

1 **Response to the Editor concerning minor revisions (Heinze et al., esd-2014-76):**  
2  
3 We would like to thank the editor for his constructive comments. We have amended the manuscript  
4 accordingly. New lines as mentioned below refer to the version with accepted changes.  
5  
6 1) Reviewer #1 suggested to replace ppm by ppmv throughout the text and the authors did this -  
7 however, this is not correct. ppm as used in the carbon cycle community denotes "mole fraction" in  
8 dry air (actually  $\mu\text{mol CO}_2/\text{mol dry air}$ ). This is not equivalent to ppmv because CO<sub>2</sub> is a non-ideal  
9 gas. Indeed WMO-GAW specifically recommends to use ppm units. We also adhered to this practice  
10 in chapter 2 and chapter 6 in IPCC AR5; and also the cited SIO CO<sub>2</sub> website consistently uses ppm.  
11  
12 Our response: We changed ppmv back to ppm and added the IPCC AR5 Glossary as a reference; see  
13 new lines 82-85, p. 2, and throughout the manuscript.  
14  
15 2) In the greenhouse effect description the authors state that in the absence of the greenhouse  
16 effect, the Earth's temperature would be -19C. This is only true if the albedo of the Earth would  
17 remain the same, i.e. assuming the same cloud cover. E.g. just removing the atmosphere would not  
18 lower the temperature to -19C. The sentence could be made more precise by stating this in order to  
19 avoid misunderstandings.  
20  
21 Our response: Change was made; see new lines 96-99, p. 2.  
22  
23 3) The authors refuse to take on the suggestion by referee #2 to also mention the 13C method  
24 (revised manuscript: page 20, line 974, original manuscript: page 1631, line 23) in identifying the  
25 relative roles of land vs ocean variability and trends. If the O<sub>2</sub>/N<sub>2</sub> method is mentioned here, clearly  
26 also the 13C method merits be mentioned.  
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28 Our response: We inserted a respective text passage at new lines 893-905, p.18-19. The new  
29 references were added to the reference list.  
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32 The acknowledgements were updated in addition.  
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36 The manuscript with tracked changes is listed below.  
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## The ocean carbon sink – impacts, vulnerabilities, and challenges

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### Abstract

Carbon dioxide (CO<sub>2</sub>) is, next to water vapour, considered to be the most important natural greenhouse gas on Earth. Rapidly rising atmospheric CO<sub>2</sub> concentrations caused by human actions such as fossil-fuel burning, land-use change or cement production over the past 250 years have given cause for concern that changes in Earth's climate system may progress at a much faster pace and larger extent than during the past 20,000 years. Investigating global carbon cycle pathways and finding suitable adaptation and mitigation strategies has, therefore, become of major concern in many research fields. The oceans have a key role in regulating atmospheric CO<sub>2</sub> concentrations and currently take up about 25% of annual anthropogenic carbon emissions to the atmosphere. Questions that yet need to be answered are what the carbon uptake kinetics of the oceans will be in the future and how the increase in oceanic carbon inventory will affect its ecosystems and their services. This requires comprehensive investigations, including high-quality ocean carbon measurements on different spatial and temporal scales, the management of data in sophisticated data bases, the application of Earth system models to provide future projections for given emission scenarios as well as a global synthesis and outreach to policy makers. In this paper, the current understanding of the ocean as an important carbon sink is reviewed with respect to these topics. Emphasis is placed on the complex interplay of different physical, chemical, and biological processes that yield both positive and negative air-sea flux values for natural and anthropogenic CO<sub>2</sub> as well as on increased CO<sub>2</sub> (uptake) as the regulating force of the radiative warming of the atmosphere and the gradual acidification of the oceans. Major future ocean carbon challenges in the fields of ocean observations, modelling, and process research as well as the relevance of other biogeochemical cycles and greenhouse gases are discussed.

97	<b>Contents</b>
98	
99	1 - Historic background
100	2 - General concepts of ocean carbon cycling
101	2.1 - Inorganic carbon cycle processes
102	2.2 - Biological carbon pumps
103	2.3 - Natural variability, timescales, and feedbacks
104	3 - Evolution of the ocean sink for anthropogenic carbon
105	3.1 - The key process for anthropogenic carbon uptake
106	3.2 - Long-term ocean carbon uptake kinetics
107	3.3 - Detection of ongoing ocean carbon sink strength variability
108	4 - The impact of human-produced carbon on warming and marine ecosystems
109	4.1 - Impact of the ocean carbon uptake on Earth's heat budget
110	4.2 - Ocean acidification and its impact on marine ecosystems
111	4.3 - Future impact research
112	5 - The ocean carbon sink in relation to the land carbon sink
113	6 - Major ocean carbon challenges and key knowledge gaps
114	6.1 - Observational data bases
115	6.2 - Process and impact knowledge
116	6.3 - Integrative modelling and combination with measurements
117	6.4 - Specific regional foci for ocean carbon cycle studies
118	6.5 - Using the ocean natural laboratory for case studies on complex couplings
119	6.6 - Combination with other biogeochemical cycles and greenhouse gases
120	7 - Conclusion
121	Acronyms
122	Acknowledgments
123	References

## 127 1 – Historic background

128  
129 In the atmosphere, carbon dioxide (CO<sub>2</sub>) occurs only in a very small fraction (currently  
130 around 400 ppmv; ~~\_\_\_ ppmv = parts per million of volume;~~  
131 [http://scrippsco2.ucsd.edu/graphics\\_gallery/mauna\\_loa\\_record.html](http://scrippsco2.ucsd.edu/graphics_gallery/mauna_loa_record.html); ~~ppm = parts per million,~~  
132 ~~ratio of the number of moles CO<sub>2</sub> in a given volume of dry air to the total number of moles of~~  
133 ~~all constituents in this volume, see IPCC, 2013). Nevertheless, due to its high abundance as~~  
134 compared to other greenhouse gases, it is considered to be the overall most important  
135 greenhouse gas next to water vapour. Its importance in regulating the global heat budget has  
136 already been documented in the 19<sup>th</sup> century by Arrhenius (1886). Ultimately, the greenhouse  
137 effect of CO<sub>2</sub> can be linked to its molecule structure: Vibrational and rotational motions of the  
138 gaseous CO<sub>2</sub> molecules resonate with the thermal radiation leaving Earth's surface at bands  
139 centred at different discrete wavelengths, thereby heating up the lower atmosphere (e.g.  
140 Barrett, 2005; Tomizuka, 2010). The main absorption band (combined vibrational and  
141 rotational resonance mode) of CO<sub>2</sub> is centred at 15 μm wave length (Wang et al., 1976; Liou,  
142 1980). The incoming solar radiation is of short wavelength (mainly between 0.5-1 μm). The  
143 thermal radiation outgoing from the Earth is of longer wave length (typically between 5 and  
144 20 μm). Without the natural greenhouse effect ~~and under the assumption that solar absorption~~  
145 ~~and albedo are kept fixed at the present-day values,~~ –an average temperature of -19°C would

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146 | dominate Earth's surface instead of the actual average value of around 15°C (Ramanathan et  
147 al., 1987).

148  
149 The pre-industrial level of atmospheric CO<sub>2</sub> expressed as a volume mixing ratio had been  
150 around 278 ppmv with minor fluctuations around this level (Siegenthaler et al., 2005) due to  
151 the natural variability of carbon reservoirs on land and in the ocean as well as volcanic  
152 activities and a small remaining trend going back to the last deglaciation (Menviel and Joos,  
153 2012). The onset of the industrialisation and the Anthropocene as the era of fundamental  
154 human impact on the Earth system (Crutzen, 2002) can be dated around 1776 when the  
155 improved design of the steam engine by James Watt enabled its operational use. The 300  
156 ppmv boundary was crossed in the early 20<sup>th</sup> century according to ice core measurements  
157 from Law Dome (Etheridge et al., 2001; samples from Law Dome core D08 show values of  
158 296.9 ppmv and 300.7 ppmv for mean air ages given in calendar years of 1910 and 1912  
159 respectively, with an overall accuracy due to analytical errors and age determination errors of  
160 ±1.2 ppmv). At the beginning of the instrumental record of atmospheric CO<sub>2</sub> in 1958, its  
161 concentration was around 315 ppmv (Keeling et al., 2001). Ten years ago (2003), we had  
162 arrived at 375 ppmv. And now, we are crossing the 400 ppmv level (400.01 ppmv as of 25  
163 May 2013; Fig. 1; Keeling et al., 2013). The largest contributor to this human-induced CO<sub>2</sub>  
164 release is firstly the burning of fossil fuel reserves, which normally would have been isolated  
165 from the atmosphere (Boden et al., 2011). Secondly, land-use change is a significant  
166 contributor followed by cement production (Houghton, 1999; Boden et al., 2011). The  
167 warming effect due to the combustion of fossil fuel by human beings was first suggested and  
168 analysed by Callendar (1938). Since then, scientists have made attempts to quantify the fate of  
169 fossil fuels in conjunction with the natural carbon cycle. Bolin and Eriksson (1959) came up  
170 with a first estimate of the ultimate uptake capacity of the ocean for fossil fuel CO<sub>2</sub> from the  
171 atmosphere: About 11/12 of CO<sub>2</sub> emissions would ultimately accumulate in the ocean water  
172 column after repeated oceanic mixing cycles and interaction with the calcareous sediment, a  
173 process requiring several 10,000 years (see also Archer, 2005).

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175 When it comes to the importance of human-produced greenhouse gases for changing the  
176 atmospheric heat budget and, hence, the climate system, CO<sub>2</sub> is by far the most important one.  
177 Other radiatively active trace gases like methane (CH<sub>4</sub>), halocarbons, and nitrous oxide (N<sub>2</sub>O)  
178 have a higher greenhouse potential per molecule than CO<sub>2</sub>, but are less abundant in the  
179 atmosphere than CO<sub>2</sub>, so that CO<sub>2</sub> is the most important anthropogenic driving agent of  
180 climate change (Myhre et al., 2013). The focus of this review is, thus, on CO<sub>2</sub> and the oceanic  
181 ('carbon') sink. Future CO<sub>2</sub> emission scenarios to drive climate models have been produced  
182 on empirical evidence concerning human behaviour and economics. In view of the on-going  
183 high energy use in wealthy nations and the accelerating energy production in emerging  
184 economies (especially China and India; see Raupach et al., 2007), current and recent annual  
185 CO<sub>2</sub> emission rates are at the levels of the most pessimistic emission scenario as produced a  
186 few years ago for the climate projections of the 5<sup>th</sup> assessment report of the IPCC (RCP  
187 scenarios; van Vuuren et al., 2011a; van Vuuren et al., 2011b; Peters et al., 2013).  
188 Considering the key role of the oceans in the global carbon budget it is therefore fundamental  
189 to broaden our knowledge on their past, present, and future quantitative impact in regulating  
190 atmospheric CO<sub>2</sub> concentrations.

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## 194 2 – General concepts of ocean carbon cycling

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196 The oceans regulate atmospheric CO<sub>2</sub> mainly by two mechanisms: The first consists of the  
197 abiotic inorganic cycling of carbon that involves CO<sub>2</sub> air-sea gas exchange (Liss and Merlivat,  
198 1986; Wanninkhof, 1992; Nightingale et al., 2000), CO<sub>2</sub> dissolution (Weiss, 1974) and  
199 hydration to carbonic acid, dissociation of carbonic acid (Dickson et al., 2007) as well as  
200 transport and mixing of total dissolved CO<sub>2</sub> in seawater. The second mechanism describes the  
201 cycling of carbon due to biological activity.

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## 2.1 – Inorganic carbon cycle processes

205 Seawater is saline and contains practically all elements of the chemical periodic table. Due to  
206 its slightly alkaline behaviour, it can keep the ionic compounds of weak acids in solution.  
207 Carbon dioxide, or carbonic acid (H<sub>2</sub>CO<sub>3</sub>) when combined with water (H<sub>2</sub>O), dissociates in  
208 seawater mostly into bicarbonate (HCO<sub>3</sub><sup>-</sup>) and carbonate (CO<sub>3</sub><sup>2-</sup>), while only a small amount  
209 of the CO<sub>2</sub> is kept in its dissolved state (as an order of magnitude estimate the partitioning of  
210 HCO<sub>3</sub><sup>-</sup>: CO<sub>3</sub><sup>2-</sup>:CO<sub>2</sub> is 100:10:1 but significant deviations from this can occur especially with  
211 respect to CO<sub>2</sub>). The sum of HCO<sub>3</sub><sup>-</sup>, CO<sub>3</sub><sup>2-</sup>, and CO<sub>2</sub> is called ‘total dissolved inorganic  
212 carbon’ (DIC). A huge reservoir of DIC has been built up in the oceans over geologic time  
213 through the interaction of seawater with sediments, weathering from land, gas exchange with  
214 the atmosphere, and outgassing from the Earth’s interior. At pre-industrial times, this DIC  
215 pool is 65 times as large as the atmospheric pre-industrial CO<sub>2</sub> reservoir and approximately 20  
216 times as large as the carbon on land bound to living and dead biomass including soils (Degens  
217 et al., 1984; Falkowski et al., 2000).

218 DIC is distributed in the oceans as passive tracer (like dye) by currents and turbulent mixing.  
219 In a simplistic model, transportation of carbon in the oceans mainly follows the large scale  
220 ocean circulation: In the northern North Atlantic, surface waters are moved to the deep sea in  
221 a process of deep-water formation. The solubility of CO<sub>2</sub> gas in seawater increases with  
222 decreasing temperature. As newly formed deep water is cold, the downward transport of the  
223 carbon fraction dissolved in seawater due to high CO<sub>2</sub> solubility is also called solubility pump  
224 (Volk and Hoffert, 1985). However, the dissociation of CO<sub>2</sub> into bicarbonate and carbonate  
225 ions is antagonistic to the solubility and decreases with decreasing temperature and  
226 compensates to a certain degree for this. In a theoretical ocean with only the solubility pump  
227 acting the overall surface to deep gradient of DIC would be slightly positive downwards. On  
228 its way through the ocean part of the deep water then upwells in the Southern Ocean around  
229 Antarctica, where it is blended with water masses from all oceans before it is re-cooled again  
230 to form deep and intermediate waters that spread into the Atlantic, Pacific, and Indian Ocean.  
231 The circle is closed through the transport of upper water masses from the upwelling regions  
232 back to the deep-water production areas in the North Atlantic and the Southern Ocean  
233 (Broecker and Peng, 1982), which occurs via the Indian Ocean (‘warm water path’) or via the  
234 Drake Passage (‘cold water path’ between South America and Antarctica; Rintoul, 1991). The  
235 water that has spent the longest time away from contact with the atmosphere is found in the  
236 northern Pacific Ocean below depths of about 2000 m and is approximately 1500 years old.  
237 Comparably, the human perturbation of the carbon cycle has occurred only over the last 250  
238 years, and diluting high anthropogenic carbon loads from the upper ocean with large deep-  
239 water reservoirs by mixing processes will take at least 6 times as long. Also, the slower  
240 oceanic circulation and mixing become with on-going climate change, the smaller the uptake  
241 rate of surface waters for human-produced carbon will be and the less efficient the ocean  
242 carbon sink will become for absorbing further CO<sub>2</sub> additions to the atmosphere as carbonic  
243 acid dissociates less well into bicarbonate and carbonate in water of high pCO<sub>2</sub>.

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## 2.2 – Biological carbon pumps

While purely inorganic carbon cycling leads to a slight increase of DIC with depth, biological carbon cycling - via the two biological carbon pumps (Volk and Hoffert, 1985) - is responsible for most of the gradients existing in the real ocean DIC distribution. These gradients are mainly fuelled by uptake of DIC by biota in the surface ocean to produce particulate matter, the vertical flux of these particles, and degradation of these particles on their downward way through the water column. Biological carbon binding occurs mainly in the ocean surface layer, where phytoplankton through the process of photosynthesis produces biomass that can be utilized by other organisms on higher trophic levels (classical food chain). Next to dissolved  $\text{CO}_2$ , phytoplankton requires light and nutrients for their growth, the latter two being critical limiting factors. About 25% of the particulate organic carbon (POC), which is produced in the ocean surface layer, eventually sinks through the water column (Schlitzer, 2000) with most of it being remineralised and returned to the dissolved phase already within the upper 1500 m. Normally, less than 1% of POC reaches the open-ocean seafloor by sedimentation (Lee et al., 2004). In addition to POC, marine biota also produce dissolved organic carbon (DOC), which is discriminated from POC based on particle size (Turnewitsch et al., 2007). As increasingly small particles do not sink anymore through the water column but become suspended due to the increasing importance of friction for small particles, DOC is transported through the oceans like DIC as a passive tracer. While a large fraction of DOC may persist and accumulate in the water column before being remineralised to inorganic substances, biologically labile DOC is converted quickly (within minutes to days) in the upper ocean, predominantly by microbial activity (Carlson, 2002). By utilising DOC, bacteria can build up exploitable biomass and part of the dissolved organic carbon may re-enter the classical food chain through the 'microbial loop'. However, as the microbial loop itself includes several trophic levels, a large part of the recycled DOC is converted back to inorganically dissolved carbon along the process (Azam et al., 1983; Fenchel, 2008). In addition to microbial degradation, sorption onto larger particles, and UV radiation may constitute further important processes in the removal of dissolved organic matter (Carlson, 2002). The oceanic DOC pool is overall about one order of magnitude smaller than the marine DIC inventory but larger than the POC pool. Nevertheless, the highly reactive POC dominates the effect on variations in the oceanic DIC distribution. Most of the DOC is quite refractory which is consistent with its high radiocarbon age (4000 - 6000 years, Druffel et al., 1992). Thus, most of the marine DOC does not contribute much to the dynamics of carbon cycling in the ocean within the flushing time scale of the world ocean of about 1500 years. Next to POC and DOC cycling, the formation of calcium carbonate ( $\text{CaCO}_3$ ) by shell- and skeleton-building marine organisms is of great importance in the ocean's carbon cycle as it causes shifts in the overall DIC pool.  $\text{HCO}_3^-$  is converted to  $\text{CO}_3^{2-}$  to produce  $\text{CaCO}_3$ . During this process,  $\text{CO}_2$  is released to the surrounding water (Fig. 2, formula 3; Frankignoulle et al., 1994). Thus, the  $\text{CaCO}_3$  pump is counteracting the organic carbon pump. As more carbon is bound to POC and DOC during biological production than to  $\text{CaCO}_3$  (this rain ratio of  $\text{CaCO}_3$ :POC amounts globally averaged to about 15% when counted in carbon atoms bound to particulate matter; Berelson et al., 2007), the  $\text{CaCO}_3$  counter pump does nowhere fully compensate for the organic carbon pump. Within the oceans,  $\text{CaCO}_3$  occurs either as aragonite or as calcite, with aragonite being more soluble at given conditions. The solubility of both compounds increases slightly at lower temperature and strongly with increasing depth (pressure) (Mucci, 1983; Zeebe and Wolf-Gladrow, 2001). Shell material sinking together

296 with POC through the water column is usually degraded at larger depths than the organic  
297 material. Nevertheless, it is likely that also partial re-dissolution of calcitic and aragonitic  
298 plankton hard parts occurs in shallower depths than the respective  $\text{CaCO}_3$  saturation horizon.  
299 Potential contributors to this are, e.g., zooplankton metabolisms (dissolution of shell material  
300 in copepod guts; Jansen and Wolf-Gladrow, 2001), local undersaturation hot spots due to  
301 lateral admixture of water or in micro-environments on biogenic particles due to  
302 remineralisation of organic matter (Barrett et al., 2014), and admixture of larger amounts of  
303 Mg in the  $\text{CaCO}_3$  material (high-Mg calcites; Feely et al., 2004).

304  
305 The composition of the sinking material determines also its sinking velocity. Phytoplankton  
306 (plant plankton) and zooplankton (animal plankton) grazing on plant plankton or eating other  
307 zooplankton can modify the vertical particle flux by producing a variety of carbonaceous or  
308 siliceous shell material.

309  
310 Shallow seas including the continental margins are marked with high accumulation rates of  
311 organic carbon (Jahnke, 1996). In contrast, deep-sea sediments are mainly composed of the  
312 hard parts of calcareous and siliceous shell material (Leinen et al., 1986; Archer, 1996). In  
313 regions of vivid upward motion of water, such as at the Equator, in front of west coasts, in the  
314 Southern Ocean, and during vertical mixing in the North Atlantic, the biological productivity  
315 can be substantial as new nutrients are supplied from below. This happens especially during  
316 plankton blooms, when light availability and stable surface water stratification enables  
317 temporarily strong photosynthesis leading first to strong production of phytoplankton and  
318 subsequent increase in zooplankton which grazes on the phytoplankton. Particle transport via  
319 the biological carbon pump, remineralisation, and ocean circulation are superimposed and are  
320 responsible for most of the gradients of dissolved carbon and nutrients in the water column: 1.  
321 Regarding the vertical gradient, low concentrations result at the surface due to biological  
322 uptake, while values increase with depth due to remineralisation. 2. In deeper layers,  
323 concentrations increase horizontally with age of the water along the trajectory of water flow  
324 when the respective water volume receives more and more remineralised products from the  
325 particles under degradation. The loop for the cycling of biological carbon through the ocean is  
326 closed, when the deeper waters well up and eventually return back to the surface mixed layer.  
327 These old deep waters are highly enriched in remineralised biogenic carbon, which then  
328 outgasses into the atmosphere. Thus, the upwelling regions are sources of carbon to the  
329 atmosphere both regarding the biological and the solubility pumps. This source effect  
330 dominates over the strong biological carbon uptake in upwelling regions, indicating that they  
331 are typically oversaturated in carbon and release  $\text{CO}_2$  to the atmosphere (Fig. 3).

332  
333 Production of  $\text{CaCO}_3$  shell material and its dissolution work in opposite direction for the  
334 dissolved  $\text{CO}_2$  in the ocean. Taking out or releasing  $\text{CO}_3^{2-}$  changes the ability of seawater to  
335 dissociate carbonic acid significantly. Stopping the global biological  $\text{CaCO}_3$  production would  
336 lower the atmospheric  $\text{CO}_2$  concentration by about 75 ppmv (Broecker and Peng, 1986). This  
337 number, though, depends on the size of the global  $\text{CaCO}_3$  production, which is not yet very  
338 well established. The global production rate depends also on the availability of silicic acid:  
339 When enough dissolved silicate is available, organisms that produce siliceous shell material  
340 ('opal', BSi) dominate due to energetic reasons. Therefore, many BSi-producers are found in  
341 upwelling areas, while  $\text{CaCO}_3$  producers are more abundant in other oceanic domains  
342 (Dymond and Lyle, 1985). The sedimentary climate record shows that modifications of  
343 biological carbon cycling have significantly contributed to the glacial drawdown of  
344 atmospheric  $\text{CO}_2$  during the repeated ice age cycles over the past million years (Balsam,  
345 1983; Farrell and Prell, 1989; Oliver et al., 2010).

346  
347 The organically bound and living biomass carbon reservoirs in the ocean are significantly  
348 smaller than the inorganic reservoir (approximate ratio of 1:50; Druffel et al., 1992; Ciais et  
349 al., 2013). Nevertheless, continuous growth of plankton at the ocean surface keeps the ocean  
350 surface layer CO<sub>2</sub> concentration on the average lower than it would be without them. In a  
351 world with a lifeless ocean, the atmospheric CO<sub>2</sub> concentration would have been about twice  
352 as high as the pre-industrial one. A sudden hypothetical stop of marine life would increase the  
353 atmospheric CO<sub>2</sub> concentration by 200-300 ppmv.  
354

### 355 **2.3 – Natural variability, timescales, and feedbacks**

356  
357 The variability of the ocean carbon cycle in relation to the atmospheric CO<sub>2</sub> concentration  
358 covers a broad range of timescales (from seasonal to interannual, decadal, century-scale, and  
359 glacial-interglacial). Seasonal changes in sea surface *p*CO<sub>2</sub> and, hence, air-sea CO<sub>2</sub> flux are  
360 caused mainly by variations in sea surface temperature and biological activity, where often  
361 both effects tend to counteract each other. Typical seasonal seawater *p*CO<sub>2</sub> amplitudes amount  
362 to few tens up to ±50 ppmv of *p*CO<sub>2</sub> (Santana-Casiano et al., 2007; Landschützer et al., 2014).  
363 Because of the long equilibration time of the ocean mixed layer and the atmosphere (see  
364 Sarmiento and Gruber, 2006), ocean variability has a much smaller influence on the seasonal  
365 atmospheric CO<sub>2</sub> variability than the terrestrial carbon cycle. Interannual to decadal variations  
366 in air-sea CO<sub>2</sub> fluxes are linked to changes in deep-water formation and coupled to the  
367 internal variability modes of the climate system, which complicates the detection of changes  
368 in long-term trends in ocean carbon uptake (for climate modes see section 3.3). Long-term  
369 observations at ocean time series stations allowed the monitoring of decadal trends in rising  
370 sea surface *p*CO<sub>2</sub> (typical values are +1 to +3 ppmv/yr) and decreasing pH (typical values are  
371 here -0.001 to -0.003 pH units per year) at specific sites over the past decades (Bates et al.,  
372 2014). Pre-industrial atmospheric CO<sub>2</sub> mixing ratios have been quite stable over the past  
373 10,000 years with a slight increase from ca. 260 ppmv to ca. 280 ppmv which can at least  
374 partly be attributed to long-lasting compensation effects from the last deglaciation (Joos et al.,  
375 2004). In contrast, the last glacial-interglacial cycles were marked by an amplitude of about  
376 110 ppmv in atmospheric *p*CO<sub>2</sub> with values around 290 ppmv at interglacials and 180 ppmv  
377 at glacial maxima (Siegenthaler et al., 2005). A combination of oceanic processes is likely to  
378 have been responsible for these variations (Heinze et al., 1991; Heinze and Hasselmann,  
379 1993; Brovkin et al., 2007), but the concrete details of the relevant processes are so far not  
380 well established. In a cold and dry glacial climate, the land biosphere was presumably less  
381 well developed as during warm and more humid periods, and therefore, the terrestrial carbon  
382 cycle may have provided a CO<sub>2</sub> source to the atmosphere rather than a sink (Crowley, 1995).  
383

384 A number of feedback processes work between climate and the marine carbon cycle. These  
385 processes involve the inorganic as well as the organic carbon cycle in the ocean. Key primary  
386 driving factors behind these feedback processes are changes in temperature (physical forcing),  
387 changes in circulation as well as sea-ice cover, and changes in atmospheric CO<sub>2</sub> (chemical  
388 forcing). For the natural glacial-interglacial carbon cycle variations an overall positive  
389 feedback between carbon cycle and climate resulted. Candidate processes contributing to this  
390 feedback are lower seawater temperatures during glacial maxima, potentially somewhat  
391 altered sea surface salinities, and changes in ocean circulation primarily involving the  
392 alterations of the Southern Ocean circulation (Broecker and Peng, 1986; Broecker and Peng,  
393 1989; Sigman and Boyle, 2000) in conjunction with changes in the biological carbon cycling.  
394 Respective hypotheses include changes in the production of CaCO<sub>3</sub>, changes in nutrient  
395 utilisation efficiency of organisms, changes in nutrient availability, and varying interactions



396 between shelf seas and the open ocean under glacial-interglacial sea-level changes (Broecker,  
397 1982; Broecker and Peng, 1989; Archer et al., 2000). The processes governing the oceanic  
398 uptake of anthropogenic carbon from the atmosphere may differ from those which had been  
399 been responsible for the glacial-interglacial atmospheric CO<sub>2</sub> variability. For the  
400 anthropogenic uptake problem, the time scales involved are shorter. Further, while during  
401 glacial-interglacial cycles carbon was mainly re-distributed between the different Earth  
402 system reservoirs, for the anthropogenic carbon uptake newly added carbon to the Earth  
403 system must be redistributed between those reservoirs.

404  
405  
406

### 407 **3 – Evolution of the ocean sink for anthropogenic carbon**

408

409 The cycling of carbon in the oceans is a complex interplay of different physical, chemical and  
410 biological processes, yielding both positive and negative air-sea flux values for natural and  
411 anthropogenic CO<sub>2</sub> depending on the oceanic region and the seasonal cycle. Due to the rapid  
412 increase of atmospheric CO<sub>2</sub> concentrations in the past 250 years and the resulting  
413 implications for the global heat budget, it is of great importance to understand the driving  
414 forces of carbon sequestration in the oceans as well as their variability, i.e. to understand the  
415 role of the oceans as a sink for anthropogenic CO<sub>2</sub>.

416

#### 417 **3.1 - The key process for anthropogenic carbon uptake**

418

419 The equilibrium concentration of gaseous CO<sub>2</sub> in seawater depends both on the concentration  
420 of DIC and the concentration of hydrogen ions. Since the beginning of the Industrial  
421 Revolution, atmospheric CO<sub>2</sub> concentrations have been rapidly rising. The addition of CO<sub>2</sub> to  
422 the oceans through gas exchange with the atmosphere leads to a shift in the partitioning of  
423 HCO<sub>3</sub><sup>-</sup>, CO<sub>3</sub><sup>2-</sup>, CO<sub>2</sub>, and the concentration of hydrogen ions (Fig. 2, formulas 1 & 2). The  
424 more CO<sub>2</sub> gets absorbed by the ocean the lower the amount of CO<sub>3</sub><sup>2-</sup> becomes. In parallel, the  
425 concentration of hydrogen ions increases, causing a decrease in open ocean pH that is referred  
426 to as ocean acidification. Projections of future ocean pH suggest a potential total reduction by  
427 0.4-0.5 units by the end of the 21<sup>st</sup> century as compared to pre-industrial levels, resulting in a  
428 pH of 7.7-7.8 (Haugan and Drange, 1996; Brewer, 1997; Caldeira and Wickett, 2003; Bopp et  
429 al., 2013). Furthermore, a shifting ratio of HCO<sub>3</sub><sup>-</sup>:CO<sub>3</sub><sup>2-</sup>:CO<sub>2</sub> results in a decrease in CO<sub>2</sub>  
430 buffering: the larger the concentration of DIC in the ocean becomes, conversely the smaller  
431 the fraction of increased carbon added to the atmosphere that can be taken up by the ocean  
432 will be. Or in other words, the higher the cumulative CO<sub>2</sub> emissions to the atmosphere  
433 become, the less effective seawater will be in dissociating a part of this CO<sub>2</sub> into HCO<sub>3</sub><sup>-</sup> and  
434 CO<sub>3</sub><sup>2-</sup>.

435

436 The biological carbon pump does not sequester anthropogenic carbon added to the ocean itself  
437 on decadal to centennial time scales (as the process for new crude oil works on geologic time  
438 scales). However, alterations of the biological pump caused by changes in ocean circulation  
439 and rising carbon concentrations in the surface layer could modulate the marine uptake of  
440 human-produced CO<sub>2</sub> to some degree. Among these biological changes are a potential  
441 decrease in biological CaCO<sub>3</sub> production (Heinze, 2004; Gehlen et al., 2007; Ridgwell et al.,  
442 2007) and a potential change in carbon to nitrogen ratios in oceanic organic matter under high  
443 CO<sub>2</sub> (Riebesell et al., 2007).

444

445 The main three-dimensional distribution of DIC, oxygen (O<sub>2</sub>), and nutrients in the ocean is  
446 determined by the action of biota and their degradation together with the three-dimensional  
447 ocean circulation. To demonstrate that ocean carbon cycle models work properly, the  
448 inclusion of the organic carbon cycling in these models, therefore, is an important necessary  
449 condition. On the other hand, uptake of anthropogenic excess CO<sub>2</sub> from the atmosphere is  
450 mainly determined by the physico-chemical buffering mechanism and transport of water with  
451 high anthropogenic carbon concentrations into the ocean interior. Nevertheless, simulations of  
452 biologically mediated tracers such as O<sub>2</sub>, PO<sub>4</sub><sup>3-</sup> etc. help to constrain the oceanic velocity field  
453 of the respective model, especially because respective measurements are abundant. Further,  
454 the biologically mediated CO<sub>3</sub><sup>2-</sup> ion distribution is a powerful constraint on whether the  
455 inorganic carbon cycle is correctly described by the models. The simulation of anthropogenic  
456 marine carbon uptake in purely inorganic carbon cycle models (i.e. those which do not  
457 include ecosystem representations, no nutrient tracers, and no oxygen cycle) can to some  
458 degree be validated by age tracers which are employed also for evaluation of ocean model  
459 velocity fields in general. Radiocarbon <sup>14</sup>C, which enters the ocean mainly from the  
460 atmosphere, is still the most used age tracers for validating oceanic transport rates as well as  
461 patterns in ocean circulation models. With its half-life of 5730 years (sometimes also the  
462 slightly smaller Libby half-life is used; see Stuiver and Polach, 1977), radiocarbon of DIC  
463 results in substantial surface to deep gradients. The natural radiocarbon distribution is  
464 contaminated by bomb <sup>14</sup>C, which entered the ocean in large amounts due to atmospheric tests  
465 of nuclear weapons until the atmospheric test ban treaty in the mid-1960s was implemented.  
466 To some degree, bomb <sup>14</sup>C can also be used as tracer for water mass exchange in itself, but  
467 the lack of knowledge about the pristine <sup>14</sup>C distribution on already contaminated areas  
468 remains a problem in spite of attempts to reconstruct natural pre-bomb <sup>14</sup>C values in the ocean  
469 interior (Broecker et al., 1995). Nevertheless, for the large scale ocean, <sup>14</sup>C remains one of our  
470 best tracers for assessing turnover rates of water masses in the ocean (cf. Schlitzer, 2007).  
471 Another, in principle powerful, age oceanic tracer is the noble gas isotope <sup>39</sup>Ar. Its shorter  
472 half-life of 269 years (Stoener et al., 1965) would even be more suitable to resolve upper  
473 ocean gradients for validation of ocean ventilation time scales in models (Müller et al., 2006).  
474 New measurement techniques allowing for small sample size may enable building a larger  
475 <sup>39</sup>Ar data base for the ocean (Collon et al., 2004).

476  
477 As supporting evidence for pathways of anthropogenic carbon from the atmosphere over the  
478 surface layer and into the ocean interior, also <sup>13</sup>C and chlorofluorocarbons are used. Fossil  
479 fuel CO<sub>2</sub> in the atmosphere has a low <sup>13</sup>C signature (plant material that had been the basis for  
480 crude oil formation has a deficit in the stable carbon isotope <sup>13</sup>C relative to <sup>12</sup>C, also known as  
481 the Suess effect; see Keeling, 1979). Waters with a deficit of <sup>13</sup>C in DIC relative to natural  
482 background conditions, therefore contain carbon from anthropogenic sources (Racapé et al.,  
483 2013). Unfortunately, the reconstruction of the pristine <sup>13</sup>C distribution in the ocean is not  
484 straightforward (Olsen and Ninnemann, 2010), and further the <sup>13</sup>C distribution in the ocean is  
485 strongly influenced by formation as well as degradation of biogenic matter (Kroopnick,  
486 1985). Chlorofluorocarbons or 'CFCs' (such as CFC1<sub>3</sub> or 'F-11' and CF<sub>2</sub>Cl<sub>2</sub> or 'F-12') are  
487 purely human-produced substances (also known for their negative effect on the stratospheric  
488 ozone layer) which entered the oceans from the atmosphere in small amounts following their  
489 atmospheric concentration and their respective solubilities in seawater. Though their  
490 atmospheric concentration time series and their uptake mechanisms in the ocean are different  
491 than for CO<sub>2</sub>, they nevertheless give a constraint on where large amounts of anthropogenic  
492 carbon have entered deeper layers and what time scales are involved with this uptake  
493 (Smethie, 1993; Schlitzer, 2007; Steinfeldt et al., 2007).

494

495 **3.2 – Long-term ocean carbon uptake kinetics**

496  
497 The classical view about the marine uptake of anthropogenic CO<sub>2</sub> from the atmosphere is that  
498 the ocean sink averaged over the entire globe is operating continuously and reliably and is less  
499 variable than the exchange between the atmosphere and the land biosphere including soil and  
500 plants (though the classical view also includes that the ocean atmosphere transport of CO<sub>2</sub> co-  
501 varies with short-term climate variability). This view was supported by the basic inorganic  
502 carbon buffering mechanism and by the fact that the equilibration timescale between the  
503 ocean surface layer and the atmosphere is approximately 6-12 months. The variability of air-  
504 sea CO<sub>2</sub> gas exchange is dampened, because not only the CO<sub>2</sub> molecules are taking part in the  
505 equilibration process, but the entire surface layer volume needs to achieve chemical equilibria  
506 for the compounds HCO<sub>3</sub><sup>-</sup>, CO<sub>3</sub><sup>2-</sup>, and dissolved CO<sub>2</sub>. Therefore, seasonal variations in DIC  
507 due to biological production and remineralisation occur quicker than for respective air-sea gas  
508 exchange fluxes to compensate for them. Thus, also, the seasonal cycle in the instrumental  
509 atmospheric CO<sub>2</sub> record is dominated by the seasonal variation of the land biosphere,  
510 especially for the northern hemisphere (Keeling et al., 2001). However, with significantly  
511 improved observing systems in the past two decades, it has become obvious that on a regional  
512 scale air-sea carbon fluxes may considerably differ between years (Le Quéré et al., 2007;  
513 Schuster and Watson, 2007). There are indications that these regional and temporal variations  
514 have been smoothed out on decadal time scales over the past 20 years (McKinley et al., 2011),  
515 but nevertheless observations and models suggest that the ocean sink is vulnerable to a  
516 decrease in efficiency during further climate change and further rising ambient CO<sub>2</sub> levels  
517 (Friedlingstein et al., 2006; Le Quéré et al., 2007; Watson et al., 2009; Arora et al., 2013).

518  
519 In general, one has to discriminate between the ultimate uptake capacity of the ocean for  
520 anthropogenic CO<sub>2</sub> from the atmosphere and the marine uptake kinetics for this CO<sub>2</sub>. Both are  
521 societally relevant and need to be taken into account for emission reduction strategies and  
522 development of improved renewable energy systems.

523  
524 The ultimate uptake capacity denotes the amount of anthropogenic carbon emitted to the  
525 atmosphere that in total eventually ends up in the ocean, long after the human-caused  
526 greenhouse gas emission perturbation has happened and when the ocean carbon cycle has  
527 achieved quasi-equilibrium. This time scale is of the order of several 10,000 years, because  
528 the ocean water column has to fully equilibrate with the CaCO<sub>3</sub> sediment on the seafloor,  
529 where a considerable portion of the CaCO<sub>3</sub> will become dissolved after repeated cycling of  
530 deep water (Broecker and Takahashi, 1977; Archer, 2005). The respective CO<sub>3</sub><sup>2-</sup> ions made  
531 available in seawater can, thus, be employed for neutralising anthropogenic carbon in the  
532 ocean. On very long time scales, this redissolution of CaCO<sub>3</sub> from the sediment, thus,  
533 provides an important negative feedback process to climate change. In addition, high  
534 atmospheric CO<sub>2</sub> levels enhance the weathering rate of carbonates on land. This process also  
535 works effectively only on long time scales with potentially quicker changing hot spots  
536 (Archer, 2005; Beaulieu et al., 2012). The ultimate storage capacity of the ocean critically  
537 depends on the total amount of carbon emitted. Burning of 5000 GtC (GtC = gigaton of  
538 carbon) of potentially available fossil fuel reserves would lead to a higher long-term CO<sub>2</sub> level  
539 in the atmosphere and a reduced fractional ocean uptake capacity in comparison to, e.g.,  
540 burning only 1000 GtC (Archer, 2005). The impact on societies and life even after 100,000  
541 years depends, thus, on our behaviour concerning usage of fossil fuel reserves today. This fact  
542 as well has to be taken into account for greenhouse gas emission reduction strategies.

543

544 The oceanic CO<sub>2</sub> uptake kinetics denote the speed with which human-produced CO<sub>2</sub>  
545 emissions to the atmosphere can be buffered by the oceans. Due to the limiting effect of gas  
546 exchange, CO<sub>2</sub> dissociation, turbulent mixing and ocean large-scale circulation, only a certain  
547 percentage of the excess CO<sub>2</sub> in the atmosphere can be taken up at a given unit of time by the  
548 ocean (Maier-Reimer and Hasselmann, 1987; Joos et al., 2013). Regionally, this also depends  
549 on the seasonal variations in circulation, biological productivity, as well as light, temperature,  
550 sea-ice cover, wind speed, and precipitation. It is expected that climate change will lead to a  
551 more stable density stratification in the ocean and a general slowing down of large-scale  
552 mixing and circulation (Meehl et al., 2007). The consequence will be a reduced uptake of  
553 anthropogenic carbon from the atmosphere at the ocean surface and also a lower downward  
554 mixing of anthropogenic CO<sub>2</sub> into deeper waters. In addition, high CO<sub>2</sub> in the atmosphere  
555 implies high CO<sub>2</sub> in surface waters and a reduction in the ocean's capability to dissociate the  
556 CO<sub>2</sub> into the other compounds of DIC, i.e. a decreasing buffering ability with rising ambient  
557 CO<sub>2</sub> levels. We have, thus, a physical and a chemical driving force acting on the carbon  
558 balance simultaneously and slowing down the transfer of anthropogenic carbon from the  
559 atmosphere into the ocean. The net effect is a reduction in carbon uptake efficiency with  
560 warming climate and rising atmospheric CO<sub>2</sub>, i.e. a positive feedback to climate change. In a  
561 situation with reduced ocean ventilation, also the biological pump will be affected and should  
562 be considered in the assessment on how the ocean carbon cycle is impacted. The oceanic CO<sub>2</sub>  
563 uptake kinetics depend on the rate of CO<sub>2</sub> emissions to the atmosphere: The faster the  
564 emissions are increasing, the stronger is the climatic effect on slowing down the uptake and  
565 the stronger the chemical effect on decreasing the CO<sub>2</sub> buffering. These effects are caused by  
566 water with high anthropogenic carbon load that cannot be mixed into the interior of the ocean  
567 with the original efficiency and because the buffering ability of seawater decreases with  
568 increasing CO<sub>2</sub> partial pressure in the water. The oceanic bottleneck effect is obvious in  
569 several decade-long future scenarios with ocean models (Maier-Reimer and Hasselmann,  
570 1987; Sarmiento and Le Quéré, 1996), fully coupled Earth system models (Friedlingstein et  
571 al., 2006; Roy et al., 2011; Arora et al., 2013), as well as EMICs (Earth system models of  
572 intermediate complexity; these have a lower resolution than usual Earth system models, but  
573 demand much less computational resources; Steinacher et al., 2013; Zickfeld et al., 2013).  
574 Earth system models are complex computer programmes, which include dynamical  
575 representations of the various Earth system reservoirs (atmosphere, ocean, land surface, ice)  
576 and the simultaneous interaction between these reservoirs (Bretherton, 1985; Mitchell et al.,  
577 2012). Earth system models are driven by solar insolation and greenhouse gas emissions and  
578 deliver expected time- and space-dependent distributions of important climatic variables.  
579 These variables can be of physical nature, such as temperature, precipitation, salinity, wind  
580 fields, ocean currents, sea-ice cover, or of biogeochemical nature, such as CO<sub>2</sub> concentration  
581 in ocean and atmosphere, pH value in the ocean, nutrient and dissolved oxygen  
582 concentrations, soil organic carbon, or biological productivity. The temporary build-up of  
583 high CO<sub>2</sub> concentrations in the atmosphere increases directly with the human-produced CO<sub>2</sub>  
584 emissions. At pessimistic scenarios with high annual emissions, the annual fraction of  
585 emissions buffered by the oceans is reduced, while pathways with reduced emissions enable a  
586 more efficient oceanic uptake rate. Inclusion of carbon dynamics in ocean and land models  
587 increases the sensitivity of climate models with respect to radiative warming. This means that  
588 models with carbon cycle representations and respective carbon-cycle-climate-feedbacks lead  
589 to an overall stronger warming than with conventional climate models that do not include an  
590 interactive carbon cycle. The range of this feedback is still large due to inherent model  
591 uncertainties and a partial lack of process understanding in all relevant disciplines.

### 592 593 **3.3 – Detection of ongoing ocean carbon sink strength variability**

594  
595 In the past two decades, the number of ocean carbon observations has considerably increased  
596 (Sabine et al., 2010). Data collection ranges from the surface to the deep ocean, encompasses  
597 different oceanic regions and includes various time series to capture both spatial and temporal  
598 variations. Satellite measurements have been extremely useful to identify the geographical  
599 distribution of biological primary productivity at the sea surface over seasonal as well as  
600 interannual cycles and to derive wind fields of high value for quantification of gas transfer  
601 velocities across the air-water interface. Targeted research cruises as well as the use of  
602 commercial ships (voluntary observing ships, VOS) equipped with automated systems are the  
603 backbone of surface ocean CO<sub>2</sub> concentration measurements, the data being synthesised in the  
604 SOCAT project (Fig. 3) (Pfeil et al., 2013; Sabine et al., 2013; Bakker et al., 2014). Selected  
605 buoys and floats are used to capture the spatio-temporal variability of ocean carbon. The most  
606 prominent network of floats was established in the framework of ARGO (Array for Real-time  
607 Geostrophic Oceanography) that delivers valuable temperature, salinity, and current data for a  
608 better understanding of mixed layer and subsurface dynamics. However nowadays, ocean  
609 floats are also successfully exploited as platforms for measuring e.g. *p*CO<sub>2</sub>, O<sub>2</sub>, optical  
610 variables, or nitrate (Boss et al., 2008; Johnson et al., 2010; Fiedler et al., 2013), overall  
611 increasing the possibilities for detailed, autonomous ocean monitoring with high vertical  
612 resolution and data recovery in remote areas (Fiedler et al., 2013). For the deep ocean, data  
613 synthesis products cover at least parts of the major oceans (GLODAP, CARINA, PACIFICA;  
614 Key et al., 2004; Key et al., 2010; Suzuki et al., 2013), but only episodically include seasonal  
615 cycles and do not enable the study of year to year variations in three-dimensional  
616 measurement fields (of DIC, nutrients, and dissolved oxygen). A small number of time series  
617 stations allow a quasi-continuous view at selected ocean sites (HOTS, BATS, ESTOC,  
618 PIRATA moorings, CVOO, PAP, PAPA, DYFAMED, Station M, IS-ts and further; see  
619 <http://www.oceansites.org/> and Olafsson et al., 2009). These time series stations have often  
620 been established in areas of fairly low short-term variability in order to allow a reliable  
621 establishment of long-term trends in the observations.

622  
623 Though the observational basis for assessing changes in the oceanic carbon cycle is limited, a  
624 number of major findings have been achieved. Sabine et al. (2004) compiled a global map of  
625 the ocean water column storage of anthropogenic carbon for the year 1994. In this map, the  
626 North Atlantic and the Southern Ocean with adjacent regions are recognized as hot spot areas  
627 for anthropogenic carbon storage. By combining observations with statistical and process-  
628 based model approaches, it could be shown that in these regions the annual uptake of CO<sub>2</sub>  
629 from the atmosphere has temporarily decreased, though the total inventory of the  
630 anthropogenic water column burden has monotonously increased.

631  
632 Both the North Atlantic and the Southern Ocean are deep-water production areas that would  
633 be very vulnerable regions with respect to climate-change induced slowing of oceanic carbon  
634 uptake. Internal variability modes of the climate system can be linked to variability in marine  
635 uptake of anthropogenic carbon. These internal variability modes have been identified  
636 through analysis of oceanic and atmospheric physical state variables (such as temperature,  
637 pressure, precipitation, and salinity). The variability modes cause atmospheric and oceanic  
638 anomalies with specific spatial patterns and time scales associated. The most important ones  
639 are ENSO (El Niño Southern Oscillation; Philander, 1990), NAO (North Atlantic Oscillation;  
640 Hurrell, 1995), SAM (Southern Annular Mode; Limpasuvan and Hartmann, 1999), and the  
641 PDO (Pacific Decadal Oscillation; Mantua and Hare, 2002). For the North Atlantic, a 50%  
642 change of the oceanic CO<sub>2</sub> sink could be deduced from the VOS line measurement network  
643 during the years 2002-2007 (Watson et al., 2009). Also other studies support the temporary

644 decrease of North Atlantic CO<sub>2</sub> uptake during several years of the past decade (Corbière et al.,  
645 2007; Schuster et al., 2009). These variations are at least partially attributed to oceanic  
646 variability in the North Atlantic associated with a surface pressure pattern change known as  
647 North Atlantic Oscillation (Wetzel et al., 2005; Thomas et al., 2008; Tjiputra et al., 2012). In a  
648 model study with six coupled Earth system models, Keller et al. (2012) identified a see-saw  
649 pattern of variations in sea surface pCO<sub>2</sub> between the North Atlantic subtropical gyre and the  
650 subpolar Northern Atlantic with an amplitude of ±8 ppmv. Such variations make  
651 identification of long-term trends in oceanic carbon uptake more difficult. With the help of  
652 deep repeat hydrography measurements, Pérez et al. (2013) could show that variations in  
653 North Atlantic CO<sub>2</sub> uptake are coupled to changes in meridional overturning large-scale  
654 circulation (linked to varying deep-water production rates). For the Southern Ocean, the  
655 observational ocean carbon data base is comparatively small, mostly due to the lack of regular  
656 shipping routes except for supply ships to Antarctic weather and research stations.  
657 Nevertheless, it could be shown, that the oceanic CO<sub>2</sub> uptake from the atmosphere did not  
658 keep up with the rising atmospheric CO<sub>2</sub> for some time. This result could be achieved using  
659 models driven with realistic atmospheric forcing in combination with observations primarily  
660 from the Indian Ocean sector of the Southern Ocean (Le Quéré et al., 2007; Metzl, 2009).  
661 Partly, this change can be attributed to climatic oscillations (Southern Annular Mode, SAM)  
662 in the southern hemisphere and their modifications due to changes in wind forcing associated  
663 with the decrease in stratospheric ozone (Lovenduski et al., 2007; Lenton et al., 2009). The  
664 SAM is a mode of atmospheric variability that is marked in its positive phase by a southward  
665 shift of the westerlies, which would enhance upwelling of old water with high concentrations  
666 of DIC. Due to the fairly short observational time series for the Southern Ocean, a weakening  
667 of the Southern Ocean anthropogenic carbon uptake has been controversially discussed. While  
668 atmospheric inversion approaches give results consistent with Le Quéré et al. (2007), the bulk  
669 of forward biogeochemical ocean models do not predict a decrease in Southern Ocean CO<sub>2</sub>  
670 uptake strength (Lovenduski et al., 2008; Lenton et al., 2013). Also the tropical Pacific Ocean  
671 with the strongest known short-term climate variation of Earth called ENSO (during El Niño  
672 phases upwelling in the eastern equatorial Pacific is reduced due to accumulation of  
673 anomalously warm surface waters) induces large temporary interannual variability (amplitude  
674 of ca. ±0.3 GtC/yr; Valsala et al., 2014) in ocean carbon uptake. The increased sea-surface  
675 warming during ENSO events and reduced upwelling of carbon-rich waters result in a  
676 temporarily reduced outgassing and an enhanced oceanic carbon uptake, respectively (Feely  
677 et al., 1999; Ishii et al., 2009). ENSO variations also have implications for air-sea fluxes in the  
678 tropical Atlantic as documented by Lefèvre et al. (2013). Decadal pCO<sub>2</sub> variations in the  
679 Pacific can be attributed to the Pacific Decadal Oscillation (PDO) leading to long-term  
680 anomalies of tropical sea surface pCO<sub>2</sub> on the order of ±10 ppmv (Valsala et al., 2014). PDO  
681 is also made responsible for pCO<sub>2</sub> variations in the North Pacific (McKinley et al., 2006; Ishii  
682 et al., 2014) though details of the mechanism are difficult to identify and associated CO<sub>2</sub> flux  
683 variations seem to be quite small (McKinley et al., 2006).

684  
685 Not only internal variability modes affect the air-sea CO<sub>2</sub> flux, but also external factors such  
686 as aerosol forcing from volcanic eruptions. Such volcanic forcing tends to temporarily cool  
687 the troposphere and the sea surface with respective implications for carbon cycling. Brovkin  
688 et al. (2010) could identify a temporary small decline of atmospheric pCO<sub>2</sub> by about 2 ppmv a  
689 few years after major eruptions over the last millennium, where decreasing respiration on land  
690 is a potential leading candidate with the ocean having only a small effect. This is corroborated  
691 by Frölicher et al. (2011) for a model study on the effect of Mt. Pinatubo type eruptions on the  
692 carbon cycle, where again the terrestrial carbon cycle dominates the atmospheric pCO<sub>2</sub> signal.  
693 Nevertheless, transient changes in ocean uptake of about 2 GtC are in a realistic realm as

694 consequences to large volcanic eruptions (Frölicher et al., 2011). Further, it cannot be  
695 excluded that also the biological carbon binding is stimulated under deposition of volcanic  
696 dust to the ocean surface (Hamme et al., 2010).

697  
698 In view of the internal and external factors on ocean carbon cycle variability, it is intriguing to  
699 ask, when long-term climate change signals become identifiable against the background noise.  
700 This problem is of specific concern for large impacts of ocean acidification (see detailed  
701 discussion below). Ilyina et al. (2009) identified the equatorial Pacific Ocean to be the oceanic  
702 domain where a change in marine biogenic CaCO<sub>3</sub> production due to ocean acidification may  
703 become at first visible through large-scale changes in ocean surface alkalinity. This can be  
704 explained by large background values of pelagic CaCO<sub>3</sub> production in the tropical Pacific,  
705 though the impact per unit of CaCO<sub>3</sub> produced would be highest in the high-latitude surface  
706 waters where decreasing CaCO<sub>3</sub> saturation proceeds fastest. Generally, the time of emergence  
707 of a climate change signal is an important variable: When can we see changes in oceanic state  
708 variables which clearly can be attributed to human-induced climate change, i.e. when do  
709 trends in key ocean variables emerge as robust on the background of analytical uncertainty  
710 and interannual variability? Keller et al. (2014, 2015) provided new insight into this issue.  
711 Earth system modelling suggested that sea surface *p*CO<sub>2</sub> and sea surface pH trends could rise  
712 beyond the detection threshold already after 12 years from now. DIC trends would become  
713 clear after 10-30 years and trends in the sea surface temperature after 45-90 years (Keller et  
714 al., 2014). Accordingly, an earlier detection threshold for changes in mean ENSO-induced  
715 carbon cycle variability (*p*CO<sub>2</sub>, pH, biological productivity) than for ocean temperature  
716 changes during the 21<sup>st</sup> century was predicted by Keller et al. (2015). Therefore, ocean carbon  
717 cycle observations play a key role as early warning indicators when monitoring climate  
718 change. For the time interval 1960-2005, Séférian et al. (2014), however, state that the  
719 evolution of the global carbon sink can mainly be explained through rising CO<sub>2</sub> in the  
720 atmosphere and oceanic carbon uptake without invoking a climatic feedback. Nevertheless, at  
721 regional scale, trends in climate change become also visible in shaping the regional sink  
722 strength pattern.

723  
724 Regarding future scenarios for the evolution of ocean carbon sinks, Earth system models  
725 driven by solar insolation and greenhouse gas concentrations indicate the strongest areas for  
726 sequestration of anthropogenic carbon are in the Southern Ocean as well as the tropical ocean  
727 (Tjiputra et al., 2010; Roy et al., 2011). The Southern Ocean seems to be the ocean fly wheel  
728 for changes in atmospheric CO<sub>2</sub>, not only for anthropogenic carbon uptake, but also for  
729 natural variations in atmospheric CO<sub>2</sub> (Sigman and Boyle, 2000; Heinze, 2002; Watson and  
730 Naveira Garabato, 2006). Long-term observational capacity for the Southern Ocean is critical  
731 to monitor the ocean sink strength for anthropogenic carbon.

732  
733  
734

#### 735 **4 – The impact of human-produced carbon on warming and marine ecosystems**

736

737 The ocean carbon sink provides a major service to human societies in removing  
738 anthropogenic CO<sub>2</sub> from the atmosphere and, thus, reducing the additional radiative forcing of  
739 the Earth system. On the other hand, dissociation of anthropogenic CO<sub>2</sub> in seawater increases  
740 ocean acidification, whose potential impacts on the diversity and functioning of marine  
741 ecosystems are not yet fully understood. Understanding the role of the oceanic carbon sink in  
742 controlling Earth's heat budget and influencing marine life is of great importance to project  
743 future effects of climate change. Scenarios with Earth system models (advanced climate

744 models, for a more detailed explanation see chapter 3.2) reveal that the fraction of fossil fuel  
745 emissions absorbed by the ocean over the 21<sup>st</sup> century is projected to be lower for high  
746 emission scenarios (business as usual scenarios) than stringent emission mitigation scenarios  
747 (Jones et al., 2013).

748  
749  
750  
751

#### 752 **4.1 – Impact of the ocean carbon uptake on Earth’s heat budget**

753

754 The net carbon uptake rates of land and ocean determine the future time evolution of radiative  
755 forcing of the atmosphere and, hence, climate change for a given emission scenario (for a  
756 detailed definition of radiative forcing see Myhre et al., 2013). Joos et al. (2013) used  
757 different Earth system models to compute an average integrated global warming potential for  
758 a pulse emission of 100 GtC) into the atmosphere. In the study it is also stressed that  
759 quantifying the global warming effect for certain retentions of CO<sub>2</sub> emissions to the  
760 atmosphere depends critically on the time horizon considered. For the 100 Gt-C pulse to the  
761 atmosphere, e.g., 25±9% of the pulse emission would remain in the atmosphere after 1000  
762 years, during which the ocean and land would have absorbed 59±12% and 16±4%,  
763 respectively. This emphasizes the long time horizon for the anthropogenic perturbation, which  
764 has to be taken into account even for a world with strongly reduced CO<sub>2</sub> emissions (Plattner et  
765 al., 2008). For higher total emission pulses, the overall retention in the atmosphere would be  
766 higher and likewise the global warming potential per kg CO<sub>2</sub> brought into the atmosphere  
767 (Maier-Reimer and Hasselmann, 1987; Archer, 2005) due to the weakening buffering capacity  
768 of the ocean at high ambient CO<sub>2</sub> partial pressure.

769

770 A future global warming limit of 2°C above the average preindustrial surface temperature has  
771 been suggested as a not yet very ambitious, and thus, potentially achievable political target for  
772 greenhouse gas emission strategies (Tol, 2007; Meinshausen et al., 2009; Schellnhuber, 2010;  
773 United Nations, 2010). Recent experiments with a coarse resolution Earth system model  
774 taking into account multiple climate targets, i.e. limits for maximum amplitudes of specific  
775 variables such as surface air temperature increase, sea-level rise, aragonite saturation, and  
776 biomass production on land, reveal that CO<sub>2</sub> emissions need to be substantially reduced for  
777 achieving several mitigation goals simultaneously, rather than for meeting a temperature  
778 target alone (Steinacher et al., 2013). Accounting for the carbon cycle climate feedback as  
779 well as other physical and biogeochemical feedbacks in climate models is of great importance  
780 for estimating the allowable emissions for a certain time line of atmospheric CO<sub>2</sub>  
781 concentration and global warming. Complex Earth system models are needed for this.  
782 Simplified climate models as, e.g., employed in Integrated Assessment Models (for  
783 simulations of economical developments under climatic change and for construction of typical  
784 future scenarios) are insufficient for this purpose as they do not account for internal feedbacks  
785 in the Earth system in a dynamical way (Jones et al., 2013).

786

#### 787 **4.2 – Ocean acidification and its impact on marine ecosystems**

788

789 The term ‘ocean acidification’ refers to the decrease of oceanic pH by 0.1 units over the past  
790 250 years and the predicted lowering of pH by another 0.3-0.4 units until the year 2100  
791 (Caldeira and Wickett, 2003; Raven et al., 2005). Its main cause is the uptake and dissociation  
792 of excess CO<sub>2</sub> from the atmosphere that leads to an increase in the oceanic hydrogen ion  
793 concentration. Thorough monitoring of ocean acidification is of great importance, and by



794 collecting values in observational carbon data bases (e.g. like SOCAT and fixed time series  
795 stations) as well as by conducting long-term carbon time-series measurements (e.g. as  
796 reported in Vázquez-Rodríguez et al., 2012) our understanding of this process and its  
797 spreading throughout Earth's oceans can be significantly advanced (Fig. 3; Fig. 4). In  
798 addition, investigating the potential effects of 'high CO<sub>2</sub>-low pH' conditions on the diversity  
799 and functioning of marine biota and ecosystems is currently the focus of many scientific  
800 studies. The interpretation of the observed responses in a species- and ecosystem-relevant  
801 context thereby suggests that the two ocean acidification stressors high CO<sub>2</sub> concentration and  
802 decreased pH are very often only one part of a complex equation. Other environmental  
803 stressors like temperature, light availability, oxygen concentration, nutrient concentration,  
804 CaCO<sub>3</sub> saturation state or trace metal speciation (to name only a few) as well as time and  
805 physiological characteristics of the investigated organisms themselves have to be taken into  
806 account when elaborating on ocean acidification impacts (Raven et al., 2005; Pörtner, 2008;  
807 Ries et al., 2009; Dupont et al., 2010).

808  
809 The most immediate response to an increase in CO<sub>2</sub> concentration and a decrease in seawater  
810 pH is expected for marine calcifying organisms, including corals, molluscs, crustaceans,  
811 echinoderms, coccolithophores, foraminifera as well as coralline and calcareous algae.  
812 Maintenance and production of shells and skeletons may cost more energy in an environment  
813 with reduced pH, and altered organism physiology may increase the vulnerability of certain  
814 species and compromise their ecosystem functions (Bibby et al., 2007; McClintock et al.,  
815 2009; Tunnicliffe et al., 2009). Calcification rates are likely to decline with a reduced  
816 saturation value for aragonite and calcite, the two most common forms of CaCO<sub>3</sub> in seawater  
817 (Feely et al., 2004; Guinotte and Fabry, 2008), caused by a decrease in CO<sub>3</sub><sup>2-</sup> concentration  
818 when CO<sub>3</sub><sup>2-</sup>, excess atmospheric CO<sub>2</sub>, and H<sub>2</sub>O react to HCO<sub>3</sub><sup>-</sup> and hydrogen ions. Projections  
819 indicate the potential undersaturation for both aragonite and calcite within the current century  
820 for all polar regions (see Fig. 5) and parts of the subpolar Pacific Ocean as well as the deep  
821 North Atlantic Ocean (Orr et al., 2005; Fabry et al., 2008; Steinacher et al., 2009; Orr, 2011).  
822 Because aragonite dissolves at higher CO<sub>3</sub><sup>2-</sup> concentrations than calcite, corals and other  
823 aragonite-producing organisms are expected to experience corrosion of their hard shell  
824 materials due to ocean acidification first. At natural CO<sub>2</sub> seeps in Papua New Guinea, a  
825 decline in coral diversity was documented in areas of reduced pH as structurally complex  
826 corals were replaced by massive *Porites* corals (Fabricius et al., 2011). The consequences  
827 arising from this diversity shift could be similar to those anticipated for a general reduction in  
828 coral cover and include a loss in biodiversity, habitat availability and quality as well as reef  
829 resilience (Fabricius et al., 2011). The decrease in CaCO<sub>3</sub> saturation as a result of ocean  
830 acidification combined with other environmental impact factors such as an increase in  
831 temperature can be critical (Kleypas et al., 1999; Hoegh-Guldberg et al., 2007; Veron et al.,  
832 2009; Fabricius et al., 2011). Recent scenario computations with Earth system models  
833 document that a drastic reduction of CO<sub>2</sub> emissions is required to preserve major coral reefs  
834 during the Anthropocene (Ricke et al., 2013). However, aspects such as potential adaptation  
835 processes and migration need yet to be included in regional studies (Yara et al., 2012).

836  
837 The effects of ocean acidification on different groups of marine biota can be rather diverse  
838 and complex. For example, specimens of the economically and ecologically important blue  
839 mussel *Mytilus edulis* recovered from the North Sea showed drastically reduced calcification  
840 rates, while specimens recovered from a coastal area of the Baltic Sea did not show any  
841 sensitivity to increased pCO<sub>2</sub> values (Gazeau et al., 2007; Thomsen et al., 2010; Schiermeier,  
842 2011). Mussels from the Baltic seemed to be adapted to thriving in waters that generally  
843 experience strong seasonal pCO<sub>2</sub> fluctuations, and food availability may have potentially

844 outweighed the effects of ocean acidification (Thomsen et al., 2010; Thomsen et al., 2013). In  
845 a study comparing different types of benthic marine calcifiers it could be shown that certain  
846 species experienced dissolution, while others were able to exploit the higher  $p\text{CO}_2$  content in  
847 seawater and increased their net calcification. Physiological characteristics like the organism's  
848 ability to regulate pH, shell-protection with organic layers, biomineral solubility, and  
849 photosynthesis utilization seemed to play a role (Ries et al., 2009). Species-specific reactions  
850 as well as an organism's life cycle stage are further factors that may have to be taken into  
851 account as it has been shown e.g. for echinoderms (Dupont et al., 2010; Dupont et al., 2013;  
852 Dupont and Pörtner, 2013). Results obtained for phytoplankton communities additionally  
853 stress the importance of community composition and/or shifts when assessing ocean  
854 acidification impacts, but still a lot has to be explored about the response of marine microbes  
855 to ocean acidification (Raven et al., 2005; Liu et al., 2010a; Joint et al., 2011; Brussaard et al.,  
856 2013; Oliver et al., 2014).

857  
858 Ocean acidification does not only affect calcifying biota. Sensitivity towards ocean  
859 acidification has been detected for fish and other invertebrates, with increased risks of  
860 acidification of body fluids and tissues as well as hindered respiratory gas exchange (Raven et  
861 al., 2005). Beneficial effects were observed e.g. for seagrass (Palacios and Zimmerman, 2007;  
862 Hall-Spencer et al., 2008; Fabricius et al., 2011) and various algal species (Hall-Spencer et al.,  
863 2008; Connell et al., 2013).

864  
865 Projecting the precise impact of ocean acidification on the diversity and functioning of marine  
866 organisms and ecosystems is challenging. A meta-analysis of 228 published studies by  
867 Kroeker et al. (2013) revealed a decrease in calcification, growth, survival, development, and  
868 abundance across a wide range of taxa, but also showed a certain degree of variability among  
869 groups suggesting different scales of sensitivity. It is not well established to which degree  
870 organisms can adapt to quasi-permanent changes in ocean pH due to rapid anthropogenic  
871 carbon input. It is also not known, if and in what way consequences like the physiological  
872 impairment of vulnerable species and the reduction and/or shifts in biodiversity may be  
873 mastered provided that ecosystem functionality shall be preserved. With regard to the  
874 sustainable development of marine resources, future research will need to focus on multiple  
875 stressor studies over various time scales to reveal the functional impact of ocean acidification  
876 (and climate change in general) on marine ecosystem services and provide both  
877 comprehensive monitoring and solution-oriented results.

### 878 879 **4.3 – Future impact research**

880  
881 For future modelling approaches, not only the effects of atmospheric and oceanic warming as  
882 well as ocean acidification have to be considered, but also the influence of multiple stressors.  
883 These include physical and chemical drivers as well as circulation and stratification changes,  
884 freshening, changes in ice cover, deoxygenation, anthropogenic nitrogen input, changes in  
885 dust supply, marine pollution by offshore activities (e.g. Deepwater Horizon disaster; Mearns  
886 et al., 2011), and plastic waste (also on the micro-scale; Gross, 2013) or overfishing and  
887 bottom trawling. Earth system models that represent the marine carbon cycle and related  
888 biogeochemical cycles have been successfully used to establish the regional combination of  
889 some major stressors and the future evolution of these combinations (Bopp et al., 2013). Yet,  
890 robustness in regional projection is strongly dependent on the considered stressors and  
891 regions, and identifying the onset of emission induced change is still a challenging task that is  
892 especially sensitive to the considered emission-scenario (see Fig. 5). The combined action of  
893 stressors has to be accounted for in the next generation of Earth system model climate

894 projections (Steinacher et al., 2013). A critical variable within this context is the sustained  
895 generation of exploitable biomass in the ocean for human food production, where overall  
896 biological carbon fixation rates will presumably decrease with a more stagnant ocean  
897 circulation (Steinacher et al., 2010).

898  
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## 902 **5 – The ocean carbon sink in relation to the land carbon sink**

903

904 The atmospheric CO<sub>2</sub> concentration is determined by the CO<sub>2</sub> emissions and the CO<sub>2</sub>  
905 exchanges between the land biosphere and atmosphere as well as between the atmosphere and  
906 ocean. Quantification of the regional as well as global land carbon sink is associated with high  
907 uncertainties due to the direct coupling of CO<sub>2</sub> consumption and release on the land surface  
908 with the atmosphere in combination with the heterogeneity of the land biosphere, its constant  
909 change and different forms of land use including forestry changes. Complex soil processes  
910 like the degradation of organic material and permafrost melting processes (Schuur et al.,  
911 2009), episodic events such as fires (wild fires, peat fires; Schultz et al., 2008; van der Werf et  
912 al., 2008), and the multitude of possible reactions of land plants to different drivers (Kattge et  
913 al., 2011) make the determination of the land carbon sink difficult. Recent studies indicate  
914 that it may have been overestimated as the limiting effect of nitrogen (N) on plant growth has  
915 not yet been accounted for in most models, potentially giving too much value to the CO<sub>2</sub>  
916 fertilisation effect, while on the other hand human-caused additions of nitrogen to the Earth  
917 system regionally enhance plant growth (Zaehle and Dalmonech, 2011). Only two Earth  
918 system modelling frameworks employed for the projections as summarised in the 5<sup>th</sup>  
919 assessment report of IPCC (Collins et al., 2013) included N limitation on land, and related  
920 processes and feedbacks are under discussion.

921

922 In comparison to the land carbon sink, the large-scale oceanic sink is considered to be less  
923 variable on an interannual time scale (though considerable perturbations of the ocean carbon  
924 cycle are linked with, e.g., the ENSO cycles; Feely et al., 2006) and, even though a 3-  
925 dimensional approach is required due to water motion, somewhat easier to quantify. This  
926 traditional view is exploited to estimate the year-to-year land sink for anthropogenic carbon  
927 from the atmospheric observations and ocean models (evaluated through observations). The  
928 terrestrial carbon sink is then the residual of CO<sub>2</sub> emissions, atmospheric CO<sub>2</sub> concentrations,  
929 and ocean-atmosphere CO<sub>2</sub> fluxes (Canadell et al., 2007; Le Quéré et al., 2013). Until precise  
930 quantifications of the land carbon sink become available through direct observations and  
931 modelling, estimating it through the ocean carbon sink is a valid option. However, with  
932 increasing detail in oceanic carbon sink determinations, oceanographers are starting to run  
933 into similar heterogeneity problems in the oceans as geo-ecologists on land, especially when  
934 the continental margins, the shelf seas, and coastal and estuarine systems are taken into  
935 account (Borges, 2005; Liu et al., 2010b; Regnier et al., 2013). These likewise heterogeneous  
936 systems are so far not (or at best partially) included in global Earth system model scenarios,  
937 because the resolution of these models does not allow for the resolution of the respective  
938 topographic features and super-computers are currently insufficient to run respective high-  
939 resolution models as yet (Mitchell et al., 2012). Measurements of the O<sub>2</sub>/N<sub>2</sub> ratio in the  
940 atmosphere and marine oxygen budgets can help to further specify the land carbon sink  
941 (Keeling et al., 1996). Alternatively, the stable carbon isotope ratio <sup>13</sup>C/<sup>12</sup>Cs- (or its deviation  
942 δ<sup>13</sup>C from a standard ratio <sup>13</sup>C/<sup>12</sup>C) can be employed to discriminate between the land  
943 and ocean carbon uptake taking the low δ<sup>13</sup>C in fossil fuel CO<sub>2</sub> emissions to the atmosphere

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944 (Suess effect; see Keeling, 1979) and the isotopic disequilibria between atmosphere, ocean,  
945 and terrestrial biosphere into account (Ciais et al., 1995; Battle et al., 2000). The isotopic  
946 fractionation for oceanic CO<sub>2</sub> absorption is small so that <sup>13</sup>C/<sup>12</sup>C ratios can be used directly  
947 for quantifying oceanic CO<sub>2</sub> uptake through budgeting approaches given that a sufficient  
948 number of observations in atmosphere and ocean ~~is~~ are available (Quay et al., 1992; Tans et  
949 al., 1992; Heimann and Maier-Reimer, 1996). In contrast, carbon uptake in terrestrial biomass  
950 leads to a substantial fractionation (leading- to low δ<sup>13</sup>C in plant material). When quantifying  
951 the respiratory carbon release, ~~whereby also~~ the age of the biomass has to be considered as  
952 carbon that was assimilated decades earlier may still contribute to the respiration process  
953 when respiratory carbon release is quantified (Ciais et al., 1995; Battle et al., 2000).;

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954  
955 The interannual variability of land-atmosphere carbon fluxes appears to be higher than the  
956 respective variations for ocean-atmosphere fluxes when computing the land carbon sink as the  
957 residual between oceanic uptake and atmospheric CO<sub>2</sub> retention (Canadell et al., 2007). On a  
958 multi-millennial time scale, peat formation and organic carbon burial in lakes contribute to  
959 slow long-term accumulation on land (Einsele et al., 2001; Gorham et al., 2012). Due to the  
960 overall smaller carbon inventory of the land biosphere as compared to the inorganic ocean  
961 carbon pool (Fig. 6), it is expected that the ocean through inorganic buffering and CaCO<sub>3</sub>  
962 sediment dissolution would ultimately account for the major part of removal of the human-  
963 induced addition of CO<sub>2</sub> to the atmosphere (Archer, 2005).

## 964 | 965 **6 – Major ocean carbon challenges and key knowledge gaps**

966  
967 Some aspects of marine carbon cycling can be regarded as well-established research fields,  
968 such as the inorganic carbon buffering system. However, other elements are more difficult to  
969 approach, partly due to inherent principle difficulties and partly based on the lack of  
970 technological and infrastructural effort. Within this section, some major ocean carbon  
971 challenges and key knowledge gaps in ocean carbon research will be addressed.

### 972 973 **6.1 – Observational data bases**

974  
975 Based on measurements, our knowledge of inorganic and organic carbon cycling has  
976 significantly improved over the past decade. This is especially due to measurements of  
977 inorganically dissolved substances including the 3-dimensional data sets GLODAP (Key et  
978 al., 2004; GLODAPv2), CARINA (Key et al., 2010), the surface ocean CO<sub>2</sub> data compilations  
979 from Takahashi et al. (2009), and SOCAT (Pfeil et al., 2013; Sabine et al., 2013; Bakker et  
980 al., 2014). Semi-continuous measurements are necessary due to the variability of the ocean  
981 carbon sink, the continuously changing atmospheric CO<sub>2</sub> concentrations as well as the  
982 variability of oceanic circulation. The aims are to identify vulnerabilities of carbon sinks, to  
983 validate feedback mechanisms and to provide detailed information for other researchers or  
984 commercial users regarding the impact of climate change on the marine realm.

985  
986 Measurements of dissolved oxygen are of key importance for carbon cycle research. Oxygen  
987 data are the basis for improving estimates of the land carbon sink (Keeling et al., 1996) and  
988 for identifying any emergent fingerprint (Andrews et al., 2013), an extensive O<sub>2</sub> measurement  
989 programme is needed. In addition, measurements of at least two carbon variables of the  
990 marine inorganic carbon system are necessary. Here, pH and pCO<sub>2</sub> are likely the ones where  
991 the techniques first will be available on floats, though this combination is not optimal for  
992 deriving the other inorganic carbon variables. Another option would be to measure DIC and  
993 alkalinity as the latter easily can be measured in seawater and determines together with DIC

994 the marine inorganic carbon system (see Wolf-Gladrow et al., 2007). In combination with O<sub>2</sub>  
995 measurements on automated float systems, this altogether would provide a significant  
996 advance in ocean carbon observations. Pilot studies conducted in recent years yielded  
997 promising results for a world-wide application of such systems (Gruber et al., 2010; Fiedler et  
998 al., 2013).

999  
1000 For improved estimates of the biological carbon pump variations, reliable shallow flux  
1001 estimates as well as state-of-the-art biogenic CaCO<sub>3</sub> (aragonite, calcite) and biogenic silica  
1002 (BSi) production maps would be desirable. Respective maps for CaCO<sub>3</sub> export production are  
1003 at present possibly associated with large errors and give partly incongruous results (Sarmiento  
1004 and Gruber, 2006; Balch et al., 2007). Highly accurate total alkalinity observations and a  
1005 reliable CaCO<sub>3</sub> surface map could be used as reference points for future developments of  
1006 biocalcification under high CO<sub>2</sub> (Ilyina et al., 2009). Satellite observations have greatly  
1007 improved our understanding about primary production in the ocean (Henson et al., 2012), but  
1008 remote sensing efforts have still to be better exploited and extended in order to fill the gaps of  
1009 fragmental in-situ observations, especially also for production of hard part shell material.

1010  
1011 Anthropogenically induced elevated carbon levels in the ocean (C<sub>ant</sub>) cannot be observed  
1012 directly, which is why indirect methods have to be used (Gruber et al., 1996; Hall et al., 2002;  
1013 Touratier and Goyet, 2004; Friis et al., 2005). Even though year-to-year changes in DIC are  
1014 measurable in ocean surface waters, it is a challenge to determine them in deeper layers as the  
1015 anthropogenic perturbation in seawater is relatively small when compared to the natural  
1016 background. Over the past years, major international networks and projects (EU framework  
1017 programmes, OCB, PICES, SOLAS, IMBER, IOCCP etc.) have helped to make much  
1018 scientific progress in ocean carbon research worldwide. However, extensions and new  
1019 projects are required to continue the work (GEO/GEOSS, GOOS, FOO, ICOS etc.).

1020  
1021 In contrast to the atmosphere, oceanic meso-scale circulation features are marked by short  
1022 spatial scales and large time scales. While an atmospheric pressure system has a typical length  
1023 scale of 1000 km and a lifetime of days to weeks, comparable oceanic meso-scale eddies have  
1024 scales of 2-100 km and several months. Therefore, selected oceanic observations can be  
1025 aliased through meso-scale motion and may not reflect the long-term mean state.

1026  
1027 Time series stations in the ocean are still rare and mostly cover low to mid-latitudes (e.g.  
1028 HOTS, BATS, ESTOC, PAP, PAPA, DYFAMED). These time series have provided a lot of  
1029 insight into the long-term evolution of carbon cycle tracers, e.g. the local decline of mean sea  
1030 surface pH has been documented as unequivocal proof of progressing ocean acidification  
1031 (Santana-Casiano et al., 2007; Bates et al., 2014). An expansion of time series stations at  
1032 higher latitude areas would be desirable as, e.g., the change in sea surface pCO<sub>2</sub> and pH  
1033 would be largest over time, although the mean signal there would be somewhat more blurred  
1034 by interannual variability (Olafsson et al., 2009; Bauerfeind et al., 2014).

1035  
1036 Apart from the issues described above, general challenges for determination of oceanic carbon  
1037 budgets within the Earth system exist, which possibly never can be met adequately: 1. The  
1038 annual net uptake rate of anthropogenic carbon from the atmosphere is small as compared to  
1039 the gross upward and downward fluxes occurring over one year in different oceanic regions.  
1040 That means that we always will have to quantify small net exchange fluxes as difference of  
1041 large gross fluxes into and out of the ocean. 2. The pristine carbon fluxes between the  
1042 atmosphere and the ocean as well as the pre-industrial 3-dimensional distributions of DIC  
1043 have not been measured and need to be reconstructed (Khaliwala et al., 2009; Khaliwala et al.,

1044 2013). It is unlikely that ocean carbon variables can be reconstructed with high accuracy for  
1045 the pre-industrial from potential proxy record development.

1046

## 1047 **6.2 – Process and impact knowledge**

1048

1049 A major obstacle for improvements in future projections of the Earth system for selected  
1050 future scenarios of driving factors is the lack of sufficient process understanding, process  
1051 quantification, and process identification. Though some major biogeochemical principles are  
1052 known, detailed dynamical formulations of processes are scarce and in their infancy. There is  
1053 a considerable uncertainty about the gas transfer velocity of CO<sub>2</sub> and other gases across the  
1054 air-water interface (Carpenter et al., 2012; Garbe et al., 2014). While the global ocean carbon  
1055 sink estimates may not too strongly depend on this choice (otherwise projections with simple  
1056 two box models for the global ocean would not have worked at all; Oeschger et al., 1975), the  
1057 projected local CO<sub>2</sub> concentration in ocean surface waters is highly influenced by the chosen  
1058 gas transfer velocity values, also for appropriate regional validation of ocean models. The co-  
1059 limitation of biological production by various factors is an established concept, however,  
1060 crucial details are not uniformly established, such as the potential variation of carbon to  
1061 nitrogen ratios in biogenic matter under different environmental conditions (Riebesell et al.,  
1062 2007; Jiang et al., 2013). Marine particle fluxes and their dynamics are still poorly understood  
1063 and not yet adequately quantified in a dynamic way in response to external drivers (Klaas and  
1064 Archer, 2002; Gehlen et al., 2006). The ongoing and future impacts of high CO<sub>2</sub> on marine  
1065 organisms have yet to be clarified (Gattuso and Hansson, 2011). Formulations on how to  
1066 quantify the production as well as degradation of phyto- and zooplankton particulate matter  
1067 (organic, inorganic) are not mature enough or not even existing for providing step-change  
1068 improvements of complex ocean models as well as coupled Earth system models. This  
1069 includes, in particular, potential adaptation of organisms and ecosystems to conditions not  
1070 experienced since the geologic past (Langer et al., 2006). Respective modelling approaches  
1071 remain questionable until more detailed and reliable information about the effect of changing  
1072 external drivers, like decreasing carbonate saturation, on the functioning of marine organisms  
1073 and ecosystems becomes available. For a suite of land plants, functional relationships between  
1074 drivers and physiological reactions have been established in large data compilations for trait-  
1075 based modelling of the land biosphere (Kattge et al., 2011). Approaches for the simulation of  
1076 ocean ecosystems with multiple plankton functional types have been initiated (Le Quéré et al.,  
1077 2005), but trait data bases for marine organisms are not yet available in a suitable format and  
1078 information from mesocosm and laboratory experiments is scarce and may not be  
1079 straightforwardly transferable to the real Earth system.

1080

## 1081 **6.3 – Integrative modelling and combination with measurements**

1082

1083 For simulations of the ocean carbon sink and its impact, suitable models are needed to explain  
1084 past and present events as well as to predict potential future pathways. Biogeochemical ocean  
1085 general circulation models are employed either through observed forcing or within coupled  
1086 Earth system models (for review see e.g. Heinze and Gehlen, 2013). There is a trade-off  
1087 between their resolution (space and time) and a technically feasible length of the simulation  
1088 period. High-resolution models with eddy dynamics (large-scale turbulent mixing) are often  
1089 too computationally expensive for integrations exceeding a few decades. However, multiple  
1090 future scenarios calculated over decades, centuries, and millennia are necessary to achieve  
1091 reliable future projections. In addition, biogeochemical models whose water mass properties  
1092 shall be fully predicted by the models need very long and costly spin-up periods in order to  
1093 bring the tracer distributions including the carbon cycle tracers into quasi-equilibrium.

1094 Integration periods need to be at least as long as one full oceanic circulation cycle of about  
1095 1500 years. Even for still fairly coarse resolutions this is currently quite costly in terms of  
1096 super-computer processing time. Global model simulations of deep-sea carbon distributions as  
1097 well as other deep-sea properties are therefore often limited to a lower resolution as compared  
1098 to their distributions in surface or shallow waters (e.g., Ilyina et al., 2013; S  f  rian et al.,  
1099 2013; Tjiputra et al., 2013).

1100  
1101 Models need systematic improvement by combining them with and comparing them to  
1102 observational data. By applying data assimilation procedures (Brasseur et al., 2009), existing  
1103 discrete observations of oceanic variables can be interpolated (gap filling) and free adjustable  
1104 parameters in models (such as, e.g., the particle sinking velocity) can be calibrated. Data-  
1105 driven diagnostic models (Usbeck et al., 2003) are important for suggesting first order values  
1106 of free parameters in dynamical process descriptions and can be implemented in complex  
1107 forward models, which can be used for predictions as well. Systematic model assessment with  
1108 observations and model optimisation with data assimilation have made progress in recent  
1109 years, but for integrated biogeochemical cycle simulations these approaches need to be  
1110 extended. Skill score metrics, which can be used to rank models according to their ability to  
1111 reproduce physical and biogeochemical variables simultaneously, may become a valuable tool  
1112 for future simulations. A simplified short cut method in order to assess the quality of future  
1113 projections of Earth system models is the emergent constraint approach (Cox et al., 2013;  
1114 Hoffman et al., 2014; Wenzel et al., 2014). In this approach, an interrelation is sought  
1115 between a specific Earth system sensitivity as resulting across an ensemble of comparable  
1116 models and a corresponding observational trend or variability (see also Flato et al., 2013).  
1117 This method has just started to also be used for addressing ocean biogeochemical problems  
1118 (Hoffman et al., 2014) and respective constraints have to be identified for this research field.  
1119 Model scenarios can diverge depending on slight modifications of the starting (initial) and  
1120 boundary conditions during a model run as well as due to internal variability in the model.  
1121 Therefore, for a given CO<sub>2</sub> emission scenario the expected evolution of the results can differ.  
1122 Ensemble simulations are necessary for establishing a range of statistically valid, potential  
1123 outcomes that are associated with different degrees of probability. Due to the immense costs  
1124 for multiple integrations of complex Earth system models, scenarios with large ensembles,  
1125 though, have been attempted in only few ocean carbon uptake studies.

#### 1126 1127 **6.4 – Specific regional foci for ocean carbon cycle studies**

1128  
1129 There are at least 6 major regional domains, which warrant more attention in the coming years  
1130 of ocean carbon cycle research:

1131  
1132 1. The Southern Ocean is quantitatively the most important region for worldwide carbon  
1133 dynamics (today: Mikaloff Fletcher et al., 2006; glacial/interglacial: Watson and Naveira  
1134 Garabato, 2006; future: Tjiputra et al., 2010; Roy et al., 2011), but it is also one of the least  
1135 well year-round observed regions (Takahashi et al., 2009; Swart et al., 2012; Pfeil et al., 2013;  
1136 Sabine et al., 2013) due to its remoteness and high seasonality. Research priorities include the  
1137 improvement of data coverage for carbon variables, dissolved oxygen, and related tracers. The  
1138 water mass formation, mixing and deep convection processes, in particular in the Southern  
1139 Ocean, are the ‘Achilles heel’ of global ocean models, and a step-change improvement is  
1140 needed in order to achieve more physically based deep-water production representations in  
1141 ocean models as well as Earth system models (Lenton et al., 2013). This includes also the  
1142 representation of Antarctic shelf regions and respective water-mass formation mechanisms  
1143 relevant for large-scale simulations.

1144  
1145 2. Highly dynamic systems such as shelf areas, coastal zones, estuaries and continental  
1146 margins will need to be accounted for in global carbon cycle quantifications. This is of key  
1147 importance for impact studies as shallow seas are major spawning and living grounds for  
1148 commercially exploited fish and food production. In addition, anthropogenic stressors such as  
1149 mega cities, pollution from riverine loads and deposition of reactive nitrogen (Duce et al.,  
1150 2008) have to be considered. Progress has recently been made in providing advanced  
1151 combined river runoff and river load data for use in biogeochemical models (Mayorga et al.,  
1152 2010). Ocean biogeochemical models should include both pelagic ocean sediment models  
1153 (Heinze et al., 2009) and shallow sediment representations to involve high fluxes and  
1154 regeneration rates of organic sediments as well as respective low oxygen and anoxic reactions  
1155 and matter transformations like methanogenesis or denitrification (Naqvi et al., 2010;  
1156 Mogollón et al., 2012). Land-ocean coupling of natural and anthropogenically perturbed  
1157 systems (Regnier et al., 2013) needs inclusion in global Earth system models, especially with  
1158 regard to quantifying nation-wide closed carbon budgets.  
1159

1160 3. The Arctic Ocean is a hot spot of climatic and environmental changes, and represents the  
1161 area in which ocean acidification accelerates most rapidly (Steinacher et al., 2009). Like the  
1162 Southern Ocean, the Arctic is highly undersampled, making it difficult to determine reliable  
1163 CO<sub>2</sub> sink estimates (Schuster et al., 2013). New process understanding (Wählström et al.,  
1164 2012, 2013) has to be integrated into large-scale ocean models. Shifts in water mass formation  
1165 processes, including the cold halocline structure at the Arctic Ocean surface domain (Aagaard  
1166 et al., 1981; Anderson et al., 2013), need to be identified. A strongly reduced Arctic sea-ice  
1167 cover and changes in annual sea-ice formation will have fundamental consequences for both  
1168 organic and inorganic carbon cycling as well as ocean circulation and mixing (Loeng et al.,  
1169 2005). The net effect on ocean carbon sink behaviour for a summer ice-free Arctic Ocean is  
1170 not yet firmly assessed. Future studies need to include both sea-ice physics and sea-ice  
1171 biogeochemistry. In addition, the potential climatically and tectonically induced degassing of  
1172 CH<sub>4</sub> from Arctic Ocean sources needs to be further monitored as a potentially significant  
1173 greenhouse gas source (Biaostoch et al., 2011; Shakhova et al., 2014).  
1174

1175 4. The tropical ocean is another key sink area for anthropogenic carbon (Mikaloff Fletcher et  
1176 al., 2006; Roy et al., 2011). Future research needs to focus on ENSO-related variability in its  
1177 carbon sink potential as well as on it being a region of high phytoplankton production rates in  
1178 respective upwelling areas, where large-scale impacts of ocean acidification may be measured  
1179 already during an early stage (Ilyina et al., 2009). Both the Pacific and Atlantic Ocean  
1180 equatorial areas can be affected by short-term climatic fluctuations (Cadule et al., 2010;  
1181 Lefèvre et al., 2013) and the overall long-term effect of shifts in occurrences and patterns of  
1182 these events needs attention.  
1183

1184 5. Oligotrophic regions play a significant role for sustained ocean time series stations as the  
1185 interannual and seasonal variability is small and long-term trends may be easier to deduce.  
1186 Current investigations should be complemented with measurements of nitrogen fixing  
1187 processes as well as with their potential changes under altering dust fluxes and ocean  
1188 acidification. Additionally, future research should include the identification of changes in the  
1189 cycling of the greenhouse gas N<sub>2</sub>O (Freing et al., 2012; Voss et al., 2013).  
1190

1191 6. Coastal upwelling areas have proven to be useful study areas for ocean acidification,  
1192 deoxygenation, and biological carbon pump studies and will remain a major focus of future  
1193 monitoring (Feely et al., 2008; Paulmier et al., 2008; Gruber et al., 2011). It will therefore be



1194 crucial to appropriately resolve the physically and biogeochemically highly dynamic regimes  
1195 along continental margins both in observational campaigns and modelling efforts.

1196  
1197 7. Of course, the traditionally comparatively well observed North Atlantic and North Pacific  
1198 domains (see, e.g., Bakker et al., 2014) should be further kept in the focus of monitoring and  
1199 modelling programmes. The North Atlantic is a critical area for anthropogenic marine carbon  
1200 uptake and changes in this may occur due to changes in meridional overturning and deep-  
1201 water production. It has still to be firmly established whether any long-term (more than two  
1202 decades) changes in the trend of anthropogenic CO<sub>2</sub> uptake occur in these regions that are  
1203 marked also by internal variability in coupling to prevailing climate variability modes such as  
1204 the North Atlantic Oscillation and the Pacific Decadal Oscillation.

#### 1205 1206 **6.5 – Using the ocean natural laboratory for case studies on complex couplings**

1207  
1208 The ocean and Earth system need to be better used as laboratories to understand processes and  
1209 the resulting effects on a global scale. This can, for example, be achieved by using a  
1210 biogeographic approach, where ecosystems are analysed along natural gradients in both space  
1211 and time. Natural, environmental variability needs to be better exploited to obtain results for  
1212 impact research. Transient large-scale variations of the Earth system and the ocean carbon  
1213 cycle's role in these patterns need to be explained.

#### 1214 1215 **6.6 – Combination with other biogeochemical cycles and greenhouse gases**

1216  
1217 The ocean carbon cycle needs to be studied and assessed in combination with other  
1218 biogeochemical cycles in a more focussed way than in the past. The oceanic sources/sinks of  
1219 CH<sub>4</sub>, N<sub>2</sub>O, and CO<sub>2</sub>, all three being natural and anthropogenic greenhouse gases, are  
1220 controlled by coupled elemental cycles involving among others carbon compounds, nutrients,  
1221 and gases. Only integrative approaches can ensure a full understanding of the coupled cycles  
1222 and a full exploitation of respective observational evidence. The simultaneous quantifications  
1223 of the oxygen and carbon cycles are vital for closing the global carbon budget including the  
1224 terrestrial biosphere. Nutrient cycles and their anthropogenic perturbations directly control the  
1225 biological carbon cycling on land and in the oceans. Their more detailed dynamical  
1226 implementation in land and ocean models is needed, including a better understanding of  
1227 nutrient limitations (including effects of micronutrients such as iron) under changing  
1228 environmental conditions.

#### 1229 1230 1231 1232 **7 – Conclusion**

1233  
1234 The ocean carbon sink has two parallel effects: 1. Parts of the anthropogenic CO<sub>2</sub> emissions  
1235 are absorbed by the ocean and, thus, the radiative forcing associated with the human-caused  
1236 excess CO<sub>2</sub> is reduced. 2. The more anthropogenic CO<sub>2</sub> enters the ocean, the stronger ocean  
1237 acidification will be. Both aspects have to be considered simultaneously for establishing  
1238 future mitigation strategies on emission reductions as well as for establishing adaptation  
1239 measures to environmental and climatic change. The two aspects, though, have opposite  
1240 effects. Increasing the ocean carbon sink may lead to less warming, but at the same time will  
1241 promote ocean acidification. Critical to both is the speed of progression. Climatic warming  
1242 and lowered pH values in the oceans will prevail long after the anthropogenic CO<sub>2</sub> emission  
1243 period to the atmosphere, and it is not possible to associate a specific lifetime to CO<sub>2</sub> in the

1244 atmosphere (Tans, 1997). Determining extent, timing, and impact of the ocean carbon sinks  
 1245 and sources will, thus, remain a key task in the future establishment of sustainable  
 1246 development strategies on Earth, especially with regards to the further rising greenhouse gas  
 1247 emissions to the atmosphere as expected for the coming decades. We have for the first time  
 1248 arrived at an atmospheric CO<sub>2</sub> mixing ratio of 400 ppmv (Mauna Loa observatory, May 2013,  
 1249 <http://keelingcurve.ucsd.edu/>) since 850,000 years (as measurements from atmospheric CO<sub>2</sub>  
 1250 concentrations in Antarctic ice cores document; Siegenthaler et al., 2005). Human CO<sub>2</sub>  
 1251 emission rates are currently increasing further (Le Quéré et al., 2013; Le Quéré et al., 2014).  
 1252 Strategies on feasible emission reduction procedures need to take the timing of the ocean sink  
 1253 (slow kinetics, large capacity) and the associated impact through ocean acidification into  
 1254 account.

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1265 **Acronyms**

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BATS	Bermuda Atlantic Time-series Study
CARINA	CARbon dioxide IN the Atlantic Ocean (data synthesis project)
CVOO	Cape Verde Ocean Observatory
DYFAMED	DYnamics oF Atmospheric fluxes in the MEDiterranean sea (time-series study)
ENES	European Network for Earth System modelling
ESTOC	European Station for Time-series in the Ocean Canary islands
FOO	GOOS Framework for Ocean Observing
GEO/GEOSS	Group on Earth Observations/Global Earth Observation System of Systems
GOOS	Global Ocean Observing System
GLODAP	Global Ocean Data Analysis Project
HOTS	Hawaii Ocean Time-Series
ICOS	Integrated Carbon Observation System
IGBP	International Geosphere-Biosphere Programme

1294	IMBER	Integrated Marine Biogeochemistry and Ecosystem Research
1295		
1296	IOCCP	International Ocean Carbon Coordination Project
1297		
1298	IPCC	Intergovernmental Panel on Climate Change
1299		
1300	OCB	Ocean Carbon and Biogeochemistry
1301		
1302	PACIFICA	PACIFic ocean Interior Carbon database
1303		
1304	PAP	Porcupine Abyssal Plain observatory
1305		
1306	PAPA	Ocean station Papa (North Pacific)
1307		
1308	PICES	North Pacific Marine Science Organization
1309		
1310	PIRATA	PredIction and Research moored Array in the Tropical Atlantic
1311		
1312	RCP	Representative Concentration Pathways
1313		
1314	SOCAT	Surface Ocean CO <sub>2</sub> ATlas
1315		
1316	SOLAS	Surface Ocean Lower Atmosphere Study
1317		
1318		
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1334

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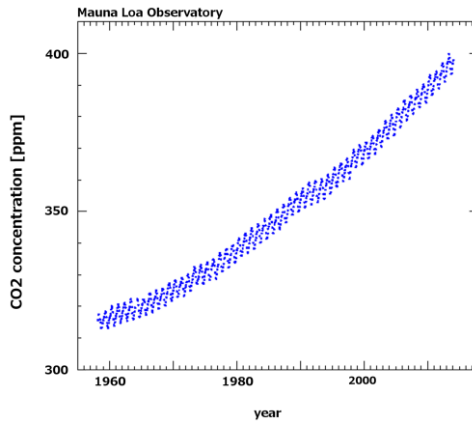
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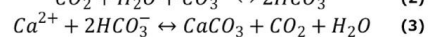
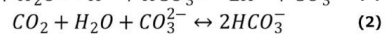
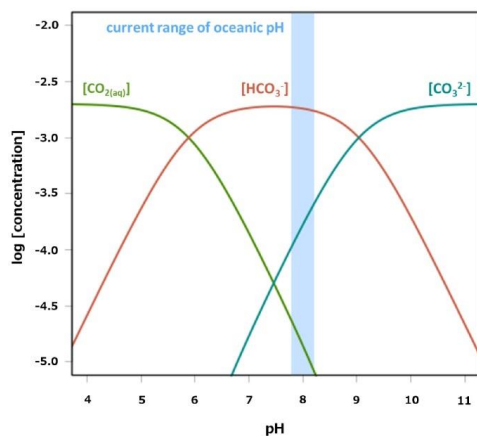
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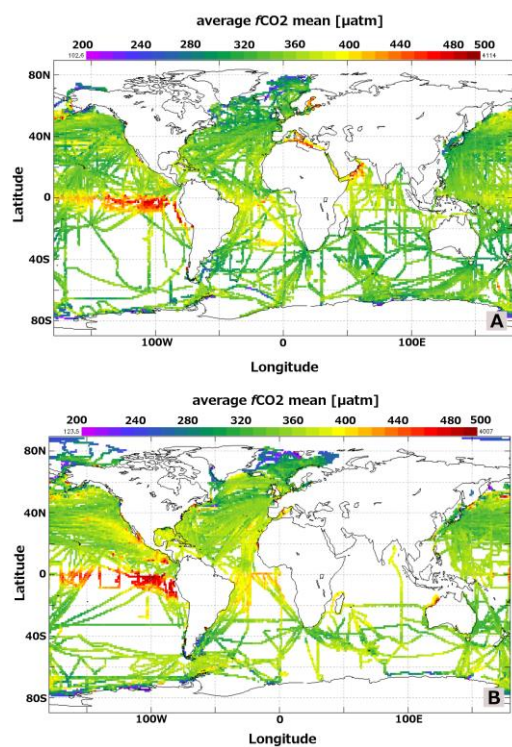
**Figure 1:** Atmospheric CO<sub>2</sub> concentrations recorded at Mauna Loa Observatory between 1958 and 2014. Due to human-produced emissions, CO<sub>2</sub> levels in Earth's atmosphere have been rapidly rising since the beginning of the Industrial Revolution and nowadays are crossing 400 ppmv (400.01 ppmv on 25 May 2013), equalling a 44% increase when compared to pre-industrial CO<sub>2</sub> concentrations of around 278 ppmv. Source: Dr. Pieter Tans, NOAA/ESRL ([www.esrl.noaa.gov/gmd/ccgg/trends](http://www.esrl.noaa.gov/gmd/ccgg/trends)) and Dr. Ralph Keeling, Scripps Institution of Oceanography ([scrippsco2.ucsd.edu/](http://scrippsco2.ucsd.edu/)).



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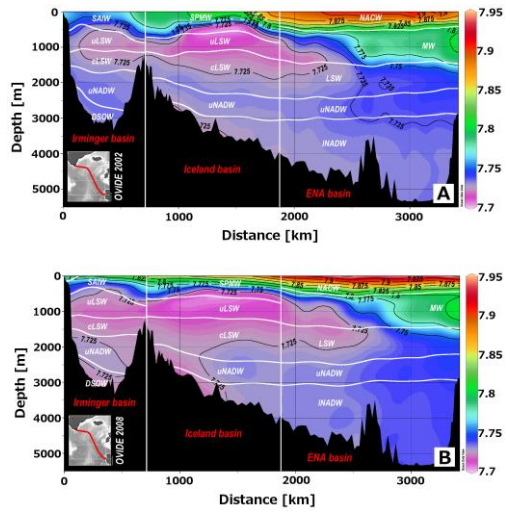
**Figure 2:** Bjerrum plot created according to equations reviewed in Sarmiento and Gruber (2006) and Zeebe and Wolf-Gladrow (2001) as well as main reactions of carbon chemistry referred to in this review.

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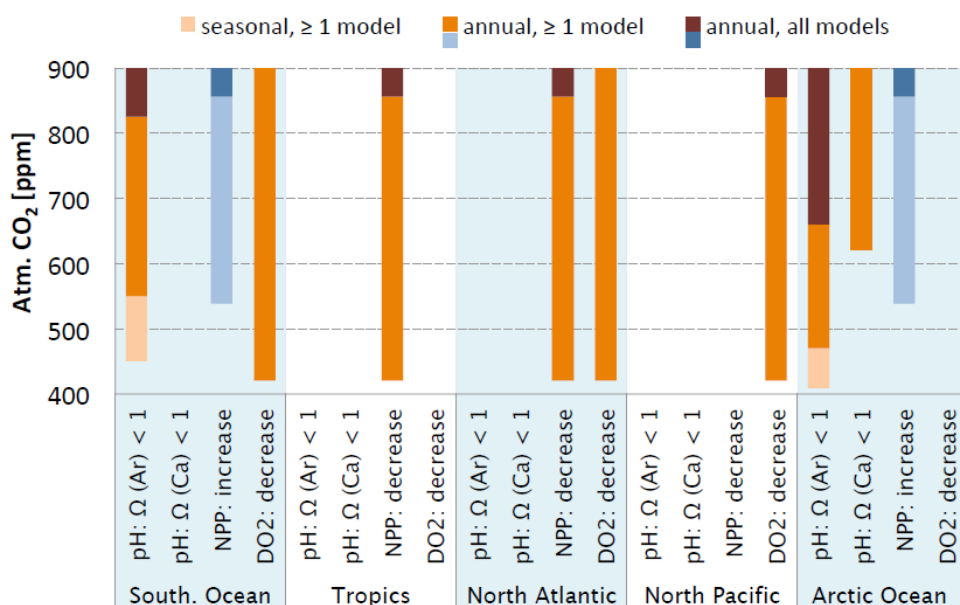
**Figure 3:** Mean unweighted surface water  $f\text{CO}_2$  ( $\mu\text{atm}$ ) for the years 1970-2002 (A) and 2003-2011 (B) using the SOCATv2 monthly 1x1 degree gridded data set (Bakker et al., 2014). The maps were generated by using the online Live Access Server.

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**Figure 4:** Spatial and temporal change of seawater pH measured across the North Atlantic Subpolar Gyre between Greenland and the Iberian Peninsula. The vertical distribution of pH followed the anticipated natural distribution, with higher pH in surface waters and lower pH in deep waters. A comparison of pH values measured in 2002 (A) and 2008 (B) revealed an overall decrease in seawater pH in intermediate and deep waters. This acidification was most evident in water depths between 1000 and 2000 m, where over the years the water layer with pH values below 7.725 had thickened several-fold (Vázquez-Rodríguez et al., 2012, *Biogeosciences*, 9, 5217-5230, doi: 10.5194/bg-9-5217-2012, 2012).

### Modelled impact of increasing atmospheric CO<sub>2</sub> concentrations on pH, net primary production, and subsurface oxygen



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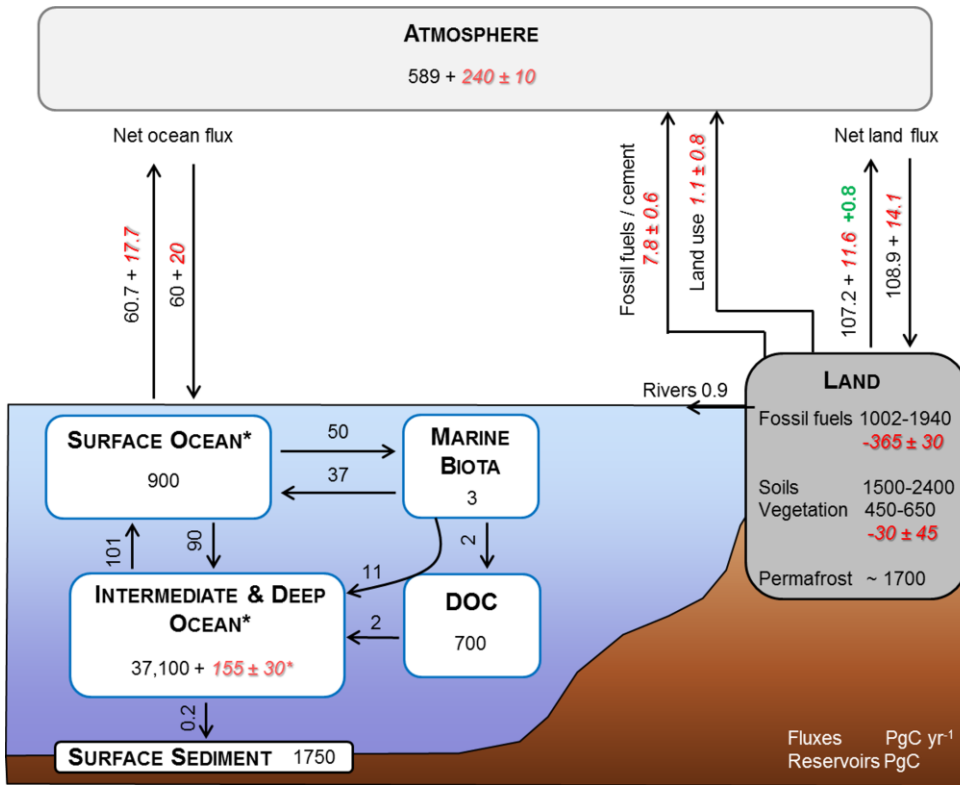
**Figure 5:** Modelled impact of increasing atmospheric CO<sub>2</sub> concentrations on stressors of ocean ecosystems, that is surface undersaturation of aragonite (pH: Ω(Ar) < 1) and calcite (pH: Ω(Ca) < 1), net primary production (NPP), and oxygen at 200–600 m depth (DO2). Bright orange bars denote a seasonal development, while orange and light blue bars denote annual developments projected by one or more models. Red and blue bars indicate that all considered models agree on the depicted development. Orange and red bars denote furthermore a negative impact on marine ecosystems, while blue and light blue bars indicate an increase of the modelled parameter with the ecologic impact of this development not yet fully being determined. Impacts are based on a comprehensive suit of Earth system models and IPCC emission scenarios. The choice of models and scenarios is based on the IPCC AR5 report and references denoted within (Plattner et al., 2001; Orr et al., 2005; McNeil and Matear, 2008; Feely et al., 2009; Steinacher et al., 2009, 2010; Keeling et al., 2010; Bopp et al., 2013; Cocco et al., 2013). Note that DO2 and NPP are only analysed at the final year of the IPCC scenarios (year 2100), and their projected developments start most likely already at lower atmospheric CO<sub>2</sub> concentrations.

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**Figure 6:** Simplified illustration of the global carbon cycle, adapted from Ciais et al. (2013). Reservoir mass numbers and annual exchange fluxes are given in PgC ( $10^{15}$  gC) and PgC yr<sup>-1</sup>, respectively. Black numbers refer to pre-industrial values (before 1750). Red flux numbers represent annual anthropogenic fluxes averaged over the years 2000-2009 and red reservoir numbers depict cumulative changes of anthropogenic carbon between 1750-2011 (90% confidence interval). A positive cumulative change denotes an increase in (gain of) carbon since the onset of the Industrial Era. Land-atmosphere carbon fluxes caused by rock weathering, volcanism, and freshwater outgassing amount in total to a flux of  $0.8 \text{ PgC yr}^{-1}$  and are represented by the green number. Purely land-based processes like further rock weathering, burial, and export from soils to rivers are not depicted in the scheme above. The star (\*) indicates that the given accumulation number refers to a combined value for Surface Ocean and Intermediate and Deep Ocean.