

Exploring objective climate classification for the Himalayan arc and adjacent regions using gridded data sources

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Abstract:

A three-step climate classification was applied to a spatial domain covering the Himalayan arc and adjacent plains regions using input data from four global meteorological reanalyses. Input variables were selected based on an understanding of the climatic drivers of regional water resource variability and crop yields. Principal components analysis (PCA) of those variables and k-means clustering on the PCA outputs revealed a reanalysis ensemble consensus for eight macro-climate zones. Spatial statistics of input variables for each zone revealed consistent, distinct climatologies. This climate classification approach has potential both for enhancing assessment of climatic influences on water resources and food security as well as for characterising the skill and bias of gridded datasets, both meteorological reanalyses and climate models, for reproducing sub-regional climatologies. Through their spatial descriptors (area, geographic centroid, elevation mean range), climate classifications also provide metrics, beyond simple changes in individual variables, with which to assess the magnitude of projected climate change. Such sophisticated metrics are of particular interest for regions, including mountainous areas, where natural and anthropogenic systems are expected to be sensitive to incremental climate shifts.

[1] Introduction

32 The first objective, quantitative systems for global climate classification were developed in the early
33 20th century by integrating climate data to delineate zones of coherent vegetation type or eco-region
34 (Belda et al., 2014). By distilling information from multiple climate variables which affect
35 vegetation typology, climatic classifications can provide a framework for understanding natural
36 resource systems (Elguindi et al., 2013). By focusing specifically on climate variables which govern
37 river flows and crop growth, derived climate classifications can also yield insight into the
38 dependency of agricultural production on water resources. However, the bulk of recent literature
39 (e.g. Chen and Chen, 2013; Mahlstein et al., 2013; Zhang and Yan, 2014) is global in scope. In this
40 study we focus for the first time on a specific classification for the Himalayan arc and adjacent
41 regions, concentrating on climate types relevant to the spatial domain and time period of interest.

42 The Himalayan arc and Tibetan Plateau give rise to river systems which sustain populations
43 numbering in the hundreds of millions (Immerzeel et al., 2010). To derive climate classifications for
44 this region we focus on climate variables which control the hydrological regimes of catchments
45 with mountainous headwaters, and hence with substantial runoff contributions from snow and
46 glacial melt, as well crop yields. Our precise study area encompasses the Indus, Ganges and
47 Brahmaputra basins and is shown in Figure 1. The topographic contrast is stark between the high
48 elevation areas of the Himalayan arc and Tibetan plateau, and adjacent lowlands of the Indo-
49 Gangetic plains and deserts of Central Asia. Another striking feature of Figure 1 is the extent of
50 area under irrigation in South Asia. The crops produced by these irrigated surfaces are crucial to the
51 food security of Pakistan, India, Bangladesh and beyond (de Fraiture and Wichelns, 2010). Archer
52 et al. (2010) point out that the semi-arid plains of the Lower Indus had only marginal (rainfed)
53 agricultural viability until the development of irrigation infrastructure. Irrigation demand in the
54 Lower Indus is supplied by run-off from the Hindu Kush, Karakoram and Western Himalaya. Thus
55 holistic understanding of regional food security depends upon characterisation of the spatial as well
56 as climatological differences of these hydrologically-connected sub-regions. Furthermore, it is
57 possible that these sub-regions will experience distinct trajectories of change in the coming decades.
58 Differential rates, or even signs, of change could substantially alter the regional balance of irrigation
59 water supply and demand. The climate classification approach offers a framework within which to
60 evaluate such water balance scenarios.

61 Global meteorological reanalyses provide coherent syntheses of atmospheric states including
62 radiative and mass flux exchanges with the sea or land surface. In this paper we compare the
63 climatologies described for the study area from four reanalyses – JRA-55 (Ebita et al., 2011), ERA-
64 Interim (Dee et al., 2011), NASA MERRA (Rienecker et al., 2011) and NCEP CFSR (Saha et al.,

65 2011) – which encompass the recent decades rich in data from both ground-based and satellite-
66 borne instruments. In assessing climate classifications derived from each reanalysis we are not only
67 interested in how the climatically-defined zones relate to water resource supply – mountainous
68 headwaters – and demand – irrigated plains – areas, but also in how the classifications derived from
69 individual reanalyses relate to each other. These inter-comparisons establish a methodology for
70 evaluating gridded datasets, including global and regional climate simulations (Elguindi et al.,
71 2014) as well as reanalyses. Comparisons can be made not only between different models but also
72 between different time periods (“time-slices”), for either historical datasets (Belda et al., 2014;
73 Chen and Chen, 2013) or simulations by climate models (Mahlstein et al., 2013). Temporal changes
74 in derived climate zones can be assessed in terms of both projected spatial changes (areal extent,
75 elevation range, etc.) and of projected climatic changes (mean, annual range, etc.) in the individual
76 climate variables used to create the classification.

77

78 **[2] Data and Methods**

79 **[2.1] Reanalysis datasets**

80 Reanalyses are generally conducted by institutions responsible for meteorological forecasting and
81 are undertaken in part to assess the performance forecasting models and the data assimilation
82 systems which support them (Uppala et al., 2005). The resulting coherent multi-decadal syntheses
83 of climate conditions, however, are of substantial utility to a much broader spectrum of natural
84 scientists. In this study we draw upon data from four reanalyses produced by agencies from diverse
85 geographic regions. Characteristics of the reanalyses used in this study are provided in Table 1 and
86 differ in both spatial and temporal resolutions. Given the forecast-driven nature of reanalyses it is
87 common for time-steps to be organised in 6-hour synoptic forecasting time windows. The NASA
88 MERRA dataset is distinct in that the default time-step is hourly. In all cases daily means were
89 calculated as the mean of the available sub-daily time-steps. Daily maximum and minimum were
90 taken as the highest and lowest values respectively amongst the sub-daily time-steps unless reported
91 specifically as was the case for NCEP CFSR. Diurnal range was calculated as maximum minus
92 minimum. In order to make extracted climatic values as comparable as possible, a common
93 reference period, 1980 to 2009, available from each of the reanalyses, was selected for this study.
94 However, comparability of the results was still limited by differing spatial resolutions of the
95 reanalyses as both temperature and precipitation are greatly influenced by topography in
96 mountainous regions (Immerzeel et al., 2012). The fidelity with which each reanalysis reproduces
97 the topography of the study area is limited by its spatial resolution. For this reason, the JRA-55 –
98 1.25 x 1.25 decimal degree resolution – dataset is expected to be handicapped compared to NCEP

99 CFSR – 0.50 x 0.50 decimal degree resolution –dataset. Nevertheless, other elements, including
100 efficacy of data assimilation and realism of land-surface process algorithms, are also expected to
101 play substantial roles in determining reanalysis skill.

102

103 **[2.2] Selection of climate variables governing water resources and food security**

104 The utility of a climate classification depends on the extent to which it reflects the climatic
105 constraints which govern physical processes of interest. If, for example, geochemical processes
106 such as pollutant mobilisation are an overwhelming concern, sensitivity studies can be conducted to
107 identify the key climatic factors involved (e.g. Nolan et al., 2008). In this paper the processes of
108 interest are river flows from mountainous headwaters and agricultural production, both of which
109 depend upon inputs of mass (precipitation) and energy (ambient temperature and incoming
110 radiation). From a simulation standpoint, common approaches for modelling both meltwater
111 generation from seasonal snowpack and glaciers (Ragetti et al., 2013) as well as crop yields
112 (Baigorria et al., 2007; Kar et al., 2014) require both air temperature and incoming radiation in
113 addition to precipitation as input data. Furthermore, moisture exchanges from the land surface and
114 atmosphere depend upon the latter's vapour pressure deficit which is commonly expressed as
115 relative humidity. Whilst these parameters can be observed directly, the diurnal temperature range
116 (DTR) also acts as an effective proxy for ambient moisture conditions (Easterling et al., 1997).

117 In establishing the methodology used here, we favoured reanalysis variables with the simplest
118 relationship to commonly observed parameters at ground-based stations. Hence, T_{avg} (mean
119 temperature) and DTR – which together describe the diurnal temperature cycle and can be
120 calculated at stations recording solely~~both calculated from~~ T_{max} (maximum temperature) and T_{min}
121 (minimum temperature) – along with precipitation were selected as governing variables. An
122 exception to this principle was made in selecting net incoming shortwave radiation (SW_{net}) at the
123 ground surface as a governing variable due to the importance of seasonal snow-cover in the
124 hydrological regimes of major Himalayan and Tibetan river systems. SW_{net} can be observed at
125 standard manned meteorological stations and automatic weather station (AWS) units if they are
126 equipped with radiometers, but is also indirectly available from remote sensing via albedo and
127 cloud climatology. It was largely for the linkage between SW_{net} and snow cover via albedo that the
128 former was selected as key variable. Specifically, land surfaces with full snow cover have a much
129 higher albedo than “bare ground” and albedo evolves during snowpack accumulation and ablation
130 when snow cover is partial. Albedo in turn modulates net shortwave absorption from incoming solar
131 radiation at the surface. Thus net shortwave radiation can serve as a proxy for snow cover. The
132 linkage between SW_{net} and cloud cover is also useful as the latter is an indicator of large-scale

133 weather system – mid-latitude westerly or tropical monsoon – influence. Cloud cover influences
134 SW_{net} by modulating the amount of incoming shortwave radiation reaching the surface. In the
135 absence of snow cover, suppression of SW_{net} in summer months over South Asia is likely due to
136 monsoonal activity while suppression in other months suggests mid-latitude westerly disturbances.
137 Table 2 lists the governing variables selected for this study, including the seasonal aggregates of
138 interest, and summarises their physical significance.

139 Prior to derivation of climate classifications, a comparison of the climatologies from the individual
140 reanalyses provide a context within which differences can be interpreted. To establish a common
141 framework, the “native” resolution data from each reanalysis was regridded (sub-divided) to a
142 common $0.25 * 0.25$ decimal degree spatial resolution. Ensemble means were calculated, by grid
143 cell, from the simple averages of the four reanalyses. There was no weighting applied from any
144 metric of skill or confidence, nor were any corrections made to account for differences between
145 “native” orography and estimated surface elevation of the target common grid cell. This approach
146 was taken in the absence of detailed information on likely biases by the reanalyses in the variables
147 of interest. Once the ensemble mean had been calculated, normalised differences, i.e. individual
148 reanalysis value minus ensemble mean, were calculated to facilitate comparisons of individual
149 climatologies.

150 In a study driven by interest in water resources and agricultural production, it is logical to initially
151 focus on precipitation climatologies. Figure 2 shows the ensemble mean reanalysis precipitation
152 climatology and the individual contributions (as normalised differences). In addition to annual
153 totals, seasonal precipitation is differentiated between a cold season, October to March known
154 regionally as the “rabi”, and the monsoon season, April to September referred to as the “kharif”.
155 The regional dominance of monsoonal rainfall is striking when comparing the ensemble means of
156 the seasonal contributions to annual total precipitation; although for the Karakoram/Hindu Kush and
157 north-western Central Asian deserts the “rabi” precipitation outweighs monsoonal inputs. In
158 comparing the climatologies of the individual reanalyses, the most prominent differences are
159 located along the southern flank of the Himalayan arc and over the Ganges-Brahmaputra Delta
160 along with uplands along the India-Burma border region. Broadly, JRA-55 is drier than the other
161 reanalyses along the Nepal-Bhutan-China border but much wetter over the Terai, Assam, the lower
162 Ganges basin and the Bay of Bengal. NCEP CFSR has similar characteristics, with the exception of
163 being drier over the Bay of Bengal. ERA-Interim and NASA MERRA show the opposite pattern,
164 with ERA-Interim being much wetter over the Nepal-Bhutan-China border region and NASA
165 MERRA being much drier over the Terai, Assam and Ganges-Brahmaputra Delta.

166 While adequate moisture inputs from precipitation are prerequisite for both river flows and
167 agricultural production, the role of energy inputs in both the generation of meltwater runoff, from
168 snow and glacial ice, and in driving crop development, through photosynthesis and transpiration,
169 are also critical. Figure 3 shows the ensemble mean climatologies and individual (normalised
170 difference) contributions for Winter (December to February) SW_{net} , Spring (March to May) daily
171 T_{avg} and Summer (June to August) DTR. These temporal aggregates – Winter, Spring and Summer
172 – were selected to identify hydrological regimes – pluvial, nival (snowpack) or glacial – and
173 growing seasons dependent upon thermal conditions. As described in Table 2, all three seasonal
174 values – Winter, Spring, Summer – for each of these variables – T_{avg} , SW_{net} and DTR – were used
175 as input to the classification procedure. Figure 3 shows a single seasonal example of each variable
176 to illustrate the information it contributes. Autumn (September to November) seasonal aggregates
177 were not used as they are very similar to Spring (mirror image) in terms of magnitude and
178 variability and thus not expected to substantially increase information content available to the PCA.

179 Figure 3 shows that Winter SW_{net} illustrates the influence of seasonal snow-cover via albedo. As
180 expected there is a generally latitudinal gradient, with decreasing SW_{net} moving northward,
181 although the latitudinal gradient is smaller than reductions in net surface absorption in areas with
182 seasonal snow cover. JRA-55 shows generally lower SW_{net} values than the ensemble mean,
183 particularly over south-western Pakistan and the Tibetan plateau. The former difference is likely
184 due to greater reanalysis estimates of cloud radiative effect (CRE) while over Tibet this might be
185 either due to CRE or to higher predicted albedo from greater assumed seasonal snow cover. In
186 contrast JRA-55 shows higher SW_{net} over the Pamir and sections of the high Karakoram and
187 Himalayan arc. This may be either due to assumed lesser seasonal snow-cover (decreased albedo)
188 or estimated clearer sky conditions (decreased CRE). Broadly speaking ERA-Interim and NASA
189 MERRA show the opposite contribution patterns to JRA-55, and hence detailed examination of
190 radiation modulating physical mechanisms, e.g. clear versus overcast conditions, full snow cover
191 versus bare ground, would likely reveal opposing tendencies. Between ERA-Interim and NASA
192 MERRA, the former shows broader and more pronounced decreases in SW_{net} continuously along
193 the Himalayan arc from Pamir through the east of Bhutan to the Sikkim. NCEP CFSR shows a
194 mixed pattern of SW_{net} , agreeing with JRA-55 north of approximately 30°N latitude and more
195 closely corresponding to ERA-Interim and NASA MERRA south of this line.

196 The ensemble mean climatology of Spring daily T_{avg} displays the expected influence of elevation,
197 with sub-freezing temperatures found roughly above 3000m asl. Like SW_{net} , T_{avg} through the
198 freezing isotherm provides a spatial indication of areas with likely snow cover. More generally, T_{avg}
199 quantifies the available energy to drive melting of snow and ice as well as plant development.

200 Although NASA MERRA is notably warmer than the other three reanalyses over the Indo-Gangetic

201 plains, the largest discrepancies are along Himalayan arc as well as at the transition from the
202 Taklimakan desert to the Tibetan Plateau. JRA-55 and NCEP CFSR are generally colder than the
203 mean along the Himalayan arc but warmer along the northern Tibetan fringe. ERA-Interim is
204 strongly warmer along the Himalayan arc but much cooler over the southern Taklimakan. NASA
205 MERRA has more mixed contributions with relatively limited areas showing substantial departures
206 from the ensemble mean.

207 Summer DTR is not a direct indicator of energy input to the hydro-climatological system and
208 biosphere. It does, however, provide a measure of the amplitude of energy variation throughout the
209 diurnal cycle as well as providing a proxy for relative humidity (vapour pressure deficit) and cloud
210 cover. Examination of the ensemble mean Summer DTR climatology clearly illustrates the
211 influence of both cloud cover and humidity. Regionally Summer DTR is lowest over the Arabian
212 Sea and Bay of Bengal and highest over the western Central Asian deserts. Suppression of Summer
213 DTR is clearly evident by comparing the ensemble mean Summer DTR in Figure 3 to the ensemble
214 mean monsoonal precipitation accumulations in Figure 2. The influence of diurnal discretisation
215 (sub-daily time-step) on individual reanalysis DTR climatologies is evident in Figure 3. NASA
216 MERRA, with an hourly time-step, has much larger DTR values over land than the ensemble mean,
217 although lower DTR values than the mean over the Arabian Sea and Bay of Bengal. MERRA's
218 hourly time-step allows better representation of the full amplitude of the DTR, while the 6-hour
219 time-steps of the other reanalyses "flatten" or dampen estimated diurnal variations. NCEP CFSR
220 has the lowest DTR values, with particularly small DTR estimates over the Central Asian deserts
221 and Tibetan Plateau. ERA-Interim has broadly, if moderately, lower DTR values than the mean
222 except over the Central Asian deserts as well as the Arabian Sea and Bay of Bengal. JRA-55 is
223 similar to ERA-Interim in DTR estimates albeit spatially more variable and closer to the ensemble
224 mean.

225 In summary, the substantial differences, illustrated in Figures 2 and 3, in input variable
226 climatologies between the individual reanalyses can be attributed to differences in spatial resolution
227 and sub-diurnal discretisation. Reanalyses will also differ in the data assimilation systems and data
228 analysis and forecasting models they incorporate, an exploration of which is beyond the scope of
229 this study. Spatial resolution will have the most pronounced influence in areas with steep
230 topographic gradients and in interface zones between land and sea. Sub-diurnal time-step influence
231 will be limited to absolute accuracy of DTR. While both spatial resolution and sub-diurnal time-step
232 influence absolute accuracy and hence the direct comparability of a reanalysis to other datasets, its
233 internal coherence, i.e. relative spatial and temporal variability, may still be substantial. This
234 coherence can be tested through the climate classification process. Where good ground-based
235 observations exist and can be translated meaningfully to the grid cell resolution in the reanalyses,

236 bias assessment could be performed. This would provide insight into which dataset more accurately
237 represents regional conditions but would be very challenging and time consuming due to data
238 paucity and inconsistencies. This in fact highlights one of the major benefits of the climate
239 classification procedure: objective delineation of the regional domain should enable optimisation of
240 the use of limited ground data by defining “areas of relevance” within which the magnitude and
241 distribution of bias can be meaningfully summarised.

242

243 **[2.3] Method for climate classification**

244 The climate classification methodology used in this study directly transfers the method developed
245 by Blenkinsop et al. (2008) for the European FOOTPRINT project albeit with the set of variables
246 described in Section 2.2 rather than those identified for FOOTPRINT (Nolan et al., 2008).
247 Blenkinsop et al. (2008) applied a three-step approach to climate zoning: i) identification of key
248 climatic variables, ii) principal components analysis (PCA) and iii) k-means cluster analysis. The
249 decision to use the PCA and k-means approach, which classifies the spatial domain based on
250 relative differences, rather than to apply a classification based on absolute thresholds, e.g. Köppen-
251 Trewartha (Belda et al., 2014), was made due to the expectation that the spatial aggregation (large
252 grid cells) within the reanalyses would introduce inevitable biases. These biases could be further
253 exacerbated by the formulation of data assimilation and forecasting algorithms adopted by each
254 reanalysis. Thus it seemed more reasonable to apply a relative differentiation rather than an
255 absolute, fixed standard.

256 As explained by Blenkinsop et al. (2008), PCA is a necessary step in the climate classification
257 process in order to reduce the dimensionality of the input variables which are expected to be
258 substantially correlated as a set. Prior to PCA all input variables were standardised (subtraction of
259 spatial mean and division by spatial standard deviation). Standardisation was performed so that the
260 unit-dependent absolute values of the individual variables would not distort their weighting within
261 the PCA process. PCA was performed using the “mlab” module of matplotlib (Hunter, 2007)
262 executed in a Python environment. Input and output operations of reanalysis data stored as GeoTiffs
263 were handled using the RasterIO Python module (Holderness, 2011).

264 The results of the PCA for each reanalysis are summarised in Table 3. A decision was made to
265 retain principal components (PCs) which accounted for at least 5% of the total variance in the input
266 dataset. Table 3 indicates that ERA-Interim and NCEP CFSR each had 4 PCs which met this
267 criterion while JRA-55 and NASA MERRA had 5 PCs. Details on the first 3 PCs, which together
268 account for between 81% and 85% of the total variance, for each reanalysis are provided in Table 3,
269 while Figure 4 shows these PCs graphically. The first PC for all four reanalyses was primarily

270 composed of variables related to energy inputs (daily mean temperature, net shortwave radiation),
271 although JRA-55, ERA-Interim and NASA MERRA all had substantial negative contributions from
272 Summer DTR. The first PC accounted for between 36% and 46% of the total variance depending on
273 the reanalysis chosen. As can be seen in Figure 4, the differences between the reanalyses in spatial
274 distribution of PC1 within the domain can be largely accounted for by the respective differences in
275 spatial resolution. Even without allowing for the spatial resolution, differences in the consistency in
276 PC1 between reanalyses is striking.

277 For the second and third PCs, contributions were very similar between three of the reanalyses
278 (Table 3). For ERA-Interim, NASA MERRA and NCEP CFSR, PC2 was dominated by
279 precipitation inputs from all seasons while negative contributions from Summer energy inputs were
280 also present. In these reanalyses PC3 was dominated by DTR, particularly Winter and Spring. For
281 JRA-55, PC2 was dominated by Winter and Spring DTR with a negative contribution from cold
282 season (“rabi”) precipitation. JRA-55 PC3 was dominated by annual total and monsoonal (“kharif”)
283 precipitation as well as Winter DTR. Despite the differences in composition, i.e. loadings from
284 input variables, spatial variability within the domain for PC2 from JRA-55 is visually very similar
285 to PC2 from the other three reanalyses. In PC2, for JRA-55 the Arabian Sea shares the same sign as
286 the Himalayan arc and Ganges-Brahmaputra Delta while in the other three reanalyses the Arabian
287 Sea has the same sign as the Lower Indus Basin and Central Asian deserts. There are more
288 substantial differences between reanalyses in PC3. In JRA-55 the signs of Central Asian deserts and
289 Tibetan plateau are reversed compared to the patterns found in PC3 in the other three reanalyses.
290 For all reanalyses PC2 accounted for between 19% and 32% of total variance while PC3 accounted
291 for between 16% and 19%. Overall the spatial patterns in Figure 4 are physically plausible,
292 especially PC1 (mean annual temperature/energy input) and PC2 (annual total precipitation) in the
293 three similar reanalyses (excluding JRA-55). Spatial patterns in PC3 (cold season/ “rabi” DTR) are
294 also physically plausible, although visually are less intuitive as diurnal temperature cycles are
295 substantial even in high elevation areas (Karakoram, Himalaya, Tibetan Plateau) in these seasons.
296 They are of lesser amplitude, however, than those experienced currently in the Indo-Gangetic plains
297 and Central Asian deserts.

298 K-means cluster analysis was also performed using matplotlib (Hunter, 2007) and RasterIO
299 (Holderness, 2011) within a Python environment. As suggested by Blenkinsop et al. (2008),
300 standardised grid cell latitude and longitude were added to the retained principal components as
301 input to the clustering process. Because k-means cluster analysis presupposes the number of distinct
302 (climate) classes rather than determining the number groupings (zones) based on a numerical
303 measure of “likeness” a range of cluster numbers was tested for each reanalysis. The results are
304 presented in the following section, but the our interpretation was that the study domain could be

305 aptly described by eight sub-regional climate zones with increases in cluster numbers leading to
306 sub-divisions of these zones. The issue of spatial discretisation of steep topographic gradients, and
307 hence temperature and precipitation gradients, in the transition zone between the (southern flank of
308 the) Himalayan arc and Indo-Gangetic plains does, however, raise a legitimate caveat to this
309 generalisation.

310

311 **[3] Results**

312 **[3.1] Description of emergent regional climate zones and subdivisions**

313 Figure 5 shows the results of k-means clustering for each reanalysis for eight, twelve and sixteen
314 clusters. Similar sub-divisions of the eight sub-regional climate zones tend to emerge in all the
315 reanalyses as cluster numbers increase although sub-divisions first emerge dependent upon spatial
316 discretisation and climatological differences – illustrated in Figures 2 and 3 – of each reanalysis.

317 The general characteristics of the eight emergent sub-regional climate zones are described Table 4
318 along with the fraction of the spatial domain each covers in each reanalysis (for the 8-cluster case).
319 With the exception of the Himalayan arc zone which was not identified by both JRA-55 and NASA-
320 MERRA when the number of clusters was limited to eight, there is substantial agreement not only
321 on the broad geographic locations of the eight zones but on their spatial extent within the domain as
322 well. There is arguably some blurring in the definition of the “Lower Indus Basin” (semi-arid
323 plains), which regionally could be seen as a transitional zone between the “Central Asian deserts”
324 and the “Gangetic Plains” (sub-humid plains), although the latter could itself be seen as a
325 transitional zone between the Lower Indus and the “Ganges-Brahmaputra Delta” (humid plains).

326

327 **[3.2] Comparison of climatologies of emergent sub-regional climate zones**

328 The spatial mean and ranges (minimum and maximum) have been calculated for the period monthly
329 means of the four input variables from each reanalysis. The annual cycles of precipitation and DTR
330 are shown in Figure 6. The annual cycles of daily mean temperature and net shortwave radiation are
331 shown in Figure 7. Placement of sub-regional zones within these figures are deliberate in their
332 relationship to geographical location and large-scale circulation influences. The most northerly
333 zones are in the upper figure panels and the southerly at the bottom. Zones with greater westerly
334 weather system influence are in the left hand column, while greater monsoonal influence zones are
335 to the right. Results shown in both figures are referred to in the discussion throughout this Section.

336

337 **[3.2.1] Precipitation climatologies of emergent sub-regional climate zones**

338 Precipitation is a core element in differentiating the eight emergent sub-regional climate zones
339 within the study domain. The Ganges-Brahmaputra Delta (humid plains) has by far the highest
340 precipitation of the sub-regional zones followed by the Gangetic plains (sub-humid plains) and the
341 Himalayan arc. Precipitation in each of these zones is dominated by monsoonal rainfall although the
342 Himalayan arc receives moderate precipitation from westerly weather systems in late winter
343 (February) and Spring. The Karakoram/Hindu Kush zone is the next wettest with dominant inputs
344 from “rabi” westerly weather systems and limited Summer rainfall. The Tibetan Plateau has a
345 similar seasonal distribution of precipitation to the Himalayan arc but with lower monthly totals.
346 The Lower Indus Basin and Central Asian deserts are the driest zones. Spread in spatial means
347 between reanalyses is substantial for all climate zones and appears roughly proportional to
348 precipitation amount, i.e. the largest spread is found in the wettest months and in the wettest zone
349 (Ganges-Brahmaputra Delta).

350

351 **[3.2.2] DTR climatologies of emergent sub-regional climate zones**

352 As explained in Section 2.2, ensemble spread in DTR climatologies can be substantially attributed
353 to issues of sub-diurnal discretisation. For all climate zones except the Arabian Sea and Bay of
354 Bengal, the reanalysis with an hourly time-step (NASA MERRA) has the largest DTR values.
355 Despite similar sub-diurnal discretisation, NCEP CFSR has consistently lower DTR values across
356 all climate zones than ERA-Interim and JRA-55 which tend to agree closely with one another.
357 Despite this considerable ensemble spread in absolute values, the “shape” of annual DTR cycles
358 within climate zones is consistent between reanalyses, i.e. standardised values are very similar.
359 Zones with substantial monsoonal influence – Ganges-Brahmaputra Delta, Gangetic plains and
360 Himalayan arc – have annual DTR minima in Summer. In contrast, drier and more westerly-
361 dominated sub-regional zones – Central Asian deserts, Tibetan plateau, Karakoram/ Hindu Kush
362 and Lower Indus Basin – have annual DTR minima in Winter, although the Lower Indus has
363 sufficient monsoonal influence for a minor minimum (limited DTR suppression) in Summer. The
364 Arabian Sea and Bay of Bengal have the smallest DTR values both in absolute terms (annual mean)
365 and amplitude of annual cycle.

366

367 **[3.2.3] Daily mean temperature climatologies of emergent sub-regional climate** 368 **zones**

369 Based on the PCA results presented in section 2.3, differences in energy inputs account for the
370 largest fraction of variance within the input data. Differences in annual cycles of daily T_{avg} provide
371 clear differences between the emergent sub-regional climate zones. The Arabian Sea and Bay of

372 Bengal have year-round moderately warm temperatures with minimal spread in both ensemble
373 mean and in spatial spread within individual reanalyses. The Ganges-Brahmaputra delta has similar
374 monthly spatial mean values to the Arabian Sea but with incrementally larger ensemble spread and
375 much greater spatial spread. The spatial spread is attributed to the topographic diversity within the
376 zone, stretching from coastal areas to the front ranges of the Himalaya. The Lower Indus Basin and
377 Gangetic plains have quite similar annual cycles of daily mean temperature. Both have mild cold
378 seasons (“rabi”) and hot summers with large spatial spreads in all months. The ensemble spread is
379 incrementally larger in all months for the Lower Indus than for the Gangetic plains. The remaining
380 four zones– Central Asian deserts, Tibetan Plateau, Karakoram/ Hindu Kush and Himalayan arc –
381 are alike in several months of the annual cycle, with mean temperatures below freezing. Ensemble
382 and spatial spreads are greater in the Central Asian deserts and Karakoram/ Hindu Kush than in the
383 Tibetan Plateau, which is consistently the coolest zone. For the Himalayan arc, ERA-Interim and
384 NCEP CFSR agree closely for both the spatial means and the considerable spatial spreads of this
385 zone.

386

387 **[3.2.4] Net shortwave radiation climatologies of emergent sub-regional climate** 388 **zones**

389 Net shortwave radiation at the surface is, understandably, the least differentiated of the input
390 variables. Of interest is the varying degrees of SW_{net} suppression in different seasons. In cold
391 months shortwave suppression is due to increased albedo from seasonal snow cover and to a lesser
392 extent to CRE from thick cloud cover. This is evident in the Tibetan Plateau and Karakoram/ Hindu
393 Kush where the annual minima is well below 100 watts/m². Sub-100 watts/m² annual minima in the
394 Central Asian deserts are more surprising and may in part be due to airborne dust particles. Higher
395 Winter SW_{net} for the Himalayan arc, comparable to the Lower Indus, than the Karakoram/ Hindu
396 Kush may be attributable to the lower latitude and lesser seasonal snow cover of the more easterly
397 mountain range. Summer SW_{net} suppression will be caused by large CRE linked to monsoonal
398 activity. This is particularly visible in the Ganges-Brahmaputra Delta and Gangetic plains and still
399 noticeable in the Himalayan arc and Arabian Sea. The effect is present though barely perceptible in
400 the Lower Indus Basin.

401

402 **[3.2.5] Commonalities and distinctions in the climatologies of emergent sub-** 403 **regional climate zones**

404 The layout of Figures 6 and 7 is intended to facilitate comparison of adjacent climate zones.
405 Climate zones are represented within Figures 6 and 7 moving from north to south by moving from

406 top to bottom panels. Given the latitudinal influence on temperature, zones with similar temperature
407 regimes, e.g. Lower Indus Basin and Gangetic plains, are laterally adjacent. In contrast, the
408 dependence of precipitation on atmospheric circulation can be examined by comparing these
409 adjacent panels. Thus the Lower Indus Basin, with limited monsoonal rainfall is found by the
410 clustering process to be distinct from the Gangetic plains. Similarly the Tibetan plateau is
411 distinguished from the Central Asian deserts not only by cooler temperatures but also by greater
412 monsoonal precipitation. The Karakoram/ Hindu Kush and Himalayan arc have similar temperature
413 regimes but the seasonality and magnitude of annual precipitation, driven by the differing
414 circulation influences, clearly separates them. Even without knowledge of land or sea presence, the
415 Ganges-Brahmaputra Delta zone is distinct from the Arabian Sea zone by both precipitation and
416 DTR.

417

418 **[4] Discussion**

419 **[4.1] Insights from climate classifications for water resources and food security in** 420 **South Asia**

421 The PCA and k-means clustering approach applied to climate classification for the Himalayan arc
422 and adjacent regions, focusing on water resources and food security, has found a consensus among
423 four global meteorological reanalyses to identify eight emergent sub-regional climate zones. These
424 zones are physically plausible and correspond to broadly recognized units of vegetation typology
425 and land surface characteristics in South and Central Asia. Of these eight zones, one is open water
426 (Arabian Sea and Bay of Bengal) while two – Central Asian deserts and Tibetan Plateau – are
427 sparsely populated. The three plains zones – Lower Indus Basin, Gangetic plains and Ganges-
428 Brahmaputra Delta – are densely populated and projected to experience rapid demographic growth
429 in the coming decades (Archer et al., 2010; Immerzeel and Bierkens, 2012). In addition to direct
430 precipitation assessed in the climate classification these plains regions receive river flows from
431 upstream areas: the Karakoram/ Hindu Kush is upstream of the Lower Indus Basin while the
432 Himalayan arc is upstream of the Gangetic plains and Ganges-Brahmaputra Delta. The precipitation
433 climatologies of individual climate zones presented in Figure 6 confirm that the Lower Indus Basin
434 receives substantially less direct precipitation than the other two plains climate zones. In a first-
435 order analysis, irrigated areas in the Lower Indus, shown in Figure 1, are thus much more dependent
436 upon upstream flows than their Gangetic counterparts.

437 This general assessment does not however take into account the question of intra-annual (inter-
438 seasonal) water transfers, as the annual cycle of Ganges basin tributary river flows will closely
439 follow the annual precipitation cycle. Thus, in the absence of impounding reservoirs or substantial

440 groundwater recharge, only limited water volumes would be available to supplement irrigation in
441 the dry “rabi” season. This study also does not take into account inter-annual variability, as the
442 climate classifications here draw solely upon period means (1980 to 2009). A further limitation of
443 this assessment is that at the “parcel scale” of rainfed agriculture the convective precipitation in
444 monsoonal weather systems has very large spatial variability (Khan et al., 2014). Thus while
445 farmers in the irrigated Lower Indus Basin rely upon upstream flows for the bulk of crop moisture
446 requirements, farmers in the Gangetic plains may find supplementary irrigation critical to
447 compensate spatially and temporally acute precipitation deficits and ensure crop yields.

448 Looking forward, climate classifications of the type applied in this study help to frame the
449 assessment of the impact of changing climate conditions on future water resources, crop production
450 and food security. By understanding the roles of sub-regional climate zones as water resource
451 supply (headwaters) and demand (irrigated plains) areas, the net result of changes in water
452 availability (precipitation change) and potential evapotranspiration (air temperature, shortwave
453 radiation and relative humidity change) can be more skilfully evaluated. Changes, calculated
454 between time-slices of dynamically-downscaled climate model simulations, in both the spatial
455 extent and climatological statistics of water resource supply and demand zones in and of themselves
456 provide information on the trajectory of water availability, i.e. unit yield or deficit multiplied by
457 surface area. Additionally, delineation of sub-regional climate zones provides an objective basis for
458 definition of study boundaries of more sophisticated nested downscaling investigations. Accurate
459 delineation is important when computational requirements are high, for example when high-
460 resolution sensitivity experiments are required to constrain the uncertainties in future supply and
461 demand scenarios.

462

463 **[4.2] Utility of climate classification for assessment of gridded datasets**

464 The ensemble reanalysis input climatologies and normalised difference contributions shown in
465 Figures 2 and 3 illustrate the initial steps in comparative assessment of gridded data sets for bias
466 characterisation and validation. Further logical steps would draw upon the climate zones derived
467 through the PCA and k-means clustering approach to sub-divide the spatial domain in order to focus
468 and organise the use of limited in-situ data (ground-based, point observations) to characterise sub-
469 regional dataset performance. The use of in-situ data to provide “ground truthing” and related large
470 scale datasets to local conditions will remain crucial for the foreseeable future because gridded
471 datasets of a global nature, be they reanalyses, spatially interpolated from local observations or
472 derived from satellite imagery will inevitably have intrinsic biases. These biases are a function of
473 spatial and temporal resolution of the source observations as well as the physical nature of those

474 observations. In-situ data, be they from national monitoring networks or international databases
475 such as the Global Historical Climatology Network (Lawrimore et al., 2011), could be grouped by
476 the derived climate zones and in this way structure the analysis of statistics of “grid-cell versus
477 station” biases. In this way individual gridded datasets could be assessed to determine in which sub-
478 regional climate zones they perform well or poorly. This approach also permits comparative
479 evaluation of different gridded datasets to determine which most accurately reproduces the
480 climatology of a given climate zone.

481 This proposed methodology for bias assessment is dependent, however, upon the availability of
482 station data which are representative of climatic conditions in absolute terms at the grid-scale level.
483 This constraint could be prohibitive for mountainous areas, such as the Karakoram/ Hindu Kush,
484 where meteorological stations are often located in valley bottoms, substantially below the mean
485 elevations of overlying data source grid cells. One such example is the Upper Indus Basin (Gilgit-
486 Baltistan administrative district of Pakistan) where Archer (2003, 2004) and Archer and Fowler
487 (2004, 2008) found climate observations at manned meteorological stations of the Pakistan
488 Meteorological Department located in valley settlements to correlate strongly with variability in
489 hydrological conditions, although runoff volume fluctuations did not equate directly to precipitation
490 anomalies. Thus in mountainous or other highly spatially variable domains “transfer functions”
491 (scaling relationships) representing climate parameter variation with topography may still be
492 necessary to compare in-situ point observations to grid cell spatial means in absolute terms.

493 These challenges for relating point-based observations to gridded data in fact point toward the
494 utility of inter-comparison of spatial datasets. The climate classification approach provides a
495 supplementary dimension in which to compare gridded datasets. To illustrate this, the sub-regional
496 climate zones delineated from the four reanalyses could be considered as reference or benchmark
497 values for evaluation of climate model control period outputs. On-going work is exploring the
498 application of the climate classification approach to time-slices within the Met Office Hadley
499 Centre seventeen-member perturbed physics ensemble of 130-year transient future climate
500 simulations (Collins et al., 2011) dynamically downscaled to 0.22 decimal degrees for the South
501 Asia domain (Bhaskaran et al., 2012). Climate classifications, using eight clusters, for the initial 30-
502 years (1970 to 1999) of the simulation, considered as the “control climate”, are shown for each of
503 the ensemble members in Figure 8. Visual comparison of Figure 8 to Figure 5 confirms that the
504 broad patterns of the sub-regional climate zones found by the reanalyses are replicated in the
505 control climate time-slice of the climate model ensemble. There are noteworthy differences,
506 particularly over the Ganges-Brahmaputra Delta, but the overall sub-regional differences are
507 unmistakable. Table 5 provides the distribution of the spatial domain among the sub-regional
508 climate zones for each climate model ensemble member. The ensemble mean and standard

509 deviation are also given in Table 5. These values are compared, in Table 6, to the equivalent values
510 from the reanalyses (from Table 4). The largest differences in fractional areas stem from an eastern
511 Himalayan climate zone in the model ensemble amalgamating area allocated to the Ganges-
512 Brahmaputra in the reanalyses as well as sections assigned to the Tibetan Plateau in the reanalyses
513 being assigned to the Karakoram Hindu Kush in the model ensemble. Future work will investigate
514 differences in climatology between reanalysis zones (as presented in Section 3.2 and Figures 6 and
515 7) and the model ensemble zones. This analysis will then be extended to compare climate
516 classifications between time-slices of the model ensemble.

517 In summary, the climate classification approach presented here has substantial potential both for use
518 in assessment of water resources and food security issues as well as for the characterisation of skill
519 and bias of gridded datasets for reproducing sub-regional climatologies. This relative, or internal-
520 difference, classification approach was preferred over a methodology based on fixed, absolute
521 thresholds due to the nature of the gridded datasets whose spatial discretisation on likely intrinsic
522 biases would distort the results of an absolutist method. The natural resource assessment application
523 of this approach is timely as increasing pressures on water resources and cropland appear inevitable
524 in South Asia for the medium term due to demographic trends and evolving consumption patterns.
525 The growing availability of gridded datasets increases the likelihood of their use to address resource
526 management and climatic sensitivity issues. In order to use these datasets skilfully it is necessary to
527 first rigorously characterise their performance and biases. Thus the climate classification approach
528 presented here is doubly timely as it provides a framework to organise use of in-situ observations to
529 differentiate gridded dataset performance at the sub-regional level and to carry out inter-comparison
530 of gridded dataset performance for these sub-regions.

531

532 **[5] Conclusions**

533 A three-step approach was used to derive climate classifications for the Himalayan arc and adjacent
534 plains from climate inputs from four global meteorological reanalyses covering the recent historical
535 record (1980 to 2009). Input variables were selected for this process with a focus on climatic drivers
536 of water resources and agricultural production. Knowledge of the climatic factors governing
537 behaviour of hydrological regimes with substantial contributions from seasonal snowpack and
538 glaciers as well as controlling crop growth led to selection of precipitation amount, daily mean
539 temperature, net shortwave radiation at the surface and DTR as input variables. Three seasonal
540 aggregations were chosen for each input variable. Annual, “rabi” (October to March) and or
541 “kharif” (April to September) totals were used for precipitation to differentiate the influences of
542 westerly mid-latitude and monsoonal sub-tropical weather systems. For the remaining variables

543 temporal aggregates for Winter (December to February), Spring (March to May) and Summer (June
544 to August) were selected to identify hydrological regimes – pluvial, nival (snowpack) or glacial –
545 and growing seasons dependent upon thermal conditions.

546 Principal Components Analysis (PCA) was applied to the spatially standardised temporal
547 aggregates of the input variables. Comparison of PCA results from the four reanalyses show that in
548 all cases the first principal component was dominated by energy inputs while the second and third
549 were dominated by precipitation and DTR. Principal components accounting for a minimum of 5%
550 of total input variance, supplemented with standardised latitude and longitude, were used as inputs
551 to a k-means cluster analysis. Progressive increases in cluster numbers were tested for each
552 reanalysis in order to assess the evolution of emergent climate zones. Results of the k-means
553 analysis were interpreted to show that the study domain could be adequately described by eight sub-
554 regional climate classifications while further increases in cluster numbers resulted in sub-divisions
555 of these macro-zones. Spatial statistics for each sub-regional climate zone from the ensemble of
556 reanalyses revealed consistent, distinct climatologies in the annual cycles of the input variables.

557 The capacity of the climate classifications to provide insight into water resources and food security
558 issues at a regional scale were discussed. This capacity is linked to the objective delineation of
559 water resource supply and demand zones. Analysis of changes in both the spatial and climatic
560 characteristics of the zones over time provides a framework for evaluation of water availability for
561 crop production. The climate classifications also support evaluation of gridded datasets themselves.
562 The climate zones provide an objective method for grouping available ground-based observations to
563 quantify and summarise gridded dataset bias. They also serve as a metric with which to compare
564 climatologies of gridded datasets. This was illustrated by comparing the climate classifications of
565 the ensemble of reanalyses to the “control period” of a dynamically downscaled perturbed physics
566 climate model ensemble. Strong commonalities between the benchmark (reanalysis) and predictive
567 (RCM) datasets were evident while limited divergences were clearly identified. Future work will
568 extend the methodology here to evaluate the regional water resources and food security implications
569 of changes projected by available RCM experiments covering South Asia and the Himalayan arc.

570

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580

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701 Table 1. Reanalysis datasets utilised for comparative climate classification.

Reanalysis	Producer	Time period covered	Spatial resolution (degrees)	Diurnal discretisation
JRA-55	JRA	1958 to (near) present	1.25x1.25	6-hour synoptic forecast/analysis periods
ERA-Interim	ECMWF	1979 to (near) present	0.75x0.75	6-hour synoptic forecast/analysis periods
CFSR	NCEP	1979 to 2009 (later extended)	0.50x0.50	6-hour synoptic forecast/analysis periods
MERRA	NASA	1979 to (near) present	0.67x0.50	hourly

702

703

704 Table 2. Variables used for Himalayan region climate classification.

Variable	Season	Physical importance
Precipitation	Annual Total	Humid vs arid climates
	ONDJFM (“rabi”)	Westerly (extra-tropical) weather system climate influence
	AMJJAS (“kharif”)	Monsoonal weather system climate influence
T_{avg} <u>daily mean near surface air temperature</u>	DJF	Indicator of precipitation state (solid versus liquid) and available energy to drive hydrological processes (meltwater generation) and crop growth (transpiration); as such indicator of hydrological regime (pluvial, nival or glacial)
	MAM	
	JJA	
DTR <u>diurnal temperature range</u>	DJF	(inverse) Indicator of moisture conditions, i.e. relative humidity and cloud cover, as both suppress DTR; as such proxy for cloud cover further informs regarding circulation influences
	MAM	
	JJA	
SW_{net} at surface <u>net downward shortwave radiation at the surface</u>	DJF	Indicator of land surface state (snow covered or bare) and available energy to drive hydrological processes (meltwater generation) and crop growth (transpiration) ; as such indicator of hydrological regime (pluvial, nival or glacial)
	MAM	
	JJA	

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707 Table 3. Comparison of results of Principal Components Analysis.

Gridded data source		PC1	PC2	PC3
JRA-55	Explained Variance	0.459	0.194	0.162
5 PCs > 0.05	Loading	JJA DTR -0.359 DJF T _{avg} 0.380 DJF SW _{net} 0.384	ONDJFM Precip -0.440 DJF DTR 0.408 MAM DTR 0.509	AnnTot Precip -0.419 AMJJAS PrecipTot -0.416 DJF DTR -0.461
ERA-Interim	Explained Variance	0.364	0.317	0.167
4 PCs > 0.05	Loading	JJA DTR -0.353 DJF T _{avg} 0.443 MAM T _{avg} 0.404 DJF SW _{net} 0.402	AnnTot Precip 0.460 AMJJAS Precip 0.440 ONDJFM Precip 0.407 MAM SW _{net} -0.353 JJA SW _{net} -0.371	DJF DTR 0.622 MAM DTR 0.621
NASA MERRA	Explained Variance	0.416	0.214	0.185
5 PCs > 0.05	Loading	JJA DTR -0.378 DJF T _{avg} 0.404 MAM T _{avg} 0.375 DJF SW _{net} 0.388	AnnTot Precip 0.491 AMMJJAS Precip 0.439 ONDJFM Precip 0.479 JJA T _{avg} -0.395	DJF DTR -0.631 MAM DTR -0.635
NCEP CFSR	Explained Variance	0.377	0.275	0.181
5 PCs > 0.05	Loading	DJF T _{avg} 0.451 MAM T _{avg} 0.429 JJA T _{avg} 0.363 DJF SW _{net} 0.424 MAM SW _{net} 0.382	AnnTot Precip 0.459 AMJJAS Precip 0.440 ONDJFM Precip 0.367 JJA SW _{net} -0.429	DJF DTR -0.478 MAM DTR -0.645 JJA DTR -0.462

708 nb: Rows labelled “Explained Variance” indicate fraction of total input variance accounted for by
709 the Principal Component (PC). Rows labelled “loading” indicate input variables whose (coefficient)
710 contribution to the PC is > 0.35. Loading coefficients are shown with their signs to differentiate
711 between variables with opposing contributions.

712 Table 4. Description of primary Himalayan region climate zones (8 clusters).

Regional climate zone name/area	Climate type	Characteristics	Fraction of domain covered			
			JRA-55	ERA-Interim	NASA MERRA	NCEP CFSR
Arabian Sea & Bay of Bengal	Sub-tropical ocean	Year-round warm temperatures; Minimal DTR; limited monsoonal precipitation	0.069	0.077	0.066	0.080
Central Asian deserts	Mid-latitude desert	Cold winter; hot summer; Minimal annual precipitation	0.199*	0.150	0.168	0.101
Tibetan Plateau	High elevation desert	Cold winter; mild summer; limited monsoonal precipitation	0.229	0.207	0.266*	0.227
Himalayan arc	Sub-tropical high mountains	Cold winter; mild summer; Substantial monsoonal precipitation weather	**	0.061	**	0.039
Karakoram/Hindu Kush	Mid-latitude high mountains	Cold winter; mild summer; Substantial precipitation from westerly weather systems (Winter and Spring)	0.058	0.064	0.050	0.064
Lower Indus Basin	Semi-arid plains	Mild winter (cold season); hot summer; limited monsoonal precipitation	0.133	0.152	0.179	0.194
Gangetic Plains	Sub-humid plains	Mild winter (cold season); hot summer; substantial monsoonal precipitation	0.217	0.192	0.163	0.222
Ganges-Brahmaputra Delta	Humid plains	Mild winter (cold season); warm summer; intense monsoonal precipitation	0.090	0.093	0.104	0.069

713 *: Combination of two climate zones in this reanalysis; **: Not identified by this reanalysis

714 Table 5. Variability of primary Himalayan region climate zones (8 clusters) area in the Hadley
 715 Centre downscaled perturbed physics ensemble , [Regionally Quantify Uncertainty in Model](#)
 716 [Predictions \(RQUMP\)](#), [RQUMP](#) for South Asia.

Ensemble member	Indian Ocean	Central Asian Desert	Gangetic Plains	Lower Indus Basin	Karakoram Hindu Kush	Himalayan arc	Ganges Brahmaputra Delta	Tibetan Plateau
rqump00	0.062	0.152	0.236	0.169	0.113	0.092	0	0.171
rqump01	0.075	0.15	0.227	0.184	0.104	0.083	0	0.173
rqump02	0.074	0.15	0.251	0.160	0.102	0.080	0	0.180
rqump03	0.074	0.153	0.231	0.173	0.114	0.091	0	0.160
rqump04	0.071	0.145	0.193	0.168	0.135	0.026	0.083	0.175
rqump05	0.064	0.149	0.179	0.157	0.127	0.039	0.093	0.187
rqump06	0.061	0.154	0.216	0.167	0.131	0.076	0	0.192
rqump07	0.068	0.15	0.196	0.154	0.126	0.027	0.086	0.190
rqump08	0.062	0.156	0.209	0.153	0.131	0.098	0	0.188
rqump09	0.062	0.168	0.208	0.178	0.120	0.092	0	0.169
rqump10	0.075	0.270	0.267	0	0.130	0.121	0	0.134
rqump11	0.061	0.152	0.202	0.171	0.136	0.092	0	0.183
rqump12	0.062	0.238	0.175	0.115	0	0.128	0	0.280
rqump13	0.091	0.261	0.300	0	0.171	0.035	0.138	0
rqump14	0.063	0.264	0.263	0	0.100	0.099	0	0.209
rqump15	0.062	0.148	0.202	0.160	0.132	0.025	0.085	0.183
rqump16	0.069	0.240	0.190	0.115	0	0.101	0	0.282
mean	0.068	0.182	0.220	0.130	0.110	0.076	0.028	0.179
standard deviation	0.008	0.048	0.034	0.065	0.044	0.033	0.047	0.059

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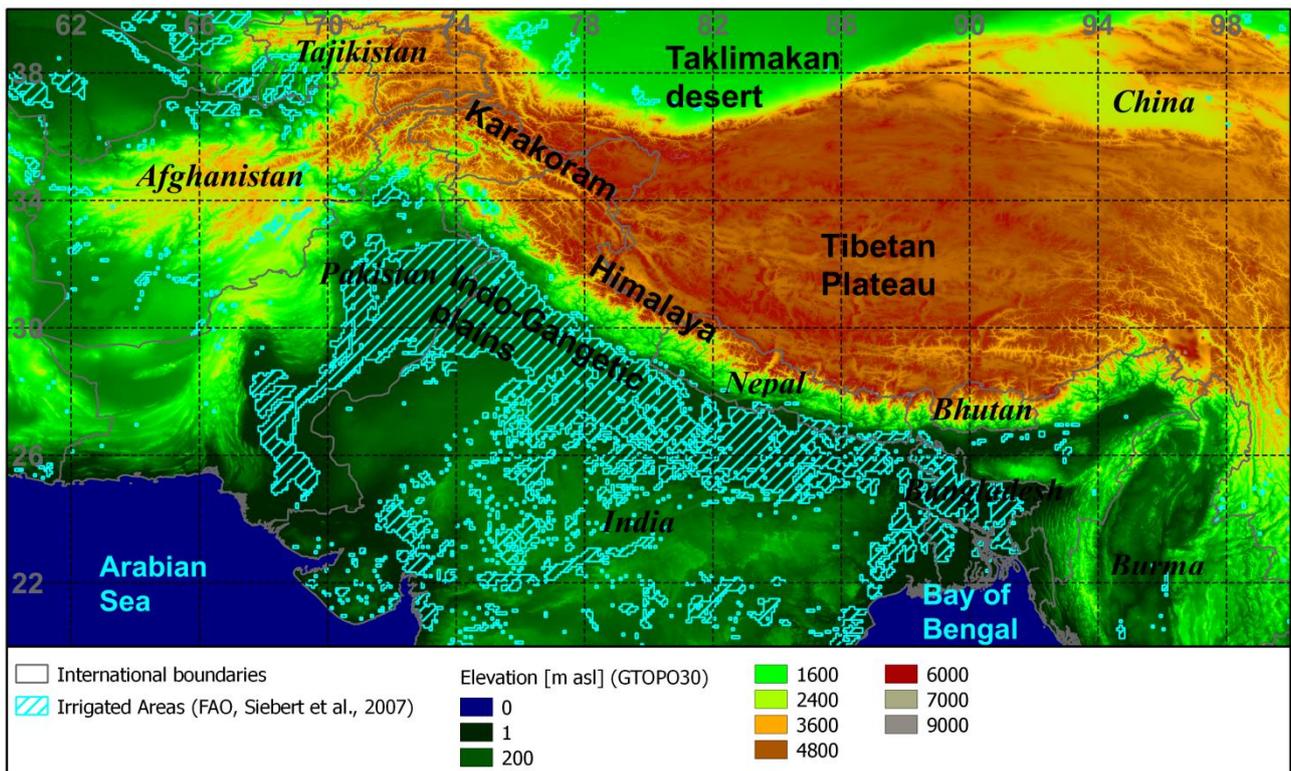
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719 Table 6. Comparison of RQUMP perturbed physics ensemble climate model sub-regional climate
 720 zone distributions to those from the reanalysis ensemble.

Statistic		Indian Ocean	Central Asian Desert	Gangetic Plains	Lower Indus Basin	Karakoram Hindu Kush	Himalayan arc	Ganges Brahmaputra Delta	Tibetan Plateau
Ensemble means	Climate model	0.068	0.182	0.220	0.130	0.110	0.076	0.028	0.179
	Reanalyses	0.073	0.154	0.198	0.164	0.059	0.050	0.089	0.232
	Difference	-0.005	0.028	0.022	-0.034	0.051	0.026	-0.061	-0.053
Ensemble standard deviations	Climate model	0.008	0.048	0.034	0.065	0.044	0.033	0.047	0.059
	Reanalyses	0.006	0.041	0.027	0.027	0.006	0.015	0.014	0.024
	Difference	0.002	0.007	0.007	0.038	0.038	0.018	0.033	0.035

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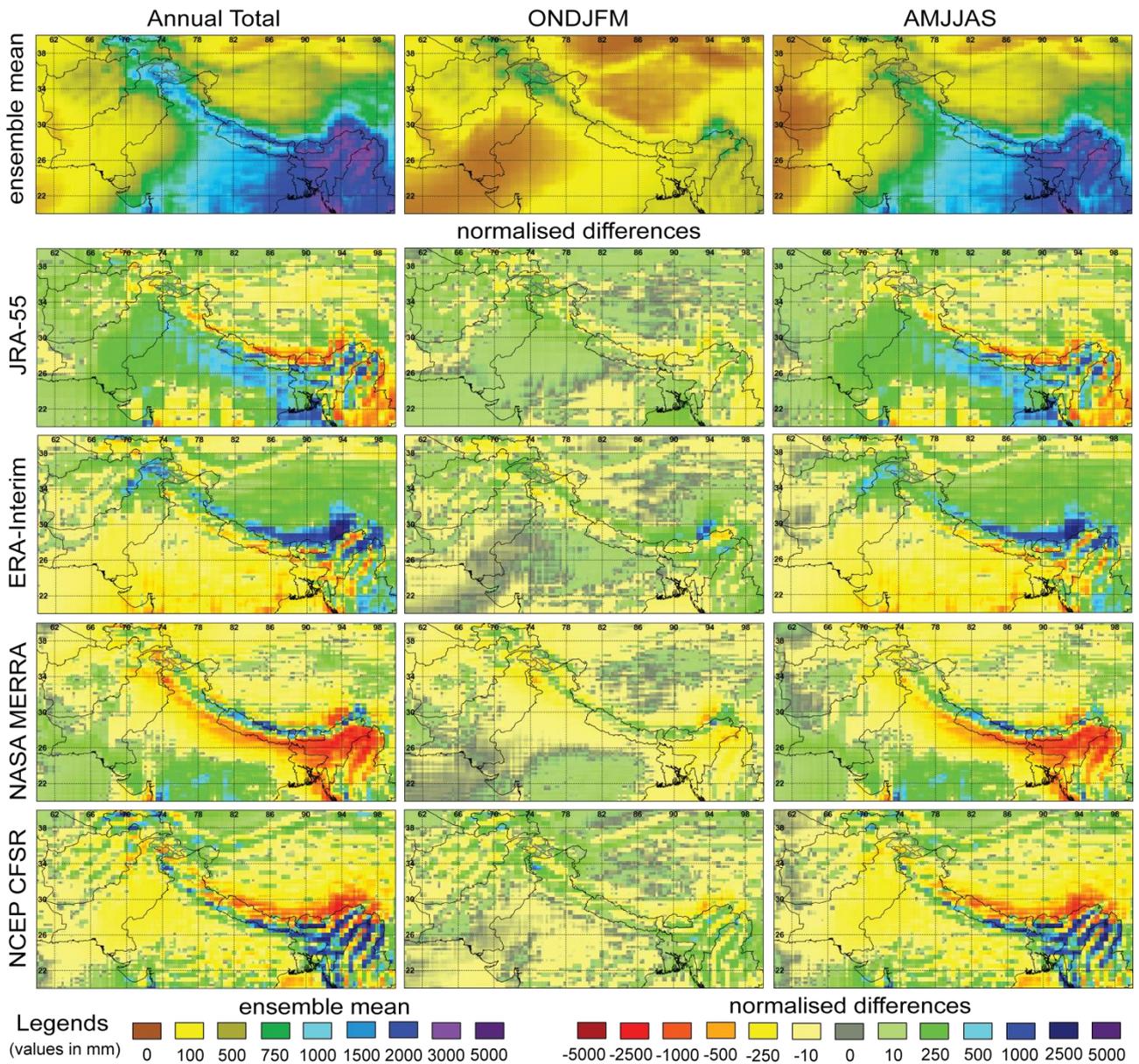


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725 Figure 1. Geographic context of the – Himalayan arc and adjacent plains – study area including
726 elevation and areas with > 33% under irrigation (hashed). [Data sources include the United Nations](#)
727 [Food and Agriculture Organisation \(FAO\) and the United States Geological Survey Global 30 Arc-](#)
728 [Second Digital Elevation Model \(GTOPO30\).](#)

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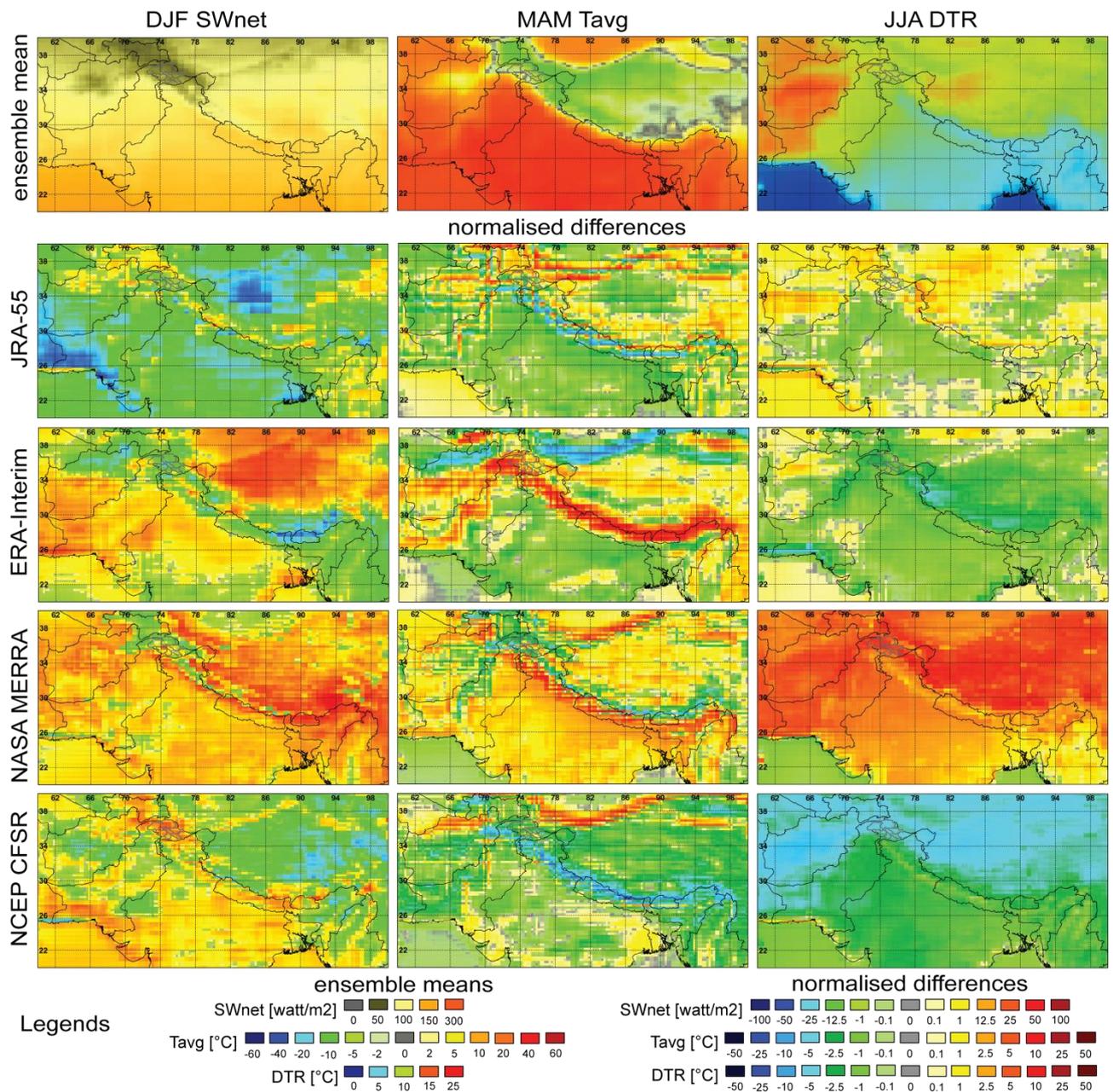
732 Figure 2. Ensemble precipitation climatology and normalised comparison of individual

733 contributions from reanalyses used in this study. ONDJFM is the abbreviation for the period from

734 October to March, referred to regionally as "Rabi." AMJJAS is the abbreviation for the period

735 from April to September, referred to regionally as "Kharif."

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739 Figure 3. Ensemble energy input (temperature and radiation) climatology and normalised

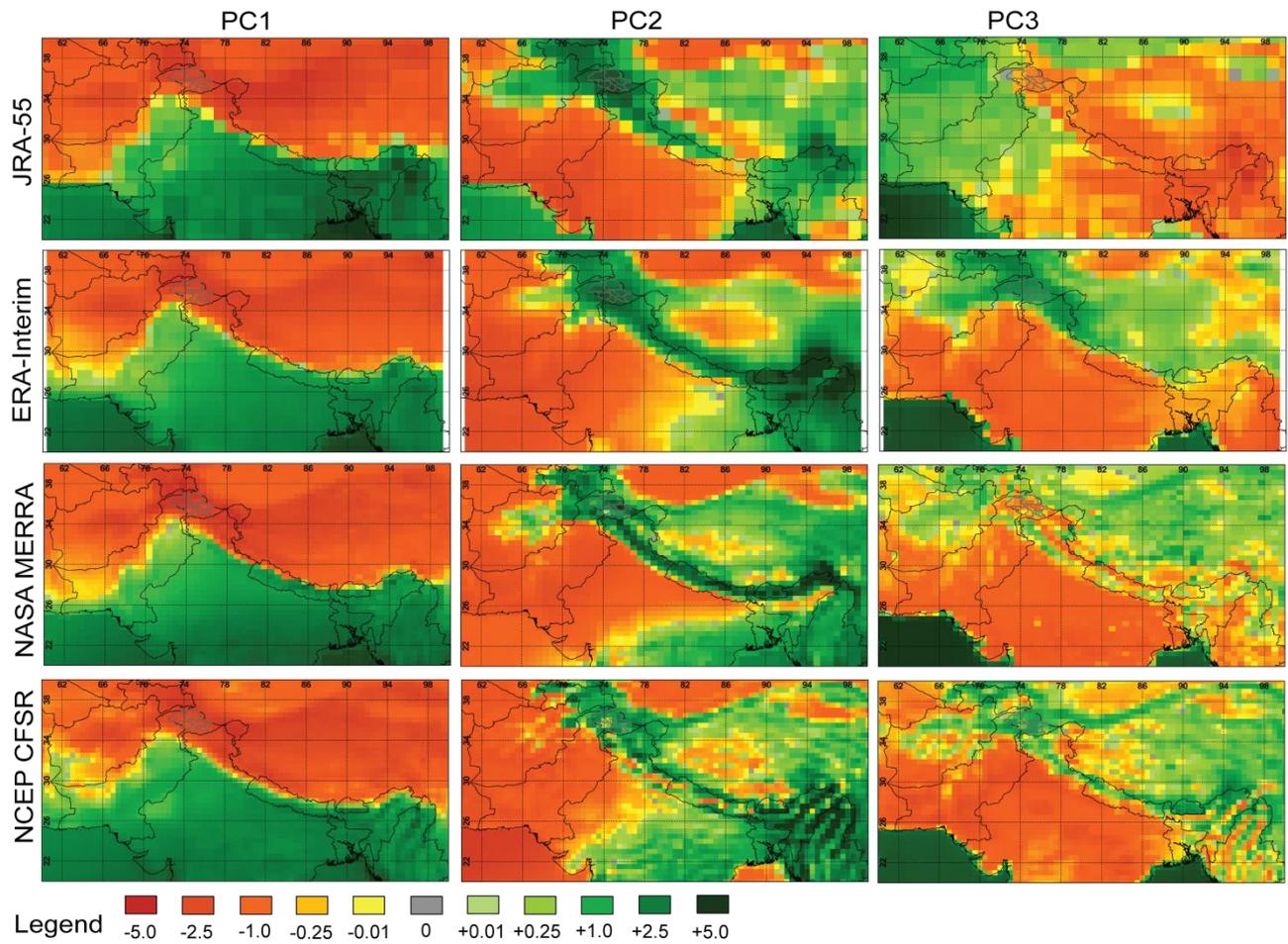
740 comparison of individual contributions from reanalyses used in this study. SW_{net} is net downward

741 shortwave radiation at the surface. T_{avg} is daily mean near surface air temperature. DTR is diurnal

742 temperature range. DJF is the (Winter) period December through February. MAM is the (Spring)

743 period March through May. JJA is the (Summer) period June through August.

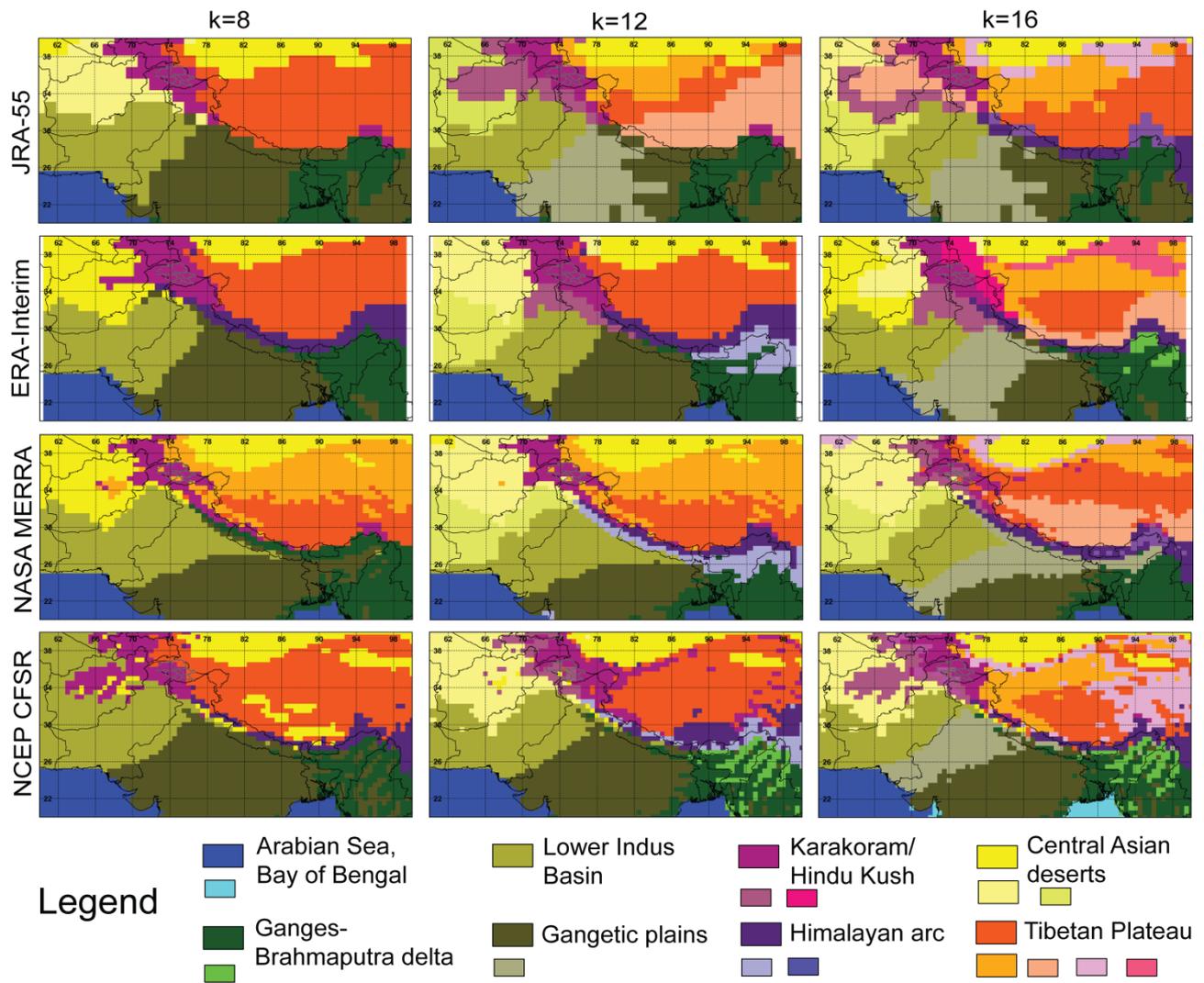
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747 Figure 4. Comparison of the first three principal components (PCs) from each of the reanalyses used
748 in this study. PCs are calculated from the Principal Component Analysis (PCA) input standardised
749 variables using the PCA output weighting factors. PCs are thus dimensionless and values are
750 expressed in standard deviations.

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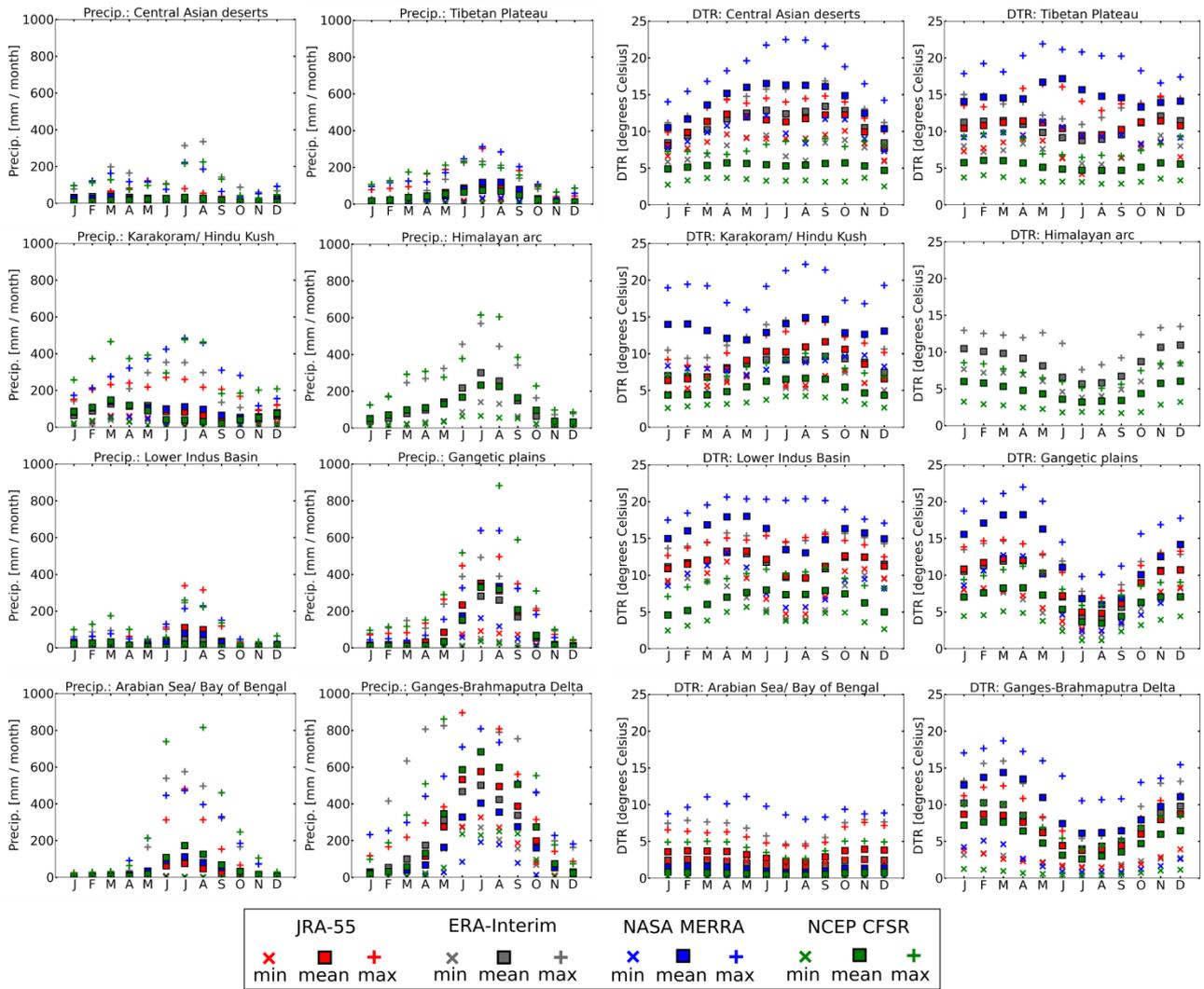


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754 Figure 5. Comparison of climate classifications resulting from the use of 8, 12 and 16 clusters (k)
 755 on principal components from the individual reanalyses. Large units in the legend refer to zones for
 756 the k=8 case.

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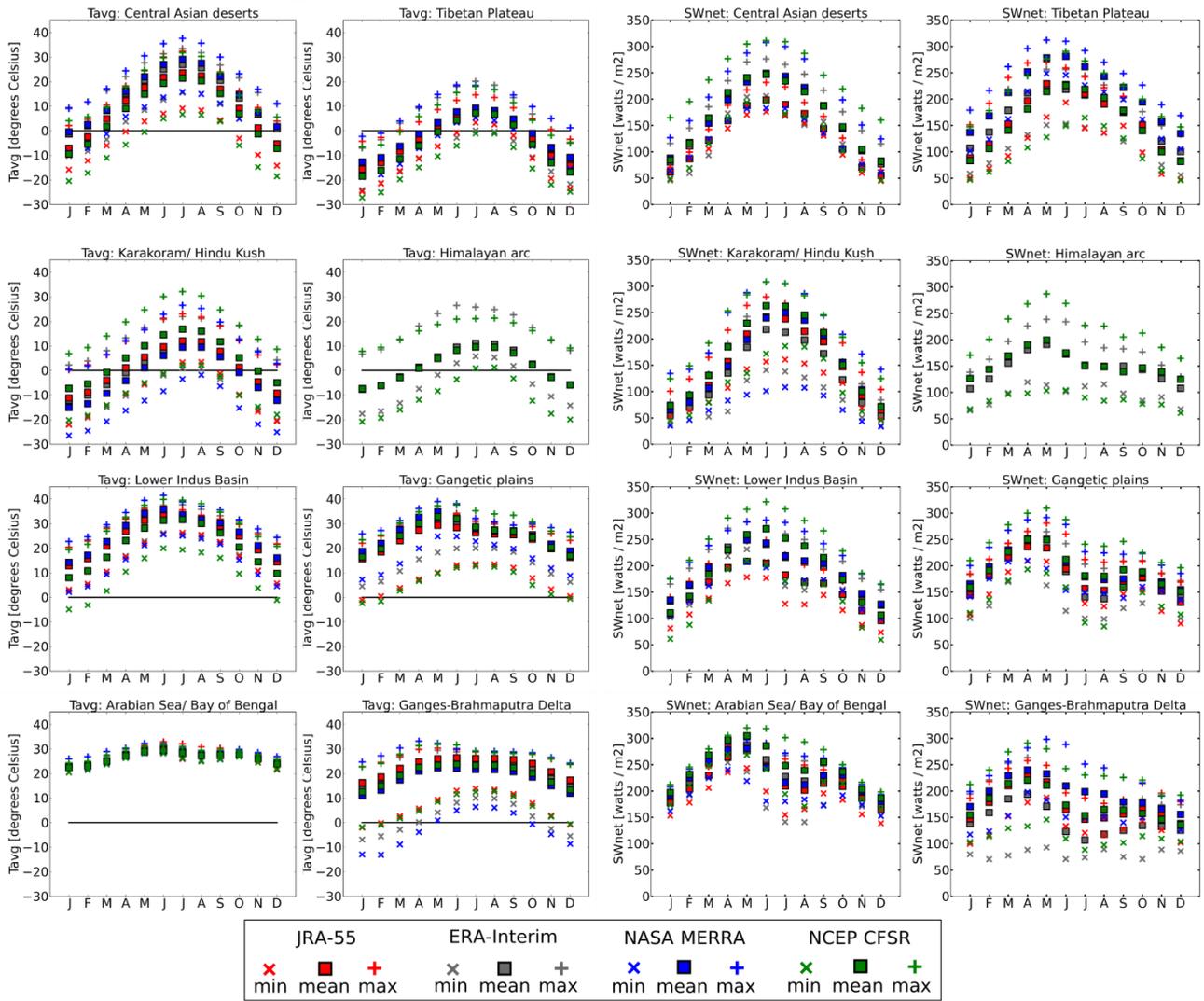


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760 Figure 6. Ensemble spatial statistics for annual cycles of precipitation (left) and DTR (right) by
 761 climate zone (8 clusters). DTR is diurnal temperature range.

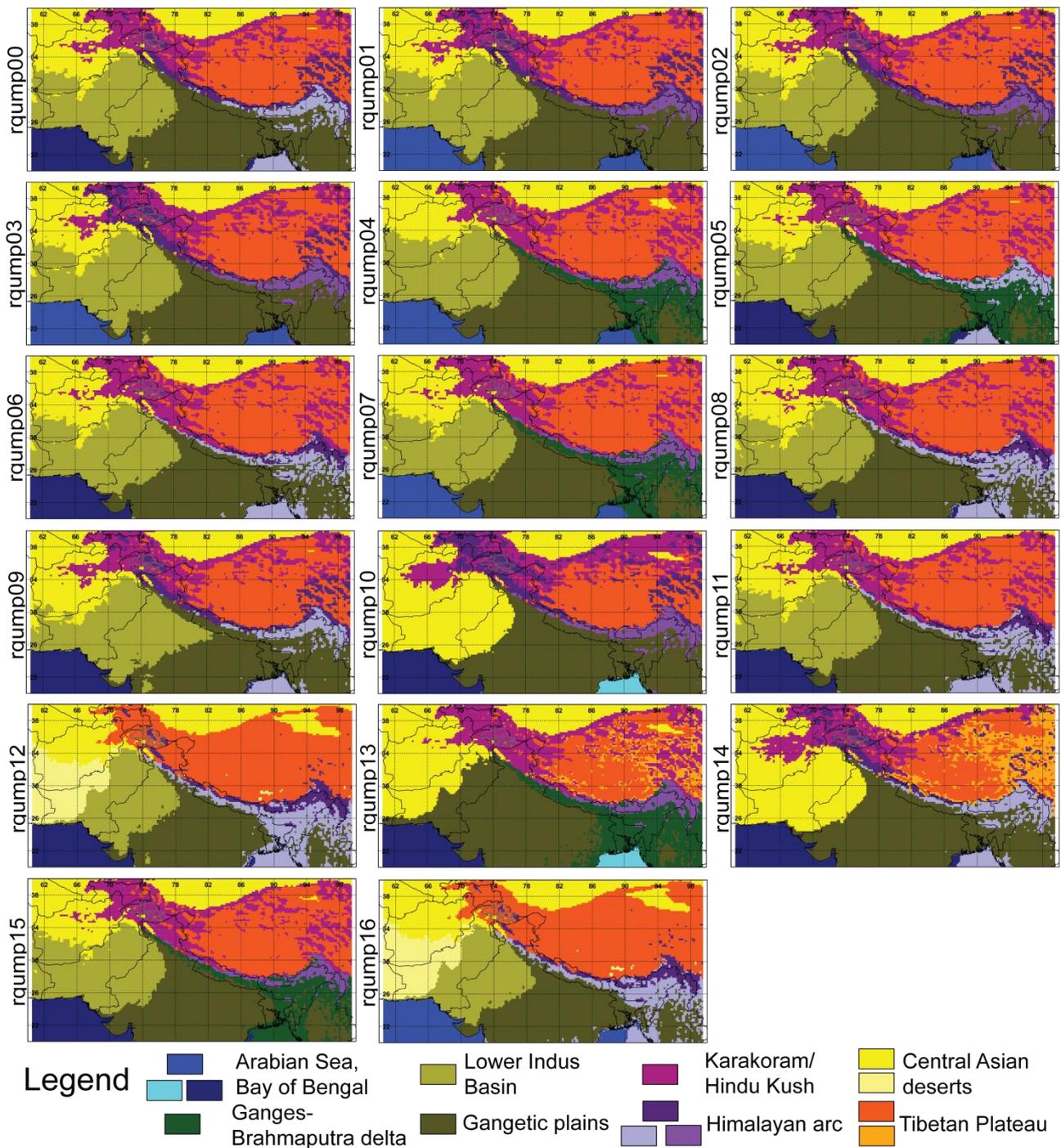
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765 Figure 7. Ensemble spatial statistics for annual cycles of T_{avg} and SW_{net} by climate zone (8 clusters).
 766 SW_{net} is net downward shortwave radiation at the surface. T_{avg} is daily mean near surface air
 767 temperature.



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769

770 Figure 8. Comparison of climate classifications resulting from the use of 8 clusters on principal
 771 components of the control period (1970 to 1999) from the individual members of the Hadley Centre
 772 RQUMP perturbed physics ensemble downscaled over South Asia.