\alpha_3=4.304$  years.

The impulse response function for global-mean surface temperature in Sections 3 to 5 is taken from Boucher and Reddy (2008) and is of the form

$$R(t) = \sum_{i} \frac{c_i}{d_i} \exp\left(-\frac{t}{d_i}\right)$$
(A2)

with  $c_1=0.631$  K (W m<sup>-2</sup>)<sup>-1</sup>,  $c_2=0.429$  K (W m<sup>-2</sup>)<sup>-1</sup> and  $d_1=8.4$  years and  $d_2=409.5$  years. The equilibrium climate sensitivity for this function is 1.06 K (W m<sup>-2</sup>)<sup>-1</sup>, equivalent to an equilibrium surface temperature change for a doubling of CO2 of about 3.9 K. Additional impulse-response functions are used in Section 6, with alternative values of  $c_i$  and  $d_i$ .

To derive the AGPP<sub>P</sub> in Eq. (6), for species for which the perturbation decays exponentially with a single time-constant  $\tau_{\rm p}$  requires an expression for the AGTP<sub>P</sub>. For a species with a specific RF  $A_x$  and using Eq. (A2) this is given by (see, for example, Fuglestvedt et al. (2010))

$$AGTP_{p}^{x}(t) = A_{x}\tau_{x}\sum_{i=1}^{2}\frac{c_{i}}{\tau_{x}-d_{i}}\left(\exp(-t/\tau_{x}) - \exp(-t/d_{i})\right).$$
 (A3)

This equation does not apply in the case where  $\tau_x = d_i$ ; the appropriate expression is given in Shine et al. (2005) for this case, which has to be modified for the two-term form of Eq. (A2).

For the case of  $CO_2$ , where the decay of a pulse is given by Eq. (A1), the AGTP<sub>P</sub> is given by (see, for example, Fuglestvedt et al. (2010))

$$AGTP_{p}^{CO_{2}}(t) = A_{CO_{2}}\left[a_{o}\sum_{i=1}^{2}c_{i}(1-\exp(-\frac{t}{d_{i}})) + \sum_{i=1}^{2}c_{i}\sum_{j=1}^{3}\frac{a_{j}\alpha_{j}}{\alpha_{j}-d_{i}}(\exp(-t/\alpha_{j})-\exp(-t/d_{i}))\right].$$
 (A4)

For the case of  $CO_2$ , the exponential in the second term on the right-hand side of Eq. (6) is replaced by Eq. (A1) for the calculation of  $AGPP_p^{CO_2}(H)$ .

To derive the AGPPs in Eq. (7), the GTPs for non-CO<sub>2</sub> species is given by (e.g. by rearranging the expression in Shine et al. (2010) following Peters et al. (2011))

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$$AGTP_{S}^{x}(t) = A_{x}\tau_{x} \left[ \sum_{i=1}^{2} \frac{c_{i}}{\tau_{x} - d_{i}} (\tau_{x}(1 - \exp(-t/\tau_{x})) - d_{i}(1 - \exp(-t/d_{i}))) \right]$$
(A5)

and again the case where  $\tau_i = d_i$  is given in Shine et al. (2005), which has to be modified for the two-term form of Eq. (A2).

The calculation of the AGPPs for CO2 requires the AGTPs and is given by

$$AGTP_{s}^{CO_{2}}(t) = \sum_{i=1}^{2} A_{CO_{2}} c_{i} \left[ a_{o}(t - d_{i}(1 - \exp(-t/d_{i}))) + \sum_{j=1}^{3} \frac{\alpha_{j}a_{j}}{\alpha_{j} - d_{i}} (\alpha_{j}(1 - \exp(-t/\alpha_{i})) - d_{i}(1 - \exp(-t/\alpha_{i}))) - d_{i}(1 - \exp(-t/\alpha_{i})) \right]$$
(A6)

<u>and also the  $AGWP_p^{CO_2}$ , for</u> the second term on the right hand side of Eq. (7) which is

$$AGWP_{p}^{CO_{2}}(t) = A_{CO_{2}}(a_{o}t + \sum_{j=1}^{3} a_{j}\alpha_{j}(1 - \exp(-\frac{t}{\alpha_{j}}))) \quad (A7)$$

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The parameters used for the 5 different species employed here are presented in Table A1.

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Table 1. Absolute metrics, AGWP, AGTP<sub>P</sub>, AGTP<sub>S</sub>, AGPP<sub>P</sub> and AGPP<sub>S</sub> for CO<sub>2</sub> at time horizons of 20 and 100 years, which are chosen for illustrative purposes. The first and second sets of AGPP values use the CO<sub>2</sub> f factor from Andrews et al. (2010) and Kvalevåg et al. (2013) respectively (see Table A1).

		Time hori	zon (years)
	<u>unit</u>	20	100
AGWP	$W m^{-2} kg^{-1} year$	2.50 <u>x 10<sup>-14</sup></u>	9.19 <u>x 10<sup>-14</sup></u>
<u>A</u> GTP <sub>P</sub>	<u>K kg<sup>-1</sup></u>	6.85 <u>x 10<sup>-16</sup></u>	5.48 <u>x 10<sup>-16</sup></u>
<u>A</u> GTP <sub>S</sub>	<u>K kg<sup>-1</sup> year</u>	1.05 x 10 <sup>-14</sup>	5.90 <u>x 10<sup>-14</sup></u>
<u>A</u> GPP <sub>P</sub> (Andrews)	<u>mm day<sup>-1</sup> kg<sup>-1</sup></u>	2.27 <u>x 10<sup>-17</sup></u>	2.13 <u>x 10<sup>-17</sup></u>
<u>A</u> GPP <sub>S</sub> (Andrews)	<u>mm day<sup>-1</sup> kg<sup>-1</sup> year</u>	0.105 <u>x 10<sup>-15</sup></u>	$1.91 \underline{x \ 10^{-15}}$
AGPP <sub>P</sub> (Kvalevåg)	$mm day^{-1} kg^{-1}$	<u>2.99 x 10<sup>-17</sup></u>	$2.63 \times 10^{-17}$
AGPPs (Kvalevåg)	mm day <sup>-1</sup> kg <sup>-1</sup> year	$0.275 \ge 10^{-15}$	$2.53 \times 10^{-15}$

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Table $\frac{2}{2}$ : The GWP, GTP <sub>P</sub> and GPP <sub>P</sub> , relative to CO <sub>2</sub> , for pulse emissions	of 4 species at time
horizons of 20 and 100 years, which are chosen for illustrative purposes.	The absolute values
of metrics for $CO_2$ are given in Table 2.	

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	GWP(20)	GWP(100)	$GTP_P(20)$	GTP <sub>P</sub> (100)	$\text{GPP}_{\text{P}}(20)$	$\text{GPP}_{P}(100)$
CH <sub>4</sub>	84	28	67	4.3	120	8.1
$N_2O$	263	264	276	234	396	325
Sulphate	-141	-38	-41	-5.28	-92	-10.1
Black carbon	2415	657	701	91	1580	173

# Table 3. The GTP<sub>S</sub> and GPP<sub>S</sub>, relative to CO<sub>2</sub>, for sustained emissions of 4 other species at time horizons of 20 and 100 years, which are chosen for illustrative purposes. The absolute values of metrics for CO<sub>2</sub> are given in Table 2.

# 

	$GTP_{S}(20)$	GTP <sub>s</sub> (100)	$GPP_{S}(20)$	$\text{GPP}_{\text{S}}(100)$				
CH <sub>4</sub>	93	31.5	357	49.6		$\frown$	Deleted: Absolute CO <sub>2</sub>	]
$N_2O$	256	267	846	401			Formatted Table	7
Sulphate	-199	-43.2	-1490	-100				
Black carbon	3410	741	-23500	-979	_			

Table <u>4</u>: The GPP<sub>P</sub> and GPP<sub>S</sub>, relative to CO<sub>2</sub>, for pulse emissions of 4 other species at time horizons of 20 and 100 years, which are chosen for illustrative purposes, using the values of surface-atmosphere partitioning of radiative forcing from Kvalevåg et al. (2013). The two black carbon values are, respectively, using a model-derived vertical profile for present-day emissions and assuming that the present-day burden is placed entirely at 550 hPa. The absolute values of metrics for CO<sub>2</sub> are given in Table 2.

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•	$GPP_P(20)$	$\text{GPP}_{P}(100)$	GPP <sub>s</sub> (20)	GPP <sub>s</sub> (100)	4	Formatted Table	
CH <sub>4</sub>	101	6.6	187	44.4		Deleted: Absolute CO <sub>2</sub>	
$N_2O$	370	303	486	367			
Sulphate	-70	-8.2	-741	-94.0			
Black Carbon	1200	141	-36600, -87400	-3740, -9250			

include the indirect effects described there								
	$\frac{\underline{A}_{x}}{(\underline{W} \text{ m}^{-2} \text{ kg}^{-1})}$	<u>t</u> x (years)	<u>f (Andrews</u> et al., 2010)	<u>f (Kvalevåg</u> et al. (2013))	2008 emissions (kg)			
<u>CO</u> 2	<u>1.76 x 10<sup>-15</sup></u>	See text	<u>0.8</u>	<u>0.6</u>	$3.69 \times 10^{13}$			
<u>CH4</u> <u>N2</u> O <u>Sulphate</u>	$\frac{2.11 \times 10^{-13}}{3.57 \times 10^{-13}}$ -3.2 x 10 <sup>-10</sup>	<u>12.4</u> <u>121.0</u> <u>0.011</u>	0.5 0.5 0.0	<u>0.3</u> <u>0.3</u> <u>-0.4</u>	$\frac{3.64 \text{ x } 10^{11}}{1.07 \text{ x } 10^{10}}$ $\frac{1.27 \text{ x } 10^{11}}{1.27 \text{ x } 10^{11}}$			
Black carbon	<u>3.02 x 10<sup>-9</sup></u>	<u>0.02</u>	<u>2.5</u>	<u>6.2, 13.0</u>	<u>5.31 x 10<sup>9</sup></u>			

Table A1: Parameter values used for each species included in calculations. All values aretaken from Myhre et al. (2013), unless otherwise stated, and the  $CH_4$  and  $N_2O$  values of  $A_x$ include the indirect effects described there

**Comment [KP36]:** Slight change in format to follow ESDD style

### Figures



Figure 1: AGPP<sub>P</sub> for 1 kg pulse emissions of  $CO_2$ ,  $N_2O$  and  $CH_4$ . The T-term and RF-term refer to the first and second terms on the right hand side of Eq. (3) respectively, and the Total term is the sum of these.



Figure 2:  $AGPP_P$  for 1 kg pulse emissions of black carbon (BC) and sulphate. Note that the RF-term in Eq. (3) is negligible for such short-lived gases, except at time horizons less than a few weeks, and only the total is shown.



Figure 3: AGPP<sub>S</sub> for 1 kg year<sup>-1</sup> sustained emissions of  $CO_2$ , BC and sulphate. The T-term and RF-term refer to the first and second terms on the right hand side of Eq. (3) respectively, and the Total term is the sum of these. For sulphate, the RF term is assumed to be zero (see text) and so only the Total is shown.



Figure 4: GPP<sub>P</sub> (in bold) and GTP<sub>P</sub> for 1 kg pulse emissions of  $N_2O$  and  $CH_4$  relative to a 1 kg pulse emission of  $CO_2$ .



Figure 5: GPP<sub>P</sub> (in bold) and GTP<sub>P</sub> for 1 kg pulse emissions of BC and sulphate relative to a 1 kg pulse emission of  $CO_2$ .



Figure 6. GPP<sub>S</sub> (in bold) and GTP<sub>S</sub> for 1 kg year<sup>-1</sup> sustained emissions of BC and sulphate relative to a 1 kg year<sup>-1</sup> sustained emission of  $CO_2$ .



Figure 7. Precipitation change, in  $\mu$ m day<sup>-1</sup> (top), and temperature change, in mK, (bottom) in the years after 2008, following a pulse emission in 2008, calculated using the AGPP<sub>P</sub> and AGTP<sub>P</sub> and using estimated emissions of the species in 2008.



Figure 8. Precipitation change, in mm day<sup>-1</sup> (top), and temperature change, in K, (bottom) in the years after 2008, assuming constant emissions at 2008 levels, calculated using the AGPP<sub>S</sub> and AGTP<sub>S</sub> and using estimated emissions of the species in 2008.



Figure 9: Mean and standard deviations of the AGTP, AGPP, GTP and GPP for both pulse (PUL) and sustained (SUS) emissions for time horizons of 20 and 100 years (which are chosen for illustrative purposes), using 18 different representations of the impulse-response function for temperature change. (a) AGTP and AGPP for carbon dioxide, for both pulse and sustained emissions, and then GTP<sub>P</sub>, GPP<sub>P</sub>, GTP<sub>S</sub> and AGPP<sub>S</sub> for (b) methane, (c) nitrous oxide, (d) sulphate and (e) black carbon. For CO<sub>2</sub> the units are  $10^{-16}$  K kg<sup>-1</sup> for AGTP<sub>P</sub>,  $10^{-14}$  K kg<sup>-1</sup> year for AGTP<sub>S</sub>,  $10^{-18}$  mm day<sup>-1</sup> kg<sup>-1</sup> for AGPP<sub>P</sub> and  $10^{-16}$  mm day<sup>-1</sup> kg<sup>-1</sup> year for AGPP<sub>S</sub>. The AGPP<sub>S</sub> for all other gases are in  $10^{-15}$  mm day<sup>-1</sup> kg<sup>-1</sup> year.

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