

1 **The tropical Atlantic surface wind divergence belt and**
2 **its effect on clouds**

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30 **Abstract**

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32 A well-defined surface wind divergence (SWD) belt with distinct cloud properties forms
33 over the equatorial Atlantic during the boreal summer months. This belt separates the
34 deep convective clouds of the intertropical convergence zone (ITCZ) from the shallow
35 marine stratocumulus cloud decks forming over the cold-water subtropical region of the
36 southern branch of the Hadley cell in the Atlantic. Using the QuikSCAT-SeaWinds and
37 Aqua-MODIS instruments, we examined the large-scale spatiotemporal variability of the
38 SWD belt during a 6-year period (2003–2008) and the related links to cloud properties
39 over the Atlantic Ocean. The Atlantic SWD belt was found to be most pronounced from
40 May to August, between the equator and 2°N latitude. A positive correlation and a strong
41 link were observed between formation of the SWD belt and a sharp sea-surface
42 temperature gradient on the northern border of the cold tongue, supporting Wallace’s
43 vertical-mixing mechanism. The dominant cloud type over this region was shallow
44 cumulus. Cloud properties were shown to be strongly linked to the formation and strength
45 of the SWD zone. The findings will help to understand the link between ocean-
46 atmosphere dynamics and cloud properties over this region, and suggest that the SWD
47 zone be considered a unique cloud belt of the southern branch of the Atlantic Hadley cell.

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60 1. Introduction

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62 The intertropical convergence zone (ITCZ) is located north of the equator throughout the
63 year over the eastern tropical Pacific and Atlantic oceans [Hu *et al.*, 2007]. This defines
64 an interesting narrow belt bounded by the geographical equator and the ITCZ. Both
65 oceanic and atmospheric processes along the geographical equator are affected by
66 changes in the magnitude and sign of the Coriolis force. The ITCZ marks the warmest sea
67 surface temperatures (SST) where the Hadley cells converge. The narrow band between
68 the equator and the ITCZ is therefore controlled by a unique set of oceanic and
69 atmospheric features. As a part of this band there is an area with a zonal belt of surface
70 wind divergence (SWD) that is seen during the boreal summer months (JJA, [Hastenrath
71 and Lamb, 1978; Risien and Chelton, 2008; Zhang *et al.*, 2009]). The SWD strongly
72 affects the properties of clouds that form over and near it. In this study, we propose that
73 this oceanic region be considered a unique cloud belt in the southern branch of the
74 Atlantic Hadley cell.

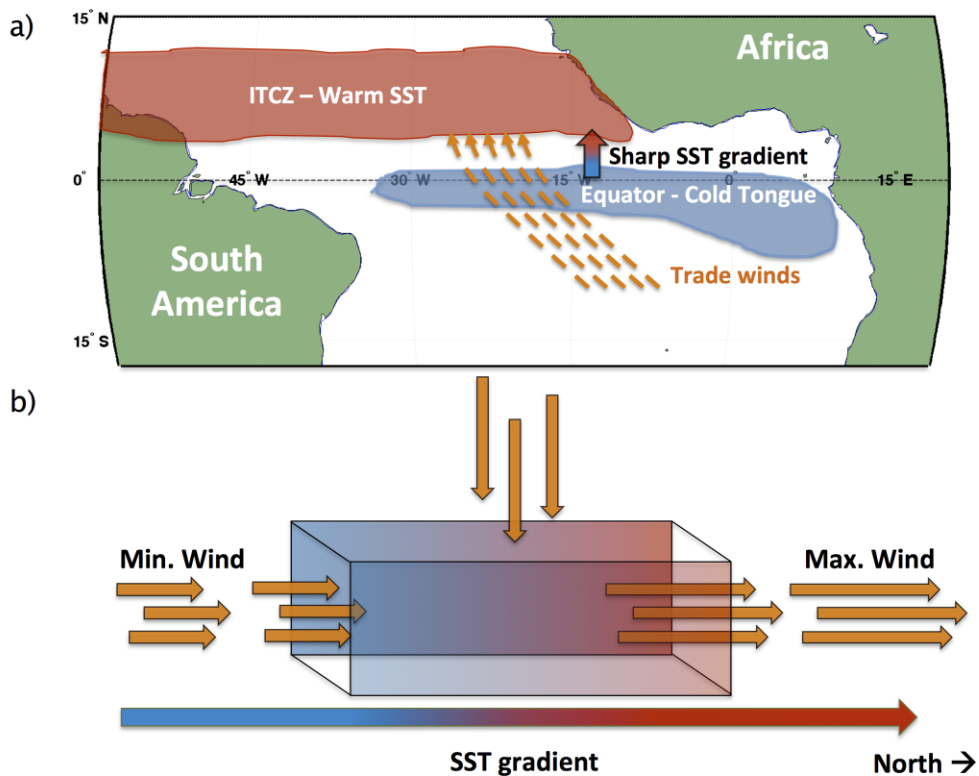
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76 Specifically, this narrow belt is bounded by the oceanic cold tongue that forms over the
77 equator during the boreal summer months [Mitchell and Wallace, 1992] and the warmer
78 ITCZ waters (see Fig. 1a). Studies on the coupling between SST and the magnitude of
79 surface winds clearly show a positive correlation on spatial scales of 25 to 1,000 km (e.g.,
80 Small *et al.*, 2008; Chelton and Xie, 2010). The trade winds accelerate as they blow over
81 the SST gradient from cold to warm water. Such acceleration implies an increase in the
82 mass flux out along the wind trajectory that drives local SWD and therefore subsidence
83 of the air mass from above (Fig. 1b). This belt of wind divergence is located (on average)
84 between latitudes 0° and 2°N.

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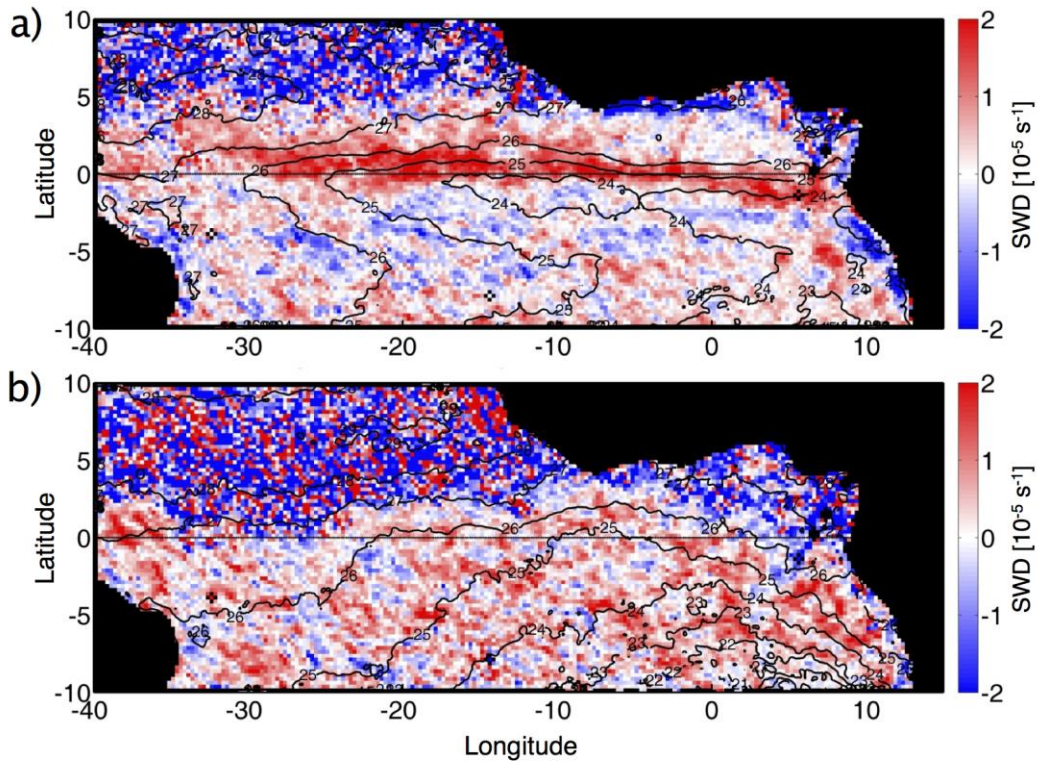
86 Two main scenarios have been suggested to explain the link between SST, surface wind
87 speed, and the formation of SWD, the first by Lindzen and Nigam [1987] and the second
88 by Wallace *et al.* [1989]. While both hypotheses explain how the change in SST affects
89 the surface winds to form SWD, each of these mechanisms suggests a different location
90 for the SWD. The first hypothesis suggests that the SWD should overlap the cold SST

91 (i.e. cold tongue) and the second hypothesis links it to the cold-to-warm SST gradient.
 92 Figure 2 presents the mean monthly SST for July 2007 in the equatorial Atlantic Ocean
 93 (black contours) and the mean SWD field (color). In agreement with *Wallace et al.*'s
 94 [1989] theory, anomalous positive values of mean SWD (red color) in July are positioned
 95 over the sharp SST gradient on the northern border of the Atlantic cold tongue (Fig. 2a).
 96 Moreover, when the equatorial cold tongue and the sharp SST gradient are absent (in
 97 October, for example), the SWD belt does not appear (Fig. 2b).
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Figure 1. (a) A schematic map of the main tropical Atlantic players from May to August.
 (b) A north–south cross section along the narrow band between the equatorial cold tongue and the intertropical convergence zone (ITCZ) showing acceleration of the trade wind path along the sharp sea-surface temperature (SST) gradient which imposes surface wind divergence.



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108 **Figure 2.** Tropical Atlantic monthly maps of mean surface wind divergence [in units of
 109 10^{-5} s^{-1} , color] and sea surface temperature [$^{\circ}\text{C}$, black contours] for (a) July and (b)
 110 October, 2007, using QuikSCAT-SeaWinds and MODIS-Aqua.

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113 Previous studies have indicated that the northern and southern borders of the cold tongue
 114 are characterized by a pattern of westward-propagating waves termed tropical instability
 115 waves (TIW). This is observed in both the Atlantic [Düing *et al.*, 1975] and Pacific
 116 [Legeckis, 1977] oceans. These waves form in response to intensification of the
 117 southeasterly trade winds and the onset of the equatorial cold tongue during the early
 118 boreal summer months. Hayes *et al.* [1989] tested Wallace *et al.*'s [1989] hypothesis and
 119 explored how the variability of SST in the eastern Pacific TIW influences the surface
 120 winds. They showed high correlations between the meridional SST gradient and the wind
 121 speed gradient along the same direction. They also showed that the northern border of the
 122 cold tongue is the region with the sharpest SST gradient and the strongest SWD. More

123 recent works corroborate this coupling from satellite observations of SST and high-
124 resolution scatterometer measurements of surface winds in the Pacific and Atlantic cold
125 tongues [Xie *et al.*, 1998; Chelton *et al.*, 2001; Hashizume *et al.*, 2001].

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127 Moving northward from the equatorial cold tongue, the atmospheric conditions change
128 gradually. Aircraft measurements at 30 m height in the eastern equatorial Pacific (along
129 95°W) showed nearly zero latent and sensible heat fluxes over the cold tongue waters
130 (~18°C) and maximal heat fluxes of 160 W m⁻² and 30 W m⁻², respectively, over the
131 warmer waters (~24°C) around 2°N [deSzoeke *et al.*, 2005]. Additional observational
132 studies for the same geographical region [Zhang and McPhaden, 1995; Thum *et al.*, 2002;
133 Small *et al.*, 2005] estimated changes in fluxes in the range of 6.5-7.5 W m⁻² in sensible
134 heat flux and 25-35 W m⁻² in latent heat flux both for 1°C change in SST. Over the same
135 region, observations of the marine boundary layer (MBL) depth based on a radiosonde
136 transect along 2°N showed vertical displacement of the inversion layer base height from
137 1 km over the cold water of the TIW (126°W) to 1.5 km over the warm water (123°W)
138 [Xie, 2004]. Increased water vapor content over warm water, as well as increased cloud
139 liquid water content and rain amount were observed in an 8-year study over the Atlantic
140 TIW [Wu and Bowman, 2007]. The deepening of the atmospheric MBL and the increase
141 in heat and water vapor fluxes moving from the cold tongue to warmer water favors the
142 formation of marine stratocumulus clouds, as observed from satellite images [Deser *et al.*
143 *et al.*, 1993]. In agreement, Mansbach and Norris [2007] described a decrease in the
144 amount of low-level clouds over the Pacific cold tongue when it is well defined,
145 highlighting the frequent formation of cloud-free boundary layers over the cold tongue.

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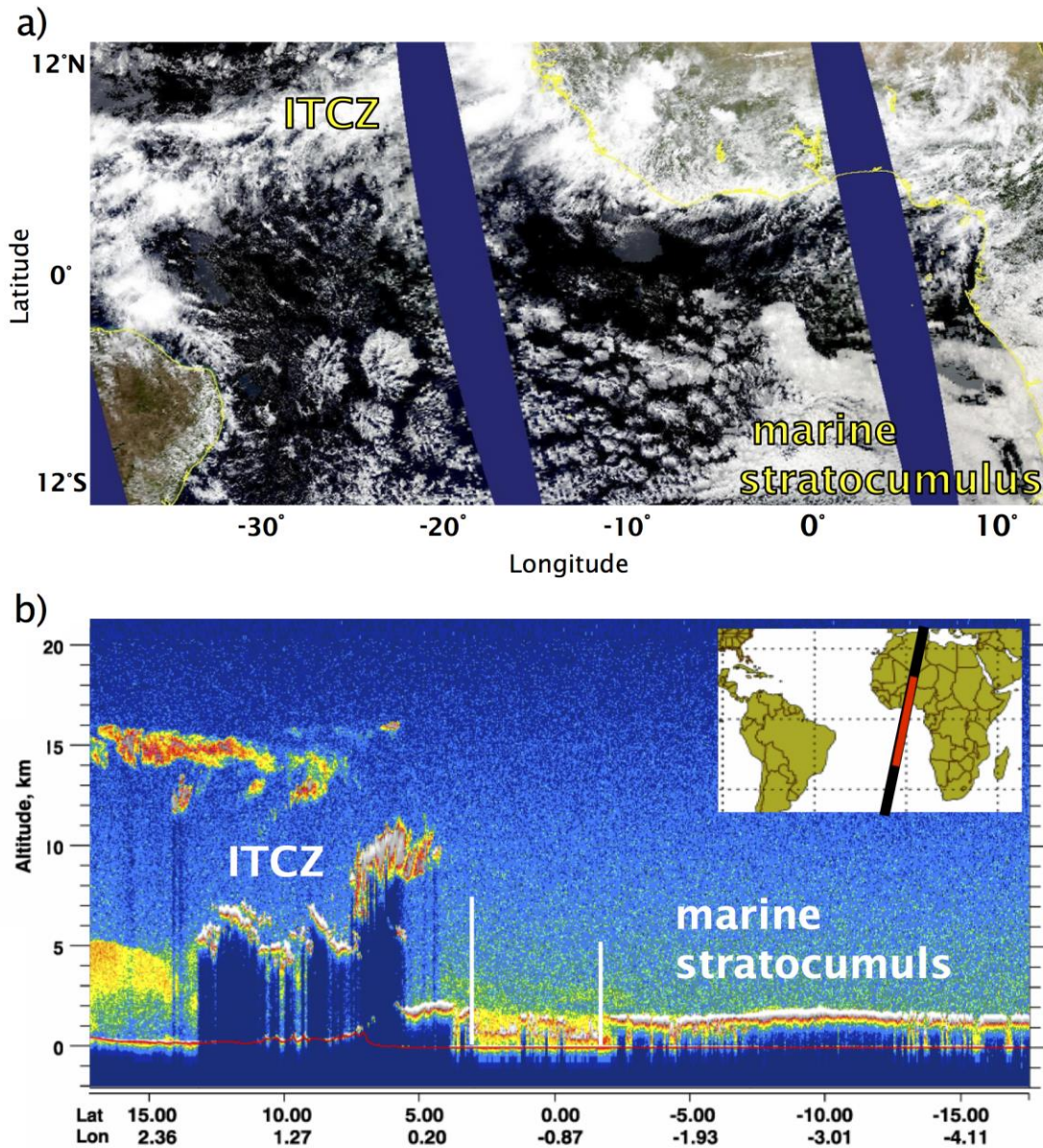
147 The strength of the inversion layer and SST have been shown to be main players in
148 determining the atmospheric conditions, and hence cloud properties, over the subtropical
149 oceans [Albrecht *et al.*, 1995; Myers and Norris, 2013]. Under conditions of cold SST
150 and low inversion, inversion-topped marine stratocumulus clouds will form in a structure
151 of closed cells and be maintained by downdrafts driven by cloud-top radiative cooling
152 [Wood, 2012] and turbulent mixing in the cloud layer [Bretherton and Wyant, 1997]. This
153 gradually transforms into an open cell structure and then to trade cumulus clouds while

154 moving to regions with warmer water (dictating larger fluxes) and the MBL inversion
155 climbs and becomes weaker [Wyant *et al.*, 1997]. Such transitions are valid as one moves
156 westward or southward (toward the equator) from the eastern shores of the subtropical
157 oceans off Africa or America, with upwelling-driven cold SSTs, experiencing gradual
158 warming of the SST and deepening of the MBL. This transition is characterized by a
159 distinct decrease in cloud cover with a minimum over the trade cumulus regime
160 [Muhlbauer *et al.*, 2014]. Figure 3 shows the ITCZ and shallow Marine Stratocumulus
161 (MSc) clouds regimes characterized by high cloud cover and the decrease in cloud cover
162 between them.

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164 Here we argue that when examining meridional features of the southern branch of the
165 marine Hadley cell, the special zone discussed here, located between the cold tongue and

166 the ITCZ, should be considered a unique zone with special wind and cloud patterns.



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168 **Figure 3.** (a) Aqua MODIS true color image (RGB) of the tropical and southern
169 hemisphere subtropical Atlantic Ocean on 8 July 2012. (b) CALIPSO CALIOP 532 nm
170 total attenuation backscatter presenting a vertical profile of cloud and aerosol while
171 crossing the eastern Atlantic Ocean on the same day. Note the area of relatively less
172 cloud amount between the tropical deep convective clouds and subtropical marine
173 stratocumulus decks.

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175 2. Data and Methods

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177 Observational data retrieved from high-resolution active and passive satellite instruments
178 were used to specify the equatorial SWD belt, cold tongue, and cloud properties.
179 Analyses were based on a full 6 years of daily data collected from 2003 to 2008.

180 The SWD was calculated using $0.25^\circ \times 0.25^\circ$ resolution surface wind measurements from
181 the SeaWinds active microwave scatterometer instrument on board the QuikSCAT
182 (Quick Scatterometer) satellite. Launched in 1999 [Spencer *et al.*, 2000], SeaWinds
183 passes twice a day (06:30 and 18:30 local time), measuring surface wind speed and
184 direction at 10 m above sea level. The SWD was defined using a divergence term (Eq.
185 1):

$$186 \text{SWD} = \partial u / \partial x + \partial v / \partial y \quad (1)$$

187 where u and v are the zonal and meridional components of the wind. Wind divergence is
188 presented in units of m s^{-1} per distance of 1° degree (~ 100 km), which is equal to 10^{-5} s^{-1} .
189 The monthly mean divergence ranged mostly between 2 and $-2 \times 10^{-5} \text{ s}^{-1}$, where negative
190 divergence is referred to as convergence. Examining the ITCZ through the SWD showed
191 that it is characterized by mean values of around $-1.5 \times 10^{-5} \text{ s}^{-1}$ during most of the year
192 (Fig. 4). QuikSCAT provides surface wind data under both clear and cloudy conditions,
193 but possible errors can be caused by rain [Draper and Long, 2004]. The monthly mean
194 SWD values used here were calculated using daily data.

195 SST [Esaias *et al.*, 1998] and cloud properties [cloud optical thickness (COT), and cloud
196 fraction (CF), Platnick *et al.*, 2003] were obtained from the moderate resolution imaging
197 spectroradiometer (MODIS) instrument on board the Aqua satellite (equatorial crossing
198 at 01:30 and 13:30 local time).

199 Our research domain was set to cover the equatorial Atlantic cold tongue and the SWD
200 belt. Therefore, an area between 10°N and 10°S is presented in the first part of the results
201 section. The focused investigation of the SWD belt was performed over a subset of this
202 area located in the central Atlantic (20°W – 10°W), between 0° and 2°N latitude, covering
203 the belt of maximum mean SWD (Fig. 4a).

204 **3. Results**

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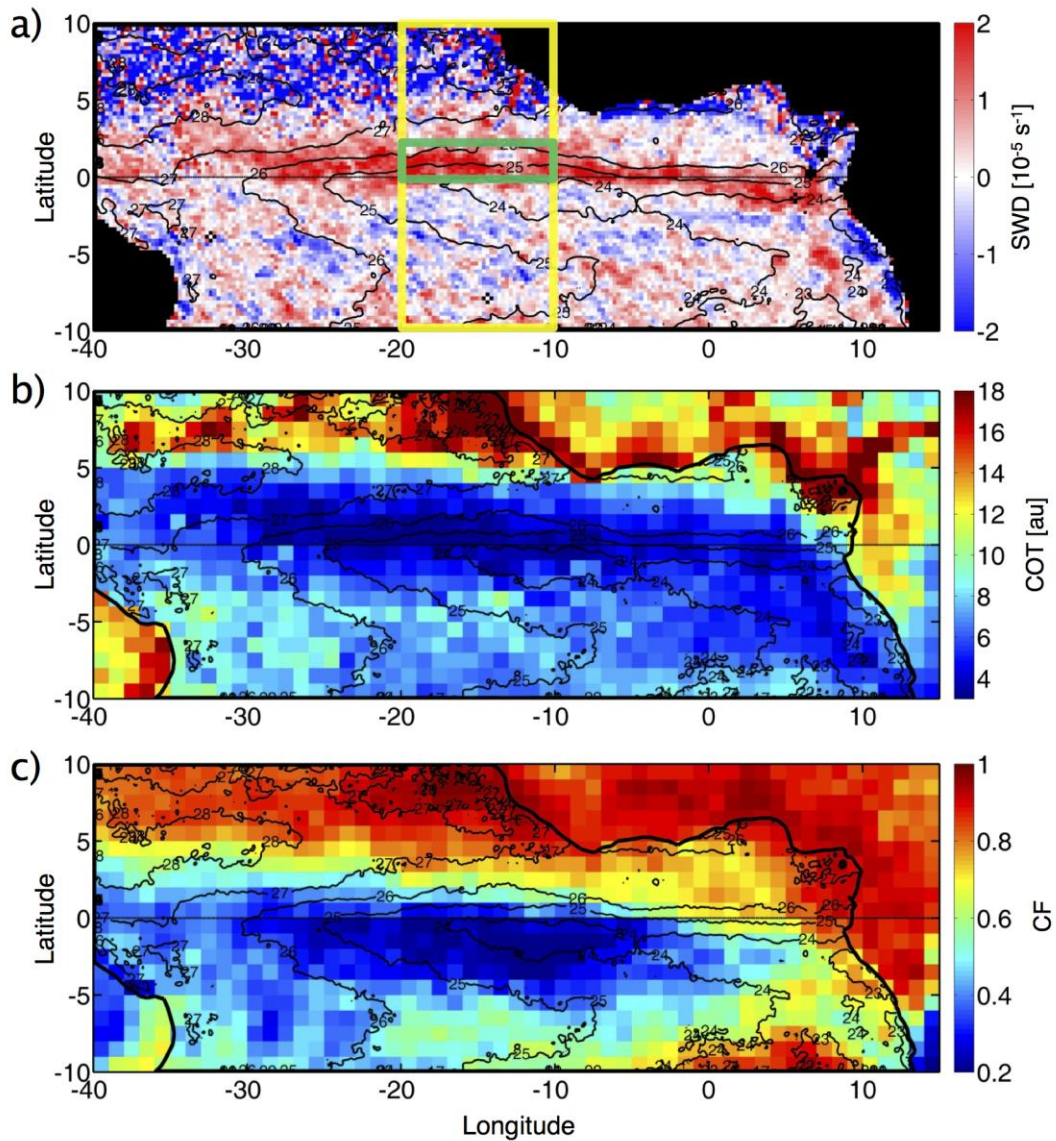
206 The spatial association between SST, SWD and cloud properties (COT and CF) was
207 examined first. Figure 4 presents maps of mean monthly SWD, cloud properties (colors)
208 and SST (black contours) for July 2007. The SWD belt (colored in red) is evident (Fig.
209 4a) along the sharp SST gradient at the northern border of the equatorial cold tongue.
210 Note that the TIW cannot be recognized in a monthly average SST field due to the same
211 (monthly) characterization time scale of this phenomenon. High SWD values ($>1.5 \times 10^{-5}$
212 s^{-1}) can be recognized slightly south of the equator over the eastern Atlantic, and between
213 latitudes 0° and $2^\circ N$ over the central Atlantic. Strong convergence dominates over the
214 ITCZ belt north of latitude $5^\circ N$ ($<-1.5 \times 10^{-5} s^{-1}$) but also in the area south of the equator,
215 induced by the warm-to-cold SST gradient. Two fundamental properties of clouds are
216 presented as well, the COT (Fig. 4b) and daytime CF (Fig. 4c).

217

218 The deep convective clouds over the ITCZ (COT > 10), but also in the western
219 subtropical Atlantic (COT > 7 , colored in turquoise–yellow) were characterized by high
220 COT. As the eastern subtropical SST gets warmer toward the west or toward the ITCZ,
221 the MBL becomes deeper, permitting formation of thicker low clouds ($5 < COT < 10$). A
222 cloudy area characterized by relatively low COT (<5) formed between the subtropical
223 and ITCZ belts, with the lowest values centered along the sharp SST gradient (the SWD
224 belt).

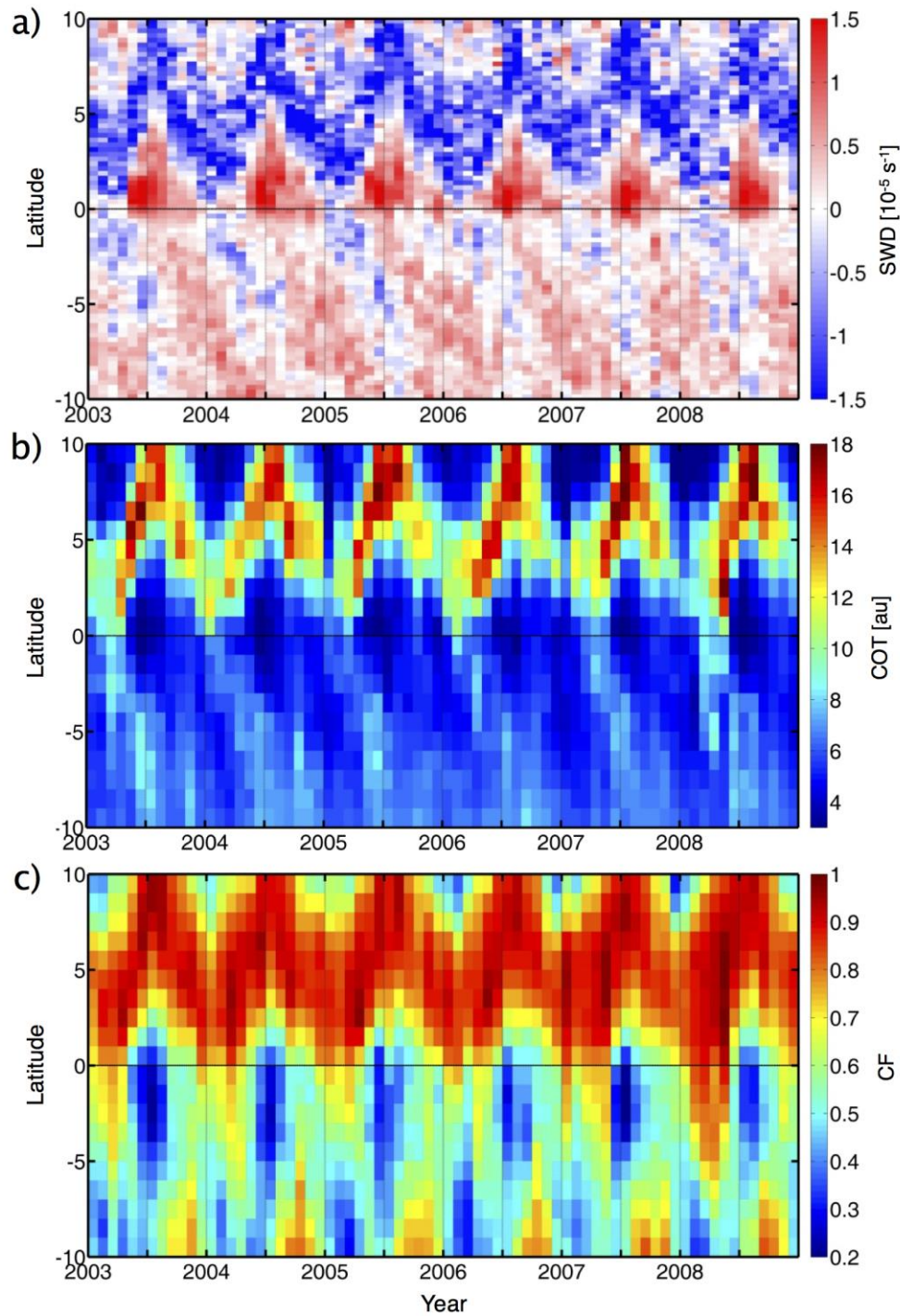
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226 The map of CF spatial distribution (Fig. 4c) presents high values over the deep
227 convective ITCZ belt (with SST $> 27^\circ C$) and over the subtropical eastern Atlantic (with
228 SST $< 24^\circ C$), whereas over the belt between them, the cloud cover was significantly
229 smaller. Specifically, the lowest CF values (<0.4) were between latitudes $5^\circ S$ and 0° ,
230 overlapping the cold tongue area.



231

232 **Figure 4.** Monthly-mean maps of (a) surface wind divergence (in units of 10^{-5} s^{-1}), (b)
 233 cloud optical thickness (in arbitrary units), and (c) daytime cloud cover fraction
 234 (normalized units between 0 and 1) during July 2007. SST [$^{\circ}\text{C}$] is presented as black
 235 contours in all panels. The yellow and green boxes in Fig. 4a define the areas focused on
 236 in Figs. 5 and 6.



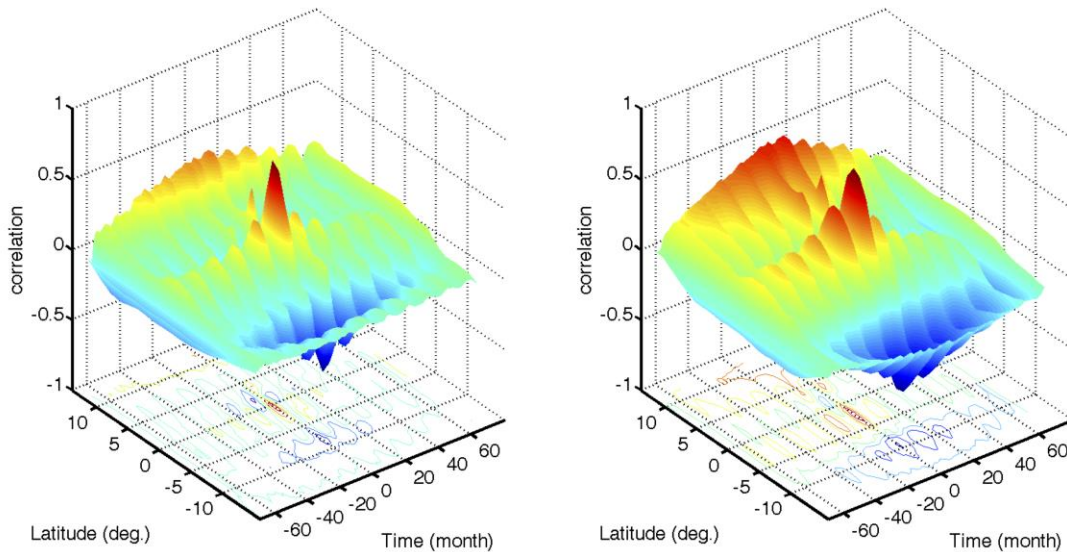
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238 **Figure 5.** Latitude–time Hovmöller diagrams (2003–2008) of zonal and monthly mean
 239 (a) surface wind divergence [10^{-5} s^{-1}], (b) cloud optical thickness, and (c) cloud fraction.
 240 The central Atlantic section (20°W – 10°W) averaged for these Hovmöller diagrams is in
 241 the yellow square in Figure 4a.

242 We examined the annual variability of the SWD belt and cloud properties in the central
243 Atlantic between longitudes 20°W and 10°W (defined by the yellow square in Fig. 4a).
244 Figure 5 presents Hovmöller diagrams for the years 2003 to 2008. The upper panel (Fig.
245 5a) shows the changes along the years in the position and magnitude of the SWD. In this
246 domain (i.e. the central Atlantic), the SWD forms around May–June and remains until
247 August–September. It is prominent between the equator and 2°N latitude. The patterns of
248 the SWD belt correlate with the migration of the ITCZ belt in the northern hemisphere
249 (as illustrated by the blue color in Fig. 5a). The ITCZ belt is positioned closer to the
250 equator during the months of December to April, and in May, it migrates northward,
251 reaching its most poleward northern position during July–August. This is when high
252 values of SWD ($>1.5 \times 10^{-5} \text{ s}^{-1}$) appeared (while the ITCZ moved northward, May–July),
253 suggesting a link to the sharpest meridional gradient in the SST that forms during this
254 period. Later, when the ITCZ migrated back toward the equator, the SWD belt was still
255 evident but in a weaker form ($\sim 0.5 \times 10^{-5} \text{ s}^{-1}$) and with smaller meridional extent. The
256 SWD was not evident between December and April when the ITCZ was in its closest
257 position to the equator.

258 Clear correlations are evident between the temporal and spatial variability of the COT
259 and the seasonality and spatial distribution of the SWD belt. A clear minimum in COT
260 (<5) was seen in the area between the equator and 2°N from May to August (Fig. 5b).
261 The CF's temporal evolution was similar, but with a slight southward shift in the location
262 of the minimum synclines toward the cold tongue (Fig. 5c). To quantify the strength and
263 robustness of the correlations, we extracted the central part around our study area
264 (between latitudes 3°N and 6°S) of the COT and CF Hovmöller matrixes and checked the
265 correlations for a gradual shift between each of them and the SWD matrix. The 2D
266 correlation was calculated for each displacement between the two matrixes (Fig. 6). Both
267 COT and CF matrixes showed that the peak in correlations with the SWD corresponds to
268 no shift in time. The peak correlation with COT was $R = 0.74$, showing a perfect match
269 with the SWD (no shift in latitude or time). The peak correlation with CF was $R = 0.75$,
270 corresponding to a shift of 2° southward relative to the SWD field, and suggesting a
271 stronger link to the cold SST south of the equator. Note the oscillations along the time
272 axis indicating a peak in the correlations when the shift matches 1 year. A secondary

273 maximum is shown when the latitudinal shift is large enough to correlate with the marine
274 stratocumulus decks in the south. A minimum is shown when the latitudinal shift to the
275 north is large enough to correlate over the opposite trends of the ITCZ.



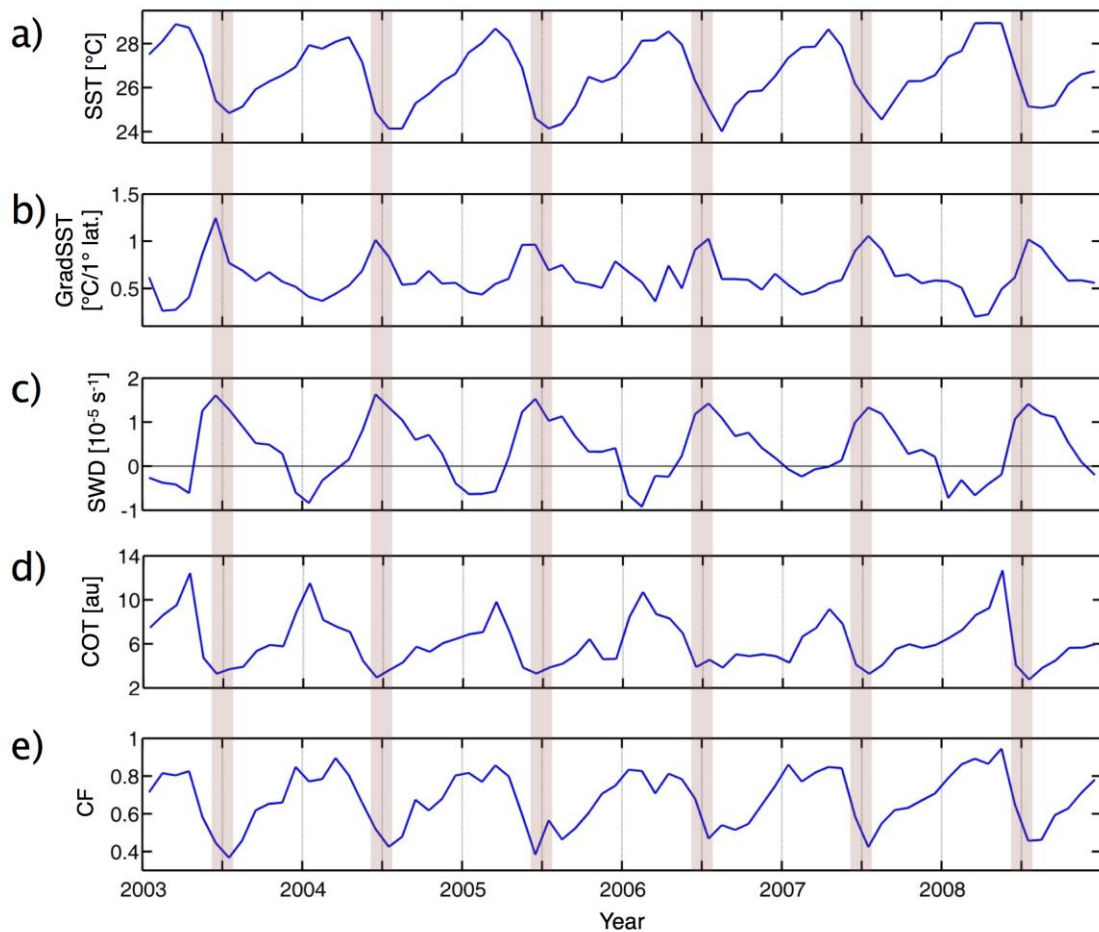
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277 **Figure 6.** Correlation matrixes between the Hovmöller diagrams of the surface wind
278 divergence (SWD, with a negative sign) and the cloud optical thickness (COT, left) and
279 the cloud fraction (CF, right). Both matrixes show that the correlation peaks correspond
280 to no shift in time (X axis). The peak correlation with COT, $R = 0.74$, also corresponds to
281 no shift in latitude (Y axis). The peak correlation with CF, $R = 0.75$, corresponds to a 2°
282 southward shift relative to the SWD field, suggesting a stronger link to the cold SST over
283 the equator than to meridional gradient of SST (gradSST).

284

285 Zooming in over the SWD region, the link between SST, meridional gradient of SST
286 (gradSST) and SWD with time was investigated (Fig. 7), focusing only on the area of the
287 most significant SWD in the central Atlantic (latitudes/longitudes: 0° – 2° N/ 20° W– 10° W,
288 green square in Fig. 4a). Monthly mean SST (Fig. 7a) ranged between $\sim 29^\circ$ C around
289 March–May to $\sim 24^\circ$ C around July–August. The changes in SST with time showed a
290 relatively rapid cooling period compared to the warming period, in agreement with the
291 known dynamics of the equatorial Atlantic cold tongue [Okumura and Xie, 2004]. The

292 sharpest SST gradients (Fig. 7b) appeared about a month before the mean SST minimum
 293 (i.e. June or July). Here, positive values of gradSST reflected SST warming from the
 294 equator northward. Temporal variability of SWD (Fig. 7c) behaved like a combination of
 295 the SST and meridional gradSST fields. It had rapid evolving and slow decaying times,
 296 similar to the SST field, but its maximal values clearly correlated in time with the
 297 gradSST peaks (June or July, marked by red-shaded columns).



298

299 **Figure 7.** Mean monthly time series (2003–2008) of oceanic and atmospheric dynamic
 300 state and cloud properties along the equatorial Atlantic (Latitudes/Longitudes: 0°–2°N
 301 /20°W–10°W.). The presented fields are (a) sea-surface temperature (SST), (b)
 302 meridional gradient of SST, (c) surface wind divergence (SWD), (d) total cloud optical
 303 thickness (COT), and (e) daytime cloud cover fraction (CF, in normalized units between
 304 0 and 1).

305 The most pronounced SWD belt appeared (June/July depending on the year, Fig. 7)
306 before the beginning of the coldest SST phase (July/August). This trend could be related
307 to the northward migration of the ITCZ. During the stage at which the equatorial cold
308 tongue begins to evolve, the ITCZ location is relatively closer to the equator and
309 therefore, the ratio between the temperature differences and distance from the equator
310 northward (i.e. gradSST) is the largest. When the cold tongue is well established and the
311 ITCZ is in a northern-most position (July/August), both gradSST and SWD are on their
312 descending branch. When the ITCZ is close to the equator (December–March), the
313 equatorial SST is warm, gradSST is at its minimal values and the SWD exhibits its
314 minimal (negative) values (i.e., convergence).

315

316 The mean COT over the location of the prominent SWD belt (between 0° and 2°N
317 latitudes) varied between 2 and 13 (Fig. 7d), and the mean CF over this region ranged
318 between 0.4 and 0.9 (Fig. 7e). Both cloud characteristics showed a distinct seasonal link
319 to the activity of ocean-atmosphere dynamic features. The boreal summer seasons with
320 cold SST, sharp gradSST and strong SWD were characterized by optically thinner clouds
321 (low COT) and a decrease in cloud cover. On the other hand, the boreal winter and spring
322 seasons were characterized by warm SST, mild gradSST, negative SWD and therefore
323 optically thicker clouds (high COT) with larger cloud cover. The evolution of the Atlantic
324 cold tongue and the SWD belt is illustrated by a decrease in SST, increase in gradSST
325 and a sharp transition to minima in COT and CF.

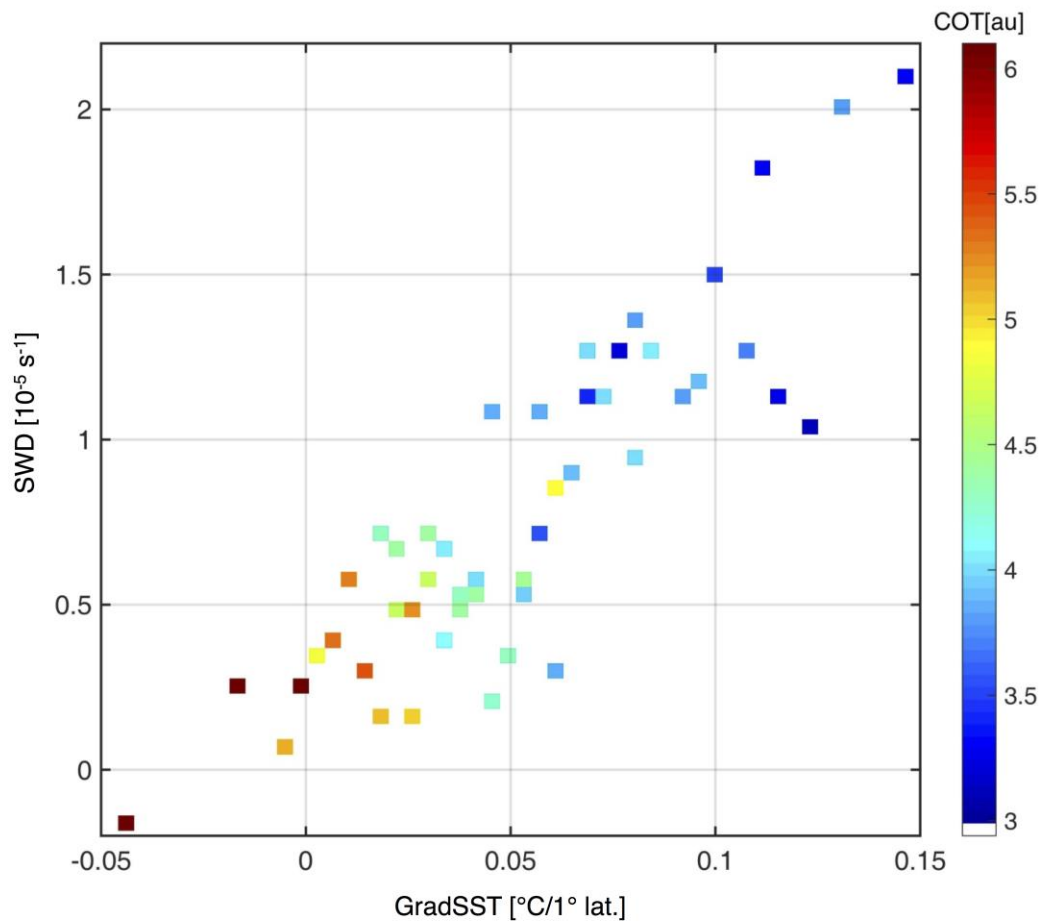
326 The links between gradSST to SWD and the associated cloud optical thickness were
327 further examined during the boreal summer months. Daily data (in 1° degree) were used
328 for the period of JJA 2007, for the area between 0°–3°N and 30°W–10°W (to ensure
329 large enough dataset). Clear positive correlations are shown between gradSST ($R^2=0.75$)
330 and SWD and inverse correlations with COT. We estimated that in this case and
331 resolution, COT decreased by $\sim 0.57 \pm 0.1$ for increase of $1 \times 10^{-5} \text{ s}^{-1}$ in SWD.

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337 **Figure 8.** Three-dimensional scatter plot displays the link between daily values of
 338 GradSST [°C/1° lat.], SWD [10⁻⁵ s⁻¹] and COT [au] over the SWD belt
 339 (Latitudes/Longitudes: 0°-3°N/30°W-10°W), during June, July, and August 2007. This
 340 plot illustrates the robustness of the correlations between the three parameters using a
 341 daily resolution. The relevant data is divided into 50 bins that contain equal number of
 342 samples.

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349 **4. Summary and Discussion**

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351 The equatorial Atlantic SWD belt which spans over the central Atlantic between the
352 equator and 2°N latitude and is characterized by a mean monthly divergence higher than
353 $\sim 1.5 \times 10^{-5} \text{ s}^{-1}$ (for a resolution of $1^\circ \times 1^\circ$), which is of the same order of magnitude (but
354 opposite sign) as the average ITCZ convergence. It is most pronounced from May to
355 August. Here we show a positive correlation and tight connection in space and time
356 between the (large-scale) distribution of sharp mean monthly gradSST and SWD. These
357 results support vertical mixing as the responsible mechanism [Wallace *et al.* 1989] for
358 formation of the SWD belt over the northern SST front of the Atlantic cold tongue.

359

360 Investigating the link of cloud properties to the SWD and cold tongue, we show that the
361 COT correlates in space and time with gradSST and SWD, whereas CF correlates better
362 with SST. We show that the minimum COT is located exactly over the sharp SST
363 gradient and the SWD belt (0° – 2°N), while the area of minimum cloud cover overlaps the
364 cold tongue (5°S – 1°N). Temporal analysis focusing on the SWD belt only showed
365 similar results (Fig. 7). Shallow cumulus clouds, which are the dominant clouds in the
366 SWD region, form under moderate SST conditions ($\sim 24^\circ\text{C}$ – 27°C) and their coverage was
367 positively correlated with SST. The cumulus cloud COT and CF were highly correlated
368 with the magnitude of the SWD (Fig. 7).

369

370 Previous studies have shown a gradual decrease in cloud cover along the subtropical-to-
371 tropical cloud transition [Sandu *et al.*, 2010; Muhlbauer *et al.*, 2014], with a minimum
372 over the trade cumulus region. But in general, this transition has received little attention
373 in observational studies and climate models find it difficult to correctly represent its
374 properties. The subtropical-to-tropical cloud transition was recently investigated in the
375 Northeastern Pacific Ocean to provide a framework for evaluating climate-model results
376 against observations [Karlsson *et al.*, 2010; Teixeira *et al.*, 2011]. Over the Atlantic
377 Ocean, the belt bounded by the equator (in the south) and the ITCZ is better defined, and
378 therefore the SWD and its links to cloud properties are clearer. Our results suggest that
379 this belt should be considered a separate entity of the southern branch of the Hadley cell

380 over the Atlantic. A better understanding of the essential dynamic features and their link
381 to cloud properties over this narrow strip may help improve low-level cloud
382 representation in climate models. The appearance of SWD belt during the boreal summer
383 over the Atlantic and the quantitative link between its magnitude and COT as presented
384 here can be used for cloud parameterizations in climate models as well as for model
385 validation for cloud resolving ones.

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