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Modelling short-term variability in carbon and water exchange in a temperate Scots pine forest

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Abstract

Vegetation – atmosphere carbon and water exchange at one particular site can strongly vary from year to year, and understanding this interannual variability in carbon and water exchange (IAV_{CW}) is a critical factor in projecting future ecosystem changes. However, the mechanisms driving this IAV_{CW} are not well understood. We used data on carbon and water fluxes from a multi-year Eddy Covariance study (1997–2009) in a Dutch Scots pine forest and forced a process-based ecosystem model (LPJ-GUESS) with local data to, firstly, test whether the model can explain IAV_{CW} and seasonal carbon and water exchange from direct environmental factors only. Initial model runs showed low correlations with estimated annual gross primary productivity (GPP) and annual actual evapotranspiration (AET), while monthly and daily fluxes showed high correlations. The model underestimated GPP and AET during winter and drought events. Secondly, we adapted the temperature inhibition function of photosynthesis to account for the observation that at this particular site, trees continue to assimilate at very low atmospheric temperatures (up to daily averages of -10°C), resulting in a net carbon sink in winter. While we were able to improve daily and monthly simulations during winter by lowering the modelled minimum temperature threshold for photosynthesis, this did not increase explained IAV_{CW} at the site. Thirdly, we implemented three alternative hypotheses concerning water uptake by plants in order to test which one best corresponds with the data. In particular, we analyse the effects during the 2003 heat-wave. These simulations revealed a strong sensitivity of the modelled fluxes during dry and warm conditions, but no single formulation was consistently superior in reproducing the data for all time scales and the overall model-data match for IAV_{CW} could not be improved. Most probably access to deep soil water leads to higher AET and GPP simulated during the heat wave of 2003. We conclude that photosynthesis at lower temperatures than assumed in most models can be important for winter carbon and water fluxes in pine forests. Furthermore, details of the model representations of wa-

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Rammig et al., 2010; Hickler et al., 2012), and alternative vegetation states under elevated atmospheric CO₂ (e.g. Higgins and Scheiter, 2012). Such vegetation changes would also feed back on regional and global climate (e.g. Cox et al., 2000; Naeem, 2002; Sitch et al., 2003; van den Hurk et al., 2003; Arora and Boer, 2005; Bonan, 2008; Pitman et al., 2009; Wramneby et al., 2010), and can affect the long-term terrestrial carbon balance profoundly. Therefore it is crucial that these models accurately reproduce IAV_{cw} across all spatial scales.

To provide insight in the climate change impacts on the terrestrial carbon balance in the long term, both short- and long-term vegetation responses to a constantly changing environment should be better understood and represented. This implies better model representations of short-term processes such as the mechanisms governing vegetation phenology (Cleland et al., 2007; Kramer and Hänninen, 2009; Wolkovich et al., 2012), dynamic carbon and nutrient allocation (Litton et al., 2007; Epron et al., 2012; Franklin et al., 2012), photosynthetic temperature acclimation (Gea-Izquierdo et al., 2010), as well as better representing long-term processes such as soil, nutrient and carbon dynamics. Before addressing these process representations, however, it should be established that IAV_{cw} cannot be explained by more direct environmental responses such as to drought, temperature and radiation. Factorial experiments with a dynamic vegetation model can be used to generate hypotheses concerning the main drivers of IAV_{cw} (e.g. Hickler et al., 2005), whereby vegetation models capture at least some of the complexity of real ecosystems. In this study, we used a long time series of Eddy Covariance measurements at a well-researched forest site (Loobos, a Scots pine forest on sandy soils in the Netherlands) and a DGVM (LPJ-GUESS; Smith et al., 2001) parameterized for the site. The observed interannual variability in NEE at Loobos is comparable to that found at sites with similar vegetation composition and climate (Carrara et al., 2003), but this interannual variability cannot be explained directly from climate variables (Jacobs et al., 2009; Kruijt et al., 2009). Previous analyses suggest that temperature is an important driver of ecosystem respiration at this site, and the remaining variation can be related to local extremes, such as drought, storm damage, and snow-

fall in winter (Moors et al., 2014). Luyssaert et al. (2007) thoroughly analysed observational Loobos data and proposed that photosynthesis variability is the main driver of interannual variability in NEE, suggesting that short-term ecophysiological responses play an important role.

In this study, we first tested whether LPJ-GUESS can reproduce the observed IAV_{cw} and seasonal carbon and water exchange at the Loobos site from direct environmental factors only. LPJ-GUESS combines detailed vegetation demographics and dynamics, with mechanistic representations of short-term plant physiological processes. This combination makes the model a good platform to study IAV_{cw} , because we can simultaneously study the effects of environmental and ecosystem drivers on modelled IAV_{cw} . Secondly, we tested whether using alternative model formulations and parameters can explain model error for this single site. We performed these secondary tests, because in the first test we observed systematic biases during winter periods and drought events. Therefore, we analysed the photosynthesis response to temperature during winter periods, and we analysed the response to drought events by comparing alternative plant water uptake parameterizations.

2 Methods

2.1 Study site and observation datasets

2.1.1 Study site

Loobos (coord: 52°10'04" N, 05°44'38" E) is a planted Scots pine forest that is approximately 100 years old and located in bare sandy soil at the Veluwe forest in central Netherlands. The dominant tree species is *Pinus sylvestris* and understory vegetation consists mostly of the grass *Deschampsia flexuosa* and mosses. *Vaccinium myrtillus* and various species of lichen make up the remaining understory vegetation, and the site "suffers" from encroachment of *Prunus serotina*. The landscape consists of veg-

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etated sand dunes that create a bumpy topography with elevations varying several meters and the local groundwater levels are strongly influenced by this local topography (Moors, 2012). The average tree height is approximately 17 m, and tree density is 478 ha^{-1} . For more information on the site, and a complete overview of its measurement instrumentation and description, see <http://climatexchange.nl/sites/loobos/>, Dolman et al. (2002), Schelhaas et al. (2004) and Elbers et al. (2011).

2.1.2 Eddy covariance data

Eddy covariance (EC) and meteorological measurements have been continuously collected at this site since 1995 and these data are part of the FLUXNET database (Baldocchi et al., 2001). EC instrumentation is positioned on a mast extending 3 m above a 23 m scaffolding tower. In addition to EC and meteorological measurements, CO_2 -concentrations are measured at five levels in the canopy: 24.4, 7.5, 5.0, 2.5 and 0.4 m above ground. The tower footprint stretches to several hundred meters, while the forest extends for more than 1.5 km in all directions from this point. EC data are processed to half-hourly corrected fluxes with the instrumentation and method described in Elbers et al. (2011). These data are quality checked, flagged and, if necessary, gap filled and split up in gross primary productivity (GPP) and ecosystem respiration (R_{eco}), using the online EC gap-filling and flux partitioning tool at <http://www.bgc-jena.mpg.de/~MDI/work/eddyproc/> (7 April 2014). We used this gap-filled dataset to calculate all EC and meteorological variables on a daily time step. Flux partitioning of measured Net Ecosystem Exchange (NEE) to estimate GPP follows Reichstein et al. (2005), i.e., $\text{GPP} = R_{\text{eco}} - \text{NEE}$. Since our dataset follows the standard FLUXNET database format, R_{eco} and GPP are both positive quantities whereas negative NEE represents a net carbon uptake by the vegetation. As a result, GPP estimates can have a negative sign in this dataset and represent a net carbon loss of the vegetation. By definition, negative GPP cannot occur in a biological sense, but negative GPP values were not omitted from the dataset to preserve original scatter.

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2.1.3 Additional site data

Sap flow measurements on *Pinus sylvestris* are available for 1997 and 1998 using tissue heat balance systems (details in Moors et al., 2012), and for 2009 using Granier thermal dissipation probes. Soil moisture data are available for all years, and measured with frequency domain sensors at 5 different depths: 0.03, 0.10, 0.25, 0.75 and 2.0 m. In 2005 all sensors were replaced and positioned at different depths: litter, 0.03, 0.20, 0.50 and 1.0 m. For comparison with model data, available soil moisture (excluding the litter sensor) was averaged for an upper soil layer (0–50 cm), and a lower layer (50–150 cm). Additional site measurements at less frequent intervals include the leaf area index (LAI) of trees and, to a lesser extent, the understory.

2.2 Model description

LPJ-GUESS (Smith et al., 2001) is a flexible, modular modelling platform to simulate vegetation dynamics and biogeochemical cycles from local to global scales. It combines mechanistic representations of physiological and biogeochemical processes from LPJ-DGVM (Sitch et al., 2003), with the more detailed descriptions of vegetation dynamics and vegetation structure of forest gap models. The model version used in this study includes an improved hydrological scheme (Gerten et al., 2004) and an adaptation for European vegetation which is mainly based on main tree species rather than plant functional types (PFTs) (Hickler et al., 2012). Vegetation growth is simulated on patches of 1000 m², where neighbouring individuals compete for space, light and water. On a patch, each tree individual is simulated, but individuals of the same cohort (age class) are identical. Several replicate patches (here 100) are calculated to characterise vegetation over a larger area and account for stochastic processes (establishment, mortality and disturbance events). The model is driven by daily values of temperature, precipitation and radiation, and information on atmospheric CO₂-concentrations and soil texture. The daily calculations of carbon and water fluxes between vegetation and atmosphere are mechanistically simulated in one “canopy exchange” module.

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2.2.1 Photosynthesis calculation

Photosynthesis – with distinction between C_3 and C_4 plants – is based on the original scheme proposed by Farquhar, as simplified by Collatz et al. (1991, 1992), and adapted from the BIOME3 model (Haxeltine and Prentice, 1996b; Haxeltine and Prentice, 1996a). Daily gross and net leaf-level daytime photosynthesis are calculated as a function of atmospheric CO_2 concentrations, air temperature, photosynthetically active radiation (PAR), day length, and canopy conductance. APAR, the fraction of absorbed PAR captured by the vegetation, is calculated from the leaf area index with Beer's law. Leaf respiration linearly scales with Rubisco enzyme capacity. In the absence of water stress, photosynthesis is limited by two main processes that co-vary: the response of photosynthesis to APAR (J_e), and the limitation of photosynthesis by Rubisco enzyme activity and CO_2 (J_c). The rate of carbon assimilation linearly scales with APAR until maximum Rubisco activity is reached. Maximum Rubisco activity is calculated daily under the assumption that sufficient leaf nitrogen is available at the point that the marginal cost by respiration of enhanced carbon gain is zero. This leads to Rubisco activity itself also being proportional to daily APAR (the optimality hypothesis, Haxeltine and Prentice, 1996a). Two environmental stressors that can directly affect modelled daily photosynthesis are temperature and water availability. These are discussed in more detail below.

2.2.2 Temperature dependence of photosynthesis

The parameters governing maximum carboxylation capacity (V_m), as well as parameters describing saturation of Rubisco, oxygen consumption and photorespiration, follow enzyme kinetics and are thus temperature dependent. In addition, when water is not limiting, photosynthesis is made temperature dependent through a temperature scalar

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function (Fig. 1, see Sitch et al., 2008; function *ttemp* in Sitch et al., 2003):

$$t_{\text{scalar}} = \frac{1 - 0.01e^{4.6/(p\text{stemp}_{\text{max}} - p\text{stemp}_{\text{high}})(T_c - p\text{stemp}_{\text{high}})}}{1 + e^{(k_1 - T_c)/(k_1 - p\text{stemp}_{\text{min}}) \times 4.6}} \quad (1)$$

With

$$k_1 = (p\text{stemp}_{\text{min}} + p\text{stemp}_{\text{low}})/2 \quad (2)$$

t_{scalar} (unitless) is a temperature inhibition function that limits photosynthesis at low and high temperatures, where T_c is the daily atmospheric temperature. The scalar is used for the calculation of light-limited photosynthesis (J_e) and carboxylation-limited photosynthesis (J_c) through parameter c_1 (Eq. 11 in Haxeltine and Prentice, 1996b).

$$c_1 = \alpha \times t_{\text{scalar}} \times \frac{(c_i - \Gamma_*)}{(c_i + 2\Gamma_*)} \quad (\text{from Sitch et al., 2003, Eq. 17}) \quad (3)$$

where α is the effective ecosystem-level quantum efficiency, c_i the intercellular partial pressure of CO_2 , and Γ^* the CO_2 compensation point (further explanation and equations in Sitch et al., 2003). t_{scalar} is defined with a PFT-specific lower and upper limit for photosynthesis ($p\text{stemp}_{\text{min}}$, $p\text{stemp}_{\text{max}}$) and an optimum temperature range ($p\text{stemp}_{\text{low}}$, $p\text{stemp}_{\text{high}}$) (Larcher, 1980; Table 3.7). The optimum range (i.e. the upper plateau in Fig. 1) represents an effective temperature response of many enzyme and transport related processes. Within the optimum range, t_{scalar} equals unity Eq. (1), and creates a slight rise in maximum carboxylation capacity (V_m , but reduces photosynthesis with increasing temperature). Outside the optimum range, both light-limited photosynthesis and V_m are reduced. Temperatures outside the $p\text{stemp}_{\text{min}}$, $p\text{stemp}_{\text{max}}$ range result in zero photosynthesis. So, apart from the abovementioned processes that follow enzyme kinetics and are thus temperature dependent, t_{scalar} imposes an additional temperature stress on photosynthesis calculations.

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as a function of soil water content ($w_{r_{cont}}$, which is used in most studies with LPJ-DGVM (description in Haxeltine and Prentice, 1996b). A more detailed description of each method with equations is provided in the Supplement.

2.3 Modelling setups

2.3.1 Default modelling setup

As a driver, we used the site-specific meteorological dataset of daily averages from 1997 to 2009, and this dataset was repeated consecutively during the model run. To simulate the establishment of a Scots pine forest on a bare sand soil, we ran the model for 105 years (as a “spin up” period), so that the simulated forest would have a stand age and soil carbon pools comparable to our study site. Only the two PFTs representing *Pinus sylvestris* and herbacious vegetation with C_3 photosynthesis (to represent the understory) were allowed to establish. Since *Prunus serotina* encroachment is relative recent and actively suppressed, we did not include this PFT in the model. Furthermore, the site has not been disturbed by fire since its establishment so we also did not include fire disturbance in the model. Finally, we used the averaged results of 100 replicate patches to account for any stochastic effects on vegetation establishment. All PFT-specific parameters for this study were taken from Hickler et al. (2012), except for two parameters (Table 1, bold values). Maximum coldest month temperature for PFT establishment ($T_{c,max_{est}}$) was set to limitless for *P. sylvestris*, to ensure establishment of these planted trees at the temperate climate of Loobos. Specific leaf area (*sla*) for *P. sylvestris* was set to a site-specific value based on measurements (Table 1). For comparison of modelled carbon and water fluxes to EC data, modelled daily GPP, NEE, R_{eco} , plant transpiration, soil evaporation and canopy interception are available. Modelled AET was calculated as the sum of plant transpiration plus evaporation from the soil and canopy. Water uptake was set to the default used in previous studies with this model: $w_{r_{rootdist}}$.

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2.3.2 Alternative temperature response function

Based on the results of the default model run (Sect. 3.1), we decided to decrease the lower temperature limit ($p_{\text{temp}_{\text{min}}}$, Eqs. 1 and 2) for Scots pine to allow photosynthesis on frost days. To compare our findings with existing data, and to determine a suitable lower temperature threshold for photosynthesis of mature Scots pine forests at temperate sites, we identified a limited number of previous studies relevant to the situation at Loobos. For example, James et al. (1994) measured photosynthesis and growth of Scots pine along a latitudinal gradient in Scotland, and found that valley trees displayed higher photosynthesis rates in winter compared to those growing at higher latitudes. Teskey et al. (1994) report net photosynthesis in winter when there are no severe frosts and the soil is not frozen. Linder and Troeng (1980) report minimum atmospheric temperatures of -7°C for net photosynthesis for *P. sylvestris* in southern Sweden, which is slightly higher than but in a similar range as that observed at our study site Loobos. Sevanto et al. (2006) show net uptake of carbon for many freezing days during the winter of 2002/03, and positive uptake in all previous 7 years except during January in southern Finland. At Brasschaat, a slightly younger (compared to Loobos) temperate mixed-deciduous-coniferous forest in Belgium, net carbon uptake was observed only in the winter of 2001 (Carrara et al., 2003). At this site, however, not all trees are evergreen so winter LAI is lower compared to our study site.

In addition to the literature review, we analysed several types of available observation data in three different ways to determine a suitable lower temperature threshold. Analysis 1: we selected days from the EC dataset between late November and late February, with average daily temperatures below 0°C ($n = 226$). In order to see the effect of temperature on observed GPP and AET, days with low radiation were excluded: total net shortwave radiation received $> 2 \text{ MJ day}^{-1}$, which is an average of about 75 W m^{-2} for a winter day with 6 h of daylight. For days that met these criteria ($n = 175$), modelled and observed data were binned to temperature classes of 2° ranging from ≤ -10 to 0°C ; Analysis 2: from a different study (Abreu, 2012), we included a fitted temperature

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As a control, we include one additional model run (S4) using the standard water uptake method ($w_{r_{\text{rootdist}}}$), but eliminated plant water stress by fixing w_r to 1.0 so that supply is always equal to E_{max} (Eq. 4). Model results of setups S1–S4 were investigated in more detail for the summer period to determine the effect of a heat wave and corresponding drought on the observed and modelled carbon and water fluxes.

2.4 Statistical tests

To test how well the model is predicting the observed values of GPP and AET, we applied a linear regression through the origin as well as Pearson correlation tests. If the slope of the linear regression were equal to unity, our model would match the observed data with no systematic bias. Statistically significant differences from 1.0 in the regression slope were determined by a two-sided t test at a threshold of $P = 0.05$. The root mean squared error (RMSE) between model and data was calculated as a measure of prediction accuracy, i.e., “goodness-of-fit”. Additionally, a two-sided paired Wilcoxon ranking test was performed to determine if observed and modelled samples follow similar distributions. Only when P values of this test are larger than 0.05, we accept that the model produces a data distribution that is similar to the data distribution of the observations.

3 Results

3.1 Default modelling setup

The general site characteristics of Loobos are well represented by the default modelling setup (S1, Table 2): modelled LAI for Scots pine is 1.5, declining to 1.4 between 1997 and 2009. This LAI is just below the observed site average of 1.62 between 1997 and 2009 (minimum 1.44 in 2007, maximum 1.78 in 2009). Modelled LAI for C_3 grasses is higher than observed, but few measurements of understory grass LAI were available for

validation and none for mosses. Modelled aboveground biomass estimates are close to available observations.

Figure 2 shows the interannual and monthly variability in GPP and AET. Table 3 summarizes the goodness-of-fit-values for GPP and AET. The model shows good correlations on daily and monthly time scales (Fig. 2c and d). Monthly correlations are significant (0.92 for GPP, and 0.87 for AET), indicating that the model is accurately capturing the seasonal pattern of both fluxes. This is also visible in Fig. 3a and b. In contrast, we find poor correlations on the annual time scale: annual totals for GPP and AET are of the same order of magnitude as observed values, but the observed IAV_{cw} is not captured well by the model for water nor for carbon (Fig. 2a and b). The modelled data distribution is similar to observations (Table 3, bold values), but correlation coefficients are low and not significant (0.22 and 0.20 for GPP and AET, respectively).

The monthly scatterplots (Fig. 2c and d) display systematic model biases during certain periods. Fluxes are underestimated in winter, overestimated in spring/early summer and slightly underestimated in fall (Fig. 2c and d). In summer (mainly in August and July), large deviations from the 1 : 1 line can be seen, which we could directly relate to periods with high atmospheric temperatures and low precipitation. Figure 3 shows these deviations per month in more detail.

3.2 Alternative temperature response function

3.2.1 Observed temperature response

According to the EC data, the vegetation at Loobos is able to keep assimilating carbon even at temperatures below 0°C (Fig. 4). In the fitted response curve of half-hourly EC fluxes, maximum GPP for the lowest temperature class (-5 to 0°C , Fig. 4a) is $1.8 \mu\text{mol m}^{-2} \text{s}^{-1}$, which corresponds to $1.87 \text{ g C m}^{-2} \text{ day}^{-1}$. Figure 4b shows temperature-binned daily GPP on sunny days, and the response to temperatures below -10°C . The lower temperature limit in our observation data, i.e., where average GPP approaches 0, is found when temperatures are below -8°C . Note that the num-

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simulation period. However, the Wilcoxon ranking test shows that for GPP the modelled data distribution is now matching the observed data distribution at monthly time scales more closely ($P < 0.05$). In addition, when data of only the winter months are included (Fig. 6), the slope of the regression substantially improves for GPP from 0.32 to 0.58, while keeping a similar correlation coefficient (0.80 vs. 0.78). This indicates a better match between modelled and observed results. By changing the temperature response, simulation of IAV_{cw} does not improve for the carbon fluxes, and only marginally for the water fluxes (Table 3).

3.3 Alternative plant water uptake parameterizations

Figure 7 shows modelled carbon and water fluxes on a monthly time scale for the three different water uptake parameterizations (S1–S3) and the control model setup without soil moisture stress (S4). All three uptake parameterizations appear to be equally strong in simulating the seasonal trend with correlations from 0.92–0.94 for GPP and 0.86–0.88 for AET (r , Table 3). During summer, the linear uptake response curve (S3) underestimates both AET and GPP more often than the species specific (S2) and default uptake (S1) parameterizations. Eliminating water stress (model setup S4), results in overestimation of fluxes during summer, increased error and lower RMSE. Moreover, using this setup both AET and GPP are overestimated in spring and summer for all years (Fig. 7a), indicating that water limitation does play an important role in Loobos.

Given the model's very simple two-layer soil hydrology (Sect. 2.2.4), seasonal soil moisture patterns are captured reasonably well between the different model setups when compared to observation (Supplement, Fig. S3). Modelled soil moisture in the upper soil layer changes more rapidly than observations suggest, and modelled moisture recharge in winter increases to higher values than observed for some years. Soil moisture measurements, however, were not always available during winter and completely absent from fall 2000 until summer 2002. Because plants are taking up water more conservatively in setup S3, modelled soil moisture is higher during the growing season for all years compared to the other two setups, and the bucket never com-

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vertical dotted black lines in Fig. 10), there is a gradual decline in observed daily GPP and AET at the site. Given the considerable drop in observed soil water during the heatwave (Fig. 9), reductions in observed GPP and AET look considerably more gradual (Fig. 10). This suggests a possible access of the vegetation to water from deeper layers, or groundwater. The no-water stress control run (S4) clearly demonstrates there is some water stress at Loobos (both observed GPP and AET are lower than the model predicts), but all parameterizations fail to simulate the correct response. The default and species specific response curves (S1 and S2), allow PFTs to take up relatively more water at low soil water contents compared to the linear uptake parameterization, thereby not restricting photosynthesis as long as water remains available for uptake. We can observe this effect during the heatwave period, where the linear uptake function (S3) least underestimates GPP and AET, because there is more water available for uptake due to conservative water use, and the effects on the modelled supply are less strong at lower soil water contents (Figs. S1 and S2). The real observed response of the Loobos vegetation, however, is not reproduced using either uptake parameterization. The sensitivity of GPP and AET to declining soil moisture during the growing season is visible in Fig. S2 by plotting the residuals (modelled–observed values, so that an underestimation is depicted with a negative sign) against modelled available soil moisture (Θ). In general, the linear uptake parameterization seems to underestimate both GPP and AET more at higher soil moisture values, so with regard to the observations, this response curve imposes water stress on plants at this site too strongly.

A comparison of the three different plant water uptake response curves does not lead to identification of any setup that is clearly superior for simulating IAV_{cw} to the others (Table 3). Species specific uptake (S2) results in the smallest errors (RMSE, Table 3) on monthly and daily time scale, but on annual time scale the default uptake (S1) has the smallest error.

4 Discussion

4.1 Default modelling setup

The model reproduced the daily and monthly carbon and water fluxes equally well as shown in previous studies with LPJ-GUESS (Sitch et al., 2003; Gerten et al., 2004; Morales et al., 2005; Zaehle et al., 2005; Hickler et al., 2006). Fatichi and Ivanov (2014), using a different process-based vegetation model, similarly found very high correlations on daily and low correlations on annual time scales for GPP and evapotranspiration. However, good correlations on shorter time scales can be expected, given the strong diurnal and seasonal cycles to climatic drivers (mainly radiation and temperature). While the model produces reasonable flux estimates at daily and monthly time scales, the small deviations on these time scales lead to poor estimates of IAV_{cw} and longer time scales, which Keenan et al. (2012) demonstrated for a wide range of terrestrial biosphere models.

At some sites where needle leaf evergreen vegetation is the dominant vegetation type, year-to-year variation in fluxes can be explained by climatic and environmental drivers (e.g. disturbances) only. For example, Sierra et al. (2009) applied a process-based stand vegetation model which showed that some forests are mostly affected by short term dynamics such as disturbances, and others are more influenced by climatic controls. Duursma et al. (2009) performed a model-data comparison using a calibrated empirical photosynthesis model, and found good fits for GPP on daily to seasonal time scales for several European FLUXNET sites and, similar to this study, comparably poor fits on the annual time scale. They attributed part of this mismatch to uncertainty in the EC data, variations in LAI, and reductions in GPP as a result of soil drought. Purely observational studies at temperate coniferous forests in Brasschaat (Carrara et al., 2003, 2004) and Vielsalm (Aubinet et al., 2002), showed that climatic and ecological drivers (such as changes in LAI, phenology shifts) explain the majority of interannual variability in observed carbon and water fluxes. Our results, as well as studies by Jacobs et al. (2009), Kruijft et al. (2009) and Luysaert et al. (2007) suggest that, in addition to

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solved. The shape of the temperature response curve for *Pinus sylvestris* (Fig. 1), is modelled as a steep increase from the minimum temperature ($p_{\text{temp}_{\text{min}}}$) to the optimum temperature ($p_{\text{temp}_{\text{low}}}$), which, to our knowledge, is not supported by literature but purely empirical. For this study, we identified a lack of data and literature to verify the exact shape of this response curve and instead calculated the minimum temperature threshold from the available data. Smith and Dukes (2013) reviewed the latest available methods to incorporate photosynthesis temperature acclimation into global scale models, and suggest that instead of just looking at temperature optima, shifts in the slope/intercept of the initial instantaneous temperature response could be of equal or greater importance, especially at suboptimal temperatures, and that a combination of data collection and modelling studies, such as ours, is needed to improve our understanding and realistically simulate long term responses of vegetation to temperature shifts.

The small impact of changing the temperature response function on simulating IAV_{cw} is of course related to the fact that wintertime fluxes make up only a small part of the total annual flux (average observed annual GPP for this dataset is 1284 g C m^{-2}), usually less than 10 %. In contrast, the largest observed interannual difference in GPP for this period is almost twice as large at 200 g C m^{-2} . Therefore, small improvements in the winter estimates will not translate directly into good estimates and high correlation coefficients on the annual time scale.

4.4 Alternative plant water uptake parameterizations

The use of three different soil water uptake parameterizations revealed that the model can satisfactorily simulate GPP and AET during wet summers such as that of 2005. The model performed well for those years that plant transpiration for Scots pine could be compared with sap flow observations (Fig. 8). However, none of the uptake parameterizations capture the observed response to a drought such as occurred in the summer of 2003. In addition, none of the three parameterization consistently improved all results, nor improve simulated IAV_{cw} at Loobos.

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Previous studies have demonstrated that LPJ-GUESS is sensitive to limitations in soil moisture, firstly because the parameters controlling stomatal conductance are very sensitive to plant water stress (Zaehle et al., 2005) and secondly, because the model does not account for plant ability to access water from deeper soil layers and aquifers in water-limiting situations (Hickler et al., 2006; Wramneby et al., 2008). The debate on how to improve modelling efforts in a mechanistic way, however, is still on-going. For example, Hickler et al. (2006) included plant hydraulic architecture in the global model version of LPJ, thereby changing the calculation of plant water supply to a more mechanistic scheme. This improved global simulations of AET, but the updated model requires additional PFT parameters that are often not available and the model still underestimates summer AET at one Mediterranean test site. Verbeeck et al. (2011) tried increasing soil depth and used locally varying root profiles to improve simulations of dry-season GPP for the tropics. Such an approach, however, does not lead to the desired mechanistic model improvements because it eliminates simulated water stress completely. Furthermore, high-quality data on effective rooting depth, soil volume and deep soil water are rarely available, and deriving model parameters representing deep tap roots, sometimes growing through rock fissures or compacted soil layers, is difficult. These challenges are probably the reason why access to deep water is, to our knowledge, not captured in any DGVM. Nevertheless, we think that further efforts should be devoted to improving the current state of the art in this respect, because access to deep water is probably crucial in many ecosystems around the world.

The 2003 summer drought simulations at Loobos confirm the strong model sensitivity to drought: under dry soil moisture conditions the vegetation shows a much more gradual response in flux reduction compared to the model runs (Fig. 10). Observed soil moisture values are low and gradually decline during the heatwave (Fig. 9), suggesting the vegetation can access water from deeper layers, or groundwater. *Pinus sylvestris* is known for its ability to create long tap roots, especially when growing on sandy soils, so that water uptake is also possible from sparsely rooted deep soil layers when water becomes limiting (Jarvis, 2011).

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identify the driving mechanisms of IAV_{CW} by looking at systematic biases in the model output. By comparing the model to a long term dataset, we found that carbon assimilation during winter months at daily average temperatures below 0°C is important for winter fluxes and not captured in the current parameterization of the model, which might also apply to other, similar, models. Lowering the minimum temperature threshold for photosynthesis improved the simulation of winter GPP substantially, but did not greatly improve simulations of IAV_{CW} . In addition, we demonstrated that the modelled response to drought is too strong for this site, and that none of the water uptake formulations was consistently superior in reproducing the observed response of GPP and AET. AET and GPP during the 2003 heat wave were substantially underestimated by the model, even when assuming that plants have maximum water supply until the wilting point is reached. This result and the soil water curves suggest that at this site, access to deep water is crucial for the vegetation response to extreme drought. However, our understanding of IAV_{CW} at the Loobos site still remains incomplete, as we were not able to disentangle the main drivers of IAV_{CW} at the site. As future steps we suggest that, firstly, the representations of water uptake and root growth of plants need further attention in terms of model testing and parameterization. This includes the implementation of a groundwater table and rooting access to it, and accounting for precipitation duration and intensity to make interception evaporation in winter more realistic. Secondly, estimating the amount of water stored deeper in the soil than the soil depth of common DGVMs, may be crucial for simulating the drought response of vegetation even in areas such as the Loobos site, where this was not expected. Thirdly, we want to further explore the hypothesis that IAV_{CW} is driven by short-term resource allocation of the vegetation. If past and current productivity (GPP) drive future productivity, for example via LAI changes, and these are influenced by environmental drivers and stressors such as temperature and droughts, modelling allocation and growth on a daily or monthly time step could be crucial. Because the process interactions underlying variability in ecosystem functioning are so complex that analyses with single factors, such as temperature or precipitation, often do not shed light on the mechanisms, we think that

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Table 1. Parameter values for LPJ-GUESS. Values for this study are similar to Hickler et al. (2012), Table S1.1, except for values in bold font. $T_{c,max_{est}}$ = maximum coldest-month temperature for establishment; $drought_{tol}$ = drought tolerance level of a species (0 = very tolerant, 1 = not at all tolerant); $root_{distr[1]}$ = fraction of roots in first soil layer (the remainder being allocated to second soil layer); sla = specific leaf area.

Species PFT	Growth form	$T_{c,max_{est}}$ (°C)	$drought_{tol}$ ^a (–)	$root_{distr[1]}$ (–)	sla (m ² kg ⁻¹ C)
<i>Pinus sylvestris</i>	tree	limitless	0.25	0.6	9.3 ^b
C ₃ herbaceous	herbaceous	limitless	0.01	0.9	32.4

^a Similar to fAWC in Hickler et al. (2012), called drought tolerance here. Not always used by model, only when using species specific water uptake from the soil (model setup S2, $w_{r_{speciespecific}}$).

^b Value based on site measurements by Wilma Jans et al. (1997, unpublished data, available at: <http://www.climatexchange.nl/sites/loobos/>) and Katrin Fleischer (2013, unpublished data).

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Table 2. Modelled and observed site characteristics of Loobos. All modelled values for biomass are calculated for the period 1997–2009, and multiplied by a factor 0.82 to exclude root biomass (taken from Jackson et al. (1996) as a typical value for conifer forests).

	Aboveground biomass (kg C m ⁻²)	LAI <i>Pinus sylvestris</i>	C ₃ grass
<i>Observed:</i>	4.98 ^a	1.62 ^b	1.0 ^c
<i>Modelled:</i>			
Default/S1	5.95 ± 0.10	1.5	2.4
pstemp	7.18 ± 0.14	1.7	1.9
S2	4.55 ± 0.11	1.1	3.6
S3	4.72 ± 0.11	1.2	2.8
S4	7.64 ± 0.19	1.8	2.6

^a 9.23 kg m⁻² standing biomass in 1997, annual growth increment of 0.124 kg m⁻² (data source: <http://www.climatexchange.nl/sites/loobos/>). To convert to carbon mass a factor of 0.5 was used (e.g. see Sandström et al., 2007; Thomas and Martin, 2012), resulting in an estimated average aboveground biomass between 1997–2009 of 4.98 kg C m⁻².

^b Measured average tree LAI from 1997–2009 (unpublished data), minimum 1.44 (2007), maximum 1.78 (2009), standard deviation is 0.10. Dolman et al. (2002) report maximum LAI of 1.9 for 1997.

^c Measurements between 1999 and 2002 ($n = 52$), standard deviation 0.4 m² m⁻² (unpublished data).

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Table 3. Goodness-of-fit values for model scenarios S1–S4 and changed temperature response function, “pstemp”. Correlation coefficient (r), and Root Mean Square Error (RMSE) for daily, monthly and annual data. Bold values represent data distributions that are identical using the Wilcoxon ranking test.

Run	annual		GPP monthly		daily		annual		AET monthly		daily	
	r	RMSE	r	RMSE	r	RMSE	r	RMSE	r	RMSE	r	RMSE
Default/S1	0.22	125.9	0.92*	35.7	0.79*	2.20	0.20	77.7	0.87*	19.7	0.62*	1.27
pstemp	0.16	109.3	0.90*	36.3	0.78*	2.15	0.21	73.4	0.87*	19.6	0.62	1.25
S2	0.32	128.6	0.92*	32.6	0.81*	1.93	0.19	90.8	0.87*	17.2	0.65*	1.03
S3	0.27	198.9	0.92*	31.4	0.81*	1.78	0.13	141.9	0.86*	17.3	0.65*	0.94
S4	0.24	231.3	0.94*	51.9	0.85*	2.45	0.31	168.3	0.88*	36.2	0.68*	1.67

* Significance tests for Pearson correlation: P value < 0.05.

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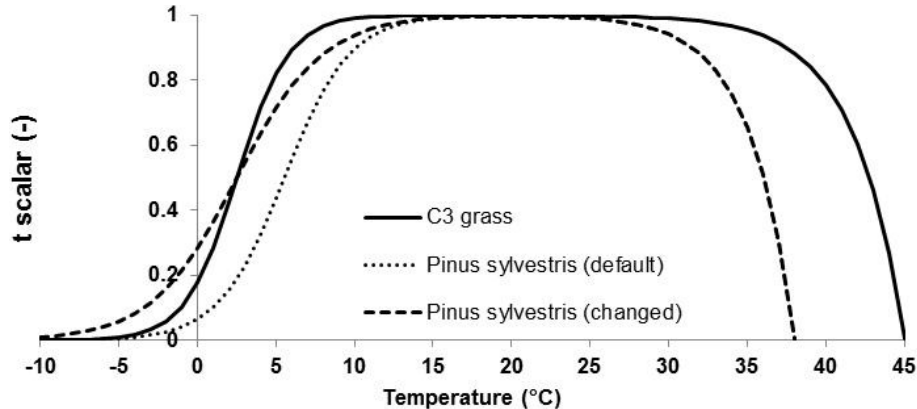


Figure 1. Temperature function (t_{scalar}) for PFT *Pinus sylvestris* and C_3 grass, values between 0 (photosynthesis maximally limited by temperature scalar) and 1 (photosynthesis not limited by temperature scalar). Default settings for *P. sylvestris* (dotted line: $p_{\text{temp}_{\text{min}}} = -4^\circ\text{C}$, optimum $15\text{--}25^\circ\text{C}$, $p_{\text{temp}_{\text{max}}} = 37^\circ\text{C}$) and C_3 grass (solid line: $p_{\text{temp}_{\text{min}}} = -5^\circ\text{C}$, optimum $10\text{--}35^\circ\text{C}$, $p_{\text{temp}_{\text{max}}} = 45^\circ\text{C}$). Changed parameterization for *P. sylvestris* ($p_{\text{temp}_{\text{min}}} = -10^\circ\text{C}$, optimum $15\text{--}25^\circ\text{C}$, $p_{\text{temp}_{\text{max}}} = 37^\circ\text{C}$).

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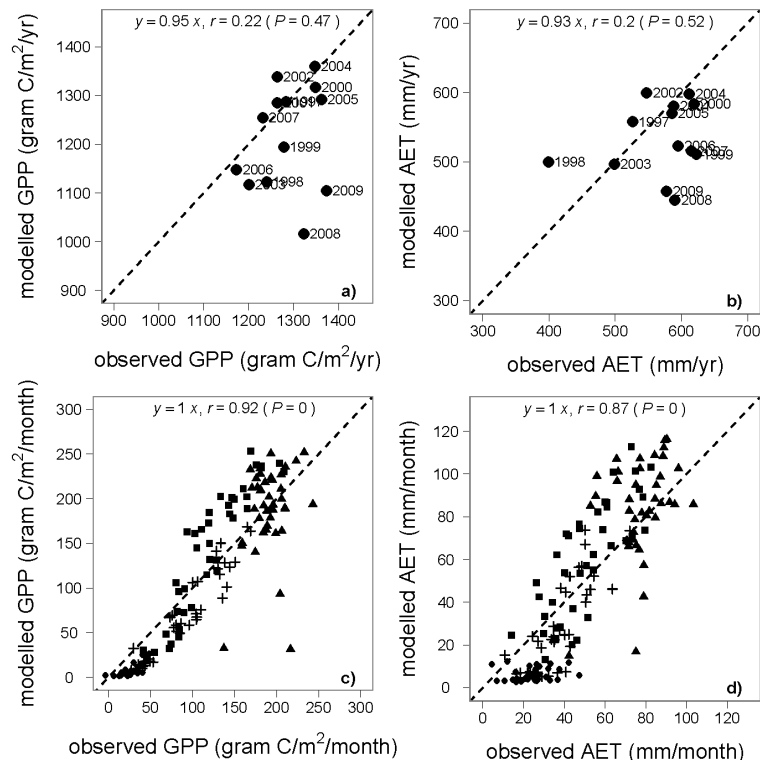


Figure 2. Observed vs. modelled variability in GPP (**a, c**) and AET (**b, d**) for the default model scenario (S1) on the annual time scale (**a, b**) and monthly time scale (**c, d**). Dotted line is the 1 : 1 line. The equation shows linear regression through the origin, with correlation coefficients. Fluxes are hatched per season for subpanels (**c**) and (**d**): ● = winter (December, January, February); ■ = spring (March, April, May); ▲ = summer (June, July, August); + = fall (September, October, November).

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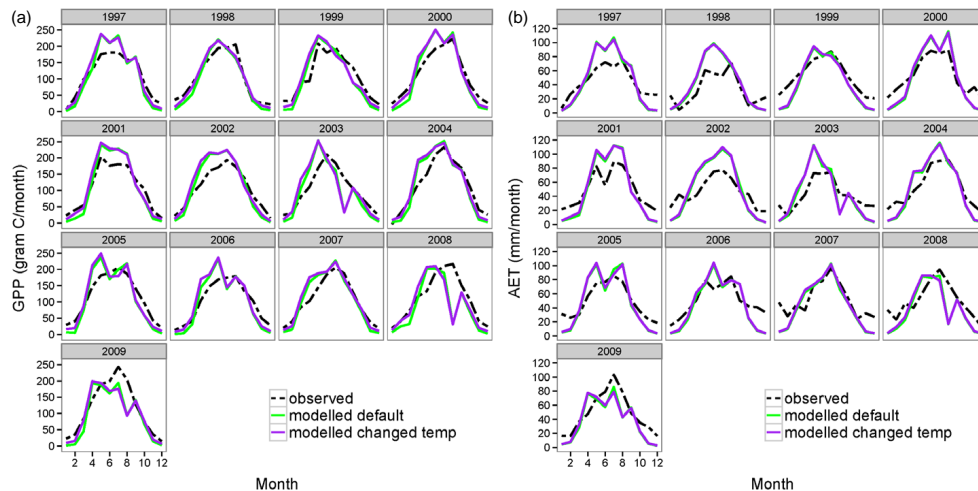


Figure 3. Observed (black dotted line) and modelled values for default/S1 (green line) and changed temperature response pstem (purple line) runs. **(a)** Monthly values for GPP ($\text{gC m}^{-2} \text{month}^{-1}$). **(b)** Monthly values for AET (mm month^{-1}).

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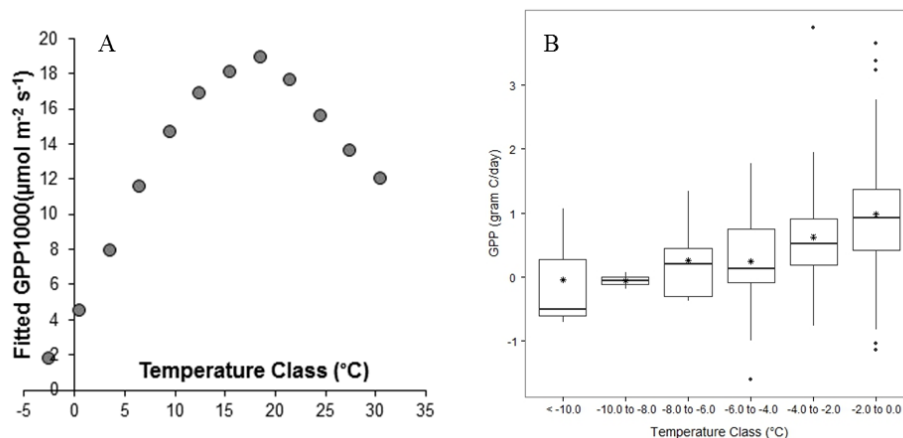


Figure 4. Observed temperature responses at Loobos. **(A)** Courtesy of P. Abreu: fitted GPP at a solar light intensity of 1000 W m^{-2} (GPP1000, $\mu\text{mol m}^{-2} \text{ s}^{-1}$) based on half-hourly EC measurements (1997–2011) following Jacobs et al. (2007); **(B)** daily GPP ($\text{g C m}^{-2} \text{ day}^{-1}$) observed at Loobos calculated from site EC measurements, for days with average daily temperatures $< 0^{\circ}\text{C}$ and total net radiation received $> 2 \text{ MJ day}^{-1}$ ($n = 175$).

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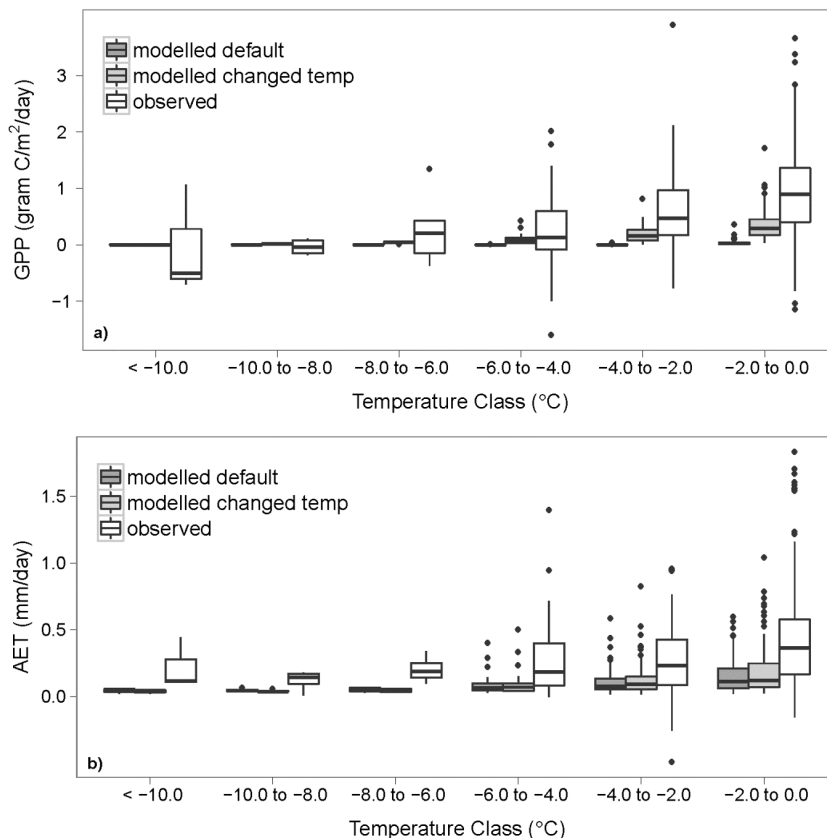


Figure 5. Effect of change in temperature scalar t_{scalar} on modelled estimates of **(a)** GPP ($\text{gC m}^{-2} \text{day}^{-1}$) and **(b)** AET (mm day^{-1}). $p_{\text{temp}_{\text{min}}}$ for *Pinus sylvestris* is set to -10°C , other values remain unchanged. (White: observed values, dark grey: modelled default, light grey: changed t_{scalar} function). Results for days with net radiation $> 2 \text{ MJ day}^{-1}$.

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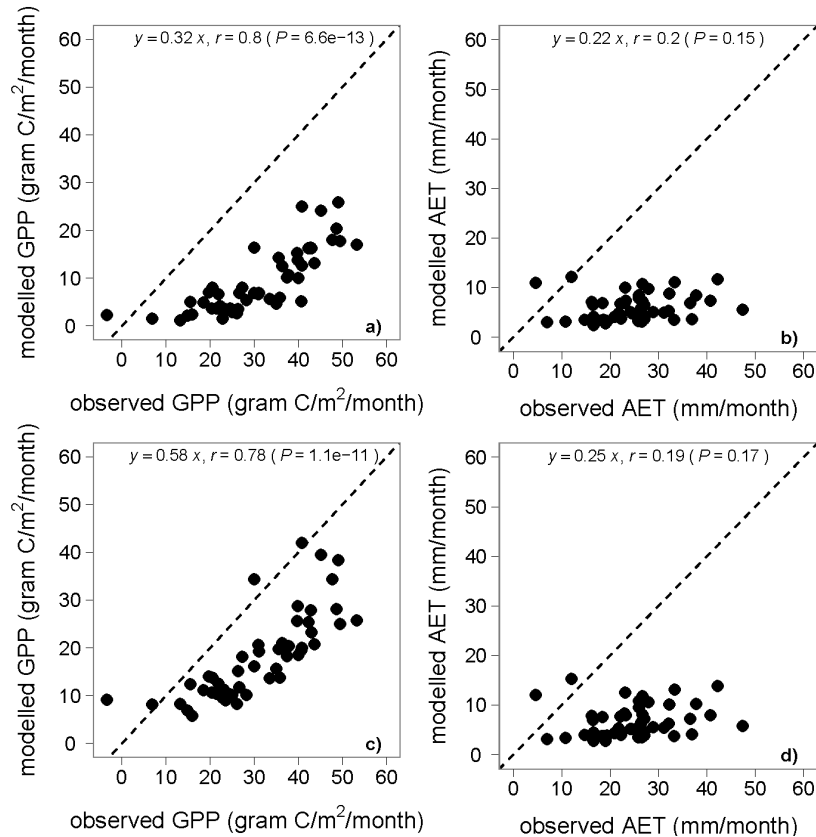


Figure 6. Variability on monthly time scale for **(a, b)** GPP ($\text{gCm}^{-2} \text{month}^{-1}$); and **(c, d)** AET (mm month^{-1}), between default settings **(a and c)** and changed t_{scalar} **(b and d)** during winter. All days in December, January and February are included (i.e., no selection for radiation). All slopes significantly differed from 1.0 ($P < 0.05$). RMSE values: **(a)** 22.7, **(b)** 20.4, **(c)** 14.7, **(d)** 19.7.

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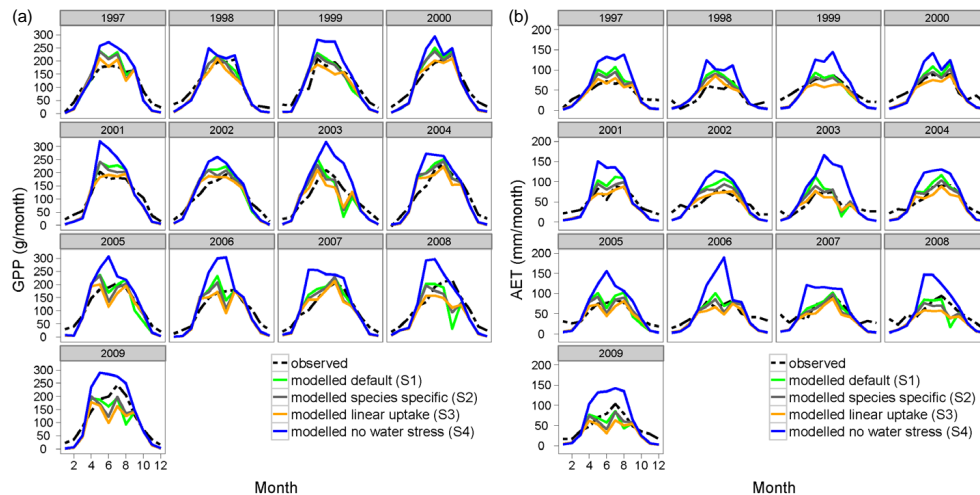


Figure 7. Comparison of fluxes for GPP **(a)** and AET **(b)** using different water uptake functions. Solid line: observed values. Dotted lines: modelled values for scenarios S1–S4.

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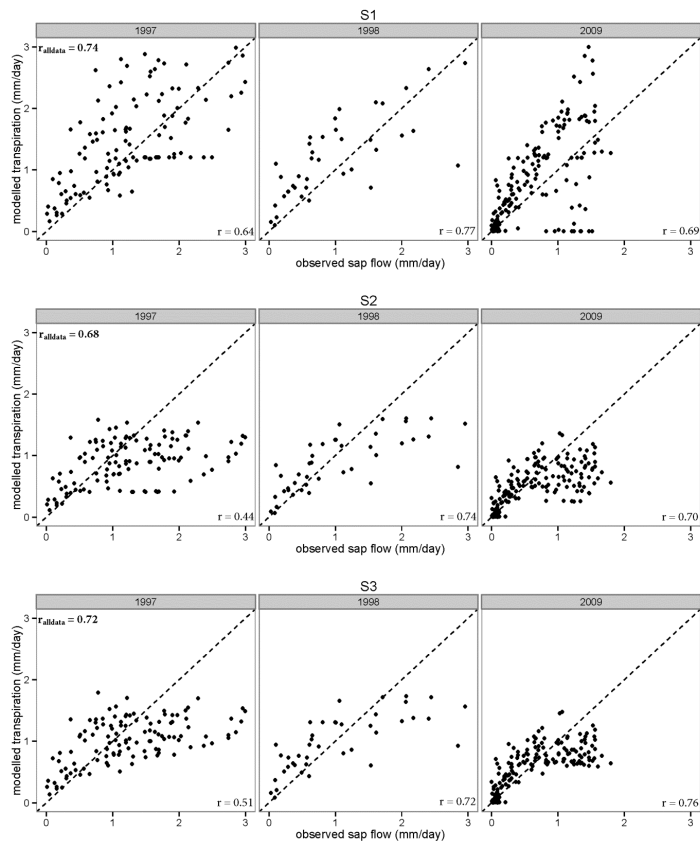


Figure 8. Modelled transpiration (mm day^{-1}) for *Pinus sylvestris*, compared to observed sap flow (mm day^{-1}). Pearson correlation coefficients significantly different from 0 ($P < 0.01$) for all separate years as well as all data points together (r_{allData}). Sap flow measurements 1997 and 1998 acquired using tissue heat balance systems; and for 2009 using Granier thermal dissipation probes. S1 = default uptake, S2 = species specific uptake, S3 = linear uptake.

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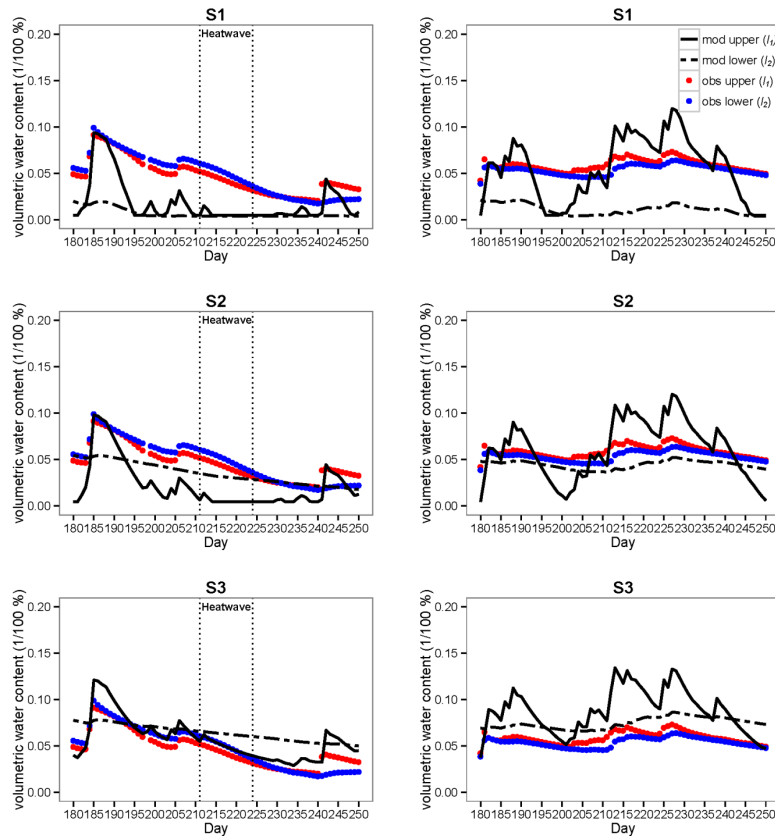


Figure 9. Daily modelled (mod, black lines) and observed (obs, red and blue) soil moisture (as volumetric water content, 1/100 %) for summer of 2003 and 2005. The two depths refer to the two soil layers in LPJ-GUESS: l_1 (0–50 cm) and l_2 (50–150 cm). For 2003, the heatwave period is indicated between the black lines.

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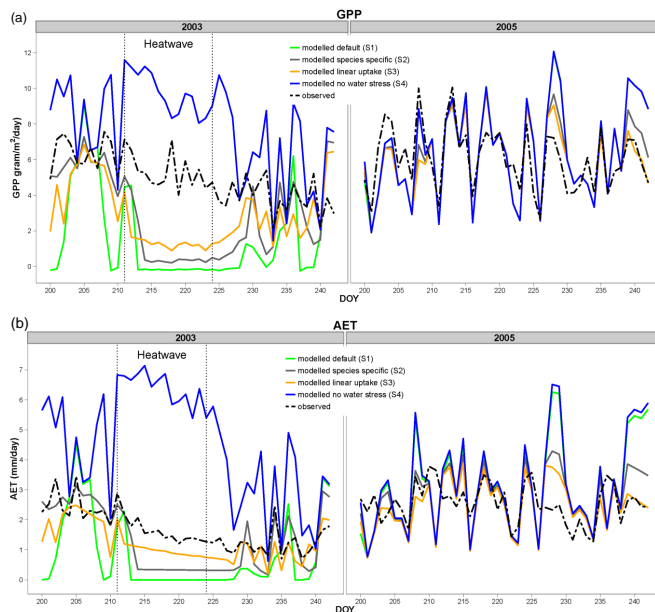


Figure 10. Daily observed and modelled fluxes for GPP **(a)** and AET **(b)** for July and August in two different climate years. In summer 2003 a heatwave and corresponding drought occurred in Europe (e.g. see Teuling et al., 2010). Based on long term averages of the Dutch Royal Meteorological Institute (KNMI), higher temperatures, more sunshine hours and much less precipitation was received during this summer, and an official heatwave took place in the Netherlands during August (KNMI, 2003). The KNMI defines a heatwave as a period of at least 5 consecutive days in which the maximum temperature exceeds 25 °C, provided that on at least 3 days in this period the maximum temperature exceeds 30 °C. Based on these criteria, heatwave duration was from 31 July to 13 August and is marked in the graph by two dotted black vertical lines. The summer of 2005 had average temperatures and sunshine but was much wetter, and August was a month with particularly high precipitation compared to long term averages (KNMI, 2005).

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