

# 1 **Ice-supersaturation and the potential for contrail formation** 2 **in a changing climate**

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## 8 **Abstract**

9 Ice-supersaturation (ISS) in the upper-troposphere and lower stratosphere is important for the  
10 formation of cirrus clouds and long-lived contrails. Cold ISS (CISS) regions (taken here to be  
11 ice supersaturated regions with temperature below 233 K) are most relevant for contrail  
12 formation. We analyse projected changes to the 250 hPa distribution and frequency of CISS  
13 regions over the twenty-first century using data from the Representative Concentration  
14 Pathway 8.5 simulations for a selection of Coupled Model Intercomparison Project Phase 5  
15 models. The models show a global-mean annual-mean decrease in CISS frequency by about  
16 one-third, from 11% to 7% by the end of the twenty-first century, relative to the present-day  
17 period 1979-2005. Changes are analysed in further detail for three sub-regions where air  
18 traffic is already high and increasing (northern hemisphere mid-latitudes) or expected to  
19 increase (tropics and northern hemisphere polar regions). The largest change is seen in the  
20 tropics, where a reduction of around 9 percentage points in CISS frequency by the end of the  
21 century is driven by the strong warming of the upper troposphere. In the northern hemisphere  
22 mid-latitudes the multi-model mean change is an increase in CISS frequency of 1 percentage  
23 point; however the sign of the change is not only model-dependent but also has a strong  
24 latitudinal and seasonal dependence. In the northern hemisphere polar regions there is an  
25 increase in CISS frequency of 5 percentage points in the annual-mean. These results suggest  
26 that over the 21<sup>st</sup> century climate change may have large impacts on the potential for contrail  
27 formation; actual changes to contrail cover will also depend on changes to the volume of air  
28 traffic, aircraft technology and flight routing.

29

## 1 **1 Introduction**

2 Regions of ice-supersaturation (ISS) are a relatively common feature of the upper-  
3 troposphere. Aircraft flying through ISS regions may form persistent contrails, which have  
4 been shown to contribute to anthropogenic climate change. Because they make a potentially  
5 large contribution to the climate impact of aviation (e.g. Lee et al. 2009), many studies have  
6 considered possible strategies to reduce contrail formation in the future, for example by  
7 developments to engine technology (Gierens et al. 2008, Haglind 2008) or by changing  
8 aircraft altitude (Williams et al. 2002, Fichter et al. 2005, Mannstein et al. 2005, Rädcl and  
9 Shine 2008, Schumann et al. 2011, Deuber et al. 2013) or route (Sridar et al. 2013, Irvine et  
10 al. 2014b, Soler et al. 2014, Zou et al. 2014) to avoid flying through ISS regions. In addition,  
11 it is likely that contrail formation will become more frequent due to increased air traffic, and  
12 the introduction of newer more efficient engines, which consume less fuel but allow contrail  
13 formation to occur at higher temperatures and so over a wider range of cruise altitudes than at  
14 present (Schumann, 2000, Schumann et al. 2000, Marquart et al. 2003). Using projected  
15 future air traffic scenarios, including an increase in engine propulsion efficiency, but with a  
16 present-day climate, Gierens et al. (1999) projected that global-mean contrail cover would  
17 increase by a factor of between 3 and 9 by 2050 (depending on the scenario used) relative to  
18 1992.

19

20 One additional factor in determining future contrail cover which has received much less  
21 attention is how climate change itself may alter the likelihood of contrail formation, by  
22 causing changes to the frequency and distribution of ISS regions. Minnis et al. (2004)  
23 analysed upper-tropospheric relative humidity trends, derived from reanalyses, for the period  
24 1979-1995, over northern-hemisphere mid-latitude regions, in the context of changes in  
25 contrail and cirrus occurrence. They found relative humidity decreases of up to 6% per  
26 decade, although they noted that data quality issues meant that these trends should be “viewed  
27 with some scepticism”. Marquart et al. (2003) found that, to 2050, climate change had a  
28 smaller impact on contrail cover than increasing air traffic. There was some regionality to the  
29 calculated changes in contrail cover; in the tropics the impact of climate change was  
30 important but in the northern hemisphere mid-latitudes, a region where present-day air traffic  
31 is already high, an increase in air traffic was more important than any climate changes.  
32 Marquart et al. (2003) combined time-slice simulations from a single climate model with air

1 traffic projections, both for 2050; together these increased the global-mean contrail cover by a  
2 factor of 3.7, relative to 1992. The present study makes use of the latest climate projections  
3 submitted to IPCC (2013), which extend out to 2100, allowing the assessment of changes to  
4 ice-supersaturation not only over a longer time period, but also an examination of the time  
5 evolution of these changes. Further, by comparing the results from multiple climate models,  
6 we can assess the robustness of our conclusions. Unlike the Marquart et al. (2003) and  
7 Gierens et al. (1999) studies, we do not attempt to calculate contrail cover using air traffic  
8 projections; the focus of this paper is the impact of climate change on ISS regions,  
9 independent of changes to air traffic, aircraft technology or routing. However, the close link  
10 between ISS and potential contrail cover has been clearly demonstrated by Burkhardt et al.  
11 (2008).

12  
13 Regions of ice-supersaturation are generally shallow and located close to the tropopause,  
14 which makes their global distribution highly variable with altitude. They are typically  
15 associated with ascending air streams (Gierens and Brinkop 2012, Irvine et al. 2014a), such as  
16 those found in frontal systems and jet streams in the mid-latitudes or deep convection in the  
17 tropics (Kästner et al. 1999, Spichtinger et al. 2005, Gettelman et al. 2006, Luo et al. 2007,  
18 Irvine et al. 2012), but also around high pressure ridges (Immler et al. 2008, Gierens and  
19 Brinkop 2012, Irvine et al. 2014a). The present-day global distribution of ISS regions, as  
20 determined by satellite and aircraft observations, tends to coincide with regions where these  
21 features occur. For example, in-situ aircraft and satellite measurements around the highest  
22 aircraft cruise altitudes (~ 200 hPa) show the highest frequencies of ISS in the tropics, in  
23 regions with deep convection (Spichtinger et al. 2003b, Gettelman et al. 2006, Luo et al.  
24 2007, Lamquin et al. 2012). High frequencies are also found to coincide with the mid-latitude  
25 storm tracks, where the frequency is highly variable with altitude (e.g. Irvine et al. 2012,  
26 Lamquin et al. 2012), and in high latitude regions, particularly over the southern hemisphere  
27 polar regions (Gettelman et al. 2006, Lamquin et al. 2012).

28  
29 The present-day distribution of ISS could be affected by climate change in two ways: firstly,  
30 via changes to humidity, and secondly via changes to temperature which may make a region  
31 too warm to support contrail formation. The consensus is that, under climate change, in the  
32 upper-troposphere and lowermost stratosphere there will be a decrease in relative humidity in

1 the tropics and increases towards the poles, with a transition at mid-latitudes (e.g. Lorenz and  
2 DeWeaver 2007, Wright et al. 2010, Sherwood et al. 2010). This suggests that the pattern of  
3 the response of ISS regions to climate change will be regional rather than globally uniform.  
4 Wright et al. (2010) and Sherwood et al. (2010) discuss in detail the reasons for the changing  
5 distributions of relative humidity. Briefly, the tropical decrease is driven by the vertical and  
6 poleward expansion of Hadley circulation and the changes in temperature in regions where air  
7 parcels reaching the upper troposphere are last saturated. In the extratropics, changes in  
8 relative humidity are largely driven by temperature changes. In the context of contrails, a  
9 further mechanism is at play, because contrail formation is dependent on the air being below a  
10 given threshold temperature (Schumann, 1996 and see Section 2.2)

11 Climate models predict a general warming of the upper troposphere with climate change  
12 (Thorne et al. 2011), which is projected to be strongest in the tropics. Due to this warming,  
13 and since present-day temperatures at typical aircraft cruise altitudes in the tropics are often  
14 close to the threshold temperature for contrail formation, it is in the tropics that we might  
15 expect to see the largest impact of climate change on contrail cover, as was indeed found by  
16 Marquart et al. (2003). Outside of the tropics, the uppermost flight levels used by commercial  
17 aircraft are often in the lowermost stratosphere, particularly over the polar regions. Here  
18 climate models predict a general cooling, which is the main driver of the increased relative  
19 humidity, although the impact on threshold temperatures in the polar regions is likely limited  
20 since temperatures are generally well below those required for contrail formation.

21  
22 This study analyses changes in ISS over the twenty-first century in a selection of models from  
23 the Coupled Model Intercomparison Project Phase 5 (CMIP5) multi-model ensemble (Taylor  
24 et al. 2012). These data are described in Section 2.1. Data from simulations of the 21st  
25 century with a high greenhouse gas emissions pathway (named RCP8.5 in the CMIP5  
26 experiments) are compared to simulations of the present-day climate. ERA-Interim re-  
27 analysis data are used to evaluate the distribution of ISS in the present-day climate  
28 simulations of the CMIP5 models (Section 3.1). Changes to the global frequency and  
29 distribution of ISS are analysed for an end of century time period. The end-of-century  
30 change, as well as the time evolution of this change and its seasonal aspects are analysed  
31 further for three regions of interest: the tropics, northern hemisphere (NH) mid-latitudes and  
32 NH polar regions (Section 3.2). Finally, since the daily-mean data used in this study are only

1 available on a single pressure level relevant to contrail formation, monthly-mean data are used  
2 to understand whether the conclusions reached from the single-level data might be applicable  
3 to the range of aircraft flight altitudes (Section 3.3). Conclusions are presented in Section 4.

## 4 5 **2 Method**

### 6 **2.1 Data**

7 Climate model data from the CMIP5 multi-model archive were used, from two simulations:  
8 historical and representative concentration pathway (RCP) scenario 8.5 (Taylor et al., 2012).  
9 The historical simulation aims to reproduce the present-day climate by forcing the models  
10 with observed or simulated greenhouse gas and aerosol concentrations; for this study we take  
11 data from the historical simulation for the period 1979-2005. The RCP8.5 simulation uses  
12 economic scenarios to estimate future emissions of greenhouse gases and the resulting  
13 impacts on climate, for the period 2006-2099. RCP8.5 describes a world where there is little  
14 mitigation of greenhouse gas emissions, such that by 2100, emissions reach three times their  
15 2000 values (Riahi et al., 2011). This leads to a global-mean radiative forcing of  $8.5 \text{ W m}^{-2}$   
16 and a surface temperature increase of about  $4^\circ\text{C}$  by 2100 (IPCC, 2013). RCP8.5 has the  
17 highest emissions and largest warming of the scenarios considered by IPCC (2013). This  
18 implies that we are analysing the maximum likely changes to ISS from climate change; more  
19 moderate emissions scenarios cause less warming, particularly in the second half of the 21<sup>st</sup>  
20 century, and therefore an evaluation of these simulations would likely show smaller changes  
21 than in the RCP8.5 simulations.

22  
23 For the purposes of this study, a selection of five CMIP5 models were chosen to analyse.  
24 Data were used from EC-EARTH (Hazeleger et al. 2010; Hazeleger et al. 2012), GFDL-  
25 ESM2G (Dunne et al. 2012), HadGEM2-CC (Martin et al. 2011; Collins et al. 2011),  
26 MIROC5 (Watanabe et al. 2010) and MPI-ESM-MR (Stevens et al. 2013). These models  
27 have been shown to have a good representation of key circulation features (Lee and Black  
28 2013; Davini and Cagnazzo 2013). In addition, EC-EARTH was chosen because it explicitly  
29 represents ice-supersaturation in its cloud scheme (it is based on a similar version of the  
30 European Centre for Medium-Range Weather Forecasts (ECMWF) forecast model as ERA-  
31 Interim; a description of the model version is given in Hazeleger et al. 2012). The resolution

1 of the CMIP5 models used ranges from 1.2 degrees to 2.0 degrees (Table 1). The majority of  
2 this study uses daily-mean global data, for which data are available on a limited number of  
3 pressure-levels (in the UTLS regions these are 500, 250, 100 and 50 hPa, with each CMIP5  
4 modelling group interpolating to these pressures from their own model's grid). Data are used  
5 on the 250 hPa level, as this corresponds most closely to typical aircraft cruise altitudes (see  
6 e.g. Wilkerson et al. (2010) who show peak emissions at about 10.5 km, with the vast  
7 majority of flights cruising at between 10 and 12 km (about 200 to 260 hPa)), and so is most  
8 appropriate to study changes in ISS that are relevant to aircraft contrail formation. We note  
9 that even the use of daily data will fail to resolve ice supersaturated regions with shorter  
10 lifetimes. To investigate whether the changes seen at the 250 hPa level might also be observed  
11 at other cruise altitudes, monthly-mean relative humidity data archived for each model were  
12 used; these data are available on seven pressure levels between 500 hPa and 100 hPa (500,  
13 400, 300, 250, 200, 150 and 100 hPa)

14 As an evaluation of regions of high humidity in the historical simulations of the CMIP5  
15 models, re-analysis data from the ECMWF Interim re-analysis (ERA-Interim; Dee et al. 2011)  
16 were used. Daily-mean data at a pressure level of 250 hPa, as well as monthly-mean data  
17 were used, for the period 1979-2005. The data are available at a horizontal resolution of 0.7  
18 degrees. ERA-Interim is particularly suited to studies of ISS since ISS is explicit within the  
19 cloud scheme (Tompkins et al. 2007). This has led to an improved humidity analysis at  
20 upper-levels although the analyses show a general dry bias when compared to Atmospheric  
21 Infra-Red Sounder satellite measurements (Lamquin et al. 2009); the ISS frequency in the  
22 model climate is lower than observed in the tropics, particularly over the Maritime continent,  
23 African continent and South America (Tompkins et al. 2007). Forecasts of ice-supersaturated  
24 regions produced using the same model version as used to produce the re-analyses also  
25 validate well against radiosonde observations and visual observations of contrails (Rädel and  
26 Shine 2010).

27

28 In addition to analysing changes to the global frequency and distribution of ISS, regional  
29 changes are also analysed. Three sub-regions of interest are defined: the tropics (30 °S – 30  
30 °N), northern hemisphere mid-latitudes (40 °N – 60 °N) and NH polar regions (70 °N – 90  
31 °N). The choice of these three regions is motivated by the present-day distribution of air  
32 traffic and projected changes during the 21<sup>st</sup> century. Of these three regions, the NH mid-

1 latitude region currently has the highest proportion of global air traffic (e.g. Wilkerson et al.,  
2 2010). Air traffic growth is projected in all three regions , particularly in the tropics; for  
3 example, Owen et al. (2010) predict five times as much air traffic in some regions in 2050  
4 compared to 2000, for the A2 scenario (their Figure 2) used in the 2007 IPCC assessment  
5 (Riahi et al., 2007), on which the RCP8.5 scenario is based.

6

## 7 **2.2 Definition of ice-supersaturation**

8 Regions of ice-supersaturation are defined using both relative humidity with respect to ice  
9 (RHi) and a temperature threshold. Typically, for persistent contrail formation, the RHi  
10 should be greater than 100 %, and the temperature should be below a threshold value of 233  
11 K. This temperature threshold is necessary in order to avoid considering regions where mixed  
12 phase or supercooled clouds could form, and is additionally consistent with the threshold  
13 temperature for contrail formation at cruise altitudes, defined by the Schmidt-Appleman  
14 criterion (Schumann 1996); we note that in reality the threshold temperature is somewhat  
15 dependent on altitude, humidity, fuel type and engine efficiency (Schumann, 1996). To make  
16 it clear that the temperature threshold has been applied, we refer to cold ISS (CISS)  
17 henceforth. We note that climate models, including the CMIP5 models analysed here, often  
18 have large temperature biases in their representation of the upper troposphere in the present-  
19 day climate. The use of a fixed temperature threshold may therefore lead to an  
20 underestimation or overestimation of the amount of CISS, depending on the direction of the  
21 bias, in regions where the true temperature is often close to this temperature threshold. The  
22 temperature biases of the CMIP5 models used in this study are analysed in Section 3.1.

23

24 For studies based on model data, it is appropriate to select an RHi threshold below 100%, to  
25 account for sub-gridscale variability in the humidity field, and the relatively coarse horizontal  
26 resolution of the model data in comparison to typical sizes of CISS regions. For example, the  
27 horizontal grid spacing of the CMIP5 models used here (Table 1) is of similar size to the  
28 mean size of CISS regions, of 150 km, reported by in-situ aircraft measurements (Gierens et  
29 al. 2000). However we find it problematic to use a single RHi threshold to define CISS at a  
30 single level for a set of models that have varying horizontal resolution, model  
31 parameterisations and biases. For example, using a RHi threshold of 90% gives annual-mean

1 global-mean CISS frequencies ranging from 1% to 19% (compared to 11% for ERA-Interim)  
2 for the historical period for the five CMIP5 models used here. This vast range makes it  
3 difficult to compare the CISS distributions between the models. The model range of CISS  
4 frequencies is likely because of different representations of cloud processes and water vapour  
5 transport. These lead to distinctly different RHi distributions between the models (Figure 1);  
6 GFDL-ESM2G and HadGEM2-CC have a higher proportion of lower RHi values than ERA-  
7 Interim, whereas MPI-ESM-MR has a higher proportion of very high RHi values.

8

9 To enable a fair comparison between the CMIP5 models, instead of using a fixed RHi  
10 threshold we chose to use a threshold that varied by model. This is justified since we do not  
11 seek to quantify the frequency of CISS in each model for a particular region or time; rather  
12 we are interested in comparing the spatial distribution of CISS between the models, and  
13 quantifying the change in CISS frequency between the future and historical simulations of the  
14 same models. The threshold was defined as follows: for each model, we calculated the  
15 cumulative probability distribution of RHi (Figure 1) using RHi data directly from each  
16 model, and found the RHi value corresponding to the 90<sup>th</sup> percentile of RHi. The resulting  
17 model-dependent RHi thresholds are given in Table 1. For ERA-Interim the RHi threshold is  
18 92 %, and for the CMIP5 models the thresholds range from 72 % (GFDL-ESM2G) to 98 %  
19 (EC-EARTH).

20

21 Using the 233 K temperature and model-dependent RHi thresholds specified above, the  
22 global-mean annual-mean 250 hPa CISS frequency over the period 1979-2005 is 8.1% in  
23 ERA-Interim, and varies between 10.1 % and 12.1% in the historical simulations of the  
24 CMIP5 models (Table 1). Applying this RHi threshold ensures that the global-mean CISS  
25 frequency is close to the ‘observed’ frequency, without constraining the regional distribution  
26 of ISS frequency.

27



## 1 **3 Results**

### 2 **3.1 Cold ice-supersaturation in the present-day climate**

3 The annual-mean distribution of CISS at 250 hPa in the present-day climate for the period  
4 1979-2005 is shown for ERA-Interim in Figure 2(a). At this pressure level, there are high  
5 frequencies (exceeding 20%) of cold ice-supersaturation over the tropics, although as  
6 previously noted ERA-Interim is known to underestimate CISS in this region (Tompkins et al.  
7 2007). The distribution of high ISS frequencies in the tropics is not uniform and is linked to  
8 regions of deep convection; high frequencies are observed in particular over the northern  
9 Indian ocean and Maritime continent and also parts of central Africa, in agreement with in-  
10 situ aircraft measurements (Luo et al. 2007). Some of the highest frequencies of CISS  
11 (exceeding 20%) in ERA-Interim are in the southern hemisphere polar region, south of 70 °S.  
12 These are also observed by satellite measurements (e.g. Gettelman et al. 2006, Lamquin et al.  
13 2012), but the future evolution of these are of little interest to this study because of the lack of  
14 air traffic in this region. There are also elevated frequencies in the mid-latitude regions and  
15 over Russia. In particular, regions of high frequencies of CISS are found in the north Atlantic  
16 and north-west Pacific regions; their location and south-west to north-east tilt suggest they are  
17 related to the storm-tracks in these regions (e.g. Irvine et al. 2012).

18

19 The distribution of CISS in the historical simulations of the CMIP5 models for the same time  
20 period are shown in Figures 2(b)-(f). These can be qualitatively compared to the distribution  
21 in ERA-Interim (Figure 2(a)) in order to assess the performance of each model in simulating  
22 CISS in the present-day climate. (Values, as labelled contours, will also shown as the  
23 underlay in Figure 4.) There are differences in the distribution of CISS between the CMIP5  
24 models, even though the way the RHi threshold for CISS has been defined means that the  
25 global-mean annual-mean CISS frequency in each model is similar. All models qualitatively  
26 reproduce the main features of the ERA-Interim CISS distribution although with varying  
27 frequencies; all models have high frequencies of CISS in the tropics, and in mid-latitude  
28 storm tracks. EC-EARTH (Figure 2(b)), GFDL-ESM2G (Figure 2(c)) and MPI-ESM-MR  
29 (Figure 2(f)) also have high CISS frequencies in the southern hemisphere polar region  
30 (exceeding 20% in some areas), in similar locations, and with similar frequencies, to ERA-  
31 Interim; EC-EARTH and MPI-ESM-MR also have high ISS frequencies over Russia

1 (exceeding 14%) in reasonable agreement with ERA-Interim, which are missing from GFDL-  
2 ESM2G at this level (where there are large areas at less than 2%). HadGEM2-CC (Figure  
3 2(d)) has somewhat lower frequencies of CISS over Antarctica (less than 8% in some regions)  
4 and Russia at this level (with maxima no more than 8%) than ERA-Interim. Of the five  
5 CMIP5 models analysed, the MIROC5 model distribution of CISS (Figure 2(e)) has the  
6 largest differences from ERA-Interim outside the tropics: the CISS frequency in the mid-  
7 latitudes is the highest of any of the models (exceeding 26% in the Pacific), while the CISS  
8 frequencies in the southern polar region are less than 2% over large areas.

9

10 There are several reasons why we might expect to find differences between the distribution of  
11 CISS in ERA-Interim and the CMIP5 models. The use of a single pressure level to analyse  
12 CISS is one factor since regions of CISS are typically shallow, and located close to the  
13 tropopause (Spichtinger et al. 2003a, Rädcl and Shine 2007). Satellite-derived climatologies  
14 of ISS frequencies show significant differences in ISS frequency and distribution at different  
15 levels in the upper-troposphere (e.g. Spichtinger et al. 2003b, Lamquin et al. 2012). Hence  
16 any bias in tropopause height in the models, particularly in the mid-latitudes where the 250  
17 hPa level is often close to the tropopause, would bias the resulting CISS frequencies. Since  
18 the CMIP5 data archive retains model data on only a limited number of pressure levels in the  
19 upper-troposphere, we do not attempt to compute a bias in tropopause height for each model.

20

21 An additional reason for the differences between the models in Figure 2 is that the CMIP5  
22 models exhibit substantial temperature biases at the 250 hPa level when compared to ERA-  
23 Interim, although this is ameliorated to some extent (at least at the global-mean level) by the  
24 choice of a model-dependent CISS threshold (Table 1 and Figure 1). Figure 3 (panels (a), (c)  
25 and (e)) shows pdfs of temperature for ERA-Interim and each CMIP5 model for the three sub  
26 regions of interest. The size of the temperature bias varies by model and region, but is  
27 typically a few Kelvin in magnitude, with almost all models and regions biased cold. Since a  
28 region is only considered as ice-supersaturated if the temperature is below the 233 K  
29 threshold (shown as a dashed line on Figure 3), a cold bias could lead to an overestimation of  
30 ice-supersaturation in regions where the temperature is often close to this threshold. Figure 3  
31 shows that for the NH mid-latitude (Figure 3(c)) and polar (Figure 3(e)) regions, the  
32 temperature threshold is at the upper-limit of the temperature pdf in the present-day climate,

1 and so the bias will have little impact on CISS frequency. However in the tropics, the 250  
2 hPa temperature in ERA-Interim is often around the 233 K threshold, but in the CMIP5  
3 models it is almost always below the threshold in the present-day climate (Figure 3(a)), and so  
4 could impact the CISS frequency. Additionally, since relative humidity is exponentially  
5 related to temperature through the saturation vapour pressure, a cold temperature bias will  
6 cause a high relative humidity bias (for the same specific humidity). In the CMIP5 models,  
7 however, the cold temperature bias is accompanied by a dry bias in the specific humidity.  
8 PDFs of specific humidity (Figure 3, panels (b), (d) and (f)) show mean biases of the order of  
9  $10^{-2}$  g kg<sup>-1</sup> in the tropics and NH mid-latitude regions, and  $10^{-3}$  g kg<sup>-1</sup> in the NH polar regions.  
10 In all regions, the CMIP5 models typically have a higher proportion of points with low  
11 specific humidity than in ERA-Interim, and fewer with high specific humidity in the tail of  
12 the distribution.

13

### 14 **3.2 Changes to ice-supersaturation over the twenty-first century**

15 Changes to the ice-supersaturation frequency and distribution over the twenty-first century are  
16 now investigated, using the RCP8.5 simulations of the CMIP5 models. The annual-mean  
17 global-mean change in 250 hPa CISS frequency by the end of the twenty-first century is  
18 shown in Table 1, calculated as the average frequency over the period 2073-2099 minus the  
19 average over 1979-2005 (from the historical simulation). All models predict a decrease in the  
20 annual-mean global-mean CISS frequency by the end of the twenty-first century, relative to  
21 the present-day. The multi-model mean decrease is substantial, from a present-day value of  
22 11% to an end-of-century value of 7%. The range of the decrease is 3.3 to 4.9 percentage  
23 points over the individual models. This is a relatively narrow range, given the differences in  
24 the spatial distribution of CISS in the models in the present-day climate.

25

26 The spatial distribution of the change in 250 hPa CISS frequency due to climate change is  
27 shown in Figure 4 for each of the CMIP5 models, using the same time periods as above. The  
28 present-day distribution of ISS in each model is shown by black contours, in order to see the  
29 relationship between the present-day distribution of ISS and future changes to it. There are  
30 several features common to all five models. Firstly, all models predict strong decreases in the  
31 frequency of CISS in the tropics; the regions of strongest decrease correlate well with the

1 regions of highest frequency of CISS in the present-day climate. All models show an increase  
2 in CISS frequency in both the northern and southern high-latitudes, although the size of the  
3 change varies between models. The largest differences between the models are found in the  
4 mid-latitudes. This is not surprising, given that the 250 hPa level is very close to the  
5 tropopause in the mid-latitudes, as previously discussed, and so the CISS frequency will be  
6 very sensitive to small changes in tropopause height. CISS in the mid-latitudes is often linked  
7 to the storm track regions; maxima in CISS frequency coincide with the location and  
8 orientation of the storm track in all major basins. In the annual-mean, all the models studied  
9 predict a small northward shift in jetstream location over the north Atlantic (Irvine et al.  
10 2015), for example, but the change to ice-supersaturation frequency in the models in this  
11 region varies. For example GFDL-ESM2G (Figure 4(b)) suggests a northward shift in the  
12 CISS frequency maxima in both north Atlantic and Pacific storm track regions, whereas for  
13 most other models the change appears to be a decrease in the strength of the CISS maxima in  
14 these regions.

15

16 Figure 5 shows a time series of the multi-model mean change in CISS frequency, from 1979  
17 to 2100, i.e. from the historical period through the RCP8.5 period. The change in frequency  
18 is calculated separately for each CMIP5 model as the annual-mean frequency in each year  
19 minus the 1979-2005 average. The individual time series are then averaged together to  
20 provide a multi-model mean (plotted). There is considerable inter-annual variability in the  
21 CISS frequency, particularly on seasonal timescales, and so the multi-model mean time series  
22 has been smoothed with a 10-year running mean to allow the long-term trends in the multi-  
23 model mean to be more clearly seen. The time series are shown separately for each region,  
24 and the mean changes in that region from the historical period to mid-century (2030-2056)  
25 and late century (2073-2099) periods are given separately for each model as well as the multi-  
26 model mean in Table 2.

27

28 For the NH polar regions, the time series of change in CISS frequency shows an increase in  
29 CISS frequency through the 21<sup>st</sup> century. By 2100, the changes in the smoothed time series  
30 are clearly larger than any internal variability. The rate of increase is faster over the second  
31 half of the 21<sup>st</sup> century than the first half; the multi-model mean increase in annual-mean  
32 CISS frequency is 1.7 percentage points (range 0.9 – 2.2 percentage points, Table 2) by mid-

1 century and 4.9 percentage points (range 2.8 to 6.2 percentage points) by the end of the  
2 century. There is a strong seasonality to the changes; the largest changes are in the autumn  
3 (September, October and November) and smallest in the spring (March, April and May) (not  
4 shown). At these latitudes, 250 hPa is certainly in the stratosphere and the water vapour  
5 content of the air is very small, as shown by the small values of specific humidities in the pdfs  
6 in Figure 3(f). Any contrails forming in this region may have small optical depths such that  
7 their impact on climate is lower than contrails formed in other regions with higher water  
8 vapour contents. Thus the increase in CISS frequency shown here may be less significant in  
9 terms of persistent-contrail climate impact than for the other regions studied.

10

11 In the NH mid-latitude region there is little change in the annual-mean CISS frequency over  
12 the 21st century (Figure 5). The multi-model mean change by mid-century and end of century  
13 are comparable, at 0.7 and 0.9 percentage points increase respectively. Moreover, there is  
14 some disagreement between the models on the sign of the change. MIROC5 predicts small  
15 decreases in CISS frequency by both mid-century and end of century time periods. EC-  
16 EARTH and MPI-ESM-MR predict no change by the end of the 21st century whereas GFDL-  
17 ESM2G and HadGEM2-CC predict small increases. This spread in model behaviour is likely  
18 linked to the different jet stream and tropopause height climatologies in the models, since in  
19 this region 250 hPa is often close to the tropopause, and regions of CISS are often associated  
20 with the position of the jet stream in the model. There is some seasonality to the CISS  
21 changes shown; the multi-model mean shows an increase of around 3 percentage points in  
22 winter (December, January and February, DJF), and a decrease of around 2 percentage points  
23 in summer (June, July and August, JJA) by the end of the 21st century (Figure 5). At this  
24 altitude, the CISS frequency is higher in summer than winter in the re-analysis data (not  
25 shown).

26

27 In the tropics there is a strong decrease in annual-mean CISS frequency throughout the 21st  
28 century. As in the polar regions, by 2100, the changes in the smoothed time series are clearly  
29 larger than any internal variability. The decrease is strongest through the middle of the  
30 century, and begins to level-off by 2080. The multi-model mean change is a decrease of 3.3  
31 percentage points by mid-century (range 2.6 to 5.6 percentage points, Table 2), and 8.8  
32 percentage points by the end of the century (range 6.1 to 11.5 percentage points). Given the

1 nature of CISS regions in the tropics, and that we are averaging over both northern and  
2 southern hemisphere portions of the tropics, it is unsurprising that there is little seasonality to  
3 this change. The main factor driving the large decrease in CISS frequency in the tropics is  
4 temperature. Figure 6 shows a timeseries of average change in tropical CISS frequency for  
5 each model, with colours used to indicate the fraction of all tropical points in each model  
6 which are below the 233 K temperature threshold used to define a CISS region. During the  
7 historical period this is always above 0.9, and therefore it is almost always sufficiently cold  
8 for contrail formation, so that the limiting factor determining the CISS frequency would be  
9 the humidity. This fraction begins to decrease in the 2030s and by 2080 it has dropped below  
10 0.2; this low fraction means that that regardless of the humidity, over most of the tropics it is  
11 too warm to meet the definition of an CISS region. This explains the sharp decrease in CISS  
12 frequency predicted by the models. Note that the changes during the historical period, a  
13 decrease in CISS frequency, are very small in comparison to the predicted changes over the  
14 21st century.

15 Since the ISS changes without application of the temperature threshold are also of interest,  
16 beyond the context of contrail formation, we briefly comment on the ISS trends. Since the  
17 tropics dominate the global-mean, and the tropical CISS results are strongly influenced by the  
18 temperature threshold, the global-mean ISS trends are expected to be less strong than their  
19 CISS counterparts. The global-mean values (in percentage points) corresponding to the time-  
20 period in Table 1 are -1.5 (EC-EARTH), +4.9 (GFDL-ESM2G), -0.004 (HadGEM2-CC), -  
21 1.5 (MIROC5) and -1.2 (MPI-ESM-MR). All models show an increase in polar regions,  
22 albeit less strong than indicated for CISS in Table 2, while all models show a decrease in the  
23 tropics, with the exception of GFDL-ESM2G which shows an increase, which hence strongly  
24 influences the global-mean response in that model. As will be discussed in Section 3.3, the  
25 GFDL-ESM2G model has a quite different predicted relative humidity response in the  
26 tropical upper troposphere compared to the other models discussed here, with increases near  
27 250 hPa.

### 28 **3.3 Extension of results to multiple levels**

29 Our analysis of CISS regions has so far concentrated on the 250 hPa level, for which daily-  
30 mean data are available. In order to assess whether the changes in CISS frequency over the  
31 twenty-first century can be generalised to levels other than 250 hPa, monthly-mean data are  
32 analysed. Given the relatively small-scale and short time-scale nature of CISS regions, it

1 would not be particularly meaningful to try to define regions of CISS using monthly-mean  
2 data. Instead, we use the annual-mean zonal-mean differences between the RCP8.5 and  
3 historical simulations of the CMIP5 models, to analyse the vertical structure of changes in  
4 mean RH<sub>i</sub> and temperature. These are shown separately for each CMIP5 model, as the  
5 average over 2073-2099 minus the average over 1979-2005, in Figure 7. The latitudinal  
6 bounds of the tropical, NH mid-latitudes and NH polar regions are also given, along with the  
7 range of typical cruise altitudes of commercial aircraft (approximately 300 – 200 hPa).

8

9 There is generally good agreement on the vertical structure of zonal-mean temperature and  
10 relative humidity changes between the models. For the NH polar regions, the models agree  
11 on an increase in mean relative humidity over the altitude range of interest, which suggests  
12 that the increase in CISS frequency predicted in this region at 250 hPa will be also occur at  
13 other cruise altitudes. Mean temperature changes in this region are irrelevant for CISS  
14 frequency, since the temperatures at flight level are well below the 233 K threshold. For the  
15 NH mid-latitude region, there is less agreement between models; the mean changes are more  
16 dependent on altitude and latitude. However, all models agree on an increase in relative  
17 humidity at altitudes above 250 hPa, with the largest changes at the highest flight levels. It is  
18 possible that there will be a decrease in CISS regions at low mid-latitudes and flight levels,  
19 from a combination of the decrease in relative humidity and increase in temperature (which  
20 will increase the number of days where the temperature is above the 233 K threshold). In the  
21 tropics, all models except GFDL-ESM2G (Figure 7(b)) predict a decrease in mean relative  
22 humidity; this decrease in relative humidity has been found by many previous studies (e.g.  
23 Lorenz and DeWeaver 2007, Wright et al. 2010, Sherwood et al. 2010) and is considered a  
24 robust signal of climate change. All models predict a strong warming over the altitude range  
25 of interest in the tropics; most importantly, this has the effect of pushing temperatures above  
26 the 233 K threshold and so reducing the potential for contrail formation, regardless of any  
27 changes in relative humidity. This effect is strongest at the 250 hPa level in the models, but  
28 all levels show some reduction. The effect is smaller at higher altitudes where temperatures  
29 are lower and the warming is not sufficient to result in temperatures above the 233 K  
30 threshold. At lower altitudes where it is warmer, in the present-day climate much of the  
31 temperature pdf is already above the 233 K threshold, so any warming has a smaller effect on  
32 the CISS frequency.

1

## 2 **4 Conclusions**

3 The evolution of meteorological conditions controlling persistent contrail formation during  
4 the 21<sup>st</sup> century is investigated. Specifically, the frequency and distribution of cold ice-  
5 supersaturated regions are analysed in simulations from a selection of models in the CMIP5  
6 multi-model archive, using a model-dependent RHi threshold defined using the cumulative  
7 probability distribution of RHi in each model.

8

9 The present-day simulations from the CMIP5 models qualitatively re-produce the main  
10 features of the CISS distribution seen in ERA-Interim re-analysis data: high frequencies of  
11 CISS in the tropical regions, mid-latitude storm tracks, and most models also simulate high  
12 frequencies in the southern high-latitude regions. At the 250 hPa level analysed, all models  
13 have cold biases of a few Kelvin in the tropics. This is particularly significant as in this region  
14 observed temperatures are close to the temperature threshold for CISS; as a result, CISS  
15 frequencies in the tropics may be overestimated for the present-day climate by the CMIP5  
16 models.

17

18 To analyse the impact of climate change on CISS frequency, RCP8.5 simulations were used.  
19 This scenario has the highest greenhouse gas concentrations and therefore largest temperature  
20 changes of the different scenarios considered by the 2013 IPCC report. Globally, the CMIP5  
21 models predict a decrease in CISS frequency by the end of the 21<sup>st</sup> century, of average 4  
22 percentage points (a decrease of about one-third of the present-day value) over the models  
23 analysed here. However, this change is not uniform globally, and both the sign and  
24 magnitude of the change in CISS varies by region. The largest contribution to the global-  
25 mean decrease is the strong decrease in CISS frequency in the tropics, of 8.8 percentage  
26 points in the multi-model mean by the end of the 21<sup>st</sup> century. The rate of decrease is  
27 strongest in the mid-century, and levels-off by the late century. The decrease in CISS  
28 frequency is mainly due to the strong warming at the 250 hPa level, which shifts the  
29 temperature pdf from below the 233 K temperature threshold to above it. There is less  
30 consensus between the models on the sign and magnitude of the change in the NH mid-  
31 latitudes at the 250 hPa level. The multi-model mean annual-mean change is around 1



1 percentage point by 2100, and seasonally-dependent; models show small increases in CISS  
2 frequency in winter and decreases in summer. The models agree on an increase in CISS  
3 frequency over the NH polar regions in all seasons, reaching approximately 5 percentage  
4 points by 2100. The results are broadly consistent with those of Marquart et al. (2003), where  
5 the focus was on predicting changes in contrail cover for specified distributions of air traffic  
6 growth, rather than the frequency of CISS. In their simulations, the impact of climate change  
7 reduces 2050 contrail cover by 20 percentage points compared to the case with no climate  
8 change, with that decrease concentrated in the tropics.

9

10 The CMIP5 zonal-mean monthly-mean relative humidity and temperature projections suggest  
11 that the changes projected at the 250 hPa level are applicable to other cruise altitudes, perhaps  
12 with the exception of the NH mid-latitudes where the sign of any change in CISS frequency is  
13 more dependent on latitude and altitude. In the tropics, the largest change in the CMIP5  
14 models is at 250 hPa, which is in the middle of the range of permitted cruise altitudes.  
15 However, since the models have a cold bias, relative to the re-analyses, the level at which the  
16 maximum change is seen may actually be higher than this.

17

18 The projected changes to ice-supersaturation frequency over the twenty-first century have  
19 implications for contrail cover, and consequently contrail climate impact. Persistent contrails  
20 form when aircraft fly through the CISS regions analysed here; making projections of actual  
21 contrail cover for the 21<sup>st</sup> century would require combining the climate model data with  
22 estimates of the amount and distribution of air traffic throughout this time period of the  
23 climate model simulations, as well as accounting for improvements to aircraft engine  
24 technology. Here we provide a discussion of the possible impact of the CISS changes on  
25 contrail cover, given projections of air traffic demand and increasing aircraft engine  
26 efficiency. In the NH mid-latitudes where there is already a high volume of air traffic,  
27 climate models predict small increases in CISS frequency, particularly in winter. This  
28 suggests that there could be small increases in contrail cover from the combination of  
29 increased CISS frequency and increased air traffic. Increases in engine efficiency are likely to  
30 have only minor impacts on contrail cover in this region since temperatures are normally well  
31 below those required for contrail formation. In the tropics, the reduction in CISS frequency  
32 is in opposition to the predicted growth in aviation and increase in engine efficiency. It seems

1 likely however, that a factor of 2-5 increase in air traffic from 2000 to 2050 (Owen et al.  
2 2010) along with an increase in engine efficiency will outweigh the few percentage point  
3 decrease in CISS frequency shown here, leading to an increase in contrail cover. In the NH  
4 polar regions, the situation is similar to the NH mid-latitudes, but with more confidence in  
5 larger increases in CISS frequency due to climate change. The predicted increases in CISS  
6 frequency presented here, as well as a possible factor of 2 increase in air traffic (Owen et al.  
7 2010) suggest an increase in contrail cover. The climate significance of this is less obvious,  
8 since any contrails formed at high latitudes are likely to be very thin, and the level of air  
9 traffic is likely to remain far below that of the mid-latitude or tropical regions. Overall, global  
10 contrail cover seems likely to increase over the twenty-first century, with climate change  
11 acting to increase contrail cover in the mid-latitude and polar regions and constraining  
12 changes in contrail cover in the tropics. In time, improvement in the global observing system  
13 may allow a robust evaluation of the model-derived humidity trends, which would impact on  
14 the confidence with which those trends can be viewed.

15

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26

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1 Table 1. Characteristics of the CMIP5 models used in this study. The CISS threshold is the  
 2 threshold RHi value used in the calculation of the annual-mean global-mean CISS frequency  
 3 at 250 hPa in the present-day climate (note a temperature threshold of 233 K is also applied).  
 4 The change in CISS frequency (in percentage points) is calculated as the global-mean annual-  
 5 mean CISS frequency in the RCP8.5 simulation over the period 2073-2099 minus that in the  
 6 historical simulation over the period 1979-2005.

Model	Centre	Horizontal resolution	CISS threshold (%)	CISS frequency 1979-2005 (%)	Change in CISS frequency (percentage points)
<b>ERA-Interim re-analysis</b>	<b>European Centre for Medium-Range Weather Forecasts</b>	<b>0.7°</b>	<b>92</b>	<b>8.1</b>	<b>-</b>
EC-EARTH	EC-EARTH consortium	1.125°	98	10.1	-3.6
GFDL-ESM2G	NOAA Geophysical Fluid Dynamics Laboratory	2.0° lat, 2.5° lon	72	10.8	-3.3
HadGEM2-CC	Met Office Hadley Centre	1.25° lat, 1.875° lon	78	12.1	-4.9
MIROC5	Atmosphere and Ocean Research Institute (The University of Tokyo), National Institute for Environmental Studies, Japan Agency for Marine-Earth Science and Technology	1.4°	93	11.1	-3.5
MPI-ESM-MR	Max Planck Institute for Meteorology	1.875°	97	10.5	-4.7

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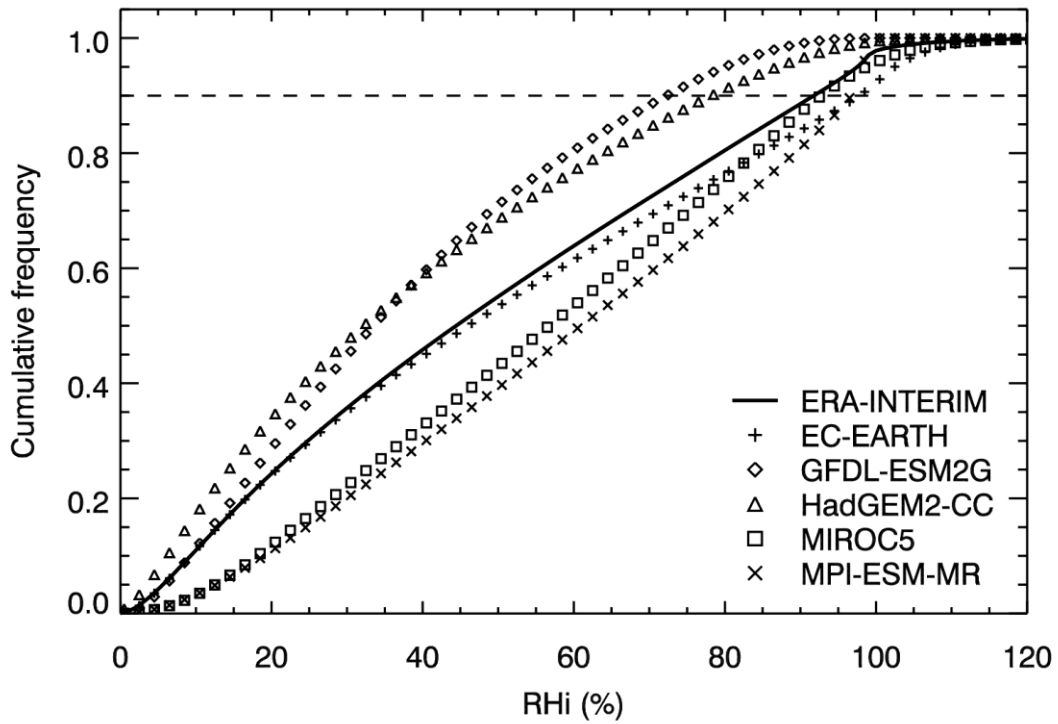
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3 Table 2. Changes to the annual-mean frequency of CISS at 250 hPa from the RCP8.5  
 4 simulation minus the historical simulation, for the sub-regions of interest. The change is  
 5 shown for two time-periods: middle of the 21<sup>st</sup> century, and end of the 21<sup>st</sup> century.

Model	Change in CISS frequency (2030-2056) – (1979-2005) (percentage points)			Change in CISS frequency (2073-2099) – (1979-2005) (percentage points)		
	Tropics	NH Midlats	NH Polar	Tropics	NH Midlats	NH Polar
EC-EARTH	-2.6	0.4	1.8	-7.6	0.2	4.5
GFDL-ESM2G	-2.6	1.5	1.6	-9.5	3.8	6.0
HadGEM2-CC	-5.6	1.8	2.2	-11.5	2.4	6.2
MIROC5	-2.7	-0.5	2.0	-6.1	-1.8	5.0
MPI-ESM-MR	-3.2	0.2	0.9	-9.2	0.1	2.8
<i>Multi-model mean</i>	<i>-3.3</i>	<i>0.7</i>	<i>1.7</i>	<i>-8.8</i>	<i>0.9</i>	<i>4.9</i>

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2 Figure 1. Cumulative frequency distribution of 250 hPa relative humidity with respect to ice  
 3 for ERA-Interim (thick solid line) and the CMIP5 models (symbols). Global daily data over  
 4 the period 1979-2005 is used. The dashed line marks the 90<sup>th</sup> percentile of the RH<sub>i</sub>  
 5 distribution, used to define the model-dependent RH<sub>i</sub> threshold for ice-supersaturated regions.

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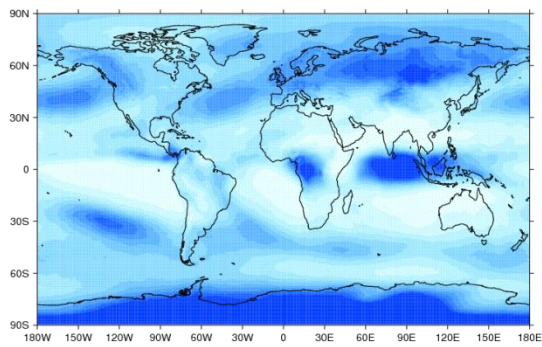
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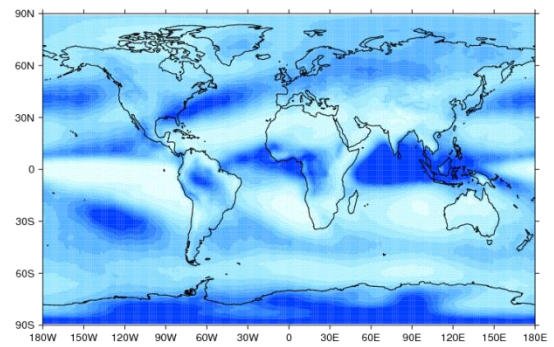
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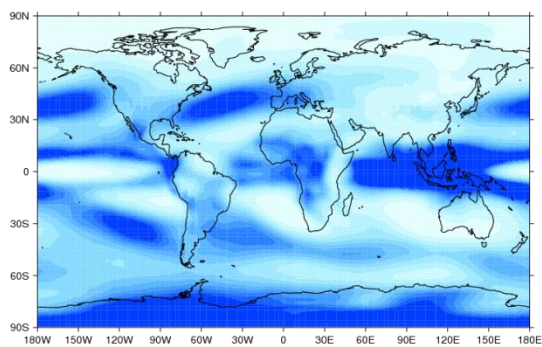
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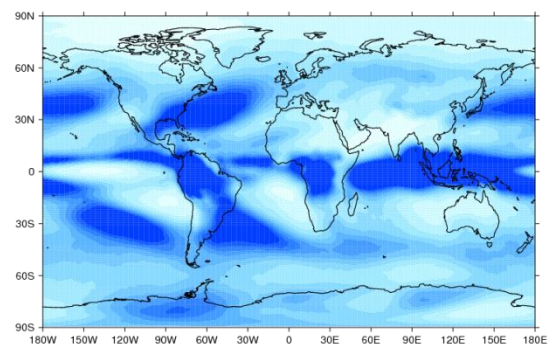
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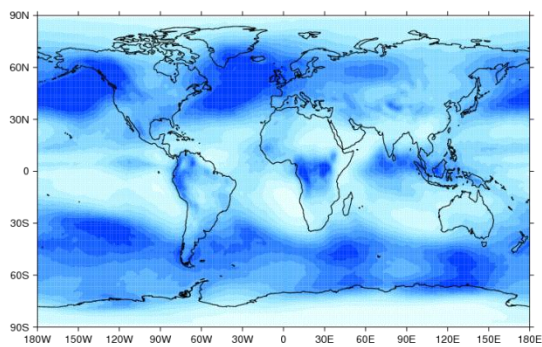
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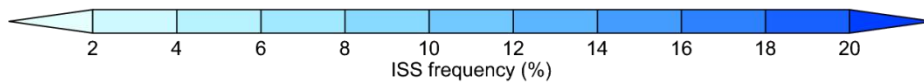
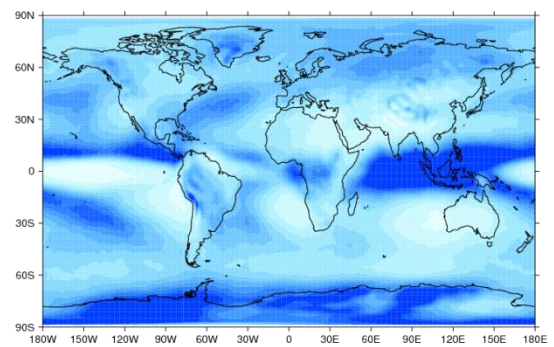
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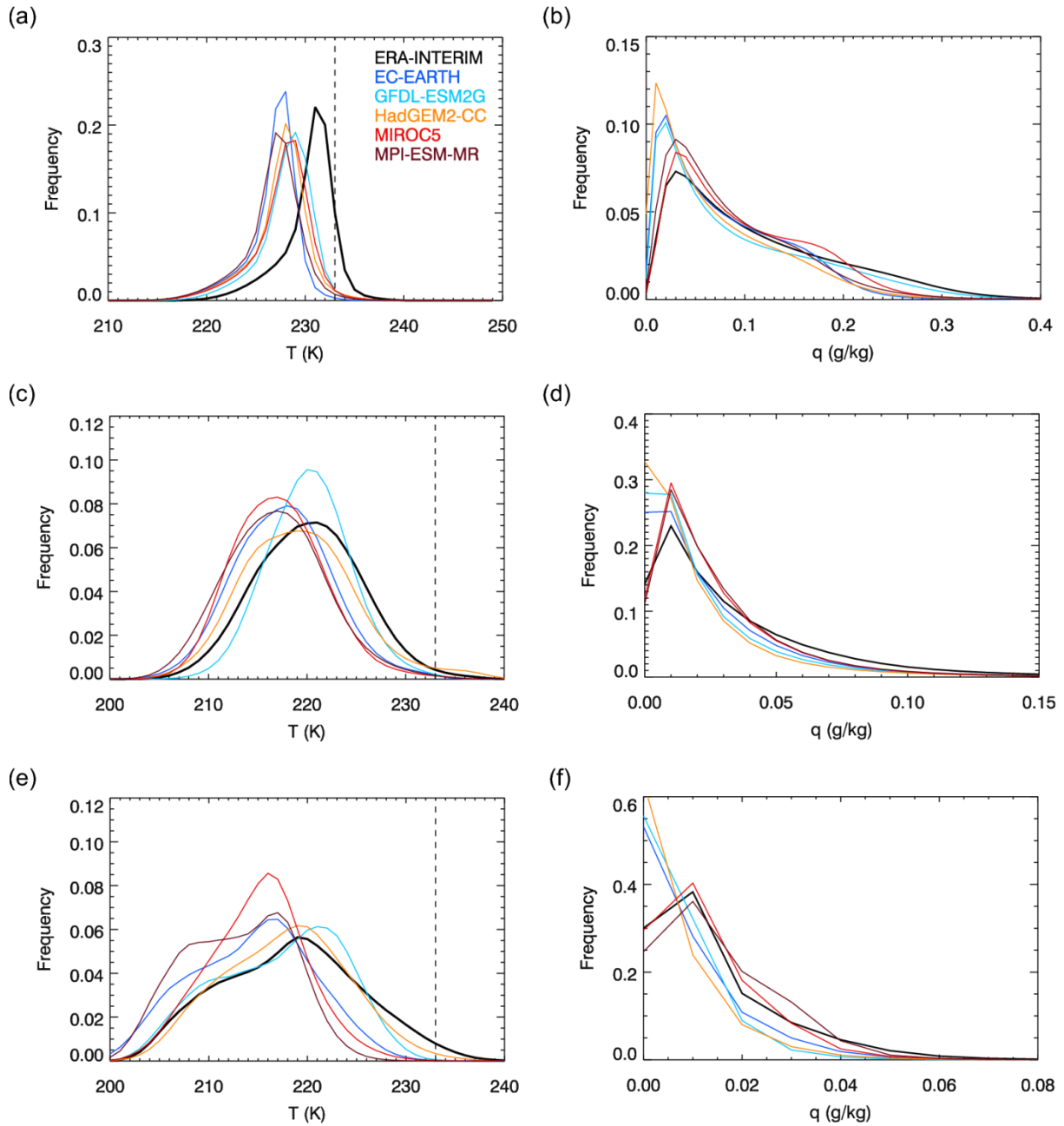


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2 Figure 2. Annual-mean CISS frequency at 250 hPa over the present-day period 1979-2005  
3 for (a) ERA-Interim re-analysis and the CMIP5 models (b) EC-EARTH, (c) GFDL-ESM2G,  
4 (d) HadGEM2-CC, (e) MIROC5 and (f) MPI-ESM-MR. The CISS fields for the 5 CMIP5  
5 models are repeated in Figure 4 as labelled contours.

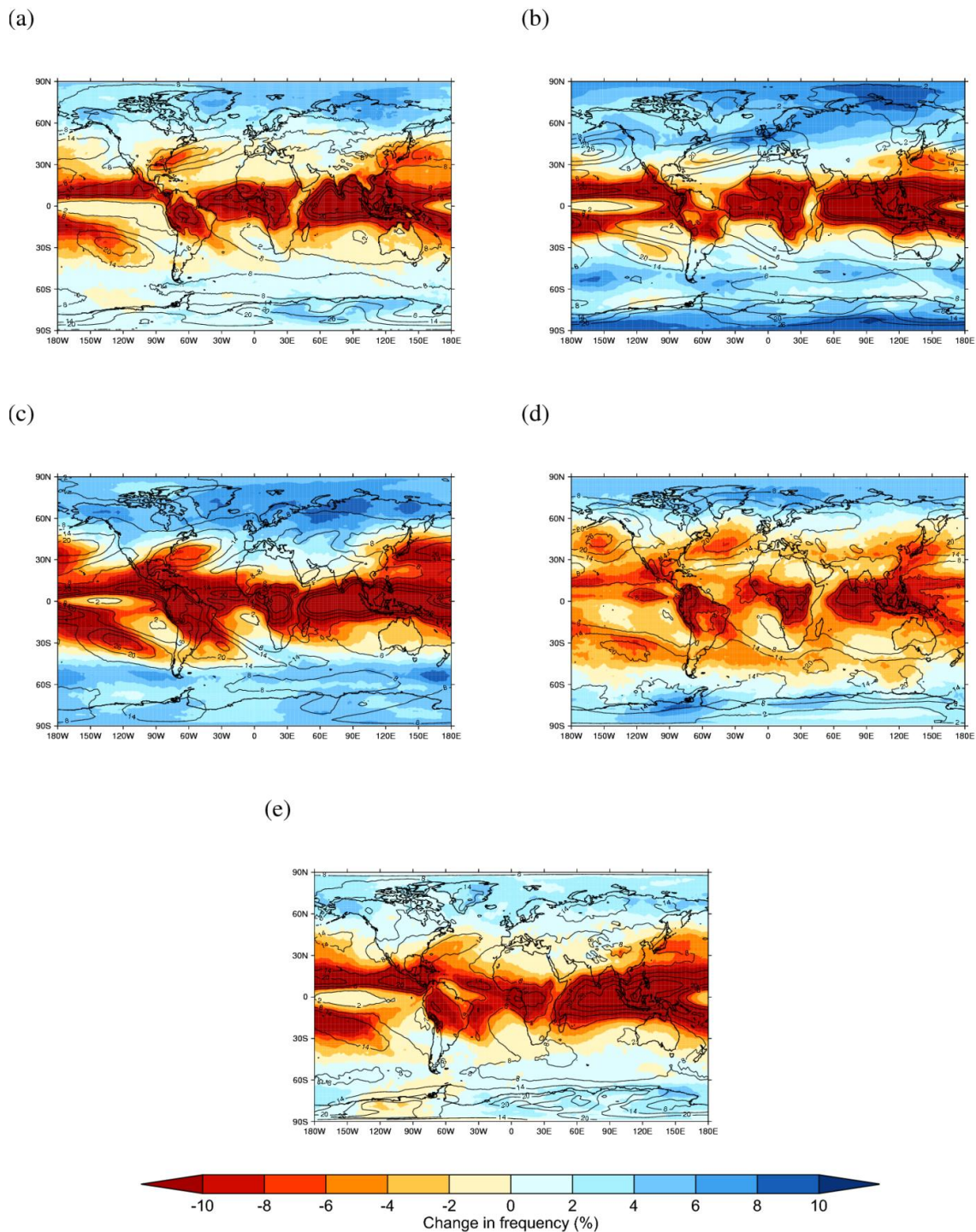


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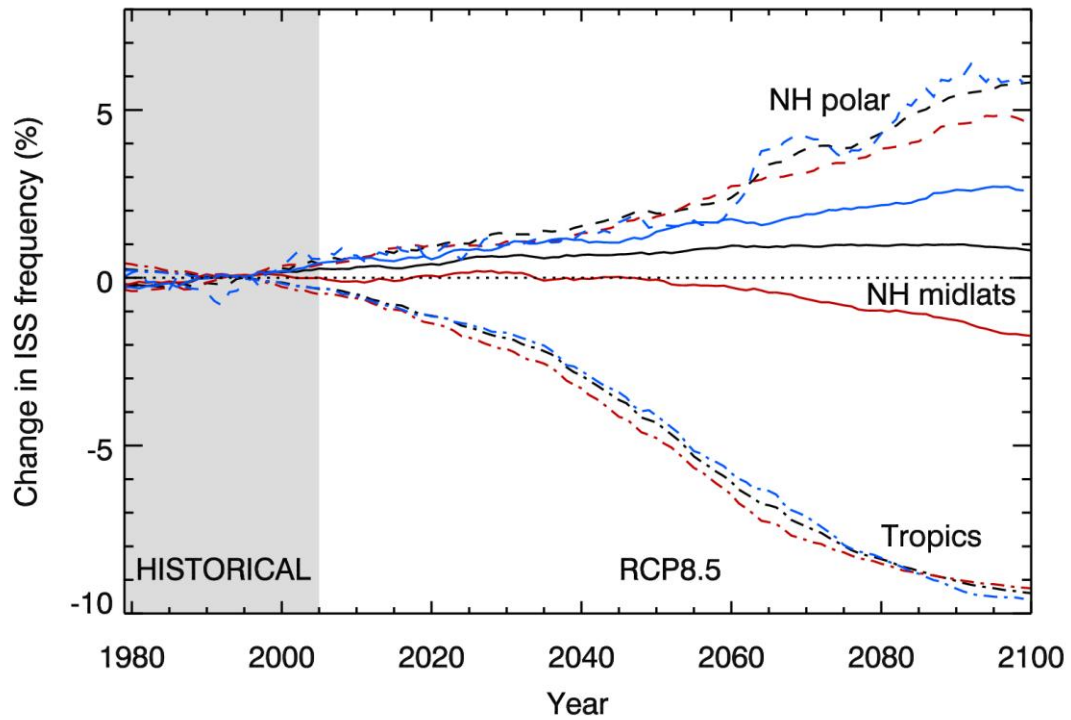
3 Figure 3. PDFs of temperature,  $T$  (left column) and specific humidity,  $q$  (right column) at 250  
 4 hPa in the present-day climate (1979-2005) in three regions: (a) and (b) the tropics, (c) and (d)  
 5 the NH mid-latitudes and (e) and (f) the NH polar regions. Shown for ERA-Interim re-  
 6 analysis (thick black line) and CMIP5 models EC-EARTH (dark blue), GFDL-ESM2G (light  
 7 blue), HadGEM2-CC (orange), MIROC5 (red) and MPI-ESM-MR (dark red). The 233 K  
 8 temperature threshold is marked by the dashed line on panels (a), (c) and (e).

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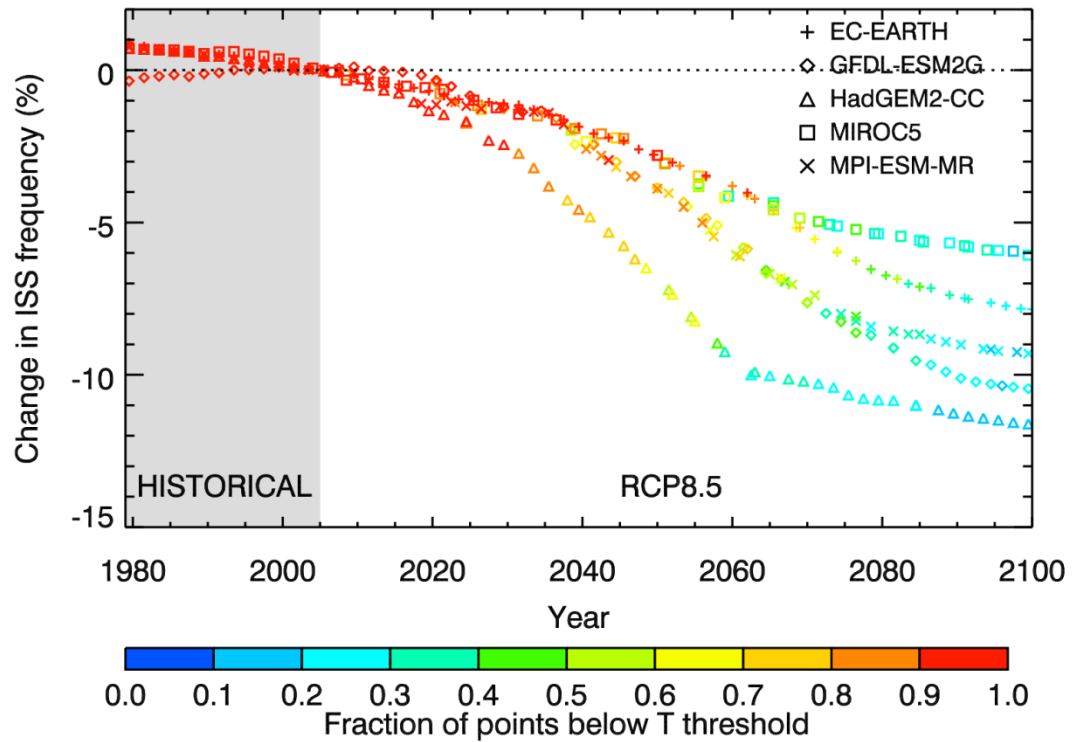


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 2 Figure 4. Change in mean CISS frequency at 250 hPa (colours) in percentage points between  
 3 the RCP8.5 simulation (average over 2073-2099) and historical simulation (average over  
 4 1979-2005) for the CMIP5 models (a) EC-EARTH, (b) GFDL-ESM2G, (c) HadGEM2-CC,  
 5 (d) MIROC5 and (e) MPI-ESM-MR. The mean CISS frequency (in %) in each model over  
 6 the historical period 1979-2005 is overlaid (black contours – starting at 2%, with a 6%  
 7 contour interval).



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 2 Figure 5. Time series of the multi-model mean change in CISS frequency (in percentage  
 3 points) at 250 hPa from 1979 to 2099, calculated for each year as the mean CISS frequency  
 4 minus the 1979-2005 average (the historical period, shown by grey shading). The change is  
 5 calculated separately for the tropics (dashed-dotted lines), NH mid-latitudes (solid lines) and  
 6 NH polar (dashed lines) regions, for the annual (black lines), DJF (blue lines) and JJA (red  
 7 lines) mean changes. The changes are calculated separately for each CMIP5 model and  
 8 averaged to provide a multi-model mean; a 10-year running mean has been applied to each  
 9 time series before plotting.

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2 Figure 6. Time series of the change in 250 hPa CISS frequency (in percentage points) in the  
 3 tropics in the CMIP5 models (symbols) from 1979 to 2099, calculated for each year as the  
 4 annual-mean CISS frequency minus the 1979-2005 average (the historical period, shown by  
 5 grey shading). The colour of the points shows the fraction of points in the tropics which are  
 6 below the 233 K temperature threshold for CISS. A 10-year running mean has been applied  
 7 to each time series before plotting.

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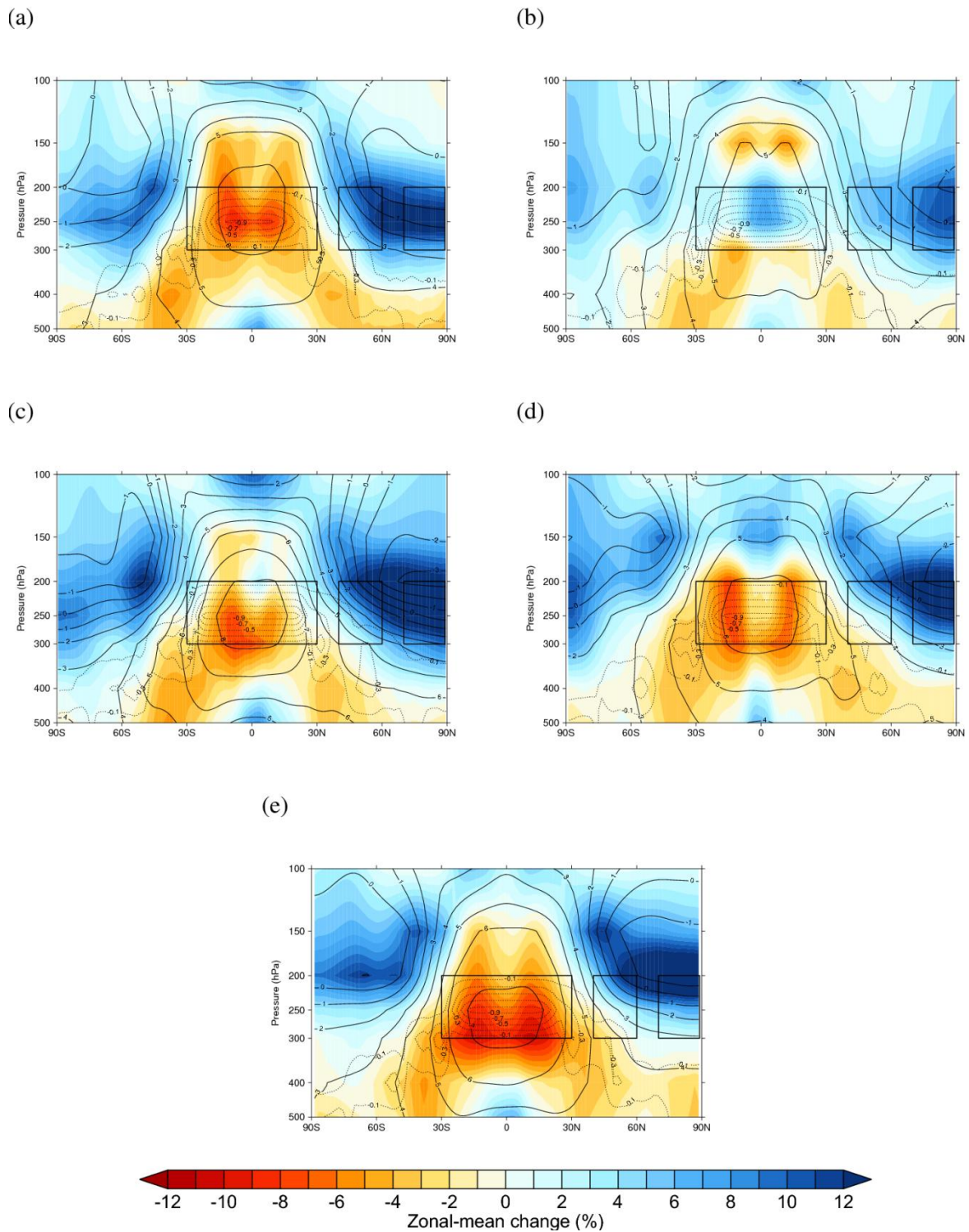
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2 Figure 7. Zonal-mean change in annual-mean RHi (colours), temperature (solid black lines)  
 3 and fraction of points below the 233 K temperature threshold (dotted black lines) as a  
 4 function of pressure for the CMIP5 models (a) EC-EARTH, (b) GFDL-ESM2G, (c)  
 5 HadGEM2-CC, (d) MIROC5 and (e) MPI-ESM-MR. The changes are calculated using  
 6 monthly-mean data, as the average over 2073-2099 (RCP8.5 simulation) minus the average

1 over 1979-2005 (historical simulation). The sub-regions of particular interest are highlighted  
2 by black boxes: the tropics, northern hemisphere mid-latitudes and northern hemisphere polar  
3 regions. The vertical range of these boxes is 200-300 hPa, spanning the range of typical  
4 cruise altitudes for commercial aircraft.