

**Response to the referees concerning BGD manuscript:  
The ocean carbon sink – impacts, vulnerabilities, and challenges,  
by C. Heinze, S. Meyer, N. Goris, L. Anderson, R. Steinfeldt, N. Chang, C. Le  
Quéré, and D. C. E. Bakker.**

The authors would like to thank the two anonymous referees for their thorough reviews and excellent suggestions for improving the manuscript. We respond below to their comments and explain the changes carried out in the revised version of the manuscript. Line and page numbers refer to the originally submitted version of the manuscript. The *referee comments* are marked by *text in italics*.

**Response to referee #1:**

*MAIN COMMENTS:*

*Referee's comment: General remarks. The paper submitted reviews the state of the knowledge of the marine carbon cycle research, in particular in respect to the uptake of anthropogenic carbon into the ocean. The paper addresses an important topic, which is suitable to be published in the Journal "Earth System Dynamics". The paper is generally well written, and I recommend it for publication after minor to moderate revision by consideration of the points listed below.*

*Referee's comment: I recommend to reorganize Chapter 3 and to address the variability of the oceanic carbon sink by distinguishing between the natural and anthropogenic carbon variability (perhaps in two independent sections) and then address the processes that lead to the changes. Include a discussion on how the natural and anthropogenic sources and sinks depend on seasonal variability, interannual (e.g. ENSO), and decadal variability e.g. NAO/NAM, PDO, AMO).*

Authors' response: We re-organised the manuscript as described below, also taking into account the suggestions made by referee #2. We tried to reconcile the requests of both referees in the new version of the manuscript. Concerning the climate variability modes, we do not completely agree with the referee. We had in fact discussed ENSO and NAO in our first submitted version. But we added more detail about these and other climate modes in the new section 3.3 and also explicitly mention the Southern Annular Mode on page 18, line 5.

**---START OF DESCRIPTION OF THE RE-ORGANISATION OF THE MANUSCRIPT---**

**WISH FOR RE-ORGANISATION BY referee #1:**

*Address the variability of the oceanic carbon sink (perhaps in two independent sections) by distinguishing between the  
(a) natural carbon variability and  
(b) anthropogenic carbon variability,  
(c) and then address the processes that lead to the changes.*

*Further: Include a discussion on how the natural and anthropogenic sources and sinks depend on seasonal variability, interannual (e.g. ENSO), and decadal variability e.g. NAO/NAM, PDO, AMO).*

**WISH FOR RE-ORGANISATION BY referee #2:**

*Section 2.*

*(a) main physico-chemical processes responsible for the uptake of excess CO<sub>2</sub>,*

*(b) processes regulating the natural distribution of carbon within the ocean and the inventory split between ocean and atmosphere,  
(c) feedbacks from climate and environmental changes on a) and b).*

*Further: ...and by clearly separating different time scales, e.g. seasonal, interannual, decadal, century-scale, glacial-interglacial.*

*Section 3.*

*Section 3 on variability. What I miss here is a proper discussion of internal modes of variability (ENSO; SAM, NAO, NPD, etc.) and of external drivers of variability and their imprints, e.g. volcanic forcing. As well as on detection of signals (signal-to-noise, time of emergence) and the attribution of signals to underlying processes.*

#### **OUR CONCEPT IN THE ORIGINALLY SUBMITTED VERSION:**

Section 2. (GENERAL CONCEPTS AND MAIN PROCESSES – NATURAL AND ANTHROPOGENIC. MAYBE TITLE SHOULD HAVE BEEN CHOSEN MORE CLEARLY.)

2 The role of the oceans for carbon cycling.

2.1 Inorganic carbon cycle processes (includes pristine and anthropogenic carbon).

2.2 Biological carbon pumps (includes pristine and anthropogenic carbon, also glacial).

Section 3. (VARIABILITY OF THE OCEANIC SINK FOR ANTHROPOGENIC CARBON. MAYBE TITLE SHOULD HAVE BEEN CHOSEN MORE CLEARLY.)

3 Variability, time evolution, and kinetics of the ocean carbon sink.

3.1 Variability of the oceanic carbon sink (more a brief introduction and an analysis).

3.2 Time evolution and kinetics of the oceanic carbon sink (deep time in future, dependence on future scenario).

3.3 Observations of ocean carbon variability (can we already now see any changes in the ocean carbon sink, focus not intended on discussing variability of climate modes).

#### **CHALLENGES IN RECONCILING THE SUGGESTIONS FOR RE-ORGANISATION:**

Referee #1 agrees mostly with the structure of original section 2.

Referee #2 agrees mostly with original section 3.

Referee #1 wishes a more detailed discussion of time scales of variability in section 3 (seasonal, interannual, decadal, climate modes).

Referee #2 wishes a more detailed discussion of time scales of variability in section 2 (seasonal, interannual, decadal, century-scale, glacial-interglacial) and a discussion of internal climate modes in section 3.

Referee #1 wishes a separation between natural and anthropogenic variability in section 3.

Referee #2 wishes a separate description on main physical-chemical anthropogenic carbon uptake processes and natural atmosphere-ocean partitioning processes in section 2 (further referee #2 would like to see how anthropogenic carbon uptake gets altered under climatic and environmental change and how the natural partitioning of carbon between atmosphere and ocean gets altered under climatic and environmental change).

Conclusion: The two referees would like to see a clearer separation between natural and anthropogenic carbon cycle processes, a more detailed discussion of time scales, and an inclusion of climate modes (ENSO etc.), but sometimes in different sections.

**SUGGESTION FOR ADJUSTMENT OF STRUCTURE:**

In our originally submitted version, we summarise the basic processes for the general cycling of carbon and the key inorganic buffering mechanism as well as a discussion of the biological pump mainly for natural carbon cycle modifications (including glacial) in section 2. Section 3 is focusing on anthropogenic carbon uptake discussing the kinetics and variability of the ocean sink for excess carbon and how this sink can be simulated (for the future) and diagnosed from observations.

We think that this structure (section 2 – basic processes, section 3 – timing of anthropogenic marine carbon sink) is still a valid one, but agree with the referees that the section names did not clarify our intention. In our revised version, we make the separation between natural and anthropogenic carbon cycling clearer between sections 2 and 3:

2 General concepts of ocean carbon cycling.

2.1 Inorganic carbon cycle processes .

2.2 Biological carbon cycling.

2.3 Natural variability, timescales, and feedbacks (*for climate modes refer to section 3*).

3 Evolution of the ocean sink for anthropogenic carbon.

3.1 The key process for anthropogenic carbon uptake (*mainly inorganic buffering, slight modulation by biological processes*).

3.2 Long-term ocean carbon uptake kinetics.

3.3 Detection of ongoing ocean carbon sink strength variability (*we bring here also climate modes, time of emergence, North Atlantic and Southern Ocean diagnosed sink strength variability, do modes cancel out over time etc.*).

Section 2 focuses now only on natural carbon cycling and variability. Section 3 focuses now only on the uptake of anthropogenic carbon and changes therein. The requested discussion about climate modes is mainly included in section 3, but already briefly mentioned in section 2 (new sub-section 2.3). We added a section on time scales in section 2 (new sub-section 2.3) and move the discussion of inorganic buffering of excess CO<sub>2</sub> from section 2 to section 3 (new sub-section 3.1). Ocean carbon cycle feedbacks to anthropogenic physical and chemical forcing are included in the new sub-section 3.2.

**---END OF DESCRIPTION OF THE RE-ORGANISATION OF THE MANUSCRIPT---**

*Referee's comment: Section 6.4 should be extended, for example on the North Atlantic and North Pacific Ocean. The North Atlantic Ocean is of interest because carbon uptake is sensitive to changes in the Atlantic meridional overturning circulation. Results from eddy-resolving ESMs with freshwater pulses due to melting glaciers by climate change (e.g. Weijer et al., GRL, 2012) indicate a significantly different tracer distribution than non-eddy resolving ESMs.*

Authors' response: We aimed with this section 6.4 at highlighting those regions which need more attention than traditionally well observed oceanic domains. But we see that this could lead to misunderstandings and therefore added a short paragraph concerning the North Atlantic and North Pacific. A discussion on eddy-resolving glacier melt experiments would go beyond the scope of our article.

Authors' changes in manuscript:

We added a paragraph under 6.4 – “7. Of course, the traditionally comparatively well observed North Atlantic and North Pacific domains (see, e.g., Bakker et al., 2014) should be further kept in the focus of monitoring and modelling programmes. The North Atlantic is a critical area for anthropogenic marine carbon uptake and changes in this may occur due to a changes in meridional overturning and

deep-water production. It has still to be firmly established whether any long-term (more than two decades) changes in the trend of anthropogenic CO<sub>2</sub> uptake occur in these regions which are marked also by internal variability in coupling to prevailing climate variability modes such as the North Atlantic Oscillation and the Pacific Decadal Oscillation.”

*Referee's comment: Please change “ppm” to “ppmv” throughout the text.*

Authors' response: Accepted.

Authors' changes in manuscript: We changed “ppm” to “ppmv” throughout the text.

*SPECIFIC LINE BY LINE COMMENTS:*

*Referee's comment: Abstract L. 1 Change “important” to “abundant”.*

Authors' response: We disagree. We want to stress that CO<sub>2</sub> is the key greenhouse gas. It would be correct to also say that it is the most abundant one after water vapour for many regions (water vapour content varies strongly and can go down to almost 0%). However, greenhouse gas importance does generally not only depend on the abundance of the gas, but also on its specific greenhouse gas potential (radiative forcing per molecule of greenhouse gas).

Authors' changes in manuscript: No change.

*Referee's comment: Abstract L. 2 Change “mitigation” to “adaptation and mitigation”.*

Authors' response: Accepted.

Authors' changes in manuscript: Change made.

*Referee's comment: Abstract L. 12 Change “load” to “inventory”.*

Authors' response: Accepted.

Authors' changes in manuscript: Change made.

*Referee's comment: Abstract L. 13 Replace “services” with “impact”.*

Authors' response: We disagree. Writing “affect its ecosystems” includes that ecosystems will be impacted. “Ecosystem services” is an established term, which goes beyond impacts. It also includes what consequences less well functioning ecosystems will experience in terms of food production, greenhouse gas neutralisation, etc.

Authors' changes in manuscript: No change.

*Referee's comment: Page 4 L. 2 Reference Figure 1, L. 4. During the geologic past atmospheric pCO<sub>2</sub> was variable. Specify geologic time for which the 280 ppmv value is applicable (e.g. Holocene).*

Authors' response: We do not know what the referee would like to have changed at line 2 on page 1610 (would be Page 4 in the non-printer-friendly format of the pdf; in the printer-friendly pdf version always two manuscript pages show up on one pdf page). We assume that the referee wants to stress that the preindustrial value of atmospheric pCO<sub>2</sub> is variable. We describe this in our text on page 1610 lines 14 and following.

Authors' changes in manuscript: In order to be consistent in the caption of Figure 1 and the text on page 1610, we changed “280 ppm” to “278 ppmv” on page 1610. In the caption of Figure 1, we changed “278 ppm” to “around 278 ppmv”.

*Referee's comment: Page 6 Section 2 L. 2 Change “gas exchange” to “air-sea gas exchange”.*

*Include references for air-sea gas exchange (e.g. Liss and Merlivat, 1986; Wanninkhof, 1992), solubility (Weiss, 1974), and carbon dioxide dissociation (Broecker and Peng, 1982).*

Authors' response: Accepted.

Authors' changes in manuscript: We changed “gas exchange” to “air-sea gas exchange” and added the references Liss and Merlivat, 1986; Wanninkhof, 1992; and Nightingale et al., 2000. For

solubility, we added the reference of Weiss, 1974. For the CO<sub>2</sub> dissociation, we cite the more up-to-date work of Dickson et al., 2007, instead of Broecker and Peng, 1982.

*Referee's comment: Page 7 L. 17 Quantify the solubility with an example, e.g. for an increase of 3 °C (For 2XCO<sub>2</sub>) from 14 °C (which is about the global mean SST); references Weiss (1974).*

Authors' response: Rejected. The idea of giving an example for the solubility dependence on temperature is appealing and we thank the reviewer for the suggestion. However, the antagonistic effect of the improved dissociation of CO<sub>2</sub> (and hence improved buffering ability of seawater with temperature increase) would need to be given in addition. But this is not so easily done as for the pure solubility effect. In order to not complicate the present manuscript and keep it easily accessible also to multi-disciplinary communities, we decide for the time being not to add this. Mentioning the solubility effect only would easily give rise to misunderstandings concerning a temperature dependent change in marine anthropogenic carbon uptake.

Authors' changes in manuscript: No change.

*Referee's comment: Page 8 L. 13 Reference Volk and Hoffert (1985).*

Authors' response: Accepted.

Authors' changes in manuscript: We now cite Volk and Hoffert (1985) in addition to the referee's request already after introducing the solubility pump (Page 7, line 19). Further, we change the sentence in the beginning of section 2.2 addressed by the referee to: "While purely inorganic carbon cycling leads to a slight increase of DIC with depth, biological carbon cycling - via the two biological carbon pumps (see Volk and Hoffert, 1985) - is responsible..."

*Referee's comment: Page 15 L. 20 Change "oxygen" to "dissolved oxygen".*

Authors' response: Accepted.

Authors' changes in manuscript: Change made.

*Referee's comment: Page 16 L. 3. Perhaps the section title could be renamed to "Observed variability of the ocean carbon sink".*

Authors' response: We adjusted the section title to "Detection of ongoing ocean carbon sink strength variability". Mainly observational evidence is discussed there, but models are needed as well for this task.

Authors' changes in manuscript: New section title : "Detection of ongoing ocean carbon sink strength variability".

*Referee's comment: Page 17 L. 21 Reference Wetzel et al., 2005, Global Biogeochemical Cycles.*

Authors' response: Accepted.

Authors' changes in manuscript: Reference was added.

*Referee's comment: Page 19 L. 27 Reword sentence, include reference: Copenhagen Accord U.N. Framework Convention on Climate Change. United Nations. 18 December 2009.*

Authors' response: Accepted. However, the reviewer does not say specifically why the sentence should be reworded or what is wrong with it.

Authors' changes in manuscript: We changed the sentence including a more up-to-date citation of the Copenhagen Accord to: "A future global warming limit of 2°C above the average preindustrial surface temperature has been suggested as a not yet very ambitious and thus potentially achievable political target for greenhouse gas emission strategies (see Tol, 2007; Meinshausen et al., 2009; United Nations, 2010; Schellnhuber, 2010)."

*Referee's comment: Page 30 L. 13 Change "reviewed in Heinze and Gehlen, 2013" to "see e.g. Heinze and Gehlen, 2013, for review".*

Authors' response: Accepted.

Authors' changes in manuscript: Change made.

*Referee's comment: Page 30 L. 23. Reword "not easily done". For the reader it is rather of interest what the novel approaches or challenges are.*

Authors' response: Accepted.

Authors' changes in manuscript: The sentence was changed to: "Even for still fairly coarse resolutions this is currently not easily done and quite costly in terms of super computer processing time."

*Referee's comment: Page 30 L. 27 Reference see CMIP5 simulations,*

Authors' response: We agree that many of the CMIP5 simulations show the effect, but there is no summarising paper on this particular aspect. Therefore, we give examples.

Authors' changes in manuscript: We inserted an "e.g." at the beginning of the references given.

*Referee's comment: Page 30 Figure 2. Carbonic acid can be deleted from the equation because the concentration is less than 0.1% (see Schulz, Marine Chemistry, 2006). In the figure you can replace  $H_2CO_3$  with dissolved  $CO_2$ .*

Authors' response: Accepted.

Authors' changes in manuscript: Changes made.

## Response to referee #2:

### MAIN COMMENTS:

*Referee's comment: This review summarizes the current view on the marine carbon cycle and its perturbation by humans. Research challenges and knowledge gaps are discussed. The review is useful and I suggest publication.*

*I miss a discussion on useful carbon isotopes and non-carbon tracer variables, including  $^{13}C$ ,  $^{14}C$  and CFCs,  $SF_6$ , Ar-39 (new interest as low water volume samples may be in reach with atomic trap technology) that provide information on the time scales of water mass movements relevant for the mixing of excess carbon to the deep ocean.*

Authors' response: We accept that the manuscript can benefit from filling this gap. However, we did not include  $SF_6$  in our discussion as this is used mainly for tracing water masses in a Lagrangian way and is not really essential for quantifications of marine carbon uptake.

Authors' changes in manuscript: A corresponding text was added in our new section 3.1. after "...be validated by age tracers" – "To demonstrate that ocean carbon cycle models work properly, the inclusion of the organic carbon cycling in these models, therefore, is an important necessary condition. On the other hand, uptake of anthropogenic excess  $CO_2$  from the atmosphere is mainly determined by the physico-chemical buffering mechanism and transport of water with high anthropogenic carbon concentrations into the ocean interior. Nevertheless, simulations of biologically mediated tracers such as  $O_2$ ,  $PO_4^{3-}$  etc. help to constrain the oceanic velocity field of the respective model, especially because respective measurements are abundant. Further, the biologically mediated  $CO_3^{2-}$  ion distribution is a powerful constraint on whether the inorganic carbon cycle is correctly described by the models. The simulation of anthropogenic marine carbon uptake in purely inorganic carbon cycle models (i.e. those which do not include ecosystem representations, no nutrient tracers, and no oxygen cycle) can to some degree be validated by age tracers which are employed also for evaluation of ocean model velocity fields in general..

Radiocarbon  $^{14}C$ , which enters the ocean mainly from the atmosphere, is still the most used age tracers for validating oceanic transport rates as well as patterns in ocean circulation models. With its half-life of 5730 years (sometimes also the slightly smaller Libby half-life is used; see Stuiver and

Polach, 1977), radiocarbon of DIC results in substantial surface to deep gradients. The natural radiocarbon distribution is contaminated by bomb  $^{14}\text{C}$ , which entered the ocean in large amounts due to atmospheric tests of nuclear weapons until the atmospheric test ban treaty in the mid-1960s was implemented. To some degree, bomb  $^{14}\text{C}$  can also be used as tracer for water mass exchange in itself, but the lack of knowledge about the pristine  $^{14}\text{C}$  distribution on already contaminated areas remains a problem in spite of attempts to reconstruct natural pre-bomb  $^{14}\text{C}$  values in the ocean interior (Broecker et al., 1995). Nevertheless, for the large scale ocean,  $^{14}\text{C}$  remains one of our best tracers for assessing turnover rates of water masses in the ocean (cf. Schlitzer, 2007). Another, in principle powerful age oceanic tracer is the noble gas isotope  $^{39}\text{Ar}$ . Its shorter half-life of 269 years (Stoener et al., 1965) would even be more suitable to resolve upper ocean gradients for validation of ocean ventilation time scales in models (Müller et al., 2006). New measurement techniques allowing for small sample size may enable building a larger  $^{39}\text{Ar}$  data base for the ocean (Collon et al., 2004).

As supporting evidence for pathways of anthropogenic carbon from the atmosphere over the surface layer and into the ocean interior, also  $^{13}\text{C}$  and chlorofluorocarbons are used. Fossil fuel  $\text{CO}_2$  in the atmosphere has a low  $^{13}\text{C}$  signature (plant material that had been the basis for crude oil formation has a deficit in the stable carbon isotope  $^{13}\text{C}$  relative to  $^{12}\text{C}$ , also known as the Suess effect; see Keeling, 1979). Waters with a deficit of  $^{13}\text{C}$  in DIC relative to natural background conditions, therefore contain carbon from anthropogenic sources (Racapé et al., 2013). Unfortunately, the reconstruction of the pristine  $^{13}\text{C}$  distribution in the ocean is not straightforward (Olsen and Ninnemann, 2010), and further the  $^{13}\text{C}$  distribution in the ocean is strongly influenced by formation as well as degradation of biogenic matter (Kroopnick, 1985). Chlorofluorocarbons or 'CFCs' (such as  $\text{CFCl}_3$  or 'F-11' and  $\text{CF}_2\text{Cl}_2$  or 'F-12') are purely human-produced substances (also known for their negative effect on the stratospheric ozone layer) which entered the oceans from the atmosphere in small amounts following their atmospheric concentration and their respective solubilities in seawater. Though their atmospheric concentration time series and their uptake mechanisms in the ocean are different than for  $\text{CO}_2$ , they nevertheless give a constraint on where large amounts of anthropogenic carbon have entered deeper layers and what time scales are involved with this uptake (Smethie, 1993; Schlitzer, 2007; Steinfeldt et al., 2007)."

*Referee's comment: Section 2. I miss a clear organization and structure of the presentation. Personally, I would have preferred if the authors would have organized this section by clearly distinguishing between*

*a) main physico-chemical processes responsible for the uptake of excess  $\text{CO}_2$ ,  
 (b) processes regulating the natural distribution of carbon within the ocean and the inventory split between ocean and atmosphere,  
 (c) feedbacks from climate and environmental changes on a) and b)*  
*and by clearly separating different time scales, e.g. seasonal, interannual, decadal, century-scale, glacial-interglacial.*

*The relevant text in the carbon cycle chapter in the IPCC Third Assessment Report provides a good example.*

Authors' response and respective changes in manuscript: We have rearranged section 2. However, we find the critics of the referee not completely justified. Our presentation in the submitted version discriminating between physical/chemical and biological carbon cycling is a valid one and has been used also in other presentations of the ocean carbon cycle. For our re-arrangement: Please see our discussion on the re-organisation of the manuscript as given under the response to referee #1 where we also account for the suggestions of referee #2. Further, we added the following text on page 1617, line 24 – "Among these biological changes are a potential decrease in biological  $\text{CaCO}_3$  production (Heinze, 2004; Gehlen et al., 2007; Ridgwell et al., 2007) and a potential change in carbon to nitrogen ratios in oceanic organic matter under high  $\text{CO}_2$  (Riebesell et al., 2007)."

*Referee's comment: Section 3 on Variability What I miss here is a proper discussion of internal modes of variability (ENSO; SAM, NAO, NPD, etc) and of external drivers of variability and their imprints, e.g. volcanic forcing. As well as on detection of signals (signal-to-noise, time of emergence) and the attribution of signals to underlying processes.*

Authors' response and authors' changes in manuscript: We do not completely agree with the referee. We have in fact discussed ENSO and NAO as the most important variability modes in section 3, but added more detail about these and other climate modes in the revised section 3.3 and also explicitly mention the Southern Annular Mode on page 1624, line 6. We now also mention the PDO.

#### DETAILED COMMENTS:

*Referee's comment: Line 15, abstract: Would argue for the entire hierarchy of models, including EMICS, and not just so-called state-of-the-art ESMs.*

Authors' response: Partially accepted. The term Earth system models includes EMICs as a sub-group already.

Authors' changes in manuscript: We deleted "state-of-the-art".

*Referee's comment: Abstract: what about inverse methods/models and probabilistic approaches?*

Authors' response: Rejected. Such methods would be mentioned in the text if necessary, but do not add to the essence of the article which should be given in the abstract (we write "including" and thereafter highlight the most important issues).

Authors' changes in manuscript: No change.

*Referee's comment: Page 1610: the first paragraph in the introduction needs to be revised.*

*page 1610, line 6: I am not so familiar with the history. As far as I know, Tyndall was the first to prove experimentally that CO<sub>2</sub> is a greenhouse gas in the 1860ies or so. Not sure that the structure of the molecule was known back then as this requires knowledge on quantum mechanics.*

Authors' response: We checked that Tyndall in his papers (Tyndall, J., 1861, On the absorption and radiation of heat by gases and vapours, Philosophical Magazine, 22, 169-194; Tyndall, J., 1859, Gaseous Note on the Transmission of Heat Through Radiant Bodies, Proc. R. Soc. Lond. 1859-1860 10, 37-39) did not identify CO<sub>2</sub> as a greenhouse gas, but a series of other gases. He may have identified CO<sub>2</sub> in another study, but so far we did neither find an original reference or anything in the secondary literature. The greenhouse effect as such was already detected by Fourier in 1824 (Fourier, J.B. 1824. Mémoire sur les températures du globe terrestre et des espaces planétaires. Mem. Acad. Sci. Inst. Fr. 7, 569-604) and followed up by Pouillet, 1838 (Pouillet CSM. 1838. Mémoire sur la chaleur solaire, sur les pouvoirs rayonnants et absorbants de l'air atmosphérique, et sur la température de l'espace. Comptes rendus de l'Académie des Sciences 7: 24-65). From original sources, Arrhenius publication seems to be the first one to explicit mention CO<sub>2</sub>. Of course, the CO<sub>2</sub> molecule structure was not known at that time.

Authors' changes in manuscript: The introduction lines have been changed to avoid misunderstandings – "In the atmosphere, carbon dioxide (CO<sub>2</sub>) occurs only in a very small fraction (currently around 400 ppmv; ppmv = parts per million of volume; [http://scrippsco2.ucsd.edu/graphics\\_gallery/mauna\\_loa\\_record.html](http://scrippsco2.ucsd.edu/graphics_gallery/mauna_loa_record.html)) .Nevertheless, due to its high abundance as compared to other greenhouse gases, it is considered to be the overall most important greenhouse gas next to water vapour. Its importance in regulating the global heat budget has already been documented in the 19<sup>th</sup> century by Arrhenius (1886)."

*Referee's comment: Page 1610, line 7: What about rotational modes?*

Authors' response: Accepted.



Authors' changes in manuscript: We mention now also rotational motion, see our response to the referee's comment concerning page 1610, line 9-11, below.

*Referee's comment: Page 1610, line 8: "discrete wavelength intervals" I find the use of 'discrete' together with 'intervall' perhaps a bit confusing in this context. Perhaps distinct intervals?*

Authors' response: Accepted.

Authors' changes in manuscript: We now use the term "bands centred at different discrete wavelengths".

*Referee's comment: Page 1610, line 9-11: Again a somewhat inaccurate sentence mixing different concepts. Solar radiation is electromagnetic as well and most of the energy radiated by the sun and from Earth's surface is thermal. Would also say that the vast majority of the energy from the sun is in the short-wave range and similar that most of the radiation from Earth's surface and the atmosphere is in the long-wave range. Perhaps you wish to give relevant wavelengths.*

Authors' response: Accepted.

Authors' changes in manuscript: We changed the text to – "Vibrational and rotational motions of the gaseous CO<sub>2</sub> gas molecules resonate with the thermal radiation leaving Earth's surface at bands centred at different discrete wavelengths intervals, thereby heating up the lower atmosphere (e.g. Barrett, 2005; Tomizuka, 2010). The main absorption band (combined vibrational and rotational resonance mode) of CO<sub>2</sub> is centered at 15 μm wave length (Wang et al., 1976; Liou, 1980). The incoming solar radiation is of short wavelength (mainly between 0.5-1 μm). The thermal radiation outgoing from the Earth is of longer wave length (typically between 5 and 20 μm). Without the natural greenhouse effect, an average temperature of -19°C would dominate Earth's surface instead of the actual average value of around 15°C (Ramanathan et al., 1987)."

*Referee's comment: Page 1610, line 21 ff: If you wish to be so precise with the dates you need to give the location of measurements for direct atmospheric samples. The date derived from the Law Dome ice core data should come with an uncertainty estimate. Note that individual air samples from the ice have an age distribution about the mean age. In addition individual samples have a measurement error.*

Authors' response: Accepted. It is better to be more precise here (though the increased precision is not essential for our arguing).

Authors' changes in manuscript: We modified the text to – "The 300 ppmv boundary was crossed in the early 20<sup>th</sup> century according to ice core measurements from Law Dome (Etheridge et al., 2001; samples from Law Dome core D08 show values of 296.9 ppmv and 300.7 ppmv for mean air ages given in calendar years of 1910 and 1912 respectively, with an overall accuracy due to analytical errors and age determination errors of ±1.2 ppmv)."

*Referee's comment: Page 1612, lines 13,14: indicate that the percentages for the different species of DIC are only approximations, in particular for dissolved CO<sub>2</sub>.*

Authors' response: Accepted.

Authors' changes in manuscript: Text changed to – "Carbon dioxide, or carbonic acid (H<sub>2</sub>CO<sub>3</sub>) when combined with water (H<sub>2</sub>O), dissociates in seawater mostly into bicarbonate (HCO<sub>3</sub><sup>-</sup>) and carbonate (CO<sub>3</sub><sup>2-</sup>), while only a small amount of the CO<sub>2</sub> is kept in its dissolved state (as an order of magnitude estimate the partitioning of HCO<sub>3</sub><sup>-</sup>: CO<sub>3</sub><sup>2-</sup>:CO<sub>2</sub> is 100:10:1 but significant deviations from this can occur especially with respect to CO<sub>2</sub>)".

*Referee's comment: Page 1612, line 18: I find 70 times more DIC than in the atmosphere a high estimate for preindustrial, e.g. 278 ppm \* 2.12 Pg/ppm / 37500 PgC = 1/64.*

Authors' response: Accepted.

Authors' changes in manuscript: We corrected the value to 65 PgC (according to the preindustrial reservoir sizes as given in IPCC AR5, WG1, ch. 6, Fig. 6.1).

*Referee's comment: Page 1616 top: I miss here a discussion on the controversy whether calcite and aragonite can dissolve above the saturation horizon or not.*

Authors' response: Accepted.

Authors' changes in manuscript: We inserted (page 1616, line 4) the following text – “Nevertheless, it is likely that also partial re-dissolution of calcitic and aragonitic plankton hard parts occurs in shallower depths than the respective  $\text{CaCO}_3$  saturation horizon. Potential contributors to this are, e.g., zooplankton metabolisms (dissolution of shell material in copepod guts; Jansen and Wolf-Gladrow, 2001), local undersaturation hot spots due to lateral admixture of water or in micro-environments on biogenic particles due to remineralisation of organic matter (Barrett et al., 2014), and admixture of larger amounts of Mg in the  $\text{CaCO}_3$  material (high-Mg calcites; Feely et al., 2004).”.

*Referee's comment: Page 1616, line 13: 'biological pump' should be 'biological productivity' as strong upwelling leads often to high surface nutrient concentrations and thus a low degree of surface nutrient utilization and comparably small surface-to-deep nutrient gradients.*

Authors' response: Accepted.

Authors' changes in manuscript: Word replaced accordingly.

*Referee's comment: Page 1617, line 23: 'biological uptake' should be 'marine uptake'.*

Authors' response: Accepted.

Authors' changes in manuscript: Of course, this was corrected.

*Referee's comment: Page 1618: The discussion on the comparison with DIC misses the point. Uptake of anthropogenic carbon is by large a physico-chemical process, with the uptake capacity given by carbonate chemistry and the rate limiting step of surface-to-deep transport. A proper representation of ocean uptake of excess  $\text{CO}_2$  does require*

*(i) a correct representation of carbonate chemistry, and*

*(ii) a correct representation of surface-to-deep transport of excess carbon.*

*The first process is well known from field and lab studies, the second can be gauged by comparing with, e.g., radiocarbon and CFCs. The comparison with DIC is blurred by the less well understood marine biogeochemical cycle.*

Authors' response: We agree with the referee on the processes leading to excess carbon in the oceans. However, initially we wanted to stress the following: Purely inorganic carbon cycle models show a distinctly different DIC structure than nature. In nature, the marine DIC distribution including DIC gradients is still dominated by biological processes and not by anthropogenic  $\text{CO}_2$  uptake. Therefore, models must include the biological carbon cycle if one wants to compare them with DIC data from the real ocean.

Authors' changes in manuscript: In order to avoid misunderstanding, we changed the text of page 1618, l. 2-11 to – “ To demonstrate that ocean carbon cycle models work properly, the inclusion of the organic carbon cycling in these models, therefore, is an important necessary condition. On the other hand, uptake of anthropogenic excess  $\text{CO}_2$  from the atmosphere is mainly determined by the physico-chemical buffering mechanism and transport of water with high anthropogenic carbon concentrations into the ocean interior. Nevertheless, simulations of biologically mediated tracers such as  $\text{O}_2$ ,  $\text{PO}_4^{3-}$  etc. help to constrain the oceanic velocity field of the respective model, especially because respective measurements are abundant. Further, the biologically mediated  $\text{CO}_3^{2-}$  ion distribution is a powerful constraint on whether the inorganic carbon cycle is correctly described by the models. The simulation of anthropogenic marine carbon uptake in purely inorganic carbon cycle models (i.e. those which do not include ecosystem representations, no nutrient tracers, and no oxygen cycle) can to some degree be validated by age tracers which are employed also for evaluation of ocean model velocity fields in general.”

*Referee's comment: Page 1621, line 10, next to ocean only and ESM, there are also EMICs that were used to quantify the different processes and feedbacks discussed in this manuscript.*

Authors' response: Accepted.

Authors' changes in manuscript: We inserted a respectively updated text – “The oceanic bottleneck effect is obvious in several decade-long future scenarios with ocean models (Maier-Reimer and Hasselmann, 1987; Sarmiento and Le Quéré, 1996), fully coupled Earth system models (Friedlingstein et al., 2006; Roy et al., 2011; Arora et al., 2013), as well as EMICs (Earth system models of intermediate complexity; these have a lower resolution than usual Earth system models, but demand much less computational resources; Steinacher et al., 2013; Zickfeld et al., 2013). Earth system models are complex computer programmes, which include dynamical representations....”

*Referee's comment: Section: 3 I miss here a proper discussion of modes of variability, e.g. the work by Lovenduski, Gruber et al for SAM, Keller et al, Tellus, 2012 for NAO, and very recently Keller et al, GRL, 2015 for ENSO, and of signal-to-noise and time of emergence of a signal as discussed e.g. by Ilyina for Alkalinity, McKinley et al for  $p\text{CO}_2$ , or Keller et al, BG, 2014 for various variables and reference to detection and attribution (Seferian et al, GRL, 2014).*

Authors' response: We are a bit surprised by the comment of the referee concerning the variability modes as we mention NAO and ENSO (and implicitly also SAM) in our original manuscript. But we comply with the suggestion of the referee in general.

Authors' changes in manuscript: We have added more detail to the discussion of internal variability modes in section 3.3 Further we added new paragraphs on external factors for variability and time of emergence – “Not only internal variability modes affect the air-sea  $\text{CO}_2$  flux, but also external factors such as aerosol forcing from volcanic eruptions. Such volcanic forcing tends to temporarily cool the troposphere and the sea surface with respective implications for carbon cycling. Brovkin et al. (2010) could identify a temporary small decline of atmospheric  $p\text{CO}_2$  by about 2 ppmv a few years after major eruptions over the last millennium, where decreasing respiration on land is a potential leading candidate with the ocean having only a small effect. This is corroborated by Frölicher et al. (2011) for a model study on the effect of Mt. Pinatubo type eruptions on the carbon cycle, where again the terrestrial carbon cycle dominates the atmospheric  $p\text{CO}_2$  signal. Nevertheless, transient changes in ocean uptake of about 2 GtC are in a realistic realm as consequences to large volcanic eruptions (Frölicher et al., 2011). Further, it cannot be excluded that also the biological carbon binding is stimulated under deposition of volcanic dust to the ocean surface (Hamme et al., 2010).

In view of the internal and external factors on ocean carbon cycle variability, it is intriguing to ask, when long-term climate change signals become identifiable against the background noise. This problem is of specific concern for large impacts of ocean acidification (see detailed discussion below). Ilyina et al. (2009) identified the equatorial Pacific Ocean to be the oceanic domain where a change in marine biogenic  $\text{CaCO}_3$  production due to ocean acidification may become at first visible through large-scale changes in ocean surface alkalinity. This can be explained by large background values of pelagic  $\text{CaCO}_3$  production in the tropical Pacific, though the impact per unit of  $\text{CaCO}_3$  produced would be highest in the high-latitude surface waters where decreasing  $\text{CaCO}_3$  saturation proceeds fastest. Generally, the time of emergence of a climate change signal is an important variable: When can we see changes in oceanic state variables which clearly can be attributed to human-induced climate change, i.e. when do trends in key ocean variables emerge as robust on the background of analytical uncertainty and interannual variability? Keller et al. (2014, 2015) provided new insight into this issue. Earth system modelling suggested that sea surface  $p\text{CO}_2$  and sea surface pH trends could rise beyond the detection threshold already after 12 years from now. DIC trends would become clear after 10-30 years and trends in the sea surface temperature after 45-90 years (Keller et al., 2014). Accordingly, an earlier detection threshold for changes in mean ENSO-induced carbon cycle variability ( $p\text{CO}_2$ , pH, biological productivity) than for ocean temperature changes during the 21<sup>st</sup> century was predicted by Keller et al. (2015). Therefore, ocean carbon cycle observations play a key role as early warning indicators when monitoring climate change. For the time interval 1960-2005, Séférian et al. (2014), however, state that the evolution of the global carbon sink can mainly be explained through rising

CO<sub>2</sub> in the atmosphere and oceanic carbon uptake without invoking a climatic feedback. Nevertheless, at regional scale, trends in climate change become also visible in shaping the regional sink strength pattern.”

*Referee’s comment: Page 1623, top: I think it is not so clear that SO uptake of excess CO<sub>2</sub> really slowed and there is a controversial discussion in the literature which should be referenced here.*

Authors’ response: We think that the referee refers to page 1624 (and not 1623). The evidence against a (transient) weakening of the Southern Ocean carbon sink is small and mainly based on GCM results. Simulations of Southern Ocean deep mixing and dynamics are usually a weak point in GCMs. Therefore, we rather refrain from stressing this point too much.

Authors’ changes in manuscript: We added the following text on page 1624 in line 6 and moved the reference for Lenton et al. (2013) – “Due to the fairly short observational time series for the Southern Ocean, a weakening of the Southern Ocean anthropogenic carbon uptake has been controversially discussed. While atmospheric inversion approaches give results consistent with Le Quéré et al. (2007), the bulk of forward biogeochemical ocean models do not predict a decrease in Southern Ocean CO<sub>2</sub> uptake strength (Lovenduski et al., 2008; Lenton et al., 2013).”

*Referee’s comment: Page 1625, top: “Scenarios with Earth system models (advanced climate models, for a more detailed explanation see Sect. 3.2) reveal that the ocean sink may become less efficient in the future as higher cumulative CO<sub>2</sub> emissions counteract the general tendency for oceanic CO<sub>2</sub> uptake.” Why not simply state that the fraction of fossil fuel emissions absorbed by the ocean over the 21<sup>st</sup> century is projected to be lower for high emission BaU scenarios than stringent emission mitigation scenarios (Jones et al., J. Clim, 2013).*

Authors’ response: Accepted.

Authors’ changes in manuscript: The sentence was changed to: “Scenarios with Earth system models (advanced climate models, for a more detailed explanation see chapter 3.2) reveal that the fraction of fossil fuel emissions absorbed by the ocean over the 21<sup>st</sup> century is projected to be lower for high emission scenarios (business as usual scenarios) than stringent emission mitigation scenarios (Jones et al., 2013).”

*Referee’s comment: Page 1625, top: This is an incorrect statement and it should be deleted from the MS: “It, thus, remains to be explored what the ocean’s ultimate uptake capacity for atmospheric CO<sub>2</sub> is, when it may be reached, and how until then the ocean may regulate the environmental effects of anthropogenic CO<sub>2</sub>.” There is no ultimate uptake capacity for atm. CO<sub>2</sub>. Perhaps you refer here to excess CO<sub>2</sub>. A certain fraction of emission will always end up in the ocean on multi-century time scales and then excess atm. CO<sub>2</sub> will be further removed by CaCO<sub>3</sub> compensation and removed from the ocean-atm system by weathering-sediment (imbalances). See e.g. Archer et al, GBC, 1999.*

Authors’ response: Accepted.

Authors’ changes in manuscript: The sentence was deleted.

*Referee’s comment: Page 1626, line 11: delete: “such as Integrated Assessment Models” IAMs are not reservoir models.*

Authors’ response: Accepted.

Authors’ changes in manuscript: The respective sentence was changed to: “Simplified climate models as, e.g., employed in Integrated Assessment Models (for simulations of economical developments under climatic change and for construction of typical future scenarios) are insufficient for this purpose as they do not account for internal feedbacks in the Earth system in a dynamical way (Jones et al., 2013).”

*Referee’s comment: Page 1627, line 18: delete “future”.*

Authors’ response: Accepted.

Authors’ changes in manuscript: Change made.

*Referee's comment: Page 1630, line 7: replace "in designing correct future scenarios for" by "in".*

Authors' response: Accepted.

Authors' changes in manuscript: Change made.

*Referee's comment: Page 1631, line 23: Miss  $\delta^{13}C$  here (e.g. Heimann and Maier Reimer, GBC, 199x, and refs therein, Resplandy et al., ..).*

Authors' response: We do not understand the request of the referee. We did not find a corresponding reference "Heimann and Maier Reimer, GBC, 199x" and also do not know why the recent publications of L. Resplandy should possibly be taken into account here.

Authors' changes in manuscript: As we do not know what the referee means, we do not change the text.

*Referee's comment: Page 1641, bottom: give a time frame, e.g. within the last 850,000 years.*

Authors' response: Accepted.

Authors' changes in manuscript: We have added the 850,000 yr reference period from ice core measurements.

*Referee's comment: Figure 4: Is this mid-depth pH change the result of anthropogenic invasion or of water mass changes in this area?*

Authors' response: The area is a hot spot of anthropogenic carbon uptake (see, e.g., Sabine et al., 2004, and numerous numerical modelling studies). Of course, it cannot be excluded that parts of the difference can be explained by advection of older water masses, but then it would be the question where these water masses would come from in the northern North Atlantic. Another factor contributing to the lowering pH trend could be an increase in particle flux of organic matter. But this effect would mainly hold for the upper 1000 m. In view of the generally high anthropogenic CO<sub>2</sub> contributions to DIC in the area, we judge it likely that the effect indeed comes from the invasion of anthropogenic carbon.

Authors' changes in manuscript: No change of text.

*Referee's comment: Figure 5: I am confused here and do not understand this figure. The title talks about modelled trend, but my impression is that the bars refer to the state of the system? What is the relation between the bars and the y-axis labels (atm. CO<sub>2</sub>) and the x-axis label? What is a seasonal trend? . . . The figure caption definitely needs much more work.*

Authors' response: Accepted.

Authors' changes in manuscript: The figure and figure caption have been corrected.

# The ocean carbon sink – impacts, vulnerabilities, and challenges

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## Abstract

Carbon dioxide (CO<sub>2</sub>) is, next to water vapour, considered to be the most important natural greenhouse gas on Earth. Rapidly rising atmospheric CO<sub>2</sub> concentrations caused by human actions such as fossil-fuel burning, land-use change or cement production over the past 250 years have given cause for concern that changes in Earth's climate system may progress at a much faster pace and larger extent than during the past 20,000 years. Investigating global carbon cycle pathways and finding suitable [adaptation and](#) mitigation strategies has, therefore, become of major concern in many research fields. The oceans have a key role in regulating atmospheric CO<sub>2</sub> concentrations and currently take up about 25% of annual anthropogenic carbon emissions to the atmosphere. Questions that yet need to be answered are what the carbon uptake kinetics of the oceans will be in the future and how the increase in oceanic carbon [inventory](#)~~load~~ will affect its ecosystems and their services. This requires comprehensive investigations, including high-quality ocean carbon measurements on different spatial and temporal scales, the management of data in sophisticated data bases, the application of ~~state-of-the-art~~ Earth system models to provide future projections for given emission scenarios as well as a global synthesis and outreach to policy makers. In this paper, the current understanding of the ocean as an important carbon sink is reviewed with respect to these topics. Emphasis is placed on the complex interplay of different physical, chemical, and biological processes that yield both positive and negative air-sea flux values for natural and anthropogenic CO<sub>2</sub> as well as on increased CO<sub>2</sub> (uptake) as the regulating force of the radiative warming of the atmosphere and the gradual acidification of the oceans. Major future ocean carbon challenges in the fields of ocean observations, modelling, and process research as well as the relevance of other biogeochemical cycles and greenhouse gases are discussed.

50

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79 Acronyms

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82

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84

85 **1 – Historic background**

86

87 In the atmosphere, carbon dioxide (CO<sub>2</sub>) occurs only in a very small fraction (currently

88 around 400 ppmv; ppmv = parts per million of volume; [http://scrippsco2.ucsd.edu/graphics\\_gallery/mauna\\_loa\\_record.html](http://scrippsco2.ucsd.edu/graphics_gallery/mauna_loa_record.html)); ~~but~~ ~~Nevertheless, due~~

89 ~~to its high abundance as compared to other greenhouse gases, yet~~ it is considered to be the

90 ~~overall~~ most important ~~natural~~ greenhouse gas next to water vapour. Its importance in

91 regulating the global heat budget has already been ~~documented in-known since~~ the late-19<sup>th</sup>

92 century ~~by Arrhenius (1886). (Arrhenius, 1896).~~ ~~Ultimately, the greenhouse effect of After~~

93 ~~the discovery of the CO<sub>2</sub> can be linked to its~~ molecule's structure, ~~scientists were able to~~

94 ~~depict the greenhouse effect:~~ Vibrational and rotational motions of the gaseous CO<sub>2</sub> gas

95 molecules resonate with the thermal radiation leaving Earth's surface at bands centred at

96 different discrete wavelengths ~~intervals~~, thereby heating up the lower atmosphere (e.g.

97 Barrett, 2005; Tomizuka, 2010). The main absorption band (combined vibrational and

98 rotational resonance mode) of CO<sub>2</sub> is centred at 15 μm wave length (Wang et al., 1979);

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100 Liou, 1980). The incoming solar radiation is of short wavelength (mainly between 0.5-1  $\mu\text{m}$ ).  
101 The thermal radiation outgoing from the Earth is of longer wave length (typically between 5  
102 and 20  $\mu\text{m}$ ). Without the natural greenhouse effect, an average temperature of  $-19^{\circ}\text{C}$  would  
103 dominate Earth's surface instead of the actual average value of around  $15^{\circ}\text{C}$  (Ramanathan et  
104 al., 1987).

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105 ~~The outgoing thermal radiation is long wave electromagnetic radiation as opposed to the~~  
106 ~~short wave incoming solar radiation. Without this process, i.e. the natural greenhouse effect,~~  
107 ~~an average temperature of  $-19^{\circ}\text{C}$  would dominate Earth's surface instead of the actual average~~  
108 ~~value of around  $15^{\circ}\text{C}$  (Ramanathan et al., 1987).~~

109  
110 The pre-industrial level of atmospheric  $\text{CO}_2$  expressed as a volume mixing ratio had been  
111 around 27880 ppmv with minor fluctuations around this level (Siegenthaler et al., 2005) due  
112 to the natural variability of carbon reservoirs on land and in the ocean as well as volcanic  
113 activities and a small remaining trend going back to the last deglaciation (Menviel and Joos,  
114 2012). The onset of the industrialisation and the Anthropocene as the era of fundamental  
115 human impact on the Earth system (Crutzen, 2002) can be dated around 1776 when the  
116 improved design of the steam engine by James Watt enabled its operational use. The 300  
117 ppmv boundary was crossed in the early 20<sup>th</sup> century according to ice core measurements  
118 from Law Dome 1912 (Etheridge et al., 2001; samples from Law Dome core D08 show  
119 values of 296.9 ppmv and 300.7 ppmv for mean air ages given in calendar years of 1910 and  
120 1912 respectively, with an overall accuracy due to analytical errors and age determination  
121 errors of  $\pm 1.2$  ppmv). At the beginning of the instrumental record of atmospheric  $\text{CO}_2$  in  
122 1958, its concentration was around 315 ppmv (Keeling et al., 2001). Ten years ago (2003), we  
123 had arrived at 375 ppmv. And now, we are crossing the 400 ppmv level (400.01 ppmv as of  
124 25 May 2013; Fig. 1; Keeling et al., 2013). The largest contributor to this human-induced  $\text{CO}_2$   
125 release is firstly the burning of fossil fuel reserves, which normally would have been isolated  
126 from the atmosphere (Boden et al., 2011). Secondly, land-use change is a significant  
127 contributor followed by cement production (Houghton, 1999; Boden et al., 2011). The  
128 warming effect due to the combustion of fossil fuel by human beings was first suggested and  
129 analysed by Callendar (1938). Since then, scientists have made attempts to quantify the fate of  
130 fossil fuels in conjunction with the natural carbon cycle. Bolin and Eriksson (1959) came up  
131 with a first estimate of the ultimate uptake capacity of the ocean for fossil fuel  $\text{CO}_2$  from the  
132 atmosphere: About 11/12 of  $\text{CO}_2$  emissions would ultimately accumulate in the ocean water  
133 column after repeated oceanic mixing cycles and interaction with the calcareous sediment, a  
134 process requiring several 10,000 years (see also Archer, 2005).

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135  
136 When it comes to the importance of human-produced greenhouse gases for changing the  
137 atmospheric heat budget and, hence, the climate system,  $\text{CO}_2$  is by far the most important one.  
138 Other radiatively active trace gases like methane ( $\text{CH}_4$ ), halocarbons, and nitrous oxide ( $\text{N}_2\text{O}$ )  
139 have a higher greenhouse potential per molecule than  $\text{CO}_2$ , but are less abundant in the  
140 atmosphere than  $\text{CO}_2$ , so that  $\text{CO}_2$  is the most important anthropogenic driving agent of  
141 climate change (Myhre et al., 2013). The focus of this review is, thus, on  $\text{CO}_2$  and the oceanic  
142 ('carbon') sink. Future  $\text{CO}_2$  emission scenarios to drive climate models have been produced  
143 on empirical evidence concerning human behaviour and economics. In view of the on-going  
144 high energy use in wealthy nations and the accelerating energy production in emerging  
145 economies (especially China and India; see Raupach et al., 2007), current and recent annual  
146  $\text{CO}_2$  emission rates are at the levels of the most pessimistic emission scenario as produced a  
147 few years ago for the climate projections of the 5<sup>th</sup> assessment report of the IPCC (RCP  
148 scenarios; van Vuuren et al., 2011a; van Vuuren et al., 2011b; Peters et al., 2013).  
149 Considering the key role of the oceans in the global carbon budget it is therefore fundamental



150 to broaden our knowledge on their past, present, and future quantitative impact in regulating  
151 atmospheric CO<sub>2</sub> concentrations.

## 155 | 2 – General concepts of ocean carbon cycling ~~The role of the oceans for carbon cycling~~

156  
157 The oceans regulate atmospheric CO<sub>2</sub> mainly by two mechanisms: The first consists of the  
158 abiotic inorganic cycling of carbon that involves CO<sub>2</sub> air-sea gas exchange (e.g., Liss and  
159 Merlivat, 1986; Wanninkhof, 1992; Nightingale et al., 2000), CO<sub>2</sub> dissolution (Weiss, 1974)  
160 and hydration to carbonic acid, dissociation of carbonic acid (e.g., Dickson et al., 2007) as  
161 well as transport and mixing of total dissolved CO<sub>2</sub> in seawater. The second mechanism  
162 describes the cycling of carbon due to biological activity. ~~The following chapter will describe~~  
163 ~~the current understanding of these processes and briefly summarize their importance with~~  
164 ~~regard to anthropogenic CO<sub>2</sub> perturbations.~~

### 167 2.1 – Inorganic carbon cycle processes

168  
169 Seawater is saline and contains practically all elements of the chemical periodic table. Due to  
170 its slightly alkaline behaviour, it can keep the ionic compounds of weak acids in solution.  
171 Carbon dioxide, or carbonic acid (H<sub>2</sub>CO<sub>3</sub>) when combined with water (H<sub>2</sub>O), dissociates in  
172 seawater mostly into bicarbonate (HCO<sub>3</sub><sup>-</sup>; 90%) and carbonate (CO<sub>3</sub><sup>2-</sup>; 9%), while only a  
173 small amount of the CO<sub>2</sub> is kept in its dissolved state (1%) ~~(as an order of magnitude estimate~~  
174 ~~the partitioning of HCO<sub>3</sub><sup>-</sup>: CO<sub>3</sub><sup>2-</sup>:CO<sub>2</sub> is 100:10:1 but significant deviations from this can~~  
175 ~~occur especially with respect to CO<sub>2</sub>)~~. The sum of HCO<sub>3</sub><sup>-</sup>, CO<sub>3</sub><sup>2-</sup>, and CO<sub>2</sub> is called ‘total  
176 dissolved inorganic carbon’ (DIC). A huge reservoir of DIC has been built up in the oceans  
177 over geologic time through the interaction of seawater with sediments, weathering from land,  
178 gas exchange with the atmosphere, and outgassing from the Earth’s interior. At pre-industrial  
179 times, this DIC pool is 6570 times as large as the atmospheric pre-industrial CO<sub>2</sub>  
180 reservoir and approximately 20 times as large as the carbon on land bound to living and dead  
181 biomass including soils (Degens et al., 1984; Falkowski et al., 2000).

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182  
183 ~~The equilibrium concentration of gaseous CO<sub>2</sub> in seawater depends both on the concentration~~  
184 ~~of DIC and the concentration of hydrogen ions. Since the beginning of the Industrial~~  
185 ~~Revolution, atmospheric CO<sub>2</sub> concentrations have been rapidly rising. The addition of CO<sub>2</sub> to~~  
186 ~~the oceans through gas exchange with the atmosphere leads to a shift in the partitioning of~~  
187 ~~HCO<sub>3</sub><sup>-</sup>, CO<sub>3</sub><sup>2-</sup>, CO<sub>2</sub>, and the concentration of hydrogen ions (Fig. 2, formulas 1 & 2). The~~  
188 ~~more CO<sub>2</sub> gets absorbed by the ocean the lower the amount of CO<sub>3</sub><sup>2-</sup> becomes. In parallel, the~~  
189 ~~concentration of hydrogen ions increases, causing a decrease in open ocean pH that is referred~~  
190 ~~to as ocean acidification. Projections of future ocean pH suggest a potential total reduction by~~  
191 ~~0.4–0.5 units by the end of the 21<sup>st</sup> century as compared to pre-industrial levels, resulting in a~~  
192 ~~pH of 7.7–7.8 (Haugan and Drange, 1996; Brewer, 1997; Caldeira and Wickett, 2003; Bopp et~~  
193 ~~al., 2013). Furthermore, a shifting ratio of HCO<sub>3</sub><sup>-</sup>:CO<sub>3</sub><sup>2-</sup>:CO<sub>2</sub> results in a decrease in CO<sub>2</sub>~~  
194 ~~buffering: the larger the concentration of DIC in the ocean becomes, conversely the smaller~~  
195 ~~the fraction of increased carbon added to the atmosphere that can be taken up by the ocean~~  
196 ~~will be. Or in other words, the higher the cumulative CO<sub>2</sub> emissions to the atmosphere~~  
197 ~~become, the less effective seawater will be in dissociating a part of this CO<sub>2</sub> into HCO<sub>3</sub><sup>-</sup> and~~  
198 ~~CO<sub>3</sub><sup>2-</sup>.~~

199

200 DIC is distributed in the oceans as passive tracer (like dye) by currents and turbulent mixing.  
201 In a simplistic model, transportation of carbon in the oceans mainly follows the large scale  
202 ocean circulation: In the northern North Atlantic, surface waters are moved to the deep sea in  
203 a process of deep-water formation. The solubility of CO<sub>2</sub> gas in seawater increases with  
204 decreasing temperature. As newly formed deep water is cold, the downward transport of the  
205 carbon fraction dissolved in seawater due to high CO<sub>2</sub> solubility is also called solubility pump  
206 ([Volk and Hoffert, 1985](#)). However, the dissociation of CO<sub>2</sub> into bicarbonate and carbonate  
207 ions is antagonistic to the solubility and decreases with decreasing temperature and  
208 compensates to a certain degree for this. In a theoretical ocean with only the solubility pump  
209 acting the overall surface to deep gradient of DIC would be slightly positive downwards. On  
210 its way through the ocean part of the deep water then upwells in the Southern Ocean around  
211 Antarctica, where it is blended with water masses from all oceans before it is re-cooled again  
212 to form deep and intermediate waters that spread into the Atlantic, Pacific, and Indian Ocean.  
213 The circle is closed through the transport of upper water masses from the upwelling regions  
214 back to the deep-water production areas in the North Atlantic and the Southern Ocean  
215 (Broecker and Peng, 1982), which occurs via the Indian Ocean ('warm water path') or via the  
216 Drake Passage ('cold water path' between South America and Antarctica; Rintoul, 1991). The  
217 water that has spent the longest time away from contact with the atmosphere is found in the  
218 northern Pacific Ocean below depths of about 2000 m and is approximately 1500 years old.  
219 Comparably, the human perturbation of the carbon cycle has occurred only over the last 250  
220 years, and diluting high anthropogenic carbon loads from the upper ocean with large deep-  
221 water reservoirs by mixing processes will take at least 6 times as long. Also, the slower  
222 oceanic circulation and mixing become with on-going climate change, the smaller the uptake  
223 rate of surface waters for human-produced carbon will be and the less efficient the ocean  
224 carbon sink will become for absorbing further CO<sub>2</sub> additions to the atmosphere as carbonic  
225 acid dissociates less well into bicarbonate and carbonate in water of high  $p\text{CO}_2$ .

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227  
228  
229

## 230 **2.2 – Biological carbon pumps**

231

232 While purely inorganic carbon cycling leads to a slight increase of DIC with depth, biological  
233 carbon cycling - [via the two biological carbon pumps \(see Volk and Hoffert, 1985\)](#) - is  
234 responsible for most of the gradients existing in the real ocean DIC distribution. These  
235 gradients are mainly fuelled by uptake of DIC by biota in the surface ocean to produce  
236 particulate matter, the vertical flux of these particles, and degradation of these particles on  
237 their downward way through the water column. Biological carbon binding occurs mainly in  
238 the ocean surface layer, where phytoplankton through the process of photosynthesis produces  
239 biomass that can be utilized by other organisms on higher trophic levels (classical food chain).  
240 Next to dissolved CO<sub>2</sub>, phytoplankton requires light and nutrients for their growth, the latter  
241 two being critical limiting factors. About 25% of the particulate organic carbon (POC), which  
242 is produced in the ocean surface layer, eventually sinks through the water column (Schlitzer,  
243 2000) with most of it being remineralised and returned to the dissolved phase already within  
244 the upper 1500 m. Normally, less than 1% of POC reaches the open-ocean seafloor by  
245 sedimentation (Lee et al., 2004). In addition to POC, marine biota also produce dissolved  
246 organic carbon (DOC), which is discriminated from POC based on particle size (Turnewitsch  
247 et al., 2007). As increasingly small particles do not sink anymore through the water column  
248 but become suspended due to the increasing importance of friction for small particles, DOC is  
249 transported through the oceans like DIC as a passive tracer. While a large fraction of DOC

250 may persist and accumulate in the water column before being remineralised to inorganic  
251 substances, biologically labile DOC is converted quickly (within minutes to days) in the upper  
252 ocean, predominantly by microbial activity (Carlson, 2002). By utilising DOC, bacteria can  
253 build up exploitable biomass and part of the dissolved organic carbon may re-enter the  
254 classical food chain through the 'microbial loop'. However, as the microbial loop itself  
255 includes several trophic levels, a large part of the recycled DOC is converted back to  
256 inorganically dissolved carbon along the process (Azam et al., 1983; Fenchel, 2008). In  
257 addition to microbial degradation, sorption onto larger particles, and UV radiation may  
258 constitute further important processes in the removal of dissolved organic matter (Carlson,  
259 2002). The oceanic DOC pool is overall about one order of magnitude smaller than the marine  
260 DIC inventory but larger than the POC pool. Nevertheless, the highly reactive POC dominates  
261 the effect on variations in the oceanic DIC distribution. Most of the DOC is quite refractory  
262 which is consistent with its high radiocarbon age (4000 - 6000 years, Druffel et al., 1992).  
263 Thus, most of the marine DOC does not contribute much to the dynamics of carbon cycling in  
264 the ocean within the flushing time scale of the world ocean of about 1500 years. Next to POC  
265 and DOC cycling, the formation of calcium carbonate ( $\text{CaCO}_3$ ) by shell- and skeleton-  
266 building marine organisms is of great importance in the ocean's carbon cycle as it causes  
267 shifts in the overall DIC pool.  $\text{HCO}_3^-$  is converted to  $\text{CO}_3^{2-}$  to produce  $\text{CaCO}_3$ . During this  
268 process,  $\text{CO}_2$  is released to the surrounding water (Fig. 2, formula 3; Frankignoulle et al.,  
269 1994). Thus, the  $\text{CaCO}_3$  pump is counteracting the organic carbon pump. As more carbon is  
270 bound to POC and DOC during biological production than to  $\text{CaCO}_3$  (this ratio of  
271  $\text{CaCO}_3$ :POC amounts globally averaged to about 15% when counted in carbon atoms bound  
272 to particulate matter; Berelson et al., 2007), the  $\text{CaCO}_3$  counter pump does nowhere fully  
273 compensate for the organic carbon pump. Within the oceans,  $\text{CaCO}_3$  occurs either as  
274 aragonite or as calcite, with aragonite being more soluble at given conditions. The solubility  
275 of both compounds increases slightly at lower temperature and strongly with increasing depth  
276 (pressure) (Mucci, 1983; Zeebe and Wolf-Gladrow, 2001). Shell material sinking together  
277 with POC through the water column is usually degraded at larger depths than the organic  
278 material. Nevertheless, it is likely that also partial re-dissolution of calcitic and aragonitic  
279 plankton hard parts occurs in shallower depths than the respective  $\text{CaCO}_3$  saturation horizon.  
280 As potential contributors to this are, e.g., zooplankton metabolisms (dissolution of shell  
281 material in copepod guts; Jansen and Wolf-Gladrow, 2001), local undersaturation hot spots  
282 due to lateral admixture of water or in micro-environments on biogenic particles due to  
283 remineralisation of organic matter (Barrett et al., 2014), and admixture of larger amounts of  
284 Mg in the  $\text{CaCO}_3$  material (high-Mg calcites; Feely et al., 2004).

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286 The composition of the sinking material determines also its sinking velocity. Phytoplankton  
287 (plant plankton) and zooplankton (animal plankton) grazing on plant plankton or eating other  
288 zooplankton can modify the vertical particle flux by producing a variety of carbonaceous or  
289 siliceous shell material.

291 Shallow seas including the continental margins are marked with high accumulation rates of  
292 organic carbon (Jahnke, 1996). In contrast, deep-sea sediments are mainly composed of the  
293 hard parts of calcareous and siliceous shell material (Leinen et al., 1986; Archer, 1996). In  
294 regions of vivid upward motion of water, such as at the Equator, in front of west coasts, in the  
295 Southern Ocean, and during vertical mixing in the North Atlantic, the biological  
296 productivity pump can be substantial as new nutrients are supplied from below. This happens  
297 especially during plankton blooms, when light availability and stable surface water  
298 stratification enables temporarily strong photosynthesis leading first to strong production of  
299 phytoplankton and subsequent increase in zooplankton which grazes on the phytoplankton.

300 Particle transport via the biological carbon pump, remineralisation, and ocean circulation are  
301 superimposed and are responsible for most of the gradients of dissolved carbon and nutrients  
302 in the water column: 1. Regarding the vertical gradient, low concentrations result at the  
303 surface due to biological uptake, while values increase with depth due to remineralisation. 2.  
304 In deeper layers, concentrations increase horizontally with age of the water along the  
305 trajectory of water flow when the respective water volume receives more and more  
306 remineralised products from the particles under degradation. The loop for the cycling of  
307 biological carbon through the ocean is closed, when the deeper waters well up and eventually  
308 return back to the surface mixed layer. These old deep waters are highly enriched in  
309 remineralised biogenic carbon, which then outgasses into the atmosphere. Thus, the upwelling  
310 regions are sources of carbon to the atmosphere both regarding the biological and the  
311 solubility pumps. This source effect dominates over the strong biological carbon uptake in  
312 upwelling regions, indicating that they are typically oversaturated in carbon and release CO<sub>2</sub>  
313 to the atmosphere (Fig. 3).

314  
315 Production of CaCO<sub>3</sub> shell material and its dissolution work in opposite direction for the  
316 dissolved CO<sub>2</sub> in the ocean. Taking out or releasing CO<sub>3</sub><sup>2-</sup> changes the ability of seawater to  
317 dissociate carbonic acid significantly. Stopping the global biological CaCO<sub>3</sub> production would  
318 lower the atmospheric CO<sub>2</sub> concentration by about 75 ppmv (Broecker and Peng, 1986). This  
319 number, though, depends on the size of the global CaCO<sub>3</sub> production, which is not yet very  
320 well established. The global production rate depends also on the availability of silicic acid:  
321 When enough dissolved silicate is available, organisms that produce siliceous shell material  
322 ('opal', BSi) dominate due to energetic reasons. Therefore, many BSi-producers are found in  
323 upwelling areas, while CaCO<sub>3</sub> producers are more abundant in other oceanic domains  
324 (Dymond and Lyle, 1985). The sedimentary climate record shows that modifications of  
325 biological carbon cycling have significantly contributed to the glacial drawdown of  
326 atmospheric CO<sub>2</sub> during the repeated ice age cycles over the past million years (Balsam,  
327 1983; Farrell and Prell, 1989; Oliver et al., 2010).

328  
329 The organically bound and living biomass carbon reservoirs in the ocean are significantly  
330 smaller than the inorganic reservoir (approximate ratio of 1:50; Druffel et al., 1992; Ciais et  
331 al., 2013). ~~The biological carbon pump does not sequester anthropogenic carbon added to the~~  
332 ~~ocean itself on decadal to centennial time scales (as the process for new crude oil works on~~  
333 ~~geologic time scales). However, alterations of the biological pump caused by changes in~~  
334 ~~ocean circulation and rising carbon concentrations in the surface layer could modulate the~~  
335 ~~biological uptake of human produced CO<sub>2</sub> to some degree.~~ Nevertheless, continuous growth  
336 of plankton at the ocean surface keeps the ocean surface layer CO<sub>2</sub> concentration on the  
337 average lower than it would be without them. In a world with a lifeless ocean, the atmospheric  
338 CO<sub>2</sub> concentration would have been about twice as high as the pre-industrial one. A sudden  
339 hypothetical stop of marine life would increase the atmospheric CO<sub>2</sub> concentration by 200-  
340 300 ppmv. ~~The main three dimensional distribution of DIC, oxygen (O<sub>2</sub>), and nutrients in the~~  
341 ~~ocean is determined by the action of biota and their degradation together with the three~~  
342 ~~dimensional ocean circulation. In order to show that biogeochemical models for CO<sub>2</sub> uptake~~  
343 ~~work correctly, these must also reproduce the organic carbon cycle as well as the distribution~~  
344 ~~of oxygen and nutrients. With only the abiotic carbon cycle included, the modelled DIC~~  
345 ~~distribution would look very different from the real one and model evaluation would not yield~~  
346 ~~meaningful results (Maier-Reimer and Hasselmann, 1987). Nevertheless, abiotic inorganic~~  
347 ~~ocean carbon cycle models can be used for zero order estimates of oceanic uptake of~~  
348 ~~anthropogenic carbon, even though these models have the big disadvantage that their DIC~~

349 ~~distribution in the water column cannot be compared with DIC measurements from the real~~  
350 ~~ocean which also contain the signature of the biological cycling~~

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### 2.3 – Natural variability, timescales, and feedbacks

The variability of the ocean carbon cycle in relation to the atmospheric CO<sub>2</sub> concentration covers a broad range of timescales (from seasonal to interannual, decadal, century-scale, and glacial-interglacial). Seasonal changes in sea surface pCO<sub>2</sub> and, hence, air-sea CO<sub>2</sub> flux are caused mainly by variations in sea surface temperature and biological activity, where often both effects tend to counteract each other. Typical seasonal seawater pCO<sub>2</sub> amplitudes amount to few tens up to +50 ppmv of pCO<sub>2</sub> (e.g., Santana-Casiano et al., 2007; Landschützer et al., 2014). Because of the long equilibration time of the ocean mixed layer and the atmosphere (see Sarmiento and Gruber, 2006), ocean variability has a much smaller influence on the seasonal atmospheric CO<sub>2</sub> variability than the terrestrial carbon cycle. Interannual to decadal variations in air-sea CO<sub>2</sub> fluxes are linked to changes in deep-water formation and coupled to the internal variability modes of the climate system, which complicates the detection of changes in long-term trends in ocean carbon uptake difficult (for climate modes see section 3.3 further below). Long-term observations at ocean time series stations and allowed the monitoring of decadal trends in rising sea surface pCO<sub>2</sub> (typical values are +1 to +3 ppmv/yr) and decreasing pH (typical values are here -0.001 to -0.003 pH units per year) at specific sites over the past decades (e.g., Bates et al., 2014). Pre-industrial atmospheric CO<sub>2</sub> mixing ratios have been quite stable over the past 10,000 years with a slight increase from ca. 260 ppmv to ca. 280 ppmv which can at least partly be attributed to long-lasting compensation effects from the last deglaciation (Joos et al., 2004). In contrast, the last glacial-interglacial cycles were marked by an amplitude of about 110 ppmv in atmospheric pCO<sub>2</sub> with values around 290 ppmv at interglacials and 180 ppmv at glacial maxima (e.g., Siegenthaler et al., 2005). A combination of oceanic processes is likely to have been responsible for these variations (see e.g., Heinze et al., 1991; Heinze and Hasselmann, 1993; Brovkin et al., 2007), but the concrete details of the relevant processes are so far not well established. In a cold and dry glacial climate, the land biosphere was presumably less well developed as during warm and more humid periods, and therefore, the terrestrial carbon cycle may have provided a CO<sub>2</sub> source to the atmosphere rather than a sink (e.g., Crowley, 1995).

A number of feedback processes work between climate and the marine carbon cycle. These processes involve the inorganic as well as the organic carbon cycle in the ocean. Key primary driving factors behind these feedback processes are changes in temperature (physical forcing), including changes in circulation as well as sea-ice cover, and changes in atmospheric CO<sub>2</sub> (chemical forcing). For the natural glacial-interglacial carbon cycle variations an overall positive feedback between carbon cycle and climate resulted. Candidate processes contributing to this feedback are lower seawater temperatures during glacial maxima, potentially somewhat altered sea surface salinities, and changes in ocean circulation primarily involving the alterations of the Southern Ocean circulation (e.g., Broecker and Peng, 1986; Broecker and Peng, 1989; Sigman and Boyle, 2000) in conjunction with changes in the biological carbon cycling. Respective hypotheses include changes in the production of CaCO<sub>3</sub>, changes in nutrient utilisation efficiency of organisms, changes in nutrient availability, and varying interactions between shelf seas and the open ocean under glacial-interglacial sea-level changes (Broecker, 1982; Broecker and Peng, 1989; Archer et al., 2000). The processes governing the oceanic uptake of anthropogenic carbon from the atmosphere may differ from those which had been been responsible for the glacial-interglacial atmospheric CO<sub>2</sub> variability. For the anthropogenic uptake problem, the time scales involved

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399 are shorter. Further, while during glacial-interglacial cycles carbon was mainly re-distributed  
400 between the different Earth system reservoirs, for the anthropogenic carbon uptake newly  
401 added carbon to the Earth system must be redistributed between those reservoirs.  
402  
403

### 406 **3 – Evolution of the ocean sink for anthropogenic carbon Variability, time evolution,** 407 **and kinetics of the ocean carbon sink**

409 The cycling of carbon in the oceans is a complex interplay of different physical, chemical and  
410 biological processes, yielding both positive and negative air-sea flux values for natural and  
411 anthropogenic CO<sub>2</sub> depending on the oceanic region and the seasonal cycle. Due to the rapid  
412 increase of atmospheric CO<sub>2</sub> concentrations in the past 250 years and the resulting  
413 implications for the global heat budget, it is of great importance to understand the driving  
414 forces of carbon sequestration in the oceans as well as their variability, i.e. to understand the  
415 role of the oceans as a sink for anthropogenic CO<sub>2</sub>.

#### 418 **3.1 - The key process for anthropogenic carbon uptake**

420 The equilibrium concentration of gaseous CO<sub>2</sub> in seawater depends both on the concentration  
421 of DIC and the concentration of hydrogen ions. Since the beginning of the Industrial  
422 Revolution, atmospheric CO<sub>2</sub> concentrations have been rapidly rising. The addition of CO<sub>2</sub> to  
423 the oceans through gas exchange with the atmosphere leads to a shift in the partitioning of  
424 HCO<sub>3</sub><sup>-</sup>, CO<sub>3</sub><sup>2-</sup>, CO<sub>2</sub>, and the concentration of hydrogen ions (Fig. 2, formulas 1 & 2). The  
425 more CO<sub>2</sub> gets absorbed by the ocean the lower the amount of CO<sub>3</sub><sup>2-</sup> becomes. In parallel, the  
426 concentration of hydrogen ions increases, causing a decrease in open ocean pH that is referred  
427 to as ocean acidification. Projections of future ocean pH suggest a potential total reduction by  
428 0.4-0.5 units by the end of the 21<sup>st</sup> century as compared to pre-industrial levels, resulting in a  
429 pH of 7.7-7.8 (Haugan and Drange, 1996; Brewer, 1997; Caldeira and Wickett, 2003; Bopp et  
430 al., 2013). Furthermore, a shifting ratio of HCO<sub>3</sub><sup>-</sup>:CO<sub>3</sub><sup>2-</sup>:CO<sub>2</sub> results in a decrease in CO<sub>2</sub>  
431 buffering: the larger the concentration of DIC in the ocean becomes, conversely the smaller  
432 the fraction of increased carbon added to the atmosphere that can be taken up by the ocean  
433 will be. Or in other words, the higher the cumulative CO<sub>2</sub> emissions to the atmosphere  
434 become, the less effective seawater will be in dissociating a part of this CO<sub>2</sub> into HCO<sub>3</sub><sup>-</sup> and  
435 CO<sub>3</sub><sup>2-</sup>.

437 The biological carbon pump does not sequester anthropogenic carbon added to the ocean itself  
438 on decadal to centennial time scales (as the process for new crude oil works on geologic time  
439 scales). However, alterations of the biological pump caused by changes in ocean circulation  
440 and rising carbon concentrations in the surface layer could modulate the marine biological  
441 uptake of human-produced CO<sub>2</sub> to some degree. Among these biological changes are a  
442 potential decrease in biological CaCO<sub>3</sub> production (Heinze, 2004; Gehlen et al., 2007;  
443 Ridgwell et al., 2007) and a potential change in carbon to nitrogen ratios in oceanic organic  
444 matter under high CO<sub>2</sub> (Riebesell et al., 2007).

446 The main three-dimensional distribution of DIC, oxygen (O<sub>2</sub>), and nutrients in the ocean is  
447 determined by the action of biota and their degradation together with the three-dimensional  
448 ocean circulation. In order to show that biogeochemical models for CO<sub>2</sub> uptake work

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449 ~~correctly, these must also reproduce the organic carbon cycle as well as the distribution of~~  
450 ~~oxygen and nutrients. With only the abiotic carbon cycle included, the modelled DIC~~  
451 ~~distribution would look very different from the real one and model evaluation would not yield~~  
452 ~~meaningful results (Maier Reimer and Hasselmann, 1987). Nevertheless, abiotic inorganic~~  
453 ~~ocean carbon cycle models can be used for zero order estimates of oceanic uptake of~~  
454 ~~anthropogenic carbon, even though these models have the big disadvantage that their DIC~~  
455 ~~distribution in the water column cannot be compared with DIC measurements from the real~~  
456 ~~ocean which also contain the signature of the biological cycling. To demonstrate that ocean~~  
457 ~~carbon cycle models work properly, the inclusion of the organic carbon cycling in these~~  
458 ~~models, therefore, is an important necessary condition. On the other hand, uptake of~~  
459 ~~anthropogenic excess CO<sub>2</sub> from the atmosphere is mainly determined by the physico-chemical~~  
460 ~~buffering mechanism and transport of water with high anthropogenic carbon concentrations~~  
461 ~~into the ocean interior. Nevertheless, simulations of biologically mediated tracers such as O<sub>2</sub>,~~  
462 ~~PO<sub>4</sub><sup>3-</sup> etc. help to constrain the oceanic velocity field of the respective model, especially~~  
463 ~~because respective measurements are abundant. Further, the biologically mediated CO<sub>3</sub><sup>2-</sup> ion~~  
464 ~~distribution is a powerful constraint on whether the inorganic carbon cycle is correctly~~  
465 ~~described by the models. The simulation of anthropogenic marine carbon uptake in purely~~  
466 ~~inorganic carbon cycle models (i.e. those which do not include ecosystem representations, no~~  
467 ~~nutrient tracers, and no oxygen cycle) can be to some degree be validated by age tracers~~  
468 ~~which are employed also for evaluation of ocean model velocity fields in general. the~~  
469 ~~Radiocarbon <sup>14</sup>C, which enters the ocean mainly from the atmosphere, is still the most used~~  
470 ~~age tracers for validating oceanic transport rates as well as patterns in ocean circulation~~  
471 ~~models. With its half-life of 5730 years (sometimes also the slightly smaller Libby half-life is~~  
472 ~~used; see Stuiver and Polach, 1977), radiocarbon of DIC results in substantial surface to~~  
473 ~~deep gradients. The natural radiocarbon distribution is contaminated by bomb <sup>14</sup>C, which~~  
474 ~~entered the ocean in large amounts due to atmospheric tests of nuclear weapons until the~~  
475 ~~atmospheric test ban treaty in the mid-1960s was implemented was passed. To some degree,~~  
476 ~~bomb <sup>14</sup>C can also be used as tracer for water mass exchange in itself, but the lack of~~  
477 ~~knowledge about the pristine <sup>14</sup>C distribution on already contaminated areas remains a~~  
478 ~~problem in spite of attempts to reconstruct natural pre-bomb <sup>14</sup>C values in the ocean interior~~  
479 ~~(Broecker et al., 1995). Nevertheless, for the large scale ocean, <sup>14</sup>C remains one of our best~~  
480 ~~tracers for assessing turnover rates of water masses in the ocean (cf. Schlitzer, 2007).~~  
481 ~~Another, in principle powerful, age oceanic tracer is the noble gas isotope <sup>39</sup>Ar. Its shorter~~  
482 ~~half-life of 269 years (Stoenner et al., 1965) would even be more suitable to resolve upper~~  
483 ~~ocean gradients for validation of ocean ventilation time scales in models (e.g., Müller et al.,~~  
484 ~~2006). New measurement techniques allowing for small sample size may enable building a~~  
485 ~~larger <sup>39</sup>Ar data base for the ocean (Collon et al., 2004).~~

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487 ~~As supporting evidence for pathways of anthropogenic carbon from the atmosphere over the~~  
488 ~~surface layer and into the ocean interior, also <sup>13</sup>C and chlorofluorocarbons are used. Fossil~~  
489 ~~fuel CO<sub>2</sub> in the atmosphere has a low <sup>13</sup>C signature (plant material which that ultimately had~~  
490 ~~been the basis for crude oil formation has a deficit in the stable carbon isotope <sup>13</sup>C relative to~~  
491 ~~<sup>12</sup>C, also known as the Suess effect; see Keeling, 1979). Waters with a deficit of <sup>13</sup>C in DIC~~  
492 ~~relative to natural background conditions, therefore contains carbon from anthropogenic~~  
493 ~~sources (e.g., Racapé et al., 2013). Unfortunately, the reconstruction of the pristine <sup>13</sup>C~~  
494 ~~distribution in the ocean is not straightforward (Olsen and Ninnemann, 2010), and further the~~  
495 ~~<sup>13</sup>C distribution in the ocean is strongly influenced by formation as well as degradation of~~  
496 ~~biogenic matter (Kroopnick, 1985). Chlorofluorocarbons or “<sup>12</sup>CFCs” (such as CFCl<sub>3</sub> or “<sup>12</sup>F-  
497 ~~1122” and CF<sub>2</sub>Cl<sub>2</sub> or “<sup>12</sup>F-1222”) are purely human-produced substances (also known for their~~  
498 ~~negative effect on the stratospheric ozone layer) which entered the oceans from the~~~~

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499 atmosphere in small amounts following their atmospheric concentration and their respective  
500 solubilities in seawater. Though their atmospheric concentration time series and their uptake  
501 mechanisms in the ocean are different than for CO<sub>2</sub>, they nevertheless give a constraint on  
502 where large amounts of anthropogenic carbon have entered deeper layers and what time scales  
503 are involved with this uptake (see, e.g., Smethie, 1993; Schlitzer, 2007; Steinfeldt et al., 2007;  
504 Schlitzer, 2007).

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### 507 **3.21 – Long-term ocean carbon uptake kinetics as studied in model scenarios**

#### 508 **Variability of the oceanic carbon sink**

509 The classical view about the marine uptake of anthropogenic CO<sub>2</sub> from the atmosphere is that  
510 the ocean sink averaged over the entire globe is operating continuously and reliably and is less  
511 variable than the exchange between the atmosphere and the land biosphere including soil and  
512 plants (though the classical view also includes that the ocean atmosphere transport of CO<sub>2</sub> co-  
513 varies with short-term climate variability). This view was supported by the basic inorganic  
514 carbon buffering mechanism and by the fact that the equilibration timescale between the  
515 ocean surface layer and the atmosphere is approximately 6-12 months. The variability of air-  
516 sea CO<sub>2</sub> gas exchange is dampened, because not only the CO<sub>2</sub> molecules are taking part in the  
517 equilibration process, but the entire surface layer volume needs to achieve chemical equilibria  
518 for the compounds HCO<sub>3</sub><sup>-</sup>, CO<sub>3</sub><sup>2-</sup>, and dissolved CO<sub>2</sub>. Therefore, seasonal variations in DIC  
519 due to biological production and remineralisation occur quicker than for respective air-sea gas  
520 exchange fluxes to compensate for them. Thus, also, the seasonal cycle in the instrumental  
521 atmospheric CO<sub>2</sub> record is dominated by the seasonal variation of the land biosphere,  
522 especially for the northern hemisphere (Keeling et al., 2001). However, with significantly  
523 improved observing systems in the past two decades, it has become obvious that on a regional  
524 scale air-sea carbon fluxes may considerably differ between years (Le Quéré et al., 2007;  
525 Schuster and Watson, 2007). There are indications that these regional and temporal variations  
526 have been smoothed out on decadal time scales over the past 20 years (McKinley et al., 2011),  
527 but nevertheless observations and models suggest that the ocean sink is vulnerable to a  
528 decrease in efficiency during further climate change and further rising ambient CO<sub>2</sub> levels  
529 (Friedlingstein et al., 2006; Le Quéré et al., 2007; Watson et al., 2009; Arora et al., 2013).

### 533 **3.2 – Time evolution and kinetics of the oceanic carbon sink**

534 In general, one has to discriminate between the ultimate uptake capacity of the ocean for  
535 anthropogenic CO<sub>2</sub> from the atmosphere and the marine uptake kinetics for this CO<sub>2</sub>. Both are  
536 societally relevant and need to be taken into account for emission reduction strategies and  
537 development of improved renewable energy systems.

539 The ultimate uptake capacity denotes the amount of anthropogenic carbon emitted to the  
540 atmosphere that in total eventually ends up in the ocean, long after the human-caused  
541 greenhouse gas emission perturbation has happened and when the ocean carbon cycle has  
542 achieved quasi-equilibrium. This time scale is of the order of several 10,000 years, because  
543 the ocean water column has to fully equilibrate with the CaCO<sub>3</sub> sediment on the seafloor,  
544 where a considerable portion of the CaCO<sub>3</sub> will become dissolved after repeated cycling of  
545 deep water (Broecker and Takahashi, 1977; Archer, 2005). The respective CO<sub>3</sub><sup>2-</sup> ions made  
546 available in seawater can, thus, be employed for neutralising anthropogenic carbon in the  
547 ocean. On very long time scales, this redissolution of CaCO<sub>3</sub> from the sediment, thus,

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549 | provides an important negative feedback process to climate change. In addition, high  
550 atmospheric CO<sub>2</sub> levels enhance the weathering rate of carbonates on land. This process also  
551 works effectively only on long time scales with potentially quicker changing hot spots  
552 (Archer, 2005; Beaulieu et al., 2012). The ultimate storage capacity of the ocean critically  
553 depends on the total amount of carbon emitted. Burning of 5000 GtC (GtC = gigaton of  
554 carbon) of potentially available fossil fuel reserves would lead to a higher long-term CO<sub>2</sub> level  
555 in the atmosphere and a reduced fractional ocean uptake capacity in comparison to, e.g.,  
556 burning only 1000 GtC (Archer, 2005). The impact on societies and life even after 100,000  
557 years depends, thus, on our behaviour concerning usage of fossil fuel reserves today. This fact  
558 as well has to be taken into account for greenhouse gas emission reduction strategies.

559  
560 The oceanic CO<sub>2</sub> uptake kinetics denote the speed with which human-produced CO<sub>2</sub>  
561 emissions to the atmosphere can be buffered by the oceans. Due to the limiting effect of gas  
562 exchange, CO<sub>2</sub> dissociation, turbulent mixing and ocean large-scale circulation, only a certain  
563 percentage of the excess CO<sub>2</sub> in the atmosphere can be taken up at a given unit of time by the  
564 ocean (Maier-Reimer and Hasselmann, 1987; Joos et al., 2013). Regionally, this also depends  
565 on the seasonal variations in circulation, biological productivity, as well as light, temperature,  
566 sea-ice cover, wind speed, and precipitation. It is expected that climate change will lead to a  
567 more stable density stratification in the ocean and a general slowing down of large-scale  
568 mixing and circulation (Meehl et al., 2007). The consequence will be a reduced uptake of  
569 anthropogenic carbon from the atmosphere at the ocean surface and also a lower downward  
570 mixing of anthropogenic CO<sub>2</sub> into deeper waters. In addition, high CO<sub>2</sub> in the atmosphere  
571 implies high CO<sub>2</sub> in surface waters and a reduction in the ocean's capability to dissociate the  
572 CO<sub>2</sub> into the other compounds of DIC, i.e. a decreasing buffering ability with rising ambient  
573 CO<sub>2</sub> levels. We have, thus, a physical and a chemical driving force acting on the carbon  
574 balance simultaneously and slowing down the transfer of anthropogenic carbon from the  
575 atmosphere into the ocean. The net effect is a reduction in carbon uptake efficiency with  
576 warming climate and rising atmospheric CO<sub>2</sub>, i.e. a positive feedback to climate change. In a  
577 situation with reduced ocean ventilation, also the biological pump will be affected and should  
578 be considered in the assessment on how the ocean carbon cycle is impacted. The oceanic CO<sub>2</sub>  
579 uptake kinetics depend on the rate of CO<sub>2</sub> emissions to the atmosphere: The faster the  
580 emissions are increasing, the stronger is the climatic effect on slowing down the uptake and  
581 the stronger the chemical effect on decreasing the CO<sub>2</sub> buffering. These effects are caused by  
582 water with high anthropogenic carbon load that cannot be mixed into the interior of the ocean  
583 with the original efficiency and because the buffering ability of seawater decreases with  
584 increasing CO<sub>2</sub> partial pressure in the water. The oceanic bottleneck effect is obvious in  
585 several decade-long future scenarios with ocean models (Maier-Reimer and Hasselmann,  
586 1987; Sarmiento and Le Quéré, 1996), ~~and~~ fully coupled Earth system models (Friedlingstein  
587 et al., 2006; Roy et al., 2011; Arora et al., 2013), as well as EMICs (Earth system models of  
588 intermediate complexity; these have a lower resolution than usual Earth system models, but  
589 demand much less computational resources; Steinacher et al., 2013; e.g., Zickfeld et al., 2013;  
590 Steinacher et al., 2013). ~~Earth system models~~ The latter are complex computer programmes,  
591 which include dynamical representations of the various Earth system reservoirs (atmosphere,  
592 ocean, land surface, ice) and the simultaneous interaction between these reservoirs  
593 (Bretherton, 1985; Mitchell et al., 2012). Earth system models are driven by solar insolation  
594 and greenhouse gas emissions and deliver expected time- and space-dependent distributions  
595 of important climatic variables. These variables can be of physical nature, such as  
596 temperature, precipitation, salinity, wind fields, ocean currents, sea-ice cover, or of  
597 biogeochemical nature, such as CO<sub>2</sub> concentration in ocean and atmosphere, pH value in the  
598 ocean, nutrient and dissolved oxygen concentrations, soil organic carbon, or biological

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599 productivity. The temporary build-up of high CO<sub>2</sub> concentrations in the atmosphere increases  
600 directly with the human-produced CO<sub>2</sub> emissions. At pessimistic scenarios with high annual  
601 emissions, the annual fraction of emissions buffered by the oceans is reduced, while pathways  
602 with reduced emissions enable a more efficient oceanic uptake rate. Inclusion of carbon  
603 dynamics in ocean and land models increases the sensitivity of climate models with respect to  
604 radiative warming. This means that models with carbon cycle representations and respective  
605 carbon-cycle-climate-feedbacks lead to an overall stronger warming than with conventional  
606 climate models that do not include an interactive carbon cycle. The range of this feedback is  
607 still large due to inherent model uncertainties and a partial lack of process understanding in all  
608 relevant disciplines.

609  
610

### 611 **3.3 – Detection of ongoing ocean carbon sink strength variability ~~Observations of ocean~~** 612 **~~carbon variability~~**

613

614 In the past two decades, the number of ocean carbon observations has considerably increased  
615 (Sabine et al., 2010). Data collection ranges from the surface to the deep ocean, encompasses  
616 different oceanic regions and includes various time series to capture both spatial and temporal  
617 variations. Satellite measurements have been extremely useful to identify the geographical  
618 distribution of biological primary productivity at the sea surface over seasonal as well as  
619 interannual cycles and to derive wind fields of high value for quantification of gas transfer  
620 velocities across the air-water interface. Targeted research cruises as well as the use of  
621 commercial ships (voluntary observing ships, VOS) equipped with automated systems are the  
622 backbone of surface ocean CO<sub>2</sub> concentration measurements, the data being synthesised in the  
623 SOCAT project (Fig. 3) (Pfeil et al., 2013; Sabine et al., 2013; Bakker et al., 2014). Selected  
624 buoys and floats are used to capture the spatio-temporal variability of ocean carbon. The most  
625 prominent network of floats was established in the framework of ARGO (Array for Real-time  
626 Geostrophic Oceanography) that delivers valuable temperature, salinity, and current data for a  
627 better understanding of mixed layer and subsurface dynamics. However nowadays, ocean  
628 floats are also successfully exploited as platforms for measuring e.g. pCO<sub>2</sub>, O<sub>2</sub>, optical  
629 variables, or nitrate (Boss et al., 2008; Johnson et al., 2010; Fiedler et al., 2013), overall  
630 increasing the possibilities for detailed, autonomous ocean monitoring with high vertical  
631 resolution and data recovery in remote areas (Fiedler et al., 2013). For the deep ocean, data  
632 synthesis products cover at least parts of the major oceans (GLODAP, CARINA, PACIFICA;  
633 Key et al., 2004; Key et al., 2010; Suzuki et al., 2013), but only episodically include seasonal  
634 cycles and do not enable the study of year to year variations in three-dimensional  
635 measurement fields (of DIC, nutrients, and dissolved oxygen). A small number of time series  
636 stations allow a quasi-continuous view at selected ocean sites (HOTS, BATS, ESTOC,  
637 PIRATA moorings, CVOO, PAP, PAPA, DYFAMED, Station M, IS-ts and further; see  
638 <http://www.oceansites.org/> and Olafsson et al., 2009). These time series stations have often  
639 been established in areas of fairly low short-term variability in order to allow a reliable  
640 establishment of long-term trends in the observations.

641

642 Though the observational basis for assessing changes in the oceanic carbon cycle is limited, a  
643 number of major findings have been achieved. Sabine et al. (2004) compiled a global map of  
644 the ocean water column storage of anthropogenic carbon for the year 1994. In this map, the  
645 North Atlantic and the Southern Ocean with adjacent regions are recognized as hot spot areas  
646 for anthropogenic carbon storage. By combining observations with statistical and process-  
647 based model approaches, it could be shown that in these regions the annual uptake of CO<sub>2</sub>

648 from the atmosphere has temporarily decreased, though the total inventory of the  
649 anthropogenic water column burden has monotonously increased.

650  
651 Both the North Atlantic and the Southern Ocean are deep-water production areas that would  
652 be very vulnerable regions with respect to climate-change induced slowing of oceanic carbon  
653 uptake. Internal variability modes of the climate system can be linked to variability in marine  
654 uptake of anthropogenic carbon. These internal variability modes have been identified  
655 through analysis of oceanic and atmospheric physical state variables (such as temperature,  
656 pressure, precipitation, and salinity). The variability modes cause atmospheric and oceanic  
657 anomalies with specific spatial patterns and time scales associated. The most important ones  
658 are ENSO (El Niño Southern Oscillation; e.g. Philander, 1990), NAO (North Atlantic  
659 Oscillation; e.g., Hurrell, 1995), SAM (Southern Annular Mode; e.g., Limpasuvan and  
660 Hartmann, 1999), and the PDO (Pacific Decadal Oscillation; e.g. Mantua and Hare, 2002).  
661 For the North Atlantic, a 50% change of the oceanic CO<sub>2</sub> sink could be deduced from the  
662 VOS line measurement network during the years 2002-2007 (Watson et al., 2009). Also other  
663 studies support the temporary decrease of North Atlantic CO<sub>2</sub> uptake during several years of  
664 the past decade (Corbière et al., 2007; Schuster et al., 2009). These variations are at least  
665 partially attributed to oceanic variability in the North Atlantic associated with a surface  
666 pressure pattern change known as North Atlantic Oscillation (Wetzel et al., 2005; Thomas et  
667 al., 2008; Tjiputra et al., 2012). In a model study with six coupled Earth system models,  
668 Keller et al. (2012) identified a see-saw pattern of variations in sea surface pCO<sub>2</sub> between the  
669 North Atlantic subtropical gyre and the subpolar Northern Atlantic with an amplitude of ±8  
670 ppmv. Such, ~~which make~~ variations makes identification of long-term trends in oceanic  
671 carbon uptake more difficult. With the help of deep repeat hydrography measurements, Pérez  
672 et al. (2013) could show that variations in North Atlantic CO<sub>2</sub> uptake are coupled to changes  
673 in meridional overturning large-scale circulation (linked to varying deep-water production  
674 rates). For the Southern Ocean, the observational ocean carbon data base is comparatively  
675 small, mostly due to the lack of regular shipping routes except for supply ships to Antarctic  
676 weather and research stations. Nevertheless, it could be shown, that the oceanic CO<sub>2</sub> uptake  
677 from the atmosphere did not keep up with the rising atmospheric CO<sub>2</sub> for some time. This  
678 result could be achieved using models driven with realistic atmospheric forcing in  
679 combination with observations primarily from the Indian Ocean sector of the Southern Ocean  
680 (Le Quéré et al., 2007; Metzl, 2009). Partly, this change can be attributed to climatic  
681 oscillations (Southern Annular Mode, SAM) in the southern hemisphere and their  
682 modifications due to changes in wind forcing associated with the decrease in stratospheric  
683 ozone (Lovenduski et al., 2007; Lenton et al., 2009). The SAM is a mode of atmospheric  
684 variability that is marked in its positive phase by a southward shift of the westerlies, which  
685 would enhance upwelling of old water with high concentrations of DIC. Due to the fairly  
686 short observational time series for the Southern Ocean, a weakening of the Southern Ocean  
687 anthropogenic carbon uptake has been controversially discussed. While atmospheric inversion  
688 approaches give results consistent with Le Quéré et al. (2007), the bulk of forward  
689 biogeochemical ocean models do not predict a decrease in Southern Ocean CO<sub>2</sub> uptake  
690 strength (Lovenduski et al., 2008; Lenton et al., 2013; Lovenduski et al., 2008). ~~A~~ Finally,  
691 also the tropical Pacific Ocean with the strongest known short-term climate variation of Earth  
692 called ENSO (El Niño Southern Oscillation) during El Niño phases upwelling in the eastern  
693 equatorial Pacific is reduced due to accumulation of anomalously warm surface waters)  
694 induces large temporary interannual variability (amplitude of ca. ±0.3 GtC/yr; Valsala et al.,  
695 2014) in ocean carbon uptake. The increased sea-surface warming during ENSO events and  
696 reduced upwelling of carbon-rich waters result in a temporarily reduced outgassing and an  
697 enhanced oceanic carbon uptake, respectively (Feely et al., 1999; Ishii et al., 2009). ENSO

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698 variations also have implications for air-sea fluxes in the tropical Atlantic as documented by  
699 Lefèvre et al. (2013). Decadal  $p\text{CO}_2$  variations in the Pacific can be attributed to the Pacific  
700 Decadal Oscillation (PDO) leading to long-term anomalies of tropical sea surface  $p\text{CO}_2$  in  
701 the order of  $\pm 10$  ppmv (Valsala et al., 2014). PDO is also made responsible for  $p\text{CO}_2$   
702 variations in the North Pacific (Ishii et al., 2014; McKinley et al., 2006; Ishii et al., 2014)  
703 though details of the mechanism are difficult to identify and associated  $\text{CO}_2$  flux variations  
704 seem to be quite small (McKinley et al., 2006).

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706 Not only internal variability modes affect the air-sea  $\text{CO}_2$  flux, but also external factors such  
707 as aerosol forcing from volcanic eruptions. Such volcanic forcing tends to temporarily cool  
708 the troposphere and the sea surface with respective implications for carbon cycling. Brovkin  
709 et al. (2010) could identify a temporary small decline of atmospheric  $p\text{CO}_2$  by about 2 ppmv a  
710 few years after major eruptions over the last millennium, where decreasing respiration on land  
711 is a potential leading candidate with the ocean having only a small effect. This is corroborated  
712 by Frölicher et al. (2011) for a model study on the effect of Mt. Pinatubo type eruptions on the  
713 carbon cycle, where again the terrestrial carbon cycle dominates the atmospheric  $p\text{CO}_2$  signal.  
714 Nevertheless, transient changes in ocean uptake of about 2 GtC are in a realistic realm as  
715 consequences to large volcanic eruptions (Frölicher et al., 2011). Further, it cannot be  
716 excluded that also the biological carbon binding is stimulated under deposition of volcanic  
717 dust to the ocean surface (Hamme et al., 2010).

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719 In view of the internal and external factors on ocean carbon cycle variability, it is intriguing to  
720 ask, when long-term climate change signals become identifiable against the background  
721 noise. This problem is of specific concern for large impacts of ocean acidification (see  
722 detailed discussion below). Ilyina et al. (2009) identified the equatorial Pacific Ocean to be  
723 the oceanic domain where a change in marine biogenic  $\text{CaCO}_3$  production due to ocean  
724 acidification may become at first visible through large-scale changes in ocean surface  
725 alkalinity. This can be explained by large background values of pelagic  $\text{CaCO}_3$  production in  
726 the tropical Pacific, though the impact per unit of  $\text{CaCO}_3$  produced would be highest in the  
727 high-latitude surface waters, where decreasing  $\text{CaCO}_3$  saturation proceeds fastest. Generally,  
728 the time of emergence of a climate change signal is an important variable: When can we see  
729 changes in oceanic state variables which clearly can be attributed to human-induced climate  
730 change, i.e. —when do trends in key ocean variables emerge as robust on the background of  
731 analytical uncertainty and interannual variability? Keller et al. (2014, 2015) provided new  
732 insight into this problem. From the analysis of Earth system model modelling results,  
733 they could identify suggested that sea surface  $p\text{CO}_2$  and sea surface pH trends to be  
734 expected could rise beyond the detection threshold already after 12 years from now. DIC  
735 trends would become clear after 10-30 years and trends in the sea surface temperature only  
736 after 45-90 years (Keller et al., 2014). Accordingly, an earlier detection threshold for changes  
737 in mean ENSO-induced carbon cycle variability ( $p\text{CO}_2$ , pH, biological productivity) than for  
738 ocean temperature changes during the 21<sup>st</sup> century was predicted by Keller et al. (2015).  
739 Therefore, ocean carbon cycle observations play a key role as early warning indicators  
740 for when monitoring climate change. For the time interval 1960-2005, Séférian et al. (2014),  
741 however, state that the evolution of the global carbon sink can mainly be explained through  
742 rising  $\text{CO}_2$  in the atmosphere and oceanic carbon uptake without invoking a climatic  
743 feedback. Nevertheless, at regional scale, trends in climate change become also visible in  
744 shaping the regional sink strength pattern.

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747 Regarding future scenarios for the evolution of ocean carbon sinks, Earth system models  
748 driven by solar insolation and greenhouse gas concentrations indicate the strongest areas for  
749 sequestration of anthropogenic carbon are in the Southern Ocean as well as the tropical ocean  
750 (Tjiputra et al., 2010; Roy et al., 2011). The Southern Ocean seems to be the ocean fly wheel  
751 for changes in atmospheric CO<sub>2</sub>, not only for anthropogenic carbon uptake, but also for  
752 natural variations in atmospheric CO<sub>2</sub> (Sigman and Boyle, 2000; Heinze, 2002; Watson and  
753 Naveira Garabato, 2006). Long-term observational capacity for the Southern Ocean is critical  
754 to monitor the ocean sink strength for anthropogenic carbon.

755

756

757

#### 758 **4 – The impact of human-produced carbon on warming and marine ecosystems**

759

760 The ocean carbon sink provides a major service to human societies in removing  
761 anthropogenic CO<sub>2</sub> from the atmosphere and, thus, reducing the additional radiative forcing of  
762 the Earth system. On the other hand, dissociation of anthropogenic CO<sub>2</sub> in seawater increases  
763 ocean acidification, whose potential impacts on the diversity and functioning of marine  
764 ecosystems are not yet fully understood. Understanding the role of the oceanic carbon sink in  
765 controlling Earth's heat budget and influencing marine life is of great importance to project  
766 future effects of climate change. Scenarios with Earth system models (advanced climate  
767 models, for a more detailed explanation see chapter 3.2) reveal that the fraction of fossil fuel  
768 emissions absorbed by the ocean over the 21<sup>st</sup> century is projected to be lower for high  
769 emission scenarios (business as usual scenarios) than stringent emission mitigation scenarios  
770 (Jones et al., 2013). the ocean sink may become less efficient in the future as higher  
771 cumulative CO<sub>2</sub> emissions counteract the general tendency for oceanic CO<sub>2</sub> uptake. It, thus,  
772 remains to be explored what the ocean's ultimate uptake capacity for atmospheric CO<sub>2</sub> is,  
773 when it may be reached, and how until then the ocean may regulate the environmental effects  
774 of anthropogenic CO<sub>2</sub>.

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#### 779 **4.1 – Impact of the ocean carbon uptake on Earth's heat budget**

780

781 The net carbon uptake rates of land and ocean determine the future time evolution of radiative  
782 forcing of the atmosphere and, hence, climate change for a given emission scenario (for a  
783 detailed definition of radiative forcing see Myhre et al., 2013). Joos et al. (2013) used  
784 different Earth system models to compute an average integrated global warming potential for  
785 a pulse emission of 100 Gt-C (~~Gt=Gigatonnes~~) into the atmosphere. In the study it is also  
786 stressed that quantifying the global warming effect for certain retentions of CO<sub>2</sub> emissions to  
787 the atmosphere depends critically on the time horizon considered. For the 100 Gt-C pulse to  
788 the atmosphere, e.g., 25±9% of the pulse emission would remain in the atmosphere after 1000  
789 years, during which the ocean and land would have absorbed 59±12% and 16±4%,  
790 respectively. This emphasizes the long time horizon for the anthropogenic perturbation, which  
791 has to be taken into account even for a world with strongly reduced CO<sub>2</sub> emissions (Plattner et  
792 al., 2008). For higher total emission pulses, the overall retention in the atmosphere would be  
793 higher and likewise the global warming potential per kg CO<sub>2</sub> brought into the atmosphere  
794 (Maier-Reimer and Hasselmann, 1987; Archer, 2005) due to the weakening buffering capacity  
795 of the ocean at high ambient CO<sub>2</sub> partial pressure.

796

797 ~~In recent years, a limit to future~~A future global warming limit of 2°C above the average  
798 preindustrial surface temperature has been ~~suggested~~set as a not yet very ambitious, less  
799 ideal, and thus, but potentially achievable political target for greenhouse gas emission  
800 strategies (~~see Tol, 2007; Meinshausen et al., 2009; Schellnhuber, 2010; United Nations,~~  
801 ~~2010; Schellnhuber, 2010~~). Recent experiments with a coarse resolution Earth system model  
802 taking into account multiple climate targets, i.e. limits for maximum amplitudes of specific  
803 variables such as surface air temperature increase, sea-level rise, aragonite saturation, and  
804 biomass production on land, reveal that CO<sub>2</sub> emissions need to be substantially reduced for  
805 achieving several mitigation goals simultaneously, rather than for meeting a temperature  
806 target alone (Steinacher et al., 2013). Accounting for the carbon cycle climate feedback as  
807 well as other physical and biogeochemical feedbacks in climate models is of great importance  
808 for estimating the allowable emissions for a certain time line of atmospheric CO<sub>2</sub>  
809 concentration and global warming. Complex Earth system models are needed for this.  
810 Simplified climate models as, e.g., employed in Integrated Assessment Models (for  
811 simulations of economical developments under climatic change and for construction of typical  
812 future scenarios) are insufficient for this purpose as they do not account for internal feedbacks  
813 in the Earth system in a dynamical way (Jones et al., 2013).~~Simplified reservoir models, such~~  
814 ~~as Integrated Assessment Models, as often used in economical modelling and for construction~~  
815 ~~of typical future scenarios, are insufficient for this purpose as they do not account for internal~~  
816 ~~feedbacks in the Earth system in a dynamical way (Jones et al., 2013).~~

817

#### 818 **4.2 – Ocean acidification and its impact on marine ecosystems**

819

820 The term ‘ocean acidification’ refers to the decrease of oceanic pH by 0.1 units over the past  
821 250 years and the predicted lowering of pH by another 0.3-0.4 units until the year 2100  
822 (Caldeira and Wickett, 2003; Raven et al., 2005). Its main cause is the uptake and dissociation  
823 of excess CO<sub>2</sub> from the atmosphere that leads to an increase in the oceanic hydrogen ion  
824 concentration. Thorough monitoring of ocean acidification is of great importance, and by  
825 collecting values in observational carbon data bases (e.g. like SOCAT and fixed time series  
826 stations) as well as by conducting long-term carbon time-series measurements (e.g. as  
827 reported in Vázquez-Rodríguez et al., 2012) our understanding of this process and its  
828 spreading throughout Earth’s oceans can be significantly advanced (Fig. 3; Fig. 4). In  
829 addition, investigating the potential effects of ‘high CO<sub>2</sub>-low pH’ conditions on the diversity  
830 and functioning of marine biota and ecosystems is currently the focus of many scientific  
831 studies. The interpretation of the observed responses in a species- and ecosystem-relevant  
832 context thereby suggests that the two ocean acidification stressors high CO<sub>2</sub> concentration and  
833 decreased pH are very often only one part of a complex equation. Other environmental  
834 stressors like temperature, light availability, oxygen concentration, nutrient concentration,  
835 CaCO<sub>3</sub> saturation state or trace metal speciation (to name only a few) as well as time and  
836 physiological characteristics of the investigated organisms themselves have to be taken into  
837 account when elaborating on ocean acidification impacts (Raven et al., 2005; Pörtner, 2008;  
838 Ries et al., 2009; Dupont et al., 2010).

839

840 The most immediate response to an increase in CO<sub>2</sub> concentration and a decrease in seawater  
841 pH is expected for marine calcifying organisms, including corals, molluscs, crustaceans,  
842 echinoderms, coccolithophores, foraminifera as well as coralline and calcareous algae.  
843 Maintenance and production of shells and skeletons may cost more energy in an environment  
844 with reduced pH, and altered organism physiology may increase the vulnerability of certain  
845 species and compromise their ecosystem functions (Bibby et al., 2007; McClintock et al.,  
846 2009; Tunnicliffe et al., 2009). Calcification rates are likely to decline with a reduced

847 saturation value for aragonite and calcite, the two most common forms of  $\text{CaCO}_3$  in seawater  
848 (Feely et al., 2004; Guinotte and Fabry, 2008), caused by a decrease in  $\text{CO}_3^{2-}$  concentration  
849 when  $\text{CO}_3^{2-}$ , excess atmospheric  $\text{CO}_2$ , and  $\text{H}_2\text{O}$  react to  $\text{HCO}_3^-$  and hydrogen ions. **PFuture**  
850 **p**rojections indicate the potential undersaturation for both aragonite and calcite within the  
851 current century for all polar regions (see Fig. 5) and parts of the subpolar Pacific Ocean as  
852 well as the deep North Atlantic Ocean (Orr et al., 2005; Fabry et al., 2008; Steinacher et al.,  
853 2009; Orr, 2011). Because aragonite dissolves at higher  $\text{CO}_3^{2-}$  concentrations than calcite,  
854 corals and other aragonite-producing organisms are expected to experience corrosion of their  
855 hard shell materials due to ocean acidification first. At natural  $\text{CO}_2$  seeps in Papua New  
856 Guinea, a decline in coral diversity was documented in areas of reduced pH as structurally  
857 complex corals were replaced by massive *Porites* corals (Fabricius et al., 2011). The  
858 consequences arising from this diversity shift could be similar to those anticipated for a  
859 general reduction in coral cover and include a loss in biodiversity, habitat availability and  
860 quality as well as reef resilience (Fabricius et al., 2011). The decrease in  $\text{CaCO}_3$  saturation as  
861 a result of ocean acidification combined with other environmental impact factors such as an  
862 increase in temperature can be critical (Kleypas et al., 1999; Hoegh-Guldberg et al., 2007;  
863 Veron et al., 2009; Fabricius et al., 2011). Recent scenario computations with Earth system  
864 models document that a drastic reduction of  $\text{CO}_2$  emissions is required to preserve major coral  
865 reefs during the Anthropocene (Ricke et al., 2013). However, aspects such as potential  
866 adaptation processes and migration need yet to be included in regional studies (Yara et al.,  
867 2012).

868  
869 The effects of ocean acidification on different groups of marine biota can be rather diverse  
870 and complex. For example, specimens of the economically and ecologically important blue  
871 mussel *Mytilus edulis* recovered from the North Sea showed drastically reduced calcification  
872 rates, while specimens recovered from a coastal area of the Baltic Sea did not show any  
873 sensitivity to increased  $p\text{CO}_2$  values (Gazeau et al., 2007; Thomsen et al., 2010; Schiermeier,  
874 2011). Mussels from the Baltic seemed to be adapted to thriving in waters that generally  
875 experience strong seasonal  $p\text{CO}_2$  fluctuations, and food availability may have potentially  
876 outweighed the effects of ocean acidification (Thomsen et al., 2010; Thomsen et al., 2013). In  
877 a study comparing different types of benthic marine calcifiers it could be shown that certain  
878 species experienced dissolution, while others were able to exploit the higher  $p\text{CO}_2$  content in  
879 seawater and increased their net calcification. Physiological characteristics like the organism's  
880 ability to regulate pH, shell-protection with organic layers, biomineral solubility, and  
881 photosynthesis utilization seemed to play a role (Ries et al., 2009). Species-specific reactions  
882 as well as an organism's life cycle stage are further factors that may have to be taken into  
883 account as it has been shown e.g. for echinoderms (Dupont et al., 2010; Dupont et al., 2013;  
884 Dupont and Pörtner, 2013). Results obtained for phytoplankton communities additionally  
885 stress the importance of community composition and/or shifts when assessing ocean  
886 acidification impacts, but still a lot has to be explored about the response of marine microbes  
887 to ocean acidification (Raven et al., 2005; Liu et al., 2010a; Joint et al., 2011; Brussaard et al.,  
888 2013; Oliver et al., 2014).

889  
890 Ocean acidification does not only affect calcifying biota. Sensitivity towards ocean  
891 acidification has been detected for fish and other invertebrates, with increased risks of  
892 acidification of body fluids and tissues as well as hindered respiratory gas exchange (Raven et  
893 al., 2005). Beneficial effects were observed e.g. for seagrass (Palacios and Zimmerman, 2007;  
894 Hall-Spencer et al., 2008; Fabricius et al., 2011) and various algal species (Hall-Spencer et al.,  
895 2008; Connell et al., 2013).

896

897 Projecting the precise impact of ocean acidification on the diversity and functioning of marine  
898 organisms and ecosystems is challenging. A meta-analysis of 228 published studies by  
899 Kroeker et al. (2013) revealed a decrease in calcification, growth, survival, development, and  
900 abundance across a wide range of taxa, but also showed a certain degree of variability among  
901 groups suggesting different scales of sensitivity. It is not well established to which degree  
902 organisms can adapt to quasi-permanent changes in ocean pH due to rapid anthropogenic  
903 carbon input. It is also not known, if and in what way consequences like the physiological  
904 impairment of vulnerable species and the reduction and/or shifts in biodiversity may be  
905 mastered provided that ecosystem functionality shall be preserved. With regard to the  
906 sustainable development of marine resources, future research will need to focus on multiple  
907 stressor studies over various time scales to reveal the functional impact of ocean acidification  
908 (and climate change in general) on marine ecosystem services and provide both  
909 comprehensive monitoring and solution-oriented results.

910 |

911

#### 912 **4.3 – Future impact research**

913

914 For future modelling approaches, not only the effects of atmospheric and oceanic warming as  
915 well as ocean acidification have to be considered, but also the influence of multiple stressors.  
916 These include physical and chemical drivers as well as circulation and stratification changes,  
917 freshening, changes in ice cover, deoxygenation, anthropogenic nitrogen input, changes in  
918 dust supply, marine pollution by offshore activities (e.g. Deepwater Horizon disaster; Mearns  
919 et al., 2011), and plastic waste (also on the micro-scale; Gross, 2013) or overfishing and  
920 bottom trawling. Earth system models that represent the marine carbon cycle and related  
921 biogeochemical cycles have been successfully used to establish the regional combination of  
922 some major stressors and the future evolution of these combinations (Bopp et al., 2013). Yet,  
923 robustness in regional projection is strongly dependent on the considered stressors and  
924 regions, and identifying the onset of emission induced change is still a challenging task that is  
925 especially sensitive to the considered emission-scenario (see Fig. 5). The combined action of  
926 stressors has to be accounted for in ~~designing correct future scenarios for~~ the next generation  
927 of Earth system model climate projections (Steinacher et al., 2013). A critical variable within  
928 this context is the sustained generation of exploitable biomass in the ocean for human food  
929 production, where overall biological carbon fixation rates will presumably decrease with a  
930 more stagnant ocean circulation (Steinacher et al., 2010).

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#### 935 **5 – The ocean carbon sink in relation to the land carbon sink**

936

937 The atmospheric CO<sub>2</sub> concentration is determined by the CO<sub>2</sub> emissions and the CO<sub>2</sub>  
938 exchanges between the land biosphere and atmosphere as well as between the atmosphere and  
939 ocean. Quantification of the regional as well as global land carbon sink is associated with high  
940 uncertainties due to the direct coupling of CO<sub>2</sub> consumption and release on the land surface  
941 with the atmosphere in combination with the heterogeneity of the land biosphere, its constant  
942 change and different forms of land use including forestry changes. Complex soil processes  
943 like the degradation of organic material and permafrost melting processes (Schoor et al.,  
944 2009), episodic events such as fires (wild fires, peat fires; Schultz et al., 2008; van der Werf et  
945 al., 2008), and the multitude of possible reactions of land plants to different drivers (Kattge et  
946 al., 2011) make the determination of the land carbon sink difficult. Recent studies indicate



947 that it may have been overestimated as the limiting effect of nitrogen (N) on plant growth has  
948 not yet been accounted for in most models, potentially giving too much value to the CO<sub>2</sub>  
949 fertilisation effect, while on the other hand human-caused additions of nitrogen to the Earth  
950 system regionally enhance plant growth (Zaehle and Dalmonech, 2011). Only two Earth  
951 system modelling frameworks employed for the projections as summarised in the 5<sup>th</sup>  
952 assessment report of IPCC (Collins et al., 2013) included N limitation on land, and related  
953 processes and feedbacks are under discussion.

954  
955 In comparison to the land carbon sink, the large-scale oceanic sink is considered to be less  
956 variable on an interannual time scale (though considerable perturbations of the ocean carbon  
957 cycle are linked with, e.g., the ENSO cycles; Feely et al., 2006) and, even though a 3-  
958 dimensional approach is required due to water motion, somewhat easier to quantify. This  
959 traditional view is exploited to estimate the year-to-year land sink for anthropogenic carbon  
960 from the atmospheric observations and ocean models (evaluated through observations). The  
961 terrestrial carbon sink is then the residual of CO<sub>2</sub> emissions, atmospheric CO<sub>2</sub> concentrations,  
962 and ocean-atmosphere CO<sub>2</sub> fluxes (Canadell et al., 2007; Le Quéré et al., 2013). Until precise  
963 quantifications of the land carbon sink become available through direct observations and  
964 modelling, estimating it through the ocean carbon sink is a valid option. However, with  
965 increasing detail in oceanic carbon sink determinations, oceanographers are starting to run  
966 into similar heterogeneity problems in the oceans as geo-ecologists on land, especially when  
967 the continental margins, the shelf seas, and coastal and estuarine systems are taken into  
968 account (Borges, 2005; Liu et al., 2010b; Regnier et al., 2013). These likewise heterogeneous  
969 systems are so far not (or at best partially) included in global Earth system model scenarios,  
970 because the resolution of these models does not allow for the resolution of the respective  
971 topographic features and super-computers are currently insufficient to run respective high-  
972 resolution models as yet (Mitchell et al., 2012). Measurements of the O<sub>2</sub>/N<sub>2</sub> ratio in the  
973 atmosphere and marine oxygen budgets can help to further specify the land carbon sink  
974 (Keeling et al., 1996).

975  
976 The interannual variability of land-atmosphere carbon fluxes appears to be higher than the  
977 respective variations for ocean-atmosphere fluxes when computing the land carbon sink as the  
978 residual between oceanic uptake and atmospheric CO<sub>2</sub> retention (Canadell et al., 2007). On a  
979 multi-millennial time scale, peat formation and organic carbon burial in lakes contribute to  
980 slow long-term accumulation on land (Einsele et al., 2001; Gorham et al., 2012). Due to the  
981 overall smaller carbon inventory of the land biosphere as compared to the inorganic ocean  
982 carbon pool (Fig. 6), it is expected that the ocean through inorganic buffering and CaCO<sub>3</sub>  
983 sediment dissolution would ultimately account for the major part of removal of the human-  
984 induced addition of CO<sub>2</sub> to the atmosphere (Archer, 2005).

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## 988 **6 – Major ocean carbon challenges and key knowledge gaps**

989  
990 Some aspects of marine carbon cycling can be regarded as well-established research fields,  
991 such as the inorganic carbon buffering system. However, other elements are more difficult to  
992 approach, partly due to inherent principle difficulties and partly based on the lack of  
993 technological and infrastructural effort. Within this section, some major ocean carbon  
994 challenges and key knowledge gaps in ocean carbon research will be addressed.

995  
996

997 **6.1 – Observational data bases**

998  
999 Based on measurements, our knowledge of inorganic and organic carbon cycling has  
1000 significantly improved over the past decade. This is especially due to measurements of  
1001 inorganically dissolved substances including the 3-dimensional data sets GLODAP (Key et  
1002 al., 2004; GLODAPv2), CARINA (Key et al., 2010), the surface ocean CO<sub>2</sub> data compilations  
1003 from Takahashi et al. (2009), and SOCAT (Pfeil et al., 2013; Sabine et al., 2013; Bakker et  
1004 al., 2014). Semi-continuous measurements are necessary due to the variability of the ocean  
1005 carbon sink, the continuously changing atmospheric CO<sub>2</sub> concentrations as well as the  
1006 variability of oceanic circulation. The aims are to identify vulnerabilities of carbon sinks, to  
1007 validate feedback mechanisms and to provide detailed information for other researchers or  
1008 commercial users regarding the impact of climate change on the marine realm.

1009  
1010 Measurements of dissolved oxygen are of key importance for carbon cycle research. Oxygen  
1011 data are the basis for improving estimates of the land carbon sink (Keeling et al., 1996) and  
1012 for identifying any emergent fingerprint (Andrews et al., 2013), an extensive O<sub>2</sub> measurement  
1013 programme is needed. In addition, measurements of at least two carbon variables of the  
1014 marine inorganic carbon system are necessary. Here, pH and pCO<sub>2</sub> are likely the ones where  
1015 the techniques first will be available on floats, though this combination is not optimal for  
1016 deriving the other inorganic carbon variables. Another option would be to measure DIC and  
1017 alkalinity as the latter easily can be measured in seawater and determines together with DIC  
1018 the marine inorganic carbon system (see Wolf-Gladrow et al., 2007). In combination with O<sub>2</sub>  
1019 measurements on automated float systems, this altogether would provide a significant  
1020 advance in ocean carbon observations. Pilot studies conducted in recent years yielded  
1021 promising results for a world-wide application of such systems (Gruber et al., 2010; Fiedler et  
1022 al., 2013).

1023  
1024 For improved estimates of the biological carbon pump variations, reliable shallow flux  
1025 estimates as well as state-of-the-art biogenic CaCO<sub>3</sub> (aragonite, calcite) and biogenic silica  
1026 (BSi) production maps would be desirable. Respective maps for CaCO<sub>3</sub> export production are  
1027 at present possibly associated with large errors and give partly incongruous results (Sarmiento  
1028 and Gruber, 2006; Balch et al., 2007). Highly accurate total alkalinity observations and a  
1029 reliable CaCO<sub>3</sub> surface map could be used as reference points for future developments of  
1030 biocalcification under high CO<sub>2</sub> (Ilyina et al., 2009). Satellite observations have greatly  
1031 improved our understanding about primary production in the ocean (Henson et al., 2012), but  
1032 remote sensing efforts have still to be better exploited and extended in order to fill the gaps of  
1033 fragmental in-situ observations, especially also for production of hard part shell material.

1034  
1035 Anthropogenically induced elevated carbon levels in the ocean (C<sub>ant</sub>) cannot be observed  
1036 directly, which is why indirect methods have to be used (Gruber et al., 1996; Hall et al., 2002;  
1037 Touratier and Goyet, 2004; Friis et al., 2005). Even though year-to-year changes in DIC are  
1038 measurable in ocean surface waters, it is a challenge to determine them in deeper layers as the  
1039 anthropogenic perturbation in seawater is relatively small when compared to the natural  
1040 background. Over the past years, major international networks and projects (EU framework  
1041 programmes, OCB, PICES, SOLAS, IMBER, IOCCP etc.) have helped to make much  
1042 scientific progress in ocean carbon research worldwide. However, extensions and new  
1043 projects are required to continue the work (GEO/GEOSS, GOOS, FOO, ICOS etc.).

1044  
1045 In contrast to the atmosphere, oceanic meso-scale circulation features are marked by short  
1046 spatial scales and large time scales. While an atmospheric pressure system has a typical length

1047 scale of 1000 km and a lifetime of days to weeks, comparable oceanic meso-scale eddies have  
1048 scales of 2-100 km and several months. Therefore, selected oceanic observations can be  
1049 aliased through meso-scale motion and may not reflect the long-term mean state.

1050  
1051 Time series stations in the ocean are still rare and mostly cover low to mid-latitudes (e.g.  
1052 HOTS, BATS, ESTOC, PAP, PAPA, DYFAMED). These time series have provided a lot of  
1053 insight into the long-term evolution of carbon cycle tracers, e.g. the local decline of mean sea  
1054 surface pH has been documented as unequivocal proof of progressing ocean acidification  
1055 (Santana-Casiano et al., 2007; Bates et al., 2014). An expansion of time series stations at  
1056 higher latitude areas would be desirable as, e.g., the change in sea surface pCO<sub>2</sub> and pH  
1057 would be largest over time, although the mean signal there would be somewhat more blurred  
1058 by interannual variability (Olafsson et al., 2009; Bauerfeind et al., 2014).

1059  
1060 Apart from the issues described above, general challenges for determination of oceanic carbon  
1061 budgets within the Earth system exist, which possibly never can be met adequately: 1. The  
1062 annual net uptake rate of anthropogenic carbon from the atmosphere is small as compared to  
1063 the gross upward and downward fluxes occurring over one year in different oceanic regions.  
1064 That means that we always will have to quantify small net exchange fluxes as difference of  
1065 large gross fluxes into and out of the ocean. 2. The pristine carbon fluxes between the  
1066 atmosphere and the ocean as well as the pre-industrial 3-dimensional distributions of DIC  
1067 have not been measured and need to be reconstructed (Khatiwala et al., 2009; Khatiwala et al.,  
1068 2013). It is unlikely that ocean carbon variables can be reconstructed with high accuracy for  
1069 the pre-industrial from potential proxy record development.

## 1070 | 1071 **6.2 – Process and impact knowledge** 1072

1073 A major obstacle for improvements in future projections of the Earth system for selected  
1074 future scenarios of driving factors is the lack of sufficient process understanding, process  
1075 quantification, and process identification. Though some major biogeochemical principles are  
1076 known, detailed dynamical formulations of processes are scarce and in their infancy. There is  
1077 a considerable uncertainty about the gas transfer velocity of CO<sub>2</sub> and other gases across the  
1078 air-water interface (Carpenter et al., 2012; Garbe et al., 2014). While the global ocean carbon  
1079 sink estimates may not too strongly depend on this choice (otherwise projections with simple  
1080 two box models for the global ocean would not have worked at all; Oeschger et al., 1975), the  
1081 projected local CO<sub>2</sub> concentration in ocean surface waters is highly influenced by the chosen  
1082 gas transfer velocity values, also for appropriate regional validation of ocean models. The co-  
1083 limitation of biological production by various factors is an established concept, however,  
1084 crucial details are not uniformly established, such as the potential variation of carbon to  
1085 nitrogen ratios in biogenic matter under different environmental conditions (Riebesell et al.,  
1086 2007; Jiang et al., 2013). Marine particle fluxes and their dynamics are still poorly understood  
1087 and not yet adequately quantified in a dynamic way in response to external drivers (Klaas and  
1088 Archer, 2002; Gehlen et al., 2006). The ongoing and future impacts of high CO<sub>2</sub> on marine  
1089 organisms have yet to be clarified (Gattuso and Hansson, 2011). Formulations on how to  
1090 quantify the production as well as degradation of phyto- and zooplankton particulate matter  
1091 (organic, inorganic) are not mature enough or not even existing for providing step-change  
1092 improvements of complex ocean models as well as coupled Earth system models. This  
1093 includes, in particular, potential adaptation of organisms and ecosystems to conditions not  
1094 experienced since the geologic past (Langer et al., 2006). Respective modelling approaches  
1095 remain questionable until more detailed and reliable information about the effect of changing  
1096 external drivers, like decreasing carbonate saturation, on the functioning of marine organisms

1097 and ecosystems becomes available. For a suite of land plants, functional relationships between  
1098 drivers and physiological reactions have been established in large data compilations for trait-  
1099 based modelling of the land biosphere (Kattge et al., 2011). Approaches for the simulation of  
1100 ocean ecosystems with multiple plankton functional types have been initiated (Le Quéré et al.,  
1101 2005), but trait data bases for marine organisms are not yet available in a suitable format and  
1102 information from mesocosm and laboratory experiments is scarce and may not be  
1103 straightforwardly transferable to the real Earth system.

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### 1106 **6.3 – Integrative modelling and combination with measurements**

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1108 For simulations of the ocean carbon sink and its impact, suitable models are needed to explain  
1109 past and present events as well as to predict potential future pathways. Biogeochemical ocean  
1110 general circulation models are employed either through observed forcing or within coupled  
1111 Earth system models (~~for review see e.g. Heinze and Gehlen, 2013, for review~~[reviewed in  
1112 Heinze and Gehlen, 2013](#)). There is a trade-off between their resolution (space and time) and  
1113 a technically feasible length of the simulation period. High-resolution models with eddy  
1114 dynamics (large-scale turbulent mixing) are often too computationally expensive for  
1115 integrations exceeding a few decades. However, multiple future scenarios calculated over  
1116 decades, centuries, and millennia are necessary to achieve reliable future projections. In  
1117 addition, biogeochemical models whose water mass properties shall be fully predicted by the  
1118 models need very long and costly spin-up periods in order to bring the tracer distributions  
1119 including the carbon cycle tracers into quasi-equilibrium. Integration periods need to be at  
1120 least as long as one full oceanic circulation cycle of about 1500 years. Even for still fairly  
1121 coarse resolutions this is currently ~~not easily done and~~ quite costly [in terms of super-  
1122 computer processing time](#). Global model simulations of deep-sea carbon distributions as well  
1123 as other deep-sea properties are therefore often limited to a lower resolution as compared to  
1124 their distributions in surface or shallow waters ([e.g., Ilyina et al., 2013; Séférian et al., 2013;](#)  
1125 [Tjiputra et al., 2013](#)).

1126

1127 Models need systematic improvement by combining them with and comparing them to  
1128 observational data. By applying data assimilation procedures (Brasseur et al., 2009), existing  
1129 discrete observations of oceanic variables can be interpolated (gap filling) and free adjustable  
1130 parameters in models (such as, e.g., the particle sinking velocity) can be calibrated. Data-  
1131 driven diagnostic models (Usbeck et al., 2003) are important for suggesting first order values  
1132 of free parameters in dynamical process descriptions and can be implemented in complex  
1133 forward models, which can be used for predictions as well. Systematic model assessment with  
1134 observations and model optimisation with data assimilation have made progress in recent  
1135 years, but for integrated biogeochemical cycle simulations these approaches need to be  
1136 extended. Skill score metrics, which can be used to rank models according to their ability to  
1137 reproduce physical and biogeochemical variables simultaneously, may become a valuable tool  
1138 for future simulations. A simplified short cut method in order to assess the quality of future  
1139 projections of Earth system models is the emergent constraint approach (Cox et al., 2013;  
1140 Hoffman et al., 2014; Wenzel et al., 2014). In this approach, an interrelation is sought  
1141 between a specific Earth system sensitivity as resulting across an ensemble of comparable  
1142 models and a corresponding observational trend or variability (see also Flato et al., 2013).  
1143 This method has just started to also be used for addressing ocean biogeochemical problems  
1144 (Hoffman et al., 2014) and respective constraints have to be identified for this research field.  
1145 Model scenarios can diverge depending on slight modifications of the starting (initial) and  
1146 boundary conditions during a model run as well as due to internal variability in the model.

1147 Therefore, for a given CO<sub>2</sub> emission scenario the expected evolution of the results can differ.  
1148 Ensemble simulations are necessary for establishing a range of statistically valid, potential  
1149 outcomes that are associated with different degrees of probability. Due to the immense costs  
1150 for multiple integrations of complex Earth system models, scenarios with large ensembles,  
1151 though, have been attempted in only few ocean carbon uptake studies.

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#### 1154 **6.4 – Specific regional foci for ocean carbon cycle studies**

1155

1156 There are at least 6 major regional domains, which warrant more attention in the coming years  
1157 of ocean carbon cycle research:

1158

1159 1. The Southern Ocean is quantitatively the most important region for worldwide carbon  
1160 dynamics (today: Mikaloff Fletcher et al., 2006; glacial/interglacial: Watson and Naveira  
1161 Garabato, 2006; future: Tjiputra et al., 2010; Roy et al., 2011), but it is also one of the least  
1162 well year-round observed regions (Takahashi et al., 2009; Swart et al., 2012; Pfeil et al., 2013;  
1163 Sabine et al., 2013) due to its remoteness and high seasonality. Research priorities include the  
1164 improvement of data coverage for carbon variables, dissolved oxygen, and related tracers. The  
1165 water mass formation, mixing and deep convection processes, in particular in the Southern  
1166 Ocean, are the ‘Achilles heel’ of global ocean models, and a step-change improvement is  
1167 needed in order to achieve more physically based deep-water production representations in  
1168 ocean models as well as Earth system models (Lenton et al., 2013). This includes also the  
1169 representation of Antarctic shelf regions and respective water-mass formation mechanisms  
1170 relevant for large-scale simulations.

1171

1172 2. Highly dynamic systems such as shelf areas, coastal zones, estuaries and continental  
1173 margins will need to be accounted for in global carbon cycle quantifications. This is of key  
1174 importance for impact studies as shallow seas are major spawning and living grounds for  
1175 commercially exploited fish and food production. In addition, anthropogenic stressors such as  
1176 mega cities, pollution from riverine loads and deposition of reactive nitrogen (Duce et al.,  
1177 2008) have to be considered. Progress has recently been made in providing advanced  
1178 combined river runoff and river load data for use in biogeochemical models (Mayorga et al.,  
1179 2010). Ocean biogeochemical models should include both pelagic ocean sediment models  
1180 (Heinze et al., 2009) and shallow sediment representations to involve high fluxes and  
1181 regeneration rates of organic sediments as well as respective low oxygen and anoxic reactions  
1182 and matter transformations like methanogenesis or denitrification (Naqvi et al., 2010;  
1183 Mogollón et al., 2012). Land-ocean coupling of natural and anthropogenically perturbed  
1184 systems (Regnier et al., 2013) needs inclusion in global Earth system models, especially with  
1185 regard to quantifying nation-wide closed carbon budgets.

1186

1187 3. The Arctic Ocean is a hot spot of climatic and environmental changes, and represents the  
1188 area in which ocean acidification accelerates most rapidly (Steinacher et al., 2009). Like the  
1189 Southern Ocean, the Arctic is highly undersampled, making it difficult to determine reliable  
1190 CO<sub>2</sub> sink estimates (Schuster et al., 2013). New process understanding (Wählström et al.,  
1191 2012, 2013) has to be integrated into large-scale ocean models. Shifts in water mass formation  
1192 processes, including the cold halocline structure at the Arctic Ocean surface domain (Aagaard  
1193 et al., 1981; Anderson et al., 2013), need to be identified. A strongly reduced Arctic sea-ice  
1194 cover and changes in annual sea-ice formation will have fundamental consequences for both  
1195 organic and inorganic carbon cycling as well as ocean circulation and mixing (Loeng et al.,  
1196 2005). The net effect on ocean carbon sink behaviour for a summer ice-free Arctic Ocean is

1197 not yet firmly assessed. Future studies need to include both sea-ice physics and sea-ice  
1198 biogeochemistry. In addition, the potential climatically and tectonically induced degassing of  
1199 CH<sub>4</sub> from Arctic Ocean sources needs to be further monitored as a potentially significant  
1200 greenhouse gas source (Biaostoch et al., 2011; Shakhova et al., 2014).

1201  
1202 4. The tropical ocean is another key sink area for anthropogenic carbon (Mikaloff Fletcher et  
1203 al., 2006; Roy et al., 2011). Future research needs to focus on ENSO-related variability in its  
1204 carbon sink potential as well as on it being a region of high phytoplankton production rates in  
1205 respective upwelling areas, where large-scale impacts of ocean acidification may be measured  
1206 already during an early stage (Ilyina et al., 2009). Both the Pacific and Atlantic Ocean  
1207 equatorial areas can be affected by short-term climatic fluctuations (Cadule et al., 2010;  
1208 Lefèvre et al., 2013) and the overall long-term effect of shifts in occurrences and patterns of  
1209 these events needs attention.

1210  
1211 5. Oligotrophic regions play a significant role for sustained ocean time series stations as the  
1212 interannual and seasonal variability is small and long-term trends may be easier to deduce.  
1213 Current investigations should be complemented with measurements of nitrogen fixing  
1214 processes as well as with their potential changes under altering dust fluxes and ocean  
1215 acidification. Additionally, future research should include the identification of changes in the  
1216 cycling of the greenhouse gas N<sub>2</sub>O (Freing et al., 2012; Voss et al., 2013).

1217  
1218 6. Coastal upwelling areas have proven to be useful study areas for ocean acidification,  
1219 deoxygenation, and biological carbon pump studies and will remain a major focus of future  
1220 monitoring (Feely et al., 2008; Paulmier et al., 2008; Gruber et al., 2011). It will therefore be  
1221 crucial to appropriately resolve the physically and biogeochemically highly dynamic regimes  
1222 along continental margins both in observational campaigns and modelling efforts.

1223  
1224 7. Of course, the traditionally comparatively well observed North Atlantic and North Pacific  
1225 domains (see, e.g., Bakker et al., 2014) should be further kept in the focus of monitoring and  
1226 modelling programmes. The North Atlantic is a critical area for anthropogenic marine carbon  
1227 uptake and changes in this may occur due to a changes in meridional overturning and deep-  
1228 water production. It has still to be firmly established whether any long-term (more than two  
1229 decades) changes in the trend of anthropogenic CO<sub>2</sub> uptake occur in these regions which that  
1230 are marked also by internal variability in coupling to prevailing climate variability modes  
1231 such as the North Atlantic Oscillation and the Pacific Decadal Oscillation.

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### 1233 **6.5 – Using the ocean natural laboratory for case studies on complex couplings**

1234  
1235 The ocean and Earth system need to be better used as laboratories to understand processes and  
1236 the resulting effects on a global scale. This can, for example, be achieved by using a  
1237 biogeographic approach, where ecosystems are analysed along natural gradients in both space  
1238 and time. Natural, environmental variability needs to be better exploited to obtain results for  
1239 impact research. Transient large-scale variations of the Earth system and the ocean carbon  
1240 cycle's role in these patterns need to be explained.

### 1241 1242 1243 **6.6 – Combination with other biogeochemical cycles and greenhouse gases**

1244  
1245 The ocean carbon cycle needs to be studied and assessed in combination with other  
1246 biogeochemical cycles in a more focussed way than in the past. The oceanic sources/sinks of

1247 CH<sub>4</sub>, N<sub>2</sub>O, and CO<sub>2</sub>, all three being natural and anthropogenic greenhouse gases, are  
1248 controlled by coupled elemental cycles involving among others carbon compounds, nutrients,  
1249 and gases. Only integrative approaches can ensure a full understanding of the coupled cycles  
1250 and a full exploitation of respective observational evidence. The simultaneous quantifications  
1251 of the oxygen and carbon cycles are vital for closing the global carbon budget including the  
1252 terrestrial biosphere. Nutrient cycles and their anthropogenic perturbations directly control the  
1253 biological carbon cycling on land and in the oceans. Their more detailed dynamical  
1254 implementation in land and ocean models is needed, including a better understanding of  
1255 nutrient limitations (including effects of micronutrients such as iron) under changing  
1256 environmental conditions.

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## 1260 7 – Conclusion

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1262 The ocean carbon sink has two parallel effects: 1. Parts of the anthropogenic CO<sub>2</sub> emissions  
1263 are absorbed by the ocean and, thus, the radiative forcing associated with the human-caused  
1264 excess CO<sub>2</sub> is reduced. 2. The more anthropogenic CO<sub>2</sub> enters the ocean, the stronger ocean  
1265 acidification will be. Both aspects have to be considered simultaneously for establishing  
1266 future mitigation strategies on emission reductions as well as for establishing adaptation  
1267 measures to environmental and climatic change. The two aspects, though, have opposite  
1268 effects. Increasing the ocean carbon sink may lead to less warming, but at the same time will  
1269 promote ocean acidification. Critical to both is the speed of progression. Climatic warming  
1270 and lowered pH values in the oceans will prevail long after the anthropogenic CO<sub>2</sub> emission  
1271 period to the atmosphere, and it is not possible to associate a specific lifetime to CO<sub>2</sub> in the  
1272 atmosphere (Tans, 1997). Determining extent, timing, and impact of the ocean carbon sinks  
1273 and sources will, thus, remain a key task in the future establishment of sustainable  
1274 development strategies on Earth, especially with regards to the further rising greenhouse gas  
1275 emissions to the atmosphere as expected for the coming decades. We have for the first time  
1276 arrived at an atmospheric CO<sub>2</sub> mixing ratio of 400 ppm<sub>v</sub> (Mauna Loa observatory, May 2013,  
1277 <http://keelingcurve.ucsd.edu/>) since 850,000 years (as measurements from atmospheric CO<sub>2</sub>  
1278 concentrations in Antarctic ice cores document; see Siegenthaler et al., 2005), and human  
1279 CO<sub>2</sub> emission rates are currently increasing further (Le Quéré et al., 2013; Le Quéré et al.,  
1280 2014). Strategies on feasible emission reduction procedures need to take the timing of the  
1281 ocean sink (slow kinetics, large capacity) and the associated impact through ocean  
1282 acidification into account.

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## 1293 Acronyms

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1296 BATS                      Bermuda Atlantic Time-series Study

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1297		
1298	CARINA	CARbon dioxide IN the Atlantic Ocean (data synthesis project)
1299		
1300	CVOO	Cape Verde Ocean Observatory
1301		
1302	DYFAMED	DYnamics oF Atmospheric fluxes in the MEDiterranean sea (time-series study)
1303		
1304	ENES	European Network for Earth System modelling
1305		
1306	ESTOC	European Station for Time-series in the Ocean Canary islands
1307		
1308	FOO	GOOS Framework for Ocean Observing
1309		
1310	GEO/GEOSS	Group on Earth Observations/Global Earth Observation System of Systems
1311		
1312	GOOS	Global Ocean Observing System
1313		
1314	GLODAP	Global Ocean Data Analysis Project
1315		
1316	HOTS	Hawaii Ocean Time-Series
1317		
1318	ICOS	Integrated Carbon Observation System
1319		
1320	IGBP	International Geosphere-Biosphere Programme
1321		
1322	IMBER	Integrated Marine Biogeochemistry and Ecosystem Research
1323		
1324	IOCCP	International Ocean Carbon Coordination Project
1325		
1326	IPCC	Intergovernmental Panel on Climate Change
1327		
1328	OCB	Ocean Carbon and Biogeochemistry
1329		
1330	PACIFICA	PACIFic ocean Interior Carbon database
1331		
1332	PAP	Porcupine Abyssal Plain observatory
1333		
1334	PAPA	Ocean station Papa (North Pacific)
1335		
1336	PICES	North Pacific Marine Science Organization
1337		
1338	PIRATA	PredIction and Research moored Array in the Tropical Atlantic
1339		
1340	RCP	Representative Concentration Pathways
1341		
1342	SOCAT	Surface Ocean CO <sub>2</sub> ATlas
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1344	SOLAS	Surface Ocean Lower Atmosphere Study
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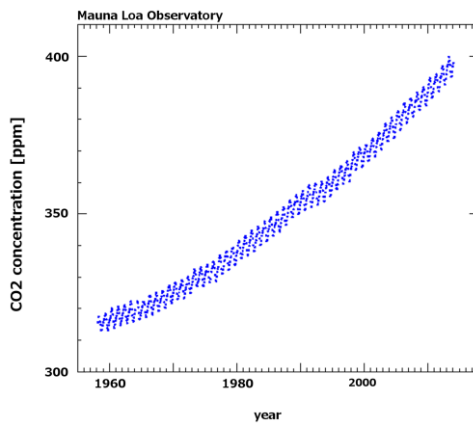
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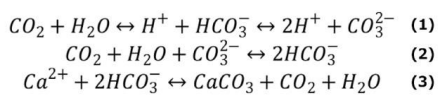
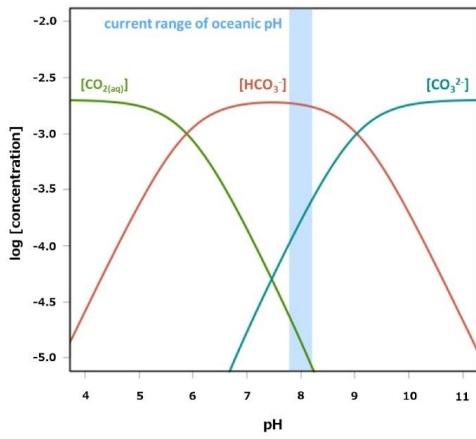
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**Figures**



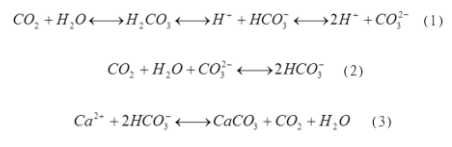
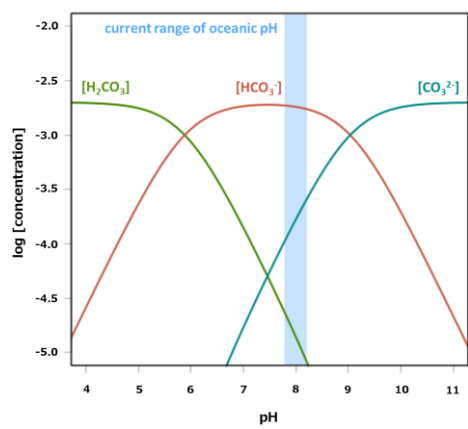
**Figure 1:** Atmospheric CO<sub>2</sub> concentrations recorded at Mauna Loa Observatory between 1958 and 2014. Due to human-produced emissions, CO<sub>2</sub> levels in Earth's atmosphere have been rapidly rising since the beginning of the Industrial Revolution and nowadays are crossing 400 ppm<sub>y</sub> (400.01 ppm<sub>y</sub> on 25 May 2013), equalling a 44% increase when compared to pre-industrial CO<sub>2</sub> concentrations of around 278 ppm<sub>y</sub>. Source: Dr. Pieter Tans, NOAA/ESRL ([www.esrl.noaa.gov/gmd/ccgg/trends](http://www.esrl.noaa.gov/gmd/ccgg/trends)) and Dr. Ralph Keeling, Scripps Institution of Oceanography ([scrippsco2.ucsd.edu/](http://scrippsco2.ucsd.edu/)).

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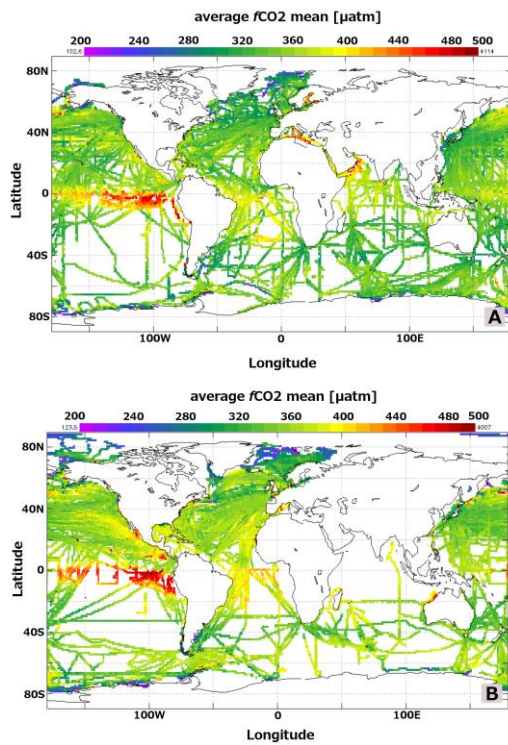
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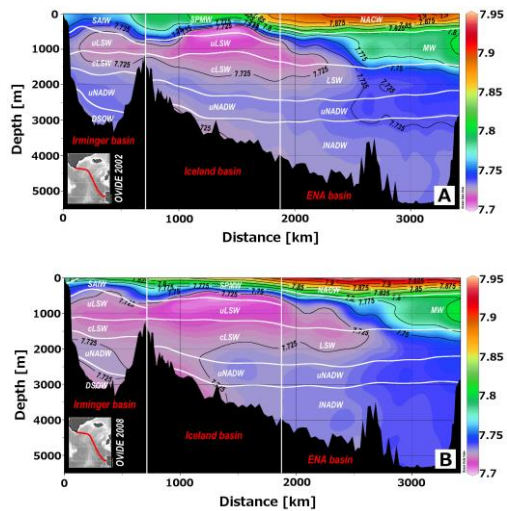
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**Figure 2:** Bjerrum plot created according to equations reviewed in Sarmiento and Gruber (2006) and Zeebe and Wolf-Gladrow (2001) as well as main reactions of carbon chemistry referred to in this review.



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**Figure 3:** Mean unweighted surface water  $f\text{CO}_2$  ( $\mu\text{atm}$ ) for the years 1970-2002 (A) and 2003-2011 (B) using the SOCATv2 monthly 1x1 degree gridded data set (Bakker et al., 2014). The maps were generated by using the online Live Access Server.

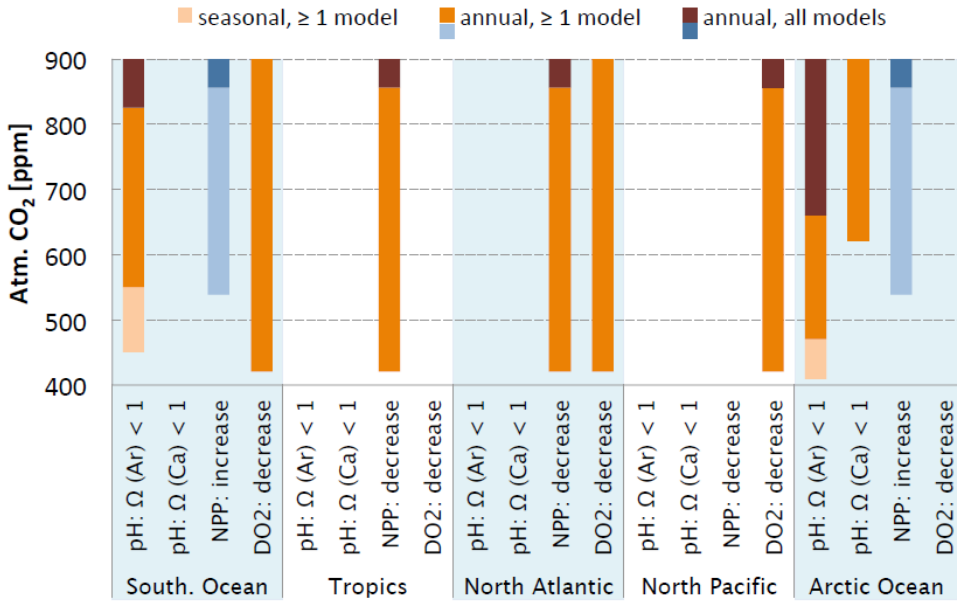




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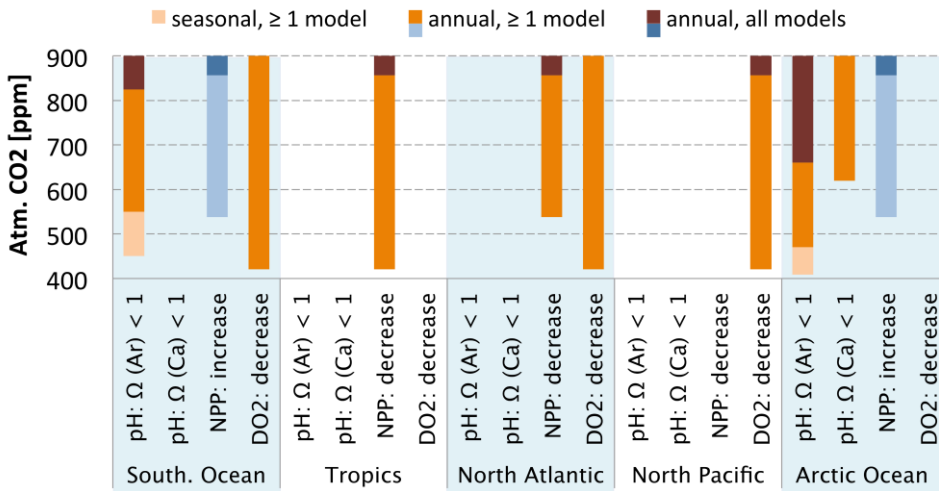
**Figure 4:** Spatial and temporal change of seawater pH measured across the North Atlantic Subpolar Gyre between Greenland and the Iberian Peninsula. The vertical distribution of pH followed the anticipated natural distribution, with higher pH in surface waters and lower pH in deep waters. A comparison of pH values measured in 2002 (A) and 2008 (B) revealed an overall decrease in seawater pH in intermediate and deep waters. This acidification was most evident in water depths between 1000 and 2000 m, where over the years the water layer with pH values below 7.725 had thickened several-fold (Vázquez-Rodríguez et al., 2012, *Biogeosciences*, 9, 5217-5230, doi: 10.5194/bg-9-5217-2012, 2012).

**Modelled impact of increasing atmospheric CO<sub>2</sub> concentrations on pH, net primary production, and subsurface oxygen**



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**Modelled trends with respect to Ocean acidification, Deoxygenation and Primary Production**



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**Figure 5:** Modelled impact of increasing atmospheric CO<sub>2</sub> concentrations on stressors of ocean ecosystems, that is surface undersaturation of aragonite (pH:  $\Omega(\text{Ar}) < 1$ ) and calcite (pH:  $\Omega(\text{Ca}) < 1$ ), net primary production (NPP), and oxygen at 200–600 m depth (DO<sub>2</sub>). Bright orange bars denote a seasonal development, while orange and light blue bars denote annual developments projected by one or more models. Red and blue bars indicate that all considered models agree on the depicted development. Orange and red bars denote furthermore a negative impact on marine ecosystems, while blue and light blue bars indicate an increase of the modelled parameter with the ecologic impact of this development not yet fully being determined. Impacts are based on a comprehensive suit of Earth system models and IPCC emission scenarios. The choice of models and scenarios is based on the IPCC AR5 report and references denoted within (Plattner et al., 2001; Orr et al., 2005; McNeil and Matear, 2008; Feely et al., 2009; Steinacher et al., 2009, 2010; Keeling et al., 2010; Bopp et al., 2013; Cocco et al., 2013). Note that DO<sub>2</sub> and NPP are only analysed at the final year of the IPCC scenarios (year 2100), and their projected developments start most likely already at lower atmospheric CO<sub>2</sub> concentrations.

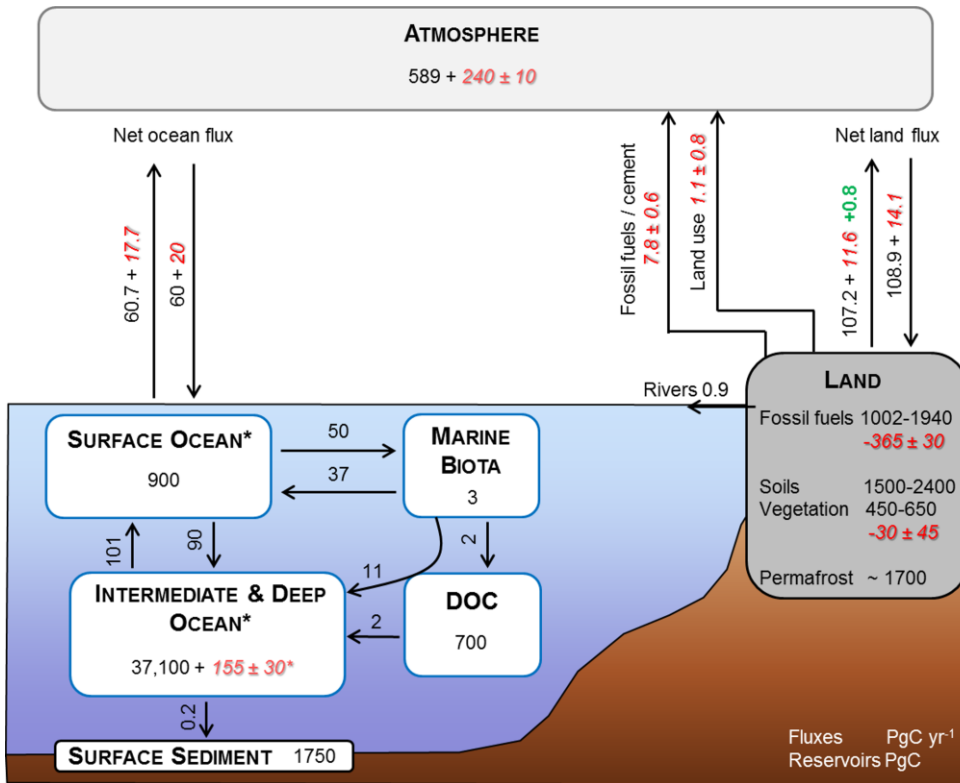
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~~Modelled impact of increasing atmospheric CO<sub>2</sub> concentrations on stressors of ocean ecosystems, that is surface undersaturation of aragonite (pH:  $\Omega(\text{Ar}) < 1$ ) and calcite (pH:  $\Omega(\text{Ca}) < 1$ ), net primary production (NPP), and oxygen at 200–600 m depth (DO<sub>2</sub>). Bright orange bars denote a seasonal development, while orange and light blue bars denote annual developments projected by one or more models. Red and blue bars indicate that all considered models agree on the depicted development. Orange and red bars denote furthermore a negative impact on marine ecosystems, while blue and light blue bars indicate an increase of the modelled parameter with the ecologic impact of this development not yet fully being determined. Impacts are based on a comprehensive suit of Earth system models and IPCC emission scenarios. The choice of models and scenarios is based on the IPCC AR5 report and references denoted within (Plattner et al., 2001; Orr et al., 2005; McNeil and Matear, 2008; Feely et al., 2009; Steinacher et al., 2009, 2010; Keeling et al., 2010; Bopp et al., 2013; Cocco et al., 2013). Note that DO<sub>2</sub> and NPP are only analysed at the final year of the IPCC scenarios (year 2100), and their projected developments start most likely already at lower atmospheric CO<sub>2</sub> concentrations.~~

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~~Modelled impact of increasing atmospheric CO<sub>2</sub> concentrations on marine ecosystems. Depicted are projected trends of surface aragonite undersaturation (pH:  $\Omega(\text{Ar}) < 1$ ), surface calcite undersaturation (pH:  $\Omega(\text{Ca}) < 1$ ), net primary production (NPP) and oxygen at 200–600 m depth (DO<sub>2</sub>). Bright orange bars denote seasonal trends, orange and light blue bars denote annual trends projected by one and more models. Red and blue bars indicate that all considered models agree on the depicted trend. Orange and red bars denote furthermore a negative impact on the marine ecosystem, while light blue and blue bars indicate an increase of the modelled parameter with the ecologic impact of this trend not yet fully being determined. Trends are based on a comprehensive suit of Earth system models and IPCC emission scenarios. The choice of models and scenarios is based on the IPCC AR5 report and references denoted within (Plattner et al., 2001; Orr et al., 2005; McNeil and Matear, 2008; Feely et al., 2009; Steinacher et al., 2009; Keeling et al., 2010; Steinacher et al., 2010; Bopp et al., 2013; Cocco et al., 2013). Note that trends in oxygen and net primary production are only analysed at the final year of the IPCC scenarios (year 2100), and the projected trends are most likely starting already at lower atmospheric CO<sub>2</sub> concentrations.~~

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**Figure 6:** Simplified illustration of the global carbon cycle, adapted from Ciais et al. (2013). Reservoir mass numbers and annual exchange fluxes are given in PgC ( $10^{15}$  gC) and PgC yr<sup>-1</sup>, respectively. Black numbers refer to pre-industrial values (before 1750). Red flux numbers represent annual anthropogenic fluxes averaged over the years 2000-2009 and red reservoir numbers depict cumulative changes of anthropogenic carbon between 1750-2011 (90% confidence interval). A positive cumulative change denotes an increase in (gain of) carbon since the onset of the Industrial Era. Land-atmosphere carbon fluxes caused by rock weathering, volcanism, and freshwater outgassing amount in total to a flux of 0.8 PgC yr<sup>-1</sup> and are represented by the green number. Purely land-based processes like further rock weathering, burial, and export from soils to rivers are not depicted in the scheme above. The star (\*) indicates that the given accumulation number refers to a combined value for Surface Ocean and Intermediate and Deep Ocean.