

1 **Author's response to Review Comments on**

2 Irvine EA, Shine KP 2015: **Ice-supersaturation and the potential for contrail formation in a changing**  
3 **climate**. Earth Syst. Dynam. Discuss., 6:317-349 10.5194/esdd-6-317-2015

4  
5 *Original reviewer comments are in normal font, our replies are in bold italics. Intended changes to*  
6 *text are shown in quotes " ... "*

7

8 **Reviewer 1**

9

10 This is a very interesting paper for all those concerned with the climate impact of aviation, in  
11 particular due to persistent contrails. Such contrails only form in ice supersaturated regions and it is  
12 therefore important to know how the frequency of ice supersaturation will evolve in a future warming  
13 climate. Furthermore this is of interest for those concerned about the climate impacts and feedbacks of  
14 cirrus clouds since their formation needs substantial ice supersaturation as well. The latter topic is not  
15 touched upon in the paper, which is reasonable in view of the problems current climate models have to  
16 represent ice supersaturation at all. For the latter reason the authors had to use relative humidities  
17 above model-dependent threshold values as proxies for the presence of an ISSR. To my opinion this is  
18 justified. This paper is well written and easy to comprehend. The only thing I miss is a comment on  
19 the statistical significance of the observed changes. Otherwise I have only a couple of minor  
20 comments. I recommend publication of this paper.

21

22 *We thank the reviewer for the helpful comments*

23

24 Major issue

25

26 Nothing is said about the statistical significance of the observed changes. There is "considerable  
27 interannual variability" (page 329), thus the question on the significance of the results seems justified.  
28 You could include \_\_\_-bars on the curves in figure 5 such that the reader gets a feeling of how far the  
29 curves deviate at 2100 from the historical values. T-tests or non-parametric tests on the 2D-fields  
30 could be performed to check significance. I see that the changes are quite substantial in the tropics, so  
31 it might be that they are beyond doubt. If so, please say so.

32

33 *After our 10 year smoothing is applied, the amount of unforced variability is small indeed compared*  
34 *to the signals in the polar and tropical regions and so we agree with the reviewer that the changes*  
35 *are beyond doubt. We propose to add text to say "the changes in the smoothed time series are*  
36 *clearly larger than any internal variability". As indicated in the original text, we are more*  
37 *circumspect about the mid-latitude changes, as there is no consensus between the models and do no*  
38 *claim any significance.*

39

40 Minor issues

41 Although this paper is very well written, there are several instances where I found minor jumps in the  
42 logic. These can be fixed easily.

43

1 Page 319, line 22: Instead of "This study" please write "The present study". The word "This"  
2 otherwise leads back to Marquart et al., which is probably not meant.

3

4 ***Thank you – the change will be made***

5

6 P. 320, l. 21/22: Please rewrite the sentence in the following form: "The consensus is that under  
7 climate change there will be a decrease ... in the upper troposphere ...". (Otherwise I read that there is a  
8 consensus in the upper troposphere).

9

10 ***Thank you – the change will be made***

11

12 P. 321, l. 3: The sentence ending in "Marquart et al." talks about the tropics. As the next sentence talks  
13 immediately about the highest flight levels and the stratosphere, the reader is misled because one  
14 wonders why you are talking about the tropical stratosphere where air traffic is very low. Please  
15 clarify that you are now talking about the extratropics.

16

17 ***Thank you – the clarification will be made***

18

19 P. 322 (bottom)/323 (top): How are these monthly means computed? I assume you compute daily RH  
20 values and average them. Is this correct?

21

22 ***This will be clarified – we do not compute RH values – they are taken directly from the archived***  
23 ***CMIP5 data from each individual model, and represent the time-mean RH (rather than the RH of***  
24 ***the time-mean temperature and absolute humidity)***

25

26 P. 328, sect. 3.2, 1st par.: You might add that the changes are substantial, namely about one third of  
27 current values.

28

29 ***Thank you – the change will be made and also highlighted in the abstract and conclusions, as it is***  
30 ***an important point.***

31

32 P. 332, l. 11: temperatures are lower, not colder

33

34 ***Thank you – the change will be made***

35

36

37 **Reviewer 2**

38

39 This paper focusses on the question, how large the potential influence of projected temperature and  
40 humidity changes in the upper troposphere may be on future ice supersaturation and persistent contrail  
41 climate impact. To this end, a multi-model analysis of the respective parameters, in particular of the  
42 parameterized frequency of ice-supersaturated regions, is made from standard climate projections

1 available from CMIP-5. Conclusions for actual aircraft induced impacts in the future must remain  
2 speculative, as the effect of projected air traffic changes is not included. This limited approach may  
3 look trivial to some, yet I think it is very helpful to understand and to assess this somewhat neglected  
4 aspect of a complex issue, viz., contrail climate im-pact research. The paper is well-written, honest and  
5 balanced in its conclusions, and the physical reasoning for explaining the results is well-conceived  
6 (I'm particularly fond of section 3.2!). I know of two previous studies to address a similar issue (Mar-  
7 quart et al., 2003; Minnis et al., 2004), of which the latter is not mentioned in this pa-per (perhaps  
8 because it does not address ice-supersaturation explicitly?). Yet, I en-courage the authors to add a  
9 discussion (if possible) of Minnis et al.'s results, which seems possible as they also show dedicated  
10 results for mid-latitudes.

11  
12 The present paper should certainly be published after a minor revision.  
13

14 ***We thank the reviewer for the helpful comments***

15  
16 **D) Major comments**

17  
18 • The definition of a model-dependent threshold to mark actual ice-saturated regions is crucial, yet it is  
19 motivated adequately in section 2.2., and may stand as a standardisation setting for the present paper.  
20

21 ***Thank you – we do not believe any action is required as a result of this comment.***

22  
23 • While this is a very detailed comment, referring to the beginning of section 2.2, it is of general  
24 relevance. Frankly speaking, I think the term “ice-supersaturated regions” forms a clean-cut definition  
25 of a region where the air is saturated with respect to ice. Yet, in the context of this paper it is employed  
26 to indicate “regions potentially carrying persistent contrails and contrail cirrus” by adding a  
27 temperature threshold criterion. There's nothing wrong with this, the reasoning for the definition  
28 modification (p. 324, l. 1) being quite comprehensible, but you might adjust the wording in p. 323, l.  
29 29 to avoid the formally self-contradictory definition of ice-supersaturation used now.  
30

31 ***We agree with the reviewer and have adjusted the wording and also adjusted the wording in the***  
32 ***abstract to make clear that a temperature threshold is applied. In addition, throughout the paper we***  
33 ***now refer to Cold ISS (CISS) rather than ISS, for clarity.***

34  
35 • There is no mentioning throughout the paper of the topically similar work of Minnis et al. (2004),  
36 who used measured humidity trends in the upper troposphere to project contrail changes. I strongly  
37 suggest to discuss the results of the present paper in context of those observation-based findings, at  
38 least in the concluding section.  
39

40 ***Thank you for reminding us of this study. Minnis et al. derived relative humidity trends for the***  
41 ***period 1971-1995 from an early version of the NCEP re-analysis and we will incorporate a mention***  
42 ***of this study in the introduction, and will emphasise the need for observational monitoring in the***  
43 ***future in the conclusion. In the main text we propose***

44  
45 ***“Minnis et al. (2004) analysed upper-tropospheric relative humidity trends, derived from reanalyses,***  
46 ***for the period 1979-1995, over northern-hemisphere mid-latitude regions, in the context of changes***  
47 ***in contrail and cirrus occurrence. They found relative humidity decreases of up to 6% per decade,***  
48 ***although they noted that data quality issues meant that these trends should be “viewed with some***  
49 ***scepticism” because of date quality issues.”***  
50 ***while in the conclusions we note***

51 ***“In time, improvement in the global observing system may allow a robust evaluation of the model-***  
52 ***derived humidity trends, which would impact on the confidence with which those trends can be***  
53 ***viewed.”***

1 **II) Minor remarks**

2 1. p. 318, l. 24: From my point of view, contrail cirrus climate impact cannot be regarded to make a  
3 “large” contribution to anthropogenic climate change. Thus, I suggest to limit this sentence to  
4 “Because they make a substantial fraction to aircraft climate impact (e.g. Lee et al., 2009), many ...”

5  
6 ***We agree – the change will be made***

7  
8 2. p. 319, l. 7: The authors may consider here additional references to Schumann et al. (Journal of  
9 Aircraft, 2000), who gave observational evidence for the impact of engine efficiency, and Marquart et  
10 al. (2003), who made dedicated sensitivity tests for the respective effect on contrail radiative forcing.

11  
12 ***Thank you – we will include these two references as suggested.***

13  
14 3. p. 320, l. 2: To emphasize the link of ISS to contrail cover, it may worthwhile to add the following  
15 text and reference: “However, the close link and comparability between ISS and potential contrail  
16 cover has been clearly demonstrated by Burkhardt et al. (2008).”

17  
18 ***We agree that this is a useful point to make and will amend the text***

19  
20 4. P. 322, l. 1: “...historical simulation simulates the present-day climate ...” sounds funny to me,  
21 perhaps change to “... historical simulation tries to reproduce the present-day climate ...”

22  
23 ***We agree a better wording is needed and now say “the historical simulation aims to reproduce”***

24  
25 5. p. 322, l. 28: I would like to see a reference here.

26  
27 ***We presume that the referee refers to our statement that 250 hPa is a typical cruise altitude. We***  
28 ***have now added reference to Wilkerson et al. (2010) specifically “(see e.g. Wilkerson et al. 2010***  
29 ***who show peak emissions at about 10.5 km, with the vast majority of flights cruising at between 10***  
30 ***and 12 km (about 200 to 260 hPa))”***

31  
32 6. p. 323, l. 3: “high humidity regions”? Do I guess correctly that you are meaning “humidity at high  
33 altitudes” (or “upper tropospheric humidity”)?

34  
35 ***We agree this is ambiguous – it was meant to be “regions of high humidity” (we think the high-***  
36 ***altitude is implicit in the context of this paper) and we have modified the text.***

37  
38 7. P. 323, l. 22: “Air traffic ...”, please try to unravel this sentence by simplification.

39  
40 ***We will re-write this sentence to make it less convoluted to say “Air traffic growth is projected in all***  
41 ***three regions , particularly in the tropics; for example, Owen et al. (2010) predict five times as***  
42 ***much air traffic in some regions in 2050 compared to 2000, for the A2 scenario (their Figure 2)***  
43 ***used in the 2007 IPCC assessment (Riahi et al., 2007), on which the RCP8.5 scenario is based.”***

44 8. p. 327, l. 21: I think I generally understand the general reasoning with respect to model biases in  
45 this subsection. Still, it strikes me why (e.g.) MPI-ESM-MR can reproduce closely the ERA-Interim  
46 ISS frequency in northern polar latitudes (Figs. 2a, 2e), when it captures specific humidity quite well  
47 but has a -5K cold bias in that region. To my impression this should imply extreme (relative) dryness.  
48 It may be helpful, beyond giving largely general statements, to un-ravel the combination of effects for  
49 this or some other appropriate example.

50  
51 ***We think the reviewer loses sight of the fact that we have model-dependent relative humidity***  
52 ***thresholds to define the ISS, which is discussed in Section 2.2 and illustrated in Figure 1. Also, if***  
53 ***the humidity is well modelled and the temperature is too low, then the model has “relative***

1 *moistness". For this particular model, the top 10% of RHi points is obtained by using a relative*  
2 *high RHi threshold. We will add extra discussion that the effect of model temperature biases is*  
3 *"ameliorated to some extent (at least at the global-mean level) by the choice of a model-dependent*  
4 *CISS threshold (Table 1 and Figure 1)."*

5  
6 9. p. 329, l. 26: "... may be less significant in terms of persistent contrails ...", do you mean that some  
7 or many of the additional contrails will be too thin to increase the contrail coverage? This may be true  
8 but not for sure (see Marquart et al., their Fig. 3). Perhaps, limit the statement to "... less significant in  
9 terms of persistent contrail climate impact ...", which is fully in line with the reasoning of this  
10 paragraph.

11  
12 ***We agree with this nuanced wording***

13  
14 10.p. 331, l. 6: Please, change to "Our analysis ...", as the statement doesn't hold for general ISS  
15 research.

16  
17 ***We agree with this nuanced wording***

18  
19 11.p. 331, l. 19: This sentence confused me a little bit, what is meant by "other levels"? And why  
20 should the agreement between models facilitate an extrapolation of findings at one level to other  
21 levels, anyway?

22  
23 ***We think our wording was not clear – we meant that the analysis at 250 hPa is likely to hold for a***  
24 ***wider range of cruise altitudes other than 250 hPa, based on the fact that the monthly-mean***  
25 ***analysis shows the change is similar across this range. The wording will be improved to say "which***  
26 ***suggests that the increase in CISS frequency predicted in this region at 250 hPa will be also occur***  
27 ***at other cruise altitudes."***

28  
29 12.p. 332, l. 3: If there is anything to be gained from existing publications on the GFDL-ESM2G  
30 simulations that may help to understand the strange behaviour of that model in the tropical upper  
31 troposphere, it ought to be mentioned here. If not, it would be regrettable, but not due to your fault, so  
32 leave it this way ...

33  
34 ***We agree that the GFDL-ESM2G simulation is different, although we would not necessarily call it***  
35 ***strange. We are unaware of any detailed discussions of this behaviour.***

36  
37 13.Section 4: I see some reason to mention Fig. 3 from Marquart et al. (2003) in this concluding  
38 discussion section, because it supports a lot of expected consequences for contrail cover formulated  
39 here.

40  
41 ***We agree – we will include a short discussion to say "The results are broadly consistent with those***  
42 ***of Marquart et al. (2003), where the focus was on predicting changes in contrail cover for specified***  
43 ***distributions of air traffic growth, rather than the frequency of CISS. In their simulations, the***  
44 ***impact of climate change reduces 2050 contrail cover by 20% compared to the case with no climate***  
45 ***change, with that decrease concentrated in the tropics."***

46 **Reviewer 3**

47  
48 This manuscript addresses an important question concerning the future changes in potential  
49 contrail coverage as a result of climate change, .. In my opinion the scientific contribution and the  
50 quality of the manuscript fulfills all the requirements to be published in ESD in its present version.

51  
52 ***We thank the reviewer for these very positive statements.***

1  
2 Minor suggestions: Section 4 line 17 “are” should be “is”.

3  
4 ***We agree – the change will be made***

5  
6 The distribution of the profiles in Fig. 7 could be changed to increase their size.

7  
8 ***This is a typesetting issue in ESDD which chooses to use landscape format on figures which are***  
9 ***fine in portrait mode.***

10  
11 **Reviewer 4**

12  
13 General comment:

14 ... Generally, this is an interesting topic and the study provides new and interesting results about the  
15 change of ice supersaturation in the tropopause region ..

16  
17 ***We thank the reviewer for the helpful comments***

18  
19 Major points

20 1. For the investigations the authors use just daily data for one pressure level (250hPa) for the  
21 investigation of ice supersaturation in the tropopause region. They argue that most of the relevant  
22 flights will occur around this pressure level. There are at least three concerns, which should be  
23 discussed by the authors:

24  
25 (a) It is not clear how the pressure level 250hPa is represented in the model data. Obviously, the  
26 model levels will have a certain extension representing a vertically thick layer. The authors  
27 should indicate which vertical extended layer is represented by the level 250hPa; is it a layer  
28 centred at  $p = 250\text{hPa}$  with vertical extension of 50hPa (since they indicate other pressure  
29 levels as 150, 200, 300hPa, etc.), i.e. representing the range 225 – 275hPa?

30  
31 ***This is a misunderstanding of the nature of the CMIP5 output and we must clarify this point in the***  
32 ***revised manuscript (in particular around 322:26-29). The 250 hPa CMIP5 data is standard output***  
33 ***required by CMIP5. Each modelling group interpolates data from their own model grid on to this***  
34 ***pressure level. We will add text to say “(in the UTLS regions these are 500, 250, 100 and 50 hPa,***  
35 ***with each CMIP5 modelling group interpolating to these pressures from their own model’s grid)”.***

36  
37 (b) From MOZAIC/IAGOS measurements (see e.g. <http://www.iagos.fr/web/>) it is known  
38 that a large portion of long-distance flights is located in the range  $p < 250\text{hPa}$  or even in  
39 the range  $p < 220\text{hPa}$ . Thus, an investigation of pressure level 250hPa might just give a  
40 part of information relevant for contrail formation. The authors should think about extending  
41 their study including the pressure level 200hPa, since most of the relevant long-distance flights

1 would be covered by these two levels. Of course, the question about the vertical extension of  
2 the pressure layer is related to this issue.

3  
4 *See also our response to the previous point. We need to be explicit in the revised manuscript (at*  
5 *322:26) that the daily data is only available on a very limited number of levels (in the UTLS region*  
6 *these are 500, 250, 100 and 50 hPa). Hence 250 hPa is the only one suitable for this analysis. Of*  
7 *course we are aware that 250 hPa is an not a perfect proxy for cruise altitude (but neither is it a bad*  
8 *one) but this is precisely the reason why we also present the monthly-mean data for which more*  
9 *levels are available (see 323:2) to provide at least some check of this.*

10

11 (c) The use of daily data might also cause some underestimation of ice supersaturation frequency.  
12 Our knowledge about life cycles of ice supersaturation is quite limited. It is often assumed that  
13 large scale dynamics with time scales of days triggers ice supersaturation in the tropopause  
14 region. However, recent studies (e.g. Irvine et al., 2014) indicated that Lagrangian life times  
15 of air parcels in supersaturated conditions might be smaller than 24 hours. Thus, the authors  
16 should describe carefully, how this influences their investigations; probably, just a lower limit  
17 can be derived from their evaluations. A similar issue constitutes the use of monthly mean  
18 data for other vertical layers.

19

20 *We agree that the time resolution is an issue, but are surprised to see this labelled as a major point.*  
21 *We will add text to say “We note that even the use of daily data will fail to resolve ice supersaturated*  
22 *regions with shorter lifetimes”. However, the reviewer should recognise that the Lagrangian*  
23 *lifetime calculated in Irvine et al. 2014 represents the time that an individual parcel remains*  
24 *saturated, not the duration of the region of ice supersaturation itself.*

25

26 2. The temperature criterion for the definition of ice supersaturation seems a bit artificial and might  
27 lead to artificial biases. It is true that the temperature limit of  $T = 233\text{K}$  coincides almost with the  
28 Schmidt-Appleman criterion, although the limits would be possibly situated at lower temperatures  
29 (see e.g. Gierens et al., 1997, figure 1). However, for the pressure level of 250hPa I would expect  
30 such low temperatures (i.e.  $T < 240\text{--}245\text{K}$ ) that the frequency of occurrence for pure supercooled  
31 water should be very small if not almost zero (see e.g. Pruppacher and Klett, 2004, fig. 2-33). The  
32 introduction of the temperature criterion could result into an artificial bias for the data evaluation,  
33 as already indicated by the authors. Since some models seem to tend to higher temperatures in  
34 the tropopause region, the frequency of occurrence for ice supersaturation could be masked by the  
35 temperature criterion. Thus, it is not clear how robust the results are.

36

37 Therefore I would suggest additional evaluations:

38

39 (a) The authors should carry out the same data evaluation with no temperature criterion or with

1 a changed criterion (e.g. setting the threshold to  $T = 238/243\text{K}$ ). This should provide a hint  
2 about the robustness of the results. The existence of ice supersaturation is not only important  
3 for persistent contrails but also for the formation of natural clouds, thus investigations without  
4 a temperature threshold would provide additional information.

5  
6 (b) If the authors would prefer to stay with the temperature criterion of  $T < 233\text{K}$ , they should  
7 introduce a second data category, i.e.  $T \geq 233\text{K}$  and carry out the same investigations for  
8 this category (maybe with the additional constrain of  $T < 243\text{K}$  or similar constrains to avoid  
9 liquid water). This would give an answer about the robustness of the results, too. In addition,  
10 they could study the transition between the two cases, which would also provide additional  
11 information about potential contrail formation (concerning the Schmidt-Appleman criterion).

12  
13 *We cannot agree that the application of a temperature criterion is artificial in the context of*  
14 *persistent contrail formation – as the Reviewer points out, it is a consequence of the Schmidt-*  
15 *Appleman criteria, and it is clear that Reviewer 2 agrees with our approach. Nor do we understand*  
16 *the statement that the temperature criterion could lead to an artificial bias. A bias in what? We will*  
17 *add additional text to point at 324:4 to make clear that the Schmidt-Appleman criteria is not, in*  
18 *reality, a fixed temperature but is dependent on other parameters - specifically: “although we note*  
19 *that in reality the threshold temperature is somewhat dependent on altitude, humidity, fuel type and*  
20 *engine efficiency (Schumann, 1996).” We also note (see response to Reviewer 2 comment 8), that*  
21 *the application of the model-dependent relative humidity threshold for ISS acts to ameliorate the*  
22 *effect of the temperature bias. Concerning point (a) we do note the relevance of our study to the*  
23 *formation of natural clouds, but we also make clear that this is not the focus, nor the motivation,*  
24 *for present study (see also comment by Reviewer 1), which is firmly on the subject of persistent*  
25 *contrails. Nevertheless we will add text at the end of Section 3.2 to indicate global-mean impact*  
26 *when applying no temperature threshold:*

27 *“Since the ISS changes without application of the temperature threshold are also of interest,*  
28 *beyond the context of contrail formation, we briefly comment on the ISS trends. Since the tropics*  
29 *dominate the global-mean, and the tropical CISS results are strongly influenced by the temperature*  
30 *threshold, the global-mean ISS trends are expected to be less strong than their CISS counterparts.*  
31 *The global-mean values corresponding to the time-period in Table 1 are -1.5% (EC-EARTH),*  
32 *+4.9% (GFDL-ESM2G), -0.004% (HadGEM2-CC), -1.5% (MIROC5) and -1.2% (MPI-ESM-MR).*  
33 *All models show an increase in polar regions, albeit less strong than indicated for CISS in Table 2,*  
34 *while all models show a decrease in the tropics, with the exception of GFDL-ESM2G which shows*  
35 *an increase, which hence strongly influences the global-mean response in that model. As will be*  
36 *discussed in Section 3.3, the GFDL-ESM2G model has a quite different predicted relative humidity*  
37 *response in the tropical upper troposphere compared to the other models discussed here, with*  
38 *increases near 250 hPa”.*

39 3. The authors discuss the results in a quite qualitative manner. However, the origin for changes in  
40 relative humidity and thus in the frequency of occurrence of ice supersaturation remains unclear.

41 The authors should try to investigate, which variables contribute to increase/decrease of ice  
42 supersaturation dominantly. For instance, it is not clear if changes in temperature or in specific  
43 humidity contribute most to changes in ice supersaturation. It is not clear to me, if the available data is  
44 good enough for investigating such quantitative issues, but the authors should at least comment on that  
45 issue.



1 We agree that it is useful for the reader to understand the reasons for the change in relative  
2 humidity distribution, but we note that this has been the topic of major studies already. The purpose  
3 of our paper is to understand the consequence of the changes in the context of contrails.  
4 Accordingly, in the introduction we have added text to state “Wright et al. (2010) and Sherwood et  
5 al. (2010) discuss in detail the reasons for the changing distributions of relative humidity. Briefly,  
6 the tropical decrease is driven by the vertical and poleward expansion of Hadley circulation and the  
7 changes in temperature in regions where air parcels reaching the upper troposphere are last  
8 saturated. In the extratropics, changes in relative humidity are largely driven by temperature  
9 changes. In the context of contrails, a further mechanism is at play, because contrail formation is  
10 dependent on the air being below a given threshold temperature (Schumann, 1996 and see Section  
11 2.2)”. Further we do not agree that we have been qualitative in the impact of the change in  
12 threshold temperature on tropical ISS frequency – Figure 6 addresses this issue in a fully  
13 quantitative manner.

14

15 Minor points:

16

17 1. The representation of the thermal tropopause is usually not very good in climate models. Actually,  
18 the vertical gradients are usually weaker than in nature due to coarse resolutions. Thus, it is not  
19 clear to me how a misrepresentation of the tropopause height in the models might influence ice  
20 supersaturation in the tropopause region. Maybe the impact is not that strong, but it is not clear  
21 at all. The authors should discuss this issue in more details, regarding the quality of representation  
22 of this transport barrier in climate models.

23

24 *We frankly do not know what to do with this comment, beyond noting that the reviewer labels this*  
25 *as a “minor point”. It starts with an unreferenced assertion about the mis-representation of the*  
26 *tropopause (and the cause of that mis-representation) and then concludes with a request that we*  
27 *discuss a point that goes well beyond the topic of our paper. We have presented a warts-and-all*  
28 *analysis of our selected models, in terms of their representation of temperature and humidity in the*  
29 *upper troposphere (see especially Figure 3), the origins of which likely go well beyond the*  
30 *representation of the tropopause (for example, vertical moisture and heat transport).*

31

32 2. A more quantitative evaluation of the 2D distributions of annual ISS frequency should be carried  
33 out (figure 2).

34

35 *We have added text in the relevant paragraph of Section 3.1, giving a more quantitative evaluation*  
36 *as requested.*

37

38 Technical comment:

39 The colour bar for figure 2 is very hard to read. Please change it by including more colours for a better  
40 discrimination of ISS frequency.

41

42 *We should have pointed out that Figure 4 includes the labelled contours of the present day CISS*  
43 *distribution. We experimented with several different versions of Figure 2, and decided the current*

1 *version was best for giving a visual feel of the distribution of areas of high CISS. We will amend the*  
2 *text in Section 3.1, and the caption of Figure 2, to make this clear.*

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# Ice-supersaturation and the potential for contrail formation in a changing climate

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Deleted: The

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[Revised June 2015](#)

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## Abstract

Ice-supersaturation (ISS) in the upper-troposphere and lower stratosphere is important for the formation of cirrus clouds and long-lived contrails. ~~Cold ISS (CISS) regions (taken here to be ice supersaturated regions with temperature below 233 K) are most relevant for contrail formation.~~ We analyse projected changes to the 250 hPa distribution and frequency of CISS regions over the twenty-first century using data from the Representative Concentration Pathway 8.5 simulations for a selection of Coupled Model Intercomparison Project Phase 5 models. The models show a global-mean annual-mean decrease in CISS frequency by about one-third, from 11% to 7% by the end of the twenty-first century, relative to the present-day period 1979-2005. Changes are analysed in further detail for three sub-regions where air traffic is already high and increasing (northern hemisphere mid-latitudes) or expected to increase (tropics and northern hemisphere polar regions). The largest change is seen in the tropics, where a reduction of around 9 percentage points in CISS frequency by the end of the century is driven by the strong warming of the upper troposphere. In the northern hemisphere mid-latitudes the multi-model mean change is an increase in CISS frequency of 1 percentage point; however the sign of the change is not only model-dependent but also has a strong latitudinal and seasonal dependence. In the northern hemisphere polar regions there is an increase in CISS frequency of 5 percentage points in the annual-mean. These results suggest that over the 21<sup>st</sup> century climate change may have large impacts on the potential for contrail formation; actual changes to contrail cover will also depend on changes to the volume of air traffic, aircraft technology and flight routing.

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### 3 1 Introduction

4 Regions of ice-supersaturation (ISS) are a relatively common feature of the upper-  
5 troposphere. Aircraft flying through ISS regions may form persistent contrails, which have  
6 been shown to contribute to anthropogenic climate change. Because [they make a, potentially](#)  
7 [large contribution to the climate impact of aviation](#) (e.g. Lee et al. 2009), many studies have  
8 considered possible strategies to reduce contrail formation in the future, for example by  
9 developments to engine technology (Gierens et al. 2008, Haglind 2008) or by changing  
10 aircraft altitude (Williams et al. 2002, Fichter et al. 2005, Mannstein et al. 2005, Rädcl and  
11 Shine 2008, Schumann et al. 2011, Deuber et al. 2013) or route (Sridar et al. 2013, Irvine et  
12 al. 2014b, Soler et al. 2014, Zou et al. 2014) to avoid flying through ISS regions. In addition,  
13 it is likely that contrail formation will become more frequent due to increased air traffic, and  
14 the introduction of newer more efficient engines, which consume less fuel but allow contrail  
15 formation to occur at [higher](#) temperatures and so over a wider range of cruise altitudes than at  
16 present (Schumann, 2000, [Schumann et al. 2000, Marquart et al. 2003](#)). Using projected  
17 future air traffic scenarios, including an increase in engine propulsion efficiency, but with a  
18 present-day climate, Gierens et al. (1999) projected that global-mean contrail cover would  
19 increase by a factor of between 3 and 9 by 2050 (depending on the scenario used) relative to  
20 1992.

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22 One additional factor in determining future contrail cover which has received much less  
23 attention is how climate change itself may alter the likelihood of contrail formation, by  
24 causing changes to the frequency and distribution of ISS regions. [Minnis et al. \(2004\)](#)  
25 [analysed upper-tropospheric relative humidity trends, derived from reanalyses, for the period](#)  
26 [1979-1995, over northern-hemisphere mid-latitude regions, in the context of changes in](#)  
27 [contrail and cirrus occurrence. They found relative humidity decreases of up to 6% per](#)  
28 [decade, although they noted that data quality issues meant that these trends should be “viewed](#)  
29 [with some scepticism”](#). Marquart et al. (2003) found that, to 2050, climate change had a  
30 smaller impact on contrail cover than increasing air traffic. There was some regionality to the  
31 calculated changes in contrail cover; in the tropics the impact of climate change was  
32 important but in the northern hemisphere mid-latitudes, a region where present-day air traffic

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1 is already high, an increase in air traffic was more important than any climate changes.  
2 Marquart et al. (2003) combined time-slice simulations from a single climate model with air  
3 traffic projections, both for 2050; together these increased the global-mean contrail cover by a  
4 factor of 3.7, relative to 1992. The present study makes use of the latest climate projections  
5 submitted to IPCC (2013), which extend out to 2100, allowing the assessment of changes to  
6 ice-supersaturation not only over a longer time period, but also an examination of the time  
7 evolution of these changes. Further, by comparing the results from multiple climate models,  
8 we can assess the robustness of our conclusions. Unlike the Marquart et al. (2003) and  
9 Gierens et al. (1999) studies, we do not attempt to calculate contrail cover using air traffic  
10 projections; the focus of this paper is the impact of climate change on ISS regions,  
11 independent of changes to air traffic, aircraft technology or routing. However, the close link  
12 between ISS and potential contrail cover has been clearly demonstrated by Burkhardt et al.  
13 (2008).

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15 Regions of ice-supersaturation are generally shallow and located close to the tropopause,  
16 which makes their global distribution highly variable with altitude. They are typically  
17 associated with ascending air streams (Gierens and Brinkop 2012, Irvine et al. 2014a), such as  
18 those found in frontal systems and jet streams in the mid-latitudes or deep convection in the  
19 tropics (Kästner et al. 1999, Spichtinger et al. 2005, Gettelman et al. 2006, Luo et al. 2007,  
20 Irvine et al. 2012), but also around high pressure ridges (Immler et al. 2008, Gierens and  
21 Brinkop 2012, Irvine et al. 2014a). The present-day global distribution of ISS regions, as  
22 determined by satellite and aircraft observations, tends to coincide with regions where these  
23 features occur. For example, in-situ aircraft and satellite measurements around the highest  
24 aircraft cruise altitudes (~ 200 hPa) show the highest frequencies of ISS in the tropics, in  
25 regions with deep convection (Spichtinger et al. 2003b, Gettelman et al. 2006, Luo et al.  
26 2007, Lamquin et al. 2012). High frequencies are also found to coincide with the mid-latitude  
27 storm tracks, where the frequency is highly variable with altitude (e.g. Irvine et al. 2012,  
28 Lamquin et al. 2012), and in high latitude regions, particularly over the southern hemisphere  
29 polar regions (Gettelman et al. 2006, Lamquin et al. 2012).

30  
31 The present-day distribution of ISS could be affected by climate change in two ways: firstly,  
32 via changes to humidity, and secondly via changes to temperature which may make a region

1 too warm to support contrail formation. The consensus is that, under climate change, in the  
 2 upper-troposphere and lowermost stratosphere there will be a decrease in relative humidity in  
 3 the tropics and increases towards the poles, with a transition at mid-latitudes (e.g. Lorenz and  
 4 DeWeaver 2007, Wright et al. 2010, Sherwood et al. 2010). This suggests that the pattern of  
 5 the response of ISS regions to climate change will be regional rather than globally uniform.  
 6 Wright et al. (2010) and Sherwood et al. (2010) discuss in detail the reasons for the changing  
 7 distributions of relative humidity. Briefly, the tropical decrease is driven by the vertical and  
 8 poleward expansion of Hadley circulation and the changes in temperature in regions where air  
 9 parcels reaching the upper troposphere are last saturated. In the extratropics, changes in  
 10 relative humidity are largely driven by temperature changes. In the context of contrails, a  
 11 further mechanism is at play, because contrail formation is dependent on the air being below a  
 12 given threshold temperature (Schumann, 1996 and see Section 2.2)  
 13 Climate models predict a general warming of the upper troposphere with climate change  
 14 (Thorne et al. 2011), which is projected to be strongest in the tropics. Due to this warming,  
 15 and since present-day temperatures at typical aircraft cruise altitudes in the tropics are often  
 16 close to the threshold temperature for contrail formation, it is in the tropics that we might  
 17 expect to see the largest impact of climate change on contrail cover, as was indeed found by  
 18 Marquart et al. (2003). Outside of the tropics, the uppermost flight levels used by commercial  
 19 aircraft are often in the lowermost stratosphere, particularly over the polar regions. Here  
 20 climate models predict a general cooling, which is the main driver of the increased relative  
 21 humidity, although the impact on threshold temperatures in the polar regions is likely limited  
 22 since temperatures are generally well below those required for contrail formation.

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23  
 24 This study analyses changes in ISS over the twenty-first century in a selection of models from  
 25 the Coupled Model Intercomparison Project Phase 5 (CMIP5) multi-model ensemble (Taylor  
 26 et al. 2012). These data are described in Section 2.1. Data from simulations of the 21st  
 27 century with a high greenhouse gas emissions pathway (named RCP8.5 in the CMIP5  
 28 experiments) are compared to simulations of the present-day climate. ERA-Interim re-  
 29 analysis data are used to evaluate the distribution of ISS in the present-day climate  
 30 simulations of the CMIP5 models (Section 3.1). Changes to the global frequency and  
 31 distribution of ISS are analysed for an end of century time period. The end-of-century  
 32 change, as well as the time evolution of this change and its seasonal aspects are analysed

1 further for three regions of interest: the tropics, northern hemisphere (NH) mid-latitudes and  
2 NH polar regions (Section 3.2). Finally, since the daily-mean data used in this study are only  
3 available on a single pressure level relevant to contrail formation, monthly-mean data are used  
4 to understand whether the conclusions reached from the single-level data might be applicable  
5 to the range of aircraft flight altitudes (Section 3.3). Conclusions are presented in Section 4.

6

## 7 **2 Method**

### 8 **2.1 Data**

9 Climate model data from the CMIP5 multi-model archive were used, from two simulations:  
10 historical and representative concentration pathway (RCP) scenario 8.5 (Taylor et al., 2012).

11 The historical simulation **aims to reproduce** the present-day climate by forcing the models  
12 with observed or simulated greenhouse gas and aerosol concentrations; for this study we take  
13 data from the historical simulation for the period 1979-2005. The RCP8.5 simulation uses  
14 economic scenarios to estimate future emissions of greenhouse gases and the resulting  
15 impacts on climate, for the period 2006-2099. RCP8.5 describes a world where there is little  
16 mitigation of greenhouse gas emissions, such that by 2100, emissions reach three times their  
17 2000 values (Riahi et al., 2011). This leads to a global-mean radiative forcing of  $8.5 \text{ W m}^{-2}$   
18 and a surface temperature increase of about  $4^\circ\text{C}$  by 2100 (IPCC, 2013). RCP8.5 has the  
19 highest emissions and largest warming of the scenarios considered by IPCC (2013). This  
20 implies that we are analysing the maximum likely changes to ISS from climate change; more  
21 moderate emissions scenarios cause less warming, particularly in the second half of the 21<sup>st</sup>  
22 century, and therefore an evaluation of these simulations would likely show smaller changes  
23 than in the RCP8.5 simulations.

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25 For the purposes of this study, a selection of five CMIP5 models were chosen to analyse.  
26 Data were used from EC-EARTH (Hazeleger et al. 2010; Hazeleger et al. 2012), GFDL-  
27 ESM2G (Dunne et al. 2012), HadGEM2-CC (Martin et al. 2011; Collins et al. 2011),  
28 MIROC5 (Watanabe et al. 2010) and MPI-ESM-MR (Stevens et al. 2013). These models  
29 have been shown to have a good representation of key circulation features (Lee and Black  
30 2013; Davini and Cagnazzo 2013). In addition, EC-EARTH was chosen because it explicitly  
31 represents ice-supersaturation in its cloud scheme (it is based on a similar version of the

1 European Centre for Medium-Range Weather Forecasts (ECMWF) forecast model as ERA-  
 2 Interim; a description of the model version is given in Hazeleger et al. 2012). The resolution  
 3 of the CMIP5 models used ranges from 1.2 degrees to 2.0 degrees (Table 1). The majority of  
 4 this study uses daily-mean global data, for which data are available on a limited number of  
 5 pressure-levels (in the UTLS regions these are 500, 250, 100 and 50 hPa, with each CMIP5  
 6 modelling group interpolating to these pressures from their own model's grid). Data are used  
 7 on the 250 hPa level, as this corresponds most closely to typical aircraft cruise altitudes (see  
 8 e.g. Wilkerson et al. 2010 who show peak emissions at about 10.5 km, with the vast majority  
 9 of flights cruising at between 10 and 12 km (about 200 to 260 hPa)), and so is most  
 10 appropriate to study changes in ISS that are relevant to aircraft contrail formation. We note  
 11 that even the use of daily data will fail to resolve ice supersaturated regions with shorter  
 12 lifetimes. To investigate whether the changes seen at the 250 hPa level might also be observed  
 13 at other cruise altitudes, monthly-mean relative humidity data archived for each model were  
 14 used; these data are available on seven pressure levels between 500 hPa and 100 hPa (500,  
 15 400, 300, 250, 200, 150 and 100 hPa).

16 As an evaluation of regions of high humidity in the historical simulations of the CMIP5  
 17 models, re-analysis data from the ECMWF Interim re-analysis (ERA-Interim; Dee et al. 2011)  
 18 were used. Daily-mean data at a pressure level of 250 hPa, as well as monthly-mean data  
 19 were used, for the period 1979-2005. The data are available at a horizontal resolution of 0.7  
 20 degrees. ERA-Interim is particularly suited to studies of ISS since ISS is explicit within the  
 21 cloud scheme (Tompkins et al. 2007). This has led to an improved humidity analysis at  
 22 upper-levels although the analyses show a general dry bias when compared to Atmospheric  
 23 Infra-Red Sounder satellite measurements (Lamquin et al. 2009); the ISS frequency in the  
 24 model climate is lower than observed in the tropics, particularly over the Maritime continent,  
 25 African continent and South America (Tompkins et al. 2007). Forecasts of ice-supersaturated  
 26 regions produced using the same model version as used to produce the re-analyses also  
 27 validate well against radiosonde observations and visual observations of contrails (Rädel and  
 28 Shine 2010).

29  
 30 In addition to analysing changes to the global frequency and distribution of ISS, regional  
 31 changes are also analysed. Three sub-regions of interest are defined: the tropics (30 °S – 30  
 32 °N), northern hemisphere mid-latitudes (40 °N – 60 °N) and NH polar regions (70 °N – 90

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1 °N). The choice of these three regions is motivated by the present-day distribution of air  
2 traffic and projected changes during the 21<sup>st</sup> century. Of these three regions, the NH mid-  
3 latitude region currently has the highest proportion of global air traffic (e.g. Wilkerson et al.,  
4 2010). Air traffic growth is projected in all three regions, particularly in the tropics; for  
5 example, Owen et al. (2010) predict five times as much air traffic in some regions in 2050  
6 compared to 2000, for the A2 scenario (their Figure 2) used in the 2007 IPCC assessment  
7 (Riahi et al., 2007), on which the RCP8.5 scenario is based.

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## 9 2.2 Definition of ice-supersaturation

10 Regions of ice-supersaturation are defined using both a relative humidity with respect to ice  
11 (RH<sub>i</sub>) and a temperature threshold. Typically, for persistent contrail formation, the RH<sub>i</sub>  
12 should be greater than 100 %, and the temperature should be below a threshold value of 233  
13 K. This temperature threshold is necessary in order to avoid considering regions where mixed  
14 phase or supercooled clouds could form, and is additionally consistent with the threshold  
15 temperature for contrail formation at cruise altitudes, defined by the Schmidt-Appleman  
16 criterion (Schumann 1996). we note that in reality the threshold temperature is somewhat  
17 dependent on altitude, humidity, fuel type and engine efficiency (Schumann, 1996). To make  
18 it clear that the temperature threshold has been applied, we refer to cold ISS (CISS)  
19 henceforth. We note that climate models, including the CMIP5 models analysed here, often  
20 have large temperature biases in their representation of the upper troposphere in the present-  
21 day climate. The use of a fixed temperature threshold may therefore lead to an  
22 underestimation or overestimation of the amount of CISS, depending on the direction of the  
23 bias, in regions where the true temperature is often close to this temperature threshold. The  
24 temperature biases of the CMIP5 models used in this study are analysed in Section 3.1.

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26 For studies based on model data, it is appropriate to select an RH<sub>i</sub> threshold below 100%, to  
27 account for sub-gridscale variability in the humidity field, and the relatively coarse horizontal  
28 resolution of the model data in comparison to typical sizes of CISS regions. For example, the  
29 horizontal grid spacing of the CMIP5 models used here (Table 1) is of similar size to the  
30 mean size of CISS regions, of 150 km, reported by in-situ aircraft measurements (Gierens et  
31 al. 2000). However we find it problematic to use a single RH<sub>i</sub> threshold to define CISS at a

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1 single level for a set of models that have varying horizontal resolution, model  
2 parameterisations and biases. For example, using a RHi threshold of 90% gives annual-mean  
3 global-mean [CISS](#) frequencies ranging from 1% to 19% (compared to 11% for ERA-Interim)  
4 for the historical period for the five CMIP5 models used here. This vast range makes it  
5 difficult to compare the [CISS](#) distributions between the models. The model range of [CISS](#)  
6 frequencies is likely because of different representations of cloud processes and water vapour  
7 transport. These lead to distinctly different RHi distributions between the models (Figure 1);  
8 GFDL-ESM2G and HadGEM2-CC have a higher proportion of lower RHi values than ERA-  
9 Interim, whereas MPI-ESM-MR has a higher proportion of very high RHi values.

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11 To enable a fair comparison between the CMIP5 models, instead of using a fixed RHi  
12 threshold we chose to use a threshold that varied by model. This is justified since we do not  
13 seek to quantify the frequency of [CISS](#) in each model for a particular region or time; rather  
14 we are interested in comparing the spatial distribution of [CISS](#) between the models, and  
15 quantifying the change in [CISS](#) frequency between the future and historical simulations of the  
16 same models. The threshold was defined as follows: for each model, we calculated the  
17 cumulative probability distribution of RHi (Figure 1) using RHi data directly from each  
18 model, and found the RHi value corresponding to the 90<sup>th</sup> percentile of RHi. The resulting  
19 model-dependent RHi thresholds are given in Table 1. For ERA-Interim the RHi threshold is  
20 92 %, and for the CMIP5 models the thresholds range from 72 % (GFDL-ESM2G) to 98 %  
21 (EC-EARTH).

22

23 Using the 233 K temperature and model-dependent RHi thresholds specified above, the  
24 global-mean annual-mean 250 hPa [CISS](#) frequency over the period 1979-2005 is 8.1% in  
25 ERA-Interim, and varies between 10.1 % and 12.1% in the historical simulations of the  
26 CMIP5 models (Table 1). Applying this [RHi](#) threshold ensures that the global-mean [CISS](#)  
27 frequency is close to the ‘observed’ frequency, without constraining the regional distribution  
28 of ISS frequency.

29

## 1 3 Results

### 2 3.1 Cold ice-supersaturation in the present-day climate

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3 The annual-mean distribution of CISS at 250 hPa in the present-day climate for the period  
4 1979-2005 is shown for ERA-Interim in Figure 2(a). At this pressure level, there are high  
5 frequencies (exceeding 20%) of cold ice-supersaturation over the tropics, although as  
6 previously noted ERA-Interim is known to underestimate CISS in this region (Tompkins et al.  
7 2007). The distribution of high ISS frequencies in the tropics is not uniform and is linked to  
8 regions of deep convection; high frequencies are observed in particular over the northern  
9 Indian ocean and Maritime continent and also parts of central Africa, in agreement with in-  
10 situ aircraft measurements (Luo et al. 2007). Some of the highest frequencies of CISS  
11 (exceeding 20%) in ERA-Interim are in the southern hemisphere polar region, south of 70 °S.  
12 These are also observed by satellite measurements (e.g. Gettelman et al. 2006, Lamquin et al.  
13 2012), but the future evolution of these are of little interest to this study because of the lack of  
14 air traffic in this region. There are also elevated frequencies in the mid-latitude regions and  
15 over Russia. In particular, regions of high frequencies of CISS are found in the north Atlantic  
16 and north-west Pacific regions; their location and south-west to north-east tilt suggest they are  
17 related to the storm-tracks in these regions (e.g. Irvine et al. 2012).

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19 The distribution of CISS in the historical simulations of the CMIP5 models for the same time  
20 period are shown in Figures 2(b)-(f). These can be qualitatively compared to the distribution  
21 in ERA-Interim (Figure 2(a)) in order to assess the performance of each model in simulating  
22 CISS in the present-day climate. (Values, as labelled contours, will also shown as the  
23 underlay in Figure 4). There are differences in the distribution of CISS between the CMIP5  
24 models, even though the way the RHi threshold for CISS has been defined means that the  
25 global-mean annual-mean CISS frequency in each model is similar. All models qualitatively  
26 reproduce the main features of the ERA-Interim CISS distribution although with varying  
27 frequencies; all models have high frequencies of CISS in the tropics, and in mid-latitude  
28 storm tracks. EC-EARTH (Figure 2(b)), GFDL-ESM2G (Figure 2(c)) and MPI-ESM-MR  
29 (Figure 2(f)) also have high CISS frequencies in the southern hemisphere polar region  
30 (exceeding 20% in some areas), in similar locations, and with similar frequencies, to ERA-  
31 Interim; EC-EARTH and MPI-ESM-MR also have high ISS frequencies over Russia

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1 ~~(exceeding 14%) in reasonable agreement with ERA-Interim~~, which are missing from GFDL-  
2 ESM2G at this level ~~(where there are large areas at less than 2%)~~. HadGEM2-CC (Figure  
3 2(d)) has somewhat lower frequencies of CISS over Antarctica ~~(less than 8% in some regions)~~  
4 and Russia at this level ~~(with maxima no more than 8%)~~ than ERA-Interim. Of the five  
5 CMIP5 models analysed, the MIROC5 model distribution of CISS (Figure 2(e)) has the  
6 largest differences from ERA-Interim outside the tropics: the CISS frequency in the mid-  
7 latitudes is the highest of any of the models ~~(exceeding 26% in the Pacific)~~, while the CISS  
8 frequencies in the southern polar region are ~~less than 2% over large areas~~.

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10 There are several reasons why we might expect to find differences between the distribution of  
11 CISS in ERA-Interim and the CMIP5 models. The use of a single pressure level to analyse  
12 CISS is one factor since regions of CISS are typically shallow, and located close to the  
13 tropopause (Spichtinger et al. 2003a, Rädcl and Shine 2007). Satellite-derived climatologies  
14 of ISS frequencies show significant differences in ISS frequency and distribution at different  
15 levels in the upper-troposphere (e.g. Spichtinger et al. 2003b, Lamquin et al. 2012). Hence  
16 any bias in tropopause height in the models, particularly in the mid-latitudes where the 250  
17 hPa level is often close to the tropopause, would bias the resulting CISS frequencies. Since  
18 the CMIP5 data archive retains model data on only a limited number of pressure levels in the  
19 upper-troposphere, we do not attempt to compute a bias in tropopause height for each model.

20  
21 An additional reason for the differences between the models in Figure 2 is that the CMIP5  
22 models exhibit substantial temperature biases at the 250 hPa level when compared to ERA-  
23 Interim, ~~although this is ameliorated to some extent (at least at the global-mean level) by the~~  
24 ~~choice of a model-dependent CISS threshold (Table 1 and Figure 1)~~. Figure 3 (panels (a), (c)  
25 and (e)) shows pdfs of temperature for ERA-Interim and each CMIP5 model for the three sub  
26 regions of interest. The size of the temperature bias varies by model and region, but is  
27 typically a few Kelvin in magnitude, with almost all models and regions biased cold. Since a  
28 region is only considered as ice-supersaturated if the temperature is below the 233 K  
29 threshold (shown as a dashed line on Figure 3), a cold bias could lead to an overestimation of  
30 ice-supersaturation in regions where the temperature is often close to this threshold. Figure 3  
31 shows that for the NH mid-latitude (Figure 3(c)) and polar (Figure 3(e)) regions, the  
32 temperature threshold is at the upper-limit of the temperature pdf in the present-day climate,

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1 and so the bias will have little impact on CISS frequency. However in the tropics, the 250  
2 hPa temperature in ERA-Interim is often around the 233 K threshold, but in the CMIP5  
3 models it is almost always below the threshold in the present-day climate (Figure 3(a)), and so  
4 could impact the CISS frequency. Additionally, since relative humidity is exponentially  
5 related to temperature through the saturation vapour pressure, a cold temperature bias will  
6 cause a high relative humidity bias (for the same specific humidity). In the CMIP5 models,  
7 however, the cold temperature bias is accompanied by a dry bias in the specific humidity.  
8 PDFs of specific humidity (Figure 3, panels (b), (d) and (f)) show mean biases of the order of  
9  $10^{-2}$  g kg<sup>-1</sup> in the tropics and NH mid-latitude regions, and  $10^{-3}$  g kg<sup>-1</sup> in the NH polar regions.  
10 In all regions, the CMIP5 models typically have a higher proportion of points with low  
11 specific humidity than in ERA-Interim, and fewer with high specific humidity in the tail of  
12 the distribution.

13

### 14 3.2 Changes to ice-supersaturation over the twenty-first century

15 Changes to the ice-supersaturation frequency and distribution over the twenty-first century are  
16 now investigated, using the RCP8.5 simulations of the CMIP5 models. The annual-mean  
17 global-mean change in 250 hPa CISS frequency by the end of the twenty-first century is  
18 shown in Table 1, calculated as the average frequency over the period 2073-2099 minus the  
19 average over 1979-2005 (from the historical simulation). All models predict a decrease in the  
20 annual-mean global-mean CISS frequency by the end of the twenty-first century, relative to  
21 the present-day. The multi-model mean decrease is substantial, from a present-day value of  
22 11% to an end-of-century value of 7%. The range of the decrease is 3.3 to 4.9 percentage  
23 points, over the individual models. This is a relatively narrow range, given the differences in  
24 the spatial distribution of CISS in the models in the present-day climate.

25

26 The spatial distribution of the change in 250 hPa CISS frequency due to climate change is  
27 shown in Figure 4 for each of the CMIP5 models, using the same time periods as above. The  
28 present-day distribution of ISS in each model is shown by black contours, in order to see the  
29 relationship between the present-day distribution of ISS and future changes to it. There are  
30 several features common to all five models. Firstly, all models predict strong decreases in the  
31 frequency of CISS in the tropics; the regions of strongest decrease correlate well with the

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1 regions of highest frequency of CISS in the present-day climate. All models show an increase  
2 in CISS frequency in both the northern and southern high-latitudes, although the size of the  
3 change varies between models. The largest differences between the models are found in the  
4 mid-latitudes. This is not surprising, given that the 250 hPa level is very close to the  
5 tropopause in the mid-latitudes, as previously discussed, and so the CISS frequency will be  
6 very sensitive to small changes in tropopause height. CISS in the mid-latitudes is often linked  
7 to the storm track regions; maxima in CISS frequency coincide with the location and  
8 orientation of the storm track in all major basins. In the annual-mean, all the models studied  
9 predict a small northward shift in jetstream location over the north Atlantic (Irvine et al.  
10 2015), for example, but the change to ice-supersaturation frequency in the models in this  
11 region varies. For example GFDL-ESM2G (Figure 4(b)) suggests a northward shift in the  
12 CISS frequency maxima in both north Atlantic and Pacific storm track regions, whereas for  
13 most other models the change appears to be a decrease in the strength of the CISS maxima in  
14 these regions.

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16 Figure 5 shows a time series of the multi-model mean change in CISS frequency, from 1979  
17 to 2100, i.e. from the historical period through the RCP8.5 period. The change in frequency  
18 is calculated separately for each CMIP5 model as the annual-mean frequency in each year  
19 minus the 1979-2005 average. The individual time series are then averaged together to  
20 provide a multi-model mean (plotted). There is considerable inter-annual variability in the  
21 CISS frequency, particularly on seasonal timescales, and so the multi-model mean time series  
22 has been smoothed with a 10-year running mean to allow the long-term trends in the multi-  
23 model mean to be more clearly seen. The time series are shown separately for each region,  
24 and the mean changes in that region from the historical period to mid-century (2030-2056)  
25 and late century (2073-2099) periods are given separately for each model as well as the multi-  
26 model mean in Table 2.

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28 For the NH polar regions, the time series of change in CISS frequency shows an increase in  
29 CISS frequency through the 21<sup>st</sup> century. **By 2100, the changes in the smoothed time series  
30 are clearly larger than any internal variability.** The rate of increase is faster over the second  
31 half of the 21<sup>st</sup> century than the first half; the multi-model mean increase in annual-mean  
32 CISS frequency is 1.7 percentage points (range 0.9 – 2.2 percentage points, Table 2) by mid-

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1 century and 4.9 percentage points (range 2.8 to 6.2 percentage points) by the end of the  
2 century. There is a strong seasonality to the changes; the largest changes are in the autumn  
3 (September, October and November) and smallest in the spring (March, April and May) (not  
4 shown). At these latitudes, 250 hPa is certainly in the stratosphere and the water vapour  
5 content of the air is very small, as shown by the small values of specific humidities in the pdfs  
6 in Figure 3(f). Any contrails forming in this region may have small optical depths such that  
7 their impact on climate is lower than contrails formed in other regions with higher water  
8 vapour contents. Thus the increase in CISS frequency shown here may be less significant in  
9 terms of persistent contrail climate impact than for the other regions studied.

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11 In the NH mid-latitude region there is little change in the annual-mean CISS frequency over  
12 the 21st century (Figure 5). The multi-model mean change by mid-century and end of century  
13 are comparable, at 0.7 and 0.9 percentage points increase respectively. Moreover, there is  
14 some disagreement between the models on the sign of the change. MIROC5 predicts small  
15 decreases in CISS frequency by both mid-century and end of century time periods. EC-  
16 EARTH and MPI-ESM-MR predict no change by the end of the 21st century whereas GFDL-  
17 ESM2G and HadGEM2-CC predict small increases. This spread in model behaviour is likely  
18 linked to the different jet stream and tropopause height climatologies in the models, since in  
19 this region 250 hPa is often close to the tropopause, and regions of CISS are often associated  
20 with the position of the jet stream in the model. There is some seasonality to the CISS  
21 changes shown; the multi-model mean shows an increase of around 3 percentage points in  
22 winter (December, January and February, DJF), and a decrease of around 2 percentage points  
23 in summer (June, July and August, JJA) by the end of the 21st century (Figure 5). At this  
24 altitude, the CISS frequency is higher in summer than winter in the re-analysis data (not  
25 shown).

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27 In the tropics there is a strong decrease in annual-mean CISS frequency throughout the 21st  
28 century. As in the polar regions, by 2100, the changes in the smoothed time series are clearly  
29 larger than any internal variability. The decrease is strongest through the middle of the  
30 century, and begins to level-off by 2080. The multi-model mean change is a decrease of 3.3  
31 percentage points by mid-century (range 2.6 to 5.6 percentage points, Table 2), and 8.8  
32 percentage points by the end of the century (range 6.1 to 11.5 percentage points). Given the

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1 nature of CISS regions in the tropics, and that we are averaging over both northern and  
2 southern hemisphere portions of the tropics, it is unsurprising that there is little seasonality to  
3 this change. The main factor driving the large decrease in CISS frequency in the tropics is  
4 temperature. Figure 6 shows a timeseries of average change in tropical CISS frequency for  
5 each model, with colours used to indicate the fraction of all tropical points in each model  
6 which are below the 233 K temperature threshold used to define an CISS region. During the  
7 historical period this is always above 0.9, and therefore it is almost always sufficiently cold  
8 for contrail formation, so that the limiting factor determining the CISS frequency would be  
9 the humidity. This fraction begins to decrease in the 2030s and by 2080 it has dropped below  
10 0.2; this low fraction means that that regardless of the humidity, over most of the tropics it is  
11 too warm to meet the definition of an CISS region. This explains the sharp decrease in CISS  
12 frequency predicted by the models. Note that the changes during the historical period, a  
13 decrease in CISS frequency, are very small in comparison to the predicted changes over the  
14 21st century.

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15 Since the ISS changes without application of the temperature threshold are also of interest,  
16 beyond the context of contrail formation, we briefly comment on the ISS trends. Since the  
17 tropics dominate the global-mean, and the tropical CISS results are strongly influenced by the  
18 temperature threshold, the global-mean ISS trends are expected to be less strong than their  
19 CISS counterparts. The global-mean values (in percentage points) corresponding to the time-  
20 period in Table 1 are -1.5 (EC-EARTH), +4.9 (GFDL-ESM2G), -0.004 (HadGEM2-CC), -  
21 1.5 (MIROC5) and -1.2 (MPI-ESM-MR). All models show an increase in polar regions,  
22 albeit less strong than indicated for CISS in Table 2, while all models show a decrease in the  
23 tropics, with the exception of GFDL-ESM2G which shows an increase, which hence strongly  
24 influences the global-mean response in that model. As will be discussed in Section 3.3, the  
25 GFDL-ESM2G model has a quite different predicted relative humidity response in the  
26 tropical upper troposphere compared to the other models discussed here, with increases near  
27 250 hPa.

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### 28 3.3 Extension of results to multiple levels

29 Our analysis of CISS regions has so far concentrated on the 250 hPa level, for which daily-  
30 mean data are available. In order to assess whether the changes in CISS frequency over the  
31 twenty-first century can be generalised to levels other than 250 hPa, monthly-mean data are  
32 analysed. Given the relatively small-scale and short time-scale nature of CISS regions, it

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1 | would not be particularly meaningful to try to define regions of [CISS](#) using monthly-mean  
2 | data. Instead, we use the annual-mean zonal-mean differences between the RCP8.5 and  
3 | historical simulations of the CMIP5 models, to analyse the vertical structure of changes in  
4 | mean [RHi](#) and temperature. These are shown separately for each CMIP5 model, as the  
5 | average over 2073-2099 minus the average over 1979-2005, in Figure 7. The latitudinal  
6 | bounds of the tropical, NH mid-latitudes and NH polar regions are also given, along with the  
7 | range of typical cruise altitudes of commercial aircraft (approximately 300 – 200 hPa).

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9 | There is generally good agreement on the vertical structure of zonal-mean temperature and  
10 | relative humidity changes between the models. For the NH polar regions, the models agree  
11 | on an increase in mean relative humidity over [the](#) altitude range of interest, which suggests  
12 | that the increase in [CISS](#) frequency predicted in this region [at 250 hPa](#) will be [also occur](#) at  
13 | [other cruise altitudes](#). Mean temperature changes in this region are irrelevant for [CISS](#)  
14 | frequency, since the temperatures at flight level are well below the 233 K threshold. For the  
15 | NH mid-latitude region, there is less agreement between models; the mean changes are more  
16 | dependent on altitude and latitude. However, all models agree on an increase in relative  
17 | humidity at altitudes above 250 hPa, with the largest changes at the highest flight levels. It is  
18 | possible that there will be a decrease in [CISS](#) regions at low mid-latitudes and flight levels,  
19 | from a combination of the decrease in relative humidity and increase in temperature (which  
20 | will increase the number of days where the temperature is above the 233 K threshold). In the  
21 | tropics, all models except GFDL-ESM2G (Figure 7(b)) predict a decrease in mean relative  
22 | humidity; this decrease in relative humidity has been found by many previous studies (e.g.  
23 | Lorenz and DeWeaver 2007, Wright et al. 2010, Sherwood et al. 2010) and is considered a  
24 | robust signal of climate change. All models predict a strong warming over the altitude range  
25 | of interest in the tropics; most importantly, this has the effect of pushing temperatures above  
26 | the 233 K threshold and so reducing the potential for contrail formation, regardless of any  
27 | changes in relative humidity. This effect is strongest at the 250 hPa level in the models, but  
28 | all levels show some reduction. The effect is smaller at higher altitudes where temperatures  
29 | are [lower](#) and the warming is not sufficient to result in temperatures above the 233 K  
30 | threshold. At lower altitudes where it is warmer, in the present-day climate much of the  
31 | temperature pdf is already above the 233 K threshold, so any warming has a smaller effect on  
32 | the [CISS](#) frequency.

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## 4 Conclusions

The evolution of meteorological conditions controlling persistent contrail formation during the 21<sup>st</sup> century is investigated. Specifically, the frequency and distribution of cold ice-supersaturated regions are analysed in simulations from a selection of models in the CMIP5 multi-model archive, using a model-dependent RHi threshold defined using the cumulative probability distribution of RHi in each model.

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The present-day simulations from the CMIP5 models qualitatively re-produce the main features of the CISS distribution seen in ERA-Interim re-analysis data: high frequencies of CISS in the tropical regions, mid-latitude storm tracks, and most models also simulate high frequencies in the southern high-latitude regions. At the 250 hPa level analysed, all models have cold biases of a few Kelvin in the tropics. This is particularly significant as in this region observed temperatures are close to the temperature threshold for CISS; as a result, CISS frequencies in the tropics may be overestimated for the present-day climate by the CMIP5 models.

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To analyse the impact of climate change on CISS frequency, RCP8.5 simulations were used. This scenario has the highest greenhouse gas concentrations and therefore largest temperature changes of the different scenarios considered by the 2013 IPCC report. Globally, the CMIP5 models predict a decrease in CISS frequency by the end of the 21<sup>st</sup> century, of average 4 percentage points (a decrease of about one-third of the present-day value) over the models analysed here. However, this change is not uniform globally, and both the sign and magnitude of the change in CISS varies by region. The largest contribution to the global-mean decrease is the strong decrease in CISS frequency in the tropics, of 8.8 percentage points in the multi-model mean by the end of the 21<sup>st</sup> century. The rate of decrease is strongest in the mid-century, and levels-off by the late century. The decrease in CISS frequency is mainly due to the strong warming at the 250 hPa level, which shifts the temperature pdf from below the 233 K temperature threshold to above it. There is less consensus between the models on the sign and magnitude of the change in the NH mid-latitudes at the 250 hPa level. The multi-model mean annual-mean change is around 1

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1 percentage point by 2100, and seasonally-dependent; models show small increases in CISS  
2 frequency in winter and decreases in summer. The models agree on an increase in CISS  
3 frequency over the NH polar regions in all seasons, reaching approximately 5 percentage  
4 points by 2100. The results are broadly consistent with those of Marquart et al. (2003), where  
5 the focus was on predicting changes in contrail cover for specified distributions of air traffic  
6 growth, rather than the frequency of CISS. In their simulations, the impact of climate change  
7 reduces 2050 contrail cover by 20 percentage points compared to the case with no climate  
8 change, with that decrease concentrated in the tropics.

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10 The CMIP5 zonal-mean monthly-mean relative humidity and temperature projections suggest  
11 that the changes projected at the 250 hPa level are applicable to other cruise altitudes, perhaps  
12 with the exception of the NH mid-latitudes where the sign of any change in CISS frequency is  
13 more dependent on latitude and altitude. In the tropics, the largest change in the CMIP5  
14 models is at 250 hPa, which is in the middle of the range of permitted cruise altitudes.  
15 However, since the models have a cold bias, relative to the re-analyses, the level at which the  
16 maximum change is seen may actually be higher than this.

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18 The projected changes to ice-supersaturation frequency over the twenty-first century have  
19 implications for contrail cover, and consequently contrail climate impact. Persistent contrails  
20 form when aircraft fly through the CISS regions analysed here; making projections of actual  
21 contrail cover for the 21<sup>st</sup> century would require combining the climate model data with  
22 estimates of the amount and distribution of air traffic throughout this time period of the  
23 climate model simulations, as well as accounting for improvements to aircraft engine  
24 technology. Here we provide a discussion of the possible impact of the CISS changes on  
25 contrail cover, given projections of air traffic demand and increasing aircraft engine  
26 efficiency. In the NH mid-latitudes where there is already a high volume of air traffic,  
27 climate models predict small increases in CISS frequency, particularly in winter. This  
28 suggests that there could be small increases in contrail cover from the combination of  
29 increased CISS frequency and increased air traffic. Increases in engine efficiency are likely to  
30 have only minor impacts on contrail cover in this region since temperatures are normally well  
31 below those required for contrail formation. In the tropics, the reduction in CISS frequency  
32 is in opposition to the predicted growth in aviation and increase in engine efficiency. It seems

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1 likely however, that a factor of 2-5 increase in air traffic from 2000 to 2050 (Owen et al.  
2 2010) along with an increase in engine efficiency will outweigh the few percent decrease in  
3 [CISS](#) frequency shown here, leading to an increase in contrail cover. In the NH polar regions,  
4 the situation is similar to the NH mid-latitudes, but with more confidence in larger increases  
5 in [CISS](#) frequency due to climate change. The predicted increases in [CISS](#) frequency  
6 presented here, as well as a possible factor of 2 increase in air traffic (Owen et al. 2010)  
7 suggest an increase in contrail cover. The climate significance of this is less obvious, since  
8 any contrails formed at high latitudes are likely to be very thin, and the level of air traffic is  
9 likely to remain far below that of the mid-latitude or tropical regions. Overall, global contrail  
10 cover seems likely to increase over the twenty-first century, with climate change acting to  
11 increase contrail cover in the mid-latitude and polar regions and constraining changes in  
12 contrail cover in the tropics. [In time, improvement in the global observing system may allow](#)  
13 [a robust evaluation of the model-derived humidity trends, which would impact on the](#)  
14 [confidence with which those trends can be viewed.](#)

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24 Organization for Earth System Science Portals. [The reviewers are thanked for many helpful](#)  
25 [comments.](#)

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1 Table 1. Characteristics of the CMIP5 models used in this study. The CISS threshold is the Deleted: ISS  
 2 threshold RHi value used in the calculation of the annual-mean global-mean CISS frequency Deleted: ISS  
 3 at 250 hPa in the present-day climate (note a temperature threshold of 233 K is also applied).  
 4 The change in CISS frequency (in percentage points) is calculated as the global-mean annual- Deleted: ISS  
 5 mean CISS frequency in the RCP8.5 simulation over the period 2073-2099 minus that in the Deleted: ISS  
 6 historical simulation over the period 1979-2005.

Model	Centre	Horizontal resolution	<u>CISS</u> threshold (%)	<u>CISS</u> frequency 1979-2005 (%)	Change in <u>CISS</u> frequency ( <u>percentage points</u> )
<b>ERA-Interim re-analysis</b>	European Centre for Medium-Range Weather Forecasts	0.7°	92	8.1	-
EC-EARTH	EC-EARTH consortium	1.125°	98	10.1	-3.6
GFDL-ESM2G	NOAA Geophysical Fluid Dynamics Laboratory	2.0° lat, 2.5° lon	72	10.8	-3.3
HadGEM2-CC	Met Office Hadley Centre	1.25° lat, 1.875° lon	78	12.1	-4.9
MIROC5	Atmosphere and Ocean Research Institute (The University of Tokyo), National Institute for Environmental Studies, Japan Agency for Marine-Earth Science and Technology	1.4°	93	11.1	-3.5
MPI-ESM-MR	Max Planck Institute for Meteorology	1.875°	97	10.5	-4.7

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3 Table 2. Changes to the annual-mean frequency of CISS at 250 hPa from the RCP8.5  
4 simulation minus the historical simulation, for the sub-regions of interest. The change is  
5 shown for two time-periods: middle of the 21<sup>st</sup> century, and end of the 21<sup>st</sup> century.

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Model	Change in CISS frequency (2030-2056) – (1979-2005) (percentage points)			Change in CISS frequency (2073-2099) – (1979-2005) (percentage points)		
	Tropics	NH Midlats	NH Polar	Tropics	NH Midlats	NH Polar
EC-EARTH	-2.6	0.4	1.8	-7.6	0.2	4.5
GFDL-ESM2G	-2.6	1.5	1.6	-9.5	3.8	6.0
HadGEM2-CC	-5.6	1.8	2.2	-11.5	2.4	6.2
MIROC5	-2.7	-0.5	2.0	-6.1	-1.8	5.0
MPI-ESM-MR	-3.2	0.2	0.9	-9.2	0.1	2.8
<i>Multi-model mean</i>	-3.3	0.7	1.7	-8.8	0.9	4.9

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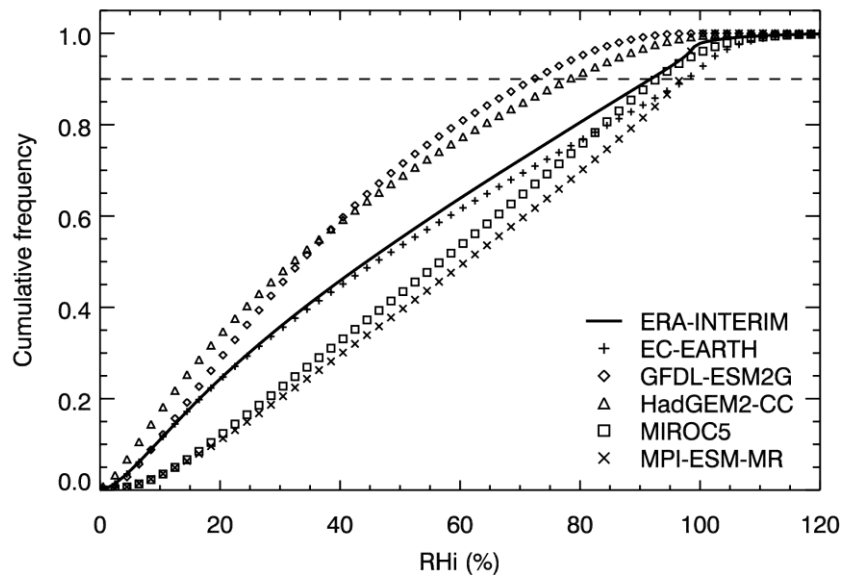
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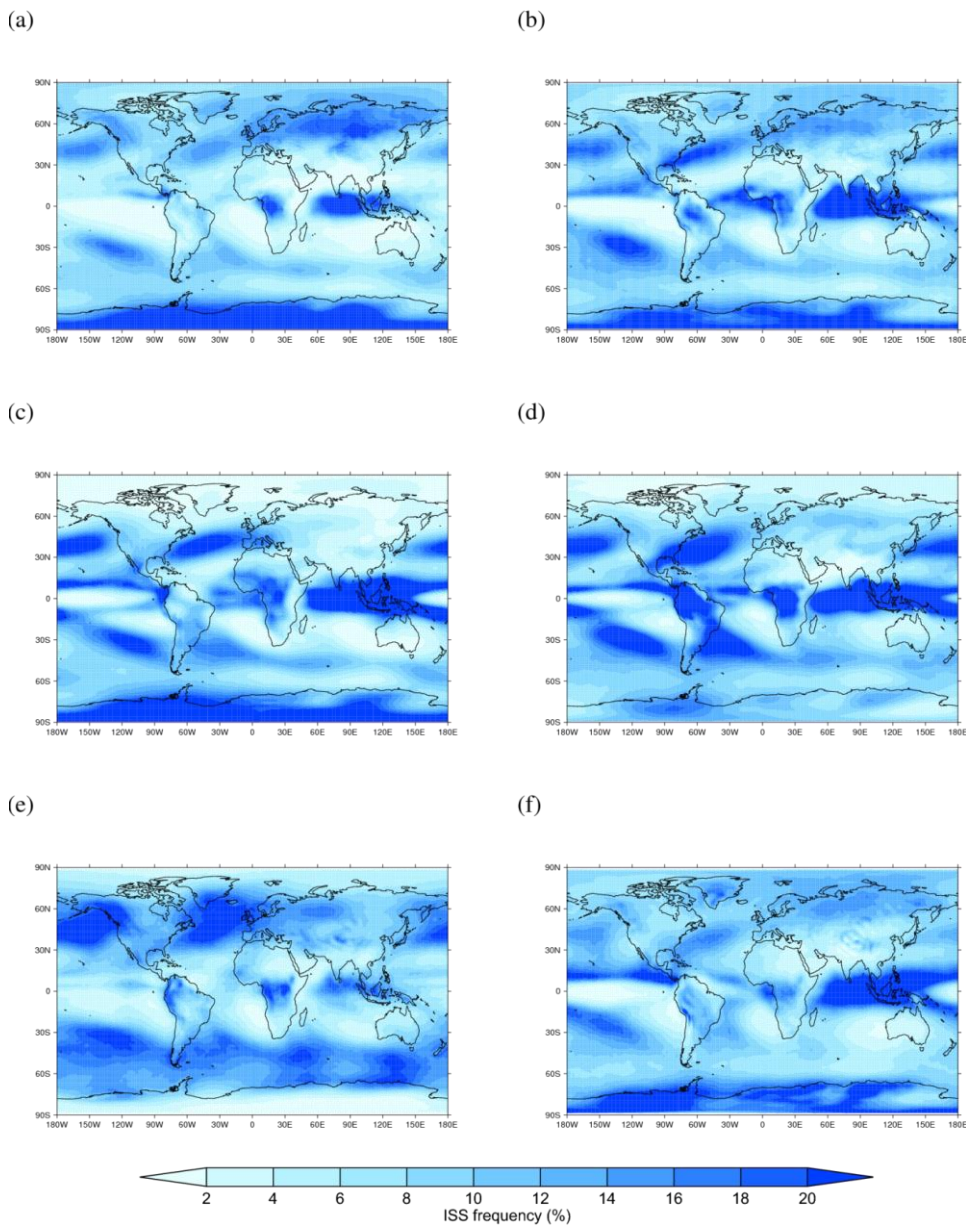
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 2 Figure 1. Cumulative frequency distribution of 250 hPa relative humidity with respect to ice  
 3 for ERA-Interim (thick solid line) and the CMIP5 models (symbols). Global daily data over  
 4 the period 1979-2005 is used. The dashed line marks the 90<sup>th</sup> percentile of the RH<sub>i</sub>  
 5 distribution, used to define the model-dependent RH<sub>i</sub> threshold for ice-supersaturated regions.

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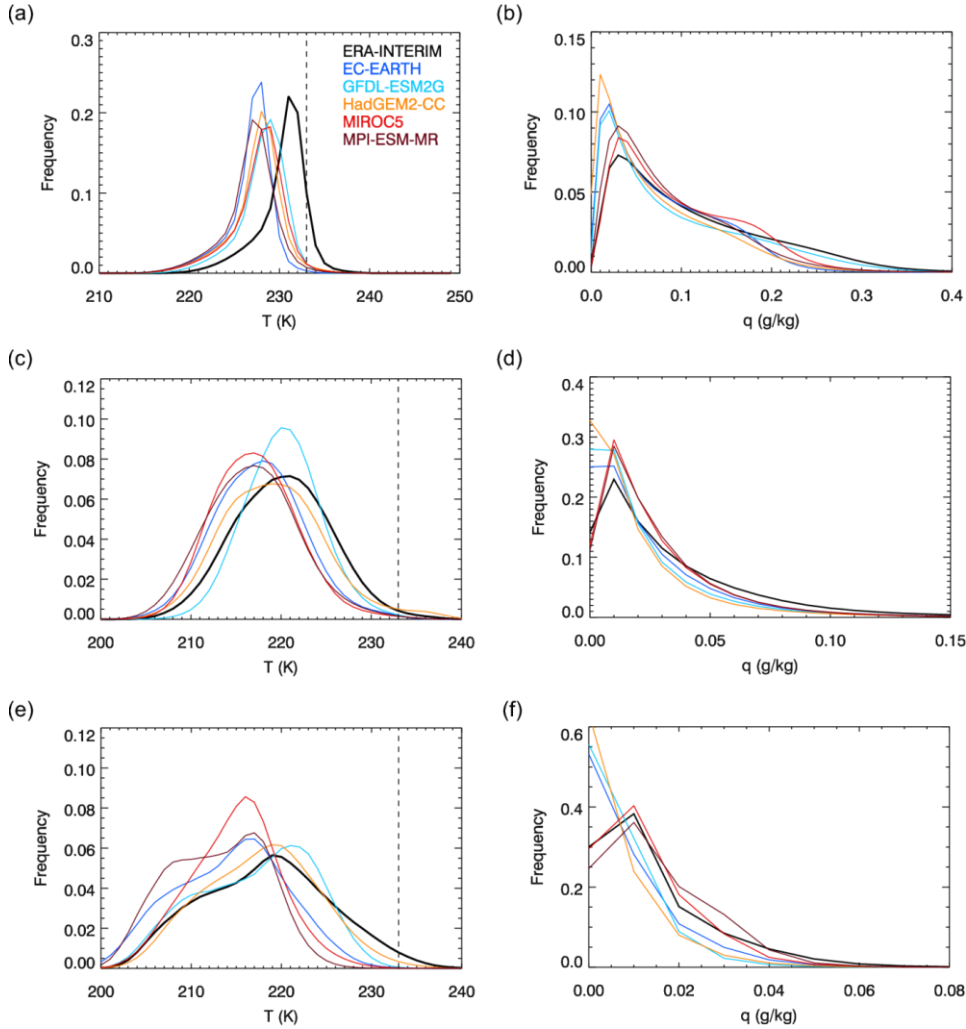


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 2 Figure 2. Annual-mean [CISS](#) frequency at 250 hPa over the present-day period 1979-2005  
 3 for (a) ERA-Interim re-analysis and the CMIP5 models (b) EC-EARTH, (c) GFDL-ESM2G,  
 4 (d) HadGEM2-CC, (e) MIROC5 and (f) MPI-ESM-MR. [The CISS fields for the 5 CMIP5](#)  
 5 [models are repeated in Figure 4 as labelled contours.](#)

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Comment [KP39]: Reviewer 4, technical comment

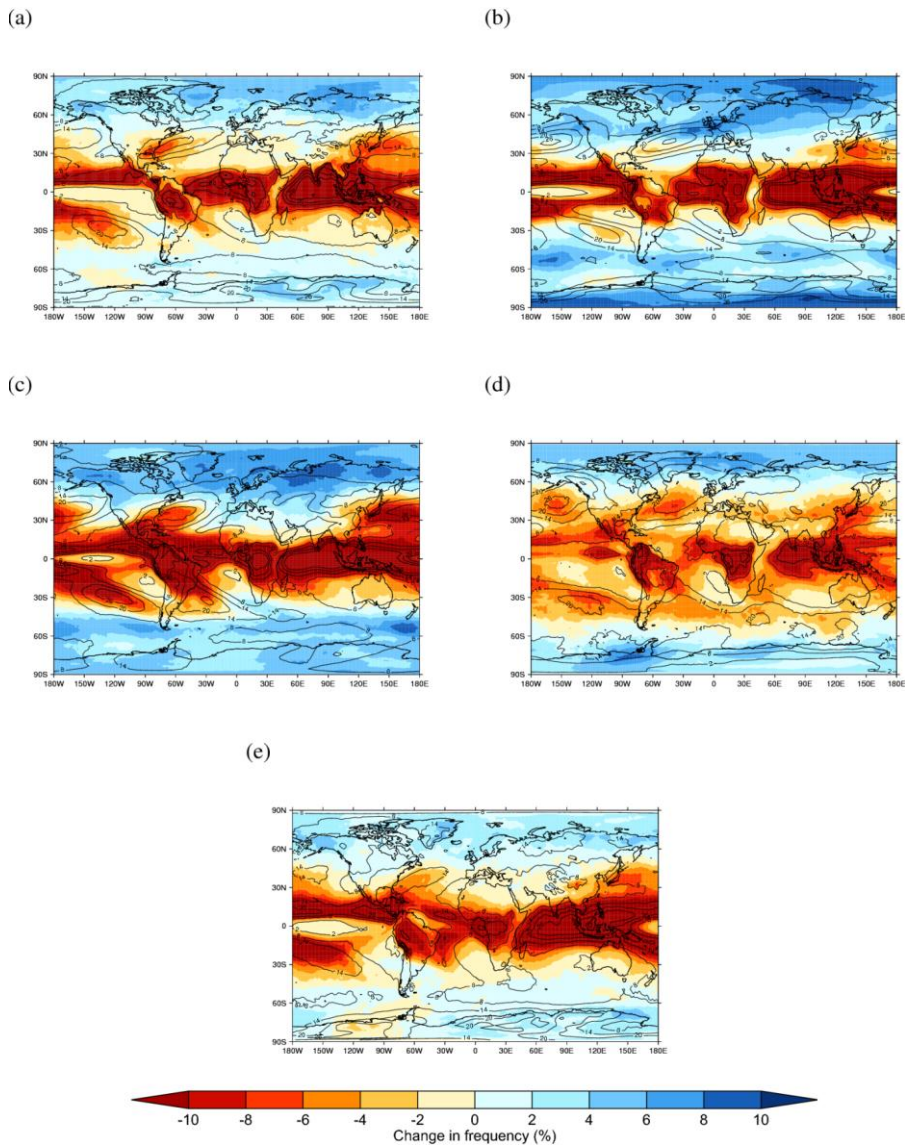
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3 Figure 3. PDFs of temperature,  $T$  (left column) and specific humidity,  $q$  (right column) at 250  
4 hPa in the present-day climate (1979-2005) in three regions: (a) and (b) the tropics, (c) and (d)  
5 the NH mid-latitudes and (e) and (f) the NH polar regions. Shown for ERA-Interim re-  
6 analysis (thick black line) and CMIP5 models EC-EARTH (dark blue), GFDL-ESM2G (light  
7 blue), HadGEM2-CC (orange), MIROC5 (red) and MPI-ESM-MR (dark red). The 233 K  
8 temperature threshold is marked by the dashed line on panels (a), (c) and (e).

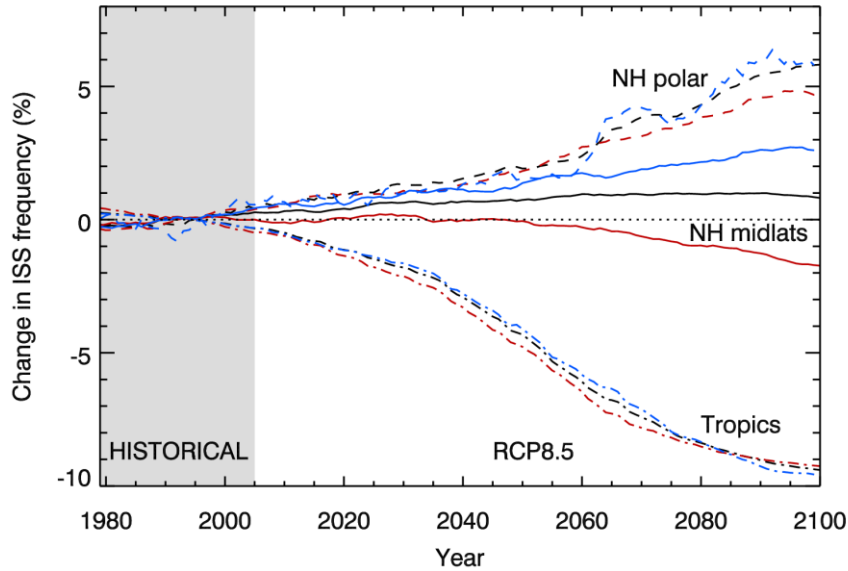
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 2 Figure 4. Change in mean CISS frequency at 250 hPa (colours) in percentage points between  
 3 the RCP8.5 simulation (average over 2073-2099) and historical simulation (average over  
 4 1979-2005) for the CMIP5 models (a) EC-EARTH, (b) GFDL-ESM2G, (c) HadGEM2-CC,  
 5 (d) MIROC5 and (e) MPI-ESM-MR. The mean CISS frequency (in %) in each model over  
 6 the historical period 1979-2005 is overlaid (black contours – starting at 2%, with a 6%  
 7 contour interval).

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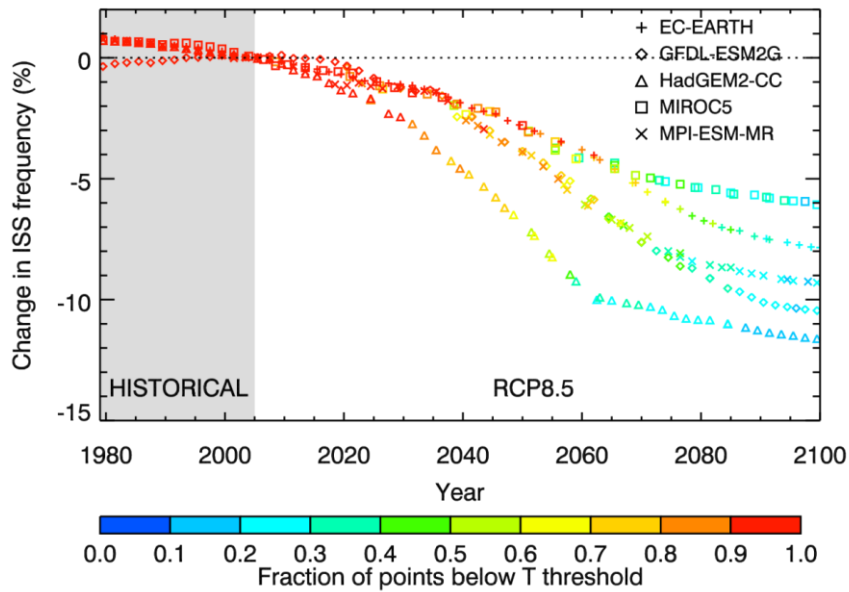


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 2 Figure 5. Time series of the multi-model mean change in [CISS](#) frequency [\(in percentage](#)  
 3 [points\)](#) at 250 hPa from 1979 to 2099, calculated for each year as the mean [CISS](#) frequency  
 4 minus the 1979-2005 average (the historical period, shown by grey shading). The change is  
 5 calculated separately for the tropics (dashed-dotted lines), NH mid-latitudes (solid lines) and  
 6 NH polar (dashed lines) regions, for the annual (black lines), DJF (blue lines) and JJA (red  
 7 lines) mean changes. The changes are calculated separately for each CMIP5 model and  
 8 averaged to provide a multi-model mean; a 10-year running mean has been applied to each  
 9 time series before plotting.

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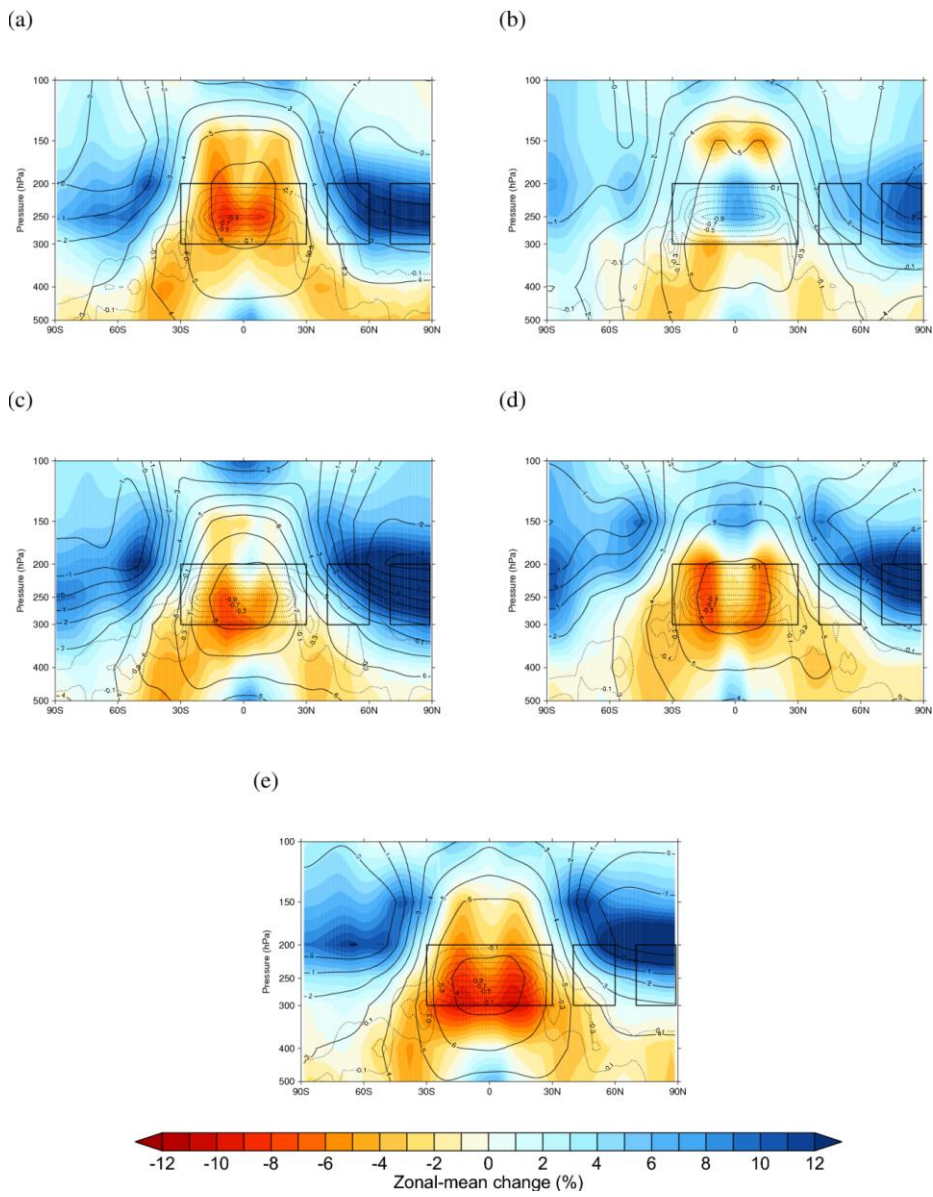
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 2 | Figure 6. Time series of the change in 250 hPa [CISS](#) frequency (in percentage points) in the  
 3 | tropics in the CMIP5 models (symbols) from 1979 to 2099, calculated for each year as the  
 4 | annual-mean [CISS](#) frequency minus the 1979-2005 average (the historical period, shown by  
 5 | grey shading). The colour of the points shows the fraction of points in the tropics which are  
 6 | below the 233 K temperature threshold for [CISS](#). A 10-year running mean has been applied  
 7 | to each time series before plotting.

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 2 Figure 7. Zonal-mean change in annual-mean RHi (colours), temperature (solid black lines)  
 3 and fraction of points below the 233 K temperature threshold (dotted black lines) as a  
 4 function of pressure for the CMIP5 models (a) EC-EARTH, (b) GFDL-ESM2G, (c)  
 5 HadGEM2-CC, (d) MIROC5 and (e) MPI-ESM-MR. The changes are calculated using  
 6 monthly-mean data, as the average over 2073-2099 (RCP8.5 simulation) minus the average

1 over 1979-2005 (historical simulation). The sub-regions of particular interest are highlighted  
2 by black boxes: the tropics, northern hemisphere mid-latitudes and northern hemisphere polar  
3 regions. The vertical range of these boxes is 200-300 hPa, spanning the range of typical  
4 cruise altitudes for commercial aircraft.