

***Final author's response to the interactive  
comments on “Global and regional  
effects of land-use change on climate in  
21st century simulations with interactive  
carbon cycle”***

*by L. R. Boysen et al.*

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## Final response to the interactive comments of B. van den Hurk (Referee)

In the revised version of our manuscript, we carefully considered the comments of the referee. These constructive remarks helped to improve the quality of the manuscript.

5 Below you will find the detailed updated reply to each of the comments and the revised manuscript with edited parts marked in red color. Line numbers in the reply refer to the manuscript with markers since two small comments are included which shift the original line numbers. We also updated the figures in the manuscript and supplementary material for improved readability. We hope that our answers will meet your expectations.

10 We would kindly ask the typesetting team to make Figure 2 and 3 bigger in the text as required in the last point by the referee.

Of course, we are ready to account for new comments from the editor or reviewer.

15 With kind regards,  
Lena R. Boysen

## List of comments & Reply:

- 5
- In the abstract, read before reading the whole manuscript, some confusion is raised when first displaying numbers of land carbon loss followed by the land carbon gains due to the CO<sub>2</sub>-fertilization. I think it would be useful to give a single-sentence explanation on that you try to disentangle the different relevant processes, coming to carbon pool changes that can mutually compensate.

**Reply:** We added the following sentence to embed our results better into the abstract:  
10 *Line 17: “Modifications of land carbon storages by LULCC are disentangled in accordance with processes that can lead to increases and decreases in carbon storages.”*

- P446-L5: Also positive feedbacks could be reduced when the carbon pools are smaller, I would assume.

**Reply:** The land carbon source could indeed also be decreased under LULCC (due to management and replacement of natural vegetation) and with it the positive feedbacks.

15 We changed the sentence as follows:

*Line 81: “However, LULCC reduces the size of the land carbon sink and sources and thus may reduce these climate feedback effects.”*

- -L18: swap “both” and “,”

**Reply:** Line 99: Done.

- P447: Somewhere here I would appreciate the explicit notion that Brovkin's experiment is in fact L2A.

**Reply:** This is done on P448 L20 where we explicitly introduce the simulations RCP and L2A with the reference to Brovkin et al., 2013. We therefore did not change the text.

- P449-L17: Rephrase as "...by LULCC which thus affects..."

**Reply:** Line 216: Done.

- P450-L12: Suggest to include the temperature change over the 21st century in table 4, to support the percentages mentioned here

**Reply:** Line 243 and 247: This is a good idea which is now included into the table 4, first column. The temperature increase for L1B (fossil fuel forcing only) relative to 2006 is 3.02K (MPI), 4.73K (MIR) and 3.6K (CAN).

Additionally: The increase is 8% for MPI-ESM (and not 9% as stated wrongly in the text). This is now corrected (line 246).

- -L17: Why would vegetation cover changes have an effect on the BGC effects, which are not bound to any location due to the well mixing.

**Reply:** That is true. However, the conversion of vegetation leads to modification of local BGC-induced temperature signals. For example, we found a warming in all models in

Australia where trees have been replaced by pastures (Fig. 1b). We added to the text: *Line 255: “The modification of local BGC-induced temperature signals leads, for example, to a warming in all models in Australia where trees have been replaced by pastures (Fig. 1b).”*

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- P451-L17: Pitman et al (2009) noted that IPSL also showed warming in the extra-tropics, due to particular assumptions in the seasonality of LAI for crops.

**Reply:** We added a sentence (in line 292) “Note that the IPSL model also showed warming in the extratropics, due to particular assumptions in the seasonality of leaf area index (LAI) for crops (Pitman et al., 2009).”

- 10
- P452-L18: Insert “for the global land area” before “the models coherently: : :”

**Reply:** Line 335: The sentence has been modified as suggested.

- -L22: Some discussion on which pasture properties actually show that it is important to include them would be welcome here. What are pastures different from grasslands, for instance?

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- Reply:** In most ESMs, pastures are simulated with the characteristics of grassland but protected from natural hazards such as fires. Here, we point out that the exclusion of land cover transformations to pastures/grasslands (e.g. as in CAN) may lead to underestimated BGP cooling effects (see table S1). We added to the text: *Line 345: “(for more detailed model descriptions see Supplement Table S1).”*

- P454-L4: A reference to fig 3a is given but there is no fig 3b.

**Reply:** Line 395: Of course this reference is corrected to Fig. 3!

- P457-L15: Replace “no” by “not”

**Reply:** Line 528: Done.

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- Table 5: Somewhere in the text the current mass of atmospheric carbon could be given to form a baseline to compare this TRCE to the climate sensitivity defined by the temperature change after doubling the amount of atmospheric CO<sub>2</sub>.

**Reply:** We added in the text that the conversion factor for CO<sub>2</sub> is 2.12 PgC/ppm:  
Line 365: *“Note, that the conversion factor from atmospheric CO<sub>2</sub> concentration to atmospheric carbon storages is 2.12 PgC ppm<sup>-1</sup>.”*

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- Fig 3: can be printed a bit bigger for clarity

**Reply:** We kindly ask the typesetting team to increase Fig. 3 (and probably also Fig. 2) appropriately.

# Global and regional effects of land-use change on climate in 21st century simulations with interactive carbon cycle

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**Abstract.** Biogeophysical (BGP) and biogeochemical (BGC) effects of land-use and land cover change (LULCC) are separated at the global and regional scales in new interactive CO<sub>2</sub> simulations for the 21st century. Results from four Earth System models (ESMs) are analyzed for the future RCP8.5 scenario from simulations with and without land-use and land cover change (LULCC) contributing to the Land-Use and Climate, IDentification of robust impacts (LUCID) project. Over the period, 2006–2100, LULCC causes the atmospheric CO<sub>2</sub> concentration to increase by 12, 22, and 66 ppm in CanESM2, MIROC-ESM, and MPI-ESM-LR, respectively. Statistically significant changes in global near-surface temperature are found in three models with a BGC-induced global mean annual warming between 0.07 and 0.23 K. BGP-induced responses are simulated by three models in areas of intense LULCC of varying sign and magnitude (between –0.47 and 0.10 K). **Modifications of land carbon storages by LULCC are disentangled in accordance with processes that can lead to increases and decreases in carbon storages.** Global land carbon losses due to LULCC are simulated by all models: 218, 57, 35 and 34 Gt C by MPI-ESM-LR, MIROC-ESM, IPSL-CM5A-LR and CanESM2, respectively. On the contrary, the CO<sub>2</sub>-fertilization effect caused by elevated atmospheric CO<sub>2</sub> concentrations due to LULCC leads to a land carbon gain of 39 Gt C in MPI-ESM-LR and is almost negligible in the other models. A substantial part of the spread in models' responses to LULCC is attributed to the differences in implementation of LULCC (e.g. whether pastures or crops are simulated explicitly) and the simulation of specific processes. Simple idealized experiments with clear

protocols for implementing LULCC in ESMs are needed to increase the understanding of model responses and the statistical significance of results, especially, when analyzing the regional-scale impacts of LULCC.

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## 1 Introduction

About one-third of the global land surface has already been altered by land-use and land cover changes (LULCC) (Vitousek et al., 1997) primarily through deforestation and replacement of natural vegetation with cropland and pastures (Hurt et al., 2009; Ellis, 2011). The impacts of past, present and potential future LULCC on climate and the carbon cycle have been addressed in a number of recent studies (Matthews et al., 2004; Brovkin et al., 2004, 2013; Sitch et al., 2005; Shevliakova et al., 2009; Pongratz et al., 2010). The climatic consequences of LULCC can be expressed in terms of its biogeophysical (BGP) and biogeochemical (BGC) effects. BGP effects account for alterations of physical land surface characteristics such as changes in albedo and roughness length which in turn affect regional boundary layer dynamics and land–atmosphere exchange of energy and water fluxes. For example, a local cooling may occur due to increased surface albedo and the seasonal snow-masking effect when forest are replaced by croplands in mid- to high latitudes (Claussen et al., 2001). However, a reduction in latent heat fluxes in tropical regions associated with a similar change in land cover may result in a warming (Davin and de Noblet Ducoudré, 2010; Brovkin et al., 2009) and decreases in cloud cover (Werth and Avissar, 2002). BGC effects alter the atmospheric greenhouse gas (GHG) composition which then affects the climate at the global scale. Over the historical period, LULCC-associated CO<sub>2</sub> emissions have increased atmospheric CO<sub>2</sub> concentration by 15–20 ppm (Matthews et al., 2004; Brovkin et al., 2004; Pongratz et al., 2010; Arora and Boer, 2010) and Shevliakova et al. (2013) even estimates a contribution of 43 ppm. The resulting global BGC warming effects may counteract regional BGP cooling effects of LULCC but may also intensify local temperature increases depending on the geographical location (Pongratz et al., 2011, 2009; Bathiany et al., 2010; Bala et al., 2007). Furthermore, LULCC affects land–atmosphere feedbacks which are triggered by changes in climate and atmospheric CO<sub>2</sub> concentration: the carbon-temperature feedback and the carbon-concentration feedback may act in opposite directions (Arora et al., 2013). The first one can either be a negative climate feedback due to increased plant productivity or a positive climate feedback as a result of enhanced heterotrophic respiration of soils in a warmer climate (Arneeth et al., 2010; Bonan, 2008; Friedlingstein et al., 2006). The second one is a negative climate feedback due to the CO<sub>2</sub>-fertilization effect of the vegetation. **However, LULCC reduces the size of the land carbon sink and sources and thus may reduce these climate feedback effects.**

The Land-Use and Climate, IDentification of robust impacts (LUCID) project is devoted to the detection of the impacts of LULCC on climate. Several studies have found robust climate signals associated with LULCC. Pitman et al. (2009), for example, showed that LULCC can affect latent and sensible heat fluxes, albedo and near-surface tempera-

tures in atmospheric general circulation models (AGCMs) with prescribed SSTs. Pitman et al. (2012) revealed changes in temperature extremes and Van der Molen et al. (2011) emphasized the latitudinal-dependent importance of cloud feedbacks in the context of climatic consequences of LULCC. Brovkin et al. (2013) found small regional impacts on albedo, available energy, near-surface temperature and land carbon storage by analyzing the output of six Earth System model simulations for the 21st century with prescribed CO<sub>2</sub> concentrations. However, large uncertainties remain, **both** in the sign and magnitude of BGP and BGC effects due to differences in model parameterizations and assumptions regarding the underlying processes. These mechanisms were investigated in detail, for example, by Boisier et al. (2012). Reducing the uncertainty associated with BGC and BGP effects of LULCC is one of the challenges for climate and Earth System modelers. Previous LUCID studies focused exclusively on BGP effects of LULCC with the exception of Brovkin et al. (2013), who compared BGP with BGC effects. However, their analysis, relying solely on simulations with prescribed CO<sub>2</sub>, was restricted to changes in land carbon storage and first-order approximations of the consequences for global mean temperature. A consistent multi-model comparison of explicitly calculated BGP and BGC effects in terms of relevance for key climate variables is yet missing – a gap to be filled by the present study.

We use simulations for the 21st century following a specified emission-driven scenario called ESMRCP8.5 (Moss et al., 2010) which was carried out by four Earth System models participating in the fifth coupled model intercomparison project (CMIP5, Taylor et al., 2012). This scenario, provided by the integrated assessment model (IAM) MESSAGE (Riahi et al., 2011), includes spatially explicit LULCC patterns which reflect the expansion of crop and pasture land required to meet the increasing food demand of a growing world population. This scenario yields a total anthropogenic radiative forcing of about 8.5 W m<sup>-2</sup> in 2100. For the contribution to the LUCID project, the four climate modeling groups performed two additional ESMRCP8.5 simulations in which land cover was held constant at its year 2005 state, once with CO<sub>2</sub> concentrations calculated interactively and once with prescribed CO<sub>2</sub> concentrations from the ESMRCP8.5 simulation (see Table 1). This new approach uses the differences between the standard ESMRCP8.5 and the additional simulations to directly quantify the climatic consequences of regional BGP effects in comparison to the global BGC effects of LULCC on future climate. Thereby, we can also analyze the effect of interactively calculated CO<sub>2</sub> concentrations on land carbon pools and their contribution to temperature changes in contrast to estimated temperature changes from land carbon losses as it is usually done (Brovkin et al., 2013; Gillett et al., 2013). Finally, we identify major uncertainties arising in this multi-model approach.



## 2 Methods

Results from the ESMRCP8.5 simulations are used from four  
 145 ESMS: MPI-ESM-LR (Giorgetta et al., 2013; Reick et al.,  
 2000 2013), MIROC-ESM (Watanabe et al., 2011), IPSL-CM5A-  
 LR (Dufresne et al., 2013) and CanESM2 (Arora et al.,  
 2011). Hereafter, the models are referred to as MPI, MIR,  
 150 IPSL and CAN model, respectively. For the year 2006, MPI,  
 MIR and CAN simulate 375, 387, and 386 ppm, respec-  
 205 tively (no values for IPSL available), which compare well  
 with the observed value of 382 ppm (Keeling et al., 2009)  
 and close to the prescribed CO<sub>2</sub> concentration of RCP8.5  
 with 377 ppm (for detailed benchmarking of these models,  
 155 see Anav et al., 2013). The impacts of LULCC on climate  
 and land–atmosphere fluxes of carbon are examined by dif-  
 ferencing model simulations with and without LULCC. To  
 210 distinguish BGP and BGC effects, three simulation set-ups  
 between the years 2006 and 2100 are used (Table 1): ESM-  
 RCP8.5 includes all RCP8.5 forcings with CO<sub>2</sub> freely ex-  
 160 changed between the land, the ocean and the atmosphere  
 components (i.e. CO<sub>2</sub> is simulated interactively; hereafter  
 ESM simulation and  $T_{LULCC}^{eCO_2}$  for resulting near-surface tem-  
 peratures and  $C_{LULCC}^{eCO_2}$  for simulated land carbon content  
 165 in year 2100). The L1A simulation uses land cover corre-  
 sponding to year 2005 and prescribes atmospheric CO<sub>2</sub> con-  
 centration taken from the ESM simulation ( $T_{no\ LULCC}^{eCO_2}$  and  
 $C_{no\ LULCC}^{eCO_2}$ ). The L1B simulations also neglect LULCC but  
 215 CO<sub>2</sub> is interactively simulated ( $T_{no\ LULCC}^{eCO_2}$  and  $C_{no\ LULCC}^{eCO_2}$ ). In  
 170 general, the same terminology holds for the land carbon con-  
 tent  $C$ ; however, changes in carbon pools due to BGP ef-  
 fects of LULCC are not separated by the ESM-L1A differ-  
 ence from the direct LULCC effects (deforestation, replace-  
 225 ment of natural vegetation and regrowth), and are thus la-  
 beled  $\Delta C_{\Delta LULCC}$ . The difference between ESM and L1A  
 simulations therefore yields the BGP effects of LULCC on  
 climate ( $\Delta T_{BGP}$ ). The difference of L1A and L1B simu-  
 lations yields the BGC effects ( $\Delta T_{BGC}$ ). Finally, the difference  
 230 between ESM and L1B simulations yields the net effect of  
 LULCC on climate ( $\Delta T_{net}$ ) including all feedbacks (Table 2).

Additionally, BGP effects in our simulations with interac-  
 tively simulated CO<sub>2</sub> are compared to BGP effects in simu-  
 lations with prescribed CO<sub>2</sub> concentrations calculated from  
 the difference of RCP8.5 and L2A simulations (hereafter,  
 185 RCP simulation and  $\Delta T_{BGP}^{RCP}$ ) with prescribed CO<sub>2</sub> con-  
 centrations (Brovkin et al., 2013). [Nothing changed here! The  
 reply can be found in the reply to the referee on this point.]

The land-use change information was adapted from the  
 land-use harmonization project by Hurtt et al. (2011). Al-  
 190 though common land-use information were provided to all  
 modeling groups, vegetation dynamics, land surface schemes  
 and parameterizations differ substantially among the models  
 leading to different changes in vegetation cover (Supplement  
 Fig. S1). Details about participating models can be found in  
 195 the Supplement Fig. S1 and Table S1 as well as in Brovkin

et al. (2013). It needs to be noted that none of the participat-  
 ing models simulated plant growth with respect to nitrogen  
 and phosphorus limitation and thus, land carbon uptakes by  
 the biosphere and LULCC emissions might be overestimated  
 (Goll et al., 2012).

Statistical methods were applied to test the significance  
 of results. The modified Student's  $t$  test was used which  
 accounts for temporal autocorrelation (Zwiers et al., 1995;  
 Findell et al., 2006) and removes linear trends for the averag-  
 ing period of 2071–2100 caused by a strong CO<sub>2</sub> forcing. In  
 the case of CAN, the average over three ensemble members  
 is calculated. Since CAN did not perform L1A runs, BGP  
 effects were estimated by the difference of RCP and L2A  
 simulations for this model from Brovkin et al. (2013).

## 3 Results and discussion

### 3.1 Effects of LULCC on the atmospheric CO<sub>2</sub> concentration and on near-surface temperatures

#### 3.1.1 Changes in atmospheric CO<sub>2</sub> concentrations

The exchange of carbon between the land and the atmosphere  
 via plant and soil processes is modified by LULCC which  
 thus affects atmospheric CO<sub>2</sub> concentrations. CO<sub>2</sub> concen-  
 trations for interactive CO<sub>2</sub> simulations with and without  
 LULCC are listed in Table 3 for MPI, CAN and MIR for  
 the year 2100 (no data available for IPSL). All models show  
 higher CO<sub>2</sub> concentrations in the ESM simulations at 2100  
 (951 to 1134 ppm) than the MESSAGE model (926 ppm)  
 upon which the RCP scenario is based. This is likely due to  
 the underestimation of feedback mechanisms in IAMs rela-  
 tive to Earth System models (Jones et al., 2013). The con-  
 tribution of LULCC emissions is given by the difference be-  
 215 tween simulations with and without LULCC (CO<sub>2</sub>  $\Delta_{LULCC}$ )  
 (Table 3; transient evolution of changes in Supplement  
 Fig. S2). It is greatest for MPI and smallest for CAN which  
 is also reflected and discussed in the changes of land carbon  
 stocks in Sect. 3.3. Carbon emissions from LULCC enhance  
 atmospheric CO<sub>2</sub> concentration above those due to fossil-  
 fuel emissions by 7 % in MPI compared to only 1 and 2 % in  
 CAN and MIR, respectively.

#### 3.1.2 Biogeochemical effects on climate

Changes in the atmospheric GHG composition due to  
 LULCC affect climate on the global scale. Global mean near-  
 surface temperatures increase in all simulations until year  
 2100 whereat MIR is the most sensitive model to rising GHG  
 concentrations (see Supplement Fig. S3a). On a global aver-  
 age over the years 2071 to 2100, statistically significant in-  
 creases in  $\Delta T_{BGC}$  associated with LULCC are found in MPI  
 (0.23 K), MIR (0.12 K) and CAN (0.07 K) (Table 4) [Please  
 235 have a look at Table 4!]. LULCC emissions enhance the BGC

245 warming associated with fossil-fuel emissions in a statistically significant manner by 8, 3 and 2 %, respectively (Table 4, first column). Maps of BGC effects for each model (Fig. 1b) show the wide-spread warming pattern of a well-mixed GHG, where the most pronounced temperature increases are found in polar regions due to the sea-ice-albedo feedback as well as temperature feedbacks (Pithan and Mauritsen, 2014) which contribute to the polar amplification. On land the warming patterns differ among the models as vegetation cover changes are not homogeneously distributed. 255 **The modification of local BGC-induced temperature signals leads, for example, to a warming in all models in Australia where trees have been replaced by pastures (Fig. 1b).**

### 3.1.3 Biogeophysical effects on climate

LULCC modifies the physical properties of the land surface which then affect near-surface climate, mainly on the local to regional scale. The model spread in  $\Delta T_{\text{BGP}}$  signals is wide in the global mean and no statistical significance is detected (Table 4). This agrees with previous model intercomparisons of BGP effects of LULCC for historical times (e.g. Pitman et al., 2009); however, results must be expected to be less robust in our study due to the chosen scenario of LULCC. In the RCP scenario, the area undergoing LULCC is relatively small and is mainly located outside regions with strong snow-masking effects, unlike in the past. BGP cooling in the mid-to high latitudes due to changes in surface albedo is thus less important for global mean signals than in historical simulations, and is counteracted more strongly by BGP warming due to reduced evapotranspiration in the tropics.

Here, the importance of LULCC implementation and its link to land-atmosphere processes in the models becomes visible when linking LULCC patterns (Supplement Fig. S1) with spatial  $\Delta T_{\text{BGP}}$  responses in Fig. 1a. Conversions of forests (or shrubs as in Australia) to pasture areas (as dynamically implemented by MIR and MPI in Africa, South America and Australia) or grasslands (simulated in IPSL in Australia and South America) lead to BGP-induced cooling. CAN neglects pastures and thus only changes in cropland extent lead to a conversion of forested areas and natural grasslands. Latent heat fluxes are reduced over crop areas leading to a warming which overcompensates the cooling effect of increased albedo over these areas in tropical regions. While this holds true for all models in South America and Africa, IPSL simulates a cooling in those regions. This is rather untypical for IPSL as previous studies with this model (e.g. Davin and de Noblet Ducoudré, 2010) showed that the impact of LULCC on evapotranspiration dominates the total BGP response to LULCC in tropical regions. **Note that the IPSL model also showed warming in the extratropics, due to particular assumptions in the seasonality of the leaf area index (LAI) for crops** (Pitman et al., 2009). BGP warming is found over North America in MIR and IPSL where pastures (grassland in the latter model) and crops are abandoned for

the regrowth of natural grassland and trees. This in turn not only decreases directly surface albedo but also increases the snow-masking effect in periodically snow-covered regions. This effect is also responsible for the observed warming in high northern latitudes of Eurasia, where the tree line shifts northward in a warmer climate in the dynamically simulated vegetation patterns of MPI and MIR.

However, there are more diverse temperature responses shown in Fig. 1 which cannot directly be linked to LULCC. Taking therefore only areas of intense LULCC (here defined as grid cells in which the area of LULCC equals or exceeds 10 % in 2100 compared to 2006) into account, results in statistically significant changes in three models (Table 4, see Supplement Fig. S3b): CAN, which neglects pastures, simulates a warming of 0.1 K (this value is based on results from Brovkin et al., 2013, as mentioned earlier in Sect. 2), whereas IPSL and MIR show a BGP cooling of 0.16 and 0.47 K, respectively. The prescribed  $\text{CO}_2$  simulations analyzed by Brovkin et al. (2013) yield BGP cooling effect of 0.23 K for MIR. The stronger decrease in our analysis' near-surface temperature for MIR model is mainly attributed to enhanced changes in South America, Africa and Australia. These might be related to changes in latent heat fluxes or cloud cover. BGP cooling can therefore dampen or dominate the net effect on near-surface temperature in specific regions (and not coherently across the models, see Fig. 1c).

### 3.1.4 Role of LULCC in affecting regional climate

Here, we investigate whether BGP effects ( $\Delta_t T_{\text{BGP}}$ ) can mitigate or rather enhance climate impacts caused by fossil and LULCC emissions alone (L1A simulation,  $\Delta_t T_{\text{no LULCC}}^{\text{CO}_2}$ ) on the continental scale, where  $\Delta_t$  means a difference between values averaged over the period 2071 to 2100 and the year 2006. Figure 2a illustrates the percentage impact of  $\Delta_t T_{\text{BGP}} / \Delta_t T_{\text{no LULCC}}^{\text{CO}_2}$ . Values are listed in the Supplement Table S2. Since CAN did not perform the  $\Delta_t T_{\text{no LULCC}}^{\text{CO}_2}$  simulation it is not considered here. Overall, the models show inconsistent signs and magnitudes of how the BGP effects influence  $\Delta_t T_{\text{no LULCC}}^{\text{CO}_2}$ . However, the analysis shows **that for the global land area** the models coherently simulate a reduction of the fossil-fuel and LULCC emission-driven temperature increase ( $\Delta_t T_{\text{no LULCC}}^{\text{CO}_2}$ ) by 2 % (0.1 K) **when taking all land areas into account**. Furthermore, MPI and MIR simulate the strongest (and statistically significant) potential of warming mitigation over Australia with  $-11$  and  $-23$  % which emphasizes the importance of including pastures in the model simulations and the uncertainty of LULCC implementation as IPSL does not show significant changes (for more detailed model descriptions see Supplement Table S1). Similarly, LULCC changes described in Sect. 3.1.3 are strong enough to counteract the warming caused by fossil and LULCC emissions in Africa in MIR and IPSL ( $-8$  and  $-10$  %, respectively) but not in MPI with an insignificant warming signal of crops. Model responses are again uncer-

tain and it is therefore difficult to link LULCC to adaptation or mitigation strategies, such as done by Pongratz et al. (2011) who analyzed the impact of reforestation.

### 3.2 Evaluation of the TRCE approach

355 Gillett et al. (2013) calculated the so-called transient response to cumulative emissions, TRCE, as the ratio of how global mean temperature changes in response to the cumulative increase of  $\text{CO}_2$  in the atmosphere by 1 % per year until a doubling is reached. The TRCE for the participating models (in  $^\circ\text{K Tg C}^{-1}$ ) is given in Table 5 (after Gillett et al., 2013). MPI and IPSL have a very similar low TRCE while CAN has the highest TRCE. By multiplying the TRCE with the loss of land carbon due to LULCC in 2100 found in each model, equivalent changes in near-surface temperature ( $\Delta T_{\text{TRCE}}$ ) can be estimated. **Note, that the conversion factor from atmospheric  $\text{CO}_2$  concentration to atmospheric carbon storages is  $2.12 \text{ PgC ppm}^{-1}$ .** The availability of simulations that quantify  $\Delta T_{\text{BGC}}$  interactively now allows us to evaluate the TRCE-approximation used by Brovkin et al. (2013) for prescribed  $\text{CO}_2$  concentrations.

Results applying the TRCE-approximation for interactive and prescribed  $\text{CO}_2$  simulations yield very similar results. For MIR,  $\Delta T_{\text{TRCE}}$  agrees well with the interactively simulated temperature change  $\Delta T_{\text{BGC}}$  (Table 4), and in CAN the TRCE estimate is only 0.01 K too high.

380 However, larger differences as found in MPI and IPSL hint to the relevance of effects other than the direct effects of LULCC emissions. The TRCE approach quantifies the climate response to cumulative carbon emissions before any BGP or BGC induced feedbacks occur but which are substantial for LULCC impacts (e.g. altered albedo). This linear approach therefore captures results only well in the absence of significant non-linearities in the models. Furthermore, we compared the instantaneous TRCE results to 30 year mean values which eliminate inter-annual variabilities. Overall, the TRCE approach serves as a good first estimate of the magnitude and direction of changes in near-surface temperatures due to LULCC emissions, but sensitivity analysis is needed for each model response.

### 3.3 Contribution of changes in land carbon storage

390 The modification of the land carbon sinks and sources via LULCC is responsible for the observed changes in the atmospheric  $\text{CO}_2$  concentration (Table 3) and resulting climate effects. The effect of LULCC on the land carbon stocks is shown in Fig. 3a. All models simulate land carbon losses due to LULCC ( $\Delta C_{\text{net}}$ , dark solid lines) whereby the dominant carbon loss is mainly attributed to the deforestation ( $\Delta C_{\Delta\text{LULCC}}$ , light dashed lines) of carbon-rich tropical forest (see Supplement Fig. S1). In the extra-tropics, deforestation is less prevalent and the replacement of abandoned pastures by grasslands has almost no effect, because both

405 are treated the same way in most models. The MPI model yields the strongest carbon loss of 218 Gt C in 2100 (Table 6,  $\Delta C_{\Delta\text{LULCC}}$ ) which is partly attributed to its overestimation of initial carbon stocks in the tropics and dry-lands (Brovkin et al., 2013). The second largest decrease in land carbon in response to LULCC is found in MIR with 57 Gt C. This suggests that the use of annual land-use transition maps rather than annual land cover states maps (gross instead of only net LULCC transitions; Hurtt et al., 2011) leads to substantial increases in land-use emissions (MPI and MIR, see Supplement S1). The reason is that cyclic conversions in fractional land cover might not be seen in the resulting vegetation distribution but lead to modified distributions of carbon among the reservoirs.

The increase in atmospheric  $\text{CO}_2$  concentration and near-surface temperature following LULCC emissions affects land carbon storage differently across the models ( $\Delta C_{\text{BGC}}$ , light solid lines). The carbon gain due to  $\text{CO}_2$ -fertilization caused by LULCC emissions is strongest in MPI with 40 Gt C and is almost negligible in the other models with  $-3$  to 4 Gt C. This probably explains the stronger difference in MPI to simulations with prescribed  $\text{CO}_2$  concentration (Table 6,  $\Delta C_{\Delta\text{LULCC}^{\text{RCP}}}$ ). Global mean annual atmosphere-to-land carbon fluxes reveal an increase until the mid-century in all models and all simulations (see Supplement Fig. S4). Around mid-century, the increasing respiration in a warmer climate reduces and more than overcompensates the enhanced carbon uptake associated with the  $\text{CO}_2$ -fertilization effect, especially in MIR. The behavior of the MIR is consistent with the findings in Arora et al. (2013) who showed that the carbon-temperature feedback is strongest in the MIR.

The representation of modified land carbon sinks and sources by LULCC vary across the ESMS leading to the wide spread in carbon pool signals. The modeling groups used common land-use datasets and handled indirect effects coherently following the LUCID protocol so that only differences in simulated climate remain. However, intrinsic differences across the models remain such as the explicit simulation of some carbon cycle related processes (e.g. the representation of crops in CAN) and the neglect or parameterization of other processes (e.g. crops in MPI). One example is the simulation of fire emissions which was done by MPI and IPSL (see Supplement Fig. S5). Interestingly, they both show that fire emissions are reduced by increased land management which would otherwise increase much stronger in a warmer climate. Following Houghton et al. (2012), these aspects cause uncertainties in modeling carbon emissions from LULCC in the order of  $\pm 50\%$ .

## 4 Conclusions

BGP and BGC impacts of LULCC on near-surface temperatures and land carbon pools are separated by using CMIP5-LUCID simulations with interactive  $\text{CO}_2$  from four Earth

Systems models. These results show that the BGP effect in the RCP scenario causes no statistically significant change in the globally-averaged near-surface temperature averaged over the period 2071–2100. This is the consequence of relatively small changes in land cover over the 2006–2100 period compared to that over the historical period. One further reason is the fact that over the 21st century LULCC primarily takes place in (sub)tropical regions where changes in latent heat fluxes have more impact than changes in albedo which are more effective in seasonally snow-covered regions. However, averaged over regions of intense LULCC (i.e. when LULCC impacts  $\geq 10\%$  of a grid cell over the 2006–2100 period), three models simulate statistically significant changes of varying sign and magnitude (between 0.1 and  $-0.47$  K). BGC effects of LULCC lead to statistically significant increases in global mean near-surface temperatures of 0.07, 0.12 and 0.23 K following increases in atmospheric  $\text{CO}_2$  from LULCC emissions between 12, 22 and 66 ppm in CAN, MIR and MPI, respectively. The model spread is attributed to differences in modeling assumptions, parameterizations and included processes (e.g. fire) which lead to different manners in which the common LULCC pattern is implemented across models (e.g. with and without pastures) and induce a degree of uncertainty.

The BGP effects of LULCC may enhance or dampen its BGC effects. For example, in South America and Africa, MIR and IPSL both show that BGP effects dampen and, in the case of MPI, enhance BGC warming caused by land-use change and fossil-fuel emissions. A causal link between LULCC forcing and the climate impact is found for MIR where the presence of pastures in Europe and Australia tends to induce a local BGP cooling which offsets a BGC warming. Crops tend to warm climate in most areas and models. This is especially the case in CAN which is the only model that simulates an overall BGP warming in the absence of pasture representation. Conversion to pastures thus may have a climate change mitigation potential but more detailed and idealized experiments are required e.g. simulations with and without pasture cultivation in each model.

The approach of the transient response to cumulative emissions in 2100, TRCE (Gillett et al., 2013) captures the changes in temperature well for CAN and MIR but is less precise for MPI and IPSL. Therefore, TRCE serves as a good first estimate but since it is a linear approach it is less reliable in case of non-linearities and strong variability in the models.

LULCC leads to carbon release from the land to the atmosphere. Accounting for gross LULCC transitions in both, MPI and MIR, results in stronger LULCC emissions than in the other two models. The global effect of  $\text{CO}_2$ -fertilization due to LULCC is strong for MPI with 39 GtC in 2100 and almost negligible in the other models.

Land use change emissions are inherently uncertain. When implemented in ESMs, the diagnosed BGP and BGC effects of LULCC are even more uncertain because of the manner in which land-use change is interpreted and implemented

across models. The BGC effects of LULCC are related to how the deforested biomass is treated, if or not transitions across land cover types are considered and how natural vegetation regrows after croplands/pastures are abandoned. All these factors determine the net LULCC emissions and thus the change in atmospheric  $\text{CO}_2$  concentration. The BGP effects of LULCC are related to how changes in the physical appearance of the land surface affect the energy and water balance through changes in albedo, roughness length and other physical structural attributes of vegetation. Since models differ greatly in treating BGP and BGC effects of LULCC, the same LULCC pattern can yield differences in magnitude and even sign of the net effect. Simple idealized experiments with clear experimental protocols are needed to, for example, make actually simulated land-use patterns more comparable by coherently implementing or neglecting pastures. This would provide better understanding of why models respond differently to the same LULCC forcing and thus to help reducing uncertainty in the net effect of LULCC across models. Last but **not** least, some of the uncertainty could be eliminated by having several ensemble members which would make statistical significance testing more robust.

**Supplementary material related to this article is available online at:** <http://@journalurl/@pvol/@fpage/@pyear/@journalnameshortlower-@pvol-@fpage-@pyear-supplement.pdf>.

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**Table 1.** Overview of CMIP5 and LUCID simulations based on CMIP5 standard simulations for RCP8.5 and the employed terminology exemplified with near-surface temperature  $T$ .  
table

Simulation	terminology	CO <sub>2</sub> concentration	LULCC
ESM	$T_{LULCC}^{eCO_2}$	Interactive (emission-driven)	As in RCP
L1B	$T_{no\ LULCC}^{eCO_2}$	Interactive	Fixed to year 2005
L1A	$T_{no\ LULCC}^{cCO_2}$	Prescribed (concentration-driven, output of the ESM run)	Fixed to year 2005
RCP	$T_{LULCC}^{cCO_2\ RCP}$	Prescribed from RCP8.5 (Moss et al., 2010)	Transient scenario (MESSAGE, Riahi et al., 2011) (Hurtt et al., 2011)
L2A	$T_{no\ LULCC}^{cCO_2\ RCP}$	As in RCP	Fixed to year 2005

**Table 2.** Overview of model setups and analysis strategies.

Difference	set-up differences	terminology/scientific interpretation
ESM-L1A	same CO <sub>2</sub> concentration; with-without LULCC	BGP-effects: $\Delta T(\Delta LULCC, \Delta CO_2 = 0) = \Delta T_{BGP}$ , $\Delta C(\Delta LULCC, \Delta CO_2 = 0) = \Delta C_{\Delta LULCC}$
L1A-L1B	different CO <sub>2</sub> concentrations; both without LULCC	BGC-effects: $\Delta T(\Delta LULCC = 0, \Delta CO_2) = \Delta T_{BGC}$ , $\Delta C(\Delta LULCC = 0, \Delta CO_2) = \Delta C_{BGC}$
ESM-L1B	different CO <sub>2</sub> concentrations; with-without LULCC	net effects: $\Delta T(\Delta LULCC, \Delta CO_2) = \Delta T_{net}$ , $\Delta C(\Delta LULCC, \Delta CO_2) = \Delta C_{net}$

**Table 3.** Atmospheric CO<sub>2</sub> (ppm) concentrations in 2100.

Model	CO <sub>2</sub> LULCC	CO <sub>2</sub> no LULCC	$\Delta CO_2$ $\Delta LULCC$
MPI	951	885	66
CAN	1037	1024	12
MIR	1134	1113	22
MESSAGE	926		

**Table 4.**  $\Delta T_{BGP}$  and  $\Delta T_{BGC}$  (K), averaged over the period 2071–2100: globally and over areas where LULCC  $\geq 10\%$  of the grid cell. The asterisk (\*) marks values with statistical significance ( $\geq 95\%$ ) of a Student's  $t$  test accounting for autocorrelation. **The temperature change over the 21<sup>st</sup> relative to 2006 century due to fossil fuel forcings only is given by  $\Delta T_{no\ LULCC}^{eCO_2}$  (L1B simulation).**

Model	$\Delta T_{no\ LULCC}^{eCO_2}$ Global	$\Delta T_{BGC}$ Global	$\Delta T_{BGP}$ LULCC $\geq 10\%$	$\Delta T_{BGP}$
MPI	3.02	0.23*	0.02	0.03
CAN**	3.60	0.07*	0.02	0.10*
MIR	4.73	0.12*	−0.01	−0.47*
IPSL	3.70	−0.02	−0.03	−0.16*

\*\* The BGP part in CAN is calculated as  $\Delta T_{BGP}^{RCP}$ .



**Table 5.** Comparison of simulated  $\Delta T_{\text{BGC}}$  (as in Table 4) to temperature changes derived from the TRCE approach (transient response of temperature to cumulative emissions;  $\Delta T_{\text{TRCE}}$  Gillett et al., 2013). LULCC emissions are derived from the losses in land carbon storage ( $\Delta C_{\Delta\text{LULCC}}$ ) multiplied by the TRCE values from Gillett et al. (2013) to approximate temperature changes. Results for RCP simulations ( $\approx \Delta T_{\text{TRCE}}^{\text{RCP}}$ ) are taken from Brovkin et al. (2013). The asterisk \* marks values of statistical significance ( $p < 0.05$ ).

Model	$\Delta T_{\text{BGC}}$ (K)	TRCE (°K Tt C <sup>-1</sup> )	$\Delta C_{\Delta\text{LULCC}}^{\text{a}}$ (GtC)	$\approx \Delta T_{\text{TRCE}}$ (K)	$\Delta C_{\Delta\text{LULCC}}^{\text{RCP b}}$ (GtC)	$\approx \Delta T_{\text{TRCE}}^{\text{RCP b}}$ (K)
MPI	0.23*	1.604	218	0.35	205	0.33
CAN	0.07*	2.365	34	0.08	34	0.08
MIR	0.12*	2.151	57	0.12	62	0.13
IPSL	-0.02	1.585	31	0.06	37	0.06

<sup>a</sup> Changes for CAN are calculated indirectly by  $\Delta T_{\text{net}} - \Delta T_{\text{BGC}}^{\text{RCP}}$ .

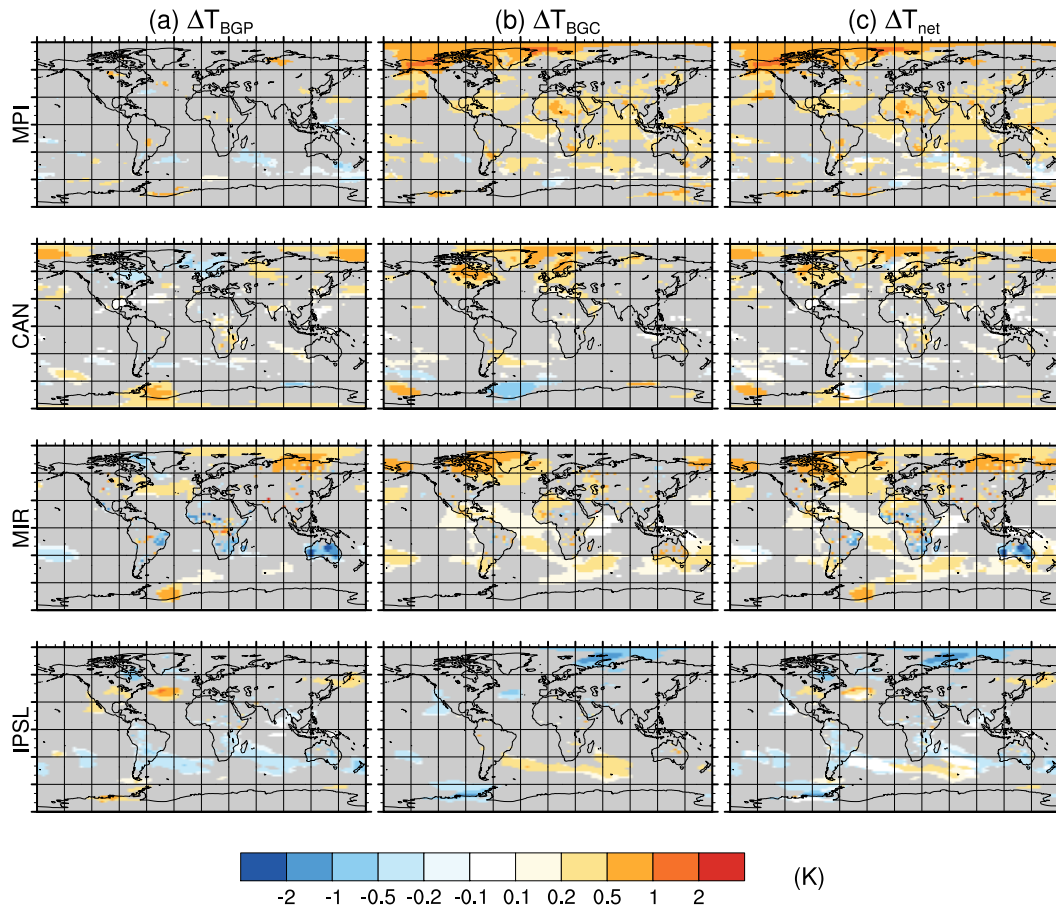
<sup>b</sup> Brovkin et al. (2013).

**Table 6.** Global changes in cumulative land carbon fluxes  $\Delta C$  (cumulative from 2006 until 2100 in GtC) in 2100 due to the various effects of LULCC: changes in vegetation distribution and climate ( $\Delta C_{\Delta\text{LULCC}}$ ), net effect ( $\Delta C_{\text{net}}$ ), and BGC effects ( $\Delta C_{\text{BGC}}$ ).

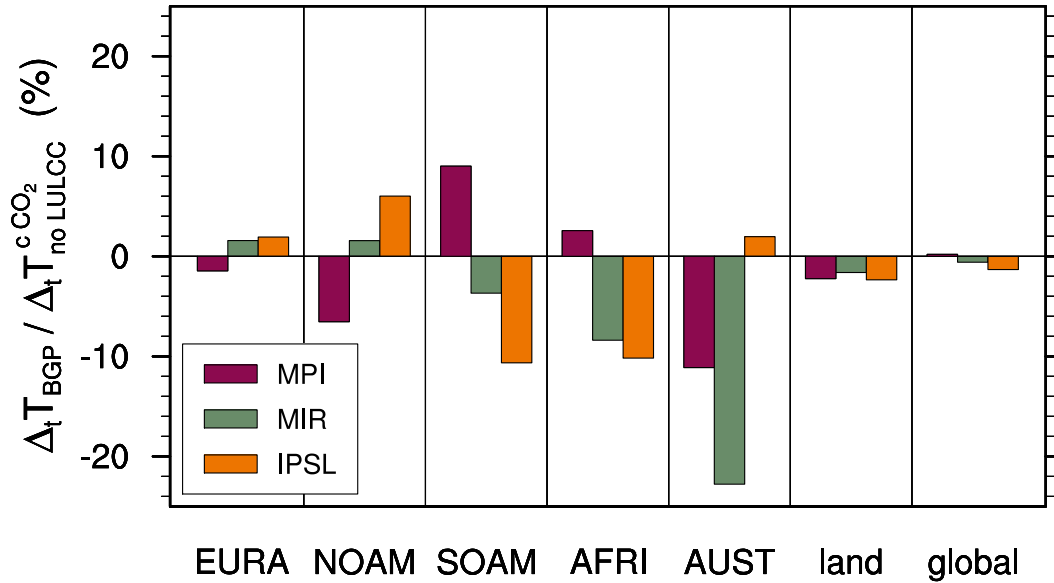
Model	simulation-index	$\Delta C$	$\Delta C^{\text{RCP b}}$
MPI	$\Delta\text{LULCC}$	-218	-205
	net	-179	
	BGC	39	
CAN <sup>a</sup>	$\Delta\text{LULCC}$	-34	-34
	net	-29	
	BGC	4	
MIR	$\Delta\text{LULCC}$	-57	-62
	net	-56	
	BGC	2	
IPSL	$\Delta\text{LULCC}$	-35	-37
	net	-38	
	BGC	-3	

<sup>a</sup> Changes for CAN are calculated indirectly by  $\Delta T_{\text{net}} - \Delta T_{\text{BGC}}^{\text{RCP}}$ .

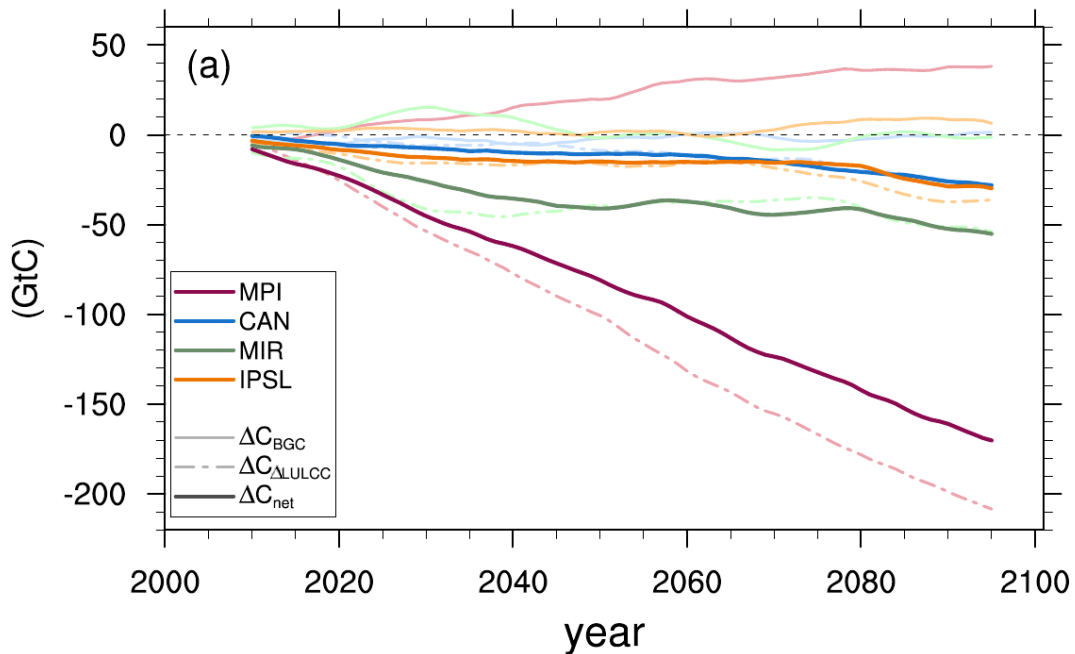
<sup>b</sup> Brovkin et al. (2013).



**Fig. 1.** Maps displaying the change in near-surface temperature (K) averaged over 2071–2100 for each model. Only areas are shown where changes are statistically significant; (a)  $\Delta T_{BGP}$  (for CAN  $\Delta T_{BGP}^{RCP}$ ); (b)  $\Delta T_{BGC}$  (for CAN  $\Delta T_{net} - \Delta T_{BGP}^{RCP}$ ); (c)  $\Delta T_{net}$ -figure



**Fig. 2.** Relative changes in near-surface temperature: Comparison of  $\Delta T_{BGP}$  relative to  $\Delta T_{noLULCC}^{cCO_2}$  (L1A simulation), that is the BGP impacts of LULCC compared to the impacts of anthropogenic carbon emissions (both fossil-fuel and LULCC) on near-surface temperature (in %). Depicted are mean 2071–2100 values minus the 2006 state (indicated by “ $\Delta_t$ ”). Positive (negative) values indicate that BGP effects ( $\Delta T_{BGP}$ ) enhance (dampen) the change caused by LULCC and other anthropogenic emissions. Analysis is done for the following regions: Eurasia (EURA), North America (NOAM), South America (SOAM), Africa (AFRI), Australia (AUST), land (land area excluding ice sheets) and global (total area on Earth). A list of exact values can be found in the Supplement Table S2.



**Fig. 3.** 10 years-running global means of net changes due to LULCC in the terrestrial carbon content (in GtC). Dark solid lines represent  $\Delta C_{net}$ , dashed lines  $\Delta C_{\Delta LULCC}$  and light solid lines  $\Delta C_{BGC}$ .