

# The ocean carbon sink – impacts, vulnerabilities, and challenges

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## Abstract

Carbon dioxide (CO<sub>2</sub>) is, next to water vapour, considered to be the most important natural greenhouse gas on Earth. Rapidly rising atmospheric CO<sub>2</sub> concentrations caused by human actions such as fossil-fuel burning, land-use change or cement production over the past 250 years have given cause for concern that changes in Earth's climate system may progress at a much faster pace and larger extent than during the past 20,000 years. Investigating global carbon cycle pathways and finding suitable adaptation and mitigation strategies has, therefore, become of major concern in many research fields. The oceans have a key role in regulating atmospheric CO<sub>2</sub> concentrations and currently take up about 25% of annual anthropogenic carbon emissions to the atmosphere. Questions that yet need to be answered are what the carbon uptake kinetics of the oceans will be in the future and how the increase in oceanic carbon inventory will affect its ecosystems and their services. This requires comprehensive investigations, including high-quality ocean carbon measurements on different spatial and temporal scales, the management of data in sophisticated data bases, the application of Earth system models to provide future projections for given emission scenarios as well as a global synthesis and outreach to policy makers. In this paper, the current understanding of the ocean as an important carbon sink is reviewed with respect to these topics. Emphasis is placed on the complex interplay of different physical, chemical, and biological processes that yield both positive and negative air-sea flux values for natural and anthropogenic CO<sub>2</sub> as well as on increased CO<sub>2</sub> (uptake) as the regulating force of the radiative warming of the atmosphere and the gradual acidification of the oceans. Major future ocean carbon challenges in the fields of ocean observations, modelling, and process research as well as the relevance of other biogeochemical cycles and greenhouse gases are discussed.

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80	<b>1 – Historic background</b>

81

82 In the atmosphere, carbon dioxide (CO<sub>2</sub>) occurs only in a very small fraction (currently

83 around 400 ppmv; ppmv = parts per million of volume;

84 [http://scrippsco2.ucsd.edu/graphics\\_gallery/mauna\\_loa\\_record.html](http://scrippsco2.ucsd.edu/graphics_gallery/mauna_loa_record.html)). Nevertheless, due to its

85 high abundance as compared to other greenhouse gases, it is considered to be the overall most

86 important greenhouse gas next to water vapour. Its importance in regulating the global heat

87 budget has already been documented in the 19<sup>th</sup> century by Arrhenius (1886). Ultimately, the

88 greenhouse effect of CO<sub>2</sub> can be linked to its molecule structure: Vibrational and rotational

89 motions of the gaseous CO<sub>2</sub> molecules resonate with the thermal radiation leaving Earth's

90 surface at bands centred at different discrete wavelengths, thereby heating up the lower

91 atmosphere (e.g. Barrett, 2005; Tomizuka, 2010). The main absorption band (combined

92 vibrational and rotational resonance mode) of CO<sub>2</sub> is centred at 15 μm wave length (Wang et

93 al., 1976; Liou, 1980). The incoming solar radiation is of short wavelength (mainly between

94 0.5-1 μm). The thermal radiation outgoing from the Earth is of longer wave length (typically

95 between 5 and 20 μm). Without the natural greenhouse effect, an average temperature of -

96 19°C would dominate Earth's surface instead of the actual average value of around 15°C

97 (Ramanathan et al., 1987).

98

99 The pre-industrial level of atmospheric CO<sub>2</sub> expressed as a volume mixing ratio had been  
100 around 278 ppmv with minor fluctuations around this level (Siegenthaler et al., 2005) due to  
101 the natural variability of carbon reservoirs on land and in the ocean as well as volcanic  
102 activities and a small remaining trend going back to the last deglaciation (Menviel and Joos,  
103 2012). The onset of the industrialisation and the Anthropocene as the era of fundamental  
104 human impact on the Earth system (Crutzen, 2002) can be dated around 1776 when the  
105 improved design of the steam engine by James Watt enabled its operational use. The 300  
106 ppmv boundary was crossed in the early 20<sup>th</sup> century according to ice core measurements  
107 from Law Dome (Etheridge et al., 2001; samples from Law Dome core D08 show values of  
108 296.9 ppmv and 300.7 ppmv for mean air ages given in calendar years of 1910 and 1912  
109 respectively, with an overall accuracy due to analytical errors and age determination errors of  
110 ±1.2 ppmv). At the beginning of the instrumental record of atmospheric CO<sub>2</sub> in 1958, its  
111 concentration was around 315 ppmv (Keeling et al., 2001). Ten years ago (2003), we had  
112 arrived at 375 ppmv. And now, we are crossing the 400 ppmv level (400.01 ppmv as of 25  
113 May 2013; Fig. 1; Keeling et al., 2013). The largest contributor to this human-induced CO<sub>2</sub>  
114 release is firstly the burning of fossil fuel reserves, which normally would have been isolated  
115 from the atmosphere (Boden et al., 2011). Secondly, land-use change is a significant  
116 contributor followed by cement production (Houghton, 1999; Boden et al., 2011). The  
117 warming effect due to the combustion of fossil fuel by human beings was first suggested and  
118 analysed by Callendar (1938). Since then, scientists have made attempts to quantify the fate of  
119 fossil fuels in conjunction with the natural carbon cycle. Bolin and Eriksson (1959) came up  
120 with a first estimate of the ultimate uptake capacity of the ocean for fossil fuel CO<sub>2</sub> from the  
121 atmosphere: About 11/12 of CO<sub>2</sub> emissions would ultimately accumulate in the ocean water  
122 column after repeated oceanic mixing cycles and interaction with the calcareous sediment, a  
123 process requiring several 10,000 years (see also Archer, 2005).

124  
125 When it comes to the importance of human-produced greenhouse gases for changing the  
126 atmospheric heat budget and, hence, the climate system, CO<sub>2</sub> is by far the most important one.  
127 Other radiatively active trace gases like methane (CH<sub>4</sub>), halocarbons, and nitrous oxide (N<sub>2</sub>O)  
128 have a higher greenhouse potential per molecule than CO<sub>2</sub>, but are less abundant in the  
129 atmosphere than CO<sub>2</sub>, so that CO<sub>2</sub> is the most important anthropogenic driving agent of  
130 climate change (Myhre et al., 2013). The focus of this review is, thus, on CO<sub>2</sub> and the oceanic  
131 ('carbon') sink. Future CO<sub>2</sub> emission scenarios to drive climate models have been produced  
132 on empirical evidence concerning human behaviour and economics. In view of the on-going  
133 high energy use in wealthy nations and the accelerating energy production in emerging  
134 economies (especially China and India; see Raupach et al., 2007), current and recent annual  
135 CO<sub>2</sub> emission rates are at the levels of the most pessimistic emission scenario as produced a  
136 few years ago for the climate projections of the 5<sup>th</sup> assessment report of the IPCC (RCP  
137 scenarios; van Vuuren et al., 2011a; van Vuuren et al., 2011b; Peters et al., 2013).  
138 Considering the key role of the oceans in the global carbon budget it is therefore fundamental  
139 to broaden our knowledge on their past, present, and future quantitative impact in regulating  
140 atmospheric CO<sub>2</sub> concentrations.

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## 144 **2 – General concepts of ocean carbon cycling**

145

146 The oceans regulate atmospheric CO<sub>2</sub> mainly by two mechanisms: The first consists of the  
147 abiotic inorganic cycling of carbon that involves CO<sub>2</sub> air-sea gas exchange (Liss and Merlivat,  
148 1986; Wanninkhof, 1992; Nightingale et al., 2000), CO<sub>2</sub> dissolution (Weiss, 1974) and

149 hydration to carbonic acid, dissociation of carbonic acid (Dickson et al., 2007) as well as  
150 transport and mixing of total dissolved CO<sub>2</sub> in seawater. The second mechanism describes the  
151 cycling of carbon due to biological activity.

152

## 153 **2.1 – Inorganic carbon cycle processes**

154

155 Seawater is saline and contains practically all elements of the chemical periodic table. Due to  
156 its slightly alkaline behaviour, it can keep the ionic compounds of weak acids in solution.  
157 Carbon dioxide, or carbonic acid (H<sub>2</sub>CO<sub>3</sub>) when combined with water (H<sub>2</sub>O), dissociates in  
158 seawater mostly into bicarbonate (HCO<sub>3</sub><sup>-</sup>) and carbonate (CO<sub>3</sub><sup>2-</sup>), while only a small amount  
159 of the CO<sub>2</sub> is kept in its dissolved state (as an order of magnitude estimate the partitioning of  
160 HCO<sub>3</sub><sup>-</sup>: CO<sub>3</sub><sup>2-</sup>:CO<sub>2</sub> is 100:10:1 but significant deviations from this can occur especially with  
161 respect to CO<sub>2</sub>). The sum of HCO<sub>3</sub><sup>-</sup>, CO<sub>3</sub><sup>2-</sup>, and CO<sub>2</sub> is called ‘total dissolved inorganic  
162 carbon’ (DIC). A huge reservoir of DIC has been built up in the oceans over geologic time  
163 through the interaction of seawater with sediments, weathering from land, gas exchange with  
164 the atmosphere, and outgassing from the Earth’s interior. At pre-industrial times, this DIC  
165 pool is 65 times as large as the atmospheric pre-industrial CO<sub>2</sub> reservoir and approximately 20  
166 times as large as the carbon on land bound to living and dead biomass including soils (Degens  
167 et al., 1984; Falkowski et al., 2000).

168 DIC is distributed in the oceans as passive tracer (like dye) by currents and turbulent mixing.  
169 In a simplistic model, transportation of carbon in the oceans mainly follows the large scale  
170 ocean circulation: In the northern North Atlantic, surface waters are moved to the deep sea in  
171 a process of deep-water formation. The solubility of CO<sub>2</sub> gas in seawater increases with  
172 decreasing temperature. As newly formed deep water is cold, the downward transport of the  
173 carbon fraction dissolved in seawater due to high CO<sub>2</sub> solubility is also called solubility pump  
174 (Volk and Hoffert, 1985). However, the dissociation of CO<sub>2</sub> into bicarbonate and carbonate  
175 ions is antagonistic to the solubility and decreases with decreasing temperature and  
176 compensates to a certain degree for this. In a theoretical ocean with only the solubility pump  
177 acting the overall surface to deep gradient of DIC would be slightly positive downwards. On  
178 its way through the ocean part of the deep water then upwells in the Southern Ocean around  
179 Antarctica, where it is blended with water masses from all oceans before it is re-cooled again  
180 to form deep and intermediate waters that spread into the Atlantic, Pacific, and Indian Ocean.  
181 The circle is closed through the transport of upper water masses from the upwelling regions  
182 back to the deep-water production areas in the North Atlantic and the Southern Ocean  
183 (Broecker and Peng, 1982), which occurs via the Indian Ocean (‘warm water path’) or via the  
184 Drake Passage (‘cold water path’ between South America and Antarctica; Rintoul, 1991). The  
185 water that has spent the longest time away from contact with the atmosphere is found in the  
186 northern Pacific Ocean below depths of about 2000 m and is approximately 1500 years old.  
187 Comparably, the human perturbation of the carbon cycle has occurred only over the last 250  
188 years, and diluting high anthropogenic carbon loads from the upper ocean with large deep-  
189 water reservoirs by mixing processes will take at least 6 times as long. Also, the slower  
190 oceanic circulation and mixing become with on-going climate change, the smaller the uptake  
191 rate of surface waters for human-produced carbon will be and the less efficient the ocean  
192 carbon sink will become for absorbing further CO<sub>2</sub> additions to the atmosphere as carbonic  
193 acid dissociates less well into bicarbonate and carbonate in water of high pCO<sub>2</sub>.

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## 199 2.2 – Biological carbon pumps

200

201 While purely inorganic carbon cycling leads to a slight increase of DIC with depth, biological  
202 carbon cycling - via the two biological carbon pumps (Volk and Hoffert, 1985) - is  
203 responsible for most of the gradients existing in the real ocean DIC distribution. These  
204 gradients are mainly fuelled by uptake of DIC by biota in the surface ocean to produce  
205 particulate matter, the vertical flux of these particles, and degradation of these particles on  
206 their downward way through the water column. Biological carbon binding occurs mainly in  
207 the ocean surface layer, where phytoplankton through the process of photosynthesis produces  
208 biomass that can be utilized by other organisms on higher trophic levels (classical food chain).  
209 Next to dissolved CO<sub>2</sub>, phytoplankton requires light and nutrients for their growth, the latter  
210 two being critical limiting factors. About 25% of the particulate organic carbon (POC), which  
211 is produced in the ocean surface layer, eventually sinks through the water column (Schlitzer,  
212 2000) with most of it being remineralised and returned to the dissolved phase already within  
213 the upper 1500 m. Normally, less than 1% of POC reaches the open-ocean seafloor by  
214 sedimentation (Lee et al., 2004). In addition to POC, marine biota also produce dissolved  
215 organic carbon (DOC), which is discriminated from POC based on particle size (Turnewitsch  
216 et al., 2007). As increasingly small particles do not sink anymore through the water column  
217 but become suspended due to the increasing importance of friction for small particles, DOC is  
218 transported through the oceans like DIC as a passive tracer. While a large fraction of DOC  
219 may persist and accumulate in the water column before being remineralised to inorganic  
220 substances, biologically labile DOC is converted quickly (within minutes to days) in the upper  
221 ocean, predominantly by microbial activity (Carlson, 2002). By utilising DOC, bacteria can  
222 build up exploitable biomass and part of the dissolved organic carbon may re-enter the  
223 classical food chain through the 'microbial loop'. However, as the microbial loop itself  
224 includes several trophic levels, a large part of the recycled DOC is converted back to  
225 inorganically dissolved carbon along the process (Azam et al., 1983; Fenchel, 2008). In  
226 addition to microbial degradation, sorption onto larger particles, and UV radiation may  
227 constitute further important processes in the removal of dissolved organic matter (Carlson,  
228 2002). The oceanic DOC pool is overall about one order of magnitude smaller than the marine  
229 DIC inventory but larger than the POC pool. Nevertheless, the highly reactive POC dominates  
230 the effect on variations in the oceanic DIC distribution. Most of the DOC is quite refractory  
231 which is consistent with its high radiocarbon age (4000 - 6000 years, Druffel et al., 1992).  
232 Thus, most of the marine DOC does not contribute much to the dynamics of carbon cycling in  
233 the ocean within the flushing time scale of the world ocean of about 1500 years. Next to POC  
234 and DOC cycling, the formation of calcium carbonate (CaCO<sub>3</sub>) by shell- and skeleton-  
235 building marine organisms is of great importance in the ocean's carbon cycle as it causes  
236 shifts in the overall DIC pool. HCO<sub>3</sub><sup>-</sup> is converted to CO<sub>3</sub><sup>2-</sup> to produce CaCO<sub>3</sub>. During this  
237 process, CO<sub>2</sub> is released to the surrounding water (Fig. 2, formula 3; Frankignoulle et al.,  
238 1994). Thus, the CaCO<sub>3</sub> pump is counteracting the organic carbon pump. As more carbon is  
239 bound to POC and DOC during biological production than to CaCO<sub>3</sub> (this rain ratio of  
240 CaCO<sub>3</sub>:POC amounts globally averaged to about 15% when counted in carbon atoms bound  
241 to particulate matter; Berelson et al., 2007), the CaCO<sub>3</sub> counter pump does nowhere fully  
242 compensate for the organic carbon pump. Within the oceans, CaCO<sub>3</sub> occurs either as  
243 aragonite or as calcite, with aragonite being more soluble at given conditions. The solubility  
244 of both compounds increases slightly at lower temperature and strongly with increasing depth  
245 (pressure) (Mucci, 1983; Zeebe and Wolf-Gladrow, 2001). Shell material sinking together  
246 with POC through the water column is usually degraded at larger depths than the organic  
247 material. Nevertheless, it is likely that also partial re-dissolution of calcitic and aragonitic  
248 plankton hard parts occurs in shallower depths than the respective CaCO<sub>3</sub> saturation horizon.

249 Potential contributors to this are, e.g., zooplankton metabolisms (dissolution of shell material  
250 in copepod guts; Jansen and Wolf-Gladrow, 2001), local undersaturation hot spots due to  
251 lateral admixture of water or in micro-environments on biogenic particles due to  
252 remineralisation of organic matter (Barrett et al., 2014), and admixture of larger amounts of  
253 Mg in the CaCO<sub>3</sub> material (high-Mg calcites; Feely et al., 2004).

254  
255 The composition of the sinking material determines also its sinking velocity. Phytoplankton  
256 (plant plankton) and zooplankton (animal plankton) grazing on plant plankton or eating other  
257 zooplankton can modify the vertical particle flux by producing a variety of carbonaceous or  
258 siliceous shell material.

259  
260 Shallow seas including the continental margins are marked with high accumulation rates of  
261 organic carbon (Jahnke, 1996). In contrast, deep-sea sediments are mainly composed of the  
262 hard parts of calcareous and siliceous shell material (Leinen et al., 1986; Archer, 1996). In  
263 regions of vivid upward motion of water, such as at the Equator, in front of west coasts, in the  
264 Southern Ocean, and during vertical mixing in the North Atlantic, the biological productivity  
265 can be substantial as new nutrients are supplied from below. This happens especially during  
266 plankton blooms, when light availability and stable surface water stratification enables  
267 temporarily strong photosynthesis leading first to strong production of phytoplankton and  
268 subsequent increase in zooplankton which grazes on the phytoplankton. Particle transport via  
269 the biological carbon pump, remineralisation, and ocean circulation are superimposed and are  
270 responsible for most of the gradients of dissolved carbon and nutrients in the water column: 1.  
271 Regarding the vertical gradient, low concentrations result at the surface due to biological  
272 uptake, while values increase with depth due to remineralisation. 2. In deeper layers,  
273 concentrations increase horizontally with age of the water along the trajectory of water flow  
274 when the respective water volume receives more and more remineralised products from the  
275 particles under degradation. The loop for the cycling of biological carbon through the ocean is  
276 closed, when the deeper waters well up and eventually return back to the surface mixed layer.  
277 These old deep waters are highly enriched in remineralised biogenic carbon, which then  
278 outgasses into the atmosphere. Thus, the upwelling regions are sources of carbon to the  
279 atmosphere both regarding the biological and the solubility pumps. This source effect  
280 dominates over the strong biological carbon uptake in upwelling regions, indicating that they  
281 are typically oversaturated in carbon and release CO<sub>2</sub> to the atmosphere (Fig. 3).

282  
283 Production of CaCO<sub>3</sub> shell material and its dissolution work in opposite direction for the  
284 dissolved CO<sub>2</sub> in the ocean. Taking out or releasing CO<sub>3</sub><sup>2-</sup> changes the ability of seawater to  
285 dissociate carbonic acid significantly. Stopping the global biological CaCO<sub>3</sub> production would  
286 lower the atmospheric CO<sub>2</sub> concentration by about 75 ppmv (Broecker and Peng, 1986). This  
287 number, though, depends on the size of the global CaCO<sub>3</sub> production, which is not yet very  
288 well established. The global production rate depends also on the availability of silicic acid:  
289 When enough dissolved silicate is available, organisms that produce siliceous shell material  
290 ('opal', BSi) dominate due to energetic reasons. Therefore, many BSi-producers are found in  
291 upwelling areas, while CaCO<sub>3</sub> producers are more abundant in other oceanic domains  
292 (Dymond and Lyle, 1985). The sedimentary climate record shows that modifications of  
293 biological carbon cycling have significantly contributed to the glacial drawdown of  
294 atmospheric CO<sub>2</sub> during the repeated ice age cycles over the past million years (Balsam,  
295 1983; Farrell and Prell, 1989; Oliver et al., 2010).

296  
297 The organically bound and living biomass carbon reservoirs in the ocean are significantly  
298 smaller than the inorganic reservoir (approximate ratio of 1:50; Druffel et al., 1992; Ciais et

299 al., 2013). Nevertheless, continuous growth of plankton at the ocean surface keeps the ocean  
300 surface layer CO<sub>2</sub> concentration on the average lower than it would be without them. In a  
301 world with a lifeless ocean, the atmospheric CO<sub>2</sub> concentration would have been about twice  
302 as high as the pre-industrial one. A sudden hypothetical stop of marine life would increase the  
303 atmospheric CO<sub>2</sub> concentration by 200-300 ppmv.  
304

### 305 **2.3 – Natural variability, timescales, and feedbacks**

306

307 The variability of the ocean carbon cycle in relation to the atmospheric CO<sub>2</sub> concentration  
308 covers a broad range of timescales (from seasonal to interannual, decadal, century-scale, and  
309 glacial-interglacial). Seasonal changes in sea surface *p*CO<sub>2</sub> and, hence, air-sea CO<sub>2</sub> flux are  
310 caused mainly by variations in sea surface temperature and biological activity, where often  
311 both effects tend to counteract each other. Typical seasonal seawater *p*CO<sub>2</sub> amplitudes amount  
312 to few tens up to ±50 ppmv of *p*CO<sub>2</sub> (Santana-Casiano et al., 2007; Landschützer et al., 2014).  
313 Because of the long equilibration time of the ocean mixed layer and the atmosphere (see  
314 Sarmiento and Gruber, 2006), ocean variability has a much smaller influence on the seasonal  
315 atmospheric CO<sub>2</sub> variability than the terrestrial carbon cycle. Interannual to decadal variations  
316 in air-sea CO<sub>2</sub> fluxes are linked to changes in deep-water formation and coupled to the  
317 internal variability modes of the climate system, which complicates the detection of changes  
318 in long-term trends in ocean carbon uptake (for climate modes see section 3.3). Long-term  
319 observations at ocean time series stations allowed the monitoring of decadal trends in rising  
320 sea surface *p*CO<sub>2</sub> (typical values are +1 to +3 ppmv/yr) and decreasing pH (typical values are  
321 here -0.001 to -0.003 pH units per year) at specific sites over the past decades (Bates et al.,  
322 2014). Pre-industrial atmospheric CO<sub>2</sub> mixing ratios have been quite stable over the past  
323 10,000 years with a slight increase from ca. 260 ppmv to ca. 280 ppmv which can at least  
324 partly be attributed to long-lasting compensation effects from the last deglaciation (Joos et al.,  
325 2004). In contrast, the last glacial-interglacial cycles were marked by an amplitude of about  
326 110 ppmv in atmospheric *p*CO<sub>2</sub> with values around 290 ppmv at interglacials and 180 ppmv  
327 at glacial maxima (Siegenthaler et al., 2005). A combination of oceanic processes is likely to  
328 have been responsible for these variations (Heinze et al., 1991; Heinze and Hasselmann,  
329 1993; Brovkin et al., 2007), but the concrete details of the relevant processes are so far not  
330 well established. In a cold and dry glacial climate, the land biosphere was presumably less  
331 well developed as during warm and more humid periods, and therefore, the terrestrial carbon  
332 cycle may have provided a CO<sub>2</sub> source to the atmosphere rather than a sink (Crowley, 1995).  
333

334 A number of feedback processes work between climate and the marine carbon cycle. These  
335 processes involve the inorganic as well as the organic carbon cycle in the ocean. Key primary  
336 driving factors behind these feedback processes are changes in temperature (physical forcing),  
337 changes in circulation as well as sea-ice cover, and changes in atmospheric CO<sub>2</sub> (chemical  
338 forcing). For the natural glacial-interglacial carbon cycle variations an overall positive  
339 feedback between carbon cycle and climate resulted. Candidate processes contributing to this  
340 feedback are lower seawater temperatures during glacial maxima, potentially somewhat  
341 altered sea surface salinities, and changes in ocean circulation primarily involving the  
342 alterations of the Southern Ocean circulation (Broecker and Peng, 1986; Broecker and Peng,  
343 1989; Sigman and Boyle, 2000) in conjunction with changes in the biological carbon cycling.  
344 Respective hypotheses include changes in the production of CaCO<sub>3</sub>, changes in nutrient  
345 utilisation efficiency of organisms, changes in nutrient availability, and varying interactions  
346 between shelf seas and the open ocean under glacial-interglacial sea-level changes (Broecker,  
347 1982; Broecker and Peng, 1989; Archer et al., 2000). The processes governing the oceanic  
348 uptake of anthropogenic carbon from the atmosphere may differ from those which had been

349 been responsible for the glacial-interglacial atmospheric CO<sub>2</sub> variability. For the  
350 anthropogenic uptake problem, the time scales involved are shorter. Further, while during  
351 glacial-interglacial cycles carbon was mainly re-distributed between the different Earth  
352 system reservoirs, for the anthropogenic carbon uptake newly added carbon to the Earth  
353 system must be redistributed between those reservoirs.

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### 357 **3 – Evolution of the ocean sink for anthropogenic carbon**

358

359 The cycling of carbon in the oceans is a complex interplay of different physical, chemical and  
360 biological processes, yielding both positive and negative air-sea flux values for natural and  
361 anthropogenic CO<sub>2</sub> depending on the oceanic region and the seasonal cycle. Due to the rapid  
362 increase of atmospheric CO<sub>2</sub> concentrations in the past 250 years and the resulting  
363 implications for the global heat budget, it is of great importance to understand the driving  
364 forces of carbon sequestration in the oceans as well as their variability, i.e. to understand the  
365 role of the oceans as a sink for anthropogenic CO<sub>2</sub>.

366

#### 367 **3.1 - The key process for anthropogenic carbon uptake**

368

369 The equilibrium concentration of gaseous CO<sub>2</sub> in seawater depends both on the concentration  
370 of DIC and the concentration of hydrogen ions. Since the beginning of the Industrial  
371 Revolution, atmospheric CO<sub>2</sub> concentrations have been rapidly rising. The addition of CO<sub>2</sub> to  
372 the oceans through gas exchange with the atmosphere leads to a shift in the partitioning of  
373 HCO<sub>3</sub><sup>-</sup>, CO<sub>3</sub><sup>2-</sup>, CO<sub>2</sub>, and the concentration of hydrogen ions (Fig. 2, formulas 1 & 2). The  
374 more CO<sub>2</sub> gets absorbed by the ocean the lower the amount of CO<sub>3</sub><sup>2-</sup> becomes. In parallel, the  
375 concentration of hydrogen ions increases, causing a decrease in open ocean pH that is referred  
376 to as ocean acidification. Projections of future ocean pH suggest a potential total reduction by  
377 0.4-0.5 units by the end of the 21<sup>st</sup> century as compared to pre-industrial levels, resulting in a  
378 pH of 7.7-7.8 (Haugan and Drange, 1996; Brewer, 1997; Caldeira and Wickett, 2003; Bopp et  
379 al., 2013). Furthermore, a shifting ratio of HCO<sub>3</sub><sup>-</sup>:CO<sub>3</sub><sup>2-</sup>:CO<sub>2</sub> results in a decrease in CO<sub>2</sub>  
380 buffering: the larger the concentration of DIC in the ocean becomes, conversely the smaller  
381 the fraction of increased carbon added to the atmosphere that can be taken up by the ocean  
382 will be. Or in other words, the higher the cumulative CO<sub>2</sub> emissions to the atmosphere  
383 become, the less effective seawater will be in dissociating a part of this CO<sub>2</sub> into HCO<sub>3</sub><sup>-</sup> and  
384 CO<sub>3</sub><sup>2-</sup>.

385

386 The biological carbon pump does not sequester anthropogenic carbon added to the ocean itself  
387 on decadal to centennial time scales (as the process for new crude oil works on geologic time  
388 scales). However, alterations of the biological pump caused by changes in ocean circulation  
389 and rising carbon concentrations in the surface layer could modulate the marine uptake of  
390 human-produced CO<sub>2</sub> to some degree. Among these biological changes are a potential  
391 decrease in biological CaCO<sub>3</sub> production (Heinze, 2004; Gehlen et al., 2007; Ridgwell et al.,  
392 2007) and a potential change in carbon to nitrogen ratios in oceanic organic matter under high  
393 CO<sub>2</sub> (Riebesell et al., 2007).

394

395 The main three-dimensional distribution of DIC, oxygen (O<sub>2</sub>), and nutrients in the ocean is  
396 determined by the action of biota and their degradation together with the three-dimensional  
397 ocean circulation. To demonstrate that ocean carbon cycle models work properly, the  
398 inclusion of the organic carbon cycling in these models, therefore, is an important necessary



399 condition. On the other hand, uptake of anthropogenic excess CO<sub>2</sub> from the atmosphere is  
400 mainly determined by the physico-chemical buffering mechanism and transport of water with  
401 high anthropogenic carbon concentrations into the ocean interior. Nevertheless, simulations of  
402 biologically mediated tracers such as O<sub>2</sub>, PO<sub>4</sub><sup>3-</sup> etc. help to constrain the oceanic velocity field  
403 of the respective model, especially because respective measurements are abundant. Further,  
404 the biologically mediated CO<sub>3</sub><sup>2-</sup> ion distribution is a powerful constraint on whether the  
405 inorganic carbon cycle is correctly described by the models. The simulation of anthropogenic  
406 marine carbon uptake in purely inorganic carbon cycle models (i.e. those which do not  
407 include ecosystem representations, no nutrient tracers, and no oxygen cycle) can to some  
408 degree be validated by age tracers which are employed also for evaluation of ocean model  
409 velocity fields in general. Radiocarbon <sup>14</sup>C, which enters the ocean mainly from the  
410 atmosphere, is still the most used age tracers for validating oceanic transport rates as well as  
411 patterns in ocean circulation models. With its half-life of 5730 years (sometimes also the  
412 slightly smaller Libby half-life is used; see Stuiver and Polach, 1977), radiocarbon of DIC  
413 results in substantial surface to deep gradients. The natural radiocarbon distribution is  
414 contaminated by bomb <sup>14</sup>C, which entered the ocean in large amounts due to atmospheric tests  
415 of nuclear weapons until the atmospheric test ban treaty in the mid-1960s was implemented.  
416 To some degree, bomb <sup>14</sup>C can also be used as tracer for water mass exchange in itself, but  
417 the lack of knowledge about the pristine <sup>14</sup>C distribution on already contaminated areas  
418 remains a problem in spite of attempts to reconstruct natural pre-bomb <sup>14</sup>C values in the ocean  
419 interior (Broecker et al., 1995). Nevertheless, for the large scale ocean, <sup>14</sup>C remains one of our  
420 best tracers for assessing turnover rates of water masses in the ocean (cf. Schlitzer, 2007).  
421 Another, in principle powerful, age oceanic tracer is the noble gas isotope <sup>39</sup>Ar. Its shorter  
422 half-life of 269 years (Stoener et al., 1965) would even be more suitable to resolve upper  
423 ocean gradients for validation of ocean ventilation time scales in models (Müller et al., 2006).  
424 New measurement techniques allowing for small sample size may enable building a larger  
425 <sup>39</sup>Ar data base for the ocean (Collon et al., 2004).

426  
427 As supporting evidence for pathways of anthropogenic carbon from the atmosphere over the  
428 surface layer and into the ocean interior, also <sup>13</sup>C and chlorofluorocarbons are used. Fossil  
429 fuel CO<sub>2</sub> in the atmosphere has a low <sup>13</sup>C signature (plant material that had been the basis for  
430 crude oil formation has a deficit in the stable carbon isotope <sup>13</sup>C relative to <sup>12</sup>C, also known as  
431 the Suess effect; see Keeling, 1979). Waters with a deficit of <sup>13</sup>C in DIC relative to natural  
432 background conditions, therefore contain carbon from anthropogenic sources (Racapé et al.,  
433 2013). Unfortunately, the reconstruction of the pristine <sup>13</sup>C distribution in the ocean is not  
434 straightforward (Olsen and Ninnemann, 2010), and further the <sup>13</sup>C distribution in the ocean is  
435 strongly influenced by formation as well as degradation of biogenic matter (Kroopnick,  
436 1985). Chlorofluorocarbons or ‘CFCs’ (such as CFCI<sub>3</sub> or ‘F-11’ and CF<sub>2</sub>Cl<sub>2</sub> or ‘F-12’) are  
437 purely human-produced substances (also known for their negative effect on the stratospheric  
438 ozone layer) which entered the oceans from the atmosphere in small amounts following their  
439 atmospheric concentration and their respective solubilities in seawater. Though their  
440 atmospheric concentration time series and their uptake mechanisms in the ocean are different  
441 than for CO<sub>2</sub>, they nevertheless give a constraint on where large amounts of anthropogenic  
442 carbon have entered deeper layers and what time scales are involved with this uptake  
443 (Smethie, 1993; Schlitzer, 2007; Steinfeldt et al., 2007).

### 444 445 **3.2 – Long-term ocean carbon uptake kinetics**

446  
447 The classical view about the marine uptake of anthropogenic CO<sub>2</sub> from the atmosphere is that  
448 the ocean sink averaged over the entire globe is operating continuously and reliably and is less

449 variable than the exchange between the atmosphere and the land biosphere including soil and  
450 plants (though the classical view also includes that the ocean atmosphere transport of CO<sub>2</sub> co-  
451 varies with short-term climate variability). This view was supported by the basic inorganic  
452 carbon buffering mechanism and by the fact that the equilibration timescale between the  
453 ocean surface layer and the atmosphere is approximately 6-12 months. The variability of air-  
454 sea CO<sub>2</sub> gas exchange is dampened, because not only the CO<sub>2</sub> molecules are taking part in the  
455 equilibration process, but the entire surface layer volume needs to achieve chemical equilibria  
456 for the compounds HCO<sub>3</sub><sup>-</sup>, CO<sub>3</sub><sup>2-</sup>, and dissolved CO<sub>2</sub>. Therefore, seasonal variations in DIC  
457 due to biological production and remineralisation occur quicker than for respective air-sea gas  
458 exchange fluxes to compensate for them. Thus, also, the seasonal cycle in the instrumental  
459 atmospheric CO<sub>2</sub> record is dominated by the seasonal variation of the land biosphere,  
460 especially for the northern hemisphere (Keeling et al., 2001). However, with significantly  
461 improved observing systems in the past two decades, it has become obvious that on a regional  
462 scale air-sea carbon fluxes may considerably differ between years (Le Quéré et al., 2007;  
463 Schuster and Watson, 2007). There are indications that these regional and temporal variations  
464 have been smoothed out on decadal time scales over the past 20 years (McKinley et al., 2011),  
465 but nevertheless observations and models suggest that the ocean sink is vulnerable to a  
466 decrease in efficiency during further climate change and further rising ambient CO<sub>2</sub> levels  
467 (Friedlingstein et al., 2006; Le Quéré et al., 2007; Watson et al., 2009; Arora et al., 2013).  
468

469 In general, one has to discriminate between the ultimate uptake capacity of the ocean for  
470 anthropogenic CO<sub>2</sub> from the atmosphere and the marine uptake kinetics for this CO<sub>2</sub>. Both are  
471 societally relevant and need to be taken into account for emission reduction strategies and  
472 development of improved renewable energy systems.  
473

474 The ultimate uptake capacity denotes the amount of anthropogenic carbon emitted to the  
475 atmosphere that in total eventually ends up in the ocean, long after the human-caused  
476 greenhouse gas emission perturbation has happened and when the ocean carbon cycle has  
477 achieved quasi-equilibrium. This time scale is of the order of several 10,000 years, because  
478 the ocean water column has to fully equilibrate with the CaCO<sub>3</sub> sediment on the seafloor,  
479 where a considerable portion of the CaCO<sub>3</sub> will become dissolved after repeated cycling of  
480 deep water (Broecker and Takahashi, 1977; Archer, 2005). The respective CO<sub>3</sub><sup>2-</sup> ions made  
481 available in seawater can, thus, be employed for neutralising anthropogenic carbon in the  
482 ocean. On very long time scales, this redissolution of CaCO<sub>3</sub> from the sediment, thus,  
483 provides an important negative feedback process to climate change. In addition, high  
484 atmospheric CO<sub>2</sub> levels enhance the weathering rate of carbonates on land. This process also  
485 works effectively only on long time scales with potentially quicker changing hot spots  
486 (Archer, 2005; Beaulieu et al., 2012). The ultimate storage capacity of the ocean critically  
487 depends on the total amount of carbon emitted. Burning of 5000 GtC (GtC = gigaton of  
488 carbon) of potentially available fossil fuel reserves would lead to a higher long-term CO<sub>2</sub> level  
489 in the atmosphere and a reduced fractional ocean uptake capacity in comparison to, e.g.,  
490 burning only 1000 GtC (Archer, 2005). The impact on societies and life even after 100,000  
491 years depends, thus, on our behaviour concerning usage of fossil fuel reserves today. This fact  
492 as well has to be taken into account for greenhouse gas emission reduction strategies.  
493

494 The oceanic CO<sub>2</sub> uptake kinetics denote the speed with which human-produced CO<sub>2</sub>  
495 emissions to the atmosphere can be buffered by the oceans. Due to the limiting effect of gas  
496 exchange, CO<sub>2</sub> dissociation, turbulent mixing and ocean large-scale circulation, only a certain  
497 percentage of the excess CO<sub>2</sub> in the atmosphere can be taken up at a given unit of time by the  
498 ocean (Maier-Reimer and Hasselmann, 1987; Joos et al., 2013). Regionally, this also depends

499 on the seasonal variations in circulation, biological productivity, as well as light, temperature,  
500 sea-ice cover, wind speed, and precipitation. It is expected that climate change will lead to a  
501 more stable density stratification in the ocean and a general slowing down of large-scale  
502 mixing and circulation (Meehl et al., 2007). The consequence will be a reduced uptake of  
503 anthropogenic carbon from the atmosphere at the ocean surface and also a lower downward  
504 mixing of anthropogenic CO<sub>2</sub> into deeper waters. In addition, high CO<sub>2</sub> in the atmosphere  
505 implies high CO<sub>2</sub> in surface waters and a reduction in the ocean's capability to dissociate the  
506 CO<sub>2</sub> into the other compounds of DIC, i.e. a decreasing buffering ability with rising ambient  
507 CO<sub>2</sub> levels. We have, thus, a physical and a chemical driving force acting on the carbon  
508 balance simultaneously and slowing down the transfer of anthropogenic carbon from the  
509 atmosphere into the ocean. The net effect is a reduction in carbon uptake efficiency with  
510 warming climate and rising atmospheric CO<sub>2</sub>, i.e. a positive feedback to climate change. In a  
511 situation with reduced ocean ventilation, also the biological pump will be affected and should  
512 be considered in the assessment on how the ocean carbon cycle is impacted. The oceanic CO<sub>2</sub>  
513 uptake kinetics depend on the rate of CO<sub>2</sub> emissions to the atmosphere: The faster the  
514 emissions are increasing, the stronger is the climatic effect on slowing down the uptake and  
515 the stronger the chemical effect on decreasing the CO<sub>2</sub> buffering. These effects are caused by  
516 water with high anthropogenic carbon load that cannot be mixed into the interior of the ocean  
517 with the original efficiency and because the buffering ability of seawater decreases with  
518 increasing CO<sub>2</sub> partial pressure in the water. The oceanic bottleneck effect is obvious in  
519 several decade-long future scenarios with ocean models (Maier-Reimer and Hasselmann,  
520 1987; Sarmiento and Le Quéré, 1996), fully coupled Earth system models (Friedlingstein et  
521 al., 2006; Roy et al., 2011; Arora et al., 2013), as well as EMICs (Earth system models of  
522 intermediate complexity; these have a lower resolution than usual Earth system models, but  
523 demand much less computational resources; Steinacher et al., 2013; Zickfeld et al., 2013).  
524 Earth system models are complex computer programmes, which include dynamical  
525 representations of the various Earth system reservoirs (atmosphere, ocean, land surface, ice)  
526 and the simultaneous interaction between these reservoirs (Bretherton, 1985; Mitchell et al.,  
527 2012). Earth system models are driven by solar insolation and greenhouse gas emissions and  
528 deliver expected time- and space-dependent distributions of important climatic variables.  
529 These variables can be of physical nature, such as temperature, precipitation, salinity, wind  
530 fields, ocean currents, sea-ice cover, or of biogeochemical nature, such as CO<sub>2</sub> concentration  
531 in ocean and atmosphere, pH value in the ocean, nutrient and dissolved oxygen  
532 concentrations, soil organic carbon, or biological productivity. The temporary build-up of  
533 high CO<sub>2</sub> concentrations in the atmosphere increases directly with the human-produced CO<sub>2</sub>  
534 emissions. At pessimistic scenarios with high annual emissions, the annual fraction of  
535 emissions buffered by the oceans is reduced, while pathways with reduced emissions enable a  
536 more efficient oceanic uptake rate. Inclusion of carbon dynamics in ocean and land models  
537 increases the sensitivity of climate models with respect to radiative warming. This means that  
538 models with carbon cycle representations and respective carbon-cycle-climate-feedbacks lead  
539 to an overall stronger warming than with conventional climate models that do not include an  
540 interactive carbon cycle. The range of this feedback is still large due to inherent model  
541 uncertainties and a partial lack of process understanding in all relevant disciplines.

### 543 **3.3 – Detection of ongoing ocean carbon sink strength variability**

544  
545 In the past two decades, the number of ocean carbon observations has considerably increased  
546 (Sabine et al., 2010). Data collection ranges from the surface to the deep ocean, encompasses  
547 different oceanic regions and includes various time series to capture both spatial and temporal  
548 variations. Satellite measurements have been extremely useful to identify the geographical

549 distribution of biological primary productivity at the sea surface over seasonal as well as  
550 interannual cycles and to derive wind fields of high value for quantification of gas transfer  
551 velocities across the air-water interface. Targeted research cruises as well as the use of  
552 commercial ships (voluntary observing ships, VOS) equipped with automated systems are the  
553 backbone of surface ocean CO<sub>2</sub> concentration measurements, the data being synthesised in the  
554 SOCAT project (Fig. 3) (Pfeil et al., 2013; Sabine et al., 2013; Bakker et al., 2014). Selected  
555 buoys and floats are used to capture the spatio-temporal variability of ocean carbon. The most  
556 prominent network of floats was established in the framework of ARGO (Array for Real-time  
557 Geostrophic Oceanography) that delivers valuable temperature, salinity, and current data for a  
558 better understanding of mixed layer and subsurface dynamics. However nowadays, ocean  
559 floats are also successfully exploited as platforms for measuring e.g. *p*CO<sub>2</sub>, O<sub>2</sub>, optical  
560 variables, or nitrate (Boss et al., 2008; Johnson et al., 2010; Fiedler et al., 2013), overall  
561 increasing the possibilities for detailed, autonomous ocean monitoring with high vertical  
562 resolution and data recovery in remote areas (Fiedler et al., 2013). For the deep ocean, data  
563 synthesis products cover at least parts of the major oceans (GLODAP, CARINA, PACIFICA;  
564 Key et al., 2004; Key et al., 2010; Suzuki et al., 2013), but only episodically include seasonal  
565 cycles and do not enable the study of year to year variations in three-dimensional  
566 measurement fields (of DIC, nutrients, and dissolved oxygen). A small number of time series  
567 stations allow a quasi-continuous view at selected ocean sites (HOTS, BATS, ESTOC,  
568 PIRATA moorings, CVOO, PAP, PAPA, DYFAMED, Station M, IS-ts and further; see  
569 <http://www.oceansites.org/> and Olafsson et al., 2009). These time series stations have often  
570 been established in areas of fairly low short-term variability in order to allow a reliable  
571 establishment of long-term trends in the observations.

572  
573 Though the observational basis for assessing changes in the oceanic carbon cycle is limited, a  
574 number of major findings have been achieved. Sabine et al. (2004) compiled a global map of  
575 the ocean water column storage of anthropogenic carbon for the year 1994. In this map, the  
576 North Atlantic and the Southern Ocean with adjacent regions are recognized as hot spot areas  
577 for anthropogenic carbon storage. By combining observations with statistical and process-  
578 based model approaches, it could be shown that in these regions the annual uptake of CO<sub>2</sub>  
579 from the atmosphere has temporarily decreased, though the total inventory of the  
580 anthropogenic water column burden has monotonously increased.

581  
582 Both the North Atlantic and the Southern Ocean are deep-water production areas that would  
583 be very vulnerable regions with respect to climate-change induced slowing of oceanic carbon  
584 uptake. Internal variability modes of the climate system can be linked to variability in marine  
585 uptake of anthropogenic carbon. These internal variability modes have been identified  
586 through analysis of oceanic and atmospheric physical state variables (such as temperature,  
587 pressure, precipitation, and salinity). The variability modes cause atmospheric and oceanic  
588 anomalies with specific spatial patterns and time scales associated. The most important ones  
589 are ENSO (El Niño Southern Oscillation; Philander, 1990), NAO (North Atlantic Oscillation;  
590 Hurrell, 1995), SAM (Southern Annular Mode; Limpasuvan and Hartmann, 1999), and the  
591 PDO (Pacific Decadal Oscillation; Mantua and Hare, 2002). For the North Atlantic, a 50%  
592 change of the oceanic CO<sub>2</sub> sink could be deduced from the VOS line measurement network  
593 during the years 2002-2007 (Watson et al., 2009). Also other studies support the temporary  
594 decrease of North Atlantic CO<sub>2</sub> uptake during several years of the past decade (Corbière et al.,  
595 2007; Schuster et al., 2009). These variations are at least partially attributed to oceanic  
596 variability in the North Atlantic associated with a surface pressure pattern change known as  
597 North Atlantic Oscillation (Wetzel et al., 2005; Thomas et al., 2008; Tjiputra et al., 2012). In a  
598 model study with six coupled Earth system models, Keller et al. (2012) identified a see-saw

599 pattern of variations in sea surface  $p\text{CO}_2$  between the North Atlantic subtropical gyre and the  
600 subpolar Northern Atlantic with an amplitude of  $\pm 8$  ppmv. Such variations make  
601 identification of long-term trends in oceanic carbon uptake more difficult. With the help of  
602 deep repeat hydrography measurements, Pérez et al. (2013) could show that variations in  
603 North Atlantic  $\text{CO}_2$  uptake are coupled to changes in meridional overturning large-scale  
604 circulation (linked to varying deep-water production rates). For the Southern Ocean, the  
605 observational ocean carbon data base is comparatively small, mostly due to the lack of regular  
606 shipping routes except for supply ships to Antarctic weather and research stations.  
607 Nevertheless, it could be shown, that the oceanic  $\text{CO}_2$  uptake from the atmosphere did not  
608 keep up with the rising atmospheric  $\text{CO}_2$  for some time. This result could be achieved using  
609 models driven with realistic atmospheric forcing in combination with observations primarily  
610 from the Indian Ocean sector of the Southern Ocean (Le Quéré et al., 2007; Metzl, 2009).  
611 Partly, this change can be attributed to climatic oscillations (Southern Annular Mode, SAM)  
612 in the southern hemisphere and their modifications due to changes in wind forcing associated  
613 with the decrease in stratospheric ozone (Lovenduski et al., 2007; Lenton et al., 2009). The  
614 SAM is a mode of atmospheric variability that is marked in its positive phase by a southward  
615 shift of the westerlies, which would enhance upwelling of old water with high concentrations  
616 of DIC. Due to the fairly short observational time series for the Southern Ocean, a weakening  
617 of the Southern Ocean anthropogenic carbon uptake has been controversially discussed. While  
618 atmospheric inversion approaches give results consistent with Le Quéré et al. (2007), the bulk  
619 of forward biogeochemical ocean models do not predict a decrease in Southern Ocean  $\text{CO}_2$   
620 uptake strength (Lovenduski et al., 2008; Lenton et al., 2013). Also the tropical Pacific Ocean  
621 with the strongest known short-term climate variation of Earth called ENSO (during El Niño  
622 phases upwelling in the eastern equatorial Pacific is reduced due to accumulation of  
623 anomalously warm surface waters) induces large temporary interannual variability (amplitude  
624 of ca.  $\pm 0.3$  GtC/yr; Valsala et al., 2014) in ocean carbon uptake. The increased sea-surface  
625 warming during ENSO events and reduced upwelling of carbon-rich waters result in a  
626 temporarily reduced outgassing and an enhanced oceanic carbon uptake, respectively (Feely  
627 et al., 1999; Ishii et al., 2009). ENSO variations also have implications for air-sea fluxes in the  
628 tropical Atlantic as documented by Lefèvre et al. (2013). Decadal  $p\text{CO}_2$  variations in the  
629 Pacific can be attributed to the Pacific Decadal Oscillation (PDO) leading to long-term  
630 anomalies of tropical sea surface  $p\text{CO}_2$  on the order of  $\pm 10$  ppmv (Valsala et al., 2014). PDO  
631 is also made responsible for  $p\text{CO}_2$  variations in the North Pacific (McKinley et al., 2006; Ishii  
632 et al., 2014) though details of the mechanism are difficult to identify and associated  $\text{CO}_2$  flux  
633 variations seem to be quite small (McKinley et al., 2006).

634  
635 Not only internal variability modes affect the air-sea  $\text{CO}_2$  flux, but also external factors such  
636 as aerosol forcing from volcanic eruptions. Such volcanic forcing tends to temporarily cool  
637 the troposphere and the sea surface with respective implications for carbon cycling. Brovkin  
638 et al. (2010) could identify a temporary small decline of atmospheric  $p\text{CO}_2$  by about 2 ppmv a  
639 few years after major eruptions over the last millennium, where decreasing respiration on land  
640 is a potential leading candidate with the ocean having only a small effect. This is corroborated  
641 by Frölicher et al. (2011) for a model study on the effect of Mt. Pinatubo type eruptions on the  
642 carbon cycle, where again the terrestrial carbon cycle dominates the atmospheric  $p\text{CO}_2$  signal.  
643 Nevertheless, transient changes in ocean uptake of about 2 GtC are in a realistic realm as  
644 consequences to large volcanic eruptions (Frölicher et al., 2011). Further, it cannot be  
645 excluded that also the biological carbon binding is stimulated under deposition of volcanic  
646 dust to the ocean surface (Hamme et al., 2010).  
647

648 In view of the internal and external factors on ocean carbon cycle variability, it is intriguing to  
649 ask, when long-term climate change signals become identifiable against the background noise.  
650 This problem is of specific concern for large impacts of ocean acidification (see detailed  
651 discussion below). Ilyina et al. (2009) identified the equatorial Pacific Ocean to be the oceanic  
652 domain where a change in marine biogenic  $\text{CaCO}_3$  production due to ocean acidification may  
653 become at first visible through large-scale changes in ocean surface alkalinity. This can be  
654 explained by large background values of pelagic  $\text{CaCO}_3$  production in the tropical Pacific,  
655 though the impact per unit of  $\text{CaCO}_3$  produced would be highest in the high-latitude surface  
656 waters where decreasing  $\text{CaCO}_3$  saturation proceeds fastest. Generally, the time of emergence  
657 of a climate change signal is an important variable: When can we see changes in oceanic state  
658 variables which clearly can be attributed to human-induced climate change, i.e. when do  
659 trends in key ocean variables emerge as robust on the background of analytical uncertainty  
660 and interannual variability? Keller et al. (2014, 2015) provided new insight into this issue.  
661 Earth system modelling suggested that sea surface  $p\text{CO}_2$  and sea surface pH trends could rise  
662 beyond the detection threshold already after 12 years from now. DIC trends would become  
663 clear after 10-30 years and trends in the sea surface temperature after 45-90 years (Keller et  
664 al., 2014). Accordingly, an earlier detection threshold for changes in mean ENSO-induced  
665 carbon cycle variability ( $p\text{CO}_2$ , pH, biological productivity) than for ocean temperature  
666 changes during the 21<sup>st</sup> century was predicted by Keller et al. (2015). Therefore, ocean carbon  
667 cycle observations play a key role as early warning indicators when monitoring climate  
668 change. For the time interval 1960-2005, Séférian et al. (2014), however, state that the  
669 evolution of the global carbon sink can mainly be explained through rising  $\text{CO}_2$  in the  
670 atmosphere and oceanic carbon uptake without invoking a climatic feedback. Nevertheless, at  
671 regional scale, trends in climate change become also visible in shaping the regional sink  
672 strength pattern.

673  
674 Regarding future scenarios for the evolution of ocean carbon sinks, Earth system models  
675 driven by solar insolation and greenhouse gas concentrations indicate the strongest areas for  
676 sequestration of anthropogenic carbon are in the Southern Ocean as well as the tropical ocean  
677 (Tjiputra et al., 2010; Roy et al., 2011). The Southern Ocean seems to be the ocean fly wheel  
678 for changes in atmospheric  $\text{CO}_2$ , not only for anthropogenic carbon uptake, but also for  
679 natural variations in atmospheric  $\text{CO}_2$  (Sigman and Boyle, 2000; Heinze, 2002; Watson and  
680 Naveira Garabato, 2006). Long-term observational capacity for the Southern Ocean is critical  
681 to monitor the ocean sink strength for anthropogenic carbon.

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#### 685 **4 – The impact of human-produced carbon on warming and marine ecosystems**

686  
687 The ocean carbon sink provides a major service to human societies in removing  
688 anthropogenic  $\text{CO}_2$  from the atmosphere and, thus, reducing the additional radiative forcing of  
689 the Earth system. On the other hand, dissociation of anthropogenic  $\text{CO}_2$  in seawater increases  
690 ocean acidification, whose potential impacts on the diversity and functioning of marine  
691 ecosystems are not yet fully understood. Understanding the role of the oceanic carbon sink in  
692 controlling Earth's heat budget and influencing marine life is of great importance to project  
693 future effects of climate change. Scenarios with Earth system models (advanced climate  
694 models, for a more detailed explanation see chapter 3.2) reveal that the fraction of fossil fuel  
695 emissions absorbed by the ocean over the 21<sup>st</sup> century is projected to be lower for high  
696 emission scenarios (business as usual scenarios) than stringent emission mitigation scenarios  
697 (Jones et al., 2013).

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#### **4.1 – Impact of the ocean carbon uptake on Earth’s heat budget**

The net carbon uptake rates of land and ocean determine the future time evolution of radiative forcing of the atmosphere and, hence, climate change for a given emission scenario (for a detailed definition of radiative forcing see Myhre et al., 2013). Joos et al. (2013) used different Earth system models to compute an average integrated global warming potential for a pulse emission of 100 GtC) into the atmosphere. In the study it is also stressed that quantifying the global warming effect for certain retentions of CO<sub>2</sub> emissions to the atmosphere depends critically on the time horizon considered. For the 100 Gt-C pulse to the atmosphere, e.g., 25±9% of the pulse emission would remain in the atmosphere after 1000 years, during which the ocean and land would have absorbed 59±12% and 16±4%, respectively. This emphasizes the long time horizon for the anthropogenic perturbation, which has to be taken into account even for a world with strongly reduced CO<sub>2</sub> emissions (Plattner et al., 2008). For higher total emission pulses, the overall retention in the atmosphere would be higher and likewise the global warming potential per kg CO<sub>2</sub> brought into the atmosphere (Maier-Reimer and Hasselmann, 1987; Archer, 2005) due to the weakening buffering capacity of the ocean at high ambient CO<sub>2</sub> partial pressure.

A future global warming limit of 2°C above the average preindustrial surface temperature has been suggested as a not yet very ambitious, and thus, potentially achievable political target for greenhouse gas emission strategies (Tol, 2007; Meinshausen et al., 2009; Schellnhuber, 2010; United Nations, 2010). Recent experiments with a coarse resolution Earth system model taking into account multiple climate targets, i.e. limits for maximum amplitudes of specific variables such as surface air temperature increase, sea-level rise, aragonite saturation, and biomass production on land, reveal that CO<sub>2</sub> emissions need to be substantially reduced for achieving several mitigation goals simultaneously, rather than for meeting a temperature target alone (Steinacher et al., 2013). Accounting for the carbon cycle climate feedback as well as other physical and biogeochemical feedbacks in climate models is of great importance for estimating the allowable emissions for a certain time line of atmospheric CO<sub>2</sub> concentration and global warming. Complex Earth system models are needed for this. Simplified climate models as, e.g., employed in Integrated Assessment Models (for simulations of economical developments under climatic change and for construction of typical future scenarios) are insufficient for this purpose as they do not account for internal feedbacks in the Earth system in a dynamical way (Jones et al., 2013).

#### **4.2 – Ocean acidification and its impact on marine ecosystems**

The term ‘ocean acidification’ refers to the decrease of oceanic pH by 0.1 units over the past 250 years and the predicted lowering of pH by another 0.3-0.4 units until the year 2100 (Caldeira and Wickett, 2003; Raven et al., 2005). Its main cause is the uptake and dissociation of excess CO<sub>2</sub> from the atmosphere that leads to an increase in the oceanic hydrogen ion concentration. Thorough monitoring of ocean acidification is of great importance, and by collecting values in observational carbon data bases (e.g. like SOCAT and fixed time series stations) as well as by conducting long-term carbon time-series measurements (e.g. as reported in Vázquez-Rodríguez et al., 2012) our understanding of this process and its spreading throughout Earth’s oceans can be significantly advanced (Fig. 3; Fig. 4). In

748 addition, investigating the potential effects of ‘high CO<sub>2</sub>-low pH’ conditions on the diversity  
749 and functioning of marine biota and ecosystems is currently the focus of many scientific  
750 studies. The interpretation of the observed responses in a species- and ecosystem-relevant  
751 context thereby suggests that the two ocean acidification stressors high CO<sub>2</sub> concentration and  
752 decreased pH are very often only one part of a complex equation. Other environmental  
753 stressors like temperature, light availability, oxygen concentration, nutrient concentration,  
754 CaCO<sub>3</sub> saturation state or trace metal speciation (to name only a few) as well as time and  
755 physiological characteristics of the investigated organisms themselves have to be taken into  
756 account when elaborating on ocean acidification impacts (Raven et al., 2005; Pörtner, 2008;  
757 Ries et al., 2009; Dupont et al., 2010).

758  
759 The most immediate response to an increase in CO<sub>2</sub> concentration and a decrease in seawater  
760 pH is expected for marine calcifying organisms, including corals, molluscs, crustaceans,  
761 echinoderms, coccolithophores, foraminifera as well as coralline and calcareous algae.  
762 Maintenance and production of shells and skeletons may cost more energy in an environment  
763 with reduced pH, and altered organism physiology may increase the vulnerability of certain  
764 species and compromise their ecosystem functions (Bibby et al., 2007; McClintock et al.,  
765 2009; Tunnicliffe et al., 2009). Calcification rates are likely to decline with a reduced  
766 saturation value for aragonite and calcite, the two most common forms of CaCO<sub>3</sub> in seawater  
767 (Feely et al., 2004; Guinotte and Fabry, 2008), caused by a decrease in CO<sub>3</sub><sup>2-</sup> concentration  
768 when CO<sub>3</sub><sup>2-</sup>, excess atmospheric CO<sub>2</sub>, and H<sub>2</sub>O react to HCO<sub>3</sub><sup>-</sup> and hydrogen ions. Projections  
769 indicate the potential undersaturation for both aragonite and calcite within the current century  
770 for all polar regions (see Fig. 5) and parts of the subpolar Pacific Ocean as well as the deep  
771 North Atlantic Ocean (Orr et al., 2005; Fabry et al., 2008; Steinacher et al., 2009; Orr, 2011).  
772 Because aragonite dissolves at higher CO<sub>3</sub><sup>2-</sup> concentrations than calcite, corals and other  
773 aragonite-producing organisms are expected to experience corrosion of their hard shell  
774 materials due to ocean acidification first. At natural CO<sub>2</sub> seeps in Papua New Guinea, a  
775 decline in coral diversity was documented in areas of reduced pH as structurally complex  
776 corals were replaced by massive *Porites* corals (Fabricius et al., 2011). The consequences  
777 arising from this diversity shift could be similar to those anticipated for a general reduction in  
778 coral cover and include a loss in biodiversity, habitat availability and quality as well as reef  
779 resilience (Fabricius et al., 2011). The decrease in CaCO<sub>3</sub> saturation as a result of ocean  
780 acidification combined with other environmental impact factors such as an increase in  
781 temperature can be critical (Kleypas et al., 1999; Hoegh-Guldberg et al., 2007; Veron et al.,  
782 2009; Fabricius et al., 2011). Recent scenario computations with Earth system models  
783 document that a drastic reduction of CO<sub>2</sub> emissions is required to preserve major coral reefs  
784 during the Anthropocene (Ricke et al., 2013). However, aspects such as potential adaptation  
785 processes and migration need yet to be included in regional studies (Yara et al., 2012).

786  
787 The effects of ocean acidification on different groups of marine biota can be rather diverse  
788 and complex. For example, specimens of the economically and ecologically important blue  
789 mussel *Mytilus edulis* recovered from the North Sea showed drastically reduced calcification  
790 rates, while specimens recovered from a coastal area of the Baltic Sea did not show any  
791 sensitivity to increased *p*CO<sub>2</sub> values (Gazeau et al., 2007; Thomsen et al., 2010; Schiermeier,  
792 2011). Mussels from the Baltic seemed to be adapted to thriving in waters that generally  
793 experience strong seasonal *p*CO<sub>2</sub> fluctuations, and food availability may have potentially  
794 outweighed the effects of ocean acidification (Thomsen et al., 2010; Thomsen et al., 2013). In  
795 a study comparing different types of benthic marine calcifiers it could be shown that certain  
796 species experienced dissolution, while others were able to exploit the higher *p*CO<sub>2</sub> content in  
797 seawater and increased their net calcification. Physiological characteristics like the organism’s



798 ability to regulate pH, shell-protection with organic layers, biomineral solubility, and  
799 photosynthesis utilization seemed to play a role (Ries et al., 2009). Species-specific reactions  
800 as well as an organism's life cycle stage are further factors that may have to be taken into  
801 account as it has been shown e.g. for echinoderms (Dupont et al., 2010; Dupont et al., 2013;  
802 Dupont and Pörtner, 2013). Results obtained for phytoplankton communities additionally  
803 stress the importance of community composition and/or shifts when assessing ocean  
804 acidification impacts, but still a lot has to be explored about the response of marine microbes  
805 to ocean acidification (Raven et al., 2005; Liu et al., 2010a; Joint et al., 2011; Brussaard et al.,  
806 2013; Oliver et al., 2014).

807  
808 Ocean acidification does not only affect calcifying biota. Sensitivity towards ocean  
809 acidification has been detected for fish and other invertebrates, with increased risks of  
810 acidification of body fluids and tissues as well as hindered respiratory gas exchange (Raven et  
811 al., 2005). Beneficial effects were observed e.g. for seagrass (Palacios and Zimmerman, 2007;  
812 Hall-Spencer et al., 2008; Fabricius et al., 2011) and various algal species (Hall-Spencer et al.,  
813 2008; Connell et al., 2013).

814  
815 Projecting the precise impact of ocean acidification on the diversity and functioning of marine  
816 organisms and ecosystems is challenging. A meta-analysis of 228 published studies by  
817 Kroeker et al. (2013) revealed a decrease in calcification, growth, survival, development, and  
818 abundance across a wide range of taxa, but also showed a certain degree of variability among  
819 groups suggesting different scales of sensitivity. It is not well established to which degree  
820 organisms can adapt to quasi-permanent changes in ocean pH due to rapid anthropogenic  
821 carbon input. It is also not known, if and in what way consequences like the physiological  
822 impairment of vulnerable species and the reduction and/or shifts in biodiversity may be  
823 mastered provided that ecosystem functionality shall be preserved. With regard to the  
824 sustainable development of marine resources, future research will need to focus on multiple  
825 stressor studies over various time scales to reveal the functional impact of ocean acidification  
826 (and climate change in general) on marine ecosystem services and provide both  
827 comprehensive monitoring and solution-oriented results.

### 828 829 **4.3 – Future impact research**

830  
831 For future modelling approaches, not only the effects of atmospheric and oceanic warming as  
832 well as ocean acidification have to be considered, but also the influence of multiple stressors.  
833 These include physical and chemical drivers as well as circulation and stratification changes,  
834 freshening, changes in ice cover, deoxygenation, anthropogenic nitrogen input, changes in  
835 dust supply, marine pollution by offshore activities (e.g. Deepwater Horizon disaster; Mearns  
836 et al., 2011), and plastic waste (also on the micro-scale; Gross, 2013) or overfishing and  
837 bottom trawling. Earth system models that represent the marine carbon cycle and related  
838 biogeochemical cycles have been successfully used to establish the regional combination of  
839 some major stressors and the future evolution of these combinations (Bopp et al., 2013). Yet,  
840 robustness in regional projection is strongly dependent on the considered stressors and  
841 regions, and identifying the onset of emission induced change is still a challenging task that is  
842 especially sensitive to the considered emission-scenario (see Fig. 5). The combined action of  
843 stressors has to be accounted for in the next generation of Earth system model climate  
844 projections (Steinacher et al., 2013). A critical variable within this context is the sustained  
845 generation of exploitable biomass in the ocean for human food production, where overall  
846 biological carbon fixation rates will presumably decrease with a more stagnant ocean  
847 circulation (Steinacher et al., 2010).

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## **5 – The ocean carbon sink in relation to the land carbon sink**

The atmospheric CO<sub>2</sub> concentration is determined by the CO<sub>2</sub> emissions and the CO<sub>2</sub> exchanges between the land biosphere and atmosphere as well as between the atmosphere and ocean. Quantification of the regional as well as global land carbon sink is associated with high uncertainties due to the direct coupling of CO<sub>2</sub> consumption and release on the land surface with the atmosphere in combination with the heterogeneity of the land biosphere, its constant change and different forms of land use including forestry changes. Complex soil processes like the degradation of organic material and permafrost melting processes (Schuur et al., 2009), episodic events such as fires (wild fires, peat fires; Schultz et al., 2008; van der Werf et al., 2008), and the multitude of possible reactions of land plants to different drivers (Kattge et al., 2011) make the determination of the land carbon sink difficult. Recent studies indicate that it may have been overestimated as the limiting effect of nitrogen (N) on plant growth has not yet been accounted for in most models, potentially giving too much value to the CO<sub>2</sub> fertilisation effect, while on the other hand human-caused additions of nitrogen to the Earth system regionally enhance plant growth (Zaehle and Dalmonech, 2011). Only two Earth system modelling frameworks employed for the projections as summarised in the 5<sup>th</sup> assessment report of IPCC (Collins et al., 2013) included N limitation on land, and related processes and feedbacks are under discussion.

In comparison to the land carbon sink, the large-scale oceanic sink is considered to be less variable on an interannual time scale (though considerable perturbations of the ocean carbon cycle are linked with, e.g., the ENSO cycles; Feely et al., 2006) and, even though a 3-dimensional approach is required due to water motion, somewhat easier to quantify. This traditional view is exploited to estimate the year-to-year land sink for anthropogenic carbon from the atmospheric observations and ocean models (evaluated through observations). The terrestrial carbon sink is then the residual of CO<sub>2</sub> emissions, atmospheric CO<sub>2</sub> concentrations, and ocean-atmosphere CO<sub>2</sub> fluxes (Canadell et al., 2007; Le Quéré et al., 2013). Until precise quantifications of the land carbon sink become available through direct observations and modelling, estimating it through the ocean carbon sink is a valid option. However, with increasing detail in oceanic carbon sink determinations, oceanographers are starting to run into similar heterogeneity problems in the oceans as geo-ecologists on land, especially when the continental margins, the shelf seas, and coastal and estuarine systems are taken into account (Borges, 2005; Liu et al., 2010b; Regnier et al., 2013). These likewise heterogeneous systems are so far not (or at best partially) included in global Earth system model scenarios, because the resolution of these models does not allow for the resolution of the respective topographic features and super-computers are currently insufficient to run respective high-resolution models as yet (Mitchell et al., 2012). Measurements of the O<sub>2</sub>/N<sub>2</sub> ratio in the atmosphere and marine oxygen budgets can help to further specify the land carbon sink (Keeling et al., 1996).

The interannual variability of land-atmosphere carbon fluxes appears to be higher than the respective variations for ocean-atmosphere fluxes when computing the land carbon sink as the residual between oceanic uptake and atmospheric CO<sub>2</sub> retention (Canadell et al., 2007). On a multi-millennial time scale, peat formation and organic carbon burial in lakes contribute to slow long-term accumulation on land (Einsele et al., 2001; Gorham et al., 2012). Due to the

898 overall smaller carbon inventory of the land biosphere as compared to the inorganic ocean  
899 carbon pool (Fig. 6), it is expected that the ocean through inorganic buffering and  $\text{CaCO}_3$   
900 sediment dissolution would ultimately account for the major part of removal of the human-  
901 induced addition of  $\text{CO}_2$  to the atmosphere (Archer, 2005).

## 902 **6 – Major ocean carbon challenges and key knowledge gaps**

903

904 Some aspects of marine carbon cycling can be regarded as well-established research fields,  
905 such as the inorganic carbon buffering system. However, other elements are more difficult to  
906 approach, partly due to inherent principle difficulties and partly based on the lack of  
907 technological and infrastructural effort. Within this section, some major ocean carbon  
908 challenges and key knowledge gaps in ocean carbon research will be addressed.

909

### 910 **6.1 – Observational data bases**

911

912 Based on measurements, our knowledge of inorganic and organic carbon cycling has  
913 significantly improved over the past decade. This is especially due to measurements of  
914 inorganically dissolved substances including the 3-dimensional data sets GLODAP (Key et  
915 al., 2004; GLODAPv2), CARINA (Key et al., 2010), the surface ocean  $\text{CO}_2$  data compilations  
916 from Takahashi et al. (2009), and SOCAT (Pfeil et al., 2013; Sabine et al., 2013; Bakker et  
917 al., 2014). Semi-continuous measurements are necessary due to the variability of the ocean  
918 carbon sink, the continuously changing atmospheric  $\text{CO}_2$  concentrations as well as the  
919 variability of oceanic circulation. The aims are to identify vulnerabilities of carbon sinks, to  
920 validate feedback mechanisms and to provide detailed information for other researchers or  
921 commercial users regarding the impact of climate change on the marine realm.

922

923 Measurements of dissolved oxygen are of key importance for carbon cycle research. Oxygen  
924 data are the basis for improving estimates of the land carbon sink (Keeling et al., 1996) and  
925 for identifying any emergent fingerprint (Andrews et al., 2013), an extensive  $\text{O}_2$  measurement  
926 programme is needed. In addition, measurements of at least two carbon variables of the  
927 marine inorganic carbon system are necessary. Here, pH and  $p\text{CO}_2$  are likely the ones where  
928 the techniques first will be available on floats, though this combination is not optimal for  
929 deriving the other inorganic carbon variables. Another option would be to measure DIC and  
930 alkalinity as the latter easily can be measured in seawater and determines together with DIC  
931 the marine inorganic carbon system (see Wolf-Gladrow et al., 2007). In combination with  $\text{O}_2$   
932 measurements on automated float systems, this altogether would provide a significant  
933 advance in ocean carbon observations. Pilot studies conducted in recent years yielded  
934 promising results for a world-wide application of such systems (Gruber et al., 2010; Fiedler et  
935 al., 2013).

936

937 For improved estimates of the biological carbon pump variations, reliable shallow flux  
938 estimates as well as state-of-the-art biogenic  $\text{CaCO}_3$  (aragonite, calcite) and biogenic silica  
939 (BSi) production maps would be desirable. Respective maps for  $\text{CaCO}_3$  export production are  
940 at present possibly associated with large errors and give partly incongruous results (Sarmiento  
941 and Gruber, 2006; Balch et al., 2007). Highly accurate total alkalinity observations and a  
942 reliable  $\text{CaCO}_3$  surface map could be used as reference points for future developments of  
943 biocalcification under high  $\text{CO}_2$  (Ilyina et al., 2009). Satellite observations have greatly  
944 improved our understanding about primary production in the ocean (Henson et al., 2012), but  
945 remote sensing efforts have still to be better exploited and extended in order to fill the gaps of  
946 fragmental in-situ observations, especially also for production of hard part shell material.

947

948 Anthropogenically induced elevated carbon levels in the ocean ( $C_{\text{ant}}$ ) cannot be observed  
949 directly, which is why indirect methods have to be used (Gruber et al., 1996; Hall et al., 2002;  
950 Touratier and Goyet, 2004; Friis et al., 2005). Even though year-to-year changes in DIC are  
951 measurable in ocean surface waters, it is a challenge to determine them in deeper layers as the  
952 anthropogenic perturbation in seawater is relatively small when compared to the natural  
953 background. Over the past years, major international networks and projects (EU framework  
954 programmes, OCB, PICES, SOLAS, IMBER, IOCCP etc.) have helped to make much  
955 scientific progress in ocean carbon research worldwide. However, extensions and new  
956 projects are required to continue the work (GEO/GEOSS, GOOS, FOO, ICOS etc.).

957  
958 In contrast to the atmosphere, oceanic meso-scale circulation features are marked by short  
959 spatial scales and large time scales. While an atmospheric pressure system has a typical length  
960 scale of 1000 km and a lifetime of days to weeks, comparable oceanic meso-scale eddies have  
961 scales of 2-100 km and several months. Therefore, selected oceanic observations can be  
962 aliased through meso-scale motion and may not reflect the long-term mean state.

963  
964 Time series stations in the ocean are still rare and mostly cover low to mid-latitudes (e.g.  
965 HOTS, BATS, ESTOC, PAP, PAPA, DYFAMED). These time series have provided a lot of  
966 insight into the long-term evolution of carbon cycle tracers, e.g. the local decline of mean sea  
967 surface pH has been documented as unequivocal proof of progressing ocean acidification  
968 (Santana-Casiano et al., 2007; Bates et al., 2014). An expansion of time series stations at  
969 higher latitude areas would be desirable as, e.g., the change in sea surface  $p\text{CO}_2$  and pH  
970 would be largest over time, although the mean signal there would be somewhat more blurred  
971 by interannual variability (Olafsson et al., 2009; Bauerfeind et al., 2014).

972  
973 Apart from the issues described above, general challenges for determination of oceanic carbon  
974 budgets within the Earth system exist, which possibly never can be met adequately: 1. The  
975 annual net uptake rate of anthropogenic carbon from the atmosphere is small as compared to  
976 the gross upward and downward fluxes occurring over one year in different oceanic regions.  
977 That means that we always will have to quantify small net exchange fluxes as difference of  
978 large gross fluxes into and out of the ocean. 2. The pristine carbon fluxes between the  
979 atmosphere and the ocean as well as the pre-industrial 3-dimensional distributions of DIC  
980 have not been measured and need to be reconstructed (Khatiwala et al., 2009; Khatiwala et al.,  
981 2013). It is unlikely that ocean carbon variables can be reconstructed with high accuracy for  
982 the pre-industrial from potential proxy record development.

## 983 984 **6.2 – Process and impact knowledge**

985  
986 A major obstacle for improvements in future projections of the Earth system for selected  
987 future scenarios of driving factors is the lack of sufficient process understanding, process  
988 quantification, and process identification. Though some major biogeochemical principles are  
989 known, detailed dynamical formulations of processes are scarce and in their infancy. There is  
990 a considerable uncertainty about the gas transfer velocity of  $\text{CO}_2$  and other gases across the  
991 air-water interface (Carpenter et al., 2012; Garbe et al., 2014). While the global ocean carbon  
992 sink estimates may not too strongly depend on this choice (otherwise projections with simple  
993 two box models for the global ocean would not have worked at all; Oeschger et al., 1975), the  
994 projected local  $\text{CO}_2$  concentration in ocean surface waters is highly influenced by the chosen  
995 gas transfer velocity values, also for appropriate regional validation of ocean models. The co-  
996 limitation of biological production by various factors is an established concept, however,  
997 crucial details are not uniformly established, such as the potential variation of carbon to

998 nitrogen ratios in biogenic matter under different environmental conditions (Riebesell et al.,  
999 2007; Jiang et al., 2013). Marine particle fluxes and their dynamics are still poorly understood  
1000 and not yet adequately quantified in a dynamic way in response to external drivers (Klaas and  
1001 Archer, 2002; Gehlen et al., 2006). The ongoing and future impacts of high CO<sub>2</sub> on marine  
1002 organisms have yet to be clarified (Gattuso and Hansson, 2011). Formulations on how to  
1003 quantify the production as well as degradation of phyto- and zooplankton particulate matter  
1004 (organic, inorganic) are not mature enough or not even existing for providing step-change  
1005 improvements of complex ocean models as well as coupled Earth system models. This  
1006 includes, in particular, potential adaptation of organisms and ecosystems to conditions not  
1007 experienced since the geologic past (Langer et al., 2006). Respective modelling approaches  
1008 remain questionable until more detailed and reliable information about the effect of changing  
1009 external drivers, like decreasing carbonate saturation, on the functioning of marine organisms  
1010 and ecosystems becomes available. For a suite of land plants, functional relationships between  
1011 drivers and physiological reactions have been established in large data compilations for trait-  
1012 based modelling of the land biosphere (Kattge et al., 2011). Approaches for the simulation of  
1013 ocean ecosystems with multiple plankton functional types have been initiated (Le Quéré et al.,  
1014 2005), but trait data bases for marine organisms are not yet available in a suitable format and  
1015 information from mesocosm and laboratory experiments is scarce and may not be  
1016 straightforwardly transferable to the real Earth system.

1017

### 1018 **6.3 – Integrative modelling and combination with measurements**

1019

1020 For simulations of the ocean carbon sink and its impact, suitable models are needed to explain  
1021 past and present events as well as to predict potential future pathways. Biogeochemical ocean  
1022 general circulation models are employed either through observed forcing or within coupled  
1023 Earth system models (for review see e.g. Heinze and Gehlen, 2013). There is a trade-off  
1024 between their resolution (space and time) and a technically feasible length of the simulation  
1025 period. High-resolution models with eddy dynamics (large-scale turbulent mixing) are often  
1026 too computationally expensive for integrations exceeding a few decades. However, multiple  
1027 future scenarios calculated over decades, centuries, and millennia are necessary to achieve  
1028 reliable future projections. In addition, biogeochemical models whose water mass properties  
1029 shall be fully predicted by the models need very long and costly spin-up periods in order to  
1030 bring the tracer distributions including the carbon cycle tracers into quasi-equilibrium.  
1031 Integration periods need to be at least as long as one full oceanic circulation cycle of about  
1032 1500 years. Even for still fairly coarse resolutions this is currently quite costly in terms of  
1033 super-computer processing time. Global model simulations of deep-sea carbon distributions as  
1034 well as other deep-sea properties are therefore often limited to a lower resolution as compared  
1035 to their distributions in surface or shallow waters (e.g., Ilyina et al., 2013; Séférian et al.,  
1036 2013; Tjiputra et al., 2013).

1037

1038 Models need systematic improvement by combining them with and comparing them to  
1039 observational data. By applying data assimilation procedures (Brasseur et al., 2009), existing  
1040 discrete observations of oceanic variables can be interpolated (gap filling) and free adjustable  
1041 parameters in models (such as, e.g., the particle sinking velocity) can be calibrated. Data-  
1042 driven diagnostic models (Usbeck et al., 2003) are important for suggesting first order values  
1043 of free parameters in dynamical process descriptions and can be implemented in complex  
1044 forward models, which can be used for predictions as well. Systematic model assessment with  
1045 observations and model optimisation with data assimilation have made progress in recent  
1046 years, but for integrated biogeochemical cycle simulations these approaches need to be  
1047 extended. Skill score metrics, which can be used to rank models according to their ability to

1048 reproduce physical and biogeochemical variables simultaneously, may become a valuable tool  
1049 for future simulations. A simplified short cut method in order to assess the quality of future  
1050 projections of Earth system models is the emergent constraint approach (Cox et al., 2013;  
1051 Hoffman et al., 2014; Wenzel et al., 2014). In this approach, an interrelation is sought  
1052 between a specific Earth system sensitivity as resulting across an ensemble of comparable  
1053 models and a corresponding observational trend or variability (see also Flato et al., 2013).  
1054 This method has just started to also be used for addressing ocean biogeochemical problems  
1055 (Hoffman et al., 2014) and respective constraints have to be identified for this research field.  
1056 Model scenarios can diverge depending on slight modifications of the starting (initial) and  
1057 boundary conditions during a model run as well as due to internal variability in the model.  
1058 Therefore, for a given CO<sub>2</sub> emission scenario the expected evolution of the results can differ.  
1059 Ensemble simulations are necessary for establishing a range of statistically valid, potential  
1060 outcomes that are associated with different degrees of probability. Due to the immense costs  
1061 for multiple integrations of complex Earth system models, scenarios with large ensembles,  
1062 though, have been attempted in only few ocean carbon uptake studies.

1063

#### 1064 **6.4 – Specific regional foci for ocean carbon cycle studies**

1065

1066 There are at least 6 major regional domains, which warrant more attention in the coming years  
1067 of ocean carbon cycle research:

1068

1069 1. The Southern Ocean is quantitatively the most important region for worldwide carbon  
1070 dynamics (today: Mikaloff Fletcher et al., 2006; glacial/interglacial: Watson and Naveira  
1071 Garabato, 2006; future: Tjiputra et al., 2010; Roy et al., 2011), but it is also one of the least  
1072 well year-round observed regions (Takahashi et al., 2009; Swart et al., 2012; Pfeil et al., 2013;  
1073 Sabine et al., 2013) due to its remoteness and high seasonality. Research priorities include the  
1074 improvement of data coverage for carbon variables, dissolved oxygen, and related tracers. The  
1075 water mass formation, mixing and deep convection processes, in particular in the Southern  
1076 Ocean, are the ‘Achilles heel’ of global ocean models, and a step-change improvement is  
1077 needed in order to achieve more physically based deep-water production representations in  
1078 ocean models as well as Earth system models (Lenton et al., 2013). This includes also the  
1079 representation of Antarctic shelf regions and respective water-mass formation mechanisms  
1080 relevant for large-scale simulations.

1081

1082 2. Highly dynamic systems such as shelf areas, coastal zones, estuaries and continental  
1083 margins will need to be accounted for in global carbon cycle quantifications. This is of key  
1084 importance for impact studies as shallow seas are major spawning and living grounds for  
1085 commercially exploited fish and food production. In addition, anthropogenic stressors such as  
1086 mega cities, pollution from riverine loads and deposition of reactive nitrogen (Duce et al.,  
1087 2008) have to be considered. Progress has recently been made in providing advanced  
1088 combined river runoff and river load data for use in biogeochemical models (Mayorga et al.,  
1089 2010). Ocean biogeochemical models should include both pelagic ocean sediment models  
1090 (Heinze et al., 2009) and shallow sediment representations to involve high fluxes and  
1091 regeneration rates of organic sediments as well as respective low oxygen and anoxic reactions  
1092 and matter transformations like methanogenesis or denitrification (Naqvi et al., 2010;  
1093 Mogollón et al., 2012). Land-ocean coupling of natural and anthropogenically perturbed  
1094 systems (Regnier et al., 2013) needs inclusion in global Earth system models, especially with  
1095 regard to quantifying nation-wide closed carbon budgets.

1096

1097 3. The Arctic Ocean is a hot spot of climatic and environmental changes, and represents the  
1098 area in which ocean acidification accelerates most rapidly (Steinacher et al., 2009). Like the  
1099 Southern Ocean, the Arctic is highly undersampled, making it difficult to determine reliable  
1100 CO<sub>2</sub> sink estimates (Schuster et al., 2013). New process understanding (Wählström et al.,  
1101 2012, 2013) has to be integrated into large-scale ocean models. Shifts in water mass formation  
1102 processes, including the cold halocline structure at the Arctic Ocean surface domain (Aagaard  
1103 et al., 1981; Anderson et al., 2013), need to be identified. A strongly reduced Arctic sea-ice  
1104 cover and changes in annual sea-ice formation will have fundamental consequences for both  
1105 organic and inorganic carbon cycling as well as ocean circulation and mixing (Loeng et al.,  
1106 2005). The net effect on ocean carbon sink behaviour for a summer ice-free Arctic Ocean is  
1107 not yet firmly assessed. Future studies need to include both sea-ice physics and sea-ice  
1108 biogeochemistry. In addition, the potential climatically and tectonically induced degassing of  
1109 CH<sub>4</sub> from Arctic Ocean sources needs to be further monitored as a potentially significant  
1110 greenhouse gas source (Biastoch et al., 2011; Shakhova et al., 2014).

1111  
1112 4. The tropical ocean is another key sink area for anthropogenic carbon (Mikaloff Fletcher et  
1113 al., 2006; Roy et al., 2011). Future research needs to focus on ENSO-related variability in its  
1114 carbon sink potential as well as on it being a region of high phytoplankton production rates in  
1115 respective upwelling areas, where large-scale impacts of ocean acidification may be measured  
1116 already during an early stage (Ilyina et al., 2009). Both the Pacific and Atlantic Ocean  
1117 equatorial areas can be affected by short-term climatic fluctuations (Cadule et al., 2010;  
1118 Lefèvre et al., 2013) and the overall long-term effect of shifts in occurrences and patterns of  
1119 these events needs attention.

1120  
1121 5. Oligotrophic regions play a significant role for sustained ocean time series stations as the  
1122 interannual and seasonal variability is small and long-term trends may be easier to deduce.  
1123 Current investigations should be complemented with measurements of nitrogen fixing  
1124 processes as well as with their potential changes under altering dust fluxes and ocean  
1125 acidification. Additionally, future research should include the identification of changes in the  
1126 cycling of the greenhouse gas N<sub>2</sub>O (Freing et al., 2012; Voss et al., 2013).

1127  
1128 6. Coastal upwelling areas have proven to be useful study areas for ocean acidification,  
1129 deoxygenation, and biological carbon pump studies and will remain a major focus of future  
1130 monitoring (Feely et al., 2008; Paulmier et al., 2008; Gruber et al., 2011). It will therefore be  
1131 crucial to appropriately resolve the physically and biogeochemically highly dynamic regimes  
1132 along continental margins both in observational campaigns and modelling efforts.

1133  
1134 7. Of course, the traditionally comparatively well observed North Atlantic and North Pacific  
1135 domains (see, e.g., Bakker et al., 2014) should be further kept in the focus of monitoring and  
1136 modelling programmes. The North Atlantic is a critical area for anthropogenic marine carbon  
1137 uptake and changes in this may occur due to changes in meridional overturning and deep-  
1138 water production. It has still to be firmly established whether any long-term (more than two  
1139 decades) changes in the trend of anthropogenic CO<sub>2</sub> uptake occur in these regions that are  
1140 marked also by internal variability in coupling to prevailing climate variability modes such as  
1141 the North Atlantic Oscillation and the Pacific Decadal Oscillation.

## 1142 1143 **6.5 – Using the ocean natural laboratory for case studies on complex couplings**

1144  
1145 The ocean and Earth system need to be better used as laboratories to understand processes and  
1146 the resulting effects on a global scale. This can, for example, be achieved by using a

1147 biogeographic approach, where ecosystems are analysed along natural gradients in both space  
1148 and time. Natural, environmental variability needs to be better exploited to obtain results for  
1149 impact research. Transient large-scale variations of the Earth system and the ocean carbon  
1150 cycle's role in these patterns need to be explained.

1151

## 1152 **6.6 – Combination with other biogeochemical cycles and greenhouse gases**

1153

1154 The ocean carbon cycle needs to be studied and assessed in combination with other  
1155 biogeochemical cycles in a more focussed way than in the past. The oceanic sources/sinks of  
1156 CH<sub>4</sub>, N<sub>2</sub>O, and CO<sub>2</sub>, all three being natural and anthropogenic greenhouse gases, are  
1157 controlled by coupled elemental cycles involving among others carbon compounds, nutrients,  
1158 and gases. Only integrative approaches can ensure a full understanding of the coupled cycles  
1159 and a full exploitation of respective observational evidence. The simultaneous quantifications  
1160 of the oxygen and carbon cycles are vital for closing the global carbon budget including the  
1161 terrestrial biosphere. Nutrient cycles and their anthropogenic perturbations directly control the  
1162 biological carbon cycling on land and in the oceans. Their more detailed dynamical  
1163 implementation in land and ocean models is needed, including a better understanding of  
1164 nutrient limitations (including effects of micronutrients such as iron) under changing  
1165 environmental conditions.

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## 1169 **7 – Conclusion**

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1171 The ocean carbon sink has two parallel effects: 1. Parts of the anthropogenic CO<sub>2</sub> emissions  
1172 are absorbed by the ocean and, thus, the radiative forcing associated with the human-caused  
1173 excess CO<sub>2</sub> is reduced. 2. The more anthropogenic CO<sub>2</sub> enters the ocean, the stronger ocean  
1174 acidification will be. Both aspects have to be considered simultaneously for establishing  
1175 future mitigation strategies on emission reductions as well as for establishing adaptation  
1176 measures to environmental and climatic change. The two aspects, though, have opposite  
1177 effects. Increasing the ocean carbon sink may lead to less warming, but at the same time will  
1178 promote ocean acidification. Critical to both is the speed of progression. Climatic warming  
1179 and lowered pH values in the oceans will prevail long after the anthropogenic CO<sub>2</sub> emission  
1180 period to the atmosphere, and it is not possible to associate a specific lifetime to CO<sub>2</sub> in the  
1181 atmosphere (Tans, 1997). Determining extent, timing, and impact of the ocean carbon sinks  
1182 and sources will, thus, remain a key task in the future establishment of sustainable  
1183 development strategies on Earth, especially with regards to the further rising greenhouse gas  
1184 emissions to the atmosphere as expected for the coming decades. We have for the first time  
1185 arrived at an atmospheric CO<sub>2</sub> mixing ratio of 400 ppmv (Mauna Loa observatory, May 2013,  
1186 <http://keelingcurve.ucsd.edu/>) since 850,000 years (as measurements from atmospheric CO<sub>2</sub>  
1187 concentrations in Antarctic ice cores document; Siegenthaler et al., 2005). Human CO<sub>2</sub>  
1188 emission rates are currently increasing further (Le Quéré et al., 2013; Le Quéré et al., 2014).  
1189 Strategies on feasible emission reduction procedures need to take the timing of the ocean sink  
1190 (slow kinetics, large capacity) and the associated impact through ocean acidification into  
1191 account.

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1202	<b>Acronyms</b>	
1203		
1204		
1205	BATS	Bermuda Atlantic Time-series Study
1206		
1207	CARINA	CARbon dioxide IN the Atlantic Ocean (data synthesis project)
1208		
1209	CVOO	Cape Verde Ocean Observatory
1210		
1211	DYFAMED	DYnamics oF Atmospheric fluxes in the MEDiterranean sea (time-series study)
1212		
1213	ENES	European Network for Earth System modelling
1214		
1215	ESTOC	European Station for Time-series in the Ocean Canary islands
1216		
1217	FOO	GOOS Framework for Ocean Observing
1218		
1219	GEO/GEOSS	Group on Earth Observations/Global Earth Observation System of Systems
1220		
1221	GOOS	Global Ocean Observing System
1222		
1223	GLODAP	Global Ocean Data Analysis Project
1224		
1225	HOTS	Hawaii Ocean Time-Series
1226		
1227	ICOS	Integrated Carbon Observation System
1228		
1229	IGBP	International Geosphere-Biosphere Programme
1230		
1231	IMBER	Integrated Marine Biogeochemistry and Ecosystem Research
1232		
1233	IOCCP	International Ocean Carbon Coordination Project
1234		
1235	IPCC	Intergovernmental Panel on Climate Change
1236		
1237	OCB	Ocean Carbon and Biogeochemistry
1238		
1239	PACIFICA	PACIFic ocean Interior Carbon database
1240		
1241	PAP	Porcupine Abyssal Plain observatory
1242		
1243	PAPA	Ocean station Papa (North Pacific)
1244		
1245	PICES	North Pacific Marine Science Organization
1246		

1247	PIRATA	PredIction and Research moored Array in the Tropical Atlantic
1248		
1249	RCP	Representative Concentration Pathways
1250		
1251	SOCAT	Surface Ocean CO <sub>2</sub> ATlas
1252		
1253	SOLAS	Surface Ocean Lower Atmosphere Study
1254		
1255		
1256		

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1258

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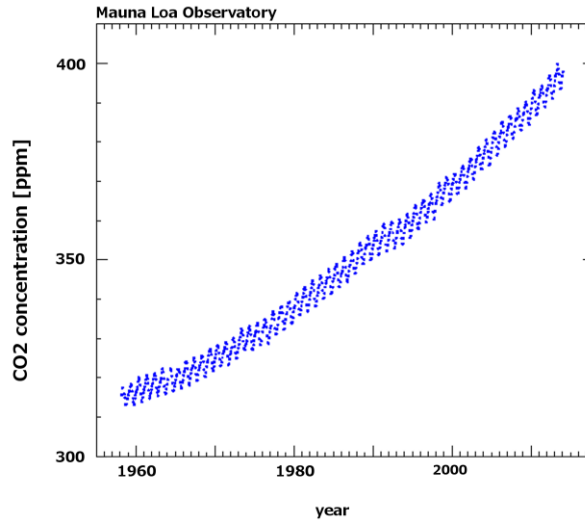
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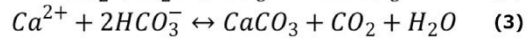
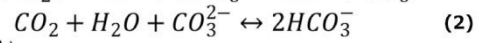
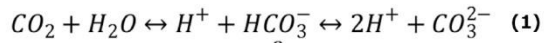
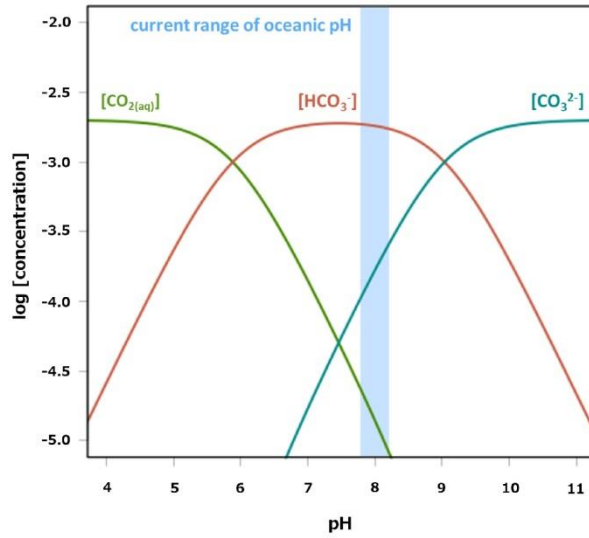
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**Figure 1:** Atmospheric CO<sub>2</sub> concentrations recorded at Mauna Loa Observatory between 1958 and 2014. Due to human-produced emissions, CO<sub>2</sub> levels in Earth’s atmosphere have been rapidly rising since the beginning of the Industrial Revolution and nowadays are crossing 400 ppmv (400.01 ppmv on 25 May 2013), equalling a 44% increase when compared to pre-industrial CO<sub>2</sub> concentrations of around 278 ppmv. Source: Dr. Pieter Tans, NOAA/ESRL ([www.esrl.noaa.gov/gmd/ccgg/trends](http://www.esrl.noaa.gov/gmd/ccgg/trends)) and Dr. Ralph Keeling, Scripps Institution of Oceanography ([scrippsco2.ucsd.edu/](http://scrippsco2.ucsd.edu/)).



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2254 **Figure 2:** Bjerrum plot created according to equations reviewed in Sarmiento and Gruber  
2255 (2006) and Zeebe and Wolf-Gladrow (2001) as well as main reactions of carbon chemistry  
2256 referred to in this review.

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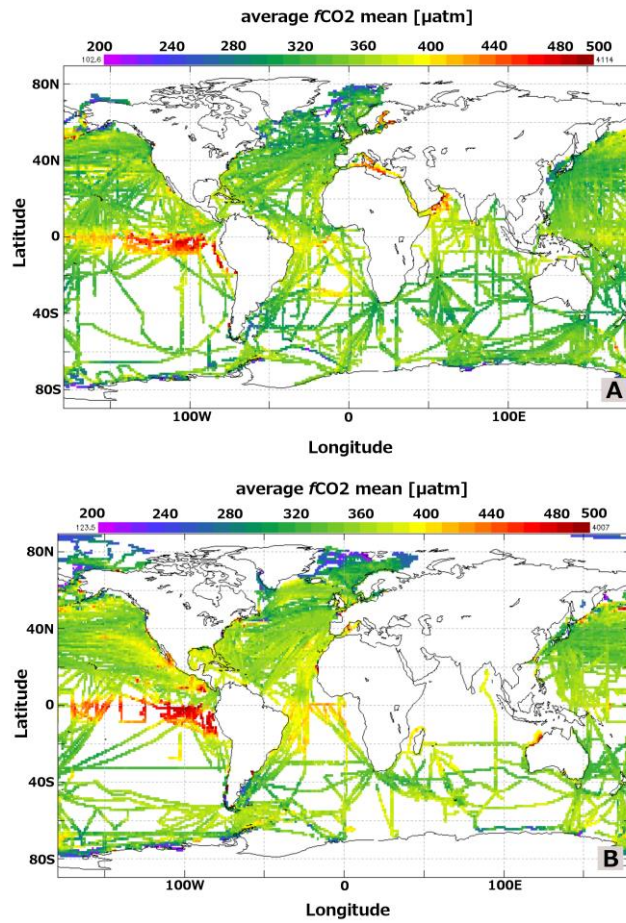
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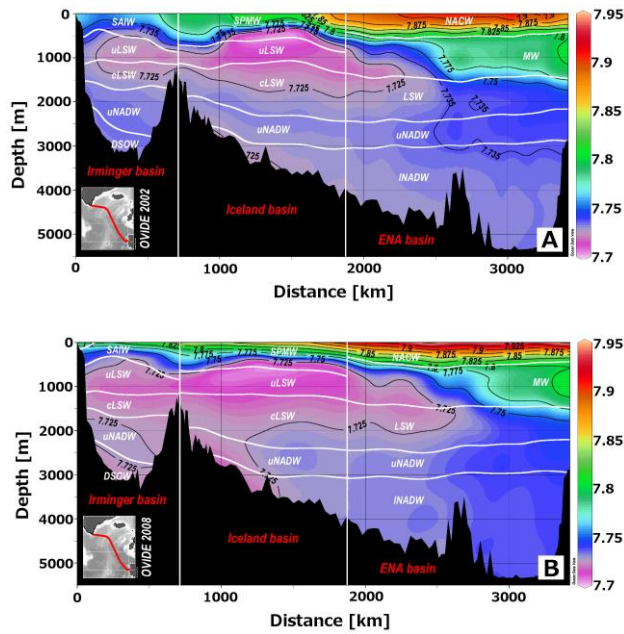
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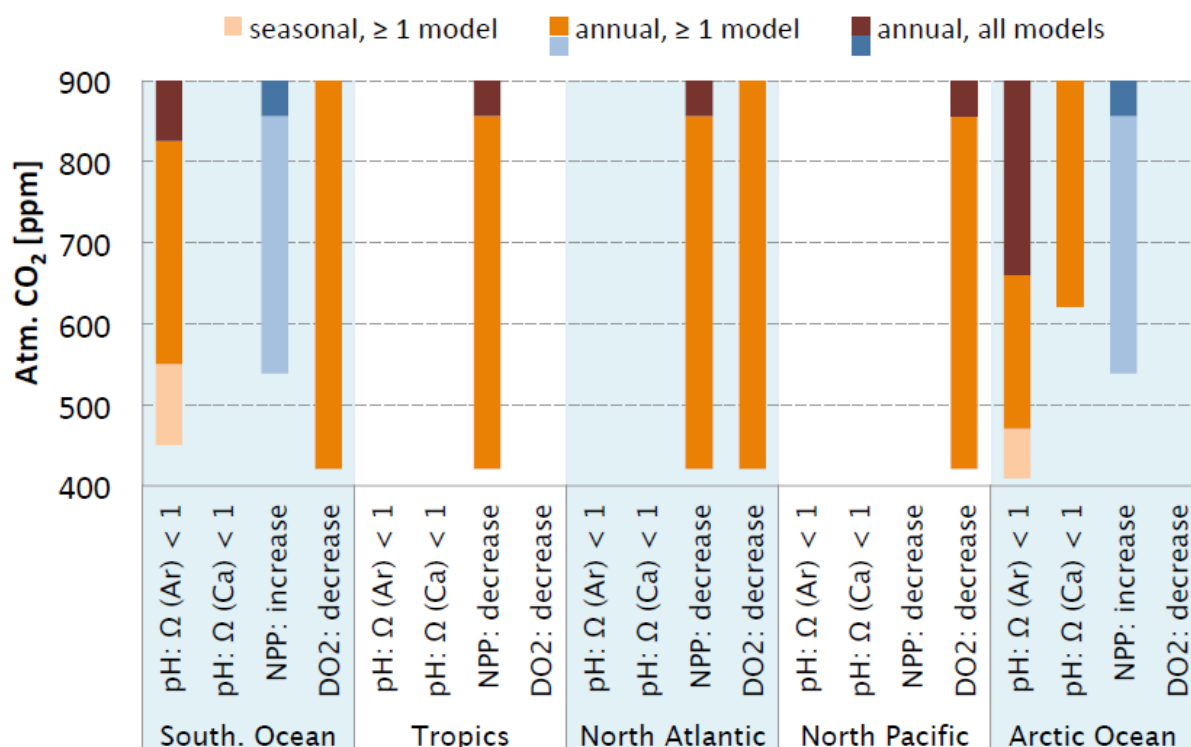
**Figure 3:** Mean unweighted surface water  $f\text{CO}_2$  ( $\mu\text{atm}$ ) for the years 1970-2002 (A) and 2003-2011 (B) using the SOCATv2 monthly 1x1 degree gridded data set (Bakker et al., 2014). The maps were generated by using the online Live Access Server.

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**Figure 4:** Spatial and temporal change of seawater pH measured across the North Atlantic Subpolar Gyre between Greenland and the Iberian Peninsula. The vertical distribution of pH followed the anticipated natural distribution, with higher pH in surface waters and lower pH in deep waters. A comparison of pH values measured in 2002 (A) and 2008 (B) revealed an overall decrease in seawater pH in intermediate and deep waters. This acidification was most evident in water depths between 1000 and 2000 m, where over the years the water layer with pH values below 7.725 had thickened several-fold (Vázquez-Rodríguez et al., 2012, Biogeosciences, 9, 5217-5230, doi: 10.5194/bg-9-5217-2012, 2012).

### Modelled impact of increasing atmospheric CO<sub>2</sub> concentrations on pH, net primary production, and subsurface oxygen



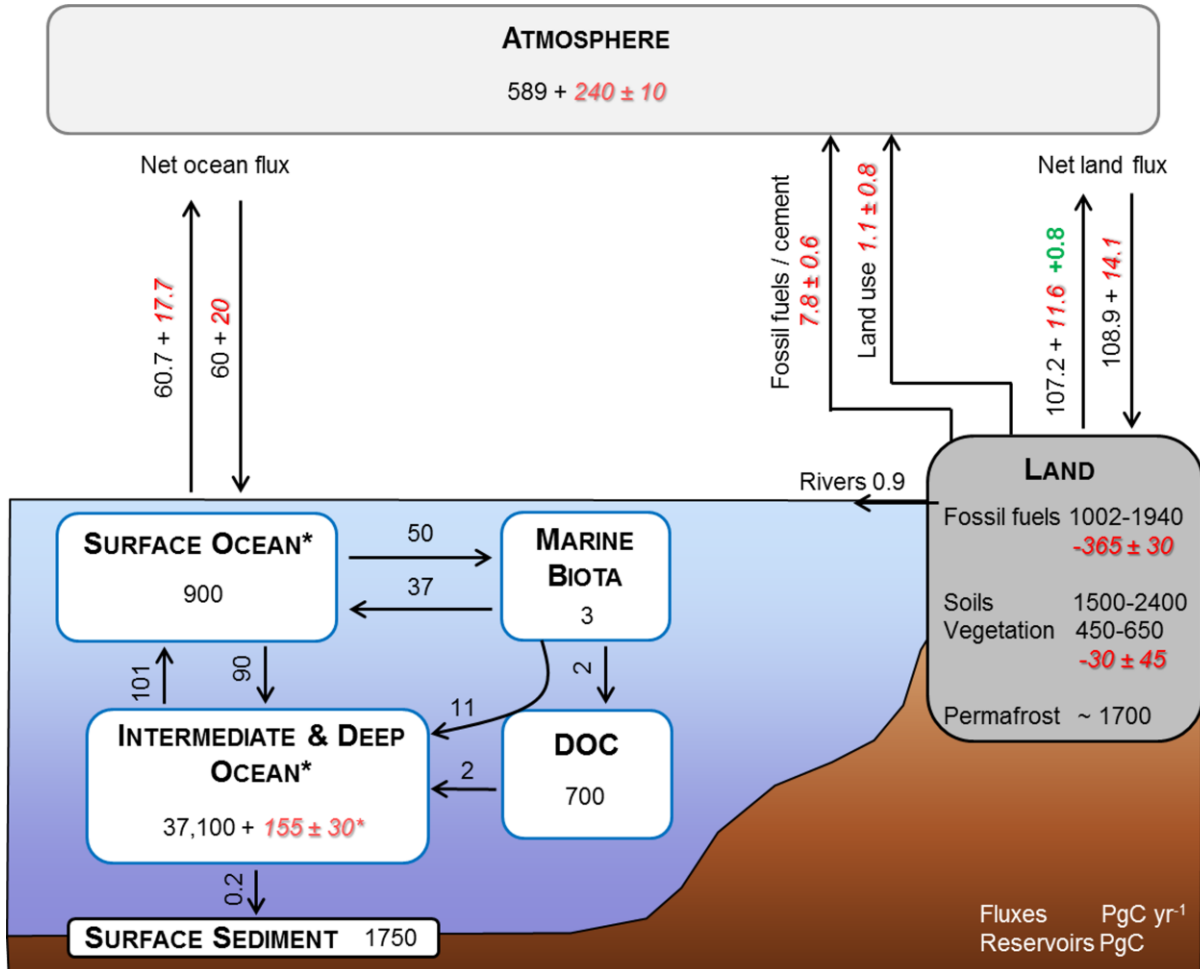
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**Figure 5:** Modelled impact of increasing atmospheric CO<sub>2</sub> concentrations on stressors of ocean ecosystems, that is surface undersaturation of aragonite (pH:  $\Omega$ (Ar) < 1) and calcite (pH:  $\Omega$ (Ca) < 1), net primary production (NPP), and oxygen at 200–600 m depth (DO2). Bright orange bars denote a seasonal development, while orange and light blue bars denote annual developments projected by one or more models. Red and blue bars indicate that all considered models agree on the depicted development. Orange and red bars denote furthermore a negative impact on marine ecosystems, while blue and light blue bars indicate an increase of the modelled parameter with the ecologic impact of this development not yet fully being determined. Impacts are based on a comprehensive suit of Earth system models and IPCC emission scenarios. The choice of models and scenarios is based on the IPCC AR5 report and references denoted within (Plattner et al., 2001; Orr et al., 2005; McNeil and Matear, 2008; Feely et al., 2009; Steinacher et al., 2009, 2010; Keeling et al., 2010; Bopp et al., 2013; Cocco et al., 2013). Note that DO2 and NPP are only analysed at the final year of the IPCC scenarios (year 2100), and their projected developments start most likely already at lower atmospheric CO<sub>2</sub> concentrations.

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**Figure 6:** Simplified illustration of the global carbon cycle, adapted from Ciais et al. (2013). Reservoir mass numbers and annual exchange fluxes are given in PgC ( $10^{15}$  gC) and PgC yr<sup>-1</sup>, respectively. Black numbers refer to pre-industrial values (before 1750). Red flux numbers represent annual anthropogenic fluxes averaged over the years 2000-2009 and red reservoir numbers depict cumulative changes of anthropogenic carbon between 1750-2011 (90% confidence interval). A positive cumulative change denotes an increase in (gain of) carbon since the onset of the Industrial Era. Land-atmosphere carbon fluxes caused by rock weathering, volcanism, and freshwater outgassing amount in total to a flux of 0.8 PgC yr<sup>-1</sup> and are represented by the green number. Purely land-based processes like further rock weathering, burial, and export from soils to rivers are not depicted in the scheme above. The star (\*) indicates that the given accumulation number refers to a combined value for Surface Ocean and Intermediate and Deep Ocean.