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Title: Potential Impact of Climate and Socioeconomic Changes on Future Agricultural Land Use in West Africa

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## Abstract

Agriculture is a key component of anthropogenic land use and land cover changes that influence regional climate. Meanwhile, in addition to socioeconomic drivers, climate is another important factor shaping agricultural land use. In this study, we compare the contributions of climate change and socioeconomic development to potential future changes of agricultural land use in West Africa using a prototype land use projection (LandPro) algorithm. The algorithm is based on a balance between food supply and demand, and accounts for the impact of socioeconomic drivers on the demand side and the impact of climate-induced crop yield changes on the supply side. The impact of human decision-making on land use is explicitly considered through multiple "what-if" scenarios. In the application to West Africa, future crop yield changes were simulated by a process-based crop model driven with future climate projections from a regional climate model, and future changes of food demand is projected using a model for policy analysis of agricultural commodities and trade. Without agricultural intensification, the climate-induced decrease of crop yield together with increase of food demand are found to cause a significant increase in cropland areas at the expense of forest and grassland by the mid-century. The increase of agricultural land use is primarily climate-driven in the western part of West Africa and socioeconomically driven in the eastern part. Analysis of results from multiple decision-making scenarios suggests that human adaptation characterized by science-informed decision making to minimize land use could be very effective in many parts of the region.

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## 1 1. Introduction

2 Land use and land cover change (LULCC) is an important factor responsible for observed global 3 environmental changes (Foley 2005, Pongtraz 2010, Ellis 2011). Although the terms - land use 4 and land cover - are often exchangeable, they suggest different implications in climate change studies. Land use refers to utilization of land resource by human for various socioeconomic 5 6 purposes while land cover indicates the type of physical material at Earth's surface. 7 Anthropogenic land use patterns have direct impact on land cover type. Both land use and land 8 cover can be strongly linked with local and regional climate (Lambin 2003, Kalnay and Cai 2004, 9 Mahmood 2010, Mei and Wang 2010). Agricultural activity is one of the most important 10 processes driving LULCC in a region. During the pre-industrial period, addition of croplands was 11 the primary response to increasing demand for food and other agricultural products. With the 12 advent of modern agricultural technology, farmers adopted intensive crop farming to minimize 13 the use of land area and slow down the rate of land cover changes (Burney 2010). Nevertheless, 14 globally the fraction of farmland, which comprises cropland and pasture, has been steadily 15 increasing at the expense of forest (Burney 2010, Hurtt 2011). The average global GHG emission 16 from agriculture was reported to increase by 1.6% per year during 1961-2010 (Tubiello 2013).

17 In addition to increasing the atmospheric concentration of greenhouse gases and 18 therefore influencing global climate, LULCC also affects the regional or local climate by altering 19 the water and energy budget at Earth's surface via changing albedo, Bowen ratio, and surface 20 roughness (e.g., Xue & Shukla, 1993; Taylor et al., 2002; Hagos et al., 2014; Wang et al., 2015). 21 Although there is a strong link between climate and LULCC, the dynamics of land use change is

22 not explicitly represented in regional and global climate models, partly due to the difficulties in 23 formulating the human decision-making processes influencing anthropogenic land use (Pielke 24 2011, Rounsevell 2014). Instead, anthropogenic land use is usually included as an external driver 25 in climate models, which does not incorporate the potential adaptive measures. Using the 26 Integrated Assessment Models (IAMs) is another approach to combine the socioeconomic 27 aspects and the climatic systems into a same analytical framework. Projections from IAMs on 28 future land use changes are often at the continental or regional scale and need to be downscaled 29 to derive spatially distributed future land use scenario (Hurtt et al. 2011, West et al. 2014). Also 30 because of their rather complex modeling framework with different sources of uncertainties 31 involved, it is difficult to engage IAMs in assessing relative roles played by climate and 32 socioeconomic changes in projected LULCC (Ackerman 2009, Rounsevell 2014).

There are different approaches to modeling LULCC with a wide range of modeling 33 34 perspectives (Agarwal et al. 2002, Parker et al. 2003, Verburg et al. 2006). Agarwal et al. (2002) 35 reviewed and evaluated a set of 19 land use models with respect to spatial and temporal resolutions as well as human decision-making processes. They concluded that models involving 36 37 more complex human decision-making are limited to lower resolution and extension in both 38 space and time. In reviewing a number of methodologies of modeling LULCC, Parker et al (2003) 39 suggested to combine cellular model, which focuses on transitions in landscapes, with agent-40 based model, which represents human decision-making process, to incorporate anthropogenic 41 elements in a spatially explicit modeling scheme. In projecting future agricultural land use, human 42 decision-making is crucially important as farmers can adapt to a changing climate especially if 43 there is national policy or strategies in place to incentivize or guide adaptation. Moreover,

different crops may have different responses to the same climate change scenario. Agent-based modeling approach, which considers the interaction between agents representing decisionmakers with certain optimization schemes, has been used to represent the complex anthropogenic behaviors regarding land use changes (Parker et al. 2003, Verburg 2006, Valbuena et al. 2010). However, application of agent-based approach in modeling land use change at a regional scale is limited because of its inherent complexity and larger data requirements (Valbuena et al. 2010).

51 Many previous studies with different modeling approaches integrated the climate-52 induced changes in agricultural productivity with socioeconomic changes to project future land 53 use scenarios. However, most of them assessed the land use change on national/sub-national 54 levels, and therefore, do not provided gridded land use map needed by climate projection models 55 (Schmitz et al., 2014). Two partial equilibrium models, the Model of Agricultural Production and 56 its Impact on the Environment (MAgPIE) (Lotze-Campen et al. 2008) and the Global Biosphere 57 Management Model (GLOBIOM) (Havlik et al. 2011), are applicable for modeling land use and 58 land cover changes on a spatially explicit scheme. MAgPIE simulates land use patterns at a spatial 59 resolution of 0.5° based on an objective function to minimize the production cost for specific 60 demand values. GLOBIOM simulates land use change scenario accounting for competition among 61 agriculture, forestry and bioenergy on a spatially explicit scheme. These two models provide land 62 use information regarding individual crops in addition to aggregated crop area.

In this study, we develop a land use projection (LandPro) algorithm that operates on a
 spatially explicit grid system (therefore addressing the need for grid-based land use information

65 by climate models) and has the capacity of quantifying land use at individual crop level (therefore 66 addressing the need for crop-level information in country-level policy making and development 67 of adaptation strategies). In the current application of LandPro to West Africa in evaluating the 68 impact of future increase of food demand and the climate-induced crop yield changes on 69 agricultural land use changes in the region, the mid-21st century projection is analyzed as an 70 example. Sub-Saharan Africa is extremely vulnerable to climate change impact because of its 71 large dependence on natural resources, fragile economic infrastructure and limited capacity for 72 mitigation and adaptation. Although local crop production provides the majority supply of staple 73 foods, the mostly rainfed agricultural system in Sub-Saharan Africa is not prepared to adapt to 74 projected future climate. Various studies predicted significant reduction in the productivity of 75 major crops in the region in future climates unless new technology and adaptation policy can 76 counteract the adverse effect of climate (Schlenker and Lobell 2010, Knox 2012, Ahmed et al. 77 2015). Here we engage LandPro to address three questions: What level of cropland expansion is necessary in West Africa to satisfy the future demand for foods with current agricultural practice? 78 79 What are the relative roles of socioeconomic factors and climate changes in driving future 80 agricultural land use changes? Could land use optimization through human decision-making 81 make a significant difference in the overall LULCC? Since crop yield is influenced by climate, we 82 also examine the sensitivity of our results to the selection of future climate data source used in 83 projecting the future yield. Section 2 outlines the LandPro algorithm with its fundamental assumptions, and provides a brief description of the datasets used in this study. Section 3 84 85 presents the results, discusses the projected future changes in land use patterns in the region 86 and the key factors driving the changes, and compares the agricultural land use map as projected

by our model with that of the H11 dataset. Section 4 summarizes the results and presents theconclusions.

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## 90 2. Model, Data, and Methodology

# 91 2.1 Algorithm for Land Use Projection

The LandPro algorithm is developed based on the equilibrium between future demand and supply of food at the country level. In the application to the West African Sahel and Guinea Coast regions, 14 countries are included: Benin, Burkina Faso, Gambia, Ghana, Guinea, Guinea-Bissau, lvory Coast, Liberia Mali, Niger, Nigeria, Senegal, Sierra Leone and Togo. The spatially explicit model, at a resolution of 0.5°, treats each country separately to calculate the gap between future demand of a particular crop and its supply from the local production based on future yield of the crop and the respective present-day crop area at each pixel within the country.

99 
$$D_{ij} = G_{ij} - \sum_{k=1}^{n} y_{ijk} a_{ijk}$$
 (1)

where,  $D_{ij}$  is the future deficit for crop j in country i,  $G_{ij}$  is the future demand,  $y_{ijk}$  is future yield of crop j at pixel k and  $a_{ijk}$  is present-day area allotted for crop j at pixel k in country i with nnumber of 0.5° pixels.

103 The model is developed based on the assumption that agricultural land use will be 104 prioritized over natural land use/land cover types to satisfy increased food demand in future 105 decades. Therefore, the deficit will be overcome by means of increasing local production through 106 the expansion of cropland at the expense of existing natural vegetation. Several rules are set to

107	goverr	the conversion from naturally vegetated land to cropland, and multiple scenarios of
108	decisio	on making are considered. For example, in the best scenario of future land use with science-
109	inform	ed decision-making:
110	1)	Forest is preferred over grassland in making new land for crops, due to its generally more
111		fertile soil and the need to use grassland for pasture.
112	2)	If the forest area within a country is completely exhausted and crop deficit still remains,
113		the grass area will be used for conversion to cropland.
114	3)	For multiple grid cells having the same type of natural vegetation, areas in grid cells with
115		higher yield in future climate for a given crop will be used to cultivate that particular crop
116		before acquiring land from the next most productive grid cell, i.e., the order of land
117		conversion follows the descending order of crop yield across grid cells within a particular
118		country.
119	4)	Naturally vegetated land is converted and allocated to crops following the descending
120		order of crop deficit in a particular country. That is, the crop with the largest remaining

121 gap between demand and production will be prioritized first.

The best scenario implies the minimum crop area expansion at the expense of natural vegetation. Several alternative scenarios are constructed to test the sensitivity of the land use projection results by altering one or multiple rules listed above. For example, a worst scenario implying the maximum crop area expansion involves reversing the order mentioned in rule 3 and rule 4, and several intermediate scenarios represent different degrees of randomness in the decision making related to the rules.

The  $y_{ijk}$  in equation 1 is derived using the process-based crop model Decision Support System 128 129 for Agrotechnology Transfer (DSSAT) (Jones et al. 2003). Future yield projected by the DSSAT are 130 scaled by three factors. First, like any process-based model, outputs from the DSSAT associate 131 with some bias. The ratio of the DSSAT-simulated present-day yield to a reference present-day 132 yield dataset is used to correct the bias in the DSSAT-simulated future crop yield. Second, 133 although the land use allocation model can account for any number of crops, sometimes due to 134 data limitation or other reasons, only a subset of crops are considered. For example, instead of 135 exhausting all crops existing, for simplicity, we consider in this study only five major crops in West 136 Africa - maize, sorghum, millet, cassava and peanut. These crops were chosen for their large 137 present-day harvest area and high economic value in the region (Ahmed et al. 2015). To indirectly 138 account for the existence of other crops ("minor crops"), the DSSAT-simulated future yield for 139 major crops were scaled down using the ratio between major-crop harvesting area and all-crop 140 harvesting area. In addition, mixed cropping systems commonly seen in West Africa are difficult 141 to model explicitly. To indirectly account for the impact of mixed crops, a third factor, the ratio 142 of total harvest area to the total area of physical land for crops, is used to scale up the DSSAT-143 simulated future crop yield. These can be summarized as follows:

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$$y_{ijk} = y'_{DSSAT, ijk} * \frac{y_{SPAM, ijk}}{y_{DSSAT, ijk}} * \frac{A_{M, ik}}{A_{H, ik}} * \frac{A_{H, ik}}{A_{P, ik}}$$
(2)

where,  $y_{ijk}$  is the factored future yield,  $y'_{DSSAT,ijk}$  is the DSSAT future yield,  $y_{DSSAT,ijk}$  is the DSSAT present-day yield,  $y_{SPAM,ijk}$  is the present-day yield according to the Spatial Production Allocation Model (SPAM) (You and Wood, 2006, You et al. 2014),  $A_{H,ijk}$  is the total harvest area (summation of areas allocated to all the individual crops) at pixel k in country i,  $A_{P,ik}$  is the total 149 physical area (excluding water body) and  $A_{M,ik}$  is the total area allocated to the five major crops 150 chosen for this study. The mixed cropping practice, as well as the ratio of harvest areas occupied by the "major" and the "minor" crops in a particular region or country, is largely influenced by 151 152 dietary habits, and is likely to stay stable in the absence of any major shift in dietary habits. In the 153 application to the mid-century in West Africa, we assume that the scaling factors in the future 154 will be at the same level as in the present. Harvest area used here was aggregated from the SPAM 155 data which represents the geographic distribution of crop harvest areas across the globe at a 156 spatial scale of 5 min. for the year of 2005. SPAM was generated combining the Food and Agriculture Organization (FAO) national crop-specific data, population density, satellite imagery 157 158 and other datasets. Also note that brief descriptions of the reference present-day yield data and 159 the land use land cover data are provided later in section 2.4.

## 160 2.2. Projecting Future Crop Yield

161 Agricultural land use in a region depends to a large degree on crop yield which is one of the 162 essential inputs to the LandPro algorithm. In the application to West Africa, spatially distributed 163 future yields of five major crops were used as the inputs that were simulated using the DSSAT 164 version 4.5 at a spatial resolution of 0.5° across the region. The DSSAT was calibrated and run to 165 simulate future yield for the period of 2041-2059 following the methodology of Ahmed et al. 166 (2015) for cereal crops. This calibration of the cereal crop models was based on tuning of the 167 nitrogen fertilizer input, which dramatically improved the agreement between DSSAT and the 168 FAO data on the country-average crop yield. For cassava and peanut, however, the DSSAT could 169 not be calibrated satisfactorily following the same approach. Therefore, instead of calibrating the

170 model, yield values of those two crops for the future DSSAT runs were adjusted by the ratio of 171 country-level mean observed yield to the corresponding present-day mean of DSSAT-simulated 172 yield. The mean observed yield values were calculated using the FAO country-level yearly yield 173 data for 1980-1998 (FAOSTAT database). Note that these approaches, both the model calibration 174 for cereal crops based on the Ahmed et al. (2015) and the scaling of the cassava and peanut yields 175 for bias correction, focus on getting the right long-term mean of crop yields. Differences in the 176 inter-annual variability of crop yield between DSSAT and the FAO data remain, and are difficult 177 to address due to the impact of human factors as discussed in Ahmed et al. (2015). Simulated 178 future yield values from 2041 to 2059 were averaged to provide the inputs to the LandPro 179 algorithm for projecting agricultural land use in 2050.

180 The future climate data required to drive the crop model was derived by dynamically downscaling 181 the RCP8.5 climate of two general circulation models (GCMs) participating in the Coupled Model 182 Intercomparison Project phase 5 (CMIP5) (Taylor et al. 2012), the Model for Interdisciplinary 183 Research On Climate – Earth System Model (MIROC-ESM) and the National Center for 184 Atmospheric Research (NCAR) Community Earth System Model (CESM). The regional climate 185 model of Wang et al. (2015), which couples RegCM 4.3.4 (Giorgi et al. 2012) with the Community 186 Land Model version 4.5 (CLM 4.5) (Oleson et al. 2010), was used to downscale the MIROC and 187 CESM outputs to 50km, and the resulting climate was then resampled to a 0.5° grid system. The 188 dynamically downscaled climates were then bias-corrected using the Statistical Downscaling and 189 Bias Correction (SDBC) method of Ahmed et al. (2013), and the Sheffield et al. (2006) data was 190 used as the present-day climate reference in the bias-correction algorithm. We chose these two 191 GCMs because the MIROC-ESM-driven and the CCSM4-driven CLM-CN-DV model performed

better than other GCM-driven runs in capturing the present-day vegetation distribution in WestAfrica (Yu and Wang, 2014).

## 194 2.3. Projecting Future Demand for Local Production

195 Future demand for local crop supply is one of the main inputs to LandPro. Demand of crops in 196 the West African countries in future years (from 2005 to 2050) was projected using the 197 International Model for Policy Analysis of Agricultural Commodities and Trade (IMPACT) model 198 (Rosegrant et al. 2012). The IMPACT was developed at the International Food Policy Research 199 Institute (IFPRI) to investigate the supply-demand chain in the context of national food security 200 in future decades. It can be used to project the future scenarios of supply, demand and price for 201 more than 40 food commodities globally or regionally. For this study, IMPACT was run under the 202 Shared Socioeconomic Pathway-2 (SSP2), a moderate pathway characterized by historical trends 203 of economic development and medium population growth, according to IPCCC AR5. The future 204 climate data used to drive IPMACT were derived from the RCP8.5 output of four GCMs, including 205 GFDL-ESM2M, HadGEM2-ES, IPSL-CM5A-LR, and MIROC-ESM. The average of the output from 206 the four IMPACT runs was used as the input to the LandPro algorithm. Also, to project the mid-207 century land use scenario, future average of the demand during 2041-2050 was used. Note that 208 the IMPACT projections include future scenarios for both the total demand (i.e., local demand 209 assuming no international trade) and effective demand (i.e., net demand for local production 210 after considering international trade) for a specific commodity in a country. Local production may 211 satisfy the total demand partially or fully. The deficit or surplus between the total demand and 212 local production reflects the effect of international trade. For example, comparison of the timeseries of total demand and local production of maize in Nigeria as projected by IMPACT for 20052050 indicates an increasing trend for the portion of total demand to be met by international
trade during the period (Figure S1).

216 2.4. Present-Day Land Use and Crop Yield Data

217 To quantify the bias in crop yield simulated by DSSAT (equation 2), the grid-level dataset of 218 present-day yield from SPAM for the year of 2005 were used as the reference data. The present-219 day harvest area for five major crops and total physical land area at each 0.5° pixel in West Africa 220 used as inputs to LandPro were also obtained from the SPAM 2005 dataset. In addition to crop 221 area, the present-day fractional coverage of forest and grassland at each grid cell are also needed 222 to provide the initial condition for the LandPro algorithm. The fractional coverage of each of these 223 three land cover types at each grid cell was obtained from the global land surface data developed 224 by Lawrence and Chase (2007) which combined various satellite products and other datasets to 225 derive the present-day global distribution of plant functional types at a 0.05° resolution. 226 However, crop fraction in the Lawrence and Chase (2007) dataset was estimated according to 227 historical crop area data generated by Ramankutty and Foley (1999) and it shows a considerable 228 deviation from the SPAM crop fraction. Since crop area information for this study were 229 prescribed according to SPAM, the cropland coverage from Lawrence and Chase (2007) was 230 updated accordingly and the fractional coverage for forest and grassland were adjusted 231 proportionally.

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## 234 **3. Results and discussions**

235 The reduction in crop yield as a result of climate change and the increasing demand for food in 236 future years are expected to cause an increase in the agricultural land use, leading to a substantial 237 shift in land cover in West Africa as projected by the LandPro algorithm (Figure 1). The present-238 day land use distribution shows majority of the agricultural activity occurring in the eastern part 239 of West Africa and the extensive presence of forests in the southwest, especially along the coast. 240 Although grassland exists almost over the entire region, they are more dominant further inland 241 in the north. The LandPro algorithm projects further increase in crop areas in the eastern part of 242 West Africa which would result in a complete depletion of forest and grassland in future decades. 243 The western and central parts of West Africa would also experience noticeable expansion of 244 cropland. However, most of the increment would occur at the expense of forests, with generally 245 a lower degree of grassland depletion. In Nigeria, the country-average cropland fractional cover 246 is projected to increase from 39.4% to 84.5% under MIROC-driven climate and to 80.9% under 247 CESM-driven climate (Table S1). In the western part of the region along the coast, the largest 248 absolute increase in cropland coverage is projected to occur in Gambia (by 45% and 39.2% under 249 the MIROC- and CESM-driven climates respectively). Along the Gulf of Guinea, west of Nigeria, 250 Benin would also experience a large increase of cropland coverage by 37.3% (MIROC) and 40.9% 251 (CESM). In Niger, crop production is clustered only to the south since the vast northern part of 252 the country is mostly desert. Therefore, although the model projects a small change in the 253 fractional coverage of cropland averaged over the entire country, the magnitude of the projected 254 increase of agricultural land use in the south is much larger. For most countries, the LandPro 255 projections for aggregated land use change driven by the dynamically downscaled climates from

256 the two GCMs are very similar. The inter-model difference is much smaller than the inter-country 257 difference of land use changes, and much smaller than the differences caused by some human 258 decision making (as to be shown later). Several factors contribute to this remarkable similarity in 259 the LandPro-produced land use changes under the two future climate scenarios. First, climate 260 from MIROC and CESM are dynamically downscaled by the regional climate model and 261 statistically corrected for model bias, which eliminates part of the inter-model differences related 262 to model bias; as the bias-corrected future climate data were used to force the crop model 263 DSSAT, a better agreement results between the DSSAT-produced crop yields corresponding to 264 the two climate scenarios. Second, as shown later, results of our study indicate that the future 265 land use changes in this region would mostly be dominated by socioeconomic factors in the 266 region.

267 To assess the relative importance of climate and socioeconomic factors in driving the future land 268 use changes, we also conducted LandPro simulations considering only the socioeconomic 269 changes in the region and excluding the impact of climate-induced crop yield changes. In order 270 to do so, the LandPro was run with the future demand and present-day crop yield (as opposed 271 to the future yield used for the initial run) as inputs. Since the crop yield values remain 272 unchanged, outputs from this run, namely LandPro SE, reflect the impact of socioeconomic 273 changes on agricultural land use ignoring the climate-induced changes in yield (Figure 2). The 274 difference between the future changes in cropland coverage from the LandPro Total run 275 (considering both climate and socioeconomic factors) and the LandPro SE run indicate the 276 changes projected by LandPro considering only climate changes (LandPro CC). Under both the 277 MIROC-driven and CESM-driven regional climates, the socioeconomic changes tend to have a

stronger impact on future land use transition than the changes in crop yield in the eastern part of the region. In the western part near the coast, however, the impact of crop yield changes is more dominant, which can be attributed to the larger yield loss resulting from a larger future warming and drying in that part of the region (Ahmed et al. 2015). In the central part of the region, the climate-induced expansion in crop area tends to be somewhat more evident under the CESM-driven climate.

284 Food demand determined by socioeconomic factors is the most important driver for land use. 285 The land use changes shown in Figure 2 were predicted using LandPro driven by changes in the 286 net demand for local production projected by IMPACT (referred to as "Local Production" 287 experiment). To test the sensitivity of LandPro to the production demand, future changes in 288 agricultural land were also predicted using the total demand projected by IMPACT (as if there 289 would be no international trade) as the driver (referred to as the "Total Demand" experiment), 290 and using a demand that features a future increase half as fast as the projection by IMPACT 291 (referred to as the "50% Change" experiment). Spatial patterns of absolute changes in cropland 292 fractional coverage are essentially similar for both the net demand and total demand 293 experiments (Figures 3 and 4, for the MIROC- and CESM-driven climates respectively). The 294 magnitude of changes is generally larger in the case of total demand since most of the countries 295 in the region depend on import to satisfy the demands which exceed local production. The land 296 use changes are expectedly smaller for the "50% Change" experiment. However, spatial patterns 297 of the relative importance of climate change and socioeconomic changes can noticeably vary 298 according to demand scenarios. For example, under the MIROC-driven climate, to satify the total 299 demand, cropland changes in the northeast part of Nigeria (East of 10°E and North of 8°N) are

projected to be dominated by socioeconomic factors (Figure 3). In contrast, in satisfying either the net demand or 50% future changes of total demand, cropland changes in the same region would be controlled by climate-induced changes in crop yield while the impact of socioeconomic changes would be negligible. Thus, the fraction of future land use changes attributed to climate changes tends to vary spatially within a country depending on the level of future demands. However, the magnitudes and spatial patterns of the climate-induced cropland expansion across the regions for all three demand scenarios are generally similar under both climate scenarios.

307 The dependence of future land use patterns on the magnitude of demand can be 308 attributed to two factors which govern LandPro algorithm – the present-day distribution of forest 309 and grass, and the differences between present-day and future ranking of grid cells according to 310 their respective yield values. Since the LandPro scenario experimented on uses up forest area 311 over the entire country before it starts to consume grassland, grid cells with grass in the present-312 day are not converted to crop area until the demand reaches a threshold value. Therefore, with 313 present-day yield, although many grid cells dominated by grass do not experience any change in 314 land use in satisfying lower demand, they are converted to crop area when demand is higher. 315 However, with generally lower yield in future climate, those grid cells need to be converted to 316 cropland even to satisfy a lower level of demand. Furthermore, a grid cell with a lower rank for 317 present-day yield may become higher-ranked for future yield and vice versa, leading to a 318 difference in spatial variability of climate-induced land use changes for different demand values. 319 The comparison among country-average values of climate-induced land use changes for different 320 demand scenarios also highlights the uncertainty in LandPro in determining the fraction of 321 changes attributable to climatic factors (Figure 5). For a particular country, the total demand

322 would usually necessitate a larger increase in total crop area than the net demand for local 323 production, whereas the magnitude of the increase would be the lowest in the case of 50% 324 changes of the total demand. Exception can be found for export countries. The relative 325 importance of climate and socioeconomics changes as drivers of land use change and how it 326 varies spatially are relatively stable across the three simulations, with the exception of several 327 countries. For example, under the MIROC-driven climate changes, in Gambia, Senegal and Togo, 328 the climate-induced changes as a fraction oftotal changes projected by LandPro to satisfy the 329 50% increase in total demand is larger than the projected changes for the other two demand 330 scenarios. Under the CESM-driven climate, the climate-induced change in agricultural land use is 331 the largest for the "50% change" experiment in the case of Burkina Faso as well.

332 The LandPro algorithm explicitly considers multiple scenarios of human decision-making (as reflected by the order of land conversion in rule 3 and rule 4 mentioned in section 2.3), which 333 334 is a major source of uncertainty in projected future land use changes. To assess such 335 uncertainties, we evaluated whether human decision regarding agricultural land use optimization 336 can influence the future land use change in West Africa based on alternative decision scenarios. 337 In agricultural expansion, the selection of areas to cultivate from naturally vegetated land is one 338 major uncertainty in human decision-making for land use. Therefore, apart from the best 339 scenario simulated by the initial run, two alternative projections of future land use distribution, 340 including the worst scenario and an intermediate scenario, were conducted by altering the order 341 of crop area selection based on future crop yield in rule 3. The worst scenario assumes that the 342 conversion from natural vegetation to cropland by farmers follows the ascending order of crop 343 yield, while the selection is random for the intermediate scenario. Comparison of these

344 alternative scenarios with the best scenario reveals noticeable differences, with both alternative 345 scenarios generally involving more cropland (Figure 6). The cropland expansion is minimized if 346 farmers utilize the areas with higher future yield first before engaging the less productive land, 347 whereas the opposite approach would maximize the amount of cropland usage (Table S2, using 348 MIROC as example). The difference among multiple future scenarios of agricultural land use, 349 which depends on the farmers' decision regarding the selection of crop area, implies an adaptive 350 potential to minimize the conversion of naturally vegetated land based on appropriate 351 knowledge of future crop yield. We also performed sensitivity analysis of LandPro projections to 352 input demand (as shown in Figures 3 and 4) in the case of worst scenario of agricultural land use 353 regarding the order of crop area selection. With the alternative cropping order, the relative 354 importance of climate and socioeconomic factors as land use drivers considerably changes in 355 many parts of the region for all the demand scenarios (Figure S2, using MIROC as example). This 356 implies that land use decision-making can make a significant in determining future agricultural 357 land use changes.

358 Prioritization of the crops by farmers with respect to the sequence of land allocation in a 359 particular country reflects another uncertainty related to human decision-making. For the best 360 scenario run, the land was allocated to the crops according to the descending order of future 361 crop deficits as stated in rule 4. Several alternative scenarios were examined with LandPro. In 362 alternative 1, the prioritization in rule 4 follows the ascending order of deficits in each country; 363 in alternative 2, in all of the countries, the priority for land allocation was given to the cereal 364 crops first (maize, sorghum and millet) followed by cassava and peanut; in alternative 3, the 365 reverse order of alternative 2 is used. Under the MIROC-driven climate, spatial maps of crop area

366 distribution from the multiple alternative runs indicate that prioritization of the crops as a land 367 use optimization technique would have little impact on the projected future land use land cover 368 changes (Figure 7). The difference in country-average cropland fractional coverage from different 369 runs is negligible as compared to the absolute magnitude in a particular country (Table S3). The 370 results are qualitatively similar for the projections based on the CESM-driven climate changes. 371 We also tested the sensitivity of LandPro projections to the assumption that forest would be 372 totally exhausted before using grasslands for crop area expansion (rule 1 and 2), by employing LandPro to project the future cropland expansion preferring grassland over forest (Figure 8, using 373 374 MIROC as an example). Some differences between the two scenarios are noticeable but are 375 mostly small, indicating a low level of sensitivity of the model to this assumption. Overall, based 376 on results from all sensitivity experiments, the LandPro-projected future cropland expansion is 377 most sensitive to the demand input and the order of land selection for agricultural expansion.

378 As an inter-comparison with others' results, we compared the LandPro projections with the crop 379 area distribution in 2050 projected by Hurtt et al. (2011, henceforth H11) data. H11 projected 380 future (2005-2100) land use scenarios following four Representative Concentration Pathways 381 (RCPs) according to the Fifth Assessment Report (AR5) of the Intergovernmental panel on Climate 382 Change (IPCC), and created a unique grid-level dataset for both the historical land use and future 383 carbon-climate scenarios. However, the impact of future climate changes on land use and land 384 cover changes was not explicitly accounted for. Therefore, the future change in crop area 385 according to the H11 data is conceptually comparable to our LandPro SE projection. The 386 comparison shows that the increase in croplands projected by LandPro SE is substantially higher, 387 especially in the agriculture-dominated eastern part of the region (Figure 9). The changes in land

388 use from one type to another between two time steps according to Hurtt et al. (2011) significantly 389 depends on the probability of particular types of land use changes in previous time steps. 390 However, in the application of LandPro in this study, the future crop area expansion was 391 projected between two time slices, which are several decades apart, without considering the 392 transient processes in land use dynamics. Although noticeable differences exist also in the spatial 393 patterns projected by the two data sets, both projections show consensus with larger increase in 394 the southeastern part of the region. The challenges and uncertainty in quantifying land use are 395 also reflected by the differences in the present-day cropland coverage between SPAM and H11. 396 For the present-day land use distribution in 2005, the two data sets exhibit noticeable 397 discrepancy over the region dominated by agriculture. This highlights the typical inconsistency 398 between land use maps generated by different methodologies (You et al. 2014).

399

# 400 **4. Summary and conclusions**

401 An algorithm for land use and land cover change projection (LandPro) was developed to study 402 the future expansion of cropland and the resulting loss of naturally vegetated land, and was applied to West Africa as a case study. LandPro integrates the impact of climate change on crop 403 404 yield and future socioeconomic scenarios to construct a spatially gridded land cover map, and a 405 spatial scale of 0.5° is used in the case study. Without accounting for the farmers' adaptive 406 potential to address the negative impact of future warming and changes in precipitation pattern 407 on crop productivity (such as use of irrigation, fertilizer and other crop management techniques), 408 the model projects a large increase in agricultural land use under the future climate scenario. The

409 increase in cropland would occur at the expense of natural vegetation cover, both of which could 410 further modify the regional climate. Not considering the farmers adaptive potential and the 411 technological advancements (which could reduce the rate of cropland expansion by increasing 412 yield) is one of the limitation of this study. However, in Sub-Saharan Africa, more than 80% of the 413 agricultural growth since 1980 was attributed to crop area expansion as opposed to increase of 414 productivity over already existing cropland (The World Bank, 2008). Considering the vulnerability 415 of agricultural infrastructures in the region, despite the potential scope of improving yield to 416 minimize land use change, addition of new crop area is likely to be a prevailing strategy for 417 agricultural growth in the near future.

418 Multiple possible adaptive measures by the farmers to minimize the agricultural expansion were 419 also analyzed addressing the uncertainties involved in human decision-making process. Although 420 prioritization among the crops in allocating the available land for their cultivation might have no 421 or minimal impact in optimizing agricultural land use, a specific order of selecting cultivation area 422 based on future crop yield might effectively reduce the total loss of naturally vegetated land. The 423 effect of farmers' adaptive actions characterized by their decision-making based on scientific 424 information suggests the significance of farmers' adaptive potential on future land use change 425 dynamics in the region, and emphasizes the need for more effective adaptation strategies to slow 426 down the regional land use expansion under future climate scenarios.

We would like to point out that the spatial scale of 0.5 degree is too coarse to simulate cropping
patterns in each individual farm. It is extremely difficult, if not impossible, to capture the farmers'
decision-making at individual farm level for a large region. While many existing land use models,

430 applicable at much smaller scale, are capable of simulating the farm-level changes, they do not 431 address the need of climate models for land use change information at the regional scale. This 432 study attempts to address the climate model needs and simulate the land use-climate 433 interactions at the regional scale, and to facilitate national-level policymaking in devising 434 strategic framework to assess the potential impact of climate and socioeconomic factors on 435 future land use. The focus therefore is not on developing a land use model capable of analyzing 436 and projecting cropping pattern in each individual farm. Instead, we are interested in the long-437 term aggregated outcome, assuming that all farmers will eventually adapt to the climate-induced 438 changes in crop yields by adjusting the agricultural land use practice. Therefore, the algorithm assumes similar science-informed decision-making by all the farmers under a particular pixel. 439

440 Our results also indicate spatial heterogeneity of land use change dynamics which can be dominated by different controlling factors in different parts of West Africa. Climate change 441 442 impact on crop yield would considerably vary across the region resulting in large variability in the 443 spatial pattern of future yield loss. While land use changes could be dominated by the projected yield loss in some parts of the region, the projected increase in food demand would be of greater 444 445 importance in land use dynamics in other regions. However, future projections from LandPro 446 imply that farmers' decision-making can alter the relative importance of different factors in 447 driving future land use changes. Therefore, although LandPro demonstrated robustness to 448 multiple future climate scenarios, the projection from the model can be more sensitive to other 449 future scenarios of supply and demand for food. Despite the fact that the IMPACT model was run 450 for multiple climate and socioeconomic scenarios in projecting the future demand, the 451 uncertainties involved in the IMPACT projection can potentially be a limitation of this study. Apart 452 from the uncertainties involved in the model setup, not considering any historical trend in land453 use transitions is another limitation of this study.

454 The LandPro algorithm provides a preliminary framework for the projection and analysis of future 455 agricultural land use. LandPro offers two clear advantages. It provides spatially distributed land 456 use information needed by climate models as the lower boundary condition; it can also be 457 conveniently used for future land use information at the individual crop level that is needed for 458 national and regional land use and food security policy analysis. The algorithm can and will be 459 further developed to overcome existing limitations pointed out earlier. In this study, we 460 employed LandPro in equilibrium mode to evaluate the changes in land use between two time 461 slices, which are several decades apart, without considering the transient processes in land use 462 dynamics. Applying LandPro in transient mode (which necessitates performing the crop modeling and the regional climate modeling in a transient mode as well) is a topic of our follow up study. 463

464

## 465 Author contributions

K.F.A. and G.W. designed the study, analyzed the results and wrote the paper, with input fromL.Y. and M.Y.. L.Y. and M.Y. also provided data.

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664 Figure Captions

Figure 1: Spatial distribution of cropland, forest and grass coverage (%) in 14 West African
 countries from present-day (year 2005) observation (top row) and future projections by the
 LandPro for mid-21<sup>st</sup> century under regional climates driven with two GCMs: MIROC (middle row)
 and CESM (bottom row).

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Figure 2: Future changes in crop area distribution projected by LandPro: total changes
(LandPro\_Total), changes because of socioeconomic changes (LandPro\_SE) and changes because
of climate change (LandPro\_CC) in West Africa under the MIROC-driven and CESM-driven future
climates.

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Figure 3: Land use changes projected by LandPro assuming three different levels of future
 demand, under the MIROC-driven regional climate. 1<sup>st</sup> row: absolute magnitude of total land use
 changes; 2<sup>nd</sup> row: changes due to socioeconomic factors; 3<sup>rd</sup> row: changes due to climatic factors;
 4<sup>th</sup> row: climate-induced change as a fraction of total change.

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Figure 4: Similar to Figure 3, but for CESM-driven climate. (Note that the SE-induced changes inFigure 3 and Figure 4 are same).

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**Figure 5:** Country-average values of total changes in cropland coverage (top) and climate-induced changes as a fraction of total changes (bottom) according to three future scenarios of demand under the MIROC- and the CESM-driven regional climate.

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**Figure 6:** Future crop area percentage (1st and 3rd rows) in West Africa (under the MIROC- and CESM-driven regional climates) projected by the LandPro algorithm following two alternative scenarios of selecting grid cells for conversion to agricultural land based on the order of yield, and their respective differences relative to the initial run (best scenario) that follows the descending order of yield (2nd and 4<sup>th</sup> rows). Alternative scenario 01: ascending order of yield; alternative scenario 2: random order.

Figure 7: Future crop area coverage (%) in the West Africa as projected by the LandPro algorithm
under the MIROC-driven climate, following four different ranks of prioritizing crops in land
allocation: Rank 1, descending order of country-level crop deficit (initial run); Rank 2, ascending
order of country-level crop deficit; Rank 3, maize, sorghum, millet, cassava, peanut; Rank 4,
peanut, cassava, millet, sorghum, maize.

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Figure 8: Future crop area coverage (%) in the West Africa as projected by the LandPro algorithm
 under the MIROC-driven regional climate, based on the future scenario where forest is preferred
 over grass for crop area expansion (as shown in Figure 1) and the alternate scenario where grass
 is preferred over forest, and the differences between the two.

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**Figure 9:** Future changes in crop area distribution, from the LandPro projections accounting for only socioeconomic changes (LandPro-SE) and from the Hurtt et al. data, and their differences (top row); the present-day (2005) crop area, from SPAM and from Hurtt et al. data, and their differences (bottom row).

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Figure 1: Spatial distribution of cropland, forest and grass coverage (%) in 14 West African
 countries from present-day (year 2005) observation (top row) and future projections by the
 LandPro for mid-21<sup>st</sup> century under regional climates driven with two GCMs: MIROC (middle row)
 and CESM (bottom row).



Figure 2: Future changes in crop area distribution projected by LandPro: total changes
 (LandPro\_Total), changes because of socioeconomic changes (LandPro\_SE) and changes because
 of climate change (LandPro\_CC) in West Africa under the MIROC-driven and CESM-driven future
 climates.



Figure 3: Land use changes projected by LandPro assuming three different levels of future demand, under the MIROC-driven regional climate. 1<sup>st</sup> row: absolute magnitude of total land use changes; 2<sup>nd</sup> row: changes due to socioeconomic factors; 3<sup>rd</sup> row: changes due to climatic factors; 4<sup>th</sup> row: climate-induced change as a fraction of total change.



Figure 4: Similar to Figure 3, but for CESM-driven climate. (Note that the SE-induced changes inFigure 3 and Figure 4 are same).



Figure 5: Country-average values of total changes in cropland coverage (top) and climate-induced
 changes as a fraction of total changes (bottom) according to three future scenarios of demand

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750	under the MIROC- and the	CESM-driven	regional	climate.



**Figure 6:** Future crop area percentage (1st and 3rd rows) in West Africa (under the MIROC- and CESM-driven regional climates) projected by the LandPro algorithm following two alternative scenarios of selecting grid cells for conversion to agricultural land based on the order of yield, and their respective differences relative to the initial run (best scenario) that follows the descending order of yield (2nd and 4<sup>th</sup> rows). Alternative scenario 01: ascending order of yield; alternative scenario 2: random order.



**Figure 7:** Future crop area coverage (%) in the West Africa as projected by the LandPro algorithm under the MIROC-driven climate, following four different ranks of prioritizing crops in land allocation: Rank 1, descending order of country-level crop deficit (initial run); Rank 2, ascending order of country-level crop deficit; Rank 3, maize, sorghum, millet, cassava, peanut; Rank 4, peanut, cassava, millet, sorghum, maize.

763

770



**Figure 8:** Future crop area coverage (%) in the West Africa as projected by the LandPro algorithm

- under the MIROC-driven regional climate, based on the future scenario where forest is preferred
   over grass for crop area expansion (as shown in Figure 1) and the alternate scenario where grass
- is preferred over forest, and the differences between the two.

778



Figure 9: Future changes in crop area distribution, from the LandPro projections accounting for
only socioeconomic changes (LandPro-SE) and from the Hurtt et al. data, and their differences
(top row); the present-day (2005) crop area, from SPAM and from Hurtt et al. (data, and their
differences (bottom row).