

1 **Farmers' perceptions of and adaptation strategies to climate change and their**  
2 **determinants: the case of Punjab province, Pakistan**

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13

14 **Abstract**

15 Climate change is a global environmental threat to all economic sectors, particularly the  
16 agricultural sector. Pakistan is one of the negatively affected countries from climate change  
17 due to its high exposure to extreme events and low adaptive capacity. In Pakistan, farmers are  
18 the primary stakeholders in agriculture and are more at risk due to climate vulnerability.  
19 Based on farm household data from 450 households collected from three districts in three  
20 agro-ecological zones in Punjab province of Pakistan, this study examined how farmers  
21 perceive climate change and how they adapt their farming in response to perceived changes in  
22 climate. The results demonstrate that awareness to climate change persists in the area, and  
23 farm households make adjustments to adapt their agriculture in response to climatic change.  
24 Overall 58 % of the farm household adapted their farming to climate change. Changing crop  
25 varieties, changing planting dates, plantation of trees and changing fertilizers were the main  
26 adaptation methods implemented by farm households in the study area. Results from the  
27 binary logistic model revealed that education, farm experience, household size, land area,  
28 tenancy status, ownership of tube well, access to market information, information on weather  
29 forecasting and extension all influence the farmers' choice of adaptation measures. Results  
30 also indicate that adaptation to climate change is constrained by several factors such as lack of

1 information; lack of money; resource constraint and shortage of irrigation water in the study  
2 area. Findings of the study suggest the need for greater investment in farmer education and  
3 improved institutional set-up for climate change adaptation to improve farmers' wellbeing.

## 4 5 **1. Introduction**

6 Climate change is a global environmental threat and development concerns. Developing  
7 countries are most adversely affected by the negative effects of climate-induced events  
8 because of their low level of adaptation (IFAD, 2010) . It is projected that climate change is  
9 likely to affect the food security in the world by the middle of the 21st century. The largest  
10 number of food-insecure people will be located in South Asia (Hijioka, 2014). It is projected  
11 that from 2001 to 2059, in South Asia per hectare cereal yield will decline up to 30 % along  
12 with up to 37 % loss in gross per capita water (Parry, 2007).

13 According to various studies and reports (IUCN, 2009, Kreft and Eckstein 2014, LP 2008),  
14 Pakistan is one of the highly affected countries by climate change. Pakistan has been indexed  
15 at the 12th place in the Global Climate Risk Index in term of exposure to various extreme  
16 climate events over the period of 1993 to 2012 (Kreft and Eckstein, 2014). The World Bank  
17 included Pakistan in the list of 12 highly exposed countries to variability in climate (Noman  
18 and Schmitz, 2011). Pakistan is an agro-based economy where agriculture contributes about  
19 21.4 % to GDP, employs around 45 % of the total labor force and feeds 62 % of the rural  
20 population (Abid et al., 2011a; Farooq, 2013). Despite its significant share of the overall  
21 economy, this sector is facing serious challenges of climate change induced impacts, i.e.  
22 rising temperatures, floods, droughts and yield losses (Noman and Schmitz, 2011).

23 Agriculture is the main source of support for the majority of the rural households and attached  
24 urban populations in developing countries as well as in Pakistan. Hence, adapting the  
25 agricultural sector to the negative effects of climate variability is necessary to assure food  
26 security for the country and to protect the livelihood of rural households. Adaptation to  
27 climate change is an effective measure at farm level, which can reduce climate vulnerability  
28 by taking in rural households and communities better able to set themselves and their farming  
29 to changes and variability in climate, avoiding projected damages and supporting them to deal  
30 with adverse events (IPCC, 2001).

31 The current level of support for the agriculture sector in terms of climate change adaptation in

1 Pakistan is very limited due to an ineffective climate policy and a very low technological and  
2 financial capacity of the country in adapting to climate change (Ullah, 2011). At the national  
3 level, an integrated policy for adapting the agriculture sector to changes in climate is required  
4 (Farooqi et al., 2005). Research shows that farmers' awareness, investment in new  
5 heat-tolerant varieties, crop insurance, social awareness and protection programs may be the  
6 some important aspects of the adaptation policy to climate change (Schlenker and Lobell,  
7 2011).

8 Perceiving climate variability is the first step in the process of adapting agriculture to climate  
9 change (Deressa et al., 2011). A better understanding of farmers' concerns and the manner  
10 they perceive climate change is crucial to design effective policies for supporting successful  
11 adaptation of the agricultural sector. Further, it is also important to have precise knowledge  
12 about the degree and extent of adaptation methods being taken up by farmers and need for  
13 further advances in existing adaptation setups. Hence, understanding how farmers perceive  
14 changes in climate and what factors shape their adaptive behavior is desirable for adaptation  
15 research (Mertz et al., 2009; Weber, 2010). The choice of adaptation methods by farmers  
16 depends on various social, economic and environmental factors (Deressa, 2007; Brayan et al.,  
17 2013). This knowledge will ultimately enhance the credibility of policies and their strength to  
18 tackle the challenges being imposed by climate change on farmers (Deressa et al., 2009).  
19 Adaptation will require the participation of multiple players from different profiles such as  
20 research, policy, extension, private welfare organizations, local communities and farmers  
21 (Bryan et al., 2013).

22 A great number of studies have been done on farm level adaptation to climate change across  
23 different disciplines in various countries which explored farmers' adaptive behavior and its  
24 determinants (Bryan et al. 2009; Deressa et al., 2009; Hassan and Nhemachena 2008; Thomas  
25 et al., 2007). Despite internationally extensive research on adaptation in the agriculture sector  
26 to climate change, little work is done so far in South Asia. Similarly in Pakistan, the scope of  
27 research linking climate change to agriculture is very restricted (TFCC, 2010). To date,  
28 studies on climate change and agriculture in Pakistan have been entirely limited to impacts of  
29 climate change on particular crops or sectors (Nomman and Schmitz, 2011; Hussain and  
30 Mudasser, 2007; Hanif et al., 2010; Ashfaq et al., 2011). None of the studies considered  
31 farmers' perspective of climate change adaptation. Hence, this study was designed to fill the  
32 existing research gap in Pakistan with respect to climate change adaptation in the agriculture

1 sector.

2 This study mainly seeks to answer two research questions. First we will look, how do farmers  
3 perceive long-term changes in local climate? Second we will analyze, how do farmers adapt  
4 their farming in response to perceived changes in climate? Further, this study also considers  
5 the factors affecting farm level adaptation methods adopted by farm households in the study  
6 area. Most of the factors affecting the farm household's choice of adaptation measures to  
7 climate change are already known, but the actual impact of these factors varies across regions.  
8 Hence, this study attempts to quantify the actual impacts of various explanatory factors on the  
9 probability of adopting different farm-level adaptation measures by farmers. The present  
10 study employs a logistic binary model to examine determinants of adaptation measures.

11 This paper is divided into four sections. Section 2 of the study presents a conceptual  
12 framework and empirical specification of explanatory variables. Section 3 describes the  
13 materials and method. Section 4 describes the results and discussion of the study and in  
14 section 5 we conclude our results and present some policy implications of the study.

15

## 16 **2. Conceptual framework and methodology**

### 17 **2.1. Description of the study area**

18 This study was done in the Punjab province, which is geographically located approximately  
19 between 30°00'N latitudes and 70°00'E longitudes in the semiarid lowlands zone (Wikipedia  
20 2014). Punjab is the most populous and second largest province of Pakistan. It is a fertile  
21 agricultural region based on an extensive irrigation network and playing a leading role in the  
22 development of the economy (Abid et al., 2011b). The province accounts for 56.2 % of the  
23 total cultivated area, a 53 % share of the total agricultural gross domestic product and 74 %  
24 share towards the total cereal production in the country (PBS, 2011; Badar et. al., 2007).  
25 Figure 1 shows the map of study areas located in Punjab province.

26 The mean annual minimum temperature in Punjab ranges from 16.3° C to 18.2° C over the  
27 period 1970-2001. Mean annual maximum temperature in Punjab ranges from 29.3° C to  
28 31.9° C. The distribution of rainfall in Punjab is wide-ranging, mostly linked with the  
29 monsoon winds. Punjab receives 50-75 % of rainfall during the monsoon season. The rain-fed  
30 zone receives the highest quantity of rainfall followed by the rice zone, mixed zone and cotton  
31 zone respectively (Mohammad, 2005).

1 Based on Pakistan agricultural research council maps (PARC, 2014), the Punjab province can  
2 be divided into four major and eleven sub agro-ecological zones based on climate, agricultural  
3 production, and aridity. Study districts come from three of the main agro-ecological zones.  
4 Study sites in the district Rahim Yar Khan are located in cotton and Cholistan sub-zones  
5 where average rainfall ranges from 72.8 to 462.5 millimeters annually. The second study  
6 district, Toba Tek Singh is located in the central mixed zone, which receives average rainfall  
7 ranging between 219.5 and 718 millimeters annually. The third district Gujrat is partially  
8 located in both rain-fed and rice zones which receive average rainfall between 697 and 1401  
9 mm annually (Mohammad, 2005). The average household's characteristics which play an  
10 important role in shaping the decision-making process in climate change adaptation vary to  
11 some extent in all three regions. For example, according to our study, the average land  
12 holding size varies between the districts Rahim Yar Khan (18), Toba Tek Singh (14), and  
13 Gujrat (16). Little variation is found for average household size (9-10) and years of schooling  
14 (8-9) in all three districts. In terms of agricultural income shares, relatively high values are  
15 found for the districts of Rahim Yar Khan (85 %) and Toba Tek Singh (79 %) but a  
16 substantially lower value for Gujrat (26 %).

## 17 **2.2. Sampling and data collection**

18 To investigate the farm level perceptions of climate change and associated choices of  
19 adaptation methods in Punjab, the selection of study districts took into account different  
20 agro-ecological zones (AEZs), cropping patterns, irrigation source networks, and climate.  
21 Particularly, study sites in the district Rahim Yar Khan are located mainly in irrigated plains  
22 (Zone A) and partially in marginal lands (Zone D). The study district Toba Tek Singh is  
23 located in irrigated plains (Zone A). The study district Gujrat is located in a rain-fed zone  
24 (Zone B) (PARC, 2014).

25 A multi-stage sampling technique was used to select the study sites and sample farm  
26 households in the study area. In the first stage, the Pakistan province of Punjab was selected  
27 as overall study area. In the second stage, three districts were selected from three  
28 agro-ecological zones based on the agriculture share to the total national economy, weather  
29 and climatic conditions, cropping patterns and irrigation networks in the area. In the third  
30 stage, two cities were selected from each district. In the fourth stage, we choose 10-13 union  
31 councils from each district depending on number of union councils located in each district.  
32 We excluded the urban union councils. In the fifth stage, two to three villages were randomly

1 selected from each union council using Pakistan Village Statistics (Government of Pakistan,  
2 1998) and in the sixth and last stage, 6 farmers were randomly selected from each village.  
3 Table 1 depicts the numbers of farmers interviewed from the study areas.

4 The survey was conducted between March and April in 2014. For the data collection, about  
5 450 farmers were interviewed irrespective of gender, farm size or tenancy status through a  
6 farm household survey. Interviews were conducted for the cropping year 2012-13 which  
7 includes the *Rabi* (winter) season 2012-13 and the *Kharif* (summer) season of 2013. A fully  
8 structured questionnaire was used to gather information on socioeconomic characteristics,  
9 crop and domestic livestock management, land tenure, detail of farm inputs and outputs,  
10 access to various institutional services, current and past knowledge of climate change, current  
11 adaptation measures undertaken, and limitations to adaptation. Prior to the study, a pretesting  
12 of the questionnaire was performed to avoid missing of any important information. The  
13 enumerators received field training about the study objectives and farm household survey.

### 14 **2.3. Dependent and independent variables**

15 Several agricultural adaptation measures can reduce losses due to increasing temperature and  
16 decreasing precipitation (Hassan and Nhemachena, 2008). In this study, a binary logistic  
17 model was used to examine the factors influencing the choice of different adaptation measures  
18 applied by the farm households in the study area. The decision to adapt requires that farm  
19 households recognize local changes in long-term climate such as temperature and rainfall  
20 patterns (Bryan et al., 2013).

21 Following previous studies by Kato et al. (2011) and Bryan et al. (2013), we assume that farm  
22 households will adapt only if they perceive a reduction in the risk to crop production or an  
23 increase in expected net farm benefits. Consider a latent variable ( $Y_{ij}^*$ ) which is equal to  
24 expected benefits from the adoption of certain adaptation measures:

$$25 \quad Y_{ij}^* = \alpha + \sum \beta_k X_k + \varepsilon_{Y_{ij}^*} \quad (1)$$

26 In this equation,  $Y_{ij}^*$  is a latent binary variable with subscript i depicting the household who  
27 adapted to climate variability and j depicting eight different adaptation measures.  $X_k$   
28 represents the vector of exogenous explanatory variables that influence the farmers' choice of  
29 adopting particular adaptation measures and k in the subscript shows the specific explanatory  
30 variable (varies from zero to 14). The symbol  $\alpha$  denotes the model intercept,  $\beta_k$  the vector of  
31 binary regression coefficients and  $\varepsilon_{Y_{ij}^*} \cong N(0, \sigma^2)$  is the error term which is normally

1 distributed and homoscedastic (zero mean and constant variance) (Schmidheiny, 2013).

2 We do not observe the latent variable ( $Y_{ij}^*$ ) directly. All we observe is:

$$3 \quad Y_{ij} = \begin{cases} 1 & \text{if } Y_{ij}^* > 0 \\ 0 & \text{if } Y_{ij}^* \leq 0 \end{cases} \quad (2)$$

4 Where  $Y_{ij}$  is an observed variable which indicates that household  $i$  will choose to opt for certain measures  $j$   
5 (Figure 4) to adapt to perceived changes in climate ( $Y_{ij} = 1$ ) if his or her anticipated benefits are greater  
6 than zero ( $Y_{ij}^* > 0$ ), and otherwise household  $i$  will not choose adaptation measure  $j$  if the expected benefits  
7 are equal to or less than zero ( $Y_{ij}^* \leq 0$ ).

8 Hence, we can interpret equation (2) in terms of the observed binary variable ( $Y_{ij}$ ) as:

$$9 \quad \Pr(Y_{ij} = 1) = Y_{ij} = G(X_k \beta_k) \quad (3)$$

10 Where  $G(\cdot)$  takes the specific binomial distribution (Fernihough 2011).

#### 11 **2.4. Marginal effects and partial elasticities**

12 The estimated parameters ( $\beta_k$ ) of the binary logistic model only give the direction of the effect  
13 of the regressors (independent variables) on the binary dependent variable (regressand) and  
14 statistical significance associated with the effect of increasing an independent variable just  
15 like ordinary least square (OLS) coefficients (Peng et al., 2002). Thus, a positive coefficient  
16  $\beta_k$  tells that an independent variable  $X_k$  increases the likelihood that  $Y_{ij} = 1$  (which is adoption  
17 of particular adaptation measure in our case). But this coefficient cannot explain how much  
18 the probability of household  $i$  to adopt particular adaptation measure ( $Y_{ij}=1$ ) will change when  
19 we change  $X_k$ , i.e. the coefficient ( $\beta_k$ ) does not show the magnitude of the effect of a change  
20 in explanatory variable  $X_k$  on  $\Pr(Y_{ij}=1)$ . Thus, to interpret and quantify the results, we need  
21 to calculate either marginal effects or partial-elasticity. Marginal effects ( $y_{ij}'$ ) describe the  
22 effect of a unit change in the explanatory variable on the probability of dependent variable, i.e.  
23  $\Pr(Y_{ij}=1)$ . Derivation of marginal effects is discussed in detail in appendix A. The final  
24 equation of the marginal effect ( $y_{ij}'$ ) after derivation becomes:

$$25 \quad y_{ij}' = \Pr(Y_{ij}=1) \cdot (1 - \Pr(Y_{ij} = 1)) \beta_k \quad (4)$$

26 Another alternative to interpret the results of a logistic regression is to use partial elasticities  
27 which measure the percentage change in probability of the dependent variable (adoption of

1 certain adaptation measure to climate variability) due to a 1 percent increase in the  
2 explanatory variable  $X_k$  (see appendix A for further detail). We may interpret the partial  
3 elasticity of the logit model calculated at mean as:

$$4 \quad \eta_Y(\overline{X_k}) = \beta_k \overline{X_k} \Pr(Y_{ij} = 1) \cdot (1 - \Pr(Y_{ij} = 1)) \quad (5)$$

## 5 **2.5. Description of explanatory variables**

6 The choice of explanatory (independent) variables used in this study is based on data  
7 availability and review of the literature. The independent variables include household  
8 characteristics (e.g. farming experience of household head, household head's education, size  
9 of household, tube well ownership, land holding and tenancy status of farm households),  
10 institutional factors (e.g. access to credit, market information, weather forecasting information,  
11 information on water deliveries, agricultural extension services), and dummies for  
12 agro-ecological zones. Instead of using agro-ecological factors (e.g. temperature and rainfall)  
13 and cultural traits in different regions directly, we used dummy variables for agro-ecological  
14 and cultural given the absence of variability in temperature and rainfall for households in the  
15 same district.

16 Prior to the survey, a multinomial logit (MNL) modeling approach was proposed for this  
17 study. Because most of the previous studies of farmers' adaptation to climate change  
18 employed the MNL approach (Deressa et al., 2009; Hassan and Nhemachena, 2008; Hisali et  
19 al., 2011), where respondents are restricted to select only one adaptation measure. However,  
20 in the course of this study, we frequently found that farm households adopted more than one  
21 adaptation measure simultaneously. This behavior made the use of the MNL approach  
22 inappropriate. A possible remedy would be to combine similar measures into single categories  
23 (Bryan et al., 2013). However, such grouping into self-defined categories may lead to  
24 misinterpretation (Bryan et al., 2013). Furthermore, the set of explanatory variables  
25 influencing the farmers' decision was also expected to be different for different adaptation  
26 measures. Therefore, we employed the logistic regression technique to examine the factors  
27 that affect the choice of adaptation measures. Table 2 shows the description and expected  
28 signs of explanatory variables used in this study.

## 29 **2.6. Hypothesis testing for model significance**

30 We tested all of our models for significance and accuracy of predictions. There are different



1 ways to measure goodness of fit for logistic models. In the first step, we used the  
2 classification table method to measure the extent to which our models accurately predict the  
3 dependent variable (in our case adoption of the particular adaptation measure by the farm  
4 household). The classification table is calculated by comparing the predicted scores of  
5 observations on the basis of independent variables in our model, with their actual responses  
6 given in the data (Hosmer and Lameshow, 2004). Higher percentages indicate a better fit of  
7 the model. The results of the classification table test (Table 3) show that the overall percentage  
8 correctness for all models is above 71 % which confirms the better fit of all of the models  
9 used in this study.

10 In the second step, to test the overall significance of models, we used a global null hypothesis  
11 approach. For this analysis, we established a null hypothesis by assuming and setting all the  
12 regression coefficients of logistic models equal to zero versus the alternative that at least one  
13 of the regression coefficients ( $\beta_k$ ) is not zero (Peng et al., 2002):

$$14 \quad H_0 : \beta_k = 0$$

$$15 \quad H_1 : \text{at least one } \beta_k \neq 0$$

16 This approach is the same as the F-test for model testing in OLS regression. This test checks,  
17 whether the model with predictors, i.e. equation (1), fits significantly better than the model  
18 with just an intercept (i.e. an intercept-only model):

$$19 \quad Y_{ij}^* = \alpha \quad (6)$$

20 The test statistic is calculated by taking the difference of the residual deviance for the model  
21 with predictors or independent variables from the null deviance of intercept-only model. The  
22 test statistic is distributed chi-squared with degree of freedom that is equal to the differences  
23 between the number of variables in the model with predictors and intercept-only model  
24 (Stephenson et al., 2008).

25 From Table 3, it can be examined that chi-square values for all adaptation models are positive  
26 and vary between 28 and 65. The associated p-values are less than 0.001 except in the model  
27 for crop diversification that is significant at p -value 0.01 from which it can be concluded that  
28 our models with predictors fit significantly better than the intercept-only model. Hence, on the  
29 basis of test statistics, we can reject the null hypothesis ( $H_0$ ) and accept the other alternative  
30 hypothesis ( $H_1$ ) that at least one of the regression coefficients ( $\beta_k$ ) is not zero.

31 Further, we calculated the Pseudo- $R^2$  measure to determine the goodness of fit of our  
32 adaptation models. The values of Pseudo- $R^2$  for all models ranged from 0.15 to 0.28 which

1 indicate a better fit of our models in explaining adaptation to climate variability.

2 Based on the results from the classification table, global null hypothesis and Pseudo-R<sup>2</sup>, it can  
3 be assumed that all the models selected for this study are fit and can accurately estimate the  
4 factors affecting the adoption of different adaptation methods.

### 5 6 **3. Results and discussion**

#### 7 **3.1. Farm level perceptions of climate change**

8 As discussed above, farmers' perception of long term or short term changes in climate is a  
9 crucial pre-indicator in the adaptation process (Adger et al., 2009). Hence, respondents were  
10 asked how they perceive long-term changes in climate indicators in their area.

11 The study results (Figure 2a) indicate that large number of farmers perceived a slight increase  
12 in temperature for both summer (56.9 %) and winter seasons (39.3 %). In perceiving the  
13 precipitation patterns, the percentage of farmers who reported a slight decrease in  
14 precipitation in both summer (44 %) and winter (48.9 %) seasons are more than the farmers  
15 who perceived significant or no change in both summer and winter seasons (Figure 2b). The  
16 majority of the surveyed farmers (52.2 %) perceived an increase in growing season length for  
17 the *Rabi* (winter) season, while 57.1 % of the farmers observed no change in growing season  
18 length for the *Kharif* (summer) season (Figure 2c).

19 Farm level perceptions of majority of farmers about climate indicators in both summer and  
20 winter seasons are in accordance with actual trends presented in Figure 3 (a-b). According to  
21 Figure 3a, the mean temperature in winter and summer season shows a significant slight  
22 increase over the period of 1990-2010, while Figure 3b depicts a slight decrease in winter and  
23 summer precipitation over the same period.

#### 24 **3.2. Farm-level adaptation process**

25 In our study, we also analyzed the whole adaptation process across all three study districts  
26 (Figure 4). Results show that overall and across districts, there is a substantial reduction in the  
27 number of responses of farmers, from perceptions of changes in climate to the final adaptation  
28 to climate change. In the first stage (perception stage) overall 81 % of the respondents  
29 indicated climate change, with maximum perception in district Gujrat (86 %) and lowest  
30 perception in Rahim Yar Khan (73 %). In the second stage (intention stage), overall 75 % of  
31 the farmers show their intentions to adapt to climate change with highest intentions in district

1 Gujrat (85 %) and lowest intentions in Rahim Yar Khan (66 %). In the third and last stage  
2 (adaptation process), overall only 58 % of the respondents adapted to climate variability with  
3 greatest adaptation in Gujrat district (70 %) and lowest adaptation in Rahim Yar Khan (49 %).  
4 In Toba Tek Singh district, about 55 % of the farm households adapted their farming in  
5 response to climate variability. As can be observed from the results, from perception stage to  
6 intention stage on average a drop from 81 % to 75 % was observed in responses while from  
7 intention stage to adaptation stage, responses of farm households were dropped from 75 % to  
8 58% on average. In the same way, moving from perception stage to adaptation stage, farmers'  
9 responses were dropped from 81 % to 58 %. .From the results, it can be determined that the  
10 number of farmers who adapted to climate change is substantially less than the farmers who  
11 perceived some form of climatic risk or planned to adapt in earlier stages of the adaptation  
12 process. This reduction in numbers may be associated with various constraints, and internal or  
13 external factors explained in the next section.

### 14 **3.3. Farm-level adaptation strategies and constraints**

15 Farmers who observed variability in the climate over the period of 10 to 20 year were further  
16 asked to describe the farm level adaptation measures undertaken in response to climate  
17 change. The results of the study demonstrated that farm households applied a wide range of  
18 adaptation measures in response to the changes in climate. As shown in Figure 5, the most  
19 common adaptation measures were: changing crop varieties (32.20 %), changing planting  
20 dates (28.40 %), planting trees (25.30 %) and changing fertilizers (18.70 %) followed by  
21 changing crop types (10.20 %), increasing irrigation (9.80 %), soil conservation (9 %), crop  
22 diversification (7.56%), migration to urban areas and renting out land (2.20 %). Greater use of  
23 changing crop varieties and changing planting dates as adaptation measures could be  
24 associated with ease of access and low cost of adaptation method by farmers. The lesser use  
25 of renting out of land and migration to urban areas may be attributed to the fewer  
26 opportunities in urban areas or other sectors for unskilled farmers.

27 Implementation of adaptation measures by farm households varied across the three study  
28 districts (Figure 5). In the Gujrat district, major adaptation measures adopted by farmers were:  
29 use of different crop varieties (39 %), changing planting dates (36.70 %), planting shade trees  
30 (31.30 %) and changing fertilizers (24 %). The main reason for changing crop variety,  
31 planting dates and plantation of shaded trees may be due to more dependence of farming on  
32 rain and groundwater for cultivation of crops in the Gujrat district. That's why farmers need

1 to modify their farming behaviors according to the variability in climate. In Toba Tek Singh  
2 district, changing crop variety (36 %), changing planting dates (17.30 %) and planting shade  
3 trees (17.30 %) were the primary adaptation measures. In Rahim Yar Khan, farmers mainly  
4 used changing planting dates (31.30 %), planting shaded trees (27.30 %), changing crop  
5 variety (22 %), changing fertilizer (20 %) and changing crop types (18 %) as the adaptation  
6 measures in a changing climate (Figure 5).

7 Moreover, we identified a number of constraints faced by the farmers who perceived  
8 long-term changes in climate and intended to adapt their farming in the second stage of the  
9 adaptation process, but did not adapt their farming in the third stage of the adaptation process.  
10 The major constraints identified by the majority of the respondents ( Figure 6) were lack of  
11 information (44 %) and lack of money (22 %) followed by resource constraint (17 %),  
12 shortage of irrigation water (14 %) and other constraints (2 %). Lack of information deals  
13 with less information access by the farmers either from private or public sources about how to  
14 modify their agriculture in case of extreme weather events, including high rainfall, water  
15 stress at sowing stage, extreme high temperature or extreme low temperature which are  
16 frequently mentioned as indicators of climate change. Farmers showed their intention to adopt  
17 particular adaptation measure in case of extreme weather events but did not manage to adapt  
18 due to improper information either about the adaptation method or usefulness of certain  
19 adaptation for their crops.

20 Lack of money is identified by responding farmers as another key constraint for adaptation,  
21 even if they plan to adapt to climate variability. Use of farm credit in the study sites is limited,  
22 despite access to micro-credit facilities available at the town level. High interest rates on  
23 credits are one of the reasons for little attraction of credit institutions to farmers. Less access  
24 to or availability of resources at farm level constrains the capability of adapting to climate  
25 change. Physical resources may include farm inputs (improved seed, fertilizers); farm  
26 implements (tools for soil conservation, cultivators, harvesters etc.) and institutional resources  
27 (water and soil testing laboratories).

28 Further, we asked farmers to identify best measures to enhance effective adaptation to climate  
29 variability. Respondent farmers identified provision of subsidies on farm inputs, updated farm  
30 information services and sufficient irrigation water supply as necessary means to enhance the  
31 adaptation of agriculture to climate variability in the study area.

### 32 **3.4. Adaptation to climate variability across regions and different farm characteristics**

1 From the results of the adaptation process explained above in section 3.2 and Figure 9, we can  
2 observe that farm level adaptation processes (perceptions, intentions and adaptation) are  
3 influenced by various factors. These adaptation measures can be further explored based on  
4 different characteristics of farm households or their location. Hence, we assume that  
5 perceptions, intentions and final decisions of adapting to climate change all differ in term of  
6 extent to choose different adaptation measures. To analyze this variation, we categorize the  
7 farm households on the basis of education and farming experience. On the basis of education,  
8 we divided farmers into three categories: illiterate farmers having no formal education;  
9 farmers having 1 to 10 years of schooling; and farmers having more than 10 years of  
10 schooling (Figure 7). In terms of farming experience, we again divided farmers into three  
11 categories, i.e. farmers having less than 10 years of experience in farming; farmers having  
12 10-20 years of farming experience, and farmers having more than 20 years of experience.

13 From the results shown in Figure 7, it can be observed that moving from a low education level  
14 to higher education level leads to an increase in the perception, intentions to adapt and final  
15 adaptation to climate change in all study districts. Overall, farmers with more than 10 years of  
16 schooling were more likely (44.2 %) to perceive changes in climate over the past 10-20 years  
17 than farmers with less than 10 years of schooling (25.8 %) or no education (11.3 %). In the  
18 case of intentions to adapt, farmers with less than 10 years of schooling (23.6 %) or no  
19 education (10.9 %) were less willing to adapt compared to farmers with more than 10 years of  
20 schooling (40.2 %). The same was found true in the case of adaptation to climate change  
21 where more than 31 % of the farmers who adapted to climate change had more than 10 years  
22 of schooling, and 18.2 % of the farmers had education between 1 and 10 years. Adaptation  
23 was lowest in case of illiterate farmers who were the only 8.4 % of the total sampled farmers  
24 who adapted to climate change. The same trend can be observed for all three study districts  
25 Rahim Yar Khan, Toba Tek Singh and Gujrat at district level with little variation (Figure 7).

26 Analysis of adaptation measures across different categories of farmers based on farming  
27 experience is explained in Figure 8. Farmers with more than 20 years of experience were  
28 more likely (40.9 %) to perceive variability in climate than farmers having experience  
29 between 10-20 years (28.2 %) or farmers having less than 10 years of experience (12.2 %).  
30 Similar results were obtained for both intentions to adapt and final adaptation to climate  
31 change. Overall, farmers with more than 20 years of farming experience (38.4 %) have higher  
32 intentions to adapt compared to the farmers in the other two groups, i.e. farmers with

1 experience between 10-20 years (26.2 %) and farmers with less than 10 years of experience  
2 (10 %). Farmers with more than 20 years of farming experience were the 30 % of the total  
3 farmers who adapted to climate change while farmers with experience between 10-20 years  
4 (20 %) and farmers with less than 10 years of experience (7.8 %) adapted less. Figure 8 shows  
5 the same pattern for all districts. In summary, the higher the level of education and farming  
6 experience for a given household, the higher its probability of adaptation to climate change.

### 7 **3.5. Factors affecting adaptation measures**

8 To quantify the impact of various explanatory factors affecting farmers' choice of adaptation  
9 methods, we used logistic regression models for all adaptation measures. The coefficients of  
10 logistic regression that tell us about the direction of effect of independent variables are  
11 presented in Table 4 and the marginal effects that explain the effect of a unit change in  
12 explanatory variables on the dependent variable are shown in Table 5. Finally  
13 partial-elasticity calculations to elaborate the percentage impact of various factors on the  
14 probability of different adaptation measures are described in Table 6. In the following  
15 sub-sections, we describe the impact of various explanatory variables on the probabilities of  
16 adopting different adaptation measures in response to variability in climate.

#### 17 3.5.1. Years of experience in farming

18 The coefficient of years of experience in farming has a positive sign for most of the  
19 adaptation measures indicating a positive relation between farming experience and possibility  
20 of adapting to climate change. According to results in Table 4, years of farming experience  
21 significantly increase the probability of choosing changing crop varieties, changing plantation  
22 dates and changing fertilizer as adaptation measures. Elasticity calculations in Table 6 show  
23 that 1 % increase in the years of experience increases the probability of adopting changing  
24 crop variety (0.14 %), changing planting dates (0.15 %) and changing fertilizer (0.11 %) as  
25 adaptation measures respectively. The results of the study are in accordance with those from  
26 Maddison (2007) and Nhemachena and Hassan (2007) which also found a positive  
27 relationship between farming experience and adaptation to climate change. Hence, it can be  
28 concluded that farmers with higher farming experience are likely to be more aware of past  
29 climate events and may judge better to adapt their farming to extreme weather events.

#### 30 3.5.2. Education

1 Education is assumed to be an important factor in accessing advanced information on new  
2 improved agricultural technologies and increased agricultural productivity (Norris and Batie,  
3 1987; Elahi et al., 2015). In our study, the highly significant coefficient of education of the  
4 household head shows that the probability of adapting to changes in climate increases with an  
5 increase in the years of schooling of the household head (Table 4). Results of elasticities in  
6 Table 6 show that 1 % increase in the years of schooling of household head would lead to an  
7 increase in the probability of changing crop type (0.08 %), changing crop variety (0.09 %),  
8 changing planting dates (0.17 %), plantation of shaded trees (0.08 %), soil conservation  
9 (0.08 %), changing fertilizer (0.15 %) and irrigation (0.09 %) as adaptation measures to  
10 climate variability. Various studies (Bryan et al., 2013; Deressa et al., 2009 and Maddison,  
11 2007) also found a significant positive relationship between education of household head and  
12 adaptation to climate change that supports the finding of this study. Hence, it can be  
13 concluded that farmers with more years of schooling are more likely to adapt to changes in  
14 climate compared to the farmers with little or no education.

### 15 3.5.3. Household size

16 A positive coefficient of household size indicates a positive relationship between household  
17 size and probability of adaptation. For instance, an increase in one member of the average  
18 household would lead to a 0.20 % increase in the likelihood of plantation of shaded trees and  
19 0.47 % increase in choice of soil conservation as adaptation measure. Findings of the studies  
20 of Croppenstedt et al. (2003) and Deressa et al. (2009) also support our findings of a positive  
21 relationship between household size and adoption of agricultural technology or adaptation to  
22 climate change.

### 23 3.5.4. Land area

24 Land area represents the total land area held by a farm household and may be taken as a proxy  
25 for farm household wealth. Results in Table 4 indicate that the land area has positive and  
26 significant impacts on changing crop varieties and crop types. A 1 % increase in the land area  
27 increases these probabilities of changing crop type and changing crop varieties by 0.01 % and  
28 0.06 % respectively (Table 6).

### 29 3.5.5. Tenancy status

30 Tenancy status indicates farmers' land tenure status as owner or tenant. In this study, tenancy

1 status has a negative sign for most of the adaptation measures which indicate that tenants are  
2 more likely to adapt their farming to perceived climate change compared to the self-operating  
3 farmers (owners). This can be observed from marginal effects presented in Table 5 that if the  
4 farmer is the owner, it reduces the probability of changing crop type (9.29 %), changing  
5 planting dates (7.64 %) and changing fertilizers (9.77 %). Increased likelihood of adaptation  
6 for tenants may be due to the reason that tenants are more conscious about their farm income  
7 compared to owners as former also has to pay the rent of land hence they will adapt more to  
8 climate change to keep their gross revenue above total cost as compared to owners.

#### 9 3.5.6. Tube well ownership

10 Tube well ownership indicates adequate supply of ground water for crops in time of need. The  
11 ownership of tube well is positively associated with the majority of the adaptation measures,  
12 even though the coefficients are insignificant. Moreover, ownership of tube well leads to 7.16  
13 % increase in the likelihood of adopting changing crop type and 9.69 % increase in the  
14 probability of changing fertilizer (Table 5). Hence, it can be concluded that farmers having a  
15 tube well are more likely to adapt their agriculture to climate change as they have the  
16 assurance of sufficient water supply to make any adjustment at farm level in response to  
17 variability in climate.

#### 18 3.5.7. Distance from the local market

19 Proximity to market may serve as a means of sharing and exchanging information with  
20 farmers and other service providers (Maddison, 2007). In this study for most of the adaptation  
21 measures, the coefficient of distance from the local market is negative which indicates that  
22 farmers located near to the local market have more chances to adapt to climate change  
23 compared to farmers who are far away from the market. A 1 % increase in the distance of the  
24 farm from nearest local market would result in a decrease of 0.05 % in the probability of the  
25 changing crop type (Table 6).

#### 26 3.5.8. Access to farm credit

27 Access to farm credit has an insignificant effect on the adaptation to climate change. Access  
28 to farm credit is positively related to changing crop variety and increased irrigation and  
29 negatively related to the changing crop type, changing planting dates, plantation of shaded  
30 trees, soil conservation, changing fertilizer and crop diversification, although not



1 significantly.

### 2 3.5.9. Access to information on water deliveries

3 Access to information on water deliveries has positive but insignificant impact on most of the  
4 adaptation measures except changing planting dates (Table 4). The access to information on  
5 water deliveries increases the likelihood of changing planting dates by 11.73 % (Table 5). We  
6 can conclude that farmers who have more access to information on water deliveries are more  
7 likely to adjust the planting dates according to water availability.

### 8 3.5.10. Information on weather forecasting

9 Information on seasonal and daily weather forecasting (i.e. temperature and rainfall) has a  
10 positive and significant effect on the probability of changing crop types, changing planting  
11 dates, plantation of shaded trees, soil conservation, changing fertilizer, irrigation and crop  
12 diversification as adaptation methods. Results in Table 5 show that access to information on  
13 seasonal and daily weather increases the probability of plantation of shaded trees (41.33 %),  
14 increased irrigation (17.50 %), changing fertilizers (16.95 %), soil conservation (16.33 %),  
15 changing planting dates (15.15 %), changing crop type (11.33 %), and crop diversification  
16 (8.17 %). In summary, the information on weather forecasting increases the likelihood of  
17 adaptation to climate change.

### 18 3.5.11. Extension of crop and livestock production

19 Agricultural extension is an ongoing process and can be defined as a systematic tool of  
20 dissemination of useful and practical information related to agriculture, including improved  
21 farm inputs, farming techniques and skills to farmers or rural communities with the objective  
22 of improving their farm production and income (Syngenta, 2014, Swanson and Claar, 1984).

23 Results in Table 4 indicate that the extension of crop production is significantly and positively  
24 related to changing crop variety. On the other hand, it is significantly and negatively related to  
25 the probability of changing crop type which may be due to the reason that farmers get poor  
26 information from crop production and adaptation to climate change, or the quality of  
27 extension is outdated. The results of the marginal effect in Table 5 show that access to  
28 extension services leads to 13.07 % increase in the likelihood of changing crop variety and  
29 decrease of 6.36 % in the likelihood of changing crop type as an adaptation method. For all  
30 other adaptation measures, no significant relationship is found between extension and

1 adaptation measures. These results support the farmers' complaint about lack of updated  
2 information on adaptation to climate change by the extension department.

### 3 3.5.12. Access to market information

4 Results of logistic regression show a positive association between access to market  
5 information and the adaptation to climate change though most of the coefficients are  
6 insignificant. The probability of changing crop type increases by 8.56 % if farmers have  
7 access to market information (Table 5).

### 8 3.5.13. Irrigated plains mixed cropping zone (base rain-fed zone)

9 Farmers living in different agro-ecological zones used different adaptation measures. For  
10 example, the farming in mixed cropping zones leads to an increase in the likelihood of  
11 changing crop variety (11.21 %), changing planting dates (24.47 %), planting trees (12.45 %)  
12 and changing fertilizers (13.35 %) compared to the farming in the cotton zone or rain-fed  
13 zone (Table 5). From the results, we can conclude that farmers in different cropping zones  
14 adapt differently based on cropping patterns and needs.

### 15 3.5.14. Irrigated plains cotton zone (base rain-fed zone)

16 Likelihood of changing crop type (7.82 %), soil conservation (7.10 %), irrigation (7.15 %)  
17 and crop diversification (6.89 %) increases in case of farming in the cotton zone (Rahim Yar  
18 Khan) compared to the farming in other zones. Moreover, farming in the cotton zone reduces  
19 the probability of changing crop varieties and changing plantation dates as adaptation  
20 methods by 28.85 % and 9.69 % respectively compared to the farming in other zones.

## 21 **3.6. Schematic framework of farmers' adaptation process**

22 A schematic framework of the farmers' adaptation process was developed based on field data  
23 analysis to summarize the adaptation process at farm level (Figure 9). In this framework, we  
24 described the farmers' adaptation process as a three-step procedure. In the first step, farm  
25 households perceive climate change and its adverse impacts on their agricultural production.  
26 These perceptions can be defined through various internal (socio-economic) and external (e.g.  
27 environmental or institutional) factors. In the second stage, farmers show their intentions to  
28 adopt certain measures to adapt to climate change that again can be described or influenced by  
29 internal and external factors mentioned in section 2.1. In the last and third stage, farmers

1 decide either to adapt or not to perceived changes in climate. Farmers' adoption of particular  
2 adaptation measures again may be subject to various internal and external factors (Table 4).  
3 While the farmers' decisions of not adapting to climate variability may be explained by  
4 various constraints elaborated by farmers, who do not adapted even having intentions (Figure  
5 2). In this framework, the width of connection lines shows the significance or insignificance  
6 of individual variables on the perceptions, intentions or adaptations. Green and blue lines  
7 represent positive and negative relations between interdependent variables (perceptions,  
8 intentions or adaptations), respectively, while dotted lines represent a weak link, and full lines  
9 show a significant relationship.

### 10 **3.7. Partial-elasticity comparisons across regions**

11 We further analyzed and compared the partial elasticities of explanatory variables for all  
12 adaptation methods across three study districts (Figure 10). From the results, it can be  
13 observed that elasticity scores range from -0.01 to 0.20 except for elasticity scores (0.30-0.40)  
14 of weather information variable in the planting trees model. Elasticity of farming experiences  
15 is higher for farmers in the district Rahim Yar Khan for most of the adaptation methods  
16 followed by farmers in district Toba Tek Singh and Gujrat respectively. The highest elasticity  
17 of farming experience was observed in case of adaptation measures changing crop varieties  
18 (0.15) and changing planting dates (0.16) in Rahim Yar Khan which indicates that farming  
19 experience increases the chances of adaptation to climate change in Rahim Yar Khan more  
20 compared to the districts of Toba Tek Singh and Gujrat. The same trend was found for  
21 elasticity of education where highest score (0.18) was obtained for changing planting dates in  
22 Rahim Yar Khan and a lowest elasticity score was found for crop diversification (0.02) in  
23 Gujrat. It can be concluded that education has more significant effects on adaptation to  
24 climate change in the district Rahim Yar Khan.

25 Elasticity calculations for household size show the highest elasticity in the case of planting  
26 trees in Rahim Yar Khan (0.19) while the lowest elasticity of household size ((but  
27 insignificant) was observed for changing crop variety (-0.07) for the Rahim Yar Khan district.  
28 Elasticities of household size were close to zero for the irrigation and crop diversification  
29 method of adaptation. In case of the variable of total land holding, the highest coefficient was  
30 observed for changing crop variety in district Rahim Yar Khan (0.07) while for adaptation  
31 methods soil conservation, changing fertilizer, irrigation and crop diversification, the  
32 coefficient was close to zero which indicates little or no effect of land holding on adoption of

1 these measures. Elasticity coefficients for tenancy status variable were higher for district  
2 Rahim Yar Khan followed by district Toba Tek Singh and Gujrat.

3

#### 4 **4. Conclusions and policy suggestions**

5 Climate change is a reality which is expected to have significant impacts on Pakistan's  
6 economy with an increase in the frequency of extreme events including floods and droughts  
7 and changing rainfall patterns (Asif 2013). Being severely dependent on natural water  
8 resources, agriculture in Pakistan is particularly vulnerable to further climate change. Hence,  
9 suitable adaptation measures to climate change are important. This study uses novel  
10 farm-level data from three distinct agro-ecological zones in Pakistan to analyze farmers'  
11 awareness and their adaptive capacities and measures to changes in climate.

12 This study reveals real and perceived constraints for farm-level adaptation to climate change.  
13 Most constraints are institutional in nature and can be covered with improving the  
14 institutional services in term of access, use and viability for climate adaptation. Furthermore,  
15 this study shows the importance of different types of institutional services such as easy access  
16 to information on weather forecasting and improved agricultural technologies; easy access to  
17 resources and financial services for the enhancement of farm level adaptation. However, the  
18 services currently provided at farm level are not sufficient to support an effective adaptation  
19 process. Hence there is dare need for collaborations at different levels of the adaptation  
20 process. These collaborations may include public-private partnerships or integration at  
21 horizontal and vertical levels of public and private organizations. This study also shows that  
22 farmers in different agro-ecological zones prefer different adaptation measures. This diversity  
23 confirms the need for research at local levels, i.e. in different agro-ecological zones, to  
24 develop efficient and effective adaptation strategies for the agriculture sector.

25 The study also shows that historical adaptation measures at farm level do generally not  
26 include advanced management technologies but are limited to simple measures, particularly  
27 changing crops or crop varieties. Very few farmers adopted advanced adaptation measures.  
28 As we already mentioned, the reason behind not using advanced measures lies in lack of  
29 knowledge and support from local institutions. Hence, future policies need to address barriers  
30 for the adoption of advanced adaptation measures at farm-level such as providing information  
31 and support, introducing climate smart varieties, promoting soil conservation and new  
32 adaptation measures based on different agro-ecological zones. Despite the need for locally

1 specific adaptation of agriculture to climate change, investment and research are also needed  
 2 at macro-level. Particularly, commodity prices, resource endowments, and environmental  
 3 impacts depend on regional and international developments but interact with local adaptation  
 4 measures.

5

## 6 **Appendix A: Marginal effect and elasticity calculations**

7 Let us have a logit function (in term of observed variable  $Y_{ij}$ ) already explained in equation (3)  
 8 in section 2:

$$9 \quad \Pr(Y_{ij} = 1) = Y_{ij} = G(X_k \beta) \quad (A1)$$

10 where  $G(\cdot)$  takes the specific binomial distribution (Fernihough 2011).

11 If we take the partial derivative of equation (3) with respect to explanatory variable  $X_k$ , by  
 12 applying chain rule (Dawkins, 2005), it will give us the marginal effect:

$$13 \quad \frac{\partial Y_{ij}}{\partial X_k} = \frac{\partial G(X_k \beta)}{\partial X_k} = \frac{\partial G(X_k \beta)}{\partial X_k \beta} \cdot \frac{\partial X_k \beta}{\partial X_k} = G'(X_k \beta) \cdot \beta_k = g(X_k \beta) \beta_k \quad (A2)$$

14 As we know that

$$15 \quad G(X_k \beta) = \frac{e^{X_k \beta}}{1 + e^{X_k \beta}}$$

16 So the derivative of  $G(X_k \beta)$  with respect to  $X_k \beta$  by applying quotient rule (Dawkins, 2005),  
 17 will be followed as:

$$18 \quad g(X_k \beta) = \frac{(1 + e^{X_k \beta}) \cdot e^{X_k \beta} - e^{X_k \beta} \cdot e^{X_k \beta}}{(1 + e^{X_k \beta})^2} \\ = \frac{e^{X_k \beta}}{(1 + e^{X_k \beta})^2} \quad (A3)$$

19 If we put the value of  $g(X_k \beta)$  from equation (A3) into equation (A2) then it becomes:

$$20 \quad \frac{\partial Y_{ij}}{\partial X_k} = \frac{e^{X_k \beta}}{(1 + e^{X_k \beta})^2} \cdot \beta_k \quad (A4)$$

21 Usually marginal effects are calculated at mean of explanatory variables ( $\bar{X}_k$ ) so we may  
 22 replace  $X_k$  with mean value of  $\bar{X}_k$  (Schmidheiny, 2013):

$$\begin{aligned}
&= \frac{e^{(\bar{X}_k \beta)}}{1 + e^{(\bar{X}_k \beta)}} \cdot \frac{1}{1 + e^{(\bar{X}_k \beta)}} \cdot \beta_k \\
&= \Pr(Y_{ij} = 1) \cdot \left( 1 - \frac{e^{(\bar{X}_k \beta)}}{1 + e^{(\bar{X}_k \beta)}} \right) \cdot \beta_k \\
&= \Pr(Y_{ij} = 1) \cdot (1 - \Pr(Y_{ij} = 1)) \cdot \beta_k
\end{aligned}$$

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Partial-elasticity can be easily calculated from marginal effects. As we already know, elasticity is responsiveness of the dependent variable in percentage for a percentage change in the independent variable. But the elasticity measure for logistic regression is different from other normal elasticity measures because in case of logistic regression the dependent variable is a unit less number and takes the values between 0 and 1 (Curran 2010). Hence partial-elasticity ( $\eta_Y$ ) for logistic regression may be defined as:

$$\eta_Y(X_k) = X_k \cdot \frac{\partial G(X_k \beta)}{\partial X_k} \quad (\text{A5})$$

8

$$\frac{\partial G(X_k \beta)}{\partial X_k}$$

9

As  $\frac{\partial G(X_k \beta)}{\partial X_k}$  is simply the marginal effect of logistic regression (see equation 4) so we may write equation A5 as:

10

$$\eta_Y(X_k) = X_k \cdot \Pr(Y_{ij} = 1) \cdot (1 - \Pr(Y_{ij} = 1)) \beta_k \quad (\text{A6})$$

11

12

13

Moreover we can conclude partial-elasticity  $X_k$  times the marginal effect ( $y_{ij}'$ ) (Rahji and Fakayode, 2009).

14

15

In a similar way of calculating marginal effects, partial elasticities are also calculated at mean of explanatory variables ( $\bar{X}_k$ ) so we may write equation (7) as:

$$\eta_Y(\bar{X}_k) = \beta_k \bar{X}_k \Pr(Y_{ij} = 1) (1 - \Pr(Y_{ij} = 1)) \quad (\text{A7})$$

16

$$\Pr(Y_{ij} = 1) = \frac{e^{(\bar{X}_k \beta)}}{1 + e^{(\bar{X}_k \beta)}}$$

17 Where

18

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20

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28

1 Table 1. The Study Districts

2	Districts	City ( <i>Tehsil</i> )	Union councils selected	No of farmers interviewed
3	Rahim Yar Khan	Khanpur	4	75
		Liaqatpur	6	75
	Toba Tek Singh	Toba Tek Singh	6	75
		Gojra	6	75
	Gujrat	Gujrat	7	75
		Kharian	6	75
	<b>Total</b>		<b>35</b>	<b>450</b>

1 Table 2. Description of Explanatory Variables Used in the Model

Explanatory variable	Mean	Std. Deviation	Description	Expected signs
Years of experience in farming	24.37	11.97	Continuous	(+)
Years of education	8.510	4.256	Continuous	(+)
Household size (numbers)	9.664	5.133	Continuous	(+)
Land holding (acres)	16.06	28.53 <sup>1</sup>	Continuous	(+)
Livestock ownership	0.607	0.489	Dummy takes the value 1 if owned and 0 otherwise	(+)
Tube well ownership	0.630	0.482	Dummy takes the value 1 if owned and 0 otherwise	(-)
Distance from local market (km)	9.089	7.610	Continuous	(-)
Access to credit	0.096	0.294	Dummy takes the value 1 if have access and 0 otherwise	(+/-)
Extension on crop and livestock production	0.260	0.439	Dummy takes the value 1 if have access and 0 otherwise	(+)
Information on weather forecasting	0.836	0.371	Dummy takes the value 1 if have access and 0 otherwise	(+)
Access to marketing information	0.762	0.426	Dummy takes the value 1 if have access and 0 otherwise	(+)
Access to information on water deliveries	0.784	0.412	Dummy takes the value 1 if have access and 0 otherwise	(+/-)
Irrigated plains cotton zone (base rain-fed zone)	0.330	0.472	Dummy takes value 1 if district “Rahim Yar Khan” and 0 otherwise	(+/-)
Irrigated plains mixed cropping zone (base rain-fed zone)	0.330	0.472	Dummy takes value 1 if district “Toba Tek Singh” and 0 otherwise	(+/-)

2 <sup>1</sup>This large standard deviation is due to presence of large land holders in district Rahim Yar  
3 Khan

4

Table 3. Hypothesis Testing for Model Significance and Predictive Power

Models	Chi-square ( $\chi^2$ )	df	P- level	-2*log likelihood	AIC	Model <sup>1</sup> correctness (%)	Nagelkerke pseudo R2
Changing crop type	65.18	14	0.00	-115.89	261.77	89.90	0.28
Changing crop variety	64.91	14	0.00	-250.38	530.77	71.30	0.19
Changing planting dates	66.99	14	0.00	-235.20	500.40	76.40	0.20
Planting trees	68.55	14	0.00	-220.41	470.82	76.40	0.21
Soil conservation	56.71	14	0.00	-188.25	258.07	91.10	0.22
Changing fertilizer	46.52	14	0.00	-114.04	406.51	83.60	0.19
Irrigation	42.51	14	0.00	-122.82	275.65	90.40	0.19
Crop diversification	28.19	14	0.01	-106.40	242.81	92.40	0.15

3 <sup>1</sup> based on the classification table

4 P-level shows the statistical significance to reject the null hypothesis (Ho)

5 AIC (Akaike information criterion) measures the relative quality of the statistical mode

1 Table 4. Parameter Estimates of the Logistic Regression Models of Farm Level Adaptation Measures

Explanatory variables	Changing crop type	Changing crop variety	Changing planting dates	Planting trees	Soil conservation	Changing fertilizer	Irrigation	Crop diversification
Intercept	-5.0048***	-1.2789**	-3.1395***	-4.9009***	-6.9262***	-4.845***	-5.587***	-3.826***
Farm experience (years)	0.0065	0.0316***	0.0350***	-0.0029	0.0217	3.314***	0.018	0.002
Years of Education	0.1336***	0.0618**	0.1229***	0.0641**	0.1395***	1.397***	0.142***	0.038
Household size	0.0316	-0.0365	0.0141	0.1102***	0.0644**	2.469	-0.002	-0.007
Land area (acres)	0.0093**	0.0200***	0.0026	-0.0048	-0.0020	-1.679	0.003	0.006
Tenancy status owner (base tenant)	-1.2338***	-0.4066	-0.6840***	-0.0057	-0.5095	-7.371**	-0.565	-0.322
Tube well ownership	0.9512**	-0.1819	0.0511	0.2835	0.4408	7.316**	0.405	0.213
Distance from the local market	-0.0773**	-0.0156	-0.0104	0.0163	-0.0378	-6.844	-0.051	-0.063
Access to farm credit	-0.1793	0.0876	-0.0924	-0.4597	-0.0478	-1.736	0.247	-0.192
Access to information on water deliveries	-0.7165	0.5820	0.6729**	-0.1998	0.2123	5.549	-0.210	0.158
Information on weather forecasting	1.5052**	-0.2564	0.8692**	2.5448***	2.2544**	1.279**	2.207**	1.255**
Extension on crop and livestock production	-0.8448**	0.6958**	0.2537	0.2829	-0.3809	-1.976	-0.536	-0.642
Access to market information	1.1377**	0.1153	-0.0616	0.0088	0.1759	9.942	0.161	0.165
Mixed cropping zone (base rain-fed zone)	-0.7351	-0.5965**	-1.4044***	-0.7664**	-0.6644	-1.008**	-0.696	-0.954
Cotton zone (base rain-fed zone)	1.0392**	-1.5353***	-0.5562**	-0.1057	0.9810**	-3.330	0.901**	1.058**
N	450	450	450	450	450	450	450	450

2 \*\*\*, \*\* Significant at 1 % and 5 % probability level, respectively

3

1 Table 5. Marginal Effects from the Binary Logistic Models of Farm Level Adaptation Measures

Explanatory variables	Changing crop type	Changing crop variety	Changing planting dates	Planting shaded trees	Soil conservation	Changing fertilizer	Irrigation	Crop diversification
Farm experience (years)	0.0005	0.0059	0.0061	-0.0005	0.0016	0.0044	0.0014	0.0001
Years of Education	0.0101	0.0116	0.0214	0.0104	0.0101	0.0185	0.0112	0.0025
Household size (numbers)	0.0024	-0.0069	0.0025	0.0179	0.0047	0.0033	-0.0001	-0.0004
Land area (acres)	0.0007	0.0038	0.0005	-0.0008	0.0001	0.0000	0.0002	0.0004
Tenancy status owner (base tenant)	-0.0929	-0.0764	-0.1192	-0.0009	-0.0369	-0.0977	-0.0448	-0.0210
Tube well ownership	0.0716	-0.0342	0.0089	0.0460	0.0319	0.0969	0.0321	0.0139
Distance from the local market	-0.0058	-0.0029	-0.0018	0.0026	-0.0027	-0.0009	-0.0041	-0.0041
Access to farm credit	-0.0135	0.0165	-0.0161	-0.0747	-0.0035	-0.0230	0.0196	-0.0125
Access to information on water deliveries	-0.0539	0.1093	0.1173	-0.0324	0.0154	0.0735	-0.0166	0.0103
Information on weather forecasting	0.1133	-0.0482	0.1515	0.4133	0.1633	0.1695	0.1750	0.0817
Extension on crop and livestock production	-0.0636	0.1307	0.0442	0.0459	-0.0276	-0.0262	-0.0425	-0.0418
Access to market information	0.0856	0.0217	-0.0107	0.0014	0.0127	0.0132	0.0128	0.0108
Irrigated plains mixed cropping zone (base rain-fed zone)	-0.0553	-0.1121	-0.2447	-0.1245	-0.0481	-0.1335	-0.0552	-0.0621
Irrigated plains cotton zone (base rain-fed zone)	0.0782	-0.2885	-0.0969	-0.0172	0.0710	-0.0441	0.0715	0.0689
N	450	450	450	450	450	450	450	450

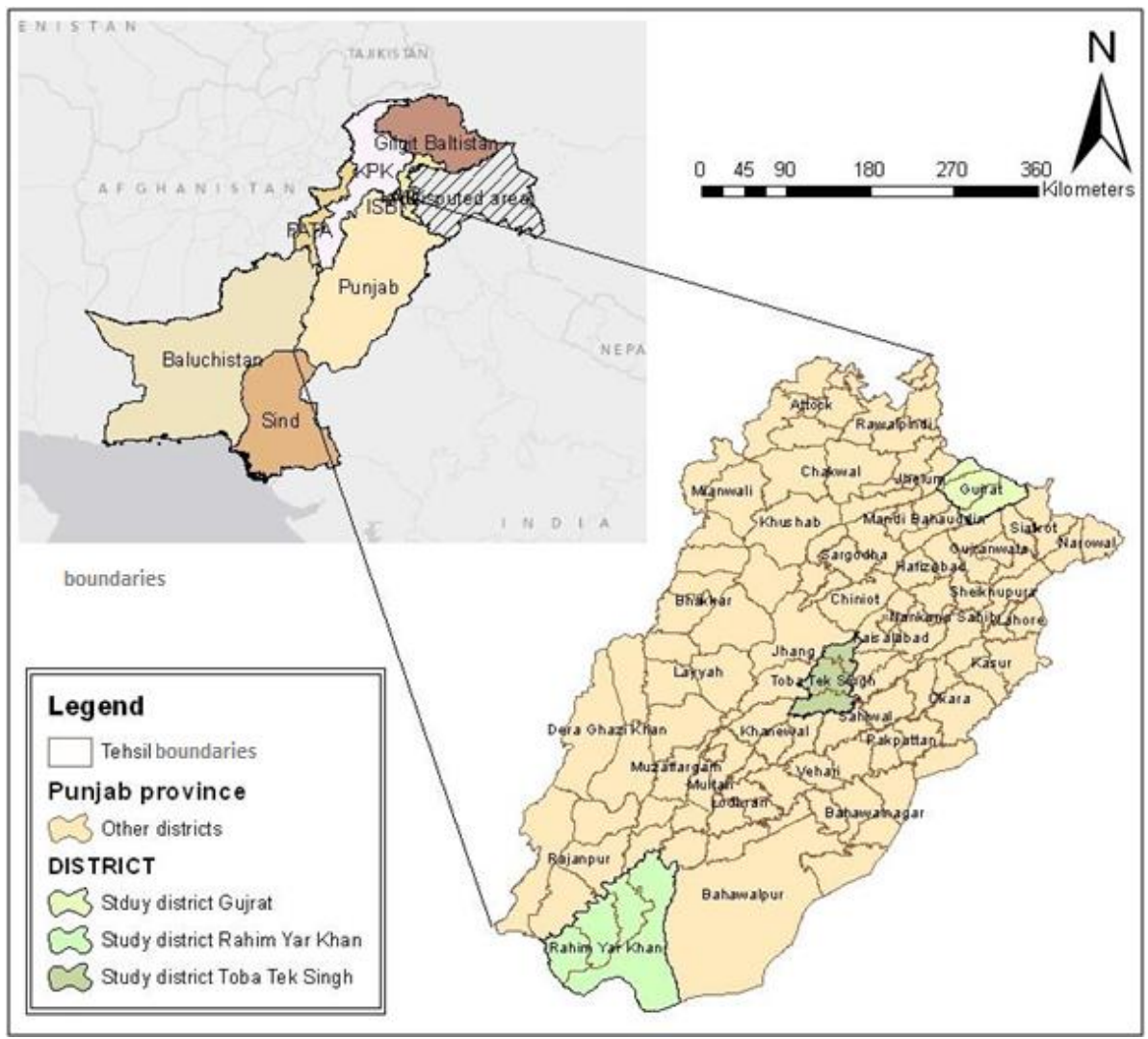
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1 Table 6. Elasticity Calculations of the Binary Logistic Models of Farm Level Adaptation Measures

Explanatory variables	Changing crop type	Changing crop variety	changing planting dates	planting shaded trees	Soil conservation	Changing fertilizer	Irrigation	Crop diversification
Farm experience (years)	0.0119	0.1445	0.1487	-0.0114	0.0383	0.1070	0.0348	0.0026
Years of Education	0.0817	0.0942	0.1739	0.0845	0.0821	0.1503	0.0911	0.0203
Household size (numbers)	0.0230	-0.0662	0.0238	0.1729	0.0450	0.0316	-0.0014	-0.0041
Land area (acres)	0.0113	0.0604	0.0074	-0.0124	0.0023	0.0000	0.0032	0.0062
Tenancy status owner (base tenant)	-0.0752	-0.0619	-0.0965	-0.0008	-0.0299	-0.0791	-0.0363	-0.0170
Tube well ownership	0.0451	-0.0215	0.0056	0.0290	0.0201	0.0611	0.0202	0.0088
Distance from local market	-0.0529	-0.0267	-0.0164	0.0241	-0.0249	-0.0082	-0.0371	-0.0374
Access to farm credit	-0.0043	0.0053	-0.0052	-0.0239	-0.0011	-0.0074	0.0063	-0.0040
Access to information on water deliveries	-0.0421	0.0853	0.0915	-0.0253	0.0120	0.0574	-0.0130	0.0080
Information on weather forecasting	0.0952	-0.0405	0.1272	0.3472	0.1371	0.1424	0.1470	0.0687
Extension on crop and livestock production	-0.0273	0.0562	0.0190	0.0198	-0.0119	-0.0113	-0.0183	-0.0180
Access to market information	0.0651	0.0165	-0.0082	0.0011	0.0097	0.0100	0.0097	0.0082
Irrigated plains mixed cropping zone (base rain-fed zone)	-0.0183	-0.0370	-0.0808	-0.0411	-0.0159	-0.0441	-0.0182	-0.0205
Irrigated plains cotton zone (base rain-fed zone)	0.0258	-0.0952	-0.0320	-0.0057	0.0234	-0.0146	0.0236	0.0227

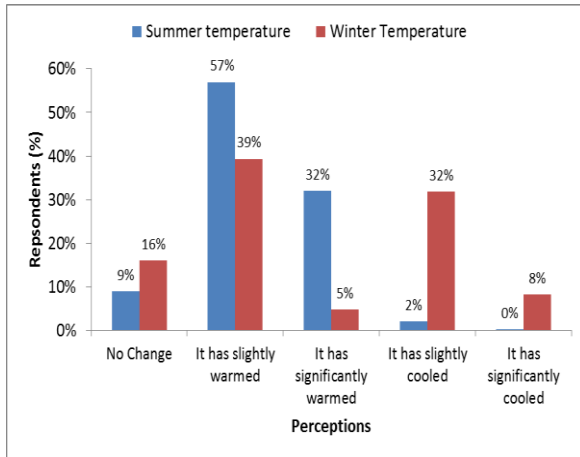


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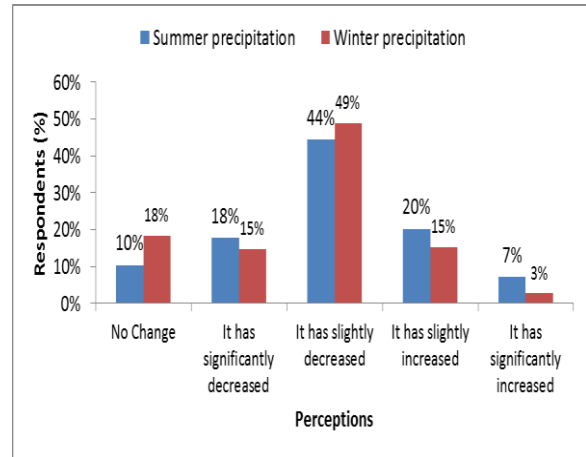
Figure 1. Sample study districts Punjab province, Pakistan

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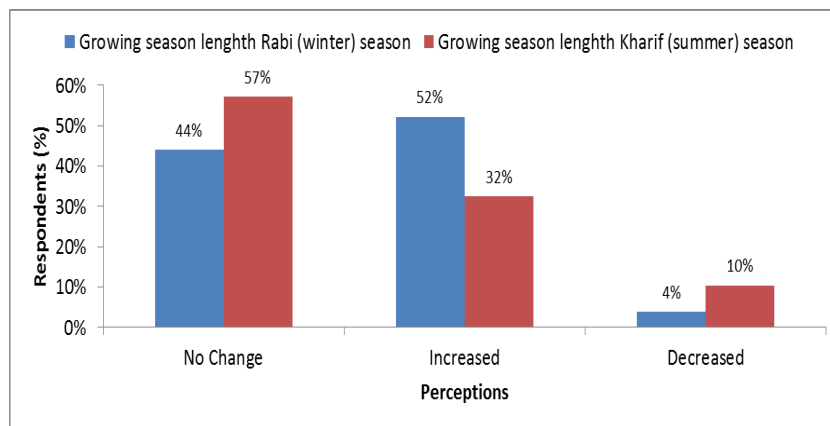


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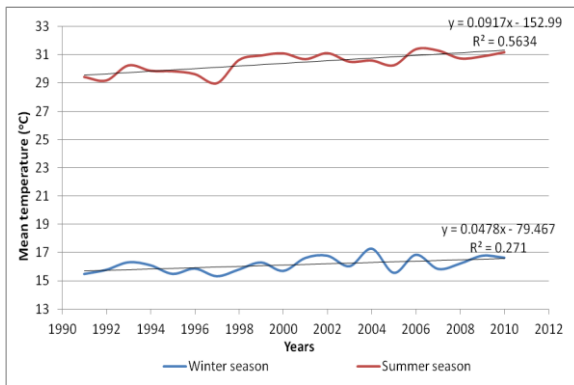


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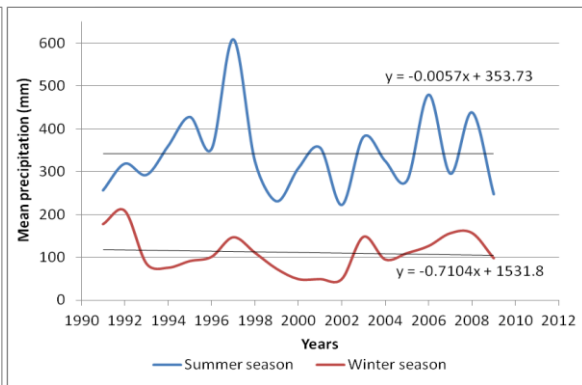
4 Figure 2 (a)-(c). Farmers' perceptions of climate change in study area Punjab Pakistan

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(a)



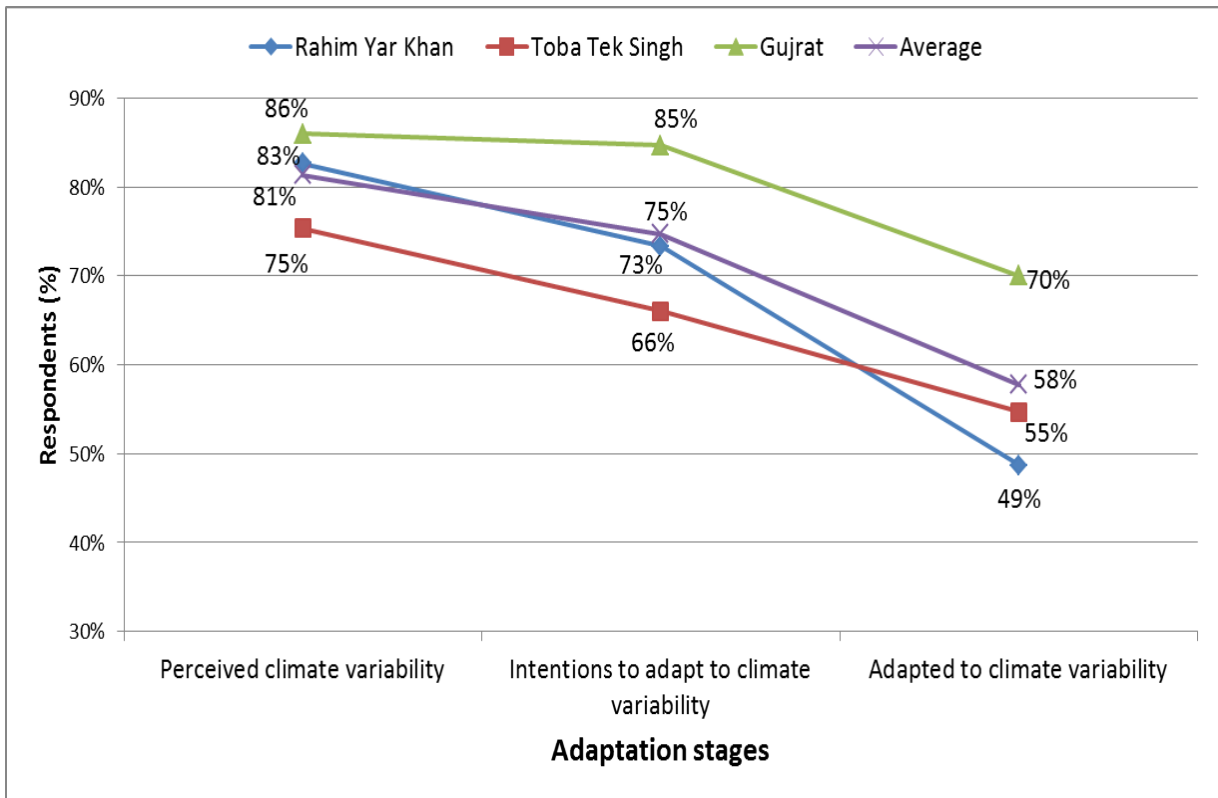
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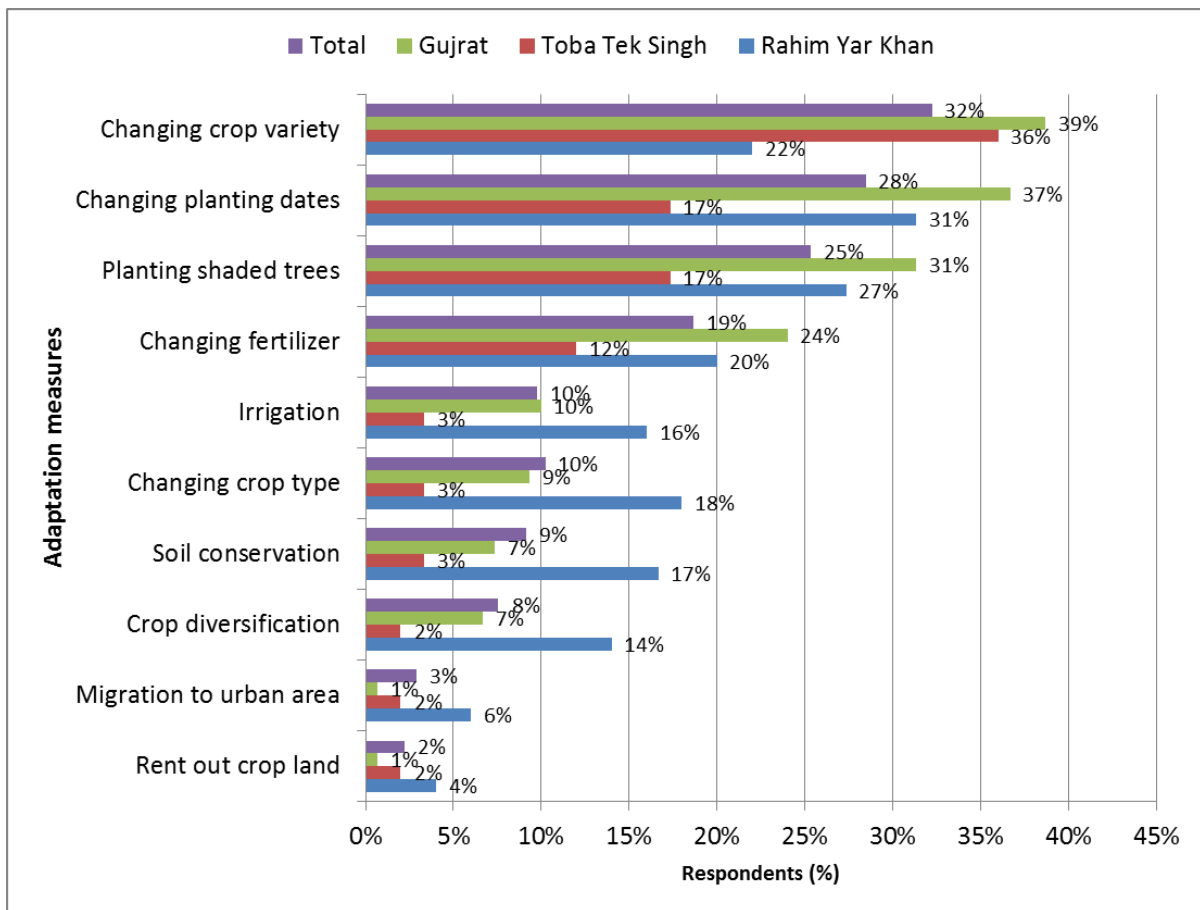
3 Figure 3 (a-b). Mean temperature and precipitation trends in study area over the period of  
4 1990-2010

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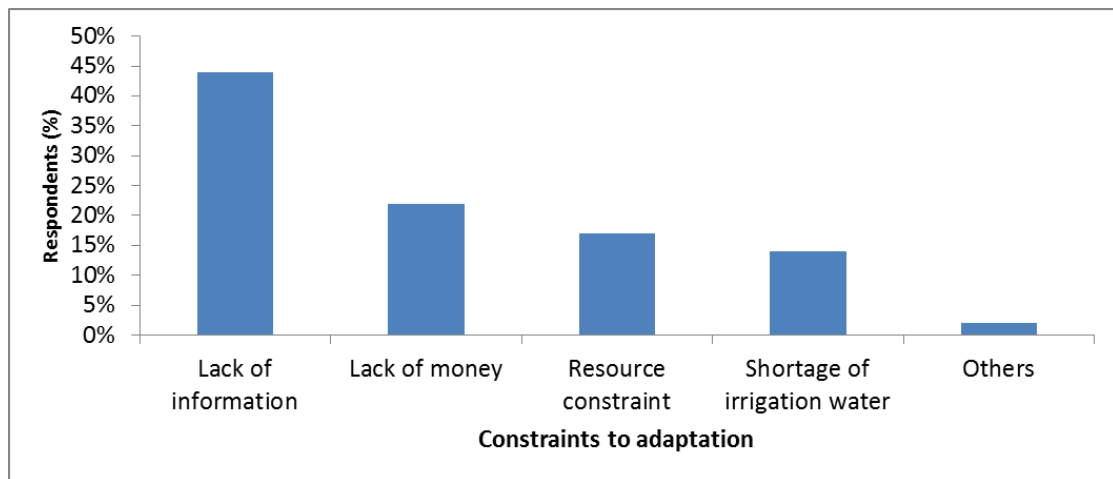
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Figure 4. Perceptions, intentions and adaptation to climate change across different study districts



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Figure 5. Adaptation measures adopted by farmers across three study areas in Punjab, Pakistan

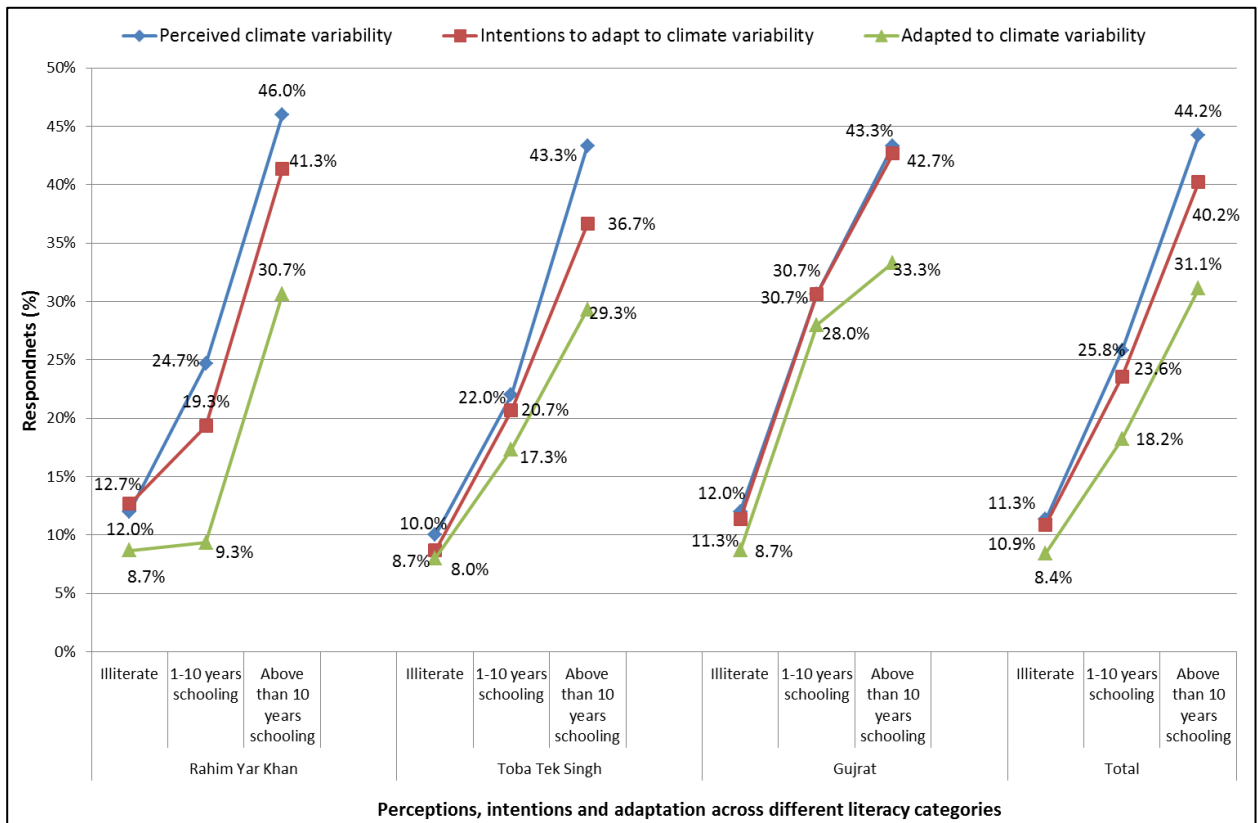


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3 Figure 6. Constraints to adaptation to climate change in the study area

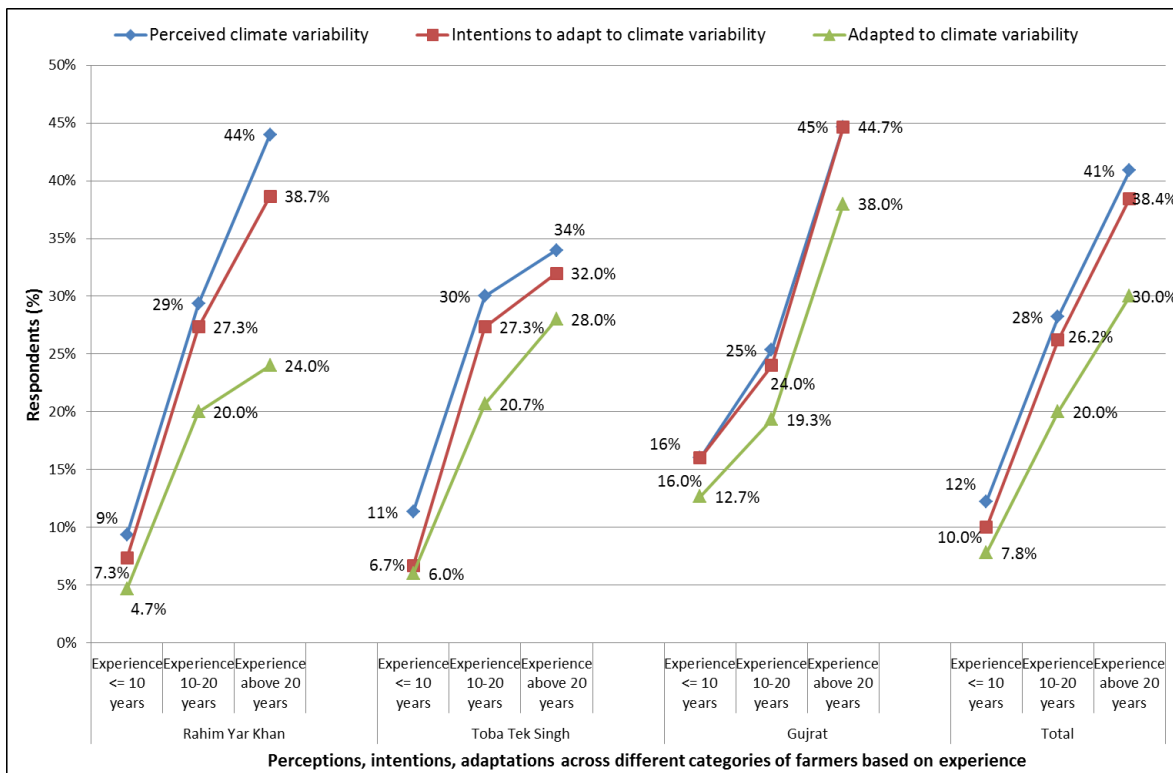
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Figure 7. Adaptation to climate variability across different categories of farmers based on education level



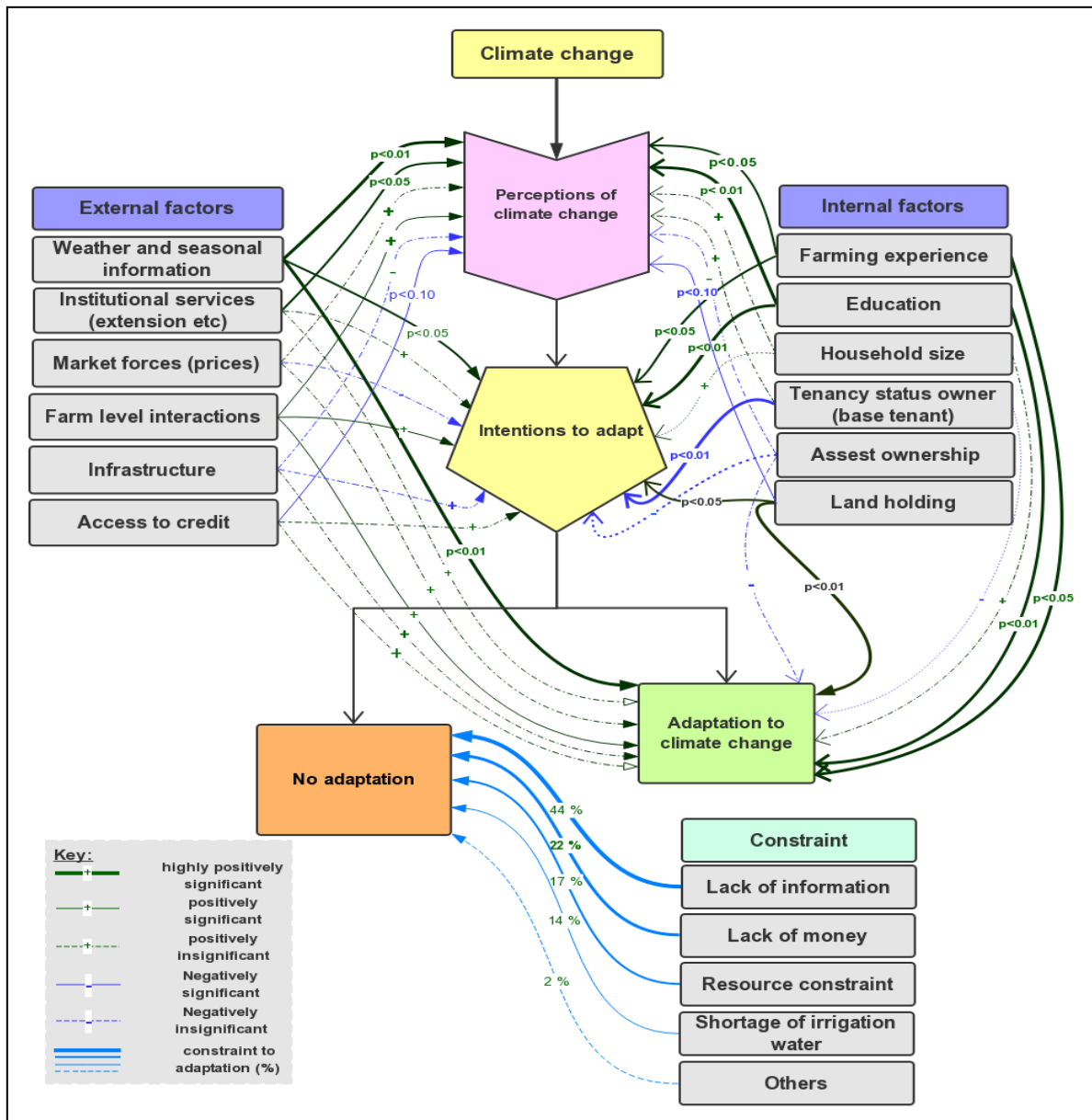


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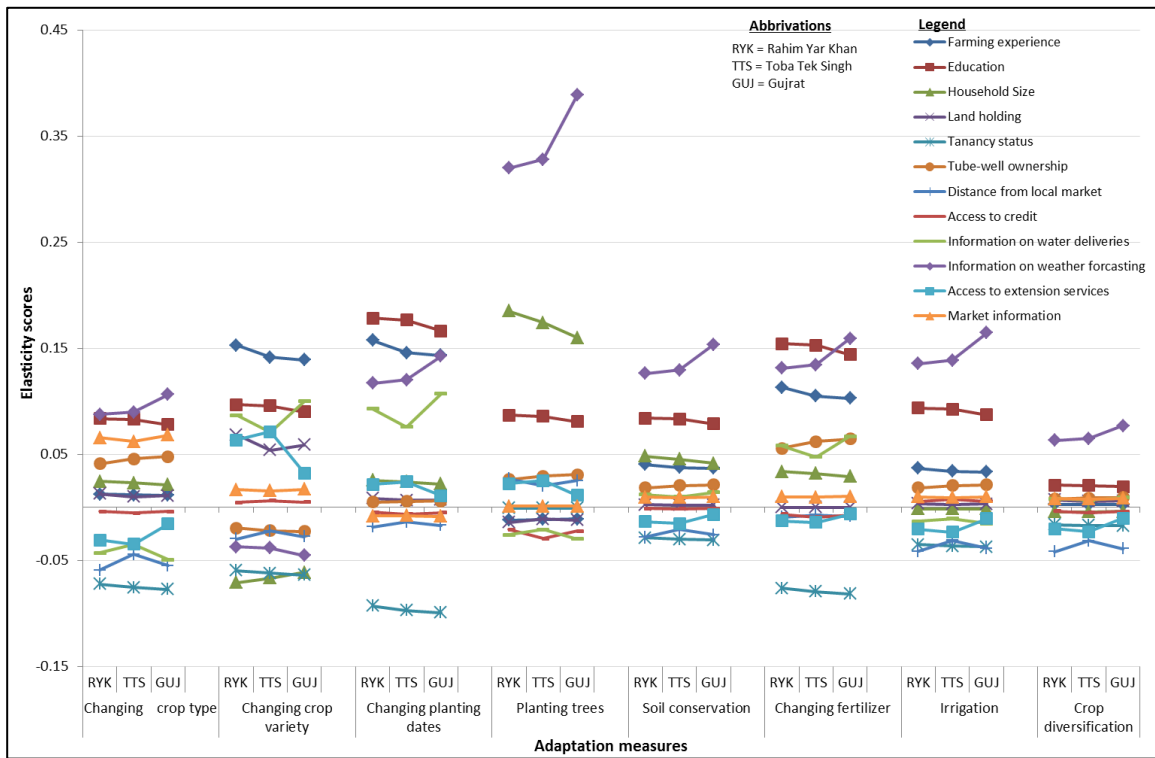
3 Figure 8. Perceptions, intentions and adaptation to climate change across different categories  
 4 of farmers on farming experience in Punjab

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Figure 9. Schematic framework of farmers' adaptation process in Pakistan (own illustration)



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Figure 10. Partial-elasticity calculations across three study districts of Punjab province