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2	The	e role of spatial scale and background climate in the
3	1	atitudinal temperature response to deforestation
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41 **Abstract:**

42 Previous modeling and empirical studies have shown that the biophysical impact of 43 deforestation is to warm the tropics and cool the extra-tropics. In this study, we use an 44 earth system model of intermediate complexity to investigate how deforestation at 45 various spatial scales affects ground temperature, with an emphasis on the latitudinal 46 temperature response and its underlying mechanisms. Results show that the latitudinal 47 pattern of temperature response depends non-linearly on the spatial extent of 48 deforestation and the fraction of vegetation change. Compared with regional 49 deforestation, temperature change in global deforestation is greatly amplified in 50 temperate and boreal regions, but is dampened in tropical regions. Incremental forest 51 removal leads to increasingly larger cooling in temperate and boreal regions, while the 52 temperature increase saturates in tropical regions. The latitudinal and spatial patterns of 53 the temperature response are driven by two processes with competing temperature effects: 54 decrease in absorbed shortwave radiation due to increased albedo and decrease in 55 evapotranspiration. These changes in the surface energy balance reflect the importance of 56 the background climate on modifying the deforestation impact. Shortwave radiation and 57 precipitation have an intrinsic geographical distribution that constrains the effects of 58 biophysical changes and therefore leads to temperature changes that are spatially varying. 59 For example, wet (dry) climate favors larger (smaller) evapotranspiration change, thus 60 warming (cooling) is more likely to occur. Our analysis reveals that the latitudinal 61 temperature change largely results from the climate conditions in which deforestation 62 occurs, and is less influenced by the magnitude of individual biophysical changes such as 63 albedo, roughness, and evapotranspiration efficiency.

64 **1. Introduction**

65 Forests play a critical role in regulating climate through both biogeochemical and 66 biophysical processes. Deforestation, driven by anthropogenic activities either directly, e.g., agriculture expansion, or indirectly, e.g., climate change induced disturbance (Allen 67 et al., 2010), can result in changes in earth's radiation balance, hydrological cycle, and 68 69 atmospheric composition (Bonan, 2008). Deforestation is a major land conversion that 70 has taken place historically over large scales and continues to be prevalent in the 21th 71 century (Hansen et al., 2013). 72 Previous climate model studies highlight the interesting observation that temperature 73 response to deforestation appears to depend on latitude (Davin & de Noblet-Ducoudré, 74 2010). For example, large-scale deforestation in the tropics leads to temperature increase 75 (Nobre et al., 1991; Snyder et al., 2004; Davin & de Noblet-Ducoudré, 2010) mostly due 76 to the strong warming effect associated with reduced evapotranspiration. However, forest 77 removal in the temperate and high-latitude regions results in surface temperature decrease. 78 This decrease is explained by the dominant mechanism, albedo, which increases in the 79 cleared land and leads to lower shortwave radiation absorption (Bounoua *et al.*, 2002; 80 Snyder *et al.*, 2004). This albedo-induced cooling effect is particularly strong in the 81 boreal regions where the snow mask effect is involved (Bonan et al., 1992, 1995). In 82 agreement with the climate model experiments, empirical studies using in-situ air temperature (Lee *et al.*, 2011; Zhang *et al.*, 2014) and satellite-derived land surface 83 84 temperature (Li et al., 2015) also show that the temperature effects of forests have a clear 85 latitudinal pattern.

86 Compared with biogeochemical effects, i.e., release of CO_2 to the atmosphere that 87 warms the global climate, biophysical effects are more heterogeneous, most strongly felt 88 at regional and local levels (Bala et al., 2007; Pitman et al., 2012), and vary with season 89 and location (Snyder et al., 2004; Betts et al., 2007, Li et al., 2015). It is thought that 90 biophysical effect, especially albedo and evapotranspiration, are major biophysical 91 mechanisms through which deforestation affects temperature in latitudinal patterns 92 (Gibbard et al., 2005). However, due to the high spatial variability of biophysical 93 properties, the dominant mechanism and the net effect of deforestation could vary by 94 particular location. This is further complicated by the influence of specific location's 95 background climate on the altered water and energy balance. For example, previous 96 studies show that climate conditions, such as snow and rainfall, can enhance or dampen 97 biophysical effects (Pitman et al., 2011; Li et al., 2015). Such complexity is reflected in 98 temperate forests, where the two biophysical mechanisms with opposite effects cancel 99 each other, making their net effect much more uncertain compared to other forests. This 100 incomplete understanding of temperate forests was confirmed by the mixed results 101 obtained from modeling and observational studies (Bonan, 2008; Wickham et al., 2013; 102 Li et al., 2015). Further complication comes from deforestation-triggered changes in 103 other energy components (such as sensible heat) and multiple atmospheric feedbacks that 104 can modify the albedo and evapotranspiration impact. Therefore, it is important to further 105 investigate the relative strength of albedo and evapotranspiration impact on temperature 106 change, and how much those factors are influenced by the interaction with the local 107 climate and other factors.

108	In addition to these biophysical effects, the spatial scale of deforestation is also an
109	important factor in climatic impact. It has been shown that both spatial extent (global-
110	regional-local) and degree of vegetation change (partial disturbance to complete removal)
111	can alter the impact of deforestation (Sampaio et al., 2007; Longobardi et al., 2012).
112	Evidence for this behavior is seen in the Amazon area, where depending on the spatial
113	scale of deforestation, precipitation change can either exhibit a linear or non-linear
114	relationship with vegetation change (Avissar et al., 2002; Baidya Roy & Avissar, 2002;
115	Souza & Oyama, 2010). And this relationship could even become opposite in sign
116	(Runyan, 2012). The effect of vegetation change at various scales is still not clear on
117	either the scale-dependency or latitudinal pattern of temperature response.
118	As described, the impact on temperature as a result of deforestation originates from
119	the altered biophysical properties such as albedo, roughness, canopy conductance, surface
120	emissivity, etc. The magnitude of some of these alterations, as well as their impact on
121	temperature, may have inherent latitudinal patterns. For instance, the difference in albedo
122	between forest and open land increases with latitude (Li et al., 2015). By investigating
123	how changes to several biophysical properties contribute to temperature change, we can
124	better understand whether the latitudinal temperature response to deforestation is either
125	directly due to these changes, or the processes that translate these changes to the surface
126	climate response. Efforts have been made to quantify the contribution of each biophysical
127	factor, including both empirical (Juang et al., 2007) and modeling studies (Lean &
128	Rowntree, 1997; Maynard & Royer, 2004; Davin & de Noblet-Ducoudré, 2010) that
129	enable us to decompose the temperature change into components. Such studies can

improve our knowledge on the mechanisms for the climate impact induced by vegetationchange.

132 In this study, we use an earth system model of intermediate complexity (EMIC) to 133 investigate how deforestation affects temperature through biophysical changes and also 134 examine which physical mechanisms are responsible for the latitude-dependent 135 temperature response (Section 2). To this aim, we first analyze latitudinal temperature 136 changes in response to multiple deforestation scenarios by varying both spatial extent and 137 deforestation fraction (Section 3.1 and 3.2). Next, we explore the possible causes for the 138 latitudinal and spatial pattern of temperature change from both the surface energy balance 139 (Section 3.3), as well as the background climate (Section 3.4). Finally, we show how 140 different biophysical mechanisms affect temperature change and discuss their 141 contributions to the latitudinal pattern (section 3.5). A brief discussion and summary are 142 provided in Section 4.

143 **2. Method**

144 **2.1 Model description**

The UMD (University of Maryland) EMIC (Zeng, 2004) is used to perform the
experiments. It consists of the global version of QTCM (Quasi-Equilibrium Tropical
Circulation Model) atmosphere model (Neelin & Zeng, 2000), the physical land surface
model Sland (Simple-land) (Zeng *et al.*, 2000), the dynamic vegetation and carbon model
VEGAS (VEgetation-Global-Atmosphere-Soil) (Zeng, 2003; Zeng *et al.*, 2005), and a
slab ocean model in which we use prescribed sea surface temperatures (SSTs) in our
experiments.

152 Sland is a land surface model of intermediate complexity that is more complicated 153 than the bucket model in its parameterization of evapotranspiration processes, aiming to 154 model the first-order effects relevant to climate simulation. In this model, vegetation 155 parameters such as leaf area index, roughness, stomatal conductance, and vegetation 156 fraction depend on climate and are calculated by VEGAS. For surface albedo, seasonal 157 climatology obtained from satellite is used as inputs (Darnell et al., 1992). Vegetation-158 albedo feedback is treated in the model by introducing albedo anomalies. This procedure 159 sums the albedo change due to vegetation change (calculated by VEGAS using an 160 empirical formula as a function of leaf area index (LAI), and the observed albedo 161 climatology used by the atmospheric radiation module (Zeng & Yoon, 2009). This albedo 162 anomalies treatment prioritizes the capture of the first-order effects of albedo change due 163 to vegetation change, since many of the possible processes that are responsible for the 164 observed albedo are difficult to model mechanistically. 165 It should be mentioned that Sland in its current setup does not explicitly account for 166 surface snow, thus no snow-albedo feedback is included. This potentially leads to an 167 underestimation of albedo change in regions with frequent snow. However, it also offers 168 a unique opportunity to examine mechanisms other than snow in the temperature 169 response to deforestation at high latitudes. 170 The VEGAS model simulates the dynamics of vegetation growth and competition 171 among four plant functional types (PFTs): broadleaf tree, needleleaf tree, cold grass, and 172 warm grass. The phenology of these plants is simulated dynamically as the balance 173 between growth and respiration/turnover. The vegetation component is coupled to land

and atmosphere through soil moisture dependence of photosynthesis and

evapotranspiration, as well as dependence on temperature, radiation, and atmospheric

176 CO₂. The UMD EMIC has been used to study the climate and vegetation feedbacks (e.g.,

177 Zeng et al., 1999; Zeng & Neelin, 2000; Hales et al., 2004; Zeng & Yoon, 2009) and

178 contributed to C⁴MIP, the Coupled Climate–Carbon Cycle Model Intercomparison

179 Project, C⁴MIP (Friedlingstein *et al.*, 2006).

180

181 **2.2 Experiment design**

182 UMD earth system model is a fully coupled model, but the setup for this study is an 183 atmosphere-land-vegetation coupled version with prescribed ocean SST, and CO_2 184 concentration at the preindustrial level of 280 ppm, run at a resolution of 5.625° ' 3.75°. 185 The model is driven by a climatological seasonal cycle of SST derived from HadSST 186 (Rayner et al., 2006), averaged over 1960–1990 to smooth the influence of inter-annual 187 climate variability. The model is first run for 500 years to allow for spin-up time during 188 which vegetation is dynamically computed and reaches an equilibrium state with climate. 189 Figure S1 shows the potential vegetation map obtained by the end of model spin-up. The 190 vegetation map generally has a reasonable geographical distribution but does not 191 perfectly match modern vegetation of the real world. This is expected because the 192 potential vegetation is derived from an equilibrium state with climate. Therefore, any 193 differences in the simulated climate compared to modern climate or any simulation bias, 194 for example, in precipitation (Figure S2), could influence the vegetation distribution. In 195 addition, some bias in simulated climate is expected for a model with intermediate 196 complexity. Such bias is tolerable in our experiments due to the focus on the climate 197 response to vegetation change and its mechanisms as opposed to an accurate reproduction

198	of historical climate change.	For our analysis,	the climatology	over the last 10 years of
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spin-up is used as the control experiment (CTL). This is adequate for our simulation

200 because of the small inter-annual variability in the model.

201 Deforestation is imposed by setting the forest fraction in a given grid cell to the

202 experimental value of either zero or a percentage of its original vegetation. This replaces

203 the forest with bare soil, as is seen in several previous studies (Bonan et al., 1992;

204 Gibbard et al., 2005; Snyder, 2010). An alternative strategy of implementing

205 deforestation experiment is to replace trees with grass (crop). This is considered to be

206 more "realistic" than replacing trees with bare ground (Davin & de Noblet-Ducoudré,

207 2010). The conversion of trees to grass is expected to induce a similar but less

208 pronounced impact on climate (Gibbard et al., 2005), compared to the conversion of trees

209 to bare ground which would represent the maximum impact of deforestation. Despite this

210 difference, both strategies are frequently used in existing literature to represent

211 deforestation, and they yield consistent findings as the operating mechanisms and

212 feedbacks are the same. In the simulation for deforestation experiment, modified

213 vegetation fractions are fixed so that the vegetation model becomes "static" rather than

214 "dynamic".

Group	I. Spatial extent	II. Deforestation fractions	III. Biophysical factors
Experiment	TropicalTemperateBorealGlobal	 25% global forest removal 50% global forest removal 75% global forest removal 100% global forest removal 	 Roughness

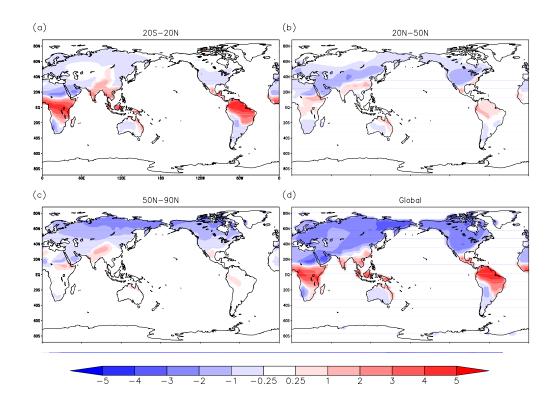
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Table I	Deforestation	evneriment	deston
	Deforestation	experiment	ucsign

216 Three groups of experiments are designed to study different aspects of the 217 deforestation impact (Table 1): (I) deforestation with different spatial extents (II) with 218 different deforestation fractions; (III) with individual biophysical factors changed 219 separately. The first two groups address the spatial scale problem for the climatic 220 response to deforestation. Group (I) consists of three regional deforestation scenarios that 221 take place in the tropical (20°S-20°N), northern temperate (20°N-50°N) and boreal 222 (50°N-90°N) regions, and one global deforestation scenario in which all forests are 223 cleared. Group (II) consists of four global deforestation experiments in which forest 224 fractions are reduced as a percent to its original coverage at 25% to 100%. The 100% 225 clearing creates the same experiment as the global deforestation in group I, labeled ALL. 226 Group (III) is designed to separate the effect of individual biophysical factors by 227 which deforestation affects climate. Inspired by Davin & de Noblet-Ducoudre (2010), 228 three experiments are devised to quantify the impact from changes in albedo, roughness, 229 and evapotranspiration efficiency. Our experiment for albedo and roughness differs from 230 Davin & de Noblet-Ducoudre (2010), who compared the case with only "one factor 231 changed" with the case of "everything unchanged". In contrast, we ultimately compare 232 the case of "everything changed with one factor unchanged" with the case of "everything 233 changed". Our experiments include global deforestation with albedo unchanged in 234 "noALB", roughness unchanged in "noRGH", and evapotranspiration efficiency effect 235 isolated in "EVA". In noALB experiment, albedo change induced by forest removal is 236 not passed to the atmosphere, which means "no albedo change" indeed in the atmosphere 237 model since it intakes observed albedo data. The other biophysical variables are still 238 being affected by deforestation. Thus, the albedo effect can be isolated by calculating the

239 difference (ALL – noALB) between the regular global deforestation simulation (ALL) 240 that includes the albedo change and the noALB experiment. In noRGH experiment, 241 roughness is set to be unaffected by forest clearing, therefore, the difference ALL -242 noRGH can be attributed to the roughness effect. The calculation of evapotranspiration 243 involves many parameters. For example, both albedo and roughness can affect ET. 244 Therefore, for EVA experiment, a different strategy is adopted by fixing both albedo and 245 roughness (as in CTL) while other variables are allowed to change. Thus, the difference 246 of EVA and control, EVA - CTL, reflects processes other than albedo and roughness that 247 can affect ET, representing the pure hydrological effect of deforestation that refers to the 248 ability of vegetation to transfer water from the soil to the atmosphere (Davin & de 249 Noblet-Ducoudré, 2010).

250 All deforestation simulations are initialized with the restart files after spin-up whose 251 vegetation map, relevant parameters, and model codes have been modified as described 252 above. Each simulation is run for 100 years and the averaged results of the final 10 years 253 are used for the analysis. Ground temperature is used to analyze temperature change, 254 because the model does not output the 2-m air temperature. Ground temperature has a 255 strong signal of the locally induced temperature change, which is closely coupled to the 256 surface energy balance. This enables us to focus on the local and regional impacts of 257 vegetation change. Only model grid points with forest fractional change larger than 0.1 258 are analyzed for robustness. The resulting changes in LAI, albedo, and roughness, 259 induced by global deforestation, are provided in Supplementary Information (Figure S3– 260 S5).

3. Results



263 **3.1 Latitudinal temperature change in response to deforestation**

264

Figure 1. Ground temperature change for (a) tropical (20°S-20°N), (b) northern temperate (20°N-50°N), (c) boreal (50°N-90°N), and (d) global (90°S-90°N) deforestation (Unit: K)

265 The latitude-dependence of temperature response is confirmed by the three regional

266 deforestation experiments (see Figure 1a-c for tropical, northern temperate and boreal,

- and Figure 1d for global deforestation experiments). The deforestation impact in the
- simulation is a very strong signal relative to the small inter-annual variability, making
- almost all changes over the land statistically significant. Therefore, significance levels are
- 270 not shown on the map. In tropical deforestation (20°S-20°N) experiment, a significant

271 and widespread warming is observed over deforested regions by 2.22 K (Table 2), 272 greatest (~4 K) in the Amazon and Central Africa regions and about 1-2 K in South Asia 273 and the east coast of Australia. Although warming is the dominant effect, there are areas 274 around Sahel, North Africa in which we observe cooling up to -2 K. This suggests 275 temperature response can differ within a latitude band, as shown in earlier studies 276 (McGuffie et al., 1995; Snyder et al., 2004). The regional difference is partly due to the 277 regional circulation patterns being affected differently by deforestation (McGuffie et al., 278 1995). Temperature outside the deforestation boundary (e.g., South Asia, North Canada) 279 is also influenced by the tropical deforestation, indicating that the vegetation disturbance 280 signal can spread to distant regions through atmospheric processes. Replacing forest with 281 bare ground leads to a surface albedo increase of 0.26, and a decrease of shortwave absorption at the surface by 38 W/m^2 . Precipitation and evapotranspiration also decline 282 283 drastically by 3.75 and 2.93 mm/day, respectively, while sensible heat increases. 284 Reducing cloud cover results in an increase in downward shortwave and a decrease in 285 downward longwave radiation (Table 2). 286 In the northern temperate region (20°N-50°N), deforestation causes a temperature 287 decrease of -0.84 K over most areas. North China and most parts of the United States 288 show the largest cooling (\sim -1.5 K) while a weaker cooling (< -1 K) is observed in Europe. 289 Nevertheless, temperature rise can be found in some areas like South China $(1 \sim 2K)$ and 290 Southeast U.S. (~ 1 K), similar to the tropics. The regional difference also reflects the 291 different response of the surface energy balance to deforestation, and is related to the 292 background climate as discussed in the next section. Other changes, including increased 293 albedo and decreased shortwave absorption as well as decrease in ET and precipitation,

can be seen in temperate deforestation, but the magnitudes are much smaller than those in
the tropics. Unlike the tropical region, sensible heat decreases in the temperate region and
is consistent with the sign of temperature change.

297 Compared with the temperate region, deforestation in the boreal region results in a

stronger cooling of -1.70 K but changes in the surface energy components are much

smaller. It should be noted that albedo only increases by 0.22 because of no snow-

300 masking effect in the land surface model, which could enhance the cooling signal by

301 amplifying the albedo change. Nevertheless, a considerable cooling is seen in our results

302 without the snow-masking effect, suggesting that other changes rather than snow

303 contribute to the cooling effect of deforestation.

304

305 Table 2. Changes in key climate variables from regional and global deforestation

306 experiments. " Δ " denotes change relative to the control experiment. The value for each

307 climate variable is the area-weighted change over deforested areas for different latitude

308 zones. The symbol " \uparrow " denotes upward and " \downarrow " denotes downward. Units are W/m² for

309 energy flux, K for temperature, mm/day for precipitation, and unitless for albedo.

	Tropical (20°N-20°S)		Temperate 50°		Boreal (50°N-90°N)		
	Regional	Global	Regional	Global	Regional	Global	
Temperature	2.22	2.06	-0.84	-1.56	-1.70	-2.42	
Precipitation	-3.75	-3.89	-0.71	-0.89	-0.14	-0.21	
ET	-82	-85	-17	-21	-5	-5	
Sensible heat (ΔH)	15	13	-12	-13	-14	-14	
Shortwave $\downarrow (\Delta SW \downarrow)$	50	53	18	21	13	14	
Shortwave \uparrow (Δ SW)	88	95	41	48	37	38	
Longwave \downarrow (Δ LW \downarrow)	-14	-17	-11	-17	-6	-11	
Net shortwave (Δ SW)	-38	-42	-23	-27	-24	-24	

Albedo	0.26	0.28	0.17	0.18	0.22	0.22
Turbulent flux (ΔTub=ΔH+ΔΕΤ)	-67	-72	-29	-34	-19	-19
Available energy $(\Delta Ava=\Delta SW+LW\downarrow)$	-52	-59	-34	-44	-30	-35

311 **3.2** Sensitivity of temperature change to spatial extent and degree of

312 vegetation change

313 The influence of spatial extent of deforestation can be clearly seen by comparing the 314 temperature response in a given region under regional and global deforestation 315 experiments. While similar in spatial pattern, temperature change in the global 316 deforestation experiment (Figure 1d) is much stronger than those in the regional 317 deforestation, especially in mid and high latitudes (Table 2). From the regional to global 318 scale, deforestation-induced cooling increases from -0.84K to -1.56K, and from -1.70K to 319 -2.42 K in the northern temperate and boreal regions, respectively. In contrast, warming in the tropics is less affected and even slightly decreases from 2.22K in the regional 320 321 deforestation case to 2.06K in the global case. This is because global deforestation leads 322 to a stronger reduction of both absorbed shortwave radiation and downward longwave 323 radiation, both amplifying the cooling effects (Table 2) that reduce tropical warming and 324 enhance high-latitude cooling. Such dampened tropical warming and enhanced extra-325 tropical cooling from regional to global deforestation experiments are supported by a 326 recent study (Devaraju *et al.*, 2015). Overall, an amplified temperature change in the 327 global deforestation experiment is expected as it generates a stronger perturbation in the 328 atmosphere, but the latitudinal temperature response is well preserved despite the 329 increase in the spatial extent of deforestation from regional to global.

330 By looking at a set of experiments with varying deforestation fractions, we found 331 temperature change is also sensitive to degree of vegetation change (see Figure 2, Table 332 3). Deforestation fraction refers to the percentage of trees removed relative to the original 333 coverage (25%, 50%, 75%, and 100%), which is representative of the real areas that have 334 been deforested. For 25% deforestation fraction, temperature is virtually unaffected in 335 most areas except for a weak warming in the tropics. As forest-loss fraction goes up to 336 50%, a latitudinal temperature change emerges with discernible tropical warming and 337 weak cooling in mid and high latitudes (Figure 3). Higher deforestation fractions of 75% 338 and 100% result in a greater temperature change and a more prominent latitudinal pattern. 339 Generally, the magnitude of temperature change responds nonlinearly to increases of 340 deforestation fraction, with much larger changes at high deforestation fractions (Figure 3, 341 Table 3). This nonlinearity can either arise from the response of biophysical land 342 parameters to deforestation, or from the climate response (i.e., temperature response) to 343 biophysical changes. We found nonlinearities in both of these aspects (Figure S6). 344 345

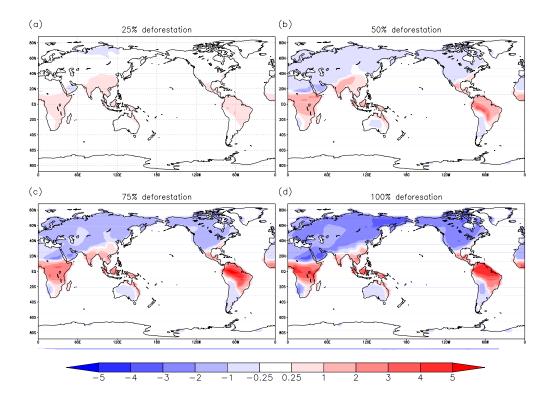


Figure 2. Temperature change for global deforestation experiments with different deforestation fractions at (a) 25%, (b) 50%, (c) 75% and (d) 100%

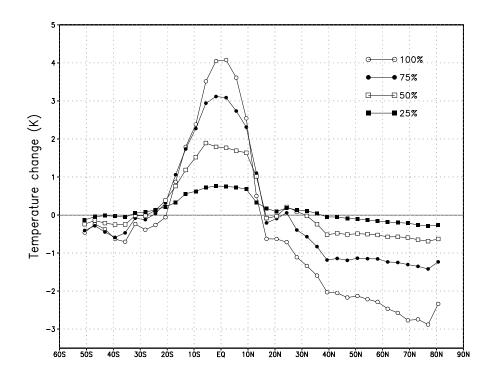


Figure 3. Latitudinal pattern of temperature change with different deforestation fractions

Table 3. Changes in key climate variables from global deforestation with different deforestation fractions. " Δ " denotes change relative to the control experiment. The value for each climate variable is the area-weighted change over deforested areas for different latitude zones. The symbol " \uparrow " denotes upward and " \downarrow " denotes downward. Units are W/m² for energy flux, K for temperature, mm/day for precipitation, and unitless for albedo.

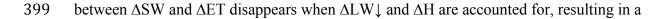
Region	Tr	opical (20°N-2	0°S)	Temperate (20°N-50°N)				Boreal (50°N-90°N)			°N)
Deforestation fraction	25%	50%	75%	100%	25%	50%	75%	100%	25%	50%	75%	100%
Temperature	0.53	1.22	1.86	2.06	0.03	-0.23	-0.75	-1.56	-0.17	-0.55	-1.21	-2.42
Precipitation	-0.58	-1.54	-2.63	-3.89	-0.17	-0.49	-0.71	-0.89	-0.03	-0.07	-0.12	-0.21
ET	-15.3	-37.1	-59.2	-85.5	-4.6	-12.4	-17.4	-20.7	-0.6	-1.6	-2.6	-5.2
Sensible heat (ΔH)	12.0	23.2	27.8	13.3	2.4	0.9	-4.1	-13.3	-1.2	-3.6	-8.0	-14.1

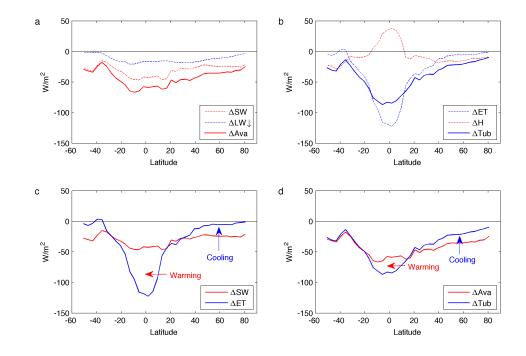
Shortwave↓ (∆SW↓)	3.8	13.1	27.1	52.6	1.7	7.7	14 .1	21.3	1.4	3.9	7.7	13.8
Shortwave↑	3.0	16.1	40.5	94.9	2.6	14.8	29.7	48.3	3.5	9.8	20.2	37.8
(∆SW↑) Longwave↓	-0.7	-3.2	-6.7	-16.9	-1.2	-5.8	-10.6	-16.9	-0.8	-2.7	-5.6	-10.5
(ΔLW↓) ΔAlbedo	0.01	0.05	0.12	0.28	0.01	0.06	0.11	0.18	0.02	0.06	0.12	0.22

357 **3.3 Role of surface energy balance in latitudinal temperature change**

358 Temperature change is driven by altered surface energy balance in response to forest 359 removal. Among them, changes in shortwave radiation absorption (Δ SW) and 360 evapotranspiration (Δ ET) can largely determine the sign and magnitude of temperature 361 response to deforestation. Deforestation can increase surface albedo, leading to reduced 362 absorbed shortwave radiation at the surface (Δ SW) which acts as a cooling mechanism, 363 while decreased ET (Δ ET) can produce a warming effect due to weakened latent cooling. 364 Figure 4c shows the latitudinal pattern of Δ SW and Δ ET. Although the largest 365 decreases are observed in the low latitudes and become smaller as latitude increases, the 366 relative importance of these two varies across latitudes as also reported in Davin & de 367 Noblet-Ducoudre (2010) and Li et al. (2015). In the tropics, ET declines (warming effect) 368 more than the absorbed shortwave radiation (cooling effect). This ΔET -dominated energy 369 imbalance is compensated by increase in temperature, outgoing longwave radiation, and 370 sensible heat. Beyond the tropics, the opposite occurs, as ET declines less than absorbed 371 shortwave radiation, therefore temperature and sensible heat decrease in response to the 372 Δ SW dominated energy imbalance. Specifically, mid latitude is a transition region where 373 ΔET and ΔSW in the south are relatively close to each other but in the north are quite 374 different. In high latitudes, ΔET is negligible whereas ΔSW maintains similar magnitude 375 as in the mid latitudes, thus resulting in the most significant temperature decrease.

376 Although ΔSW and ΔET determine the basic latitudinal pattern of temperature change. 377 changes in downward longwave radiation ($\Delta LW\downarrow$) and sensible heat (ΔH) also have 378 influence. While $\Delta SW\downarrow$ (changes in downward shortwave) could be considered as a part 379 of atmospheric feedback due to cloud cover change, we find that ΔSW is still dominated 380 by $\Delta SW\uparrow$ (changes in upward shortwave) due to albedo change (Figure S7). $\Delta LW\downarrow$ 381 decreases across all latitudes due to less cloud cover, while sensible heat increases in the 382 tropics and decreases in other latitudes. $\Delta LW \downarrow$ is combined with ΔSW to give the 383 available energy ($\Delta Ava = \Delta SW + \Delta LW \downarrow$) and ΔH is combined with ΔET to give the 384 turbulence energy ($\Delta Tub = \Delta ET + \Delta H$), corresponding to the changes in received and 385 dissipated energy, respectively. Available energy warms the land surface while 386 turbulence energy cools the surface (de Noblet-Ducoudré et al., 2012). The difference of 387 these two is the outgoing longwave radiation, which is a function of ground temperature, 388 and is equivalent to ground temperature change. As shown in Figure 4d, the latitudinal 389 changes of the available and turbulence energy largely resemble that of ΔSW and ΔET , 390 but with some noticeable differences. Comparing with Δ SW, reduction in available 391 energy (Δ Ava) is larger across all latitudes, suggesting an amplifying feedback 392 mechanism through $\Delta LW\downarrow$ due to reduced cloud cover (more reduction in $\Delta SW+\Delta LW\downarrow$, 393 Figure 4a). However, Δ Tub is smaller than Δ ET in the tropics (less reduction for 394 Δ ET+ Δ H, Figure 4b) but larger than Δ ET in the mid and high latitudes (more reduction 395 for $\Delta ET + \Delta H$, Figure 4b), showing that the warming signal can be either weakened or 396 enhanced when ΔH is considered (see Table 2). Overall, the latitude pattern of ΔSW and 397 ΔET in the southern hemisphere is influenced more by $\Delta LW \downarrow$ and ΔH than in the 398 northern hemisphere. In the southern hemisphere, the originally large energy difference





400 dampened energy difference of ΔAve and ΔTub .

401

Figure 4. Latitudinal pattern of changes in surface energy balance. (a) Changes in absorbed shortwave radiation (Δ SW), downward longwave radiation (Δ LW \downarrow), and available energy (Δ Ava= Δ SW+ Δ LW \downarrow). (b) Changes in evapotranspiration (Δ ET), sensible heat (Δ H), and turbulence energy (Δ Tub= Δ ET+ Δ H). (c) Δ SW and Δ ET. (d) Δ Ava and Δ Tub

402

403 Analysis above shows that the basic latitudinal pattern of Δ SW and Δ ET can explain 404 most of the latitudinal temperature response regardless of other changes and feedbacks 405 (e.g., changes in downward longwave radiation and sensible heat). Here we evaluate the 406 extent to which relative importance of Δ SW and Δ ET can explain the spatially varying 407 temperature change in terms of its sign and amplitude. The sign of temperature change 408 can be approximated by a simple ratio of $\Delta ET/\Delta SW$. The accuracy of this approximation 409 depends on the strength of the basic pattern imposed by Δ SW and Δ ET against other 410 changes. A larger-than-one ratio suggests ΔET warming exceeds ΔSW cooling and 411 temperature is likely to increase, whereas a smaller-than-one ratio suggests ΔSW cooling 412 is stronger than ΔET warming and temperature tends to decrease. We used results from 413 the regional deforestation numerical experiments to demonstrate this feature. Figure 5 414 shows the deforested grid points in the model with their ΔET and ΔSW plotted on the x 415 and y axes, with colors representing the sign of temperature change. Deforested points 416 with increased temperature (red) are often located in the upper-left space of the $\Delta ET =$ 417 Δ SW line where warming is anticipated (Δ ET > Δ SW), while points with decreased 418 temperature fall into the lower-right space where cooling is anticipated ($\Delta ET < \Delta SW$). It 419 turns out that ΔET and ΔSW alone can explain 93%, 88%, and 99% of deforested points 420 for the direction of temperature change in the tropical, temperate, and boreal regions, 421 respectively. In addition, there is tendency towards smaller $\Delta ET/\Delta SW$ ratios at higher 422 latitudes and drier areas in the global deforestation experiment (Figure S8), suggesting a 423 decreasing importance of ΔET over ΔSW . Few exceptions exist because longwave and 424 sensible heat changes may also influence temperature change but are not considered here. 425 Furthermore, the amplitude of temperature change is related to the difference of Δ SW and 426 Δ ET. As shown in Figure 5d-f, Δ SW - Δ ET is highly correlated with the amplitude of 427 temperature change in the tropical (r=0.96) and temperate regions (r=0.79), but not in the 428 boreal region (r=0.27).

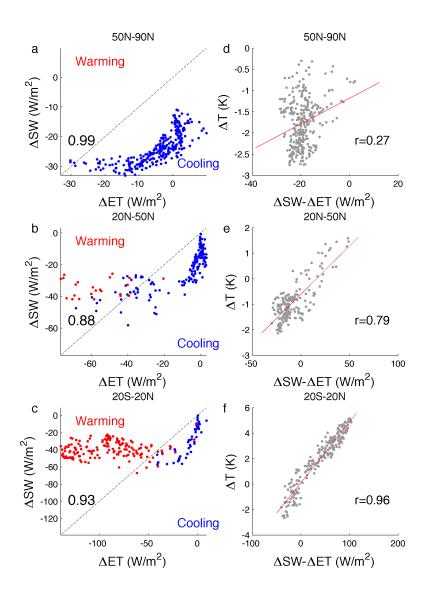


Figure 5. Changes in ET (Δ ET), absorbed shortwave radiation (Δ SW) and their relationship with temperature change (Δ T) over deforestation areas. (a-c) Deforested points with their Δ SW, Δ ET, and the sign of Δ T. The upper left area means ET warming exceeds albedo cooling; the lower right area means albedo cooling exceeds ET warming. Blue (red) are the actual grid points where temperature decreased (increased). Number denotes the percentage of deforested points whose sign of Δ T agrees with anticipation of

 Δ SW and Δ ET. (d-f) Spatial relationship between Δ SW- Δ ET and the amplitude of Δ T. Red line is the regression line, and *r* is the correlation coefficient. (a,d) Boreal deforestation; (b,e) North temperate deforestation; (c,f) Tropical deforestation.

430 **3.4 Influence of background climate on surface energy change and**

431 temperature change

432 The latitude-dependent pattern for Δ SW and Δ ET could arise from the intrinsic

433 latitudinal distribution in background climate, e.g., solar radiation and precipitation/ET

434 decrease with latitude increase. Therefore, the same amount of albedo change would

435 translate into a larger Δ SW in lower latitudes due to the geographic distribution of solar

436 radiation. Likewise, given the same ET reduction rate, a larger Δ ET is expected in the

437 tropics than in high latitudes.

The influence of background climate can be illustrated by a simple calculation.

439 Assume that deforestation causes albedo increase by 0.02, 0.05, 0.12, and 0.23 uniformly

440 across all latitudes and ET decrease by 15%, 30%, 50%, and 75% compared to their

441 baseline climatology, respectively. Multiplying these change rates by the baseline

442 shortwave radiation and ET, we obtain the corresponding Δ SW and Δ ET without

443 considering any climate feedback. For demonstration purpose, the change rates chosen

here for albedo and ET roughly correspond to the global averaged changes in the four

deforestation fraction experiments (deforestation fraction ranges from 25% to 100%, see

group II experiment). Interestingly, the calculated Δ SW and Δ ET (Figure 6) agree well

447 with the simulation (Figure 4c). The main features, including $\Delta ET > \Delta SW$ in the tropics

448 and $\Delta ET < \Delta SW$ in the extratropics, are captured. We also used the satellite derived ET

449 and shortwave radiation data from Li et al. (2015) to perform the calculation (see Figure

S9). The results generally support the findings from Figure 6, except for the two combinations with small changes in albedo and ET. For these two cases, the anticipated pattern is not captured mainly because of the chosen low albedo change in high latitude, which leads to an underestimation of Δ SW. It should be emphasized that the albedo and ET change rates in reality have more complicated patterns than what we assume in the calculation. Nevertheless, our simple calculation still reveals the role of the baseline climate in shaping the latitude-dependent temperature change to deforestation.

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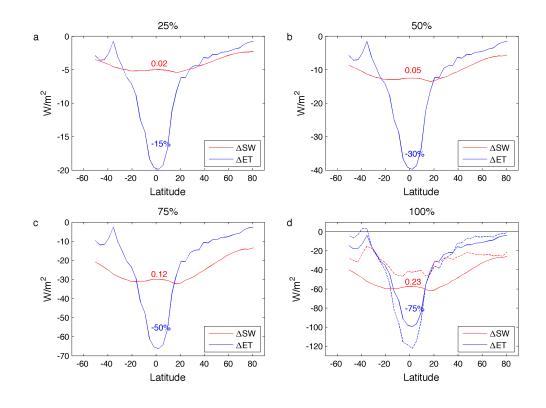


Figure 6. The latitudinal pattern of Δ SW and Δ ET calculated by multiplying their background climate values with different rates for albedo (red number, from 0.02 in (a) to 0.23 in (d)) and ET changes (blue number, from -15% in (a) to -75% in (d)). In (d),

dashed lines are simulated changes from global deforestation for comparison with the calculated changes (solid line).

459	Further evidence comes from the spatial relationship between background climate and
460	temperature response to deforestation. We found baseline precipitation is highly
461	correlated with ΔET (r=-0.98) and with ΔT (r=0.87), suggesting that precipitation can
462	influence temperature change by controlling ET change. This is also supported by the
463	ratio of $\Delta ET/\Delta SW$ in Figure S8 where larger ΔET over ΔSW is found in wetter areas, and
464	by observations from air temperature (Zhang et al., 2014) and physical mechanisms
465	pertaining to soil moisture (Swann et al., 2012). Therefore, spatial variation of
466	temperature change is partly due to background climate. For instance, temperature
467	decreases in the tropical deforested areas like Sahel, west Amazon, and southwestern
468	Africa, because dry climate limits ΔET , thus temperature change is dominated by the
469	cooling effect from Δ SW. In contrast, in wet temperate deforested areas like South China,
470	India, and parts of North America, temperature increases because of the dominant
471	warming effect from ΔET .

472 **3.5** Contribution of individual biophysical processes to the latitudinal

473 temperature change

474 The aforementioned changes in temperature and surface energy balance are triggered

- 475 by the altered biophysical variables such as albedo, roughness, ET efficiency, etc. as a
- 476 result of deforestation. The effect of each individual biophysical factor and its

477 contribution to temperature change are evaluated in this section.

478 (1) Albedo

479 The impact of albedo change can be isolated by the difference of ALL – noALB (see 480 Method Section), as shown in Figure 7a. As expected, albedo change causes significant 481 temperature decrease over all affected regions. Surprisingly, the strongest cooling appears 482 in the northern temperate region instead of the tropics where the largest albedo increase 483 occurs (Table 4). This indicates the strength of perturbation is not the only factor for 484 determining spatially varying temperature change. The magnitude of cooling in the boreal 485 region is similar to the temperate region, because of no amplified albedo change due to 486 snow. If deforestation did not change albedo, there would be a substantial warming over 487 all affected regions (noALB – CTL, Figure 7b), accompanied with decreased ET and 488 very little change in absorbed shortwave radiation (SW). This is expected because the 489 warming effect of ΔET dominates temperature change when albedo effect is absent.

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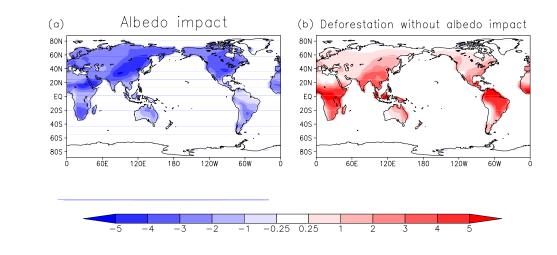


Figure 7. (a) Impact of Albedo (only) on temperature change (b) temperature change without albedo impact (K)

492

491

493 (2) Roughness

494 Roughness can affect turbulence (ET as well as sensible heat) flux between land 495 surface and atmosphere. Higher roughness facilitates absorbed shortwave energy to be 496 dissipated as turbulence, while smaller roughness suppresses this process and could have 497 a warming effect. Effect of roughness on climate can be isolated by the difference All – 498 noRGH. Roughness change as well as its impact are more pronounced in the tropical 499 region (Table 4). As is seen in Figure 8a, reduced roughness warms most areas except for 500 the upper northern latitudes, with warming decreasing from the tropics to high latitudes; 501 see also Davin & de Noblet-Ducoudre (2010). Without roughness change, deforestation 502 would cause less warming (Figure 8b) and less reduction in turbulence energy (not shown) 503 than regular deforestation. Moreover, Figure 8b also shows the combined effects from 504 albedo and evapotranspiration efficiency since roughness effect is excluded. Thus, the 505 existence of a tropical warming in some regions implies that the reduction in 506 evapotranspiration efficiency remains dominant and outweighs the albedo impact in this 507 situation.

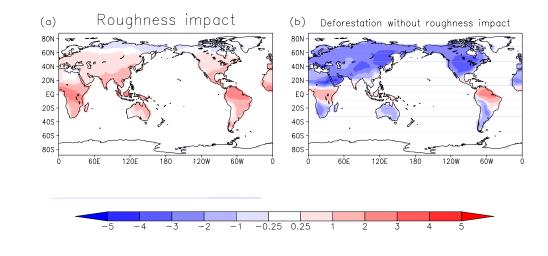
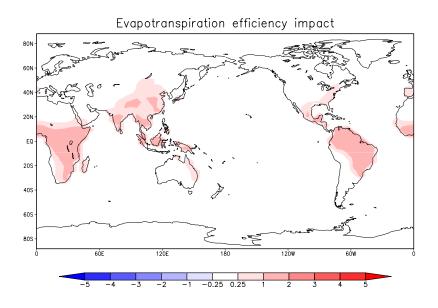


Figure 8. (a) Impact of roughness (only) on temperature (K); (b) temperature change without roughness

510 (3) Evapotranspiration efficiency

511 Evapotranspiration efficiency refers to the ability of partitioning available energy into 512 evapotranspiration more than into sensible heat. The conversion of forest to bare land 513 favors more turbulence energy to be transferred in the form of sensible heat rather than 514 ET, resulting in higher Bowen ratio. The impact of altered ET efficiency can be separated 515 by EVA – CTL, showing a noticeable warming in the tropical regions and some parts of 516 the temperate region, and negligible impact in high latitude (Figure 9). It seems that 517 changed ET efficiency has a significant impact only over regions with wet climate, which 518 may be due to the close coupling between precipitation and ET change.



519

Figure 9. Evapotranspiration efficiency impact on temperature change (K)

521 Table 4. Summary of influence of individual biophysical factors on temperature change.

522 Numbers in parentheses are changes in albedo and roughness. Albedo is unitless and unit

•

	Global (ALL – CTL)	Albedo (ALL – noALB)	Roughness (ALL-noRGH)	Evapotranspiration efficiency (EVA – CTL)
50°N-90°N	-2.42	-2.93 (0.22)	0.05 (0.86)	0
20°N-50°N	-1.56	-3.1 (0.18)	0.86 (0.66)	0.27
20°S-20°N	2.06	-1.92 (0.28)	1.92 (1.33)	1.22

525 ALL: global deforestation; noALB: global deforestation without albedo change; noRGH:
526 global deforestation without roughness change; EVA: global deforestation without both

- 527 albedo and roughness change.
- 528

529	By summing up the contributions from individual biophysical factors linearly (ALL -
530	noALB + ALL – noRGH + EVA – CTL), we reconstruct temperature change, which
531	closely agrees with the actual signal (ALL – CTL) in terms of both latitudinal (Figure 10)
532	and geographical patterns (Figure 11). Latitudinal features are inherited in the
533	contribution of each individual component (Table 4). Albedo effect generally increases
534	with latitude whereas roughness and evapotranspiration efficiency effects decrease with
535	latitude. Therefore, the largest temperature increase in the tropical region (2.06K)
536	originates from the warming effect of changed roughness (1.92K) and evapotranspiration
537	efficiency (1.22K), and is counteracted by a comparatively small albedo cooling (-1.92K).
538	In the extratropics, temperature response is dominated by albedo cooling, with similar
539	strengths in the northern temperate (-3.01K) and boreal (-2.93K) regions. But such
540	cooling is partially canceled by the weaker warming effect of roughness (0.86K) and
541	evapotranspiration efficiency (0.27K) in the temperate region and no compensation at all

542 in the boreal region. The latitudinal pattern caused by each biophysical factor is less 543 likely to be due to the latitudinal signal from biophysical change per se, because 544 biophysical change does not match the latitude pattern of temperature response. For 545 example, the largest temperature change does not occur where the largest biophysical 546 change (e.g., albedo and roughness) occurs. This shows the complex interactions in the 547 translation from the initial perturbation to subsequent climate response, which varies by 548 latitude. Biophysical impacts are strongly regulated by the baseline climate where 549 vegetation change occurs, as also demonstrated in Pitman et al. (2011).

550

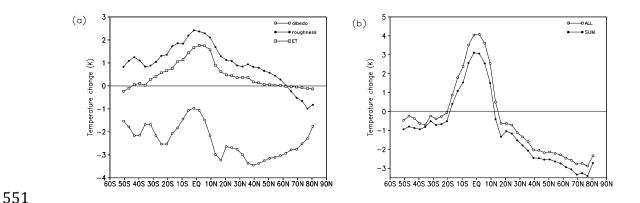


Figure 10. (a) Latitudinal patterns of the contribution of individual biophysical factors to temperature change and (b) reconstructed temperature change from individual biophysical effects (SUM=ALL – noALB + ALL – noRGH + EVA – CTL)

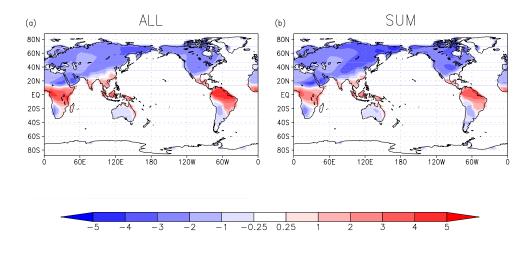


Figure 11. Spatial patterns of (a) actual temperature change and (b) reconstructed temperature change (SUM=ALL – noALB + ALL – noRGH + EVA – CTL)

554 **4. Discussion**

555 Our results show patterns of temperature change as a result of deforestation that are in 556 line with the conclusions of previous modeling studies, e.g., strong tropical warming 557 (Nobre *et al.*, 1991; Snyder *et al.*, 2004), moderate temperate cooling, and strong boreal 558 cooling (Bonan et al., 1992, 1995; Betts, 2000), but few of them consider the spatial scale 559 of deforestation. We found that temperature change varies nonlinearly with both the 560 spatial scale and the fraction of forest removed, with increasingly larger temperature 561 change as disturbance grows, but the overall latitudinal pattern is not altered. This scale-562 dependent relationship between temperature change and deforestation reflects a 563 perturbation-response relationship derived from the existing mechanisms of the model in 564 which non-linearity is found. However, it does not exactly emulate the influence of 565 physical processes operating at various scales in the real world, because many scale-566 related processes cannot be fully resolved in a model with a fixed complexity. For

scales.
example, many meso-scale processes cannot be included in a global model. This makes it
difficult to compare our results to observational study results that span different spatial
scales.

570 We found that changes in shortwave radiation absorption (Δ SW) and 571 evapotranspiration (ΔET) can largely determine the sign and amplitude of temperature 572 change, as well as its latitudinal and spatial patterns in response to deforestation. In a 573 global deforestation scenario, more than 90% of the sign of temperature change over 574 deforested areas can be explained by Δ SW and Δ ET. Although Δ ET and Δ SW can be 575 influenced by other factors and feedbacks, they still provide useful diagnostic information 576 for temperature change and serve as a first order approximation. Using this information, 577 albedo and ET changes (two variables readily available from satellite data) can be 578 potentially applied to evaluate the possible impact of undergoing land cover change on 579 local and regional temperature (Loarie et al., 2011; Peng et al., 2014; Li et al., 2015). 580 To a large extent, the latitude-dependent temperature response to deforestation and its 581 spatial variability can be attributed to background climate condition, such as solar 582 radiation, precipitation, and snow, which in turn affect the biophysical impact of 583 vegetation change. Further evidence comes from the contribution of each biophysical 584 factor, i.e., albedo, roughness, and ET efficiency, on the temperature response. Although 585 these factors drive temperature change in different directions, their contributions also 586 have clear latitudinal patterns (Davin & de Noblet-Ducoudre, 2010). This indicates that 587 climate condition manifests its influence either explicitly in the temperature response 588 through controlling changes on surface energy balance, or implicitly in the magnitude of 589 biophysical alteration triggered by deforestation. After careful analysis of our model, our

results show that the latitudinal pattern of temperature change is due to the explicitimpact of climate condition.

592 We acknowledge certain limitations and important issues that are not fully addressed 593 in this study. Previous studies showed an important role of oceanic feedback which could 594 cause additional cooling through albedo change (e.g., sea-ice albedo feedback) and could 595 override temperature change over land in mid latitudes (Claussen *et al.*, 2001; Davin & 596 de Noblet-Ducoudré, 2010), but our ocean model is not interactive so such dynamics 597 could not be studied here. In the simulation, we used the SST climatology of 1960-1990 598 with seasonal cycle only that can minimize inter-annual variability and therefore amplify 599 the strength of deforestation signal to climate variability in terms of statistical 600 significance. If a different period of the SST climatology had been used, the simulated 601 climate may have been slightly different including differences in vegetation distribution 602 and deforestation impacts. Nevertheless, our results are unlikely to be substantially 603 changed by the choice of SST climatology, because a background climate change as large 604 as that coming from $1 \times CO_2$ (280 ppm) increased to $2 \times CO_2$ (280 ppm) can only modify 605 the climate impact over certain transitional regions (Pitman et al., 2011). 606 Furthermore, in this study we use ground temperature as the variable for accessing the 607 deforestation impact. In other studies, and perhaps more commonly, this component 608 could be analyzed using air temperature, although research based on ground temperature 609 (McGuffie et al., 1995; Kendra Gotangco Castillo & Gurney, 2012) or surface 610 temperature (Davin & de Noblet-Ducoudré, 2010) is also seen in the literature. Although 611 these two have been shown to often agree with one another at larger scales (Jin et al., 612 1997), it is worth investigating whether they have different responses to vegetation

633	Author contributions:
632	
631	model results, especially those using new techniques and datasets such as satellite data.
630	indispensable as they can offer new insights and serve as a reference benchmark for
629	https://cmip.ucar.edu/lumip) are highly valuable. In addition, observational studies are
628	inter-comparison projects such as LUMIP (Land Use Model Intercomparison Project,
627	is required to improve model performance in the simulation of land processes, and new
626	land cover change, especially for ET (Boisier et al., 2012). Therefore, considerable effort
625	al., 2012), indicating large uncertainty lies in the response of non-radiative process to
624	on energy partition between latent and sensible heat flux changes (de Noblet-Ducoudré et
623	show consistency in how land cover change affects available energy but diverge greatly
622	distinguish robust findings against model uncertainty. The participant models in LUCID
621	Identification of Robust Impacts (LUCID) experiments (Pitman et al., 2009) can help to
620	To combat this, model inter-comparison projects like Land-Use and Climate,
619	lead to shifts in vegetation distribution and thus could influence the deforestation impact.
618	be model-dependent. For instance, some biases in the simulated climate of the model may
617	Finally, results from a single model are subject to uncertainty and some features might
616	attention in modeling studies.
615	temperature (Zhang et al., 2014; Li et al., 2015), a problem that has received less
614	response of maximum and minimum temperatures also differ from the daily averaged
613	change (Baldocchi & Ma, 2013; Zhao & Jackson, 2014; Li et al., 2015). Moreover, the

634 Y. Li designed and carried out the experiments; Y. Li and N. De Noblet-Ducoudré

635 analyzed the data; all authors contributed to the discussion and writing of the paper.

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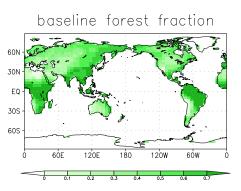
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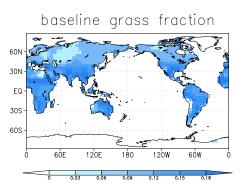
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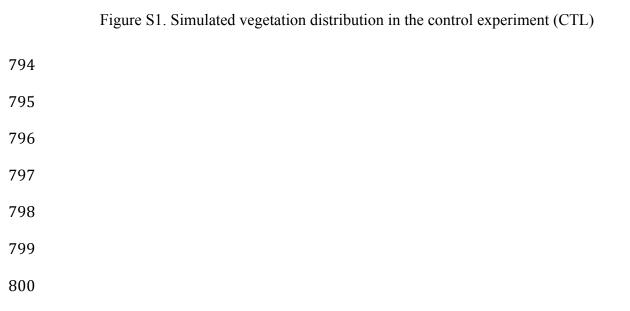
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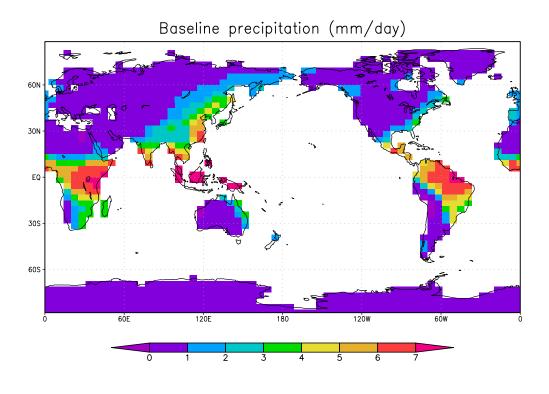




Figure S2. Annual mean precipitation simulated in the control experiment

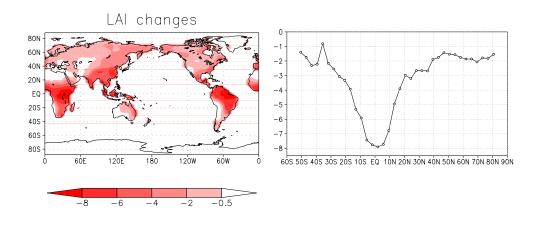


Figure S3. Spatial (left) and latitudinal (right) patterns of LAI changes due to global deforestation (Unit: m^2/m^2)

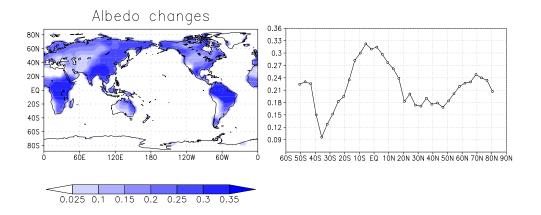


Figure S4. Spatial (left) and latitudinal (right) patterns of albedo changes due to global deforestation

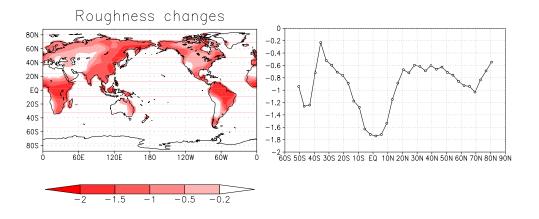


Figure S5. Spatial (left) and latitudinal (right) patterns of roughness changes due to global deforestation (Unit: m)

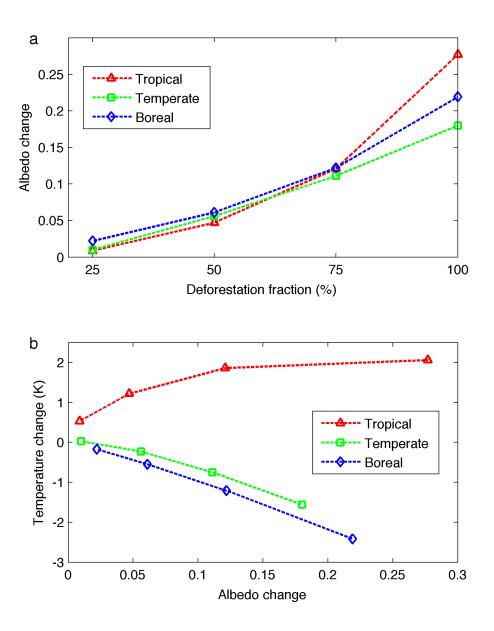


Figure S6. (a) Response of albedo change to growing deforestation fraction from 25% to 100% and (b) temperature response to albedo change under different deforestation fractions. Data points in the figure are from Table 3.

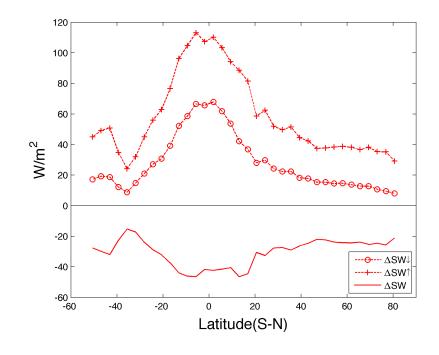


Figure S7. Latitudinal changes in downward ($\Delta SW\downarrow$), upward ($\Delta SW\uparrow$) and absorbed shortwave radiation (ΔSW)

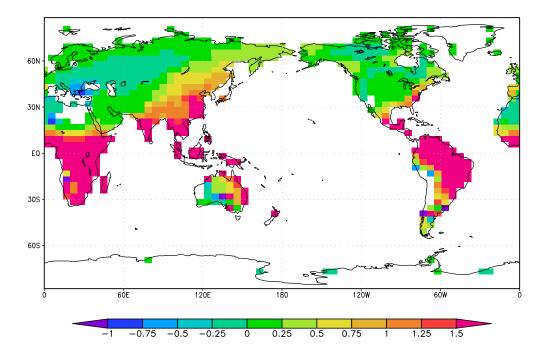


Figure S8. Ratio of $\Delta ET/\Delta SW$ in global deforestation

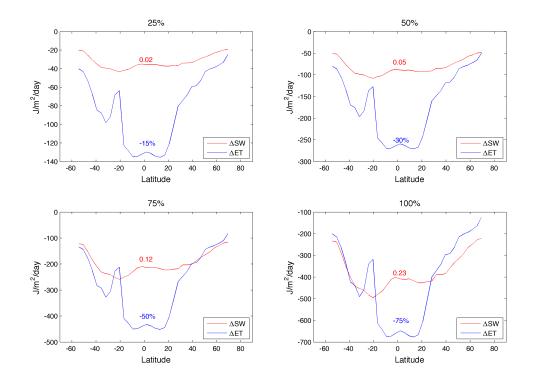


Figure S9. Δ SW and Δ ET calculated with MODIS ET and shortwave radiation (data from Li et al. (2015))