

The ocean carbon sink – impacts, vulnerabilities, and challenges

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Abstract

Carbon dioxide (CO₂) is, next to water vapour, considered to be the most important natural greenhouse gas on Earth. Rapidly rising atmospheric CO₂ concentrations caused by human actions such as fossil-fuel burning, land-use change or cement production over the past 250 years have given cause for concern that changes in Earth's climate system may progress at a much faster pace and larger extent than during the past 20,000 years. Investigating global carbon cycle pathways and finding suitable adaptation and mitigation strategies has, therefore, become of major concern in many research fields. The oceans have a key role in regulating atmospheric CO₂ concentrations and currently take up about 25% of annual anthropogenic carbon emissions to the atmosphere. Questions that yet need to be answered are what the carbon uptake kinetics of the oceans will be in the future and how the increase in oceanic carbon inventory will affect its ecosystems and their services. This requires comprehensive investigations, including high-quality ocean carbon measurements on different spatial and temporal scales, the management of data in sophisticated data bases, the application of Earth system models to provide future projections for given emission scenarios as well as a global synthesis and outreach to policy makers. In this paper, the current understanding of the ocean as an important carbon sink is reviewed with respect to these topics. Emphasis is placed on the complex interplay of different physical, chemical, and biological processes that yield both positive and negative air-sea flux values for natural and anthropogenic CO₂ as well as on increased CO₂ (uptake) as the regulating force of the radiative warming of the atmosphere and the gradual acidification of the oceans. Major future ocean carbon challenges in the fields of ocean observations, modelling, and process research as well as the relevance of other biogeochemical cycles and greenhouse gases are discussed.

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80	1 – Historic background

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82 In the atmosphere, carbon dioxide (CO₂) occurs only in a very small fraction (currently

83 around 400 ppm; ; http://scrippsco2.ucsd.edu/graphics_gallery/mauna_loa_record.html; ppm

84 = parts per million, ratio of the number of moles CO₂ in a given volume of dry air to the total

85 number of moles of all constituents in this volume, see IPCC, 2013). Nevertheless, due to its

86 high abundance as compared to other greenhouse gases, it is considered to be the overall most

87 important greenhouse gas next to water vapour. Its importance in regulating the global heat

88 budget has already been documented in the 19th century by Arrhenius (1886). Ultimately, the

89 greenhouse effect of CO₂ can be linked to its molecule structure: Vibrational and rotational

90 motions of the gaseous CO₂ molecules resonate with the thermal radiation leaving Earth's

91 surface at bands centred at different discrete wavelengths, thereby heating up the lower

92 atmosphere (e.g. Barrett, 2005; Tomizuka, 2010). The main absorption band (combined

93 vibrational and rotational resonance mode) of CO₂ is centred at 15 µm wave length (Wang et

94 al., 1976; Liou, 1980). The incoming solar radiation is of short wavelength (mainly between

95 0.5-1 µm). The thermal radiation outgoing from the Earth is of longer wave length (typically

96 between 5 and 20 µm). Without the natural greenhouse effect and under the assumption that

97 solar absorption and albedo are kept fixed at the present-day values, an average temperature

98 of -19°C would dominate Earth's surface instead of the actual average value of around 15°C

99 (Ramanathan et al., 1987).

100
101 The pre-industrial level of atmospheric CO₂ expressed as a volume mixing ratio had been
102 around 278 ppmv with minor fluctuations around this level (Siegenthaler et al., 2005) due to
103 the natural variability of carbon reservoirs on land and in the ocean as well as volcanic
104 activities and a small remaining trend going back to the last deglaciation (Menviel and Joos,
105 2012). The onset of the industrialisation and the Anthropocene as the era of fundamental
106 human impact on the Earth system (Crutzen, 2002) can be dated around 1776 when the
107 improved design of the steam engine by James Watt enabled its operational use. The 300
108 ppmv boundary was crossed in the early 20th century according to ice core measurements
109 from Law Dome (Etheridge et al., 2001; samples from Law Dome core D08 show values of
110 296.9 ppmv and 300.7 ppmv for mean air ages given in calendar years of 1910 and 1912
111 respectively, with an overall accuracy due to analytical errors and age determination errors of
112 ±1.2 ppmv). At the beginning of the instrumental record of atmospheric CO₂ in 1958, its
113 concentration was around 315 ppmv (Keeling et al., 2001). Ten years ago (2003), we had
114 arrived at 375 ppmv. And now, we are crossing the 400 ppmv level (400.01 ppmv as of 25
115 May 2013; Fig. 1; Keeling et al., 2013). The largest contributor to this human-induced CO₂
116 release is firstly the burning of fossil fuel reserves, which normally would have been isolated
117 from the atmosphere (Boden et al., 2011). Secondly, land-use change is a significant
118 contributor followed by cement production (Houghton, 1999; Boden et al., 2011). The
119 warming effect due to the combustion of fossil fuel by human beings was first suggested and
120 analysed by Callendar (1938). Since then, scientists have made attempts to quantify the fate of
121 fossil fuels in conjunction with the natural carbon cycle. Bolin and Eriksson (1959) came up
122 with a first estimate of the ultimate uptake capacity of the ocean for fossil fuel CO₂ from the
123 atmosphere: About 11/12 of CO₂ emissions would ultimately accumulate in the ocean water
124 column after repeated oceanic mixing cycles and interaction with the calcareous sediment, a
125 process requiring several 10,000 years (see also Archer, 2005).

126
127 When it comes to the importance of human-produced greenhouse gases for changing the
128 atmospheric heat budget and, hence, the climate system, CO₂ is by far the most important one.
129 Other radiatively active trace gases like methane (CH₄), halocarbons, and nitrous oxide (N₂O)
130 have a higher greenhouse potential per molecule than CO₂, but are less abundant in the
131 atmosphere than CO₂, so that CO₂ is the most important anthropogenic driving agent of
132 climate change (Myhre et al., 2013). The focus of this review is, thus, on CO₂ and the oceanic
133 ('carbon') sink. Future CO₂ emission scenarios to drive climate models have been produced
134 on empirical evidence concerning human behaviour and economics. In view of the on-going
135 high energy use in wealthy nations and the accelerating energy production in emerging
136 economies (especially China and India; see Raupach et al., 2007), current and recent annual
137 CO₂ emission rates are at the levels of the most pessimistic emission scenario as produced a
138 few years ago for the climate projections of the 5th assessment report of the IPCC (RCP
139 scenarios; van Vuuren et al., 2011a; van Vuuren et al., 2011b; Peters et al., 2013).
140 Considering the key role of the oceans in the global carbon budget it is therefore fundamental
141 to broaden our knowledge on their past, present, and future quantitative impact in regulating
142 atmospheric CO₂ concentrations.

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146 **2 – General concepts of ocean carbon cycling**

147

148 The oceans regulate atmospheric CO₂ mainly by two mechanisms: The first consists of the
149 abiotic inorganic cycling of carbon that involves CO₂ air-sea gas exchange (Liss and Merlivat,

150 1986; Wanninkhof, 1992; Nightingale et al., 2000), CO₂ dissolution (Weiss, 1974) and
151 hydration to carbonic acid, dissociation of carbonic acid (Dickson et al., 2007) as well as
152 transport and mixing of total dissolved CO₂ in seawater. The second mechanism describes the
153 cycling of carbon due to biological activity.

154

155 **2.1 – Inorganic carbon cycle processes**

156

157 Seawater is saline and contains practically all elements of the chemical periodic table. Due to
158 its slightly alkaline behaviour, it can keep the ionic compounds of weak acids in solution.
159 Carbon dioxide, or carbonic acid (H₂CO₃) when combined with water (H₂O), dissociates in
160 seawater mostly into bicarbonate (HCO₃⁻) and carbonate (CO₃²⁻), while only a small amount
161 of the CO₂ is kept in its dissolved state (as an order of magnitude estimate the partitioning of
162 HCO₃⁻: CO₃²⁻:CO₂ is 100:10:1 but significant deviations from this can occur especially with
163 respect to CO₂). The sum of HCO₃⁻, CO₃²⁻, and CO₂ is called ‘total dissolved inorganic
164 carbon’ (DIC). A huge reservoir of DIC has been built up in the oceans over geologic time
165 through the interaction of seawater with sediments, weathering from land, gas exchange with
166 the atmosphere, and outgassing from the Earth’s interior. At pre-industrial times, this DIC
167 pool is 65 times as large as the atmospheric pre-industrial CO₂ reservoir and approximately 20
168 times as large as the carbon on land bound to living and dead biomass including soils (Degens
169 et al., 1984; Falkowski et al., 2000).

170 DIC is distributed in the oceans as passive tracer (like dye) by currents and turbulent mixing.
171 In a simplistic model, transportation of carbon in the oceans mainly follows the large scale
172 ocean circulation: In the northern North Atlantic, surface waters are moved to the deep sea in
173 a process of deep-water formation. The solubility of CO₂ gas in seawater increases with
174 decreasing temperature. As newly formed deep water is cold, the downward transport of the
175 carbon fraction dissolved in seawater due to high CO₂ solubility is also called solubility pump
176 (Volk and Hoffert, 1985). However, the dissociation of CO₂ into bicarbonate and carbonate
177 ions is antagonistic to the solubility and decreases with decreasing temperature and
178 compensates to a certain degree for this. In a theoretical ocean with only the solubility pump
179 acting the overall surface to deep gradient of DIC would be slightly positive downwards. On
180 its way through the ocean part of the deep water then upwells in the Southern Ocean around
181 Antarctica, where it is blended with water masses from all oceans before it is re-cooled again
182 to form deep and intermediate waters that spread into the Atlantic, Pacific, and Indian Ocean.
183 The circle is closed through the transport of upper water masses from the upwelling regions
184 back to the deep-water production areas in the North Atlantic and the Southern Ocean
185 (Broecker and Peng, 1982), which occurs via the Indian Ocean (‘warm water path’) or via the
186 Drake Passage (‘cold water path’ between South America and Antarctica; Rintoul, 1991). The
187 water that has spent the longest time away from contact with the atmosphere is found in the
188 northern Pacific Ocean below depths of about 2000 m and is approximately 1500 years old.
189 Comparably, the human perturbation of the carbon cycle has occurred only over the last 250
190 years, and diluting high anthropogenic carbon loads from the upper ocean with large deep-
191 water reservoirs by mixing processes will take at least 6 times as long. Also, the slower
192 oceanic circulation and mixing become with on-going climate change, the smaller the uptake
193 rate of surface waters for human-produced carbon will be and the less efficient the ocean
194 carbon sink will become for absorbing further CO₂ additions to the atmosphere as carbonic
195 acid dissociates less well into bicarbonate and carbonate in water of high *p*CO₂.

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2.2 – Biological carbon pumps

While purely inorganic carbon cycling leads to a slight increase of DIC with depth, biological carbon cycling - via the two biological carbon pumps (Volk and Hoffert, 1985) - is responsible for most of the gradients existing in the real ocean DIC distribution. These gradients are mainly fuelled by uptake of DIC by biota in the surface ocean to produce particulate matter, the vertical flux of these particles, and degradation of these particles on their downward way through the water column. Biological carbon binding occurs mainly in the ocean surface layer, where phytoplankton through the process of photosynthesis produces biomass that can be utilized by other organisms on higher trophic levels (classical food chain). Next to dissolved CO₂, phytoplankton requires light and nutrients for their growth, the latter two being critical limiting factors. About 25% of the particulate organic carbon (POC), which is produced in the ocean surface layer, eventually sinks through the water column (Schlitzer, 2000) with most of it being remineralised and returned to the dissolved phase already within the upper 1500 m. Normally, less than 1% of POC reaches the open-ocean seafloor by sedimentation (Lee et al., 2004). In addition to POC, marine biota also produce dissolved organic carbon (DOC), which is discriminated from POC based on particle size (Turnewitsch et al., 2007). As increasingly small particles do not sink anymore through the water column but become suspended due to the increasing importance of friction for small particles, DOC is transported through the oceans like DIC as a passive tracer. While a large fraction of DOC may persist and accumulate in the water column before being remineralised to inorganic substances, biologically labile DOC is converted quickly (within minutes to days) in the upper ocean, predominantly by microbial activity (Carlson, 2002). By utilising DOC, bacteria can build up exploitable biomass and part of the dissolved organic carbon may re-enter the classical food chain through the ‘microbial loop’. However, as the microbial loop itself includes several trophic levels, a large part of the recycled DOC is converted back to inorganically dissolved carbon along the process (Azam et al., 1983; Fenchel, 2008). In addition to microbial degradation, sorption onto larger particles, and UV radiation may constitute further important processes in the removal of dissolved organic matter (Carlson, 2002). The oceanic DOC pool is overall about one order of magnitude smaller than the marine DIC inventory but larger than the POC pool. Nevertheless, the highly reactive POC dominates the effect on variations in the oceanic DIC distribution. Most of the DOC is quite refractory which is consistent with its high radiocarbon age (4000 - 6000 years, Druffel et al., 1992). Thus, most of the marine DOC does not contribute much to the dynamics of carbon cycling in the ocean within the flushing time scale of the world ocean of about 1500 years. Next to POC and DOC cycling, the formation of calcium carbonate (CaCO₃) by shell- and skeleton-building marine organisms is of great importance in the ocean’s carbon cycle as it causes shifts in the overall DIC pool. HCO₃⁻ is converted to CO₃²⁻ to produce CaCO₃. During this process, CO₂ is released to the surrounding water (Fig. 2, formula 3; Frankignoulle et al., 1994). Thus, the CaCO₃ pump is counteracting the organic carbon pump. As more carbon is bound to POC and DOC during biological production than to CaCO₃ (this ratio of CaCO₃:POC amounts globally averaged to about 15% when counted in carbon atoms bound to particulate matter; Berelson et al., 2007), the CaCO₃ counter pump does nowhere fully compensate for the organic carbon pump. Within the oceans, CaCO₃ occurs either as aragonite or as calcite, with aragonite being more soluble at given conditions. The solubility of both compounds increases slightly at lower temperature and strongly with increasing depth (pressure) (Mucci, 1983; Zeebe and Wolf-Gladrow, 2001). Shell material sinking together with POC through the water column is usually degraded at larger depths than the organic material. Nevertheless, it is likely that also partial re-dissolution of calcitic and aragonitic

250 plankton hard parts occurs in shallower depths than the respective CaCO_3 saturation horizon.
251 Potential contributors to this are, e.g., zooplankton metabolisms (dissolution of shell material
252 in copepod guts; Jansen and Wolf-Gladrow, 2001), local undersaturation hot spots due to
253 lateral admixture of water or in micro-environments on biogenic particles due to
254 remineralisation of organic matter (Barrett et al., 2014), and admixture of larger amounts of
255 Mg in the CaCO_3 material (high-Mg calcites; Feely et al., 2004).

256
257 The composition of the sinking material determines also its sinking velocity. Phytoplankton
258 (plant plankton) and zooplankton (animal plankton) grazing on plant plankton or eating other
259 zooplankton can modify the vertical particle flux by producing a variety of carbonaceous or
260 siliceous shell material.

261
262 Shallow seas including the continental margins are marked with high accumulation rates of
263 organic carbon (Jahnke, 1996). In contrast, deep-sea sediments are mainly composed of the
264 hard parts of calcareous and siliceous shell material (Leinen et al., 1986; Archer, 1996). In
265 regions of vivid upward motion of water, such as at the Equator, in front of west coasts, in the
266 Southern Ocean, and during vertical mixing in the North Atlantic, the biological productivity
267 can be substantial as new nutrients are supplied from below. This happens especially during
268 plankton blooms, when light availability and stable surface water stratification enables
269 temporarily strong photosynthesis leading first to strong production of phytoplankton and
270 subsequent increase in zooplankton which grazes on the phytoplankton. Particle transport via
271 the biological carbon pump, remineralisation, and ocean circulation are superimposed and are
272 responsible for most of the gradients of dissolved carbon and nutrients in the water column: 1.
273 Regarding the vertical gradient, low concentrations result at the surface due to biological
274 uptake, while values increase with depth due to remineralisation. 2. In deeper layers,
275 concentrations increase horizontally with age of the water along the trajectory of water flow
276 when the respective water volume receives more and more remineralised products from the
277 particles under degradation. The loop for the cycling of biological carbon through the ocean is
278 closed, when the deeper waters well up and eventually return back to the surface mixed layer.
279 These old deep waters are highly enriched in remineralised biogenic carbon, which then
280 outgasses into the atmosphere. Thus, the upwelling regions are sources of carbon to the
281 atmosphere both regarding the biological and the solubility pumps. This source effect
282 dominates over the strong biological carbon uptake in upwelling regions, indicating that they
283 are typically oversaturated in carbon and release CO_2 to the atmosphere (Fig. 3).

284
285 Production of CaCO_3 shell material and its dissolution work in opposite direction for the
286 dissolved CO_2 in the ocean. Taking out or releasing CO_3^{2-} changes the ability of seawater to
287 dissociate carbonic acid significantly. Stopping the global biological CaCO_3 production would
288 lower the atmospheric CO_2 concentration by about 75 ppmv (Broecker and Peng, 1986). This
289 number, though, depends on the size of the global CaCO_3 production, which is not yet very
290 well established. The global production rate depends also on the availability of silicic acid:
291 When enough dissolved silicate is available, organisms that produce siliceous shell material
292 ('opal', BSi) dominate due to energetic reasons. Therefore, many BSi-producers are found in
293 upwelling areas, while CaCO_3 producers are more abundant in other oceanic domains
294 (Dymond and Lyle, 1985). The sedimentary climate record shows that modifications of
295 biological carbon cycling have significantly contributed to the glacial drawdown of
296 atmospheric CO_2 during the repeated ice age cycles over the past million years (Balsam,
297 1983; Farrell and Prell, 1989; Oliver et al., 2010).

298

299 The organically bound and living biomass carbon reservoirs in the ocean are significantly
300 smaller than the inorganic reservoir (approximate ratio of 1:50; Druffel et al., 1992; Ciais et
301 al., 2013). Nevertheless, continuous growth of plankton at the ocean surface keeps the ocean
302 surface layer CO₂ concentration on the average lower than it would be without them. In a
303 world with a lifeless ocean, the atmospheric CO₂ concentration would have been about twice
304 as high as the pre-industrial one. A sudden hypothetical stop of marine life would increase the
305 atmospheric CO₂ concentration by 200-300 ppmv.
306

307 **2.3 – Natural variability, timescales, and feedbacks**

308
309 The variability of the ocean carbon cycle in relation to the atmospheric CO₂ concentration
310 covers a broad range of timescales (from seasonal to interannual, decadal, century-scale, and
311 glacial-interglacial). Seasonal changes in sea surface *p*CO₂ and, hence, air-sea CO₂ flux are
312 caused mainly by variations in sea surface temperature and biological activity, where often
313 both effects tend to counteract each other. Typical seasonal seawater *p*CO₂ amplitudes amount
314 to few tens up to ±50 ppmv of *p*CO₂ (Santana-Casiano et al., 2007; Landschützer et al., 2014).
315 Because of the long equilibration time of the ocean mixed layer and the atmosphere (see
316 Sarmiento and Gruber, 2006), ocean variability has a much smaller influence on the seasonal
317 atmospheric CO₂ variability than the terrestrial carbon cycle. Interannual to decadal variations
318 in air-sea CO₂ fluxes are linked to changes in deep-water formation and coupled to the
319 internal variability modes of the climate system, which complicates the detection of changes
320 in long-term trends in ocean carbon uptake (for climate modes see section 3.3). Long-term
321 observations at ocean time series stations allowed the monitoring of decadal trends in rising
322 sea surface *p*CO₂ (typical values are +1 to +3 ppmv/yr) and decreasing pH (typical values are
323 here -0.001 to -0.003 pH units per year) at specific sites over the past decades (Bates et al.,
324 2014). Pre-industrial atmospheric CO₂ mixing ratios have been quite stable over the past
325 10,000 years with a slight increase from ca. 260 ppmv to ca. 280 ppmv which can at least
326 partly be attributed to long-lasting compensation effects from the last deglaciation (Joos et al.,
327 2004). In contrast, the last glacial-interglacial cycles were marked by an amplitude of about
328 110 ppmv in atmospheric *p*CO₂ with values around 290 ppmv at interglacials and 180 ppmv
329 at glacial maxima (Siegenthaler et al., 2005). A combination of oceanic processes is likely to
330 have been responsible for these variations (Heinze et al., 1991; Heinze and Hasselmann,
331 1993; Brovkin et al., 2007), but the concrete details of the relevant processes are so far not
332 well established. In a cold and dry glacial climate, the land biosphere was presumably less
333 well developed as during warm and more humid periods, and therefore, the terrestrial carbon
334 cycle may have provided a CO₂ source to the atmosphere rather than a sink (Crowley, 1995).
335

336 A number of feedback processes work between climate and the marine carbon cycle. These
337 processes involve the inorganic as well as the organic carbon cycle in the ocean. Key primary
338 driving factors behind these feedback processes are changes in temperature (physical forcing),
339 changes in circulation as well as sea-ice cover, and changes in atmospheric CO₂ (chemical
340 forcing). For the natural glacial-interglacial carbon cycle variations an overall positive
341 feedback between carbon cycle and climate resulted. Candidate processes contributing to this
342 feedback are lower seawater temperatures during glacial maxima, potentially somewhat
343 altered sea surface salinities, and changes in ocean circulation primarily involving the
344 alterations of the Southern Ocean circulation (Broecker and Peng, 1986; Broecker and Peng,
345 1989; Sigman and Boyle, 2000) in conjunction with changes in the biological carbon cycling.
346 Respective hypotheses include changes in the production of CaCO₃, changes in nutrient
347 utilisation efficiency of organisms, changes in nutrient availability, and varying interactions
348 between shelf seas and the open ocean under glacial-interglacial sea-level changes (Broecker,

349 1982; Broecker and Peng, 1989; Archer et al., 2000). The processes governing the oceanic
350 uptake of anthropogenic carbon from the atmosphere may differ from those which had been
351 been responsible for the glacial-interglacial atmospheric CO₂ variability. For the
352 anthropogenic uptake problem, the time scales involved are shorter. Further, while during
353 glacial-interglacial cycles carbon was mainly re-distributed between the different Earth
354 system reservoirs, for the anthropogenic carbon uptake newly added carbon to the Earth
355 system must be redistributed between those reservoirs.

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359 **3 – Evolution of the ocean sink for anthropogenic carbon**

360

361 The cycling of carbon in the oceans is a complex interplay of different physical, chemical and
362 biological processes, yielding both positive and negative air-sea flux values for natural and
363 anthropogenic CO₂ depending on the oceanic region and the seasonal cycle. Due to the rapid
364 increase of atmospheric CO₂ concentrations in the past 250 years and the resulting
365 implications for the global heat budget, it is of great importance to understand the driving
366 forces of carbon sequestration in the oceans as well as their variability, i.e. to understand the
367 role of the oceans as a sink for anthropogenic CO₂.

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369 **3.1 - The key process for anthropogenic carbon uptake**

370

371 The equilibrium concentration of gaseous CO₂ in seawater depends both on the concentration
372 of DIC and the concentration of hydrogen ions. Since the beginning of the Industrial
373 Revolution, atmospheric CO₂ concentrations have been rapidly rising. The addition of CO₂ to
374 the oceans through gas exchange with the atmosphere leads to a shift in the partitioning of
375 HCO₃⁻, CO₃²⁻, CO₂, and the concentration of hydrogen ions (Fig. 2, formulas 1 & 2). The
376 more CO₂ gets absorbed by the ocean the lower the amount of CO₃²⁻ becomes. In parallel, the
377 concentration of hydrogen ions increases, causing a decrease in open ocean pH that is referred
378 to as ocean acidification. Projections of future ocean pH suggest a potential total reduction by
379 0.4-0.5 units by the end of the 21st century as compared to pre-industrial levels, resulting in a
380 pH of 7.7-7.8 (Haugan and Drange, 1996; Brewer, 1997; Caldeira and Wickett, 2003; Bopp et
381 al., 2013). Furthermore, a shifting ratio of HCO₃⁻:CO₃²⁻:CO₂ results in a decrease in CO₂
382 buffering: the larger the concentration of DIC in the ocean becomes, conversely the smaller
383 the fraction of increased carbon added to the atmosphere that can be taken up by the ocean
384 will be. Or in other words, the higher the cumulative CO₂ emissions to the atmosphere
385 become, the less effective seawater will be in dissociating a part of this CO₂ into HCO₃⁻ and
386 CO₃²⁻.

387

388 The biological carbon pump does not sequester anthropogenic carbon added to the ocean itself
389 on decadal to centennial time scales (as the process for new crude oil works on geologic time
390 scales). However, alterations of the biological pump caused by changes in ocean circulation
391 and rising carbon concentrations in the surface layer could modulate the marine uptake of
392 human-produced CO₂ to some degree. Among these biological changes are a potential
393 decrease in biological CaCO₃ production (Heinze, 2004; Gehlen et al., 2007; Ridgwell et al.,
394 2007) and a potential change in carbon to nitrogen ratios in oceanic organic matter under high
395 CO₂ (Riebesell et al., 2007).

396

397 The main three-dimensional distribution of DIC, oxygen (O₂), and nutrients in the ocean is
398 determined by the action of biota and their degradation together with the three-dimensional

399 ocean circulation. To demonstrate that ocean carbon cycle models work properly, the
400 inclusion of the organic carbon cycling in these models, therefore, is an important necessary
401 condition. On the other hand, uptake of anthropogenic excess CO₂ from the atmosphere is
402 mainly determined by the physico-chemical buffering mechanism and transport of water with
403 high anthropogenic carbon concentrations into the ocean interior. Nevertheless, simulations of
404 biologically mediated tracers such as O₂, PO₄³⁻ etc. help to constrain the oceanic velocity field
405 of the respective model, especially because respective measurements are abundant. Further,
406 the biologically mediated CO₃²⁻ ion distribution is a powerful constraint on whether the
407 inorganic carbon cycle is correctly described by the models. The simulation of anthropogenic
408 marine carbon uptake in purely inorganic carbon cycle models (i.e. those which do not
409 include ecosystem representations, no nutrient tracers, and no oxygen cycle) can to some
410 degree be validated by age tracers which are employed also for evaluation of ocean model
411 velocity fields in general. Radiocarbon ¹⁴C, which enters the ocean mainly from the
412 atmosphere, is still the most used age tracers for validating oceanic transport rates as well as
413 patterns in ocean circulation models. With its half-life of 5730 years (sometimes also the
414 slightly smaller Libby half-life is used; see Stuiver and Polach, 1977), radiocarbon of DIC
415 results in substantial surface to deep gradients. The natural radiocarbon distribution is
416 contaminated by bomb ¹⁴C, which entered the ocean in large amounts due to atmospheric tests
417 of nuclear weapons until the atmospheric test ban treaty in the mid-1960s was implemented.
418 To some degree, bomb ¹⁴C can also be used as tracer for water mass exchange in itself, but
419 the lack of knowledge about the pristine ¹⁴C distribution on already contaminated areas
420 remains a problem in spite of attempts to reconstruct natural pre-bomb ¹⁴C values in the ocean
421 interior (Broecker et al., 1995). Nevertheless, for the large scale ocean, ¹⁴C remains one of our
422 best tracers for assessing turnover rates of water masses in the ocean (cf. Schlitzer, 2007).
423 Another, in principle powerful, age oceanic tracer is the noble gas isotope ³⁹Ar. Its shorter
424 half-life of 269 years (Stoenner et al., 1965) would even be more suitable to resolve upper
425 ocean gradients for validation of ocean ventilation time scales in models (Müller et al., 2006).
426 New measurement techniques allowing for small sample size may enable building a larger
427 ³⁹Ar data base for the ocean (Collon et al., 2004).

428
429 As supporting evidence for pathways of anthropogenic carbon from the atmosphere over the
430 surface layer and into the ocean interior, also ¹³C and chlorofluorocarbons are used. Fossil
431 fuel CO₂ in the atmosphere has a low ¹³C signature (plant material that had been the basis for
432 crude oil formation has a deficit in the stable carbon isotope ¹³C relative to ¹²C, also known as
433 the Suess effect; see Keeling, 1979). Waters with a deficit of ¹³C in DIC relative to natural
434 background conditions, therefore contain carbon from anthropogenic sources (Racapé et al.,
435 2013). Unfortunately, the reconstruction of the pristine ¹³C distribution in the ocean is not
436 straightforward (Olsen and Ninnemann, 2010), and further the ¹³C distribution in the ocean is
437 strongly influenced by formation as well as degradation of biogenic matter (Kroopnick,
438 1985). Chlorofluorocarbons or 'CFCs' (such as CFCl₃ or 'F-11' and CF₂Cl₂ or 'F-12') are
439 purely human-produced substances (also known for their negative effect on the stratospheric
440 ozone layer) which entered the oceans from the atmosphere in small amounts following their
441 atmospheric concentration and their respective solubilities in seawater. Though their
442 atmospheric concentration time series and their uptake mechanisms in the ocean are different
443 than for CO₂, they nevertheless give a constraint on where large amounts of anthropogenic
444 carbon have entered deeper layers and what time scales are involved with this uptake
445 (Smethie, 1993; Schlitzer, 2007; Steinfeldt et al., 2007).

446

447 **3.2 – Long-term ocean carbon uptake kinetics**

448

449 The classical view about the marine uptake of anthropogenic CO₂ from the atmosphere is that
450 the ocean sink averaged over the entire globe is operating continuously and reliably and is less
451 variable than the exchange between the atmosphere and the land biosphere including soil and
452 plants (though the classical view also includes that the ocean atmosphere transport of CO₂ co-
453 varies with short-term climate variability). This view was supported by the basic inorganic
454 carbon buffering mechanism and by the fact that the equilibration timescale between the
455 ocean surface layer and the atmosphere is approximately 6-12 months. The variability of air-
456 sea CO₂ gas exchange is dampened, because not only the CO₂ molecules are taking part in the
457 equilibration process, but the entire surface layer volume needs to achieve chemical equilibria
458 for the compounds HCO₃⁻, CO₃²⁻, and dissolved CO₂. Therefore, seasonal variations in DIC
459 due to biological production and remineralisation occur quicker than for respective air-sea gas
460 exchange fluxes to compensate for them. Thus, also, the seasonal cycle in the instrumental
461 atmospheric CO₂ record is dominated by the seasonal variation of the land biosphere,
462 especially for the northern hemisphere (Keeling et al., 2001). However, with significantly
463 improved observing systems in the past two decades, it has become obvious that on a regional
464 scale air-sea carbon fluxes may considerably differ between years (Le Quéré et al., 2007;
465 Schuster and Watson, 2007). There are indications that these regional and temporal variations
466 have been smoothed out on decadal time scales over the past 20 years (McKinley et al., 2011),
467 but nevertheless observations and models suggest that the ocean sink is vulnerable to a
468 decrease in efficiency during further climate change and further rising ambient CO₂ levels
469 (Friedlingstein et al., 2006; Le Quéré et al., 2007; Watson et al., 2009; Arora et al., 2013).

470

471 In general, one has to discriminate between the ultimate uptake capacity of the ocean for
472 anthropogenic CO₂ from the atmosphere and the marine uptake kinetics for this CO₂. Both are
473 societally relevant and need to be taken into account for emission reduction strategies and
474 development of improved renewable energy systems.

475

476 The ultimate uptake capacity denotes the amount of anthropogenic carbon emitted to the
477 atmosphere that in total eventually ends up in the ocean, long after the human-caused
478 greenhouse gas emission perturbation has happened and when the ocean carbon cycle has
479 achieved quasi-equilibrium. This time scale is of the order of several 10,000 years, because
480 the ocean water column has to fully equilibrate with the CaCO₃ sediment on the seafloor,
481 where a considerable portion of the CaCO₃ will become dissolved after repeated cycling of
482 deep water (Broecker and Takahashi, 1977; Archer, 2005). The respective CO₃²⁻ ions made
483 available in seawater can, thus, be employed for neutralising anthropogenic carbon in the
484 ocean. On very long time scales, this redissolution of CaCO₃ from the sediment, thus,
485 provides an important negative feedback process to climate change. In addition, high
486 atmospheric CO₂ levels enhance the weathering rate of carbonates on land. This process also
487 works effectively only on long time scales with potentially quicker changing hot spots
488 (Archer, 2005; Beaulieu et al., 2012). The ultimate storage capacity of the ocean critically
489 depends on the total amount of carbon emitted. Burning of 5000 GtC (GtC = gigaton of
490 carbon) of potentially available fossil fuel reserves would lead to a higher long-term CO₂ level
491 in the atmosphere and a reduced fractional ocean uptake capacity in comparison to, e.g.,
492 burning only 1000 GtC (Archer, 2005). The impact on societies and life even after 100,000
493 years depends, thus, on our behaviour concerning usage of fossil fuel reserves today. This fact
494 as well has to be taken into account for greenhouse gas emission reduction strategies.

495

496 The oceanic CO₂ uptake kinetics denote the speed with which human-produced CO₂
497 emissions to the atmosphere can be buffered by the oceans. Due to the limiting effect of gas
498 exchange, CO₂ dissociation, turbulent mixing and ocean large-scale circulation, only a certain

499 percentage of the excess CO₂ in the atmosphere can be taken up at a given unit of time by the
500 ocean (Maier-Reimer and Hasselmann, 1987; Joos et al., 2013). Regionally, this also depends
501 on the seasonal variations in circulation, biological productivity, as well as light, temperature,
502 sea-ice cover, wind speed, and precipitation. It is expected that climate change will lead to a
503 more stable density stratification in the ocean and a general slowing down of large-scale
504 mixing and circulation (Meehl et al., 2007). The consequence will be a reduced uptake of
505 anthropogenic carbon from the atmosphere at the ocean surface and also a lower downward
506 mixing of anthropogenic CO₂ into deeper waters. In addition, high CO₂ in the atmosphere
507 implies high CO₂ in surface waters and a reduction in the ocean's capability to dissociate the
508 CO₂ into the other compounds of DIC, i.e. a decreasing buffering ability with rising ambient
509 CO₂ levels. We have, thus, a physical and a chemical driving force acting on the carbon
510 balance simultaneously and slowing down the transfer of anthropogenic carbon from the
511 atmosphere into the ocean. The net effect is a reduction in carbon uptake efficiency with
512 warming climate and rising atmospheric CO₂, i.e. a positive feedback to climate change. In a
513 situation with reduced ocean ventilation, also the biological pump will be affected and should
514 be considered in the assessment on how the ocean carbon cycle is impacted. The oceanic CO₂
515 uptake kinetics depend on the rate of CO₂ emissions to the atmosphere: The faster the
516 emissions are increasing, the stronger is the climatic effect on slowing down the uptake and
517 the stronger the chemical effect on decreasing the CO₂ buffering. These effects are caused by
518 water with high anthropogenic carbon load that cannot be mixed into the interior of the ocean
519 with the original efficiency and because the buffering ability of seawater decreases with
520 increasing CO₂ partial pressure in the water. The oceanic bottleneck effect is obvious in
521 several decade-long future scenarios with ocean models (Maier-Reimer and Hasselmann,
522 1987; Sarmiento and Le Quéré, 1996), fully coupled Earth system models (Friedlingstein et
523 al., 2006; Roy et al., 2011; Arora et al., 2013), as well as EMICs (Earth system models of
524 intermediate complexity; these have a lower resolution than usual Earth system models, but
525 demand much less computational resources; Steinacher et al., 2013; Zickfeld et al., 2013).
526 Earth system models are complex computer programmes, which include dynamical
527 representations of the various Earth system reservoirs (atmosphere, ocean, land surface, ice)
528 and the simultaneous interaction between these reservoirs (Bretherton, 1985; Mitchell et al.,
529 2012). Earth system models are driven by solar insolation and greenhouse gas emissions and
530 deliver expected time- and space-dependent distributions of important climatic variables.
531 These variables can be of physical nature, such as temperature, precipitation, salinity, wind
532 fields, ocean currents, sea-ice cover, or of biogeochemical nature, such as CO₂ concentration
533 in ocean and atmosphere, pH value in the ocean, nutrient and dissolved oxygen
534 concentrations, soil organic carbon, or biological productivity. The temporary build-up of
535 high CO₂ concentrations in the atmosphere increases directly with the human-produced CO₂
536 emissions. At pessimistic scenarios with high annual emissions, the annual fraction of
537 emissions buffered by the oceans is reduced, while pathways with reduced emissions enable a
538 more efficient oceanic uptake rate. Inclusion of carbon dynamics in ocean and land models
539 increases the sensitivity of climate models with respect to radiative warming. This means that
540 models with carbon cycle representations and respective carbon-cycle-climate-feedbacks lead
541 to an overall stronger warming than with conventional climate models that do not include an
542 interactive carbon cycle. The range of this feedback is still large due to inherent model
543 uncertainties and a partial lack of process understanding in all relevant disciplines.

544 545 **3.3 – Detection of ongoing ocean carbon sink strength variability**

546
547 In the past two decades, the number of ocean carbon observations has considerably increased
548 (Sabine et al., 2010). Data collection ranges from the surface to the deep ocean, encompasses

549 different oceanic regions and includes various time series to capture both spatial and temporal
550 variations. Satellite measurements have been extremely useful to identify the geographical
551 distribution of biological primary productivity at the sea surface over seasonal as well as
552 interannual cycles and to derive wind fields of high value for quantification of gas transfer
553 velocities across the air-water interface. Targeted research cruises as well as the use of
554 commercial ships (voluntary observing ships, VOS) equipped with automated systems are the
555 backbone of surface ocean CO₂ concentration measurements, the data being synthesised in the
556 SOCAT project (Fig. 3) (Pfeil et al., 2013; Sabine et al., 2013; Bakker et al., 2014). Selected
557 buoys and floats are used to capture the spatio-temporal variability of ocean carbon. The most
558 prominent network of floats was established in the framework of ARGO (Array for Real-time
559 Geostrophic Oceanography) that delivers valuable temperature, salinity, and current data for a
560 better understanding of mixed layer and subsurface dynamics. However nowadays, ocean
561 floats are also successfully exploited as platforms for measuring e.g. *p*CO₂, O₂, optical
562 variables, or nitrate (Boss et al., 2008; Johnson et al., 2010; Fiedler et al., 2013), overall
563 increasing the possibilities for detailed, autonomous ocean monitoring with high vertical
564 resolution and data recovery in remote areas (Fiedler et al., 2013). For the deep ocean, data
565 synthesis products cover at least parts of the major oceans (GLODAP, CARINA, PACIFICA;
566 Key et al., 2004; Key et al., 2010; Suzuki et al., 2013), but only episodically include seasonal
567 cycles and do not enable the study of year to year variations in three-dimensional
568 measurement fields (of DIC, nutrients, and dissolved oxygen). A small number of time series
569 stations allow a quasi-continuous view at selected ocean sites (HOTS, BATS, ESTOC,
570 PIRATA moorings, CVOO, PAP, PAPA, DYFAMED, Station M, IS-ts and further; see
571 <http://www.oceansites.org/> and Olafsson et al., 2009). These time series stations have often
572 been established in areas of fairly low short-term variability in order to allow a reliable
573 establishment of long-term trends in the observations.

574
575 Though the observational basis for assessing changes in the oceanic carbon cycle is limited, a
576 number of major findings have been achieved. Sabine et al. (2004) compiled a global map of
577 the ocean water column storage of anthropogenic carbon for the year 1994. In this map, the
578 North Atlantic and the Southern Ocean with adjacent regions are recognized as hot spot areas
579 for anthropogenic carbon storage. By combining observations with statistical and process-
580 based model approaches, it could be shown that in these regions the annual uptake of CO₂
581 from the atmosphere has temporarily decreased, though the total inventory of the
582 anthropogenic water column burden has monotonously increased.

583
584 Both the North Atlantic and the Southern Ocean are deep-water production areas that would
585 be very vulnerable regions with respect to climate-change induced slowing of oceanic carbon
586 uptake. Internal variability modes of the climate system can be linked to variability in marine
587 uptake of anthropogenic carbon. These internal variability modes have been identified
588 through analysis of oceanic and atmospheric physical state variables (such as temperature,
589 pressure, precipitation, and salinity). The variability modes cause atmospheric and oceanic
590 anomalies with specific spatial patterns and time scales associated. The most important ones
591 are ENSO (El Niño Southern Oscillation; Philander, 1990), NAO (North Atlantic Oscillation;
592 Hurrell, 1995), SAM (Southern Annular Mode; Limpasuvan and Hartmann, 1999), and the
593 PDO (Pacific Decadal Oscillation; Mantua and Hare, 2002). For the North Atlantic, a 50%
594 change of the oceanic CO₂ sink could be deduced from the VOS line measurement network
595 during the years 2002-2007 (Watson et al., 2009). Also other studies support the temporary
596 decrease of North Atlantic CO₂ uptake during several years of the past decade (Corbière et al.,
597 2007; Schuster et al., 2009). These variations are at least partially attributed to oceanic
598 variability in the North Atlantic associated with a surface pressure pattern change known as

599 North Atlantic Oscillation (Wetzel et al., 2005; Thomas et al., 2008; Tjiputra et al., 2012). In a
600 model study with six coupled Earth system models, Keller et al. (2012) identified a see-saw
601 pattern of variations in sea surface $p\text{CO}_2$ between the North Atlantic subtropical gyre and the
602 subpolar Northern Atlantic with an amplitude of ± 8 ppmv. Such variations make
603 identification of long-term trends in oceanic carbon uptake more difficult. With the help of
604 deep repeat hydrography measurements, Pérez et al. (2013) could show that variations in
605 North Atlantic CO_2 uptake are coupled to changes in meridional overturning large-scale
606 circulation (linked to varying deep-water production rates). For the Southern Ocean, the
607 observational ocean carbon data base is comparatively small, mostly due to the lack of regular
608 shipping routes except for supply ships to Antarctic weather and research stations.
609 Nevertheless, it could be shown, that the oceanic CO_2 uptake from the atmosphere did not
610 keep up with the rising atmospheric CO_2 for some time. This result could be achieved using
611 models driven with realistic atmospheric forcing in combination with observations primarily
612 from the Indian Ocean sector of the Southern Ocean (Le Quéré et al., 2007; Metzl, 2009).
613 Partly, this change can be attributed to climatic oscillations (Southern Annular Mode, SAM)
614 in the southern hemisphere and their modifications due to changes in wind forcing associated
615 with the decrease in stratospheric ozone (Lovenduski et al., 2007; Lenton et al., 2009). The
616 SAM is a mode of atmospheric variability that is marked in its positive phase by a southward
617 shift of the westerlies, which would enhance upwelling of old water with high concentrations
618 of DIC. Due to the fairly short observational time series for the Southern Ocean, a weakening
619 of the Southern Ocean anthropogenic carbon uptake has been controversially discussed. While
620 atmospheric inversion approaches give results consistent with Le Quéré et al. (2007), the bulk
621 of forward biogeochemical ocean models do not predict a decrease in Southern Ocean CO_2
622 uptake strength (Lovenduski et al., 2008; Lenton et al., 2013). Also the tropical Pacific Ocean
623 with the strongest known short-term climate variation of Earth called ENSO (during El Niño
624 phases upwelling in the eastern equatorial Pacific is reduced due to accumulation of
625 anomalously warm surface waters) induces large temporary interannual variability (amplitude
626 of ca. ± 0.3 GtC/yr; Valsala et al., 2014) in ocean carbon uptake. The increased sea-surface
627 warming during ENSO events and reduced upwelling of carbon-rich waters result in a
628 temporarily reduced outgassing and an enhanced oceanic carbon uptake, respectively (Feely
629 et al., 1999; Ishii et al., 2009). ENSO variations also have implications for air-sea fluxes in the
630 tropical Atlantic as documented by Lefèvre et al. (2013). Decadal $p\text{CO}_2$ variations in the
631 Pacific can be attributed to the Pacific Decadal Oscillation (PDO) leading to long-term
632 anomalies of tropical sea surface $p\text{CO}_2$ on the order of ± 10 ppmv (Valsala et al., 2014). PDO
633 is also made responsible for $p\text{CO}_2$ variations in the North Pacific (McKinley et al., 2006; Ishii
634 et al., 2014) though details of the mechanism are difficult to identify and associated CO_2 flux
635 variations seem to be quite small (McKinley et al., 2006).

636
637 Not only internal variability modes affect the air-sea CO_2 flux, but also external factors such
638 as aerosol forcing from volcanic eruptions. Such volcanic forcing tends to temporarily cool
639 the troposphere and the sea surface with respective implications for carbon cycling. Brovkin
640 et al. (2010) could identify a temporary small decline of atmospheric $p\text{CO}_2$ by about 2 ppmv a
641 few years after major eruptions over the last millennium, where decreasing respiration on land
642 is a potential leading candidate with the ocean having only a small effect. This is corroborated
643 by Frölicher et al. (2011) for a model study on the effect of Mt. Pinatubo type eruptions on the
644 carbon cycle, where again the terrestrial carbon cycle dominates the atmospheric $p\text{CO}_2$ signal.
645 Nevertheless, transient changes in ocean uptake of about 2 GtC are in a realistic realm as
646 consequences to large volcanic eruptions (Frölicher et al., 2011). Further, it cannot be
647 excluded that also the biological carbon binding is stimulated under deposition of volcanic
648 dust to the ocean surface (Hamme et al., 2010).

649
650 In view of the internal and external factors on ocean carbon cycle variability, it is intriguing to
651 ask, when long-term climate change signals become identifiable against the background noise.
652 This problem is of specific concern for large impacts of ocean acidification (see detailed
653 discussion below). Ilyina et al. (2009) identified the equatorial Pacific Ocean to be the oceanic
654 domain where a change in marine biogenic CaCO_3 production due to ocean acidification may
655 become at first visible through large-scale changes in ocean surface alkalinity. This can be
656 explained by large background values of pelagic CaCO_3 production in the tropical Pacific,
657 though the impact per unit of CaCO_3 produced would be highest in the high-latitude surface
658 waters where decreasing CaCO_3 saturation proceeds fastest. Generally, the time of emergence
659 of a climate change signal is an important variable: When can we see changes in oceanic state
660 variables which clearly can be attributed to human-induced climate change, i.e. when do
661 trends in key ocean variables emerge as robust on the background of analytical uncertainty
662 and interannual variability? Keller et al. (2014, 2015) provided new insight into this issue.
663 Earth system modelling suggested that sea surface $p\text{CO}_2$ and sea surface pH trends could rise
664 beyond the detection threshold already after 12 years from now. DIC trends would become
665 clear after 10-30 years and trends in the sea surface temperature after 45-90 years (Keller et
666 al., 2014). Accordingly, an earlier detection threshold for changes in mean ENSO-induced
667 carbon cycle variability ($p\text{CO}_2$, pH, biological productivity) than for ocean temperature
668 changes during the 21st century was predicted by Keller et al. (2015). Therefore, ocean carbon
669 cycle observations play a key role as early warning indicators when monitoring climate
670 change. For the time interval 1960-2005, Séférian et al. (2014), however, state that the
671 evolution of the global carbon sink can mainly be explained through rising CO_2 in the
672 atmosphere and oceanic carbon uptake without invoking a climatic feedback. Nevertheless, at
673 regional scale, trends in climate change become also visible in shaping the regional sink
674 strength pattern.

675
676 Regarding future scenarios for the evolution of ocean carbon sinks, Earth system models
677 driven by solar insolation and greenhouse gas concentrations indicate the strongest areas for
678 sequestration of anthropogenic carbon are in the Southern Ocean as well as the tropical ocean
679 (Tjiputra et al., 2010; Roy et al., 2011). The Southern Ocean seems to be the ocean fly wheel
680 for changes in atmospheric CO_2 , not only for anthropogenic carbon uptake, but also for
681 natural variations in atmospheric CO_2 (Sigman and Boyle, 2000; Heinze, 2002; Watson and
682 Naveira Garabato, 2006). Long-term observational capacity for the Southern Ocean is critical
683 to monitor the ocean sink strength for anthropogenic carbon.

684
685
686

687 **4 – The impact of human-produced carbon on warming and marine ecosystems**

688

689 The ocean carbon sink provides a major service to human societies in removing
690 anthropogenic CO_2 from the atmosphere and, thus, reducing the additional radiative forcing of
691 the Earth system. On the other hand, dissociation of anthropogenic CO_2 in seawater increases
692 ocean acidification, whose potential impacts on the diversity and functioning of marine
693 ecosystems are not yet fully understood. Understanding the role of the oceanic carbon sink in
694 controlling Earth's heat budget and influencing marine life is of great importance to project
695 future effects of climate change. Scenarios with Earth system models (advanced climate
696 models, for a more detailed explanation see chapter 3.2) reveal that the fraction of fossil fuel
697 emissions absorbed by the ocean over the 21st century is projected to be lower for high

698 emission scenarios (business as usual scenarios) than stringent emission mitigation scenarios
699 (Jones et al., 2013).

700
701
702
703

704 **4.1 – Impact of the ocean carbon uptake on Earth’s heat budget**

705

706 The net carbon uptake rates of land and ocean determine the future time evolution of radiative
707 forcing of the atmosphere and, hence, climate change for a given emission scenario (for a
708 detailed definition of radiative forcing see Myhre et al., 2013). Joos et al. (2013) used
709 different Earth system models to compute an average integrated global warming potential for
710 a pulse emission of 100 GtC) into the atmosphere. In the study it is also stressed that
711 quantifying the global warming effect for certain retentions of CO₂ emissions to the
712 atmosphere depends critically on the time horizon considered. For the 100 Gt-C pulse to the
713 atmosphere, e.g., 25±9% of the pulse emission would remain in the atmosphere after 1000
714 years, during which the ocean and land would have absorbed 59±12% and 16±4%,
715 respectively. This emphasizes the long time horizon for the anthropogenic perturbation, which
716 has to be taken into account even for a world with strongly reduced CO₂ emissions (Plattner et
717 al., 2008). For higher total emission pulses, the overall retention in the atmosphere would be
718 higher and likewise the global warming potential per kg CO₂ brought into the atmosphere
719 (Maier-Reimer and Hasselmann, 1987; Archer, 2005) due to the weakening buffering capacity
720 of the ocean at high ambient CO₂ partial pressure.

721

722 A future global warming limit of 2°C above the average preindustrial surface temperature has
723 been suggested as a not yet very ambitious, and thus, potentially achievable political target for
724 greenhouse gas emission strategies (Tol, 2007; Meinshausen et al., 2009; Schellnhuber, 2010;
725 United Nations, 2010). Recent experiments with a coarse resolution Earth system model
726 taking into account multiple climate targets, i.e. limits for maximum amplitudes of specific
727 variables such as surface air temperature increase, sea-level rise, aragonite saturation, and
728 biomass production on land, reveal that CO₂ emissions need to be substantially reduced for
729 achieving several mitigation goals simultaneously, rather than for meeting a temperature
730 target alone (Steinacher et al., 2013). Accounting for the carbon cycle climate feedback as
731 well as other physical and biogeochemical feedbacks in climate models is of great importance
732 for estimating the allowable emissions for a certain time line of atmospheric CO₂
733 concentration and global warming. Complex Earth system models are needed for this.
734 Simplified climate models as, e.g., employed in Integrated Assessment Models (for
735 simulations of economical developments under climatic change and for construction of typical
736 future scenarios) are insufficient for this purpose as they do not account for internal feedbacks
737 in the Earth system in a dynamical way (Jones et al., 2013).

738

739 **4.2 – Ocean acidification and its impact on marine ecosystems**

740

741 The term ‘ocean acidification’ refers to the decrease of oceanic pH by 0.1 units over the past
742 250 years and the predicted lowering of pH by another 0.3-0.4 units until the year 2100
743 (Caldeira and Wickett, 2003; Raven et al., 2005). Its main cause is the uptake and dissociation
744 of excess CO₂ from the atmosphere that leads to an increase in the oceanic hydrogen ion
745 concentration. Thorough monitoring of ocean acidification is of great importance, and by
746 collecting values in observational carbon data bases (e.g. like SOCAT and fixed time series
747 stations) as well as by conducting long-term carbon time-series measurements (e.g. as

748 reported in Vázquez-Rodríguez et al., 2012) our understanding of this process and its
749 spreading throughout Earth's oceans can be significantly advanced (Fig. 3; Fig. 4). In
750 addition, investigating the potential effects of 'high CO₂-low pH' conditions on the diversity
751 and functioning of marine biota and ecosystems is currently the focus of many scientific
752 studies. The interpretation of the observed responses in a species- and ecosystem-relevant
753 context thereby suggests that the two ocean acidification stressors high CO₂ concentration and
754 decreased pH are very often only one part of a complex equation. Other environmental
755 stressors like temperature, light availability, oxygen concentration, nutrient concentration,
756 CaCO₃ saturation state or trace metal speciation (to name only a few) as well as time and
757 physiological characteristics of the investigated organisms themselves have to be taken into
758 account when elaborating on ocean acidification impacts (Raven et al., 2005; Pörtner, 2008;
759 Ries et al., 2009; Dupont et al., 2010).

760
761 The most immediate response to an increase in CO₂ concentration and a decrease in seawater
762 pH is expected for marine calcifying organisms, including corals, molluscs, crustaceans,
763 echinoderms, coccolithophores, foraminifera as well as coralline and calcareous algae.
764 Maintenance and production of shells and skeletons may cost more energy in an environment
765 with reduced pH, and altered organism physiology may increase the vulnerability of certain
766 species and compromise their ecosystem functions (Bibby et al., 2007; McClintock et al.,
767 2009; Tunnicliffe et al., 2009). Calcification rates are likely to decline with a reduced
768 saturation value for aragonite and calcite, the two most common forms of CaCO₃ in seawater
769 (Feely et al., 2004; Guinotte and Fabry, 2008), caused by a decrease in CO₃²⁻ concentration
770 when CO₃²⁻, excess atmospheric CO₂, and H₂O react to HCO₃⁻ and hydrogen ions. Projections
771 indicate the potential undersaturation for both aragonite and calcite within the current century
772 for all polar regions (see Fig. 5) and parts of the subpolar Pacific Ocean as well as the deep
773 North Atlantic Ocean (Orr et al., 2005; Fabry et al., 2008; Steinacher et al., 2009; Orr, 2011).
774 Because aragonite dissolves at higher CO₃²⁻ concentrations than calcite, corals and other
775 aragonite-producing organisms are expected to experience corrosion of their hard shell
776 materials due to ocean acidification first. At natural CO₂ seeps in Papua New Guinea, a
777 decline in coral diversity was documented in areas of reduced pH as structurally complex
778 corals were replaced by massive *Porites* corals (Fabricius et al., 2011). The consequences
779 arising from this diversity shift could be similar to those anticipated for a general reduction in
780 coral cover and include a loss in biodiversity, habitat availability and quality as well as reef
781 resilience (Fabricius et al., 2011). The decrease in CaCO₃ saturation as a result of ocean
782 acidification combined with other environmental impact factors such as an increase in
783 temperature can be critical (Kleypas et al., 1999; Hoegh-Guldberg et al., 2007; Veron et al.,
784 2009; Fabricius et al., 2011). Recent scenario computations with Earth system models
785 document that a drastic reduction of CO₂ emissions is required to preserve major coral reefs
786 during the Anthropocene (Ricke et al., 2013). However, aspects such as potential adaptation
787 processes and migration need yet to be included in regional studies (Yara et al., 2012).

788
789 The effects of ocean acidification on different groups of marine biota can be rather diverse
790 and complex. For example, specimens of the economically and ecologically important blue
791 mussel *Mytilus edulis* recovered from the North Sea showed drastically reduced calcification
792 rates, while specimens recovered from a coastal area of the Baltic Sea did not show any
793 sensitivity to increased *p*CO₂ values (Gazeau et al., 2007; Thomsen et al., 2010; Schiermeier,
794 2011). Mussels from the Baltic seemed to be adapted to thriving in waters that generally
795 experience strong seasonal *p*CO₂ fluctuations, and food availability may have potentially
796 outweighed the effects of ocean acidification (Thomsen et al., 2010; Thomsen et al., 2013). In
797 a study comparing different types of benthic marine calcifiers it could be shown that certain

798 species experienced dissolution, while others were able to exploit the higher $p\text{CO}_2$ content in
799 seawater and increased their net calcification. Physiological characteristics like the organism's
800 ability to regulate pH, shell-protection with organic layers, biomineral solubility, and
801 photosynthesis utilization seemed to play a role (Ries et al., 2009). Species-specific reactions
802 as well as an organism's life cycle stage are further factors that may have to be taken into
803 account as it has been shown e.g. for echinoderms (Dupont et al., 2010; Dupont et al., 2013;
804 Dupont and Pörtner, 2013). Results obtained for phytoplankton communities additionally
805 stress the importance of community composition and/or shifts when assessing ocean
806 acidification impacts, but still a lot has to be explored about the response of marine microbes
807 to ocean acidification (Raven et al., 2005; Liu et al., 2010a; Joint et al., 2011; Brussaard et al.,
808 2013; Oliver et al., 2014).

809
810 Ocean acidification does not only affect calcifying biota. Sensitivity towards ocean
811 acidification has been detected for fish and other invertebrates, with increased risks of
812 acidification of body fluids and tissues as well as hindered respiratory gas exchange (Raven et
813 al., 2005). Beneficial effects were observed e.g. for seagrass (Palacios and Zimmerman, 2007;
814 Hall-Spencer et al., 2008; Fabricius et al., 2011) and various algal species (Hall-Spencer et al.,
815 2008; Connell et al., 2013).

816
817 Projecting the precise impact of ocean acidification on the diversity and functioning of marine
818 organisms and ecosystems is challenging. A meta-analysis of 228 published studies by
819 Kroeker et al. (2013) revealed a decrease in calcification, growth, survival, development, and
820 abundance across a wide range of taxa, but also showed a certain degree of variability among
821 groups suggesting different scales of sensitivity. It is not well established to which degree
822 organisms can adapt to quasi-permanent changes in ocean pH due to rapid anthropogenic
823 carbon input. It is also not known, if and in what way consequences like the physiological
824 impairment of vulnerable species and the reduction and/or shifts in biodiversity may be
825 mastered provided that ecosystem functionality shall be preserved. With regard to the
826 sustainable development of marine resources, future research will need to focus on multiple
827 stressor studies over various time scales to reveal the functional impact of ocean acidification
828 (and climate change in general) on marine ecosystem services and provide both
829 comprehensive monitoring and solution-oriented results.

830 831 **4.3 – Future impact research**

832
833 For future modelling approaches, not only the effects of atmospheric and oceanic warming as
834 well as ocean acidification have to be considered, but also the influence of multiple stressors.
835 These include physical and chemical drivers as well as circulation and stratification changes,
836 freshening, changes in ice cover, deoxygenation, anthropogenic nitrogen input, changes in
837 dust supply, marine pollution by offshore activities (e.g. Deepwater Horizon disaster; Mearns
838 et al., 2011), and plastic waste (also on the micro-scale; Gross, 2013) or overfishing and
839 bottom trawling. Earth system models that represent the marine carbon cycle and related
840 biogeochemical cycles have been successfully used to establish the regional combination of
841 some major stressors and the future evolution of these combinations (Bopp et al., 2013). Yet,
842 robustness in regional projection is strongly dependent on the considered stressors and
843 regions, and identifying the onset of emission induced change is still a challenging task that is
844 especially sensitive to the considered emission-scenario (see Fig. 5). The combined action of
845 stressors has to be accounted for in the next generation of Earth system model climate
846 projections (Steinacher et al., 2013). A critical variable within this context is the sustained
847 generation of exploitable biomass in the ocean for human food production, where overall

848 biological carbon fixation rates will presumably decrease with a more stagnant ocean
849 circulation (Steinacher et al., 2010).

850

851

852

853

854 **5 – The ocean carbon sink in relation to the land carbon sink**

855

856 The atmospheric CO₂ concentration is determined by the CO₂ emissions and the CO₂
857 exchanges between the land biosphere and atmosphere as well as between the atmosphere and
858 ocean. Quantification of the regional as well as global land carbon sink is associated with high
859 uncertainties due to the direct coupling of CO₂ consumption and release on the land surface
860 with the atmosphere in combination with the heterogeneity of the land biosphere, its constant
861 change and different forms of land use including forestry changes. Complex soil processes
862 like the degradation of organic material and permafrost melting processes (Schuur et al.,
863 2009), episodic events such as fires (wild fires, peat fires; Schultz et al., 2008; van der Werf et
864 al., 2008), and the multitude of possible reactions of land plants to different drivers (Kattge et
865 al., 2011) make the determination of the land carbon sink difficult. Recent studies indicate
866 that it may have been overestimated as the limiting effect of nitrogen (N) on plant growth has
867 not yet been accounted for in most models, potentially giving too much value to the CO₂
868 fertilisation effect, while on the other hand human-caused additions of nitrogen to the Earth
869 system regionally enhance plant growth (Zaehle and Dalmonech, 2011). Only two Earth
870 system modelling frameworks employed for the projections as summarised in the 5th
871 assessment report of IPCC (Collins et al., 2013) included N limitation on land, and related
872 processes and feedbacks are under discussion.

873

874 In comparison to the land carbon sink, the large-scale oceanic sink is considered to be less
875 variable on an interannual time scale (though considerable perturbations of the ocean carbon
876 cycle are linked with, e.g., the ENSO cycles; Feely et al., 2006) and, even though a 3-
877 dimensional approach is required due to water motion, somewhat easier to quantify. This
878 traditional view is exploited to estimate the year-to-year land sink for anthropogenic carbon
879 from the atmospheric observations and ocean models (evaluated through observations). The
880 terrestrial carbon sink is then the residual of CO₂ emissions, atmospheric CO₂ concentrations,
881 and ocean-atmosphere CO₂ fluxes (Canadell et al., 2007; Le Quéré et al., 2013). Until precise
882 quantifications of the land carbon sink become available through direct observations and
883 modelling, estimating it through the ocean carbon sink is a valid option. However, with
884 increasing detail in oceanic carbon sink determinations, oceanographers are starting to run
885 into similar heterogeneity problems in the oceans as geo-ecologists on land, especially when
886 the continental margins, the shelf seas, and coastal and estuarine systems are taken into
887 account (Borges, 2005; Liu et al., 2010b; Regnier et al., 2013). These likewise heterogeneous
888 systems are so far not (or at best partially) included in global Earth system model scenarios,
889 because the resolution of these models does not allow for the resolution of the respective
890 topographic features and super-computers are currently insufficient to run respective high-
891 resolution models as yet (Mitchell et al., 2012). Measurements of the O₂/N₂ ratio in the
892 atmosphere and marine oxygen budgets can help to further specify the land carbon sink
893 (Keeling et al., 1996). Alternatively, the stable carbon isotope ratio ¹³C/¹²C (or its deviation
894 δ¹³C from a standard ratio) can be employed to discriminate between the land and ocean
895 carbon uptake taking the low δ¹³C in fossil fuel CO₂ emissions to the atmosphere (Suess
896 effect; see Keeling, 1979) and the isotopic disequilibria between atmosphere, ocean, and
897 terrestrial biosphere into account (Ciais et al., 1995; Battle et al., 2000). The isotopic

898 fractionation for oceanic CO₂ absorption is small so that ¹³C/¹²C ratios can be used directly for
899 quantifying oceanic CO₂ uptake through budgeting approaches given that a sufficient number
900 of observations in atmosphere and ocean is available (Quay et al., 1992; Tans et al., 1992;
901 Heimann and Maier-Reimer, 1996). In contrast, carbon uptake in terrestrial biomass leads to a
902 substantial fractionation (leading to low δ¹³C in plant material). When quantifying the
903 respiratory carbon release, the age of the biomass has to be considered as carbon that was
904 assimilated decades earlier may still contribute to the respiration process (Ciais et al., 1995;
905 Battle et al., 2000).

906

907 The interannual variability of land-atmosphere carbon fluxes appears to be higher than the
908 respective variations for ocean-atmosphere fluxes when computing the land carbon sink as the
909 residual between oceanic uptake and atmospheric CO₂ retention (Canadell et al., 2007). On a
910 multi-millennial time scale, peat formation and organic carbon burial in lakes contribute to
911 slow long-term accumulation on land (Einsele et al., 2001; Gorham et al., 2012). Due to the
912 overall smaller carbon inventory of the land biosphere as compared to the inorganic ocean
913 carbon pool (Fig. 6), it is expected that the ocean through inorganic buffering and CaCO₃
914 sediment dissolution would ultimately account for the major part of removal of the human-
915 induced addition of CO₂ to the atmosphere (Archer, 2005).

916

917 **6 – Major ocean carbon challenges and key knowledge gaps**

918

919 Some aspects of marine carbon cycling can be regarded as well-established research fields,
920 such as the inorganic carbon buffering system. However, other elements are more difficult to
921 approach, partly due to inherent principle difficulties and partly based on the lack of
922 technological and infrastructural effort. Within this section, some major ocean carbon
923 challenges and key knowledge gaps in ocean carbon research will be addressed.

924

925 **6.1 – Observational data bases**

926

927 Based on measurements, our knowledge of inorganic and organic carbon cycling has
928 significantly improved over the past decade. This is especially due to measurements of
929 inorganically dissolved substances including the 3-dimensional data sets GLODAP (Key et
930 al., 2004; GLODAPv2), CARINA (Key et al., 2010), the surface ocean CO₂ data compilations
931 from Takahashi et al. (2009), and SOCAT (Pfeil et al., 2013; Sabine et al., 2013; Bakker et
932 al., 2014). Semi-continuous measurements are necessary due to the variability of the ocean
933 carbon sink, the continuously changing atmospheric CO₂ concentrations as well as the
934 variability of oceanic circulation. The aims are to identify vulnerabilities of carbon sinks, to
935 validate feedback mechanisms and to provide detailed information for other researchers or
936 commercial users regarding the impact of climate change on the marine realm.

937

938 Measurements of dissolved oxygen are of key importance for carbon cycle research. Oxygen
939 data are the basis for improving estimates of the land carbon sink (Keeling et al., 1996) and
940 for identifying any emergent fingerprint (Andrews et al., 2013), an extensive O₂ measurement
941 programme is needed. In addition, measurements of at least two carbon variables of the
942 marine inorganic carbon system are necessary. Here, pH and pCO₂ are likely the ones where
943 the techniques first will be available on floats, though this combination is not optimal for
944 deriving the other inorganic carbon variables. Another option would be to measure DIC and
945 alkalinity as the latter easily can be measured in seawater and determines together with DIC
946 the marine inorganic carbon system (see Wolf-Gladrow et al., 2007). In combination with O₂
947 measurements on automated float systems, this altogether would provide a significant

948 advance in ocean carbon observations. Pilot studies conducted in recent years yielded
949 promising results for a world-wide application of such systems (Gruber et al., 2010; Fiedler et
950 al., 2013).

951
952 For improved estimates of the biological carbon pump variations, reliable shallow flux
953 estimates as well as state-of-the-art biogenic CaCO_3 (aragonite, calcite) and biogenic silica
954 (BSi) production maps would be desirable. Respective maps for CaCO_3 export production are
955 at present possibly associated with large errors and give partly incongruous results (Sarmiento
956 and Gruber, 2006; Balch et al., 2007). Highly accurate total alkalinity observations and a
957 reliable CaCO_3 surface map could be used as reference points for future developments of
958 biocalcification under high CO_2 (Ilyina et al., 2009). Satellite observations have greatly
959 improved our understanding about primary production in the ocean (Henson et al., 2012), but
960 remote sensing efforts have still to be better exploited and extended in order to fill the gaps of
961 fragmental in-situ observations, especially also for production of hard part shell material.

962
963 Anthropogenically induced elevated carbon levels in the ocean (C_{ant}) cannot be observed
964 directly, which is why indirect methods have to be used (Gruber et al., 1996; Hall et al., 2002;
965 Touratier and Goyet, 2004; Friis et al., 2005). Even though year-to-year changes in DIC are
966 measurable in ocean surface waters, it is a challenge to determine them in deeper layers as the
967 anthropogenic perturbation in seawater is relatively small when compared to the natural
968 background. Over the past years, major international networks and projects (EU framework
969 programmes, OCB, PICES, SOLAS, IMBER, IOCCP etc.) have helped to make much
970 scientific progress in ocean carbon research worldwide. However, extensions and new
971 projects are required to continue the work (GEO/GEOSS, GOOS, FOO, ICOS etc.).

972
973 In contrast to the atmosphere, oceanic meso-scale circulation features are marked by short
974 spatial scales and large time scales. While an atmospheric pressure system has a typical length
975 scale of 1000 km and a lifetime of days to weeks, comparable oceanic meso-scale eddies have
976 scales of 2-100 km and several months. Therefore, selected oceanic observations can be
977 aliased through meso-scale motion and may not reflect the long-term mean state.

978
979 Time series stations in the ocean are still rare and mostly cover low to mid-latitudes (e.g.
980 HOTS, BATS, ESTOC, PAP, PAPA, DYFAMED). These time series have provided a lot of
981 insight into the long-term evolution of carbon cycle tracers, e.g. the local decline of mean sea
982 surface pH has been documented as unequivocal proof of progressing ocean acidification
983 (Santana-Casiano et al., 2007; Bates et al., 2014). An expansion of time series stations at
984 higher latitude areas would be desirable as, e.g., the change in sea surface pCO_2 and pH
985 would be largest over time, although the mean signal there would be somewhat more blurred
986 by interannual variability (Olafsson et al., 2009; Bauerfeind et al., 2014).

987
988 Apart from the issues described above, general challenges for determination of oceanic carbon
989 budgets within the Earth system exist, which possibly never can be met adequately: 1. The
990 annual net uptake rate of anthropogenic carbon from the atmosphere is small as compared to
991 the gross upward and downward fluxes occurring over one year in different oceanic regions.
992 That means that we always will have to quantify small net exchange fluxes as difference of
993 large gross fluxes into and out of the ocean. 2. The pristine carbon fluxes between the
994 atmosphere and the ocean as well as the pre-industrial 3-dimensional distributions of DIC
995 have not been measured and need to be reconstructed (Khatiwala et al., 2009; Khatiwala et al.,
996 2013). It is unlikely that ocean carbon variables can be reconstructed with high accuracy for
997 the pre-industrial from potential proxy record development.

998

999

6.2 – Process and impact knowledge

1000

1001 A major obstacle for improvements in future projections of the Earth system for selected
1002 future scenarios of driving factors is the lack of sufficient process understanding, process
1003 quantification, and process identification. Though some major biogeochemical principles are
1004 known, detailed dynamical formulations of processes are scarce and in their infancy. There is
1005 a considerable uncertainty about the gas transfer velocity of CO₂ and other gases across the
1006 air-water interface (Carpenter et al., 2012; Garbe et al., 2014). While the global ocean carbon
1007 sink estimates may not too strongly depend on this choice (otherwise projections with simple
1008 two box models for the global ocean would not have worked at all; Oeschger et al., 1975), the
1009 projected local CO₂ concentration in ocean surface waters is highly influenced by the chosen
1010 gas transfer velocity values, also for appropriate regional validation of ocean models. The co-
1011 limitation of biological production by various factors is an established concept, however,
1012 crucial details are not uniformly established, such as the potential variation of carbon to
1013 nitrogen ratios in biogenic matter under different environmental conditions (Riebesell et al.,
1014 2007; Jiang et al., 2013). Marine particle fluxes and their dynamics are still poorly understood
1015 and not yet adequately quantified in a dynamic way in response to external drivers (Klaas and
1016 Archer, 2002; Gehlen et al., 2006). The ongoing and future impacts of high CO₂ on marine
1017 organisms have yet to be clarified (Gattuso and Hansson, 2011). Formulations on how to
1018 quantify the production as well as degradation of phyto- and zooplankton particulate matter
1019 (organic, inorganic) are not mature enough or not even existing for providing step-change
1020 improvements of complex ocean models as well as coupled Earth system models. This
1021 includes, in particular, potential adaptation of organisms and ecosystems to conditions not
1022 experienced since the geologic past (Langer et al., 2006). Respective modelling approaches
1023 remain questionable until more detailed and reliable information about the effect of changing
1024 external drivers, like decreasing carbonate saturation, on the functioning of marine organisms
1025 and ecosystems becomes available. For a suite of land plants, functional relationships between
1026 drivers and physiological reactions have been established in large data compilations for trait-
1027 based modelling of the land biosphere (Kattge et al., 2011). Approaches for the simulation of
1028 ocean ecosystems with multiple plankton functional types have been initiated (Le Quéré et al.,
1029 2005), but trait data bases for marine organisms are not yet available in a suitable format and
1030 information from mesocosm and laboratory experiments is scarce and may not be
1031 straightforwardly transferable to the real Earth system.

1032

6.3 – Integrative modelling and combination with measurements

1033

1034
1035 For simulations of the ocean carbon sink and its impact, suitable models are needed to explain
1036 past and present events as well as to predict potential future pathways. Biogeochemical ocean
1037 general circulation models are employed either through observed forcing or within coupled
1038 Earth system models (for review see e.g. Heinze and Gehlen, 2013). There is a trade-off
1039 between their resolution (space and time) and a technically feasible length of the simulation
1040 period. High-resolution models with eddy dynamics (large-scale turbulent mixing) are often
1041 too computationally expensive for integrations exceeding a few decades. However, multiple
1042 future scenarios calculated over decades, centuries, and millennia are necessary to achieve
1043 reliable future projections. In addition, biogeochemical models whose water mass properties
1044 shall be fully predicted by the models need very long and costly spin-up periods in order to
1045 bring the tracer distributions including the carbon cycle tracers into quasi-equilibrium.
1046 Integration periods need to be at least as long as one full oceanic circulation cycle of about
1047 1500 years. Even for still fairly coarse resolutions this is currently quite costly in terms of

1048 super-computer processing time. Global model simulations of deep-sea carbon distributions as
1049 well as other deep-sea properties are therefore often limited to a lower resolution as compared
1050 to their distributions in surface or shallow waters (e.g., Ilyina et al., 2013; Séférian et al.,
1051 2013; Tjiputra et al., 2013).

1052
1053 Models need systematic improvement by combining them with and comparing them to
1054 observational data. By applying data assimilation procedures (Brasseur et al., 2009), existing
1055 discrete observations of oceanic variables can be interpolated (gap filling) and free adjustable
1056 parameters in models (such as, e.g., the particle sinking velocity) can be calibrated. Data-
1057 driven diagnostic models (Usbeck et al., 2003) are important for suggesting first order values
1058 of free parameters in dynamical process descriptions and can be implemented in complex
1059 forward models, which can be used for predictions as well. Systematic model assessment with
1060 observations and model optimisation with data assimilation have made progress in recent
1061 years, but for integrated biogeochemical cycle simulations these approaches need to be
1062 extended. Skill score metrics, which can be used to rank models according to their ability to
1063 reproduce physical and biogeochemical variables simultaneously, may become a valuable tool
1064 for future simulations. A simplified short cut method in order to assess the quality of future
1065 projections of Earth system models is the emergent constraint approach (Cox et al., 2013;
1066 Hoffman et al., 2014; Wenzel et al., 2014). In this approach, an interrelation is sought
1067 between a specific Earth system sensitivity as resulting across an ensemble of comparable
1068 models and a corresponding observational trend or variability (see also Flato et al., 2013).
1069 This method has just started to also be used for addressing ocean biogeochemical problems
1070 (Hoffman et al., 2014) and respective constraints have to be identified for this research field.
1071 Model scenarios can diverge depending on slight modifications of the starting (initial) and
1072 boundary conditions during a model run as well as due to internal variability in the model.
1073 Therefore, for a given CO₂ emission scenario the expected evolution of the results can differ.
1074 Ensemble simulations are necessary for establishing a range of statistically valid, potential
1075 outcomes that are associated with different degrees of probability. Due to the immense costs
1076 for multiple integrations of complex Earth system models, scenarios with large ensembles,
1077 though, have been attempted in only few ocean carbon uptake studies.

1078

1079 **6.4 – Specific regional foci for ocean carbon cycle studies**

1080

1081 There are at least 6 major regional domains, which warrant more attention in the coming years
1082 of ocean carbon cycle research:

1083

1084 1. The Southern Ocean is quantitatively the most important region for worldwide carbon
1085 dynamics (today: Mikaloff Fletcher et al., 2006; glacial/interglacial: Watson and Naveira
1086 Garabato, 2006; future: Tjiputra et al., 2010; Roy et al., 2011), but it is also one of the least
1087 well year-round observed regions (Takahashi et al., 2009; Swart et al., 2012; Pfeil et al., 2013;
1088 Sabine et al., 2013) due to its remoteness and high seasonality. Research priorities include the
1089 improvement of data coverage for carbon variables, dissolved oxygen, and related tracers. The
1090 water mass formation, mixing and deep convection processes, in particular in the Southern
1091 Ocean, are the ‘Achilles heel’ of global ocean models, and a step-change improvement is
1092 needed in order to achieve more physically based deep-water production representations in
1093 ocean models as well as Earth system models (Lenton et al., 2013). This includes also the
1094 representation of Antarctic shelf regions and respective water-mass formation mechanisms
1095 relevant for large-scale simulations.

1096

1097 2. Highly dynamic systems such as shelf areas, coastal zones, estuaries and continental
1098 margins will need to be accounted for in global carbon cycle quantifications. This is of key
1099 importance for impact studies as shallow seas are major spawning and living grounds for
1100 commercially exploited fish and food production. In addition, anthropogenic stressors such as
1101 mega cities, pollution from riverine loads and deposition of reactive nitrogen (Duce et al.,
1102 2008) have to be considered. Progress has recently been made in providing advanced
1103 combined river runoff and river load data for use in biogeochemical models (Mayorga et al.,
1104 2010). Ocean biogeochemical models should include both pelagic ocean sediment models
1105 (Heinze et al., 2009) and shallow sediment representations to involve high fluxes and
1106 regeneration rates of organic sediments as well as respective low oxygen and anoxic reactions
1107 and matter transformations like methanogenesis or denitrification (Naqvi et al., 2010;
1108 Mogollón et al., 2012). Land-ocean coupling of natural and anthropogenically perturbed
1109 systems (Regnier et al., 2013) needs inclusion in global Earth system models, especially with
1110 regard to quantifying nation-wide closed carbon budgets.

1111
1112 3. The Arctic Ocean is a hot spot of climatic and environmental changes, and represents the
1113 area in which ocean acidification accelerates most rapidly (Steinacher et al., 2009). Like the
1114 Southern Ocean, the Arctic is highly undersampled, making it difficult to determine reliable
1115 CO₂ sink estimates (Schuster et al., 2013). New process understanding (Wählström et al.,
1116 2012, 2013) has to be integrated into large-scale ocean models. Shifts in water mass formation
1117 processes, including the cold halocline structure at the Arctic Ocean surface domain (Aagaard
1118 et al., 1981; Anderson et al., 2013), need to be identified. A strongly reduced Arctic sea-ice
1119 cover and changes in annual sea-ice formation will have fundamental consequences for both
1120 organic and inorganic carbon cycling as well as ocean circulation and mixing (Loeng et al.,
1121 2005). The net effect on ocean carbon sink behaviour for a summer ice-free Arctic Ocean is
1122 not yet firmly assessed. Future studies need to include both sea-ice physics and sea-ice
1123 biogeochemistry. In addition, the potential climatically and tectonically induced degassing of
1124 CH₄ from Arctic Ocean sources needs to be further monitored as a potentially significant
1125 greenhouse gas source (Biastoch et al., 2011; Shakhova et al., 2014).

1126
1127 4. The tropical ocean is another key sink area for anthropogenic carbon (Mikaloff Fletcher et
1128 al., 2006; Roy et al., 2011). Future research needs to focus on ENSO-related variability in its
1129 carbon sink potential as well as on it being a region of high phytoplankton production rates in
1130 respective upwelling areas, where large-scale impacts of ocean acidification may be measured
1131 already during an early stage (Ilyina et al., 2009). Both the Pacific and Atlantic Ocean
1132 equatorial areas can be affected by short-term climatic fluctuations (Cadule et al., 2010;
1133 Lefèvre et al., 2013) and the overall long-term effect of shifts in occurrences and patterns of
1134 these events needs attention.

1135
1136 5. Oligotrophic regions play a significant role for sustained ocean time series stations as the
1137 interannual and seasonal variability is small and long-term trends may be easier to deduce.
1138 Current investigations should be complemented with measurements of nitrogen fixing
1139 processes as well as with their potential changes under altering dust fluxes and ocean
1140 acidification. Additionally, future research should include the identification of changes in the
1141 cycling of the greenhouse gas N₂O (Freing et al., 2012; Voss et al., 2013).

1142
1143 6. Coastal upwelling areas have proven to be useful study areas for ocean acidification,
1144 deoxygenation, and biological carbon pump studies and will remain a major focus of future
1145 monitoring (Feely et al., 2008; Paulmier et al., 2008; Gruber et al., 2011). It will therefore be

1146 crucial to appropriately resolve the physically and biogeochemically highly dynamic regimes
1147 along continental margins both in observational campaigns and modelling efforts.
1148

1149 7. Of course, the traditionally comparatively well observed North Atlantic and North Pacific
1150 domains (see, e.g., Bakker et al., 2014) should be further kept in the focus of monitoring and
1151 modelling programmes. The North Atlantic is a critical area for anthropogenic marine carbon
1152 uptake and changes in this may occur due to changes in meridional overturning and deep-
1153 water production. It has still to be firmly established whether any long-term (more than two
1154 decades) changes in the trend of anthropogenic CO₂ uptake occur in these regions that are
1155 marked also by internal variability in coupling to prevailing climate variability modes such as
1156 the North Atlantic Oscillation and the Pacific Decadal Oscillation.
1157

1158 **6.5 – Using the ocean natural laboratory for case studies on complex couplings**

1159

1160 The ocean and Earth system need to be better used as laboratories to understand processes and
1161 the resulting effects on a global scale. This can, for example, be achieved by using a
1162 biogeographic approach, where ecosystems are analysed along natural gradients in both space
1163 and time. Natural, environmental variability needs to be better exploited to obtain results for
1164 impact research. Transient large-scale variations of the Earth system and the ocean carbon
1165 cycle's role in these patterns need to be explained.
1166

1167 **6.6 – Combination with other biogeochemical cycles and greenhouse gases**

1168

1169 The ocean carbon cycle needs to be studied and assessed in combination with other
1170 biogeochemical cycles in a more focussed way than in the past. The oceanic sources/sinks of
1171 CH₄, N₂O, and CO₂, all three being natural and anthropogenic greenhouse gases, are
1172 controlled by coupled elemental cycles involving among others carbon compounds, nutrients,
1173 and gases. Only integrative approaches can ensure a full understanding of the coupled cycles
1174 and a full exploitation of respective observational evidence. The simultaneous quantifications
1175 of the oxygen and carbon cycles are vital for closing the global carbon budget including the
1176 terrestrial biosphere. Nutrient cycles and their anthropogenic perturbations directly control the
1177 biological carbon cycling on land and in the oceans. Their more detailed dynamical
1178 implementation in land and ocean models is needed, including a better understanding of
1179 nutrient limitations (including effects of micronutrients such as iron) under changing
1180 environmental conditions.
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1184 **7 – Conclusion**

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1186

1186 The ocean carbon sink has two parallel effects: 1. Parts of the anthropogenic CO₂ emissions
1187 are absorbed by the ocean and, thus, the radiative forcing associated with the human-caused
1188 excess CO₂ is reduced. 2. The more anthropogenic CO₂ enters the ocean, the stronger ocean
1189 acidification will be. Both aspects have to be considered simultaneously for establishing
1190 future mitigation strategies on emission reductions as well as for establishing adaptation
1191 measures to environmental and climatic change. The two aspects, though, have opposite
1192 effects. Increasing the ocean carbon sink may lead to less warming, but at the same time will
1193 promote ocean acidification. Critical to both is the speed of progression. Climatic warming
1194 and lowered pH values in the oceans will prevail long after the anthropogenic CO₂ emission
1195 period to the atmosphere, and it is not possible to associate a specific lifetime to CO₂ in the

1196 atmosphere (Tans, 1997). Determining extent, timing, and impact of the ocean carbon sinks
1197 and sources will, thus, remain a key task in the future establishment of sustainable
1198 development strategies on Earth, especially with regards to the further rising greenhouse gas
1199 emissions to the atmosphere as expected for the coming decades. We have for the first time
1200 arrived at an atmospheric CO₂ mixing ratio of 400 ppmv (Mauna Loa observatory, May 2013,
1201 <http://keelingcurve.ucsd.edu/>) since 850,000 years (as measurements from atmospheric CO₂
1202 concentrations in Antarctic ice cores document; Siegenthaler et al., 2005). Human CO₂
1203 emission rates are currently increasing further (Le Quéré et al., 2013; Le Quéré et al., 2014).
1204 Strategies on feasible emission reduction procedures need to take the timing of the ocean sink
1205 (slow kinetics, large capacity) and the associated impact through ocean acidification into
1206 account.

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1217 **Acronyms**

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1220	BATS	Bermuda Atlantic Time-series Study
1222	CARINA	CARbon dioxide IN the Atlantic Ocean (data synthesis project)
1224	CVOO	Cape Verde Ocean Observatory
1226	DYFAMED	DYnamics oF Atmospheric fluxes in the MEDiterranean sea (time-series study)
1228	ENES	European Network for Earth System modelling
1230	ESTOC	European Station for Time-series in the Ocean Canary islands
1232	FOO	GOOS Framework for Ocean Observing
1234	GEO/GEOSS	Group on Earth Observations/Global Earth Observation System of Systems
1236	GOOS	Global Ocean Observing System
1238	GLODAP	Global Ocean Data Analysis Project
1240	HOTS	Hawaii Ocean Time-Series
1242	ICOS	Integrated Carbon Observation System
1244	IGBP	International Geosphere-Biosphere Programme

1246	IMBER	Integrated Marine Biogeochemistry and Ecosystem Research
1247		
1248	IOCCP	International Ocean Carbon Coordination Project
1249		
1250	IPCC	Intergovernmental Panel on Climate Change
1251		
1252	OCB	Ocean Carbon and Biogeochemistry
1253		
1254	PACIFICA	PACIFic ocean Interior Carbon database
1255		
1256	PAP	Porcupine Abyssal Plain observatory
1257		
1258	PAPA	Ocean station Papa (North Pacific)
1259		
1260	PICES	North Pacific Marine Science Organization
1261		
1262	PIRATA	PredIction and Research moored Array in the Tropical Atlantic
1263		
1264	RCP	Representative Concentration Pathways
1265		
1266	SOCAT	Surface Ocean CO ₂ ATlas
1267		
1268	SOLAS	Surface Ocean Lower Atmosphere Study
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1270		
1271		

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1273
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2255 **Figures**

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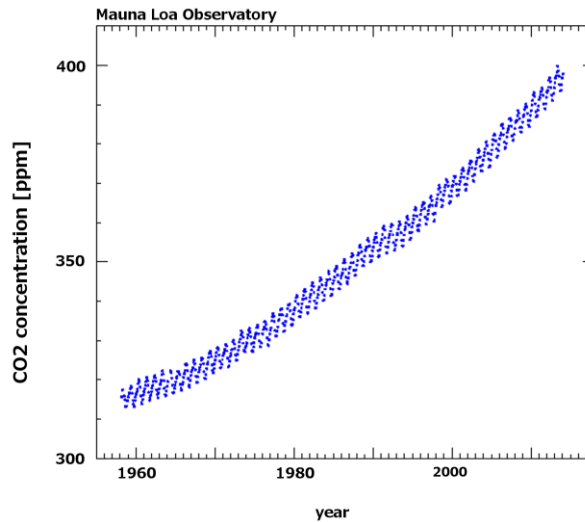
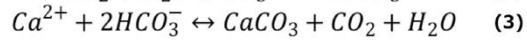
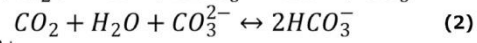
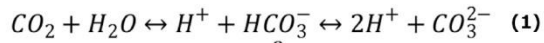
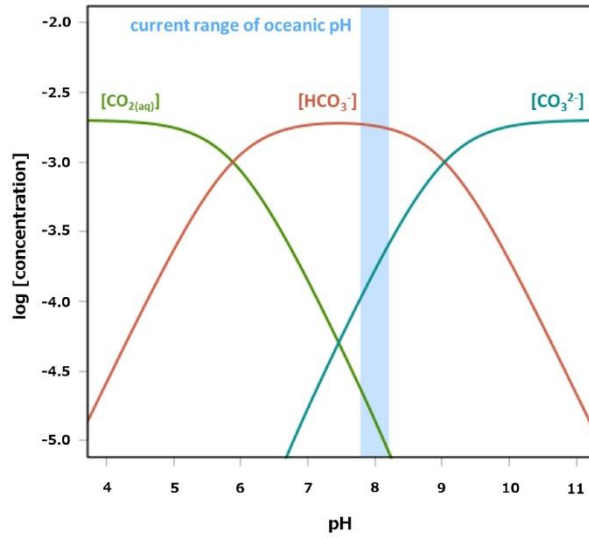


Figure 1: Atmospheric CO₂ concentrations recorded at Mauna Loa Observatory between 1958 and 2014. Due to human-produced emissions, CO₂ levels in Earth’s atmosphere have been rapidly rising since the beginning of the Industrial Revolution and nowadays are crossing 400 ppmv (400.01 ppmv on 25 May 2013), equalling a 44% increase when compared to pre-industrial CO₂ concentrations of around 278 ppmv. Source: Dr. Pieter Tans, NOAA/ESRL (www.esrl.noaa.gov/gmd/ccgg/trends) and Dr. Ralph Keeling, Scripps Institution of Oceanography (scrippsco2.ucsd.edu/).



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2291 **Figure 2:** Bjerrum plot created according to equations reviewed in Sarmiento and Gruber
2292 (2006) and Zeebe and Wolf-Gladrow (2001) as well as main reactions of carbon chemistry
2293 referred to in this review.

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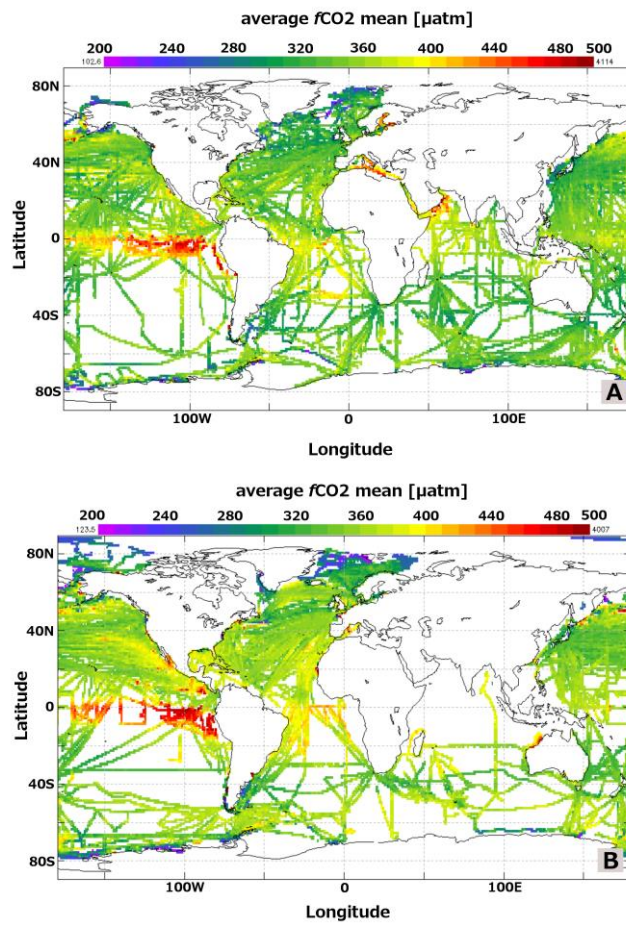


Figure 3: Mean unweighted surface water $f\text{CO}_2$ (μatm) for the years 1970-2002 (A) and 2003-2011 (B) using the SOCATv2 monthly 1x1 degree gridded data set (Bakker et al., 2014). The maps were generated by using the online Live Access Server.

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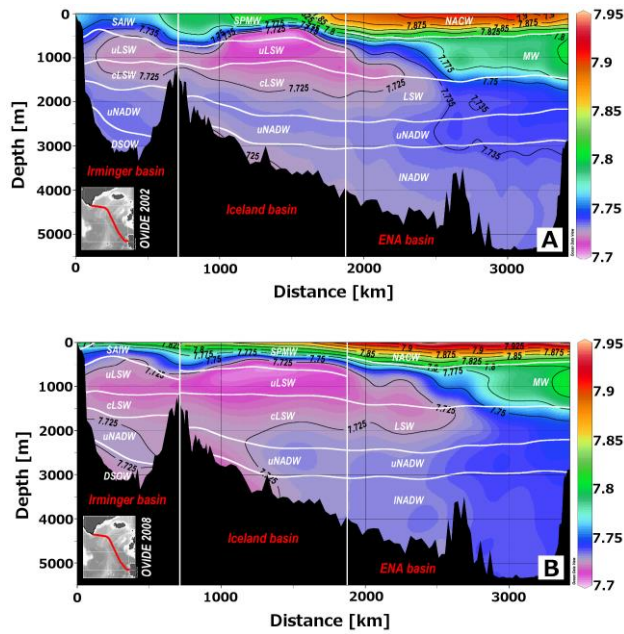
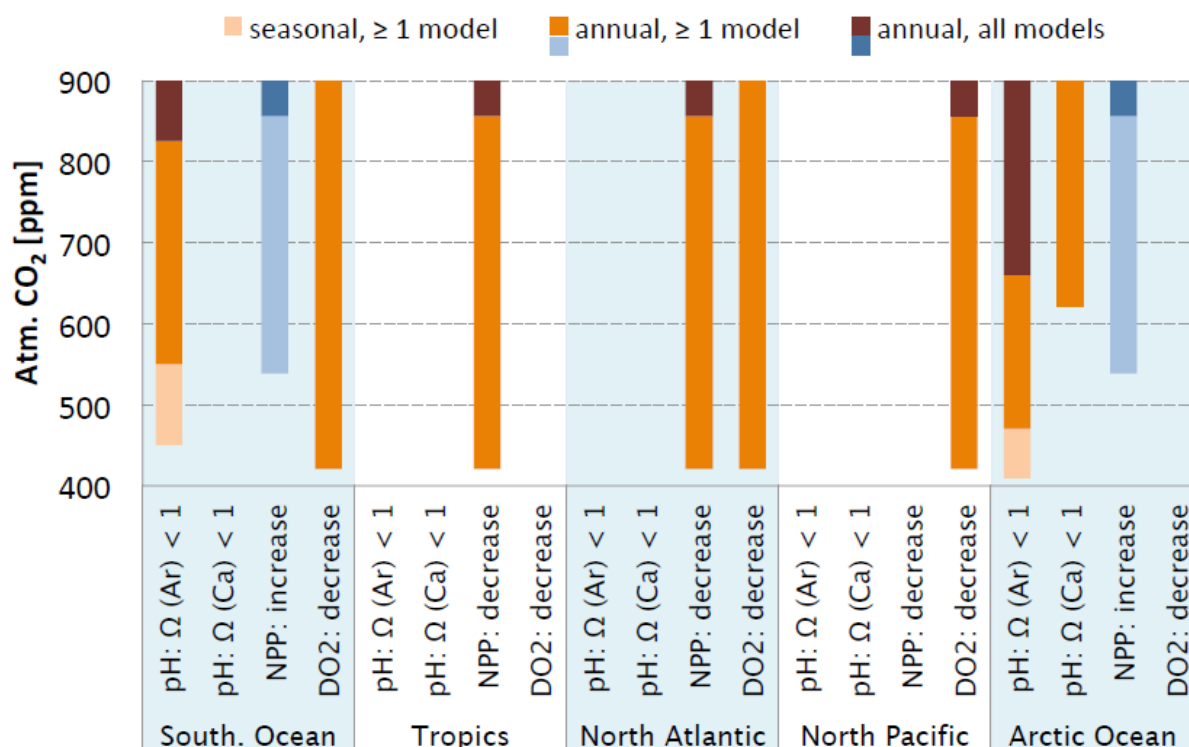


Figure 4: Spatial and temporal change of seawater pH measured across the North Atlantic Subpolar Gyre between Greenland and the Iberian Peninsula. The vertical distribution of pH followed the anticipated natural distribution, with higher pH in surface waters and lower pH in deep waters. A comparison of pH values measured in 2002 (A) and 2008 (B) revealed an overall decrease in seawater pH in intermediate and deep waters. This acidification was most evident in water depths between 1000 and 2000 m, where over the years the water layer with pH values below 7.725 had thickened several-fold (Vázquez-Rodríguez et al., 2012, Biogeosciences, 9, 5217-5230, doi: 10.5194/bg-9-5217-2012, 2012).

Modelled impact of increasing atmospheric CO₂ concentrations on pH, net primary production, and subsurface oxygen



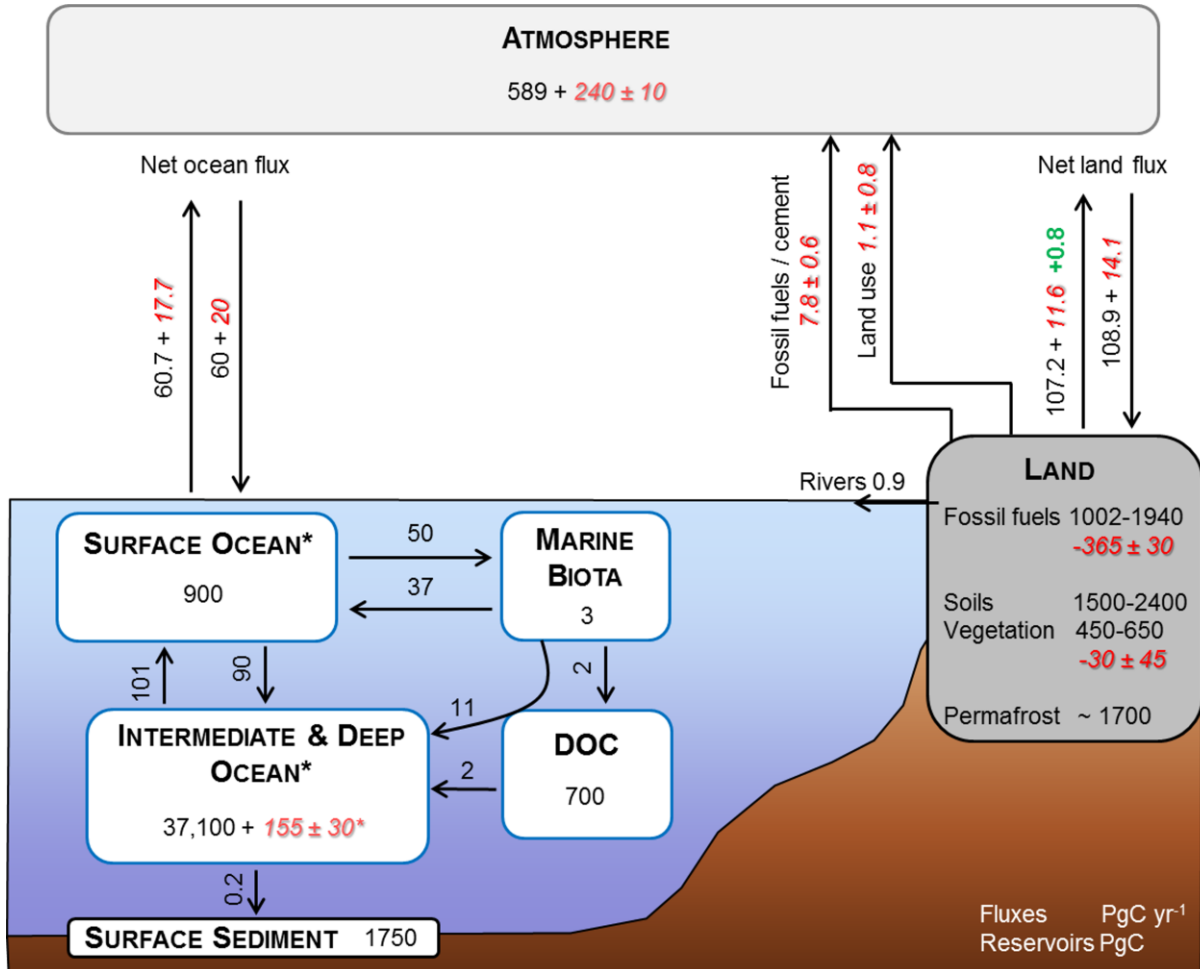
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Figure 5: Modelled impact of increasing atmospheric CO₂ concentrations on stressors of ocean ecosystems, that is surface undersaturation of aragonite (pH: $\Omega(\text{Ar}) < 1$) and calcite (pH: $\Omega(\text{Ca}) < 1$), net primary production (NPP), and oxygen at 200–600 m depth (DO2). Bright orange bars denote a seasonal development, while orange and light blue bars denote annual developments projected by one or more models. Red and blue bars indicate that all considered models agree on the depicted development. Orange and red bars denote furthermore a negative impact on marine ecosystems, while blue and light blue bars indicate an increase of the modelled parameter with the ecologic impact of this development not yet fully being determined. Impacts are based on a comprehensive suit of Earth system models and IPCC emission scenarios. The choice of models and scenarios is based on the IPCC AR5 report and references denoted within (Plattner et al., 2001; Orr et al., 2005; McNeil and Matear, 2008; Feely et al., 2009; Steinacher et al., 2009, 2010; Keeling et al., 2010; Bopp et al., 2013; Cocco et al., 2013). Note that DO2 and NPP are only analysed at the final year of the IPCC scenarios (year 2100), and their projected developments start most likely already at lower atmospheric CO₂ concentrations.

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Figure 6: Simplified illustration of the global carbon cycle, adapted from Ciais et al. (2013). Reservoir mass numbers and annual exchange fluxes are given in PgC (10^{15} gC) and PgC yr⁻¹, respectively. Black numbers refer to pre-industrial values (before 1750). Red flux numbers represent annual anthropogenic fluxes averaged over the years 2000-2009 and red reservoir numbers depict cumulative changes of anthropogenic carbon between 1750-2011 (90% confidence interval). A positive cumulative change denotes an increase in (gain of) carbon since the onset of the Industrial Era. Land-atmosphere carbon fluxes caused by rock weathering, volcanism, and freshwater outgassing amount in total to a flux of 0.8 PgC yr⁻¹ and are represented by the green number. Purely land-based processes like further rock weathering, burial, and export from soils to rivers are not depicted in the scheme above. The star (*) indicates that the given accumulation number refers to a combined value for Surface Ocean and Intermediate and Deep Ocean.